

# Comparison of Diffractive Final States with LO and NLO QCD Predictions

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for the H1 Collaboration

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- Test of QCD Factorisation in NLO and LO
- Diffractive Production of Dijets and Charm in DIS
- Dijets in Diffractive Photoproduction  
and Gap Survival Probability



# Factorisation in Diffraction

## Hard Scattering Factorisation

$$\sigma^D = \sum_{\text{partons } i} f_i^D(x_{IP}, t, z, Q^2) \otimes \hat{\sigma}^{\gamma i}$$

↑
↑  
 diffractive PDF
 partonic cross section

- proven for diffractive DIS (Collins)

## Regge Factorisation (Pomeron exchange model)

- $z, Q^2$  evolution of diffractive PDFs independent of  $t$  and  $x_{IP}$

$$f_i^D(x_{IP}, t, z, Q^2) = f_{IP/p}(x_{IP}, t) f_{i/P}(z, Q^2) + f_{R/p}(x_R, t) f_{i/R}(z, Q^2)$$

↑
↑
↑
↑  
 flux factor
 Pomeron PDF
Reggeon

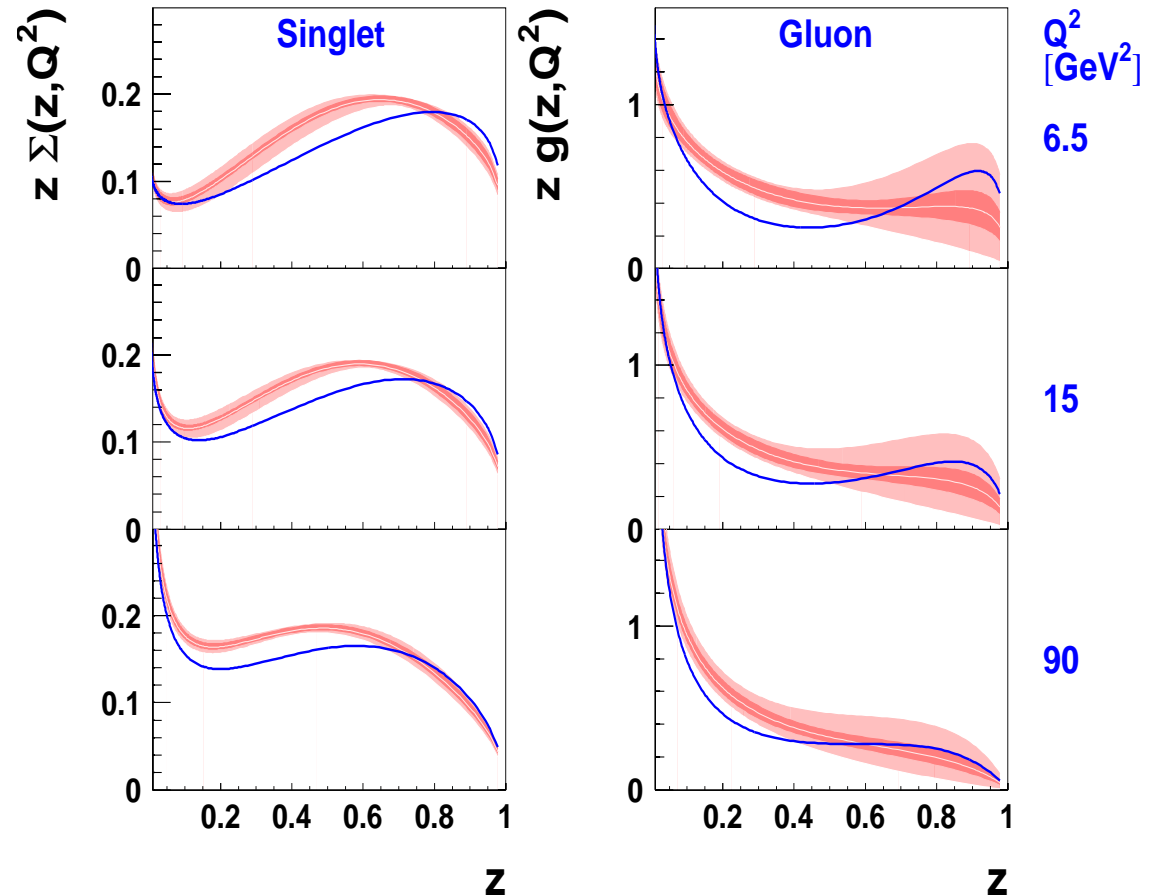
- assumption, no proof
- compatible with inclusive diffr. measurements at present precision

