

# QCD dynamics from the forward hadrons and jets measurements



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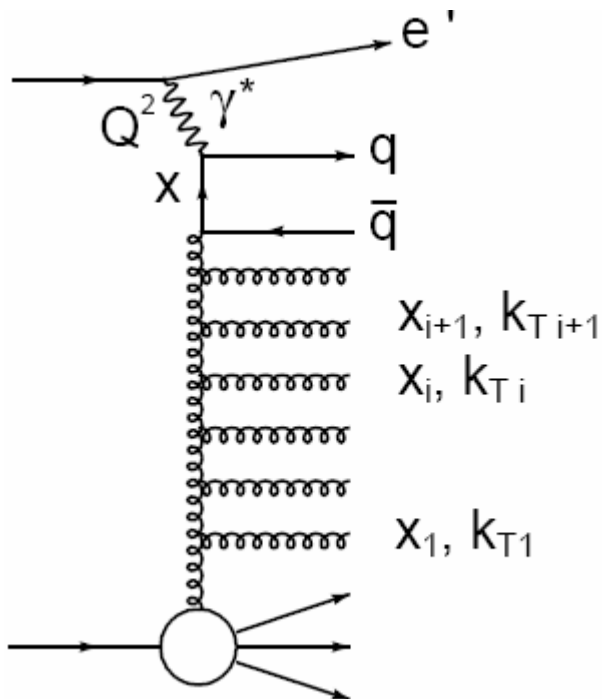
Ringberg Workshop on New Trends in HERA Physics 2005

- QCD dynamics at low Bjorken- $x$
- Monte Carlo models with different QCD dynamics
- NLO DGLAP calculations
- Forward jet and forward  $\pi^0$  measurements
- Conclusions and outlook

# QCD dynamics at low Bjorken- $x$

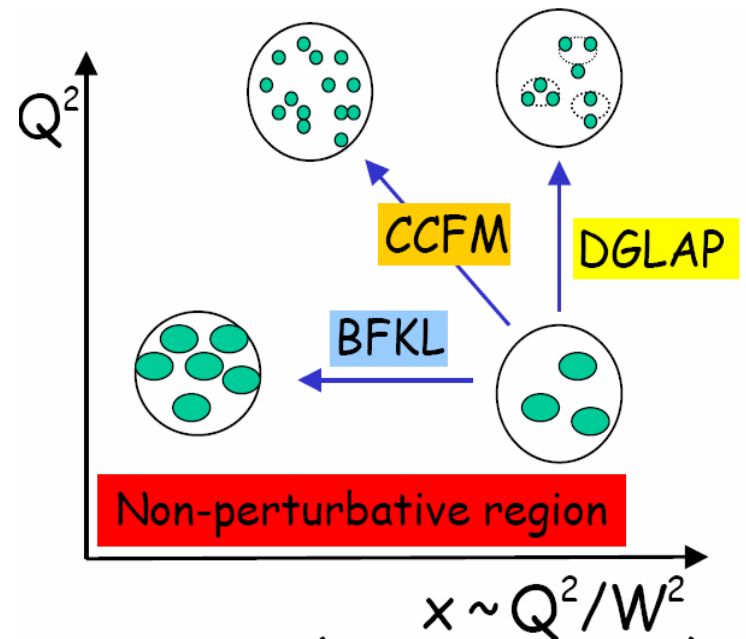
HERA : DIS at low Bjorken- $x$  down to  $10^{-5}$   $\longrightarrow$  large  $\gamma^*p$  centre of mass energy

- enhanced phase space for gluon cascades exchanged between the proton and the photon (large parton densities, saturation ?? )
- multiparton emissions described only in approximations



- **standard DGLAP approximation, large  $Q^2$**  :  
sums terms  $\sim \alpha_s \log Q^2$   
**strong ordering of parton  $k_T$**
- **BFKL evolution equation, low  $x$**  :  
sums terms  $\sim \alpha_s \log(1/x)$   
**strong ordering in  $x_i$  / no ordering in  $k_T$**
- **CCFM equation applicable at all  $x$  and  $Q^2$**  :  
implements **angular ordering** resulting from QCD interference effects

- search for effects of parton dynamics beyond DGLAP
- define observables/phase space regions sensitive to low  $x$  effects
- importance of higher order terms in perturbative expansion

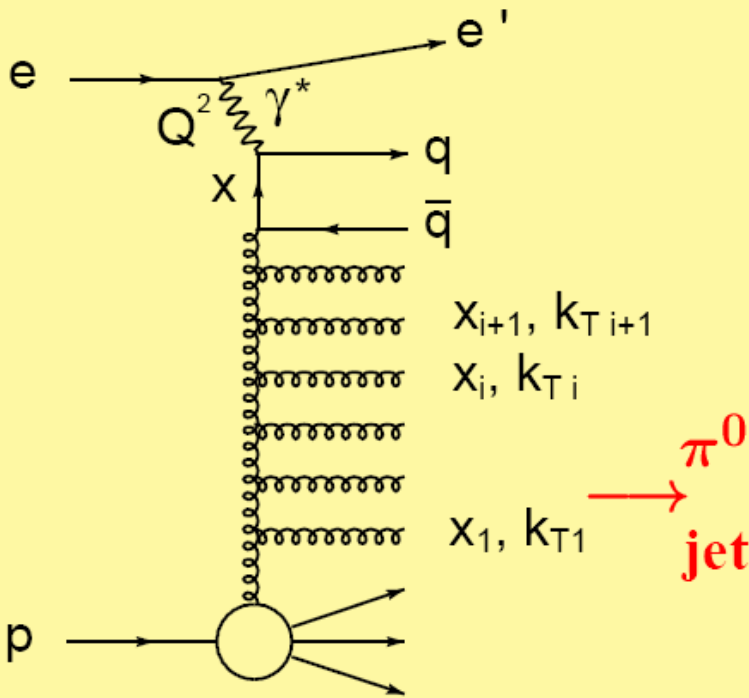


- **Strong rise of  $F_2(x, Q^2)$  with decreasing  $x$**  - well described by NLO DGLAP over a large  $Q^2$  range

interplay of perturbative and non-perturbative effects (input distributions)  
 inclusive  $F_2$  measurement not able to discriminate between different QCD approaches

- **Study of hadronic final states**  $\longrightarrow$  reflection of the kinematic structure of gluon emissions (forward jets/particles, inclusive jets, dijets  $\longrightarrow$  azimuthal jet separation, multijet production, hadrons at large  $p_T$ , transverse energy flow )

# QCD dynamics at low Bjorken-x



**Mueller-Navelet jets in DIS (1990):**  
 high transverse momentum and high energy  
 jets in p-remnant direction  
 (forward region in LAB)

