

# Real W and Z boson production at HERA

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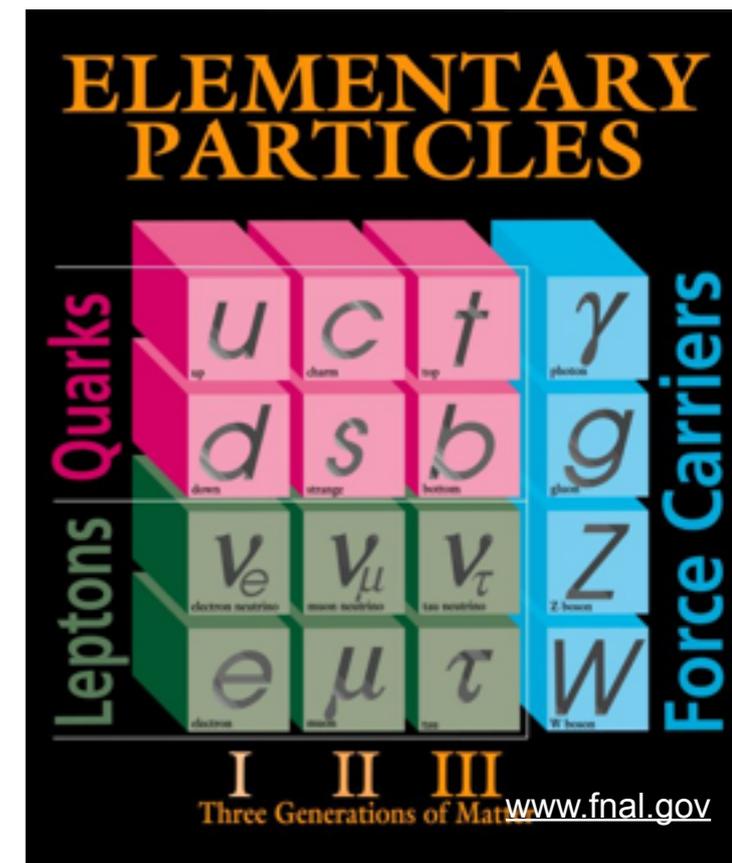
**2nd International Conference on New Frontiers in Physics (ICNFP2013)**

5th Sep 2013



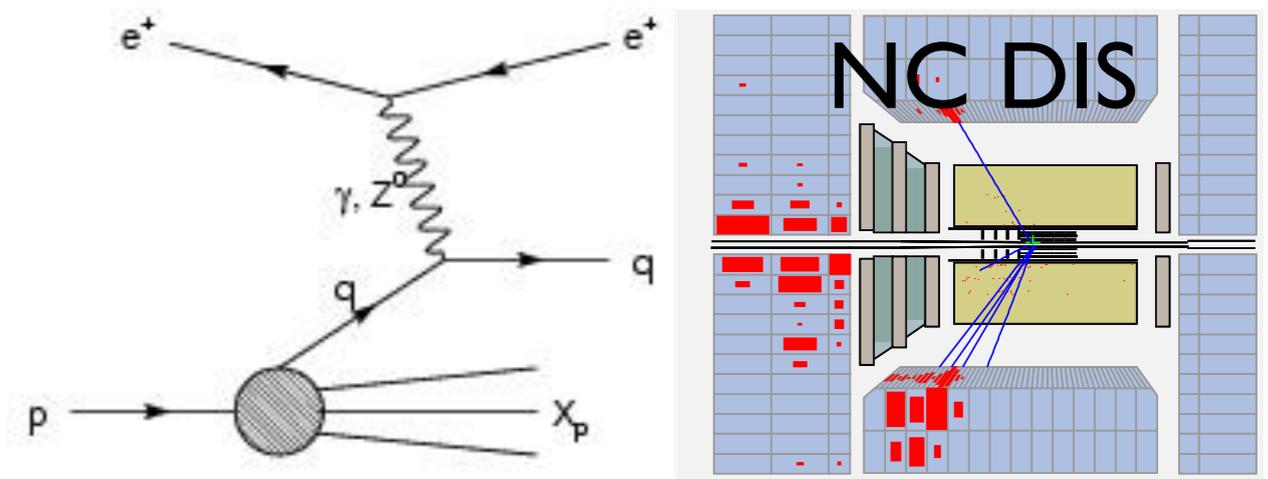
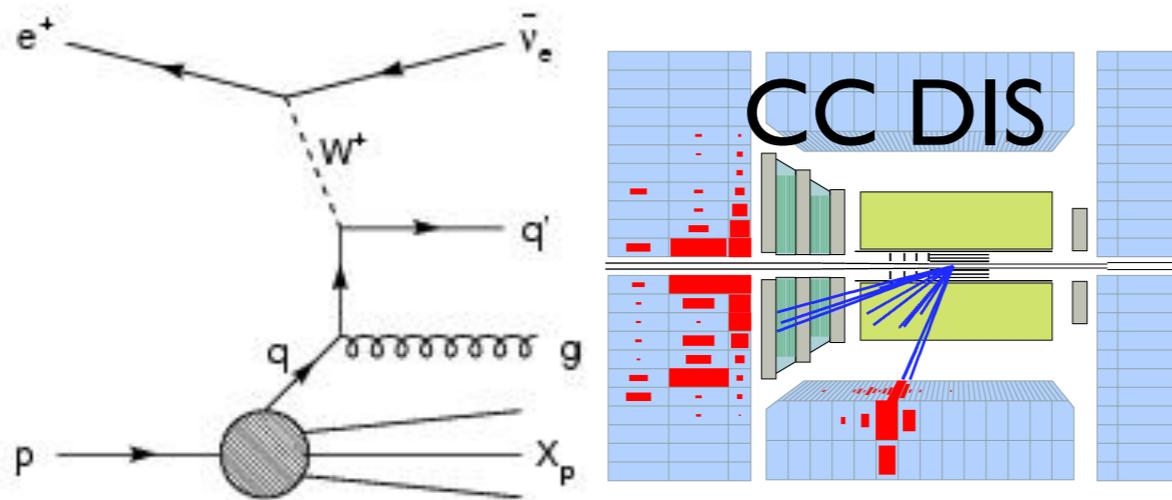
# Introduction

- The **Standard Model** (SM):  
excellent description of fundamental **particles** and **interactions** among them by Gauge theory
  1. electro magnetic (EM) interaction
  2. weak interaction
    - ※ unification of EM and weak interactions;  
electro-weak interaction.
  3. strong interaction (QCD)
- Tested for long time by many experiments and **good agreement** between data/theory
- **W and Z boson**: mediate weak interaction. Spin=1
  - $W^{\pm}$  :  $m \sim 80 \text{ GeV}$ , charged current (CC)
  - $Z^0$  :  $m \sim 90 \text{ GeV}$ , neutral current (NC)



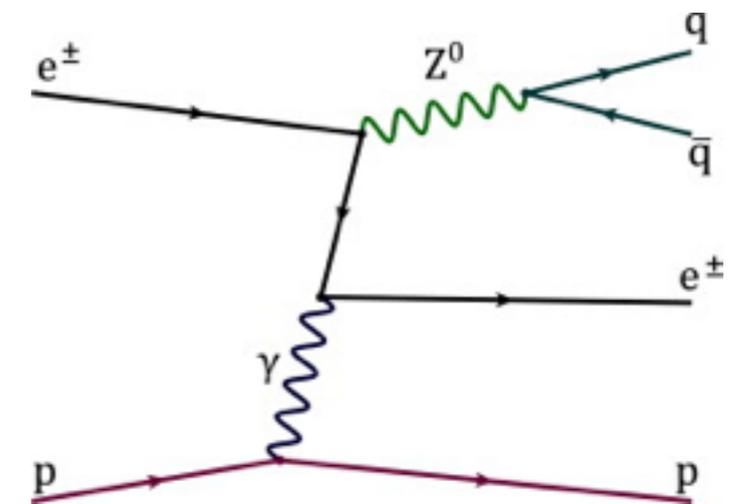
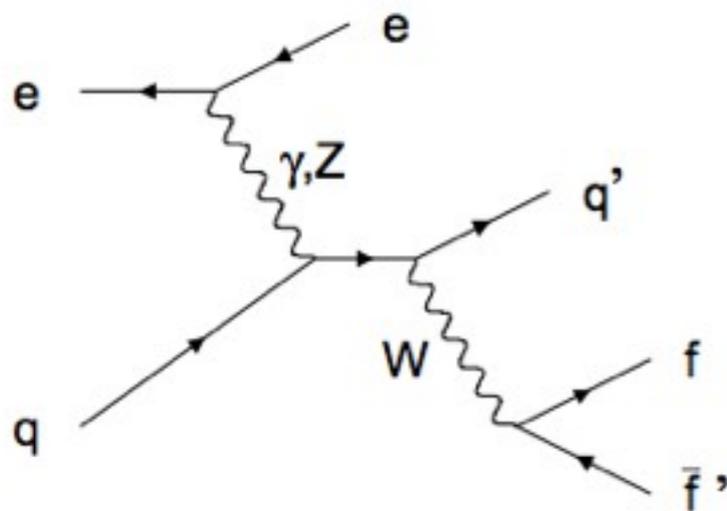
# Weak bosons at HERA ep collider

- Important to **prove the electro-weak sector** in the SM
- **Virtual W and Z**: studied precisely in high- $Q^2$  CC and NC Deep Inelastic Scattering (DIS)



- **Real W and Z** : cross section is very small ( $\lesssim 1$  pb);

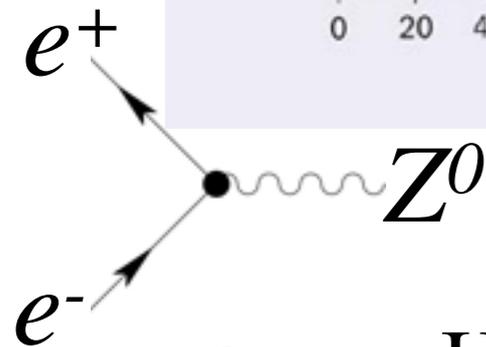
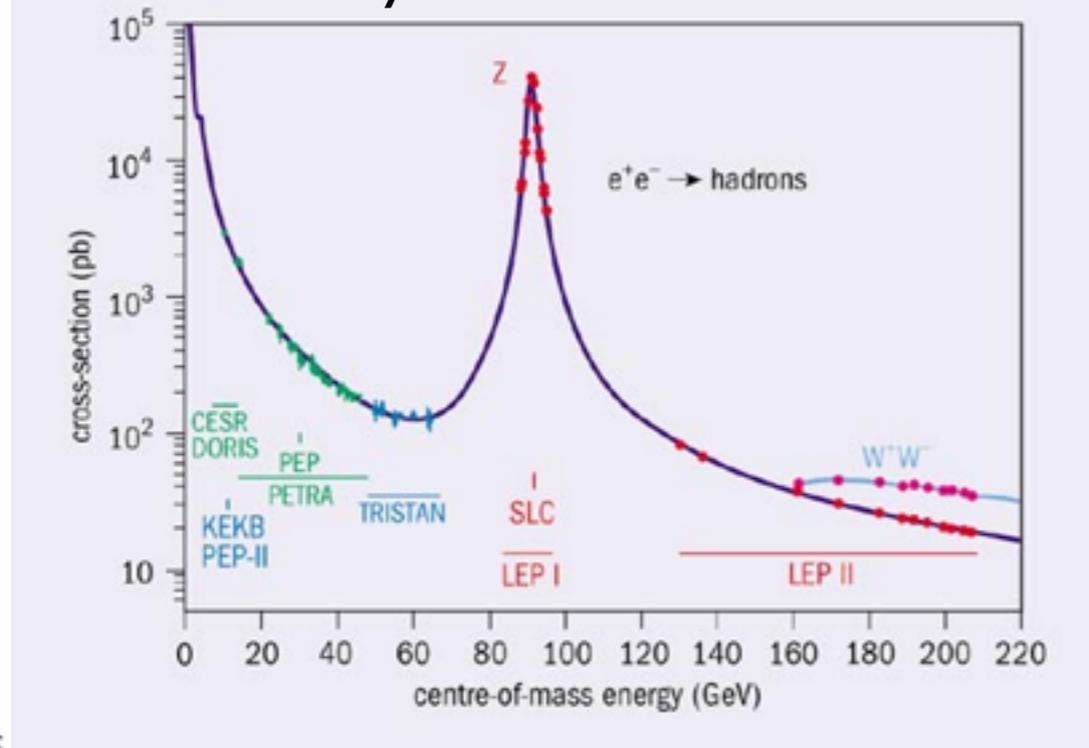
Challenging topic!



