

# Inclusive Diffraction at HERA

*On behalf of H1 and ZEUS collaborations*

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# Diffraction on nuclear waves

## ELASTIC AND INELASTIC SCATTERING OF 1.37 GeV $\alpha$ -PARTICLES FROM $^{40,42,44,48}\text{Ca}$

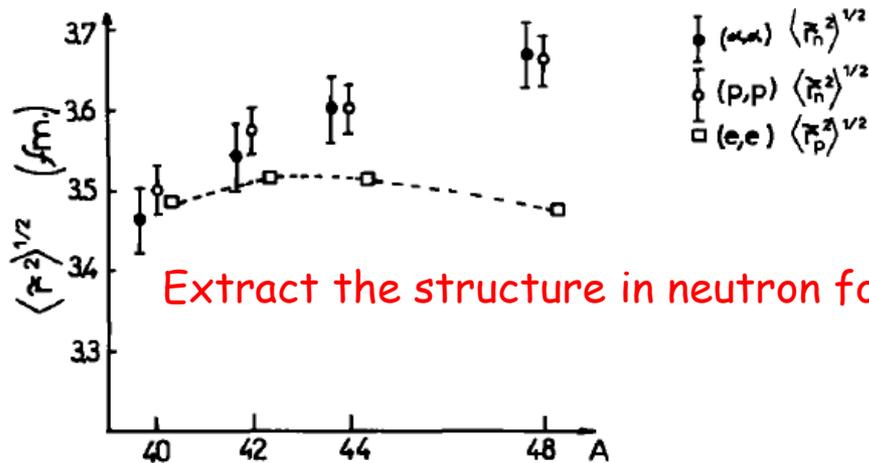
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Received 6 October 1976  
(Revised 9 December 1976)

$\vartheta$  (or  $|t|^{1/2}$ ) dependence presents the standard diffractive pattern (optics)

Amplitude( $q, k$ )  $\sim ik/2\pi \int db e^{ibq} D(b, k)$



Extract the structure in neutron for Calcium

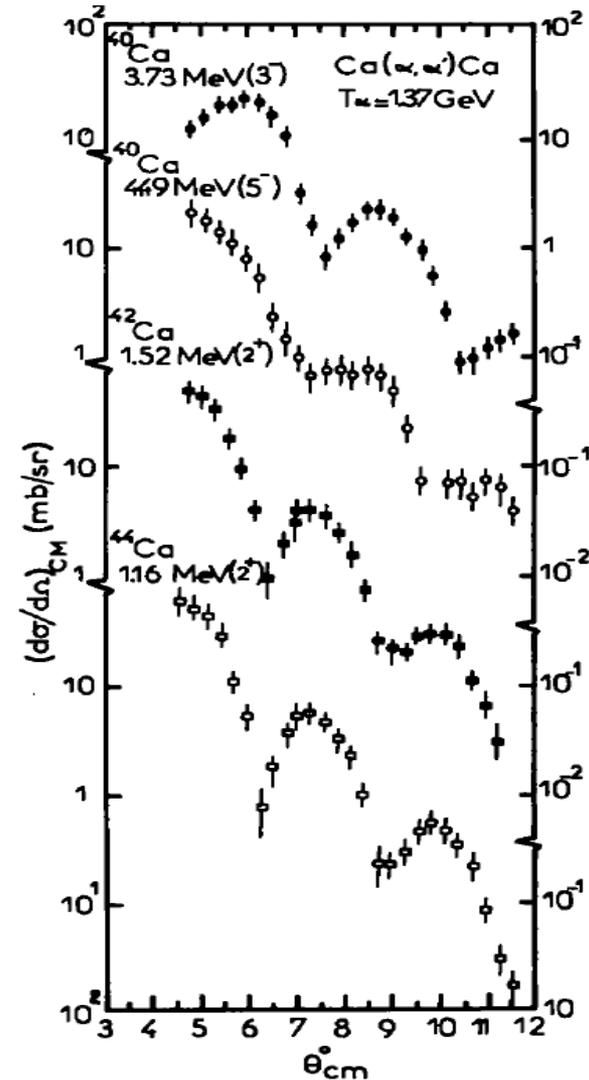
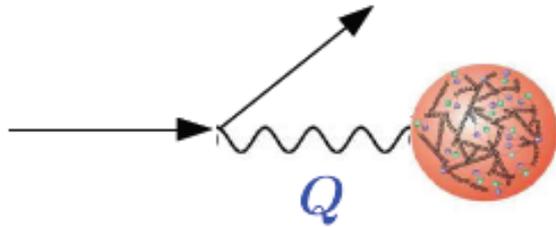


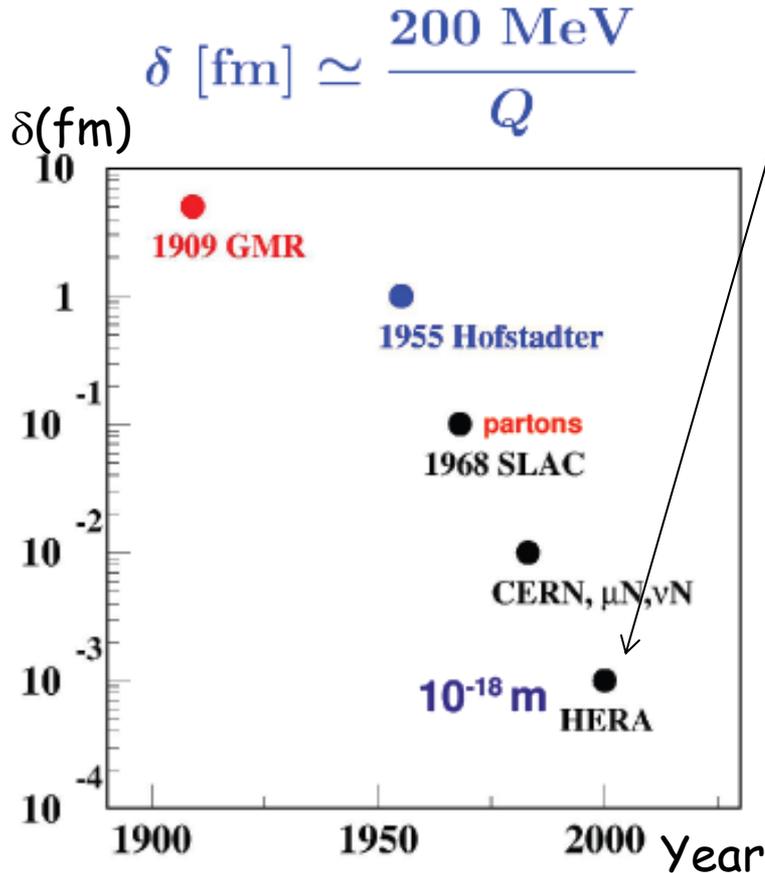
Fig. 3. Differential cross sections of inelastic scattering of 1.37 GeV  $\alpha$ -particles from the  $3_1^-$  and  $5_1^-$  states in  $^{40}\text{Ca}$  and the  $2_1^+$  states in  $^{42}\text{Ca}$  and  $^{44}\text{Ca}$ .

# Subnuclear waves

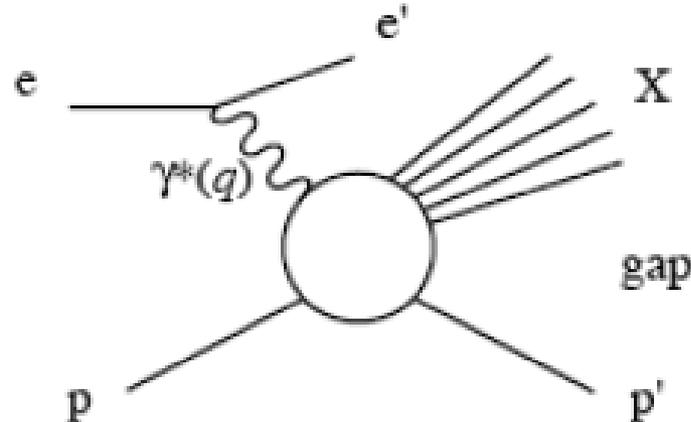


Probe the proton with a lepton beam  
 => Virtual photon ( $\gamma^*$ ) of resolution  $\sim 1/Q$

**Diffraction of subnuclear waves  
 at HERA [ $E_{cm}=320$  GeV]**

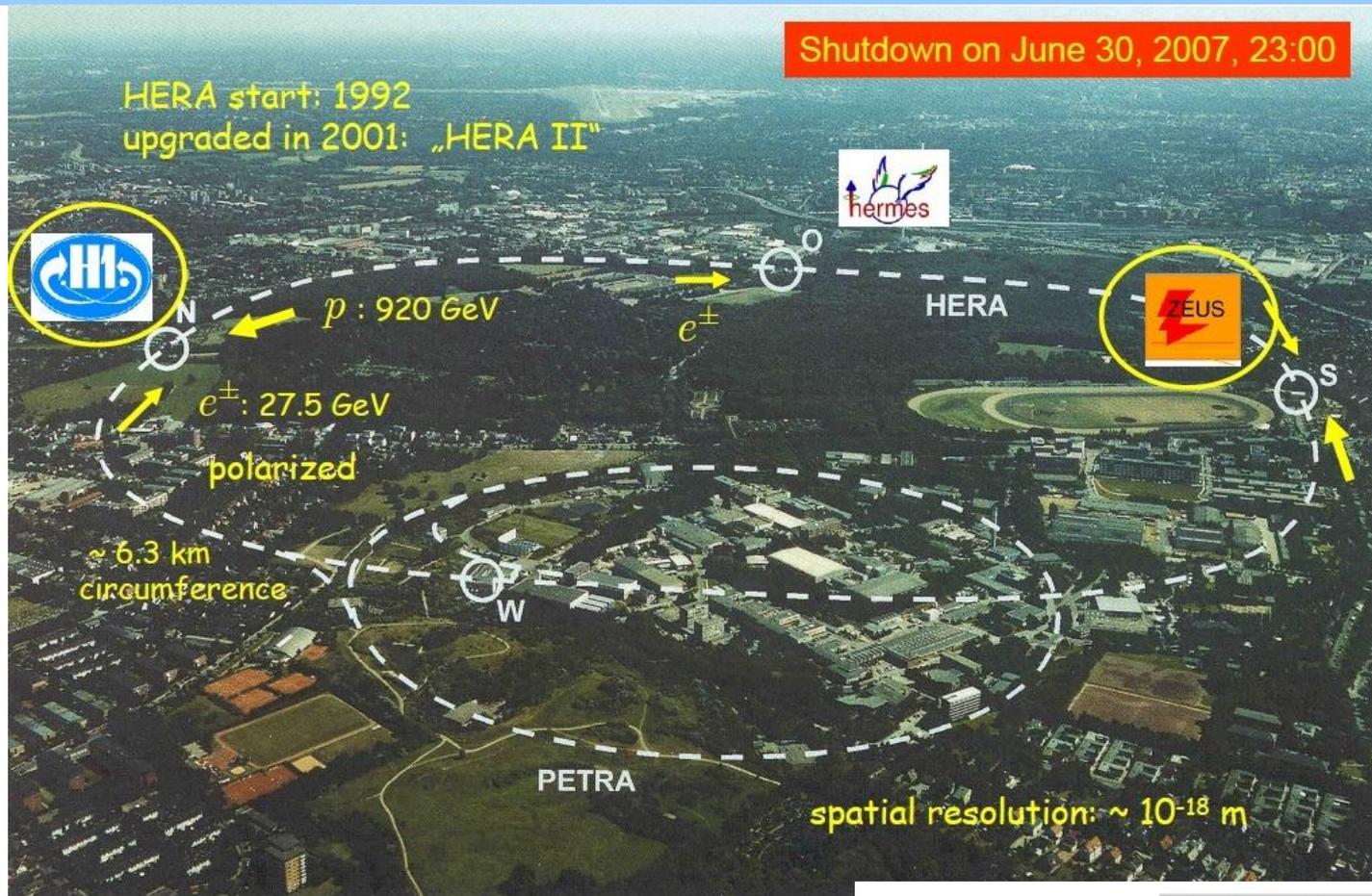


$$\gamma^* p \rightarrow X p$$



The proton is left intact (or quasi-intact)  
 \*\* Color singlet exchange  
 \*\* Presence of a GAP in rapidity  
 (between X and p')

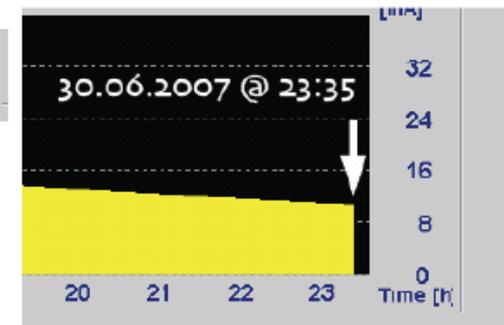
# HERA-DESY: 1992-2007



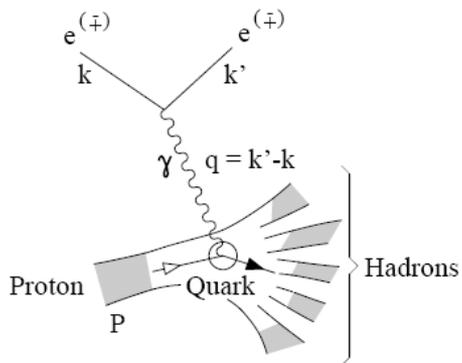
Total luminosity =  $1 \text{ fb}^{-1}$  for H1+ZEUS

