

# BARYONS07

Seoul, South Korea

11. – 15. June 2007



## Exotic Baryons production in *ep* collisions at HERA



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# Overview

- Introduction: Pentaquarks (PQ)
- Experimental search at HERA for the:
  - $\Theta^+$
  - $\Xi^{--/0}$
  - $\Theta_c^0$
- Conclusion

# Pentaquarks: first observation

- ◆ In 2003 observation of a narrow resonance with flavour exotic quantum numbers ( $B = +1$ ,  $S = +1$ ) by the LEPS Collaboration:
- ◆ Reaction:  $\gamma n \rightarrow K^- K^+ n$
- ◆ minimal quark content:  $udud\bar{s} \rightarrow \Theta^+(1540)$
- ◆ Successively confirmed by 10 experiments in various reactions:

Experiment	Reaction	Energy (GeV)	Mass (MeV/c <sup>2</sup> )	significance
LEPS	$\gamma^{12}C \rightarrow K^- X$	$E_\gamma \approx 2$	$1540 \pm 10$	$4.6\sigma$
DIANA	$K^+ X e \rightarrow p K_s^0 X$	$E_{K^+} < 0.5$	$1539 \pm 2$	$4\sigma$
CLAS(d)	$\gamma d \rightarrow p K^- K^+ n$	$E_\gamma < 3.8$	$1542 \pm 5$	$5.2$
SAPHIR	$\gamma p \rightarrow K_s^0 K^+ n$	$E_\gamma < 2.65$	$1540 \pm 4 \pm 2$	$4.4\sigma$
CLAS(p)	$\gamma p \rightarrow \pi^+ K^- K^+ n$	$E_\gamma = 4.8 - 5.5$	$1555 \pm 10$	$7.8\sigma$
$\nu$ BC	$\nu A \rightarrow p K_s^0 X$	range	$1533 \pm 5$	$6.7\sigma$
ZEUS	$ep \rightarrow ep K_s^0 X$	$\sqrt{s} = 320$	$1522 \pm 1.5$	$4.6\sigma$
HERMES	$ed \rightarrow p K_s^0 X$	$E_e = 27.6$	$1528 \pm 2.6 \pm 2.1$	$5.2\sigma$
COSY	$pp \rightarrow \Sigma^+ p K_s^0$	$P_p = 3$	$1530 \pm 5$	$3.7\sigma$
SVD	$pA \rightarrow p K_s^0 X$	$E_p = 70$	$1526 \pm 3 \pm 3$	$5.6\sigma$
NA49	$pp \rightarrow \Xi^- \pi^- X$	$E_p = 158$	$1862 \pm 2$	$4\sigma$
H1	$ep \rightarrow D^{*-} p D^{*+} \bar{p} X$	$\sqrt{s} = 320$	$3099 \pm 3 \pm 5$	$5.4\sigma$

Adapted from V.D.Burkert, hep-ph/0510309

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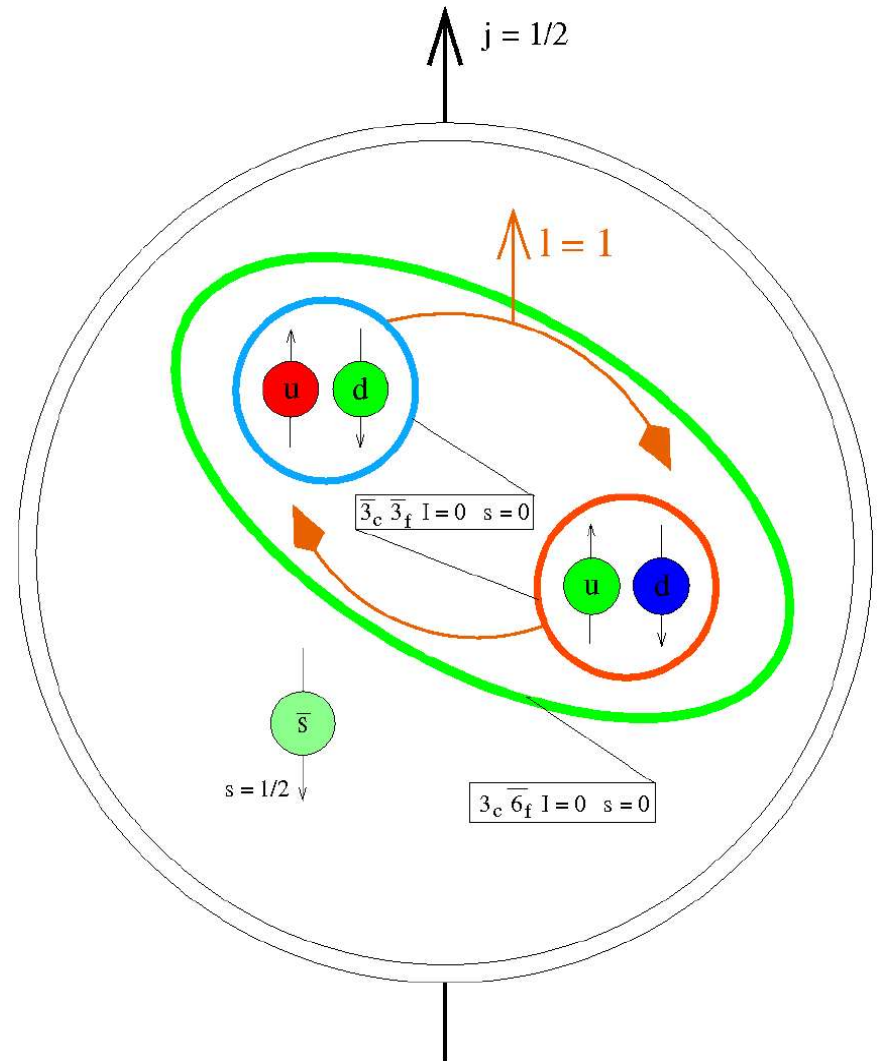
but also several negative results

Group	Reaction
BES	$e^+ e^- \rightarrow J/\Psi \rightarrow \Theta \bar{\Theta}$
BaBar	$e^+ e^- \rightarrow \Upsilon(4S) \rightarrow p K^0 X$
Belle	$e^+ e^- \rightarrow B^0 \bar{B}^0 \rightarrow p \bar{p} K^0 X$
LEP	$e^+ e^- \rightarrow Z \rightarrow p K^0 X$
HERA-B	$pA \rightarrow K^0 p X$
SPHINX	$pC \rightarrow K^0 \Theta^+ X$
HyperCP	$pCu \rightarrow K^0 p X$
CDF	$p\bar{p} \rightarrow K^0 p X$
FOCUS	$\gamma BeO \rightarrow K^0 p X$
Belle	$\pi + Si \rightarrow K^0 p X$
PHENIX	$Au + Au \rightarrow K^- \bar{n} X$

K. Hicks, hep-ph/0504027

# Pentaquarks: models

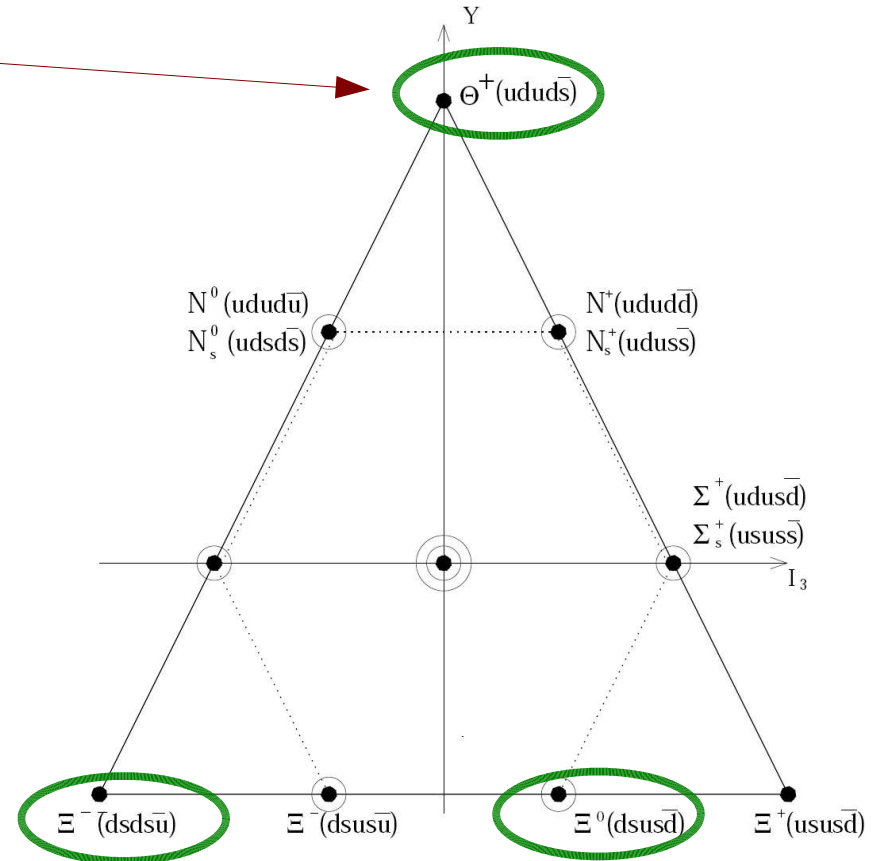
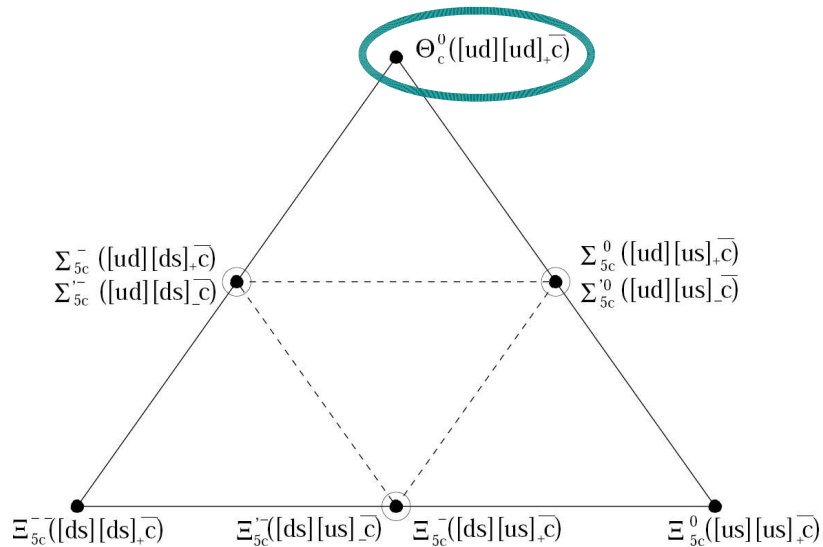
- ♦ Hypothetical 5 quark state:  $4q \bar{q}$
- ♦ Various theoretical models:
  - ♦ Jaffe Wilczek diquark model:  
 $PQ = \bar{q}(qq)(qq)$
  - ♦ Karliner Lipkin triquark model:  
 $PQ = (qq)(qq\bar{q})$
  - ♦ Both models predicts  
 $8_f \oplus \overline{10}_f$  for the light PQ
  - ♦ and for the heavy PQ:  $\overline{6}_f \oplus 3_f$
- ♦ Chiral soliton model (Diakonov *et al.*)
- ♦ Lattice QCD, ...



The  $\Theta^+(1540)$  in  
the JW model

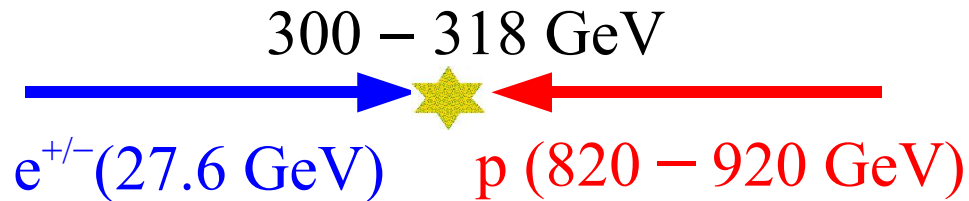
# Representation of the PQ's

- ◆ If the  $\Theta^+(1540)$  really exists  $\rightarrow$  expect several other states



- ◆ This talk:
  - $\Theta^+$  and  $\Xi^{--/0}$  at HERA
  - $\Theta_c^0$  at HERA

# HERA: ZEUS and H1

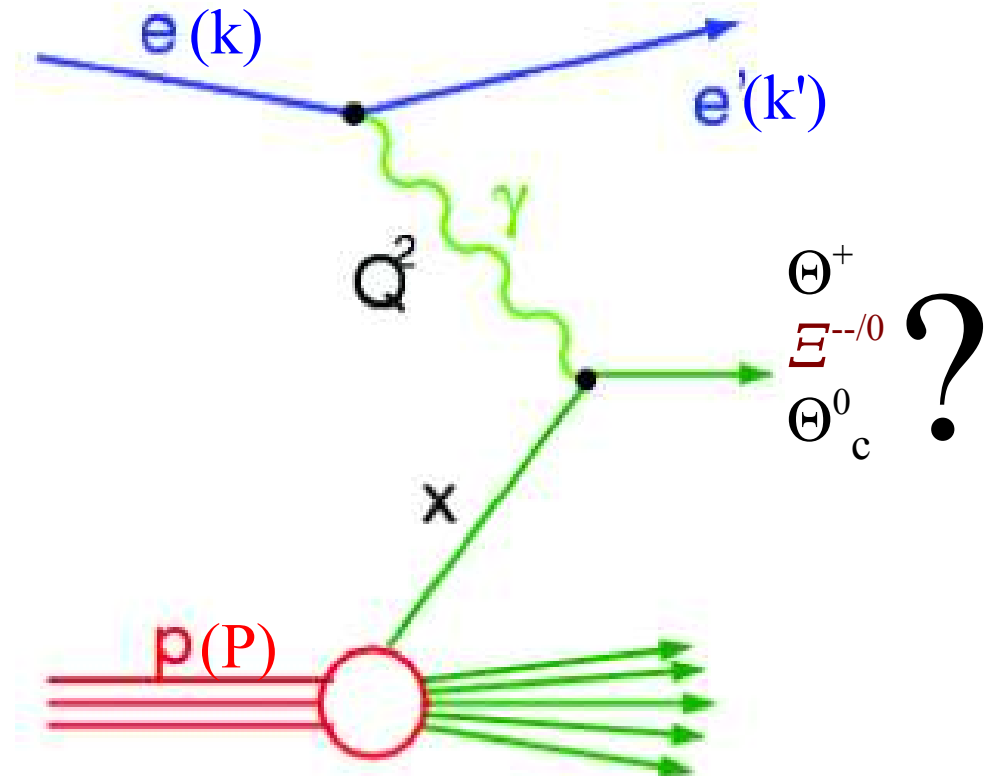


All studies presented are done in deep inelastic scattering (DIS):

- $Q^2 = -q^2 = -(k - k')^2$   
 $= 4EE' \cos(\theta/2) > 1 \text{ GeV}^2$
- $y = P \cdot q / P \cdot k$   
 $= 1 - E' / (2E) * (1 - \cos(\theta/2))$