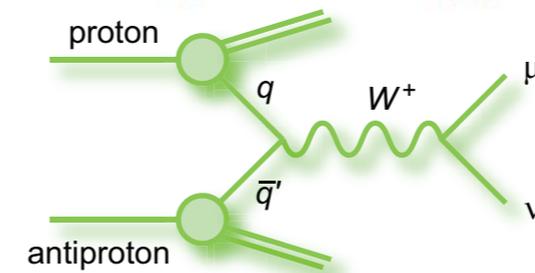
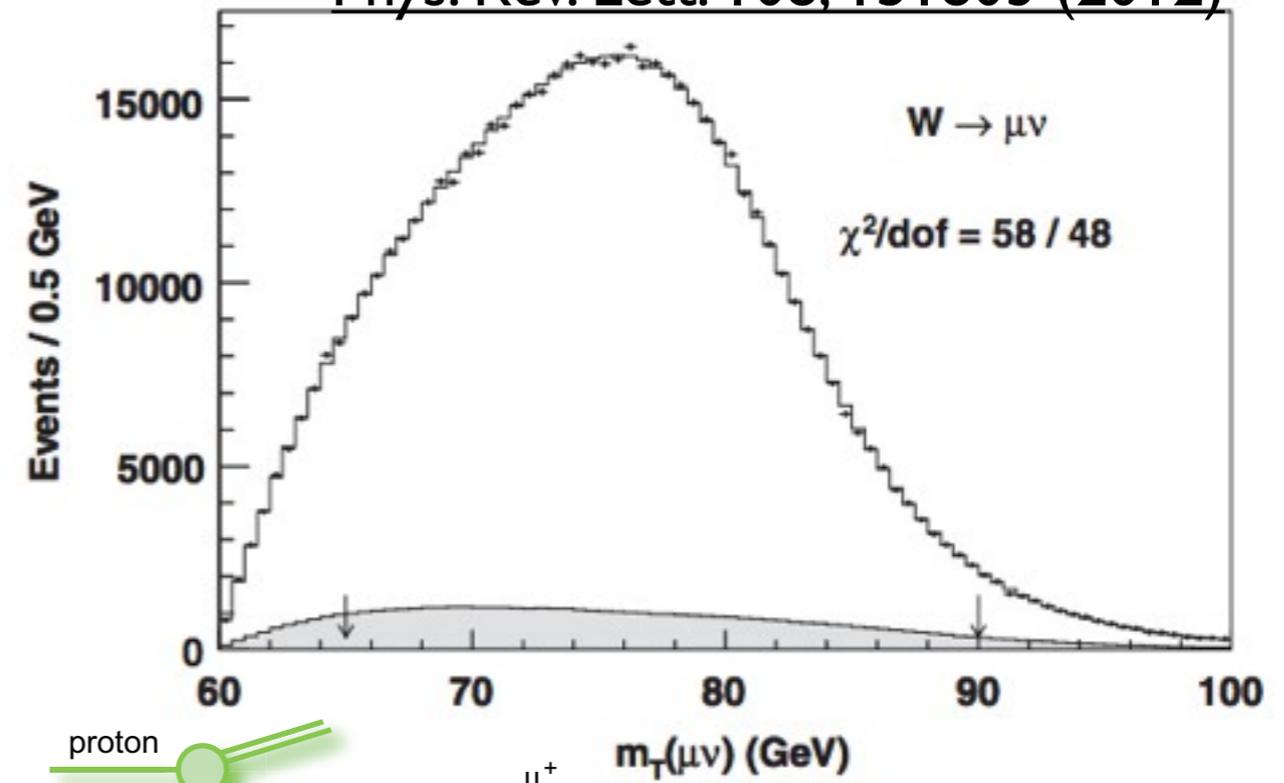
# W/Z production at $e^+e^-$ /hadron colliders

- s-channel annihilation provides rich W/Z bosons

CERN Courier May 2004



CDF Collaboration,  
Phys. Rev. Lett. 108, 151803 (2012)

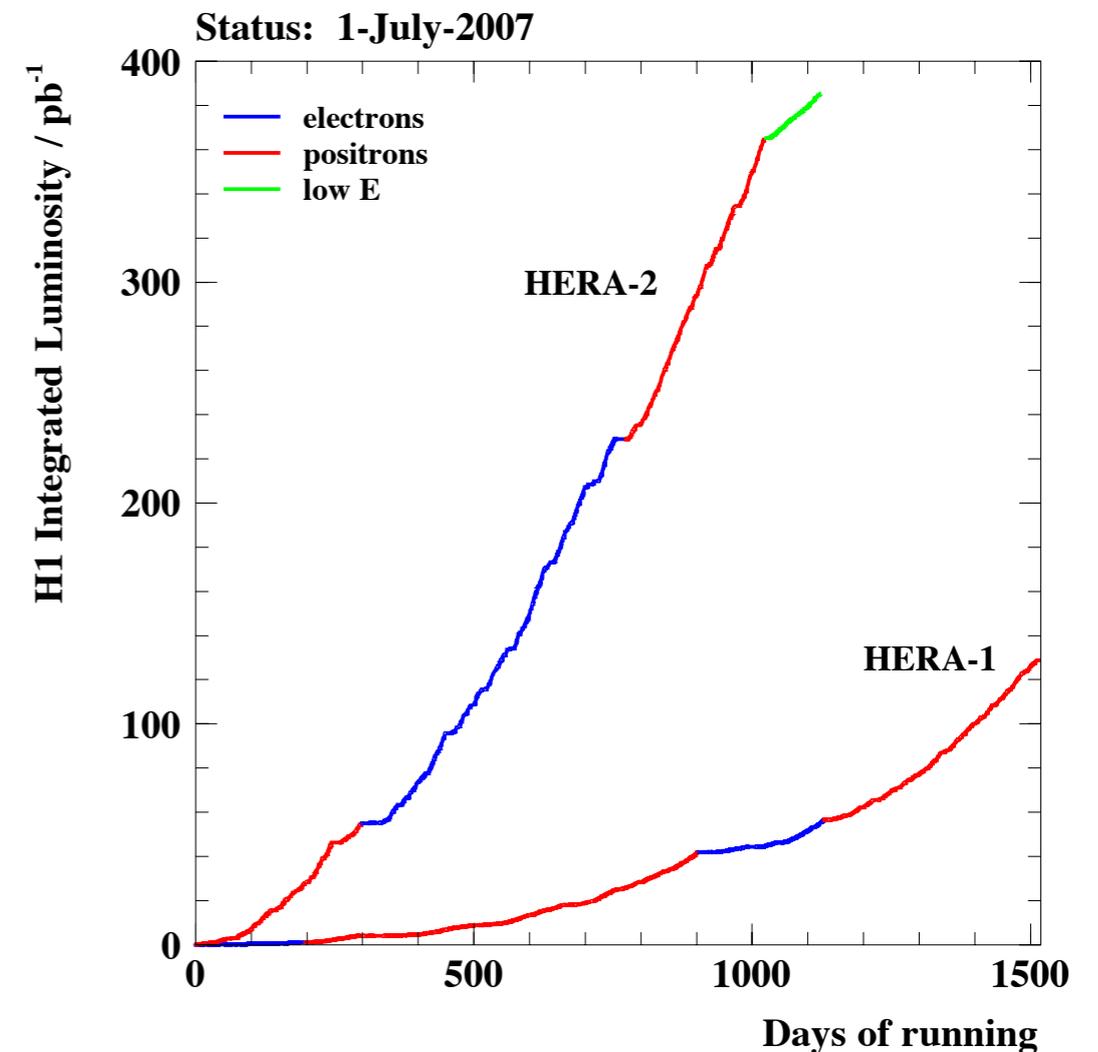


- $\Leftrightarrow$  HERA  $ep$  collision: only from lepton/quark line (conservation of L and B numbers)  
→ small cross section

- Background for physics beyond the SM

# HERA

- World only electron-proton collider at DESY
- Operated: 1992-2007
- Center-of-mass energy: 318 GeV
  - proton: 920 GeV
  - electron(positron): 27.5 GeV
- Recorded integrated luminosity:  $\sim 0.5 \text{ fb}^{-1}$  per experiment



