
Diffraction and Exclusive Vector Meson Production at HERA

L. Favart

I.I.H.E., Université Libre de Bruxelles.

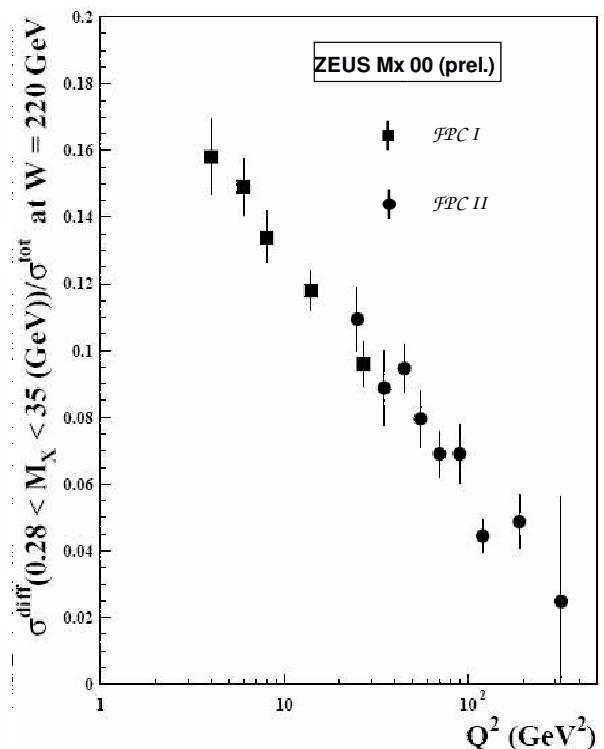
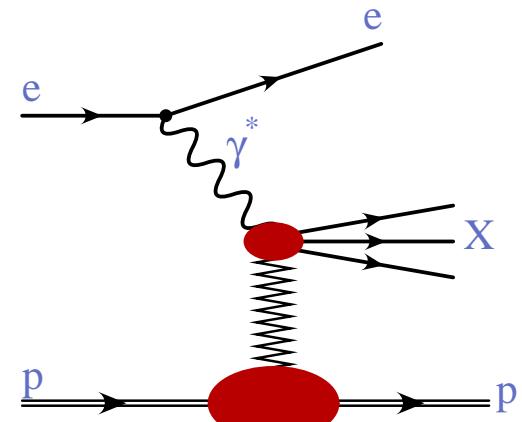


On behalf of the H1 and ZEUS Collaborations

BARYONS 2007 - Seoul
11-15th of June 2007

Diffractive processes

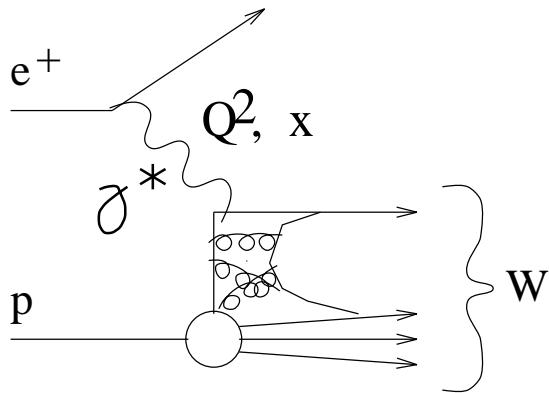
- Feature of hadron-hadron interactions (up to 30% of σ_{tot})
- t -channel exchange of the vacuum quantum numbers
 - Small momentum transfer
 - $t \ll s$
 - small p momentum loss $x_{IP} (= \xi) < 0.05$
 - Final state part. separated by a Large Rapidity Gap
 - Beam hadrons scattered elastically or dissociated into a low-mass state (M_Y).
 - QCD: colourless exchange



Diffractive Signature

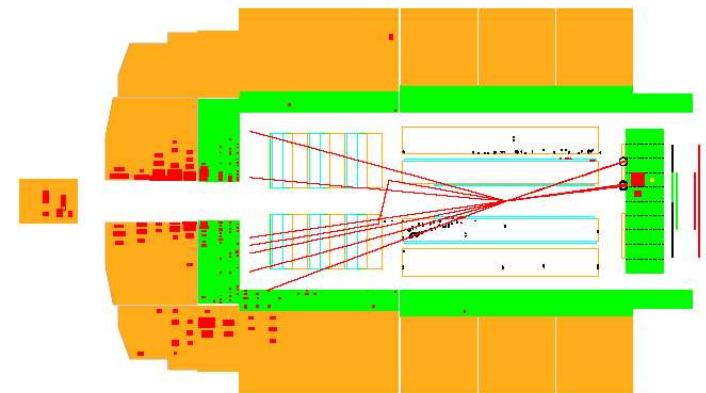
Non-diffractif (DIS)

NRG:



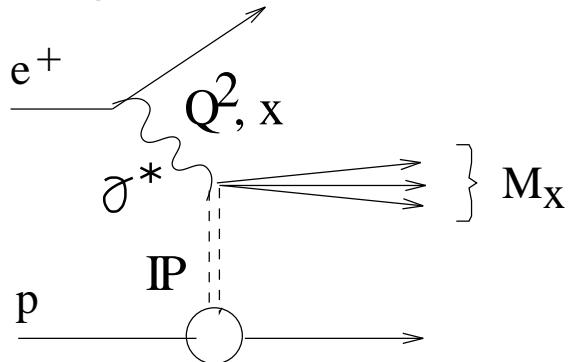
particle flow

current jet
colour flow
proton remnant



Diffractif (DDIS)

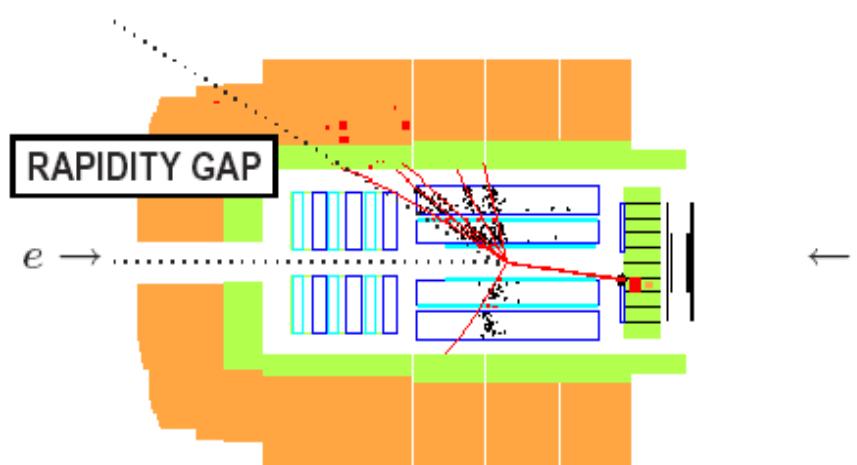
LRG:



particle flow

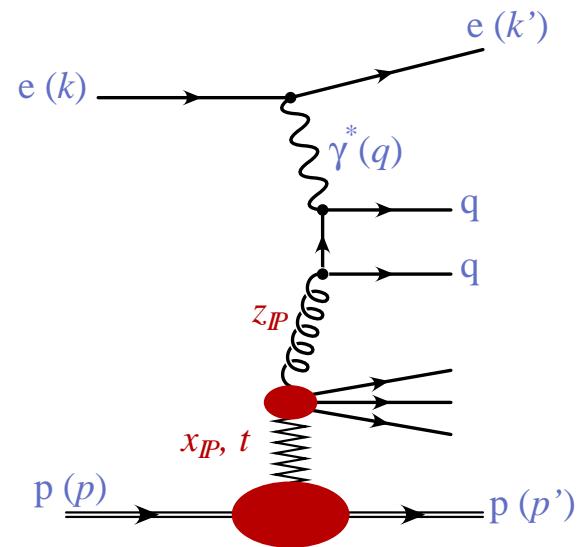
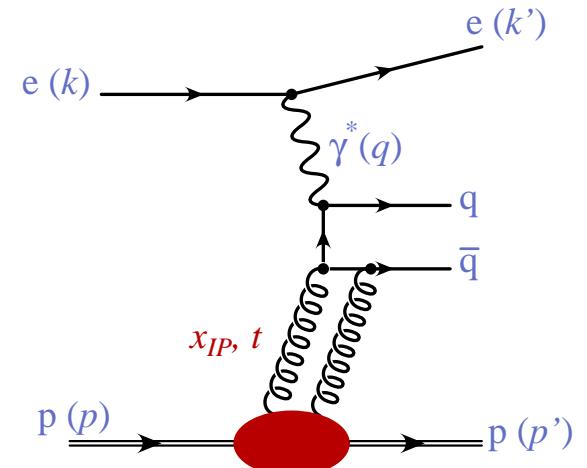
current jet
no colour flow
 $p \rightarrow$ beam pipe

η_{\max}



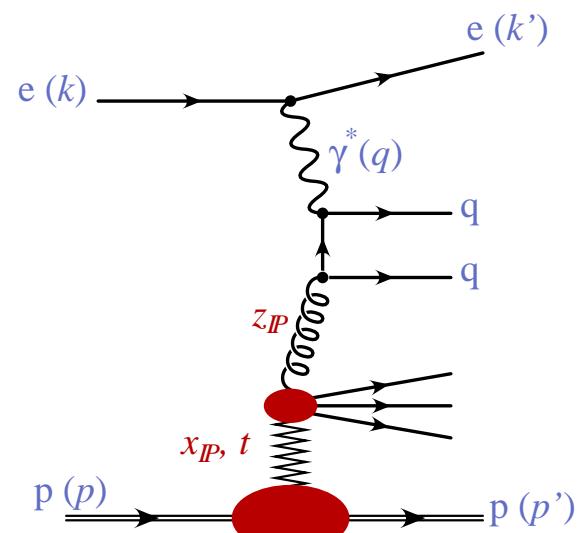
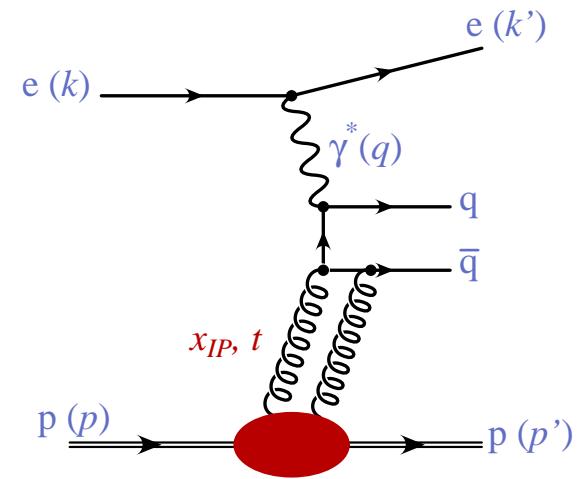
Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
 - two gluon exchange
 - Several possible hard scales: Q^2 , P_T^{Jet} , t , m_q
 - probing the exchange partonic structure - like in inclusive structure functions
 - typical signature of hard scale presence: steep rise with W (cms energy)

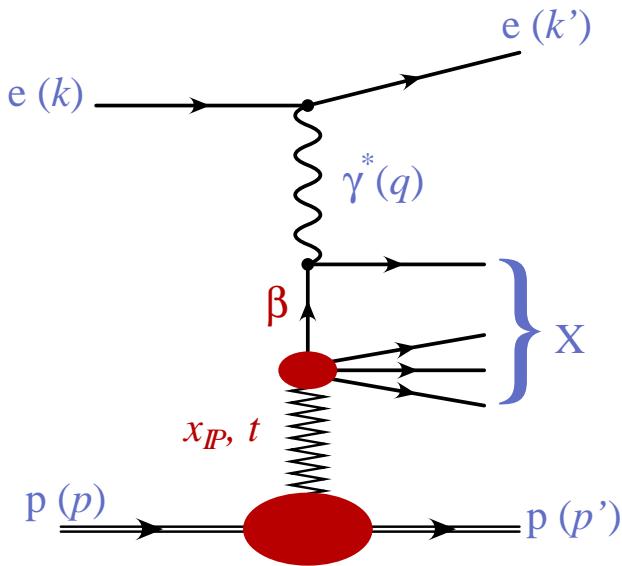
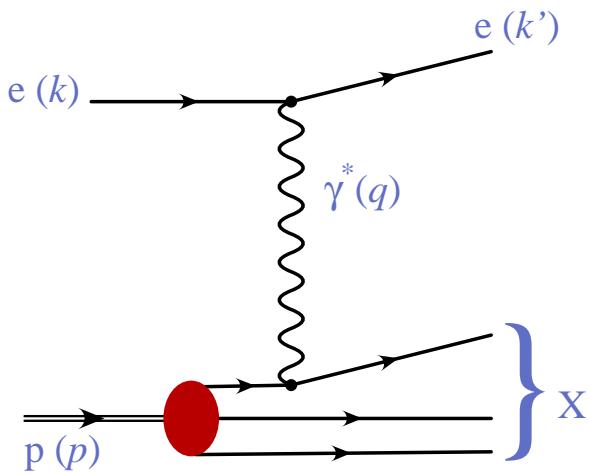


Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
 - two gluon exchange
 - Several possible hard scales: Q^2 , P_T^{Jet} , t , m_q
 - probing the exchange partonic structure - like in inclusive structure functions
 - typical signature of hard scale presence: steep rise with W (cms energy)
- Access to very low x of nucleon structure function and parton correlations → the Generalized Parton Distributions (GPDs).
- Test of DGLAP and BFKL asymptotic behaviour dynamics
 - DGLAP: $\log(Q^2) \rightarrow k_T$ ordering
 - BFKL: $\log(1/x) \rightarrow 1/x$ ordering
- Colour Dipole model approach: transition to non pQCD, saturation



Kinematic



Deep Inelastic Scattering

- $Q^2 = -q^2$ - virtuality of the exchanged photon
- $W = \gamma^* - p$ system energy
- x Bjorken- x : fraction of proton's momentum carried by the struck quark
- y γ^* inelasticity : $y = Q^2/s x$

Diffractive Scattering

- x_{IP} fraction of proton's momentum of the colour singlet exchange (also named ξ)
- $x_{IP} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2}$
- β fraction of IP carried by the quark "seen" by the γ^* $\beta = x/x_{IP}$
- $t = (p - p')^2$, 4-momentum squared at the p vertex

