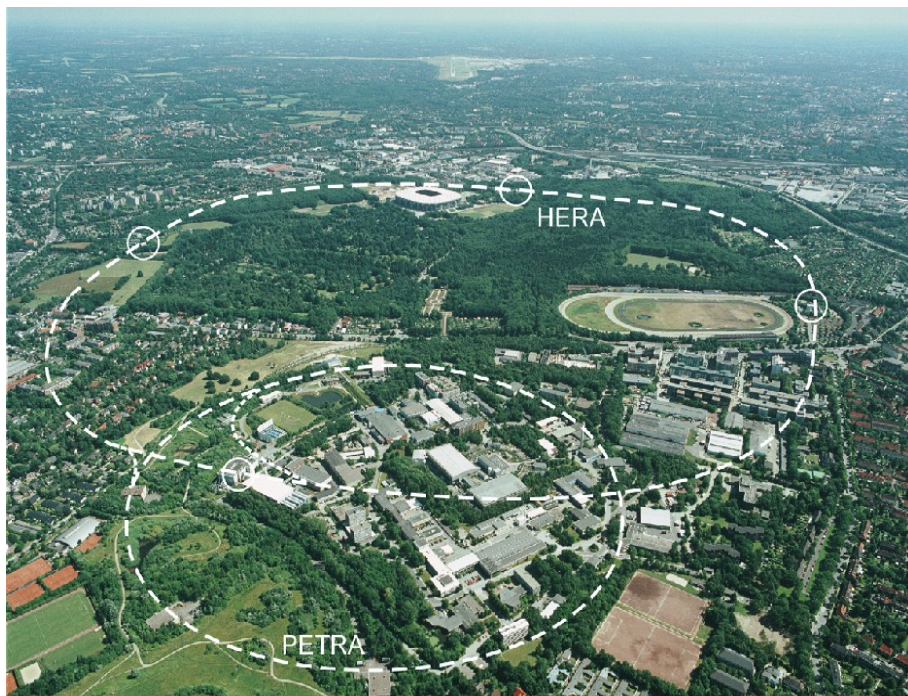




# Extraction of $F_2^c(x, Q^2)$

## from $D^*$ cross sections at

### H1



- Introduction
- $D^*$  cross sections
- Fragmentation & Extrapolation
- Extraction of  $F_2^c(x, Q^2)$
- Conclusions



Andreas W. Jung for the H1 collaboration  
[anjung@kip.uni-heidelberg.de](mailto:anjung@kip.uni-heidelberg.de)  
Kirchhoff Institute for Physics  
University of Heidelberg



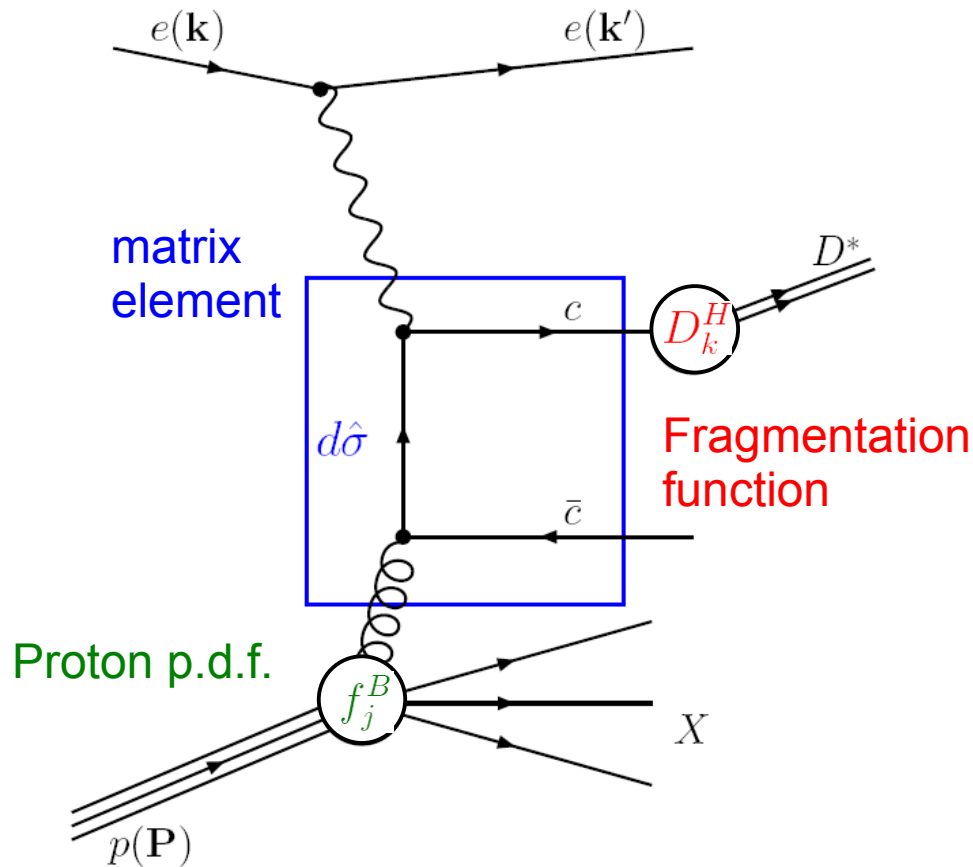
April 26<sup>th</sup> - 30<sup>th</sup>, 2009

XVII. International Workshop on Deep-Inelastic Scattering  
and Related Subjects



# $D^*$ production: Boson gluon fusion

Dominant process: BGF process



Kinematic at  $\sqrt{s} \approx 320$  GeV:

- Photon virtuality  $Q^2$
- Inelasticity  $y$
- Björken  $x$

$D^*$  via Fragmentation:

- Pseudo-rapidity  $\eta$
- Transverse momentum  $p_T$
- (In)elasticity  $z$

Factorisation ansatz:

$$d\sigma = \sum_{i,j,k} f_j^B(x_2, \mu_f) \otimes d\hat{\sigma}_{ij \rightarrow kX}(\mu_f) \otimes D_k^H(z, \mu_f)$$

$f_j^B$ : Parton density functions (PDFs): from global fits to data  
 $d\hat{\sigma}_{ij \rightarrow kX}$ : Matrix element: calculable in different heavy flavor schemes  
 $D_k^H$ : Fragmentation function: from data





# Theoretical models

## Study production mechanism:

### Perturbative QCD:

- $Q^2$ ,  $m_c^2$  or  $p_T^2$  provide a hard scale
- Test of heavy flavor treatment in pQCD

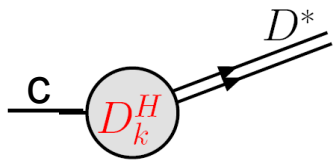
→ multiscale problem

### Non-perturbative QCD:

- Parton densities: gluon structure of the proton
- Fragmentation

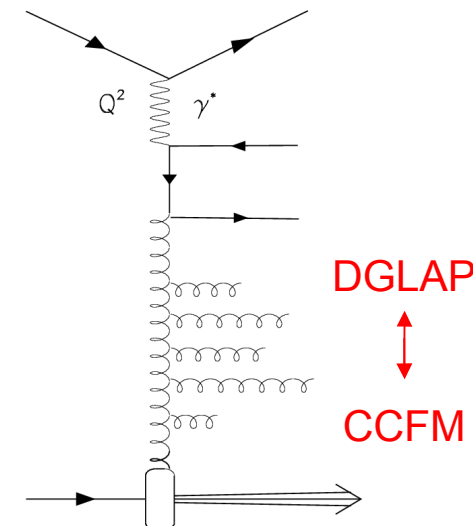
→ test universality

### Models discussed in the following:



#### CASCADE vs. HVQDIS:

LO( $\alpha_s$ ) + PS	↔	NLO( $\alpha_s^2$ )
CCFM	↔	DGLAP
only gluons	↔	all partons
Lund frag.	↔	Independent frag.
massive BGF	↔	massive BGF (FFNS)



Note: RAPGAP + HERACLES used correction of data

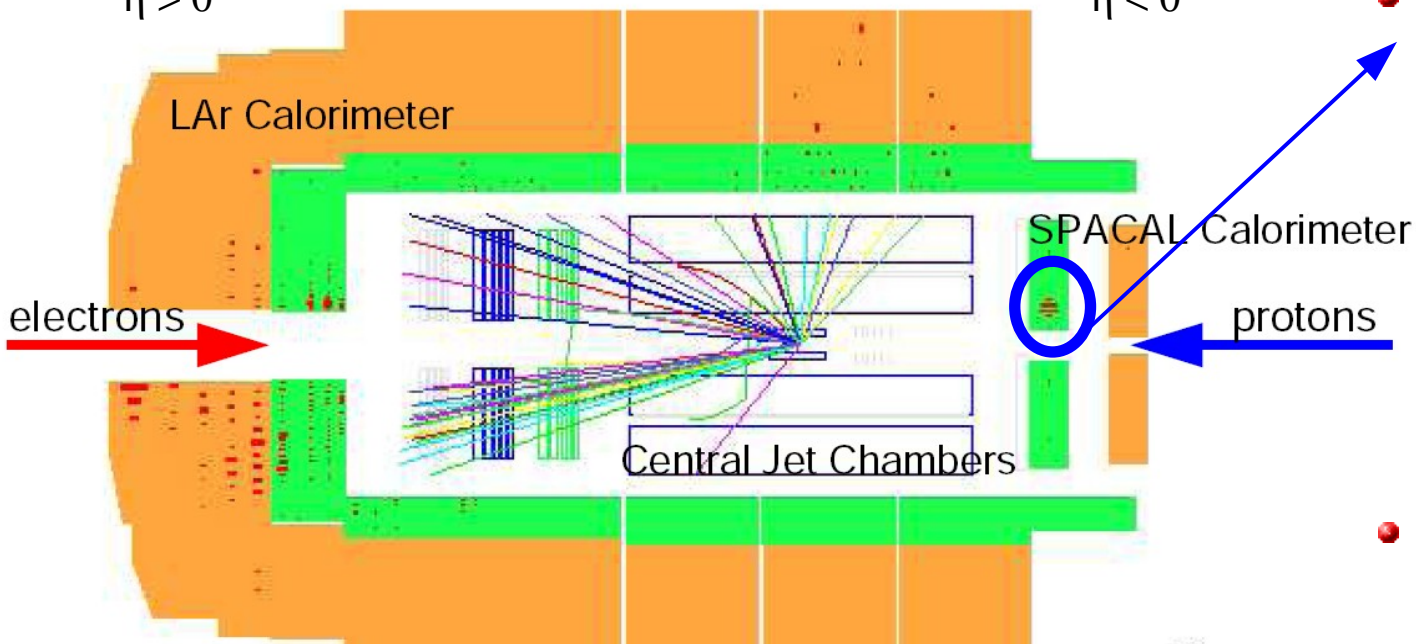




# Event selection

Forward directions  
 $\eta > 0$

Backward directions  
 $\eta < 0$



- Scattered electron in backward calorimeter:

$$Q^2: 5 - 100 \text{ GeV}^2$$

→ Summary given here

- OR in main calorimeter:

$$Q^2: 100 - 1000 \text{ GeV}^2$$

→ Talk by M. Brinkmann

- Luminosity:  $\sim 350 \text{ pb}^{-1}$

Visible range for the  $D^*$  cross section:

$$Q^2 : 5 - 100(0) \text{ GeV}^2$$

$$y : 0.02 - 0.70$$

$$p_T(D^*) : > 1.5 \text{ GeV}$$

$$|\eta(D^*)| : < 1.5$$

$D^*$  reconstructed in golden decay channel:

(with a total BR of 2.57%)

$$D^{*\pm} \rightarrow D^0 \pi_{slow}^{\pm} \rightarrow (K^{\mp} \pi^{\pm}) \pi_{slow}^{\pm}$$