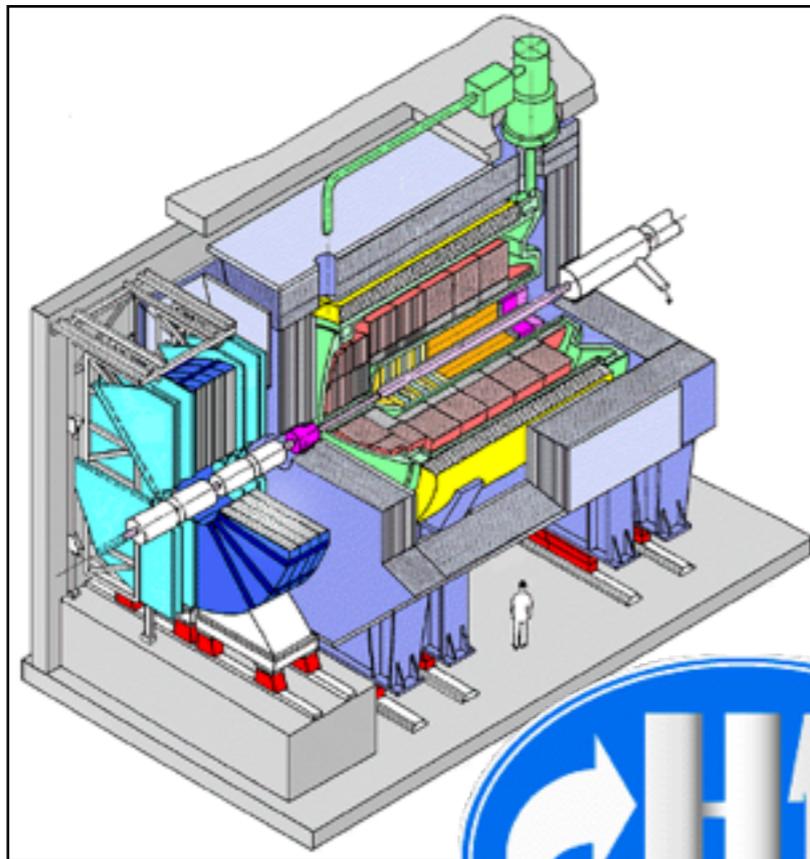
# H1 and ZEUS detectors

- Two general purpose detectors, H1 and ZEUS are constructed at HERA

## H1 detector

High resolution EM calorimeter using LAr

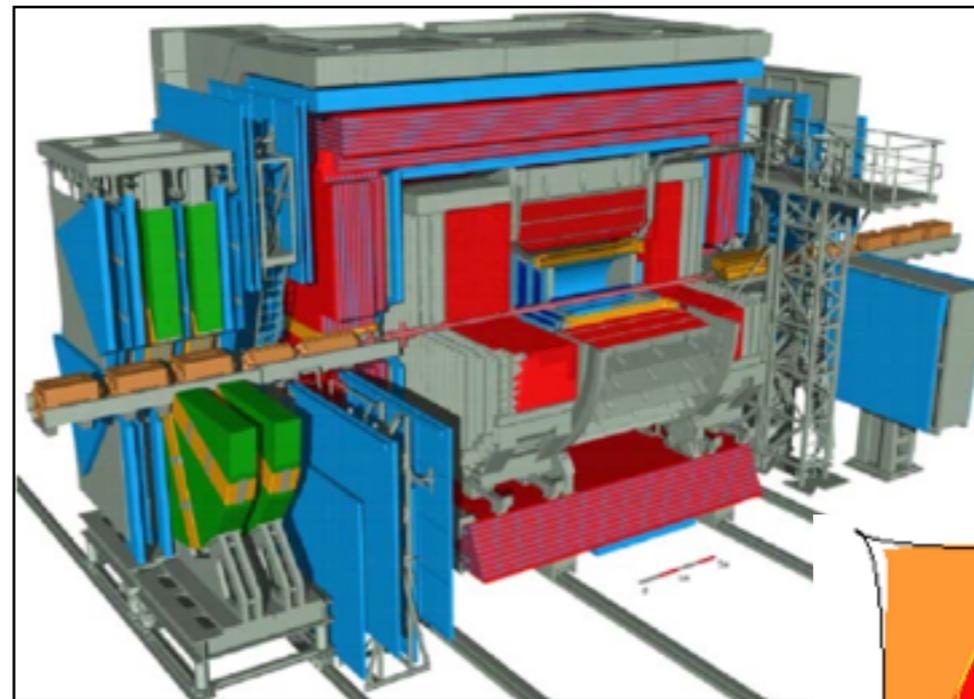
- electron  $\sigma(E)/E = 0.11/\sqrt{E}$
- hadrons  $\sigma(E)/E \sim 0.50/\sqrt{E}$



## ZEUS detector

High resolution hadron calorimeter using Uranium absorber

- electron  $\sigma(E)/E = 0.18/\sqrt{E}$
- hadrons  $\sigma(E)/E = 0.35/\sqrt{E}$



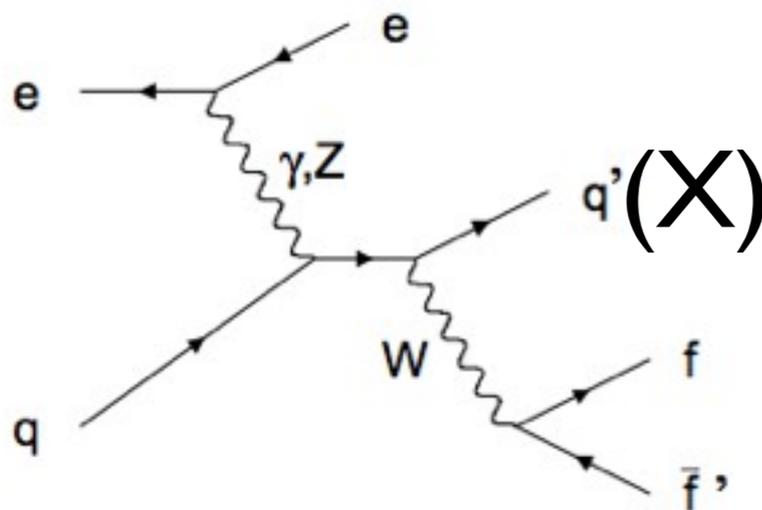
# **W production at HERA**

JHEP 1003 (2010) 035

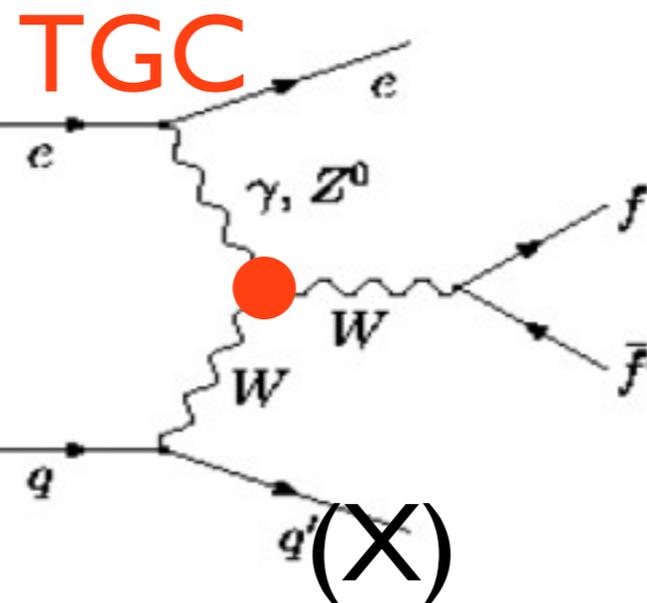
# Starategy

- SM cross section: **1.26 pb**
- **$\sim 1\text{fb}^{-1}$**  data collected with H1 and ZEUS
- High  $p_T$  **isolated lepton** (decay branch of  $W \rightarrow l\nu$  is  $\sim 20\%$ )
  - lepton  $p_T > 10\text{GeV}$ ,  $15^\circ < \theta_l < 120^\circ$ , isolation:  $D(l; \text{jet}) > 1.0$  &  $D(l; \text{track}) > 0.5$
- High **missing transverse momentum,  $p_T$** 
  - ensure high missing  $p_T$ ,  $p_T$  measured in calorimeter,  $p_{T,\text{calo}} > 12\text{GeV}$
- Contributions of **new physics**:
  - rare TGC (triple gauge coupling);
  - FCNC (flavor changing neutral current) process of single top;
 can enhance this mode at higher  $p_T^X$  ( $p_T$  of additional hadron, X)

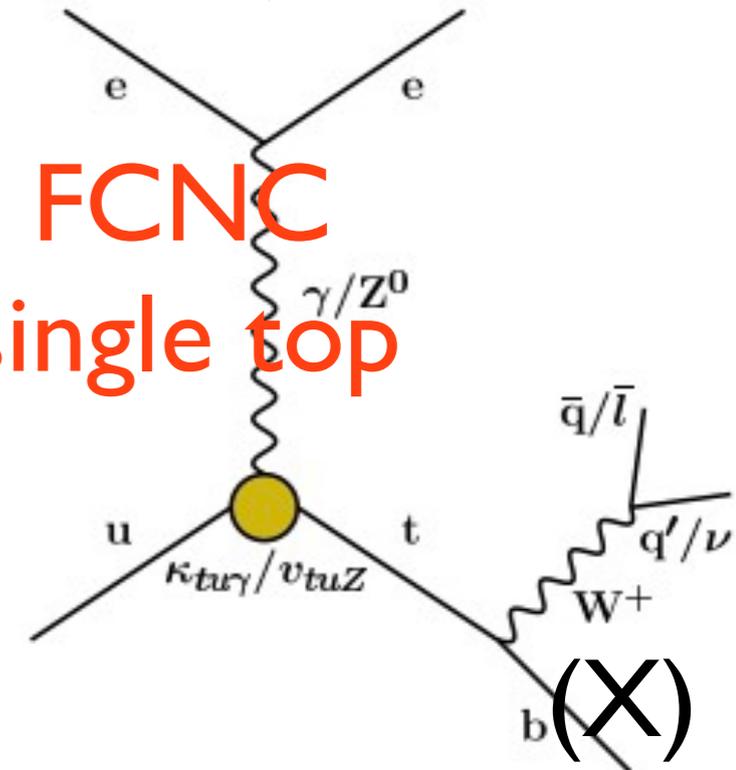
tree level



anomalous



FCNC  
single top

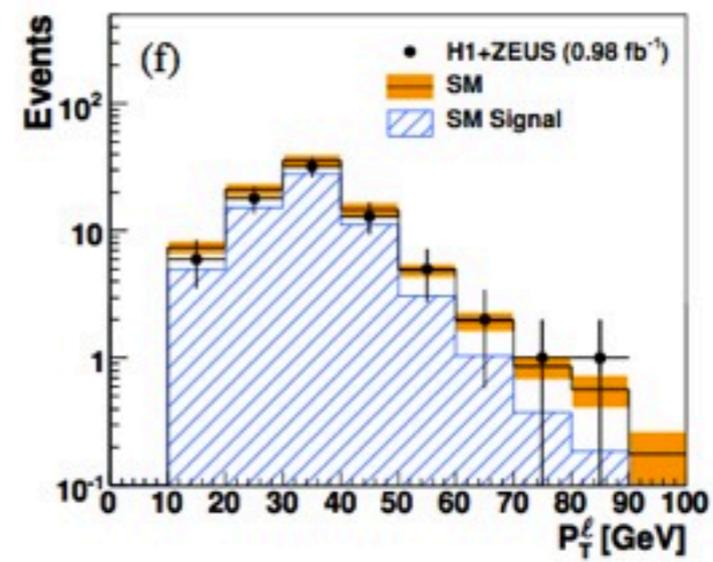
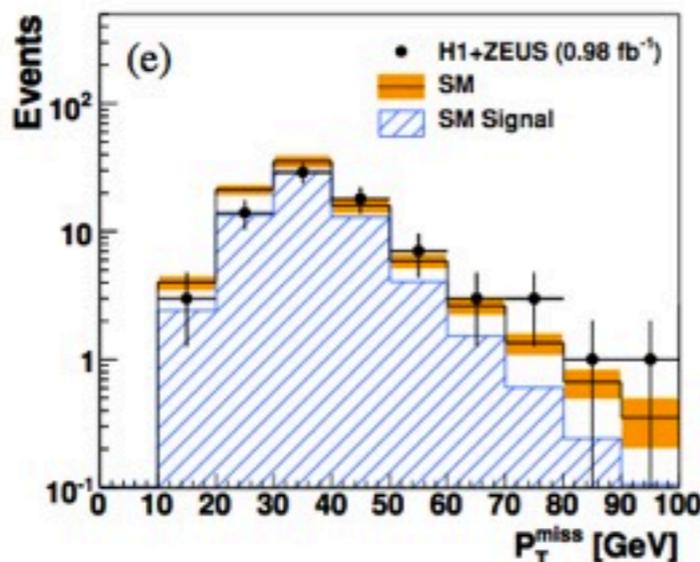
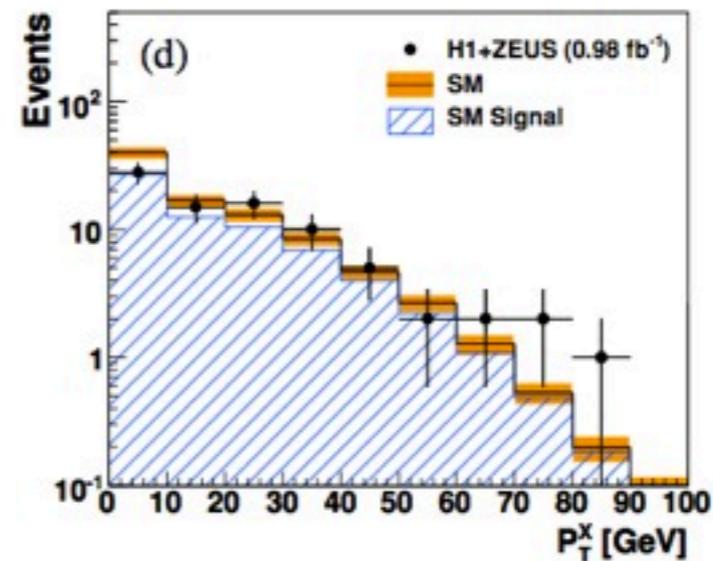
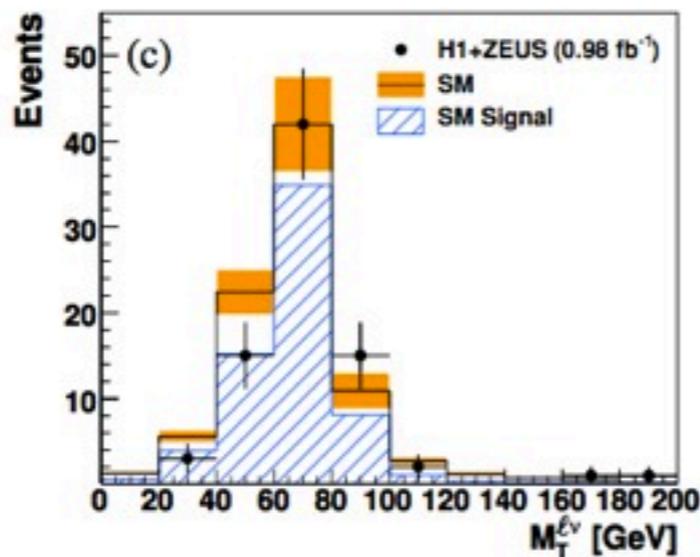


