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# Diffraction parton densities and factorization tests at HERA



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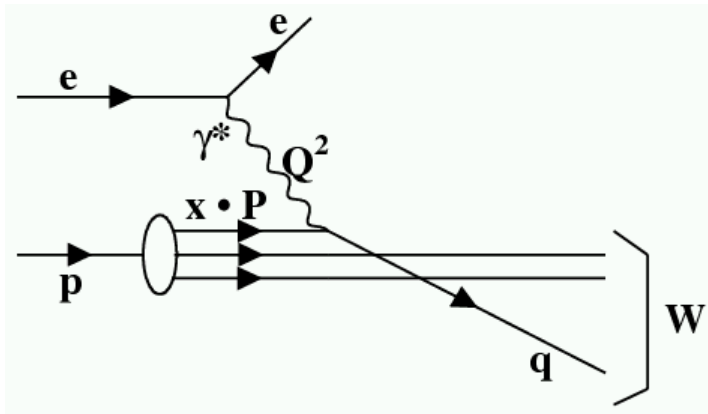


- Diffraction at HERA
- Inclusive diffractive measurements and diffractive PDFs
- Diffractive final states: jets and open charm

# Diffractive DIS at HERA

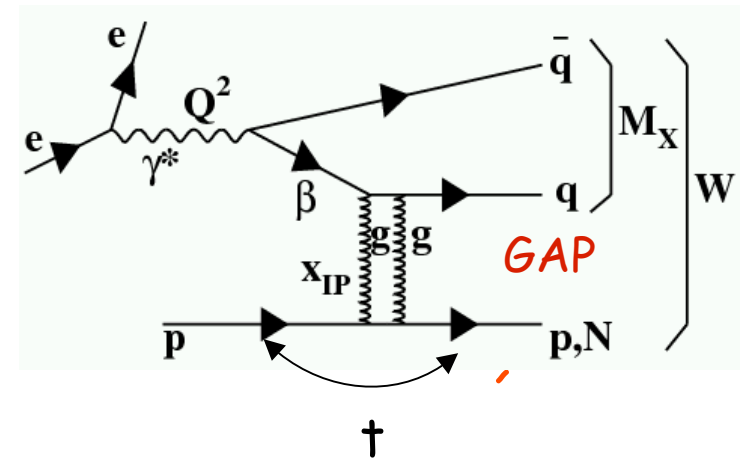
**HERA:** ~ 10% of low-x DIS events are diffractive

## Standard DIS



Probe structure of proton  $\rightarrow$   
 $F_2$

## Diffractive DIS



Probe structure of color singlet  
 exchange (IP)  $\rightarrow F_2^D$

# Diffractive DIS at HERA

$Q^2$  = virtuality of photon =  
 = (4-momentum exchanged at e vertex)<sup>2</sup>

$t$  = (4-momentum exchanged at p vertex)<sup>2</sup>  
 typically:  $|t| < 1 \text{ GeV}^2$

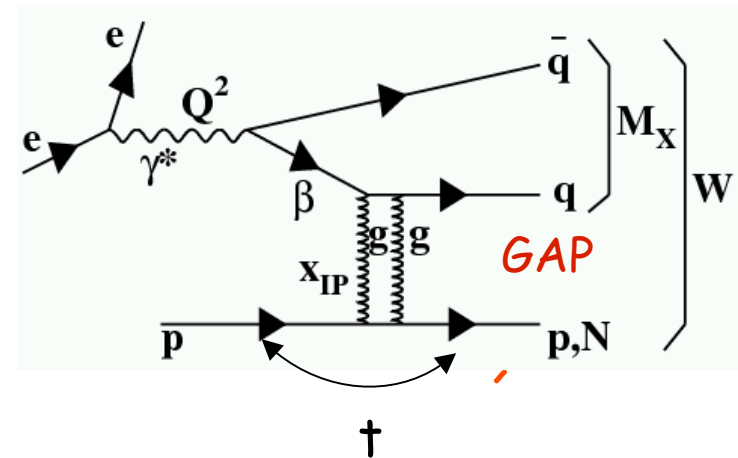
$W$  = invariant mass of  $\gamma$ -p system

$M_X$  = invariant mass of  $\gamma$ -IP system

$x_{IP}$  = fraction of proton's momentum  
 taken by IP

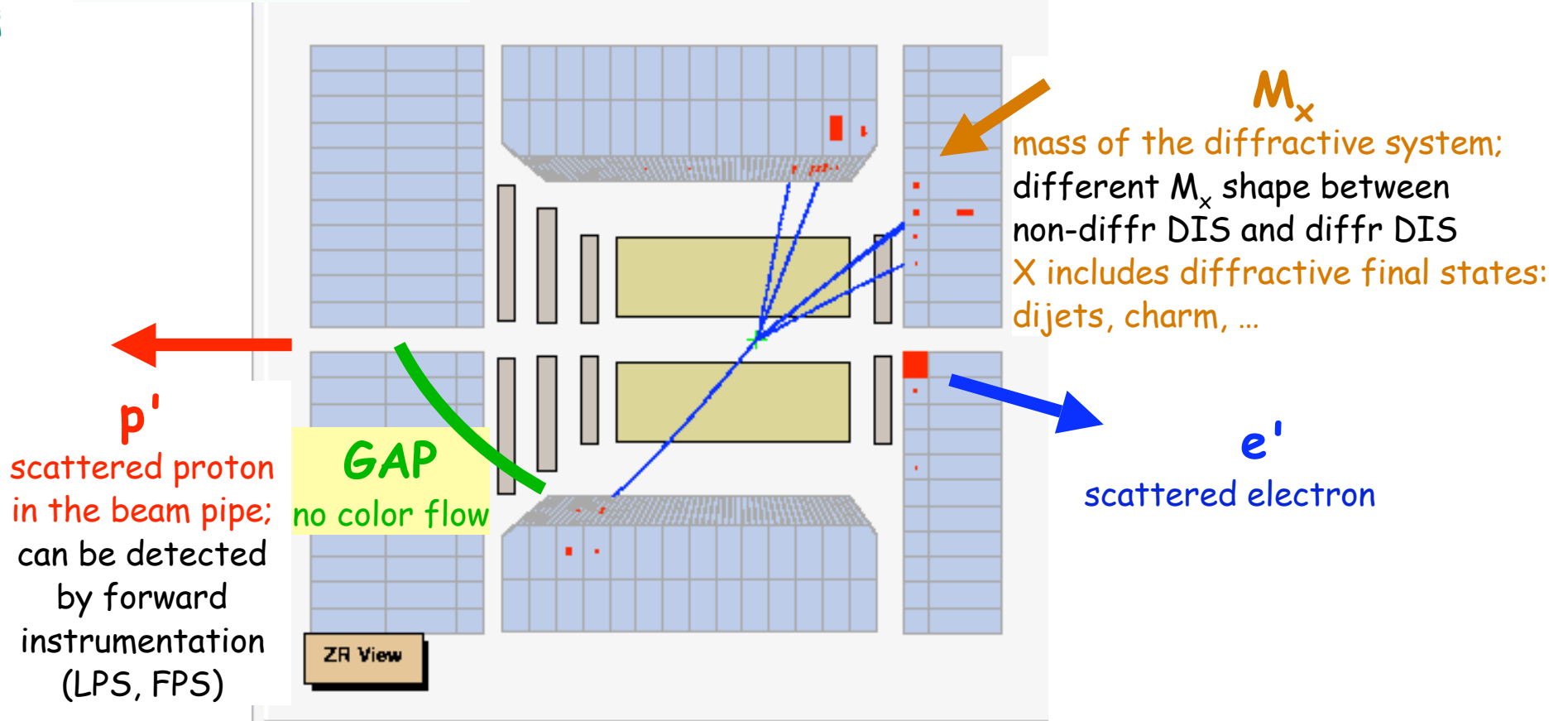
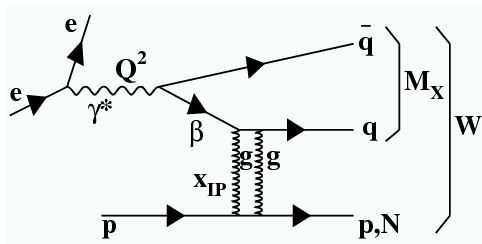
$\beta$  = Bjorken's variable for the IP  
 = fraction of IP momentum  
 carried by struck quark  
 =  $x/x_{IP}$