**Suppress DGLAP evolution in  $Q^2$  :**

$$p_{T,jet}^2 \approx Q^2$$

**Enhance BFKL evolution in  $x$  :**

$$x_{jet} \gg x_B$$

$$x_{jet} = \frac{E_{jet}}{E_p}$$

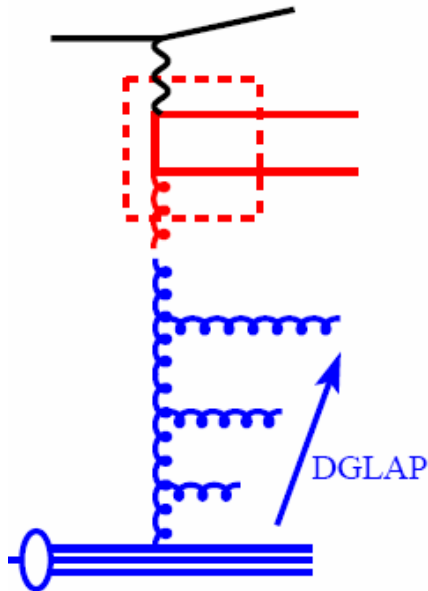
BFKL - more hard partons emitted  
 close to the proton

Forward jets ➔ forward particles    complementary measurements

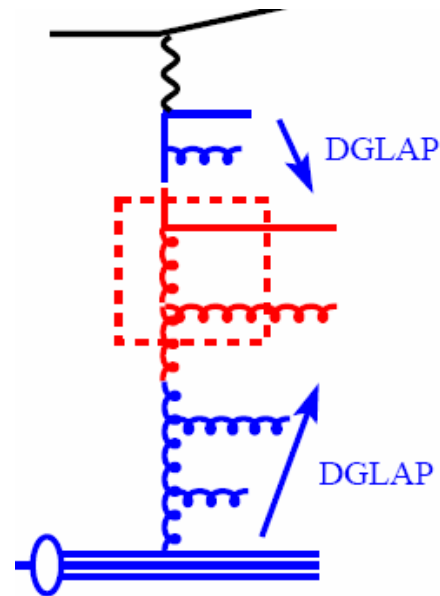
# Monte Carlo models with different QCD dynamics

DGLAP : LO QCD matrix elements + HO modelled by leading log parton showers

Inclusion of resolved photon processes



single DGLAP ladder with  
strong  $k_T$  ordering  
LEPTO, RAPGAP - direct

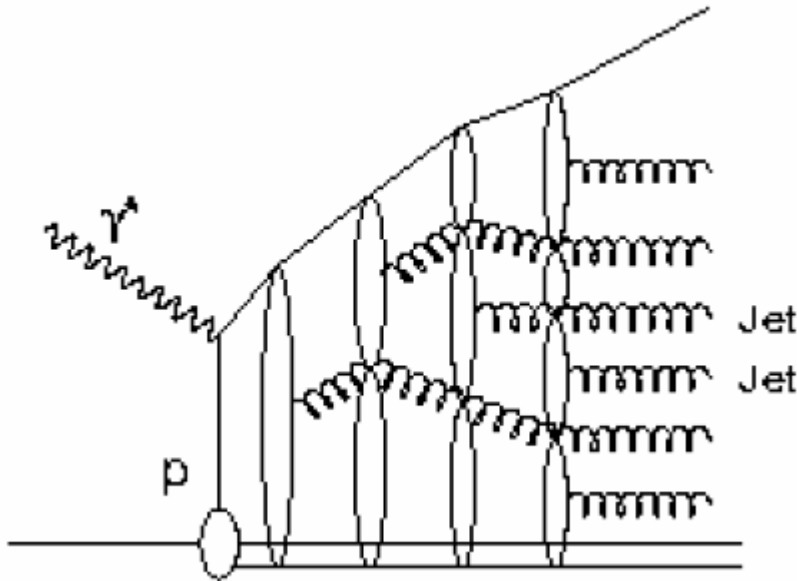


two  $k_T$  ordered DGLAP cascades  
initiated from the proton and the photon  
RAPGAP - resolved

important for  
 $E_T^2 > Q^2$

# Monte Carlo models with different QCD dynamics

**Colour Dipole Model (CDM)** - implementation in Monte Carlo ARIADNE



QCD radiation from the dipole formed by the struck  $q$  and the  $p$  remnant  
→ chain of independently radiated dipoles formed by emitted gluons

BFKL-like Monte Carlo :  
random walk in  $k_T$

**CASCADE : off-shell QCD ME**

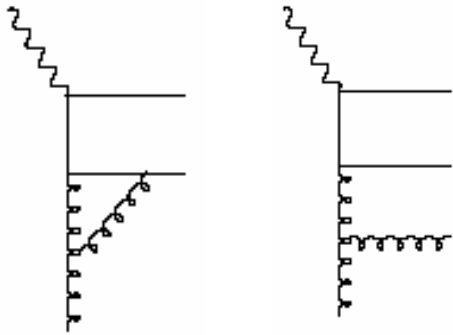
**+ parton emissions based on the CCFM equation**

input: unintegrated gluon densities (fitted using CCFM evolution to describe the inclusive DIS cross sections), different sets of uPDF including singular or full gluon splitting functions

## NLO parton level MC programs

Forward jet cross sections - comparison with the predictions of pQCD at

LO( $\alpha_s$ ) and NLO( $\alpha_s^2$ ) accuracy

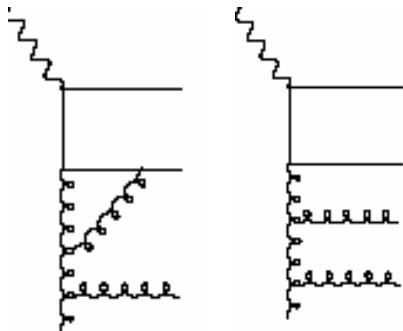


**DISENT** (*Catani, Seymour, 1996-1997*)

NLO( $\alpha_s^2$ ) diagrams for a dijet configuration

**JetVip** (*Pötter, 1999-2000*) - includes NLO corrections to resolved photon processes (approximation of NNLO effects) but has problems with stability of NLO-res, disagrees with other MC in NLO-dir, will be modified ?

Cross section calculations for the production of 'forward jet + 2 additional jets'



**NLOJET++** (*Nagy, Trocsanyi, 2001*) - the only NLO generator for 3-jet configuration

NLO( $\alpha_s^3$ ) diagrams for three-jet production

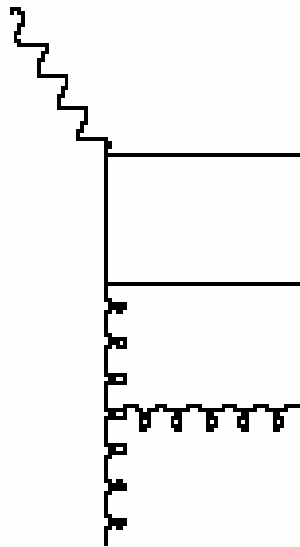
# NLO calculations of high $p_T$ hadron production

Three independent analyses :

Aurenche, Basu, Fontannaz, Godbole hep-ph/0504008 , hep-ph/0312359

Daleo, De Florian, Sassot hep-ph/0411212

Kniehl, Kramer, Maniatis hep-ph/0411300



HO corrections of order  $\alpha_S^2$  to QCD Compton and boson gluon fusion processes

convolution with NLO parton distributions and fragmentation functions

test of the sensitivity to the renormalisation, factorisation and fragmentation scales

Aurenche et al. - also NLO corrections to the resolved photon processes (common scale twice bigger than in Daleo et al. and Kniehl et al. - NLO dir substantially smaller)

