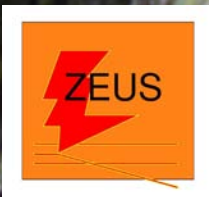


# Factorisation in diffraction at HERA

Alice Valkárová  
Charles University, Prague

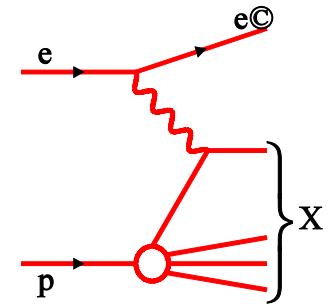
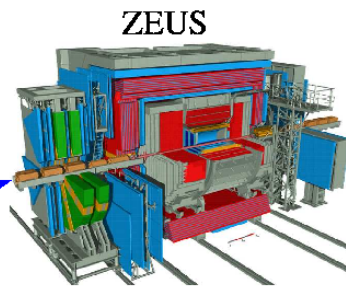
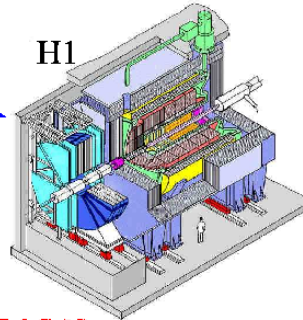
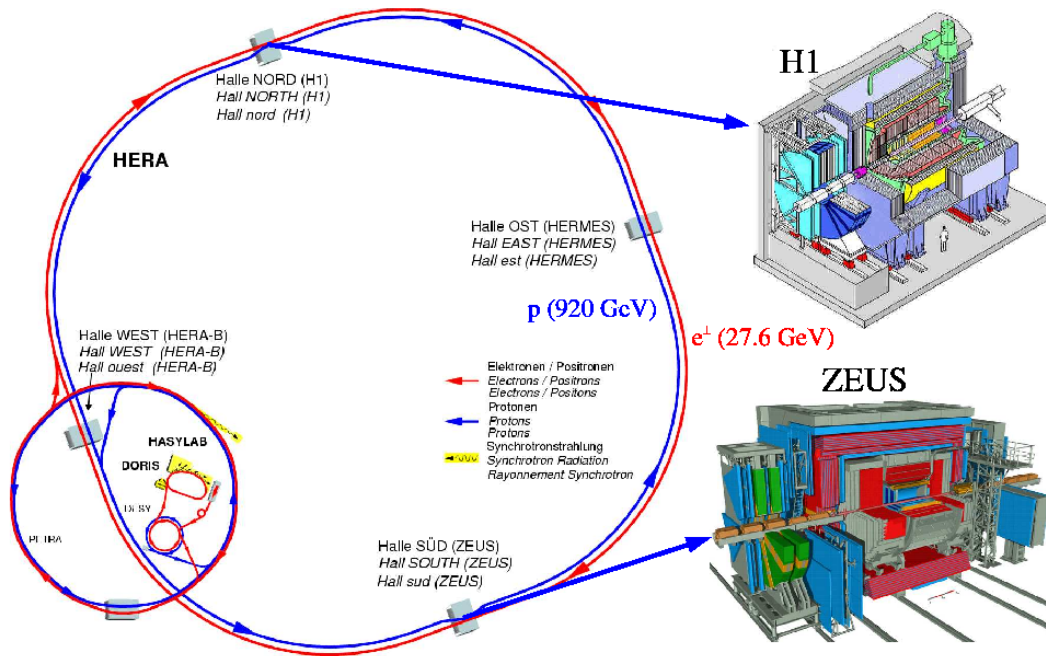
Representing H1 and ZEUS collaborations

Low x workshop, Kavala 2010

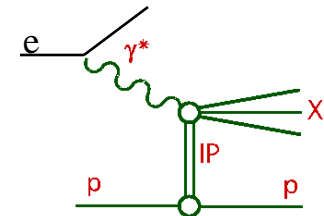


# HERA collider experiments

- 27.5 GeV electrons/positrons on 920 GeV protons  $\rightarrow \sqrt{s}=318$  GeV
- two experiments: H1 and ZEUS
- HERA I,II: about 500 pb<sup>-1</sup>
- closed July 2007, still lot of excellent data to analyse.....



**DIS:** Probe structure of proton  $\rightarrow F_2$



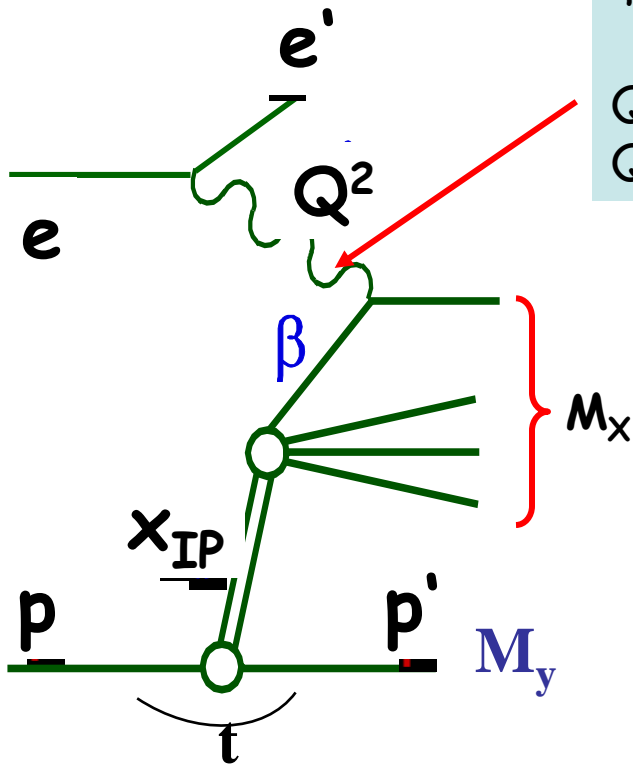
**Diffractive DIS:** Probe structure of diffraction  $\rightarrow F_2^D$

# Diffraction and diffraction kinematics

Two kinematic regions of diffractive events:

