

# Factorisation in diffraction



Representing H1 and ZEUS experiments



Hadron structure '09

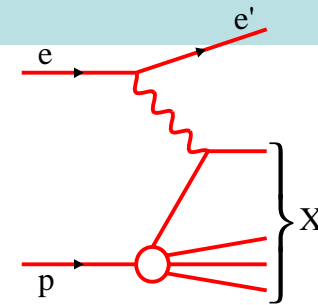
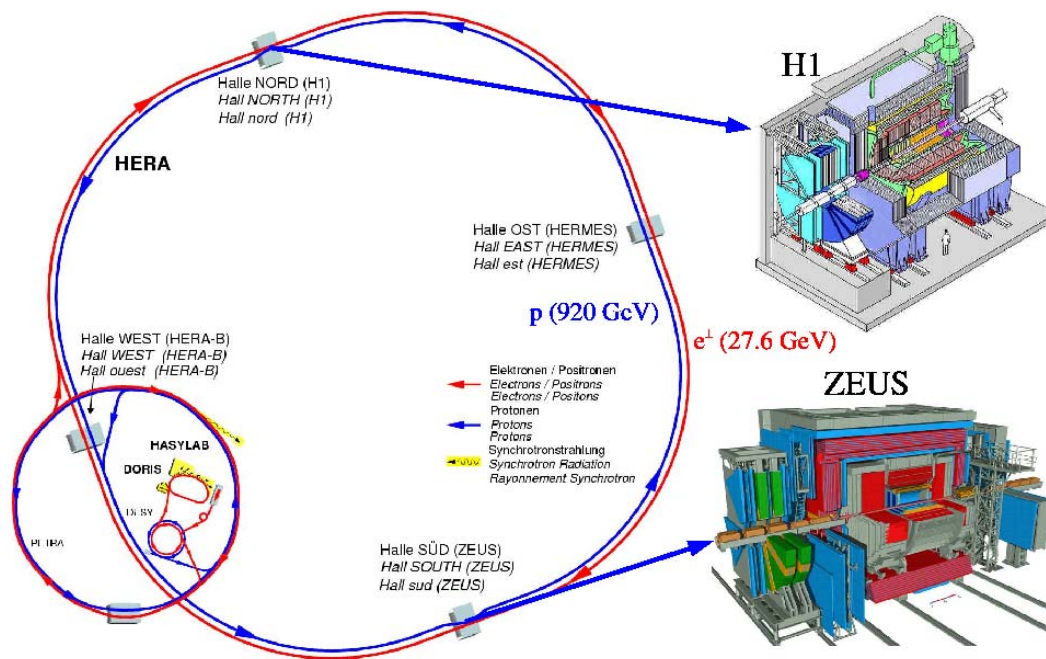
Tatranská Štrba



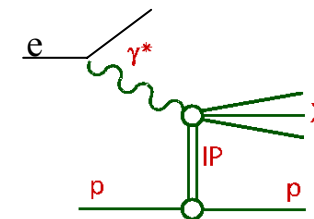
Alice Valkárová  
Charles University, Prague

# HERA collider experiments

- 27.5 GeV electrons/positrons on 920 GeV protons  $\rightarrow \sqrt{s}=318$  GeV
- two experiments: H1 and ZEUS
- HERA I: 16 pb<sup>-1</sup> e-p, 120 pb<sup>-1</sup> e+p
- HERA II:  $\sim 550$  pb<sup>-1</sup>,  $\sim 40\%$  polarisation of e<sup>+</sup>, e<sup>-</sup>
- closed July 2007, still lot of excellent data to analyse.....



**DIS:** Probe structure of proton  $\rightarrow F_2$



**Diffractive DIS:** Probe structure of color singlet exchange  $\rightarrow F_2^D$

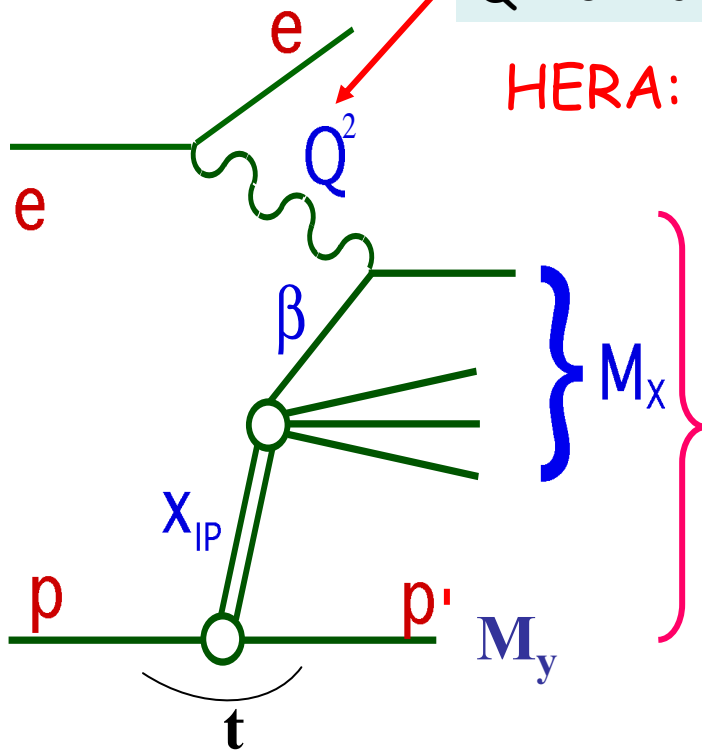
# Diffraction and diffraction kinematics

Two classes of diffractive events:

$Q^2 \sim 0 \rightarrow$  photoproduction

$Q^2 \gg 0 \rightarrow$  deep inelastic scattering (DIS)

HERA:  $\sim 10\%$  of low- $x$  DIS events are diffractive



$$x_{\text{IP}} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

$W$  momentum fraction of color singlet exchange

$$\beta = \frac{x}{x_{\text{IP}}} \approx \frac{Q^2}{Q^2 + M_X^2}$$

fraction of exchange momentum, coupling to  $\gamma^*$

$$t = (p - p')^2 \rightarrow \text{4-momentum transfer squared}$$

# Diffractive Event Selection

1) Proton Spectrometers:

**ZEUS**: LPS (1993-2000)

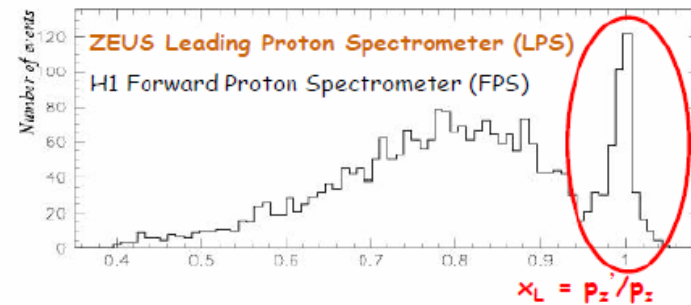
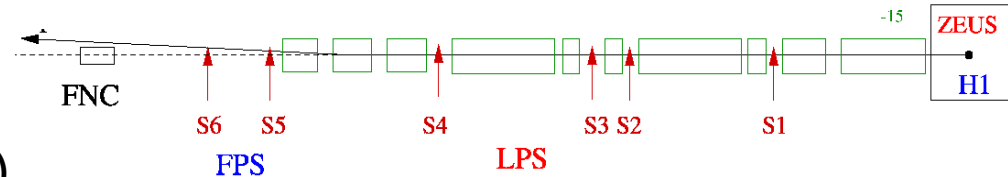
**H1**: FPS (1995-2007), VFPS (2004-07)