350 collaborators per experiment (H1+ZEUS)  
+HERMES

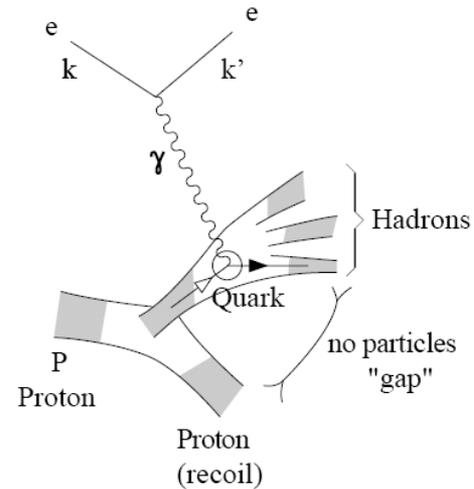
HERA e+  
Beam History



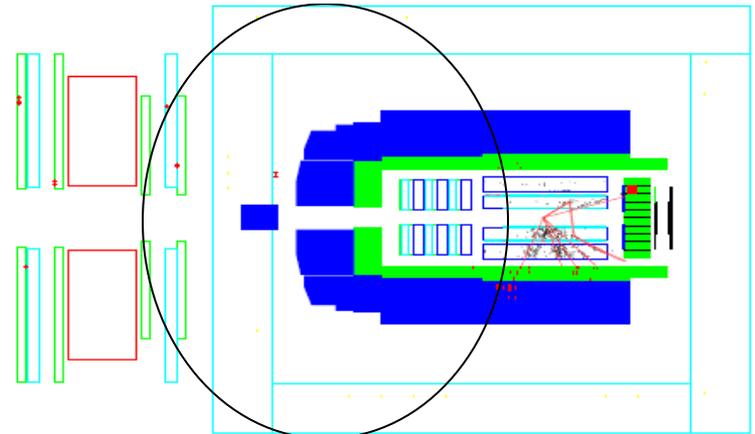
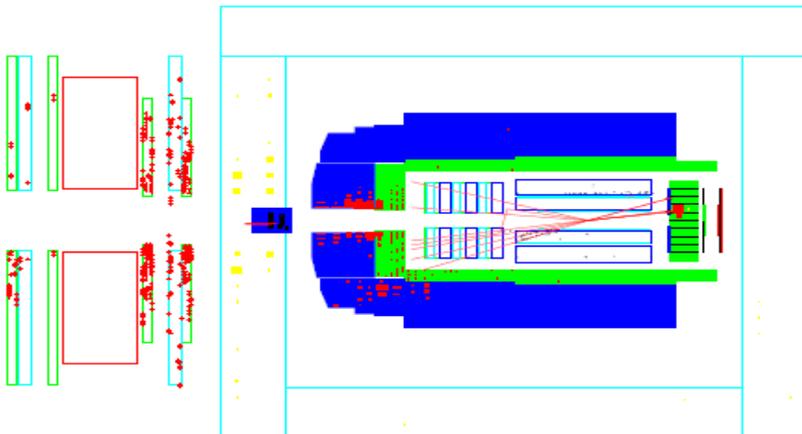
# Diffractive events are observed



Deep Inelastic Scattering (DIS)  $\Rightarrow F_2$



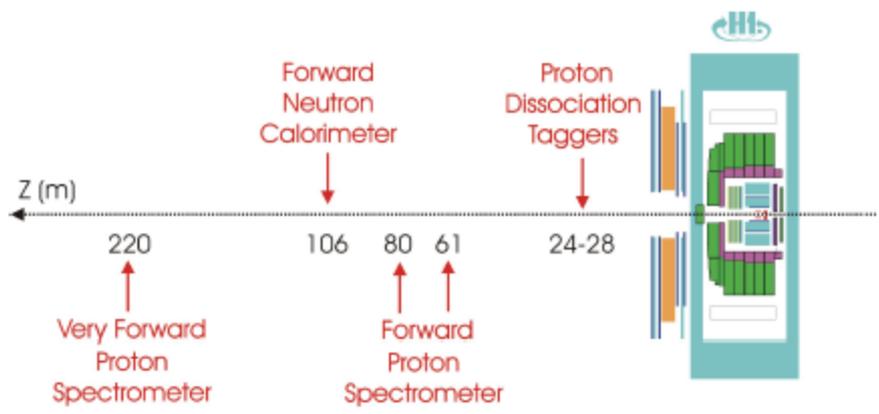
Diffractive Deep Inelastic Scattering (DDIS)  $\Rightarrow F_2^D$



This is the *GAP* with no particle

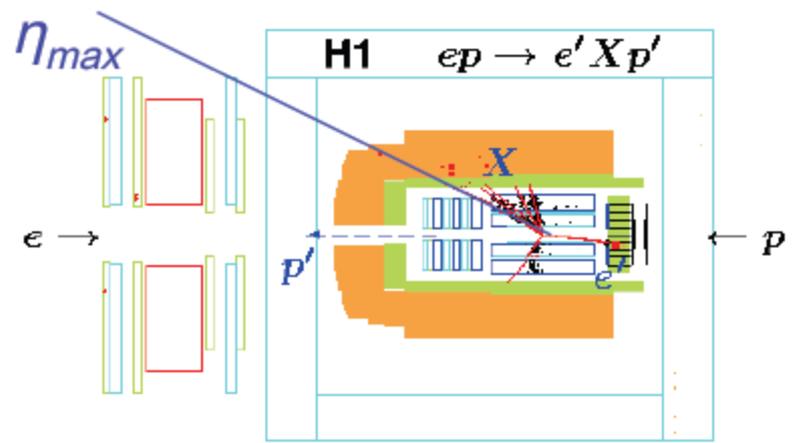
# Experimental selection methods

## Scattered proton in Leading Proton Spectrometers (LPS)



Limited by statistics and p-tagging systematics

## 'Large Rapidity Gap' (LRG) adjacent to outgoing (untagged) proton

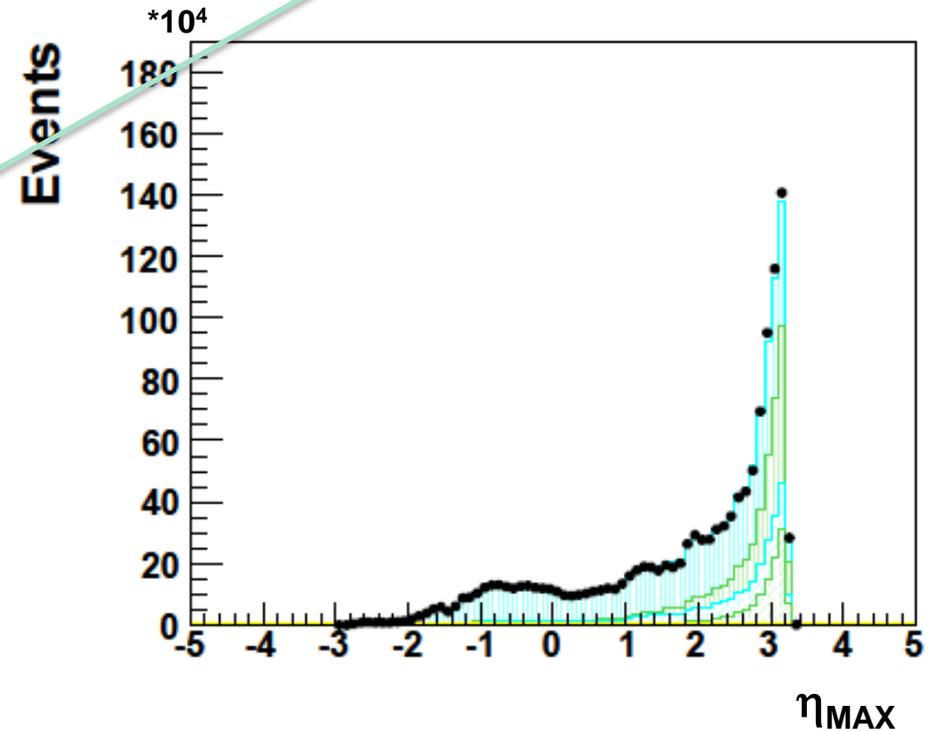
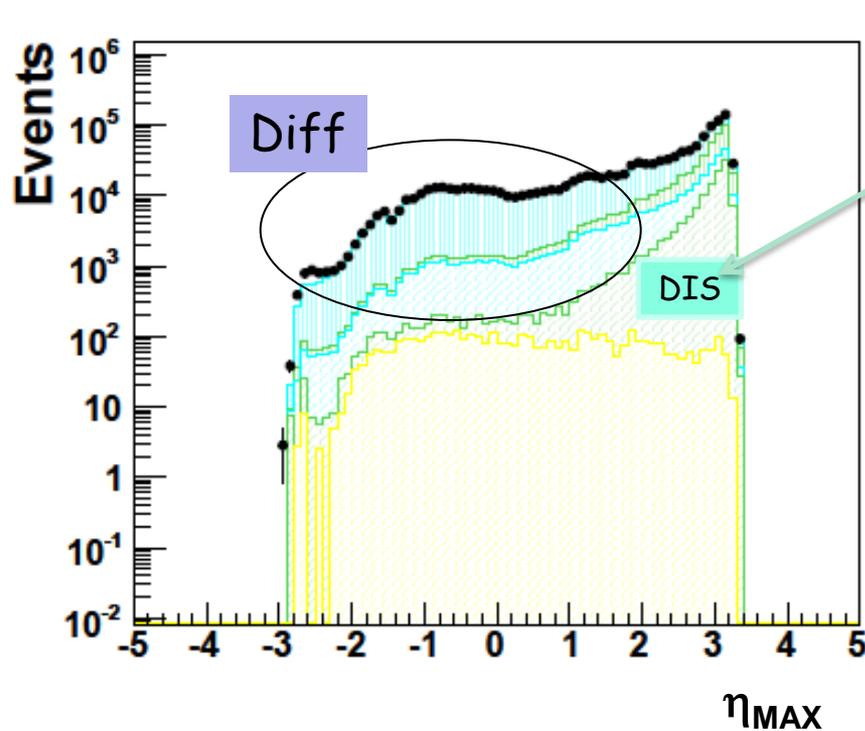


Limited by p-diss systematics

# Diff events are produced with a quite large rate

Lower  $\eta_{MAX}$  means that the GAP with no particle is large  
...illustration on all HERAII data (Lumi=330 pb<sup>-1</sup>)

- Data : 1241193
- MC : 1228063.125
- ▨ VM : 4290.088
- ▨ NC : 119402.484
- ▨ IPcha : 152679.438
- ▨ IR : 224555.609
- ▨ IPuds : 727135.438



# Why DIFF rate is large @ HERA (low x)?

*...certain (Fock) states of the virtual photon  $|\psi_k\rangle$  do not feel the the strong interaction, while others are strongly affected...*

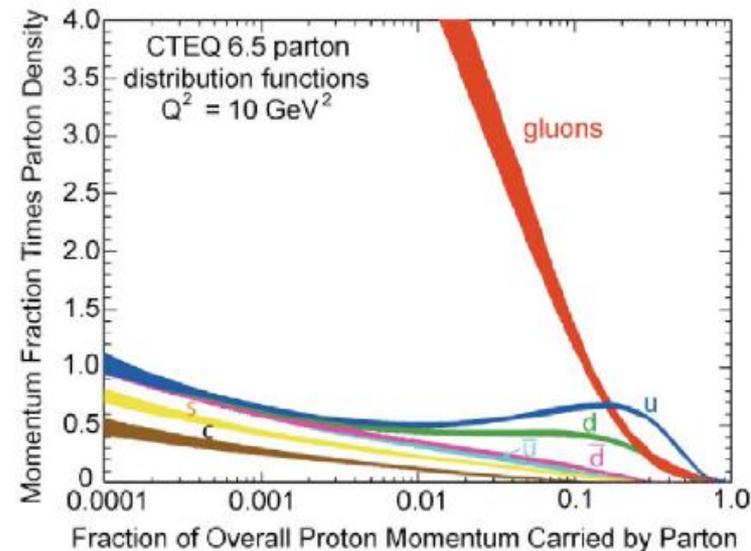
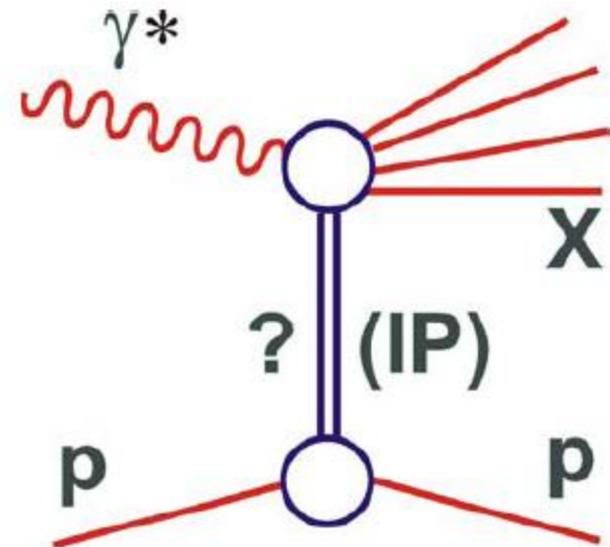
*=> Large fluctuations in the absorption coefficients of these states...*

**This is (obviously) linked to the dominance of the gluon density at small x.**



It finds a natural extension in the dipole approach:

$$T(b) \sim \alpha_S r^2 \times G(x, 1/r^2) / (\pi R^2) * \exp(-b^2/b_0^2)$$



# Kinematics and notations

## Standard DIS variables ...

$x$  = momentum fraction  $q/p$   
 $Q^2 = |\gamma^* \text{ 4-momentum squared}|$

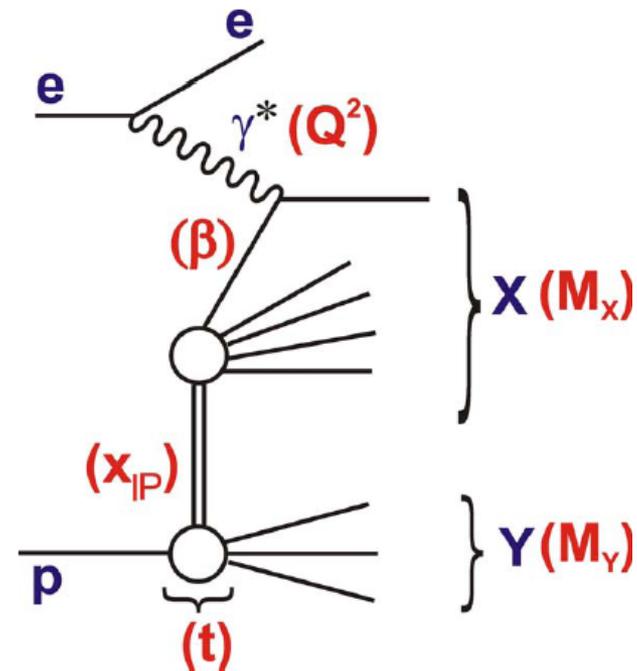
## Additional variables for diffraction ...