## Diffractive DIS



Probe structure of color singlet  
 exchange (IP)  $\rightarrow F_2^D$

# Diffractive event selection



# QCD factorization in hard diffraction

- **Diffractive DIS, like inclusive DIS, is factorizable:**

[Collins (1998); Trentadue, Veneziano (1994); Berera, Soper (1996)...]

$$\sigma(\gamma^*p \rightarrow Xp) \approx f_{i/p}(z, Q^2, x_{IP}, t) \times \sigma_{\gamma^*p}(z, Q^2)$$

universal partonic cross section

Diffractive Parton Distribution Function (dPDF), evolve according to DGLAP

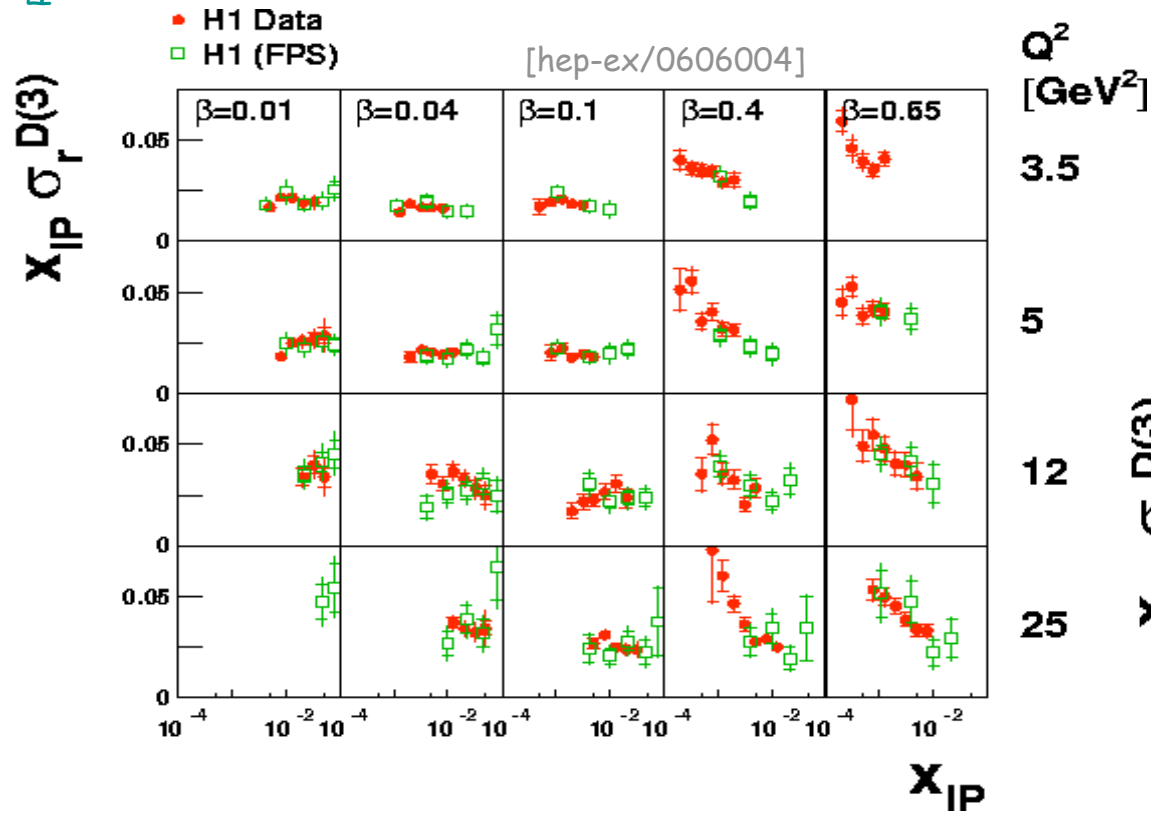
$f_{i/p}(z, Q^2, x_{IP}, t)$  express the probability to find, with a probe of resolution  $Q^2$ , in a proton, parton  $i$  with momentum fraction  $z$ , under the condition that the proton remains intact, and emerges with small energy loss,  $x_{IP}$ , and momentum transfer  $t$  - diffractive PDFs are a feature of the proton

- **Assumption  $\rightarrow$  proton vertex factorization:**

$$\sigma(\gamma^*p \rightarrow Xp) \approx f_{IP/p}(x_{IP}, t) \times f_{i/p}(z, Q^2) \times \sigma_{\gamma^*p}(z, Q^2)$$