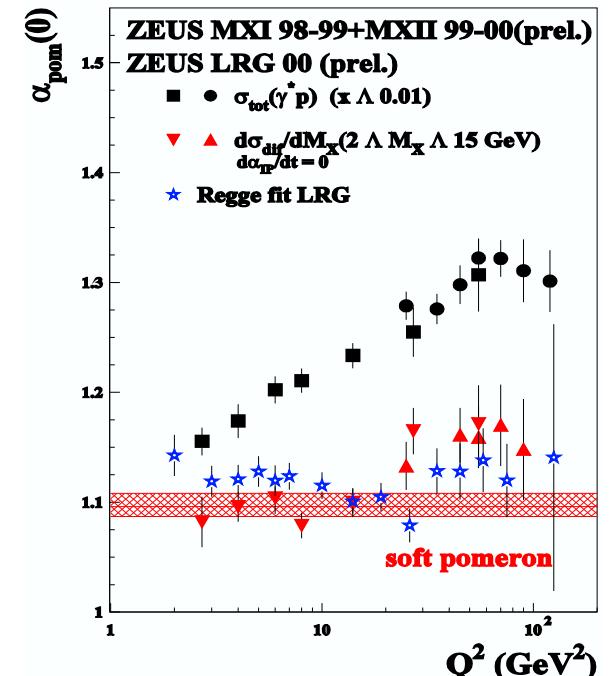
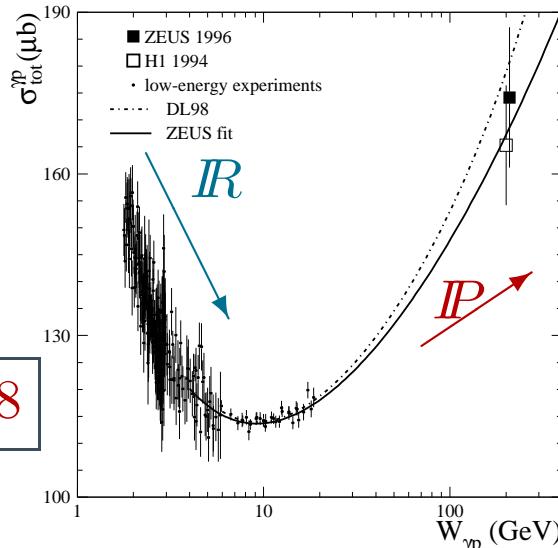
From DIS to Inclusive Diffraction

Historically (60's) diffraction is described by a Pomeron exchange in Regge theory

- Total cross section: $\gamma^* p \rightarrow X$

$$\sigma_{Tot} = B W^{2(\alpha_{IR} - 1)} + A W^{2(\alpha_P - 1)}$$

$$\alpha_{IR} = 0.55 / \alpha_P = 1.08$$



Optical theorem: $\sigma_{tot}^2(\gamma^* p \rightarrow X) \sim \frac{d\sigma_{el}}{dt}(\gamma^* p \rightarrow \gamma^* p)$ at $t = 0$

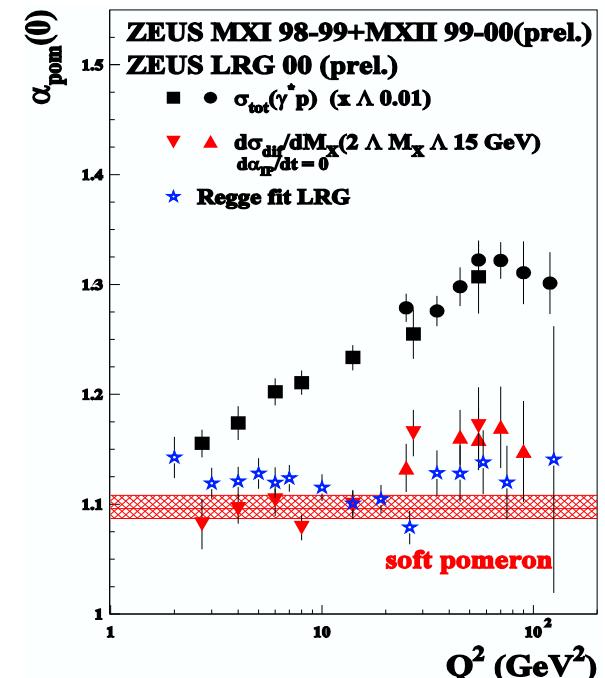
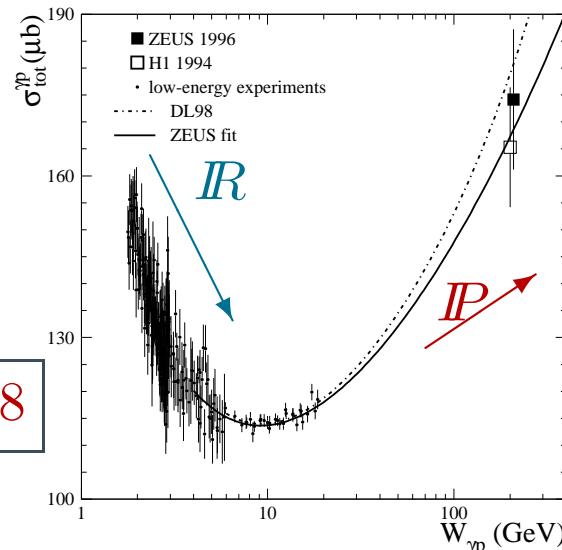
From DIS to Inclusive Diffraction

Historically (60's) diffraction is described by a Pomeron exchange in Regge theory

- Total cross section: $\gamma^* p \rightarrow X$

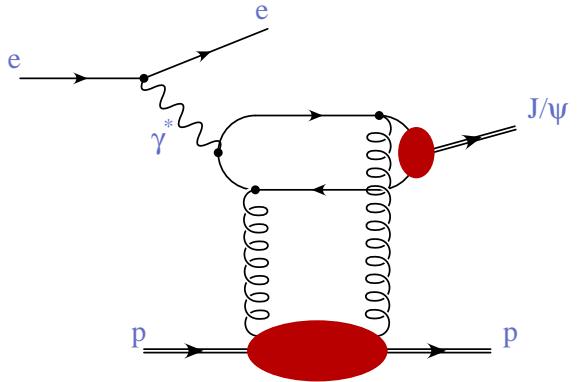
$$\sigma_{Tot} = B W^{2(\alpha_{IR} - 1)} + A W^{2(\alpha_P - 1)}$$

$$\alpha_{IR} = 0.55 / \alpha_P = 1.08$$



Optical theorem: $\sigma_{tot}^2(\gamma^* p \rightarrow X) \sim \frac{d\sigma_{el}}{dt}(\gamma^* p \rightarrow \gamma^* p)$ at $t = 0$

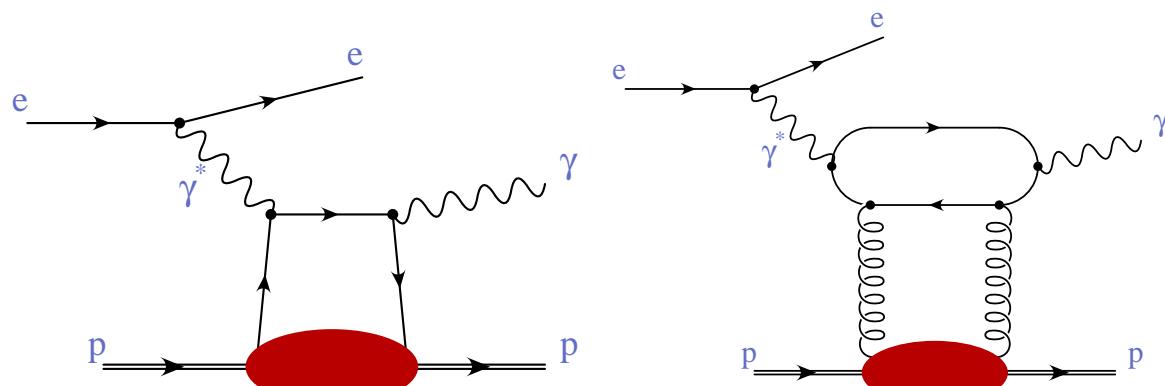
- DVCS: extension to off-forward scattering.
 $\gamma^* p \rightarrow \gamma p$ at $t \neq 0$ and $\beta = 1$
- VM production: $\gamma^* p \rightarrow VM p$ at $t \neq 0$ and β fixed.
- Inclusive Diffraction: $\gamma^* p \rightarrow X p$ any M_X , full β range.



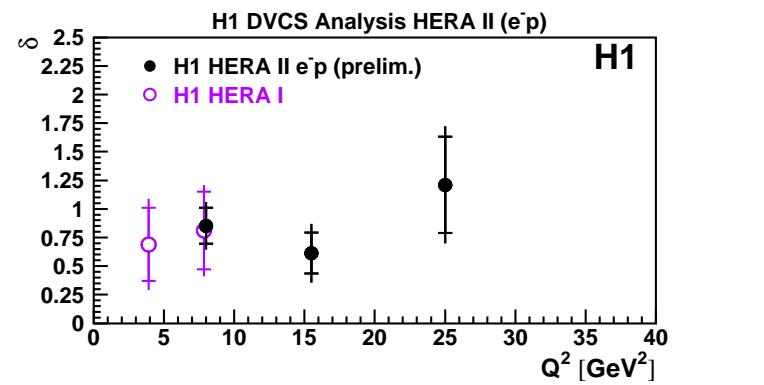
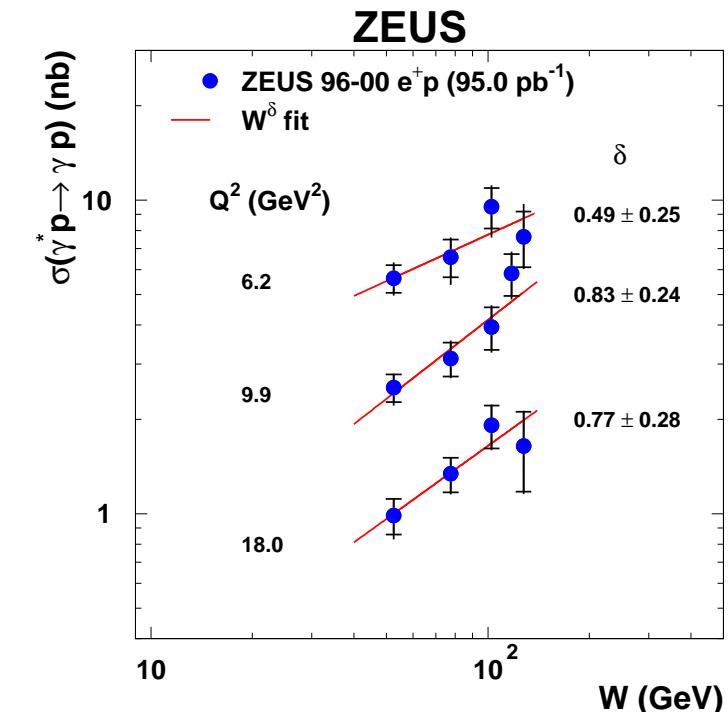
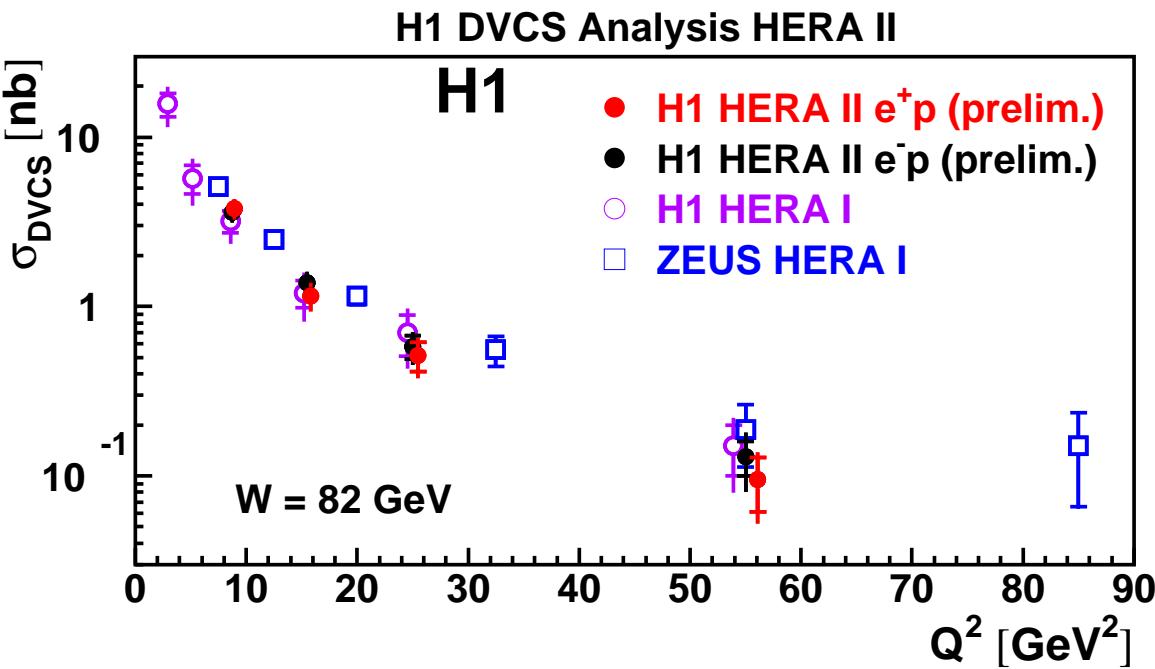
Exclusive processes: DVCS and VM production

- In presence of a hard scale, (almost) fully calculable in pQCD
 - Is the hard scale present?
- Exclusive final state
 - Can we approximate as $\sigma \sim |x g(x, Q^2)|^2$
- Can we constrain Generalized Parton Distributions (GPDs)?

Deep Virtual Compton Scattering



- fully calculable in pQCD
- Access to the full QCD amplitude
- Constrain gluon GPDs



W dependence indicates a hard regime (similar to J/Ψ)

DVCS: t slope and Beam Charge Asymmetry

New H1 measurement based on 291 pb^{-1} of HERA II data (e^+ and e^-).