**Modified LO BFKL** calculation (Kwiecinski, Martin, Outhwaite hep-ph/9903439)  
major part of non-leading correct. included by consistency constraint



# Production of forward jets and particles - experimental aspects

## Studies for the last 10 years - challenge to the experiment

Region of high energy and particle densities close to the proton remnant

### Jet reconstruction:

Inclusive  $k_T$  algorithm on calorimeter cells or combined track-calorimeter cluster objects (cells + tracks  $\longrightarrow$  improved resolution), in Breit frame or in LAB + phase space constraints

Data correction using LO MC models (acceptance, efficiency, hadronization, QED)

Parton level QCD calcul. corrected for hadronization effects using LO MC models

Main exp. uncertainty : hadronic energy scale (1-4%  $\longrightarrow$  effect on measur. 5-10%)  
model dependence of detector corrections

Reconstruction of forward  $\pi^0$ : high energy  $\pi^0 \longrightarrow 2\gamma$  reconstructed as one electromagnetic cluster in the H1 calorimeter

Identification possible in more forward region than for forward jets, no ambiguities of the jet algorithm

Lower rates and fragmentation effects more significant

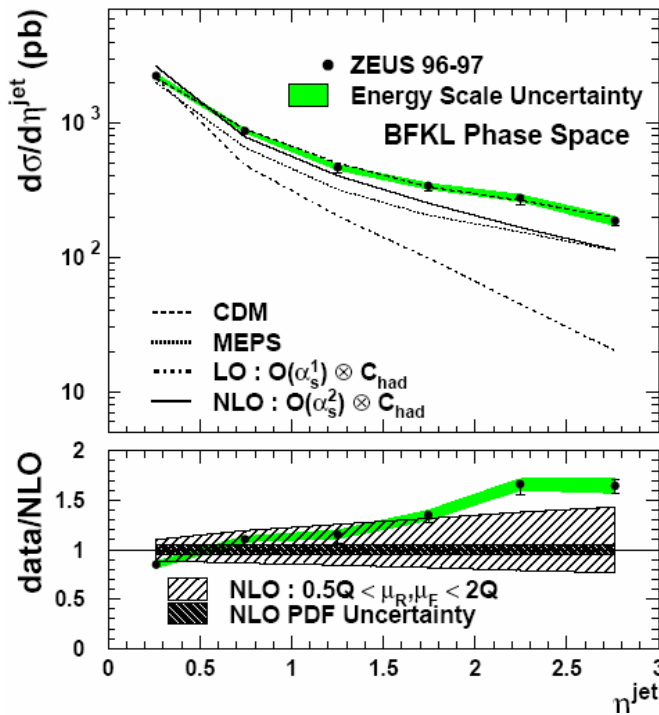
# ZEUS inclusive forward jet measurements

DESY-05-017

Data 96-97, 38 pb<sup>-1</sup>

$Q^2 > 25 \text{ GeV}^2, \gamma > 0.04$

Jet reconstruction in LAB



$$0.5 < E_T^2/Q^2 < 2$$

$$0 < \eta_{jet} < 3$$

$$\gamma_h > 90^\circ$$

Suppresses QPM

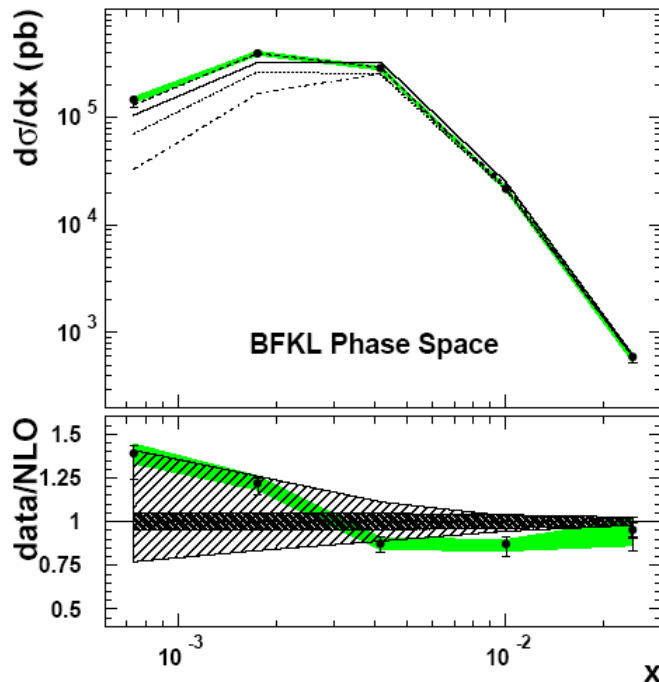
DISENT predictions :

NLO ( $\alpha_s^2$ ),  $\mu_R = Q$  : reasonable description except forward region ( $\eta_{jet} > 1$ ) and low  $x$

Large  $\eta$ , low  $x$

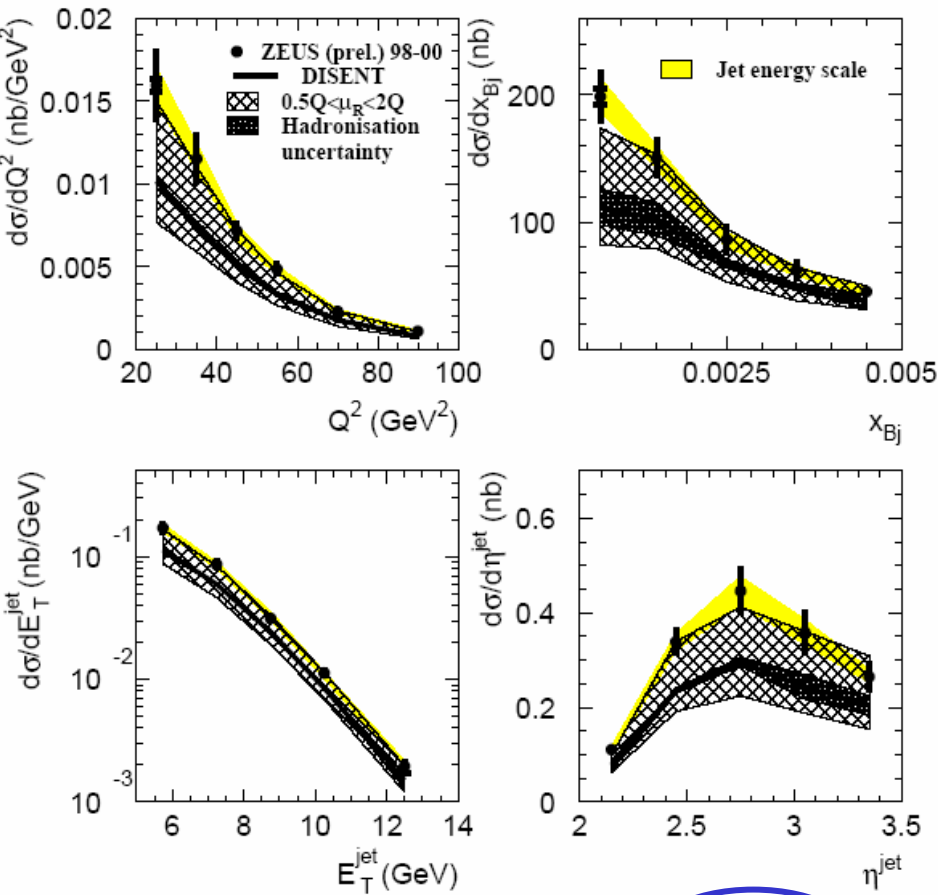
large NLO corrections related with  $t$  channel  $g$  exchange (NLO/LO  $\sim 5$  at  $\eta_{jet} \sim 3$ )