$Q^2 \sim 0 \rightarrow$  photoproduction

$Q^2 \gg 0 \rightarrow$  deep inelastic scattering (DIS)



**HERA:**  $\sim 10\%$  of low- $x$  DIS events diffractive

$W$

$$x_{IP} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{Q^2 + M_x^2}{Q^2 + W^2} \longrightarrow$$

momentum fraction of color singlet exchange

$$\beta = \frac{x}{x_{IP}} \approx \frac{Q^2}{Q^2 + M_x^2} \longrightarrow$$

fraction of exchange momentum, coupling to  $\gamma$

$$t = (p - p')^2 \longrightarrow \text{4-momentum transfer squared}$$

$M_y = m_p$  proton stays intact, needs detector setup to detect protons

$M_y > m_p$  proton dissociates,  $\longrightarrow$  contribution should be understood

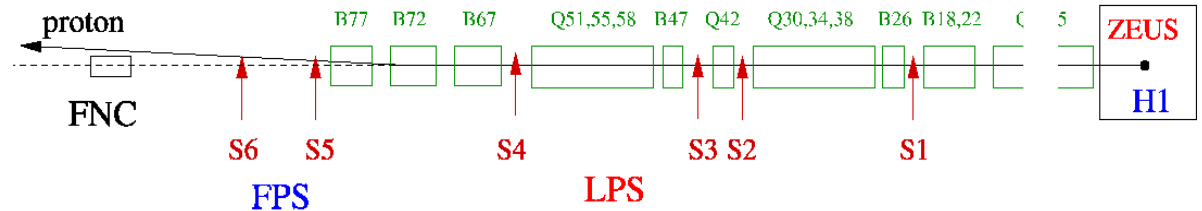
# Methods of diffractive ev.selection

## Proton spectrometers

**ZEUS:** LPS (1993-2000)

**H1:** FPS (1995-2007)

VFPS (2002-2007)



## $M_x$ method, ZEUS:

Diffractive vs non-diffractive: exponential fall off vs constant distribution in  $\ln M_x^2$

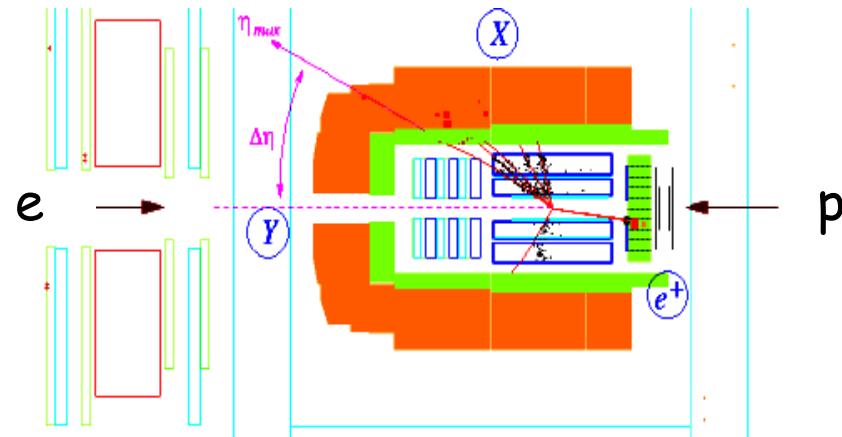
## Large Rapidity Gap, H1, ZEUS:

require no activity beyond  $\eta_{max}$

$\dagger$  is not measured

very good acceptance at low  $x_{IP}$

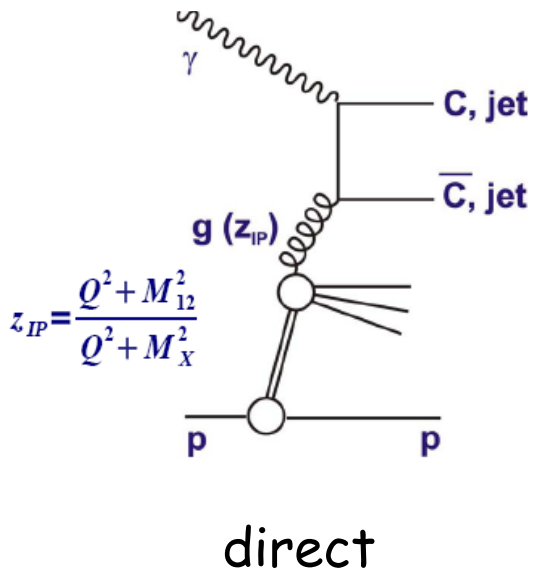
p-diss background about 20% ☠



Different systematics - non-trivial to compare!

Next results -> LRG method was used!

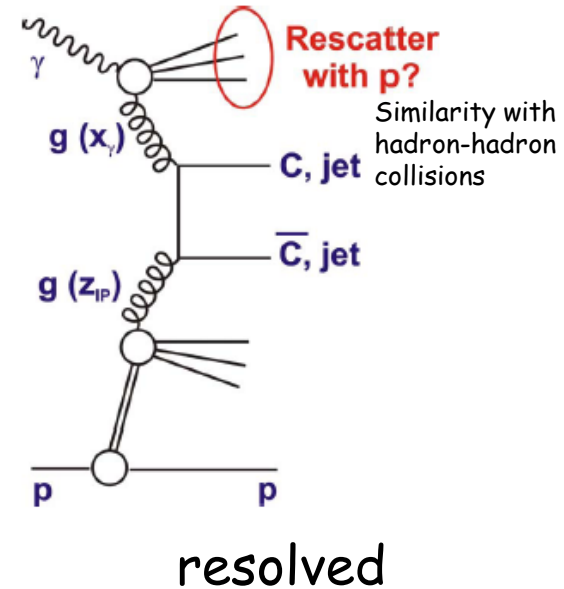
# Photoproduction, $\gamma p, Q^2 \rightarrow 0$



In LO!