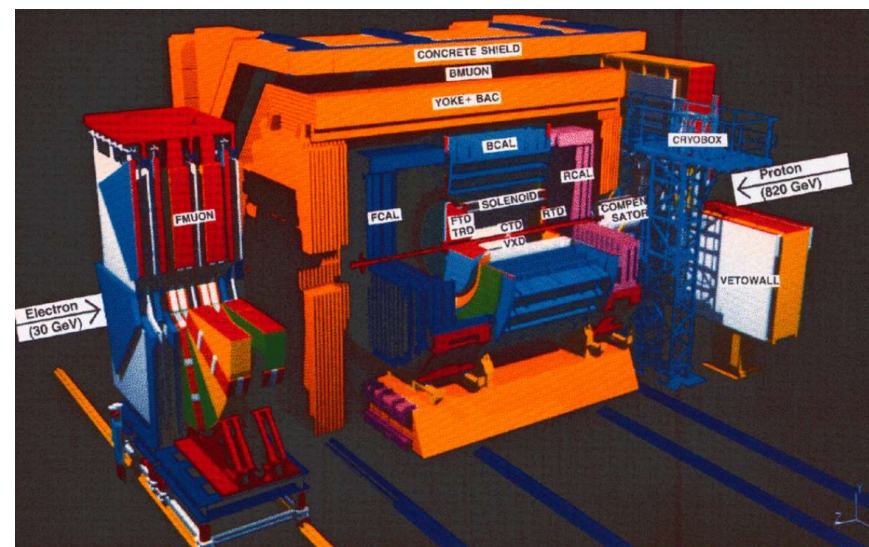
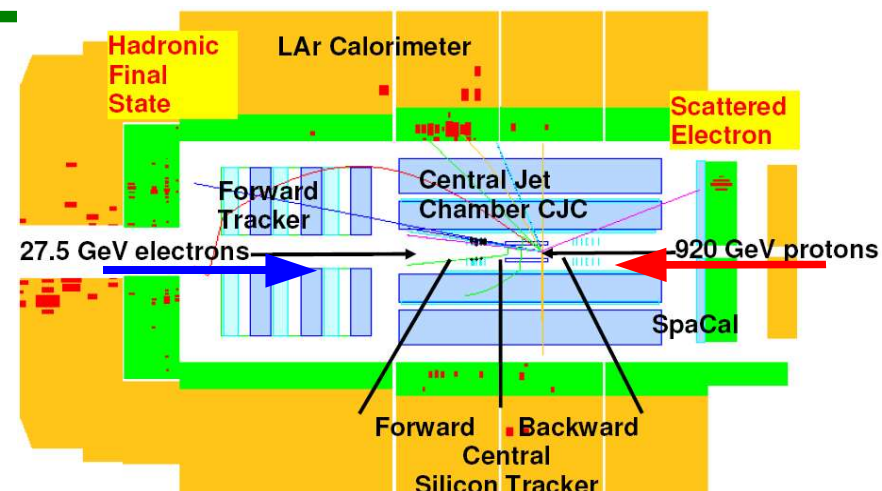
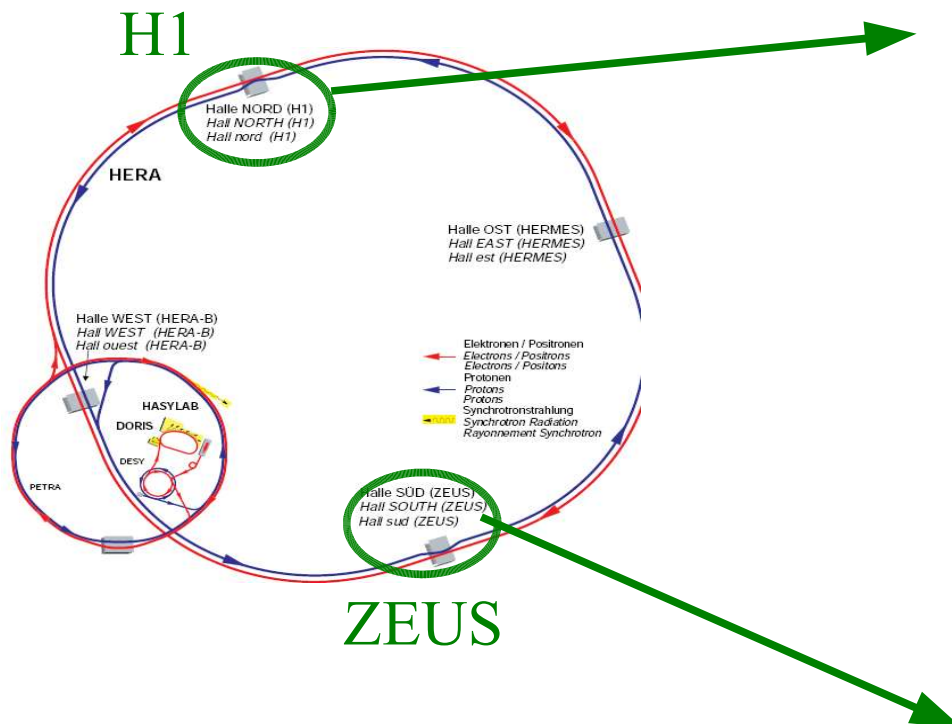
→ Reconstructed from Energy  $E'$  and angle  $\theta$  of the scattered electron

Main process at HERA





# HERA: ZEUS and H1

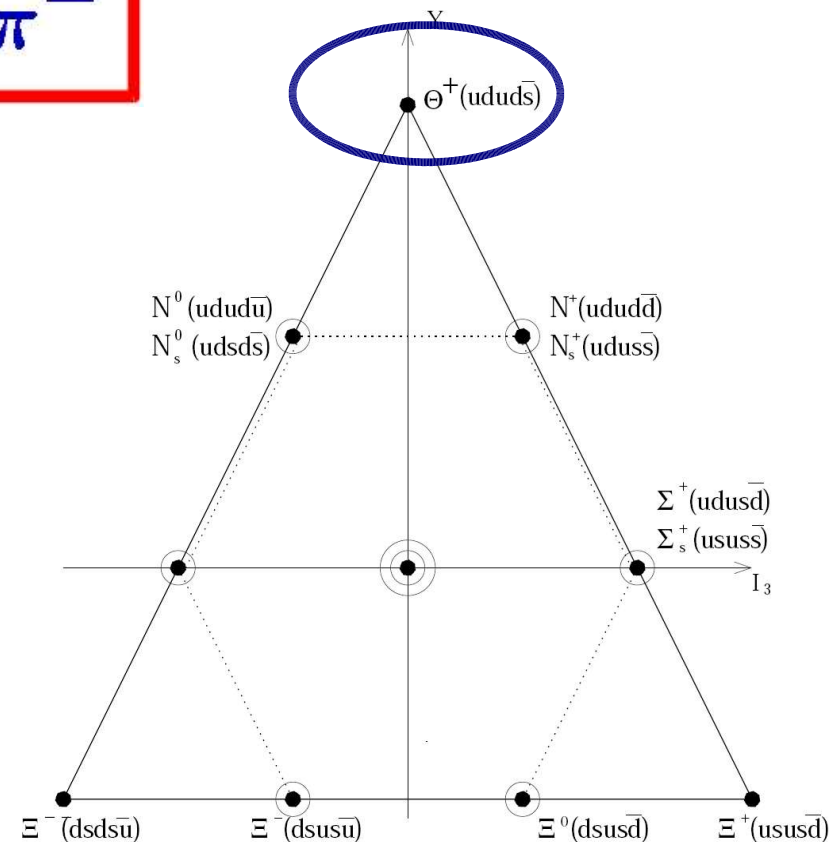


HERA I Data: 1996 – 2000  
Lumi:  $O(100 \text{ pb}^{-1})$



# Experimental search for the $\Theta^+$

$$\Theta^+(1530) \rightarrow p K_S^0 \rightarrow p \pi^+ \pi^-$$



# $\Theta^+ \rightarrow K_s^0 p$ : $K_s^0$ and $p$ selection

$L = 121 \text{ pb}^{-1}$

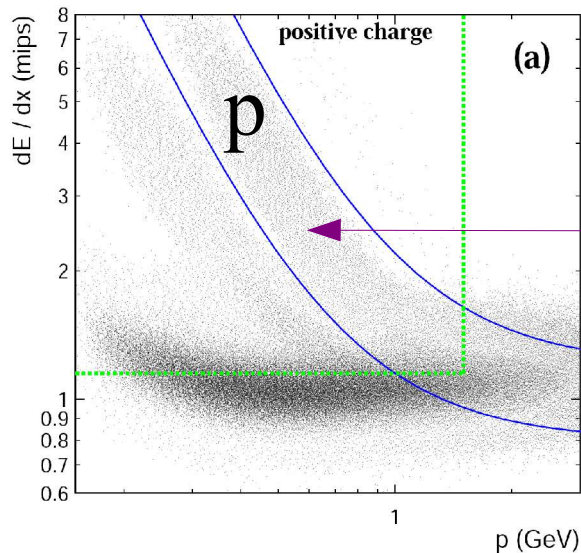
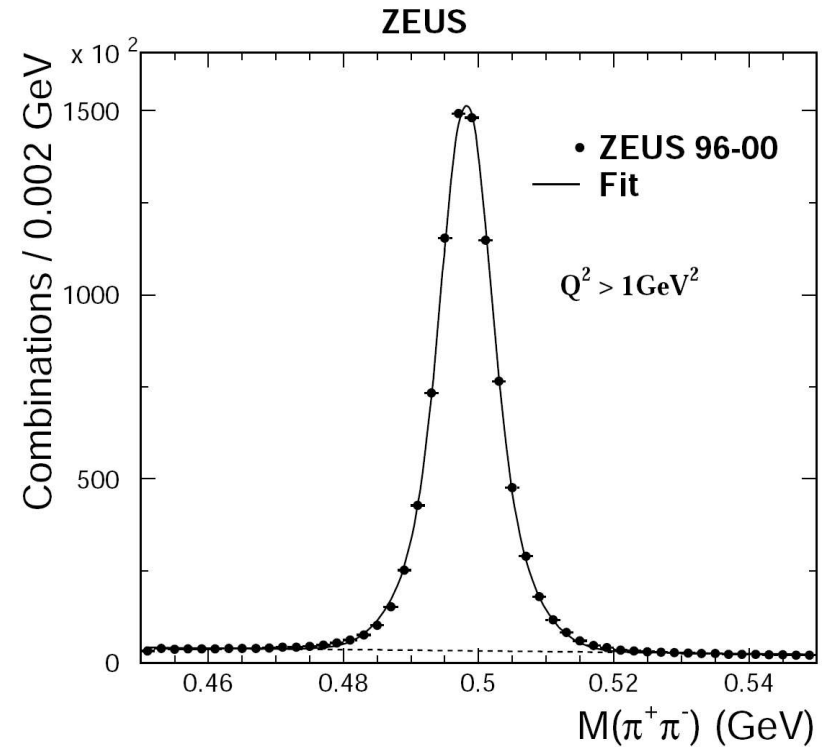


## Event selection

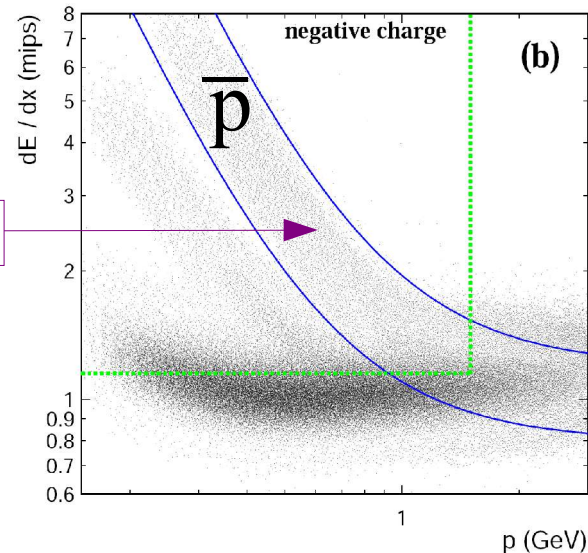
$$Q_e^2 > 1 \text{ GeV}^2$$
$$y_e < 0.95$$

## $K_s^0$ selection

$$p_T(K_s^0) > 0.3 \text{ GeV}, |\eta(K_s^0)| < 1.5$$
$$N \approx 887\text{k}, \text{BG: } \sim 6\%$$

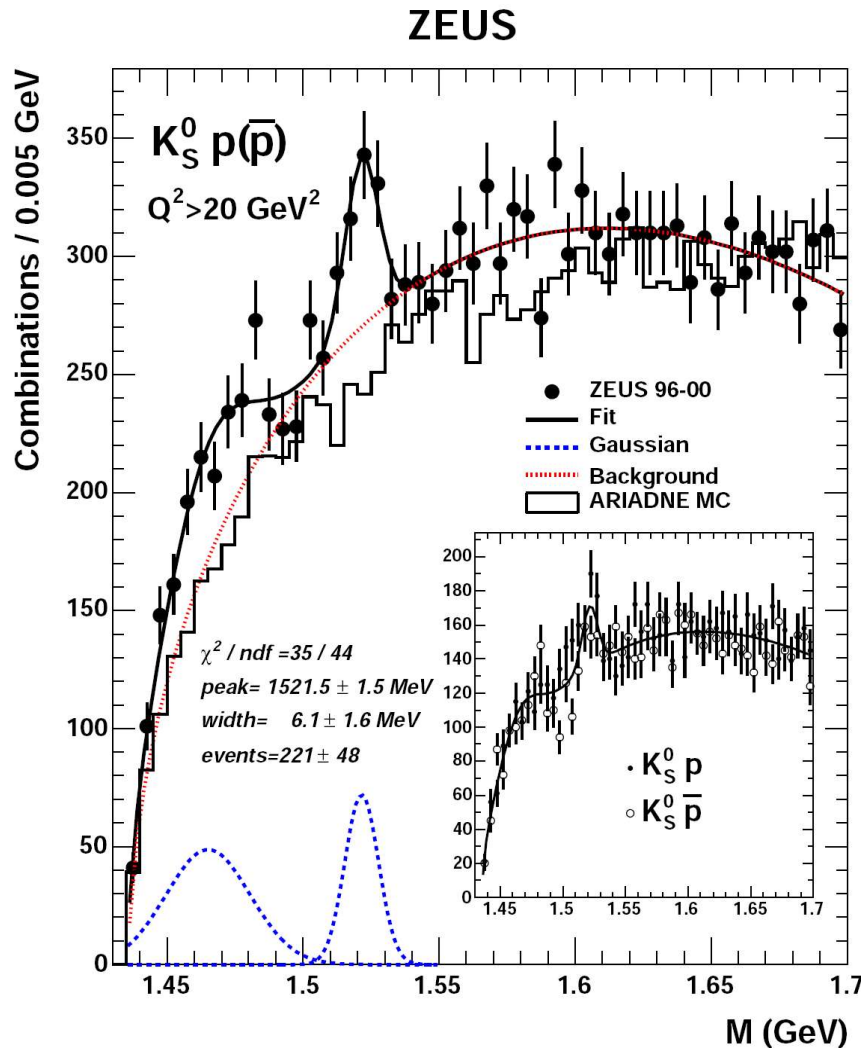


selected



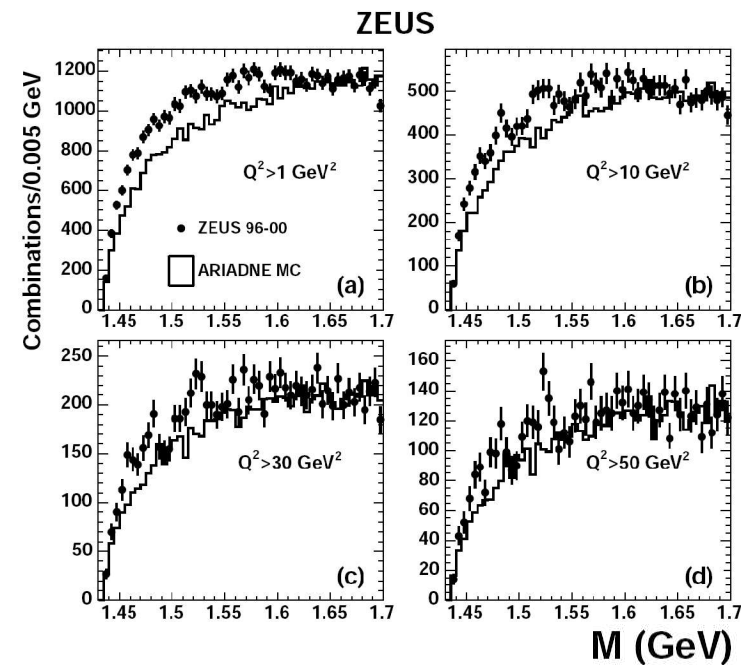
# Search for the $\Theta^+$ at ZEUS

ZEUS Collab., PLB 591 (2004)



$$f_{\text{fit}} = P_1(M-M_{\text{th}})^{P_2} \cdot [1 + P_3(M-M_{\text{th}})]$$

$$M_{\text{th}} = m_p + m_\pi$$



→ Signal only visible at larger  $Q^2$

Signal for  $Q^2 > 20 \text{ GeV}^2$   
 $S = 221 \pm 48$   
 $M = (1521.5 \pm 1.5) \text{ MeV}$   
 $\sigma = (6.1 \pm 1.6) \text{ MeV}$   
 Significance:  $3.9 - 4.6 \sigma$