# Pomeron Parton Densities

H1 2002  $\sigma_r^D$  NLO QCD Fit

H1 preliminary

- NLO (red) and LO (blue) PDFs from DGLAP fits to inclusive diffractive measurements ( $\sigma_r^D$ )
- Gluon dominant ( $75 \pm 15$  %)
- large uncertainty at high  $z$



H1 2002  $\sigma_r^D$  NLO QCD Fit

(exp. error)

(exp.+theor. error)

H1 2002  $\sigma_r^D$  LO QCD Fit

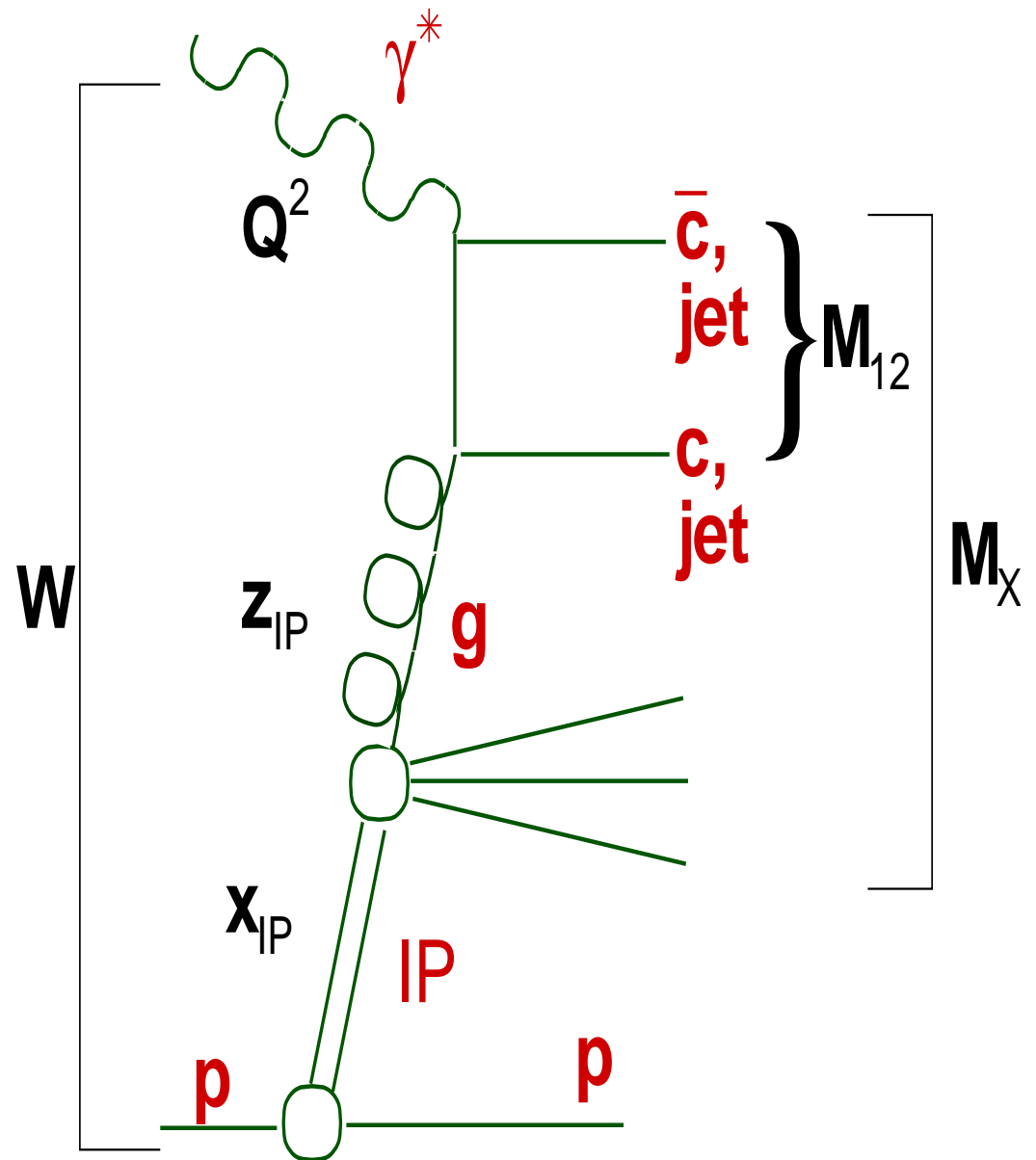
# Diffractive Dijet and Heavy Flavour Production

