

# Measurements and QCD interpretation of the diffractive cross section at HERA

Sebastian Schätzel

Univ. Heidelberg

for the H1 Collaboration

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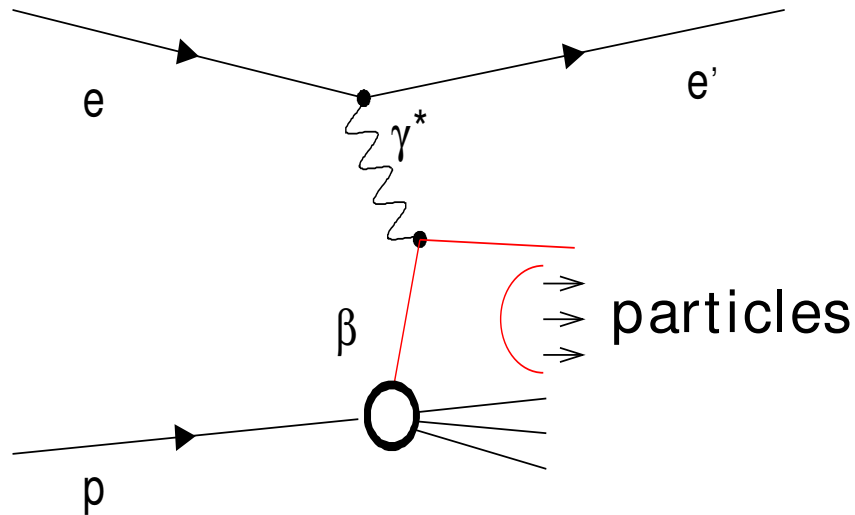


- Measurement of inclusive diffractive DIS cross section
- DGLAP QCD fit
- Diffractive parton densities
- Measurement of inclusive diffractive charged-current cross section

# Diffraction at HERA

- $ep$  collisions: probe proton with photon
- examine QCD structure of diffraction

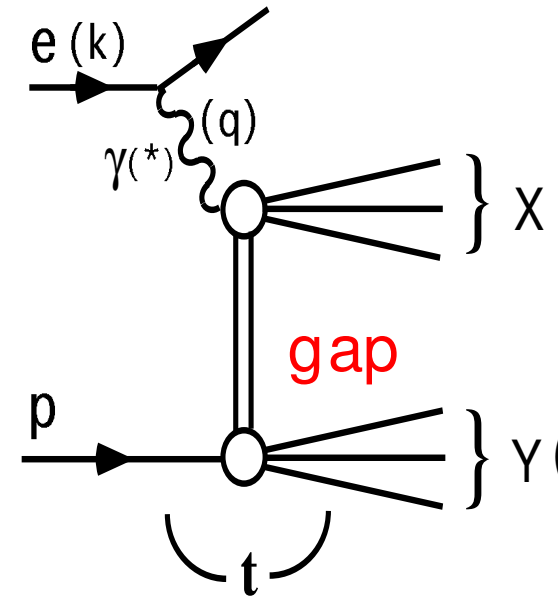
## deep-inelastic ep scattering (DIS)



- colour flow
- proton breaks up

➔ many particles in proton direction

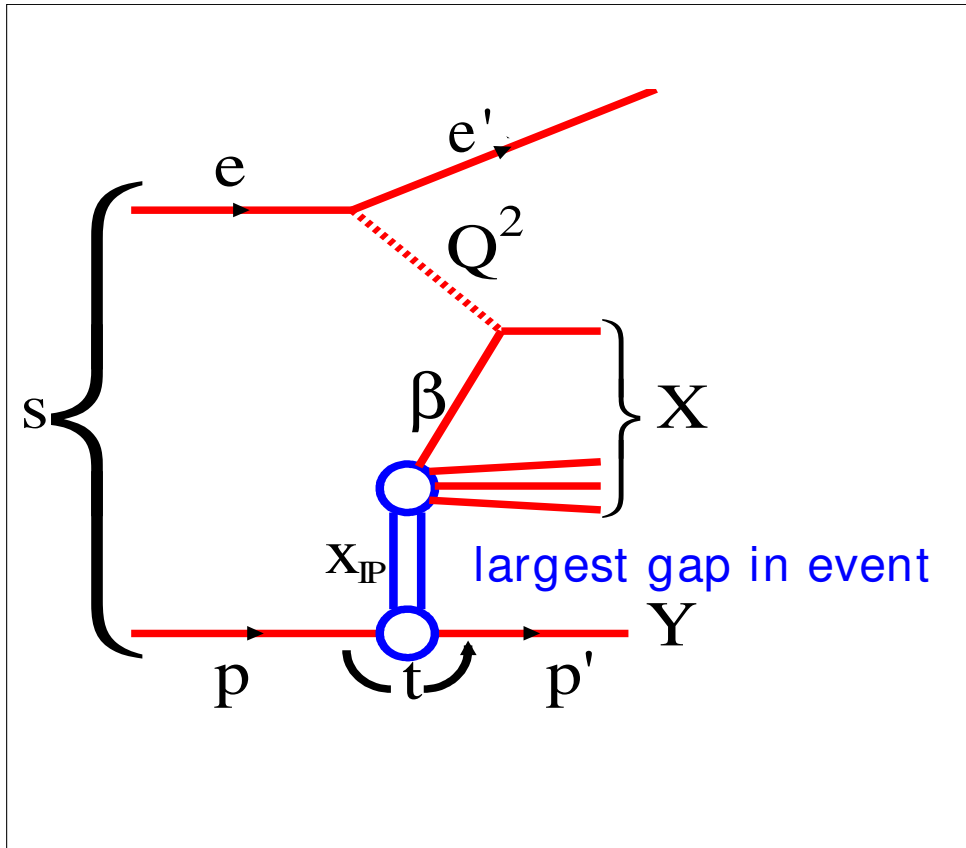
## diffractive ep collision ( $\approx 10\%$ of DIS events)



- colour singlet exchange
- proton intact or low mass excitation

➔ events with gap and/ or leading proton

# Kinematics



$Q^2$  photon virtuality

$\beta$  quark momentum fraction  
w.r.t. colour singlet exchange

$x_{IP}$  colour singlet momentum fraction  
w.r.t. proton

$x = \beta x_{IP}$  quark momentum fraction  
w.r.t. proton

$t$  squared momentum transferred at  
proton vertex

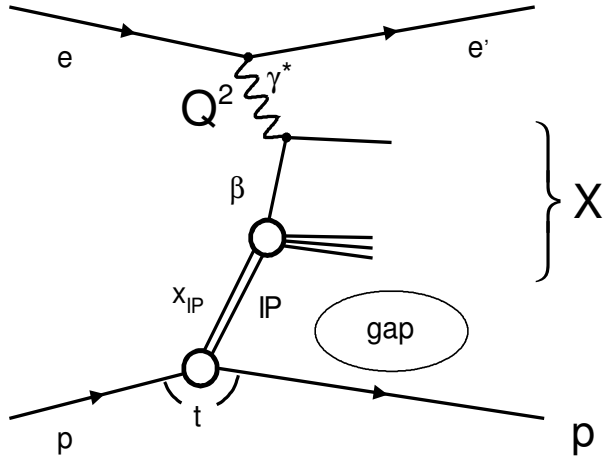
$M_Y$  mass of (dissociating) proton system,  
mostly  $m_p$

$\sqrt{s}$   $ep$  centre- of- mass  
energy  $\approx 300$  GeV

$y = \frac{Q^2}{s x}$  inelasticity variable

diffraction:  $x_{IP} < 0.05$ ,  $|t| < 1$  GeV<sup>2</sup>,  $M_Y < 1.6$  GeV

# Reduced diffractive cross section



reduced cross section

diffractive structure functions

$$\frac{d^4 \sigma_D^{ep}}{d\beta dQ^2 dx_{IP} dt} = \frac{4\pi\alpha^2}{\beta Q^4} \left( 1 - y + y^2/2 \right) \times \sigma_r^{D(4)} \left( \beta, Q^2, x_{IP}, dt \right)$$