X-section (ZEUS prelim.)

$$\sigma(ep \rightarrow e\Theta^+X \rightarrow e(K_S^0 p)X) = 125 \pm 27^{+36}_{-28} \text{ pb}$$

# $\Theta^+ \rightarrow K_s^0 p$ : $K_s^0$ and $p$ selection

## Event selection

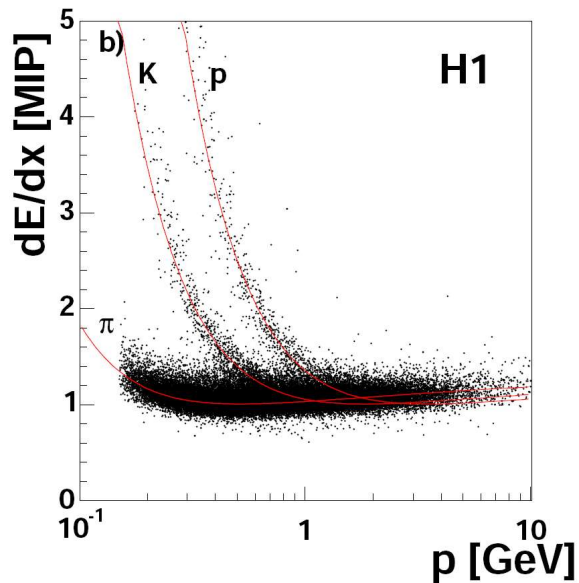
$$5 < Q_e^2 < 100 \text{ GeV}^2$$

$$0.1 < y_e < 0.6$$

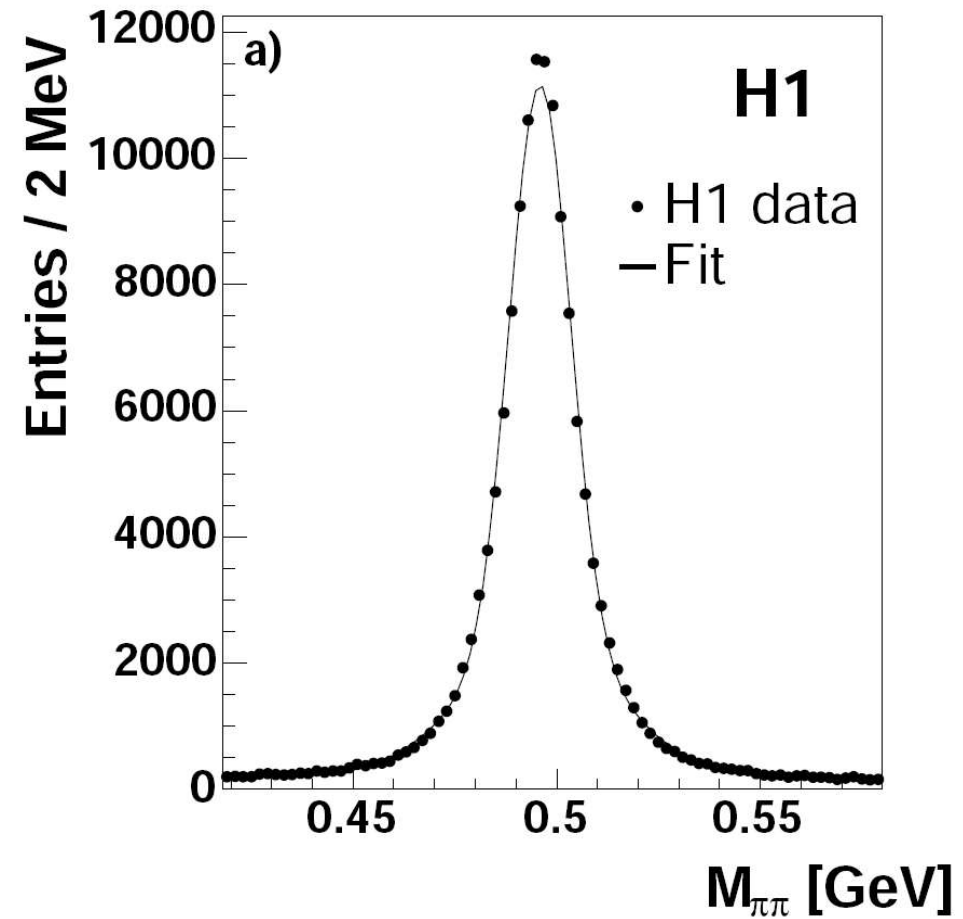
## $K_s^0$ selection (like ZEUS selection)

$$p_T(K_s^0) > 0.3 \text{ GeV}, |\eta(K_s^0)| < 1.5$$

$$N \approx 133\text{k}, \text{BG: } \sim 3\%$$

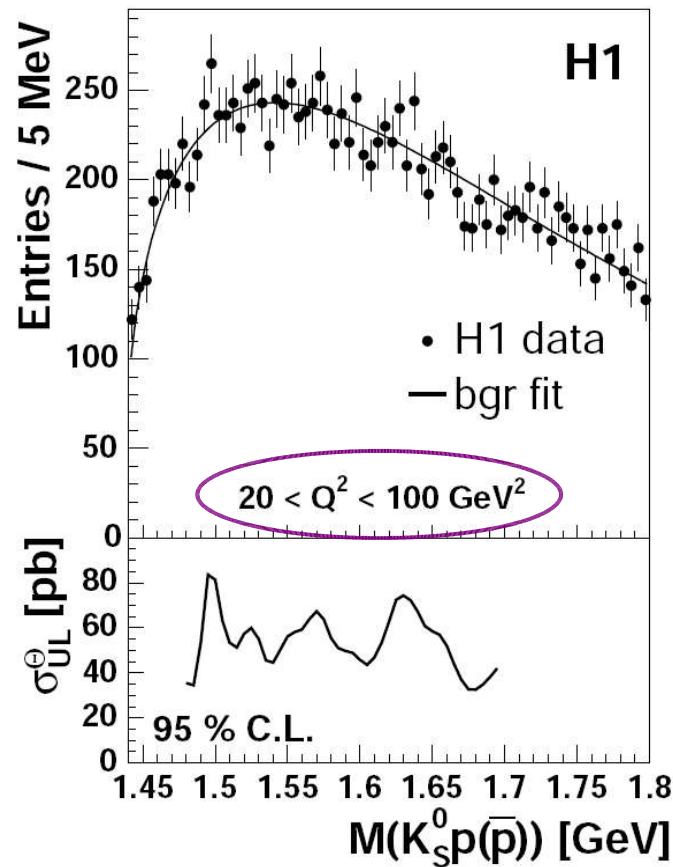
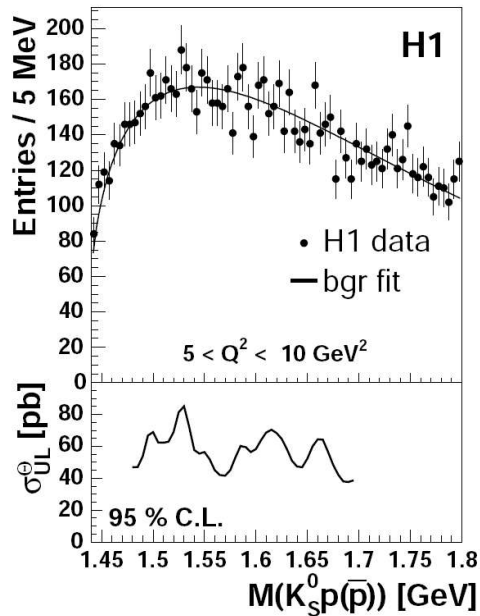


$$L = 74 \text{ pb}^{-1}$$



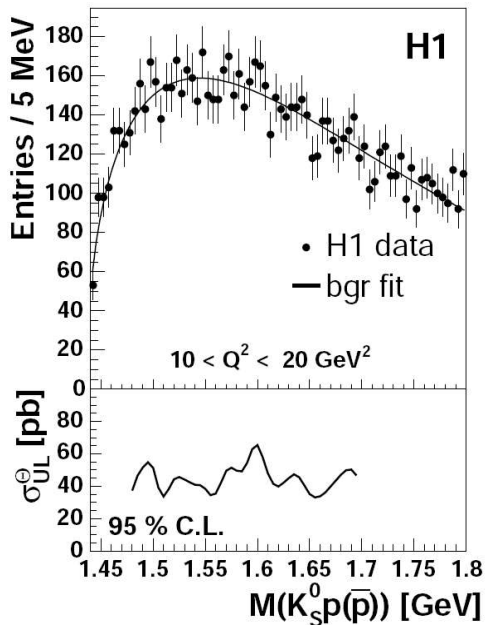
# Search for the $\Theta^+$ at H1

H1 Collab., PL B 639 (2006)



**No significant signal in any  $Q^2$  bin**  
→ extract upper limit at 95 % C.L. on the X-section  
 $\sigma(ep \rightarrow e\Theta^+ X \rightarrow e(K_S^0 p)X)$ ,  
using modified Frequentist approach, based on likelihood ratios

**H1 can not confirm the ZEUS result**



Comparison with **ZEUS**

$$\sigma_{ZEUS} = 125 \pm 27^{+36}_{-28} \text{ pb}$$

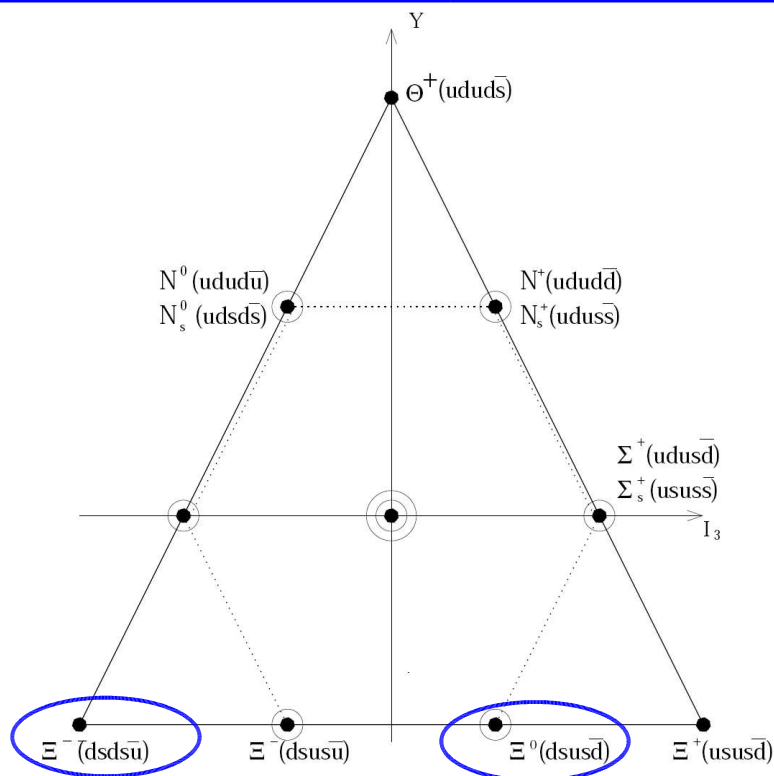
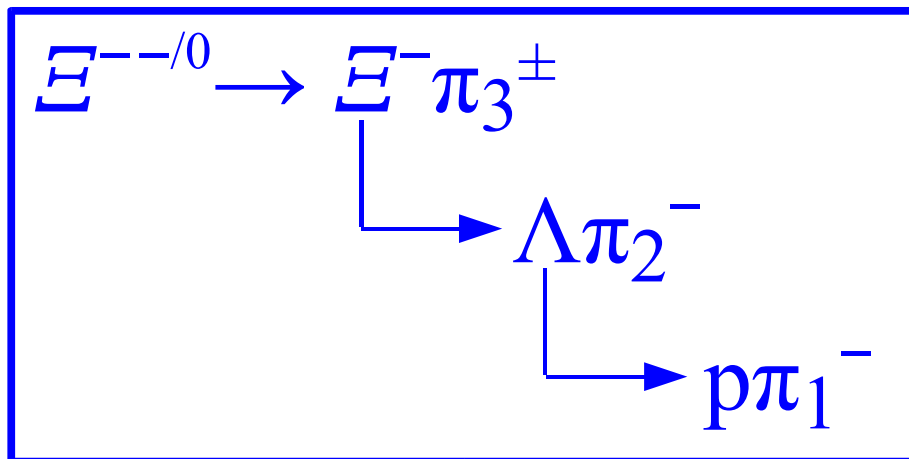
$$\sigma_{H1}(M=1.52) < 72 \text{ pb } 95\% \text{ C.L.}$$

extrapolate to  $y < 0.95$ :

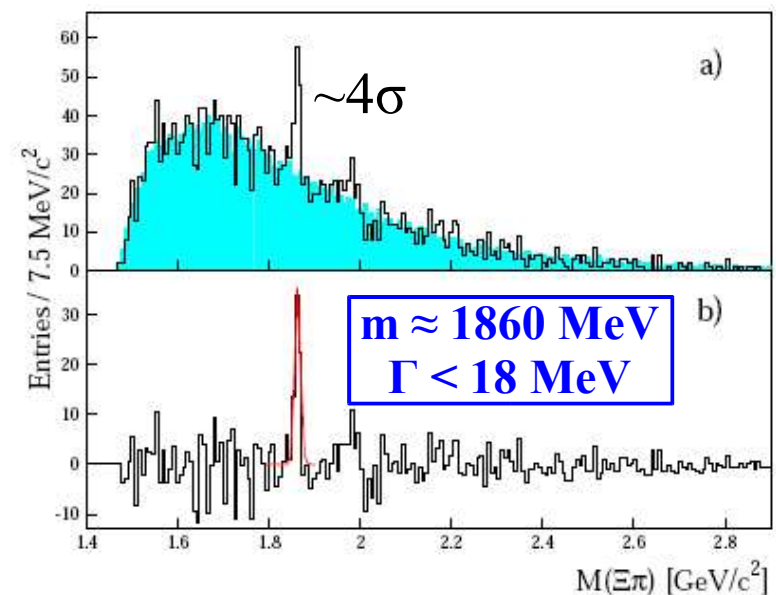
$$\sigma_{H1}(M=1.52) < 100 \text{ pb } @ 95\% \text{ C.L.}$$



# Experimental search for the $\Xi^{--/0}$



Reminder: The NA49 Signal  
NA49 Collab., PRL 92 (2004)



Not seen by any other experiment  
(WA89, ALEPH, BES, FOCUS, COMPASS, CDF,...)



