

# PDFs & $\alpha_s(M_Z)$ from Inclusive and Jet Measurements in DIS @ HERA

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München

PANIC,

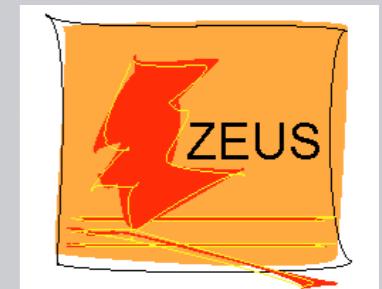


Cambridge, MA, July 24-29, 2011

Rutherford Centennial & MIT Sesquicentennial



on behalf of the H1 and ZEUS collaborations

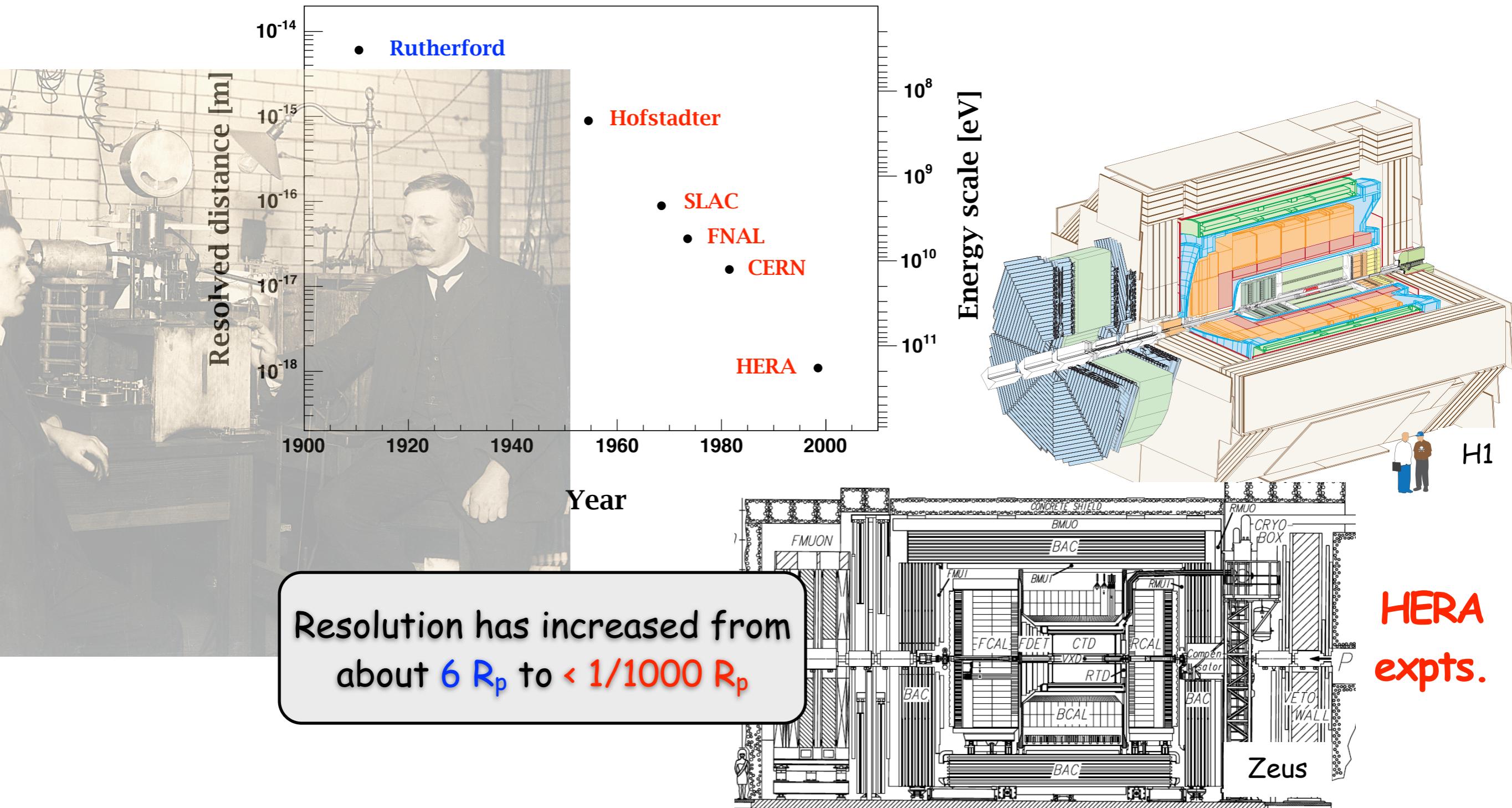


- introduction
- HERAPDFs
- data: inclusive & jet cross sections
- results

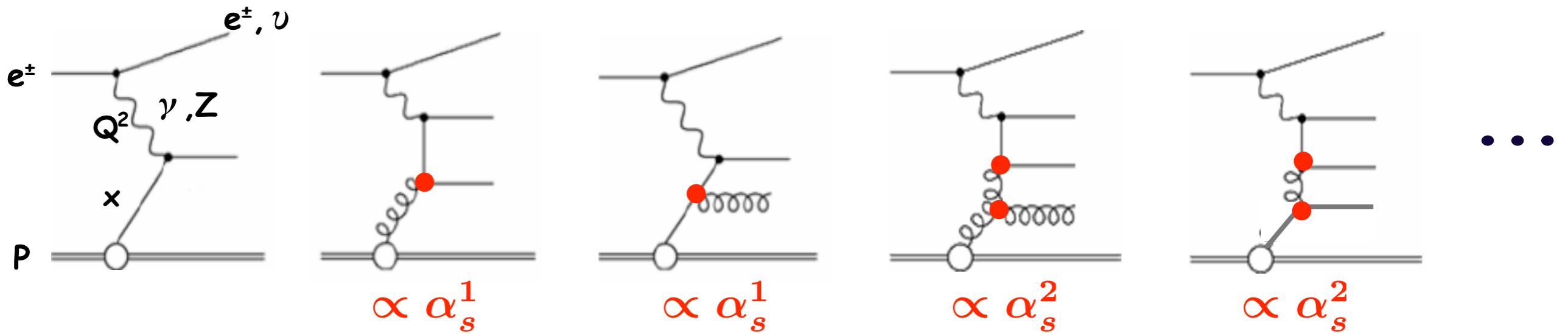


# From Rutherford to HERA

Celebrating the Rutherford centennial



# Physics of inclusive DIS & jets



inclusive DIS:  $\sigma \propto q(x, \mu_f)$

in LO

jets in DIS in the Breit frame:  $\sigma_{\text{jet}} \propto \alpha_s(\mu_r)(c_g g(x, \mu_f) + c_q q(x, \mu_f))$

$\alpha_s$  and gluon are strongly correlated in fits to inclusive data alone, because sensitivity to the gluon only enters in NLO (scaling violations).

jet data are sensitive to  $\alpha_s$  and gluon already in LO and together with inclusive data can reduce the strong correlation.

# HERAPDFs

- idea: use only HERA data (combined H1 & ZEUS) in the PDF fits
  - precise data set with total uncertainties between 1-2% over most of the phase space
  - systematic correlated and uncorr. uncertainties well controlled, allowing for  $\Delta \chi^2 = 1$  uncertainty criterion
  - $e^\pm p$  data only, i.e. no need for deuterium corrections and heavy target corrections
  - for central fit use parametrizations with minimum number of parameters
  - param. uncertainty  $\Rightarrow$  vary number of parameters (and parametrization) and  $Q_0^2$ , the starting scale of the parametrizations (default = 1.9 GeV $^2$ )
  - model uncertainty  $\Rightarrow$  vary  $m_c$ ,  $m_b$ ,  $f_s$ ,  $Q^2_{\min}$  (defaults: 1.4 GeV, 4.75 GeV, 0.31, 3.5 GeV $^2$ )

# HERAPDF parametrizations I

- $x \cdot u_v, x \cdot d_v, x \cdot U_{\bar{u}}, x \cdot D_{\bar{d}}$  and  $x \cdot g$  are parametrized according to:

$$x f(x, Q_0^2) = A x^B (1 - x)^C (1 + Dx + Ex^2 + \epsilon \sqrt{x})$$

- starting scale  $Q_0^2 = 1.9 \text{ GeV}^2$  (below  $m_c$ ), NLO DGLAP evolution (RT-VFNS)

- constraints:

- momentum sum rules, quark sum rules
- $x \cdot s_{\bar{s}} = f_s x \cdot D_{\bar{d}}$  strange sea is a fixed fraction  $f_s$  of  $D_{\bar{d}}$  at  $Q_0^2$
- $B_{U_{\bar{u}}} = B_{D_{\bar{d}}}$  and  $B_{u_v} = B_{d_v}$
- $S_{\text{sea}} = 2x \cdot (U_{\bar{u}} + D_{\bar{d}})$
- $U_{\bar{u}} = D_{\bar{d}}$  at  $x=0$

- 10 free parameters are used up to HERAPDF1.5 fitting HERA-1 data:

- $B_g, C_g, B_{u_v}, C_{u_v}, C_{d_v}, A_{D_{\bar{d}}}, B_{D_{\bar{d}}}, C_{D_{\bar{d}}}, C_{U_{\bar{u}}}, E_{u_v}$

- 14 free parameters are used for HERAPDF1.5f, HERAPDF1.6 fitting HERA-1 and HERA-2 data (more data require a more flexible parametrization):

- $A'_g \cdot x^{B'_g} \cdot (1-x)^{C_g}$  term for low- $x$  gluon and  $B_{u_v} \neq B_{d_v}$  to free low- $x$   $u_v$  from  $d_v$

# HERAPDF parametrizations II

$$xf(x, Q_0^2) = Ax^B (1-x)^C (1+Dx+Ex^2 + \epsilon\sqrt{x})$$

extended gluon parametrization:  $A g \cdot x^{B'g} \cdot (1-x)^{Cg} \cdot (1+Dx+Ex^2) - A'g \cdot x^{B'g} \cdot (1-x)^{Cg}$

	A	B	C	D	E	$\epsilon$
uv	Sum rule	free	free	free	free	var
dv	Sum rule	free	free	var	var	var
UBar	$= (1-f_s) A D_{\bar{b}} \bar{b}$	$= B D_{\bar{b}} \bar{b}$	free	var	var	var
DBar	free	free	free	var	var	var
glue	Sum rule	free	free	var	var	var

	A'g	B'g
	free	free

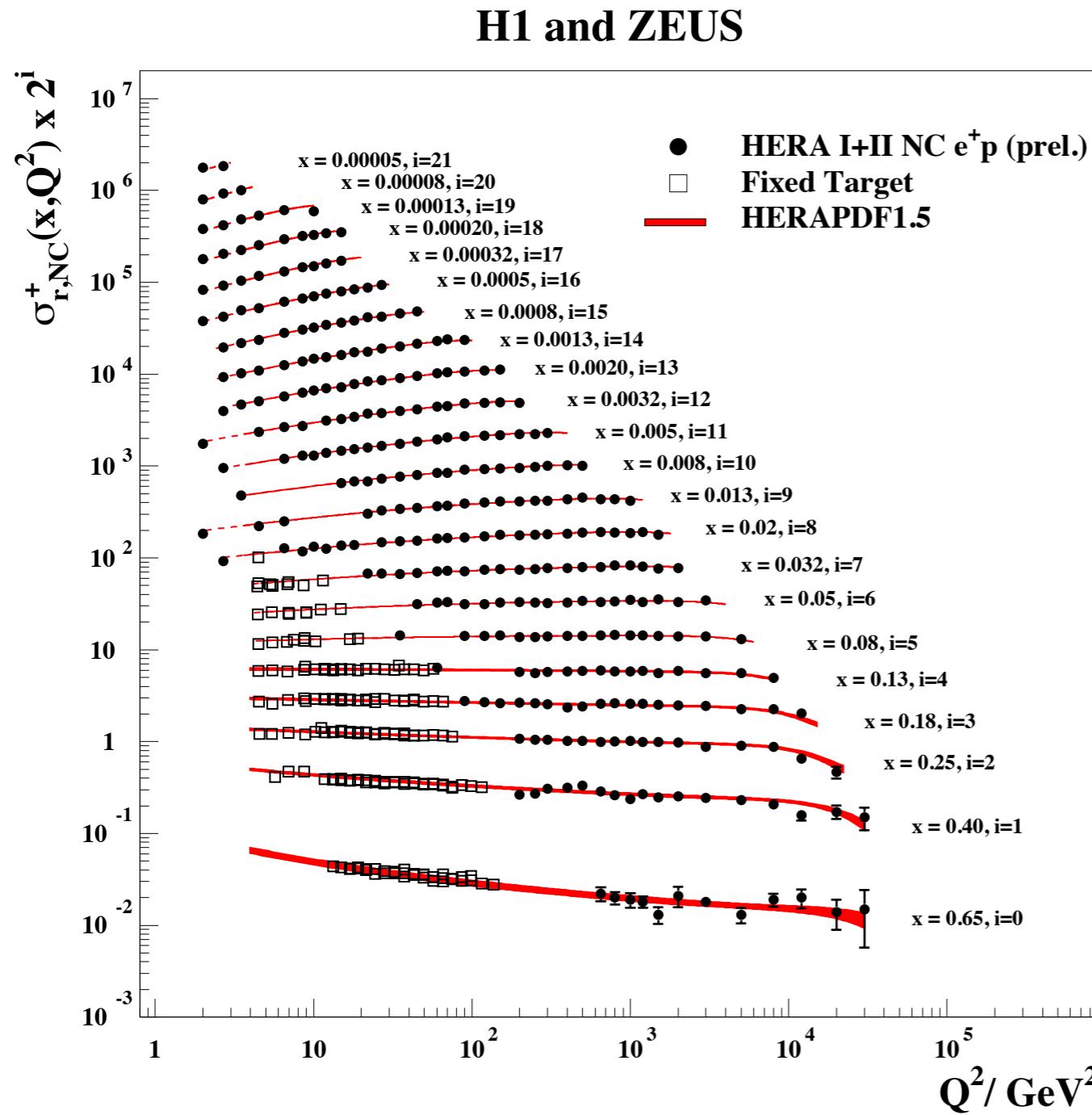
## HERAPDF1.5f & HERAPDF1.6:

- additional parameters:  $B_{dv}$ ,  $D_{uv}$ ,  $A'g$ ,  $B'g$
- estimate of parametrization uncertainty: indicated parametrization variations,  $Q_0^2$
- estimate of model uncertainties:  $m_c$ ,  $m_b$ ,  $f_s$ ,  $Q^2_{min}$  are varied

# Incl. HERA NC $e+p$ cross sections

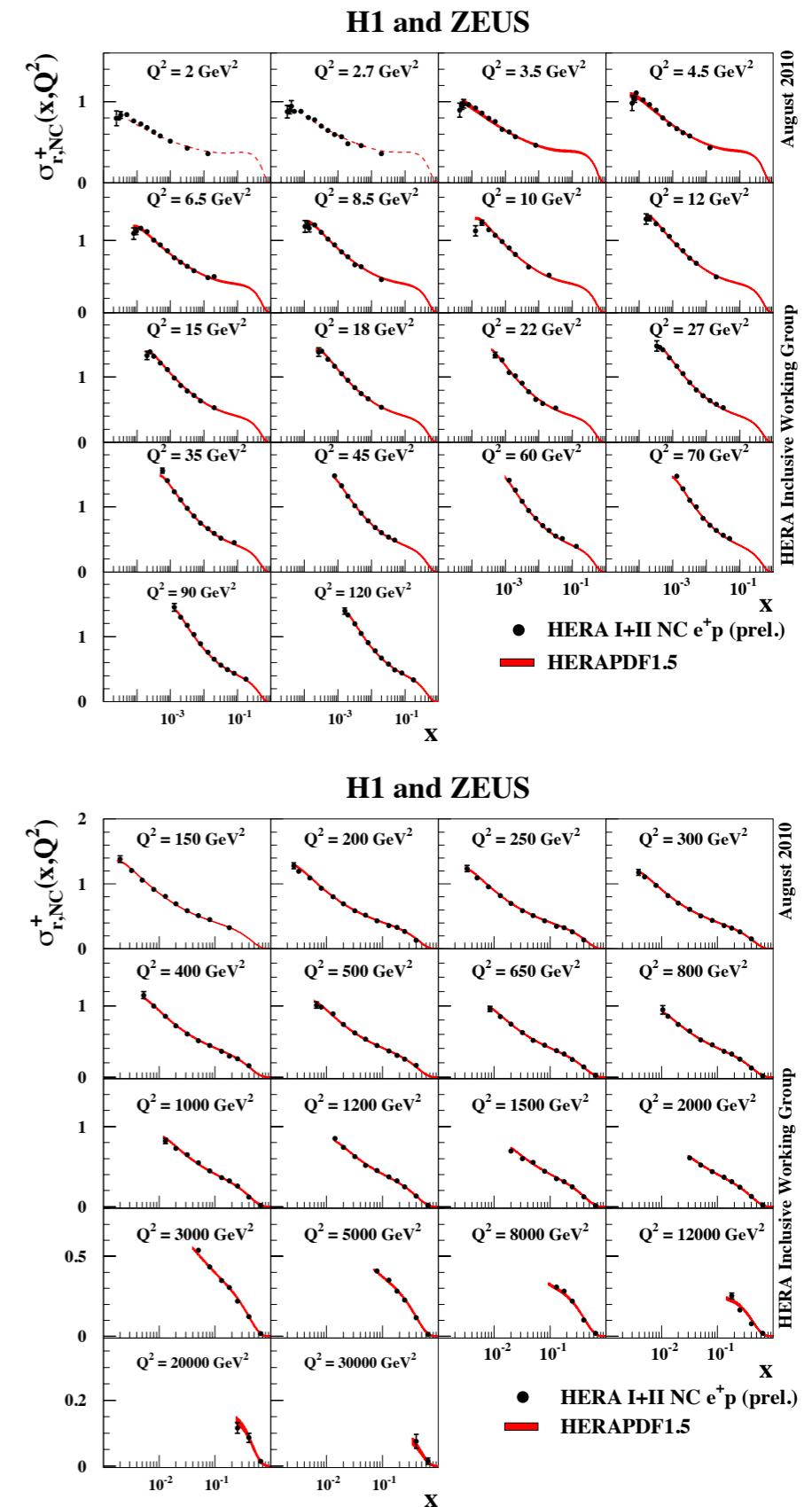
- HERA PS:  $0.045 < Q^2 < 3 \cdot 10^4 \text{ GeV}^2$ ,  $5 \cdot 10^{-5} < x < 0.65$

- combine H1 & ZEUS data



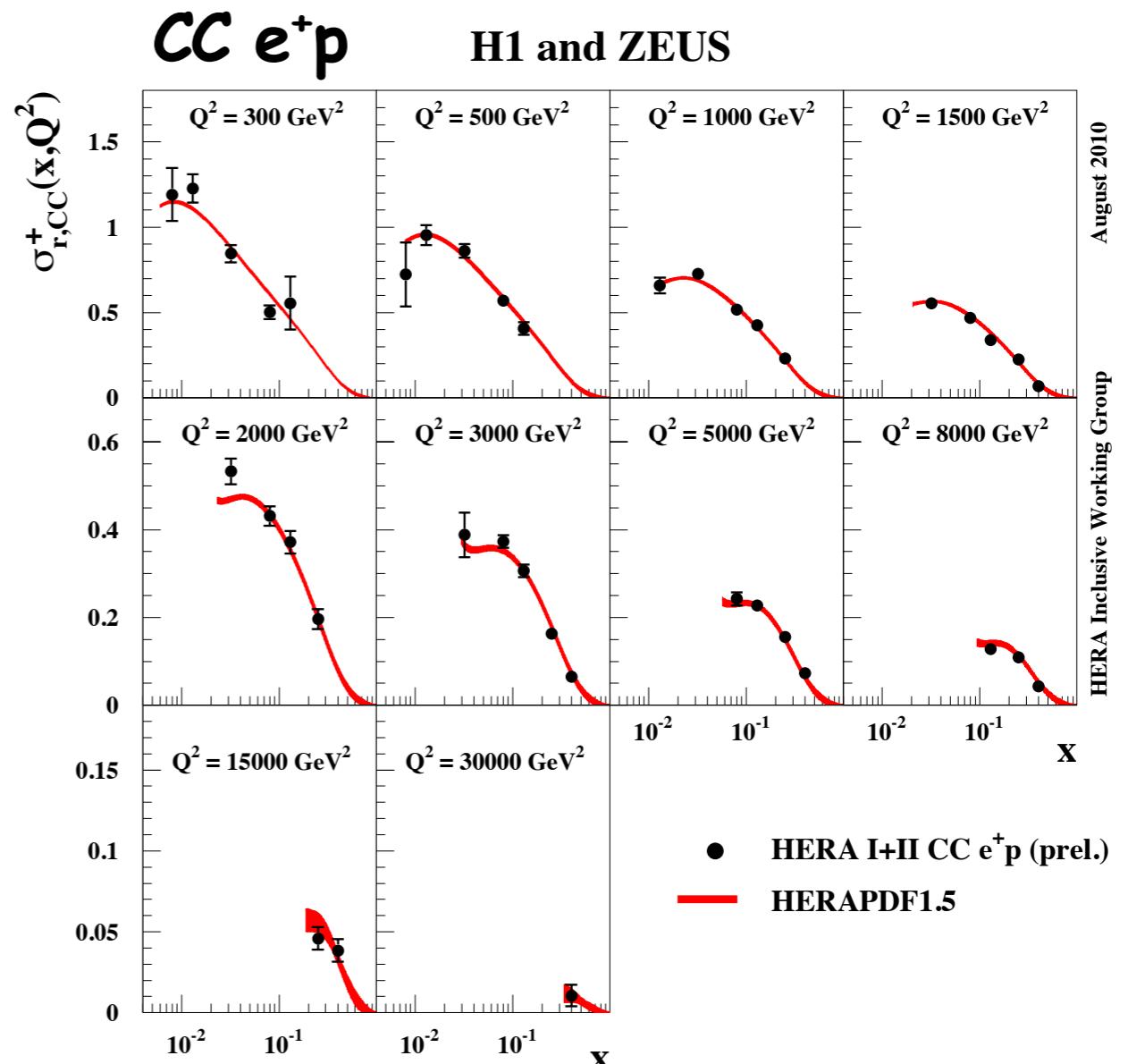
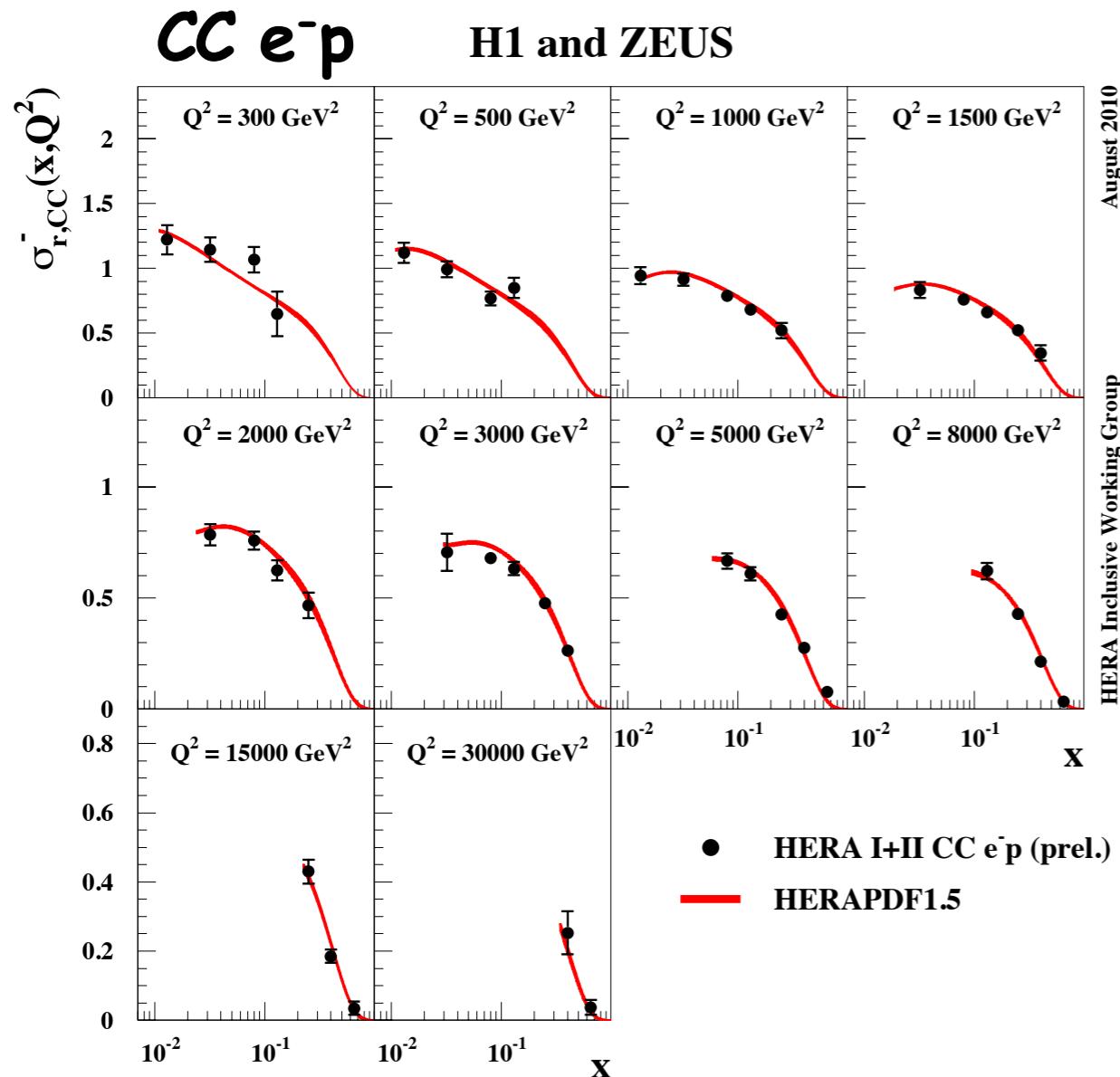
August 2010

HERA Inclusive Working Group



see talk by Rik Yoshida on combined (H1&ZEUS) NC and CC measurements, and H1 & ZEUS, JHEP 1001 109 (2010) + updates