- Sensitive to diffractive gluon through boson–gluon fusion

- fractional momenta

$$x_{IP} \approx \frac{Q^2 + M_X^2}{Q^2 + W^2}$$

$$z_{IP} \approx \frac{Q^2 + M_{12}^2}{Q^2 + M_X^2}$$



# NLO Comparison with Diffractive Dijet Production

## H1 Dijet Measurement Eur. Phys. J. C20 (2001) 29

- $L=18/\text{pb}$ ;  $4 < Q^2 < 80 \text{ GeV}^2$ ,  $0.1 < y < 0.7$ ,  $x_{\text{IP}} < 0.05$ , CDFcone algorithm,  $p_{\text{T}}^{\text{jet}(1,2)} > 4 \text{ GeV}$
- corrected to asymmetric cuts  $p_{\text{T}}^{\text{jet}(1)} > 5 \text{ GeV}$ ,  $p_{\text{T}}^{\text{jet}(2)} > 4 \text{ GeV}$  using MC because NLO unstable for symmetric cuts

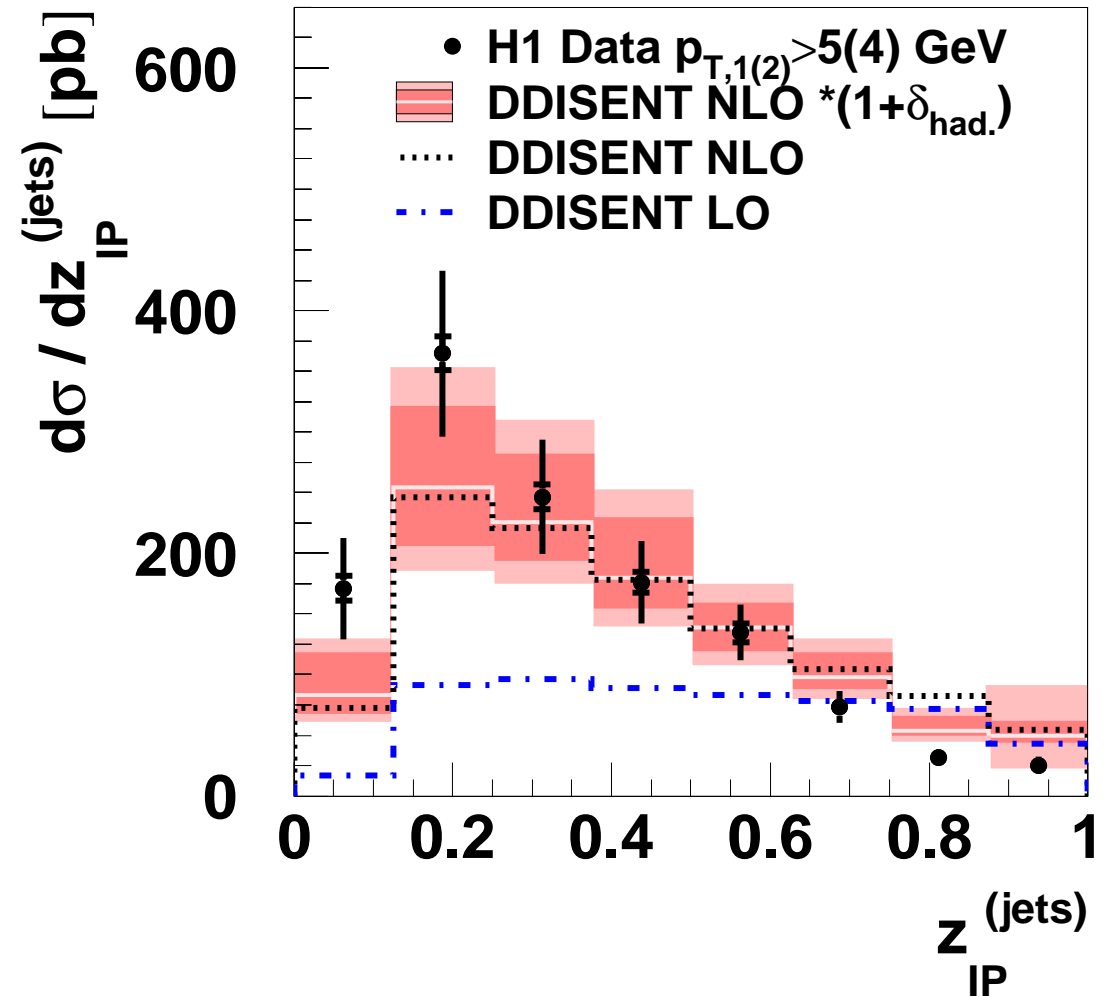
## NLO Calculation

- **DISENT** (Catani, Seymour) NLO program for standard DIS, interfaced to diffractive PDFs (suggested by F. Hautmann, JHEP 0210 (2002) 025)
- $\mu_r = \frac{p_{\text{T}}(1) + p_{\text{T}}(2)}{2}$ ,  $\mu_f^2 = 40 \text{ GeV}^2$ ,  $\Lambda_{\text{QCD}}^{(4)} = 0.2 \text{ GeV}$  (as in PDFs)
- scale uncertainty: 20% ( $\mu_r$  varied by factors 2 and 0.5) → inner band
- hadronisation correction uncertainty from MC: ~10% → outer band