large scale variation uncertainties  $\rightarrow$  higher order terms needed

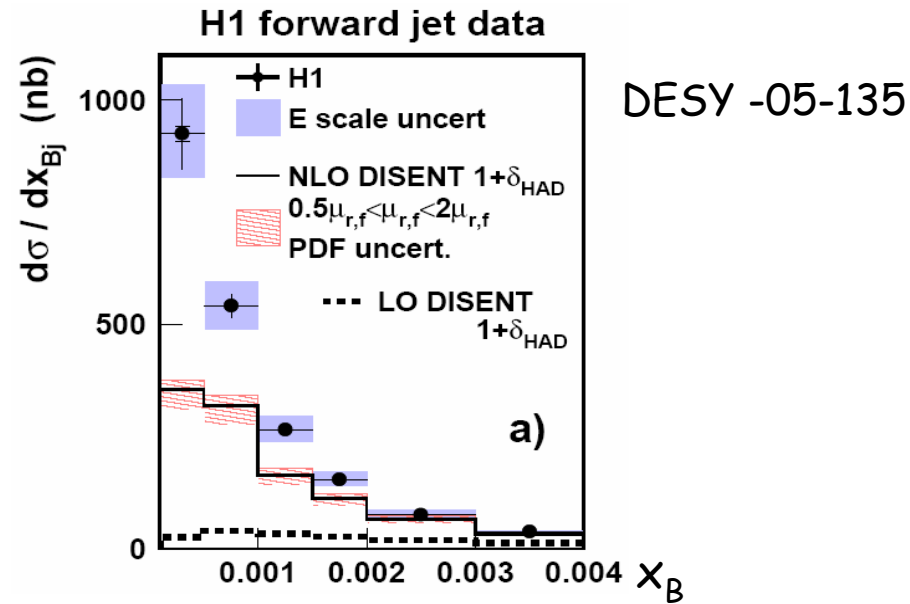


CDM good description

# ZEUS - jets in very forward region



cut	H1 13.7 pb <sup>-1</sup>	ZEUS 82 pb <sup>-1</sup>
Q <sup>2</sup> [ GeV <sup>2</sup> ]	5 - 85	20 - 100
x	0.0001 - 0.004	0.0004 - 0.005
E <sub>T</sub> <sup>2</sup> / Q <sup>2</sup>	0.5 - 5	0.5 - 2
η <sub>jet</sub>	1.7 - 2.8	2 - 3.5



ZEUS DISENT NLO( $\alpha_S^2$ )  $\mu_R^2 = Q^2$

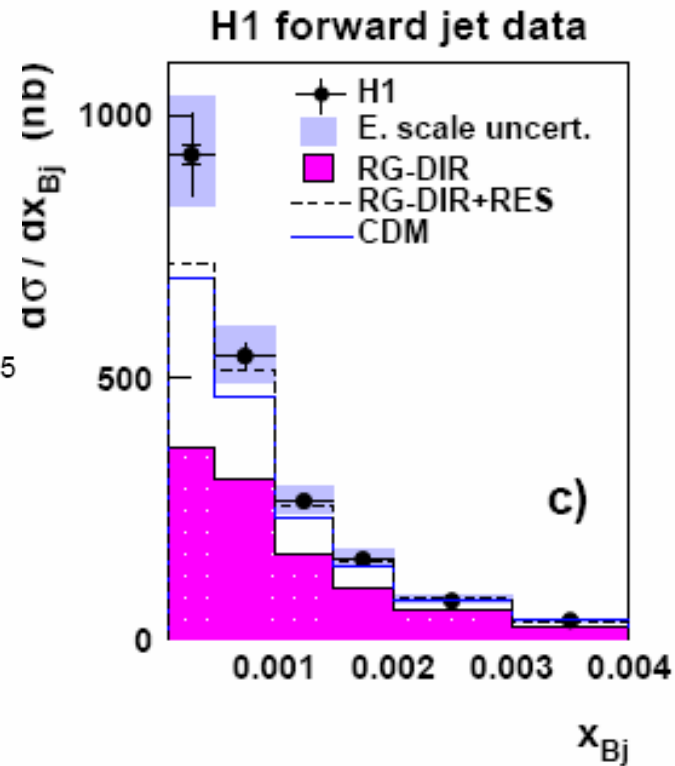
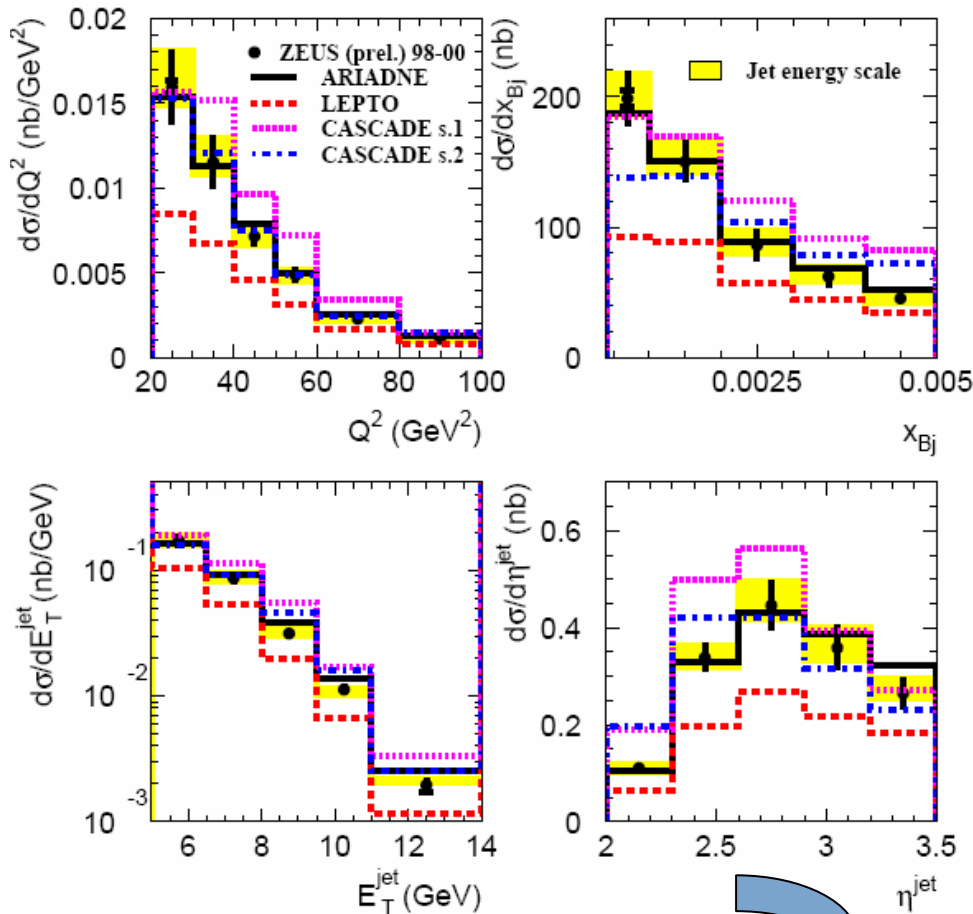
NLO predictions below the data but within theoretical uncertainties