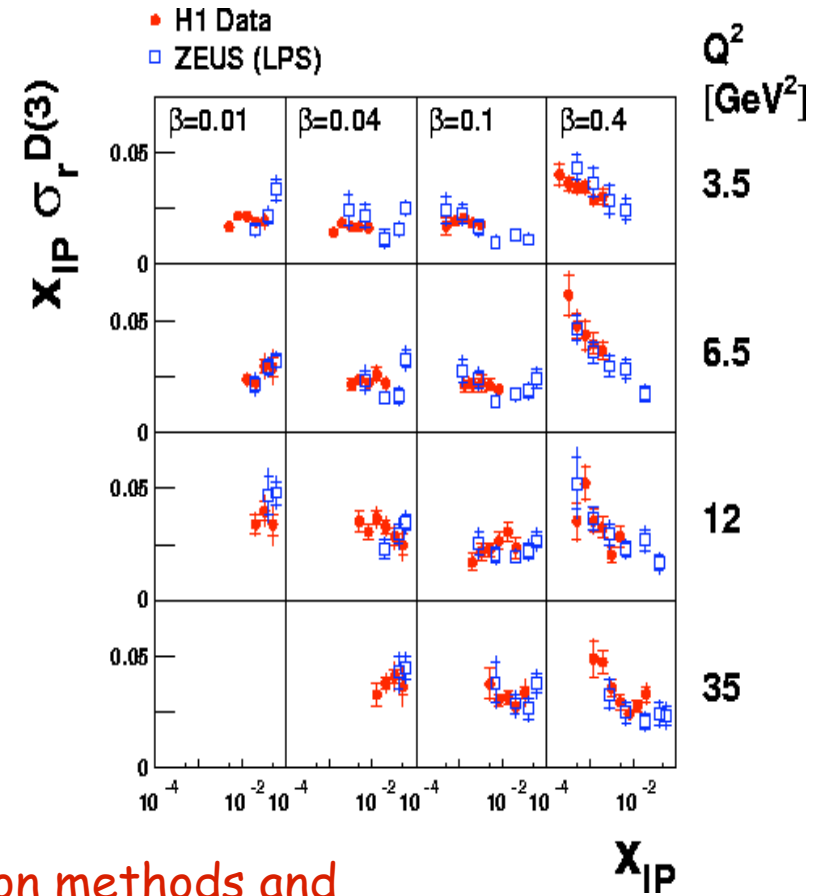
IP flux

# Inclusive diffractive DIS



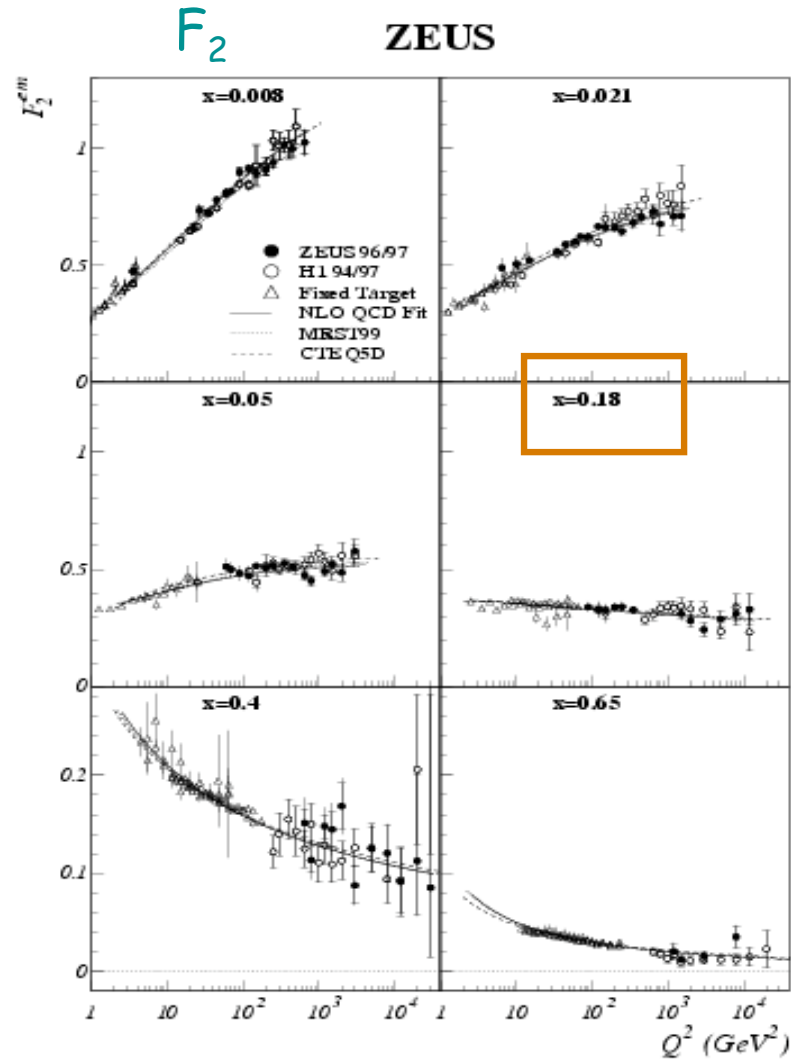
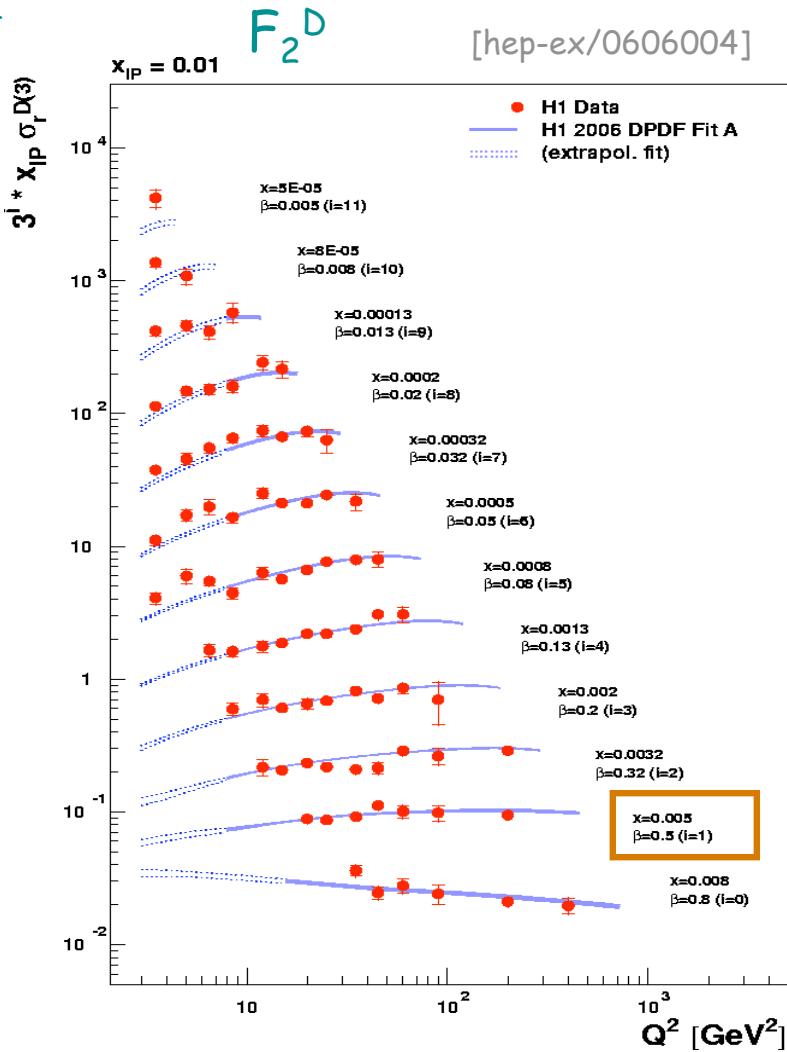
$$\sigma_r^{D(3)} = F_2^{D(3)} - y^2 / 2(1 - y + y^2 / 2) \cdot F_L^{D(3)}$$

$$F_2^{D(3)}(\beta, Q^2, x_{IP}, t) = \frac{\beta Q^4}{4\pi\alpha^2(1 - y + y^2 / 2)} \cdot \frac{d\sigma^D_{ep \rightarrow e' Xp'}}{d\beta dQ^2 dx_{IP}}$$