# Momentum Fraction of Diffractive Exchange

- NLO calculation gives reasonable description of shape and normalisation
- LO result too low, shape not described
- size of NLO correction: factor  $\sim 2$  (large because low  $p_T$  of jets)
- not shown: gluon uncertainty at large  $z_{IP}$  ( $\sim 25\%$ )

H1 Diffractive Dijets (prel.)  
H1 fit 2002,  $\mu_r^2 = p_T^2$ ,  $\mu_f^2 = 40 \text{ GeV}^2$

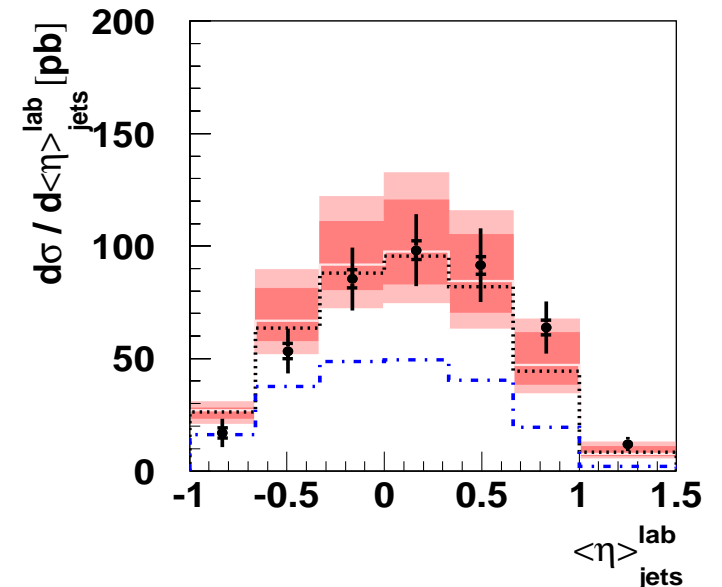
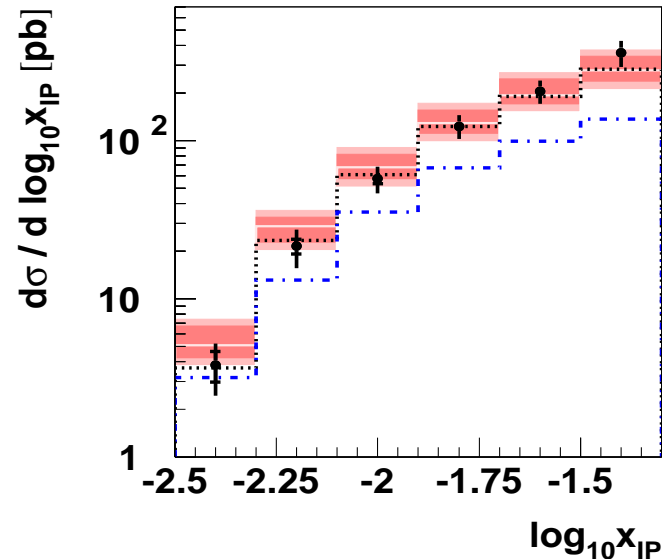
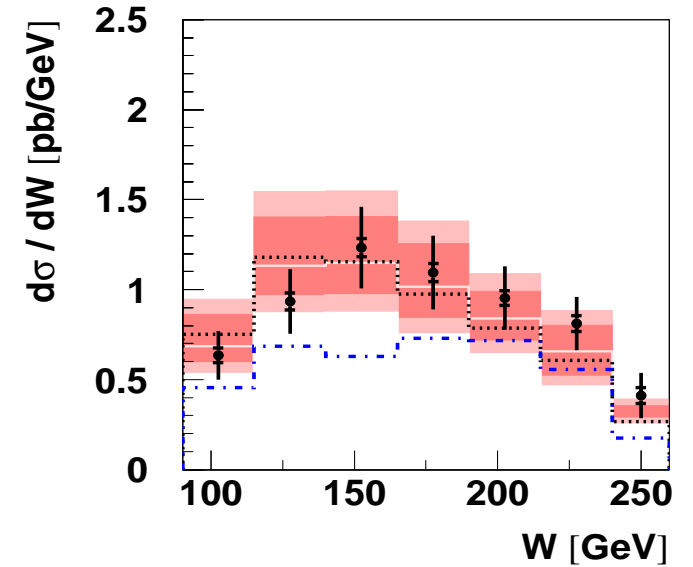
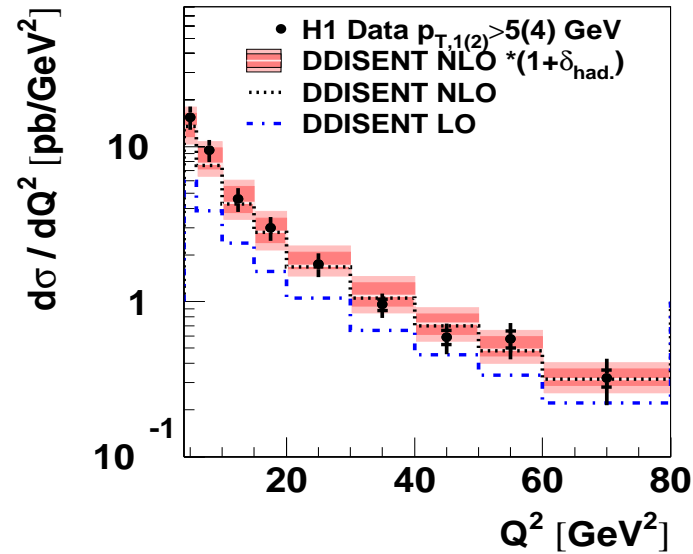