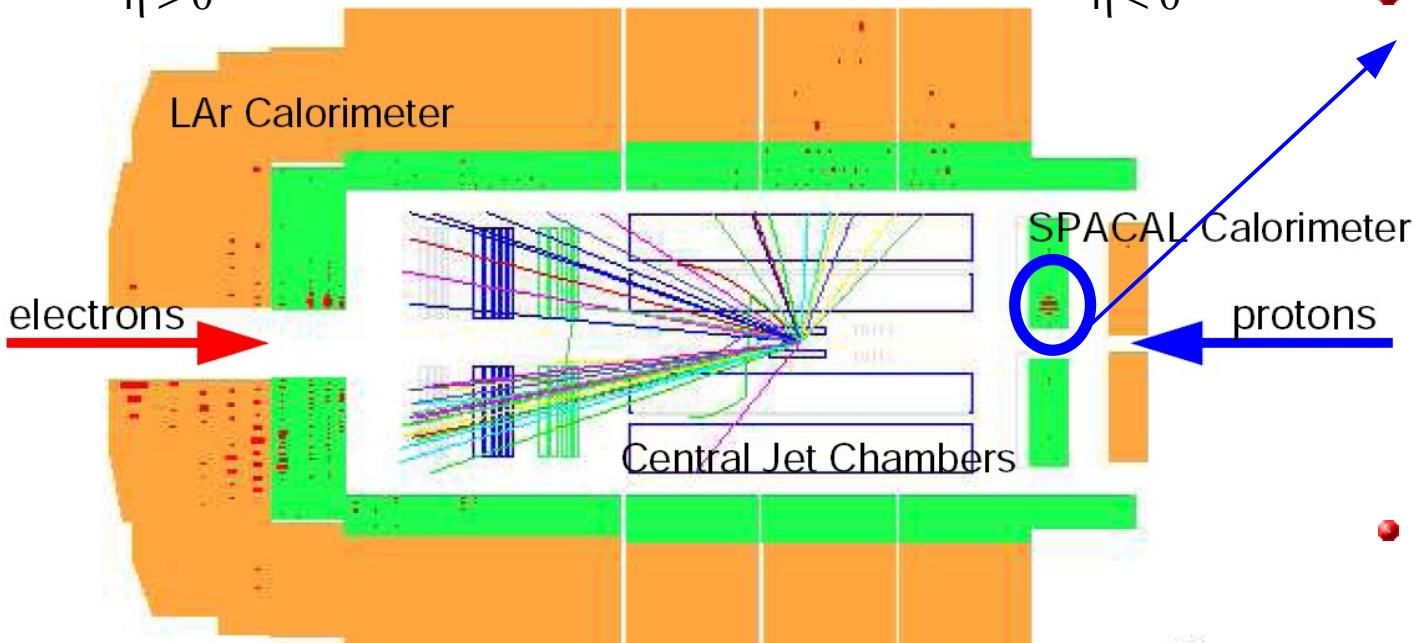




# Event selection

Forward directions  
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$$y : 0.02 - 0.70$$

$$p_T(D^*) : > 1.5 \text{ GeV}$$

$$|\eta(D^*)| : < 1.5$$

For  $F_2^c(x, Q^2)$ :

$$p_T(D^*) \rightarrow 0 \text{ GeV}$$

$$|\eta(D^*)| \rightarrow 10$$

$D^*$  reconstructed in golden decay channel:

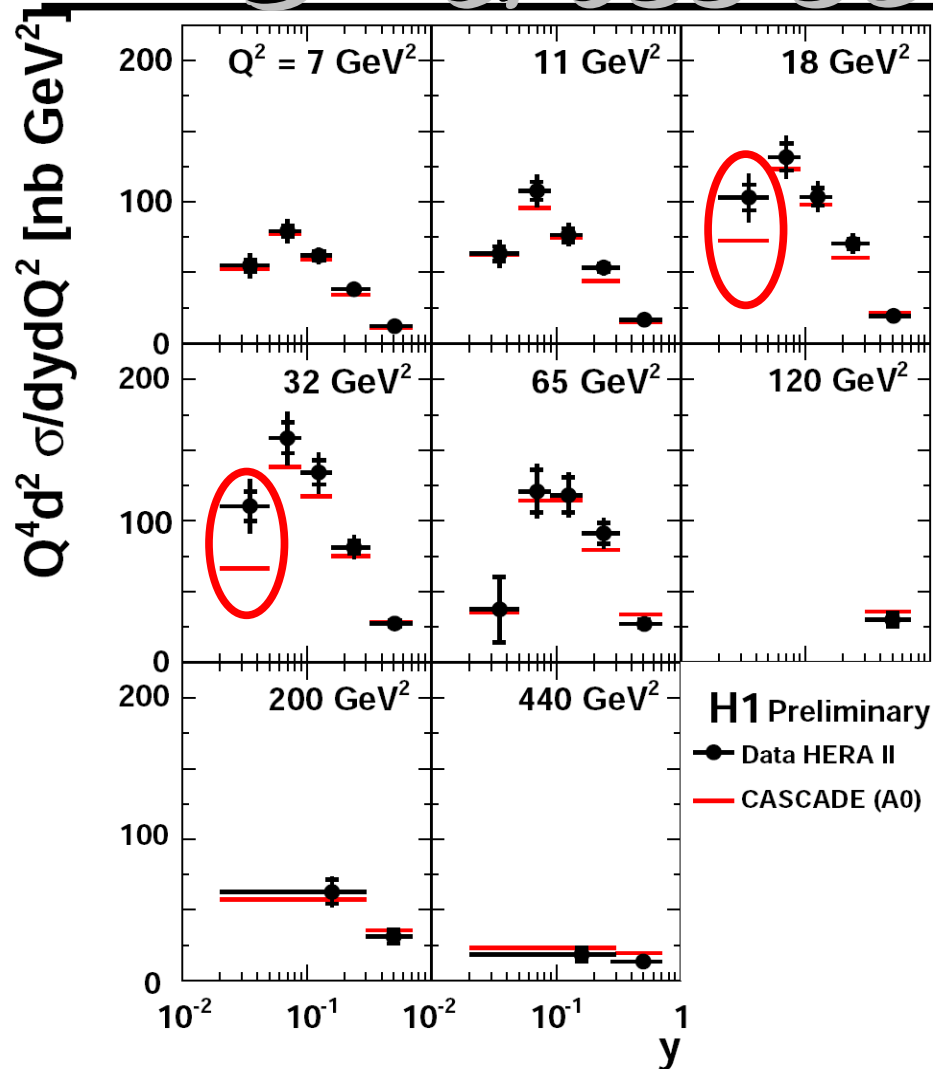
(with a total BR of 2.57%)

$$D^{*\pm} \rightarrow D^0 \pi_{slow}^{\pm} \rightarrow (K^{\mp} \pi^{\pm}) \pi_{slow}^{\pm}$$





# *D\* cross sections in $\gamma$ -Q<sup>2</sup>*



## Considered in cross section:

- Data corrected with RAPGAP  
→  $\varepsilon \sim 60\%$
- Contribution due to b-quarks not subtracted  
→ but  $< 2\%$
- Correction due to other D<sup>0</sup> decay channels  
→ 4%
- Correction for NLO-QED effects using HERACLES  
→ 2%

## More information:

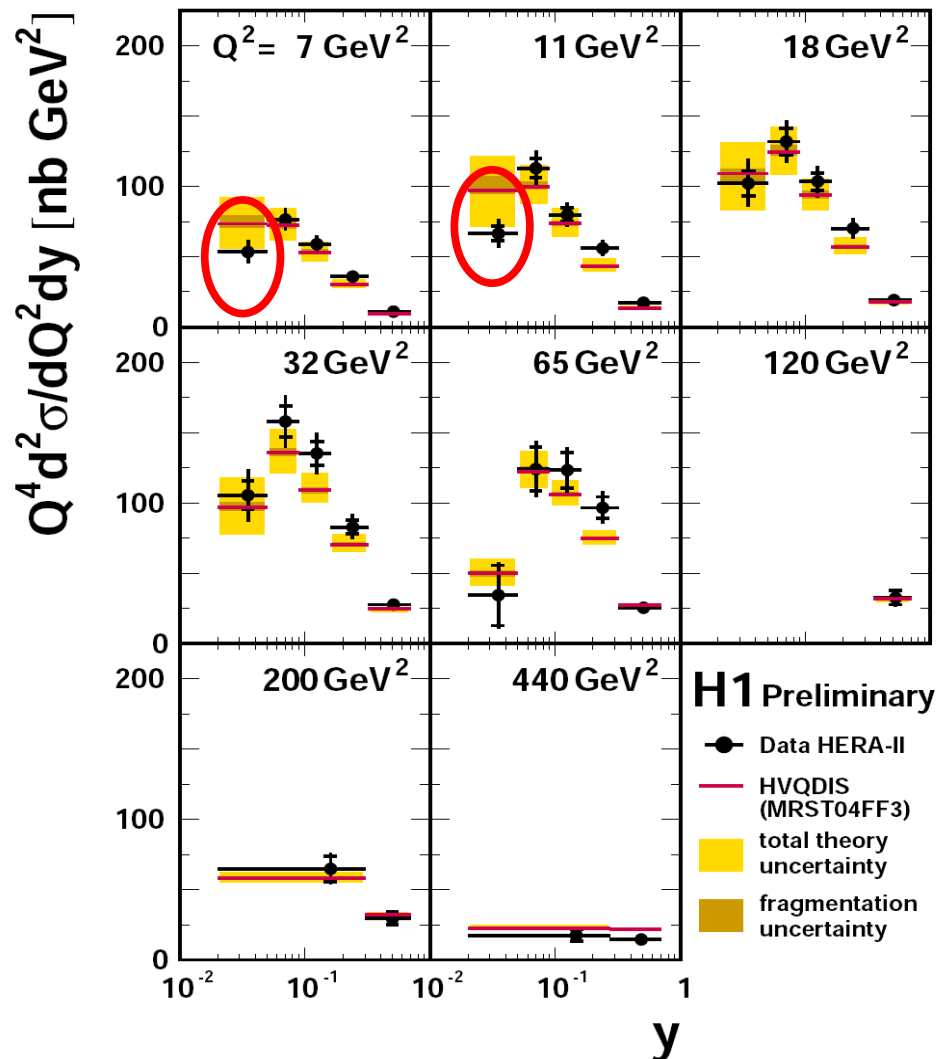
<https://www-h1.desy.de/psfiles/confpap/ICHEP08/H1prelim-08-072.ps>  
and <http://www-h1.desy.de/psfiles/theses/h1th-504.pdf>

- CASCADE describes the data reasonable
- difficulties to describe the new (lowest)  $\gamma$ -bin (→ highest x)





# *D\* cross sections in $y$ - $Q^2$*



Error estimation of the NLO-calculation with parameter variation:

charm mass:  $1.3 < m_c < 1.6 \text{ GeV}$

renormalization & factorization scale:

$$0.5 < \mu_{f,r}/\mu_0 < 2,$$

$$\text{with } \mu_0^2 = Q^2 + 4m_c^2$$

fragmentation: comes later

More information:

<https://www-h1.desy.de/psfiles/confpap/ICHEP08/H1prelim-08-072.ps>  
and <http://www-h1.desy.de/psfiles/theses/h1th-504.pdf>

- Equally good described by HVQDIS (NLO, DGLAP) and CASCADE (LO+PS, CCFM)
- Both have difficulties to describe the new (lowest)  $y$ -bin ( $\rightarrow$  highest  $x$ )
- Data don't prefer a specific model - **use both for the extraction of  $F_2^c(x, Q^2)$**





# Extraction of $F_2^c(x, Q^2)$

$$\frac{d^2\sigma^{c\bar{c}}(x, Q^2)}{dx dQ^2} = \frac{2\pi\alpha_{em}^2}{xQ^4} \cdot \left( [1 + (1-y)^2] \cdot F_2^{c\bar{c}}(x, Q^2) - y^2 \cdot F_L^{c\bar{c}}(x, Q^2) \right)$$

Only at high  $y$ : This measurement  
 $O(2-3\%) \rightarrow$  negligible

What is done to measure  $F_2^c(x, Q^2)$ :

Double differential cross section measurement  
 in **visible phase space**

$$F_2^{c \text{ exp}}(x, Q^2) = \frac{\sigma_{\text{vis}}^{\text{exp}}(y, Q^2)}{\sigma_{\text{vis}}^{\text{theo}}(y, Q^2)} \cdot F_2^{c \text{ theo}}(x, Q^2)$$

Double differential prediction of  
 cross section in **visible phase space**  
 (DGLAP & CCFM)

Prediction of  $F_2^c(x, Q^2)$  in **full phase space** ( $\eta, p_T$ )  
 (DGLAP & CCFM)







# Extraction of $F_2^c(x, Q^2)$

$$\frac{d^2\sigma^{c\bar{c}}(x, Q^2)}{dx dQ^2} = \frac{2\pi\alpha_{em}^2}{xQ^4} \cdot \left( [1 + (1-y)^2] \cdot F_2^{c\bar{c}}(x, Q^2) - y^2 \cdot F_L^{c\bar{c}}(x, Q^2) \right)$$