- t slope measured as a function of Q^2

$$b(Q^2) = A (1 - B \log(Q^2/2))$$

A and B fitted to:

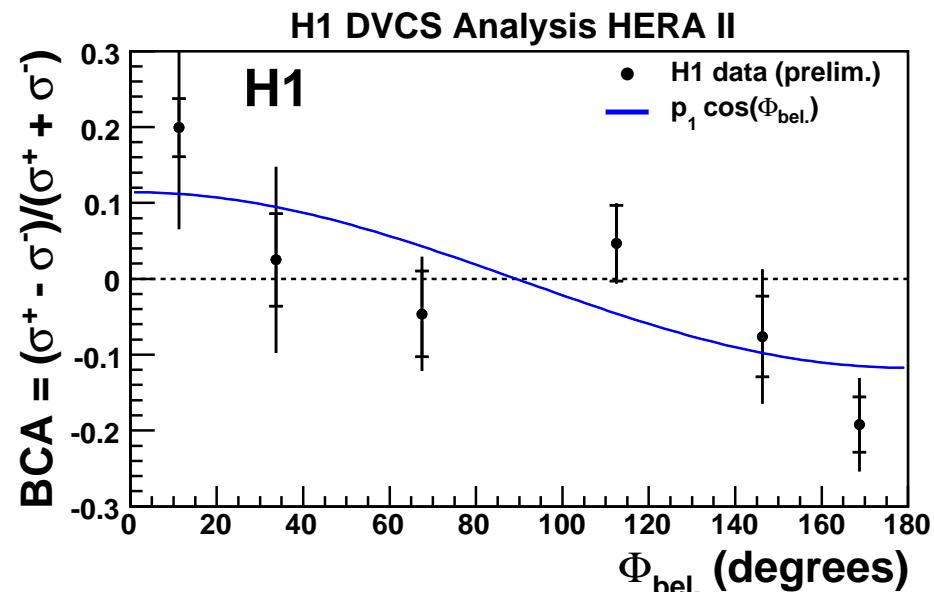
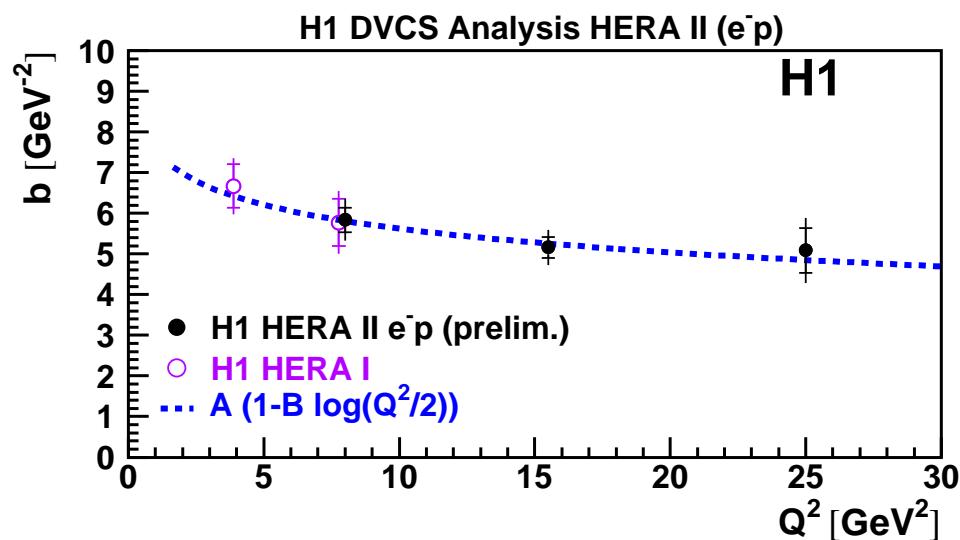
$$A = 6.98 \pm 0.54 \text{ GeV}^{-2}$$

$$B = 0.12 \pm 0.03.$$

⇒ Similar behaviour with VM using the scale $Q^2 + M_{VM}^2$

- First DVCS BCA measured at HERA.

$$BCA \equiv \frac{\sigma(e^+ p) - \sigma(e^- p)}{\sigma(e^+ p) + \sigma(e^- p)} \sim p_1 \cos(\Phi)$$



DVCS: QCD interpretation

- correct Q^2 dependence of the propagator and of b in the cross section:

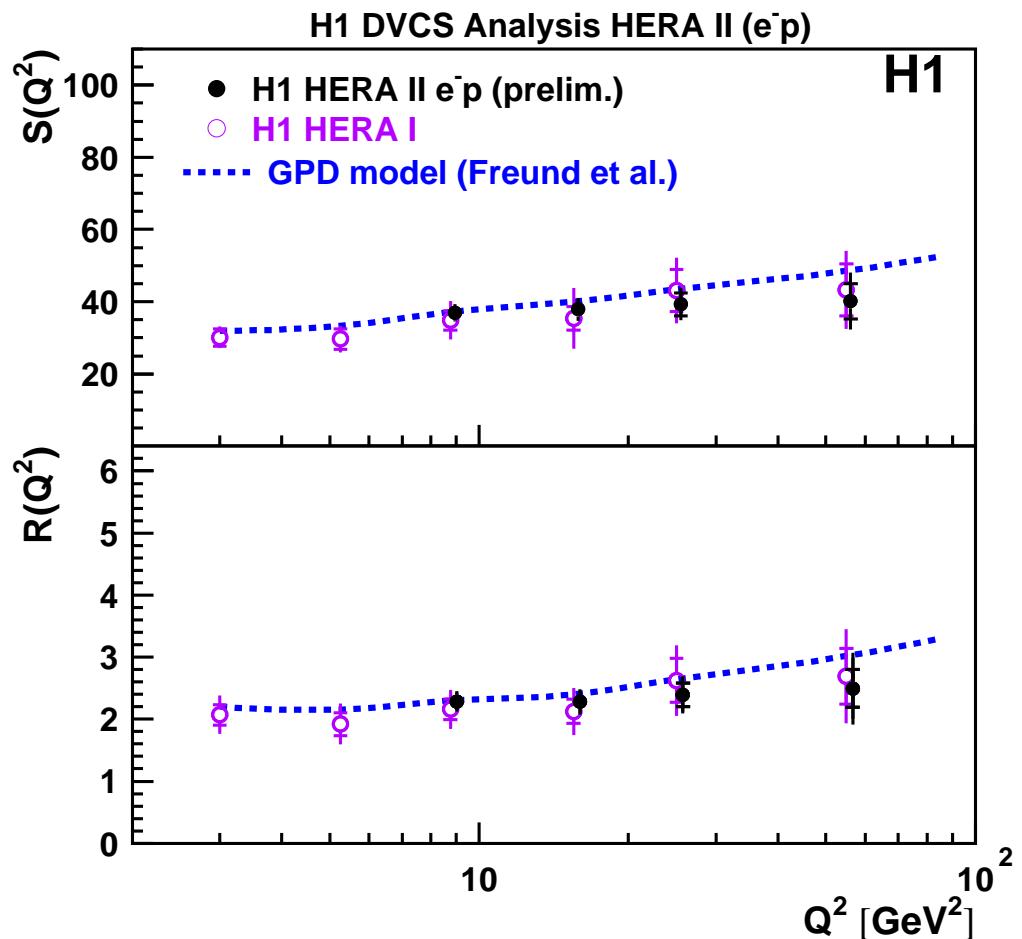
$$S = \sqrt{\frac{\sigma_{DVCS} Q^4 b(Q^2)}{(1 + \rho^2)}}$$

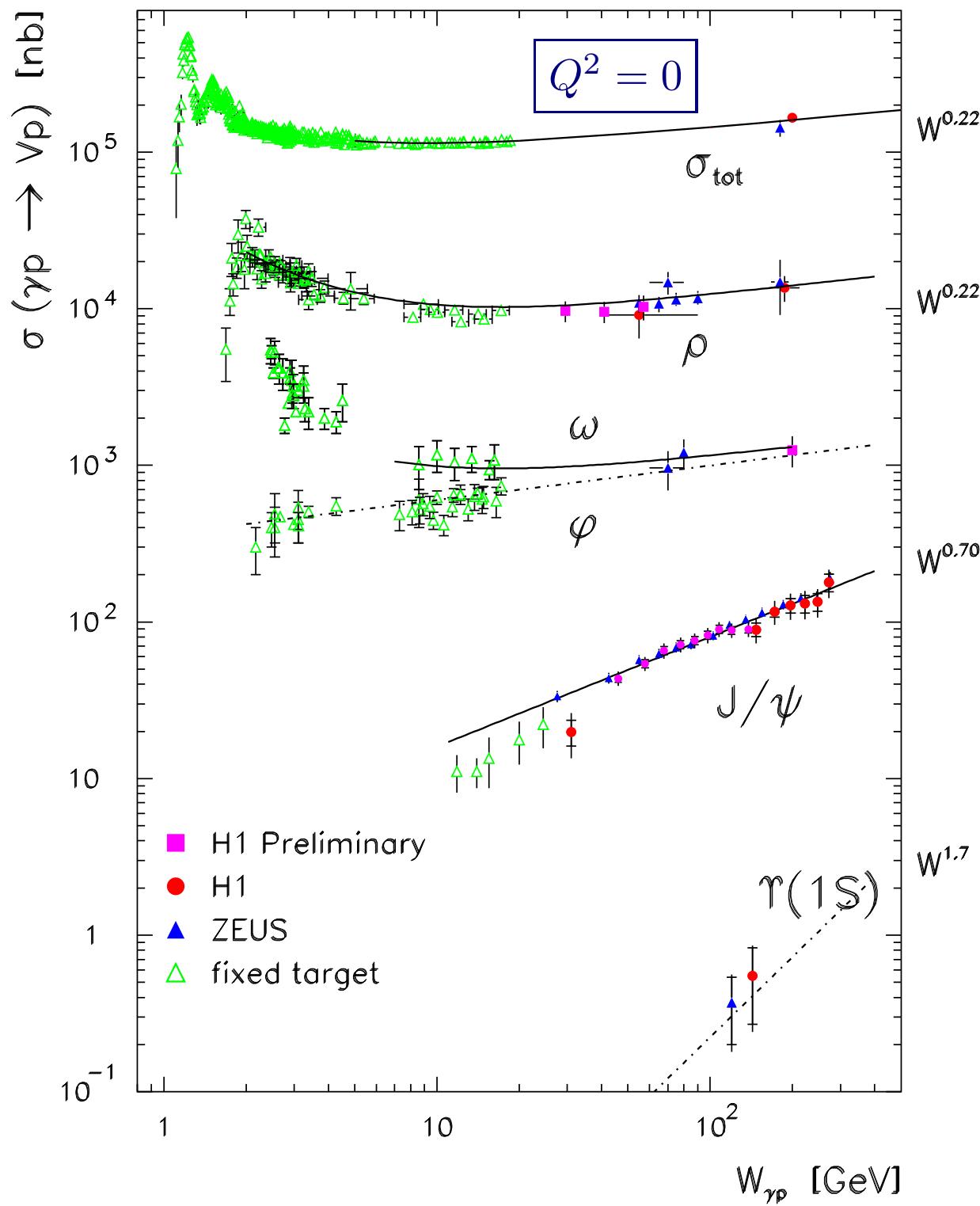
- skewing factor: around 2

$$\begin{aligned} R &= \frac{\text{Im } A(\gamma^* p \rightarrow \gamma p)}{\text{Im } A(\gamma^* p \rightarrow \gamma^* p)} \\ &= \frac{4 \sqrt{\pi} \sigma_{DVCS} b(Q^2)}{\sigma_T(\gamma^* p \rightarrow X) \sqrt{(1 + \rho^2)}} \end{aligned}$$

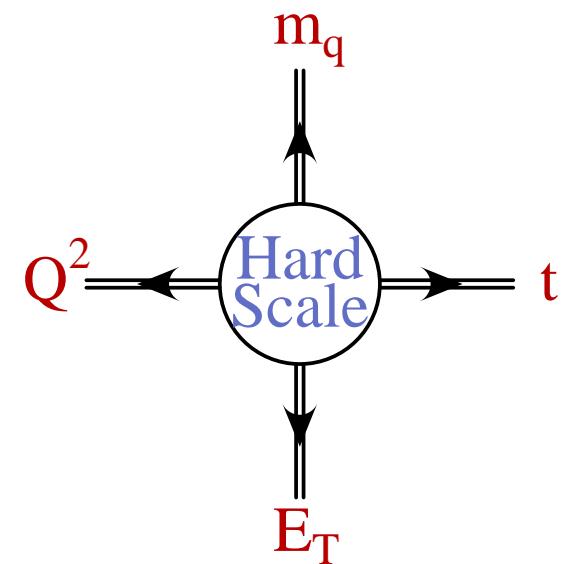
⇒ important skewing factor

⇒ Q^2 evolution close to the one of DIS (pure DGLAP)





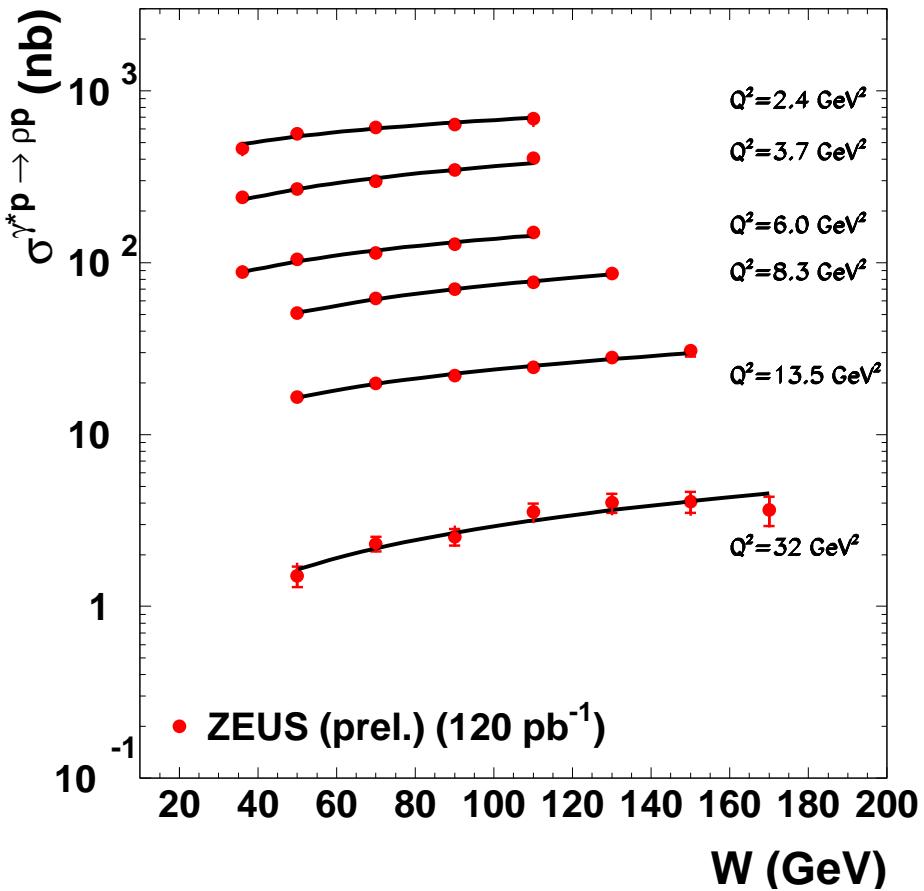
- Low mass ($\rho, \phi, \omega; M_V^2 \simeq 1$ GeV 2): no pert. scale → weak energy dep. (soft regime)
- High mass ($J/\psi, \nu$): pert. scale → strong energy dep. (hard regime)
- similar to F_2 (i.e. the gluon) qualitatively