# NLO Diffractive Dijet Cross Sections

reasonable  
description of  
all distributions

H1 Diffractive Dijets (prel.)

H1 fit 2002,  $\mu_r^2=p_T^2$ ,  $\mu_f^2=40 \text{ GeV}^2$



# Evolving Structure of Diffractive Exchange

$z_{IP}$  in bins of

$$Q^2 + p_T^2$$

change with scale

$$x_{IP}$$

- test of Regge factorisation

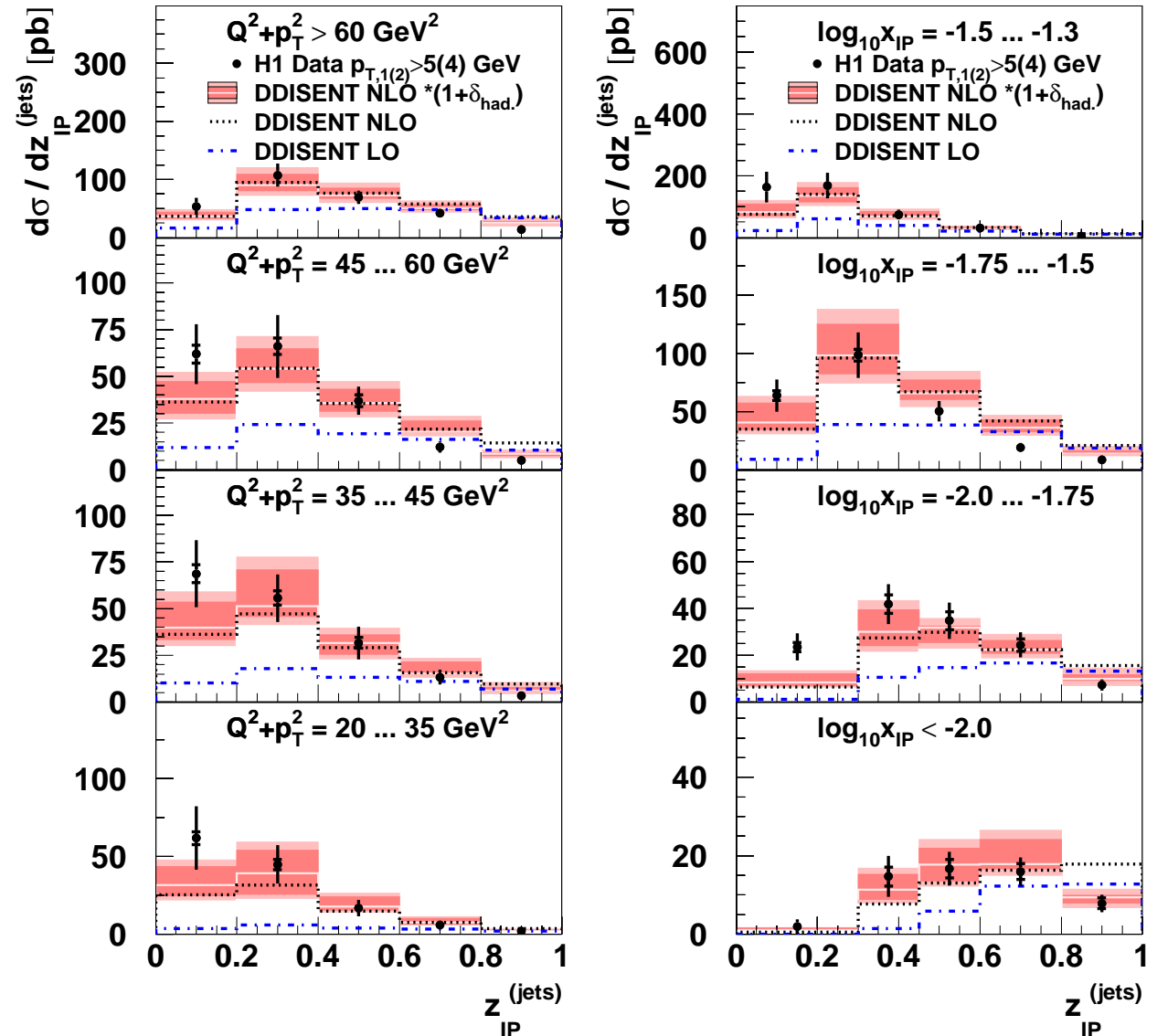
- kinematic effect:

$$x_{IP} z_{IP} = x_g \approx \text{const}$$

evolution  
reasonably well  
described

H1 Diffractive Dijets (prel.)