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Double differential prediction of  
 cross section in **visible phase space**  
 (DGLAP & CCFM)

Prediction of  $F_2^c(x, Q^2)$  in **full phase space** ( $\eta, p_T$ )  
 (DGLAP & CCFM)

$p_T(D^*) \rightarrow 0 \text{ GeV}$   
 $|\eta(D^*)| \rightarrow 10$

- Extrapolation into not measured region  $\rightarrow$  Fragmentation has an influence

**Determine Fragmentation Function (FF) from data !**





# Fragmentation functions (FF)

## Jet method:

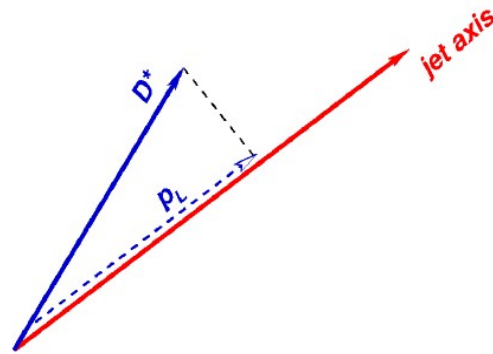
- ▷ momentum of  $c$ -quark approximated by momentum of rec.  $D^*$ -jet

$$z_{\text{jet}} = \frac{(E + p_L)_{D^*}}{(E + p)_{\text{jet}}}$$

- ▷  $k_{\perp}$ -clus jet algorithm applied in  $\gamma p$ -frame ( $E_t(D^*_{\text{jet}}) > 3 \text{ GeV}$ )

Slides taken from talk by J. Bracinik (DIS 2008):

<http://indico.cern.ch/contributionDisplay.py?contribId=236&sessionId=14&confId=24657>



## Analyses based on:

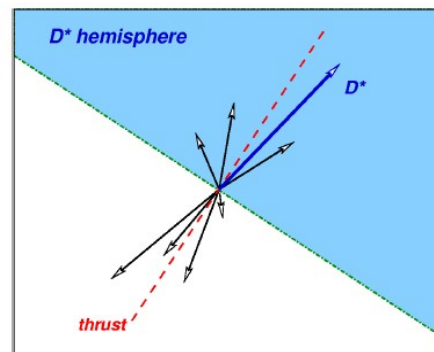
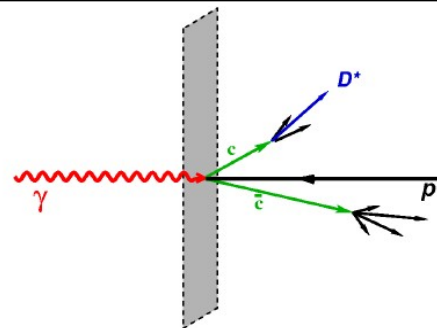
- $D^*$  reconstructed in golden decay
- HERA I data with  $L = 47 \text{ pb}^{-1}$

## Hemisphere method:

- ▷ momentum of  $c$ -quark approximated by momentum of rec.  $D^*$ -hemisphere

$$z_{\text{hem}} = \frac{(E + p_L)_{D^*}}{\sum_{\text{hem}} (E + p)_i}$$

- ▷  $\eta(\text{part}) > 0$  for  $p$ -remnant suppression
- ▷ thrust axis in plane perpendicular to  $\gamma$  used for hemisphere division





# Fragmentation functions (FF)

## Jet method:

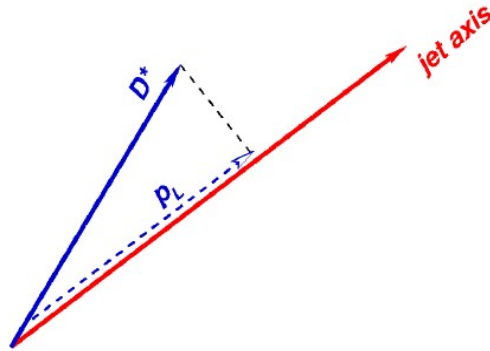
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## Analyses based on:

- $D^*$  reconstructed in golden decay
- HERA I data with  $L = 47 \text{ pb}^{-1}$

## Differences of the methods:

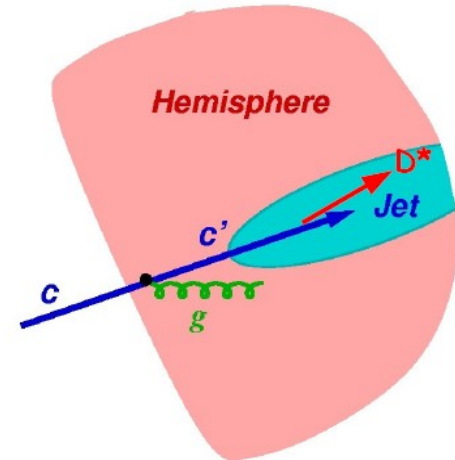
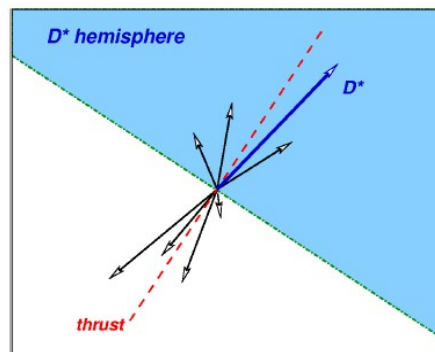
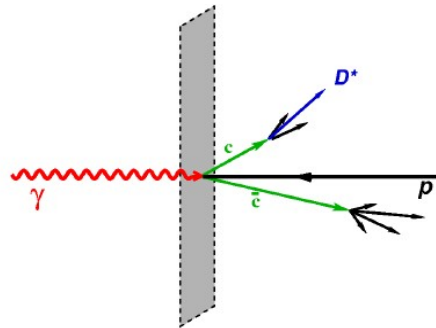
- Jet method & hemisphere method:

## Hemisphere method:

- momentum of  $c$ -quark approximated by momentum of rec.  $D^*$ -hemisphere

$$z_{\text{hem}} = \frac{(E + p_L)_{D^*}}{\sum_{\text{hem}} (E + p)_i}$$

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- thrust axis in plane perpendicular to  $\gamma$  used for hemisphere division

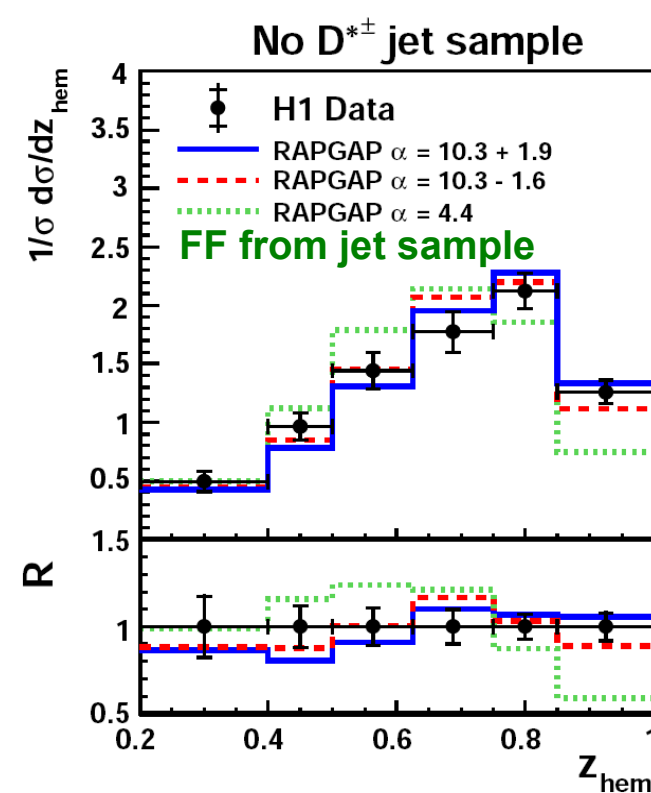
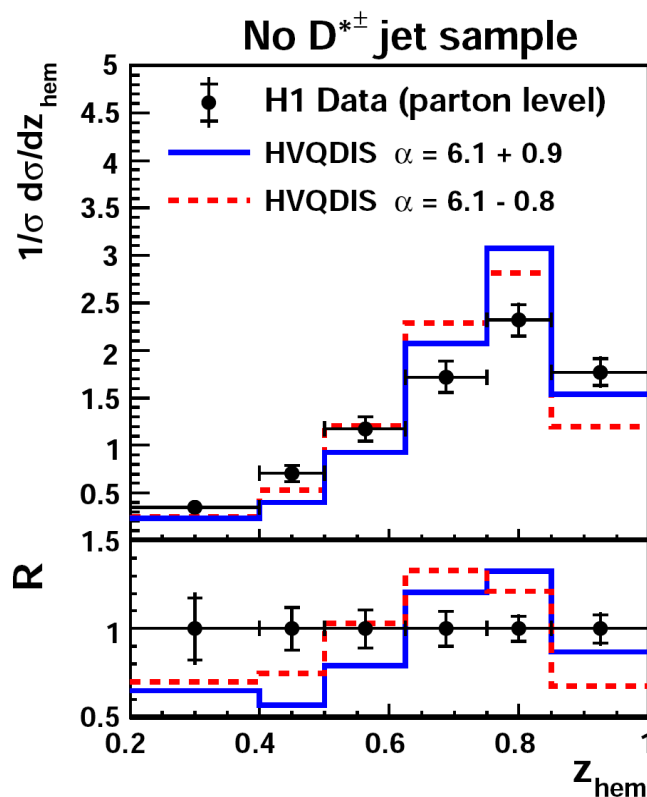
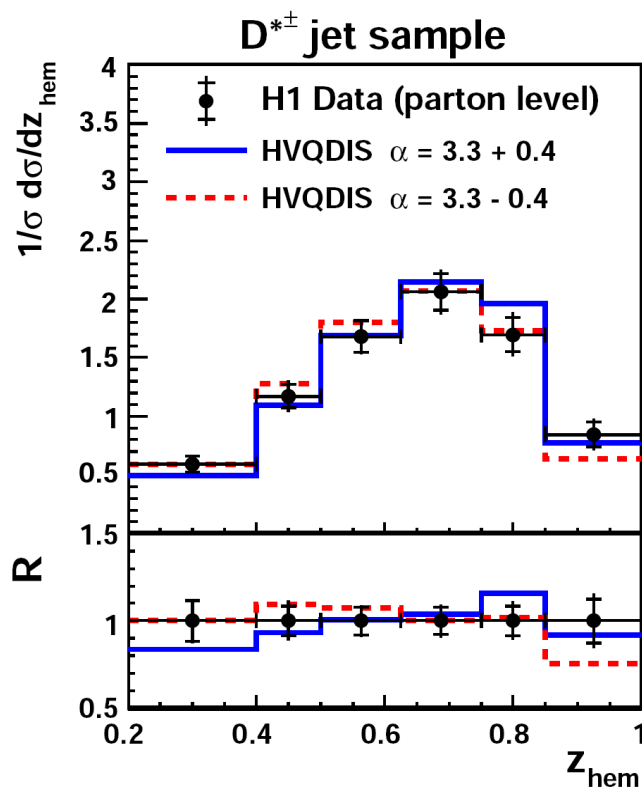


- Methods are different, i.e. hemisphere method sums more gluon radiation and does not need a hard scale (jet  $E_T$ -cut)
- Hem. method is sensitive to threshold region !