# Results

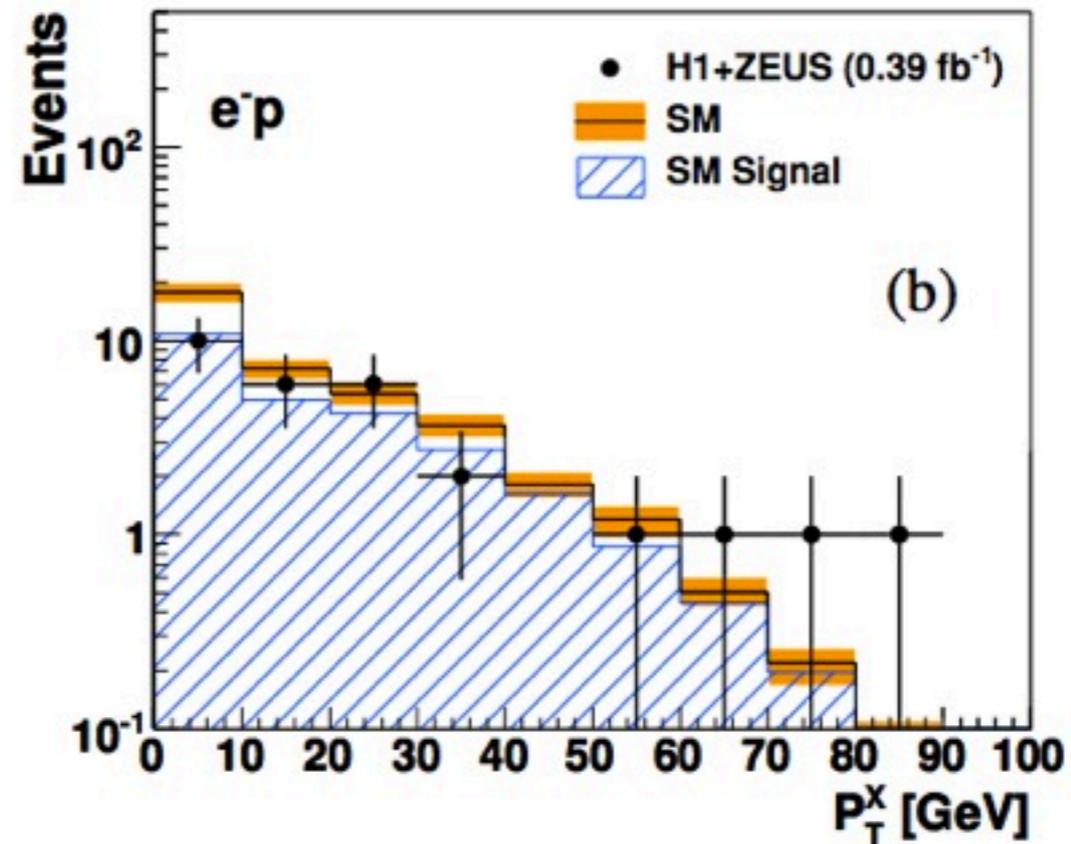
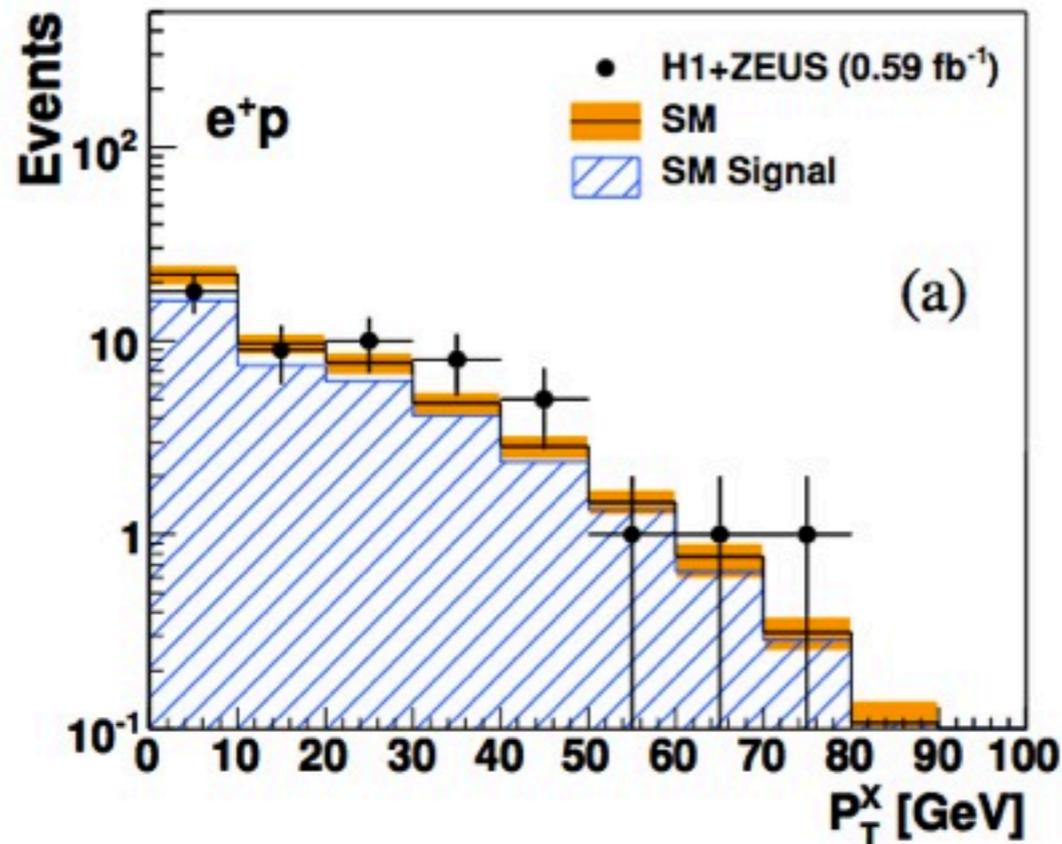
- 81 events are observed while  $87.8 \pm 11.0$  expected

	$W \rightarrow e\nu$	$W \rightarrow \mu\nu$
Selection acceptance by MC	$\sim 30\%$	$\sim 10\%$
Purity of $W \rightarrow l\nu$	$\sim 70\%$	$\sim 90\%$

- Observed cross section:  $\sigma(ep \rightarrow eW^\pm X) = 1.06 \pm 0.16$  (stat.)  $\pm 0.07$  (syst.) pb. in **good agreement with the SM** prediction of  $1.26 \pm 0.19$  pb



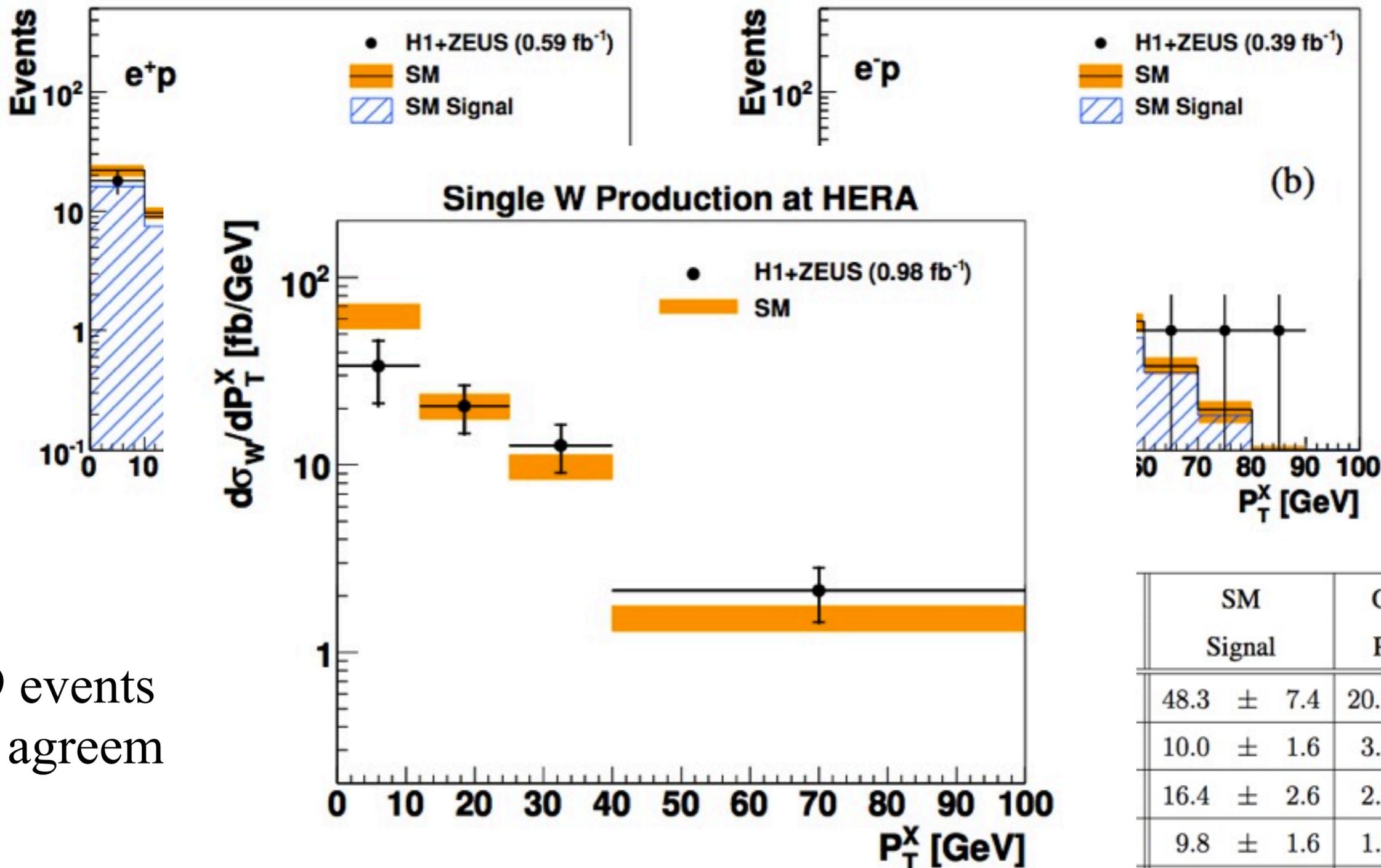
# H1+ZEUS combination: $p_T^X$ distribution