H1 fit 2002,  $\mu_r^2 = p_T^2$ ,  $\mu_f^2 = 40 \text{ GeV}^2$





# NLO Comparison with D\* Production

**H1 D\* measurement** Phys. Lett. B520 (2001) 191

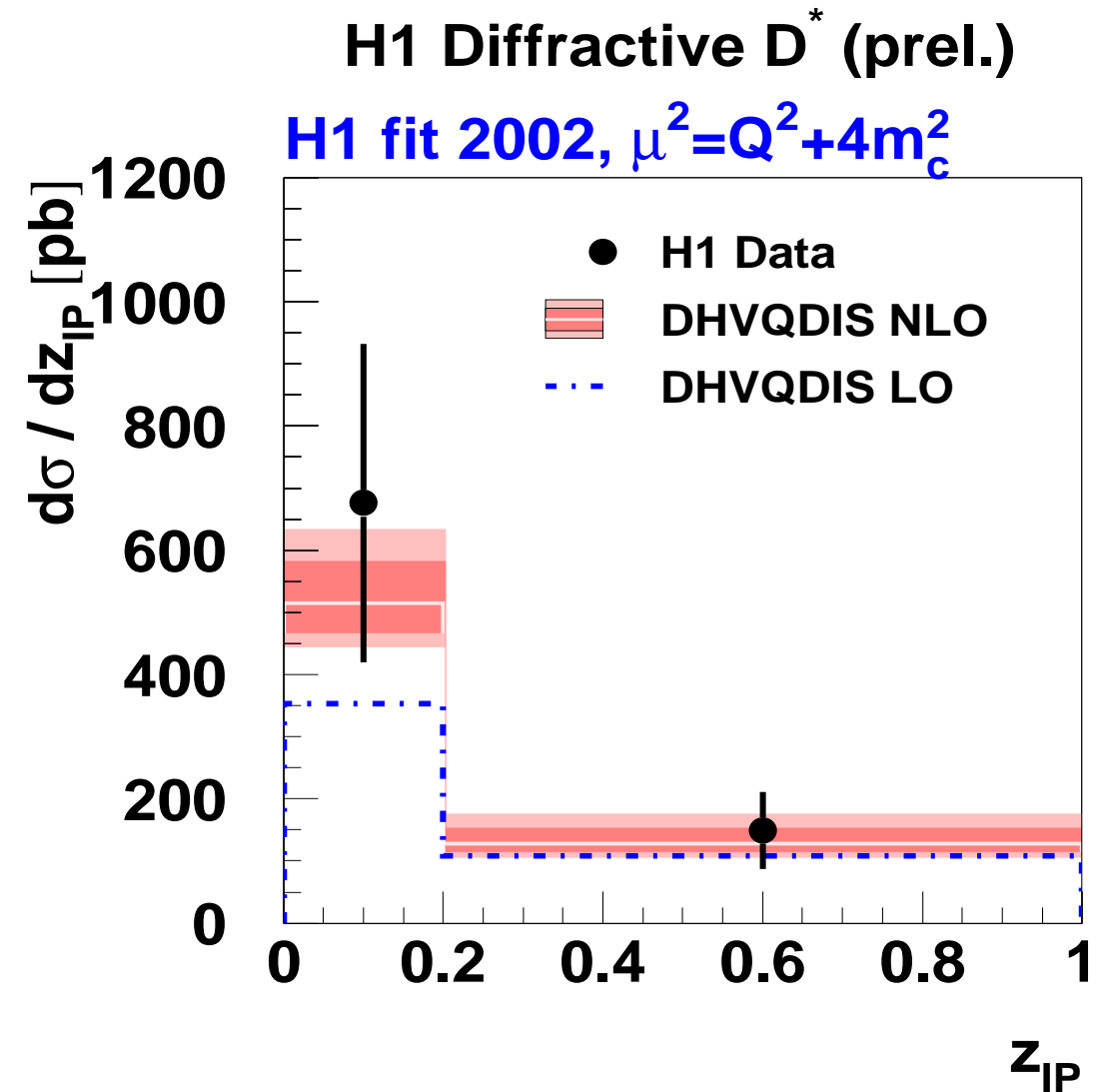
- $L=19/\text{pb}$ ,  $2 < Q^2 < 100 \text{ GeV}^2$ ,  $0.05 < y < 0.7$ ,  $x_{\text{IP}} < 0.04$ ,  
 $p_{\text{T}}^{D^*} > 2 \text{ GeV}$ ,  $|\eta_{D^*}| < 1.5$

## NLO Calculation

- HVQDIS (Harris, Smith), diffractive extension by Alvero, Collins, Whitmore hep-ph/9806340
- hadronisation fraction  $f(c \rightarrow D^*) = 0.233$
- Peterson fragmentation:  $\varepsilon = 0.078$ , varied between 0.035..0.100  
→ outer band (+21 / -7 %)
- $m_c = 1.5 \text{ GeV}$ , varied between 1.35..1.65 GeV → outer band ( $\pm 12\%$ )
- $\Lambda_{\text{QCD}}^{(4)} = 0.2 \text{ GeV}$ ,  $\mu_r^2 = \mu_f^2 = Q^2 + 4 m_c^2$
- scale uncertainty ( $\mu_r$  varied by factors 2 and 0.5) → inner band:  
(+14/-10%)