Large renormalisation scale uncertainty

H1 DISENT NLO( $\alpha_S^2$ )  $\mu_R^2 = \langle p_{T,dijets}^2 \rangle$

Low x  $\rightarrow$  NLO prediction order of magnitude larger than LO below the data at low x (factor 2)

# Comparison of H1 and ZEUS forward jets with Monte Carlo models



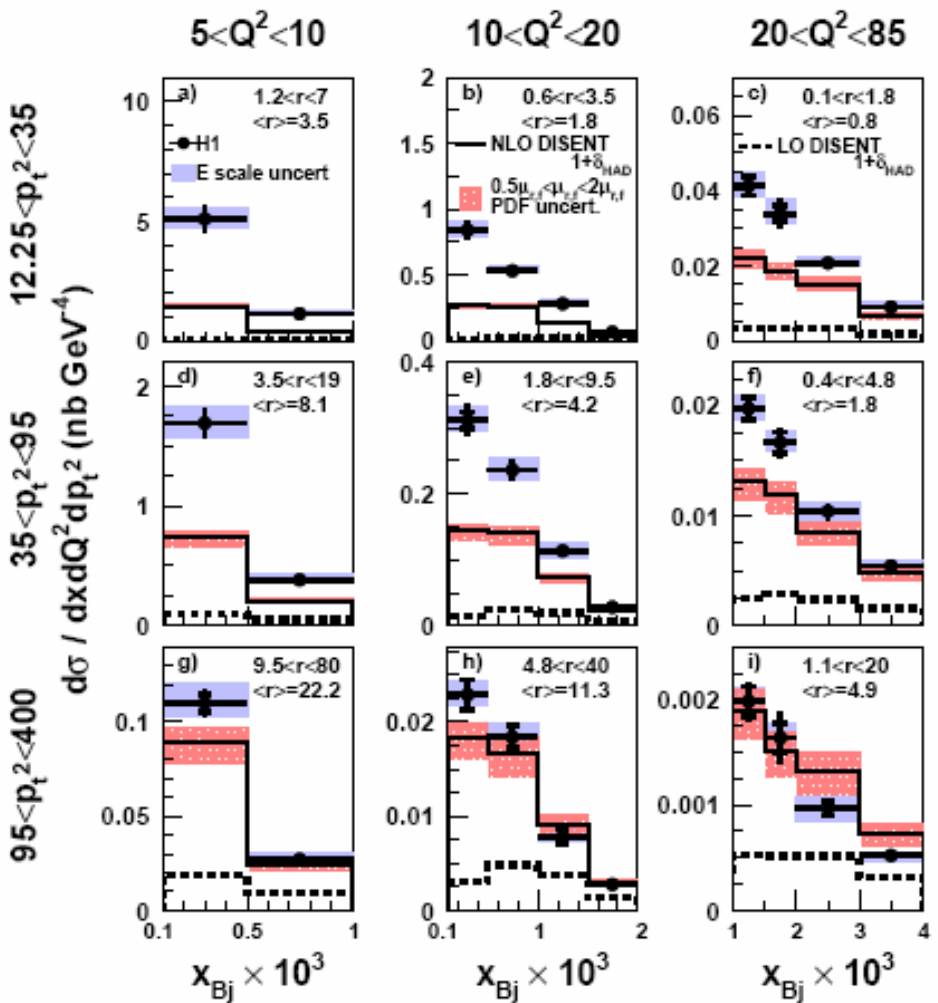
DGLAP- direct below the data  
 CDM ( $k_T$  not ordered) good  
 CCFM fails to describe shapes  
 (parametr. of uPDF, missing  $g \rightarrow qq$  terms ?)

DGLAP -direct below the data  
 resolved photon processes better  
 CDM similar to DGLAP(dir+res)

but

CDM and DGLAP(dir + res) below  
 data at low  $x$

# H1 forward jets: triple differential cross section



Cross section as a function of  $x_B$  in bins of  $Q^2$  and  $p_T^2$  of the forward jet

No  $p_T^2/Q^2$  cut

DISENT NLO( $\alpha_s^2$ ):

NLO dijet calculations closer to the data than LO predictions

NLO agrees better at large  $x_B$ ,  $Q^2$  and  $p_T^2$

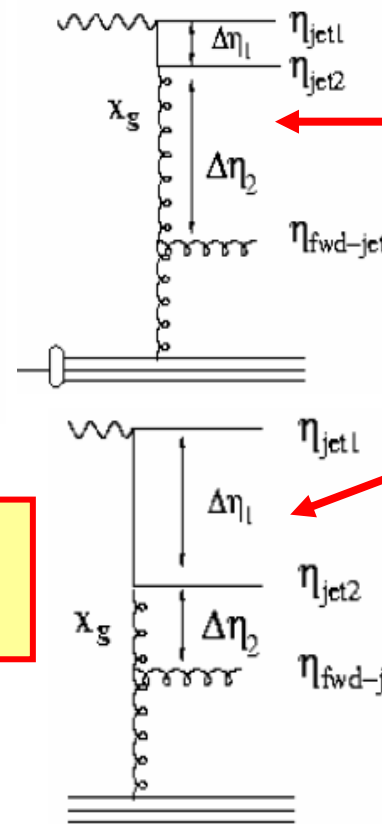
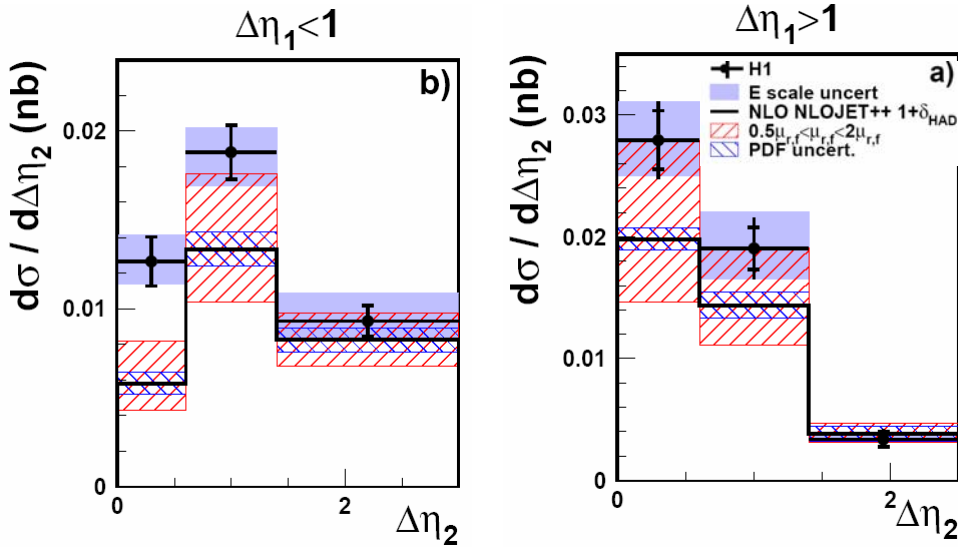
$$\mu_r^2 = \langle p_{t,dijet}^2 \rangle \quad \mu_f^2 = \langle p_{t,fwdjet}^2 \rangle$$