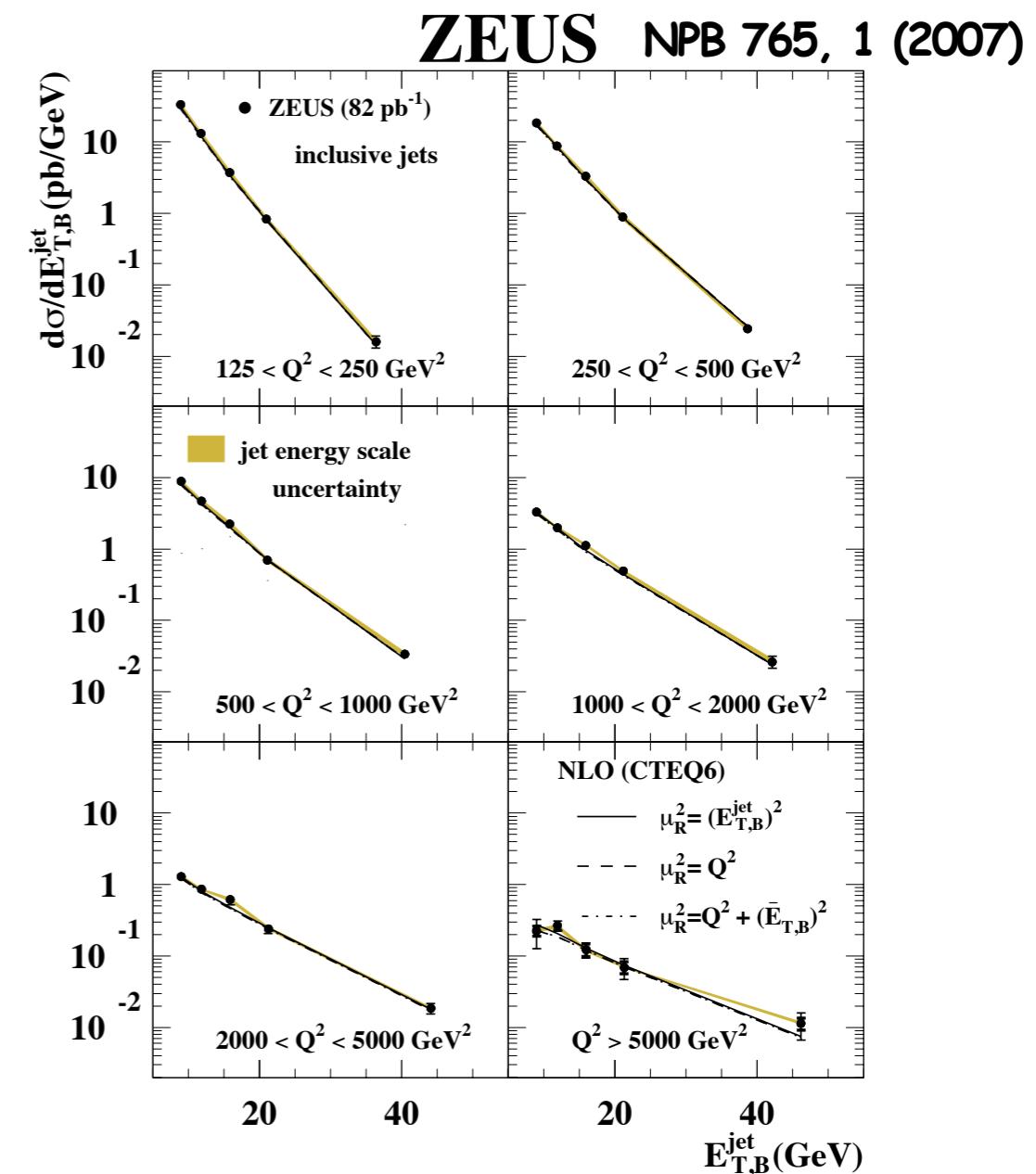
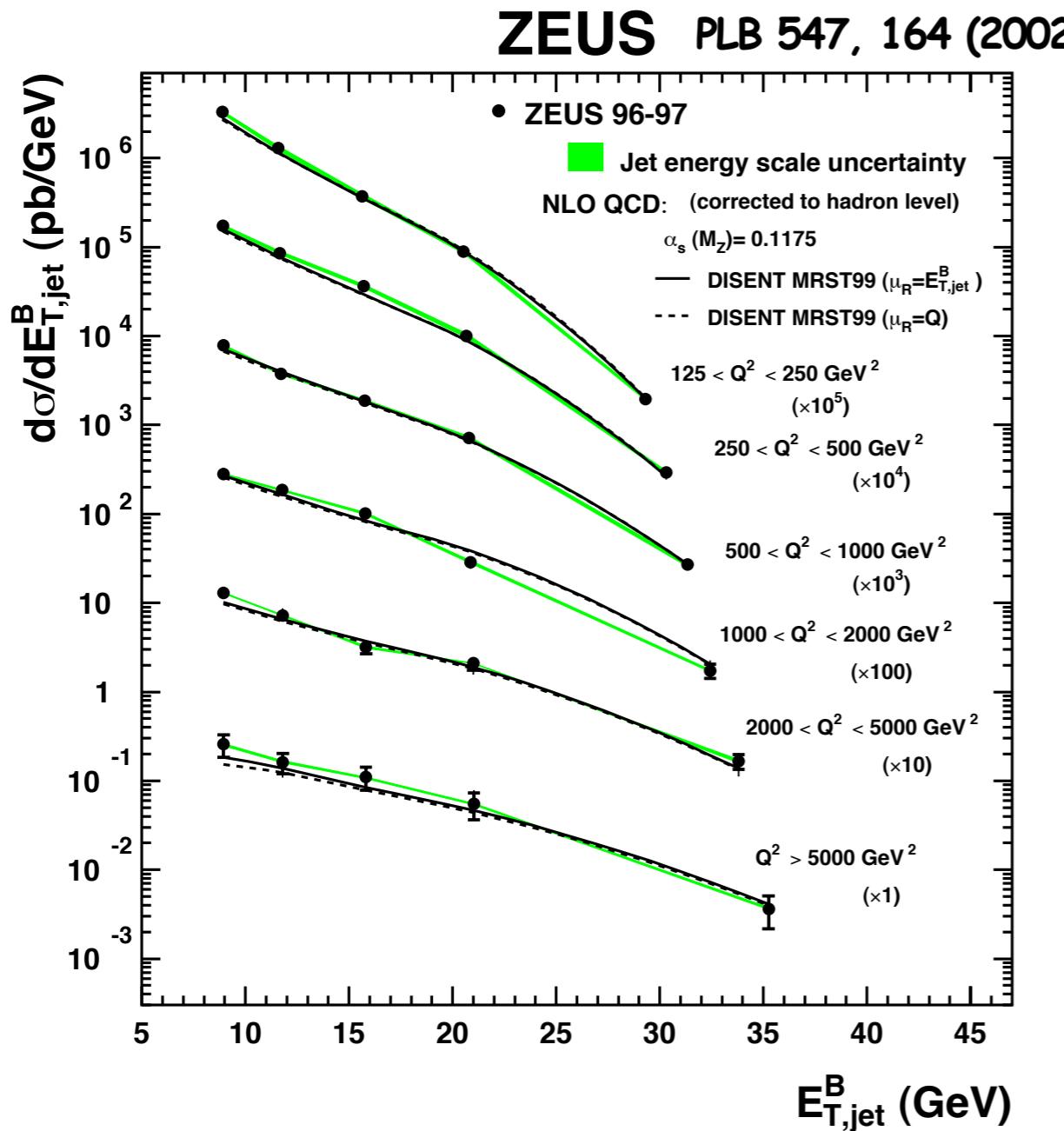
# Incl. HERA CC $e^\pm p$ cross sections



$$\frac{d^2\sigma_{CC}^-}{dx dQ^2} = \frac{G_F^2}{2\pi} \left( \frac{M_W^2}{M_W^2 + Q^2} \right) \cancel{U} + c + (1-y)^2 (\bar{d} + \bar{s})$$

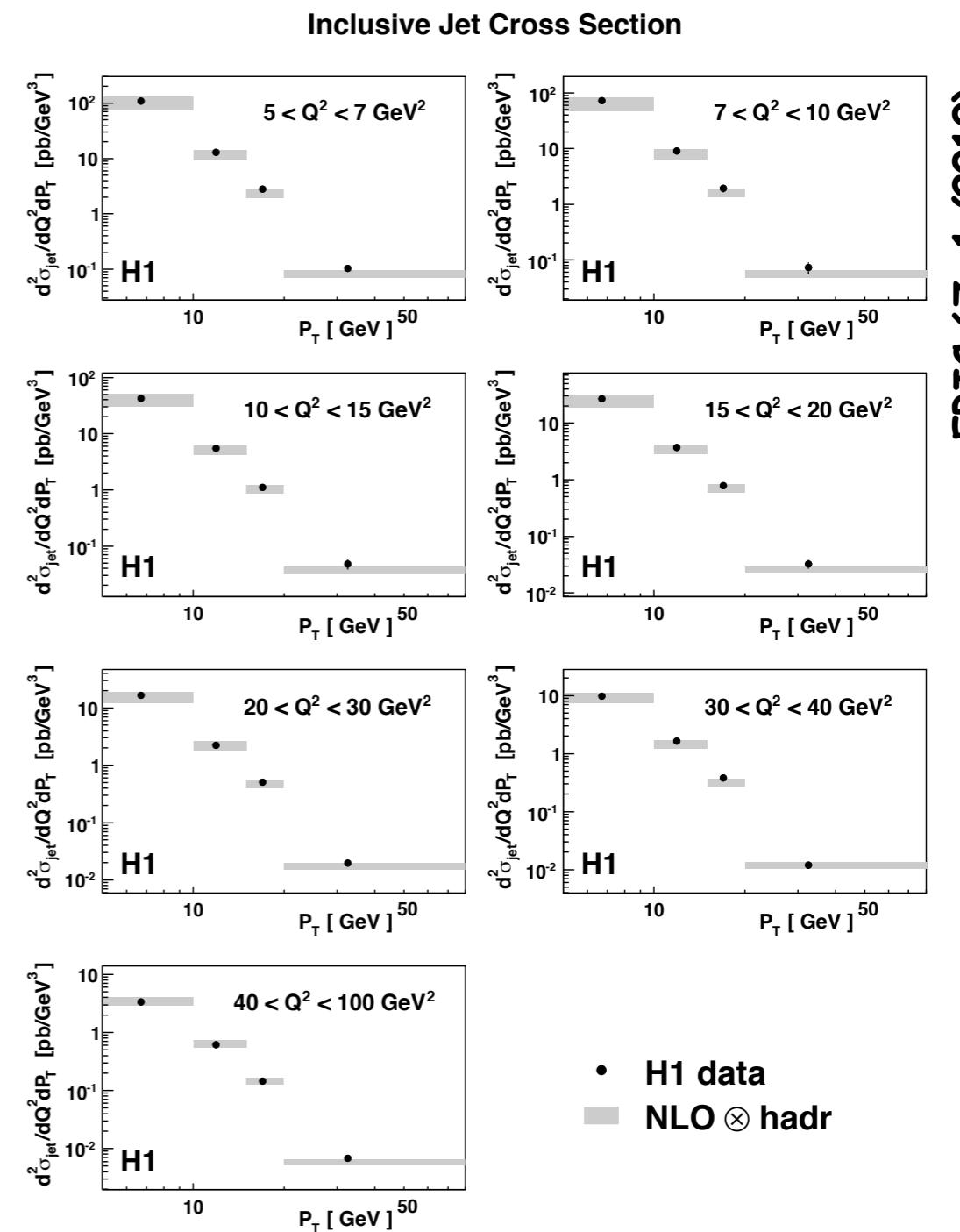
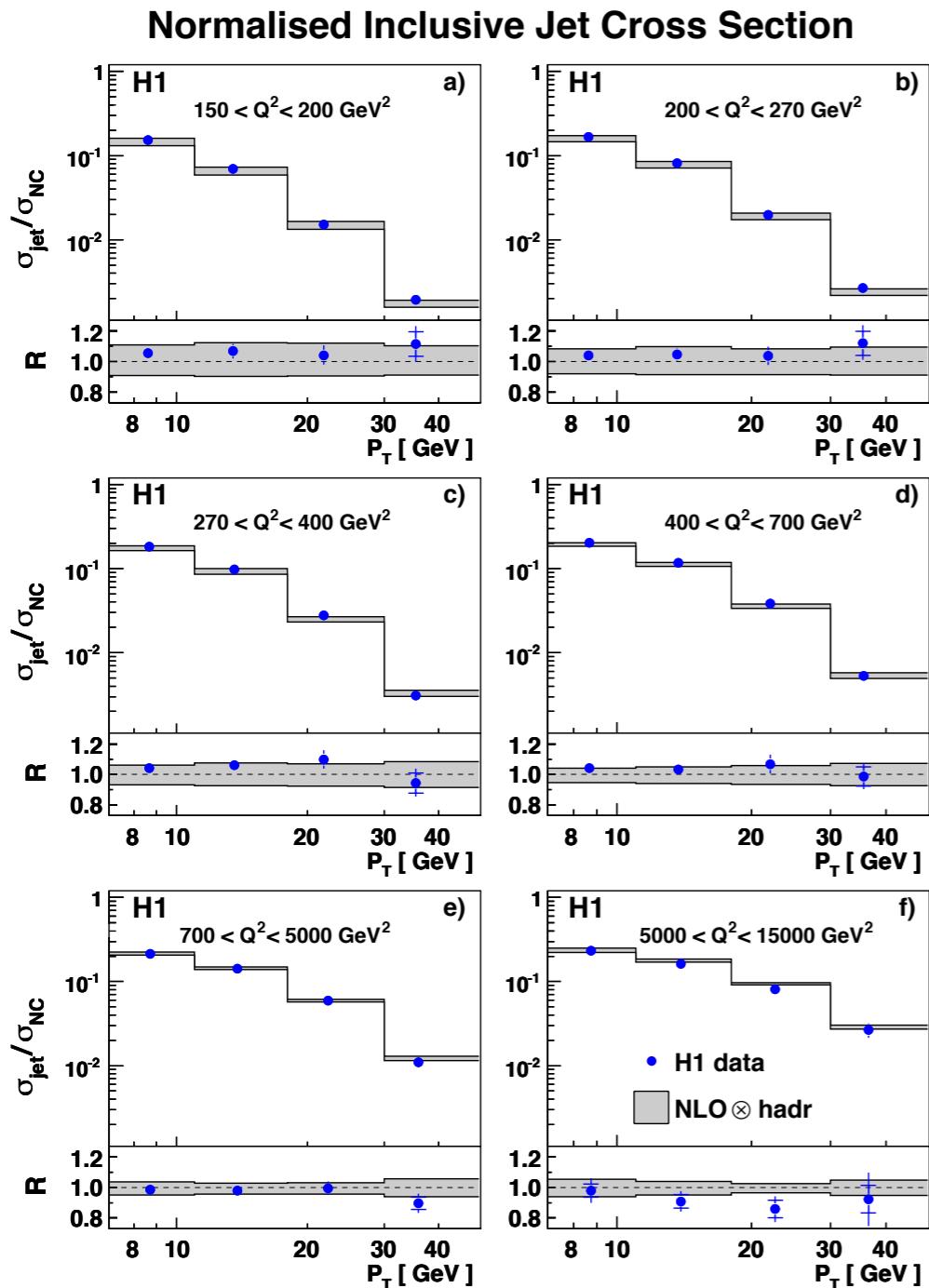
$$\frac{d^2\sigma_{CC}^+}{dx dQ^2} = \frac{G_F^2}{2\pi} \left( \frac{M_W^2}{M_W^2 + Q^2} \right) \cancel{\bar{U}} + \bar{c} + (1-y)^2 (\cancel{d} - \cancel{s})$$