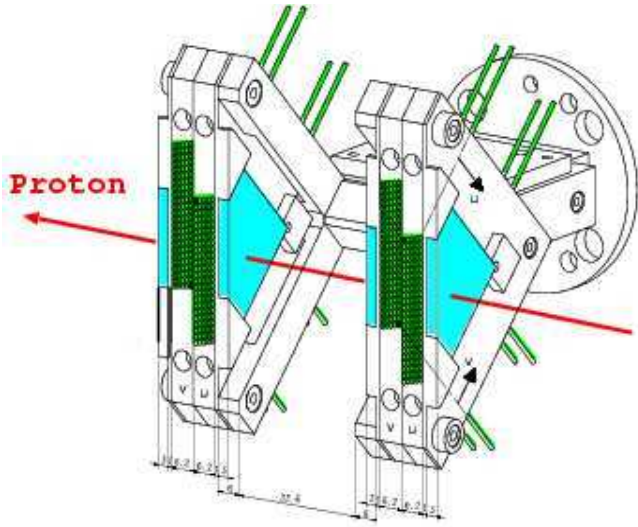
$$\sigma_r^{D(4)} = F_2^{D(4)} - \frac{y^2/2}{1 - y + y^2/2} F_L^{D(4)}$$

$$F_2^{D(4)} = \frac{Q^2}{4\pi^2\alpha} \left( \sigma_{T,D}^{y^*p} + \sigma_{L,D}^{y^*p} \right)$$

$$F_L^{D(4)} = \frac{Q^2}{4\pi^2\alpha} \sigma_{L,D}^{y^*p}$$

At LO QCD:  $F_L^D = 0$

# t dependence: Forward Proton Spectrometer



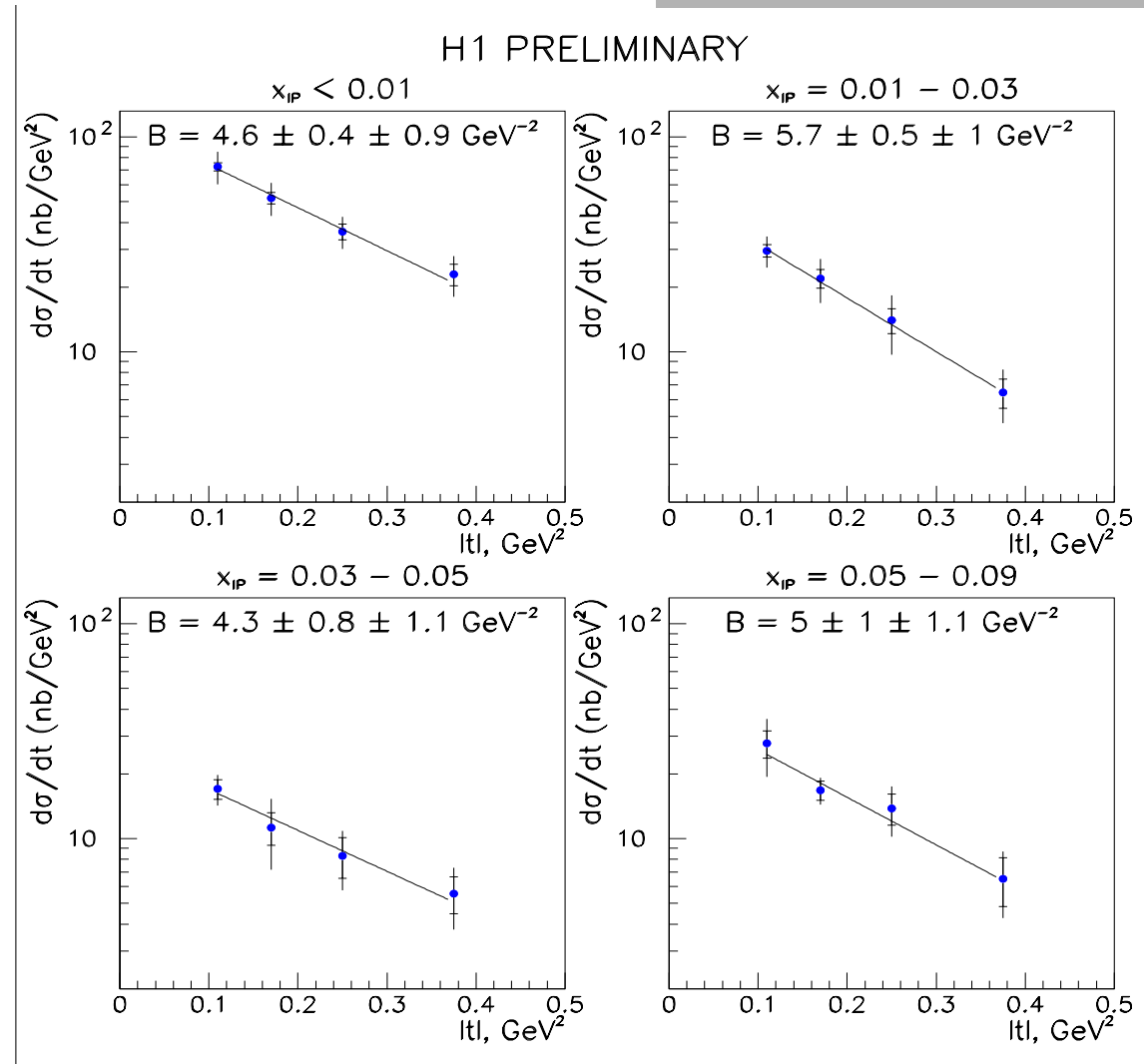
- roman pot detectors close to outgoing proton beam
- limited acceptance

$2 < Q^2 < 50 \text{ GeV}^2$   
 $y < 0.6$   
 $-0.45 < t < -0.08 \text{ GeV}^2$   
 $x_{\text{IP}} < 0.09$   
 $L = 28.8 \text{ pb}^{-1}$

- measure t dependence
- fits to cross section

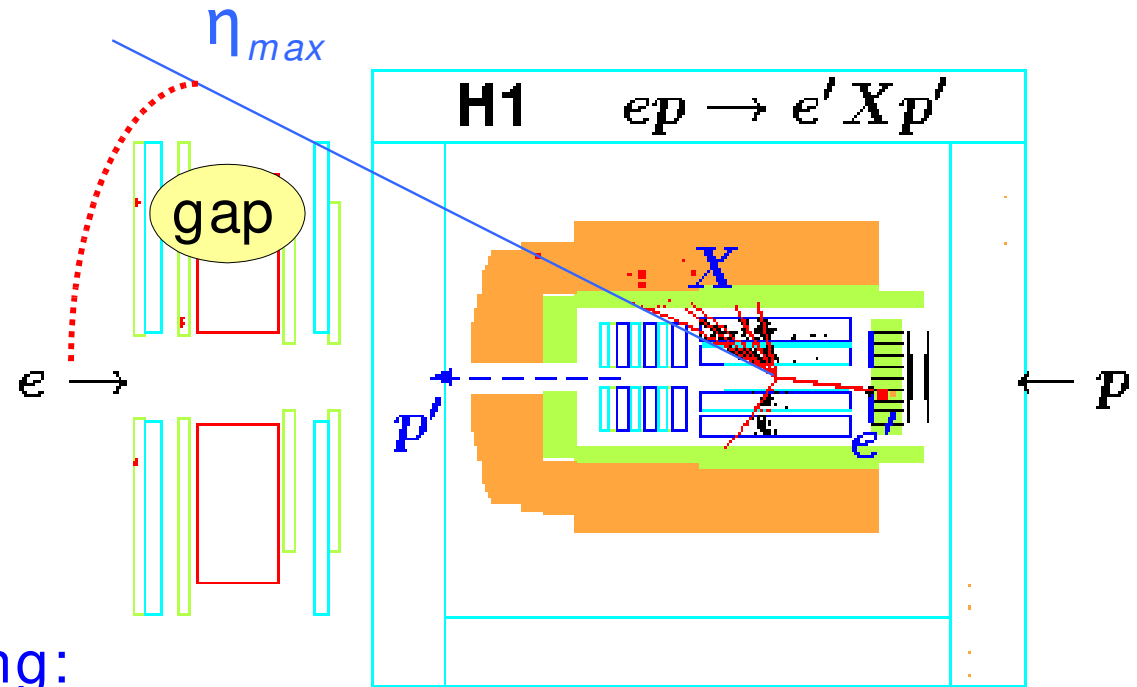
$$\frac{d\sigma^D}{dt} \propto e^{Bt}$$

$$B = 5.0 \pm 0.3(\text{stat}) \pm 0.8(\text{syst}) \text{ GeV}^{-2}$$



# Rapidity Gap Selection

- proton escapes undetected through beam pipe
- require no activity between proton and system in detector



## Disadvantages w.r.t. proton tagging:

- integrate over  $t$  range:

$$|t| < 1 \text{ GeV}^2$$

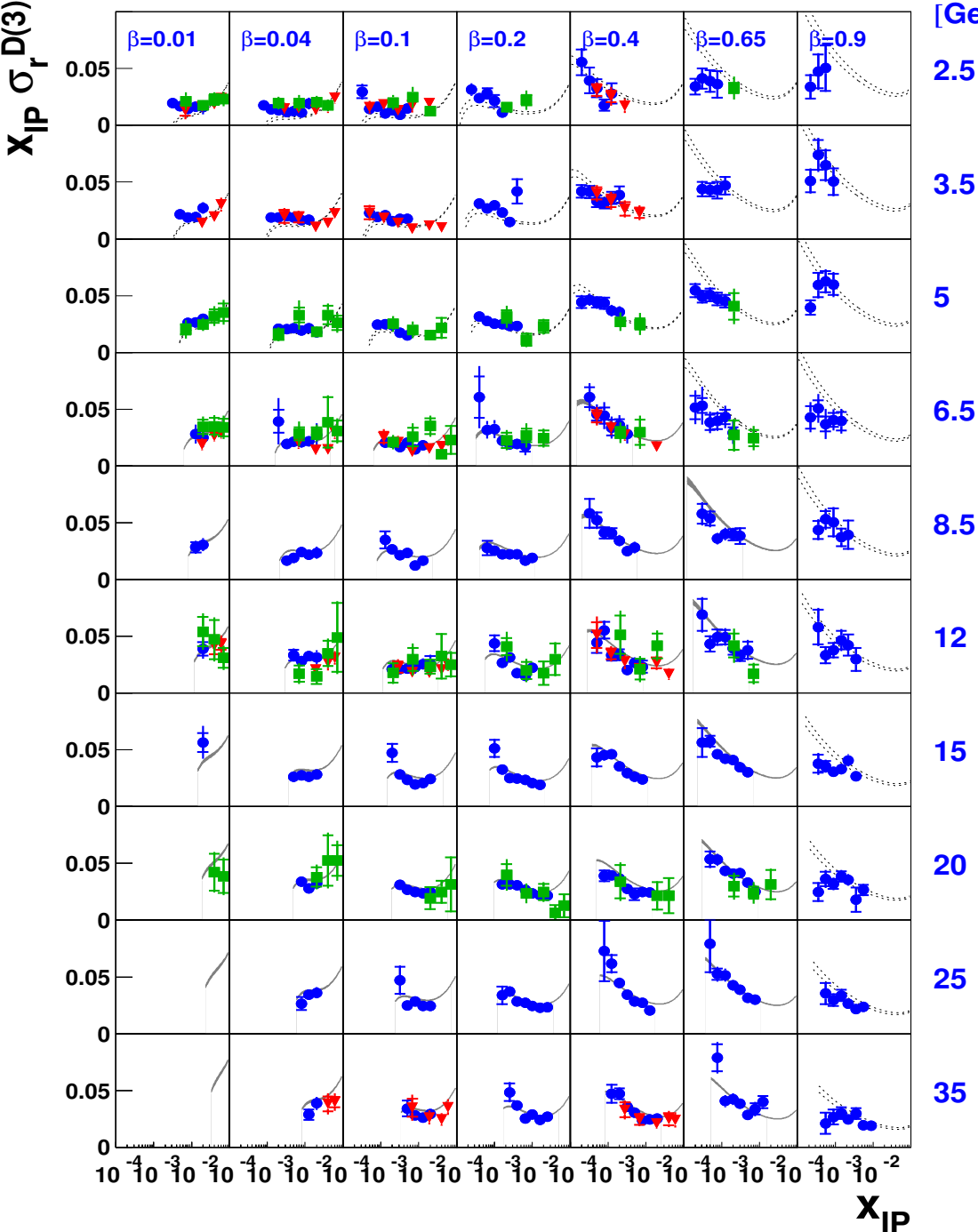
- proton dissociation background:

$$M_Y < 1.6 \text{ GeV}$$

...but: higher statistics!

# HERA Diffractive DIS Cross Section

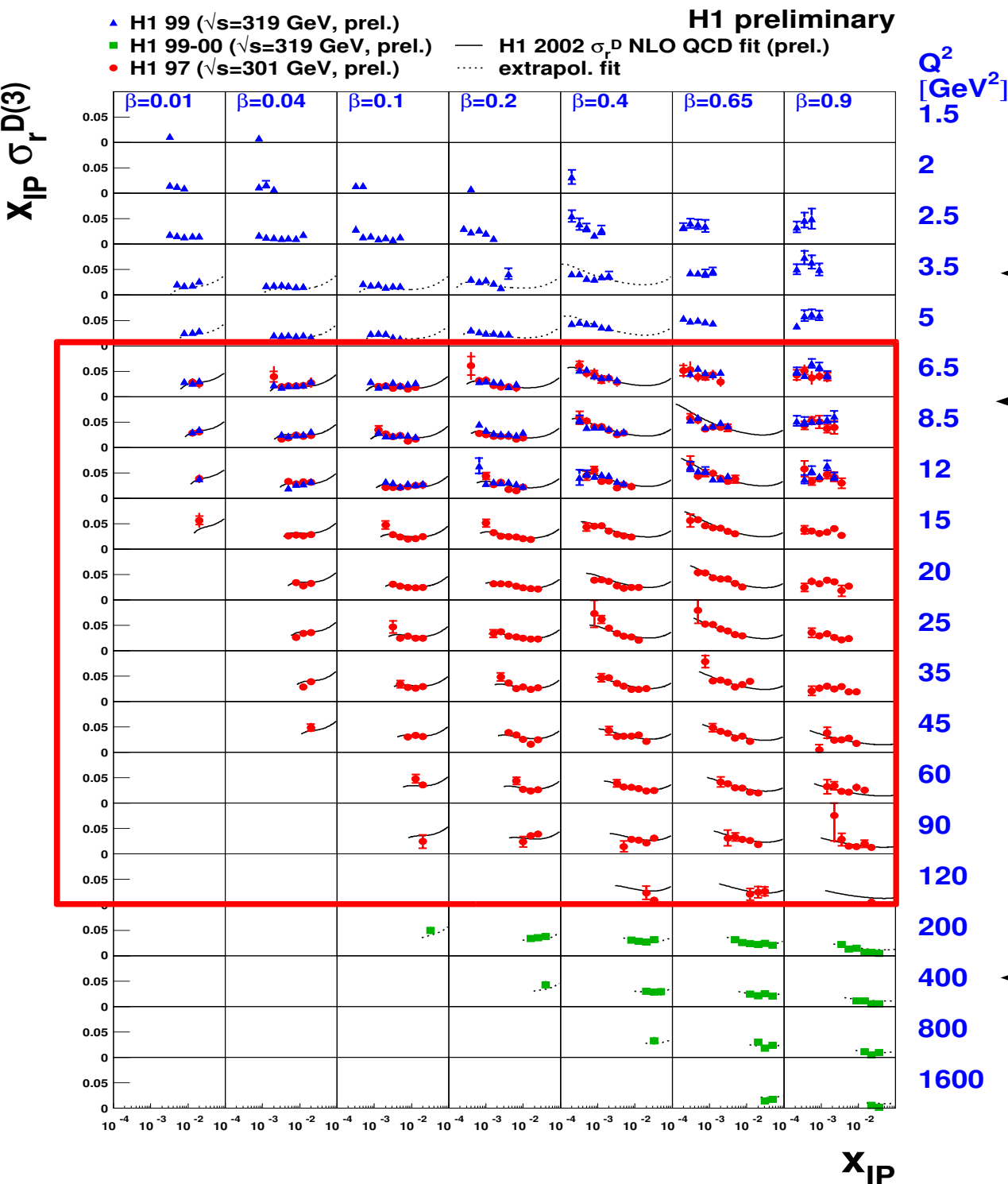
- H1 (LRG, prel.)
- H1 (FPS,prel.)
- ▼ ZEUS (LPS)
- H1 2002 NLO fit (prel.,  $\sqrt{s}=319$  GeV)



# Rapidity Gap Selection vs. Proton Tagging

- $\sigma_r^{D(3)}$  measurements with rapidity gap method and proton tagging
- good agreement
- good agreement with **ZEUS proton tagging** measurement

# H1 $\sigma_r^{D(3)}$ measurements (rapidity gap method)



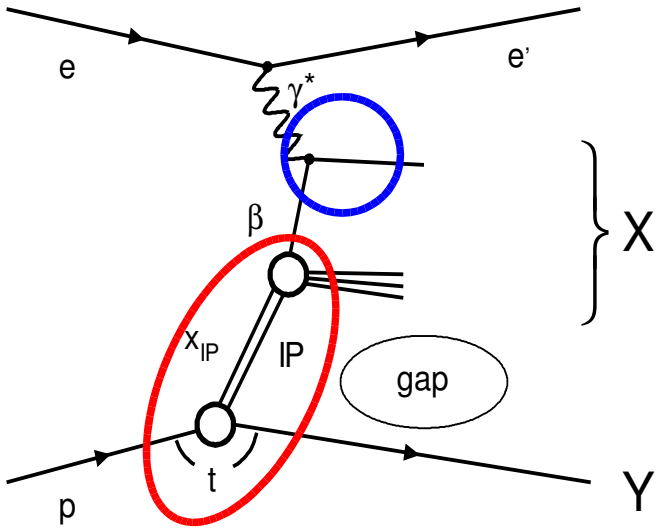
← '99 low  $Q^2$  data

← '97 data shown in the following and entering DGLAP fit

← '99- '00 high  $Q^2$  data



# QCD Factorisation and Diffractive Parton Densities



diffractive photon-proton cross section factorises at fixed  $x_{IP}$  and  $t$  (proof by J. Collins)

$$\sigma_{T,L}^{\gamma^* p} = \sum_{\text{partons } i} f_{i/p}^D \otimes \sigma_{T,L}^{\gamma^* i}$$

diffractive PDF

partonic cross section

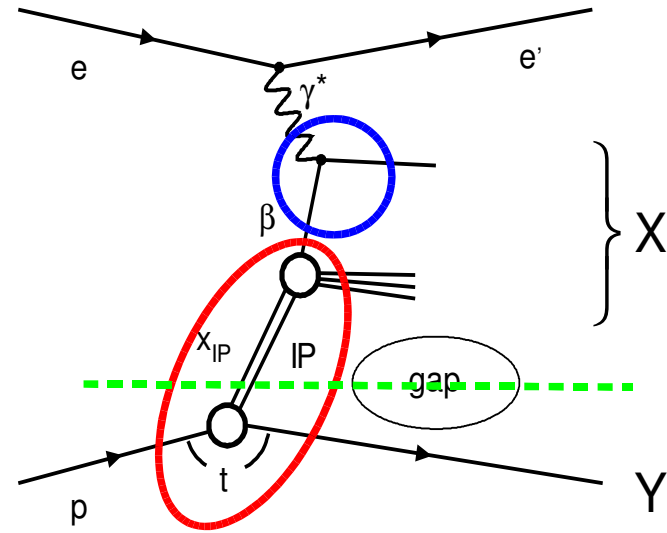
→ ep collision: diffractive structure functions factorise:

$$F_2^{D(4)} \propto \sum_{\text{partons } i} f_{i/p}^D \otimes \sigma^{\gamma^* i}$$

with  $\sigma_D^{\gamma^* p} = \sigma_{T,D}^{\gamma^* p} + \sigma_{L,D}^{\gamma^* p}$

$$F_L^{D(4)} \propto \sum_{\text{partons } i} f_{i/p}^D \otimes \sigma_L^{\gamma^* i}$$

# Regge Factorisation



factorise the diffractive PDF:

$$f_{i/p}^D(x_{IP}, t, \beta, Q^2) = f_{IP/p}(x_{IP}, t) f_{i/IP}(\beta, Q^2) \\ \left[ + f_{IP/p}(x_{IP}, t) f_{i/IP}(\beta, Q^2) \right]$$

'Reggeon' contribution for  $x_{IP} > 0.01$

- $(\beta, Q^2)$  dependence independent of  $(x_{IP}, t)$  dependence
- Regge phenomenology, no proof in QCD!