$x_\gamma$  - fraction of photon's momentum in hard subprocess

$$x_\gamma = x_\gamma^{OBS} = \frac{\sum (E - p_z)_{jets}}{(E - p_z)_{hadrons}}$$



## hadron-like component

photon fluctuates into hadronic system, which takes part in hadronic scattering

$x_\gamma < 0.2$  (at parton level)

## point-like component of resolved photon

dominates in the region of  $0.2 < x_\gamma < 1$

## direct photoproduction

photon directly involved in hard scattering

$x_\gamma = 1$  (at parton level)

# Two types of factorisation

**QCD factorisation** holds for inclusive and non-inclusive processes:

- photon is point-like ( $Q^2$  is high enough)
- higher twist corrections are negligible ( $M_x$  is high enough)

QCD factorisation theoretically proven for DIS (Collins 1998)

$$\sigma^D(\gamma^* p \rightarrow Xp) = \sum_{parton\_i} f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma^{\gamma^*i}(x, Q^2)$$

$f_i^D \rightarrow$  DPDFs - obey DGLAP, universal for diff. ep DIS (inclusive, dijet, charm)

$\sigma^{\gamma^*i} \rightarrow$  universal hard scattering cross section (same as in inclusive DIS)

It allows the extraction of DPDFs from the (DIS) data

H1 and ZEUS -QCD fits assuming **Regge factorisation** for DPDF

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

pomeron flux factor

pomeron PDF



# Tests of QCD factorisation

## Basic strategy:

- measure a particular diffractive final state
- compare the measurement with NLO calculation using DPDFs previously extracted

## What kind of final states?

- processes with a hard scale
- sensitive to gluons (gluons contribute by up to 80% to the DPDFs, mainly for high  $z_{\text{IIP}}$ )

Dijets and  $D^*$  in DIS/photoproduction are the best candidates!

# Factorisation in hadron-hadron collisions

Exporting DPDFs from HERA to Tevatron does not work

$$S^2 = \frac{\sigma(\text{data})}{\sigma(\text{theory})}$$



suppression factor

Factorisation broken by  $\beta$ -dependent factor  $\sim 10$ ,  $S^2 \sim 0.1$ .

Successfully explained in terms of rescattering and absorption


(see Kaidalov, Khoze, Martin, Ryskin: Phys. Lett. B567 (2003), 61)

KKMR predicted suppression factor for HERA resolved photoproduction

$$S^2 \sim 0.34$$

In 2010 new theoretical prediction by KKMR:

(European Journal of Physics 66,373 (2010))

Suppression 0.34 present only for hadronic part of photon PDF ( $x_\gamma < 0.2$ ),  
for dominant point-like component 

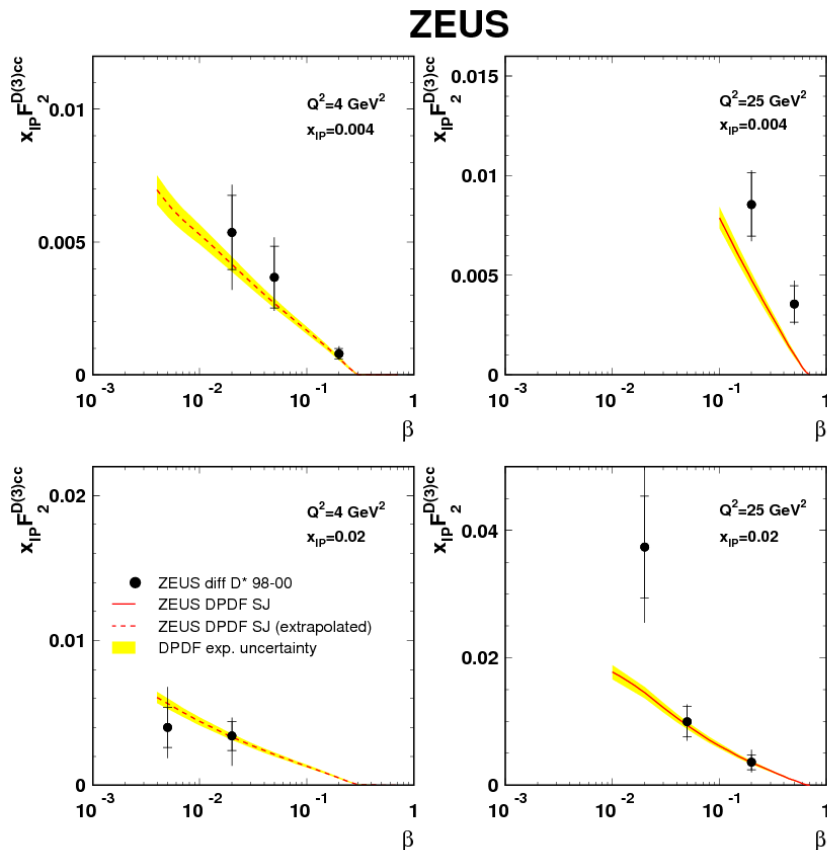
**suppression:** quarks GRV **0.71(0.75)**  $E_{T}^{\text{jet}1} > 5$  (7.5) GeV

gluons GRV **0.53(0.58)**  $E_{T}^{\text{jet}1} > 5$  (7.5) GeV

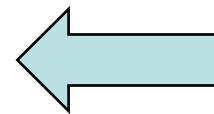


# What we learned from HERA data?

**DIS dijets** - factorisation theoretically predicted.  
Both H1 and ZEUS confirmed experimentally and used for QCD fits („H1 fit jets“, „ZEUS fit SJ“).