$t$  = squared 4-momentum transfer at proton vertex

$x_{IP}$  = fractional momentum loss of proton  
(momentum fraction  $IP/p$ )

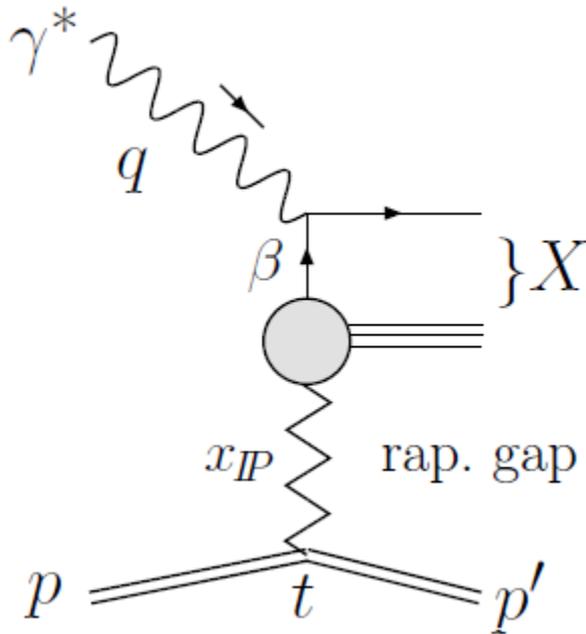
$\beta = x / x_{IP}$   
(momentum fraction  $q / IP$ )

Most generally  $ep \rightarrow eXY \dots$



In most cases here,  $Y=p$ ,  
(small admixture of low mass excitations)

# Diffractive cross sections (definition)

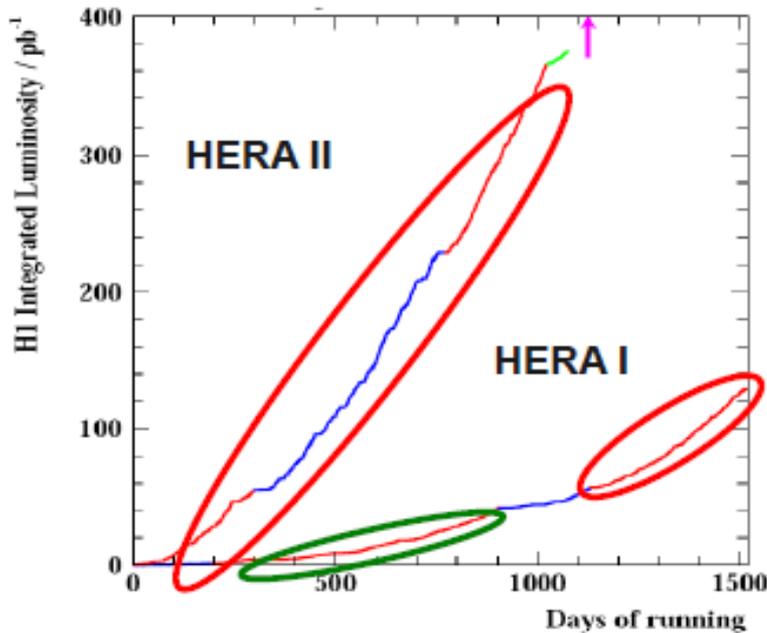


Select diffractive events  
 Correct for detector effects  
**Derive cross sections (// F2)**

$$\frac{d^3\sigma^D}{d\mathbf{x}_P d\beta dQ^2} = \frac{2\pi\alpha_{em}^2}{\beta Q^4} \left[ 1 + (1-y)^2 \right] \sigma_r^{D(3)}(\mathbf{x}_P, \beta, Q^2)$$

$$\sigma_r^{D(3)} = F_2^{D(3)} - \frac{y^2}{1 + (1-y)^2} F_L^{D(3)} \approx F_2^{D(3)}(\mathbf{x}_P, \beta, Q^2)$$

# H1 LRG samples



Data Set	$Q^2$ range (GeV <sup>2</sup> )	Proton Energy $E_p$ (GeV)	Luminosity (pb <sup>-1</sup> )
New data samples			
1999 MB	$3 < Q^2 < 25$	920	3.5
1999-2000	$10 < Q^2 < 105$	920	34.3
2004-2007	$10 < Q^2 < 105$	920	336.6
Previously published data samples			
1997 MB	$3 < Q^2 < 13.5$	820	2.0
1997	$13.5 < Q^2 < 105$	820	10.6
1999-2000	$133 < Q^2 < 1600$	920	61.6

[H1 Coll. EPJC28 (2006) 715]

- All H1 data samples now analysed → Increase in statistics of 3 to 30

↘ All combined into one single H1 LRG cross section set

↘ Total kinematic range:

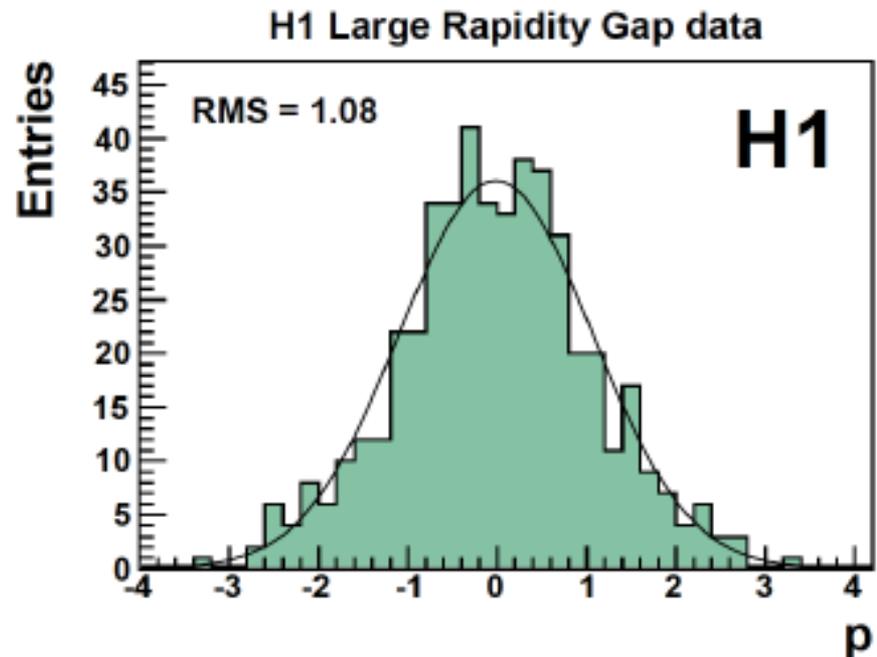
$$\begin{aligned}
 &3.5 < Q^2 < 1600 \text{ GeV}^2 \\
 &0.0017 < \beta < 0.8 \\
 &0.0003 < x_{IP} < 0.03
 \end{aligned}$$

# Combination of H1 LRG data

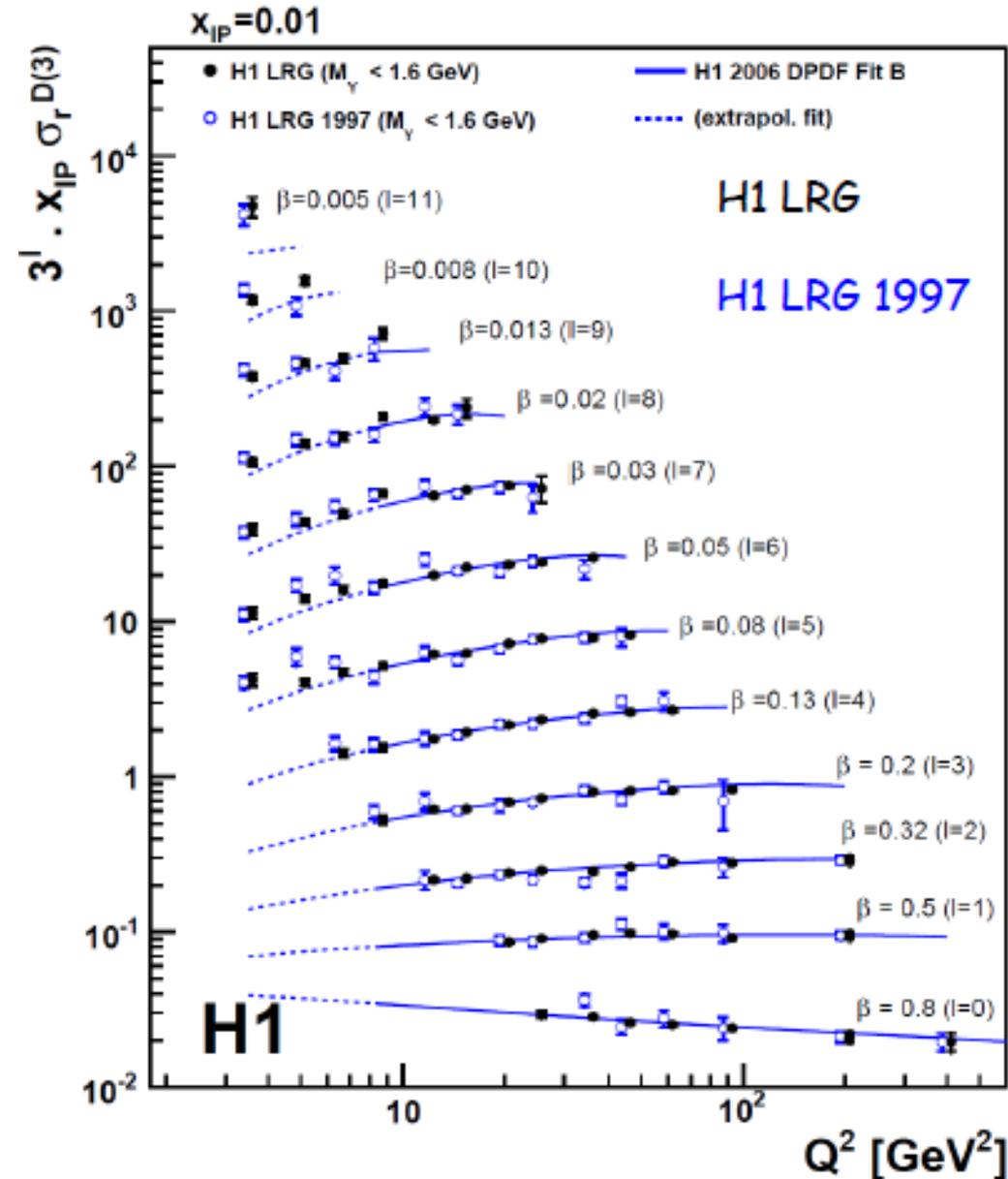
- Combine reduced cross sections from each data period
- Iterative  $\chi^2$  minimisation used
- Full error correlations considered
  - 597 data points averaged to 277 measurements
  - $\chi^2 / \text{ndof} = 371 / 320$