# Experimental Test of Regge Factorisation

- Fit to  $\sigma_r^{D(3)}$  assuming Regge factorisation ( $y < 0.45$  to avoid  $F_L^D$ )

$$\sigma_r^{D(3)} = f_{IP/p}(x_{IP}) A_{IP}(\beta, Q^2) + f_{IR/p}(x_{IP}) A_{IR}(\beta, Q^2)$$

flux factor:

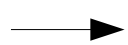
$$f_{IP/p}(x_{IP}) = \int dt \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}} \quad \text{with } \alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP} t$$

- fit  $x_{IP}$  distribution in every  $(\beta, Q^2)$  bin
- $A_{IP}$  and  $A_{IR}$  are free parameters controlling the normalisations

Result:

$$\chi^2 / \text{ndf} = 0.95$$

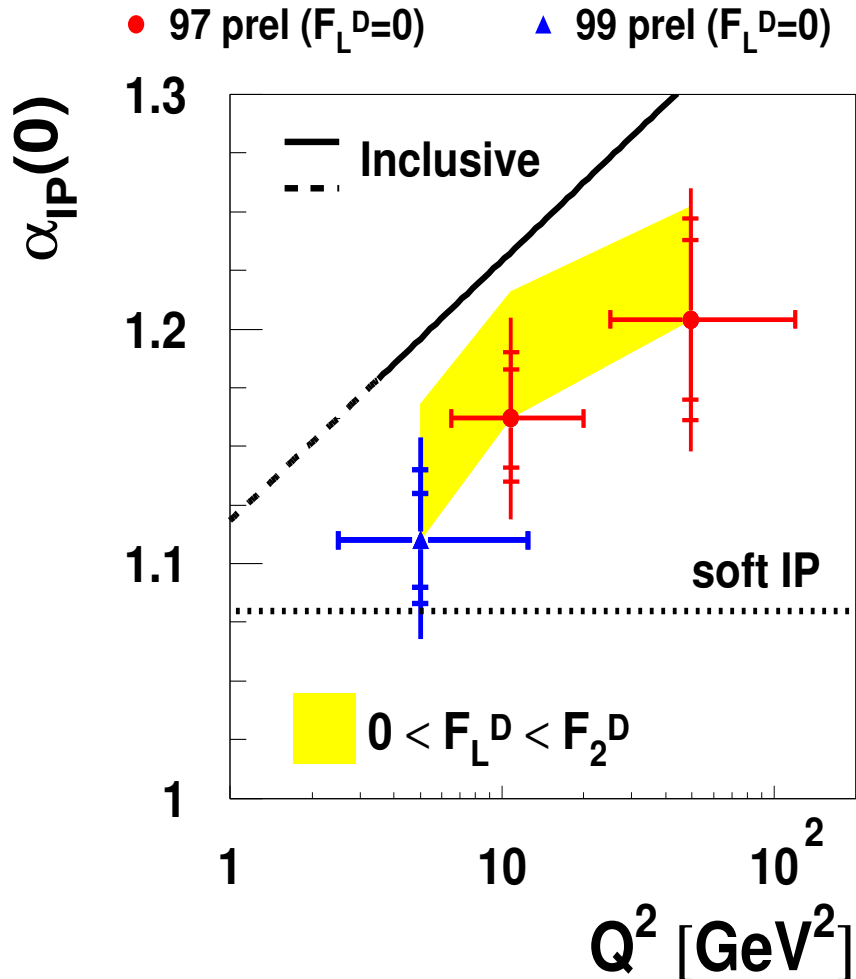
$$\alpha_{IP}(0) = 1.173 \pm 0.018(\text{stat.}) \pm 0.017(\text{syst.}) \begin{matrix} +0.063 \\ -0.035 \end{matrix} (\text{model})$$



Regge factorisation consistent with data

# Effective $\alpha_{IP}(0)$

## H1 Diffractive Effective $\alpha_{IP}(0)$



- Regge fit in different  $Q^2$  ranges  
     →  $\alpha_{IP}(0)$  at different  $Q^2$

- **no significant dependence on  $Q^2$**
- error dominated by uncertainty on  $F_L^D$ :  
     varied by  $0 < F_L^D < F_2^D$

- $\alpha_{IP}(0)$  at high  $Q^2$  larger than in hadron-hadron collisions

→ **no universal pomeron**

- $\alpha_{IP}(0)$  from fit to inclusive ep scattering:

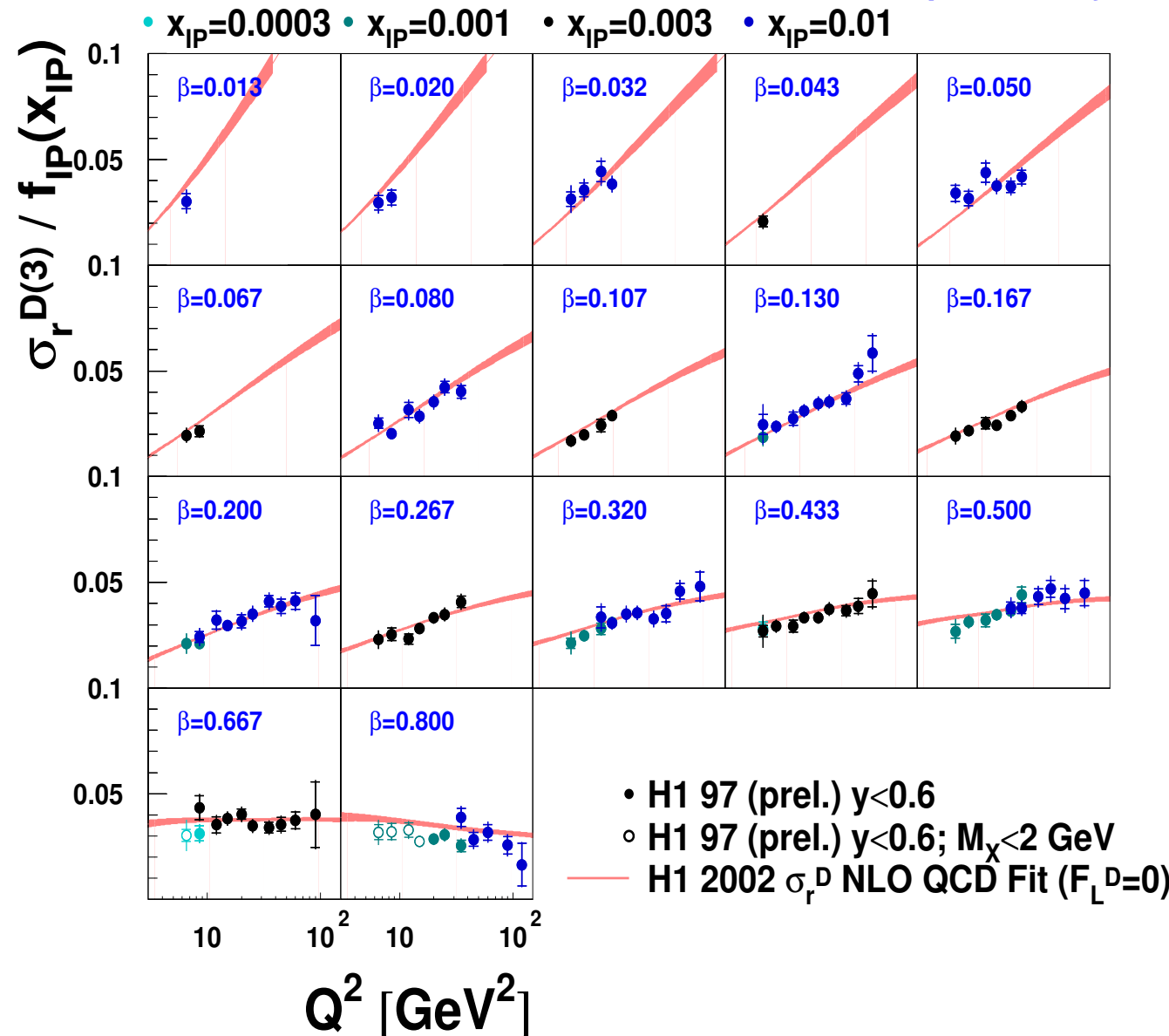
$$F_2 = c x^{-(\alpha_{IP}(0) - 1)}$$

- data suggest that at high  $Q^2$  inclusive  $\alpha_{IP}(0) >$  diffractive  $\alpha_{IP}(0)$