# Incl. jet cross sections (ZEUS)



exp. uncertainty for inclusive jets at high  $Q^2$ : ~ 15% uncorrelated, 4% correlated

# Incl. jet cross sections (H1)

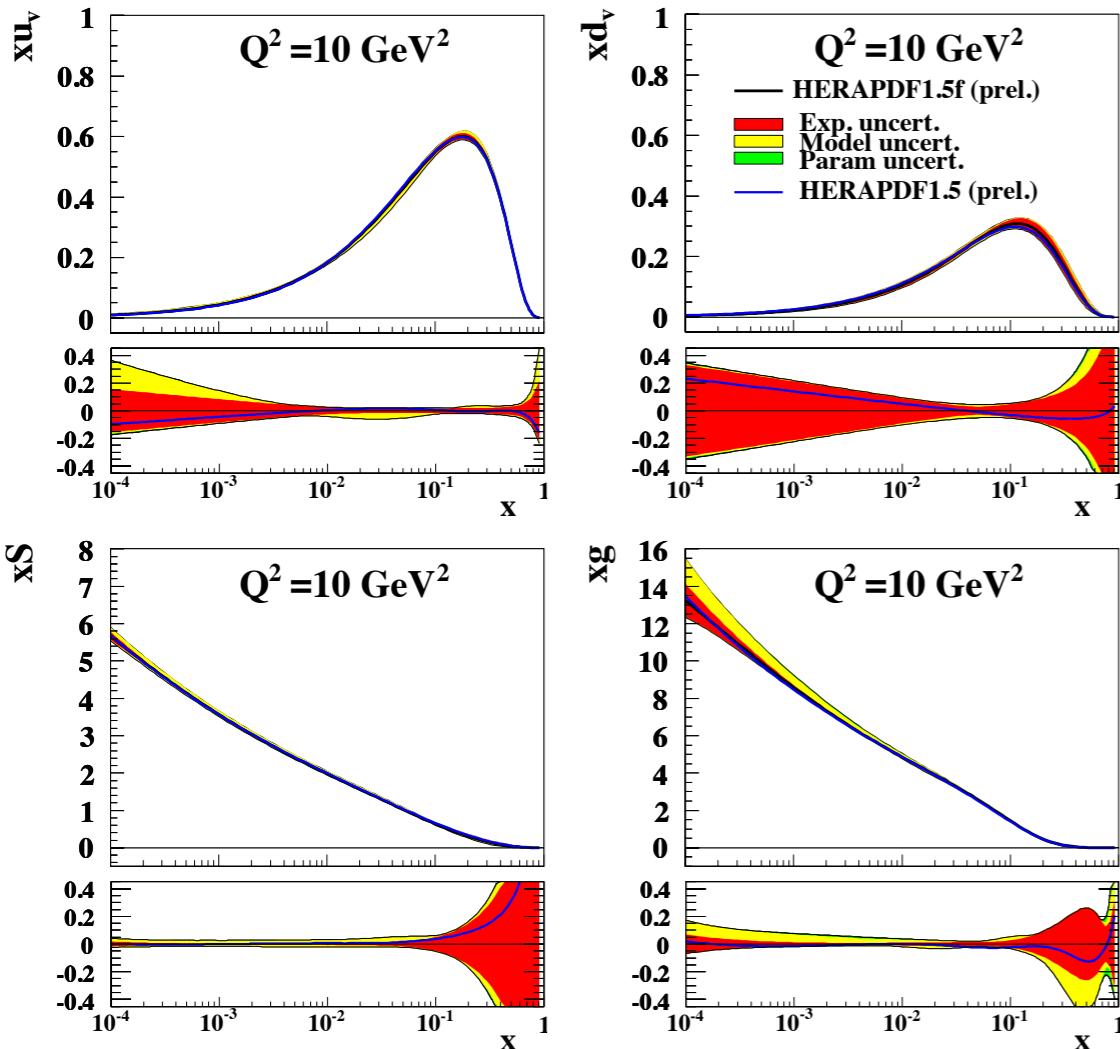


exp. uncertainty for normalized inclusive jets  
at high  $Q^2$ : ~ 6% uncorrelated, 3% correlated

for inclusive jets at low  $Q^2$ :  
~ 9% uncorrelated, 8% correlated

# HERAPDF1.5f (no jets) vs HERAPDF1.6 (+jets)

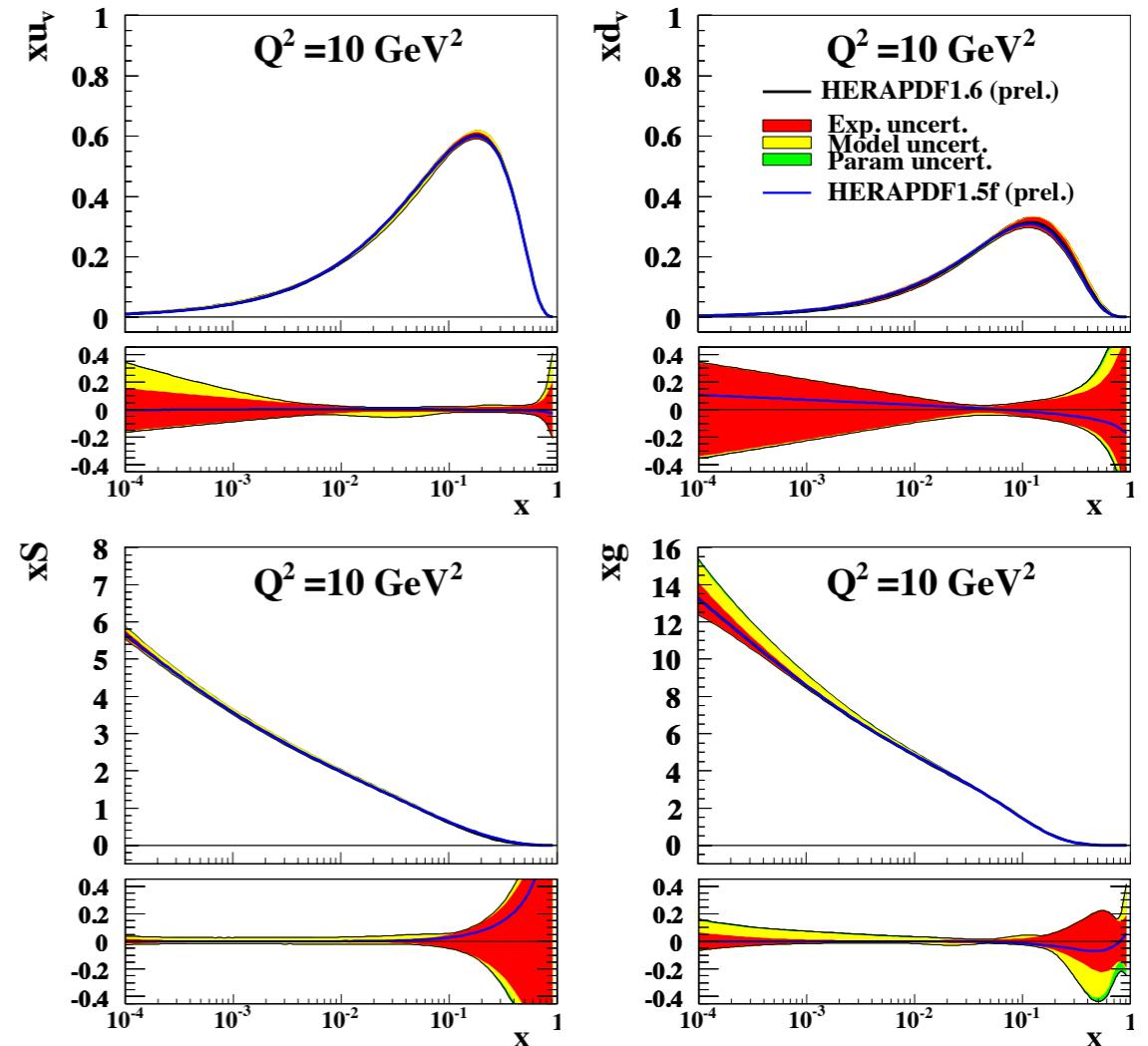
H1 and ZEUS HERA I+II 14 parameter PDF Fit **no jets**



March 2011

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H1 and ZEUS HERA I+II PDF Fit **with jets**



March 2011

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$$\text{fixed } \alpha_s(M_Z) = 0.1176$$

→ adding jet data results in almost no differences, besides a softer high- $x$  Sea and a marginal reduction in the high- $x$  gluon uncertainty

H1prelim-11-034  
ZEUS-prel-11-001

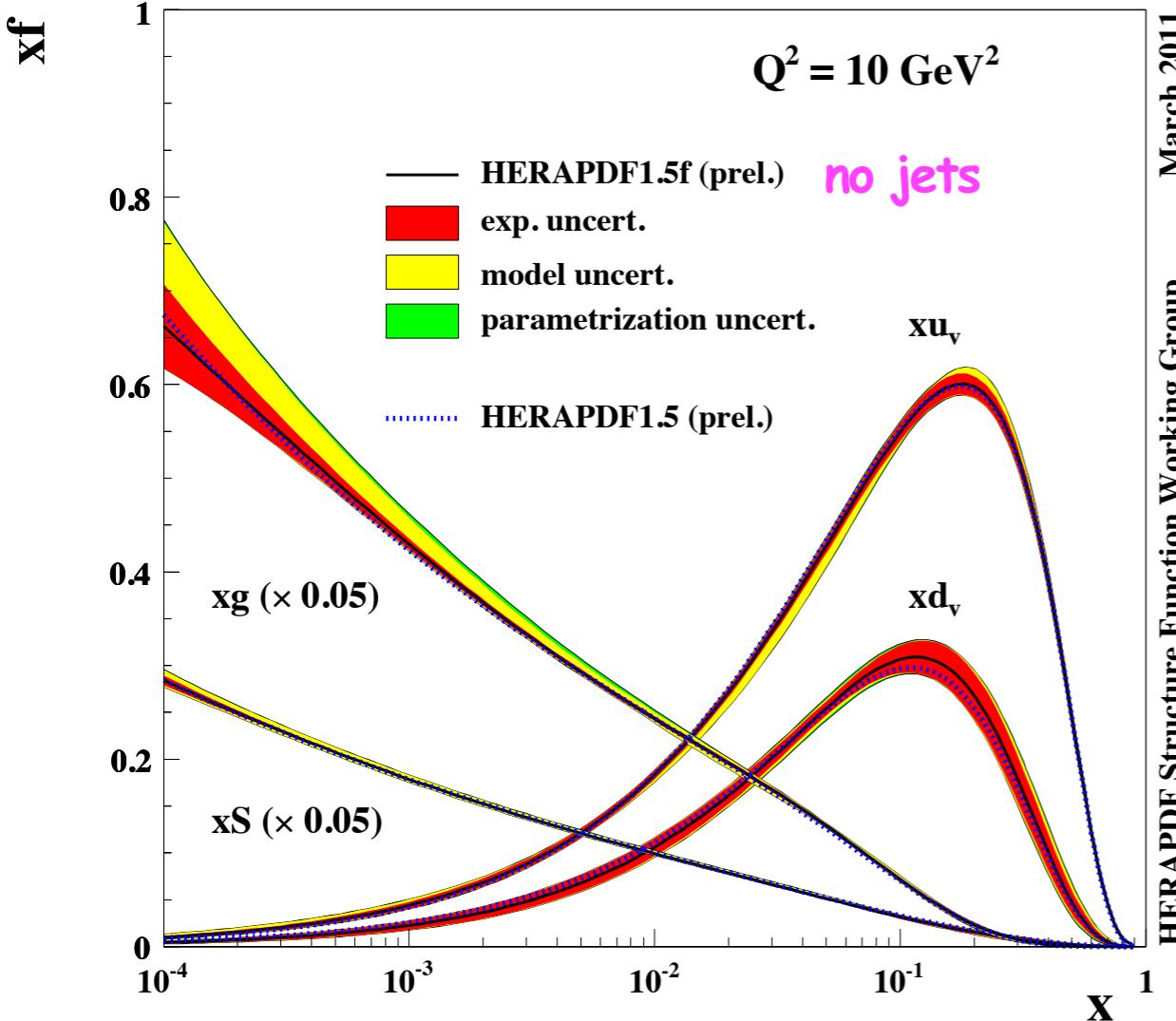
# HERAPDF1.5f (no jets) vs HERAPDF1.6 (+jets)