$t$  measurement

access to high  $x_{IP}$  range

free of p-dissociation background at low  $x_{IP}$

small acceptance  $\rightarrow$  low statistics ☠



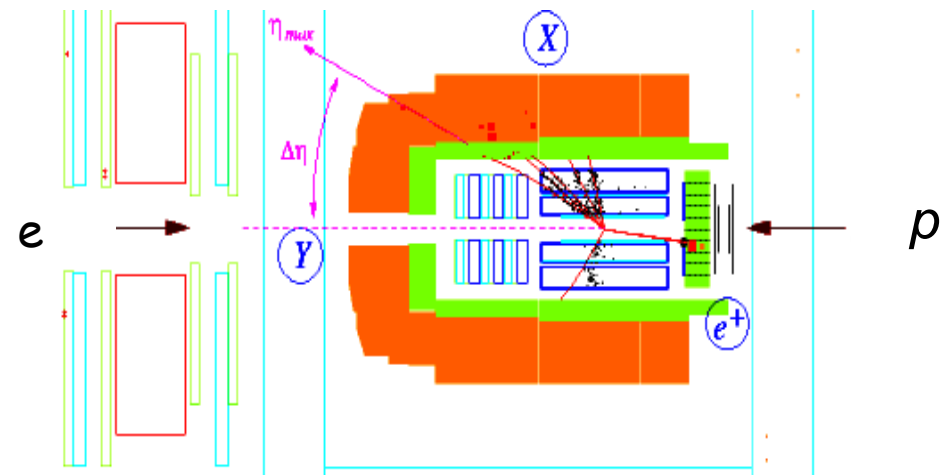
2) Large Rapidity Gap, **H1, ZEUS**:

Require no activity beyond  $\eta_{max}$

$t$  not measured,

very good acceptance at low  $x_{IP}$

p-diss background  $\sim 20\%$  ☠



# What is QCD factorisation?

Factorisation holds for inclusive and non-inclusive processes when:

- photon is point-like ( $Q^2$  is high enough)
- higher twist corrections are negligible

$$\sigma^D(\gamma^* p \rightarrow Xp) = \sum_{parton\_i} f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma^{\gamma^* i}(x, Q^2)$$

$f_i^D \rightarrow$  DPDFs - obey DGLAP, universal for diff. ep DIS (inclusive, dijet, charm)

$\sigma^{\gamma^* i} \rightarrow$  universal hard scattering cross section (same as in inclusive DIS)

It allows to extract DPDFs from the (DIS) data

H1 and ZEUS -QCD fits assuming **Regge factorisation** for DPDF

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

pomeron flux factor

pomeron PDF



# How to profit from factorisation?

- to extract DPDFs from inclusive **DIS** and to estimate cross sections for dijet and  $D^*$  production - then compare with data 

## tests of factorisation

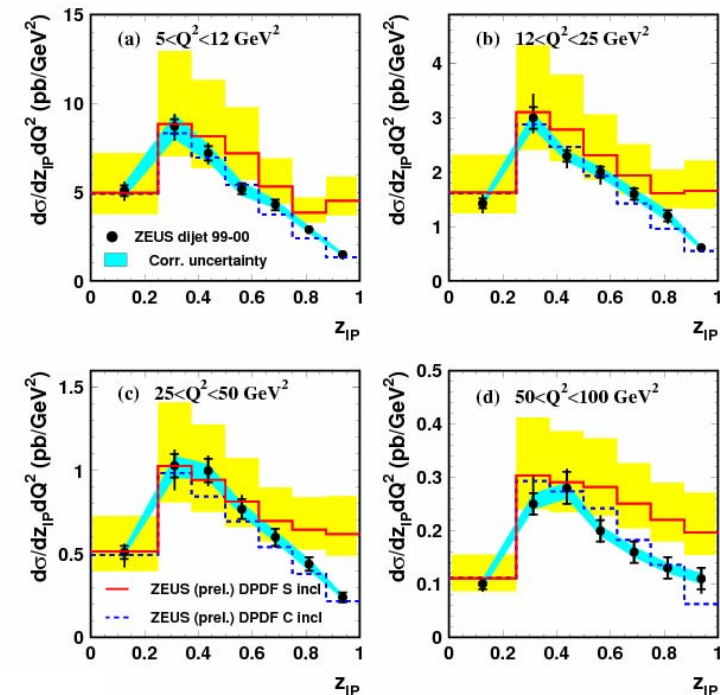
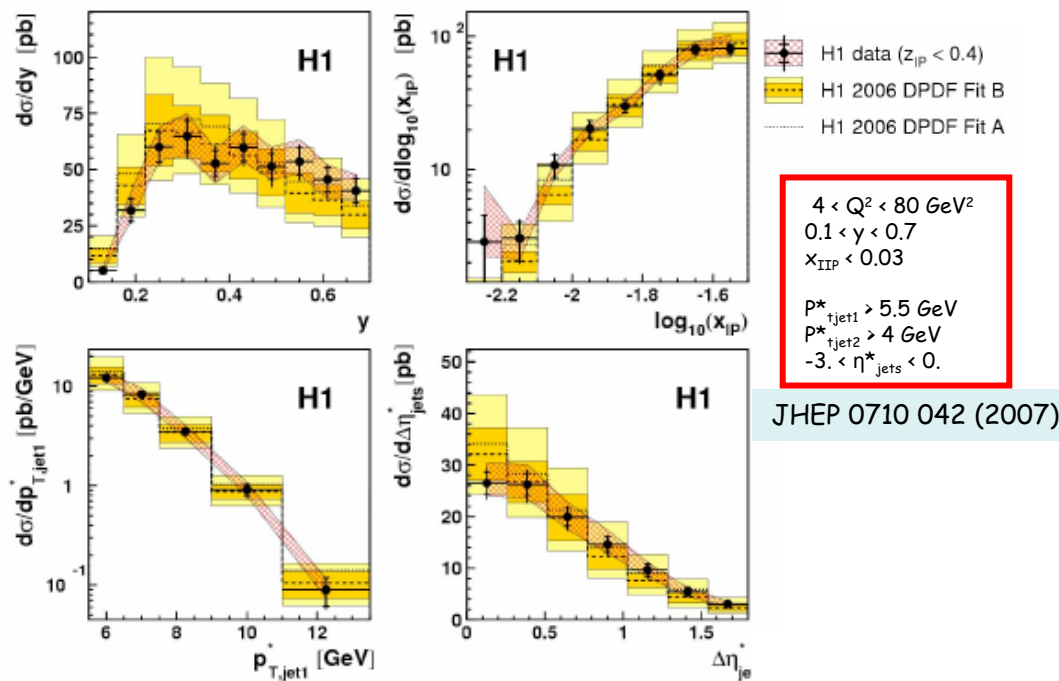
- to extract DPDFs from inclusive and semi-inclusive **DIS** (dijets,  $D^*$ ) - only semi-inclusive data are sensitive to gluon contribution, mainly at large  $z_{IP}$