- Pulls of individual points to combined points

→ No large tension between data sets observed



# Combined H1 LRG cross section ( $F_2^D$ )[ $Q^2$ ]



- Example of  $Q^2$  dependence for  $x_{IP}=0.01$

→ Large reduction of statistical errors

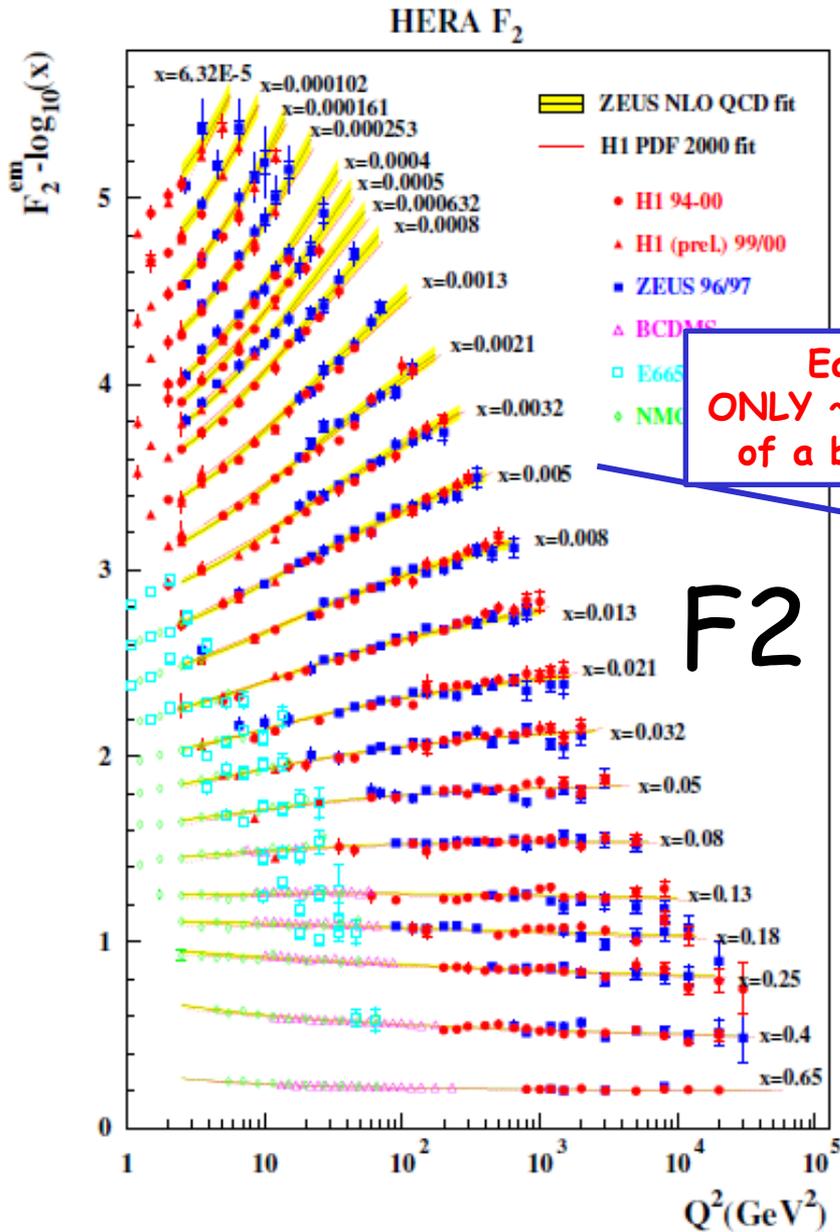
→ Typical precision for  $Q^2 > 12 \text{ GeV}^2$ :

1% (stat.)

5% (sys.)

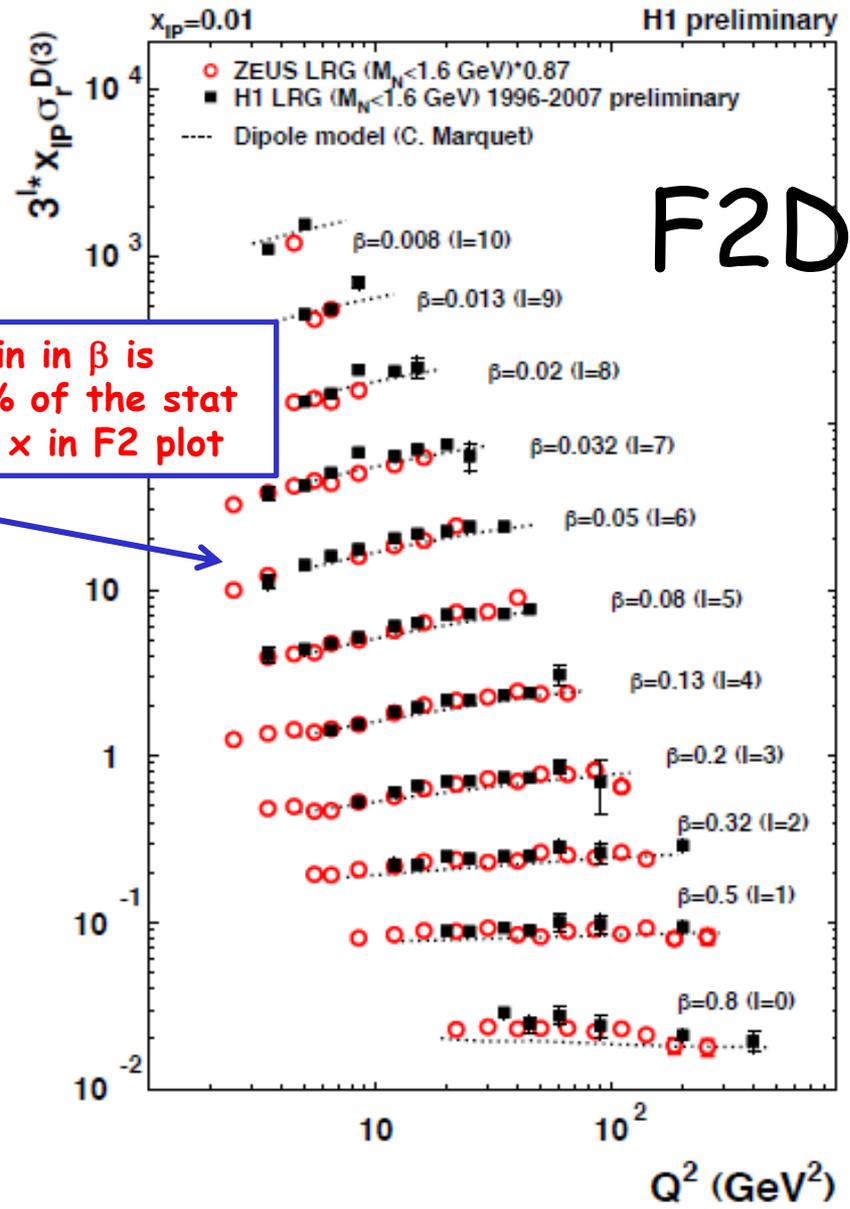
4% (norm.)

# F2 versus $F_2^D$



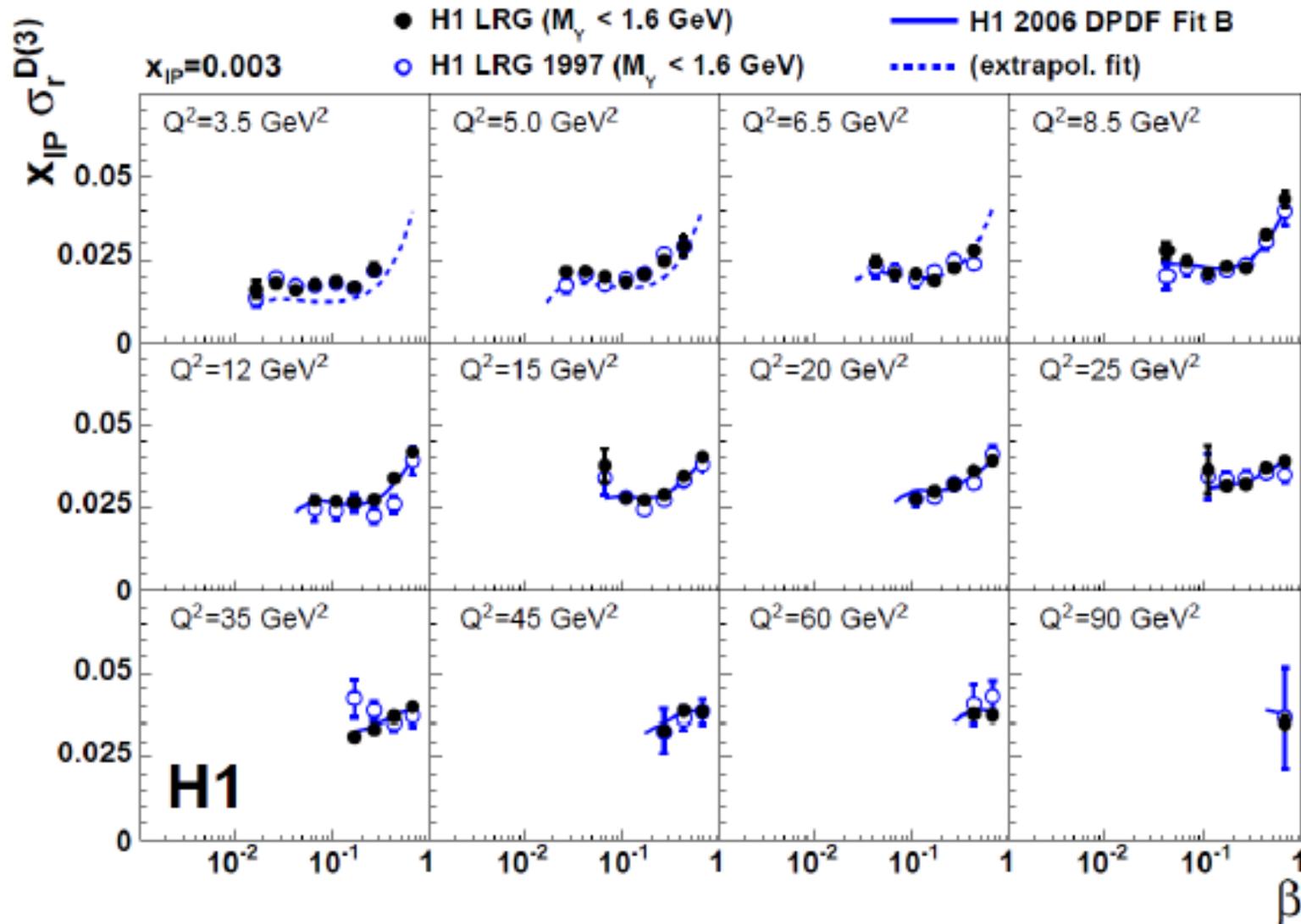
Each bin in  $\beta$  is ONLY ~1-2% of the stat of a bin in  $x$  in  $F_2$  plot

F2



F2D

# Combined H1 LRG cross section ( $F_2^D$ )[ $\beta$ ]



H1 LRG

H1 LRG 1997

# LRG versus p-tagged F2<sup>D</sup>

- Compare H1 LRG and FPS cross sections

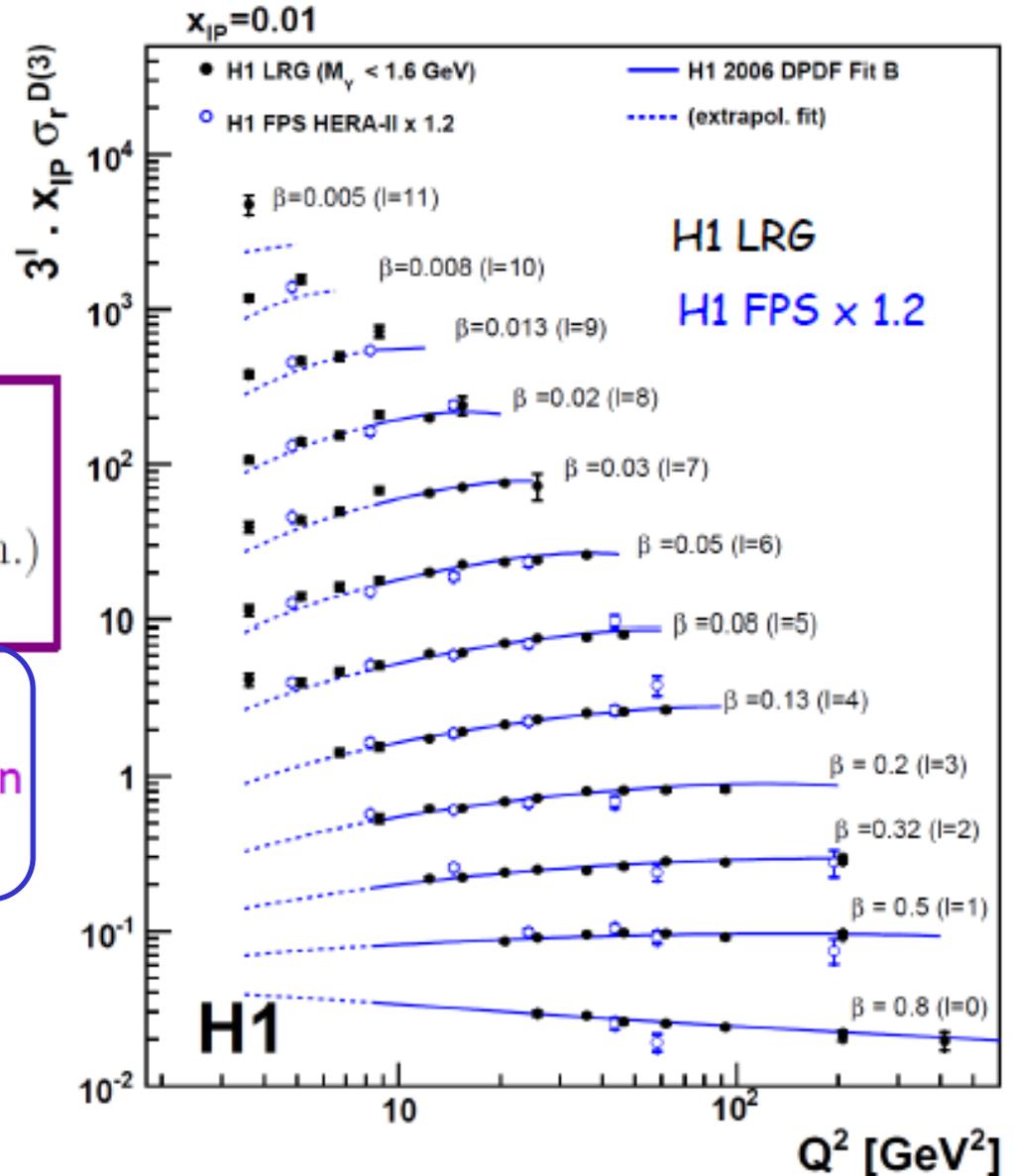
→ Ratio LRG / FPS :

$$\frac{\sigma(M_Y < 1.6 \text{ GeV})}{\sigma(Y = p)} = 1.203 \pm 0.019(\text{exp.}) \pm 0.087(\text{norm.})$$