**$D^*$  in DIS & photoproduction-**  
data within large errors not  
in contradiction with factorisation

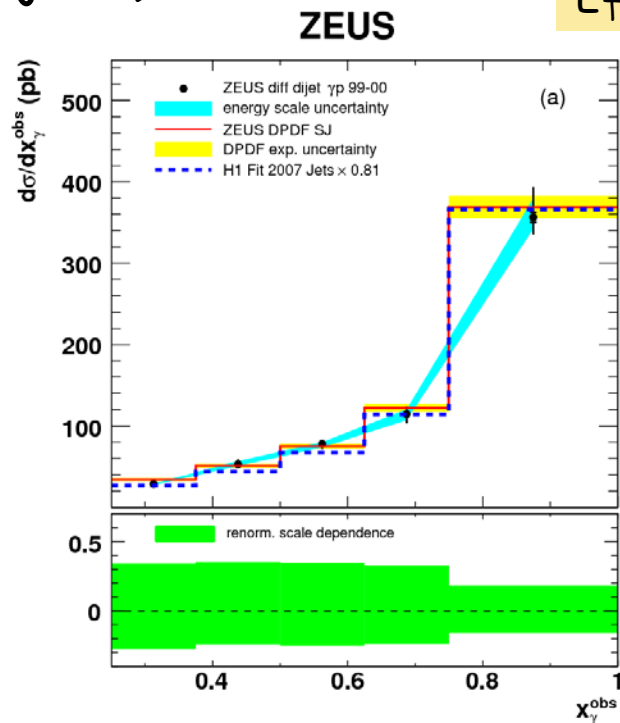
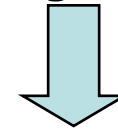


New ZEUS fits compared to  
published DIS  $D^*$  data.  
(Nucl.Phys. B672 (2003),3.)  
(Nucl.Phys. B831 (2010), 1)

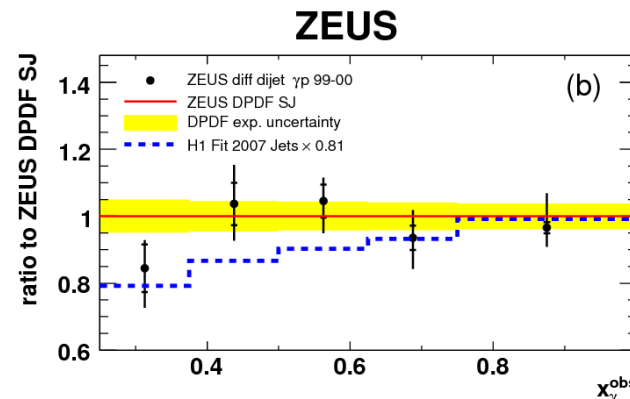
# What we learned from HERA data?

**Photoproduction dijets** – factorisation not predicted theoretically, experimentally not fully understood... different conclusions made by H1 and ZEUS, H1 observed suppression about 0.5-0.6, ZEUS negligible suppression (in different phase space, e.g. larger  $E_T$  of jets.)

$$E_{T}^{\text{jet1}} > 7.5 \text{ GeV}, E_{T}^{\text{jet2}} > 5 \text{ GeV}$$



Published ZEUS dijet photoproduction data (Eur.Phys.J.C 55 (2008),177) compared to NLO with „H1 fit Jets” and „ZEUS fit SJ”



# Dijet photoproduction

$$E_T^{\text{jet1}} > 5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 4 \text{ GeV}$$

$$-1 < \eta^{(\text{jet 1 and 2})} < 2$$

$$x_{\text{IP}} < 0.03$$

$$\left\{ \begin{array}{l} 0.3 < y_e < 0.65 \\ Q^2 < 0.01 \text{ GeV}^2 \end{array} \right.$$

$$\left\{ \begin{array}{l} |t| < 1 \text{ GeV}^2 \\ M_Y < 1.6 \text{ GeV} \end{array} \right.$$

$$z_{\text{IP}} < 0.8$$

H1 data 1999-2000

DESY10-043 (2010)

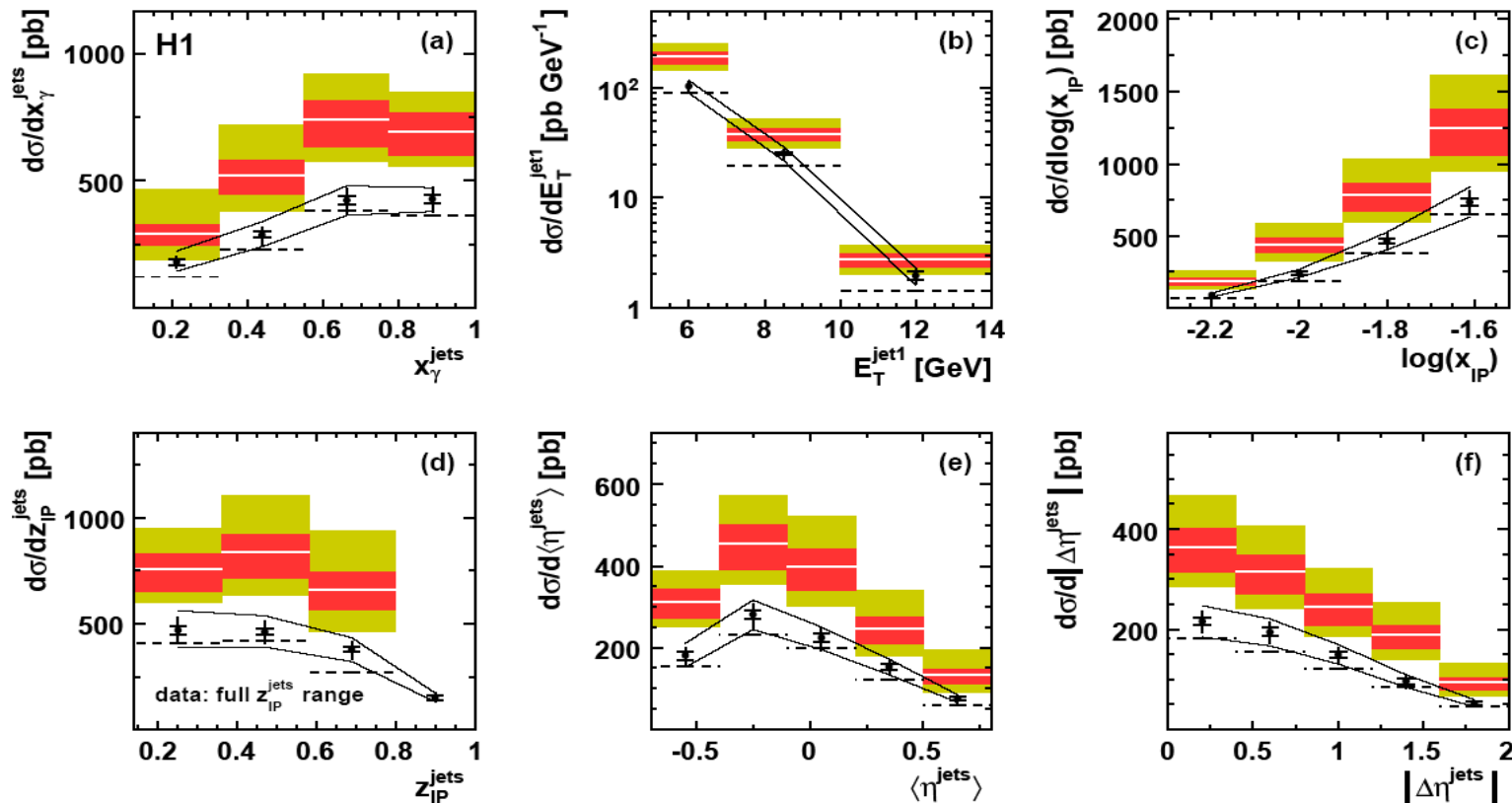
Data compared to RAPGAP MC and NLO  
GRV photon structure function