Used by H1 and ZEUS

semi-inclusive data  dijets in DIS

EPJ C52 (2007) 813

## ZEUS



# H1 QCD inclusive+dijet fit

$$z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$$

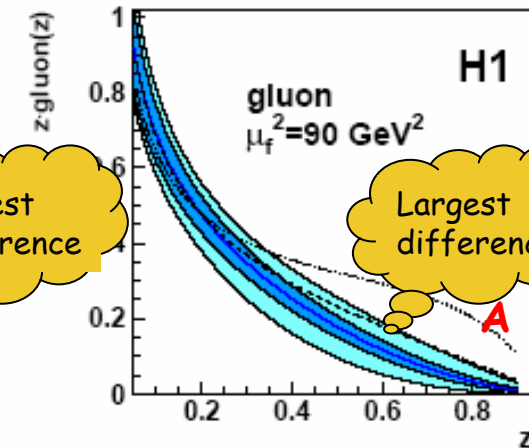
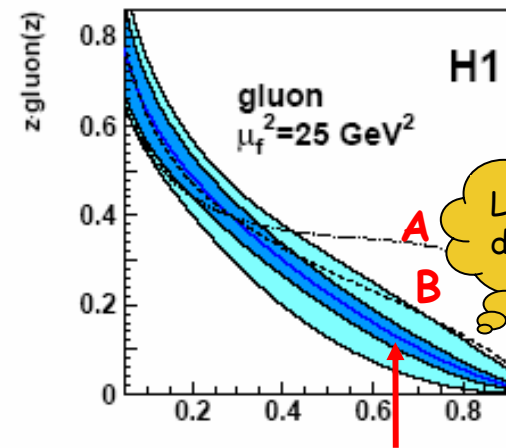
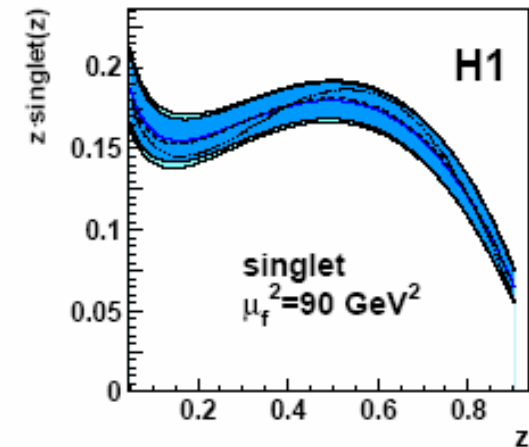
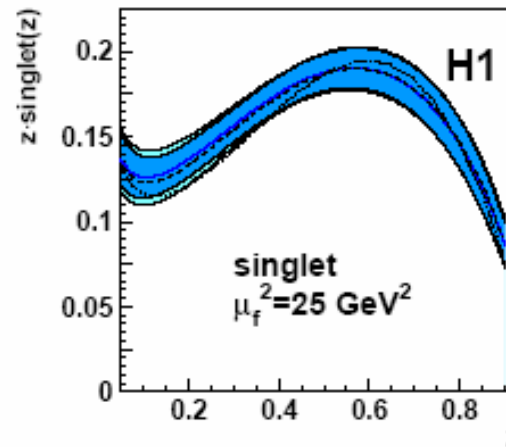
Inclusive fits A and B

Fit **jets** uses dijets in DIS

No difference for quarks,  
large difference for gluons  
at large  $z_{\text{IP}}$ .

JHEP 0710:042,2007

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- ..... H1 2006 DPDF fit A
- ..... H1 2006 DPDF fit B



**jets**

Fit A

Fit B

$$z_g(z, Q_0^2) = A_g (1-z)^{C_g}$$

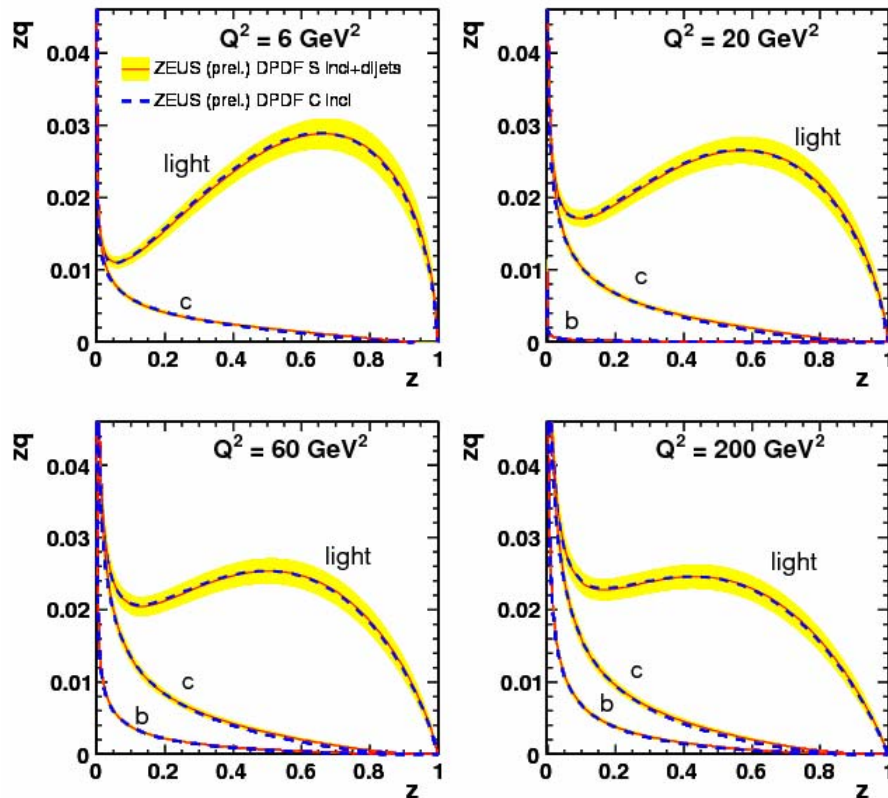
$$z_g(z, Q_0^2) = A_g$$

# ZEUS QCD inclusive+dijet fit

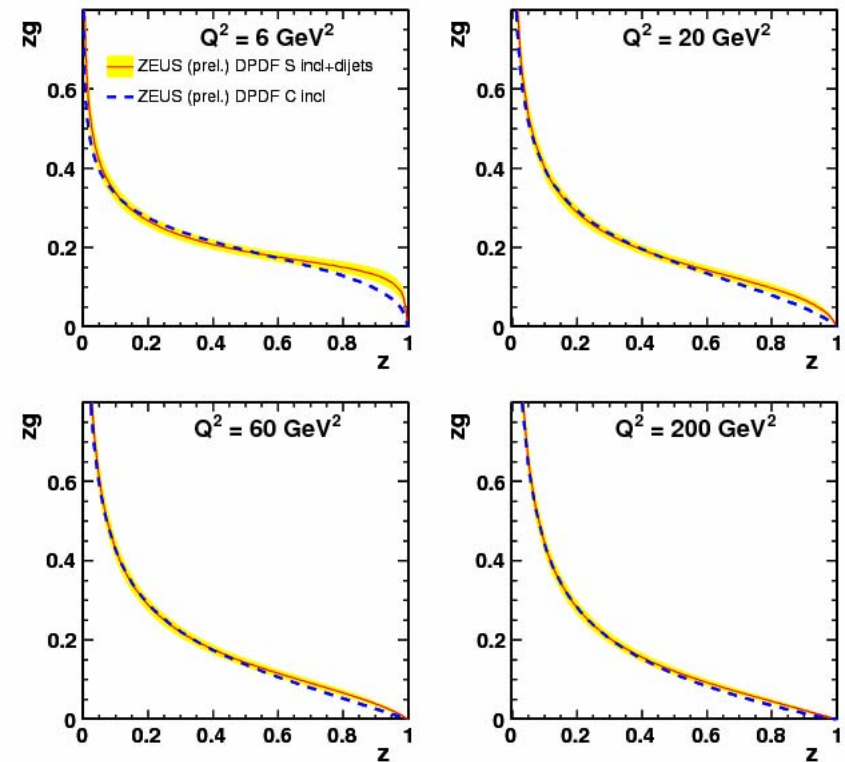
ZEUS fit C is the fit with same conditions as H1 fit B

The results of fits of both experiments are similar.