→ Good agreement between different selection methods and different experiments

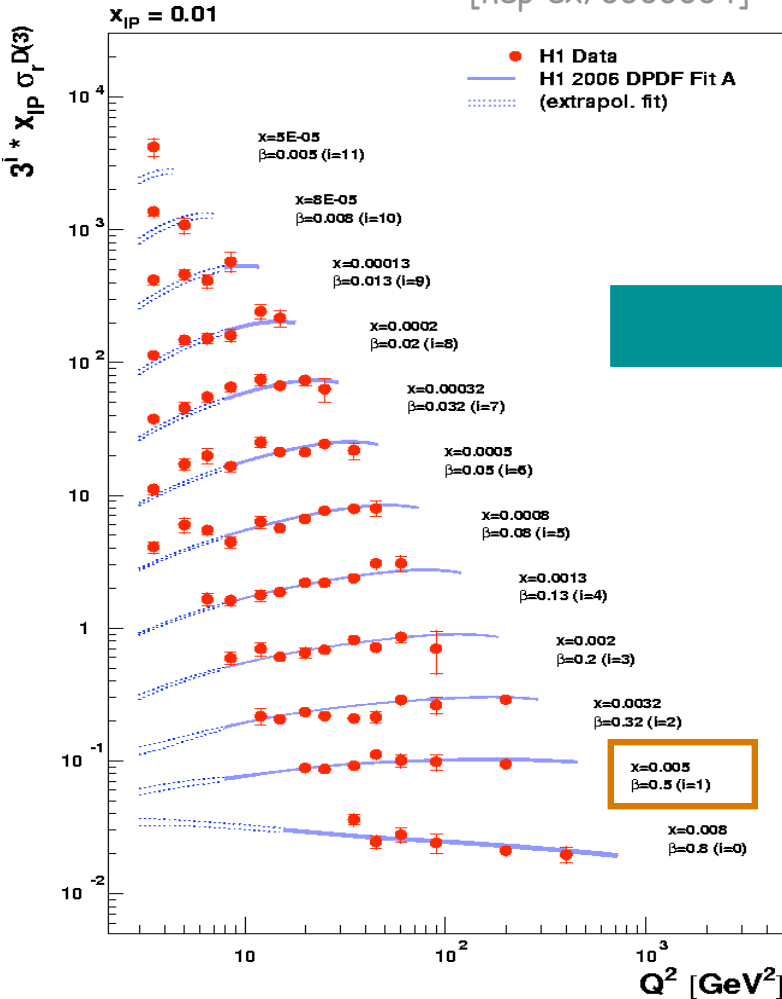
# Inclusive diffractive DIS



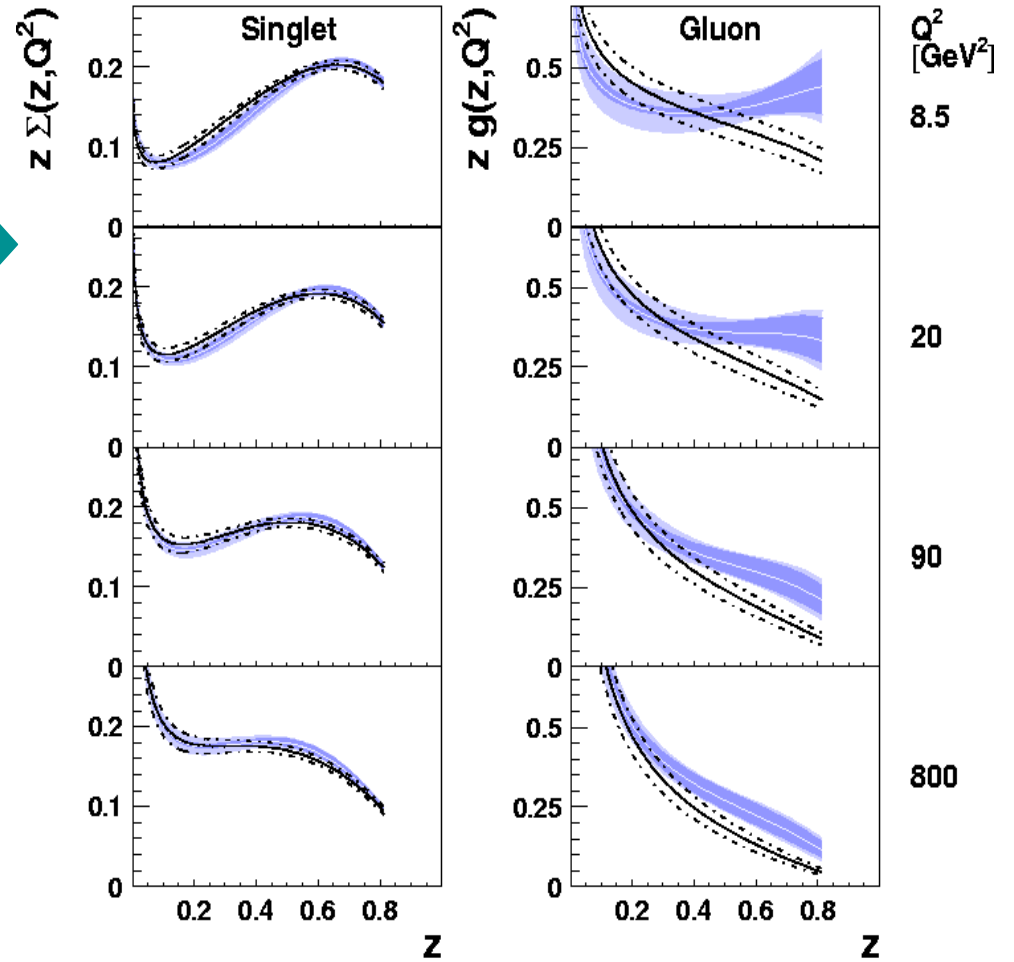
Positive scaling violations up to high  $\beta$   
 → lots of gluons in the diffractive exchange

# Diffractive Parton Density Functions

[hep-ex/0606004]



$z$  = fractional momentum of the diffractive exchange participating to the hard scattering



Positive scaling violations up to high  $\beta$   
 → lots of gluons in the diffractive exchange

H1 2006 DPDF Fit A   
 — (exp. error)   
 — (exp.+theor. error)

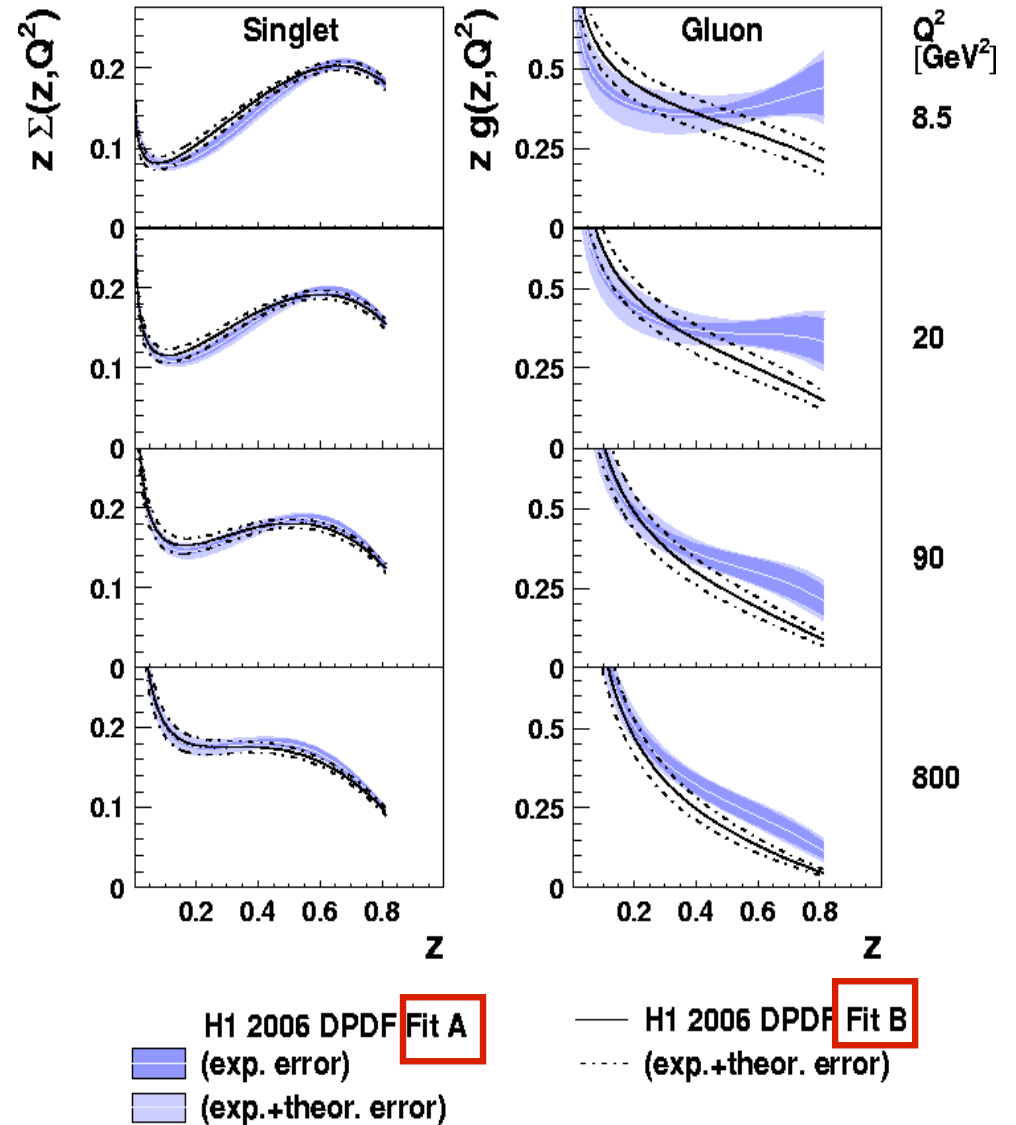
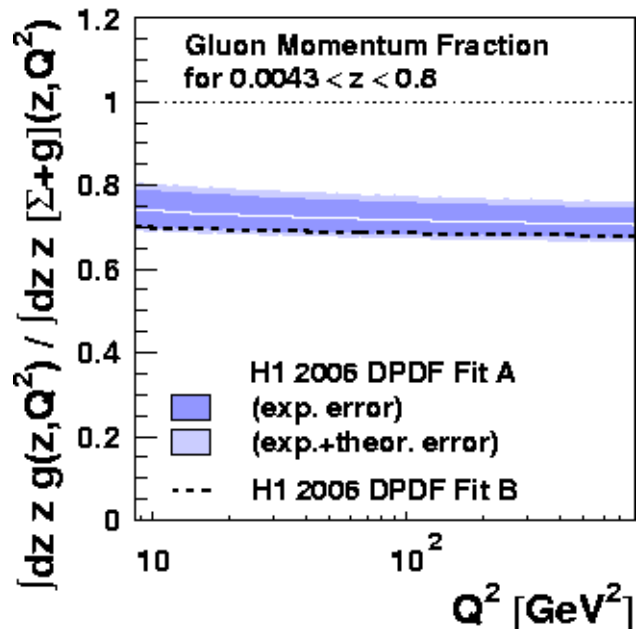
H1 2006 DPDF Fit B   
 — (exp.+theor. error)