# Fragmentation functions (FF)



--> NLO (HVQDIS) describes D\*<sup>±</sup>-jet sample

--> Extracted FF (hemisphere method) differs by 4σ from FF extracted from jet sample

--> NLO (HVQDIS) fails to describe the no-D\*<sup>±</sup>-jet data ( $\chi^2_{\min}/\text{n.d.f.} = 40/4$ )



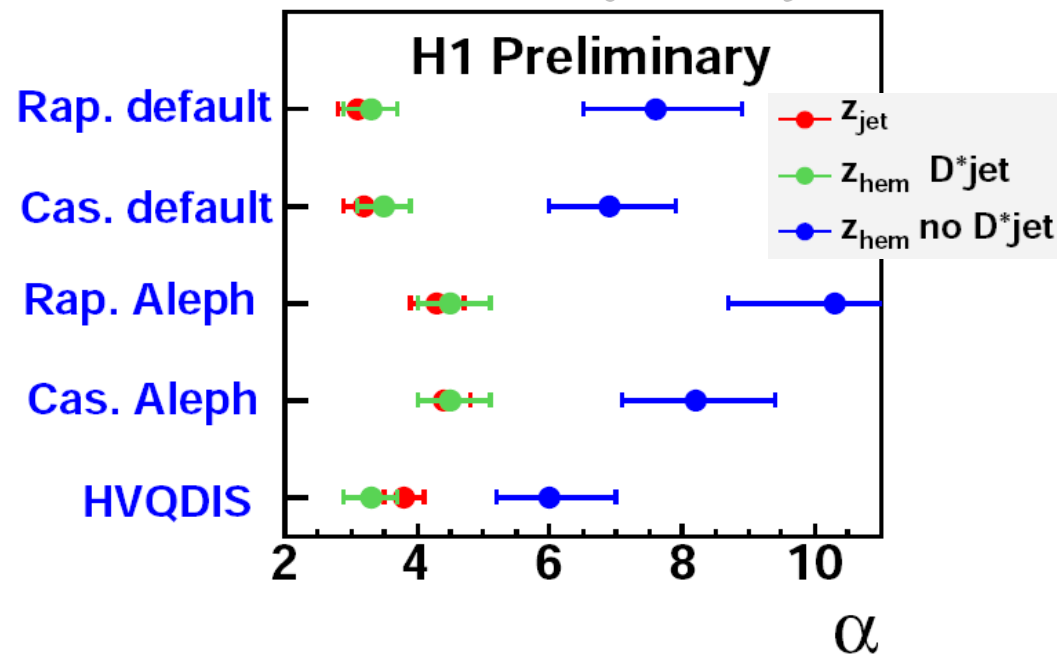


# Fragmentation functions (FF)

- If **a hard scale** is involved:
  - jet- & hemisphere method agree well
  - FF also agrees with ZEUS and LEP data
- If **no hard scale** is involved:
  - discrepancy at charm production threshold in QCD models
  - much harder fragmentation

More information:

<http://arxiv.org/abs/0808.1003v2>



- Fragmentation uncertainty from FF values used for extrapolation:

**at-threshold:**

HVQDIS:

$$\alpha = 6.0^{+1.0}_{-0.8}$$

CASCADE:

$$\alpha = 8.2 \pm 1.1$$

**above-threshold:**

$$\alpha = 3.3 \pm 0.4$$

$$\alpha = 4.6 \pm 0.6$$

- Threshold position from  $\hat{s}$  (cms energy of hard subprocess):

$$70 \pm 20 \text{ GeV}^2$$

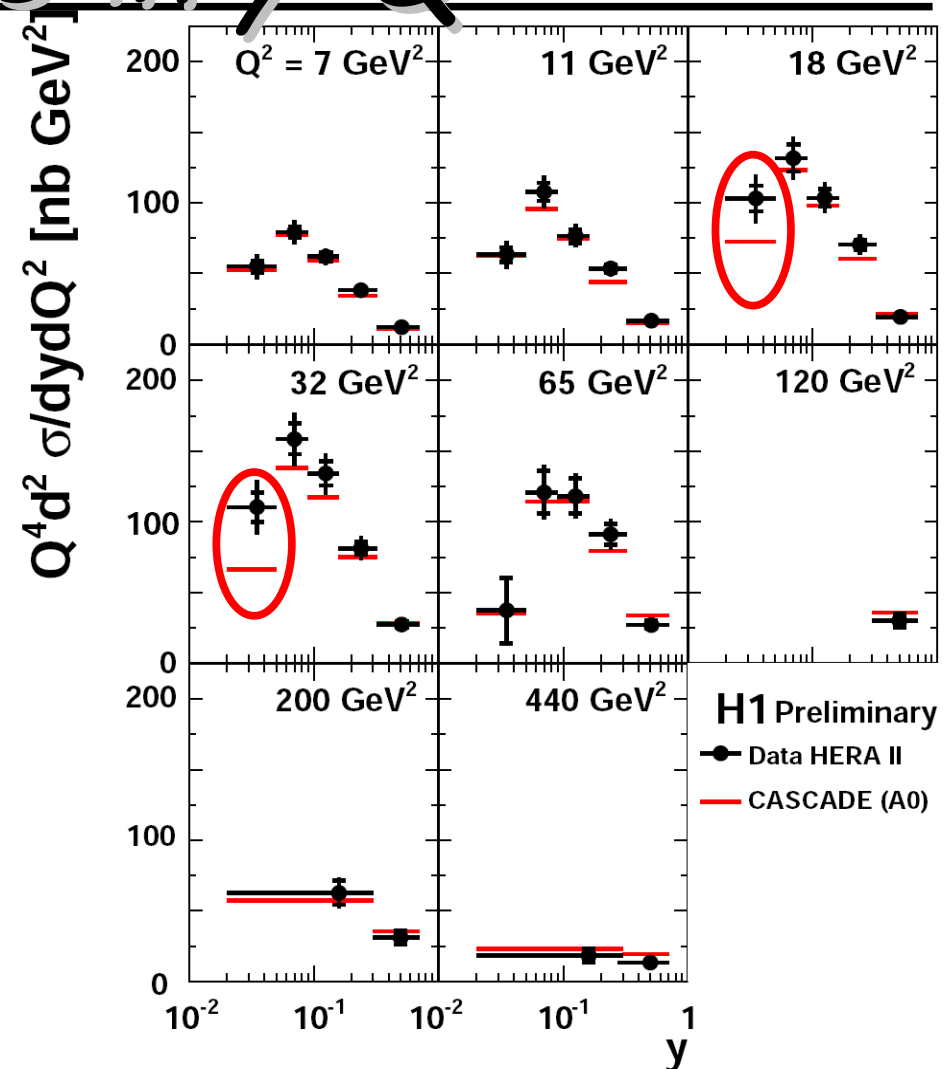
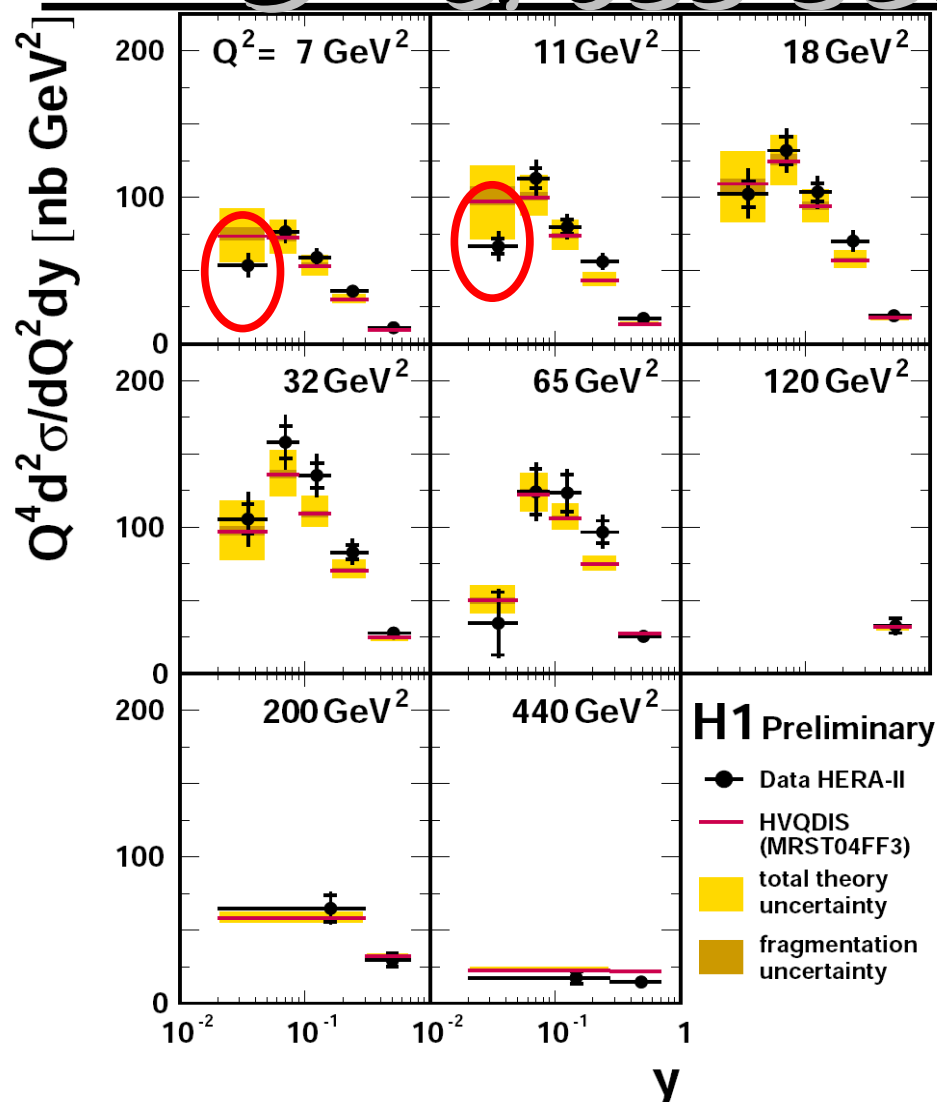
$$70 \pm 20 \text{ GeV}^2$$







# *D\* cross sections in $y$ - $Q^2$*



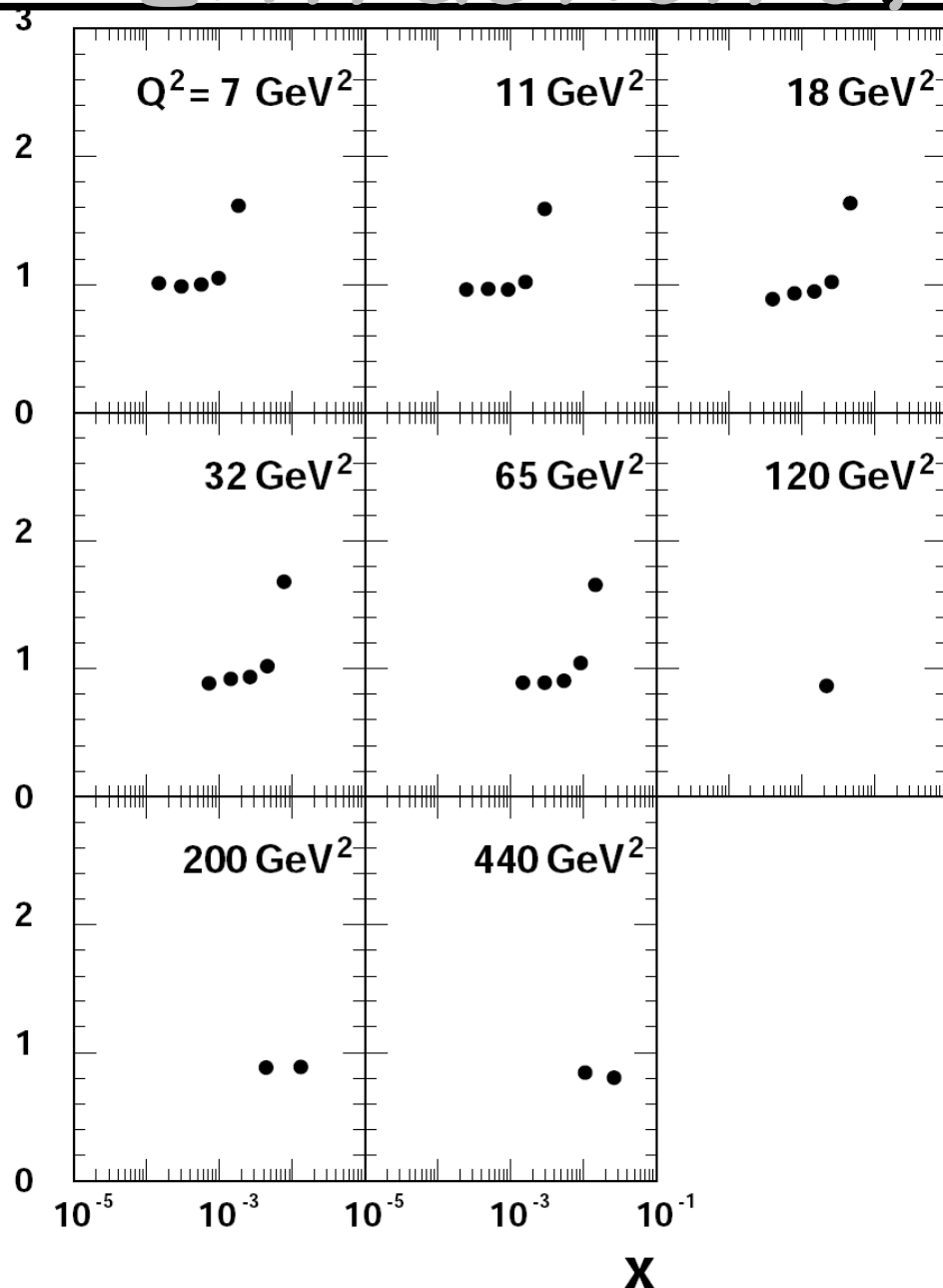
- Fragmentation uncertainty assigned to NLO & CASCADE reweighted
- In visible phase space small influence
- $y$ - $Q^2$  cross sections are used for the extraction of  $F_2^c(x, Q^2)$