ZEUS



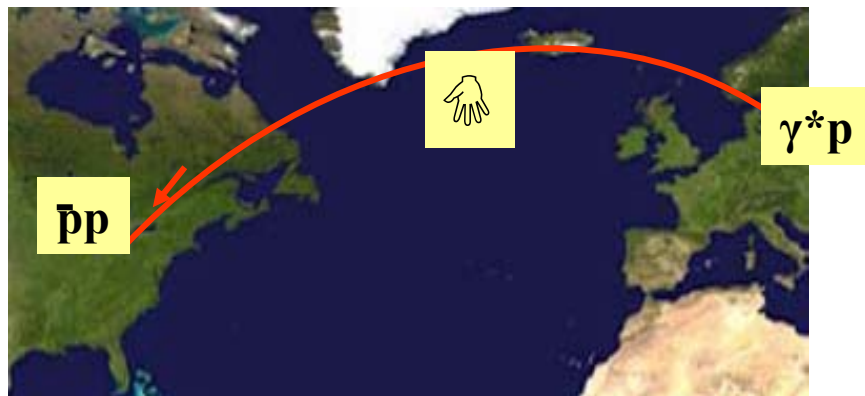
ZEUS





# Factorisation in hadron-hadron collisions

Factorisation broken by  $\beta$ -dependent factor  $\sim 10$ ,  $S \sim 0.1$

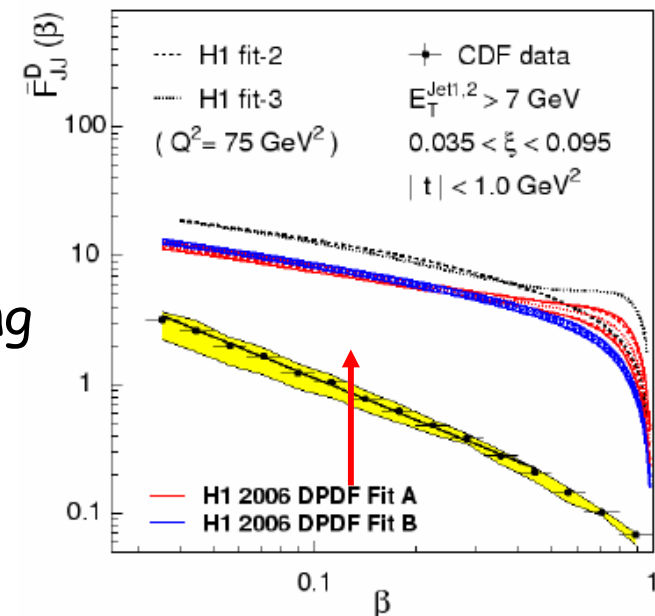


Exporting DPDFs from  
HERA to Tevatron....

Successfully explained by terms of rescattering  
and absorption

(see Kaidalov, Khoze, Martin, Ryskin: Phys. Lett. B567 (2003), 61)

Must be understood for LHC...e.g. CEP Higgs,  
( $S=1-3\%$ ), related to underlying event.....



$x_{IP}$  integrated effective DPDFs  
from CDF single diff. dijets (run I)

# Tests of factorisation - HERA

- **dijets in DIS - factorisation holds** - H1 → JHEP 0710 042 (2007)  
ZEUS → EPJ C52 (2007) 813
- **D\* in DIS and photoproduction** - H1 Coll. EPJ C50 (2007) 1  
ZEUS Coll. EPJ C51 (2007) 301

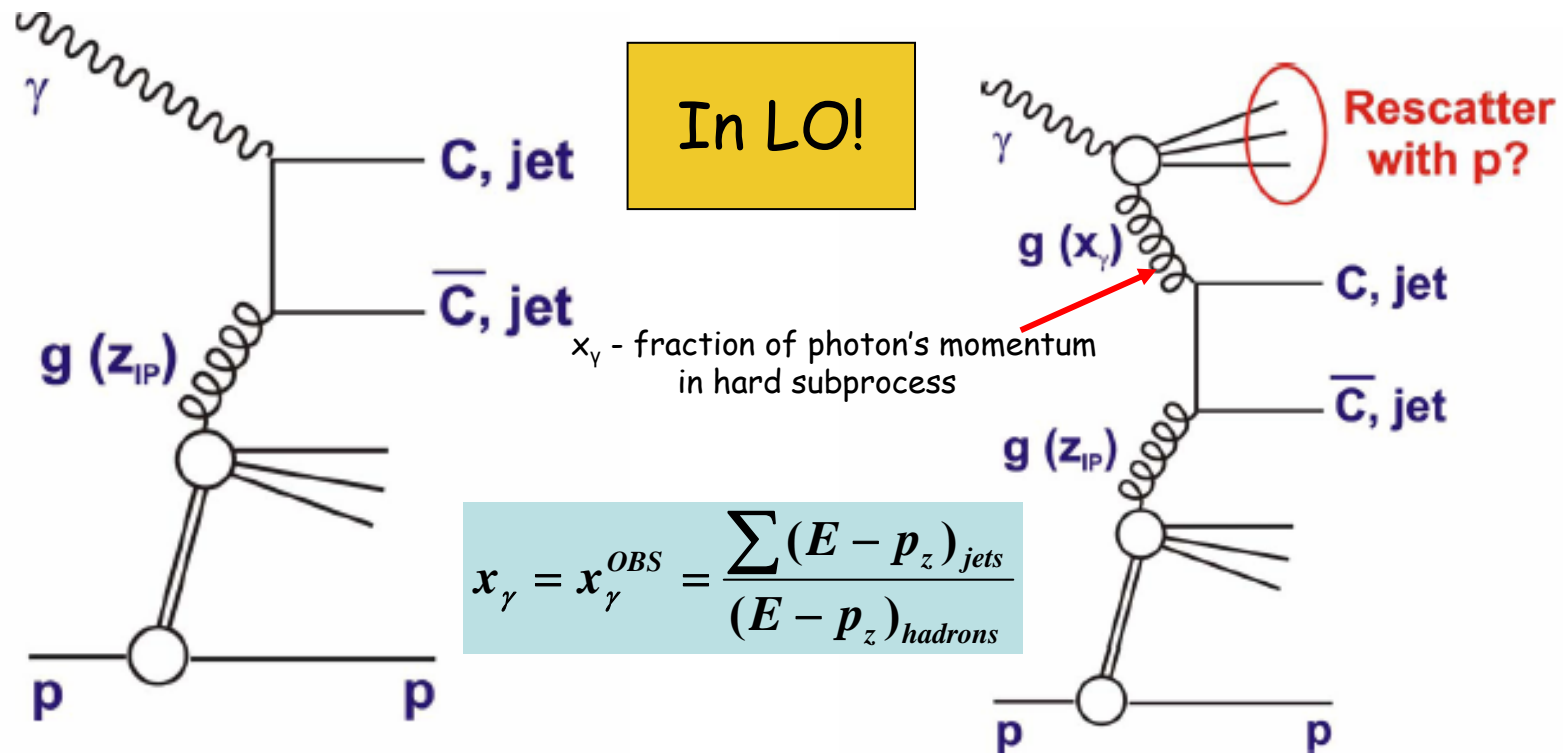
H1 double ratio  $R_{\text{DIS}}^{\text{YP}} = (\text{data/theory})^{\text{YP}} / (\text{data/theory})_{\text{DIS}}$

$$R_{\text{DIS}}^{\text{YP}} = 1.15 \pm 0.40 (\text{stat.}) \pm 0.09 (\text{syst.})$$

↓  
within large errors no evidence for suppression,  
**factorisation holds**

- what about dijets in photoproduction???