(1.6%)                      (7.2%)

→ Experimental control of the amount of proton dissociation in LRG data

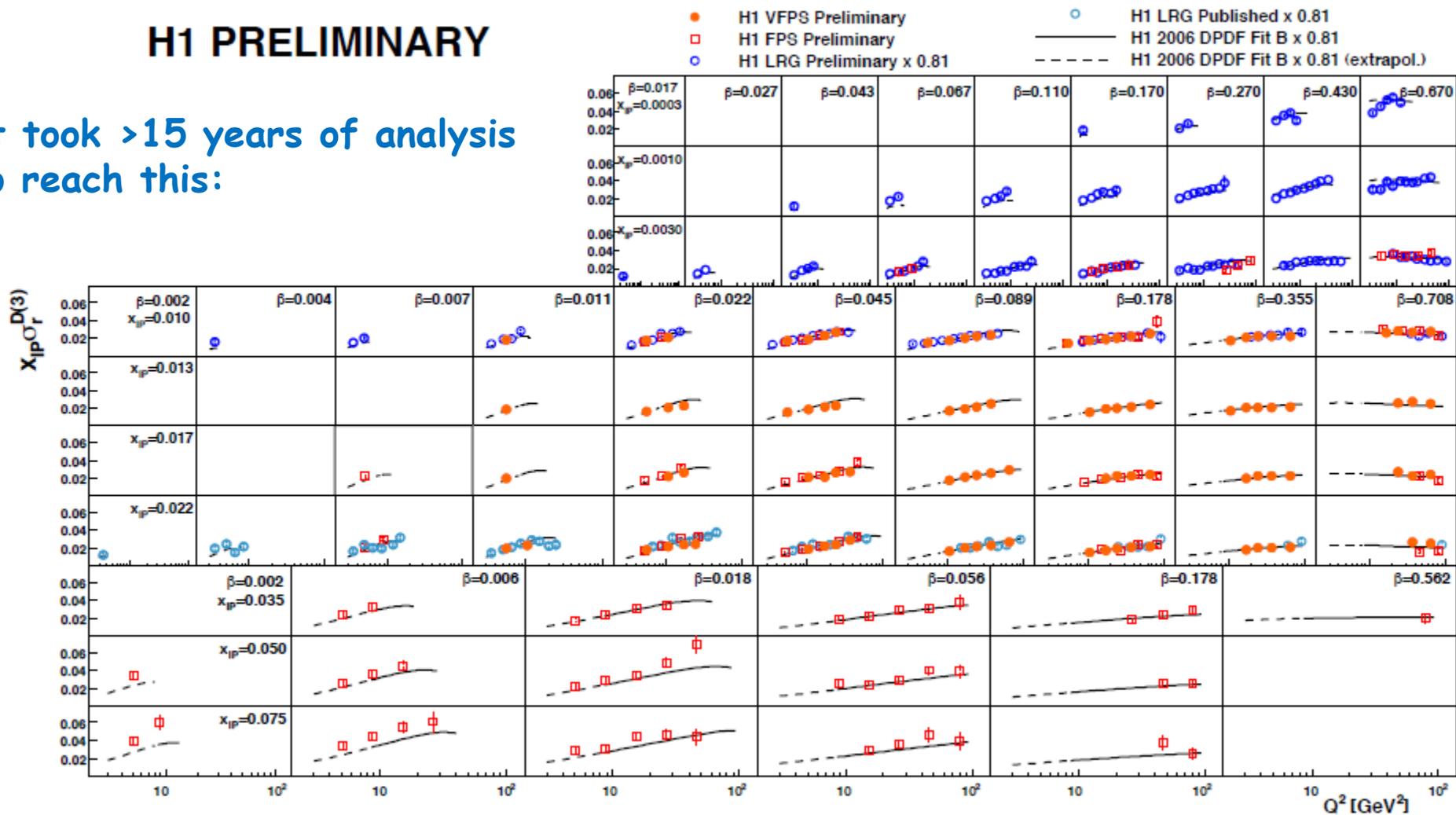
→ No  $\beta$  or  $Q^2$  dependent differences observed



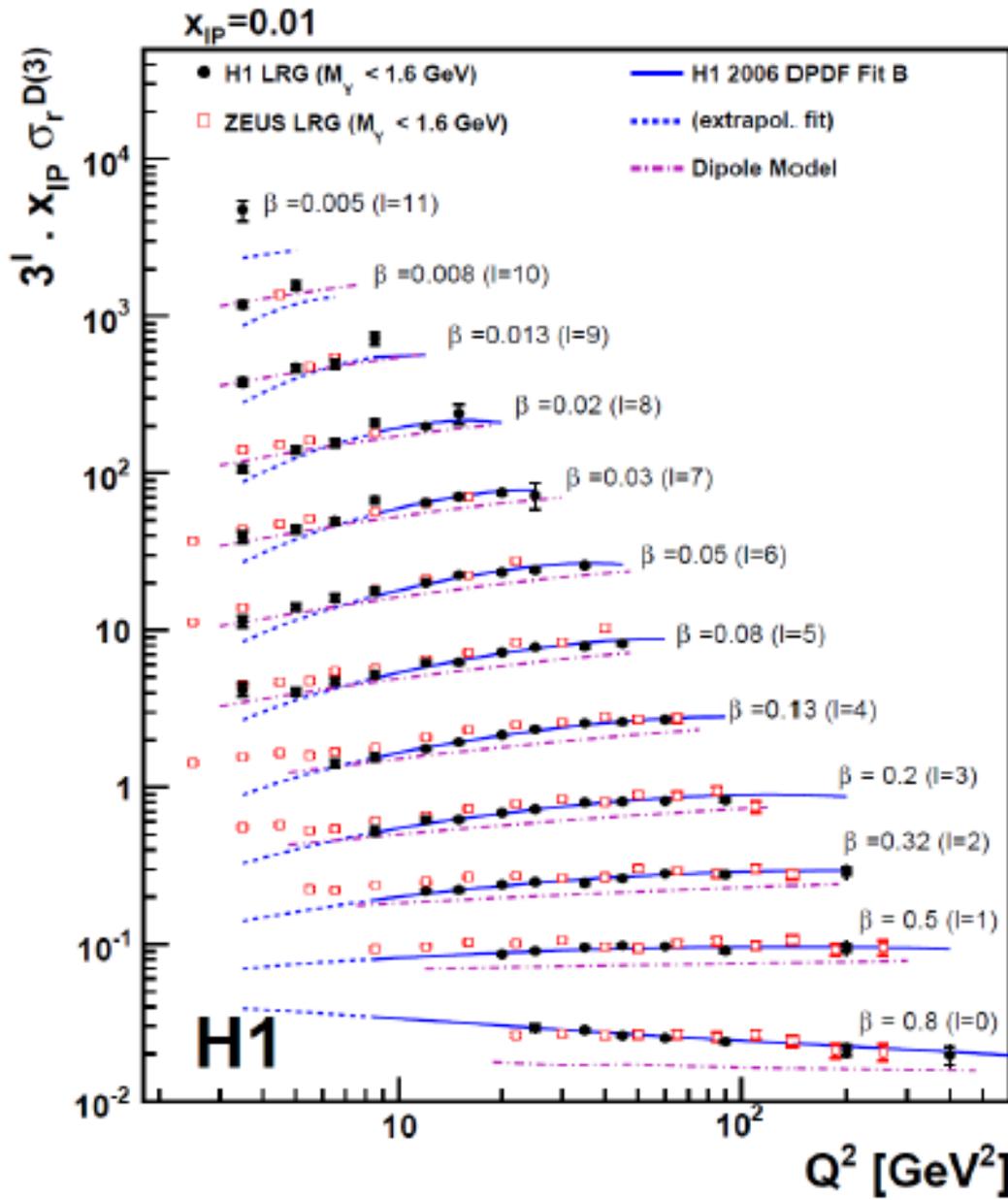
# Experimental summary for H1 $F_2^D$

## H1 PRELIMINARY

It took >15 years of analysis to reach this:



# H1 and ZEUS data on F2<sup>D</sup>



H1 LRG ( $M_Y < 1.6 \text{ GeV}^2$ )

ZEUS LRG ( $M_Y < 1.6 \text{ GeV}^2$ )

- ZEUS data rescaled to  $M_Y < 1.6 \text{ GeV}^2$

[ZEUS Coll. NPB816 (2009) 1]

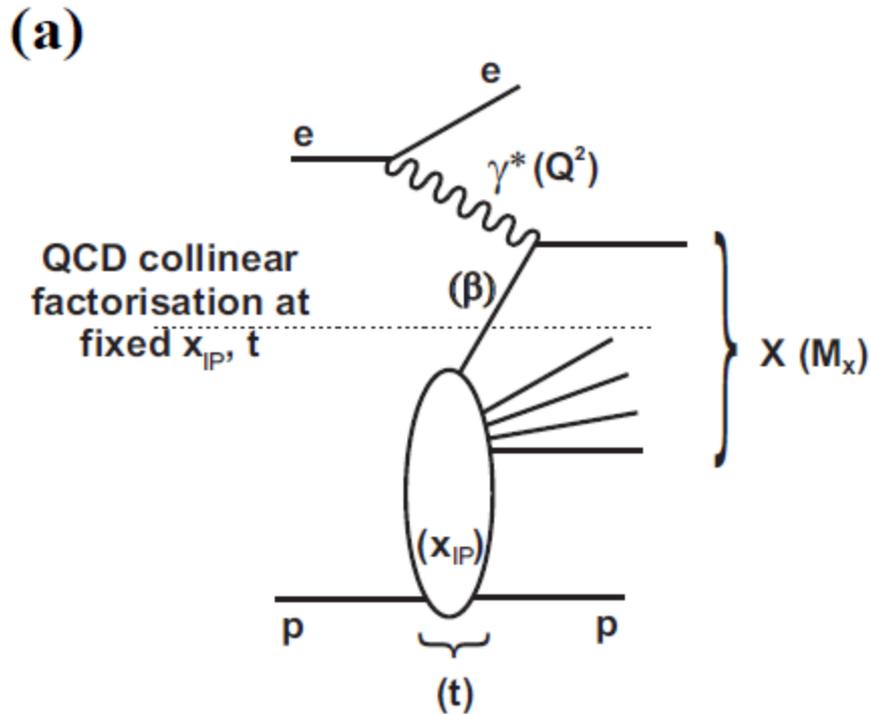
- General overall agreement
- Overall ~10% normalisation difference

→ Within normalisation uncertainties of each measurement

- Comparison sensitive to systematics effect

# QCD and diffraction (a)

Collinear factorisation in inclusive diffraction [Collins '98]



$$F_2^{D(3)} = \sum_{a=q,g} C_{2,a} \otimes a^D + \mathcal{O}(1/Q)$$

$C_{2,a}$  are the same coef functions as  
in inclusive DIS  
 $a^D = zq^D$  or  $zg^D$  satisfy DGLAP evolution in  $Q^2$

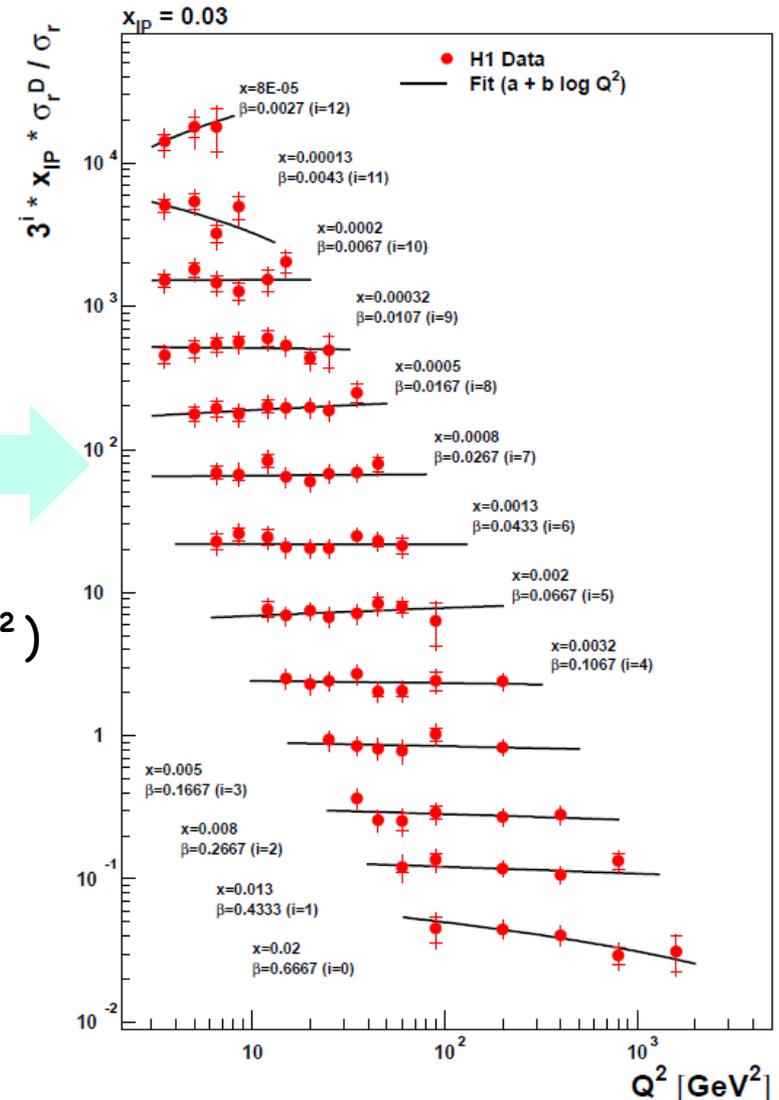
$$\frac{\partial a^D}{\partial \ln Q^2} = \sum_{a'=q,g} P_{aa'} \otimes a'^D$$

# experimental support of the Collins factorisation

Look at the ratio of the diffractive to inclusive cross section

Observation:  $Q^2$  dependence approximately similar for diff and incl...