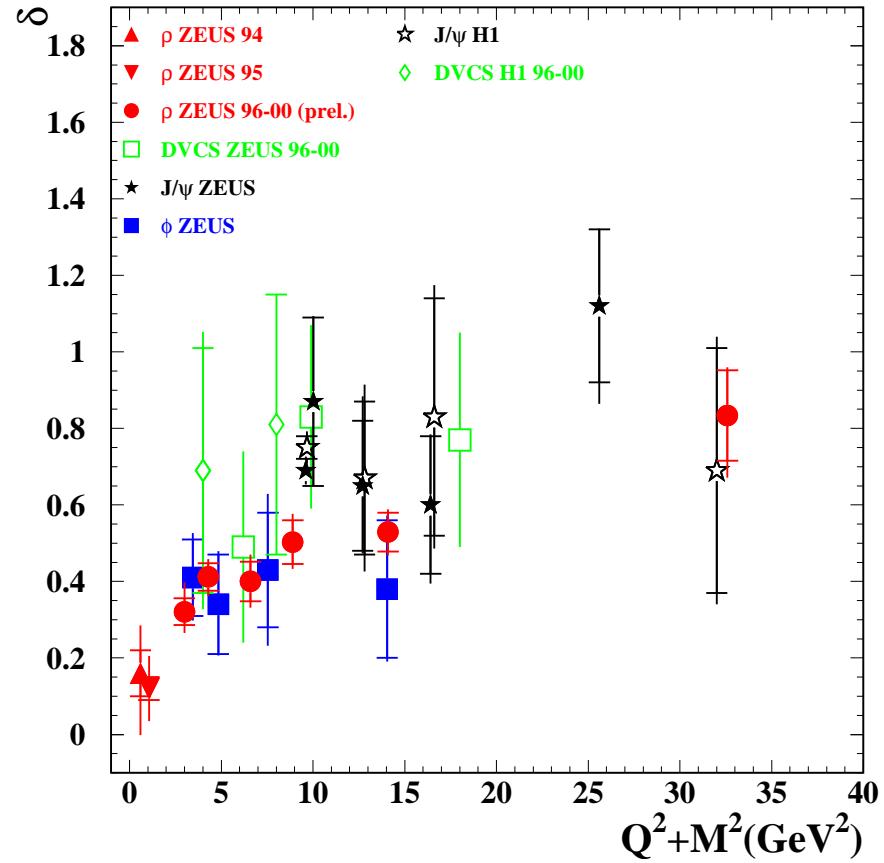
Q^2 evolution of light vector meson Production

$e^- p \rightarrow e^- \rho^0 p$: new ZEUS measurement.

$$\sigma^{\gamma^* p \rightarrow \rho p} \sim W^\delta$$

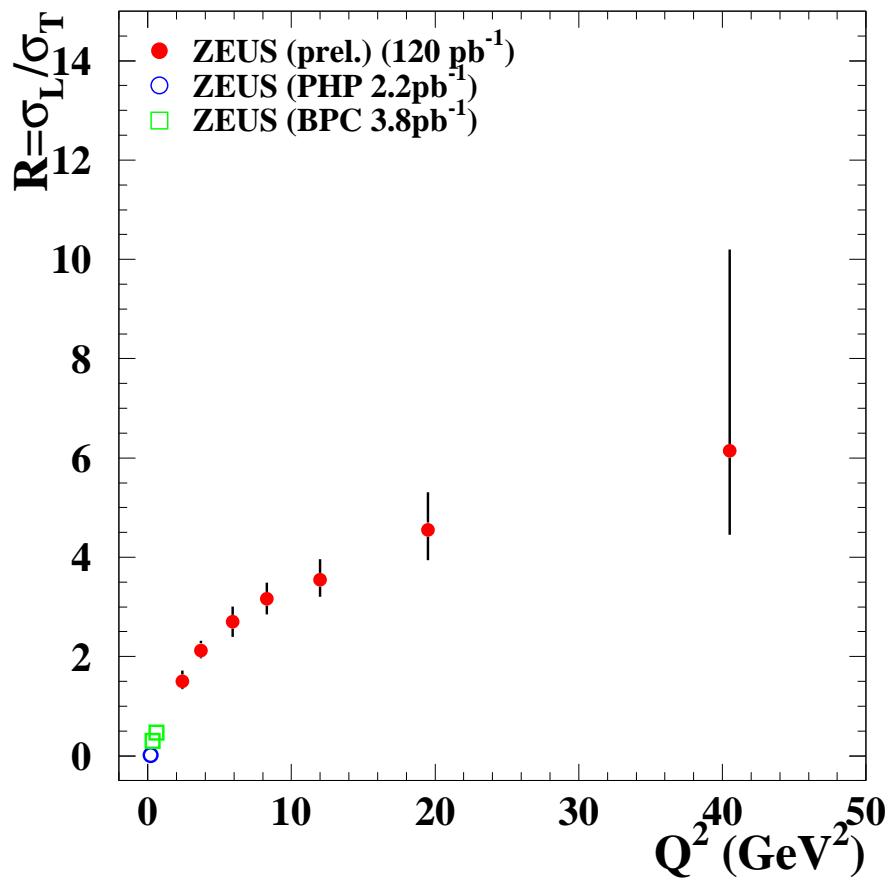


When Q^2 increases, also soft \rightarrow hard transition



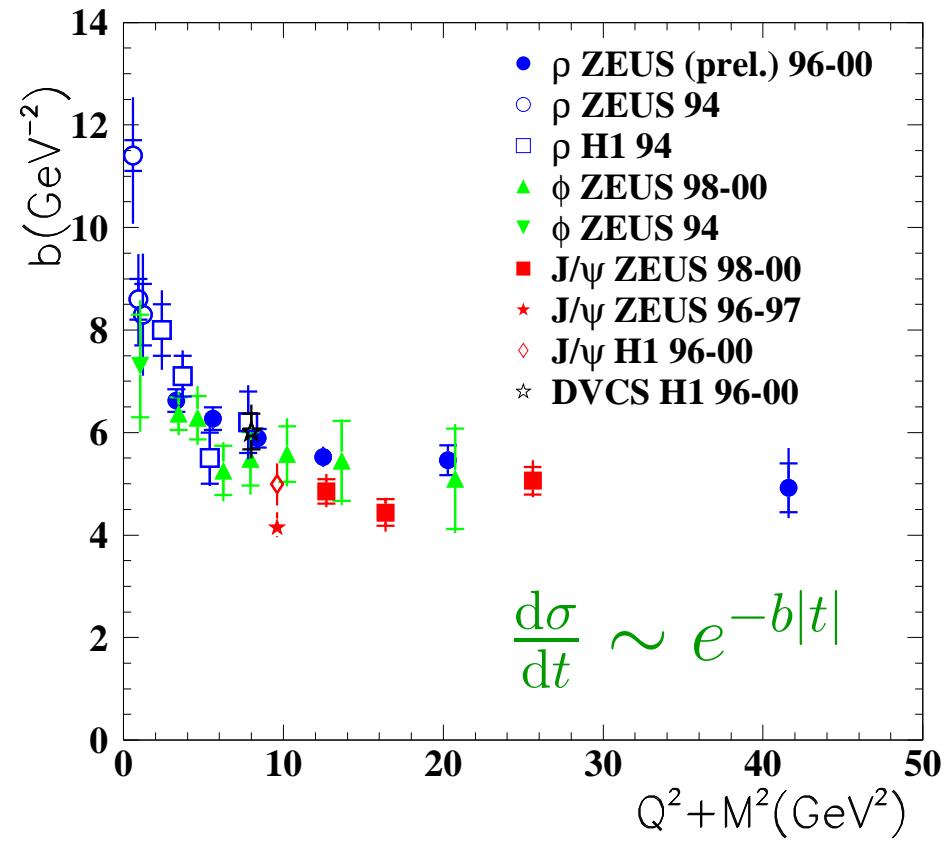
- Universal(?) behaviour for $Q^2 + M_V^2$ scale
- seems to saturate (\neq DIS) - Sudakov factor - not pure $|x g(x, Q^2)|^2$

Q^2 evolution of light vector meson Production

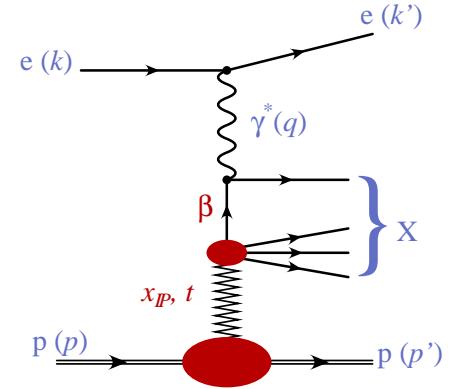


σ_T contains large dipole configuration
 \Rightarrow non-pert. contribution

σ_L small dipole configuration \Rightarrow pert.



- b reflects the transverse size of the interaction
- Does $b(Q^2)$ reflects the different evolution of q and g in transverse direction.
- Universal(?) behaviour for $Q^2 + M_V^2$ scale



Inclusive and semi-inclusive processes

- gluon radiation are allowed (controlled by β)
 - how does it compare to DIS?
- We can probe the Pomeron structure
 - diffractive parton distributions (DPDF)
 - are DPDF universal?

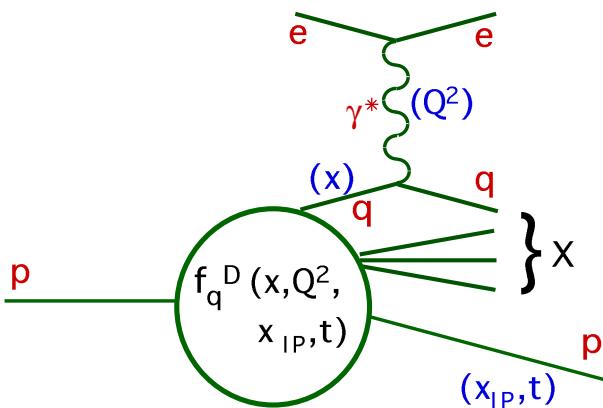
Factorisation Properties

QCD Hard Scattering Fact.

$$\sigma_{\text{DIS}}^{\text{Dif}} \sim f_q^D(x_{IP}, t, x, Q^2) \otimes \hat{\sigma}_{\text{pQCD}}$$

Diffractive parton densities
 $f_q^D(x_{IP}, t, x, Q^2)$
 → conditional proton parton probability distributions for particular x_{IP}, t .

DGLAP applicable for Q^2 evolution.

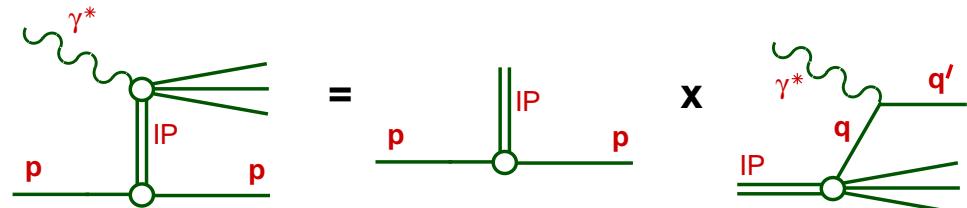


Rigorous for leading Q^2 dependence
 but not in hadron-hadron collisions

Regge Factorisation

$$f_q^D(x_{IP}, t, x, Q^2) = f_{IP/p}(x_{IP}, t) \cdot q_{IP}(\beta, Q^2)$$

Diffractive parton densities factorise into “pomeron flux factor” and “pomeron parton densities”



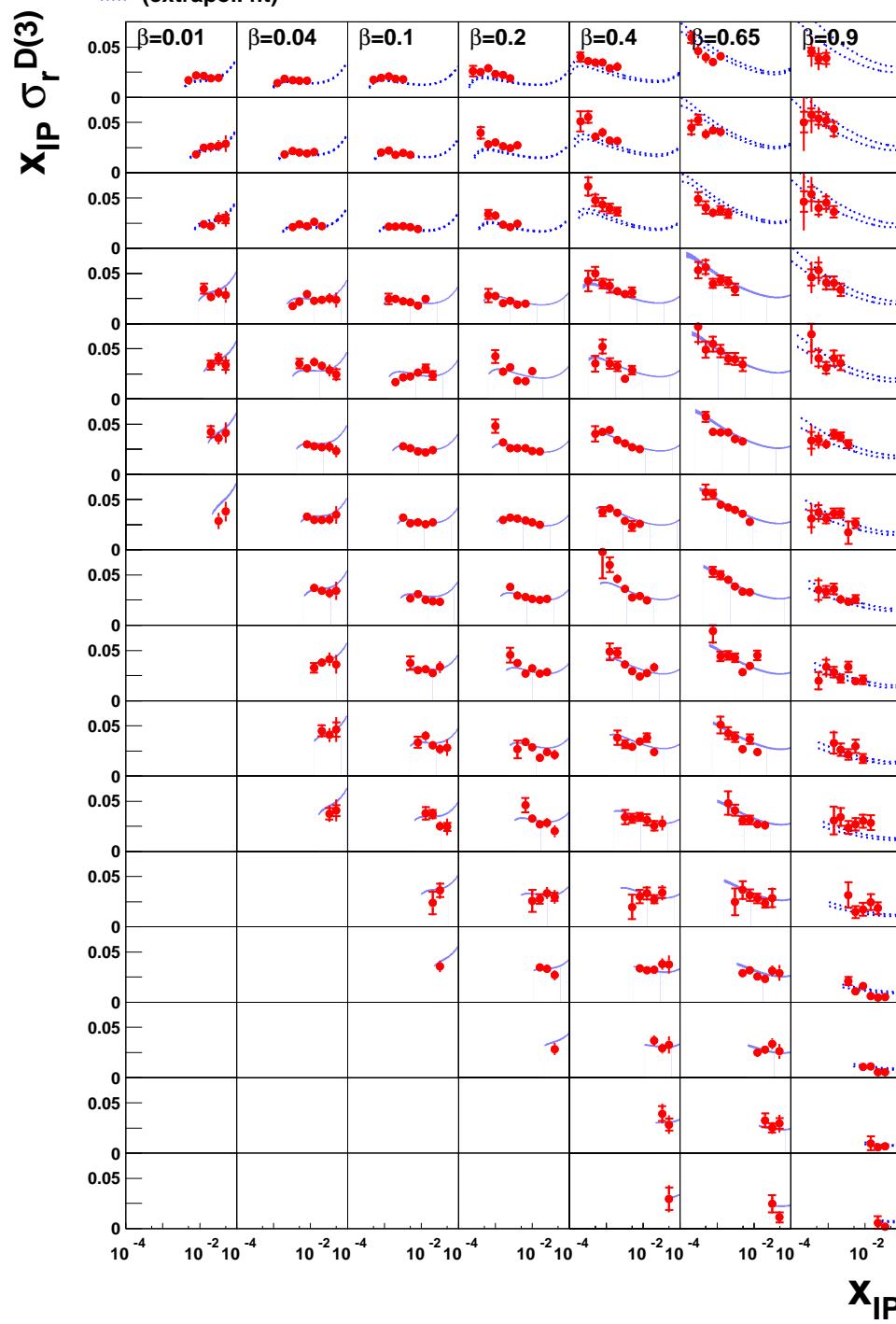
IP flux factor from Regge theory ...
 $f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$

$$\alpha(t) = \alpha(0) + \alpha' t$$

where ...