# Photoproduction, $\gamma^*p, Q^2 \rightarrow 0$



direct photoproduction ( $Q^2 \simeq 0$ ):  
photon directly involved in hard scattering

$$x_\gamma = 1$$

(at parton level)

31.08.2009

resolved photoproduction ( $Q^2 \simeq 0$ ):

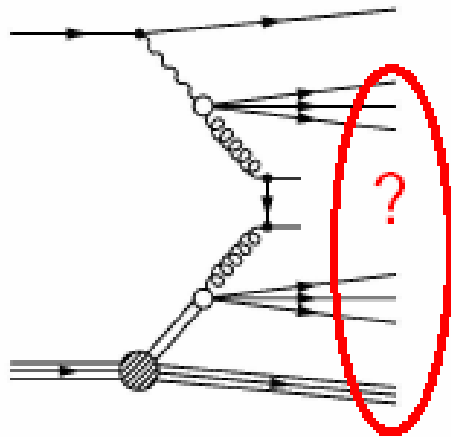
photon fluctuates into hadronic system, which takes part in hadronic scattering, dominant at  $Q^2 \simeq 0$

$$x_\gamma < 1$$

(at parton level)

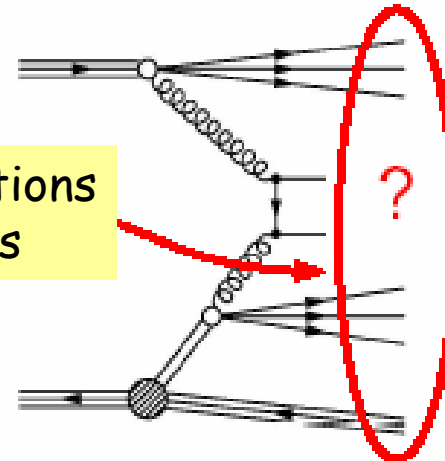
# Photoproduction as hadronic process

HERA resolved photoproduction



Secondary interactions  
between spectators

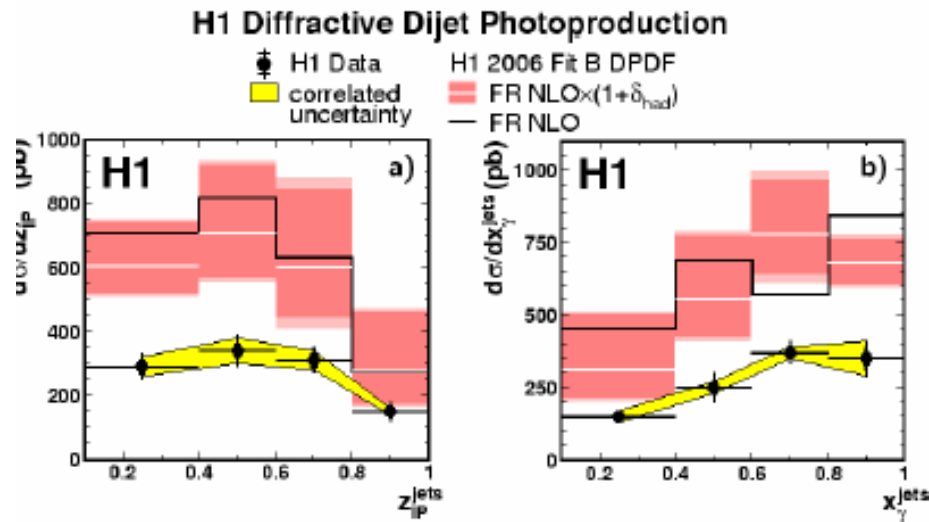
Tevatron



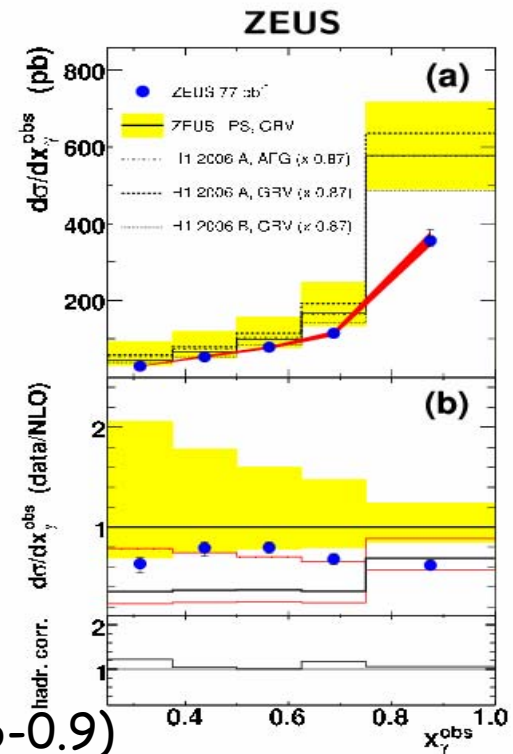
Typical models that describe suppression at Tevatron assume secondary interactions of spectators as the cause:

resolved contribution expected to be suppressed by factor 0.34  
see Kaidalov et al.

# 2007 - DIS 07....



H1:  $E_{\text{tjet1}} > 5 \text{ GeV}$  suppression of factor  $\sim 0.5$   
 ZEUS:  $E_{\text{tjet1}} > 7.5 \text{ GeV}$  weak (if any) suppression (0.6-0.9)



Neither collaboration sees difference between the resolved and direct regions, in contrast to theory!

Possible explanation of differences between H1 and ZEUS (DIS 2007)  
**Different phase space of both analyses .....**



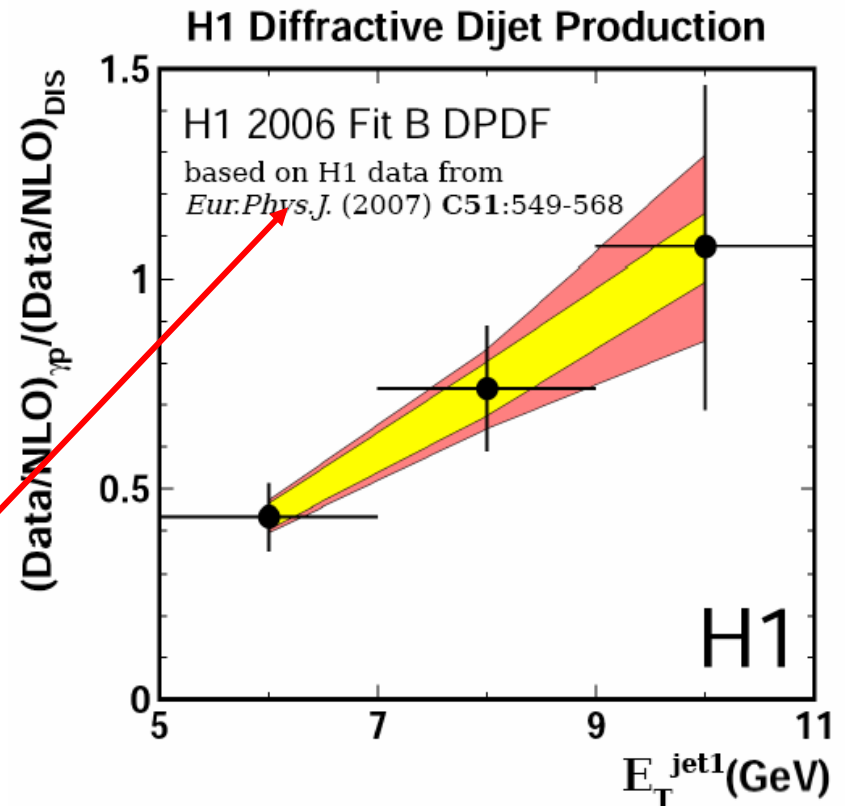
# H1 - double ratio, $E_T$ dependence?