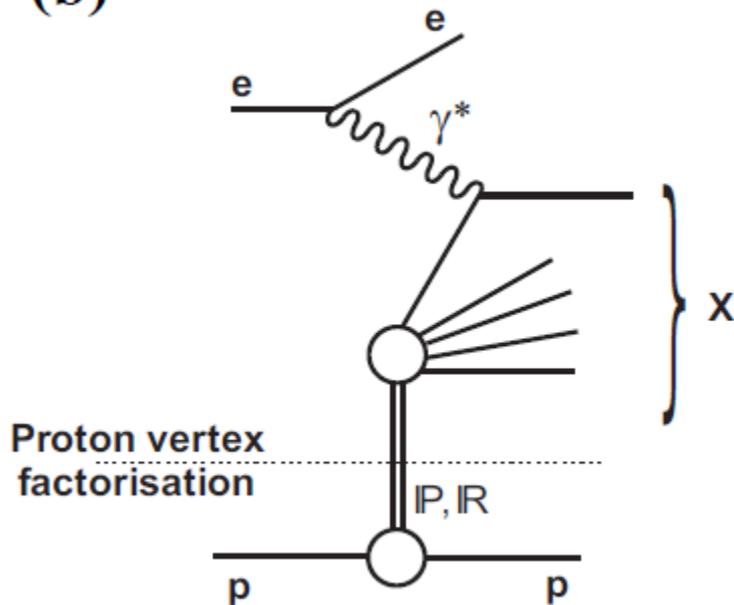
Support the fact that evolution equations( $Q^2$ ) can be applied for diff...  
(// standard inclusive F2)



# QCD and diffraction (b)

'so-called' Regge factorisation (hypothesis) [Ingelman-Schlein]

(b)



Assume:

$$a^D(x_P, z, Q^2) = f_P(x_P) a^P(z, Q^2)$$

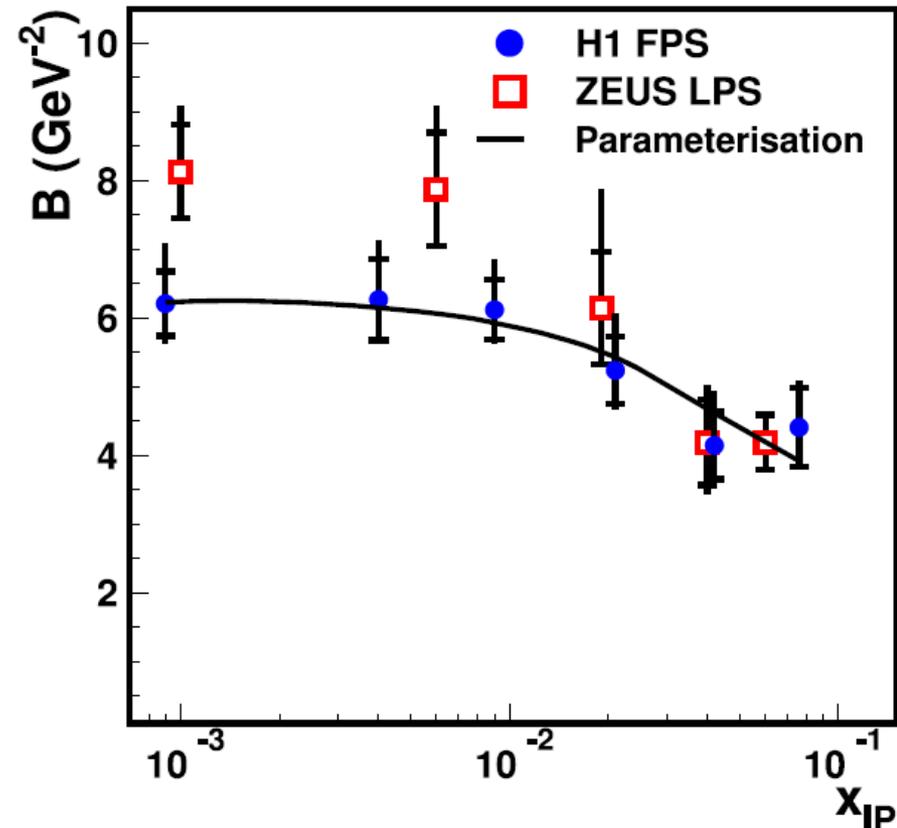
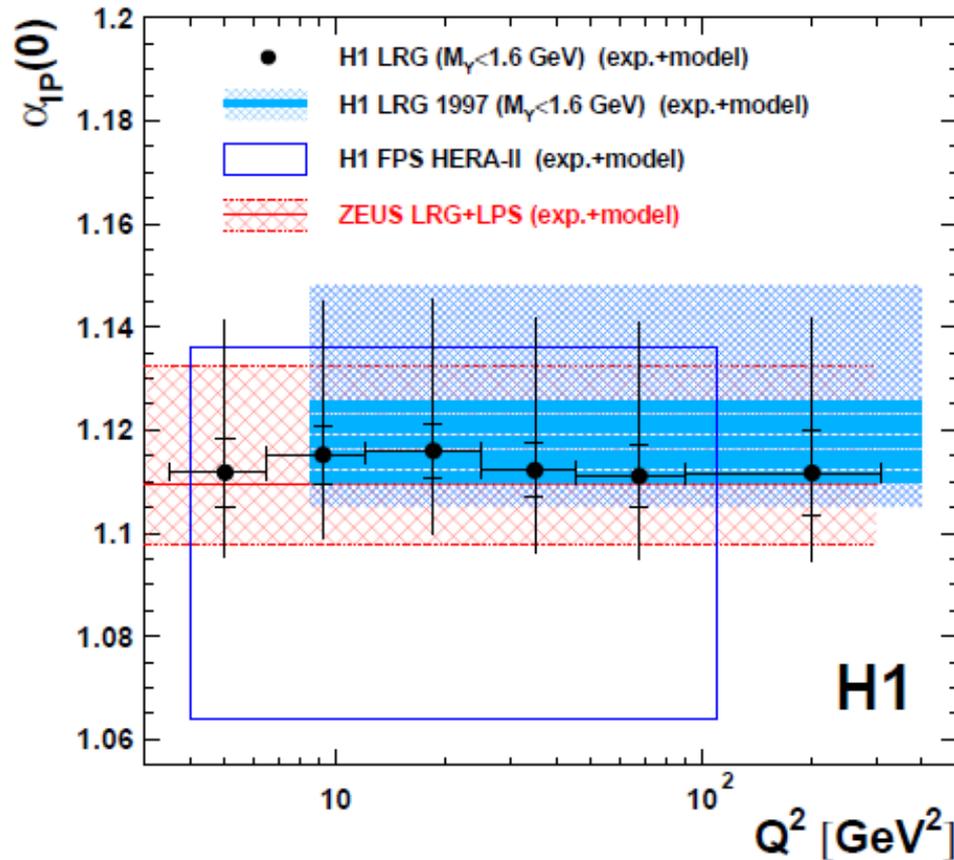
with

$$f_P(x_P) = \int_{t_{\text{cut}}}^{t_{\text{min}}} dt e^{B_P t} x_P^{1-2\alpha_P(t)}$$

Parameters of the Pomeron flux function also determined from data...

From data:  $\alpha_{IP} \sim 1.11$  and  $B \sim 6 \text{ GeV}^{-2}$

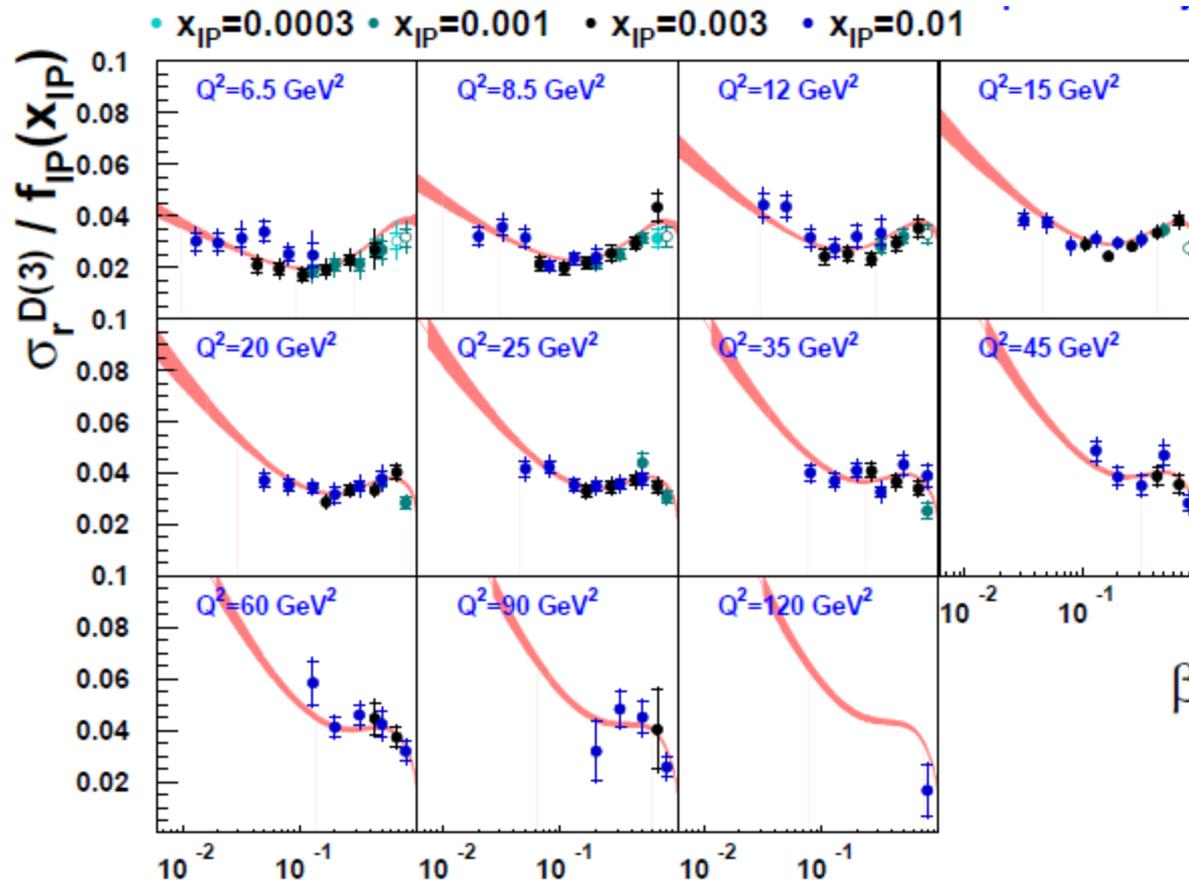
# $\alpha_{IP}$ and t-slope determinations



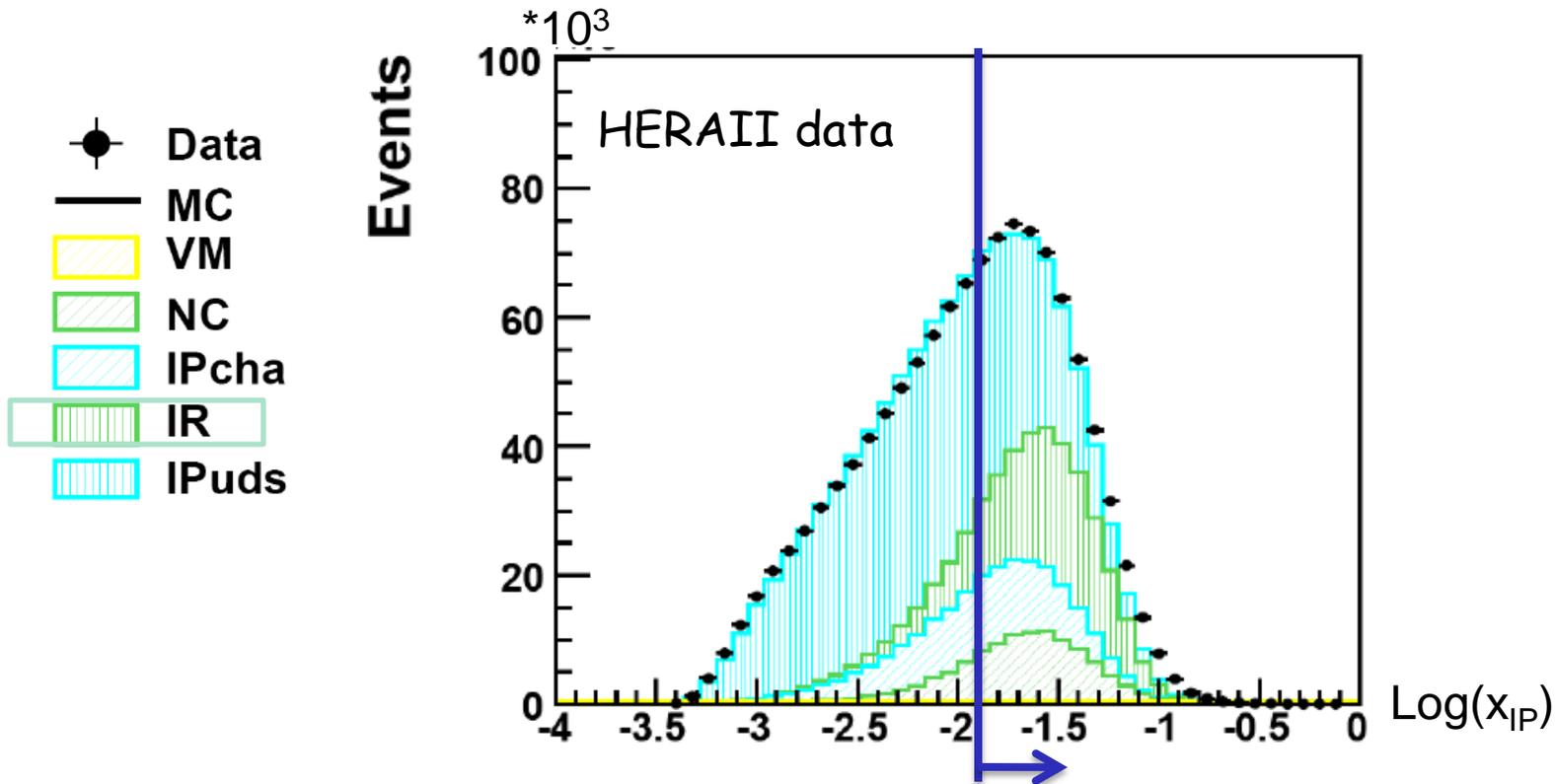
# Why the « Regge » factorisation is reasonable?

$$a^D(x_P, z, Q^2) = f_P(x_P) a^P(z, Q^2)$$

This means that if we divide  $F_2^D$  by  $f_{IP}(x_{IP})$  the dependence in  $(z=\beta, Q^2)$  must be the same for all  $x_{IP}$  values (small  $x_{IP} < 10^{-2}$ )...