No firm basis in QCD

- H1 Data
- H1 2006 DPDF Fit A
- (extrapol. fit)



Q^2
[GeV 2]
3.5
5
6.5
8.5
12
15
20
25
35
45
60
90
200
400
800
1600

- precision in best region:
5% (stat), 5% (syst), 6% (norm)

$$\alpha_{IP}(0) = 1.150 \pm 0.009(\text{exp}) \pm 0.039(\text{th})$$

- No Q^2 dependence observed

- larger than soft Pomeron

$$\alpha_{IP}(0) = 1.08$$

- smaller than inclusive DIS

$$\alpha_{IP}(0) = 1 + 0.048 \log(Q^2/0.292)$$

NLO QCD fit: H1 Measurement

QCD Fit Technique:

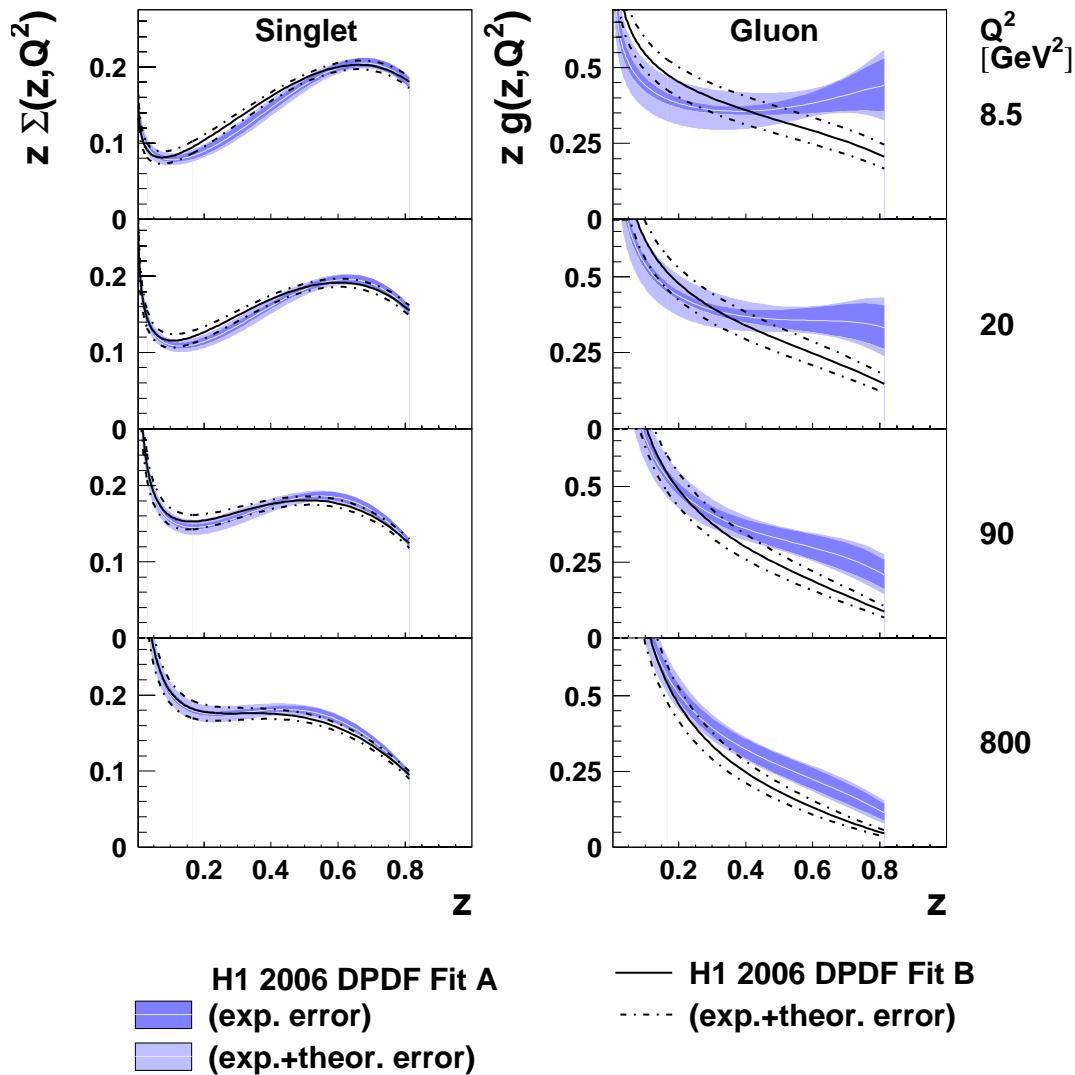
- factorize $f(x_{IP})f(z, Q^2)$
- Singlet Σ and gluon g
- NLO DGLAP evolution

$$\frac{1}{f_{IP/p}} \frac{\partial \sigma_r^D}{\partial \ln Q^2} \sim xg(x) \otimes \alpha_s \otimes P_{qg}$$

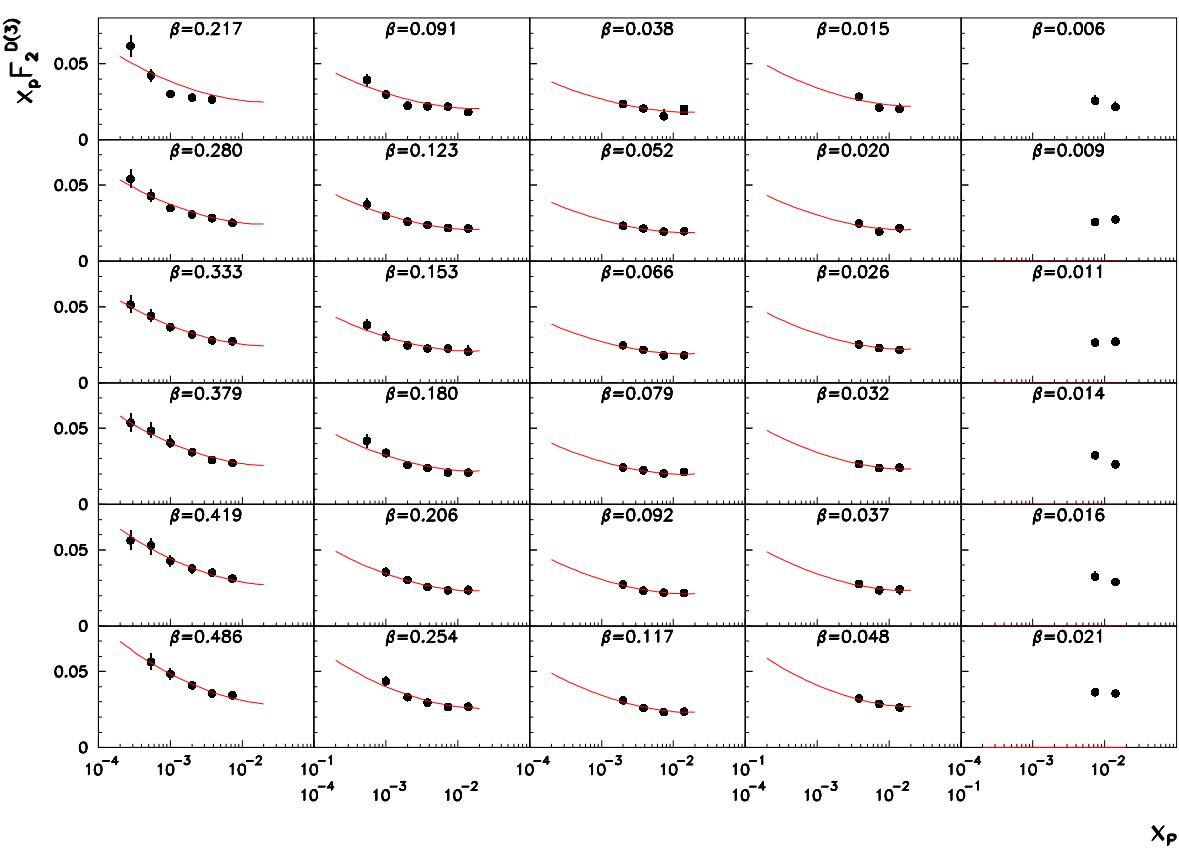
- parametrised at $Q_0^2 = 3 \text{ GeV}^2$
- Fit data for $Q^2 \geq 8.5 \text{ GeV}^2, \beta < 0.8, M_X > 2 \text{ GeV}$
- Two stable solutions: Fit A and Fit B

PDF's of Diffractive exchange

- z is the fract. mom. of the parton in IP
- Σ well constrained
- a lot of gluons ($75 \pm 15 \%$ of mom.)



- New ZEUS inclusive diffraction measurements:
⇒ LRG, 45.4 pb^{-1} , 2000 (e^+) data.

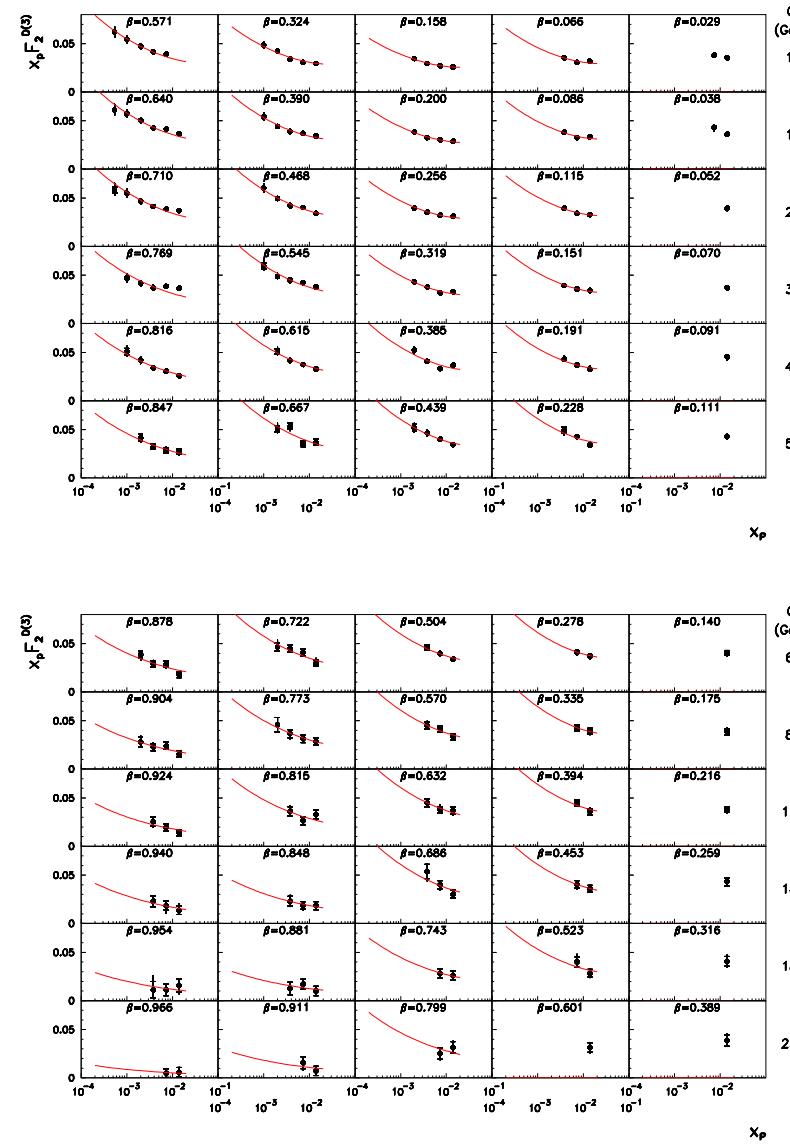


$$\alpha_{IP}(0) = 1.117 \pm 0.005 \text{ (exp)} \quad {}^{+0.024}_{-0.007} \text{ (model)}$$

