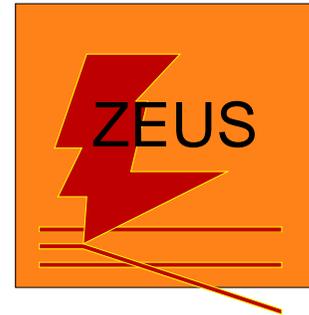


On behalf of H1 and ZEUS Collaborations



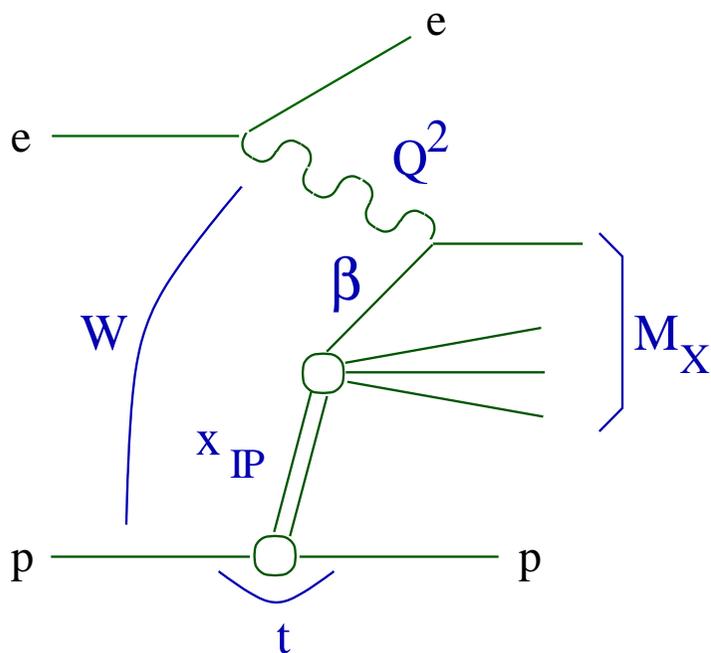
## Inclusive measurements on diffractive processes in ep collisions

XXXVI International Symposium on Multiparticle Dynamics

Paraty, Brazil, 2nd-8th Sept., 2006

# Inclusive diffraction at HERA

$$e + p \longrightarrow e + X + p$$



Proton stays intact and loses small momentum fraction

$Q^2$  Photon virtuality

$x$  Bjorken- $x$

$x_{IP}$  Momentum fraction of colour singlet exchange

$\beta$  Fraction of exchange momentum of struck  $q$

$t$  4-momentum transfer squared

$W$  Photon-proton cms energy

$$x = x_{IP} \beta ; W = Q^2 \left( \frac{1}{x} - 1 \right)$$

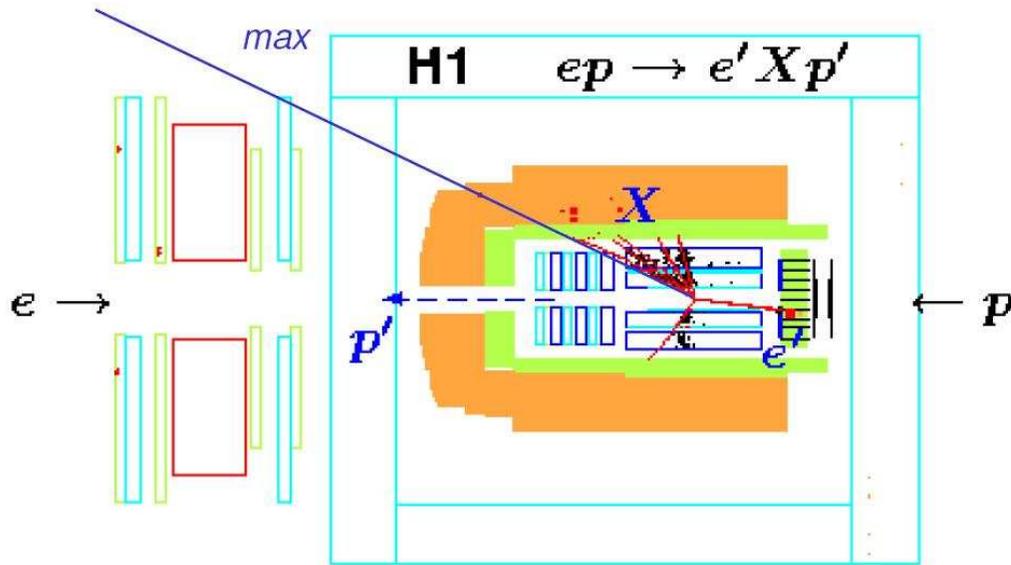
Main observable: Reduced cross section  $\sigma_r^D$

$$\frac{d^4 \sigma_{ep \rightarrow eXp}}{dx dQ^2 dx_{IP} dt} = \frac{4\pi\alpha^2}{xQ^4} Y_+ \sigma_r^{D(4)}(x, Q^2, x_{IP}, t)$$

$$\sigma_r^{D(4)}(x, Q^2, x_{IP}, t) = F_2^{D(4)} - \frac{y^2}{Y_+} F_L^{D(4)} \approx F_2^{D(4)}$$

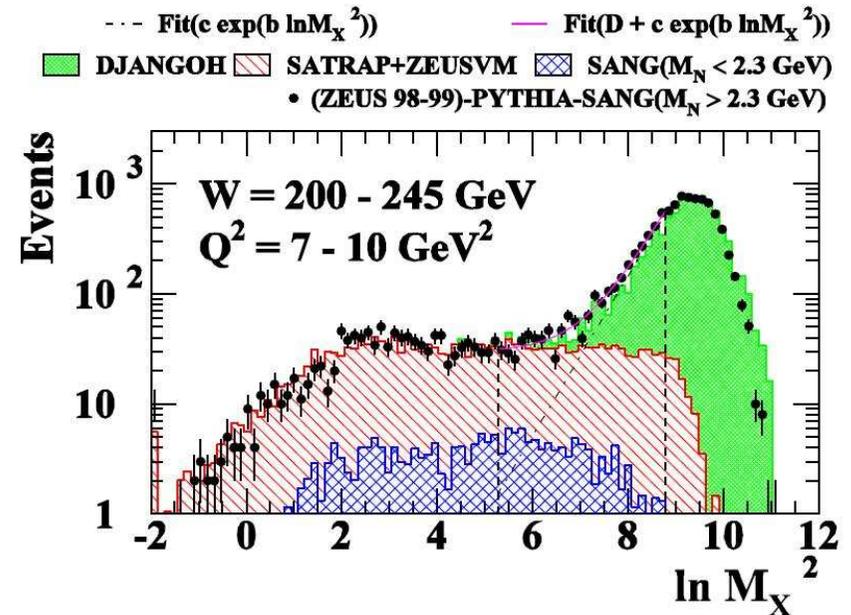
# Selection Methods

## H1: Large Rapidity Gap Method



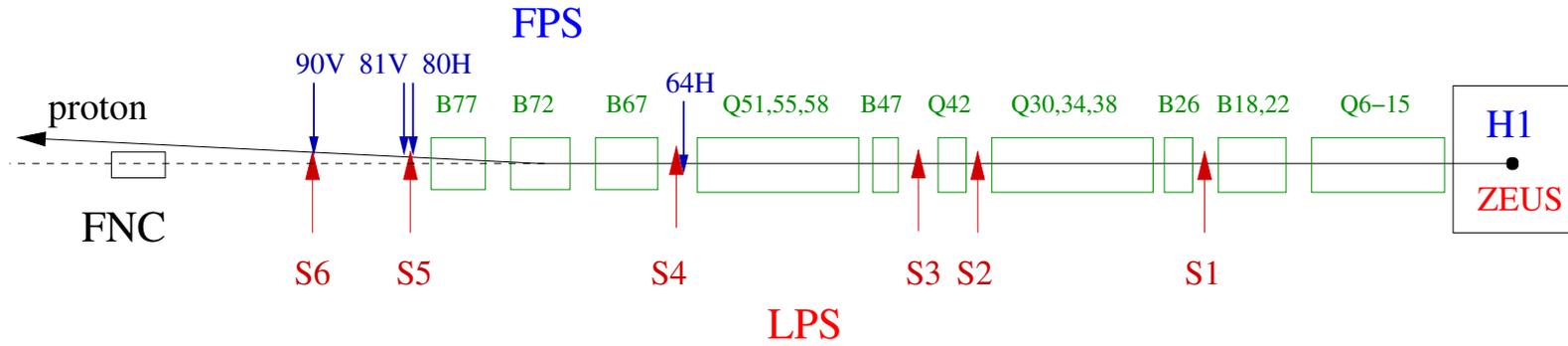
- Gap spanning  $3.3 < \eta < 7.5$
- Measure kinematic from hadrons in central detector
- Some proton dissociation  
→ Correct to  $M_Y < 1.6$  GeV

## ZEUS: $M_X$ Method



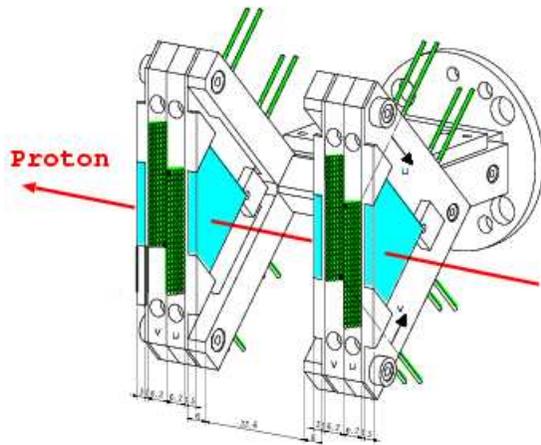
- Flat vs  $\ln M_X^2$  for diffractive events
- non-diffractive events subtracted from fit
- Proton dissociation  $ep \rightarrow eXY$  corrected to  $M_Y < 2.3$  GeV

# Selection Methods

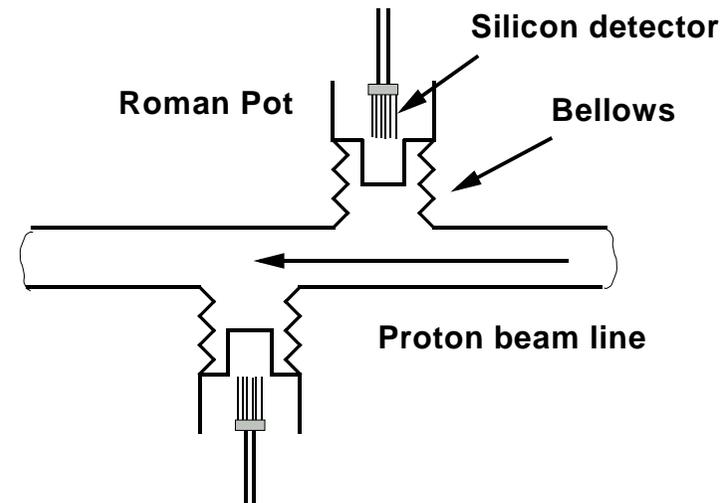


Forward Proton Spectrometer

Leading Proton Spectrometer



Scintillating fibre detector



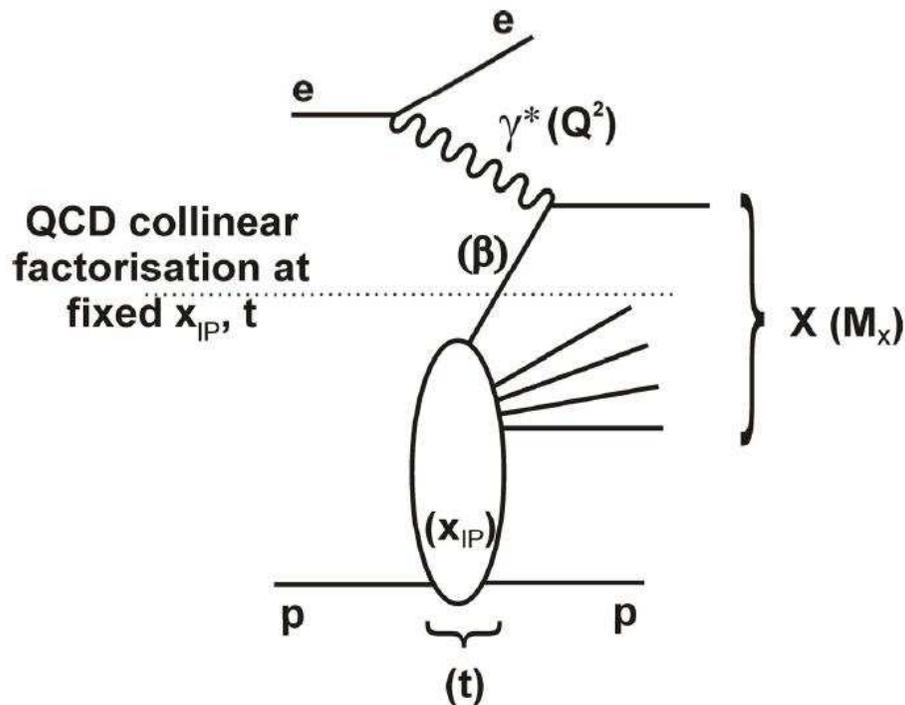
Silicon Micro-Strip Detector

- Free of proton dissociation bkgd
- p 4-momentum measurement  $\rightarrow t$
- Low statistic (acceptance)

# Factorization Properties

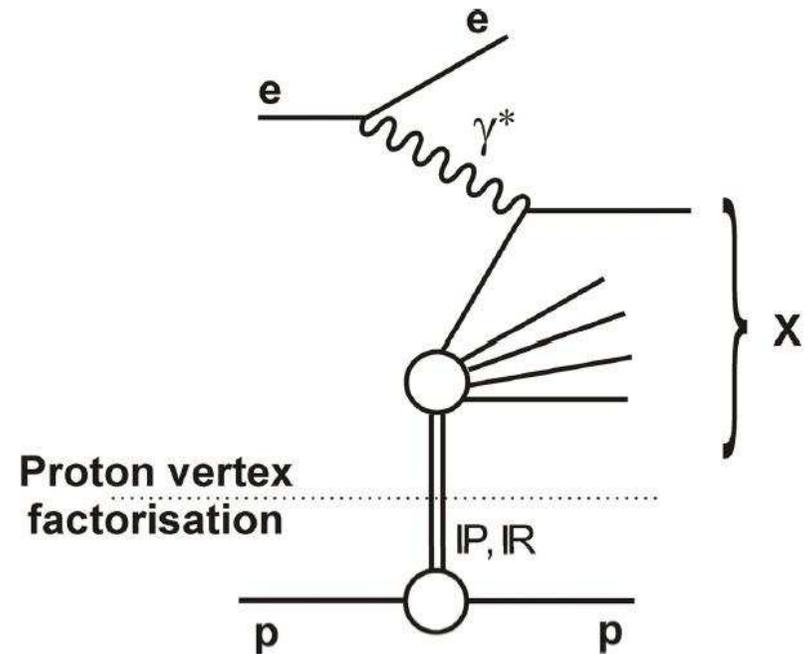
- QCD hard scattering collinear factorization (Collins) at fixed  $x_{IP}$  and  $t$

→ DGLAP applicable for  $Q^2$  evolution.