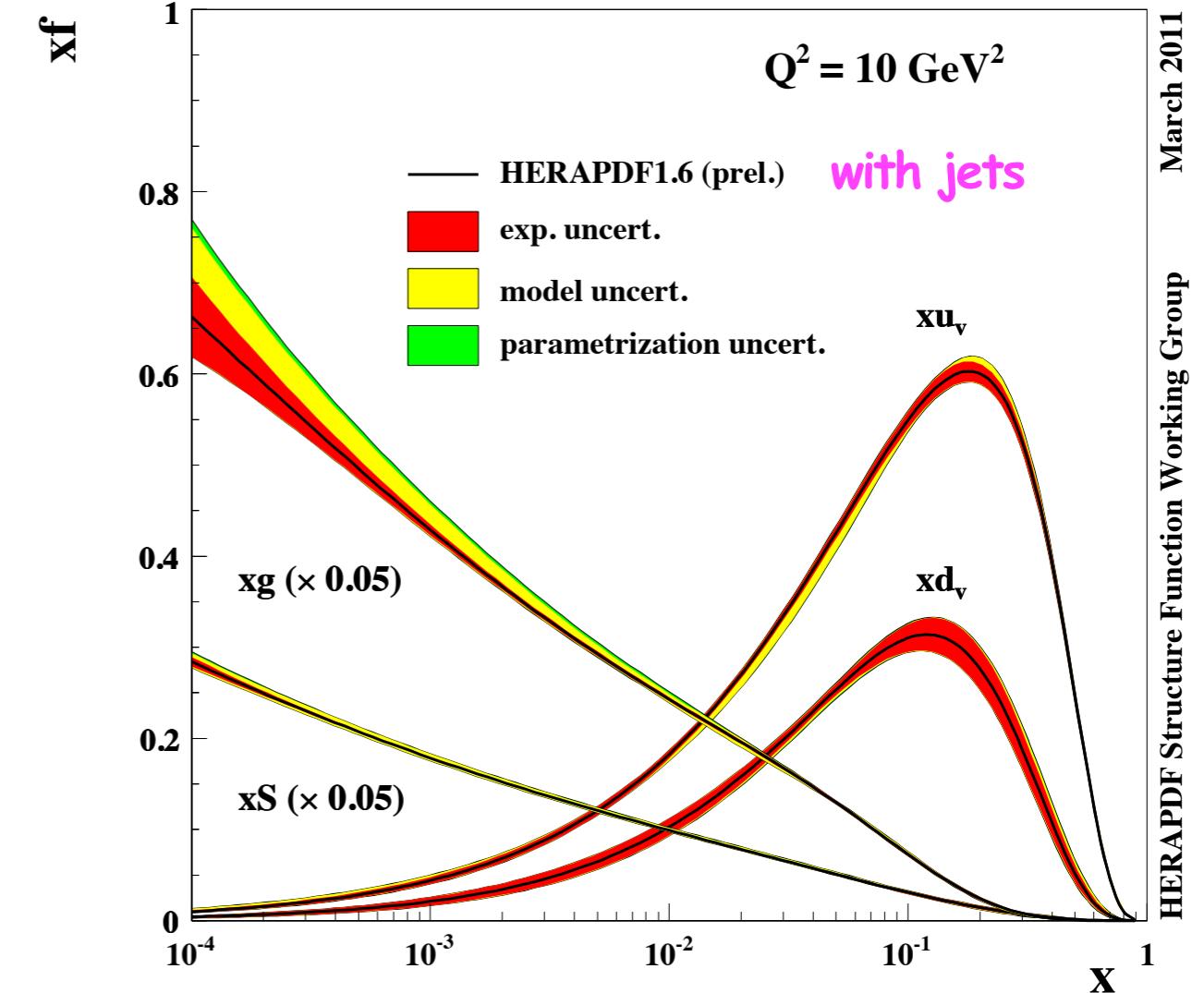
fixed  $\alpha_s(M_Z) = 0.1176$



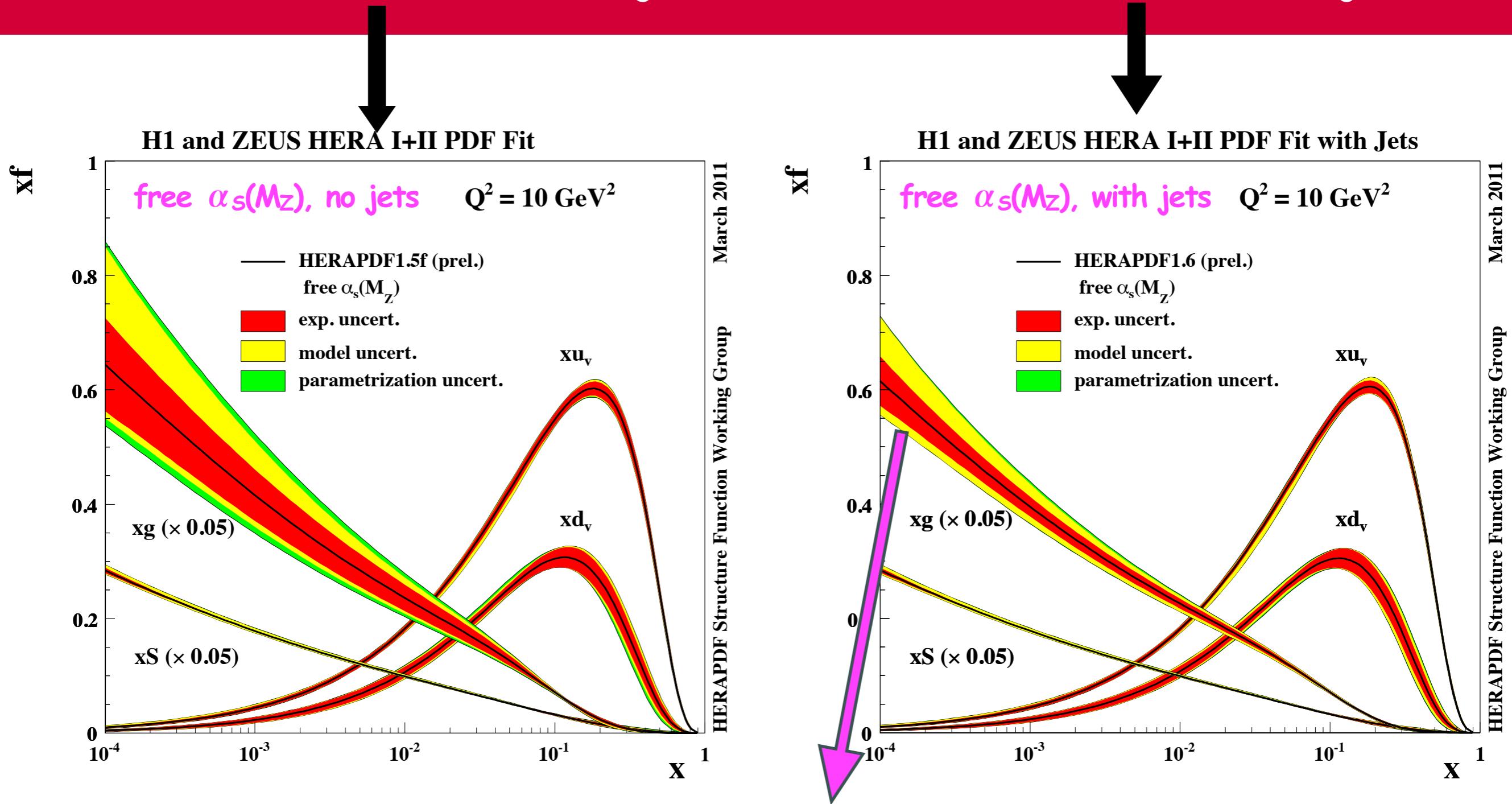
H1 and ZEUS HERA I+II 14 parameter PDF Fit



H1 and ZEUS HERA I+II PDF Fit with Jets

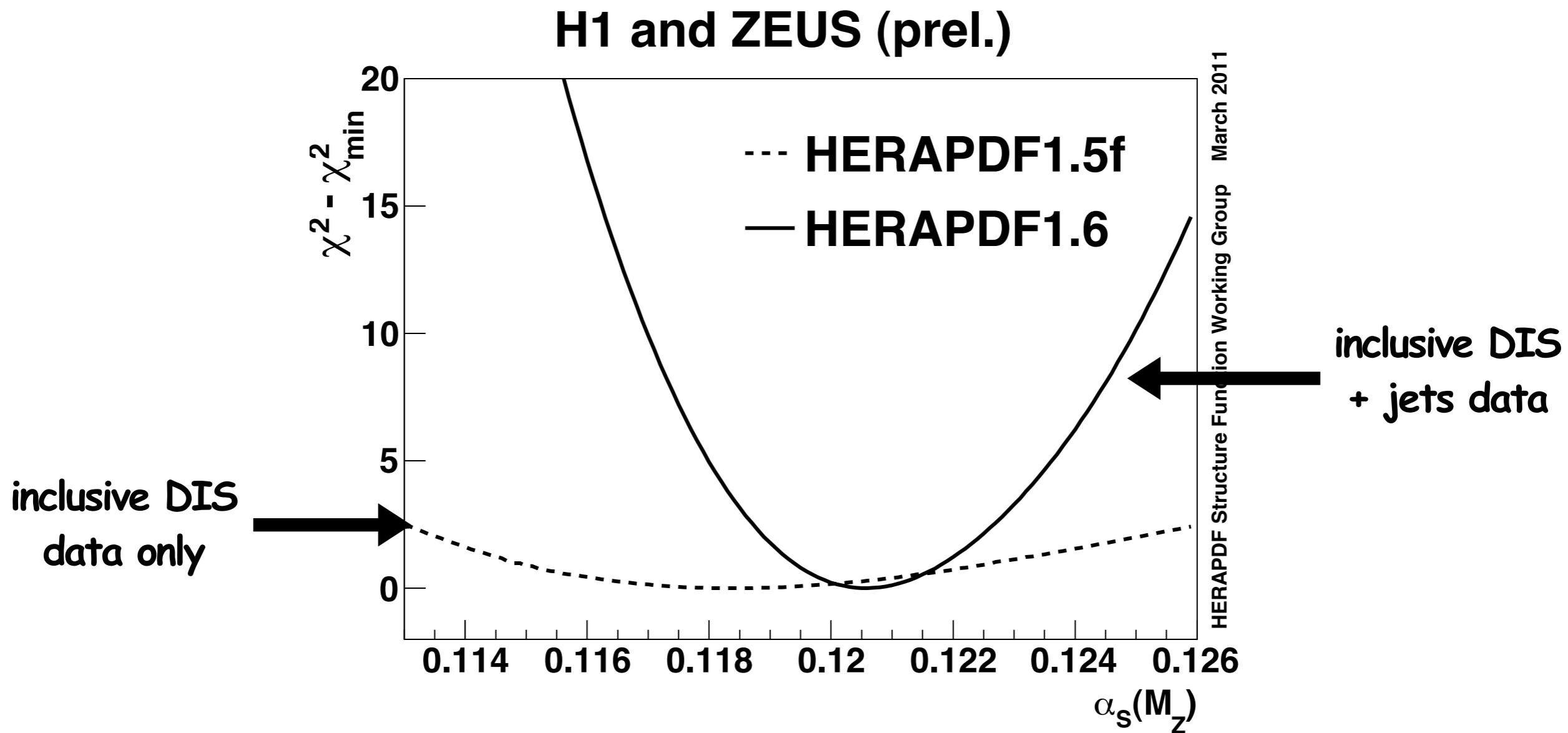


# HERAPDF1.5f (no jets) vs HERAPDF1.6 (+jets)



adding jet data dramatically decreases the low- $x$  gluon uncertainty, not only the exp. but also the model and parametrization uncertainties

# HERAPDF1.5f & 1.6 and $\alpha_s(M_z)$ scan



→ adding jet data successfully reduces the correlation of  $\alpha_s$  and the gluon

# $\alpha_s(M_Z)$ from incl. DIS & jets in DIS

$$\alpha_s(M_Z) = 0.1202 \pm 0.0013(\text{exp}) \pm 0.0007(\text{model/param}) \pm 0.0012(\text{hadronization}) \pm^{+0.0045}_{-0.0036}(\text{scale})$$

$$\alpha_s(M_Z) = 0.1202 \pm 0.0019(\text{exp/model/param/hadronization}) \pm^{+0.0045}_{-0.0036}(\text{scale})$$

scale uncertainty from variation of renormalization  
& factorization scale by a factor of  $\frac{1}{2}$  and 2

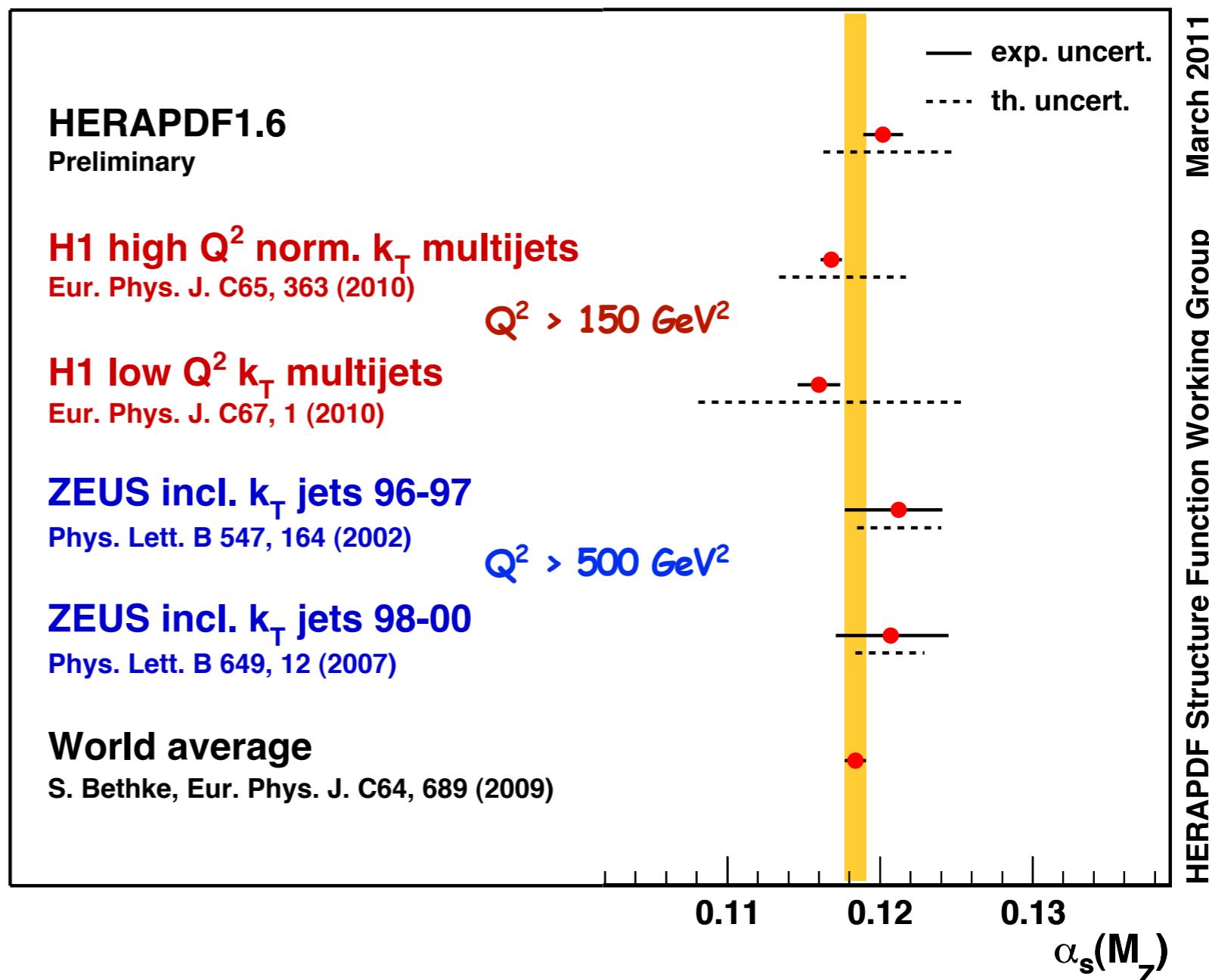
HERAPDF1.6 $\alpha_s(M_Z)=0.1176$		$\chi^2$	$N_{\text{data}}$
all data		811.5	780
inclusive data		730.2	674
jet data		81.3	106