- No Q^2 dependence observed

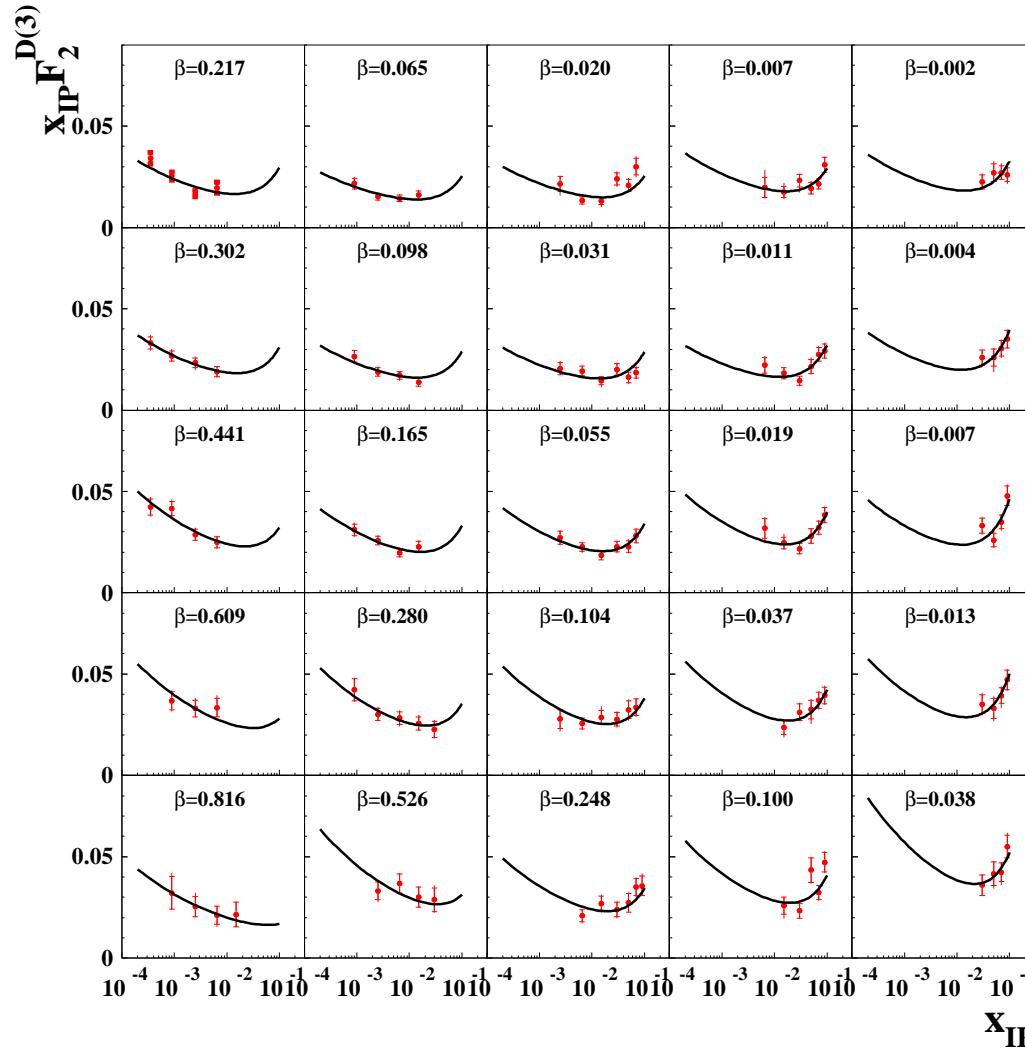
— Regge Fit

- in good agreement with H1 results



- New ZEUS inclusive diffraction measurements:

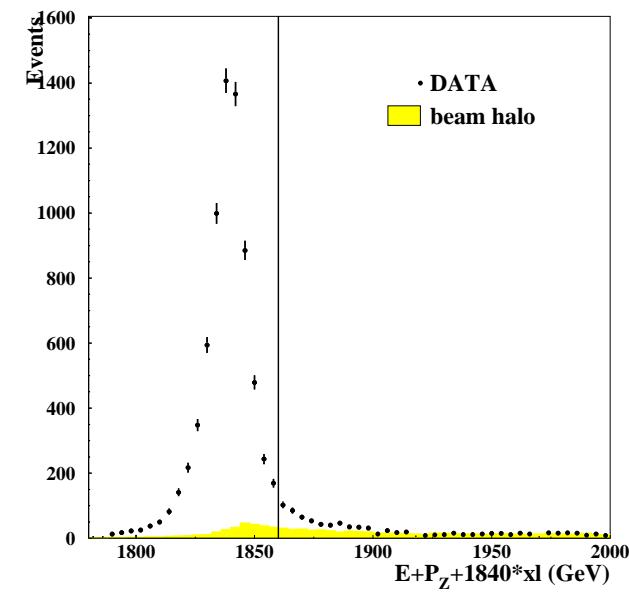
⇒ using Leading Proton Spectrometer (32.6 pb^{-1}) 2000 (e^+) data.



Q^2
(GeV^2)

3.9
7.1
14
40

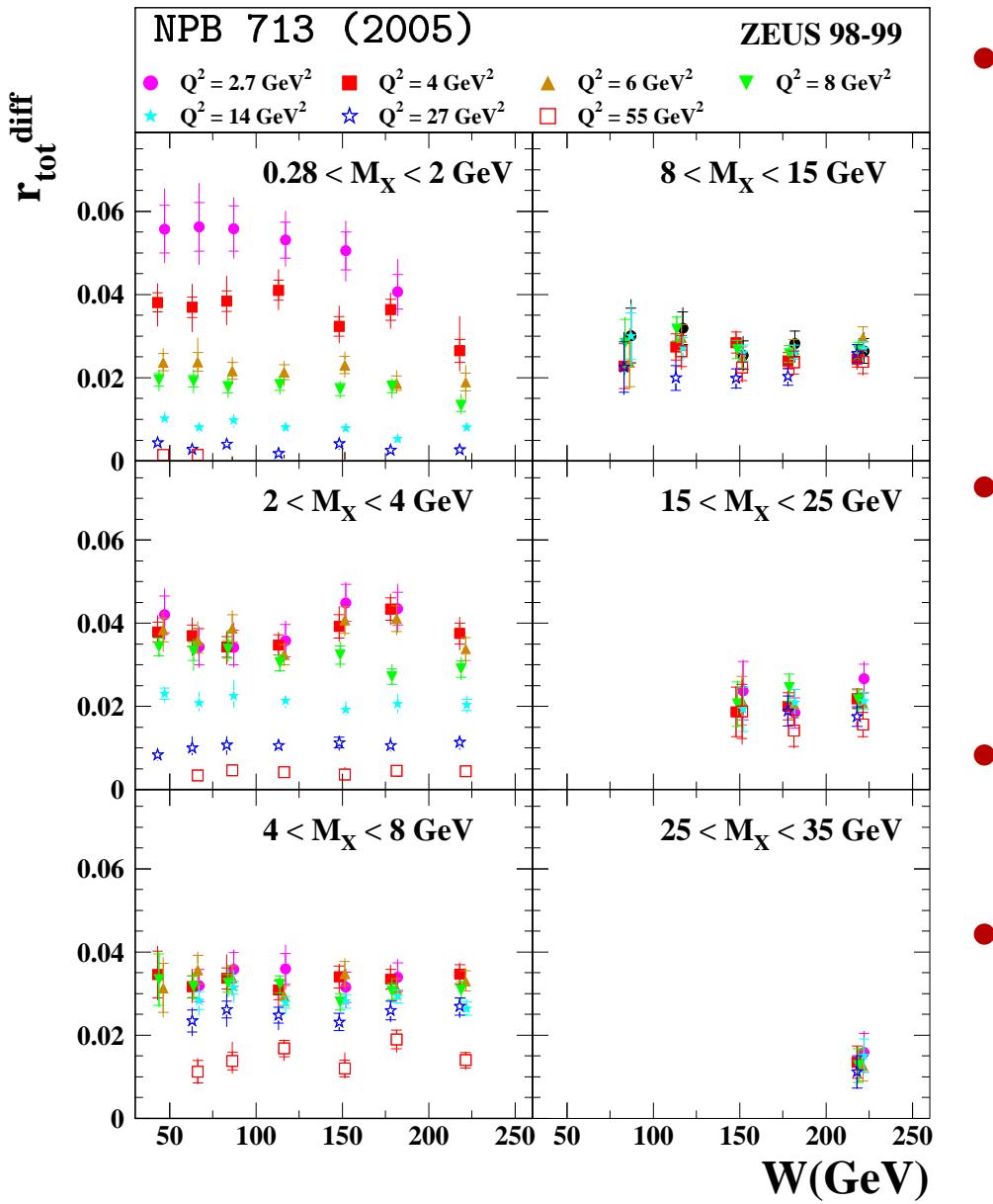
- measures the scattered proton in Roman Pot stations



- results in agreement with H1 FPS

- results in agreement with ZEUS LRG and MX method (not shown)

Ratio of Diffractive to inclusive cross-sections



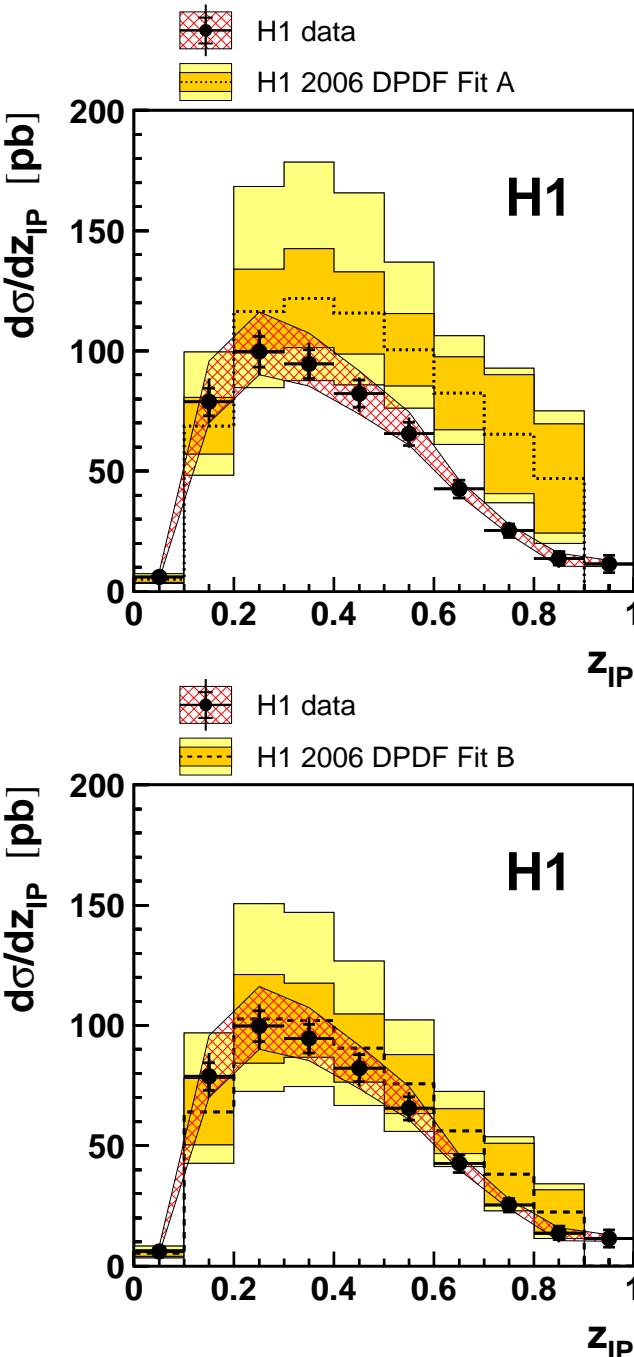
$$W^2 \simeq Q^2/x$$

$$\beta \simeq Q^2/(Q^2 + M_X^2)$$

- For $M_X > 2$ GeV: flat in W
 - same W dependence as σ_{tot}
 - Not consistent with naive 2 gluon exchange:

$$R = \frac{|x g(x, Q^2)|^2}{x g(x, Q^2)} = x g(x, Q^2)$$
 - $M_X > 8$ GeV: no Q^2 dependence
 - same DGLAP evolution
 - γ^* sees: 1 gluon that can radiate
 - If $M_X \searrow, \beta \nearrow \rightarrow \gamma^*$: more and more of the exchanged object (2 g)
 - $M_X < 2$ GeV (large β): falling with W
 - contribution of Vector Meson production (higher twist)
 - no g radiation allowed
 - "closed" gluon object

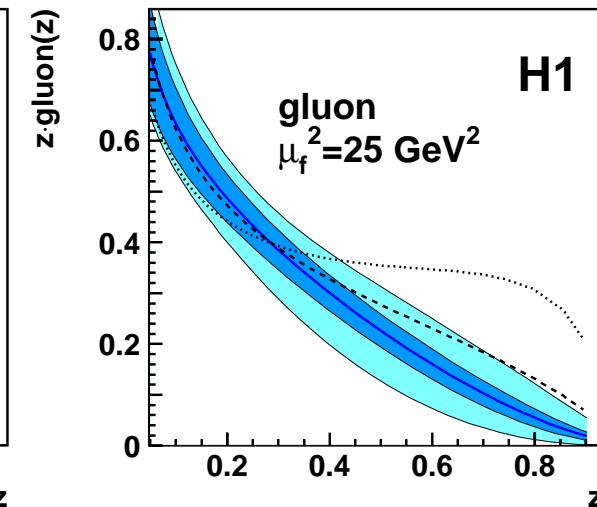
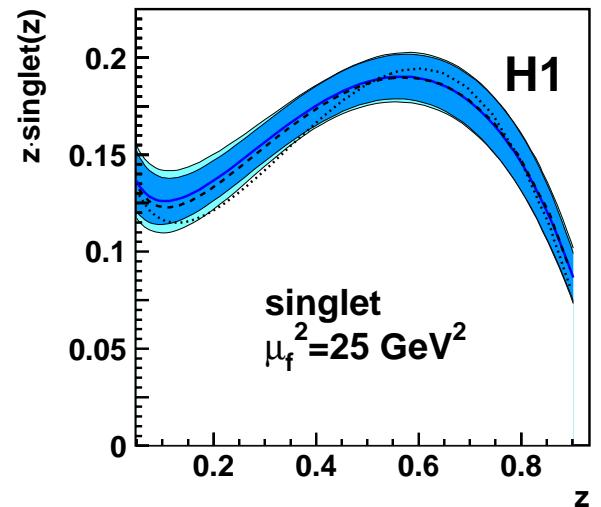
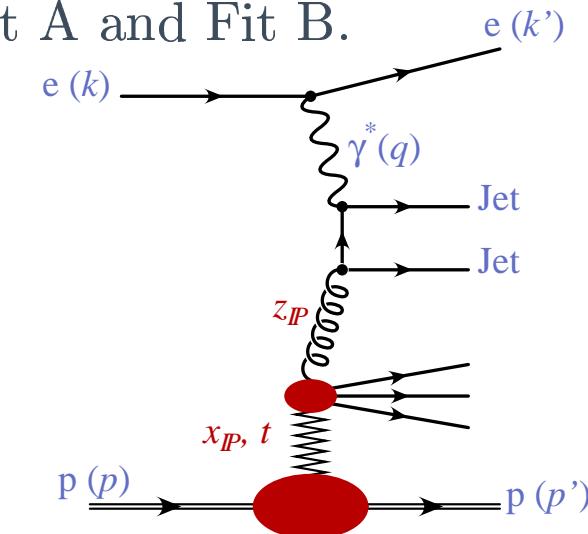
Test of QCD factorisation: H1 Dijet