- "Proton vertex" factorisation of  $x, Q^2$  from  $x_{IP}, t$  (and  $M_Y$ ) dependences

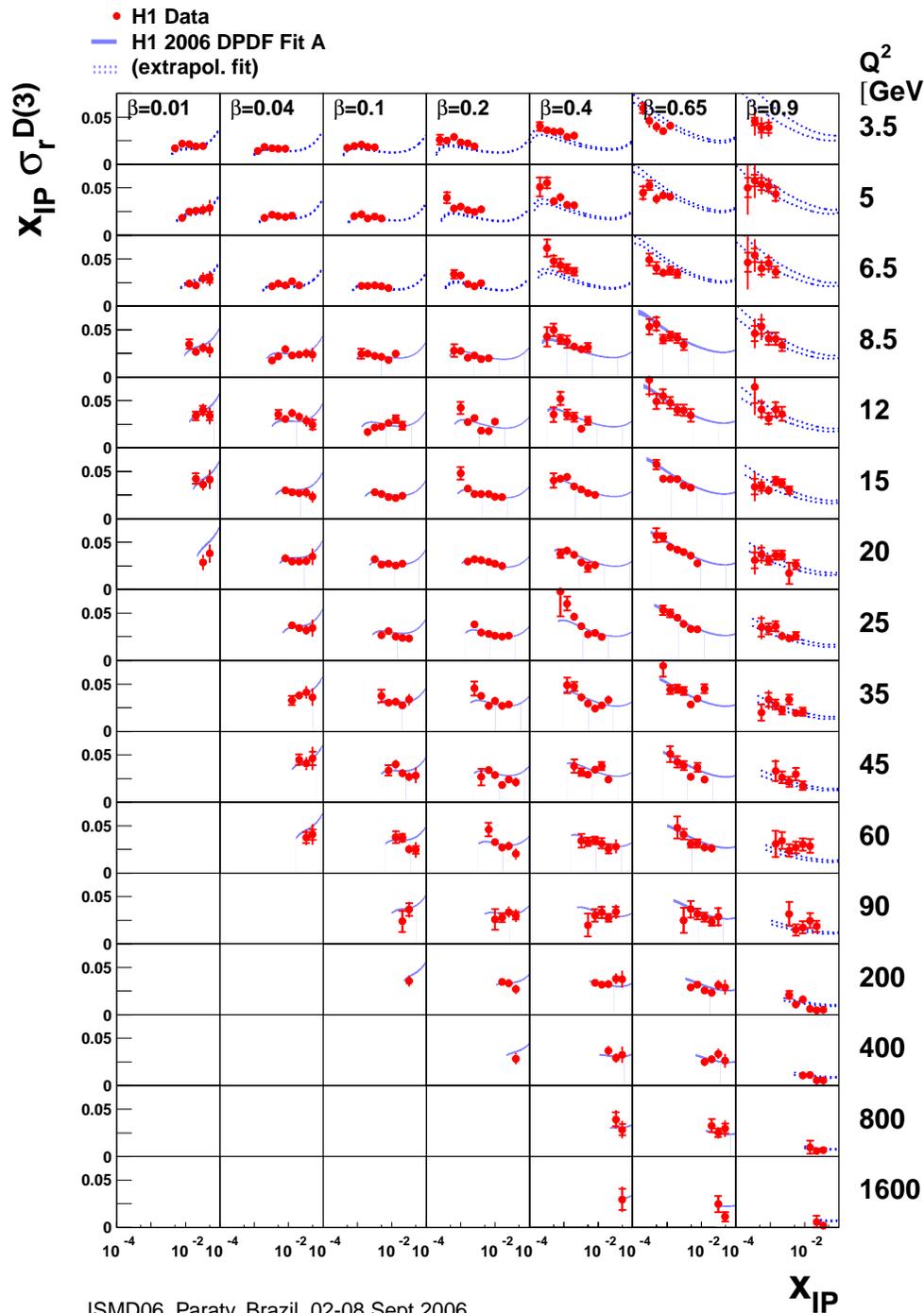
No firm basis in QCD !



$$d\sigma_i(ep \rightarrow eXp) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\hat{\sigma}^i(x, Q^2)$$

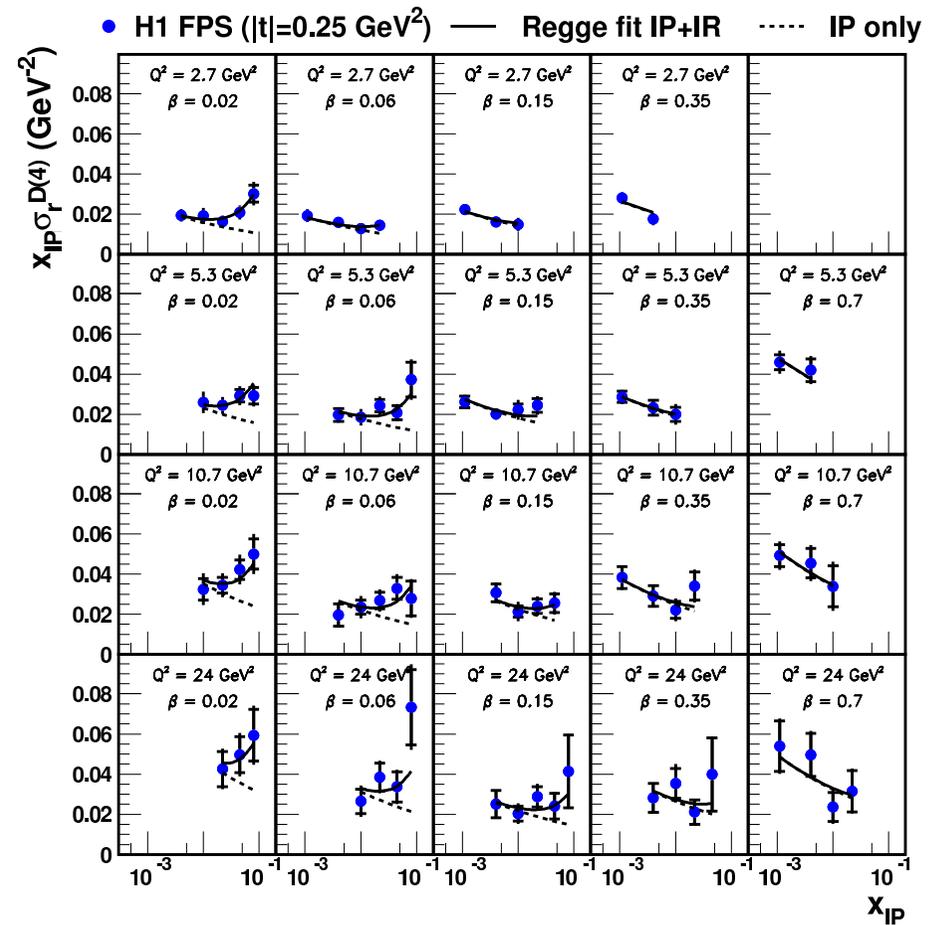
$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \times f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

# H1 Published Data Overview



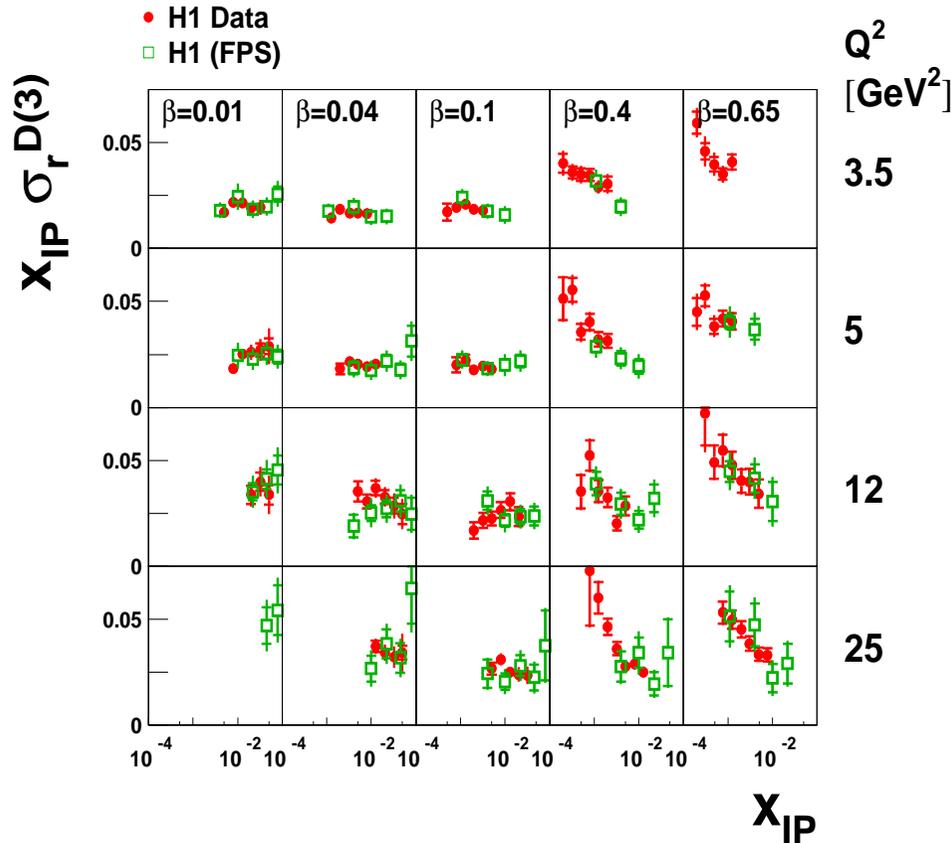
• LRG:  $M_Y < 1.6$  GeV  
 $3.5 < Q^2 < 1600$  GeV<sup>2</sup>