# $\Xi^{--/0} \rightarrow \Xi^- \pi^\pm$ : Baryon selection

$L = 101 \text{ pb}^{-1}$

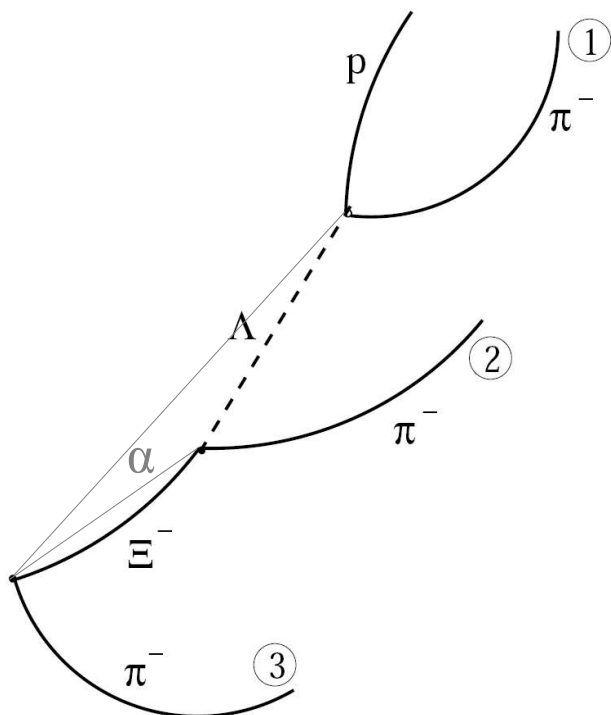
**DIS-selection:**

$$2 < Q^2 < 100 \text{ GeV}^2$$
$$0.05 < y < 0.7$$

**$\Lambda$  selection:**

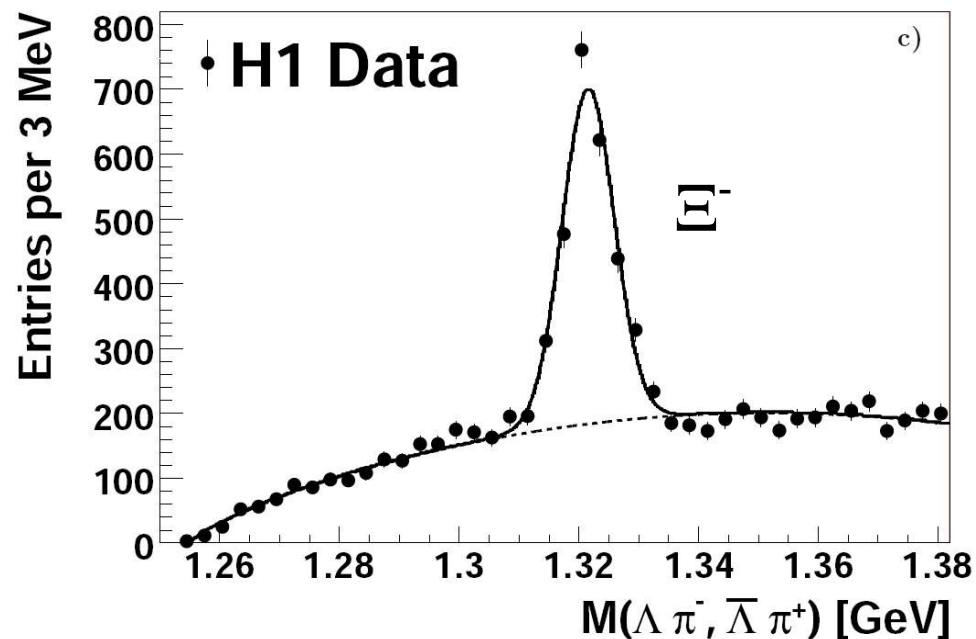
$$p_T > 0.3 \text{ GeV}$$

$$\text{Decay length} > 0.75 \text{ cm}$$



**$\Xi^-$  selection:**

$$|Dca'(\Xi^-)| < 2.5 \text{ mm}$$
$$\alpha < 0.6 \text{ rad}$$

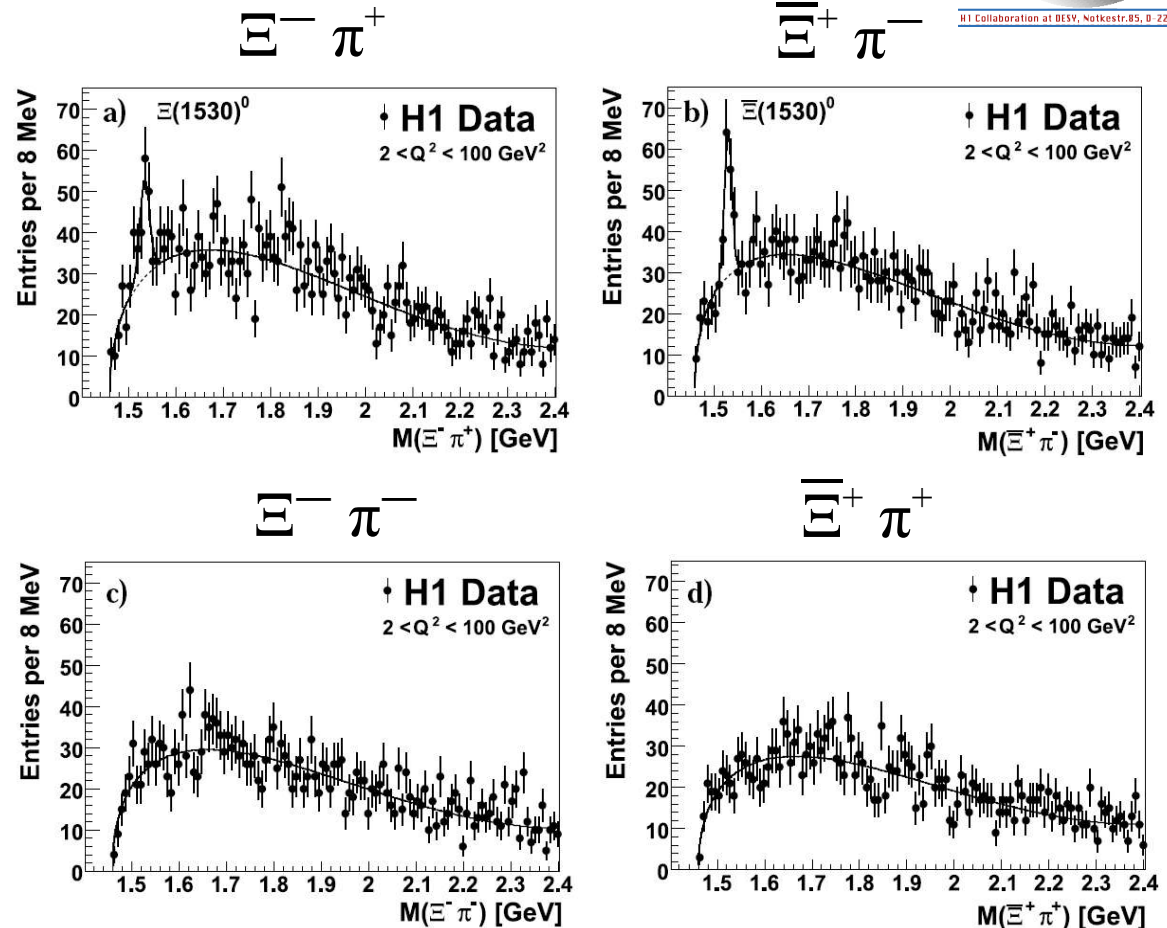


# Search for the $\Xi^{--/0}$ at H1

Apart from  $\Xi(1530)^0$  signal, **no other significant signal** observed



Extract upper limit on  $R(M)$  at 95% C.L., using modified Frequentist approach



$$R(M) = \frac{N^{res}(M, q)}{N(1530, 0)} \times \frac{\epsilon(1530, 0)}{\epsilon(M, q)}$$

**$\Xi(1530)^0$**

$$N = 163 \pm 24$$

$$M = (1532 \pm 2) \text{ MeV}$$

$$\sigma = (9.4 \pm 1.5) \text{ MeV}$$

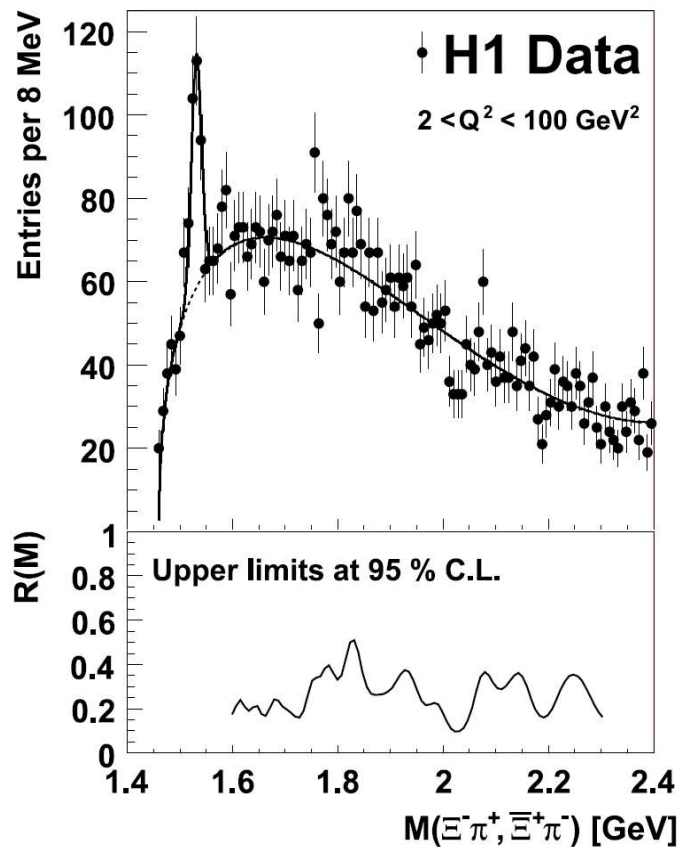
# Limit on the $\Xi^{--/0}$

hep-ex:0704.3594

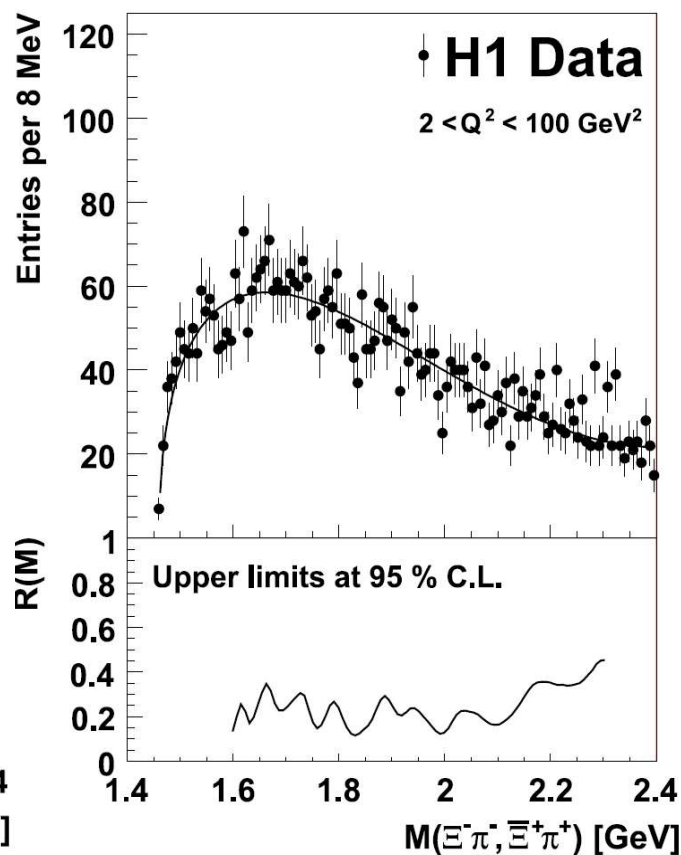
ZEUS Collab., PL B610 (2005)

neutral comb.

charged comb.



$$0.1 < R(M) < 0.5$$



$$0.12 < R(M) < 0.45$$

# Limit on the $\Xi^{--/0}$

hep-ex:0704.3594

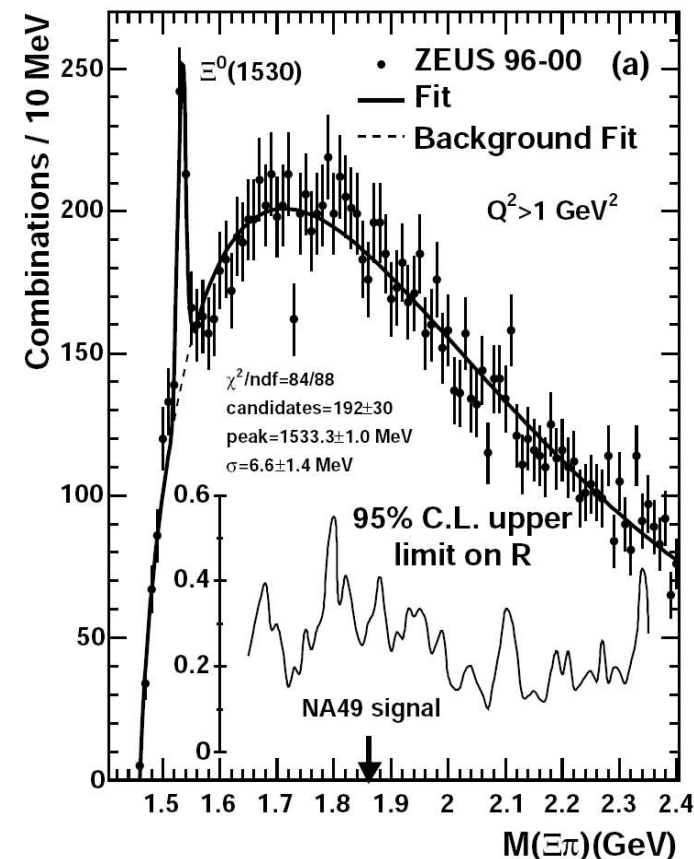
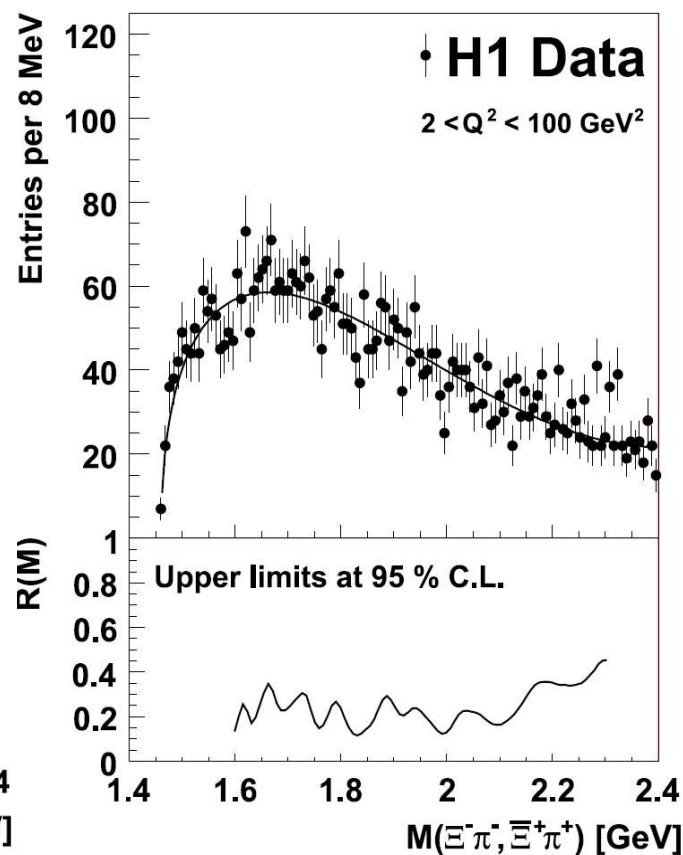
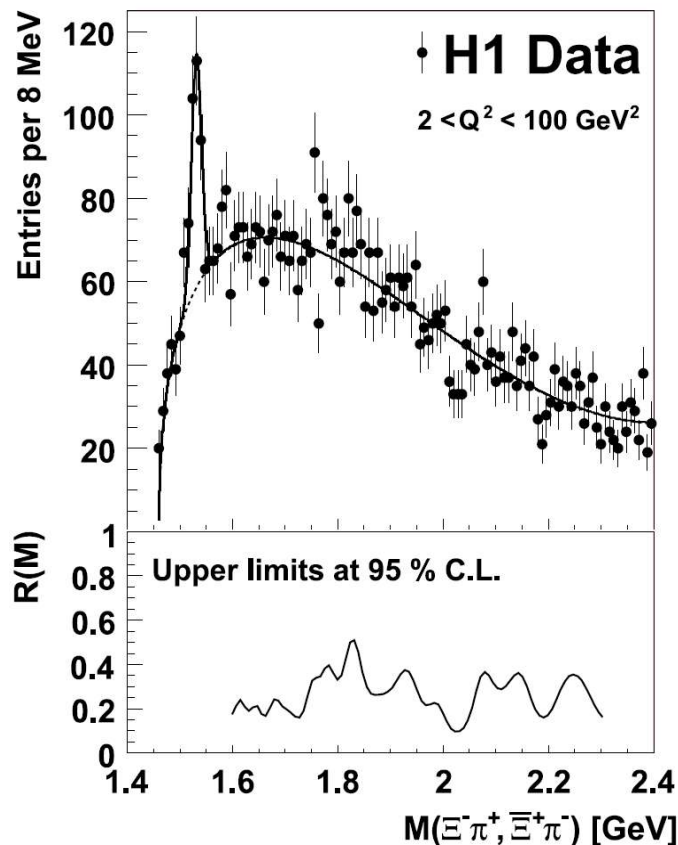
ZEUS Collab., PL B610 (2005)