# Q<sup>2</sup> dependence of $\sigma_r^D$ - Scaling violations

- $x_{IP} < 0.01$ ,  $y < 0.6$  to limit Reggeon and  $F_L^D$  contributions

H1 preliminary



- divided by flux factor
- structure similar for all  $x_{IP}$   
 → supports Regge factorisation

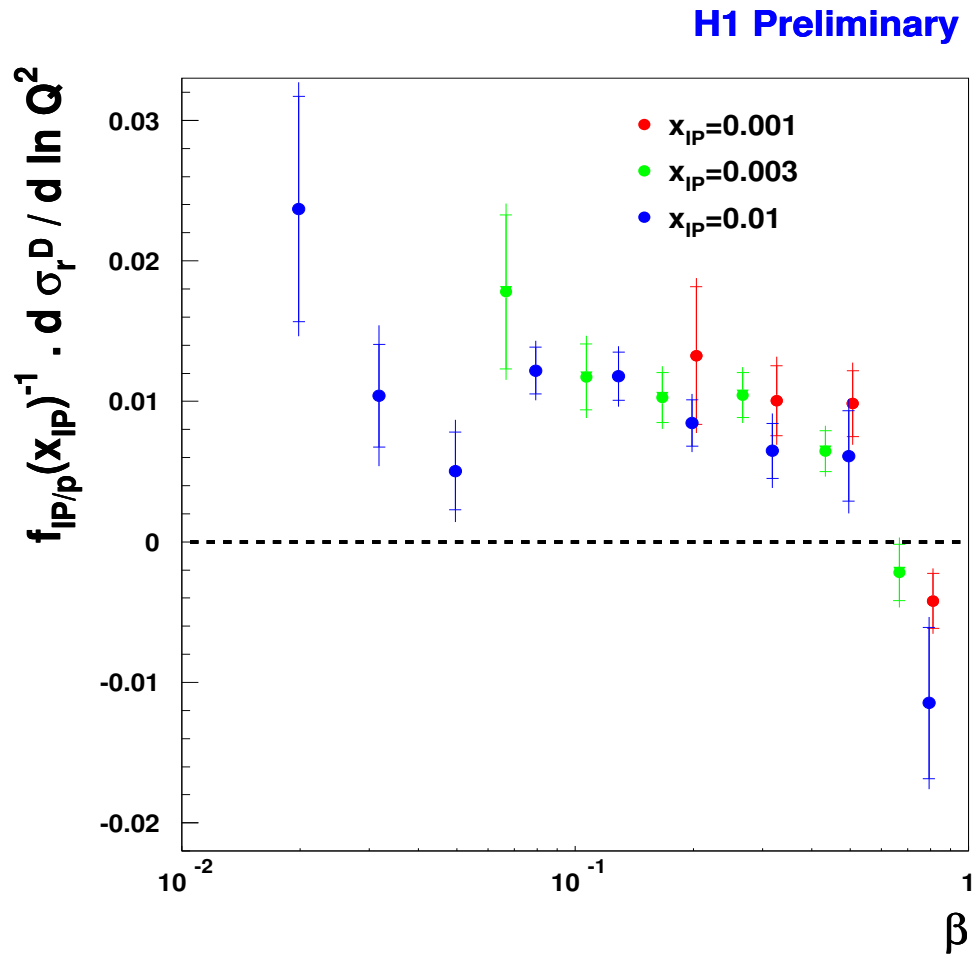
- at LO:

$$\sigma_r^{D(3)} / f_{IP} = F_2^{IP}(\beta, Q^2) = \sum_i \beta e_i^2 q_i(\beta, Q^2)$$

$$\frac{\partial \sigma_r^{D(3)}}{\partial \ln(Q^2)} \sim \alpha_s g(\beta, Q^2)$$

- positive scaling violations for  $\beta < 0.65$   
 → large gluon component

# Scaling Violations Quantified



$$\frac{\partial \sigma_r^{D(3)}}{\partial \ln(Q^2)} \sim \alpha_s g^{LO}(\beta, Q^2)$$

- fit of scaling violations:

$$\sigma_r^D = A + B \ln(Q^2)$$

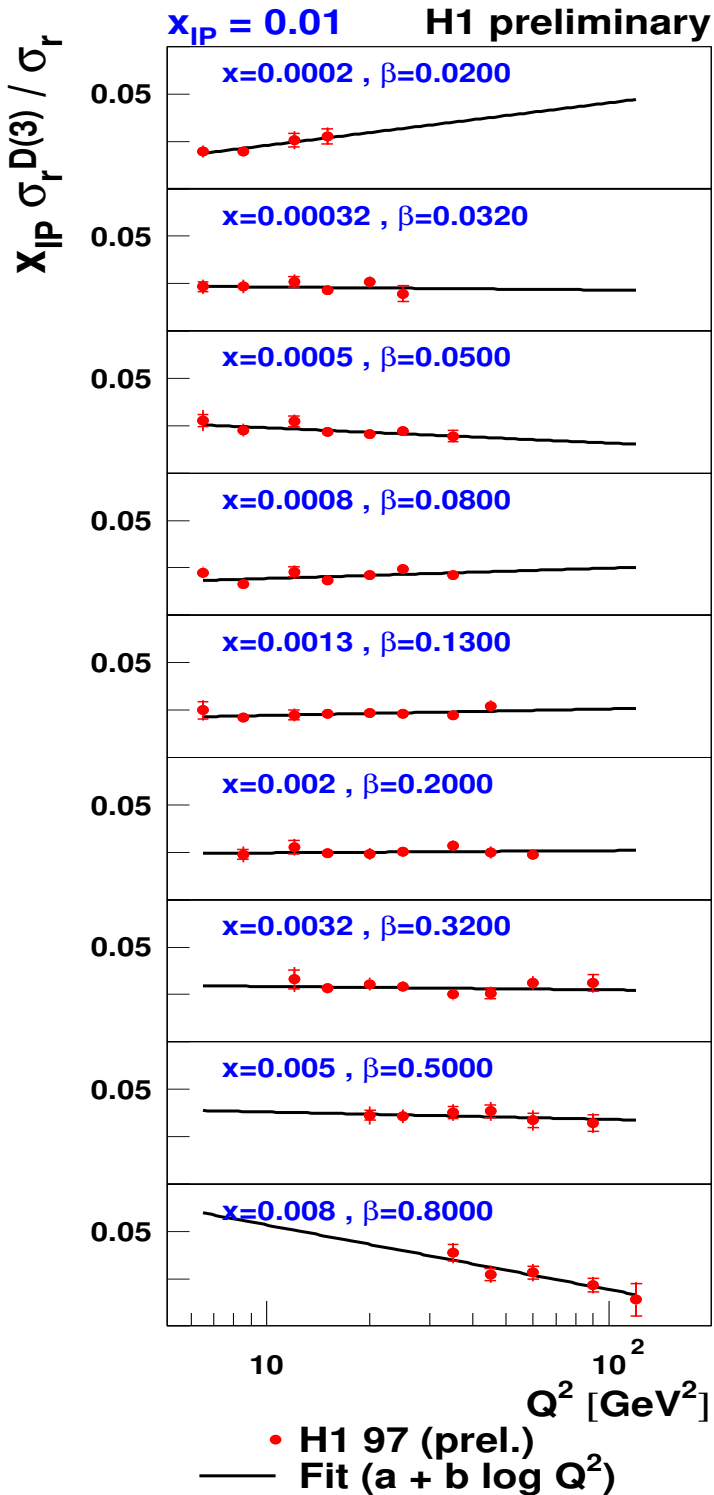
- scaling violations positive for  $\beta < 0.65$

→ large gluon

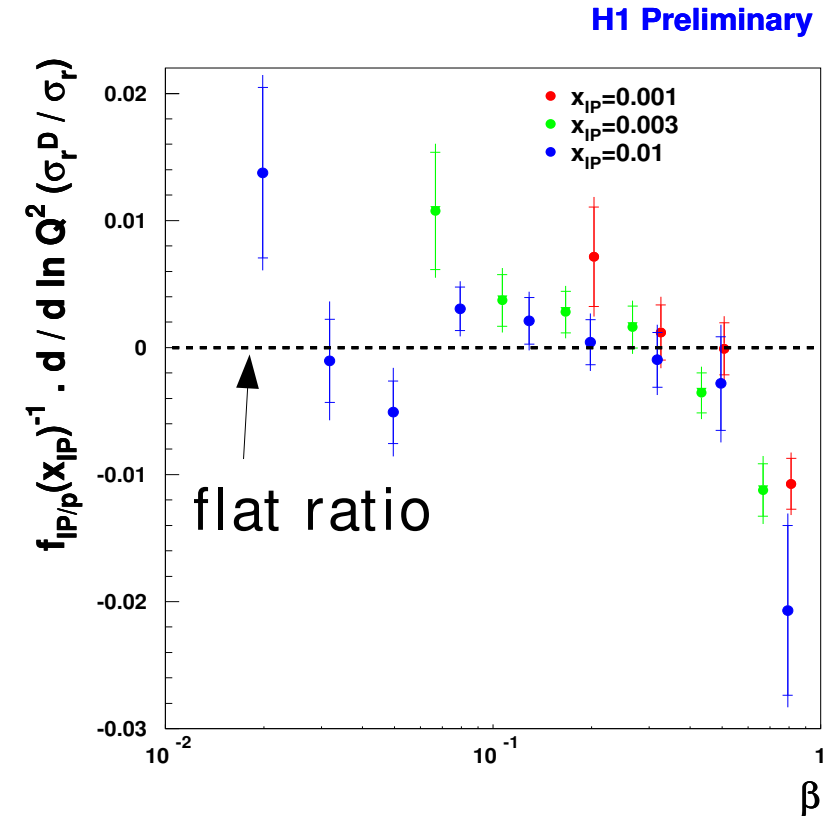
# Ratio diffractive/ inclusive DIS

- ratio of reduced cross sections
- fixed  $x_{IP} \rightarrow$  fixed gap size
- ratio flat for  $\beta < 0.5$

similar QCD dynamics in diffraction and inclusive DIS?