• FPS:  $Y = p$   
 $2.7 < Q^2 < 24$  GeV<sup>2</sup>

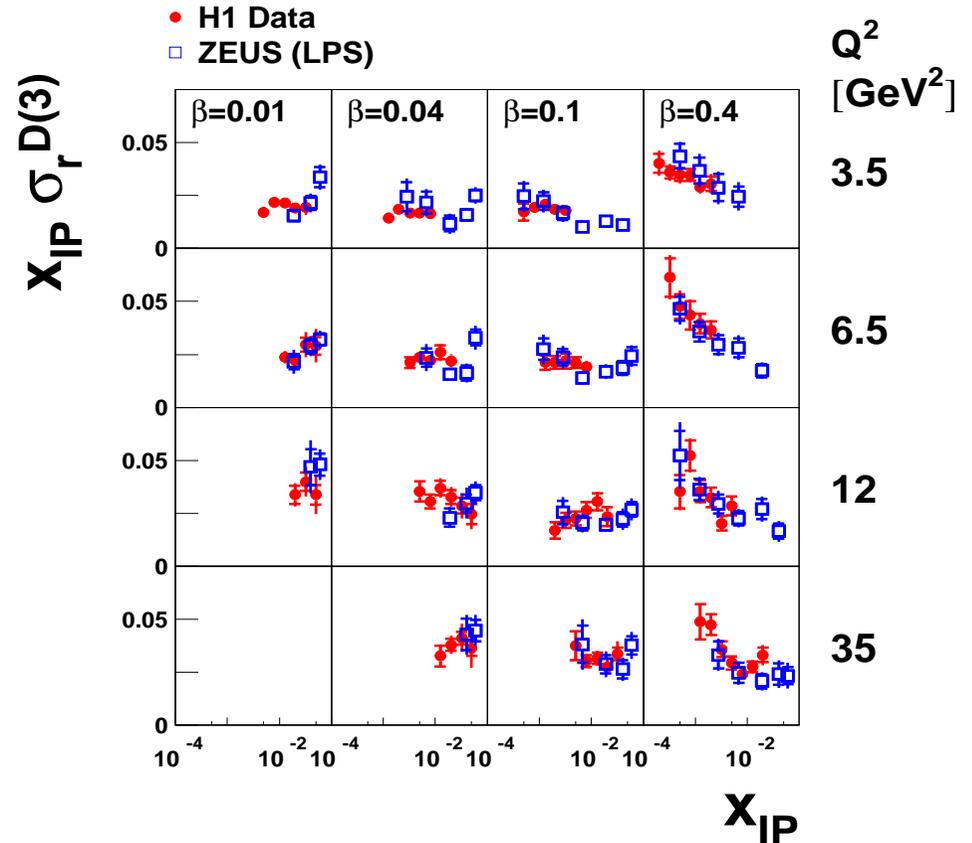


# H1 Rapidity Gap vs Leading Proton data

## H1 LRG vs H1 FPS



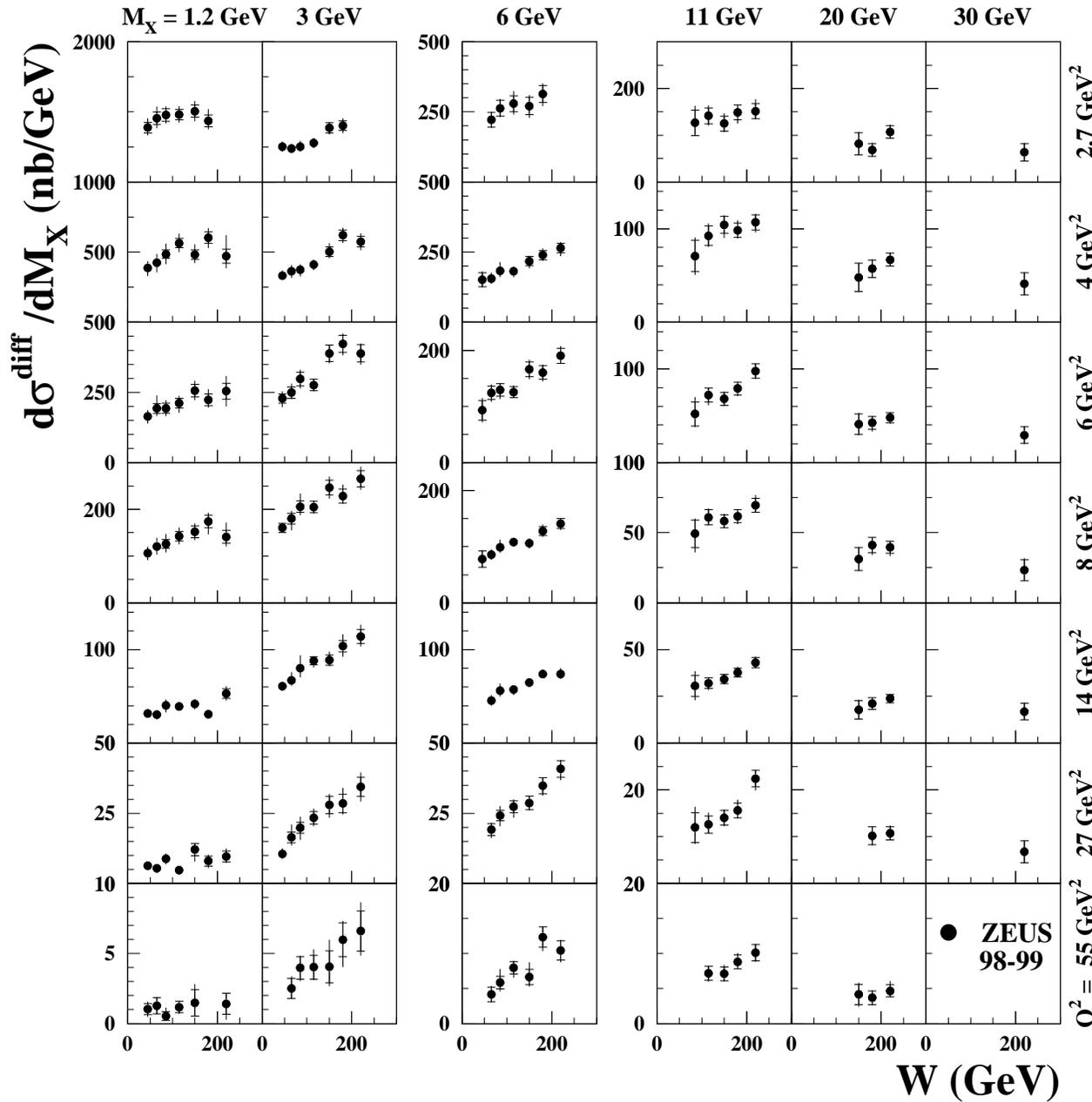
## H1 LRG vs ZEUS LPS



- Agreement between LRG and FPS methods taking into account the ratio  $\sigma(M_y < 1.6 \text{ GeV}) / \sigma(Y = p) = 1.23 \pm 0.03(\text{stat.}) \pm 0.16(\text{syst.})$  to correct for proton dissociation
- ZEUS-LPS and H1-FPS normalizations agree to 8 %

# ZEUS Diffractive Cross Section ( $M_X$ Method)

ZEUS



$$\frac{d\sigma^{\text{diff}}}{dM_X}, M_Y < 2.3 \text{ GeV}$$

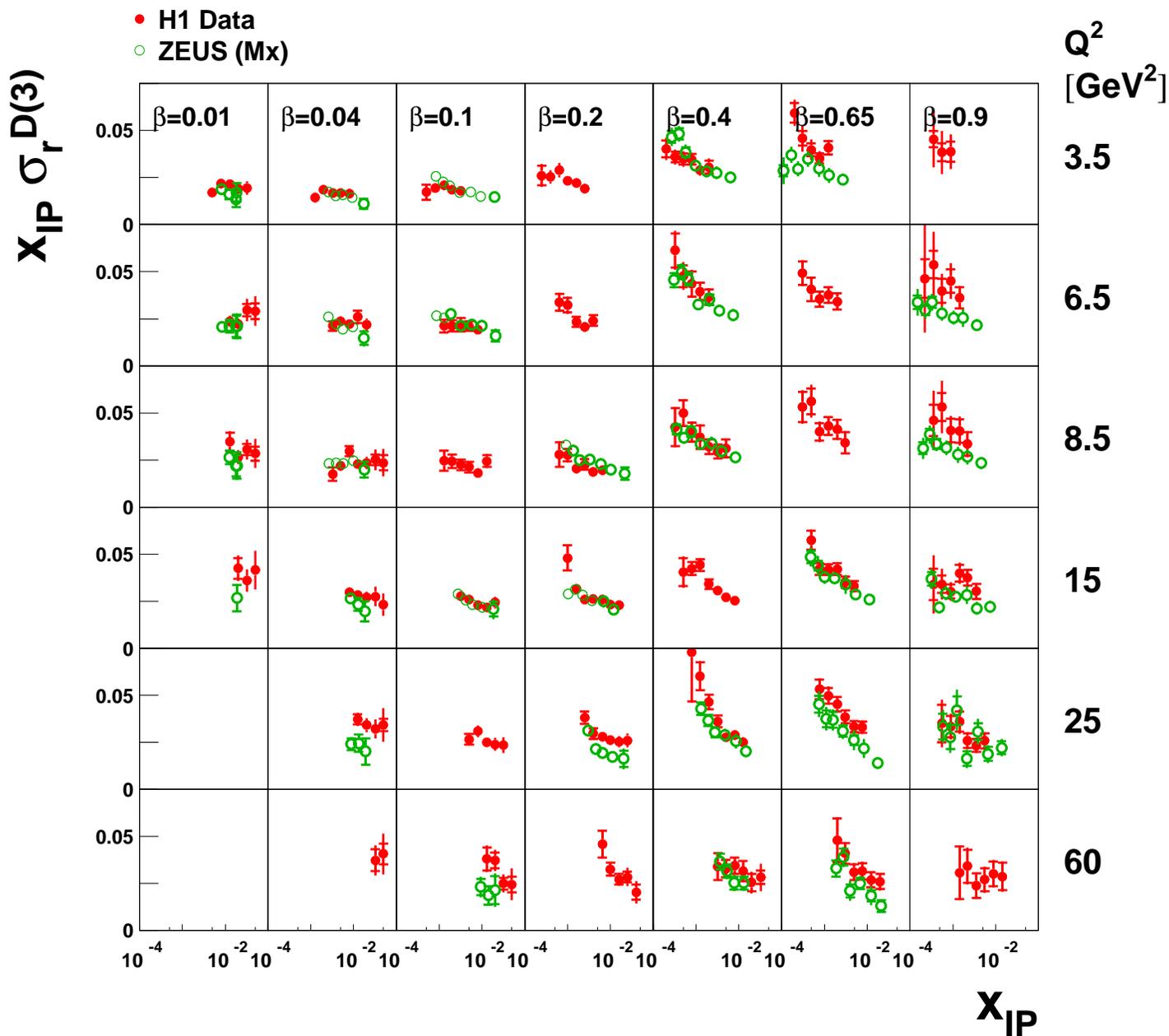
For  $M_X < 2 \text{ GeV}$

$\frac{d\sigma^{\text{diff}}}{dM_X}$  depends weakly  
on  $W$

For  $M_X > 2 \text{ GeV}$

$\frac{d\sigma^{\text{diff}}}{dM_X}$  rises rapidly  
with  $W$

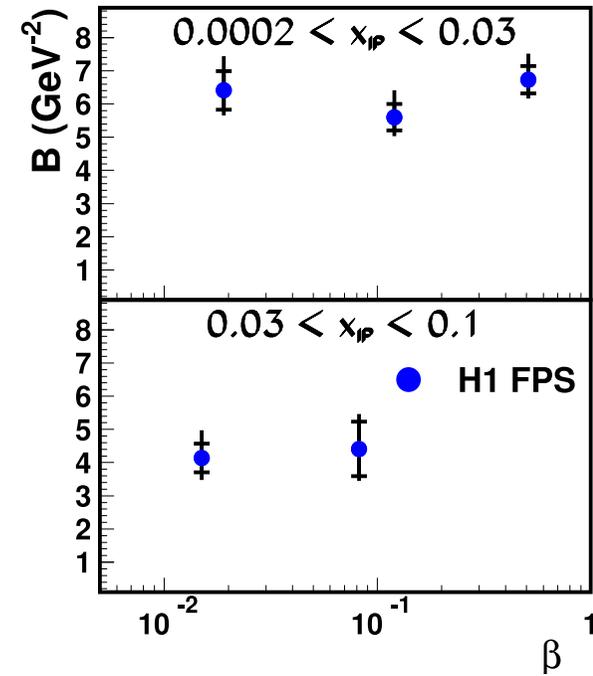
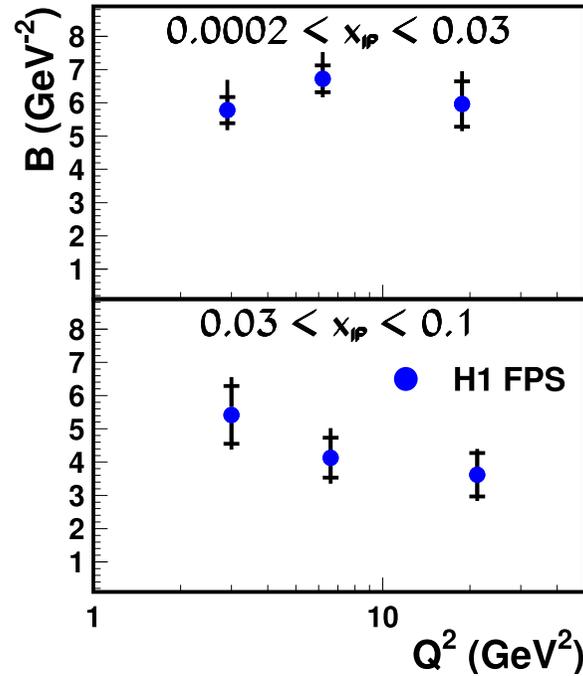
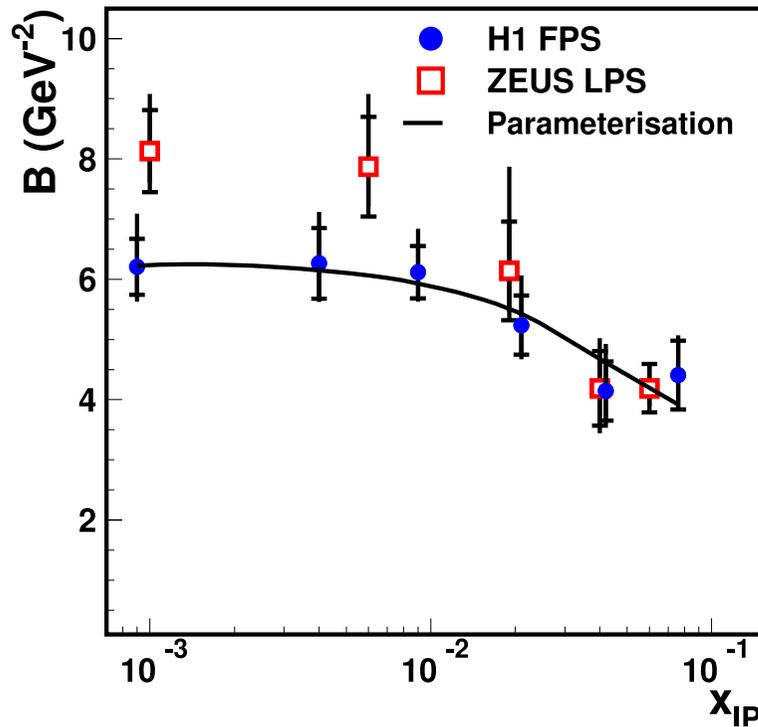
# ZEUS $M_X$ Method vs H1 Rapidity Gap Data



Globally consistent data sets, stronger  $Q^2$  dependence in H1

# *t* dependence from FPS and LPS data

- $B(x_{IP})$  from fit  $d\sigma/dt \propto \exp(B|t|)$
- Independent of  $\beta, Q^2$  within errors