# Extraction of $F_2^c(x, Q^2)$

Extrapolation Factor Ratio CASCADE/HVQDIS



Extrapolation to full phase space:

$$f^{\text{extra}} = \frac{\frac{d^2}{dydQ^2} \cdot \sigma_{\text{full}}^{\text{theo}}}{\frac{d^2}{dydQ^2} \cdot \sigma_{\text{vis}}^{\text{theo}}}$$

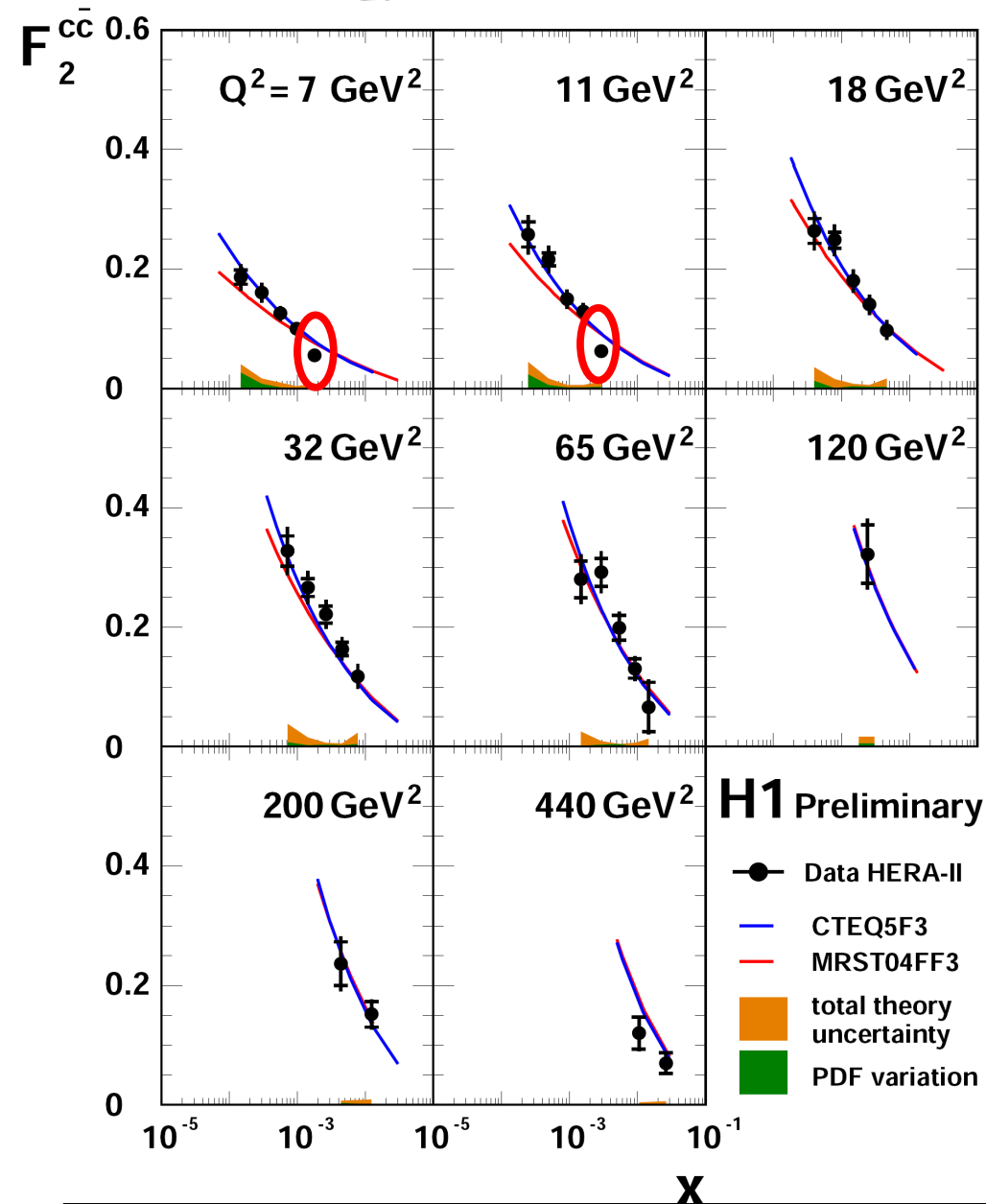
$$\begin{aligned} p_T(D^*) &\rightarrow 0 \text{ GeV} \\ |\eta(D^*)| &\rightarrow 10 \end{aligned}$$

- CASCADE & HVQDIS used,  $f_{\text{avg}} \sim 3$
- Ratio CASCADE/HVQDIS within 10%
- BUT at high  $x$  differences of up to 80%
- reason is the restricted phase space  
→ larger  $\eta(D^*)$  range needed !
- Extrapolation uncertainty:
  - charm mass:  $1.3 < m_c < 1.6 \text{ GeV}$
  - renormalization & factorization scale:  
 $0.5 < \mu_{f,r}/\mu_0 < 2, \mu_0^2 = Q^2 + 4m_c^2$
  - PDF: MRST vs. CTEQ
  - Fragmentation: as discussed...
- Partial cancellations of uncertainties





# $F_2^c$ in NLO DGLAP scheme

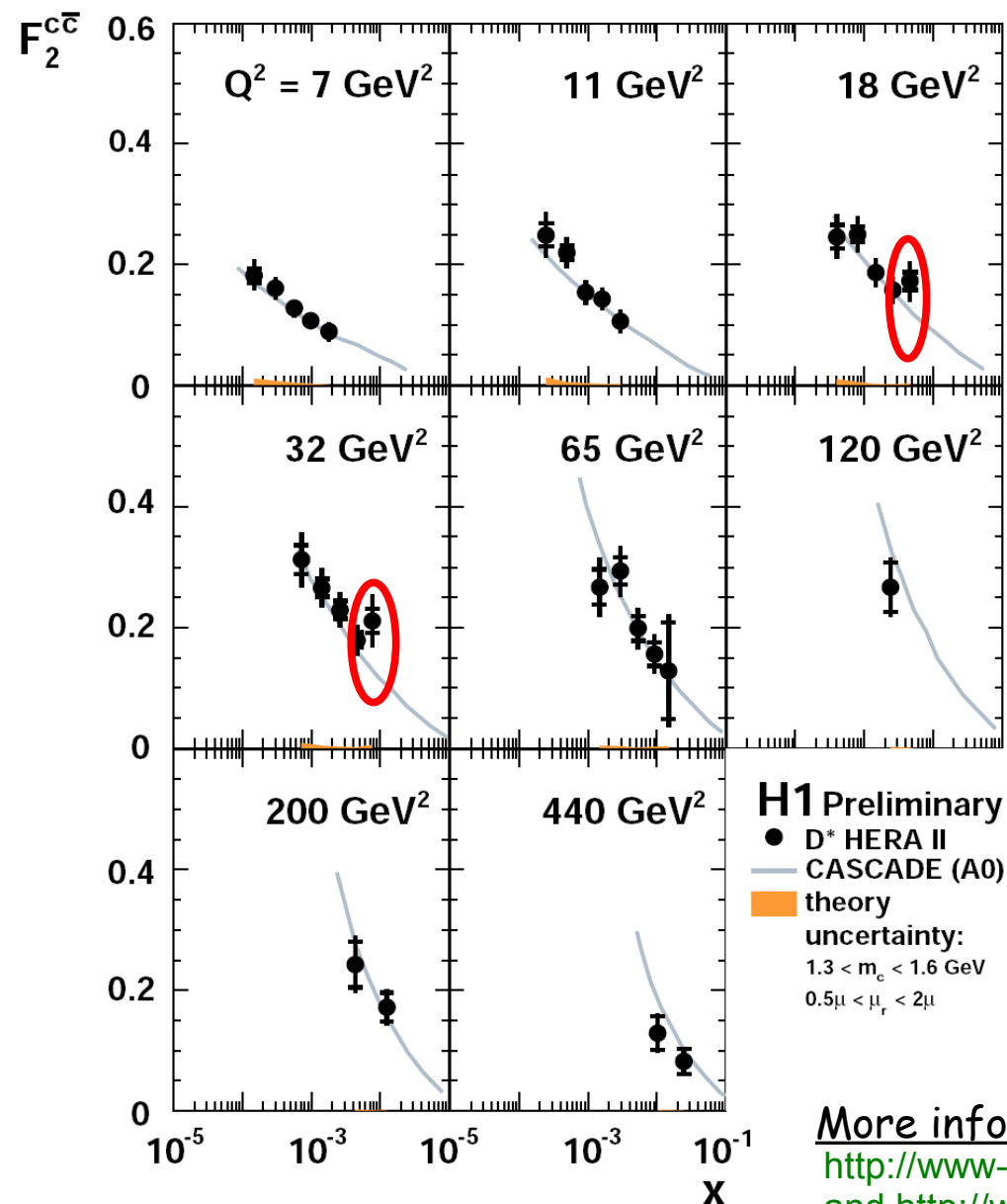


- 20x statistics of last Publication
- Extrapolation error:  
typically 5 - 10%
- Fragmentation: applied to sys. Error of data  
typically 2 - 7%
- HVQDIS using different proton PDFs describes the  $F_2^c$  data reasonable
- Deviations at large  $x$  - originating from differences at cross section level





# $F_2^c$ in CCFM scheme



- 20x statistics of last Publication
- Extrapolation error:  
typically 2 - 6%  
(no factorization scale & PDF variation)
- Fragmentation: applied to sys. Error of data  
typically 2 - 7%
- CASCADE describes the  $F_2^c$  data reasonable
- Deviations at large  $x$  - originates from differences at cross section level

More information:

<http://www-h1.desy.de/psfiles/confpap/ICHEP08/H1prelim-08-172.ps>  
and <http://www-h1.desy.de/psfiles/theses/h1th-504.pdf>