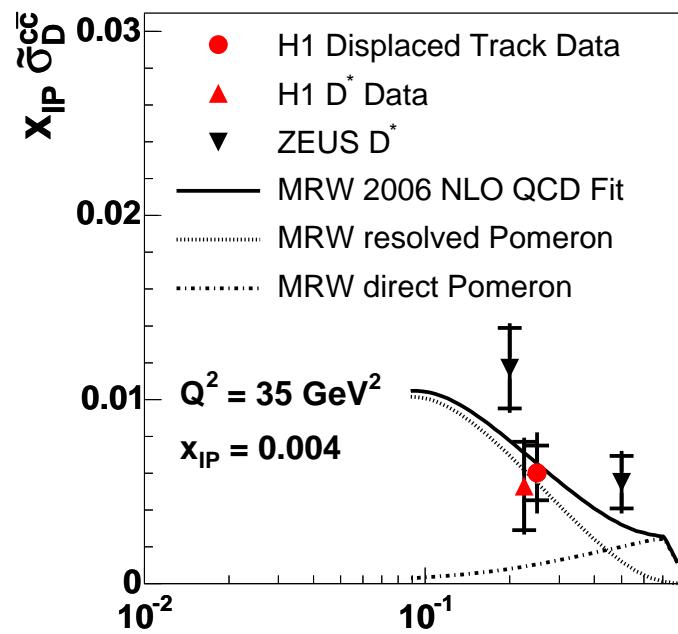
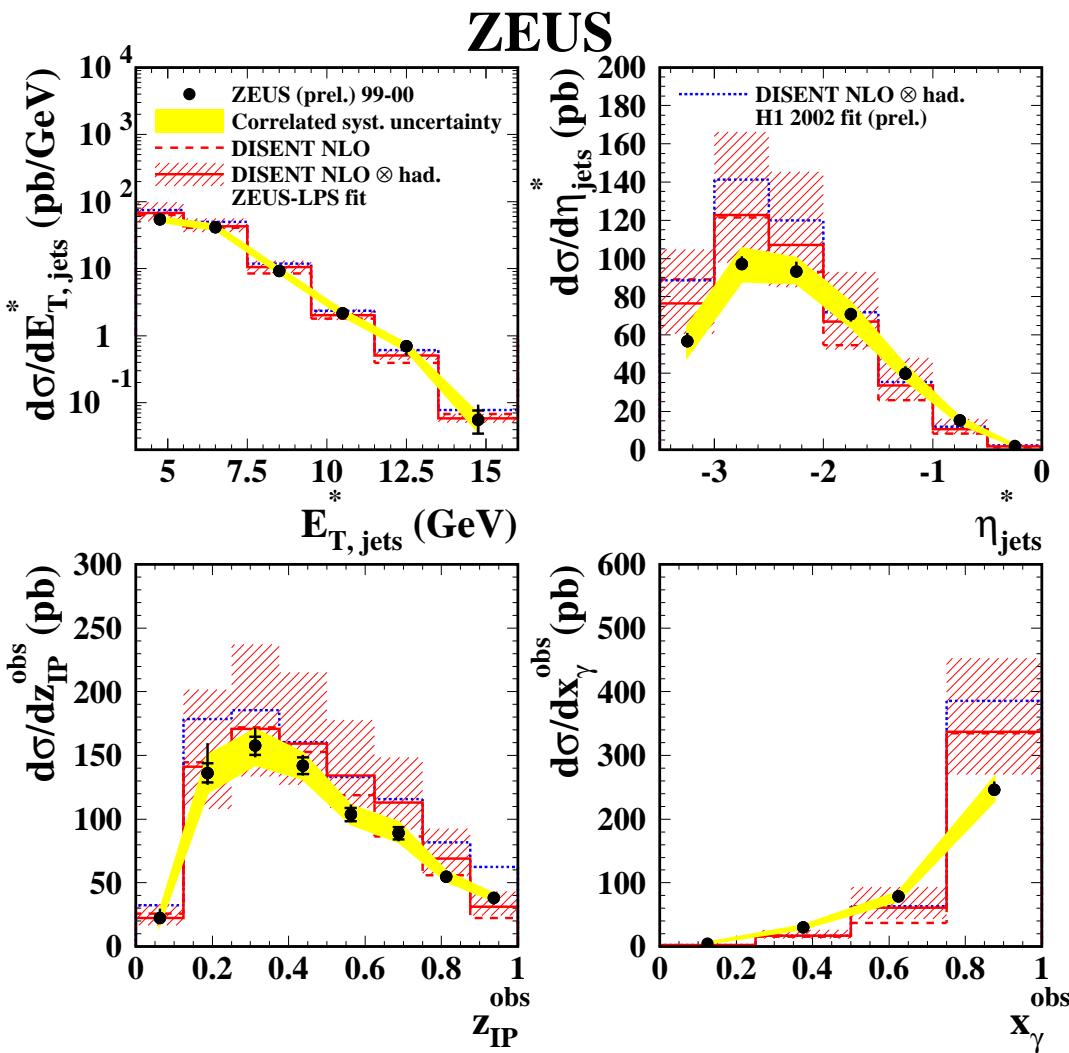
Use diff PDFs to predict Dijet production

- $Q^2 > 4 \text{ GeV}^2$, $P_T^{jet1(2)} > 5(4) \text{ GeV}$
- can distinguish between Fit A and Fit B.
- Including dijet data in fit yields DPDF with improved precision at large z_{IP}

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- H1 2006 DPDF fit A
- H1 2006 DPDF fit B



Test of QCD factorisation: Dijet and Charm

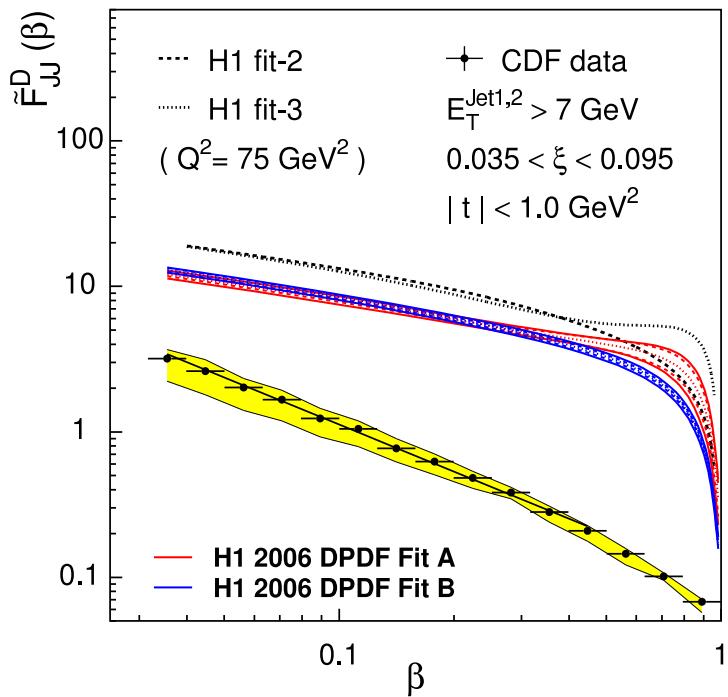


- ZEUS Dijet also in basic agreement
- Charm measured with 2 methods in agreement

→ QCD factorization works for Dijet and Charm in Diff. with $Q^2 > 4 \text{ GeV}^2$

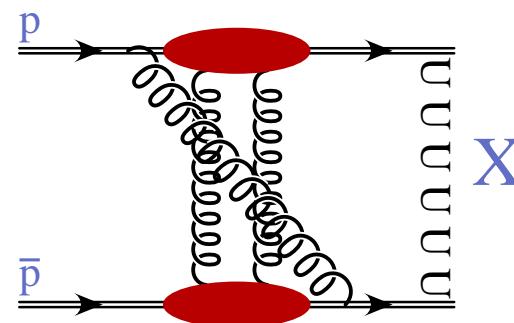
Factorisation breaking at the Tevatron

CDF measurement of the diffractive dijet production (using ratio SD/ND):



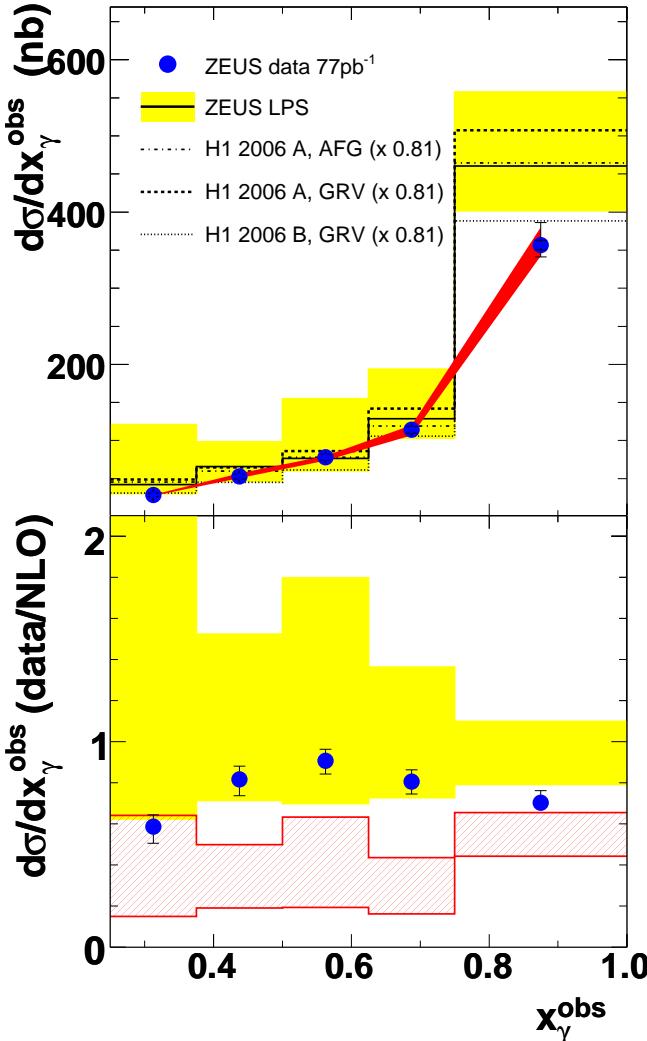
- The prediction based on diffractive PDF's extracted at HERA are one order of magnitude above the measured cross section!

- same to factorisation breaking in soft diffraction (Tevatron RUN I).
- also seen in $W\&Z$ production (sensitive to quark) and J/Ψ and b -mesons (sensitive to gluons)
- Factorization not expected to hold in pp . Violation of factorization understood usually in terms of (soft) rescattering corrections of the spectator partons
But other approaches exist...



HERA: Factorisation test: Dijet in Photoproduction

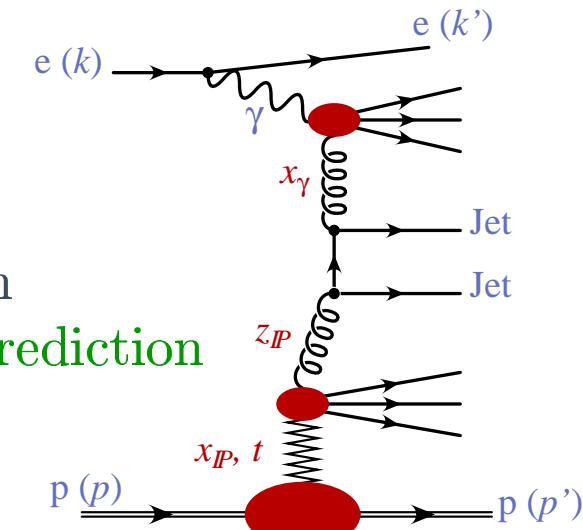
Real photon ($Q^2 \simeq 0$) can develop a hadronic structure



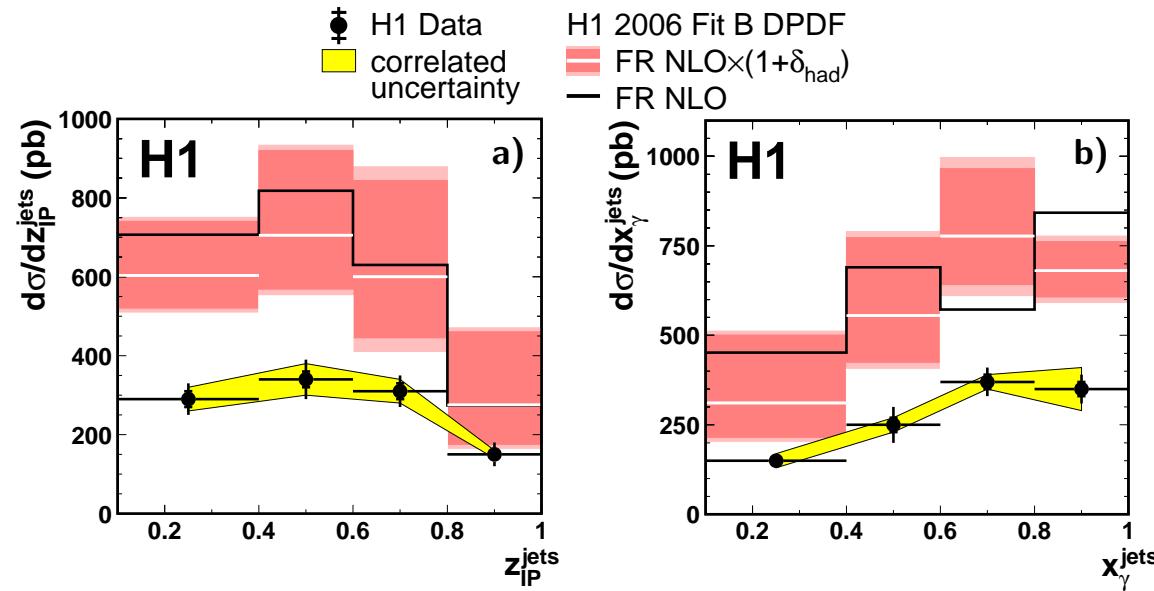
H1: Suppression by factor 2
in direct as well as in resolved

ZEUS: weak (if any) Suppression
on going work to check theory prediction

$E_T^{\text{jet}1} > 5$ (H1), 7 (ZEUS) GeV



H1 Diffractive Dijet Photoproduction



Conclusion

- Improved precision in DVCS and VM production measurements.
- Sensitivity to very low x gluon density and parton correlations (GPDs).
- Many measurements of inclusive diffraction at HERA.
- Good agreement between methods and Collaborations.
- The partonic structure of the exchanged object in diffraction has been measured with improved precision.
- Diffractive parton distributions can be factorised in DIS regime (large Q^2) in $\gamma^* - p$ interactions
- Photoproduction case needs clarifications
- Rescattering corrections in $p - p$
- Still many HERA results to come...