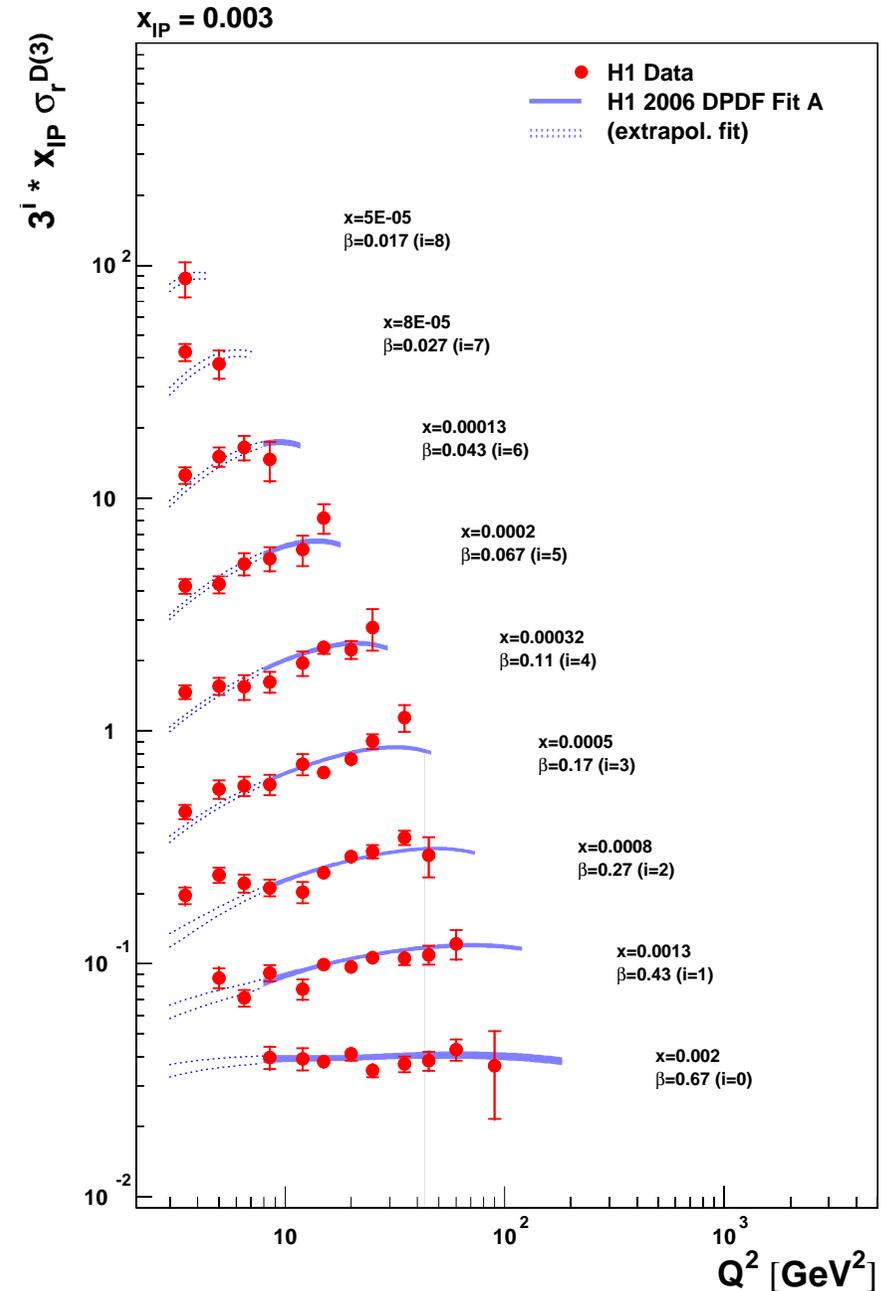
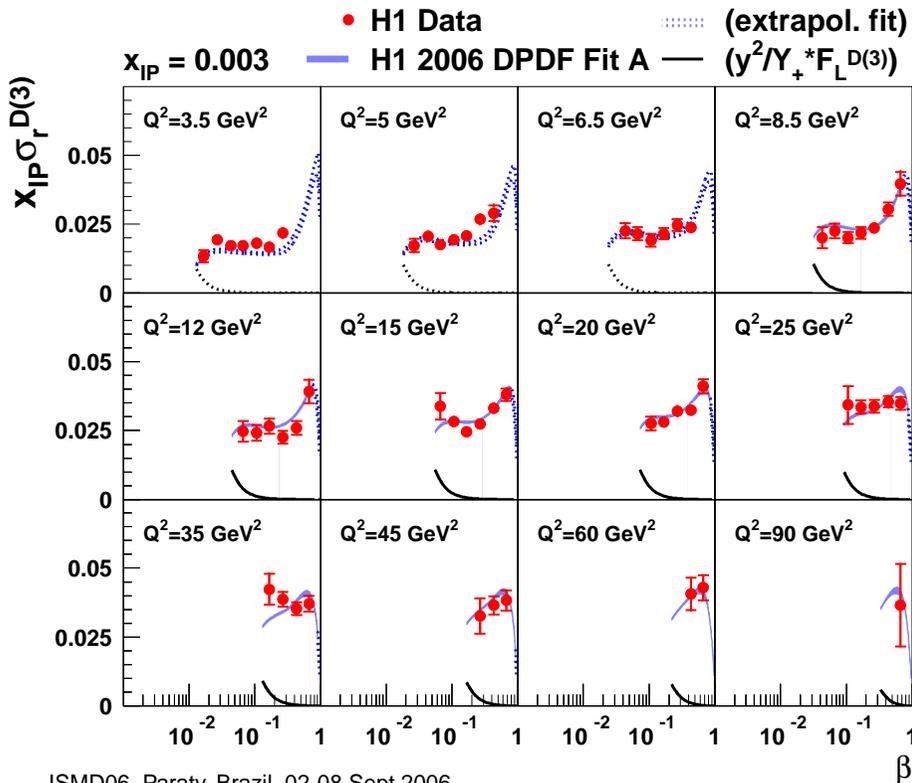
- $B(x_{IP})$  data constrain  $IP, IR$  flux in proton vertex factorization model
- Regge motivated form:  $f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}; \alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP}t$
- Fitting H1 data to  $B = B_{x_{IP}} + 2\alpha'_{IP} \ln(1/x_{IP})$  gives:

$$B_{x_{IP}} = 5.5_{+0.7}^{-2.0} \text{GeV}^{-2}$$

$$\alpha'_{IP} = 0.06_{-0.06}^{+0.19} \text{GeV}^{-2}$$

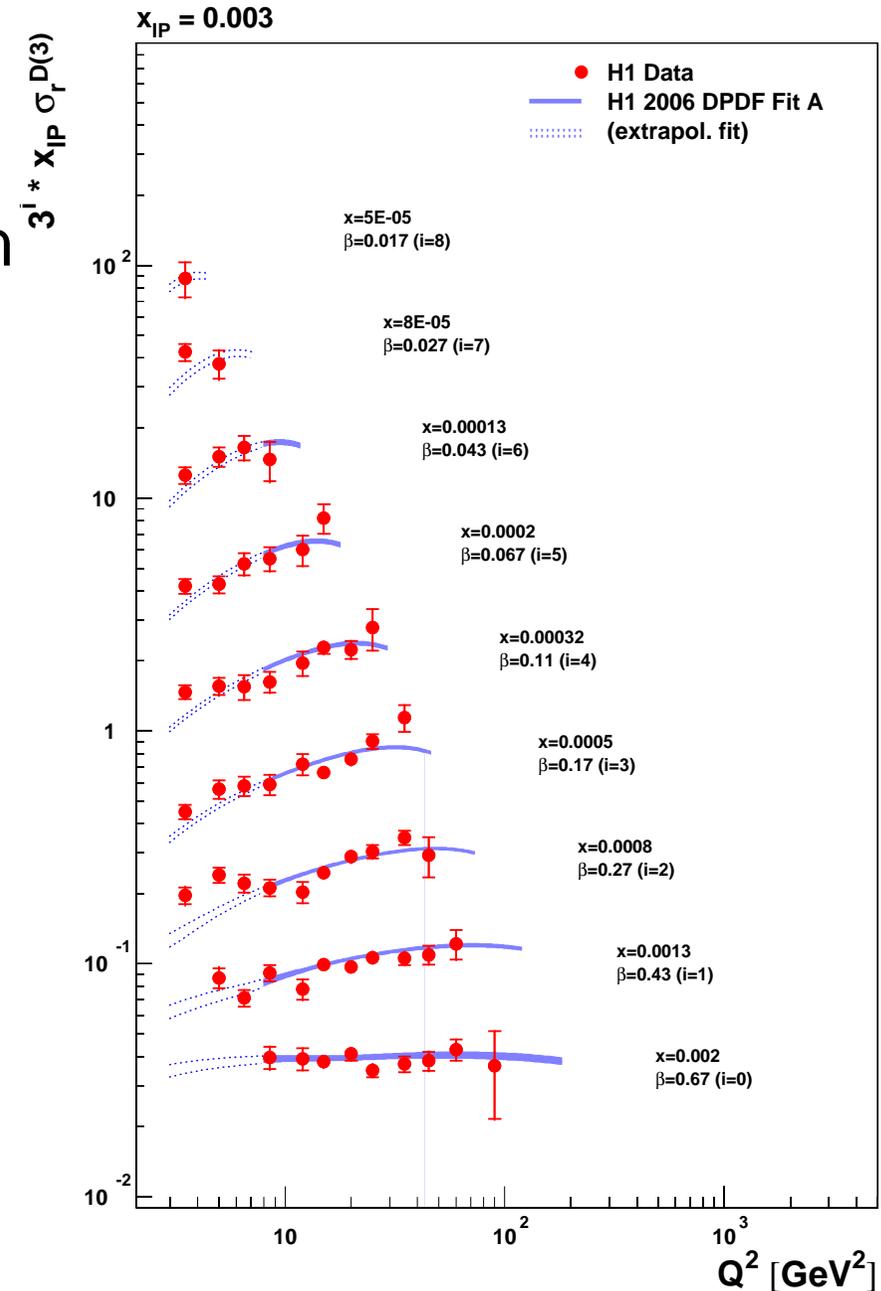
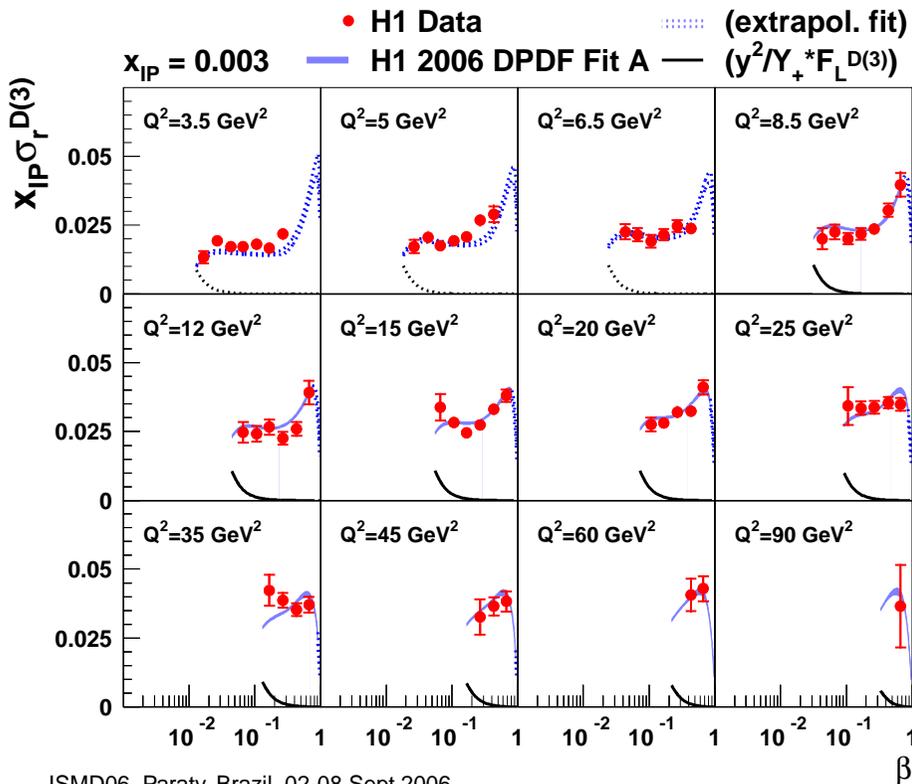
# H1: $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at fixed $x_{IP}$ ( $x_{IP} = 0.003$ )

- Study  $x$  ( $= \beta \cdot x_{IP}$ ) and  $Q^2$  depend. at few fixed  $x_{IP}$  values
- Good precision (in best region)
  - Stat. error:  $\sim 5\%$
  - Syst error:  $\sim 5\%$
  - Norm. error:  $\sim 6\%$