NLO calculations - Frixione/Ridolfi

3 sets of DPDFs

- H1 2006 fit B
  - H1 2007 fit jets
  - ZEUS SJ fit
- } - using inclusive data  
using DIS dijets

# Dijets in photoproduction



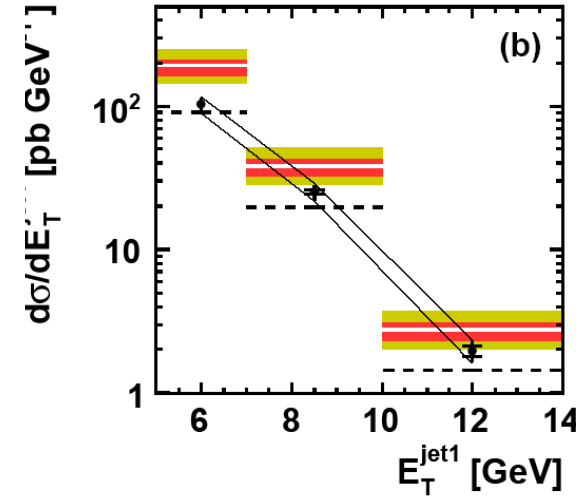
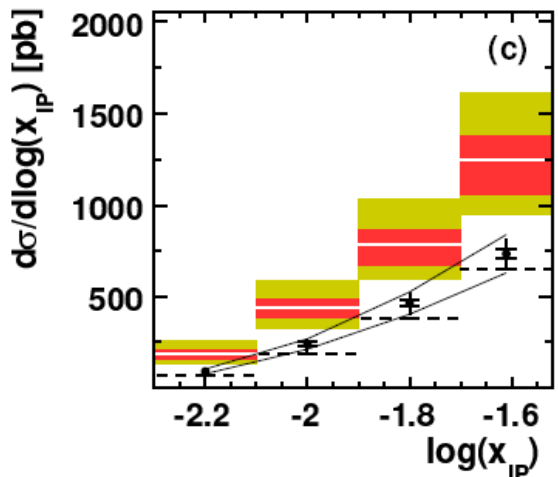
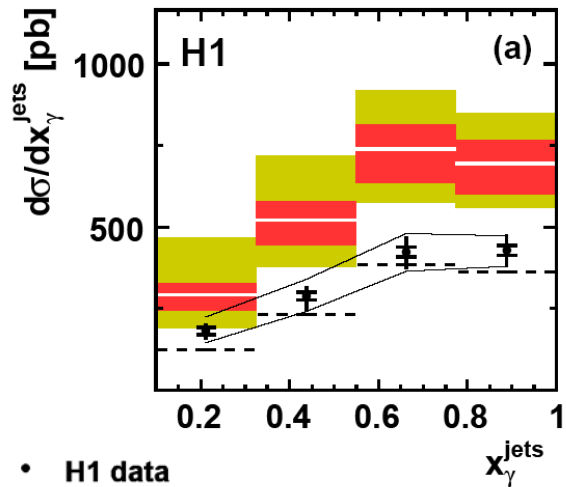
- **H1 data**

□ **data correlated uncertainty**

■ **NLO H1 2006 Fit B × (1+δ<sub>hadr</sub>)**

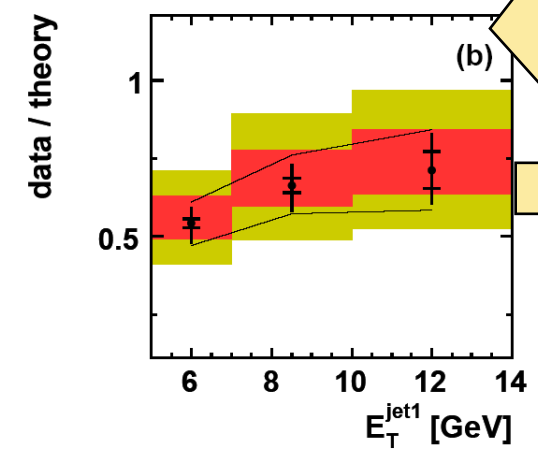
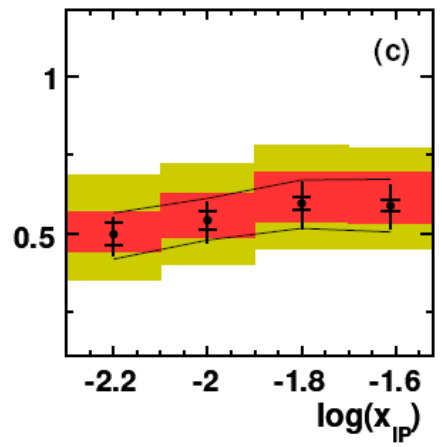
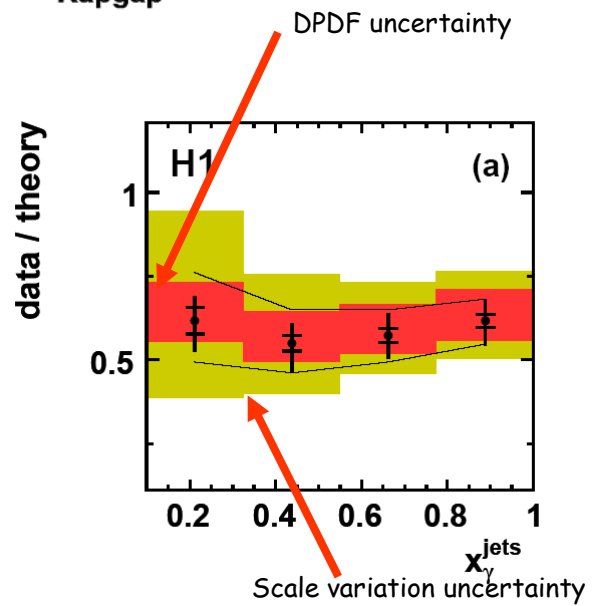
--- **Rapgap**