- $\beta > 0.6$ : diffractive contribution decreasing



# DGLAP Fit to $\sigma_r^D$

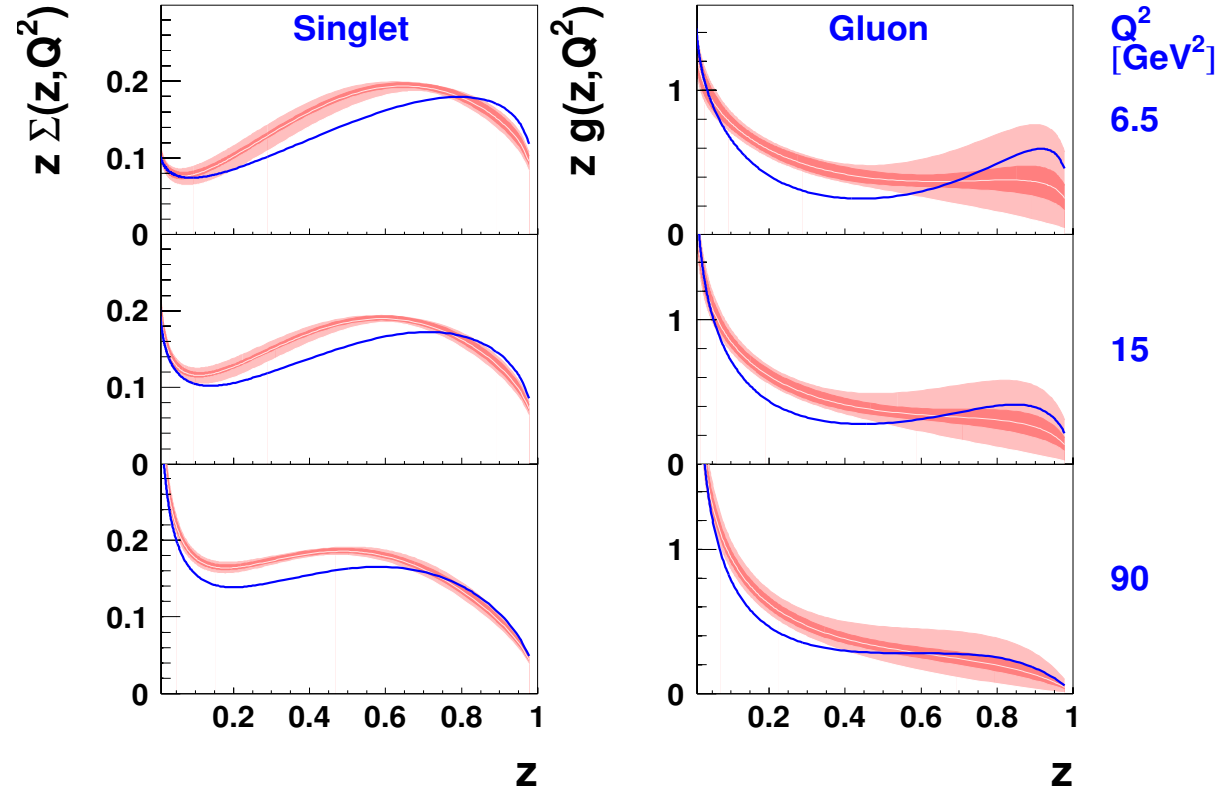
- rapidity gap data:  $6.5 < Q^2 < 800 \text{ GeV}^2$  ('94- '97 data in  $200 < Q^2 < 800 \text{ GeV}^2$  not shown)
- **avoid higher twist**:  $M_x > 2 \text{ GeV}$
- NLO fit:  $F_L^D$  taken into account
- LO fit:  $y < 0.45$  to avoid  $F_L^D$
- fit diffractive parton densities:  
sum of light quarks  $\Sigma = u + d + s + \bar{u} + \bar{d} + \bar{s}$   
gluon
- parameterised at starting scale  $Q_0^2 = 3 \text{ GeV}^2$
- using Chebychev polynomials + exp. damping at high fractional momentum
- (N)LO DGLAP evolution to measured  $Q^2$



# Diffractive Parton Densities

H1 2002  $\sigma_r^D$  NLO QCD Fit

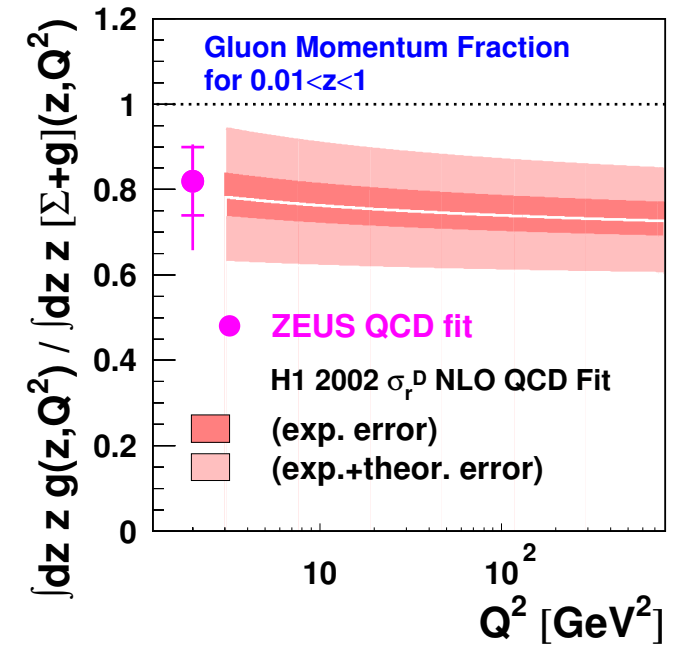
H1 preliminary



H1 2002  $\sigma_r^D$  NLO QCD Fit  
 (exp. error)  
 (exp.+theor. error)  
 H1 2002  $\sigma_r^D$  LO QCD Fit