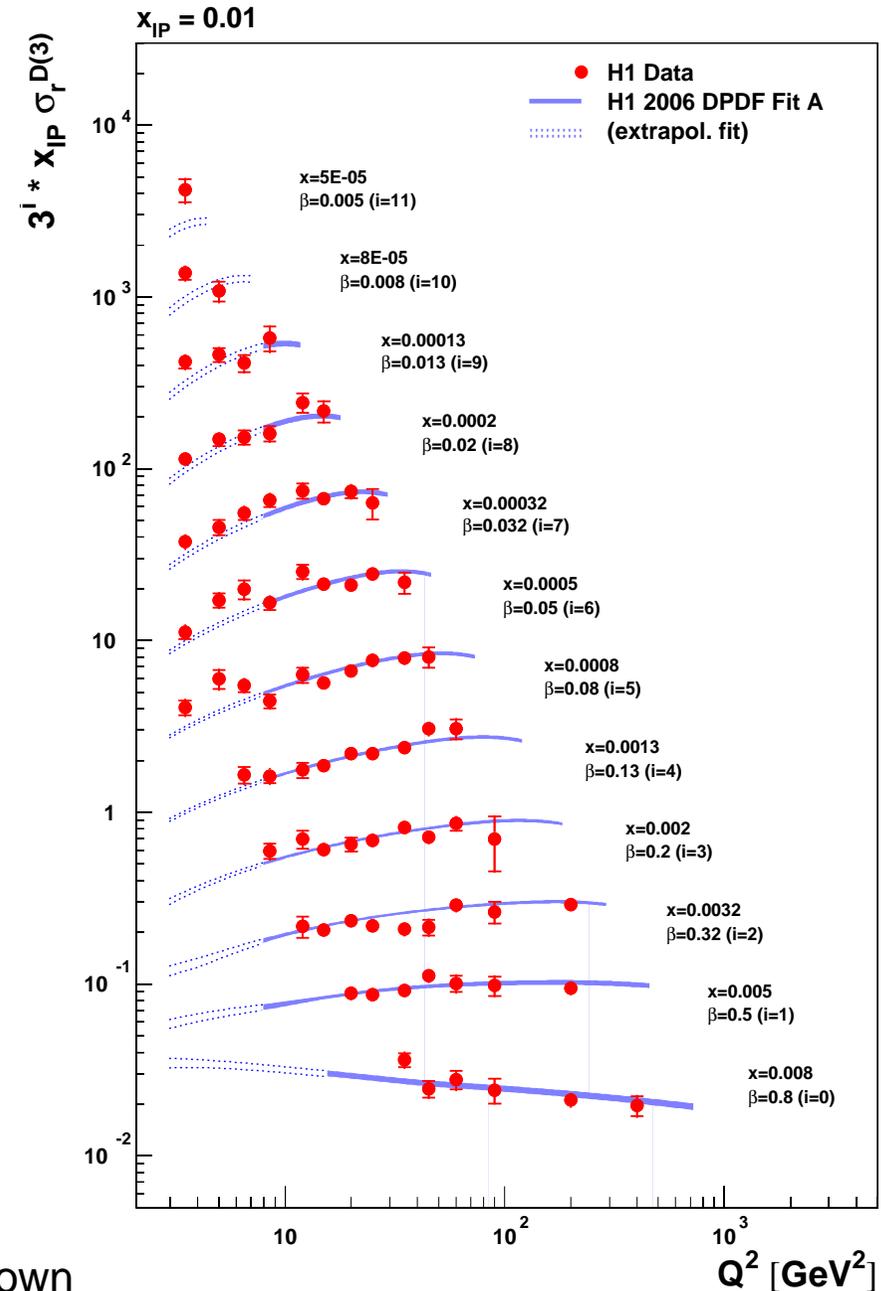
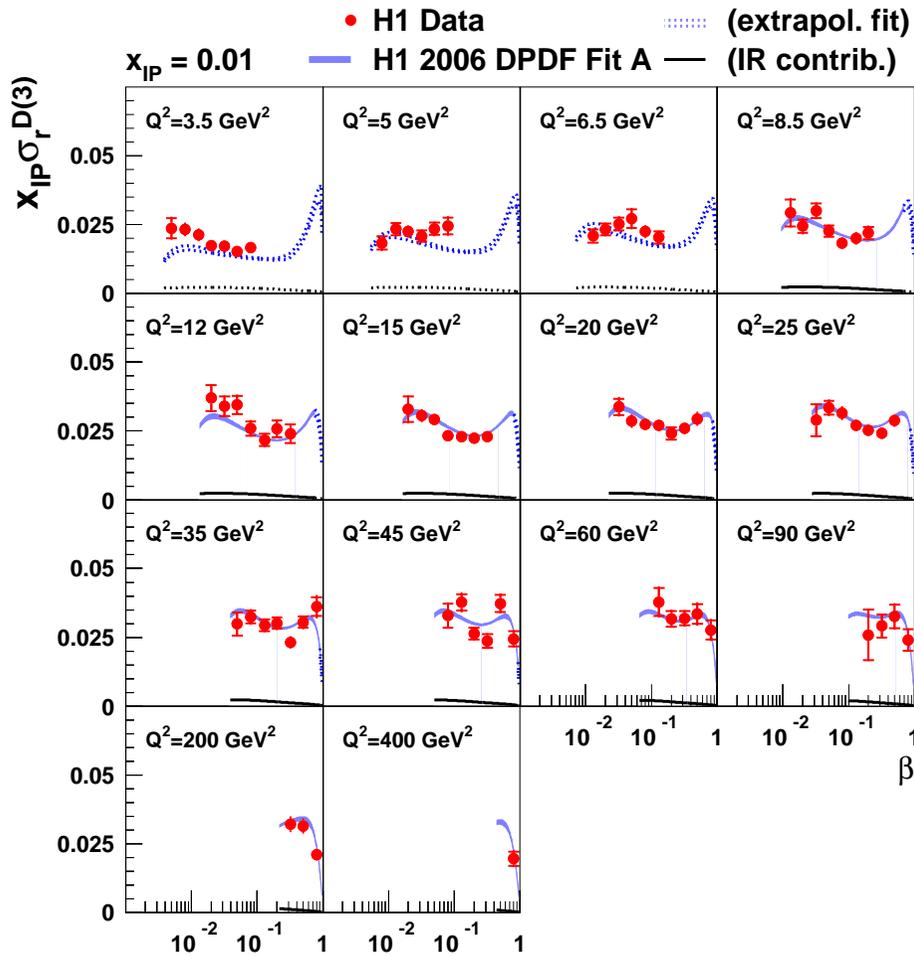


# H1: $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at fixed $x_{IP}$ ( $x_{IP} = 0.003$ )

- Data compared with "H1 2006 DPDF fit" + error band
- Large positive  $Q^2$  scaling violation up to high  $\beta$  values
- Small  $F_L^D$  contribution at low  $\beta$



# H1: $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at fixed $x_{IP}$ ( $x_{IP} = 0.01$ )



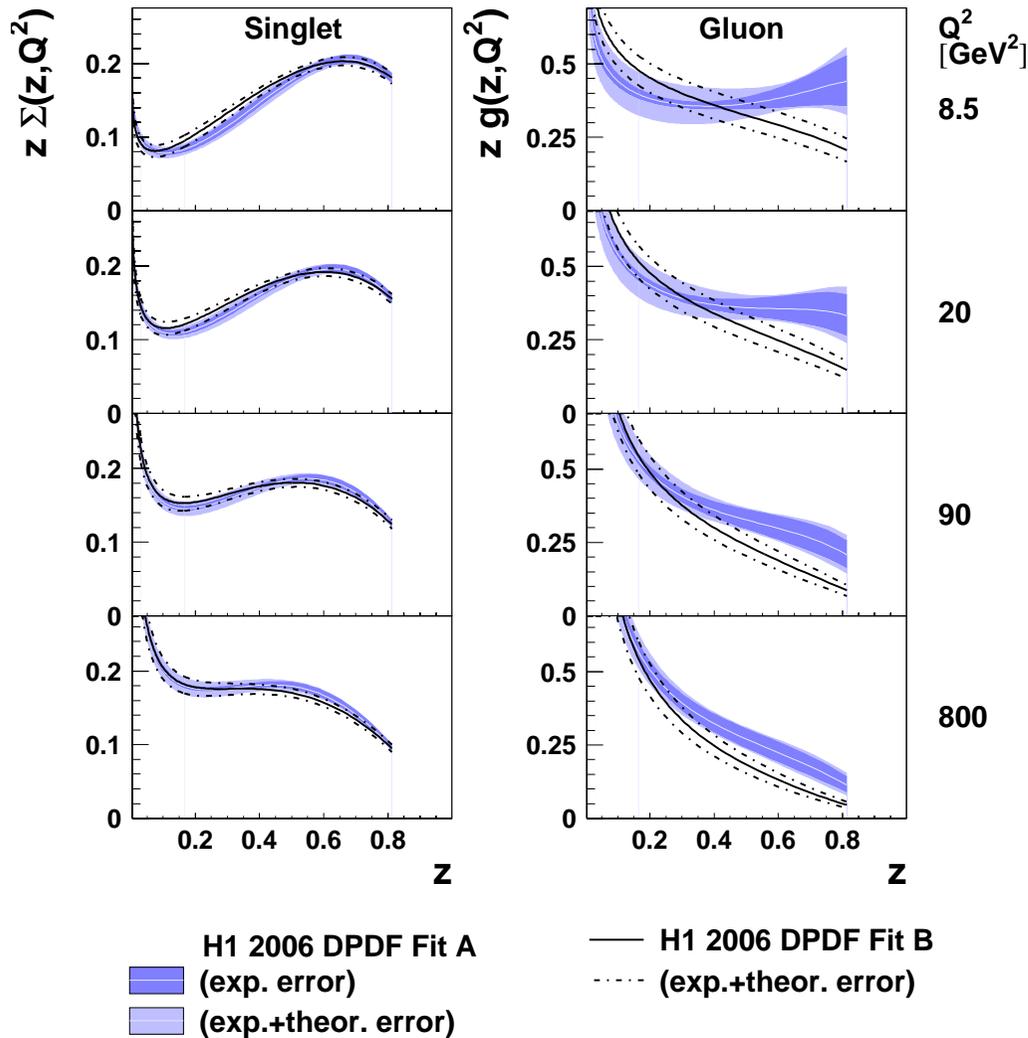
+ Other  $x_{IP}$  bins at 0.0003, 0.001 and 0.03 not shown

# QCD Analysis of H1 Data

---

- Fit H1 LRG data in fixed  $x_{\mathcal{P}}$  binning using NLO DGLAP evolution of DPDFs (massive scheme) to describe  $x$ ,  $Q^2$  dependences
- Proton vertex factorization framework assumed
- Fit all H1 LRG data with  $Q^2 \geq 8.5 \text{ GeV}^2$ ,  $M_X > 2 \text{ GeV}$ ,  $\beta \leq 0.8$   
→ Ensure stability of fit with variations of kinematic boundaries
- Parametrize:
  - quark singlet:  $z\Sigma(z, Q_0^2) = A_q z^{B_q} (1 - z)^{C_q}$
  - gluon density:  $zg(z, Q_0^2) = A_g (1 - z)^{C_g}$   
gluon insensitive to  $B_g$
  - $\alpha_{\mathcal{P}}(0)$  (describes  $x_{\mathcal{P}}$  dependence)
- Fix:
  - use world average for  $\alpha_s(M_Z) = 0.118$
  - sub-leading  $\mathcal{IR}$  flux parameters taken from previous data
  - sub-leading  $\mathcal{IR}$  PDFs from Owens- $\pi$  **but** free normalization
- Small number of parameters in DPDFs  
→ Need to optimize  $Q_0^2$  wrt  $\chi^2$

# H1 2006 DPDF fit results



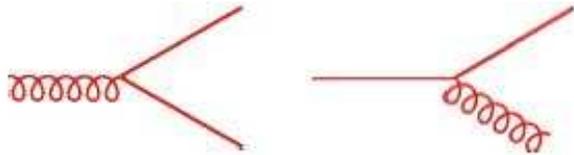
- **Fit A:**  $Q_0^2 = 1.45 \text{ GeV}^2$   
 $\chi^2 \sim 158/183 \text{ dof}$ 
  - Singlet constrained to  $\sim 5\%$
  - Gluon to  $\sim 15\%$  at low  $z$
  - Gluon error band blowing up at highest  $z$
  
- **Fit B:**  $z g(z, Q_0^2) = A_g$   
 $\chi^2 \sim 164/184 \text{ dof}$ 
  - Singlet very stable
  - Gluon similar at low  $z$
  - Gluon change at high  $z$

—→ New Diffractive PDFs available  
 —→ Lack of sensitivity to gluon at high  $z$

# H1 Fit: High $z$ sensitivity to gluon

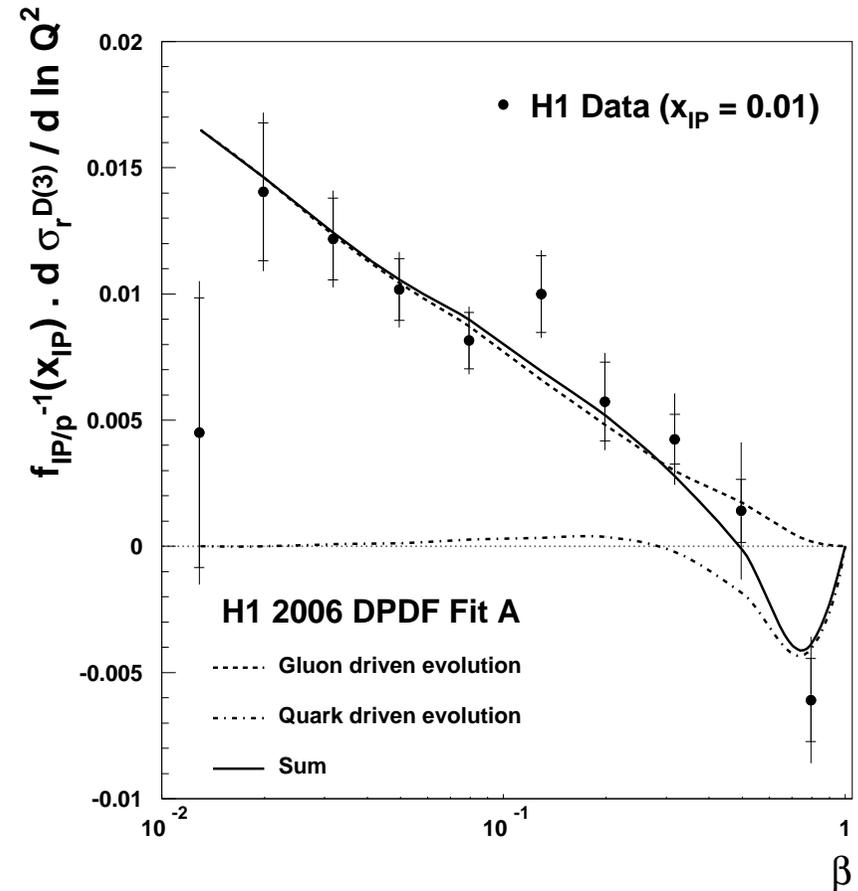
- As there are only singlet quarks, the evolution eq. for  $F_2^D$  is

$$\frac{dF_2^D}{d\ln Q^2} \sim \frac{\alpha_s}{2\pi} [P_{qg} \otimes g + P_{qq} \otimes \Sigma]$$