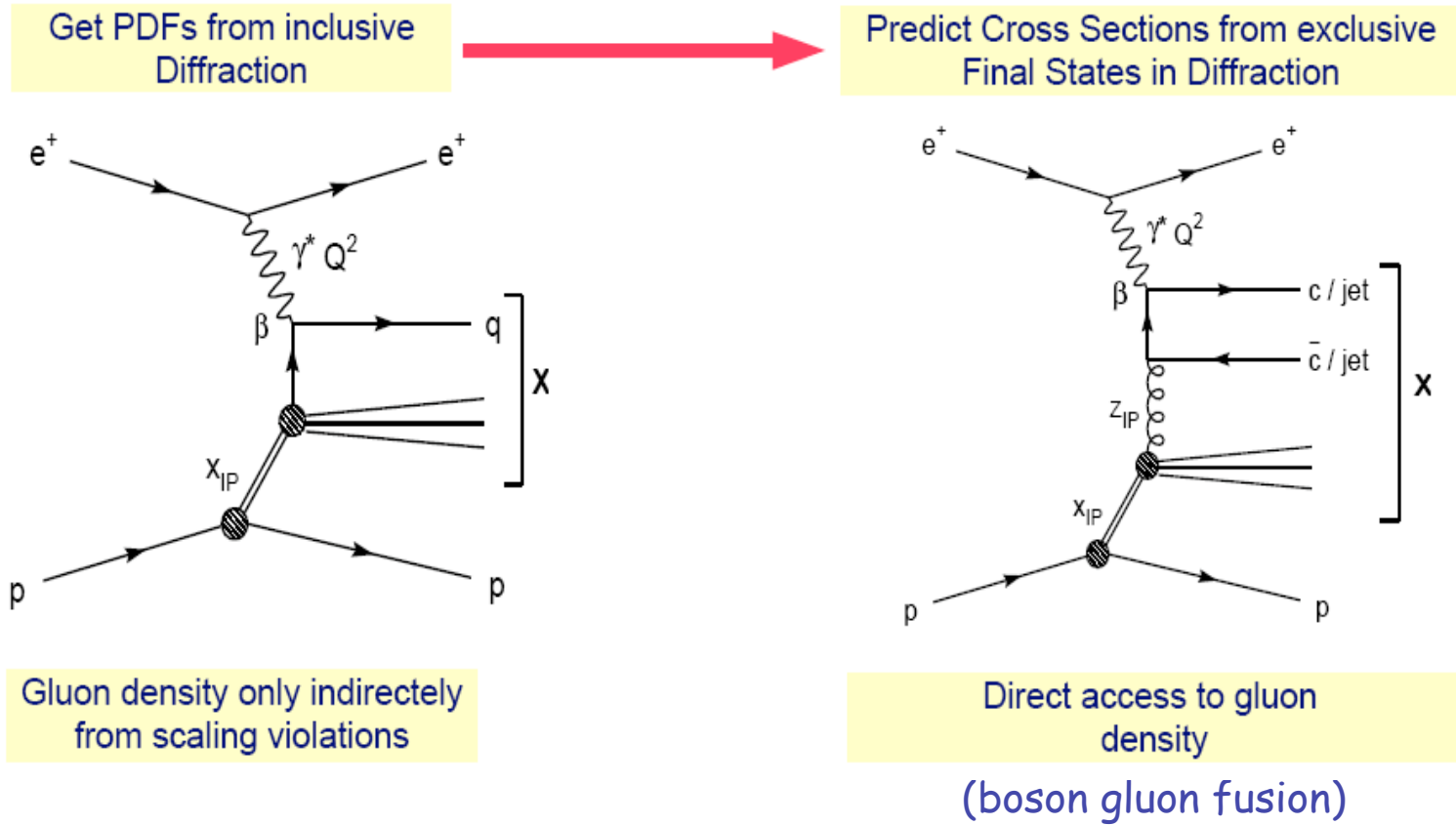
# Diffraction Parton Density Functions

$z$  = fractional momentum of the diffractive exchange participating to the hard scattering