- 29 events in  $p_T^X > 25 \text{ GeV}$  in agreement with the SM

H1+ZEUS		Data	SM	SM	Other SM
1994–2007 $e^\pm p$		$0.98 \text{ fb}^{-1}$	Expectation	Signal	Processes
Electron	Total	61	$69.2 \pm 8.2$	$48.3 \pm 7.4$	$20.9 \pm 3.2$
	$P_T^X > 25 \text{ GeV}$	16	$13.0 \pm 1.7$	$10.0 \pm 1.6$	$3.1 \pm 0.7$
Muon	Total	20	$18.6 \pm 2.7$	$16.4 \pm 2.6$	$2.2 \pm 0.5$
	$P_T^X > 25 \text{ GeV}$	13	$11.0 \pm 1.6$	$9.8 \pm 1.6$	$1.2 \pm 0.3$
Combined	Total	81	$87.8 \pm 11.0$	$64.7 \pm 9.9$	$23.1 \pm 3.3$
	$P_T^X > 25 \text{ GeV}$	29	$24.0 \pm 3.2$	$19.7 \pm 3.1$	$4.3 \pm 0.8$

# H1+ZEUS combination: $p_T^X$ distribution



- 29 events in agreement

$P_T^X$ [GeV]	Events	SM	Other SM Processes
$P_T^X > 25$ GeV	29	24.0 ± 3.2	19.7 ± 3.1
0-10	48.3 ± 7.4	20.9 ± 3.2	48.3 ± 7.4
10-20	10.0 ± 1.6	3.1 ± 0.7	10.0 ± 1.6
20-30	16.4 ± 2.6	2.2 ± 0.5	16.4 ± 2.6
30-40	9.8 ± 1.6	1.2 ± 0.3	9.8 ± 1.6
40-100	64.7 ± 9.9	23.1 ± 3.3	64.7 ± 9.9

# Z production at HERA

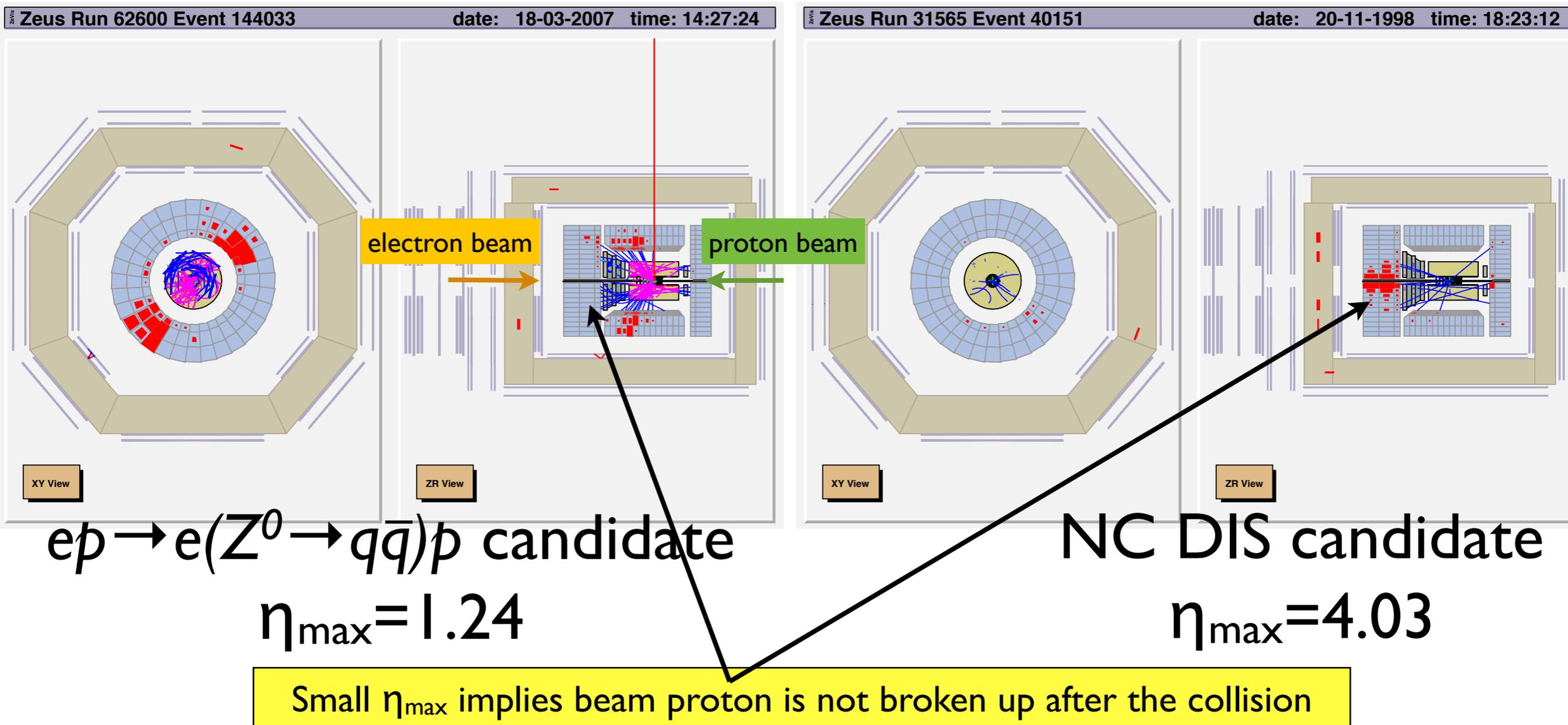
Phys. Lett. B 718 (2013) 915–921

# Strategy

- SM cross section **0.4pb**
- $\sim 0.5\text{fb}^{-1}$  data collected with ZEUS
- Branching ratio of leptonic decay is **too small** :  $\sim 3\%$  for each
- **Hadronic decay**  $Z \rightarrow q\bar{q}$  (70% branching fraction)
  - require at least **2 jets** with  $p_T > 25\text{GeV}$  and  $|\eta| < 2.5$
  - two leading jets are **back-to-back in x-y plane** ( $\Delta\phi > 2.0$ )
  - **invariant mass**,  $m_{\text{jets}}$  is reconstructed by all jets with  $p_T > 4\text{GeV}$  and  $|\eta| < 2.5$
- To suppress QCD multi-jet background, **(quasi-)elastic production**,  $ep \rightarrow ep^{(*)}Z^0$ , is selected ( $\sigma = 0.16\text{pb}$ )
- Cross section is obtained by a **shape fit** on  $m_{\text{jets}}$  with signal(MC) + b.g.(Data-Driven) template

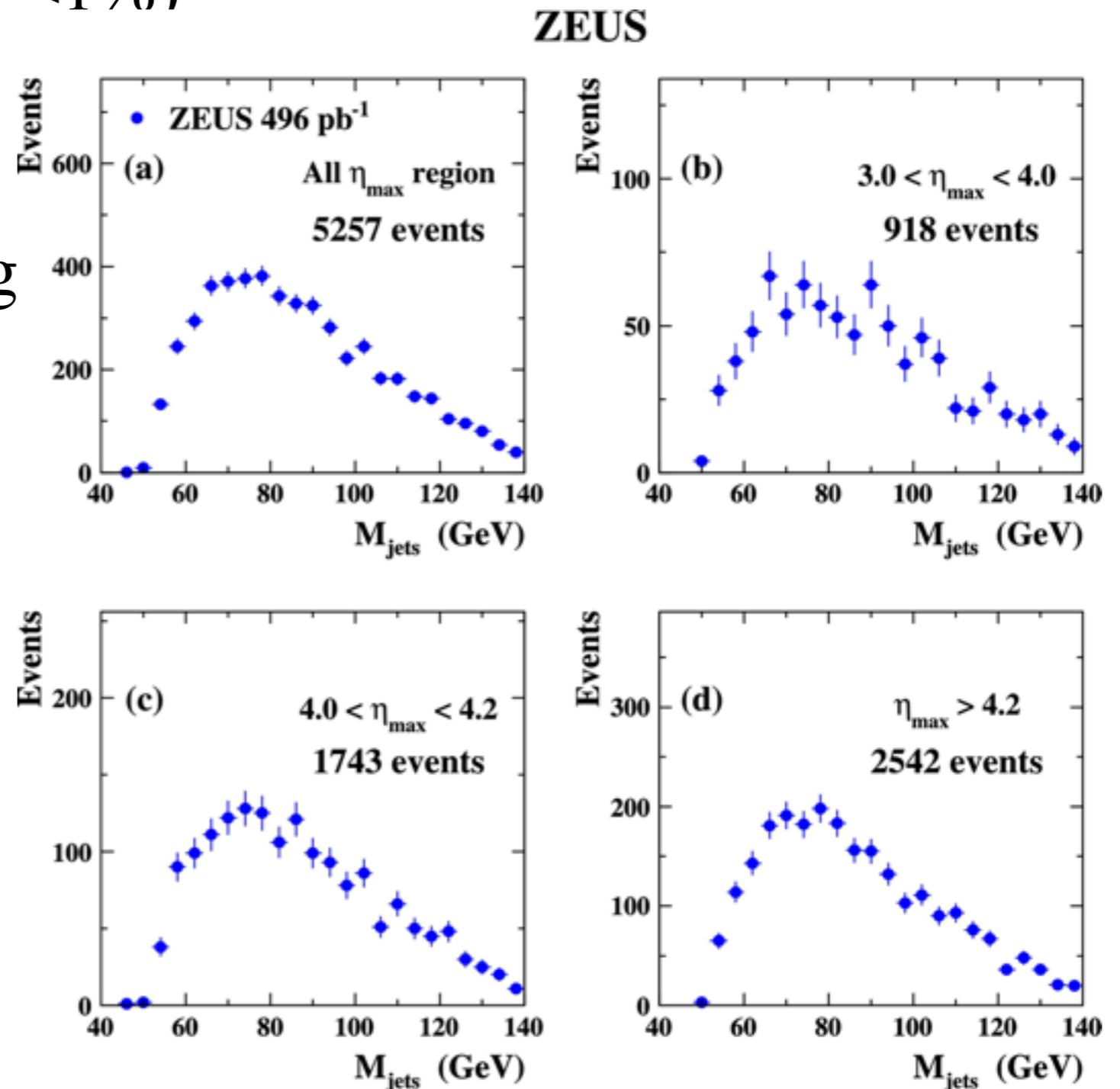
# (Quasi-)elastic-interaction selection

- Require  $\eta_{\max} < 3.0$ 
  - ※  $\eta_{\max}$  : maximum pseudo rapidity of energy deposit at calorimeter
  - ※ Systematic uncertainty according to this cut is estimated by  $\eta_{\max} < (3.0 \pm 0.2)$
- Almost all inelastic events are removed by this