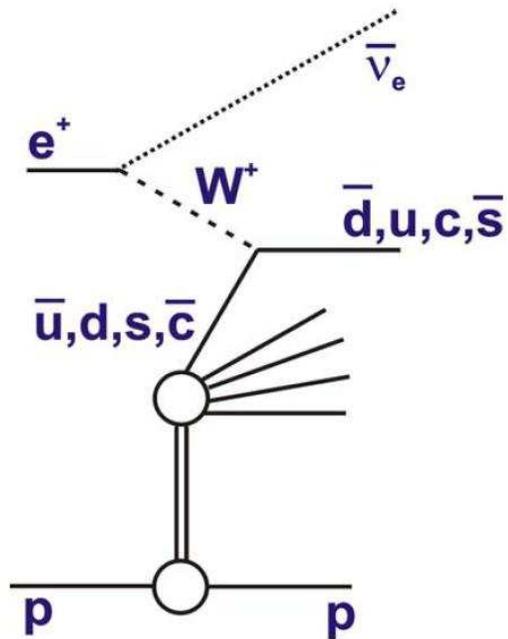


- At low  $\beta$ , evolution driven by  $g \rightarrow qq$   
 → strong sensitivity to gluon
- At high  $\beta$ , relative error on derivative grows,  $q \rightarrow qq$  contribution becomes important  
 → sensitivity to gluon is lost

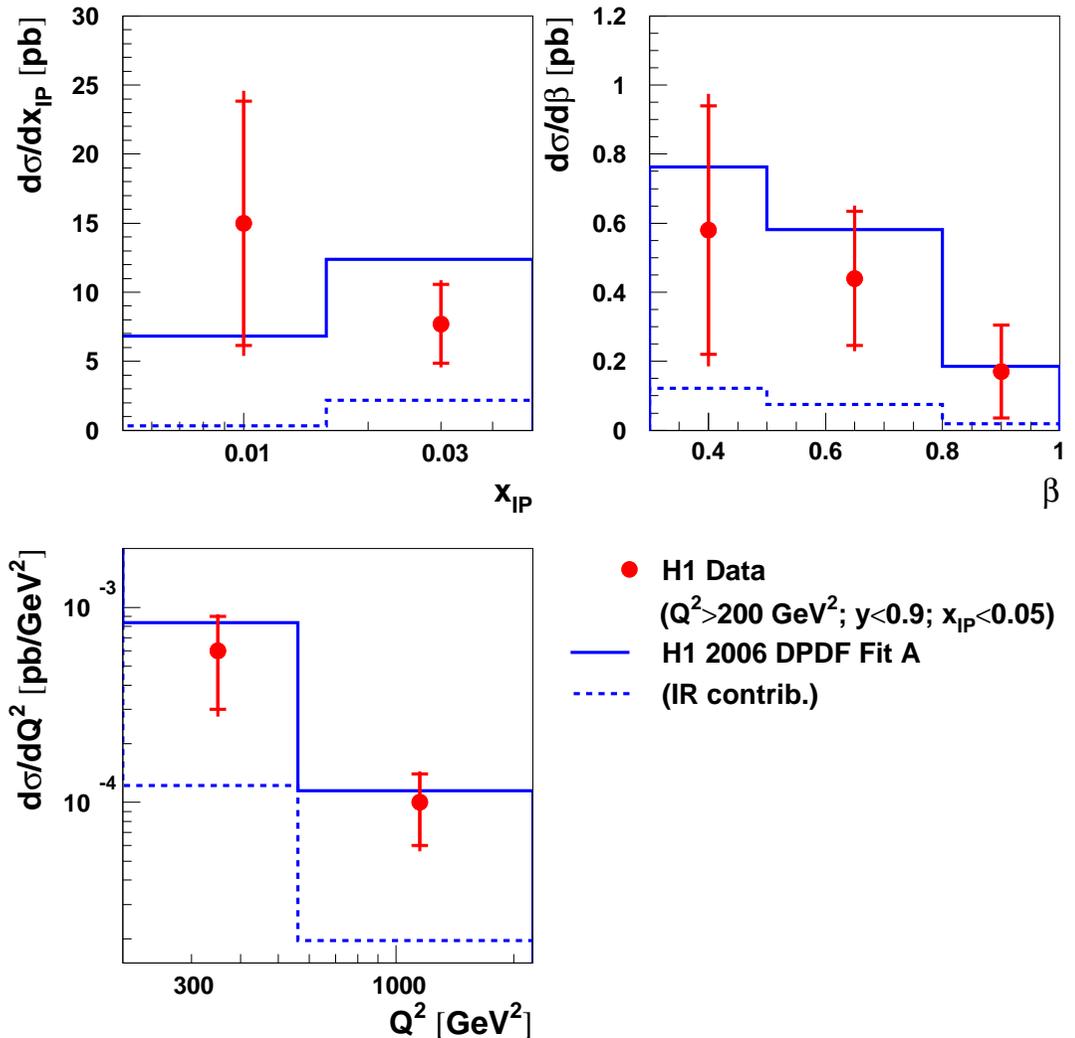
Log. Derivative wrt  $Q^2$



# Diffractive Charged Current Cross Section

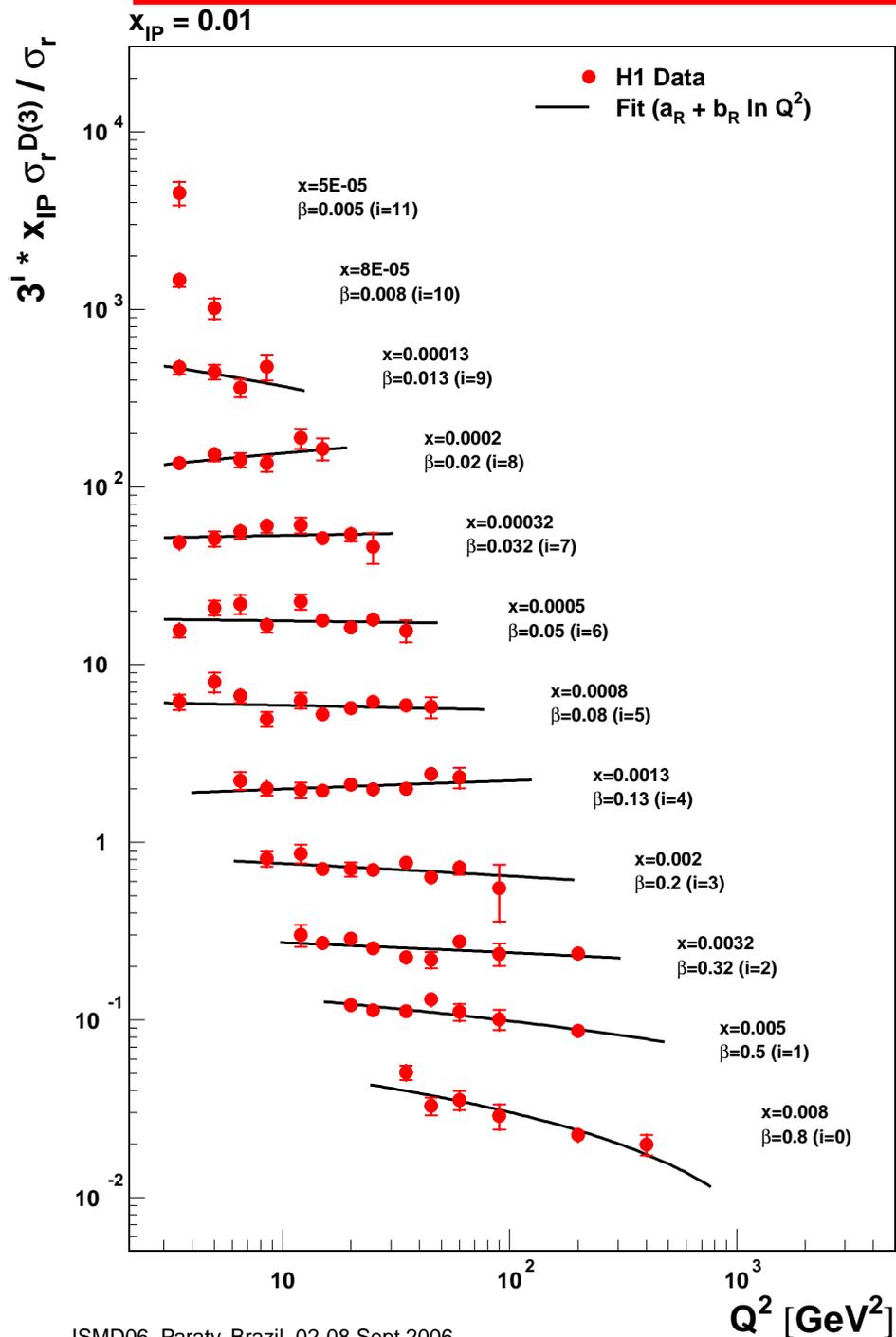


Sensitive to flavour decomposition of quark singlet (unconstrained by Neutral Currents)

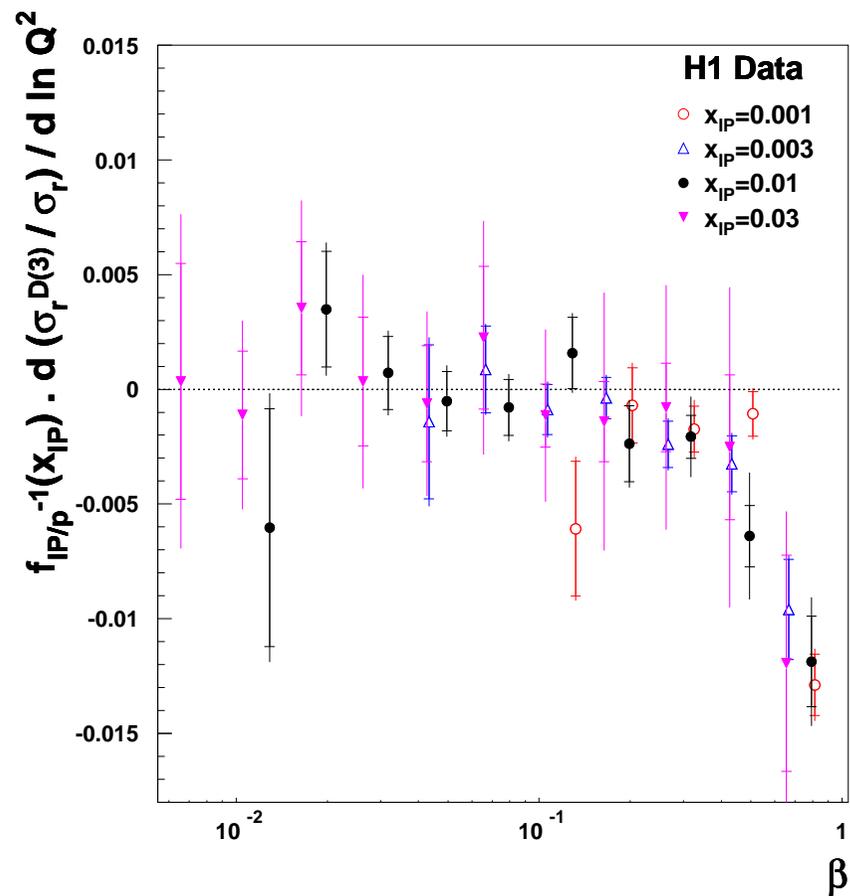


Agreement with H1 2006 DPDFs (assumes  $u = d = s = \bar{u} = \bar{d} = \bar{s}$ ) but statistical precision very limited so far