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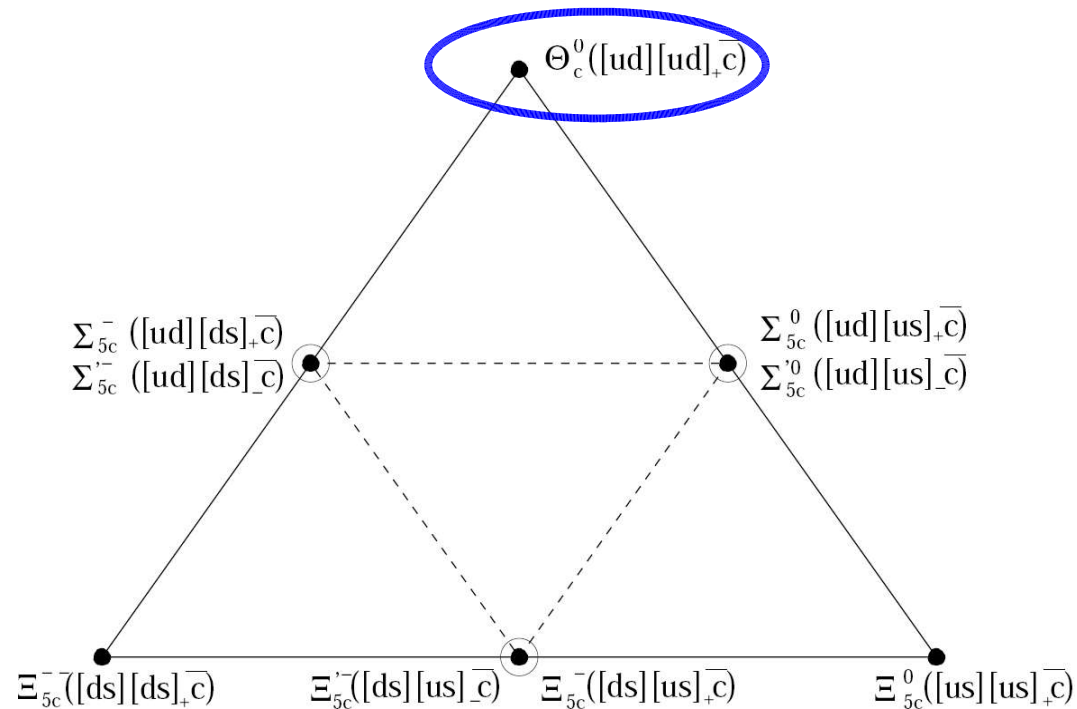
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# Experimental search for the $\Theta_c^0$

$$\Theta_c^0 \rightarrow D^{*-} p$$





# $\Theta_c^0 \rightarrow D^{*-} p: D^{*+}$ reconstruction

H1 Collab., PL B588 (2004)

ZEUS Collab., EPJ C38 (2004)



H1 Collaboration at DESY, Notkestr. 85, D-22607 Hamburg, Germany

$L = 126 \text{ pb}^{-1}$

$L = 75 \text{ pb}^{-1}$

## DIS-selection:

$$Q^2 > 1 \text{ GeV}^2$$

$$Q^2 < 100 \text{ GeV}^2 \text{ (H1 only)}$$

$$0.05 < y < 0.7 \text{ (H1)}$$

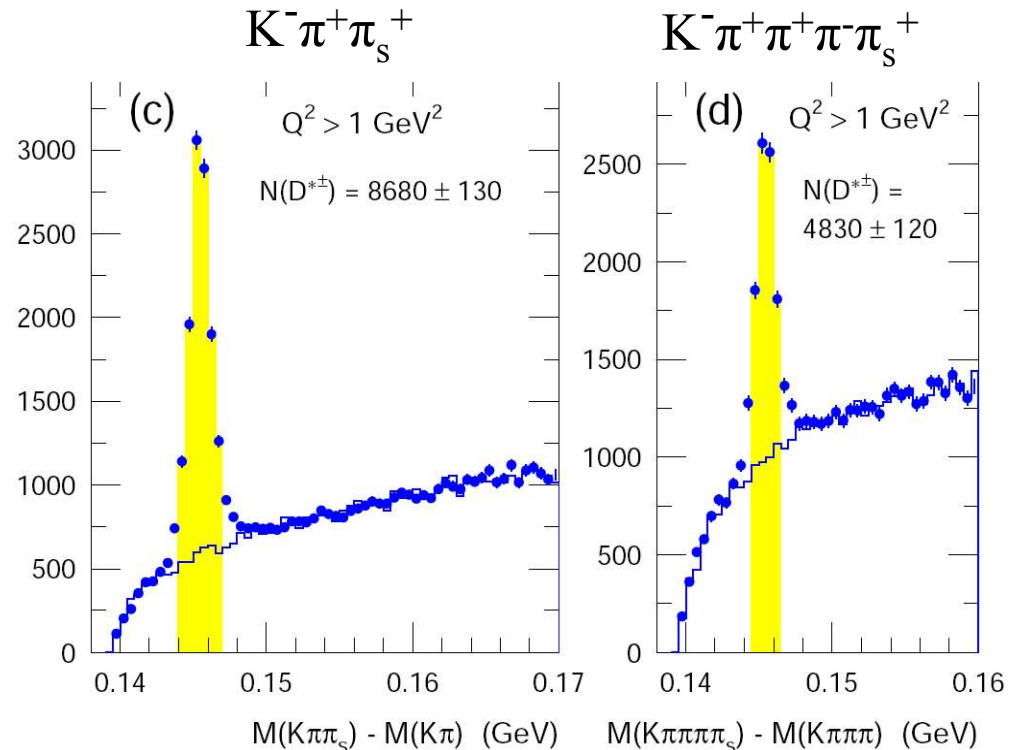
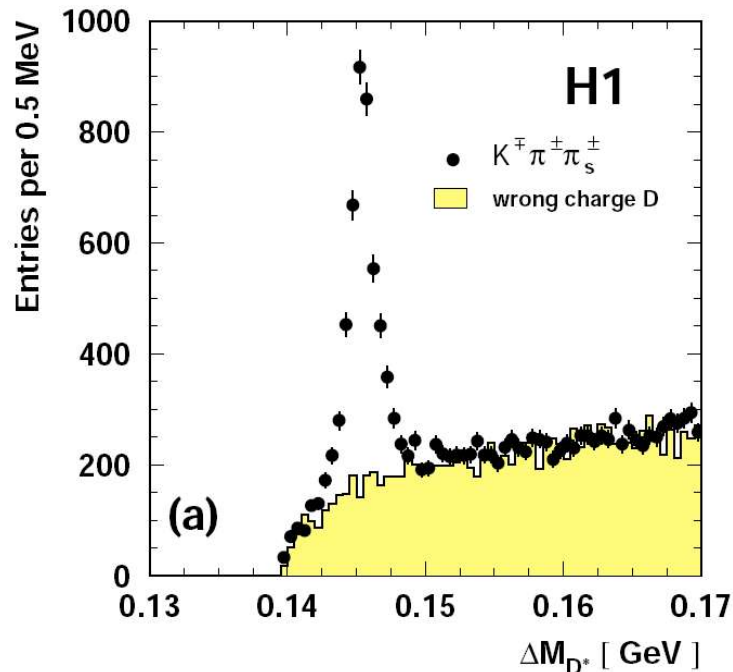
$$y < 0.95 \text{ (ZEUS)}$$

## Reconstruction of $D^{*+}$ mesons:

$$D^{*+} \rightarrow D^0 \pi_s^+$$

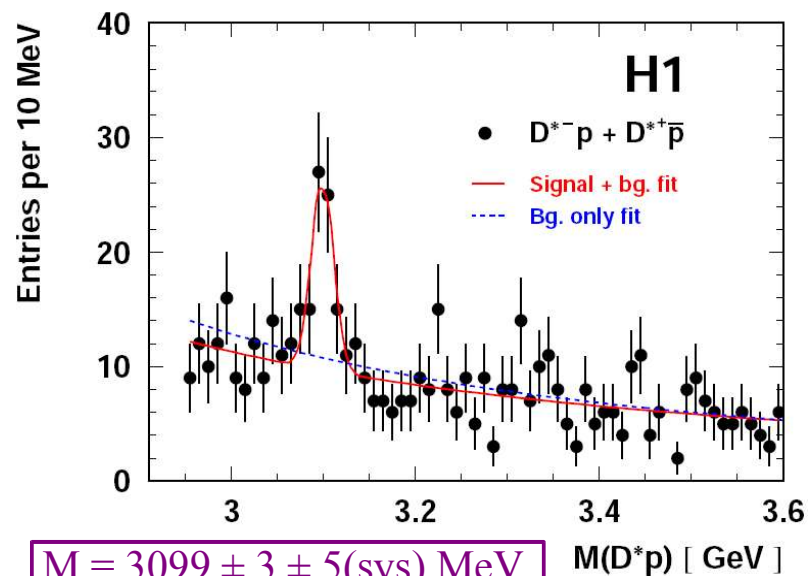
$$D^0 \rightarrow K^- \pi^+$$

$$D^0 \rightarrow K^- \pi^+ \pi^+ \pi^- \text{ (ZEUS only)}$$





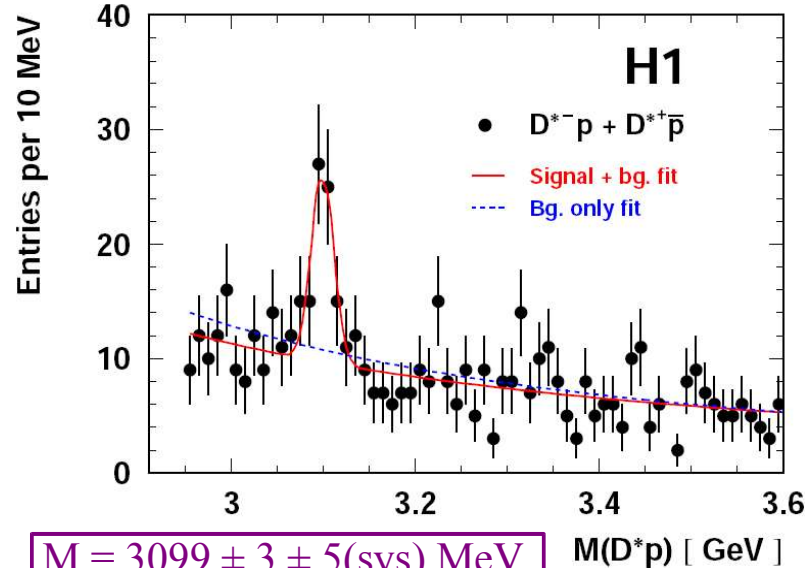
# Search for the $\Theta^0_c$



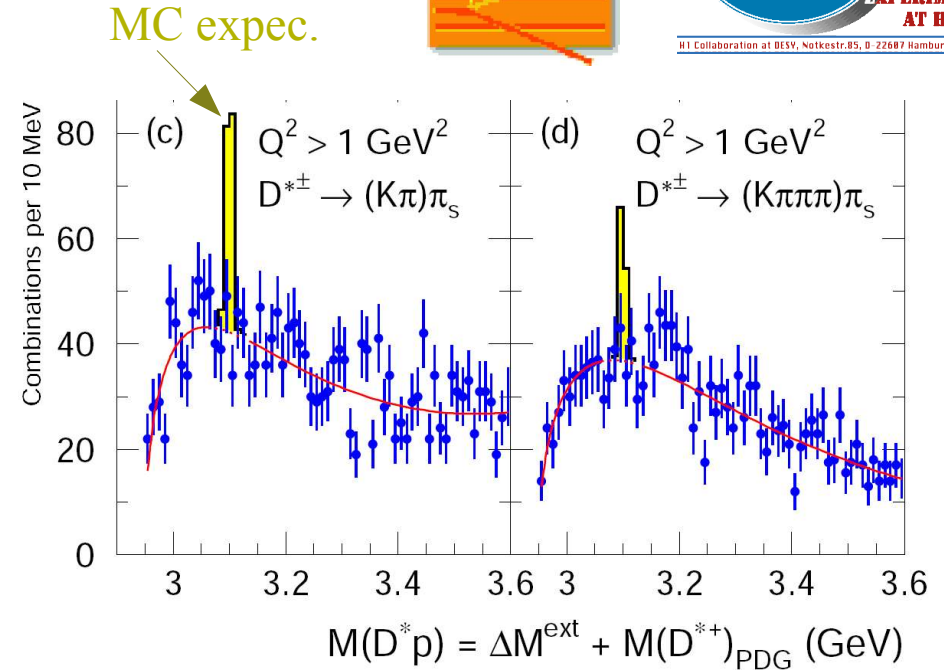
$M = 3099 \pm 3 \pm 5(\text{sys}) \text{ MeV}$   
 $\sigma = 12 \pm 3 \text{ MeV}$   
 $N = 51 \pm 11$   
Significance:  $5.4 - 6.2 \sigma$

Signal so far not confirmed by any other experiment!

# Search for the $\Theta^0_c$



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 $\sigma = 12 \pm 3 \text{ MeV}$   
 $N = 51 \pm 11$   
 Significance:  $5.4 - 6.2 \sigma$



Acceptance corrected yield ratio  $(D^*p) / D^*_{\text{inc}}$ :

Visible range:  $p_T > 1.5 \text{ GeV}$ ,  $-1.5 < \eta < 1.0$

**H1:**  $R_{\text{cor}}(D^*p(3100)/D^*) = (1.59 \pm 0.33^{+0.33}_{-0.45})\%$

**ZEUS:**  $R_{\text{cor}}(D^*p(3100)/D^*) < 0.51\% \text{ (@95\%C.L.)}$

Signal so far not confirmed by any other experiment!