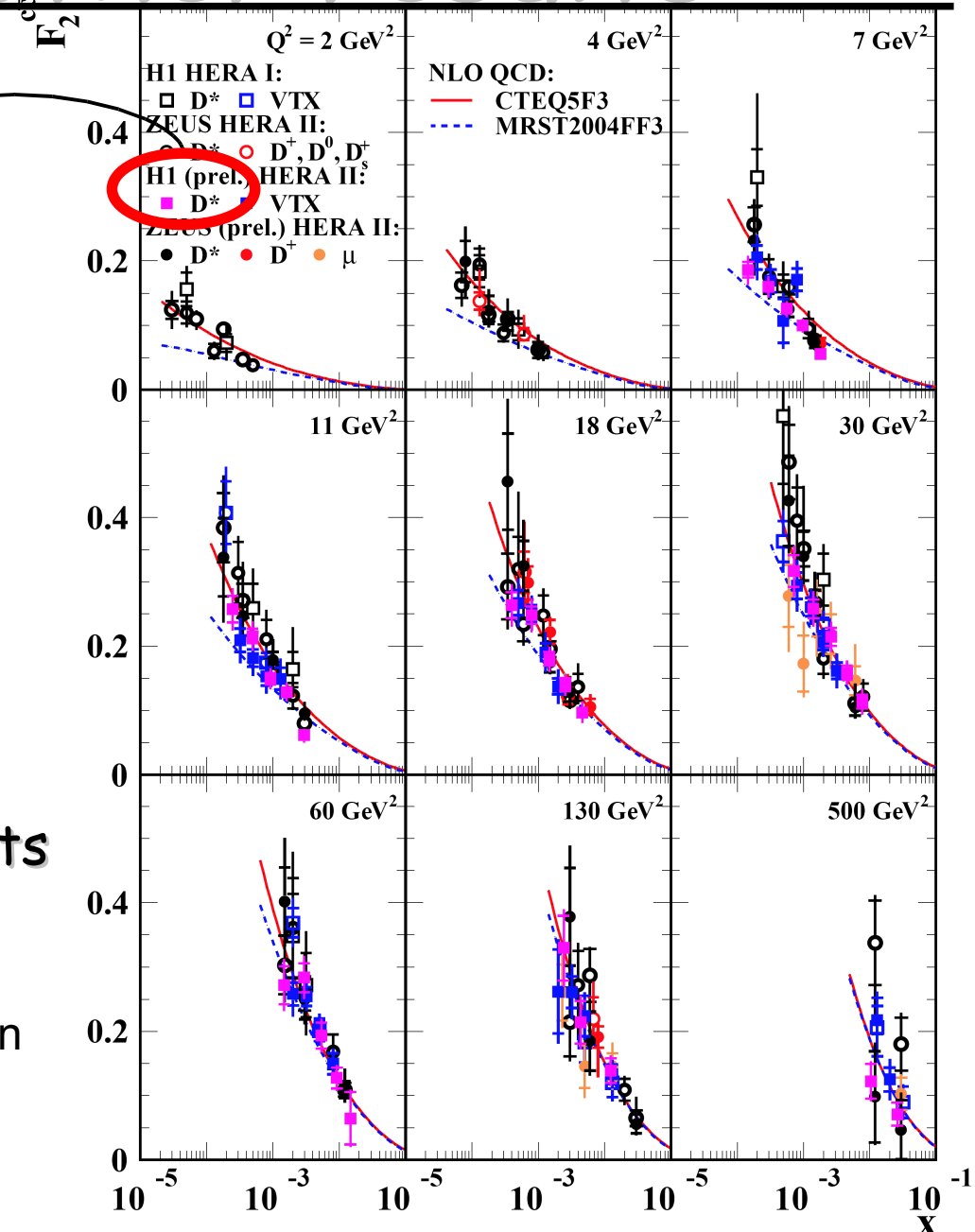


# Comparison to other results

This presentation !

- Most precise HERA measurement so far at  $5 < Q^2 < 60 \text{ GeV}^2$
- good agreement of different data sets (D\*, D mesons, displaced tracks)

Talk by P. Thompson







# Conclusions

---

- Full HERA II data sample for  $F_2^c(x, Q^2)$  analysed  $L \sim 350\text{pb}^{-1}$
  - Most precise  $F_2^c(x, Q^2)$  - on the way to final precision !
  - Described by DGLAP & CCFM and consistent with other results
- 
- Closer look:
    - Fragmentation uncertainty from Results of H1 measurement of Fragmentation fcts. estimated
    - Larger differences in extrapolation at high  $x$  between models corresponds to most forward  $\eta(D^*)$
    - Extend phase space for cross section measurement towards larger  $\eta(D^*)$  and smaller  $p_T(D^*)$
  - Combination with other  $F_2^c(x, Q^2)$  measurements possible
- Talk by P. Thompson





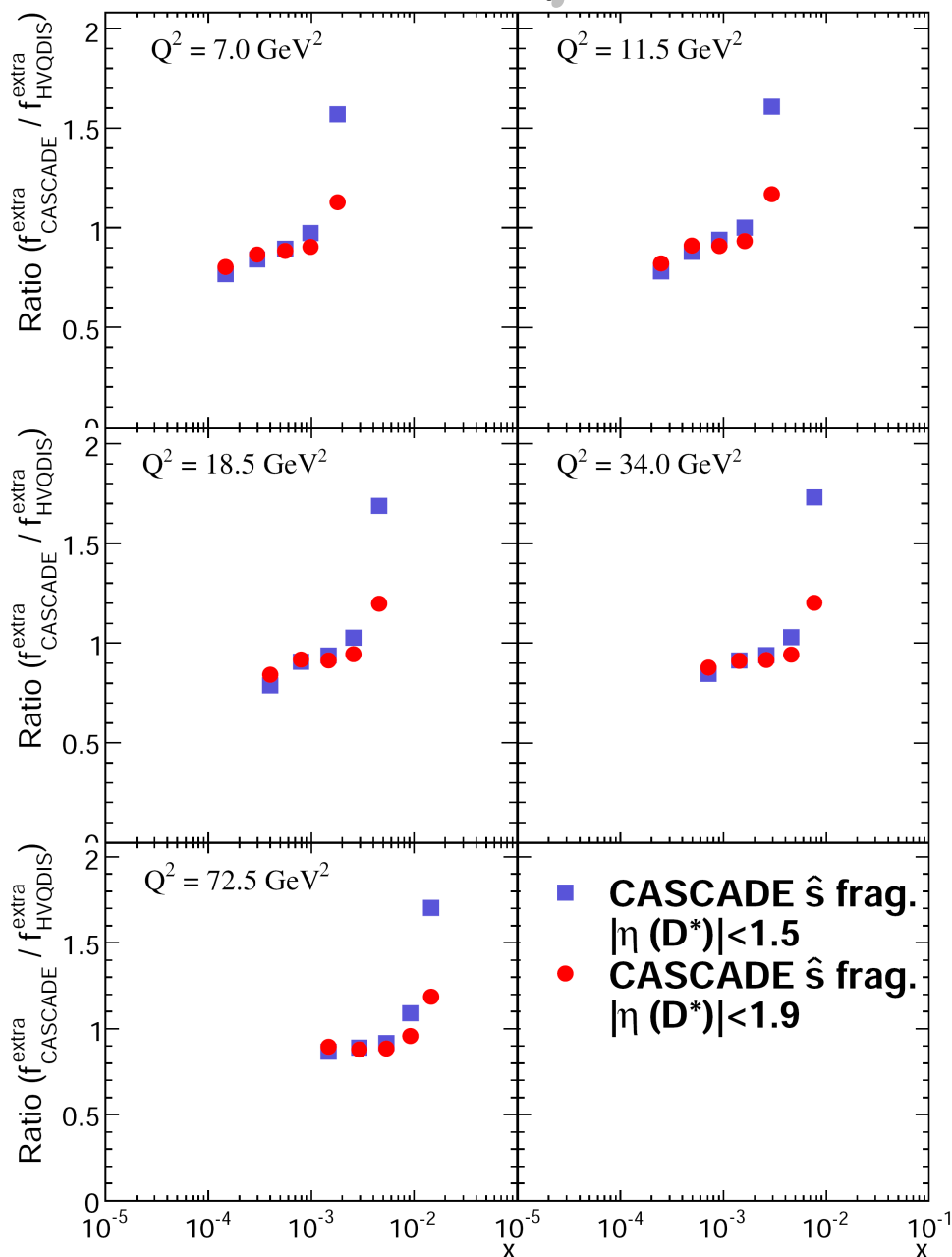
# *Backup*

---





# Backup: Extrapolation



Result of Study from my Ph.D. Thesis:

- "Fragmentation model" from H1 measurement of FF applied
- Ratio: CASCADE / HVQDIS

More information:

Ph.D. Thesis A. Jung:

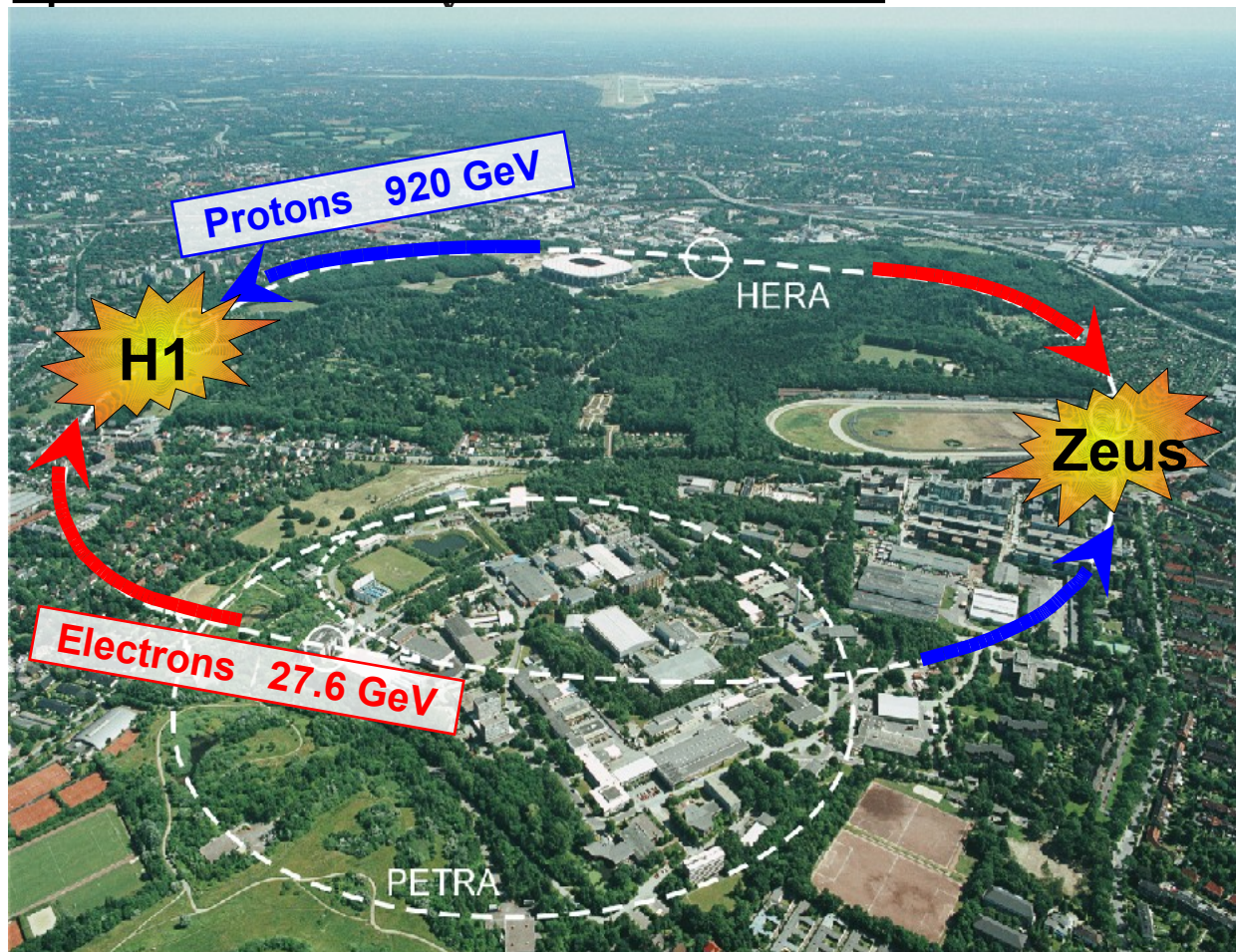
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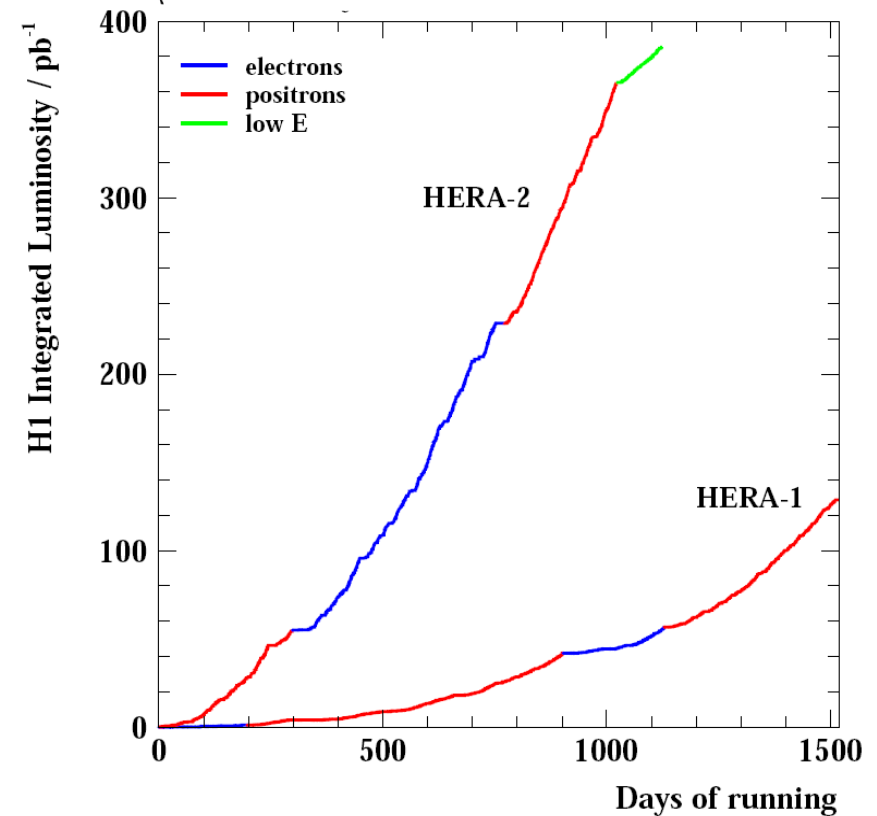