NLO with „H1 fit B“ → larger cross section than data. Shapes of distributions are described. RAPGAP describes data satisfactorily.



- H1 data
- data correlated uncertainty
- ▨ NLO H1 2006 Fit  $B \times (1 + \delta_{\text{hadr}})$
- Rapgap

Shapes of distributions are described successfully, (with the exception of  $E_T^{\text{jet}1}$ ), global suppression of about 0.6 observed....



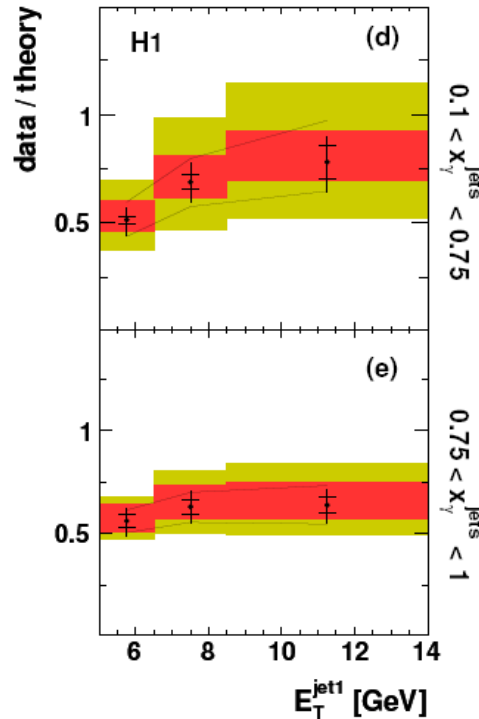
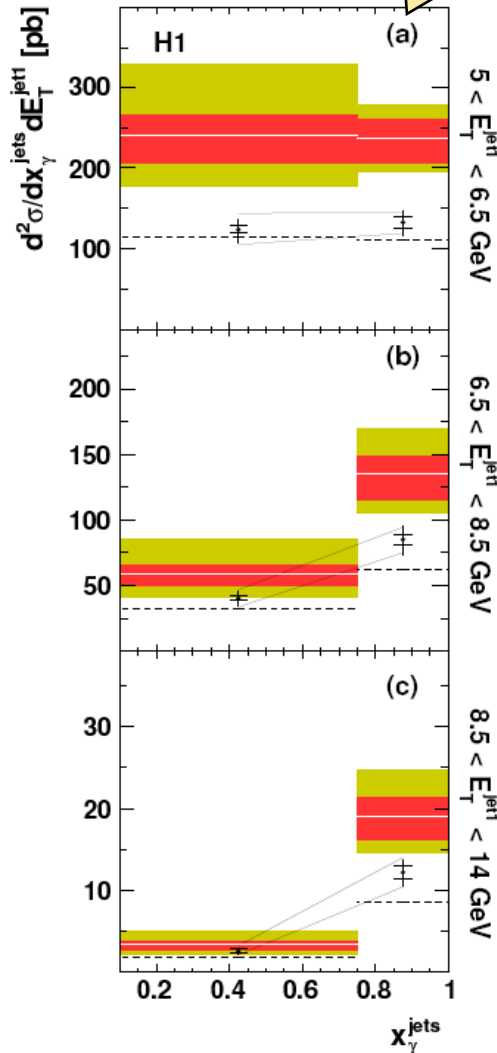
- H1 data

# Double differential cross sections

□ data correlated uncertainty

■ NLO H1 2006 Fit B × (1+δ<sub>nadr</sub>)

--- Rapgap



H1 data / theory

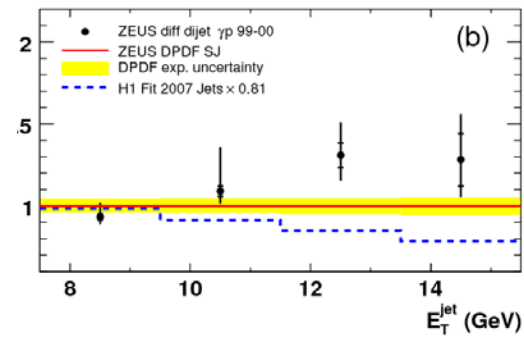
• NLO H1 2006 Fit B × (1+δ<sub>nadr</sub>)

□ data correlated uncertainty

Clear evidence for decrease of resolved component with increasing  $E_T$

The suppression in resolved enriched region of  $x_\gamma$  may be  $E_T$  dependent. (suppression less for higher  $E_T$ .)