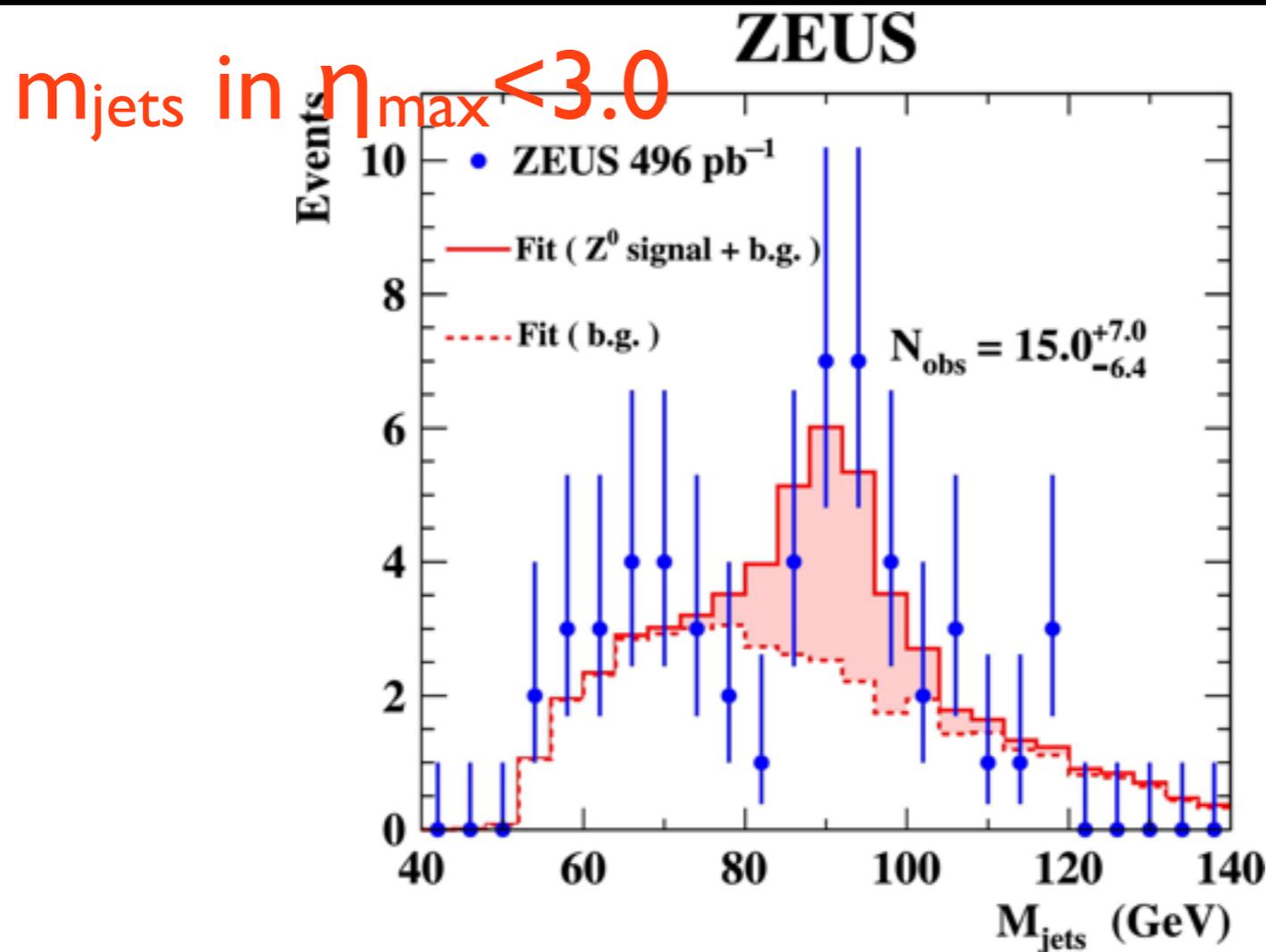


# Background shape template

- No  $\eta_{\max}$  dependency is found on  $m_{\text{jets}}$  distributions
- Use  $\eta_{\max} > 3.0$  region as a background template  
(signal contamination is  $< 1\%$ )
- Systematic error on b.g. shape template is estimated by performing the fit with several  $\eta_{\max}$  slices as a b.g. template



# Fitting result

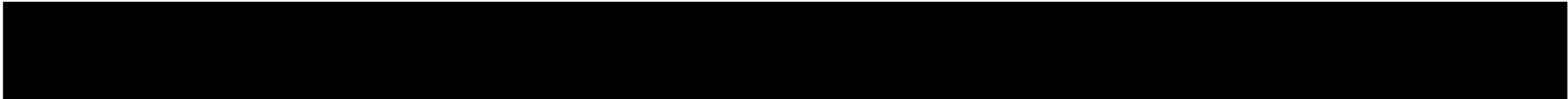


- 55 events are observed in  $\eta_{\text{max}} < 3.0$
- Maximum likelihood fit is performed and  $15.0^{+7.0}_{-6.4}$  signals are observed
- Extracted cross section:  $\sigma \left( ep \rightarrow eZ^0 p^{(*)} \right) = 0.13 \pm 0.06 \text{ (stat.)} \pm 0.01 \text{ (syst.) pb}$  in agreement with the SM (0.16pb).

**First measurement of real Z cross section in ep collisions!**

# Summary

- Measurements of cross sections of W and Z boson in  $ep$  collisions have been performed
- Important to test the Standard Model (SM) and as background processes for physics beyond the SM
- Cross sections are expected to be very small : challenging topic
- Total W boson cross section :  
 $\sigma (ep \rightarrow eW^{\pm} X) = 1.06 \pm 0.16 \text{ (stat.)} \pm 0.07 \text{ (syst.) pb.}$   
in agreement with the SM : 1.26 pb.
  - H1-ZEUS combined  $\sim 1\text{fb}^{-1}$
  - Searched in events with isolated lepton and missing transverse momentum
- Z boson cross section in (quasi-)elastic scattering,  $ep \rightarrow eZ^0 p^{(*)}$  :  
 $\sigma (ep \rightarrow eZ^0 p^{(*)}) = 0.13 \pm 0.06 \text{ (stat.)} \pm 0.01 \text{ (syst.) pb.}$   
in agreement with the SM : 0.16 pb.
  - ZEUS data  $\sim 0.5\text{fb}^{-1}$
  - Searched in  $Z^0 \rightarrow \text{hadrons}$  events
  - This is the first measurement of  $Z^0$  cross section in  $ep$  collisions!



# SM prediction of the real W and Z production

- U. Baur, J. A. Vermaseren and D. Zeppenfeld, Nucl. Phys. B375 (1992) 3.
- EPVEC: Monte-Carlo simulated events
  - to **correct the instrumental effects**
  - to know **selection acceptance**
- Three categories to calculate cross section:
  - **(Quasi-)elastic process** : calculated by form factors and structure functions fitted directly to experimental data
  - **DIS**: calculated in the quark-parton model using a full set of leading-order Feynman diagrams.
  - **Resolved photoproduction** : parameterized using a photon structure function and is carefully matched to the DIS region
- Total cross section@NLO at HERA centre-of-mass energy:  
**1.26 pb** for W and **0.40 pb** for Z
- ~15% uncertainty mainly PDF uncertainty

# W production: Background components

NC DIS	CC DIS	Dilepton production
Real lepton + fake missing $p_T$	Real missing $p_T$ + mis-identified lepton	Real lepton + fake missing $p_T$
$\sigma=8000\text{pb}$	$\sigma=40\text{pb}$	$\sigma=30\text{pb}$

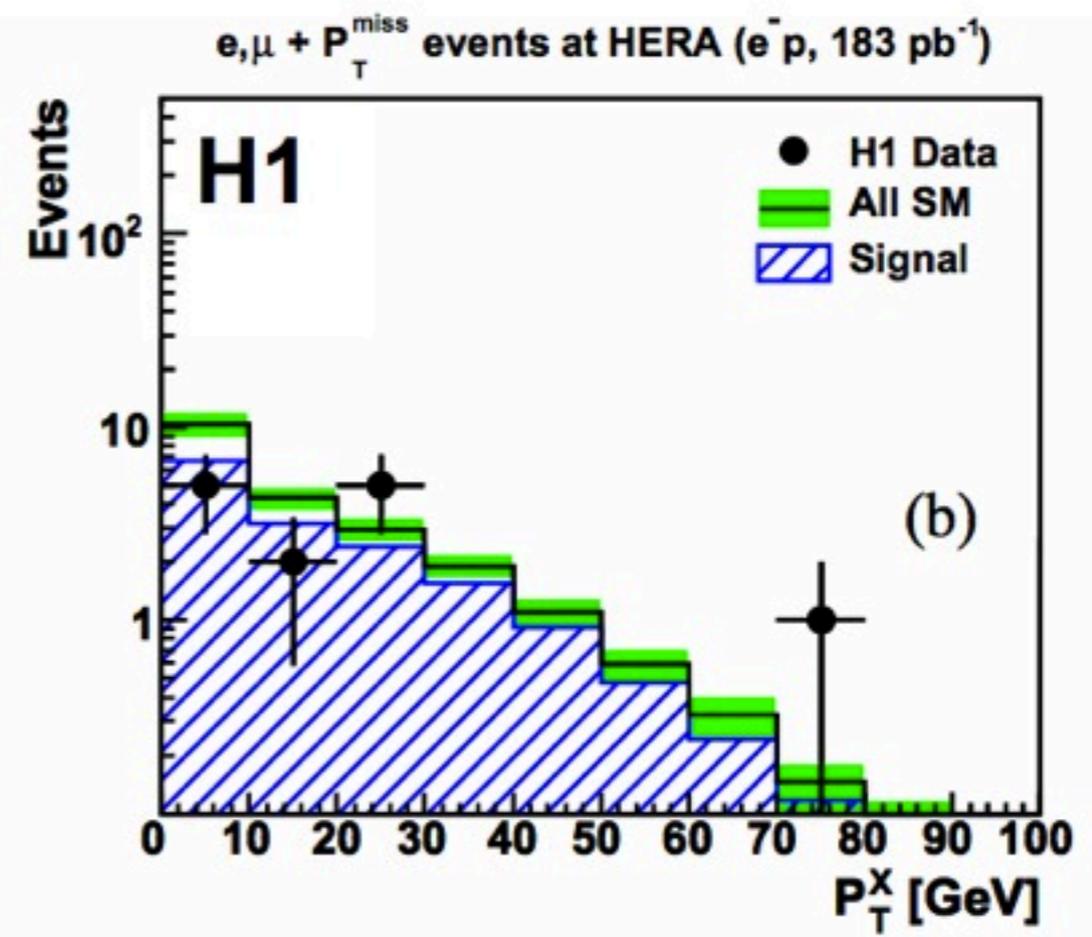
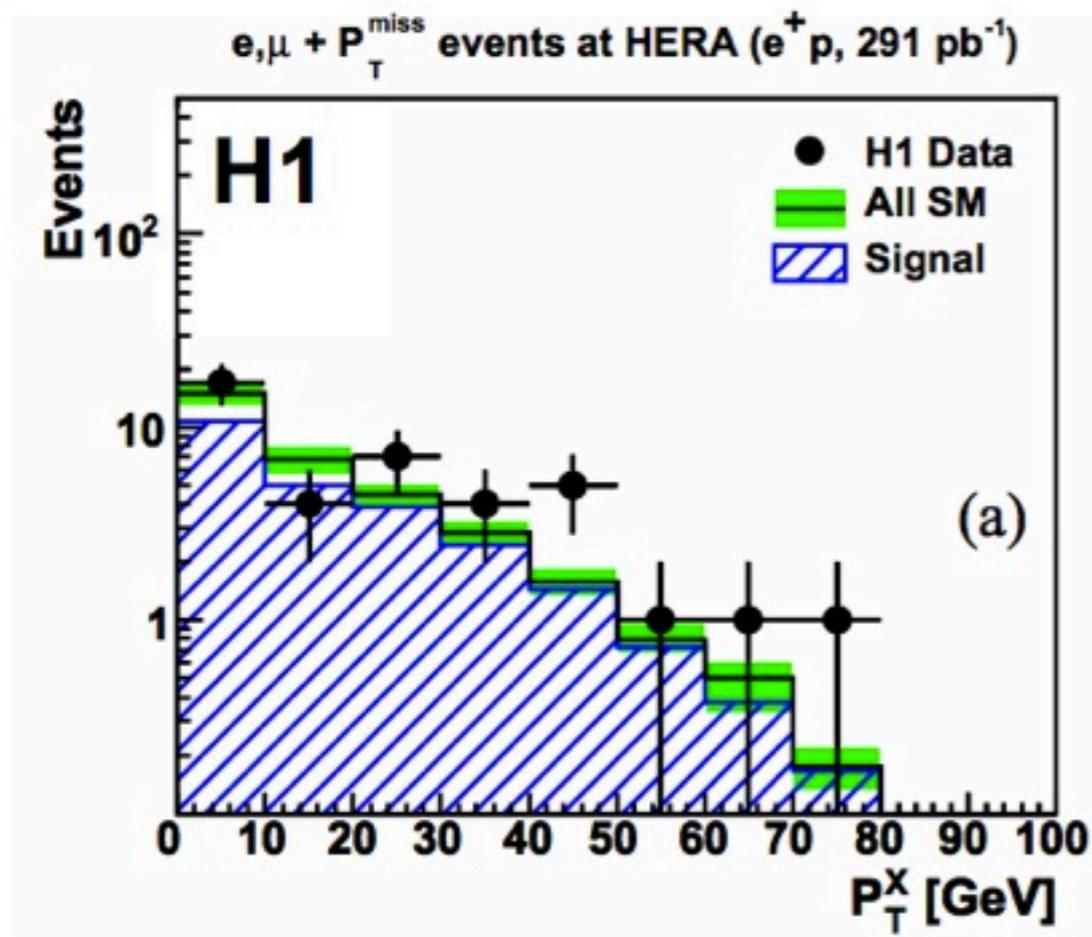
- These processes are simulated by MC
- To remove them, series of cuts are applied for example:
  - lepton and missing  $p_T$  should be back-to-back
  - measured longitudinal balance:  $\delta_{\text{miss}}=2E_e^0 - \sum_i(E_i-p_{Z,i}) \in [5, 50] \text{ GeV}$   
(if only proton beam direction particles are un-detected,  $\delta_{\text{miss}}=0$ )