Back-up Slides

Regge factorisation: β Dependence of F_2^D

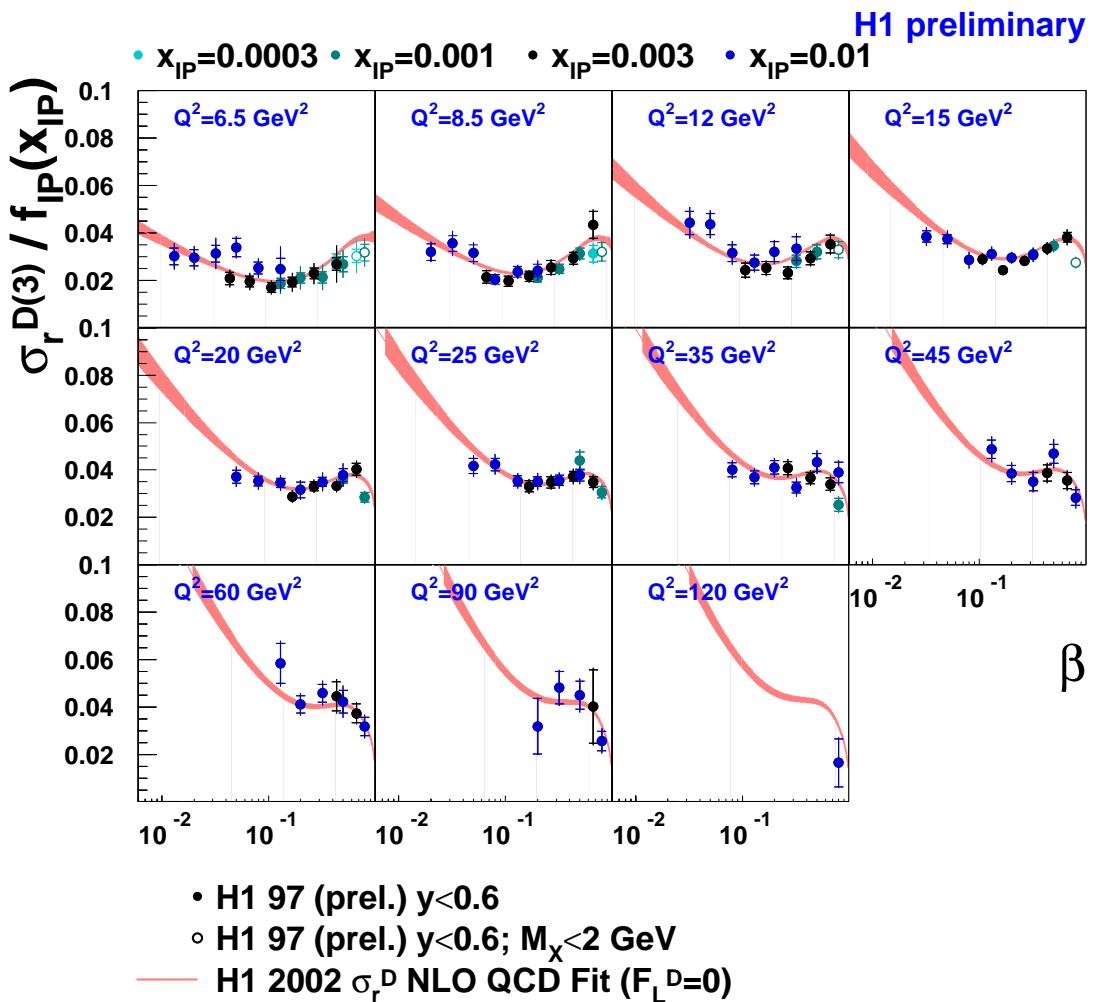
Does Regge factorisation work ?

i.e. is $F(\beta, Q^2)$ dependent of x_{IP} after factoring out the flux dependence ?

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

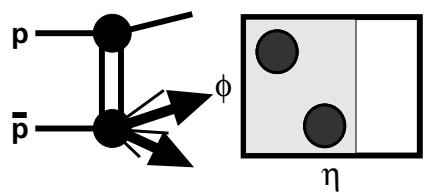
Take experimentally measured $B, \alpha(0)$

→ Regge factorisation holds !

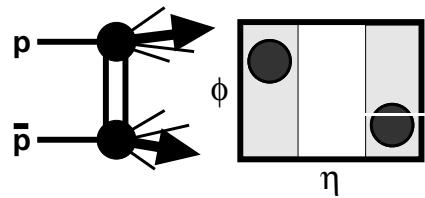


Measures parton density over wide β range.

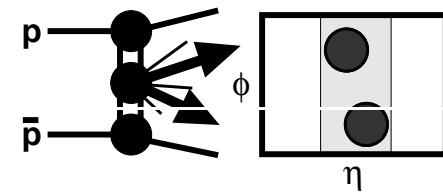
Factorisation breaking at the Tevatron



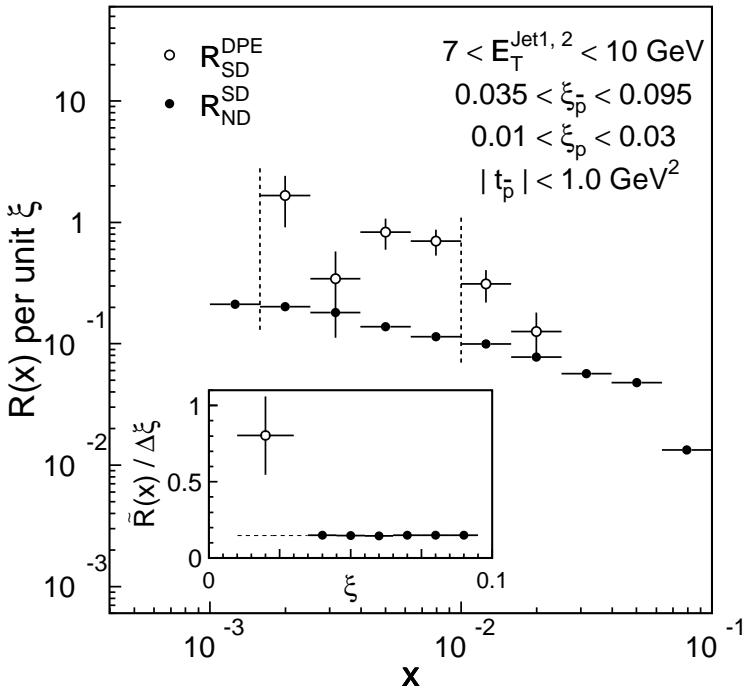
Single Diffraction



Double Diffraction



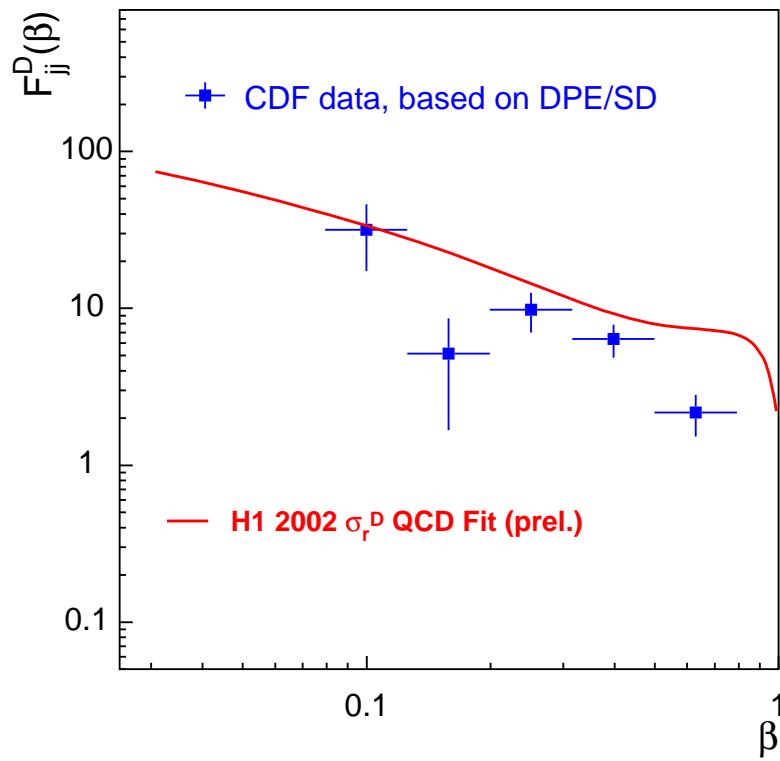
Double Pomeron Exchange



CDF measurement of R_{ND}^{SD} and R_{SD}^{DPE}

$$R_{ND}^{SD}/R_{SD}^{DPE} = 0.19 \pm 0.07$$

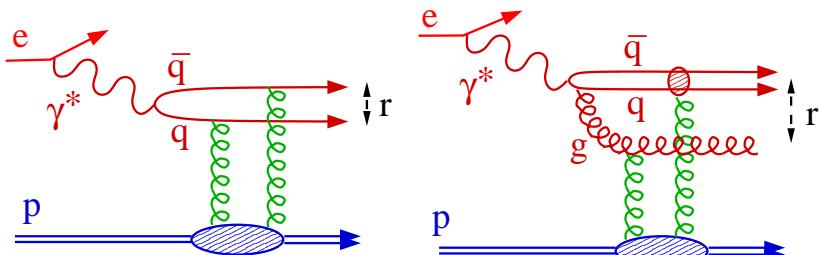
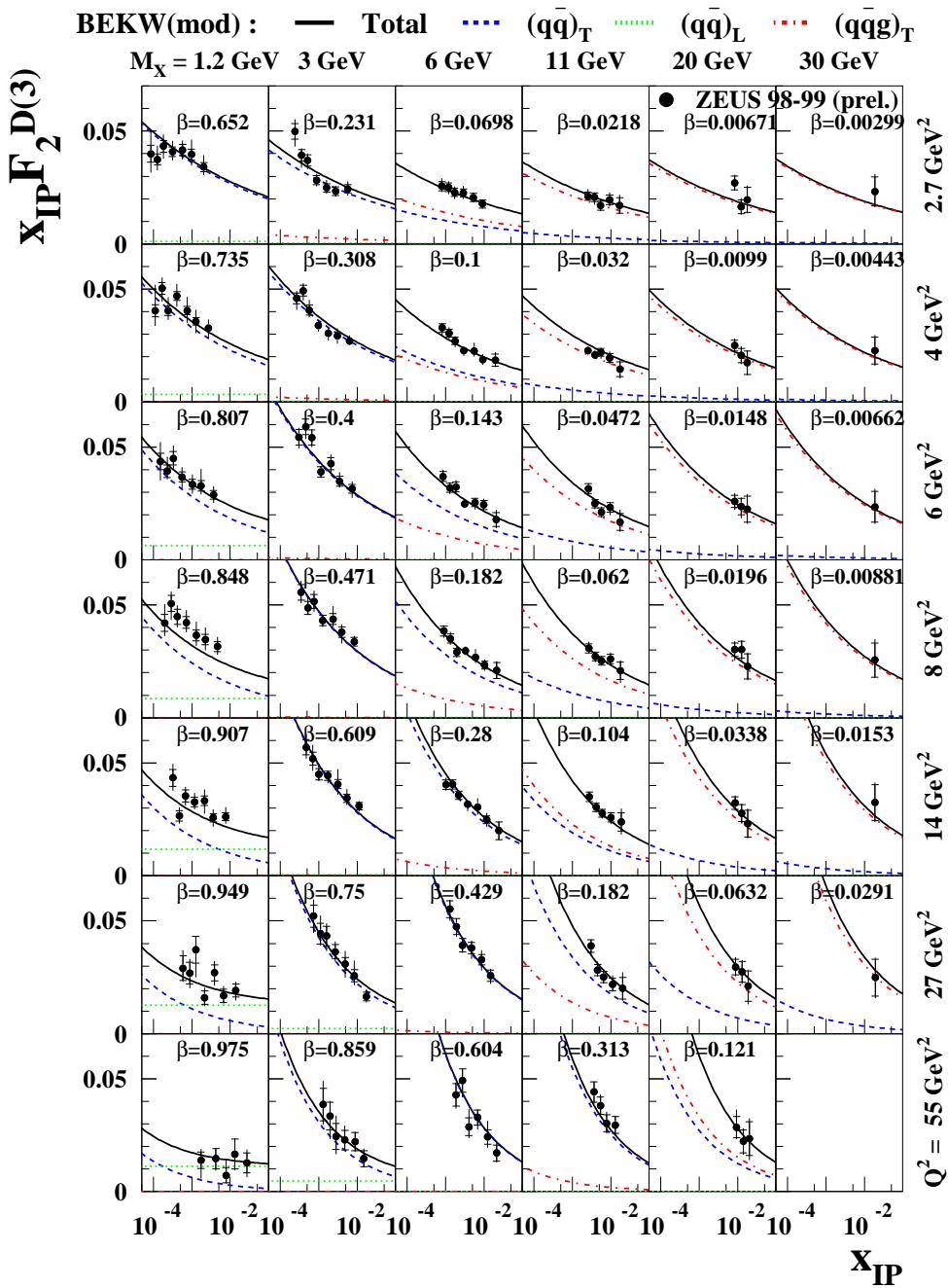
Second gap formation unsuppressed



DPE compatible with expectation

from H1 PDFs

Colour Dipole approach



- Dominated by $(q\bar{q}g)_L$ for $\beta < 0.1$
 - Dominated by $(q\bar{q})_T$ and $(q\bar{q})_L$ for $\beta > 0.1$
 - $\beta \rightarrow 1 \rightarrow$ exclusive final state