$z$  = parton momentum fraction  
 w.r.t. diffractive exchange

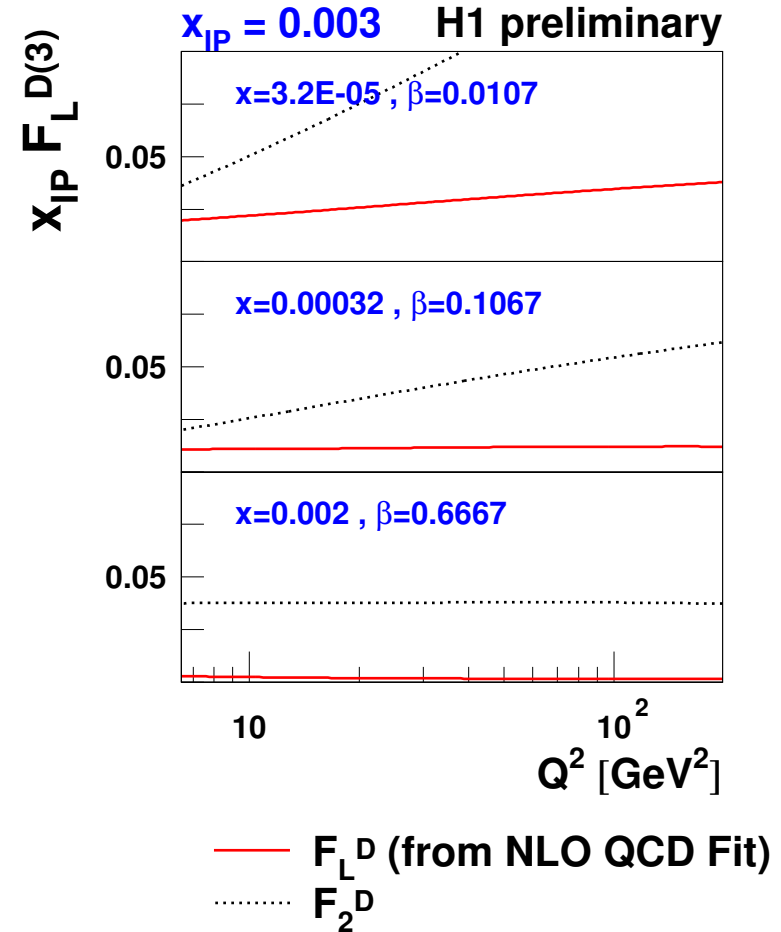
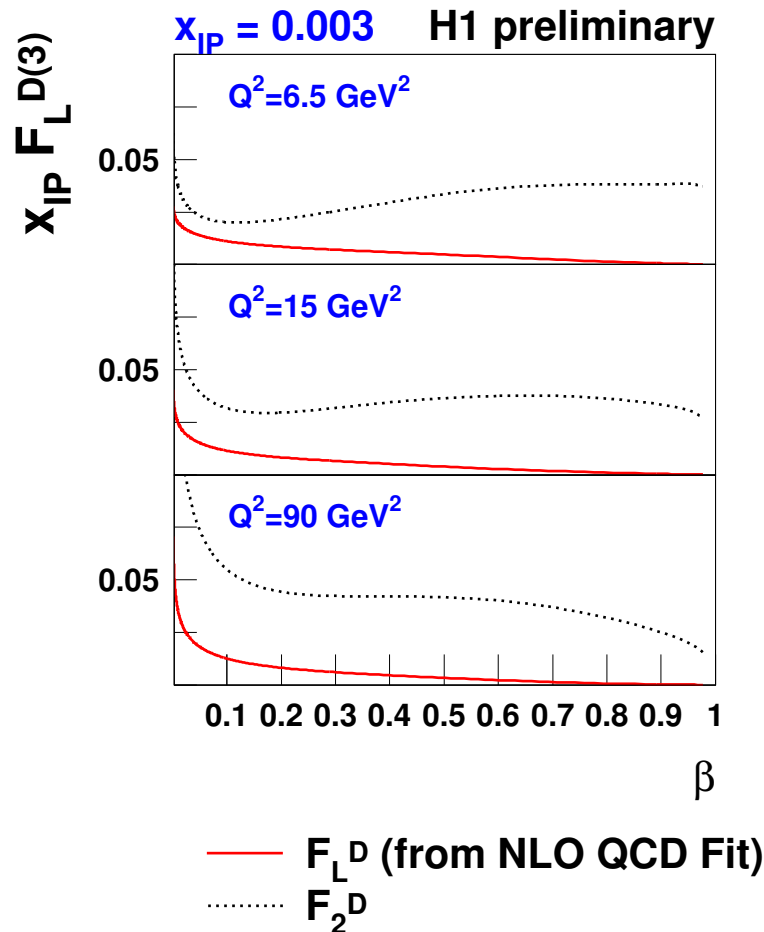
H1 preliminary



- gluon carries  $75 \pm 15\%$  of momentum (agreement with ZEUS fit)
- large gluon uncertainty at high  $z$

# $F_L^D$ - Longitudinal Structure Function

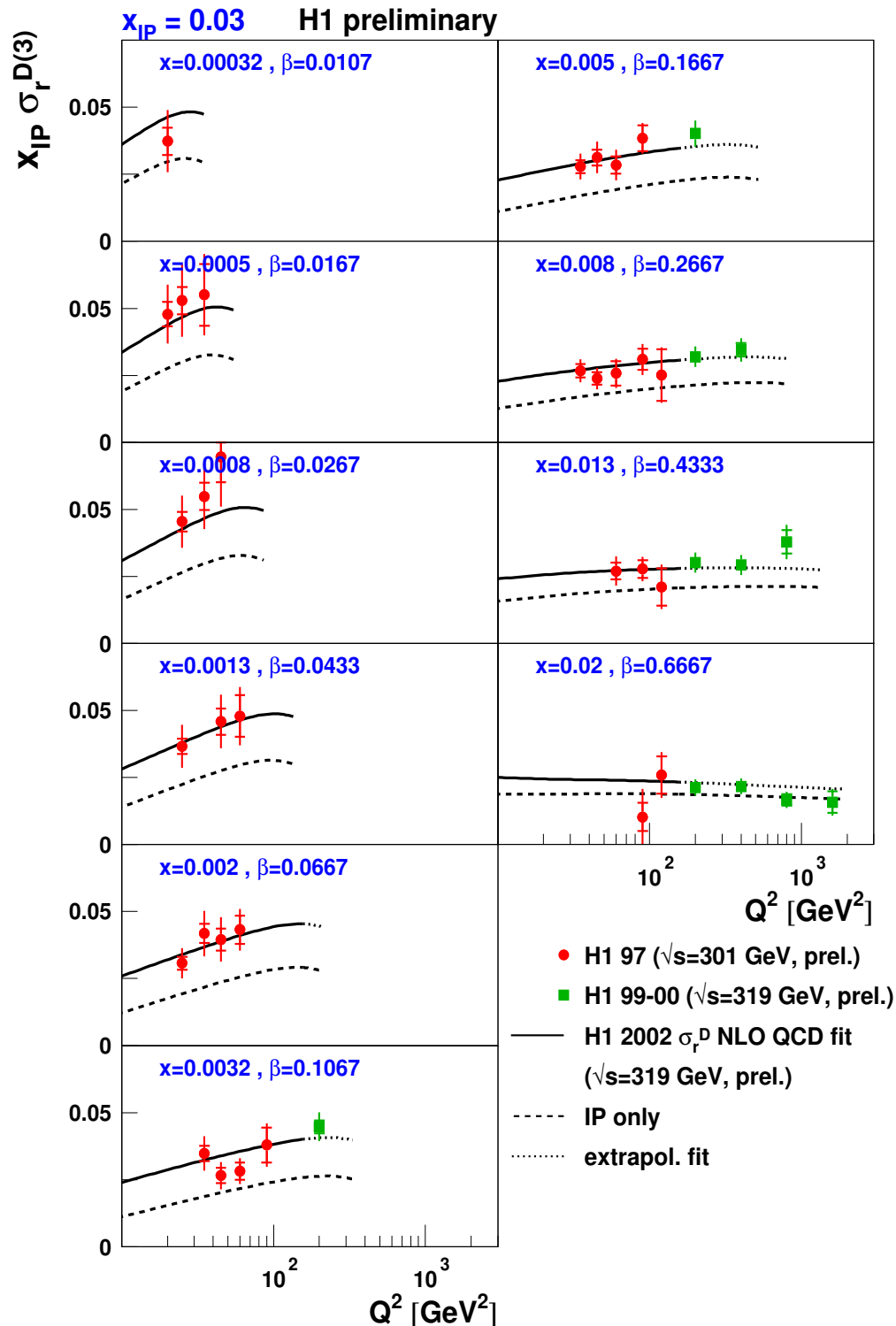
$F_L^D$  at leading twist NLO QCD: 
$$F_L^D \propto \frac{\alpha}{2\pi} \left[ C_q^L \otimes F_2^D + C_g^L \otimes \sum_i e_i^2 z g^D(z, Q^2) \right]$$