# Large $x_{IP}$ and sub-leading exchange



$x_{IP} > 0.01 \Rightarrow$  contribution of Reggeons (IR) starts increasing (sub-leading exchange w.r.t. IP)  
This is an irreducible background...

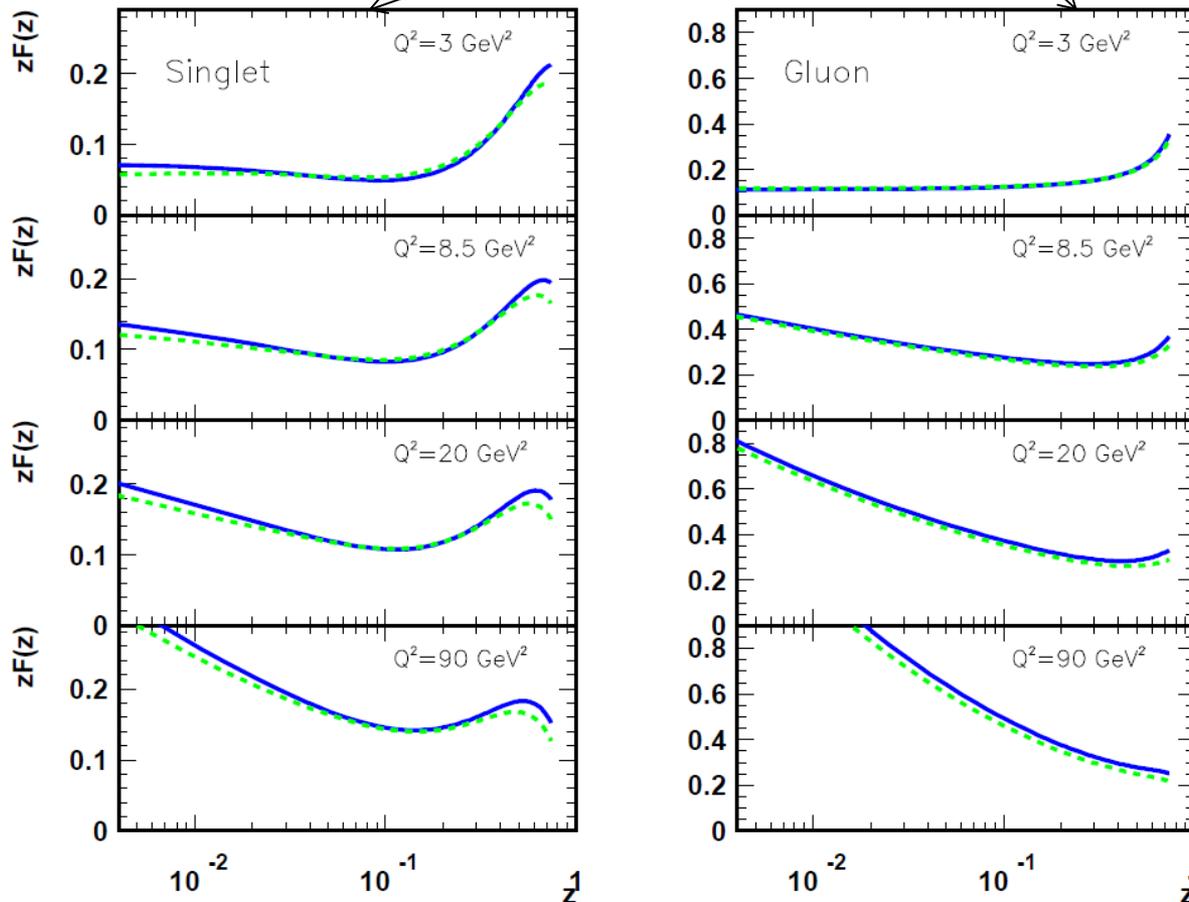
These IR lie on the approximately degenerate trajectory  $\alpha_{IR}(t) \approx 0.55 + 0.9t$

...carry the quantum numbers of the  $\rho, \omega, a$  or  $f$  meson

...it is assumed that these exchanges can be expressed as the product of a flux and a meson structure function

# Diffractive PDFs

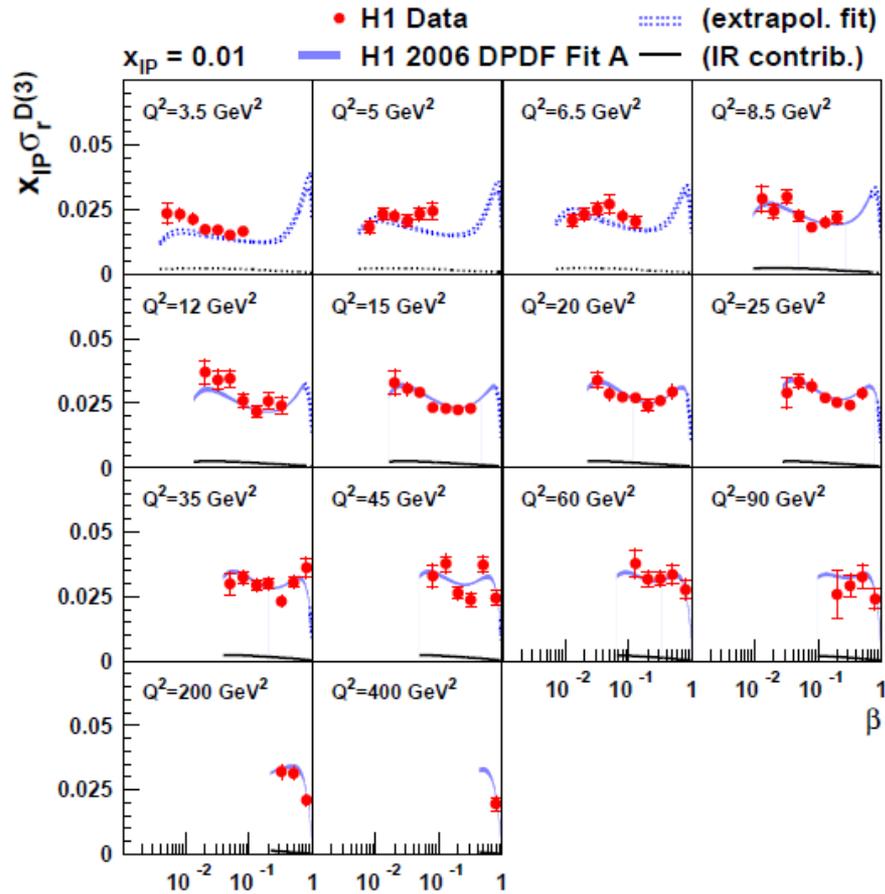
$$F_2^{D(3)} = \sum_{a=q,g} C_{2,a} \otimes a^D + \mathcal{O}(1/Q) + \text{sub-leading exchange}$$



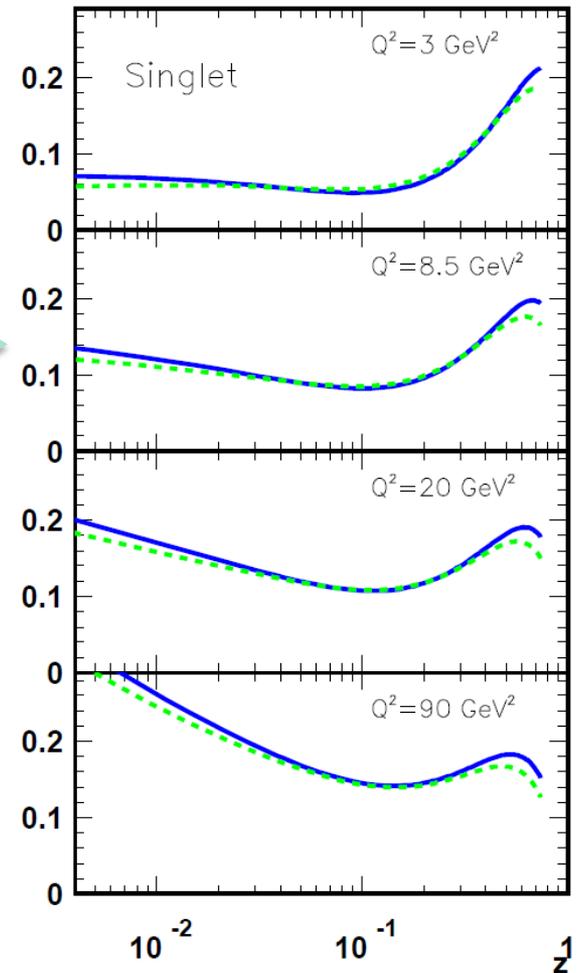
Large gluon fraction  
 $\sim 70\%$  for  $Q^2 > 10 \text{ GeV}^2$   
*(integrated over  $z$ )*

# Fit results[ $\beta$ ]

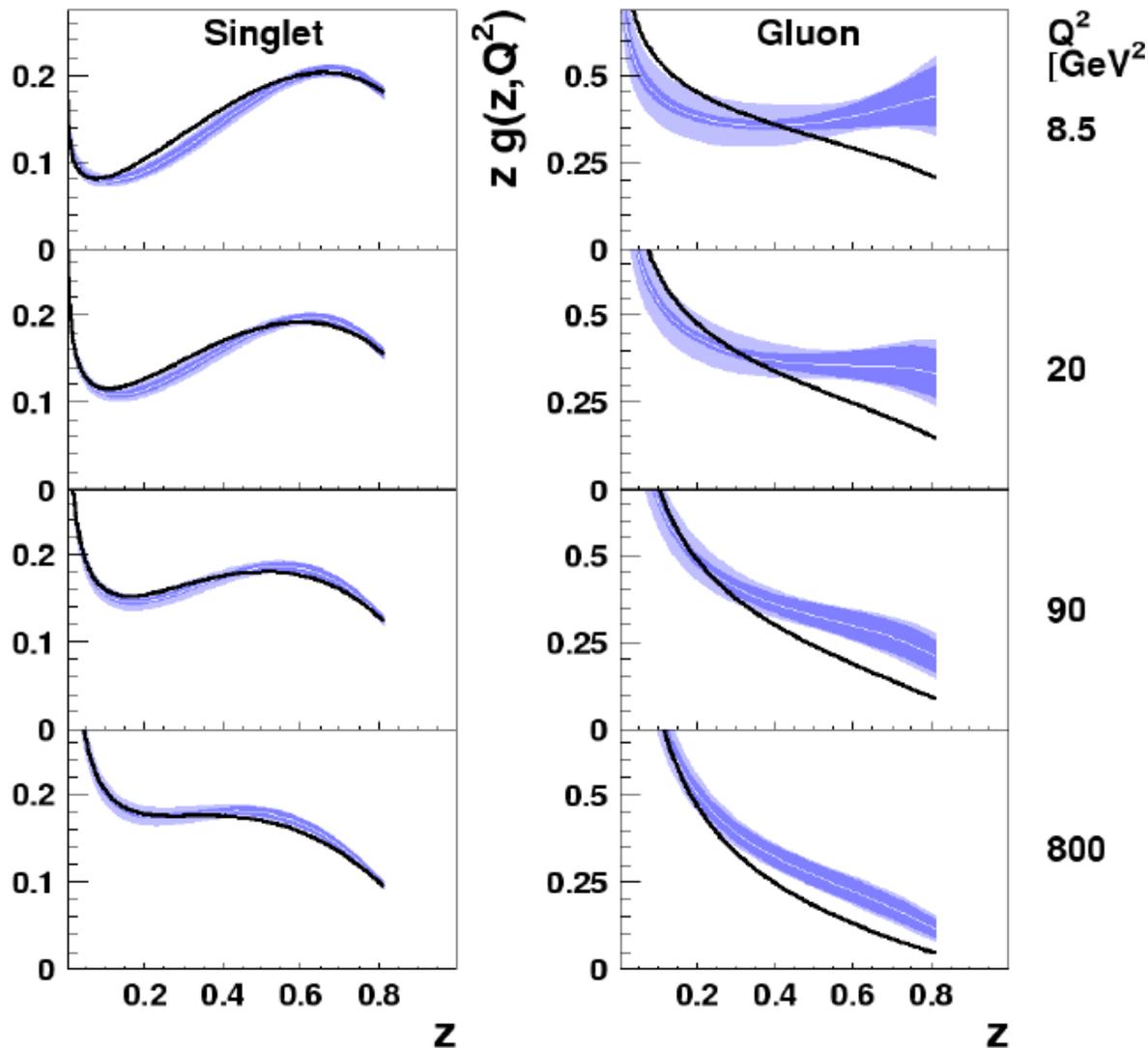
for  $x_{IP}=0.01$



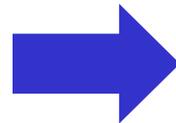
$\longleftrightarrow$   
 Shapes( $\beta$ )  
 compatible  
 as it must be