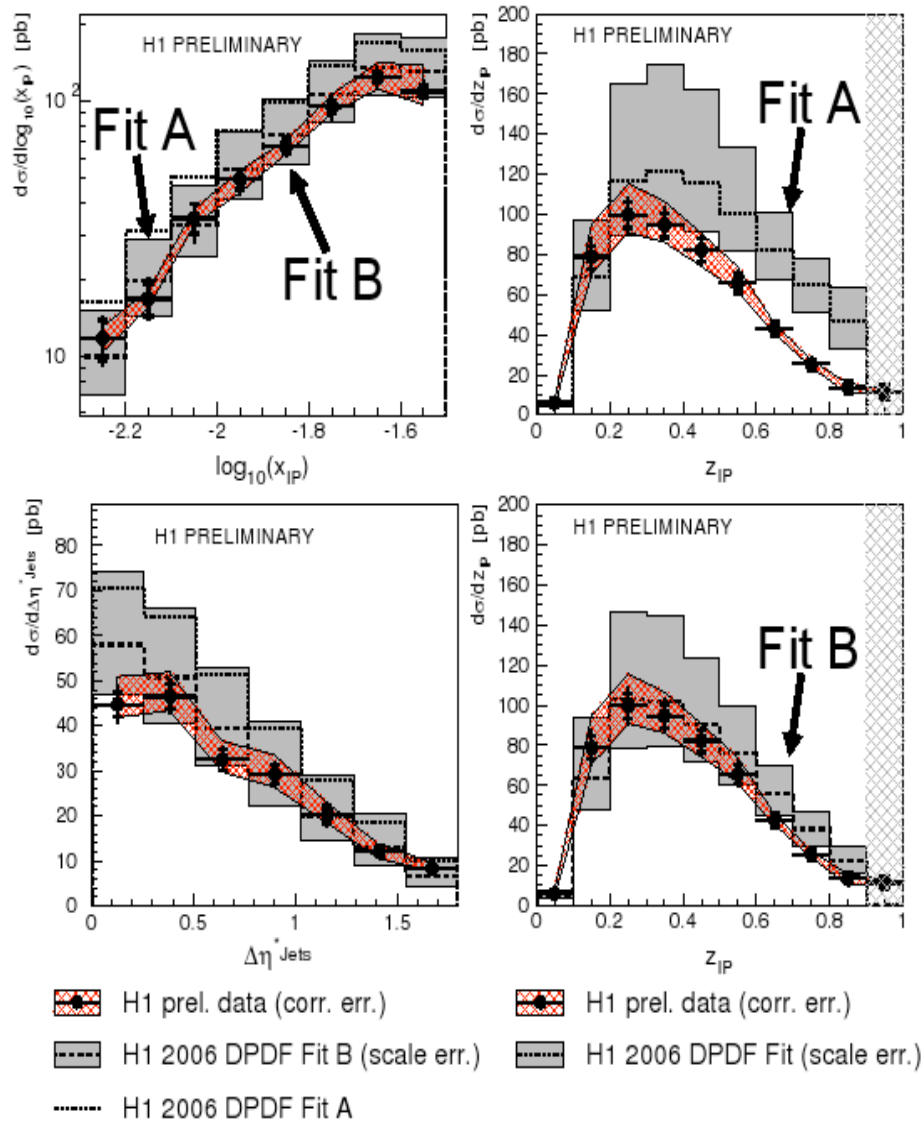
- H1 DPDFs Fit A & B
- Well constrained **singlet**
- Weakly constrained **gluons**  
(especially at high values of  $z$ )



# QCD factorization tests in hard diffraction



# Diffractive dijet production in DIS



$z_{IP}$  = fractional momentum of the diffractive exchange participating to the hard scattering

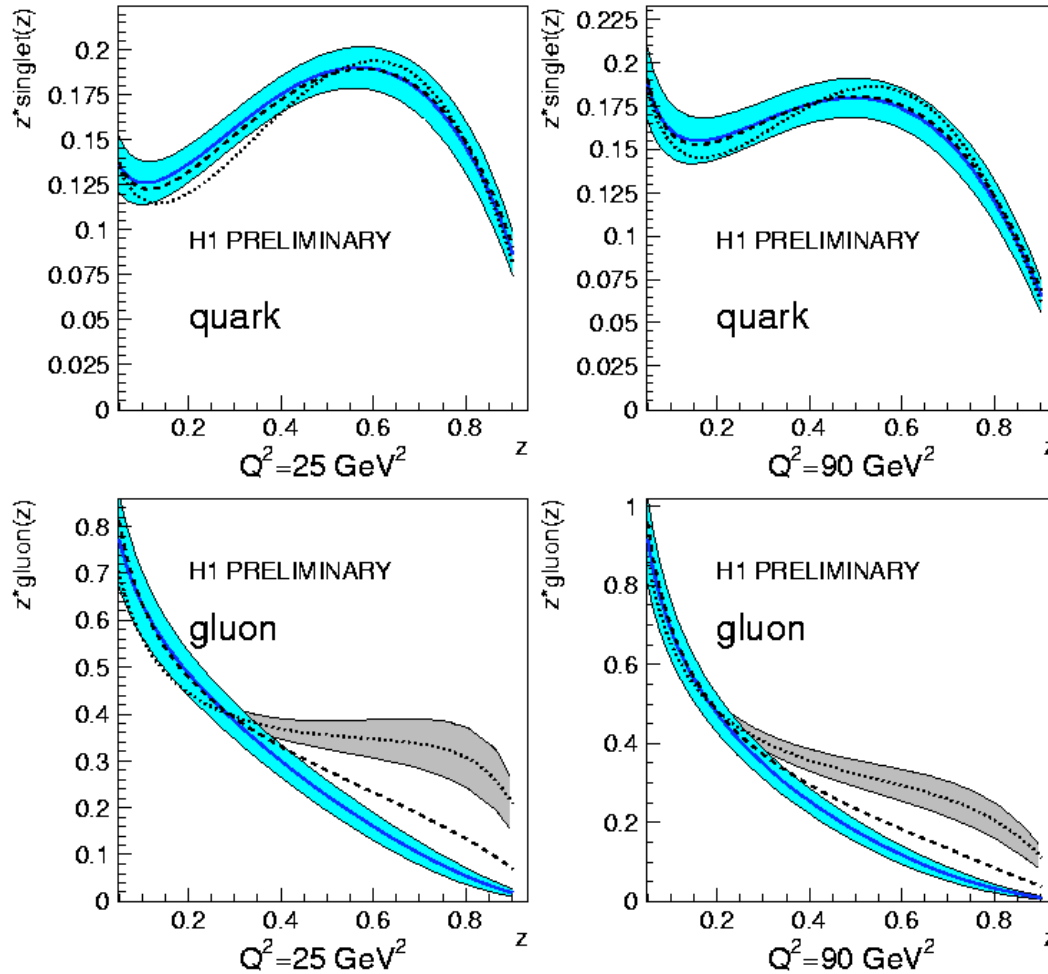
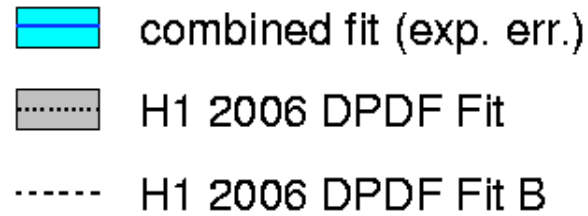
- $z_{IP}$  distribution is the most sensitive to gluon dPDFs  
 → difference between fit A and B at high  $z_{IP}$