**ZEUS**



ZEUS photoproduction dijets  
Nucl.Phys. B831 (2010), 1

# Double differential cross sections

- H1 data

 data correlated uncertainty

 NLO H1 2006 Fit  $B \times (1 + \delta_{\text{hadr}})$

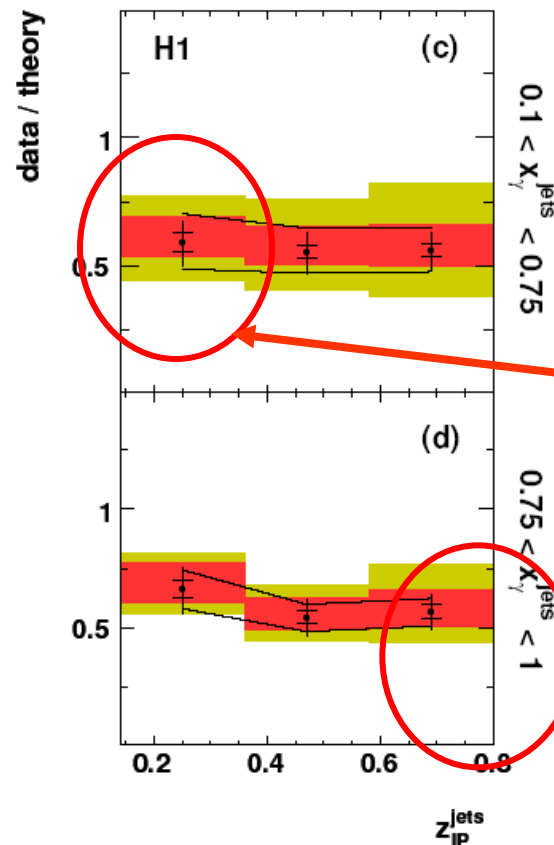
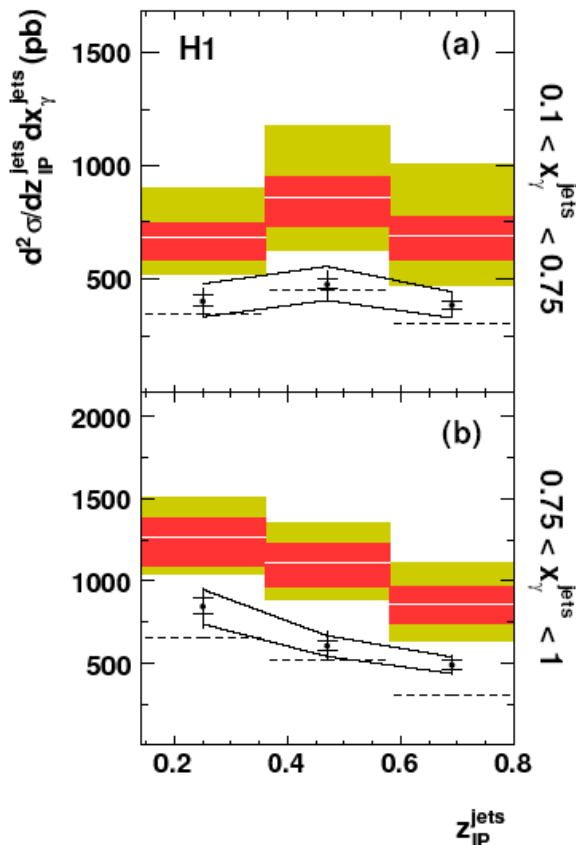
----- Rapgap

- H1 data / theory

 NLO H1 2006 Fit  $B \times (1 + \delta_{\text{hadr}})$

 data correlated uncertainty

$$\zeta_{IP} = \frac{Q^2 + M_{12}^2}{Q^2 + M_X^2}$$

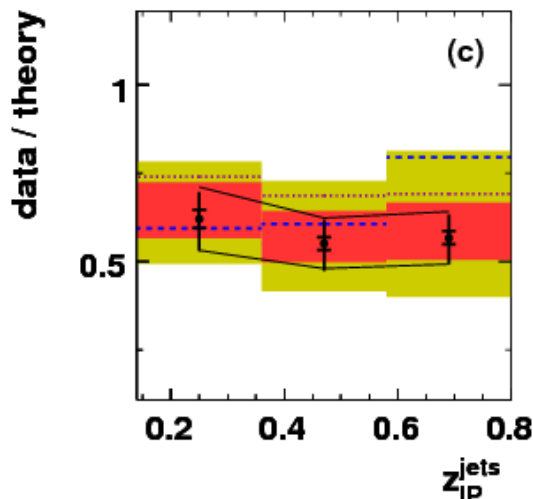
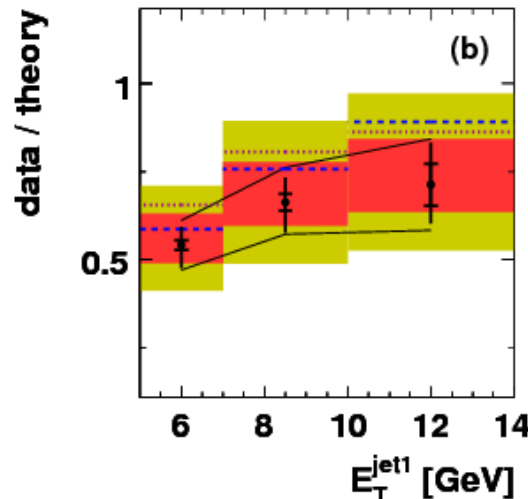
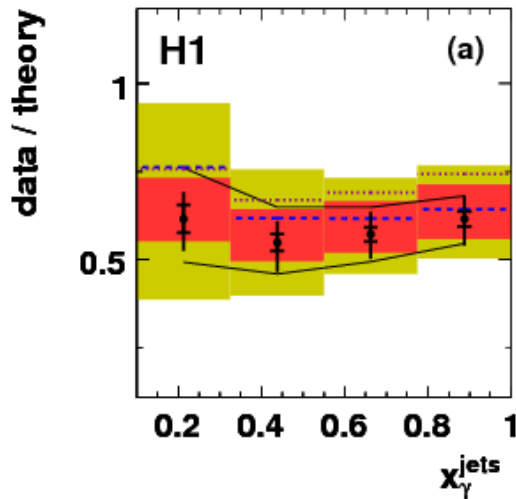


Shapes of distributions described by NLO well.