HERAPDF1.6 $\alpha_s(M_Z)$ free		$\chi^2$	$N_{\text{data}}$
all data		807.6	780
inclusive data		730.0	674
jet data		77.6	106

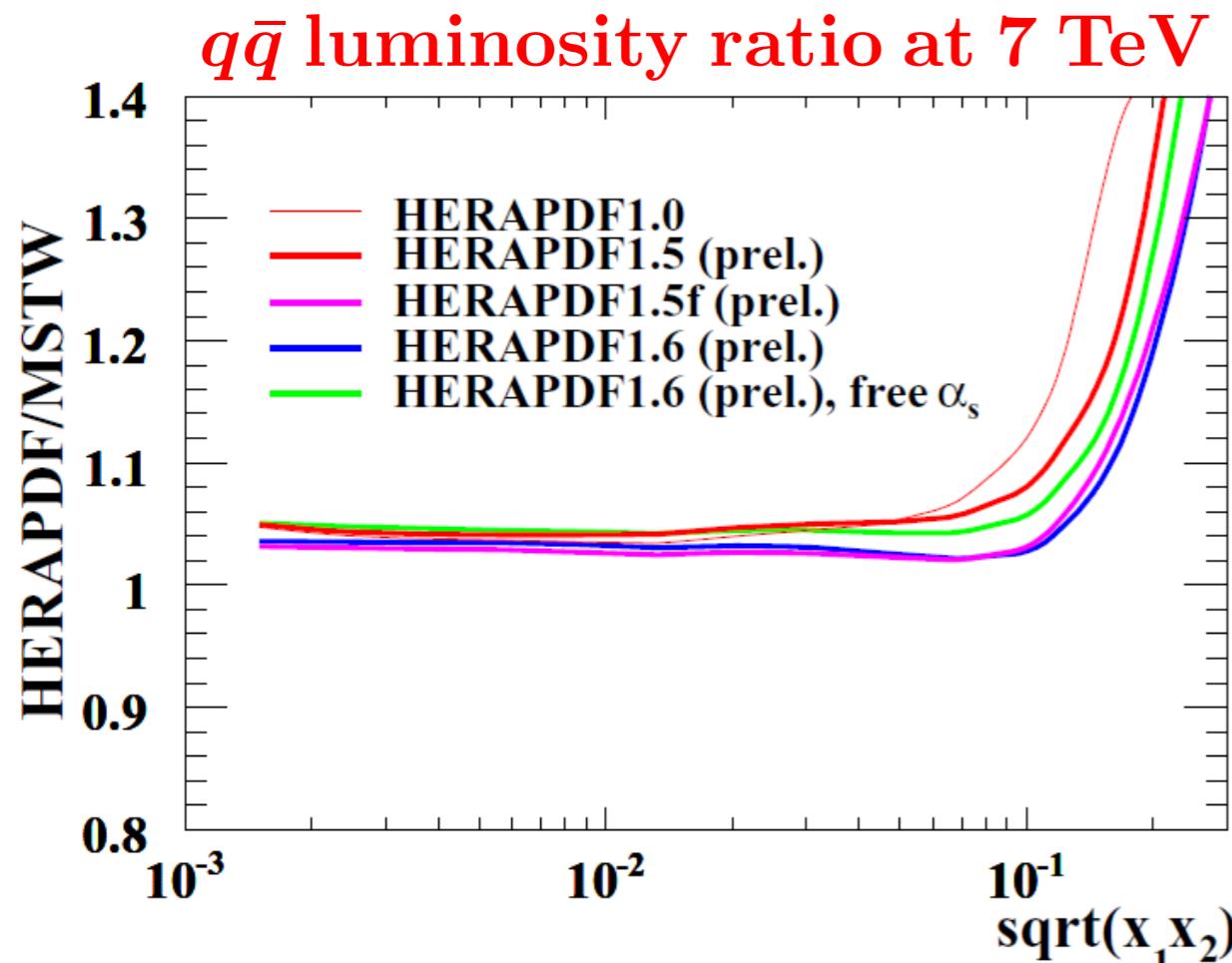
# $\alpha_s(M_Z)$ summary

- for HERAPDF1.6 the PDF uncertainty is part of the exp. uncertainty
- for the H1 and ZEUS results from jets only, it is part of the theory uncertainty

## H1 and ZEUS (prel.)

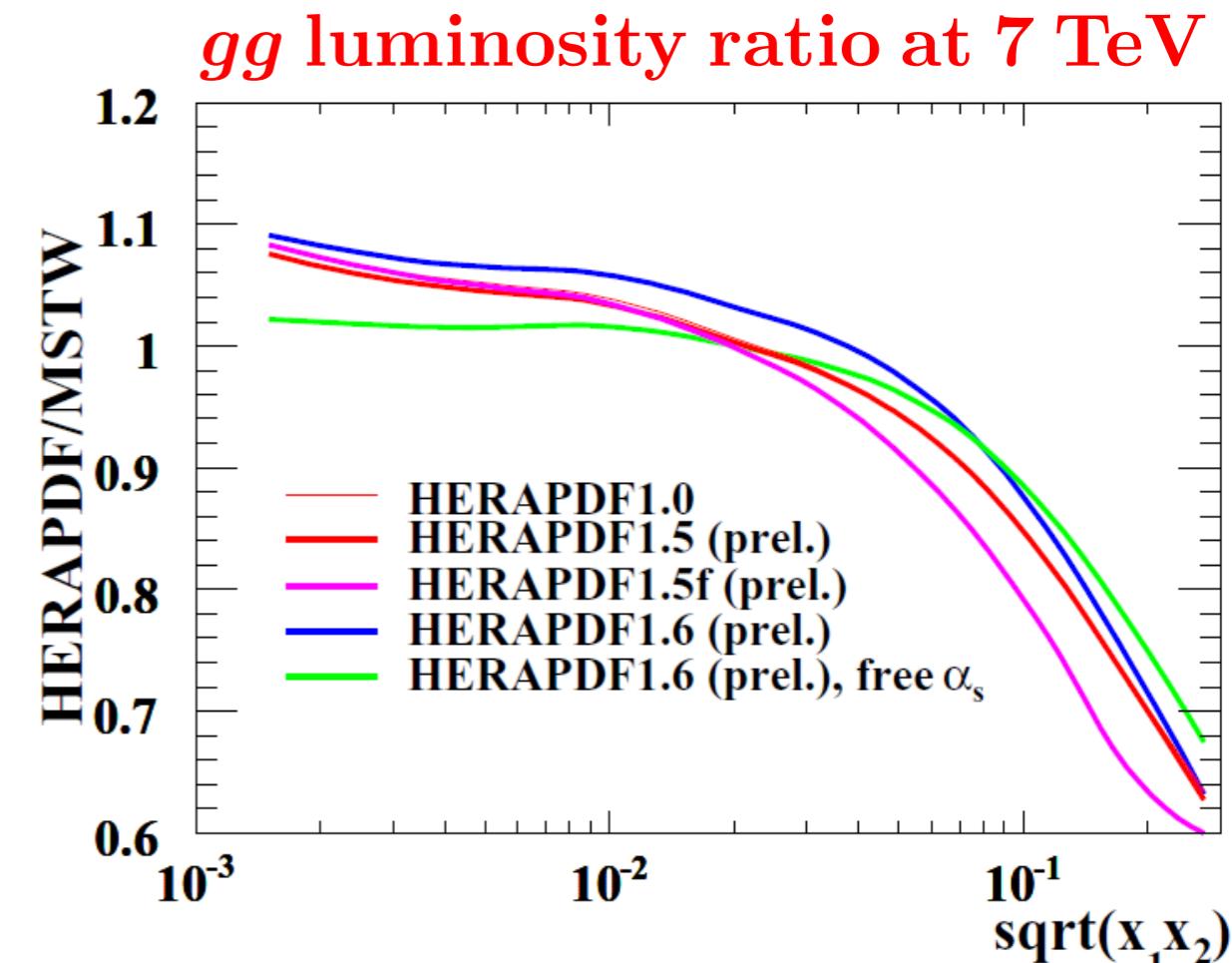


# LHC parton lumis: HERAPDFs/MSTW2008



from HERAPDF1.5f (no jets) to  
HERAPDF1.6 (+jets) "no" difference,

HERAPDF1.6 (+jets & free  $\alpha_s$ ) leads  
to a small increase at high- $x$



from HERAPDF1.5f (no jets) to  
HERAPDF1.6 (+jets) increases the  
gluon, particularly at high- $x$ ,

HERAPDF1.6 (+jets & free  $\alpha_s$ ) leads  
in addition to a decrease at low- $x$

# Summary

- An NLO QCD fit with simultaneous determination of the PDFs &  $\alpha_s(M_z)$  was performed using HERA data only.
- Combined H1 and ZEUS inclusive DIS cross sections together with inclusive jet cross sections from H1 and ZEUS are used.
- Including jet data in the fit and letting  $\alpha_s(M_z)$  free
  - dramatically reduces the correlation between the gluon PDF and  $\alpha_s(M_z)$  compared to fits without jets
  - the precision of the gluon PDF is improved and an accurate and unbiased determination of  $\alpha_s(M_z)$  is achieved, with a value consistent with the world average.



# HERA Combined results



[HERA results](#)

[H1 home page](#)

[ZEUS home page](#)

## HERAPDF table

[https://www.desy.de/h1zeus/combined\\_results/herapdfable/](https://www.desy.de/h1zeus/combined_results/herapdfable/)

NAME	NC and CC DIS	NC, lower E(p_beam)	Jets	Charm	Docu	Grids	Data comparison	Date
HERAPDF1.7 NLO	<a href="#">HERAI + partial HERAI</a>	<a href="#">H1+ZEUS</a>	H1 and ZEUS(1)	<a href="#">H1+ZEUS</a>	<a href="#">Figures</a>	N.A.		June 2011
HERAPDF1.6 NLO	<a href="#">HERAI + partial HERAI</a>	---	H1 and ZEUS(1)	---	<a href="#">Writeup and figures</a>	N.A.		March 2011
HERAPDF1.5 NNLO	<a href="#">HERAI + partial HERAI</a>	---	---	---	<a href="#">Figures</a>	<a href="#">LHAPDF beta 5.8.6</a>		March 2011
HERAPDF1.5 NLO	<a href="#">HERAI + partial HERAI</a>	---	---	---	<a href="#">Figures</a>	<a href="#">LHAPDF beta 5.8.6</a>		July 2010
Charm mass scan	<a href="#">HERAI</a>	---	---	<a href="#">H1+ZEUS</a>	<a href="#">Writeup and figures</a>	---		August 2010
HERAPDF1.0 NNLO	<a href="#">HERAI</a>	---	---	---	ICHEP2010 <a href="#">writeup</a> and <a href="#">figures</a>	<a href="#">Docu for LHAPDF</a>		April 2010
	<a href="#">HERAI</a>	<a href="#">H1+ZEUS</a>	---	---	<a href="#">Writeup and figures</a>	N.A.		April 2010
	<a href="#">HERAI</a>	---	---	<a href="#">H1+ZEUS</a>	DIS2010 <a href="#">writeup</a> and <a href="#">figures</a>	N.A.		April 2010
HERAPDF1.0 NLO PUBLISHED	<a href="#">HERAI</a>	---	---	---	<a href="#">Paper</a> <a href="#">HERAPDF1.0 page</a>	<a href="#">LHAPDF</a>	<a href="#">Benchmarking</a> HERAPDF1.0	Nov. 2009

(1) H1 jets data: [1](#) and [2](#), ZEUS jets data: [1](#) and [2](#).

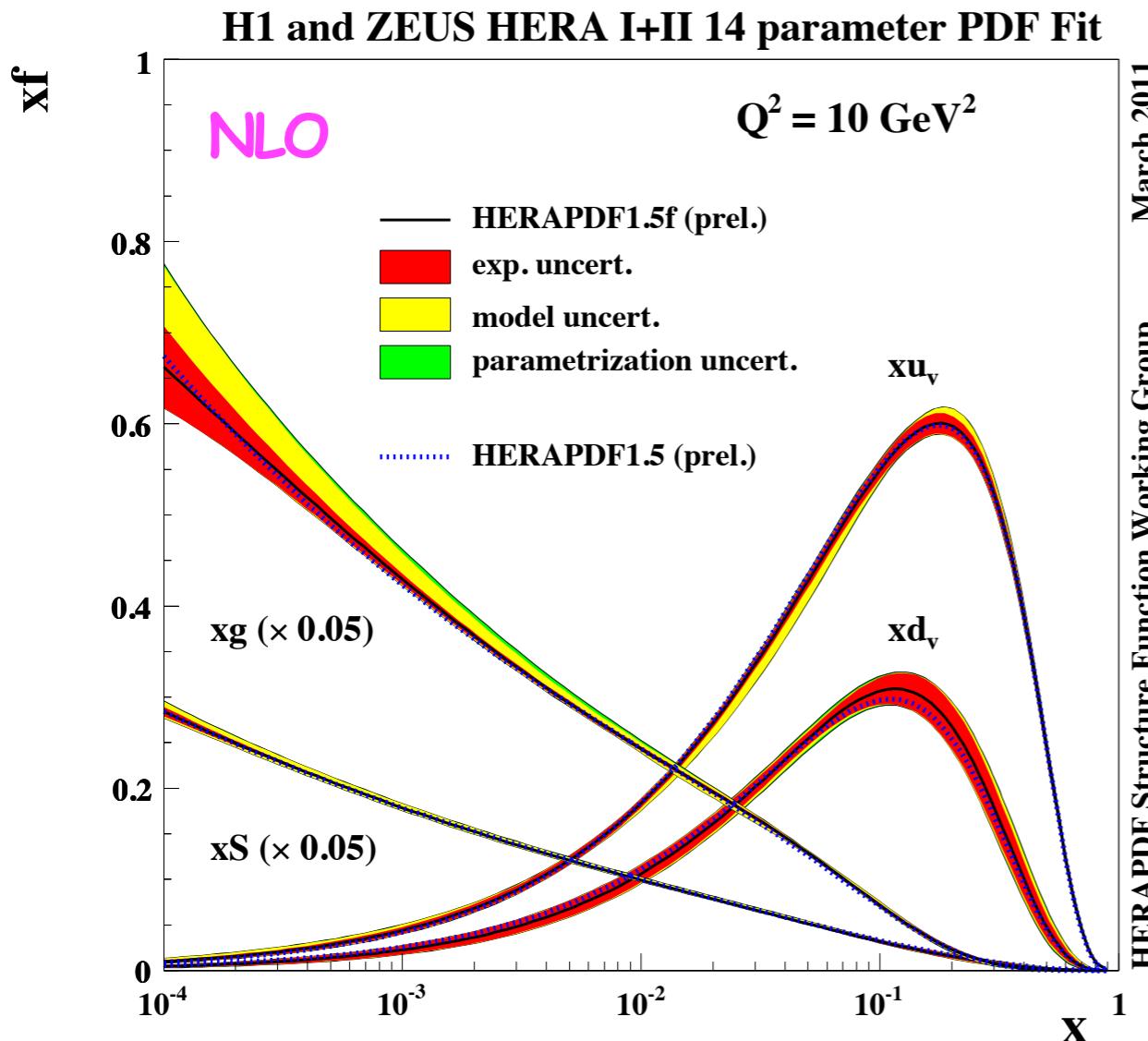
More information on the results can be obtained from the [contact persons](#) and/or from the [H1 and ZEUS management](#).