Double ratio of Data/NLO for  
photoproduction and DIS

→

Very useful - full or partial cancellation  
of many uncertainties (energy scales  
for data, DPDFs used...etc ).

Figure extracted from published results



**Double ratio is within errors  $E_T$  dependent!**

# New H1 analysis -two cut scenarios

Tagged dijet photoproduction, data 99/00, three times larger statistics, LRG

To crosscheck previous H1 results

$$E_T^{\text{jet1}} > 5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 4 \text{ GeV}$$

$$-1 < \eta^{(\text{jet 1 and 2})} < 2$$

$$x_{\text{IP}} < 0.03$$

$$\left\{ \begin{array}{l} 0.3 < y_e < 0.65 \\ Q^2 < 0.01 \text{ GeV}^2 \\ |t| < 1 \text{ GeV}^2 \\ M_Y < 1.6 \text{ GeV} \end{array} \right.$$

To approach closest to ZEUS cuts

$$E_T^{\text{jet1}} > 7.5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 6.5 \text{ GeV}$$

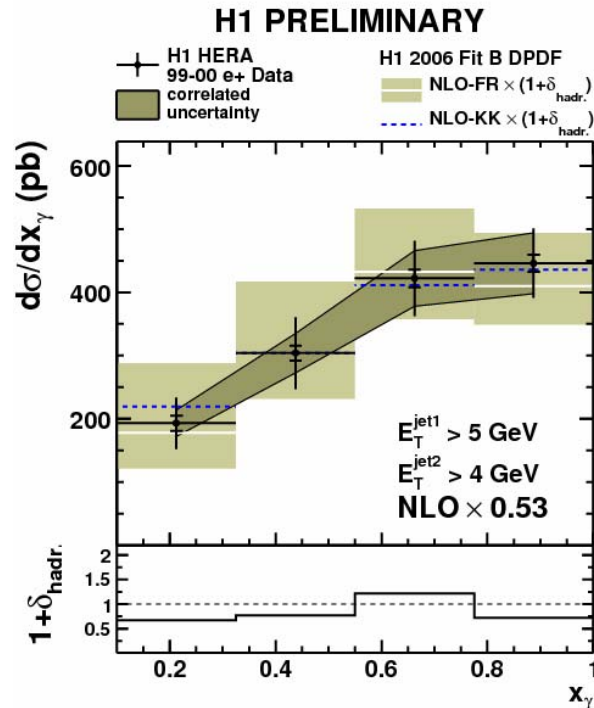
$$-1.5 < \eta^{(\text{jet 1 and 2})} < 1.5$$

$$x_{\text{IP}} < 0.025$$

different  
from  
ZEUS

$$\left\{ \begin{array}{l} 0.3 < y_e < 0.65 \dots 0.2 < y_{\text{JB}} < 0.85 \\ Q^2 < 0.01 \text{ GeV}^2 \dots Q^2 < 1 \text{ GeV}^2 \\ |t| < 1 \text{ GeV}^2 \\ M_Y < 1.6 \text{ GeV} \end{array} \right. \quad \text{ZEUS}$$

# Lower $E_T$ cut scenario



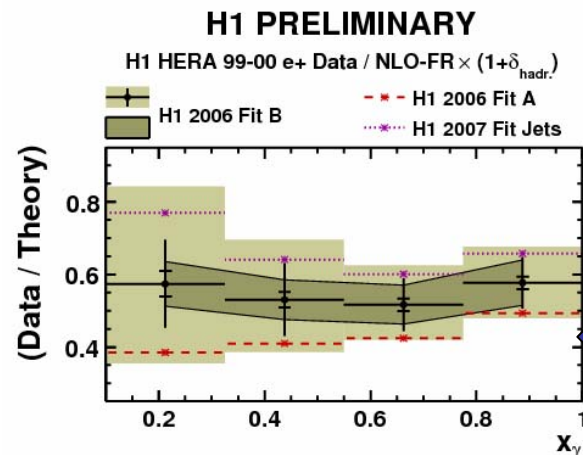
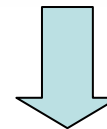
Integrated survival probabilities (ISP)

$$S_{\text{fit B}}^{\text{FR}} = \underline{0.54} \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.}) {}^{+0.14}_{-0.13} (\text{scale})$$

$$S_{\text{fit B}}^{\text{KK}} = 0.51 \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.})$$

$$S_{\text{fit Jets}}^{\text{FR}} = 0.65 \pm 0.01 (\text{stat.}) \pm 0.11 (\text{syst.})$$

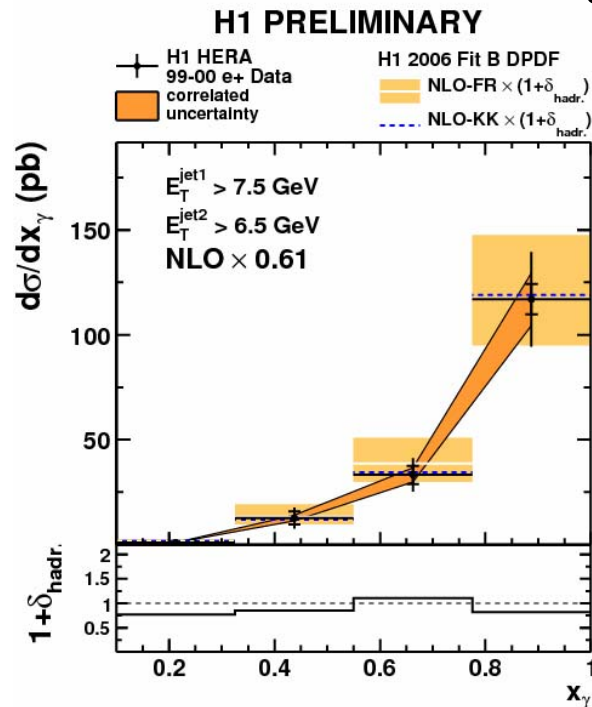
$$S_{\text{fit A}}^{\text{FR}} = 0.43 \pm 0.01 (\text{stat.}) \pm 0.10 (\text{syst.}) \quad 11$$



Within errors no difference in ISP using different DPDFs

No difference in survival probabilities for resolved and direct regions of  $x_\gamma$ , like in previous H1 and ZEUS analyses 16

# Higher $E_T$ cut scenario



Now much more „direct-like“ events than in low  $E_T$  analysis, peak at higher  $x_\gamma$

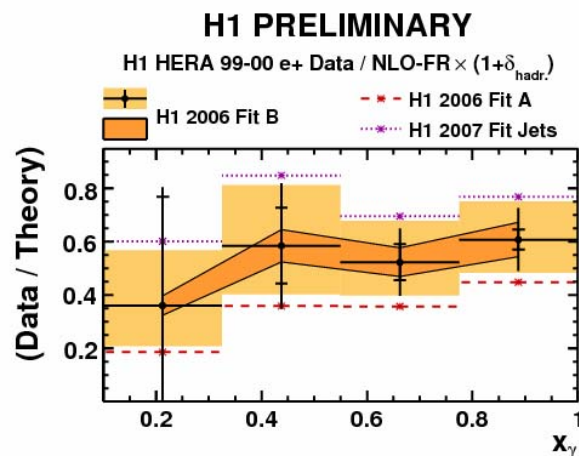
Integrated survival probabilities (ISP)

$$S_{\text{Fit B}}^{\text{FR}} = \underline{0.61} \pm 0.03 (\text{stat.}) \pm 0.13 (\text{syst.}) {}^{+0.16}_{-0.14} (\text{scale})$$

$$S_{\text{Fit B}}^{\text{KK}} = 0.62 \pm 0.03 (\text{stat.}) \pm 0.14 (\text{syst.})$$

$$S_{\text{Fit Jets}}^{\text{FR}} = 0.79 \pm 0.04 (\text{stat.}) \pm 0.16 (\text{syst.})$$

$$S_{\text{Fit A}}^{\text{FR}} = 0.44 \pm 0.02 (\text{stat.}) \pm 0.09 (\text{syst.})$$