# H1 : dijet + forward jet

Forward jet + 2 hardest jets  
all jets with  $p_T > 6 \text{ GeV}$

$$\eta_e < \eta_{\text{jet1}} < \eta_{\text{jet2}} < \eta_{\text{fwdjet}}$$

Choice of  $\Delta\eta_1$  and  $\Delta\eta_2$  selects different radiation pattern:



Large PS for radiation between forw. jet and dijet

Large  $\Delta\eta_2$

Large PS for radiation between jet<sub>1</sub> and jet<sub>2</sub>

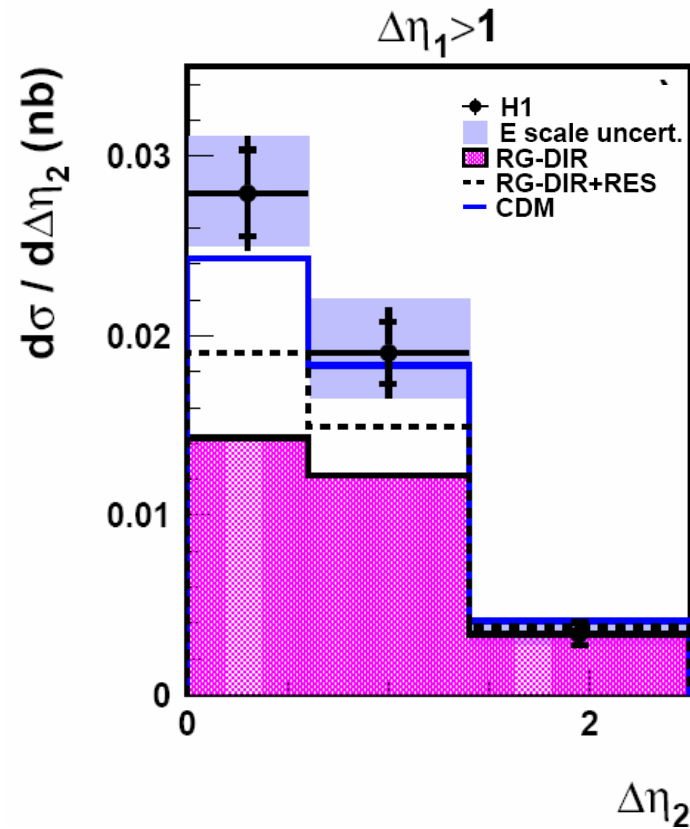
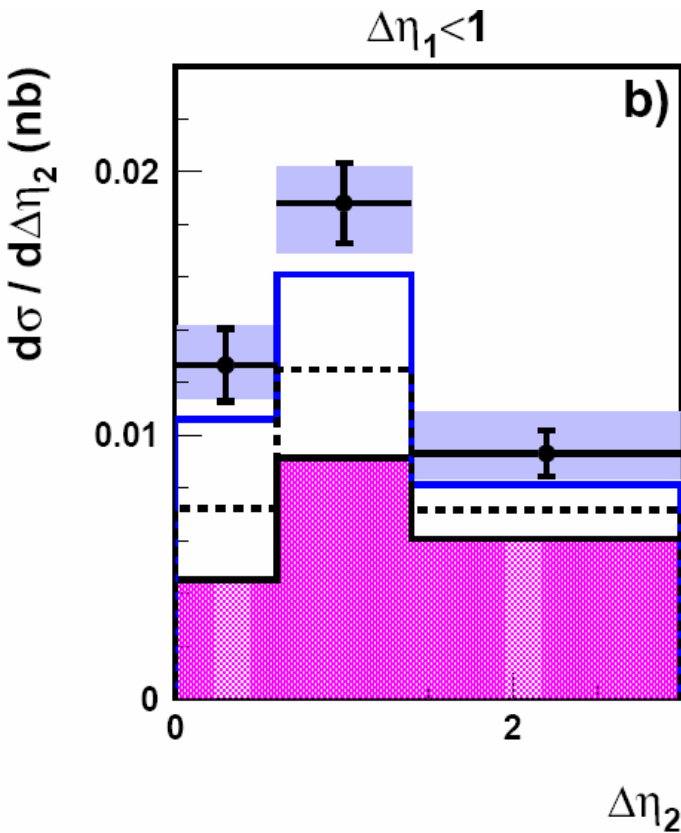
Large  $\Delta\eta_1$

good description by NLO ( $\alpha_s^3$ ) topology forw. jet + central dijet

small  $\Delta\eta_1$  and  $\Delta\eta_2$   $\longrightarrow$  3 forward jets  $\longrightarrow$  configuration suppressed in NLO 3-jet