Last modified: Wed Jul 13 16:32:06 CEST 2011

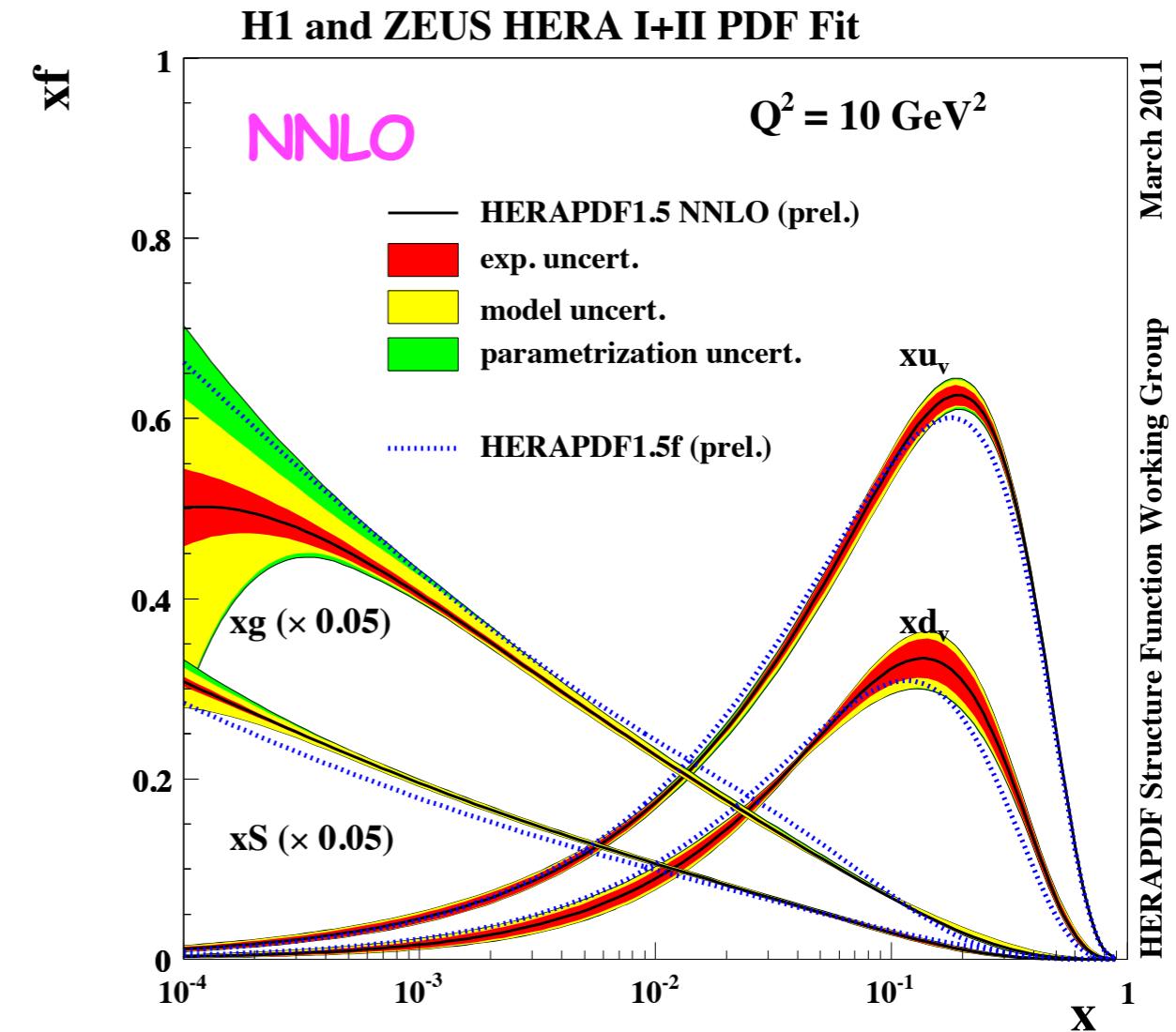
# Extras

# HERAPDF1.5 NLO & NNLO

fixed  $\alpha_s(M_Z) = 0.1176$



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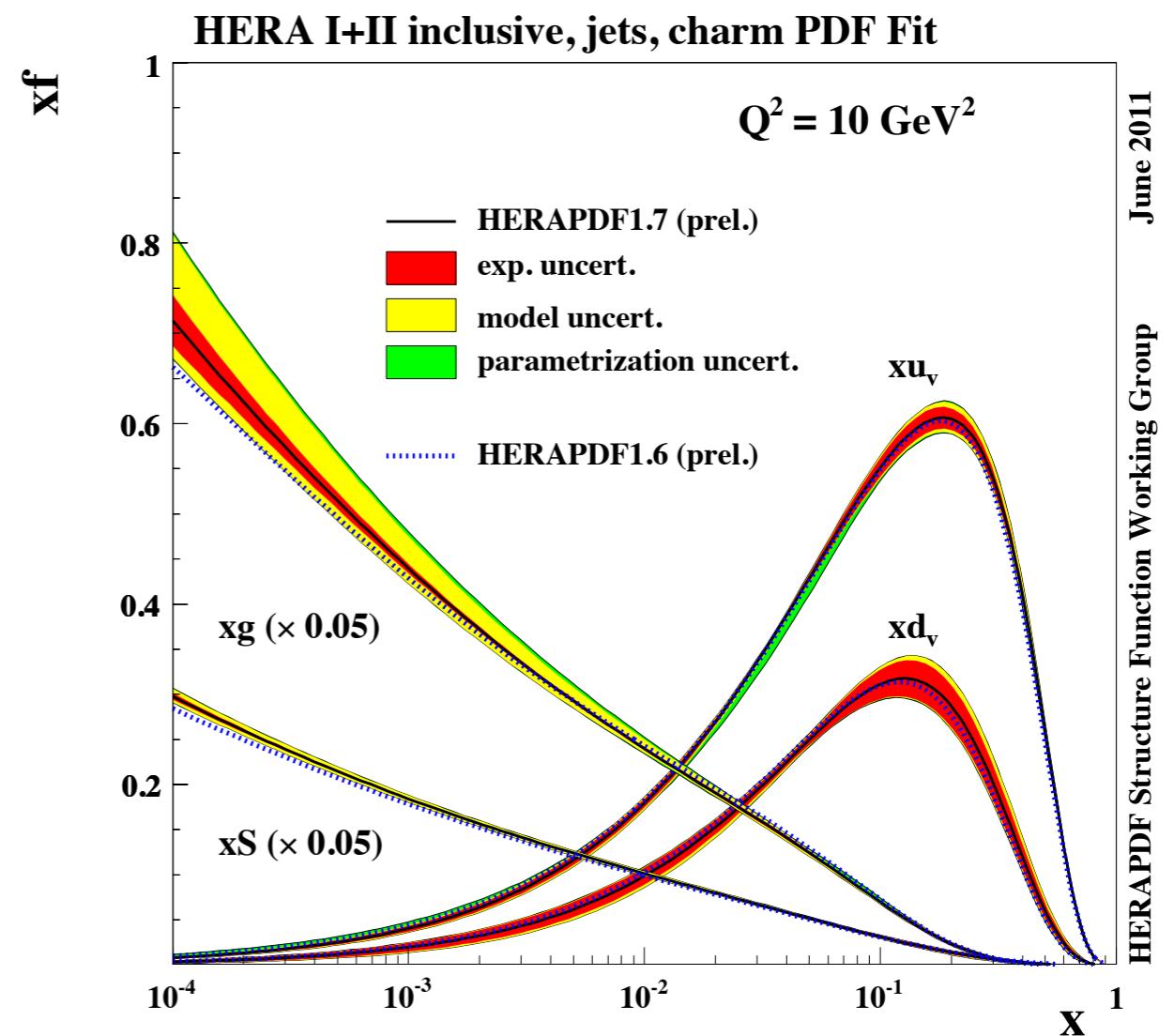
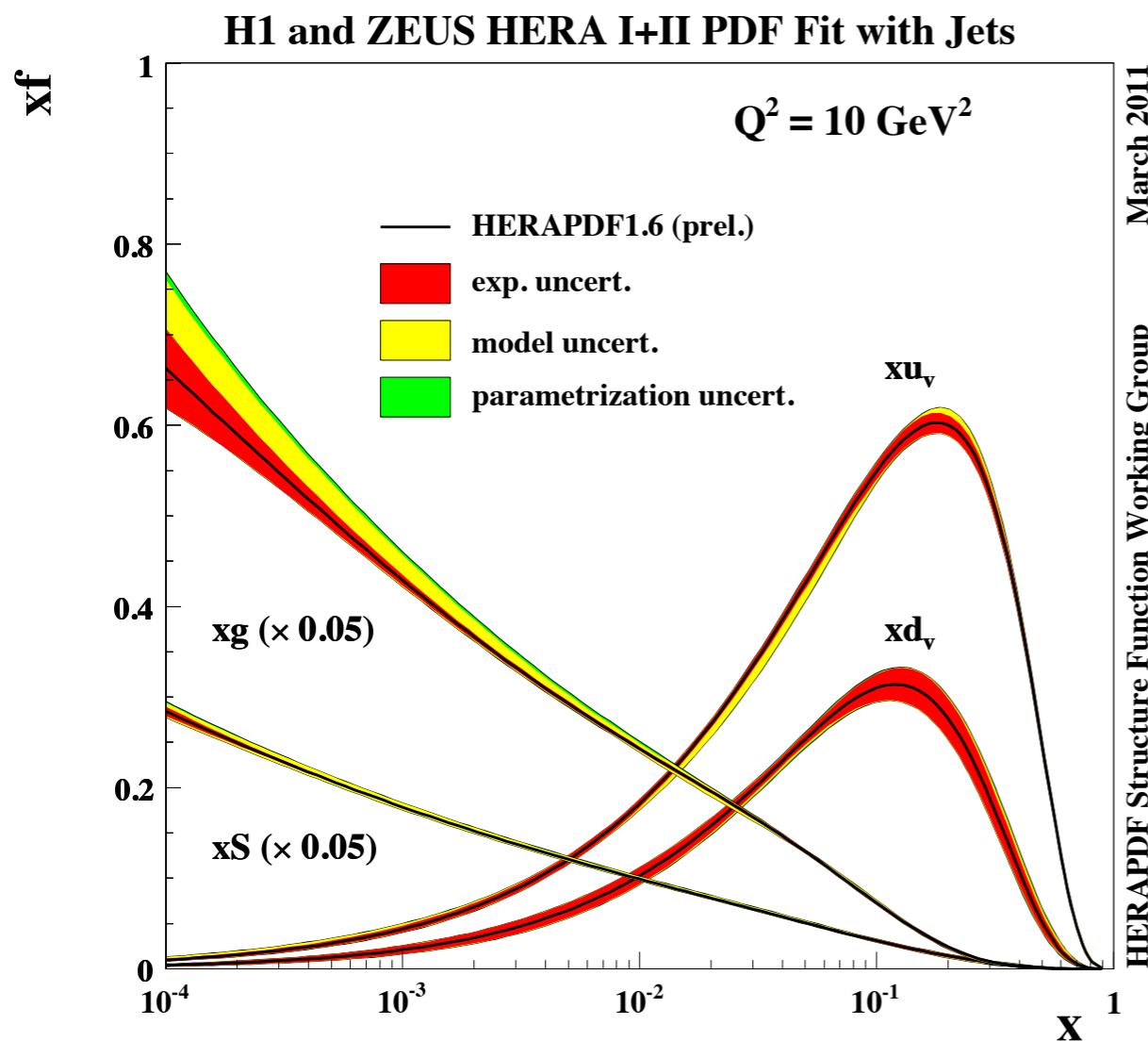
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- in NNLO:
  - larger gluon uncertainty at low- $x$
  - softer (more valence-like) gluon
  - slightly steeper Sea at low- $x$
  - small shift in valence to high- $x$