# Diffractive PDFs (H1) from F2D only

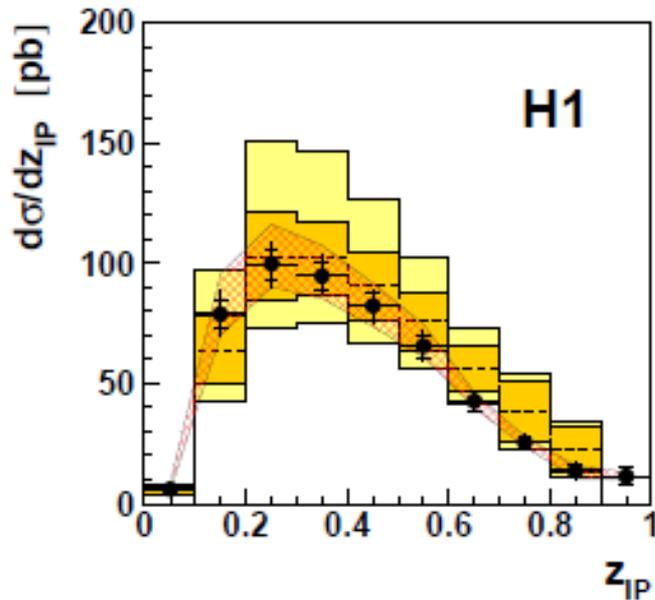
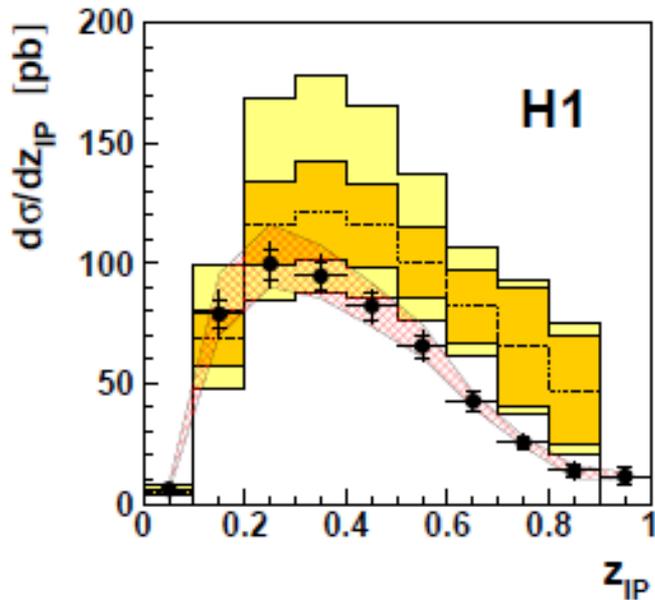
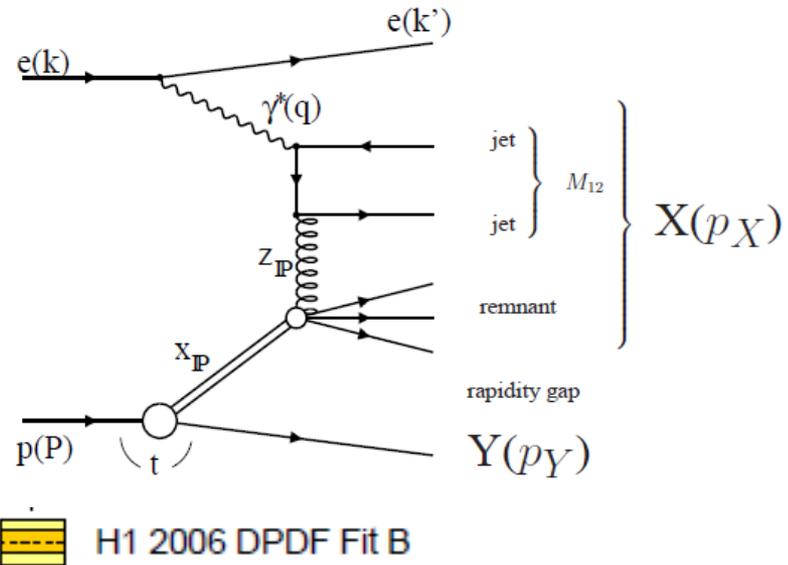


The ambiguity on the gluon distribution can be solved by including Dijets cross sections

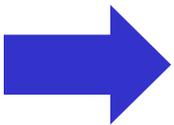


# Diffractive dijets at HERA

Clear sensitivity to the  $zG$  hypothesis:  
 Fit A: large  $z$  'zG' solution of QCD fits  
 Fit B: smaller 'zG' at large  $z$

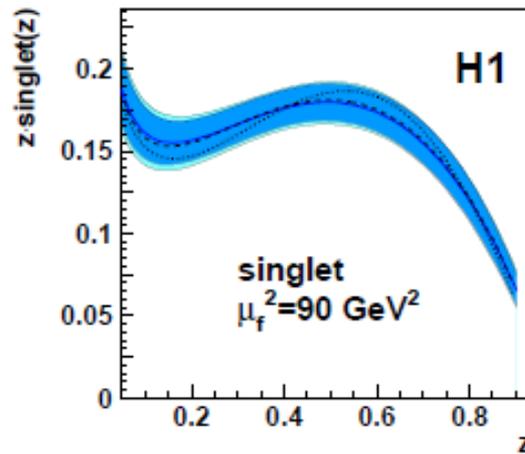
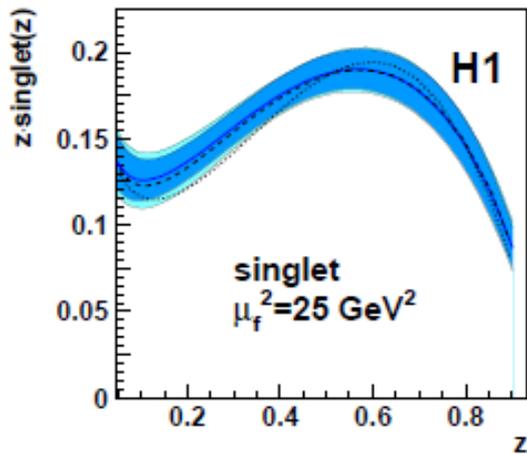


Use these data in the QCD fit in addition to F2D



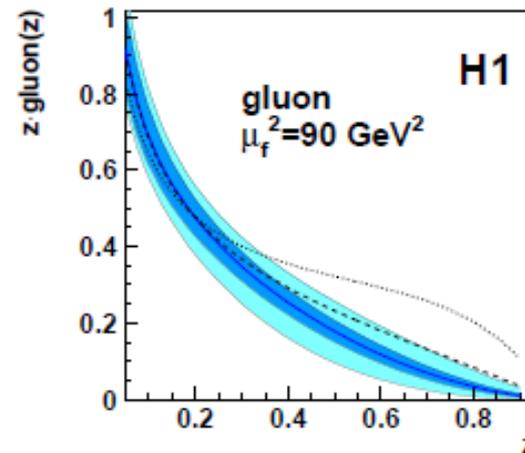
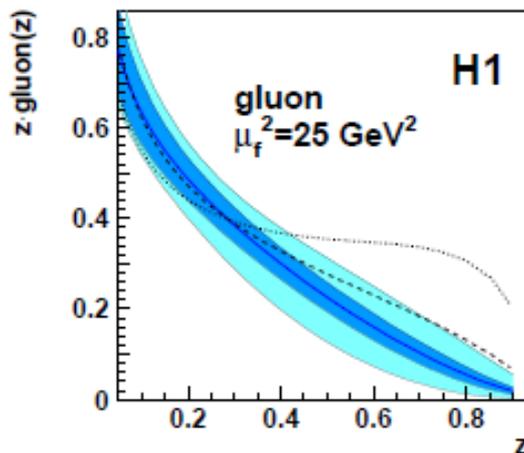
# Diffractive PDFs including jets

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- - - H1 2006 DPDF fit A
- - - H1 2006 DPDF fit B

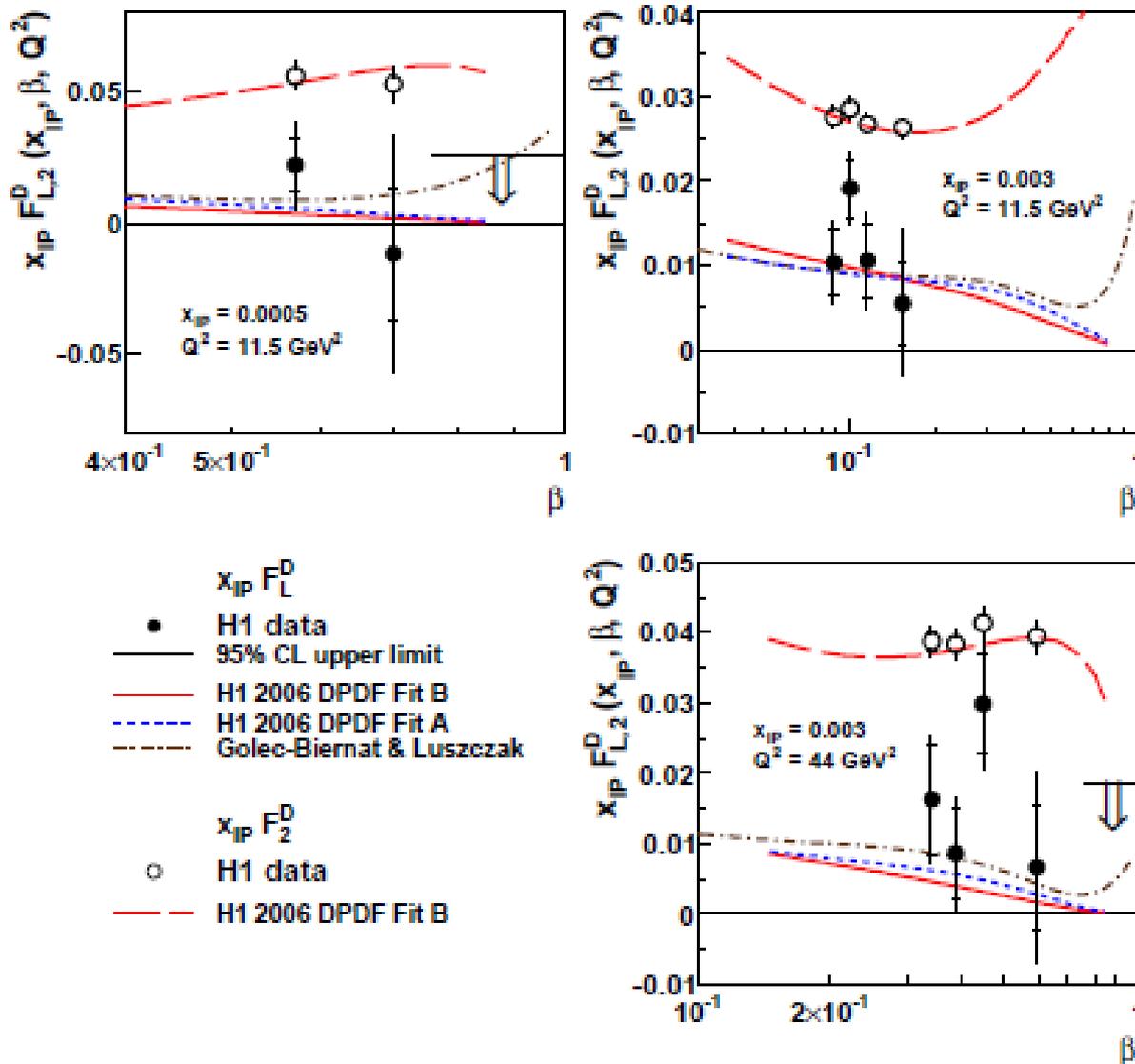


Now  $zG$  is well constrained at large  $z$

Compatibility with dPDFs including F2D only is shown on the figure...



# Add-up: $FL^D$ measurements



I can not describe the measurement, it would need a dedicated presentation.

In the context of this Talk => we can check the size of FLD versus F2D and the good description by the diffractive PDFs (thus zG)...

# Conclusion -1-

**19 years after first HERA diffractive events ...**

H1 released its final LRG cross section measurement

→ A precision measurement

→ Reduced statistical and systematic errors

[H1 Coll. arXiv:1203.4495]

- Amount of proton dissociation: 20%
- New constraints for QCD models
- Data support the proton-vertex factorisation hypothesis
- Overall general agreement with ZEUS LRG data

Outlook: all HERA LRG data combination ?

this is a nice project that will take time

Still a bunch of results to come from measurements using the VFPS

# Conclusion -2-

**19 years after first HERA diffractive events ...**

H1 released its final LRG cross section measurement

→ A precision measurement

→ Reduced statistical and systematic errors

[H1 Coll. arXiv:1203.4495]

Thanks to all H1 members  
who worked during years  
to make this measurement possible