- related to NLO gluon density  
 → large at low  $\beta$

- large fraction of  $F_2^D$  at low  $Q^2$  and low  $\beta$

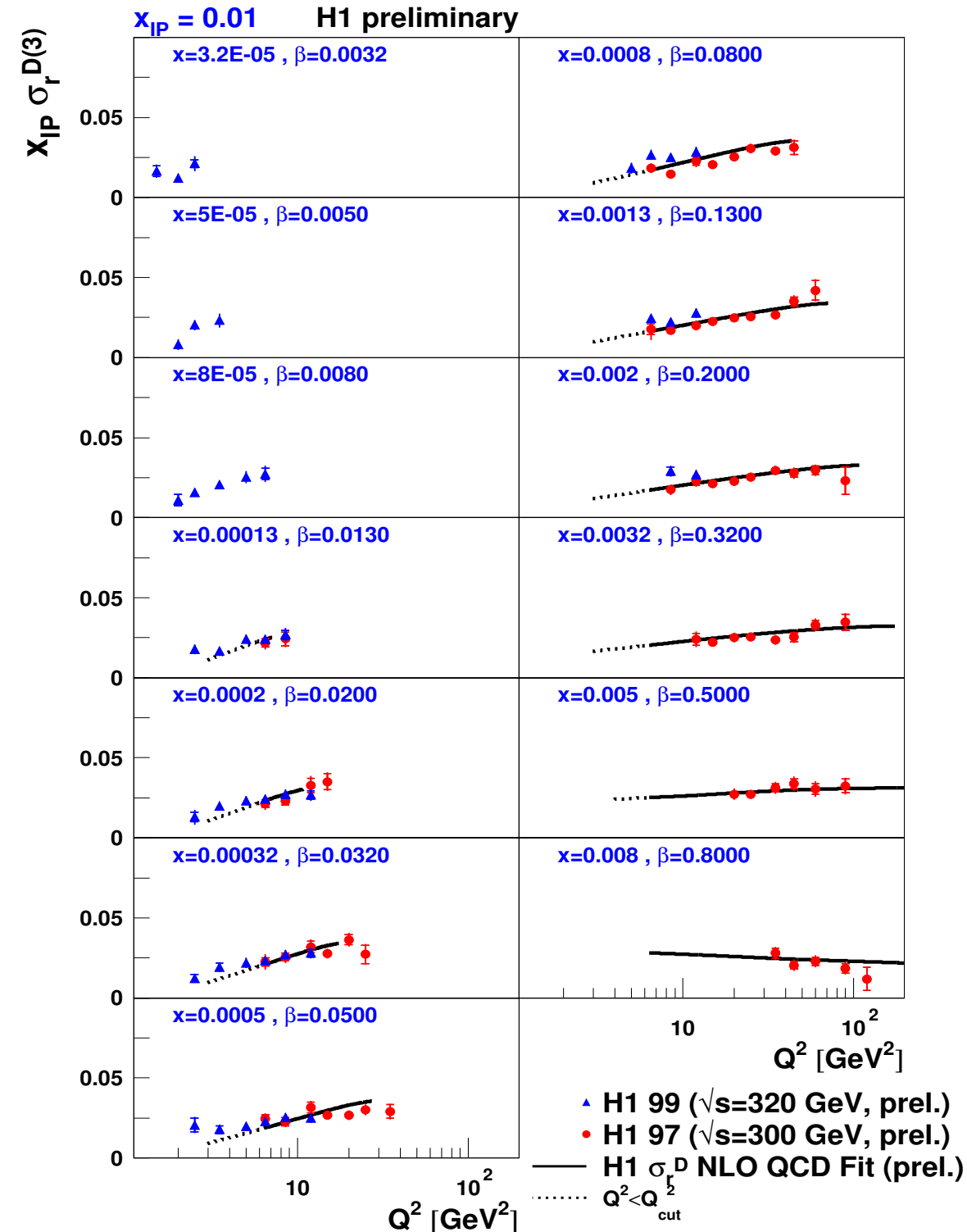
# High $Q^2$ measurement



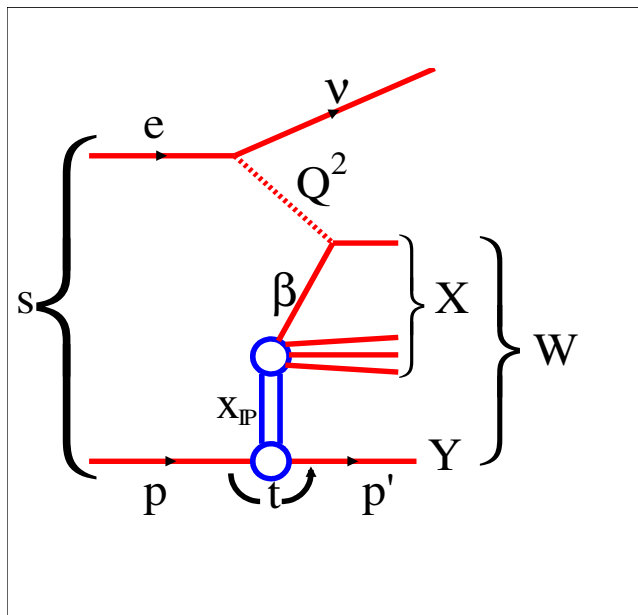
- $200 < Q^2 < 1600$  GeV $^2$
- $L = 63$  pb $^{-1}$ , H1 99-00 data
- extrapolated NLO fit to region  $6.5 < Q^2 < 800$  GeV $^2$  in good agreement
- shown for  $x_{IP} = 0.03$ 
  - ➔ sizeable Reggeon contribution
- new data will provide constraint to future fit

# Low $Q^2$ measurement

- $1.5 < Q^2 < 12 \text{ GeV}^2$
- $L = 3.4 \text{ pb}^{-1}$ , H1 99 data (unbiased triggers)
- NLO fit extrapolated down to  $Q^2 = 3 \text{ GeV}^2$  and  $\beta = 0.013$  in reasonable agreement
- new data will provide constraint to future fit



# Diffractive Charged- Current DIS



- process  $ep \rightarrow \nu XY$
- $L = 63 \text{ pb}^{-1}$ , H1 99-00 data
- rapidity gap selection

$$Q^2 > 200 \text{ GeV}^2$$

$$x_{\text{IP}} < 0.05$$

$$y < 0.9$$

→ 14 diffractive CC events

$$\text{H1: } \sigma_{CC}^D = 0.42 \pm 0.13 (\text{stat.}) \pm 0.09 (\text{syst}) \text{ pb}$$

$$\text{ZEUS: } \sigma_{CC}^D = 0.49 \pm 0.20 (\text{stat.}) \pm 0.13 (\text{syst}) \text{ pb}$$

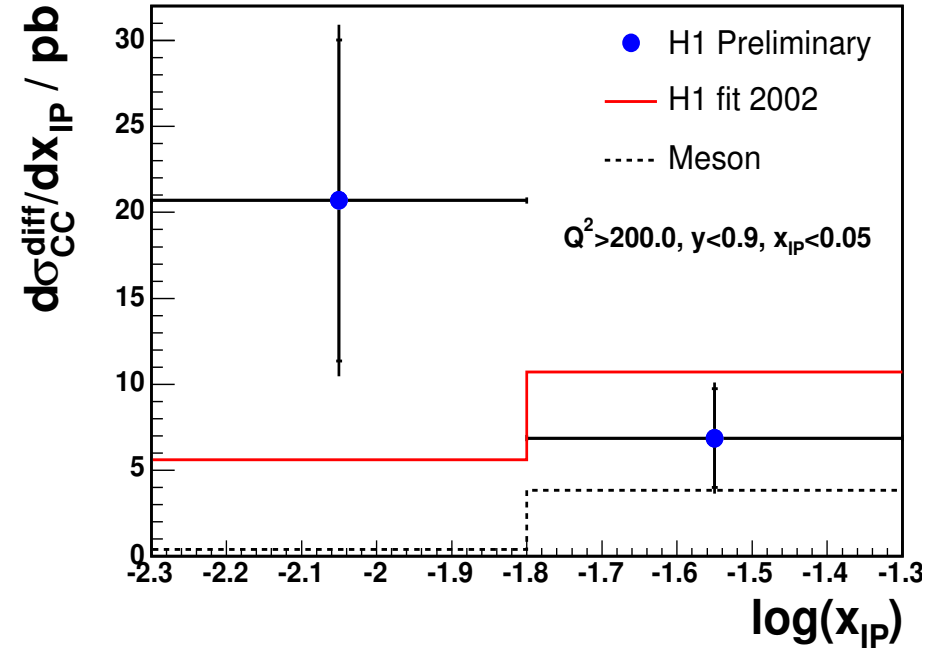
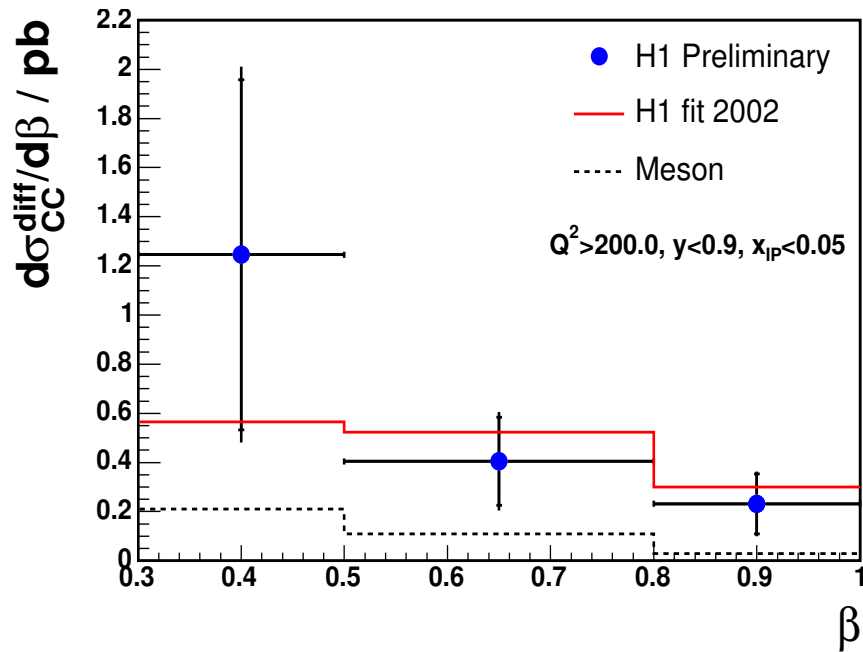
Ratio to inclusive CC cross section:

$$\text{H1: } \sigma_{CC}^D / \sigma_{CC} = 2.5 \pm 0.8 (\text{stat}) \pm 0.6 (\text{syst}) \%$$

$$\text{ZEUS: } \sigma_{CC}^D / \sigma_{CC} = 2.9 \pm 1.2 (\text{stat}) \pm 0.8 (\text{syst}) \%$$

→ good agreement between results of experiments

# Diffractive CC DIS - differential cross section



- comparison with RAPGAP LO Monte Carlo + ARIADNE CDM
- prediction based on LO diffractive PDFs from H1 2002 fit (obtained in neutral current DIS)

→ cross section well described within large statistical uncertainties of data

# Summary

- reduced cross section measured in diffractive DIS (neutral current) for  $1.5 < Q^2 < 1600 \text{ GeV}^2$
- agreement between rapidity gap method and proton tagging
- NLO and LO DGLAP fits performed
- (N)LO diffractive parton densities extracted with uncertainties
- gluon carries  $75 \pm 15\%$  of momentum of diffractive exchange
- diffractive charged-current DIS cross section measured
  - well described by LO Monte Carlo based on LO diffractive PDFs
  - H1 and ZEUS results in agreement

Diffractive PDFs can be used to obtain predictions for other final state configuration: jets, heavy flavour

→ final states talks