→ Data agree with NLO predictions and support factorization

- statistics sufficient to make combined QCD fit to inclusive and dijets data

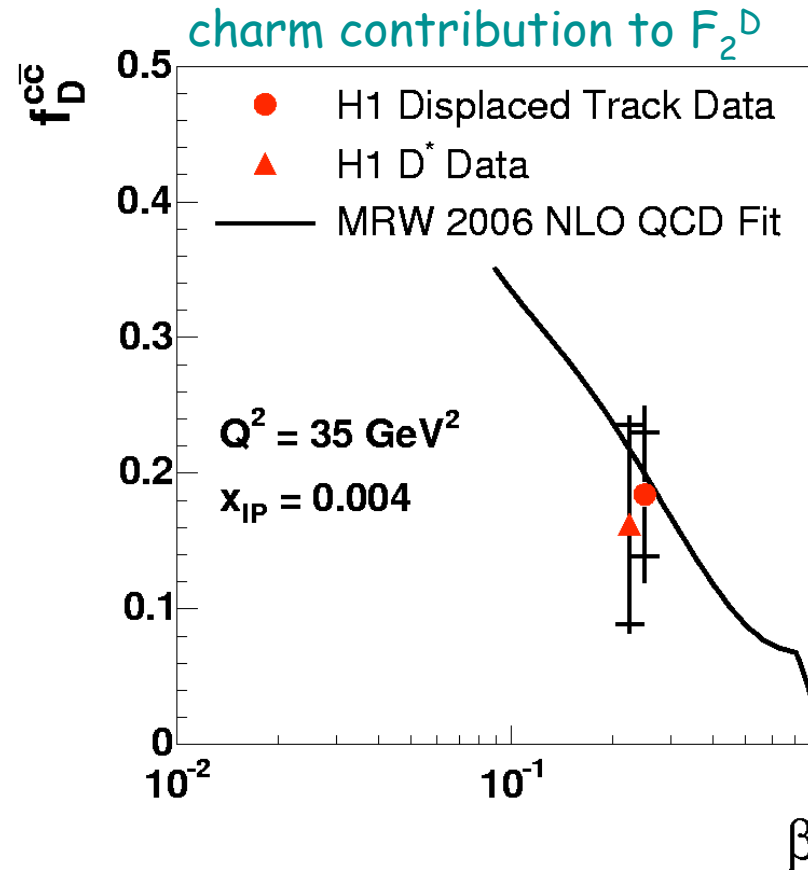
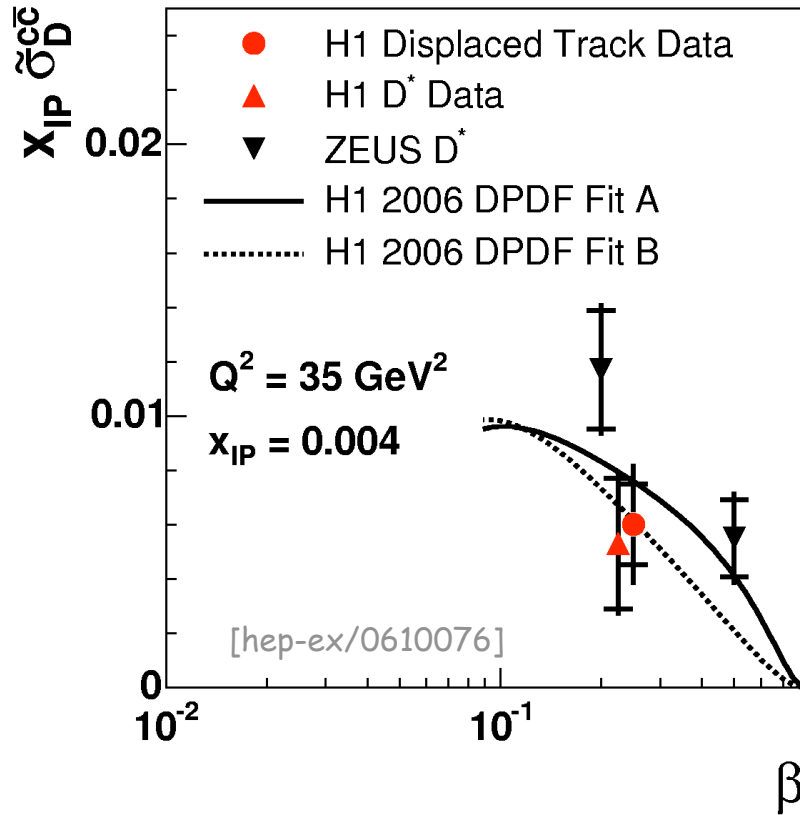
→ Fit A uncertainty not shown

# Combined fit to inclusive and dijet data



- combined fit constrains quark and gluon densities over a wide range ( $0.05 < z_{IP} < 0.9$ )
- uncertainty on gluon dPDFs reduced

# Diffractive charm production in DIS

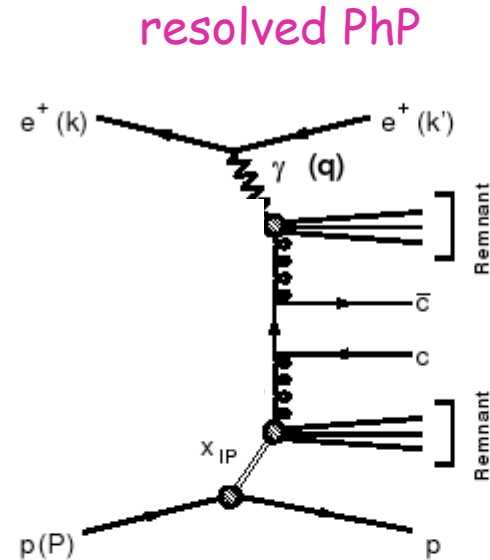
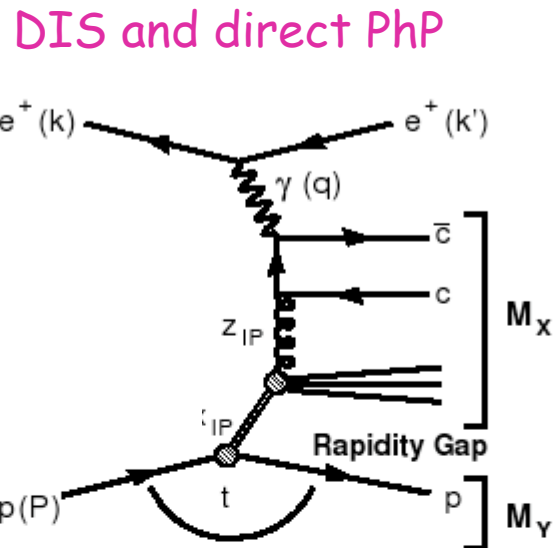


- statistically limited
- charm contribution to  $F_2^D$  comparable with charm fraction in inclusive DIS