etc.

# W production: Event selection

H1+ZEUS Isolated Lepton + $P_T^{\text{miss}}$ Event Selection		
Channel	Electron	Muon
<b>Basic Event Selection</b>	$15^\circ < \theta_\ell < 120^\circ$ $P_T^\ell > 10 \text{ GeV}$ $P_T^{\text{miss}} > 12 \text{ GeV}$ $P_T^{\text{calo}} > 12 \text{ GeV}$	
<b>Lepton Isolation</b>	$D(\ell; \text{jet}) > 1.0$ $D(e; \text{track}) > 0.5$ for $\theta_e > 45^\circ$	
<b>Background Rejection</b>	$V_{\text{ap}}/V_{\text{p}} < 0.5$ $V_{\text{ap}}/V_{\text{p}} < 0.15$ for $P_T^e < 25 \text{ GeV}$ $V_{\text{ap}}/V_{\text{p}} < 0.15$ for $P_T^{\text{calo}} < 25 \text{ GeV}$ $\Delta\phi_{e-X} < 160^\circ$ $\Delta\phi_{\mu-X} < 170^\circ$ $5 < \delta_{\text{miss}} < 50 \text{ GeV}$ – $\zeta_e^2 > 5000 \text{ GeV}^2$ for $P_T^{\text{calo}} < 25 \text{ GeV}$ – $M_T^{\ell\nu} > 10 \text{ GeV}$ – $P_T^X > 12 \text{ GeV}$ # electrons < 3     –	

# Excess in H1 only result



- 18 events in  $p_T^X > 25 \text{ GeV}$
- 17/18 events are observed in  $e^+ p$  channel ( $\sim 8$  predicted)
- 2.4 $\sigma$  excess in  $e^+ p$

<b>H1</b>	1994-2007 $e^\pm p$ $474 \text{ pb}^{-1}$	Data	SM Expectation	SM Signal	Other SM Processes
Electron	Total	39	$43.1 \pm 6.0$	$30.3 \pm 4.8$	$12.8 \pm 3.4$
	$P_T^X > 25 \text{ GeV}$	10	$7.5 \pm 1.3$	$5.79 \pm 0.99$	$1.71 \pm 0.72$
Muon	Total	14	$11.0 \pm 1.8$	$10.1 \pm 1.7$	$0.88 \pm 0.28$
	$P_T^X > 25 \text{ GeV}$	8	$6.1 \pm 1.0$	$5.64 \pm 0.99$	$0.47 \pm 0.15$
Combined	Total	53	$54.1 \pm 7.4$	$40.4 \pm 6.3$	$13.7 \pm 3.5$
	$P_T^X > 25 \text{ GeV}$	18	$13.6 \pm 2.2$	$11.4 \pm 1.9$	$2.18 \pm 0.80$

# W production: H1 only result

<b>H1</b>	1994-2007 $e^+p$	Data	SM		SM		Other SM	
	291 pb <sup>-1</sup>		Expectation		Signal		Processes	
Electron	Total	28	25.6	± 3.5	18.6	± 2.9	6.9	± 1.7
	$P_T^X > 25$ GeV	9	4.32	± 0.71	3.56	± 0.61	0.76	± 0.32
Muon	Total	12	6.7	± 1.1	6.1	± 1.0	0.55	± 0.18
	$P_T^X > 25$ GeV	8	3.70	± 0.63	3.43	± 0.60	0.28	± 0.09
Combined	Total	40	32.3	± 4.4	24.8	± 3.9	7.5	± 1.8
	$P_T^X > 25$ GeV	17	8.0	± 1.3	7.0	± 1.2	1.04	± 0.37

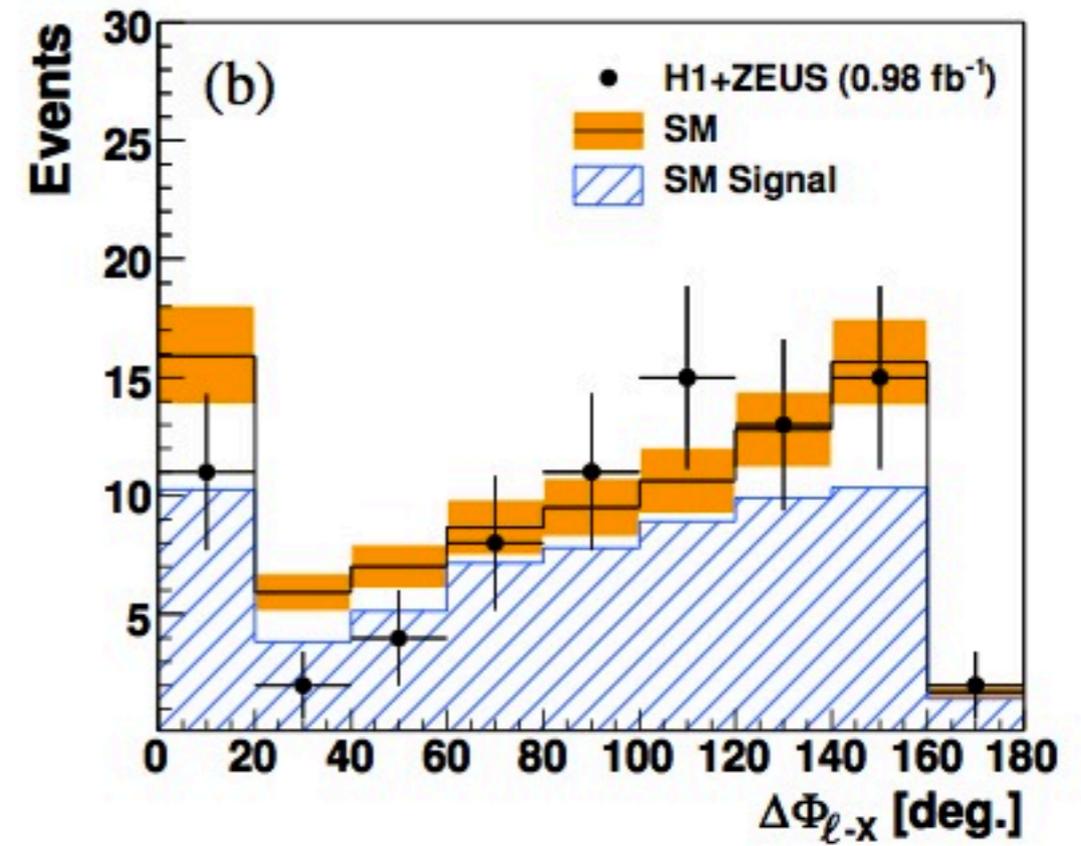
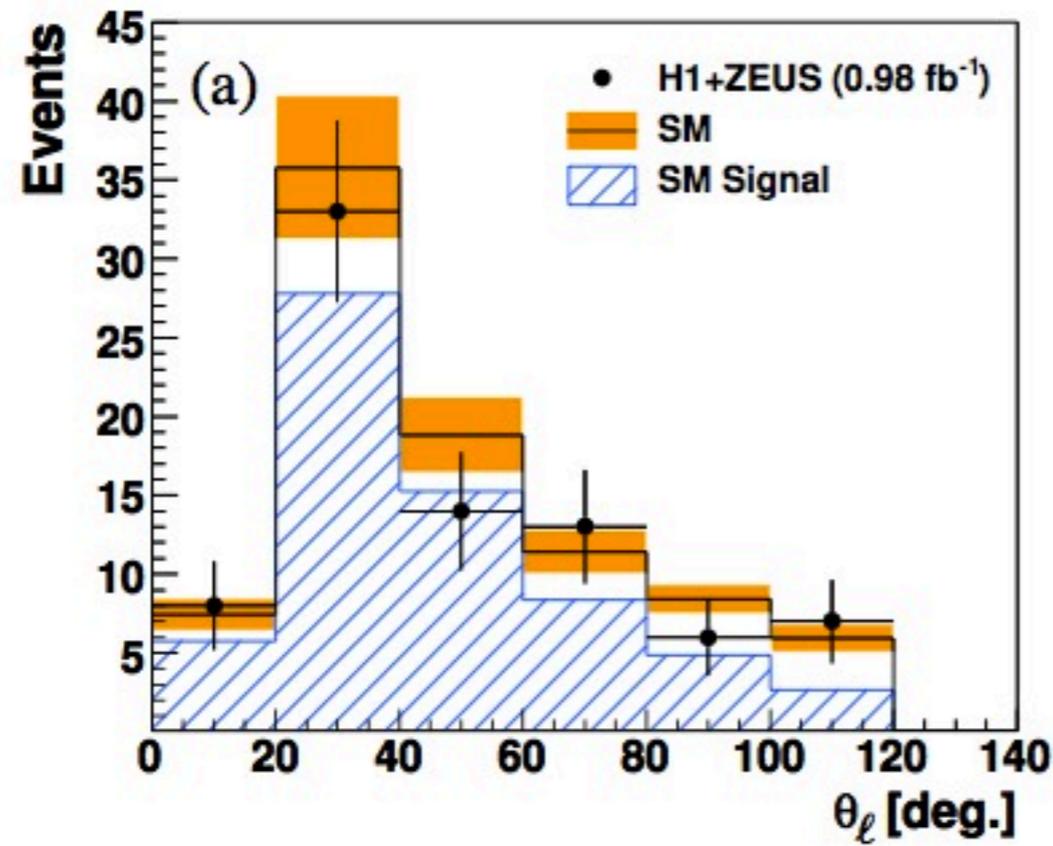
<b>H1</b>	1998-2006 $e^-p$	Data	SM		SM		Other SM	
	183 pb <sup>-1</sup>		Expectation		Signal		Processes	
Electron	Total	11	17.5	± 2.7	11.6	± 1.8	5.9	± 1.9
	$P_T^X > 25$ GeV	1	3.18	± 0.59	2.23	± 0.38	0.95	± 0.41
Muon	Total	2	4.29	± 0.69	3.96	± 0.66	0.33	± 0.11
	$P_T^X > 25$ GeV	0	2.40	± 0.41	2.22	± 0.39	0.19	± 0.06
Combined	Total	13	21.8	± 3.1	15.6	± 2.4	6.2	± 1.9
	$P_T^X > 25$ GeV	1	5.58	± 0.91	4.45	± 0.75	1.14	± 0.44