NNLO does not provide a better fit than NLO at low- $x$  and  $Q^2$

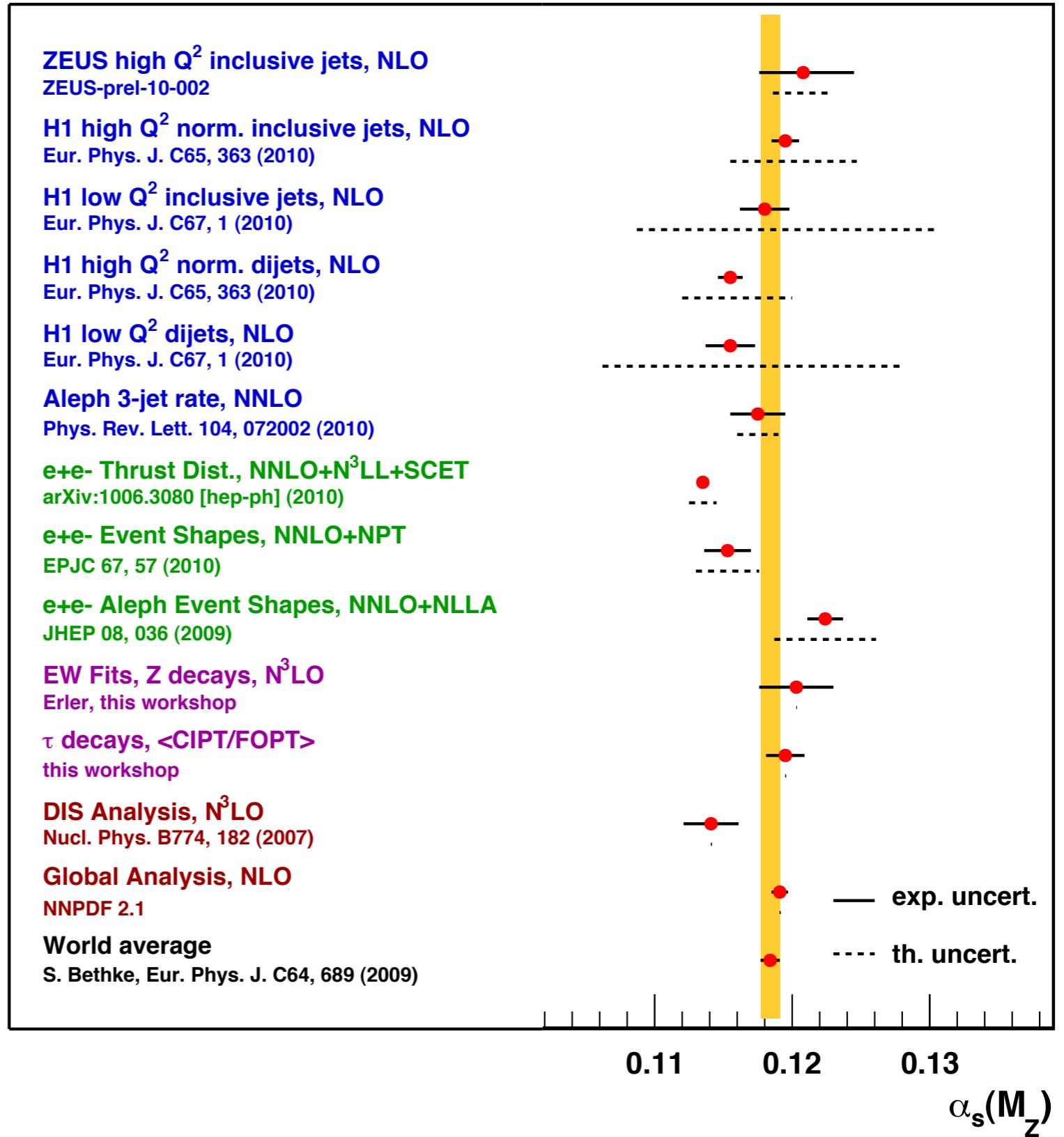
# HERAPDF1.6 & 1.7

HERAPDF1.7 has fixed  $\alpha_s(M_Z) = 0.1190$  & includes additional low energy & charm data



# $\alpha_s(M_Z)$ from different processes

$\alpha_s(M_Z)$  values shown in  
discussion session at  
 $\alpha_s$ -workshop at MPI  
Munich in February '11



# What is measured in inclusive DIS ?

➤ Neutral Current:  $e^\pm p \rightarrow e^\pm X$

$$\frac{d^2\sigma^{e^\pm p}}{dxdQ^2} \propto \frac{2\pi\alpha^2}{xQ^4} \left[ Y_+ \underline{F_2(x, Q^2)} \mp Y_- \underline{xF_3(x, Q^2)} - y^2 \underline{F_L(x, Q^2)} \right] \quad Y_\pm \equiv 1 \pm (1-y)^2$$

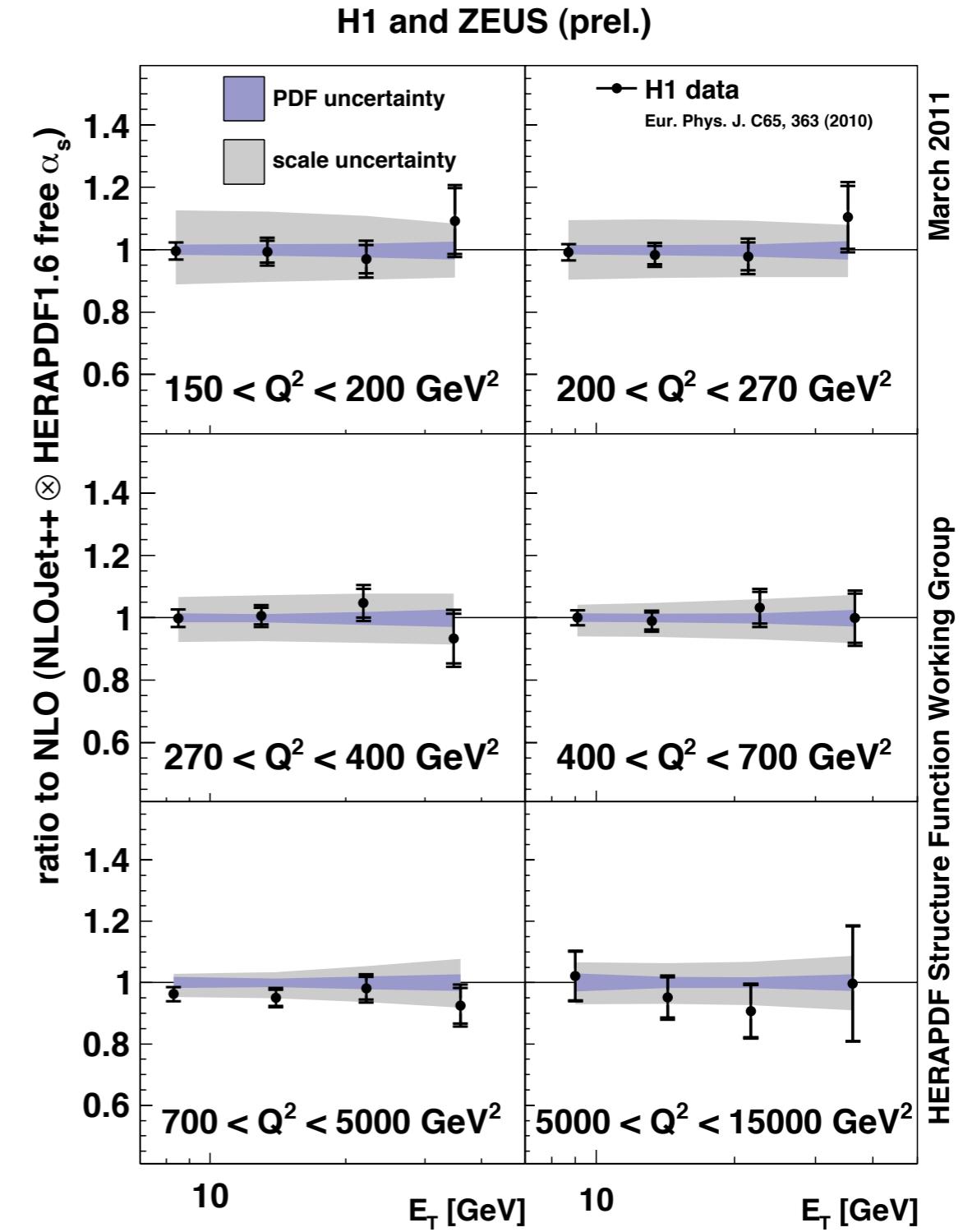
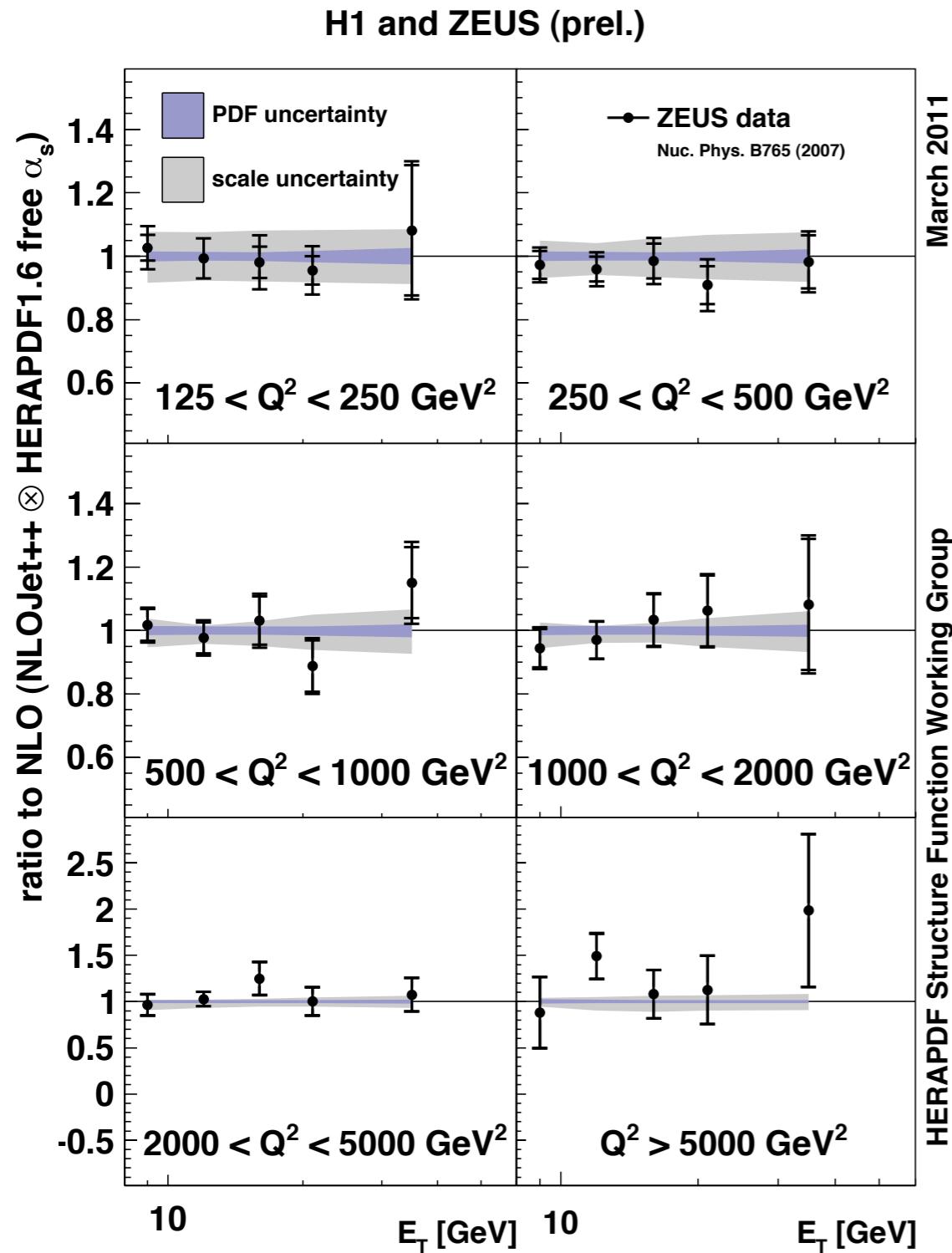
QPM:  $\begin{cases} F_2(x, Q^2) \propto x \sum_f q_f(x, Q^2) + \bar{q}_f(x, Q^2) & \text{Dominant contribution} \\ xF_3(x, Q^2) \propto x \sum_f q_f(x, Q^2) - \bar{q}_f(x, Q^2) & Z/\gamma \text{ interference} \end{cases}$

QCD:  $F_L(x, Q^2) \propto x\alpha_s g(x, Q^2)$  Directly sensitive to the gluon &  $\alpha_s$

➤ Charged Current:  $e^\pm p \rightarrow \nu X$

$$\sigma_{CC}^{e^+ p} \propto x \{ (\bar{u} + \bar{c}) + (1-y)^2 (d + s) \} \quad \text{sensitive to mainly d-valence quarks at high } x$$
$$\sigma_{CC}^{e^- p} \propto x \{ (u + c) + (1-y)^2 (\bar{d} + \bar{s}) \} \quad \text{sensitive to mainly u-valence quarks at high } x$$

# HERAPDF1.6 and DIS jets



excellent description of the jet data sets used, shown here for 2 out of 4

## systematic error sources for jets

Source of correlated uncertainty	Correlation fraction	Data set
H1 LAr electron energy scale (99-07)	25%	[4]
H1 LAr electron angle (99-07)	100%	[4]
H1 Jet energy scale (99-07)	50%	[4]
H1 Luminosity (99-00)	100%	[5]
H1 Spacal electron energy scale (99-00)	50%	[5]
H1 Spacal electron angle (99-00)	50%	[5]
H1 Jet energy scale (99-00)	50%	[5]
H1 low $Q^2$ jet measurement model dependence	50%	[5]
ZEUS luminosity measurement (96-97)	100%	[6]
ZEUS jet energy scale (96-97)	100%	[6]
ZEUS luminosity measurement (98-00)	100%	[7]
ZEUS jet energy scale (98-00)	100%	[7]
Theoretical luminosity measurement uncertainty	100%	[4], [5], [6], [7]

## model uncertainties

Model parameter	Standard value	Lower Limit	Upper Limit
Strange fraction $f_s$	0.31	0.23	0.38
Charm mass $m_c$ [GeV]	1.4	1.35	1.65
Beauty mass $m_b$ [GeV]	4.75	4.3	5.0
Minimum $Q^2$ [GeV $^2$ ]	3.5	2.5	5.0

+ hadronization uncertainty for jets is included in the model uncertainty