# H1 : dijet + forward jet

Forward jet + 2 hardest jets  
all jets with  $p_T > 6$  GeV



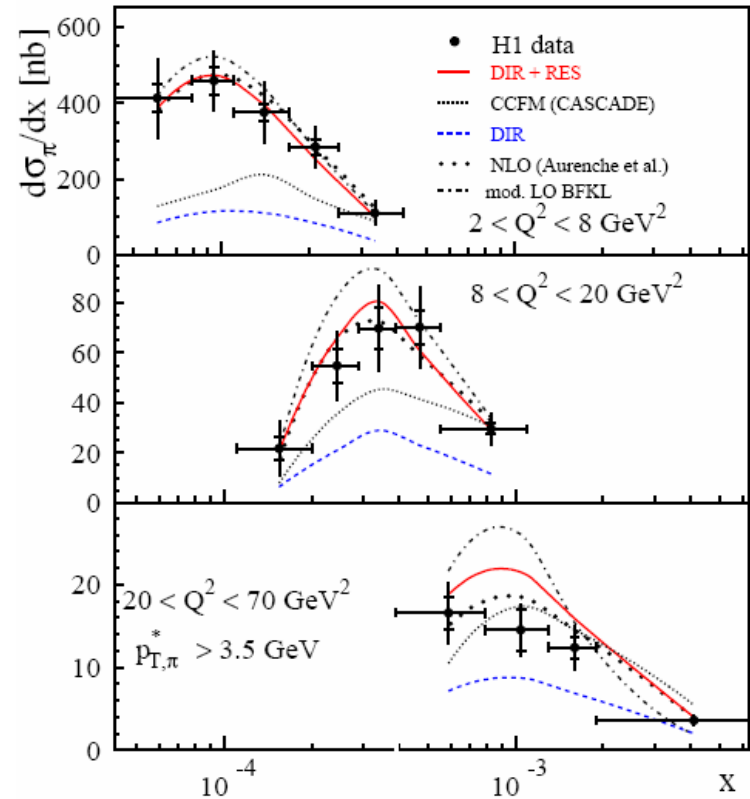
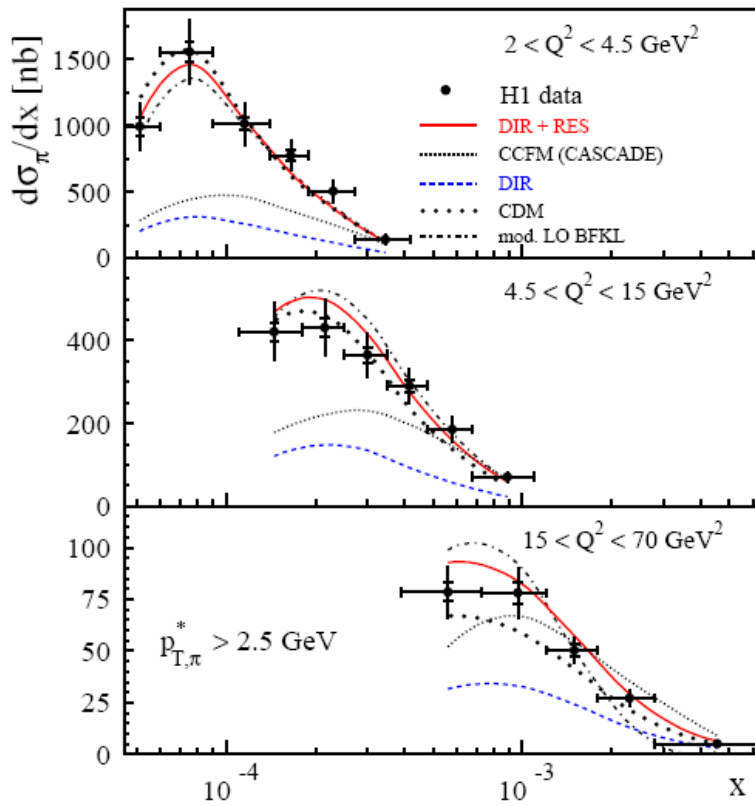
DGLAP (dir + res) fails  
CDM (BFKL-like) close to data

( CCFM does not describe shapes )



Need for different type  
of kt-order breaking than  
in resolved  $\gamma^*$  model

# Forward $\pi^0$ -meson production



DGLAP - dir below the data

Resolved  $\gamma^*$  component improves the description

$$\mu^2 = Q^2 + 4p_T^2$$

CDM OK

CCFM fails

(quark initiated cascades,  $g \rightarrow qq$  ?)

NLO( $\alpha_s^2$ ) calculation (Aurenche et al.) describes the data well !!

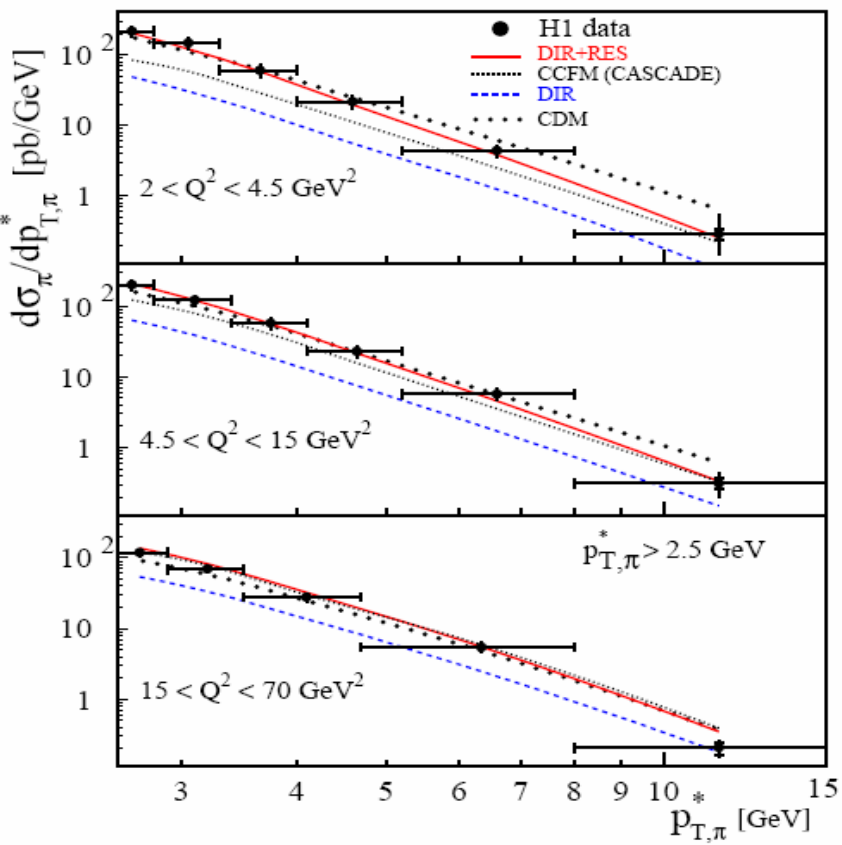
$$\mu^2 = (Q^2 + p_T^2) / 2$$

BFKL-like diagrams important

Mod.LO BFKL reasonable but too high at higher  $Q^2$



# $\pi^0$ transverse momentum in hCMS



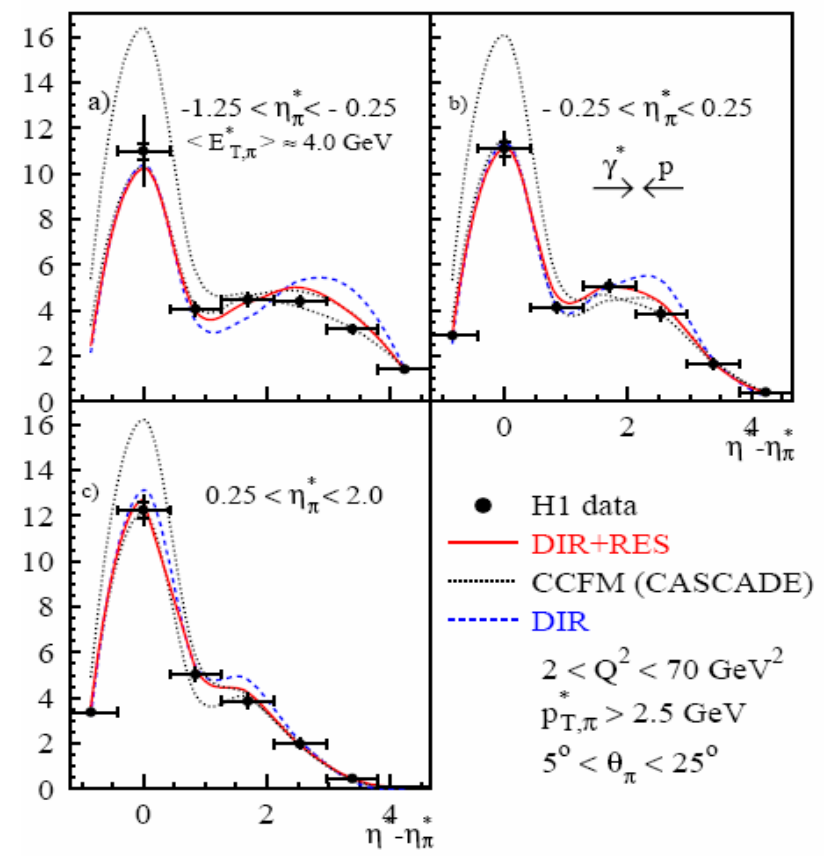
$p_T$  dependence well described by MC models