→ Data support factorization

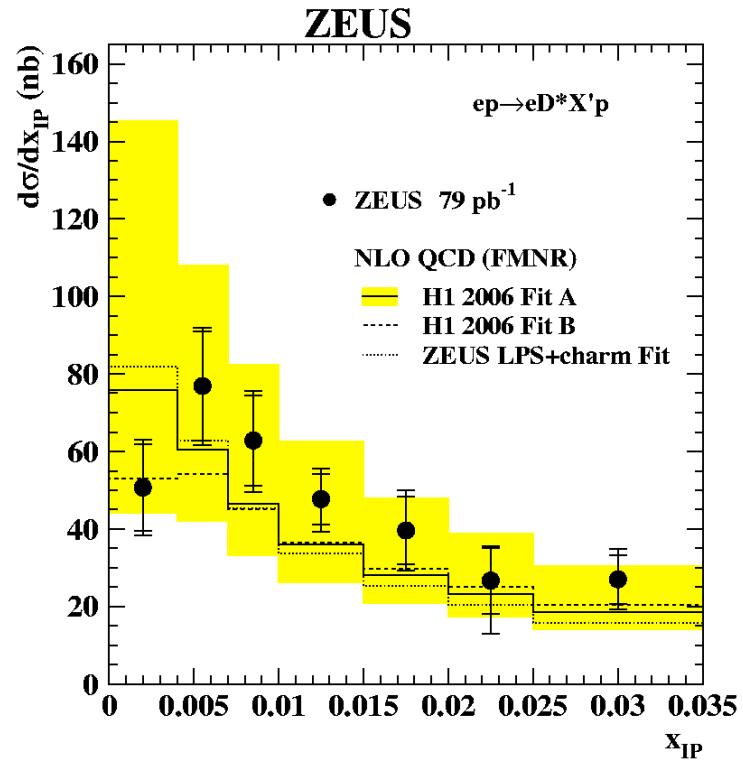
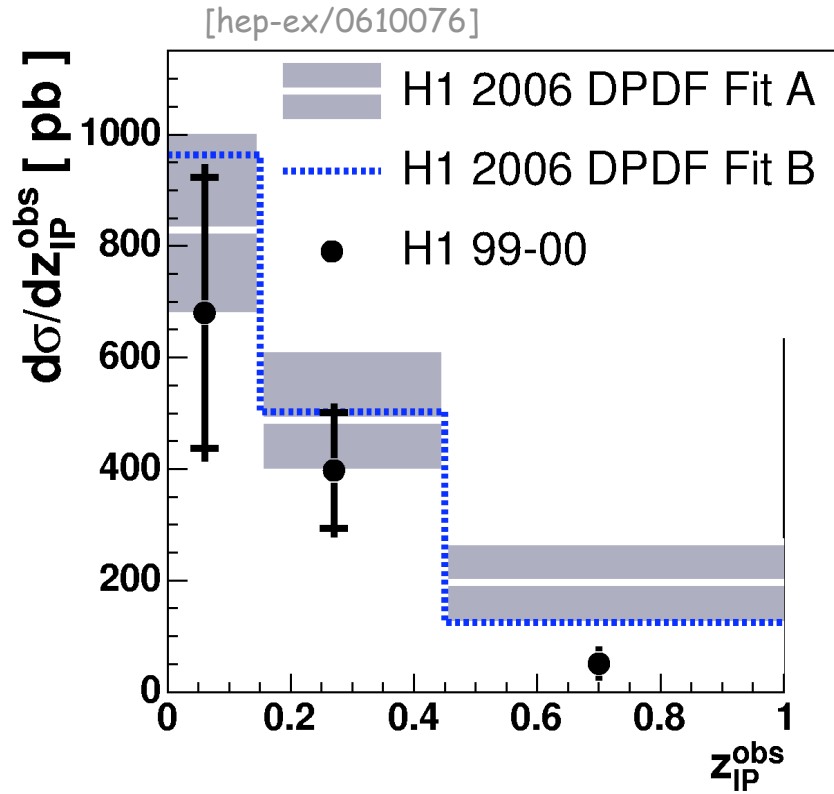
# Transition from ep to hadron-hadron

- Factorisation not expected to hold in pp, p $\bar{p}$  scattering - indeed it does not: **factor 10 normalization discrepancy when HERA dPDFs are extrapolated to Tevatron**  $\rightarrow$  understood in terms of **(soft) rescattering corrections of the spectator partons** [e.g. Kaidalov, Khoze, Martin, Ryskin]
- At HERA the resolved photon in photoproduction (PhP) behaves like a hadron:



- Suppression factor 0.34 predicted for resolved PhP [A. Kaidalov et al., Eur. Phys. J. C21, 521 (2001)]

# Diffractive charm production in PhP

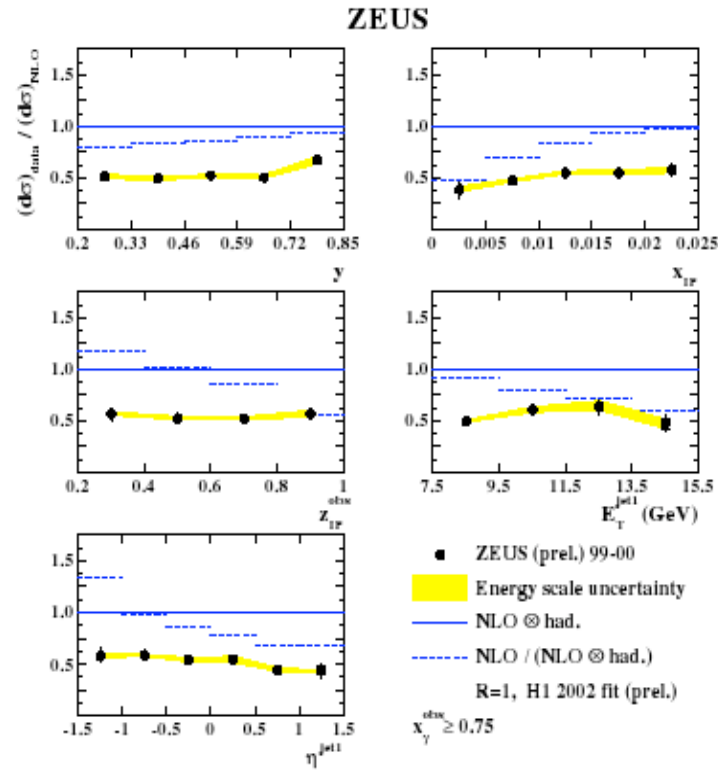
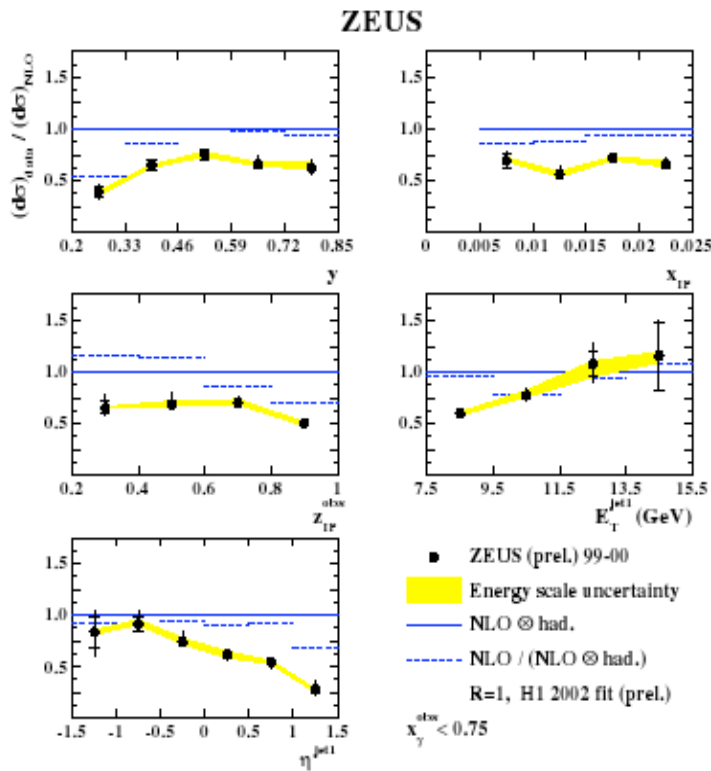


→ No evidence of factorization breaking but large NLO uncertainties and limited statistics

# Diffraction dijet production in PhP

Resolved enriched  $x_\gamma < 0.75$

Direct enriched  $x_\gamma > 0.75$



→ Data described in shape by NLO QCD predictions, but suppression factor common for both direct and resolved components



# Summary

- Hard diffraction well understood in terms of QCD
- At HERA 2 experiments, different selection methods, many final states
- New dPDFs extracted from inclusive data available to test hard scattering factorization
  - inclusion of dijet data in the fits provides a much better constraint of the gluon density at high  $z$
- Diffractive charm and dijet DIS data consistent with NLO predictions based on dPDFs from inclusive data → support factorization
- Diffractive dijet PhP data: no evidence of a suppression for the resolved component
- Diffractive charm PhP data: QCD factorization holds (but large NLO uncertainties)