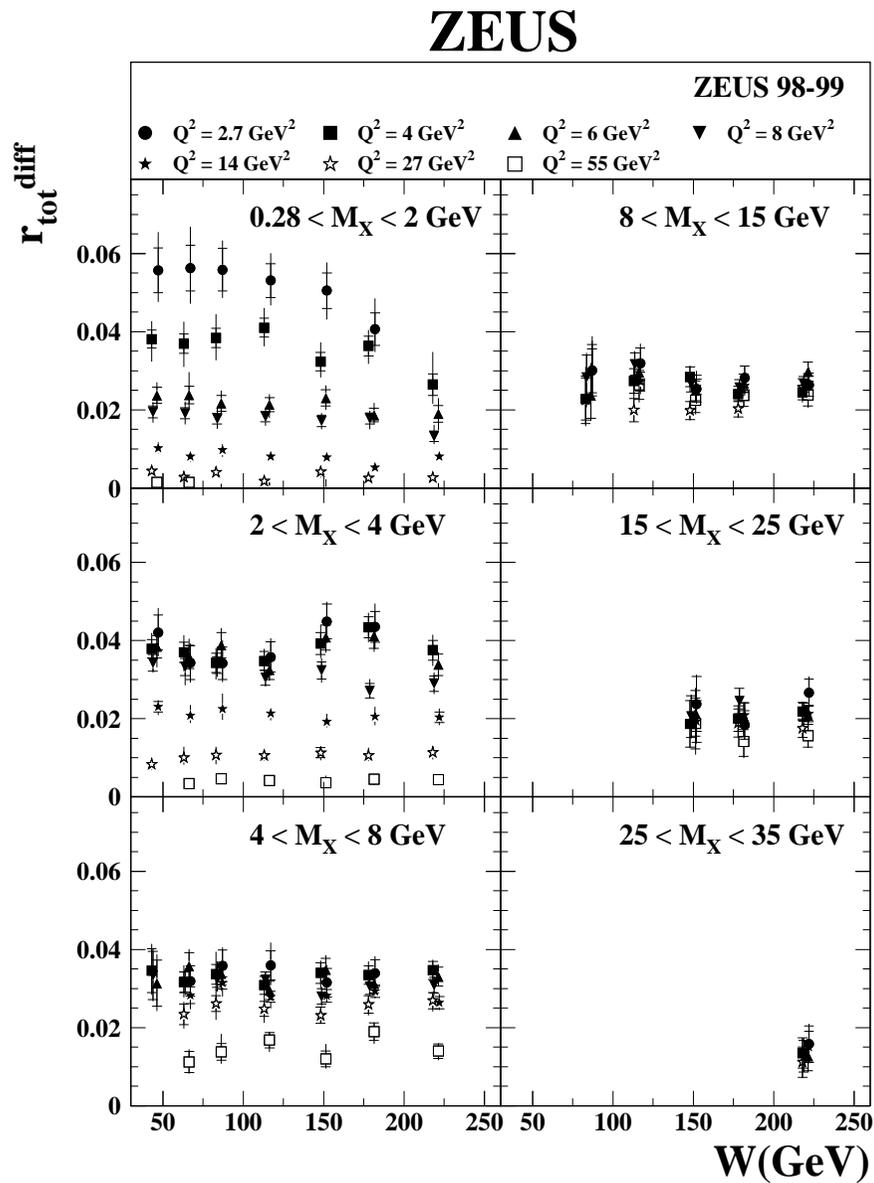
# Diffractive / Inclusive DIS Ratio



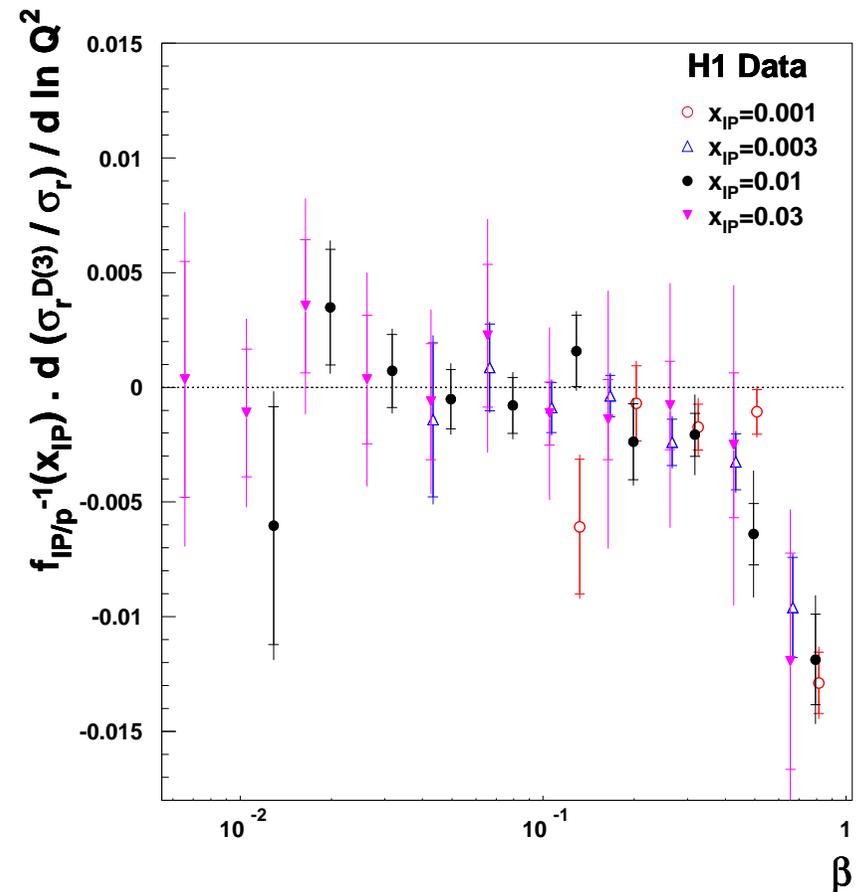
- Ratio is flat (fit  $A + B \ln Q^2$ ) except at high  $\beta$  (low  $M_X$ )  
↔ derivative  $\sim 0$



# Diffraction / Inclusive DIS Ratio

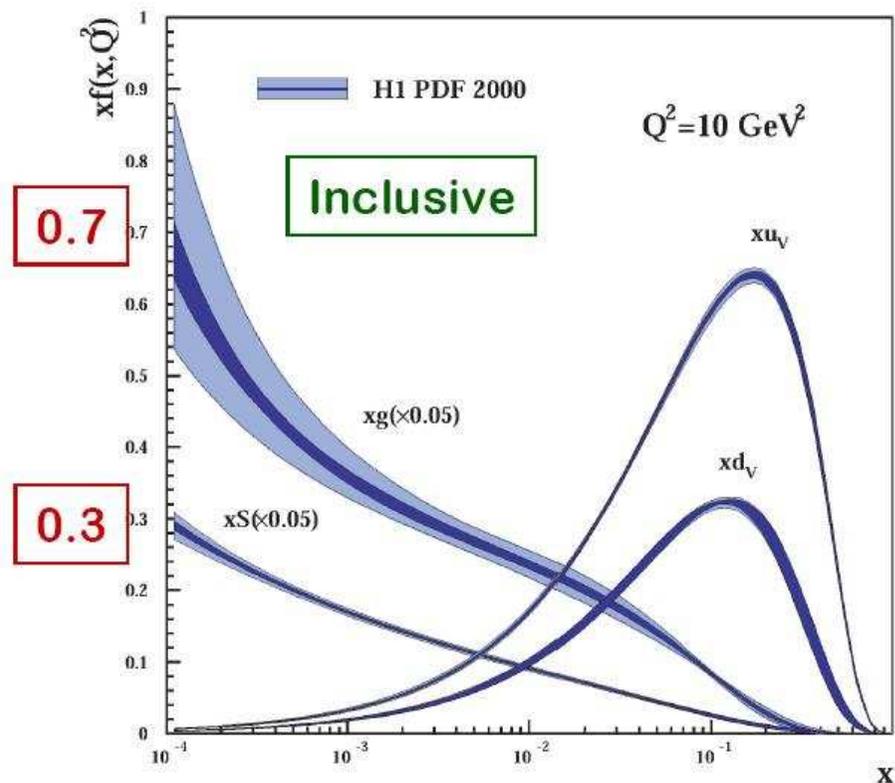
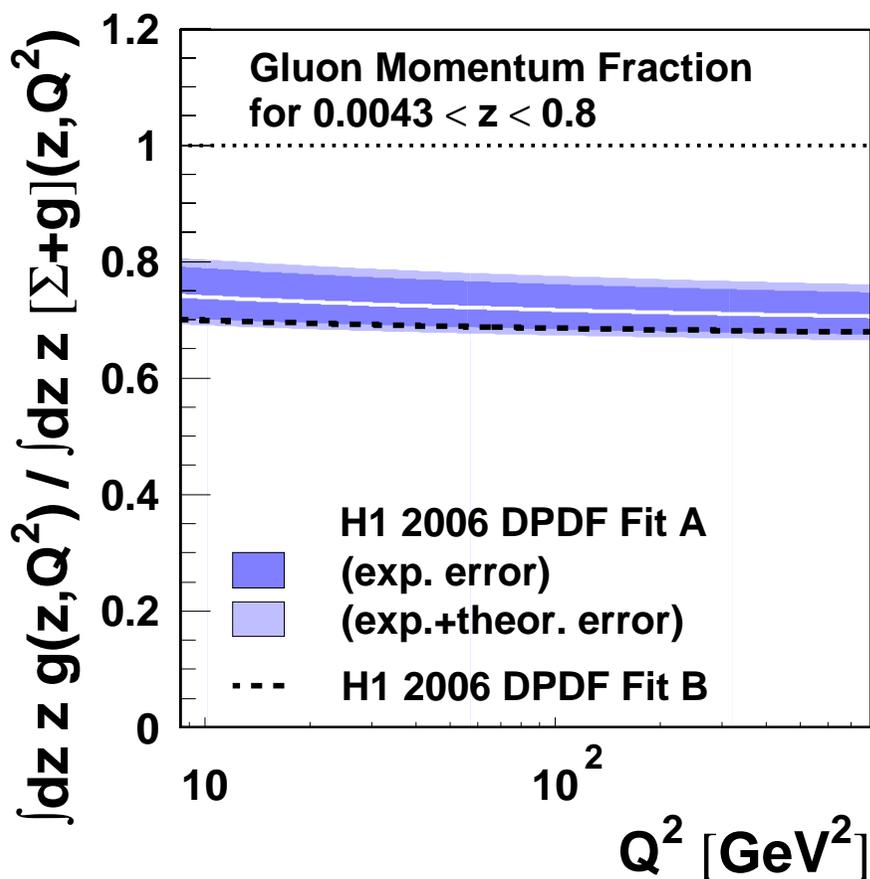


- Ratio is flat (fit  $A + B \ln Q^2$ ) except at high  $\beta$  (low  $M_X$ )  
 $\leftrightarrow$  derivative  $\sim 0$
- Similar results from ZEUS



# H1: Gluon Momentum Fraction

$$\text{If } \frac{d(\sigma_r^D/\sigma_r)}{d\ln Q^2} \sim 0 \implies \frac{1}{\sigma_r^D} \frac{d\sigma_r^D}{d\ln Q^2} \simeq \frac{1}{\sigma_r} \frac{d\sigma_r}{d\ln Q^2} \implies \frac{g^D}{q^D} \simeq \frac{g}{q}$$



At low  $x$ , quark:gluon ratio  $\sim 70\%/30\%$ , as in inclusive case

# Effective Pomeron Trajectory Intercept

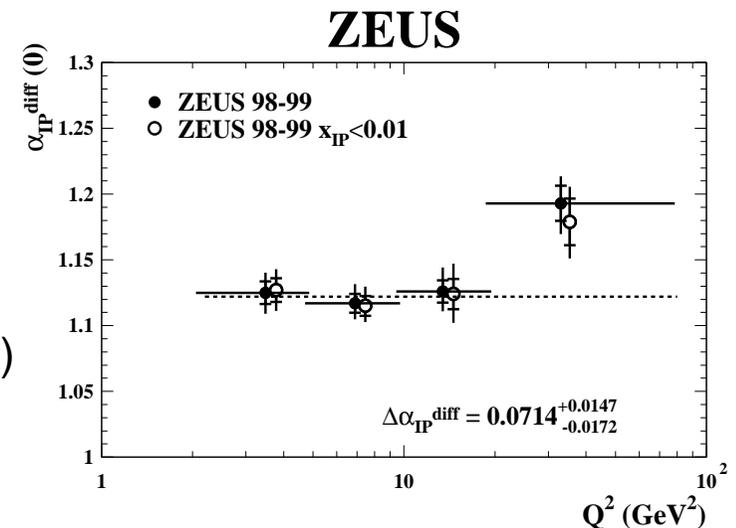
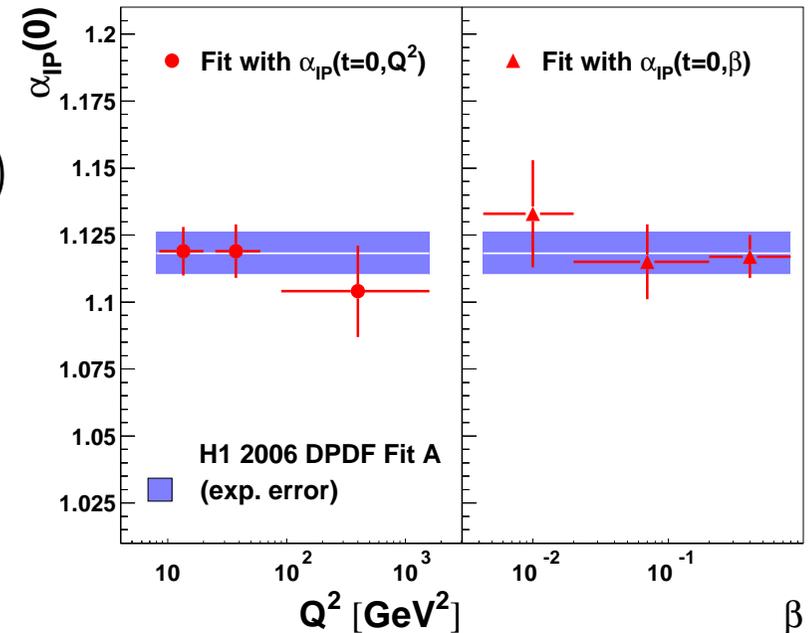
## H1 Pomeron Intercept from QCD fit:

- $\alpha_{IP}(0) = 1.118 \pm 0.008(\text{exp.})_{-0.10}^{+0.029}(\text{th.})$
- Dominant uncertainty from strong correlation with  $\alpha'_{IP}$
- No variation in  $Q^2$  or  $\beta$ 
  - support p vertex factorization
- Consistent with FPS result:

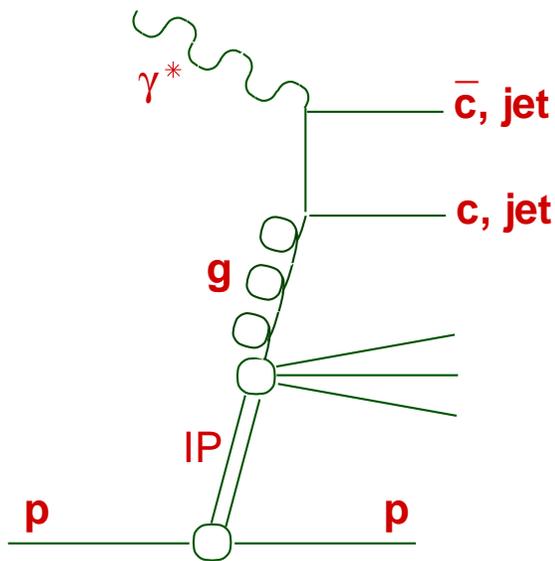
$$\alpha_{IP}(0) = 1.114 \pm 0.018(\text{stat.}) \pm 0.012(\text{syst.})_{-0.020}^{+0.040}(\text{th.})$$