# Conclusion

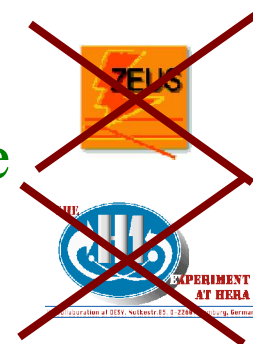
- $\Theta^+(1530)$ :

- ZEUS sees a signal for  $Q^2 > 20 \text{ GeV}$ :  
 $\sigma(ep \rightarrow e\Theta^+X \rightarrow e(K_s^0 p)X) = 125 \pm 27^{+36}_{-28} \text{ pb}$
- H1 does not see a signal, upper limit at 95% C.L compatible with ZEUS measurement



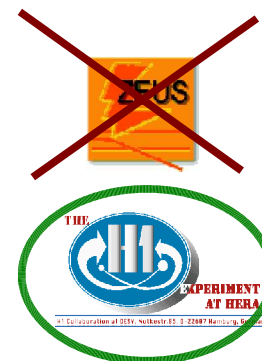
- $\Xi^{--/0}(1860)$ :

- Not seen, neither by ZEUS nor by H1
- Upper limit at 95% C.L. on production ratio with respect to the  $\Xi(1530)^0$  vary from 10 to 50%
- ZEUS and H1 are compatible



- $\Theta_c^0(3100)$ :

- H1 sees a signal in DIS and in  $\gamma p$
- ZEUS can not confirm, upper limit on acceptance corrected yield is not compatible with H1



Outlook: HERAII data should resolve the open questions

# **Additional material**

# $\Xi^{--/0} \rightarrow \Xi^- \pi^\pm$ : Baryon selection

$L = 121 \text{ pb}^{-1}$



## DIS-selection:

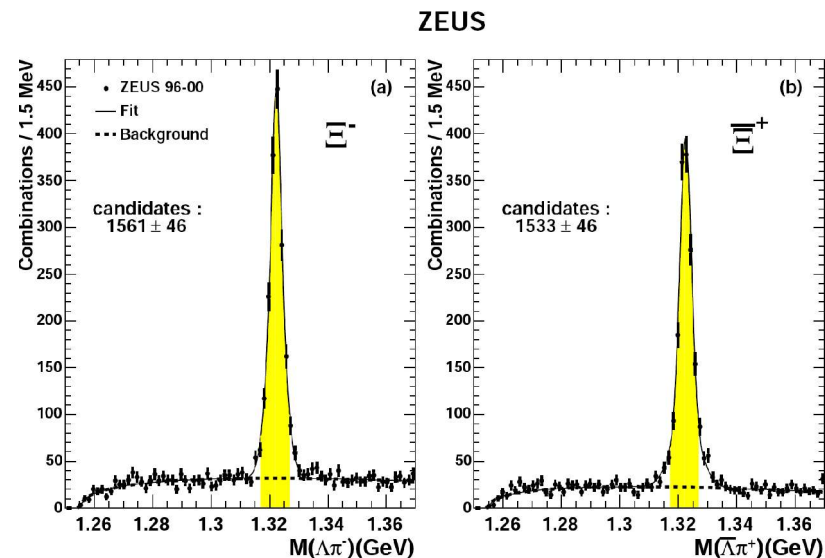
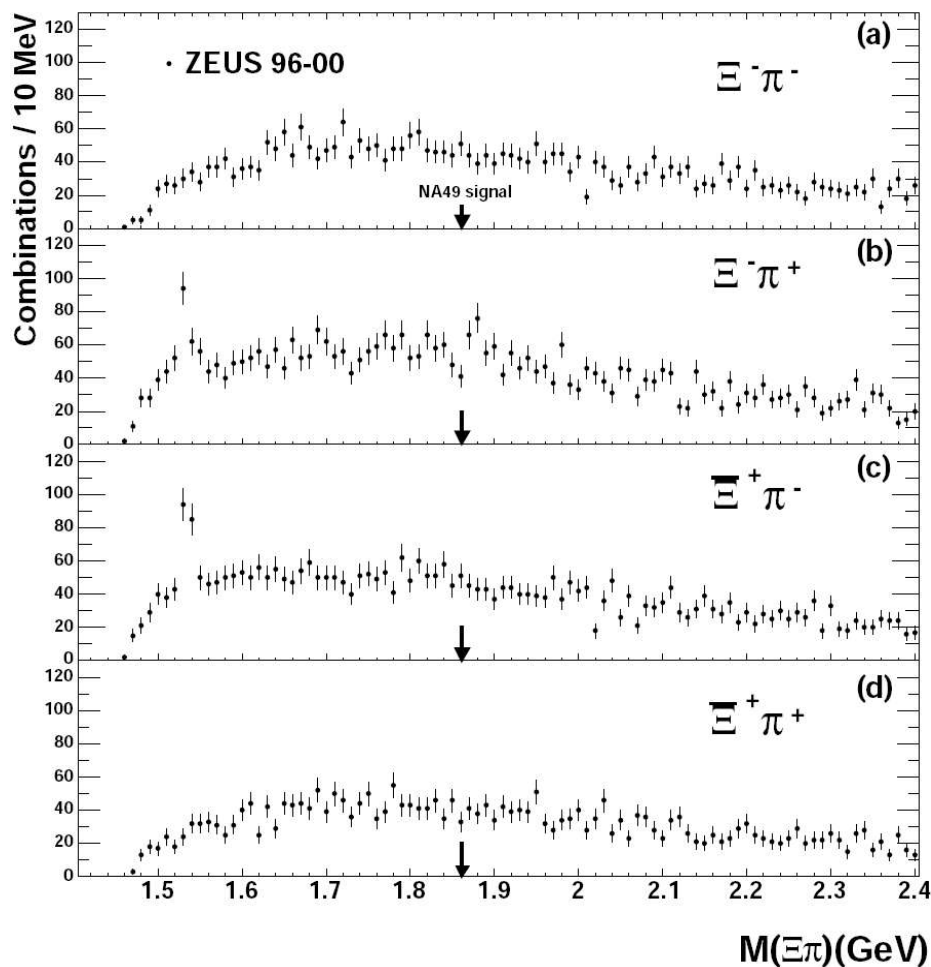
$Q^2 > 1 \text{ GeV}^2$   
 $E_e' > 5 \text{ GeV}$   
 $35 < E\text{-}p_z < 60 \text{ GeV}$   
 $|z\text{-vertex}| < 50 \text{ cm}$

## $\Lambda$ selection:

$dE / dx$  for proton candidate  
 fit to secondary vertex

## $\Xi^-$ selection:

$|m_{\text{inv}}(p, \pi) - m_\Lambda| < 5 \text{ MeV}$   
 $|Dca'(\Xi^-)| < 1 \text{ cm}$   
 Decay length ( $L$ )  $> 1.75 \text{ cm}$   
 $L(\Lambda) > L(\Xi)$   
 $p(\pi) < p(\Lambda)$



## $(\Xi\pi)$ -selection:

$|m_{\text{inv}}(\Lambda\pi) - m_\Xi| < 6 \text{ MeV}$   
 $p(\pi) < p(\Xi)$

# Search for the $\Xi^{--/0}$ at ZEUS

ZEUS Collab., PL B610 (2005)



Apart from  $\Xi(1530)^0$  signal, **no other significant signal** observed

→ extract upper limits on  $R(M)$

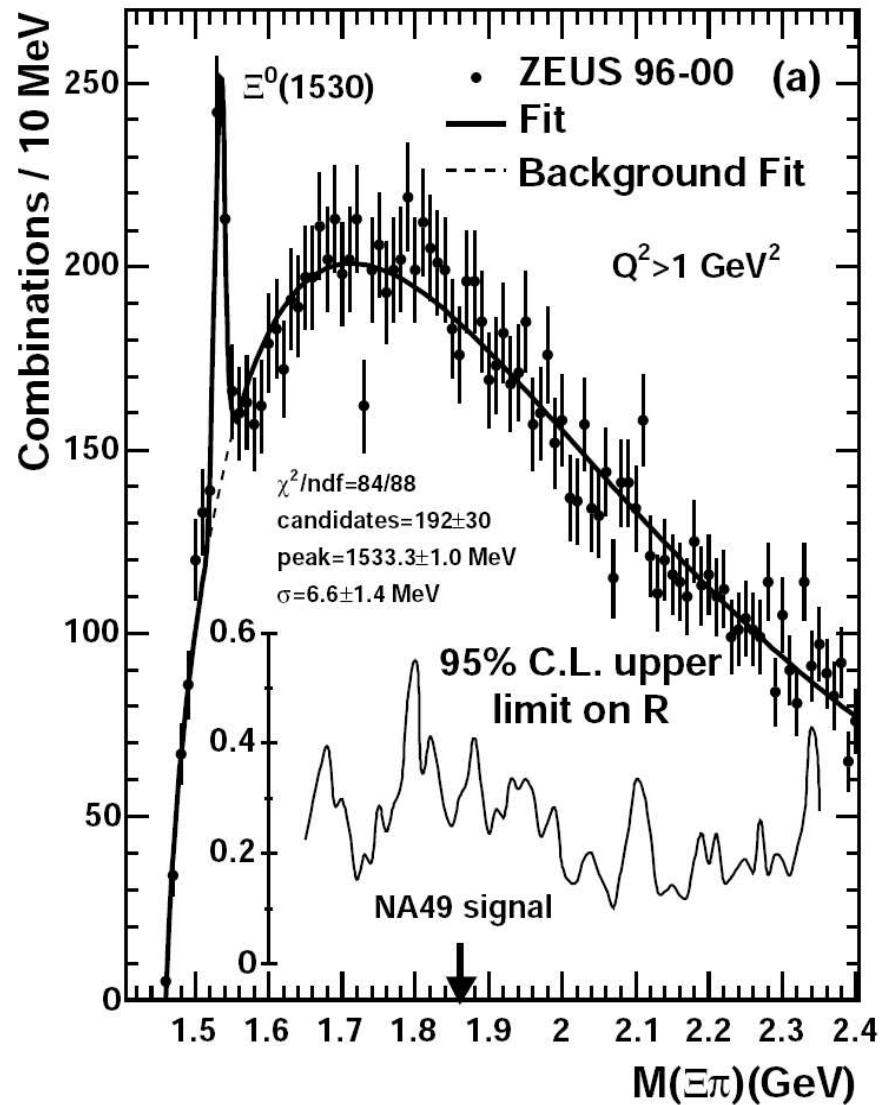
$\Xi(1530)^0$

$N = 192 \pm 30$

$M = (1533 \pm 1) \text{ MeV}$

$\sigma = (6.6 \pm 1.4) \text{ MeV}$

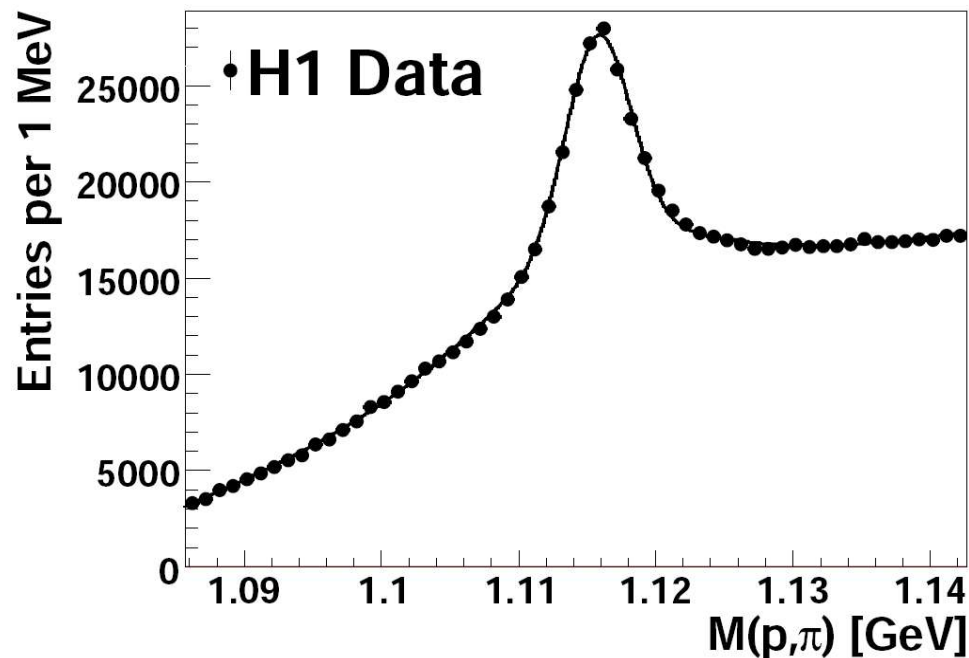
$0.1 < R(M) < 0.5$



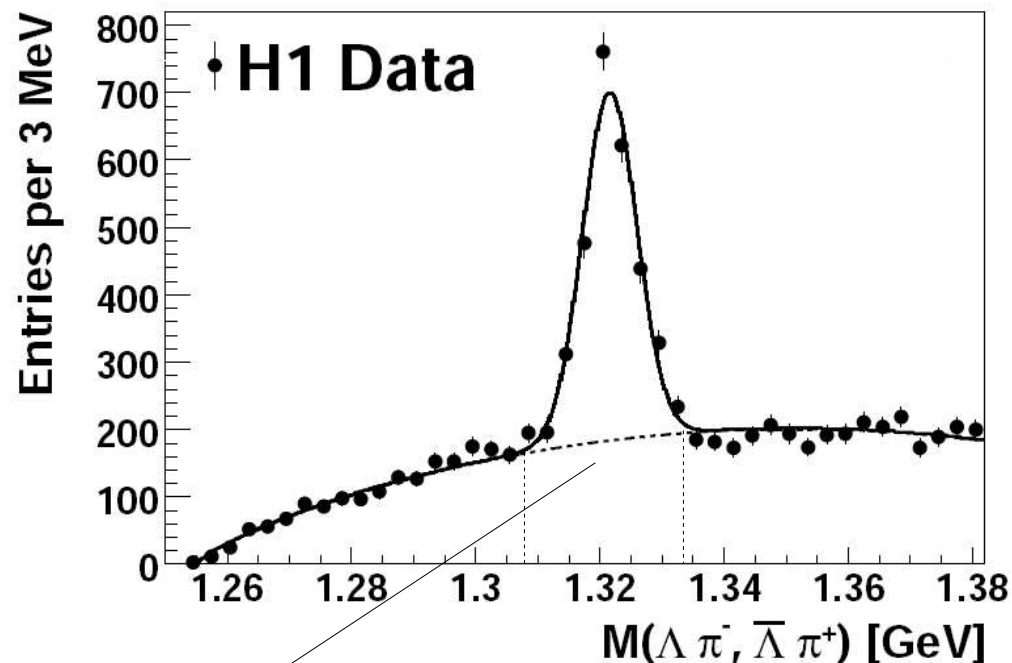


# Search for new baryonic states @ H1

$\Lambda \rightarrow p\pi^-$



$\Xi^- \rightarrow \Lambda\pi^-$

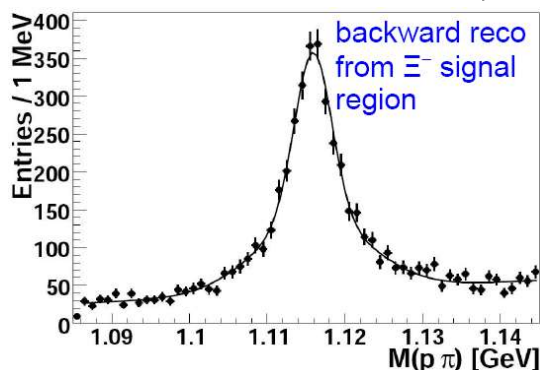


150k reconstructed  $\Lambda$ :

$$m = 1115.8 \text{ MeV}$$

$$\sigma \approx 5 \text{ MeV}$$

$$c\tau = (7.6 \pm 0.9) \text{ cm}$$



1870 reconstructed  $\Xi^-$ :

$$m = 1321.6 \text{ MeV}$$

$$\sigma \approx 4.3 \text{ MeV}$$

$$c\tau = (5.1 \pm 0.3) \text{ cm}$$

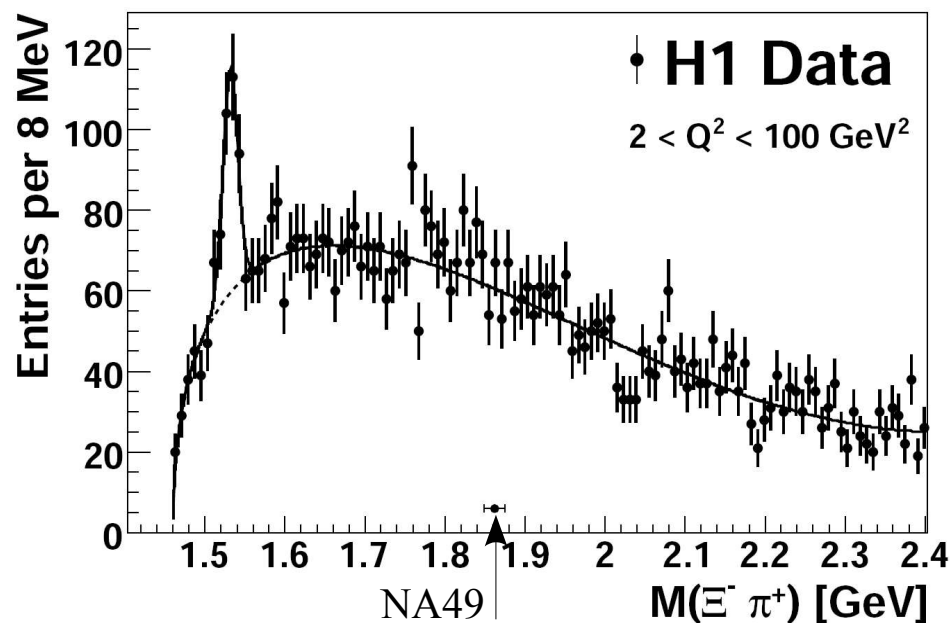
→ PDG compliant

# Search for new baryonic states @ H1

Combine  $\Xi^-$  candidates with additional  
(primary vertex-fitted) track assumed to be  $\pi$

neutral combinations:

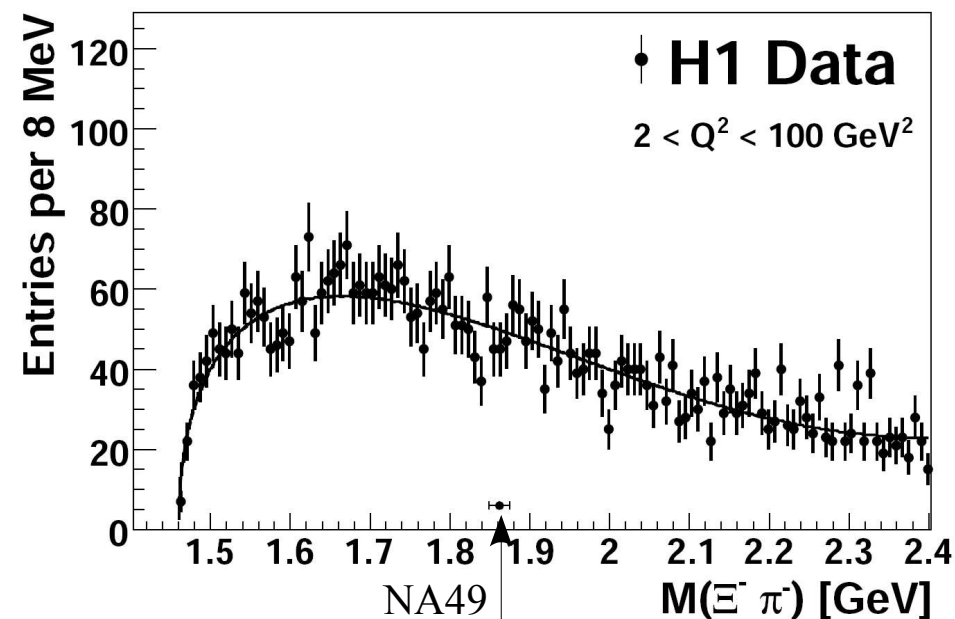
$\Xi^- \pi^+$  and  $\bar{\Xi}^+ \pi^-$



Clear signal of  $163 \pm 24 \Xi(1530)^0$   
 $m = (1532.1 \pm 1.6) \text{ MeV}$   
 $\sigma = (9.4 \pm 1.5) \text{ MeV}$

charged combinations:

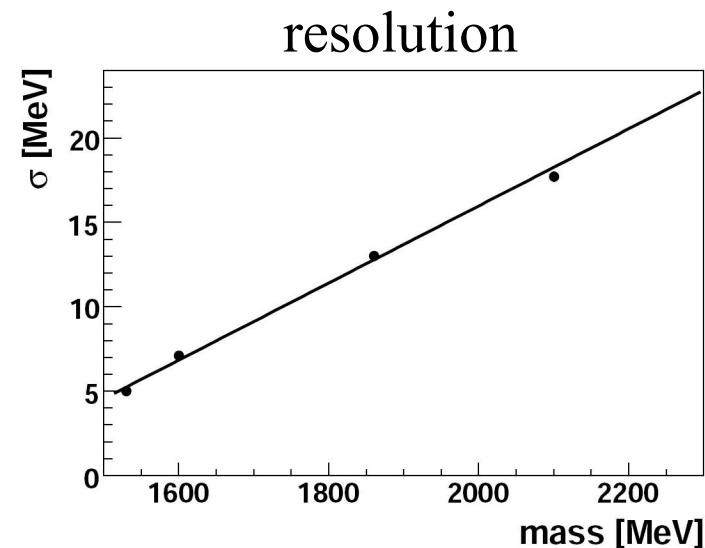
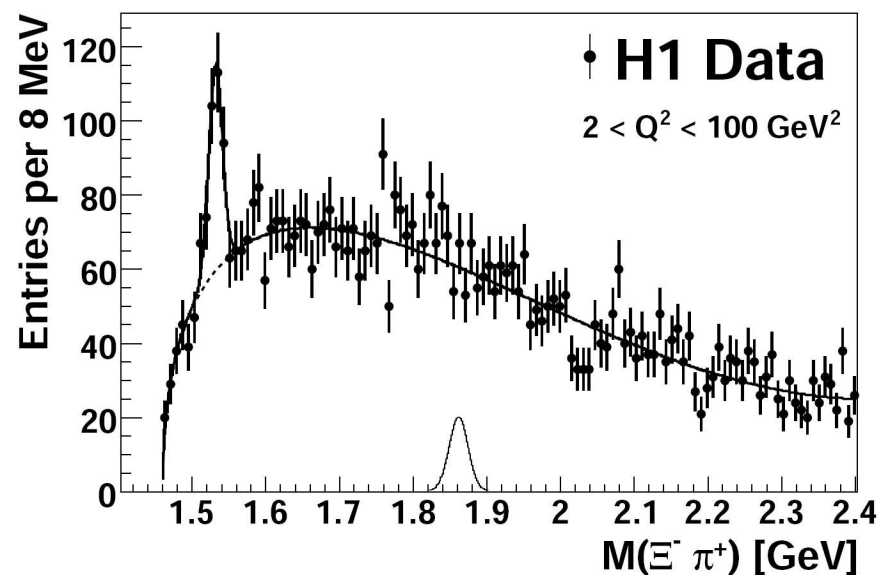
$\Xi^- \pi^-$  and  $\bar{\Xi}^+ \pi^+$



No significant signal  
→ **no hint for the NA49  
resonance**

# Limit calculation I

- ◆ Modified frequentist approach (T.Junk)
- ◆ Assumptions:
  - ◆  $\text{BR}(X \rightarrow \Xi \pi) = 100 \%$
  - ◆ Small width
  - ◆ Production similar to  $\Xi(1530)^0$
- ◆ Mass-dependent upper limit for possible  $\Xi^- \pi^+$  signal at 95 % C.L.:  $N_{\text{u.l.}}(\Xi^- \pi^+)$
- ◆ Neutral and charged combinations for simultaneous BG determination
- ◆ Gaussian for the possible signal, width from MC (mass-dependent)
- ◆ Separate limits for neutral and charged combinations
- ◆ Normalise upper limit wrt number of  $\Xi(1530)^0 \rightarrow$  systematics mostly cancel:



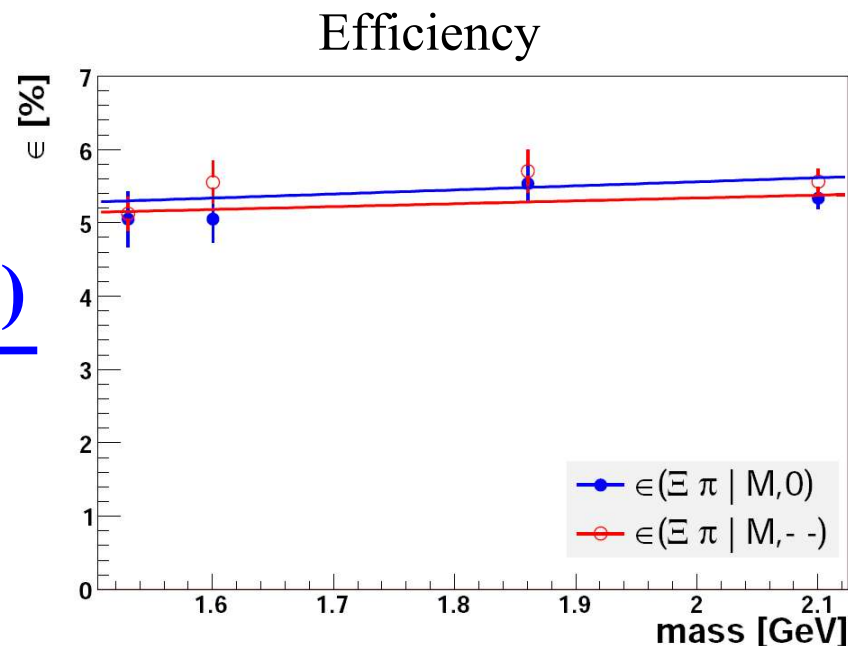
$$R^*_{\text{u.l.}}(M) = \frac{N_{\text{u.l.}}(\Xi^- \pi^+)}{N(\Xi(1530)^0)}$$

# Limit calculation II

- ◆ Correct  $R_{u.l.}^*$  for small differences in efficiency (mass-dependent):

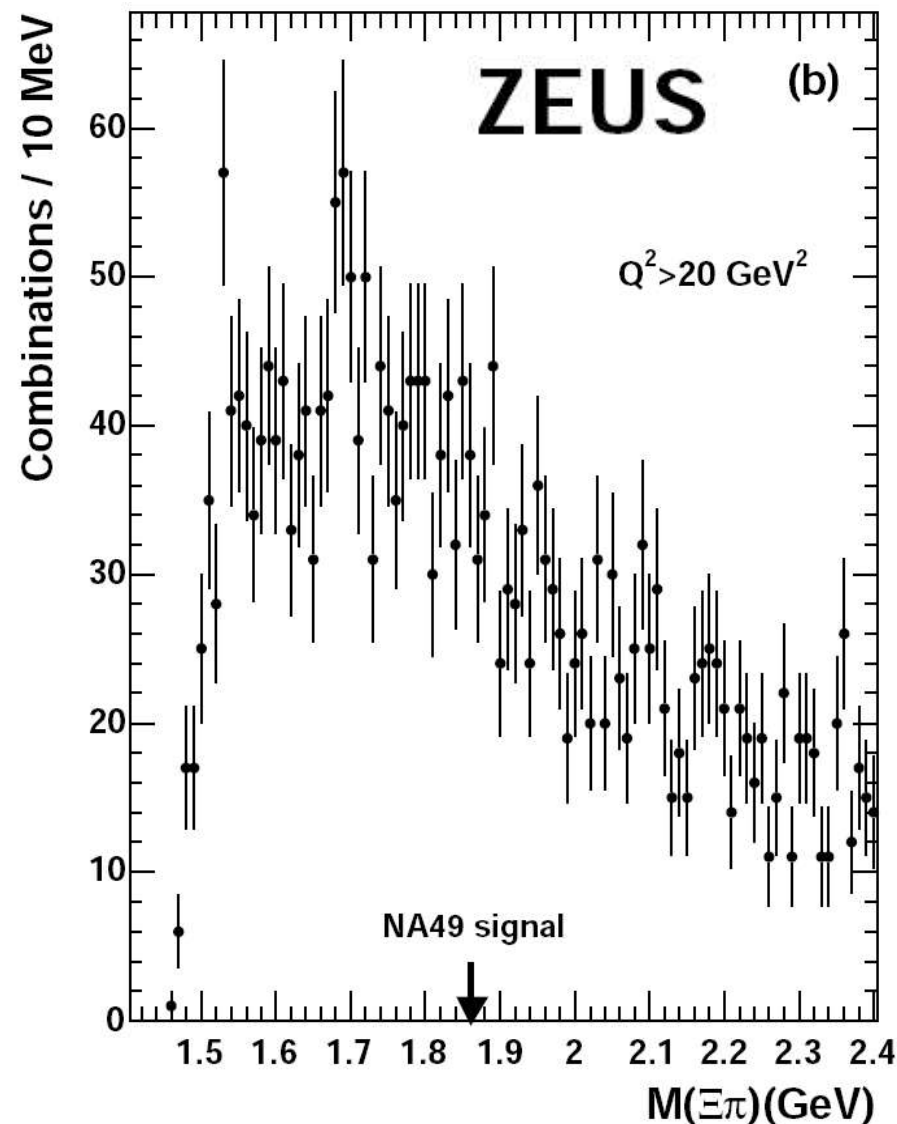
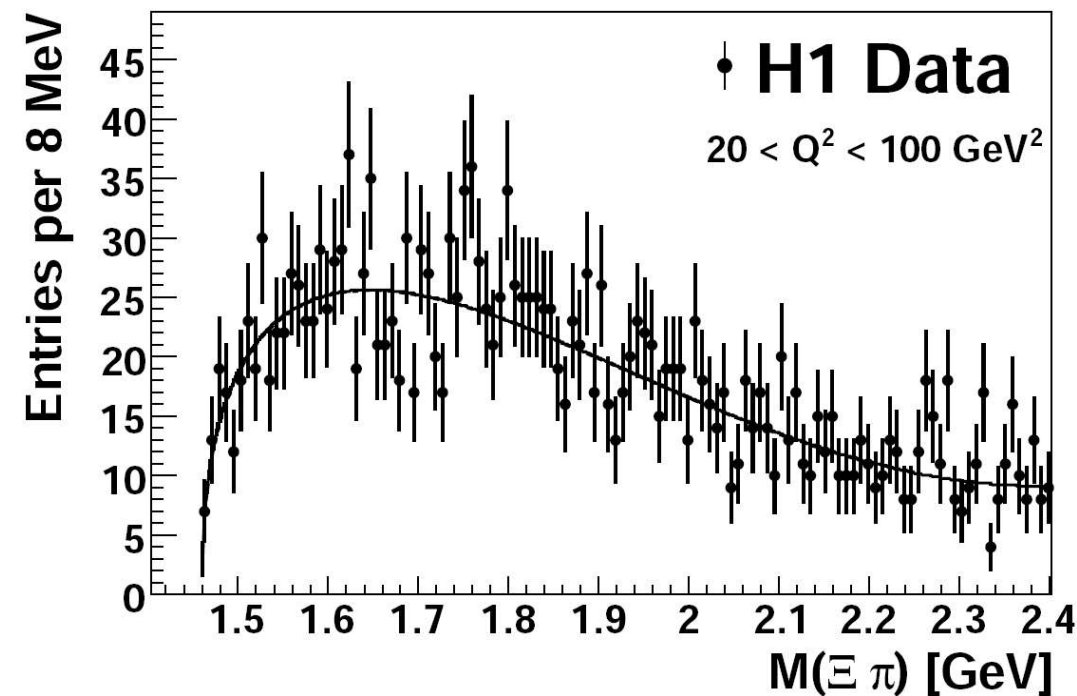
$$R_{u.l.}(M) = R_{u.l.}^*(M) \cdot \frac{\epsilon(\Xi(1530)^0)}{\epsilon(M, q)}$$

- ◆ Efficiency correction new wrt ICHEP06
- ◆ Uncertainties considered:
  - ◆ Number of  $\Xi(1530)^0$ : 15% (from fit)
  - ◆ Width of signal: 5% (diff  $\sigma(\Xi(1530)^0)$  data-MC)
  - ◆ Efficiency correction factor: 8%
  - ◆ BG: 2% (performing BG determination under different assumption)