Gap survival probability is insensitive to the presence or nature of remnant (either the photon or diffractive exchange)



# Ratio data/theory - 3 DPDFs



**H1 data / theory**

- NLO H1 2006 Fit B  $\times (1 + \delta_{hadr})$
- data correlated uncertainty
- NLO H1 2007 Fit Jets  $\times (1 + \delta_{hadr})$
- NLO ZEUS SJ  $\times 1.23 \times (1 + \delta_{hadr})$

„H1 fit jets“ and „ZEUS fit SJ“ give similar agreement in shape.  
 „ZEUS fit SJ“ gives larger prediction by about 15-20 % than „H1 fit B“.  
 Differences are covered by theor. uncertainties.

Global suppression:  
 0.58 for „H1 fit B“  
 0.64 for „H1 fit jets“  
 0.70 for „ZEUS fit SJ“

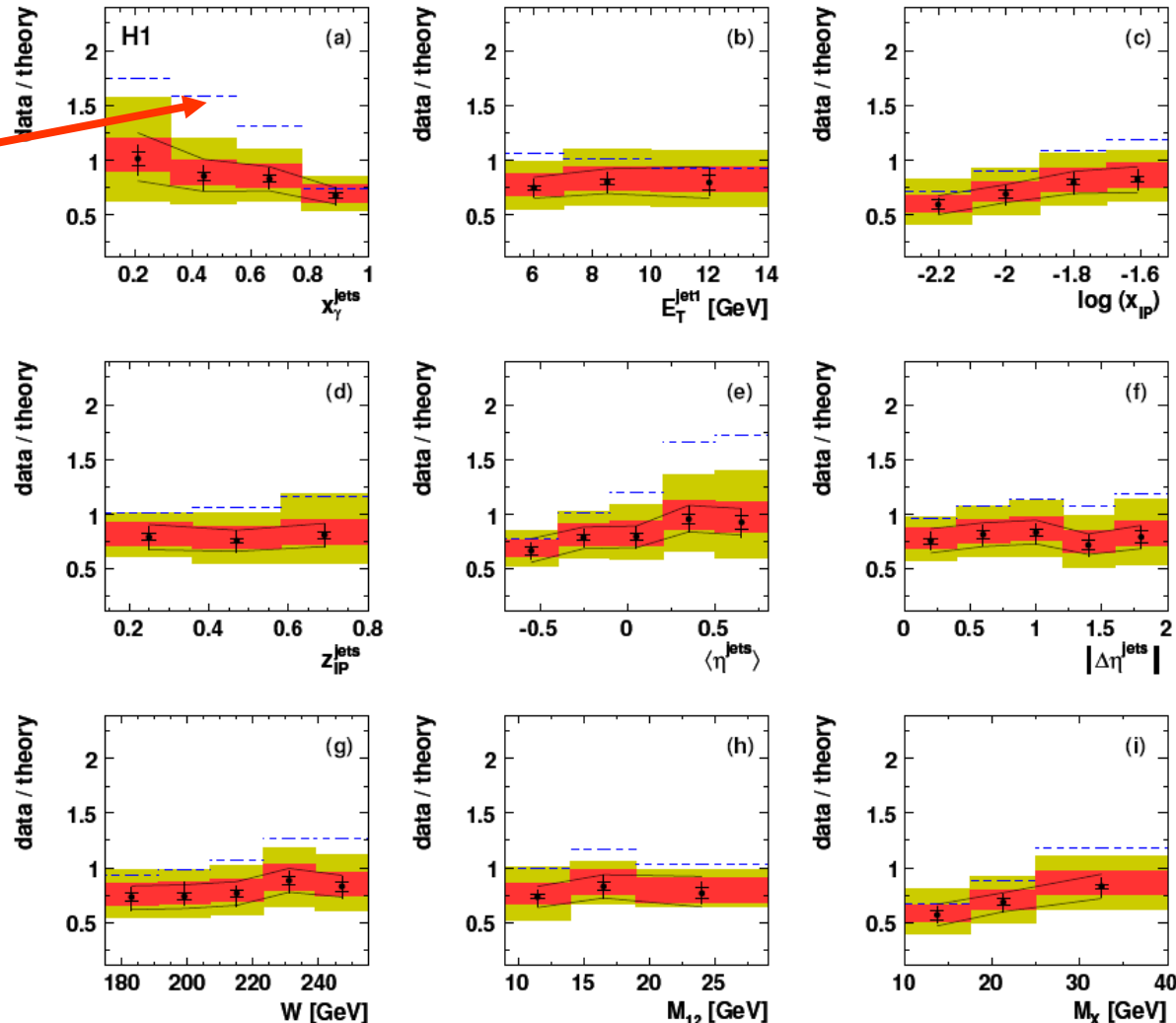
# Comparison with KKMR models

## NLO calculations

H1 data / theory

• NLO H1 2006 Fit B, KKMR suppressed  $\times (1 + \delta_{\text{hadr}})$

--- NLO H1 2006 Fit B, resolved  $\times 0.34 \times (1 + \delta_{\text{hadr}})$




Model KKMR 2003:  
resolved part suppressed  
by 0.34.

Model KKMR 2010:  
quarks suppressed by 0.71  
gluons suppressed by 0.53

Model KKMR 2010 agrees  
with H1 data better than  
model 2003 but **shape  
description is still better  
with global suppression.**

# Conclusions

- **DIS dijets,  $D^*$  in DIS & photoproduction** - factorisation holds.
- **Dijets in photoproduction:**  
conclusions about factorisation using H1 and ZEUS data (with the identical DPDFs) are different....  
H1 - data suppressed by global factor 0.57-0.7 (depending on DPDF).  
ZEUS - compatible with no suppression  
possible explanation   
suppression is decreasing with increasing  $E_T$  of the jets.
- Shapes of distributions described better by NLO using „H1 fit B“ and global suppression than using the suppression from KKMR 2010 model.
- Suppression is insensitive to the presence or nature of remnant (either the photon or diffractive exchange).