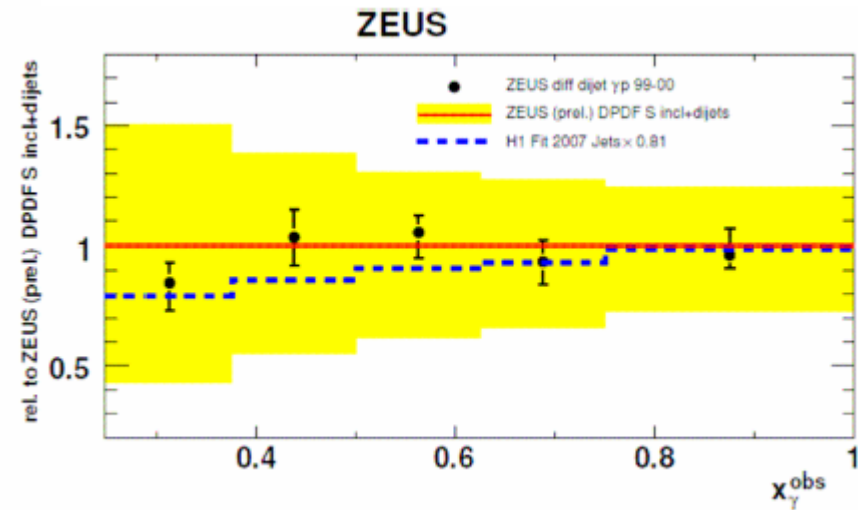
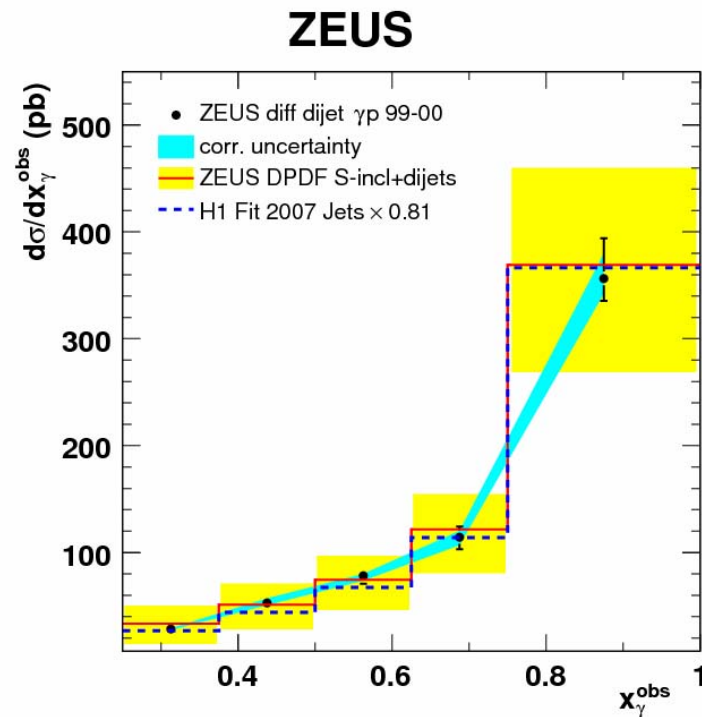


Larger ISP than for lower  $E_T$  cut scenario  
 → more close to ZEUS results!!!

# New ZEUS fit-comparison with old data

Published data: EPJ C55 (2008) 177

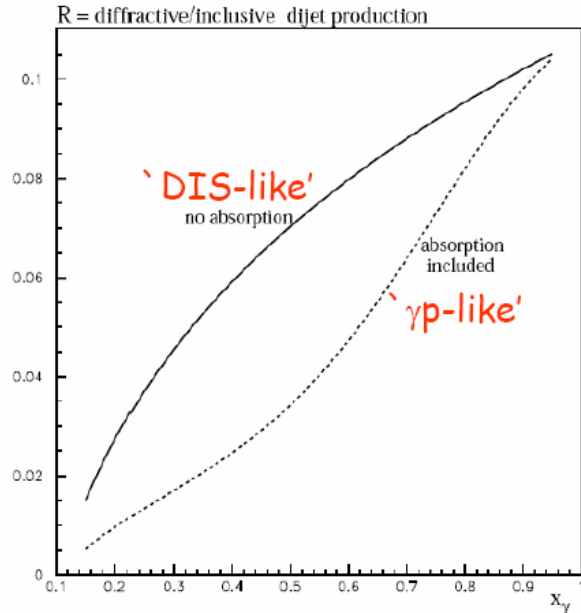
Very good description  $\rightarrow$  no evidence for suppression for ZEUS combined fit and H1 fit jets.





# Ratio diffractive to inclusive

Proposed by Kaidalov et al. Phys.Lett B567 (2003) 61



Full or partial cancellation of PDF uncertainties, scales.....

Distribution of  $x_\gamma$  sensitive to gap survival.

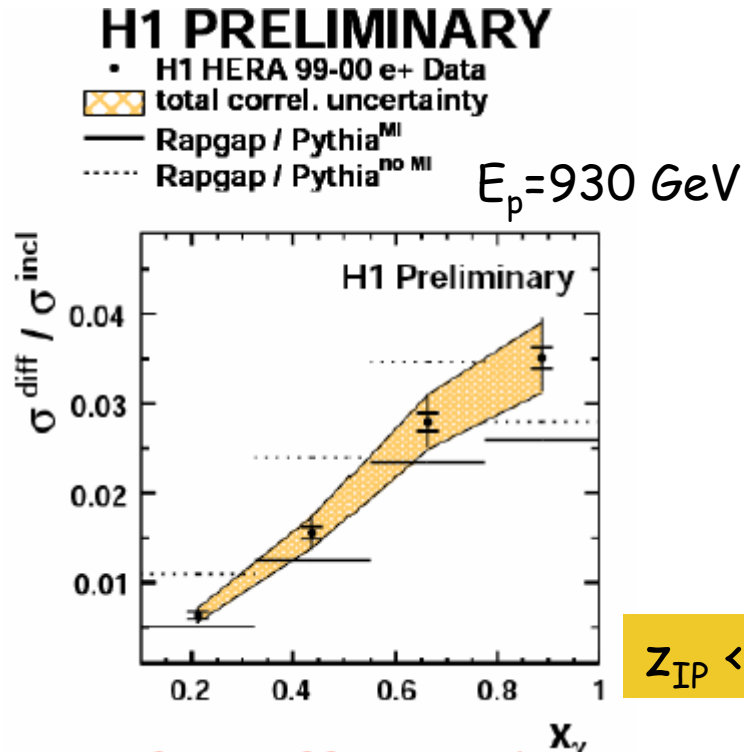
H1 - measured in same kinematic range with same method as diffractive cross sections

Acceptance corrections - PYTHIA

Problem → describes low  $E_+$  inclusive data with inclusion of multiple interactions only, large hadronisation corrections!