## ZEUS results:

- Variation with  $Q^2$  observed
- $\alpha_{IP}(0) = 1.1220 \pm 0.0140(\text{stat.})_{-0.0114}^{+0.0132}(\text{syst.})$



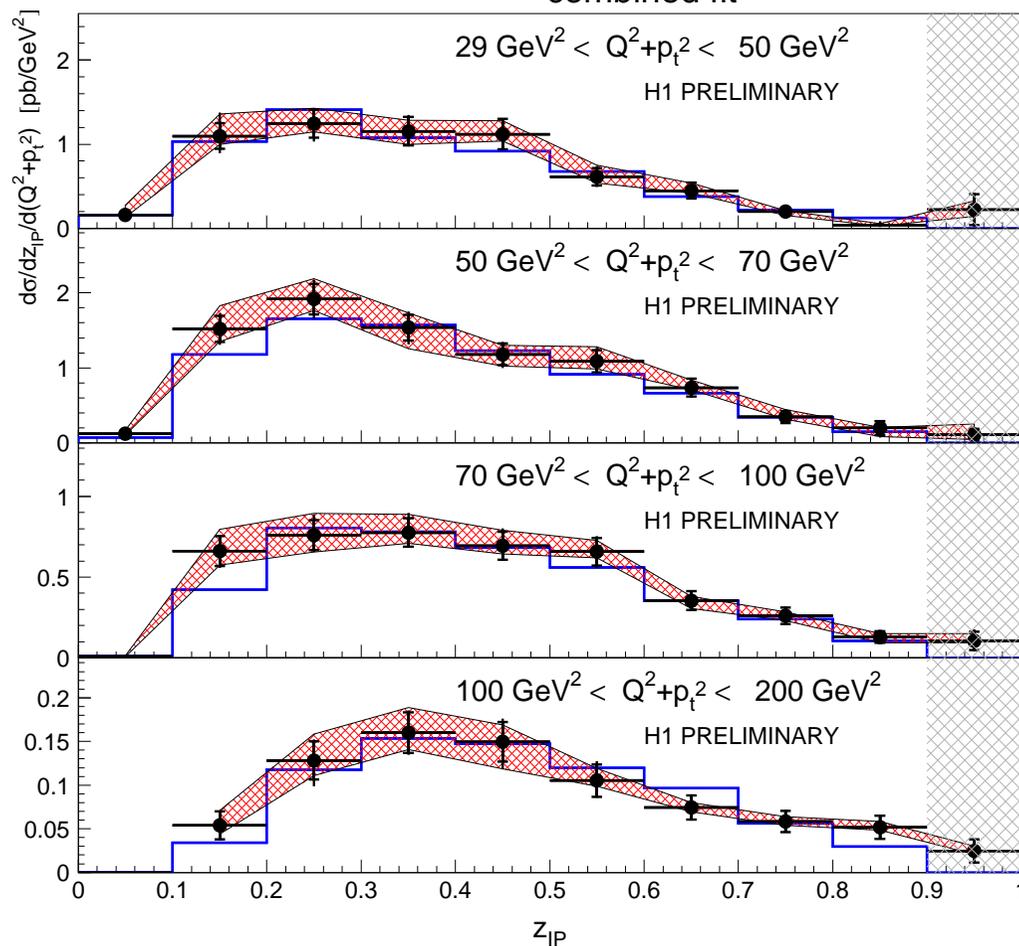
# H1: Combined fit with diffractive dijets



## Diffractive dijets H1 Preliminary results

- 99-2000 data ( $50 \text{ pb}^{-1}$ )
- $4 < Q^2 < 80 \text{ GeV}^2, 0.1 < y < 0.7$
- $x_{IP} < 0.03$

 H1 prel. data (corr. err.)  
 combined fit

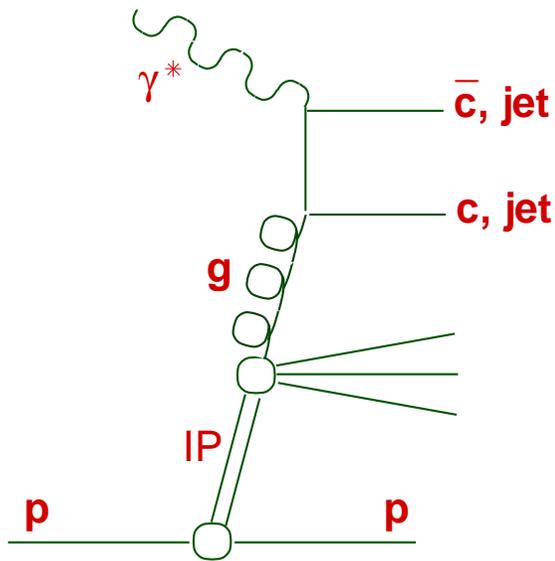


- Sensitivity to gluon at high  $z$
- Combined QCD fit to dijets and inclusive data to constrain gluon at high  $z$

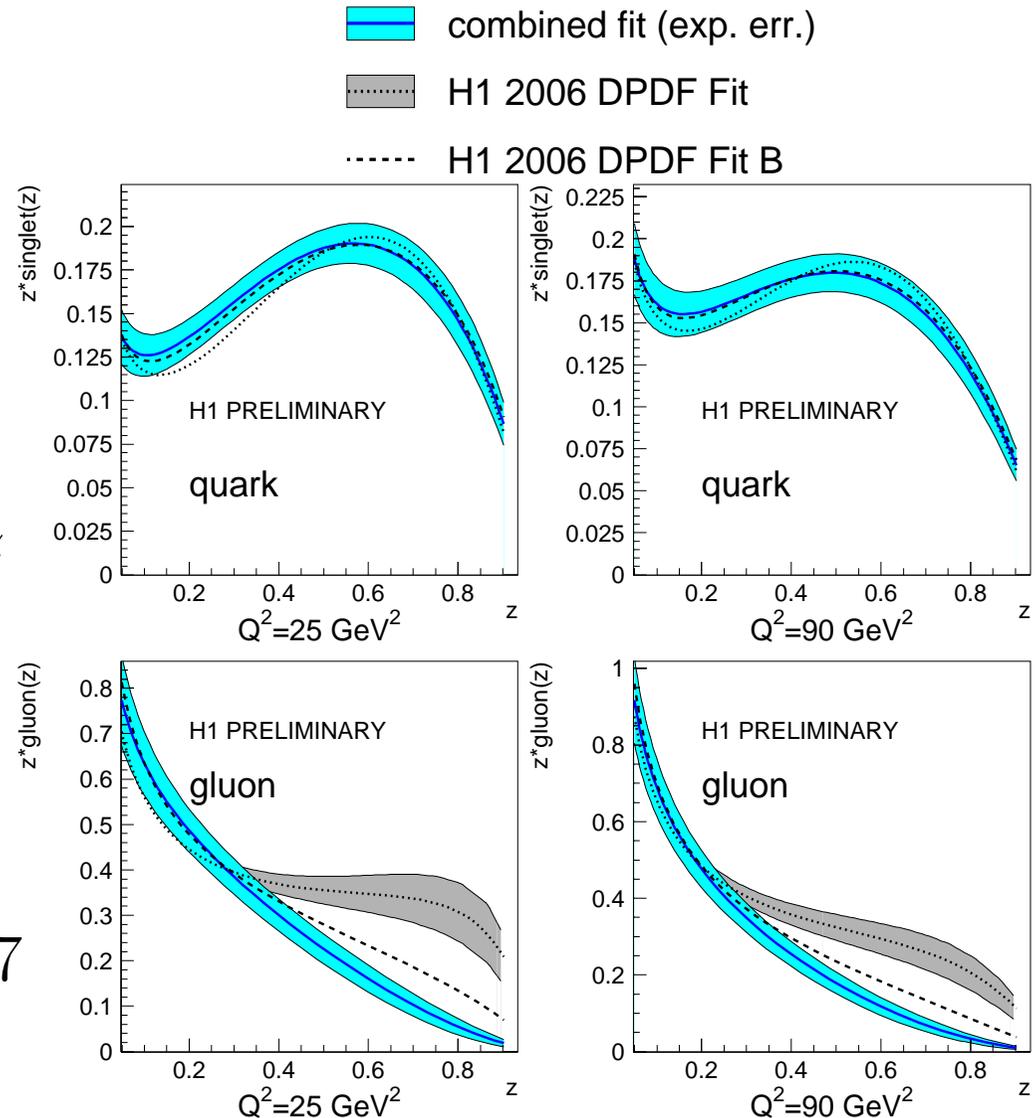
# H1: Combined fit with diffractive dijets

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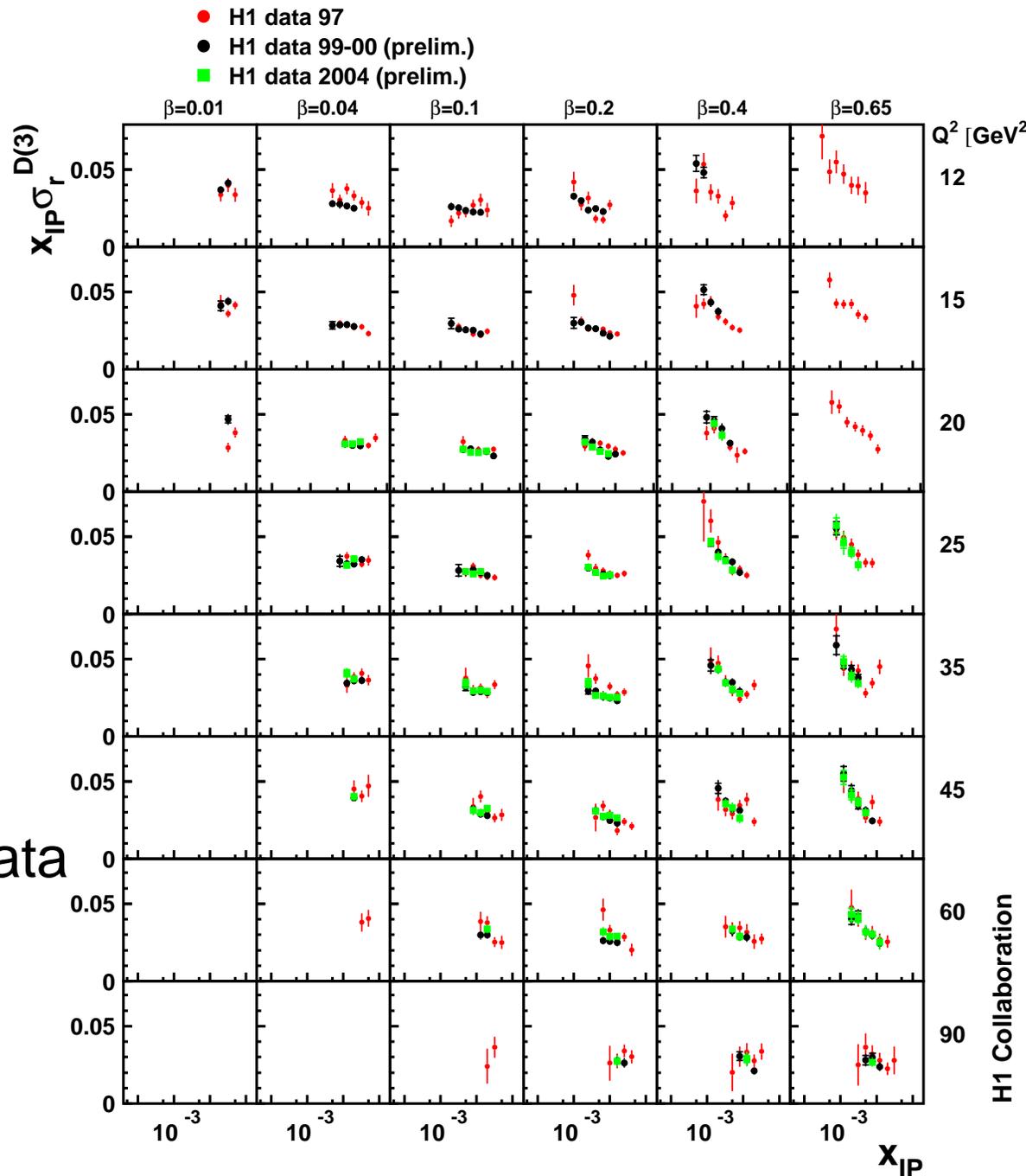


- Sensitivity to gluon at high  $z$   
 → Combined QCD fit to dijets and inclusive data to constrain gluon at high  $z$
- Fit successful:  $\chi^2 = 196/217$  and stable at high  $z$



# New H1 Data with Rapidity Gap Method

- H1 published data
- H1 Prelim. 99-00, 34 pb<sup>-1</sup>  
 $10 < Q^2 < 105 \text{ GeV}^2$
- H1 Prelim. 2004, 34 pb<sup>-1</sup>  
 $17.5 < Q^2 < 105 \text{ GeV}^2$
- Large increase in statistics
- Consistent with published data



# CONCLUSION

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- H1 diffractive measurements (FPS and LRG methods) published
  - hep-ex/0606003 and hep-ex/0606004 (accept. by EPJC)
  - Data from both methods agree in detail
  - Good agreement with ZEUS-LPS data
- New preliminary H1 data with large statistics
- Proton vertex factorization provides a good approximation for the  $x_{\mathbb{P}}$  dependence (except maybe at high  $Q^2$ , cf ZEUS data)
- Ratio diffractive/inclusive DIS measured
  - Flat with  $Q^2$  and  $W$  except at high  $\beta$  ( i.e. low  $M_X$ )
- Diffractive PDFs extracted from NLO QCD fits to H1 data
  - Quark singlet very well constrained ( $\sim 5\%$ )
  - Gluon constrained to  $\sim 15\%$ , but poorly known at high  $z$
  - Combined fits with diffractive dijets helps to constrain the gluon at high  $z$