# Backup: The HERA collider

ep collisions at  $\sqrt{s} \approx 320$  GeV:



Collected Data samples:



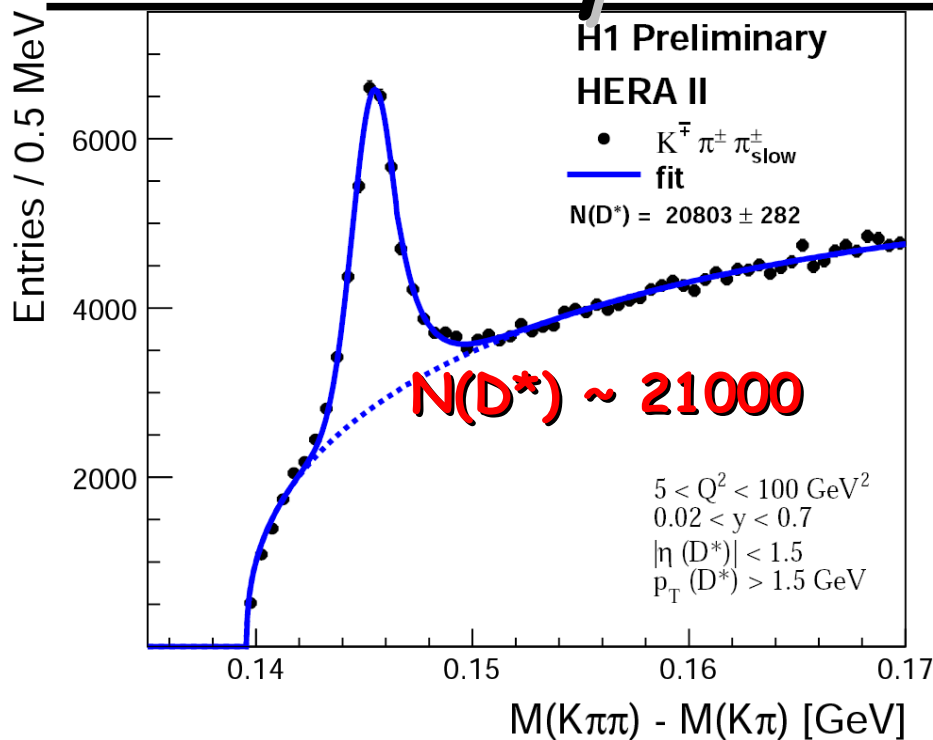
--> Two multi-purpose detectors: H1 & Zeus

--> Collected Luminosity: HERAI + HERAII  $\sim 0.5$  fb<sup>-1</sup>





# Backup: $D^*$ event selection



- decay:  $D^{*\pm} \rightarrow D^0 \pi_{slow}^{\pm} \rightarrow (K^{\mp} \pi^{\pm}) \pi_{slow}^{\pm}$
- higher resolution in mass difference:  
 $\Delta M = M(K\pi\pi) - M(K\pi)$
- Larger phase space with use of electron- $\Sigma$ -method:  
lower  $y$  of 0.02
- Fit asymmetric shape: with ROOFIT

Crystal-Ball:

$$f(x) = \begin{cases} \left(\frac{n}{|\alpha|}\right)^n \exp\left(-\frac{1}{2}\alpha^2\right) & \text{if } \frac{x-m}{\sigma} < -\alpha, \text{ exponential decay} \\ \left(\frac{n}{|\alpha|} - |\alpha| - \frac{x-m}{\sigma}\right)^n & \text{if } \frac{x-m}{\sigma} \geq -\alpha \text{ Gauss distribution} \\ \exp\left(-\frac{1}{2}\left(\frac{x-m}{\sigma}\right)^2\right) & \end{cases}$$

Determines in units of  $\sigma$  where:  
Gauss  $\rightarrow$  Expo

Background (Granet Parametrisation:)

$$f(x) = p_0 \cdot (x - m_{\text{Cutoff}})^{p_1} \cdot e^{-p_2 \cdot x} \cdot (-p_3 \cdot x^2)$$

- $D^*$  sample: **stat. Error ~2%**  
**syst. Error ~9%**

$$\sigma_{\text{tot}}^{\text{vis}} = \frac{N_{D^*} \cdot (1 - r)}{\mathcal{L} \cdot \mathcal{B}(D^* \rightarrow K\pi\pi_{\text{slow}}) \cdot \epsilon \cdot (1 - \delta_{\text{rad}})}$$

Additional  $D^*$  cuts:

$$p_T(K) > 0.3 \text{ GeV}$$

$$p_T(\pi) > 0.3 \text{ GeV}$$

$$p_T(\pi_{\text{slow}}) > 0.12 \text{ GeV}$$

$$p_T(K) + p_T(\pi) > 2 \text{ GeV}$$

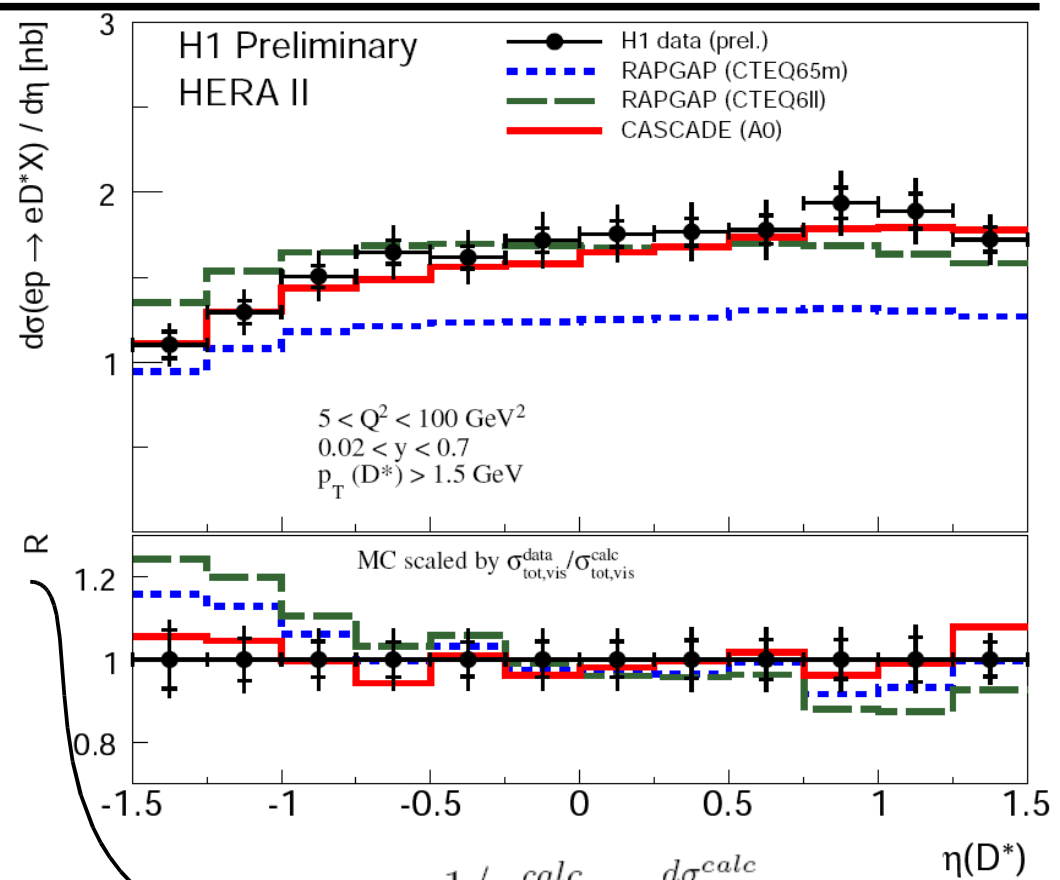
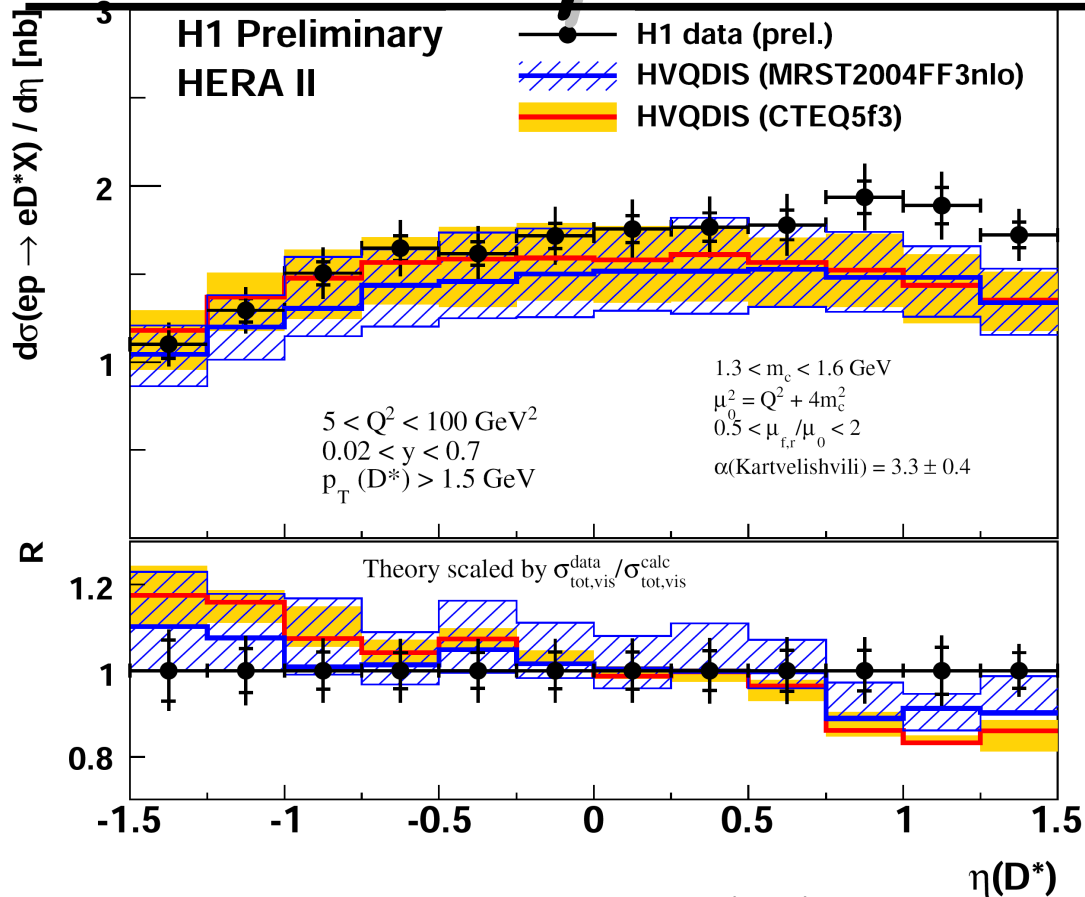
$$|M(D^0)| < 0.080 \text{ GeV}$$