With such a low  $E_+$  jets problems also with NLO description of data - see for example H1 inclusive jet paper (EPJ C 129 (2003) 497)

# Ratio diffractive to inclusive



$E_p = 820 \text{ GeV}$

inclusive

$p_T^{\text{jet1}} > 5 \text{ GeV}$

$p_T^{\text{jet2}} > 4 \text{ GeV}$

$-1 < \eta_{\text{lab}}^{\text{jet1,2}} < 2$

$Q^2 < 0.01 \text{ GeV}^2$

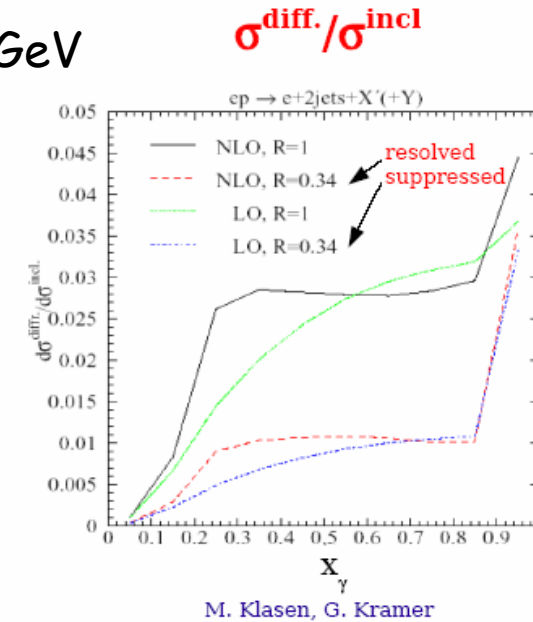
$0.3 < y < 0.6$

diffraction

$x_{\text{IP}} < 0.03$

$M_y < 1.6 \text{ GeV}$

$-t < 1 \text{ GeV}^2$



- comparison to MC models - RAPGAP/PYTHIA
- very different phase space for incl.& diffr.
- large sensitivity to multiple interactions (MI) for inclusive dijets
- better agreement of data ratio with PYTHIA MI

# Summary

- for dijets in photoproduction gap survival probability: significantly less than 1. for events with low  $E_+$  leading jets, for higher  $E_+$  (DPDF H1 fit jets 2007)  $\sim 0.8$ , **consistent with ZEUS results** (ZEUS combined fit 2009)  $\sim 1$ .
- hint that **suppression is dependent on  $E_+$  of the leading jet**,  
→ evidence that gap destruction becomes less likely as  $E_+$  increases
- the evidence that suppression is **not different** for direct and resolved events **remains** (from theory not expected )
- ratio diffractive dijets/inclusive dijets measured for the first time - the multiple interactions play important role for inclusive dijets → interpretation difficult

# Backup

# Tests of factorisation - HERA

- dijets in DIS - **factorisation holds** - H1 → JHEP 0710 042 (2007)  
ZEUS → EPJ C52 (2007) 813
- D\* in DIS and photoproduction - H1 Coll. Eur.Phys. J C50,1,(2007)

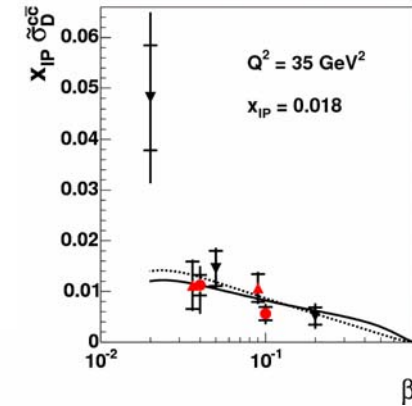
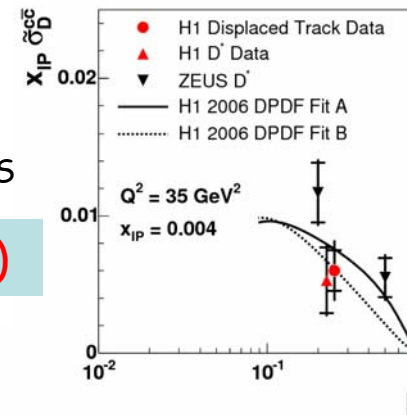
$$R_{\text{DIS}}^{\text{YP}} = (\text{data/theory})^{\text{YP}} / (\text{data/theory})_{\text{DIS}}$$

$$R_{\text{DIS}}^{\text{YP}} = 1.15 \pm 0.40 (\text{stat.}) \pm 0.09 (\text{syst.})$$



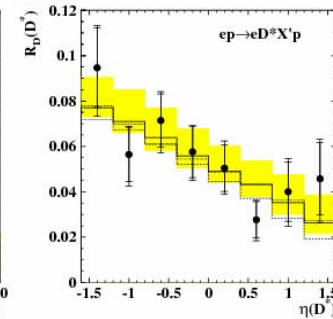
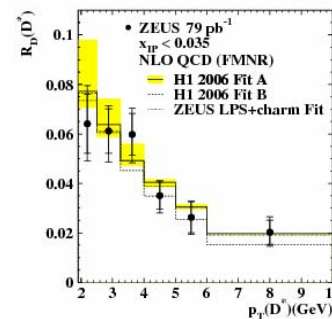
within large errors  
no evidence for suppression,  
**factorisation holds**

DIS



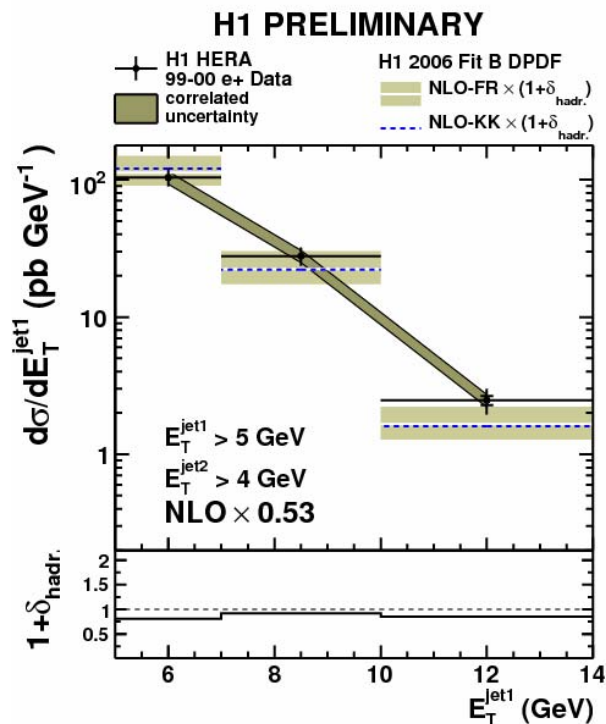
ZEUS coll. EPJ C51 (2007) 301

ZEUS





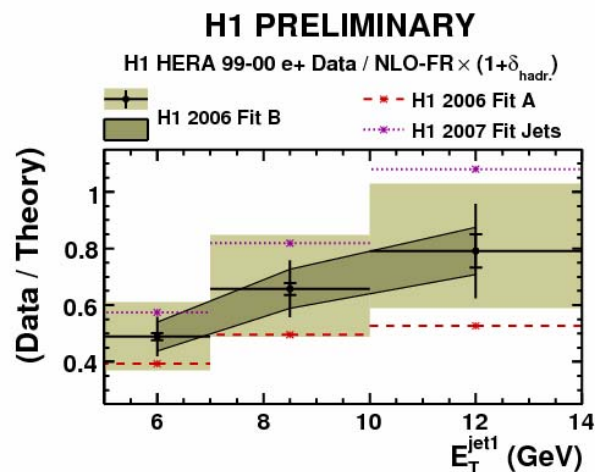
# Lower $E_T$ cut scenario



Another hint of  $E_T$  harder slope for data than NLO

Hadronization corrections

$$\delta_{\text{hadr}} = \text{MC}(\text{hadr}) / \text{MC}(\text{parton})$$



31.06.2009