# Search for the $\Xi^{-/0}(1860)$ pentaquark

All charge combinations,  $20 < Q^2 < 100 \text{ GeV}^2$



# $\Theta_c^0 \rightarrow D^{*-} p: D^{*+}$ selection

$L = 126 \text{ pb}^{-1}$

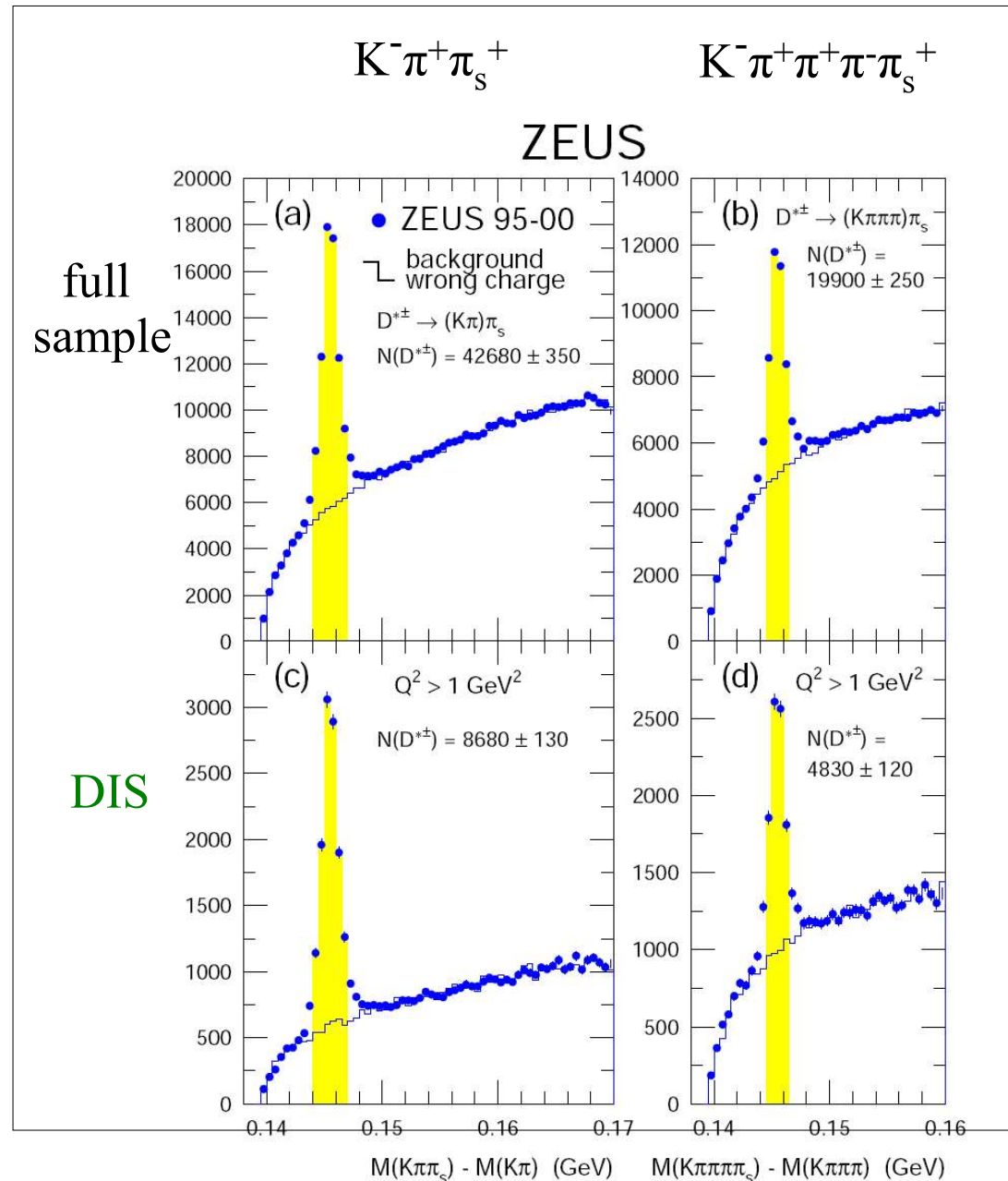


## DIS-selection:

$Q^2 > 1 \text{ GeV}^2$   
 $y < 0.95$   
 $E_e' > 8 \text{ GeV}$   
 $40 < E\text{-}pz < 65$   
 $|z\text{-vertex}| < 50 \text{ cm}$

## Reconstruction of $D^{*+}$ mesons:

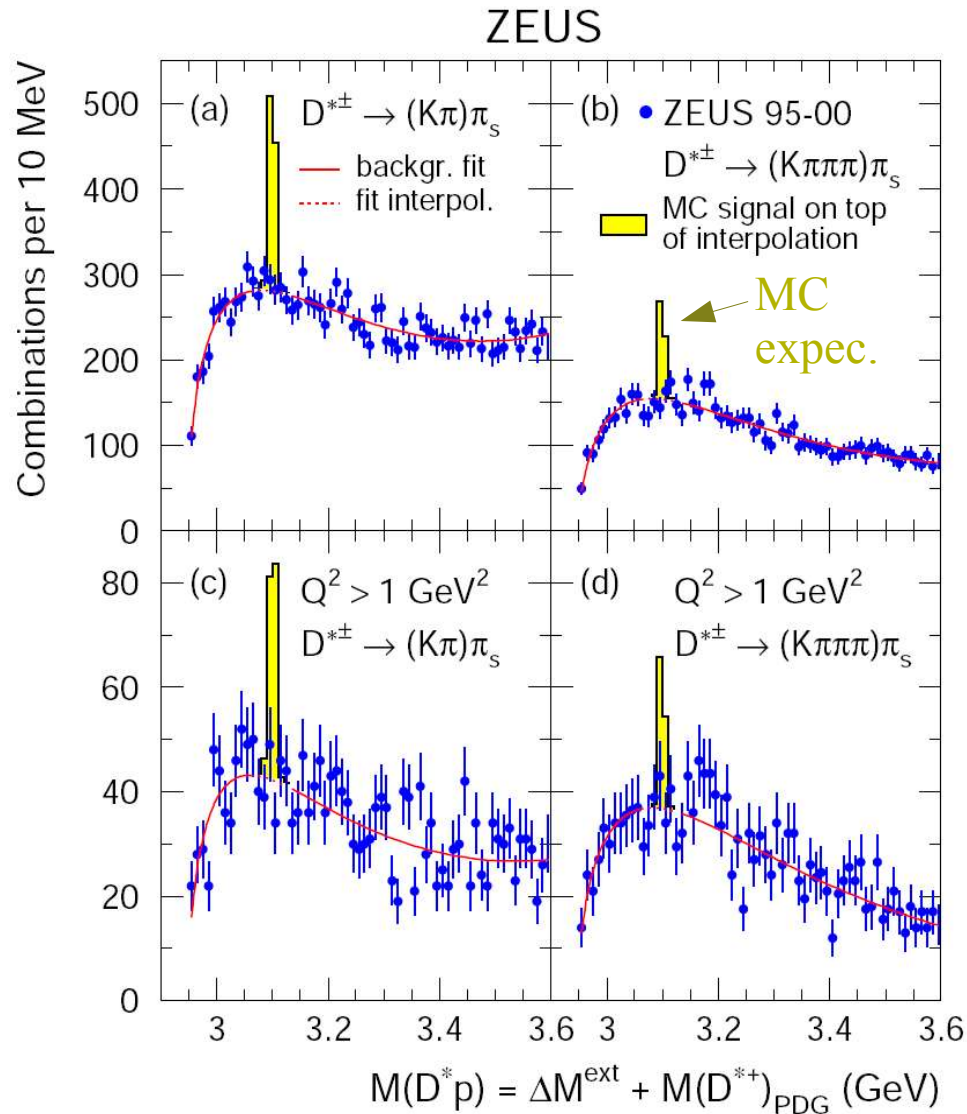
$D^{*+} \rightarrow D^0 \pi_s^+$ ,  
 $D^0 \rightarrow K^- \pi^+$  and  
 $D^0 \rightarrow K^- \pi^+ \pi^+ \pi^-$





# Search for the $\Theta^0_c$ at ZEUS

ZEUS Collab., EPJ C38 (2004)



No significant signal in any channel, neither in DIS nor in  $\gamma p$

Acceptance corrected **yield ratio**  $(D^*p) / D^*_{inc}$ :

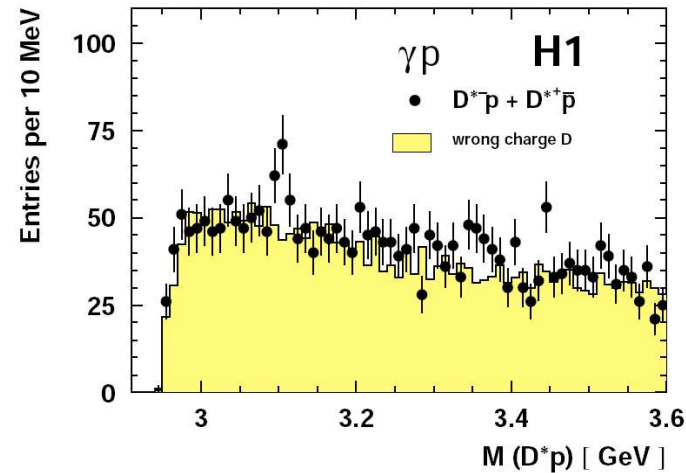
$$R_{cor}(D^*p(3100)/D^*) < 0.51\% \quad (@95\%C.L.)$$

for  $Q^2 > 1 \text{ GeV}$  and  $D^0 \rightarrow K^-\pi^+$

→ **ZEUS data not compatible with H1 signal**

# Search for the $\Theta^0_c$ at H1

Signal also visible in photoproduction



Acceptance corrected yield ratio  $(D^*p) / D^*_{inc}$ :

Visible range:  $p_T > 1.5$  GeV,  $-1.5 < \eta < 1.0$

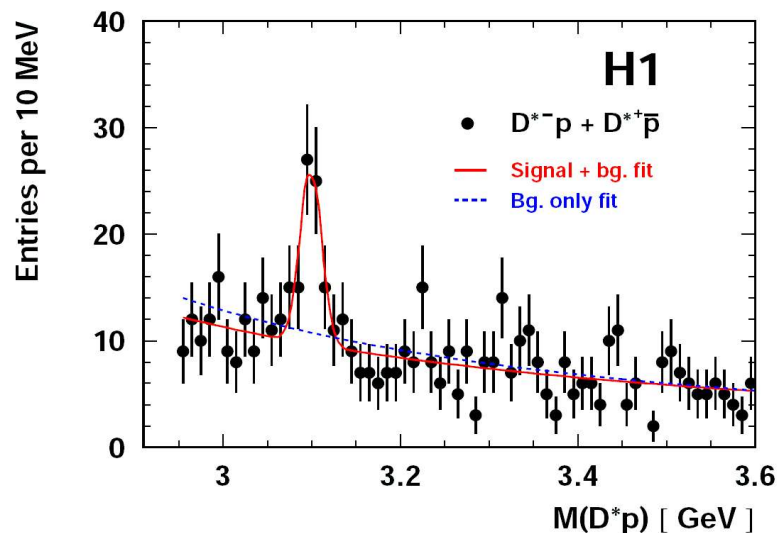
$$R_{cor}(D^*p(3100)/D^*) = (1.59 \pm 0.33^{+0.33}_{-0.45})\%$$

Visible cross section (extrapolate to full  $D^*$  phase space):

$$\sigma_{vis}(D^*p(3100)) / \sigma_{vis}D^* = (2.48 \pm 0.52^{+0.85}_{-0.64})\%$$

# The $\Theta_c$ at H1 and FOCUS

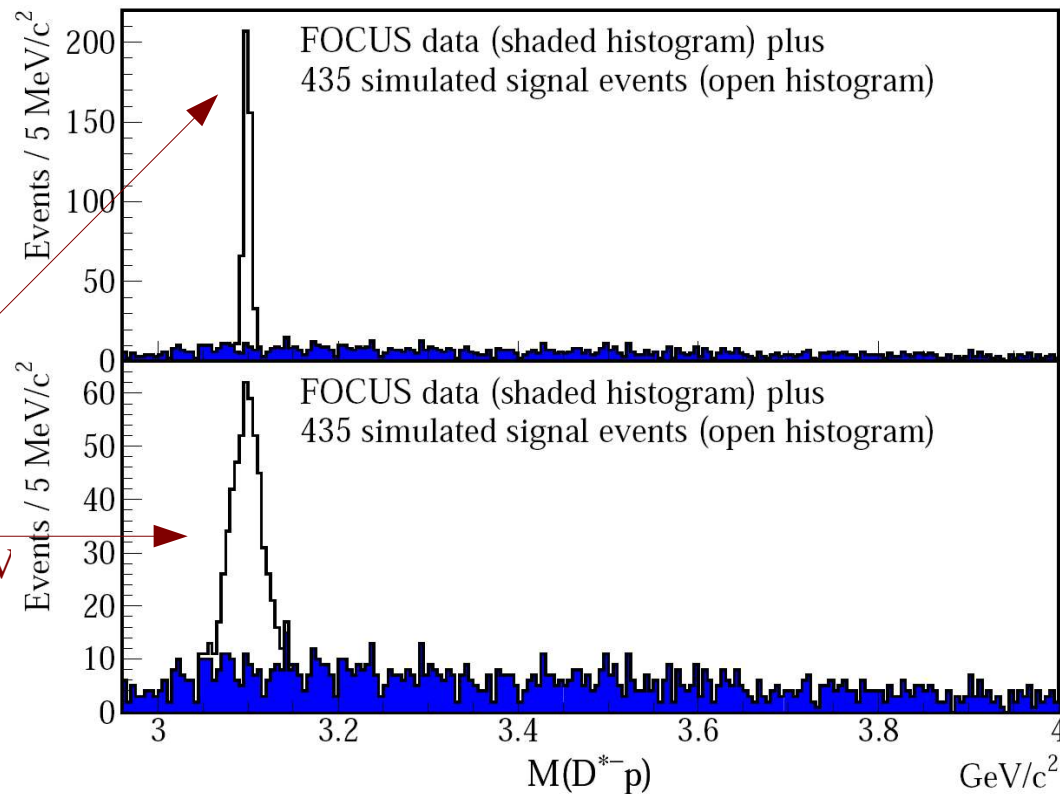
- ◆ The H1 signal  $\Theta_c \rightarrow D^*p$ :
  - ◆ ZEUS and FOCUS claimed incompatibility



expected signal at FOCUS  
extrapolated from H1

$\sigma=4.15$  MeV

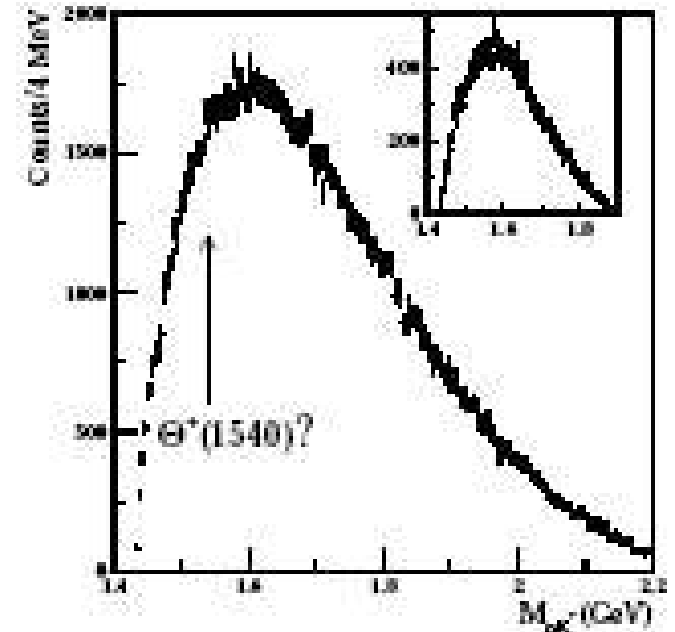
$\sigma=17$  MeV



# The new CLAS experiments

## ◆ $\gamma p \rightarrow K_s^0 K^+ (n)$

- ◆ No Signal observed
- ◆ Upper limit on production cross section:  $(0.85-1.3)\text{nb}$  at 95% CL and  $m \approx 1.54\text{GeV}$
- ◆ Contradicts SAPHIR experiment by two orders of magnitude ( $300\text{nb}$ )
- ◆ Implies very small coupling of  $\Theta^+$  to  $NK^*$ ; but in many models major source of  $\Theta^+$  production



## ◆ $\gamma d \rightarrow p K^- K^+ (n)$

- ◆ Previous CLAS results claimed  $\sim 5 \sigma$  for  $\Theta^+$  in the same channel and same energy
- ◆ New high statistics results see no hint for a  $\Theta^+$  state!
- ◆ Clearly contradicts the previous data
- ◆ New fit of old data with improved BG (from new data) yields a significance of only  $3 \sigma$ , previous:  $(5.2 \pm 0.6) \sigma$
- ◆ The new CLAS data leaves room only for a  $\Theta^+$  state with intrinsic width of less than  $0.5 \text{ MeV}$