only

CDM too hard tr. momentum spectrum

# $E_T$ flow around $\pi^0$

$$\frac{1}{N} \frac{dE_T^*}{d(\eta^* - \eta_\pi^*)}$$



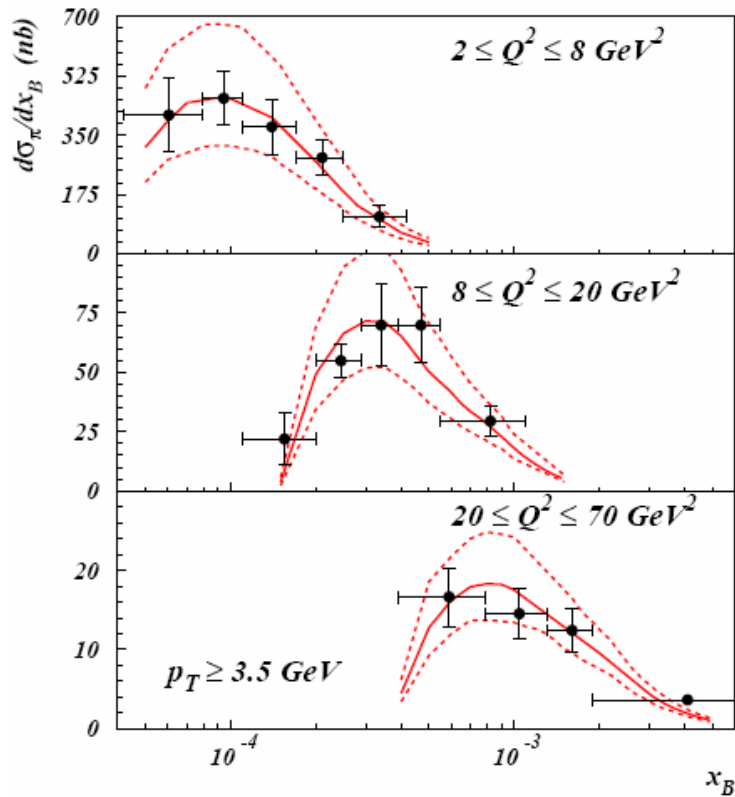
$E_T$  flow around  $\pi^0$   $\rightarrow$  transv. momentum compensation along the parton ladder

Resolved  $\gamma^*$ , CCFM - good

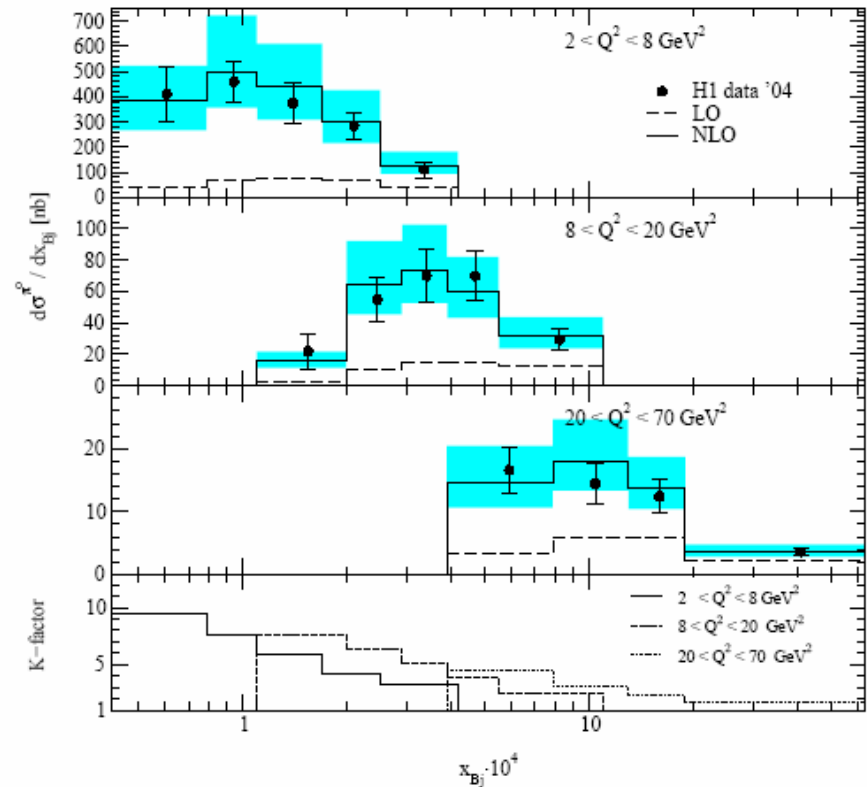
$\rightarrow$  too much  $E_T$  in the vicinity of  $\pi^0$

# Forward $\pi^0$ production at NLO ( $\alpha_s^2$ )

Daleo et al.



Kniehl et al.



NLO predictions in good agreement with the H1 data  
 Large K factors and theoretical uncertainties  
 Need for NNLO analysis

Talk of B.Kniehl

## Future studies of forward jet and particle production at low $x$

**HERA lumi goal until July 2007 : 700 pb<sup>-1</sup> , 5 x HERA I statistics !**

- Improve the precision of the measurements (higher  $p_T$  and jet energy  $x_{jet}$ )
- Investigate the dependence on  $p_T^2/Q^2$  ,  $x_B/x_{jet}, \cos\gamma_h$  ...
- Importance of resolved  $\gamma^*$  processes  $\longrightarrow$  contrib. of multiple interactions ?
- Jet shape analysis of forward jets ( quark/gluon jets ?)
- Azimuthal correlation between the scattered electron and the forward jet
- Multijet production with different forward jet configurations
- Forward photon as a probe of small  $x$  dynamics (difficult experimentally)
- Comparison with NLO predictions - how to estimate theoretical uncertainty ?

Limitations of HERA measurements :

Available center-of-mass energy  $\longrightarrow$  sufficient PS to differentiate  
'not asymptotic energy' between DGLAP-BFKL-CCFM ??

Geometrical acceptance of detectors for forw. jet/particle reconstruction  
(  $\eta$  up to  $\sim 3.5$  )

## Summary and outlook

- Forward jet and particle production in DIS at HERA  
→ ten years of precise measurements by H1 and ZEUS
- Cross sections much larger than lowest order DGLAP predictions
- Inclusion of resolved photon component - considerable improvement of the data description
- NLO predictions give reasonable description but higher order calculations needed to improve agreement/reduce theoretical uncertainties
- CCFM (CASCADE) model ( $k_T$  not ordered) does not describe the data
- CDM (ARIADNE) model ( $k_T$  not ordered) describes the data in most phase space region

**Understanding high energy QCD parton dynamics is challenge**

More precise measurements and improvements in theory needed