# Backup: $D^*$ cross sections



$$R = \frac{1/\sigma_{tot,vis}^{calc} \cdot \frac{d\sigma^{calc}}{dY}}{1/\sigma_{tot,vis}^{data} \cdot \frac{d\sigma^{data}}{dY}}$$

$$\eta = -\ln \left( \tan \left( \frac{\theta}{2} \right) \right)$$

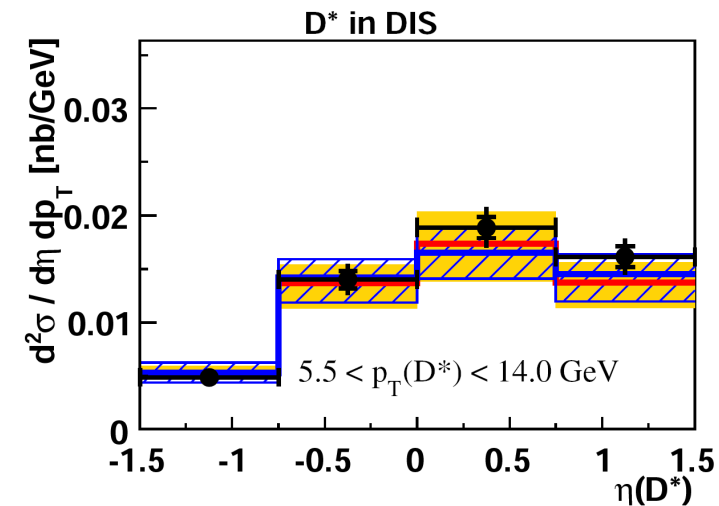
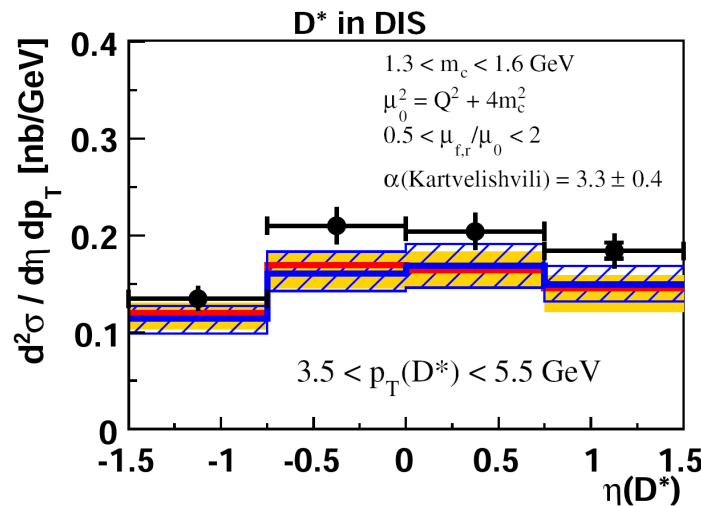
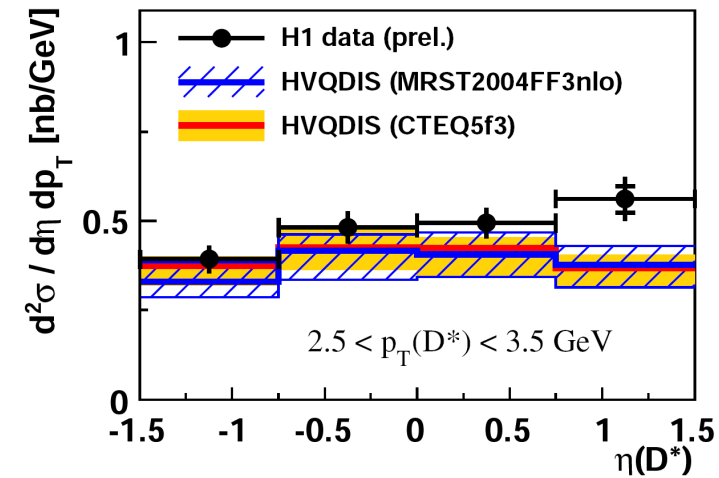
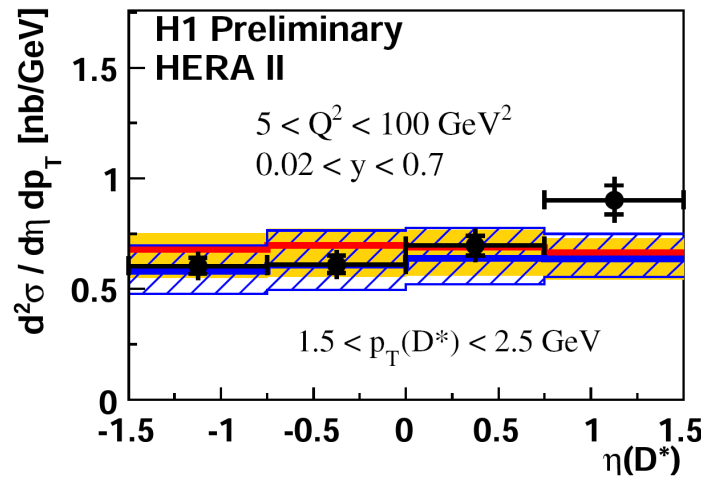
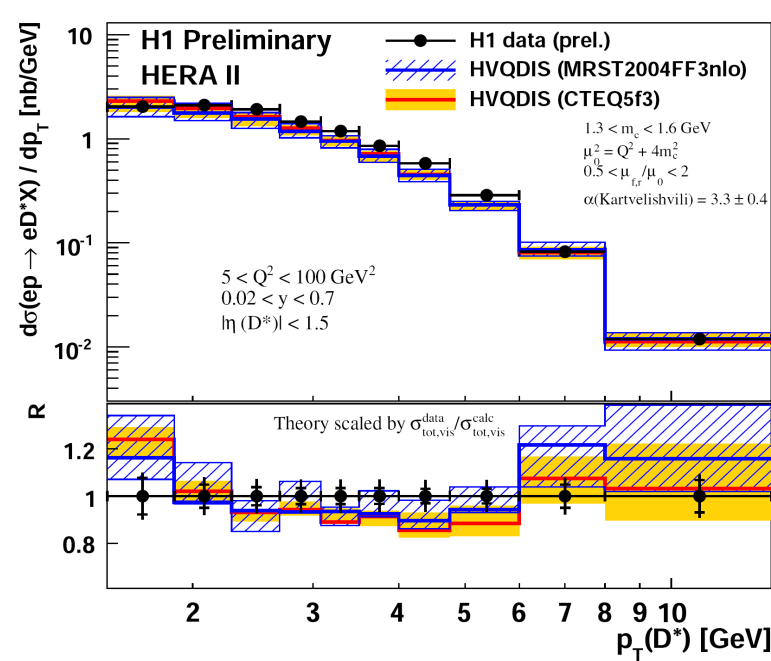
- Good description by NLO calculation
- Small deviations in forward  $\eta(D^*)$  with full HERA2 statistics
- differences are located at low transverse momenta
- data shows sensitivity to the proton PDF
- CASCADE describes nicely the shape

<https://www-h1.desy.de/psfiles/confpap/ICHEP08/H1prelim-08-072.p>  
and <http://www-h1.desy.de/psfiles/theses/h1th-504.pdf>





# Backup: $D^*$ cross sections

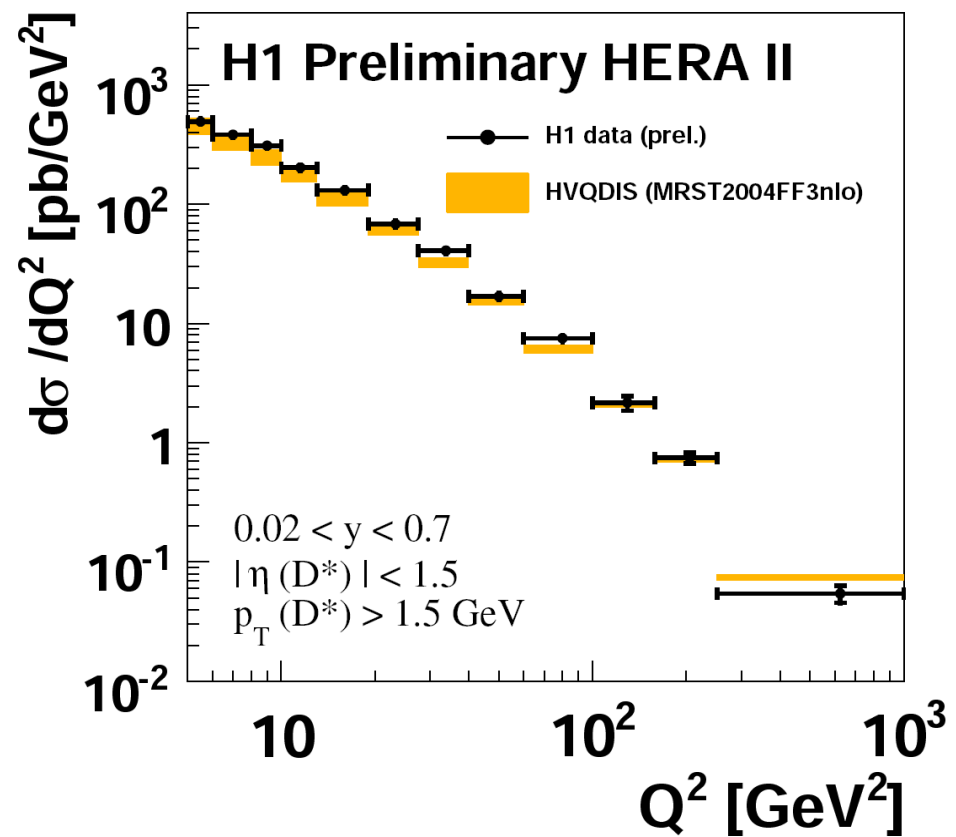
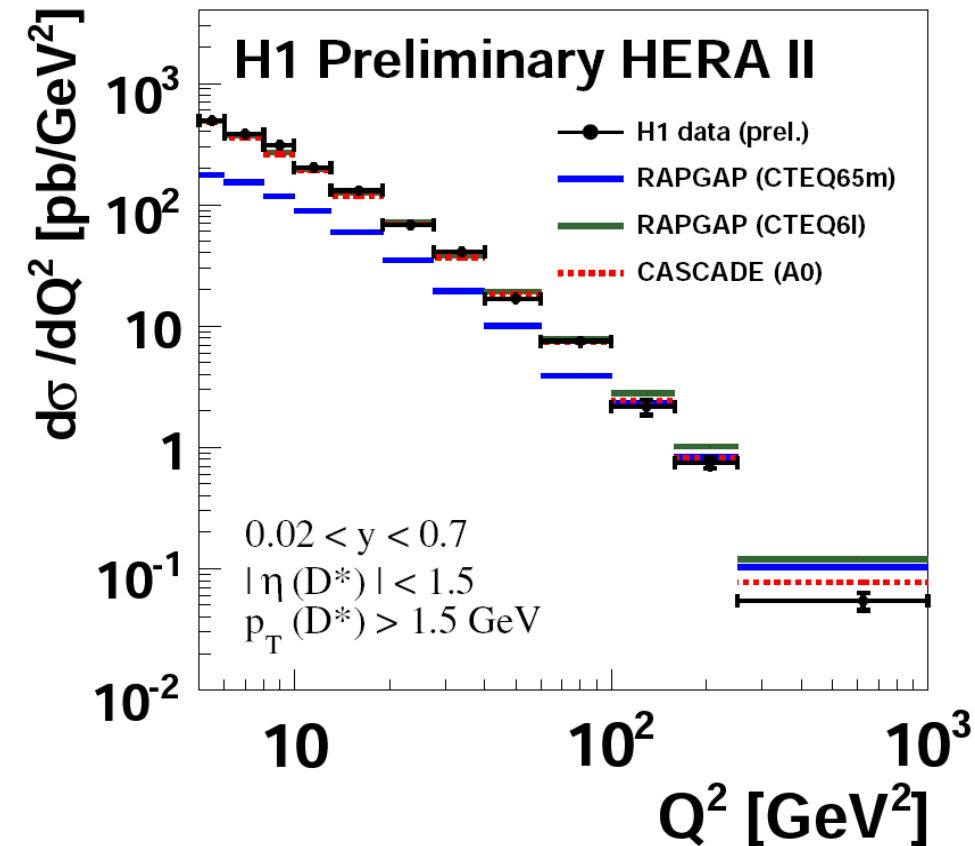


- > In general NLO gives a good description of the data of single & double differential dists
- > forward  $\eta(D^*)$  at low  $p_T(D^*)$ : data is above the NLO-calculations
- > better precision of the data is needed - more bins in larger phase space





# Backup: $D^*$ cross sections



Total integrated Cross section in  $Q^2$ : 5 - 100  $\text{GeV}^2$ :

Data:  $(4.85 \pm 0.07(\text{stat.}) \pm 0.42(\text{sys.})) \text{ nb}$   
 HVQDIS (CTEQ):  $(4.43 +0.69 -0.47) \text{ nb}$   
 HVQDIS (MRST):  $(4.17 +0.59 -0.37) \text{ nb}$

Total integrated Cross section in  $Q^2$ : 100 - 1000  $\text{GeV}^2$ :

Data:  $(0.24 \pm 0.02(\text{stat.}) \pm 0.03(\text{sys.})) \text{ nb}$   
 HVQDIS (MRST):  $(0.25 +0.02 -0.02) \text{ nb}$

→ Talk by M. Brinkmann