# W production: H1 + ZEUS

<b>H1+ZEUS</b>		Data	SM		SM		Other SM	
1994–2007 $e^+p$ 0.59 fb <sup>-1</sup>			Expectation		Signal		Processes	
Electron	Total	37	38.6	± 4.7	28.9	± 4.4	9.7	± 1.4
	$P_T^X > 25$ GeV	12	7.4	± 1.0	6.0	± 0.9	1.5	± 0.3
Muon	Total	16	11.2	± 1.6	9.9	± 1.6	1.3	± 0.3
	$P_T^X > 25$ GeV	11	6.6	± 1.0	5.9	± 0.9	0.8	± 0.2
Combined	Total	53	49.8	± 6.2	38.8	± 5.9	11.1	± 1.5
	$P_T^X > 25$ GeV	23	14.0	± 1.9	11.8	± 1.9	2.2	± 0.4

<b>H1+ZEUS</b>		Data	SM		SM		Other SM	
1998–2006 $e^-p$ 0.39 fb <sup>-1</sup>			Expectation		Signal		Processes	
Electron	Total	24	30.6	± 3.6	19.4	± 3.0	11.2	± 1.9
	$P_T^X > 25$ GeV	4	5.6	± 0.8	4.0	± 0.6	1.6	± 0.4
Muon	Total	4	7.4	± 1.1	6.6	± 1.0	0.9	± 0.3
	$P_T^X > 25$ GeV	2	4.3	± 0.7	3.9	± 0.6	0.4	± 0.2
Combined	Total	28	38.0	± 3.4	26.0	± 3.4	12.0	± 2.0
	$P_T^X > 25$ GeV	6	10.0	± 1.3	7.9	± 1.2	2.1	± 0.5

# W production: other plots



# W production: systematic uncertainties

- Systematic uncertainties are considered individual for H1 and ZEUS, respectively.
  - CAL energy scale (EM and hadronic)
  - Muon momentum scale
  - Track reconstruction
  - Trigger
  - Luminosity
  - MC (theory) uncertainties
  - etc.
- All systematics are treated as correlated
- Totally: 11% (ZEUS) and 12% (H1)

# Z production: detailed event selection

- CAL ET trigger
- Cleaning cuts for cosmic and beam-gas background
- Jes defined by kt algorithm
  - At least 2 jets with  $ET > 25 \text{ GeV}$ ,  $|\eta| < 2.0$ .  $\Delta\phi_{j1j2} > 2 \text{ rad}$
  - Use all jets with  $ET > 4 \text{ GeV}$  and  $|\eta| < 2.0$  for invariant mass calculation
  - Remove jet if it overlaps with  $e/\gamma$  within  $R < 1.0$
- At most 1 electron in detector
  - $E_e > 5 \text{ GeV}$ , isolation, track match if in tracking coverage
  - $\theta_e < 80 \text{ deg}$  required
- No particles in rear direction
  - $ERCAL < 2 \text{ GeV}$
  - $50 < E_{pZ} < 64 \text{ GeV}$

# Z production: fitting procedure

## Fit procedure

- For each bin  $i$  on invariant mass  $M_{\text{jets}}$

$$N_{\text{ref}} = a N_{\text{sg},i}^{\text{MC}}(\epsilon) + b N_{\text{bg},i}^{\text{data}} \quad M_{\text{jets}} = (1 + \epsilon) M_{\text{jets}}^{\text{MC}}$$

- Poisson likelihood and nuisance parameter

$$\mathcal{L} = \mathcal{L}_1(N_{\text{obs}}, N_{\text{ref}}) \times \mathcal{L}_2(\epsilon, \sigma_\epsilon) \quad \mathcal{L}_1 = \prod_i \frac{\exp(-N_{\text{ref},i})(N_{\text{ref},i})^{N_{\text{obs},i}}}{N_{\text{obs},i}!} \quad \text{and} \quad \mathcal{L}_2 = \exp\left(-\frac{\epsilon^2}{2\sigma_\epsilon^2}\right)$$

- $\chi^2$ -like log-likelihood function

$$\tilde{\chi}^2 = -2 \ln \frac{\mathcal{L}_1(N_{\text{obs}}, N_{\text{ref}})}{\mathcal{L}_1(N_{\text{obs}}, N_{\text{obs}})} - 2 \ln \mathcal{L}_2 = 2 \sum f_i + \left(\frac{\epsilon}{\sigma_\epsilon}\right)^2$$

$$f_i = \begin{cases} N_{\text{ref},i} - N_{\text{obs},i} + N_{\text{obs},i} \ln(N_{\text{obs},i}/N_{\text{ref},i}) & (\text{if } N_{\text{obs},i} > 0) \\ N_{\text{ref},i} & (\text{if } N_{\text{obs},i} = 0) \end{cases}$$

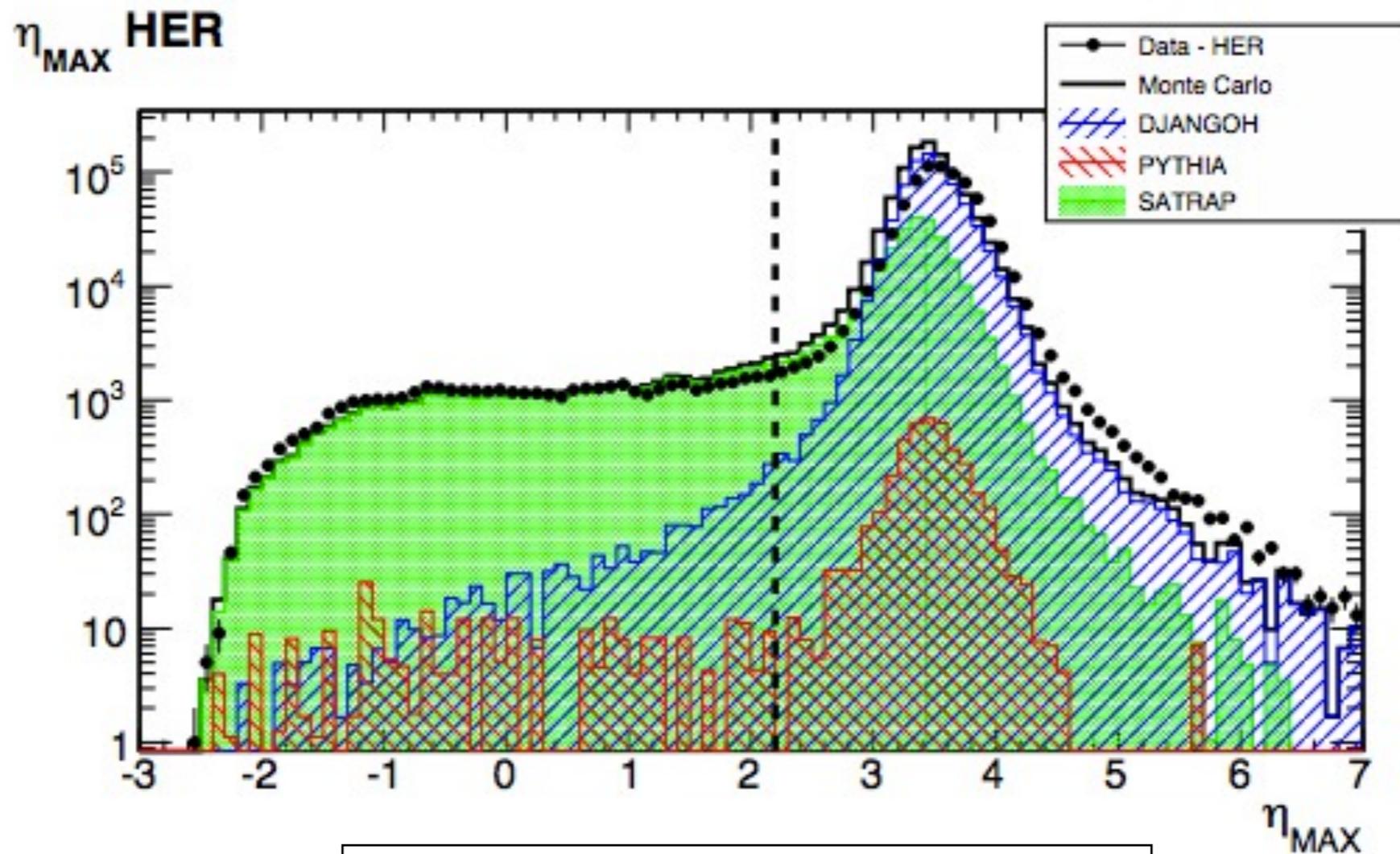
- Minimize  $\chi^2$  to find best set of  $(a, b, \epsilon)$

$$\rightarrow \sigma_{\text{obs}} = a \cdot \sigma_{\text{MC}}, \text{ error of } a \text{ given by } \Delta\chi^2 < 1$$

# Z production: systematic uncertainties

- **Systematic uncertainties: total (+7.2, -6.2)%**
  - acceptance change by  $\pm 3\%$  energy scale: (+2.1, -1.7)%
  - $\eta_{\max}$  cut varied by  $\pm 0.2$ : (+6.4, -5.4)%
  - using different  $\eta_{\max}$  slices for background template:  $\pm 1.5\%$
  - signal template peak width (6 GeV) smeared: negligible
  - luminosity:  $\pm 2\%$

# $\eta_{\text{max}}$ uncertainty



Data/MC on  $\eta_{\text{max}}$  agree within  $\pm 0.2$   
in study of diffractive cross section of  
DIS (DESY-THESIS-2012-008)