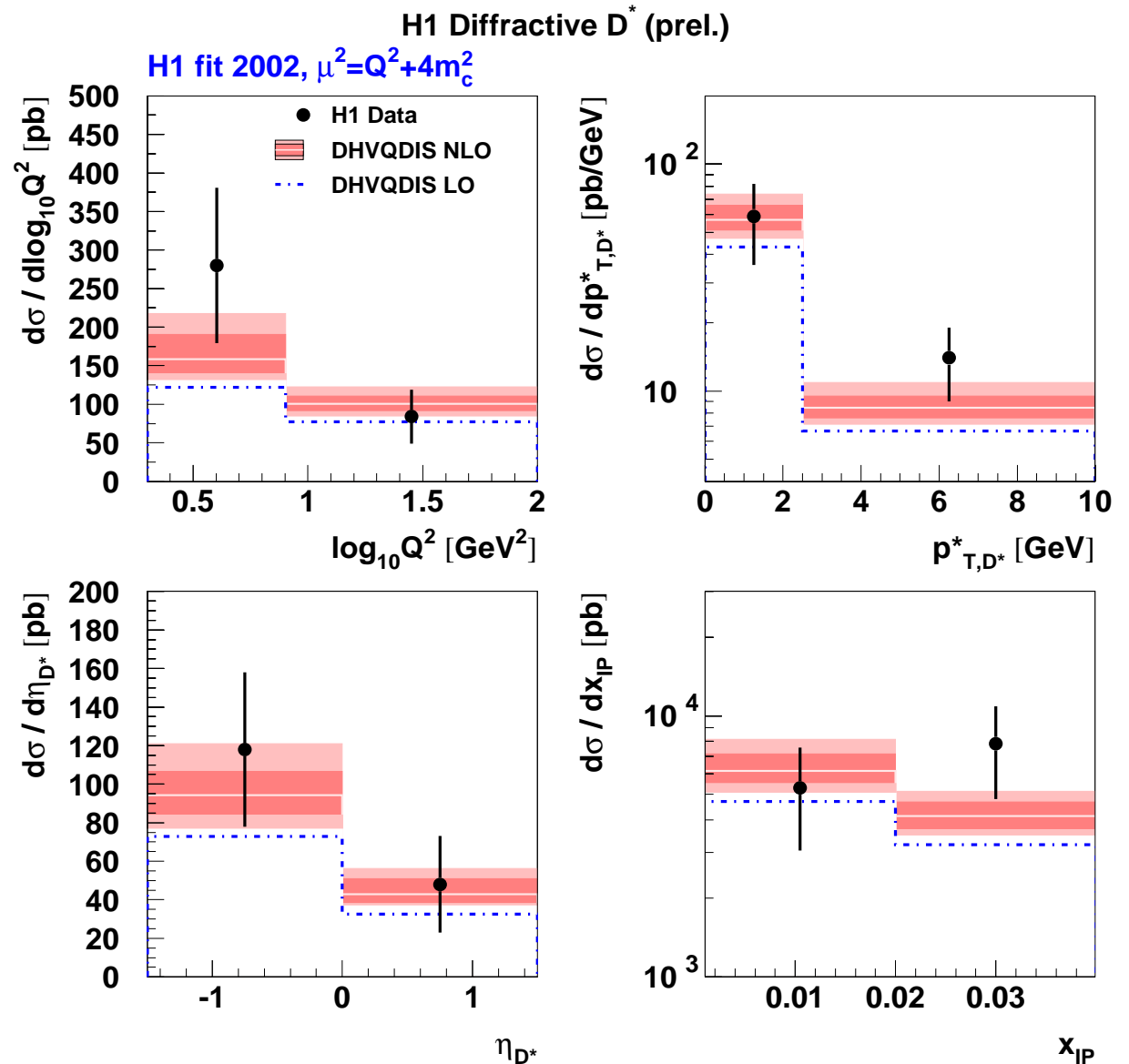
# Momentum Fraction of Diffractive Exchange

- NLO calculation gives good description within large uncertainties of measurement
- size of NLO correction is smaller than for dijet production



# NLO Diffractive D\* Cross Sections

good description for  
all distributions  
within large  
uncertainties of  
measurement



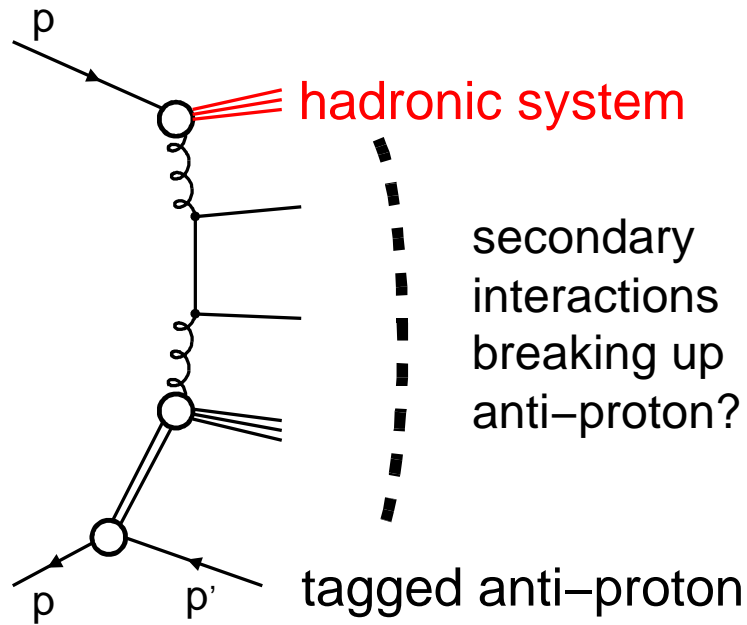
# Summary Dijet and D\* Production in DIS

- Within uncertainties (theoretical and experimental):

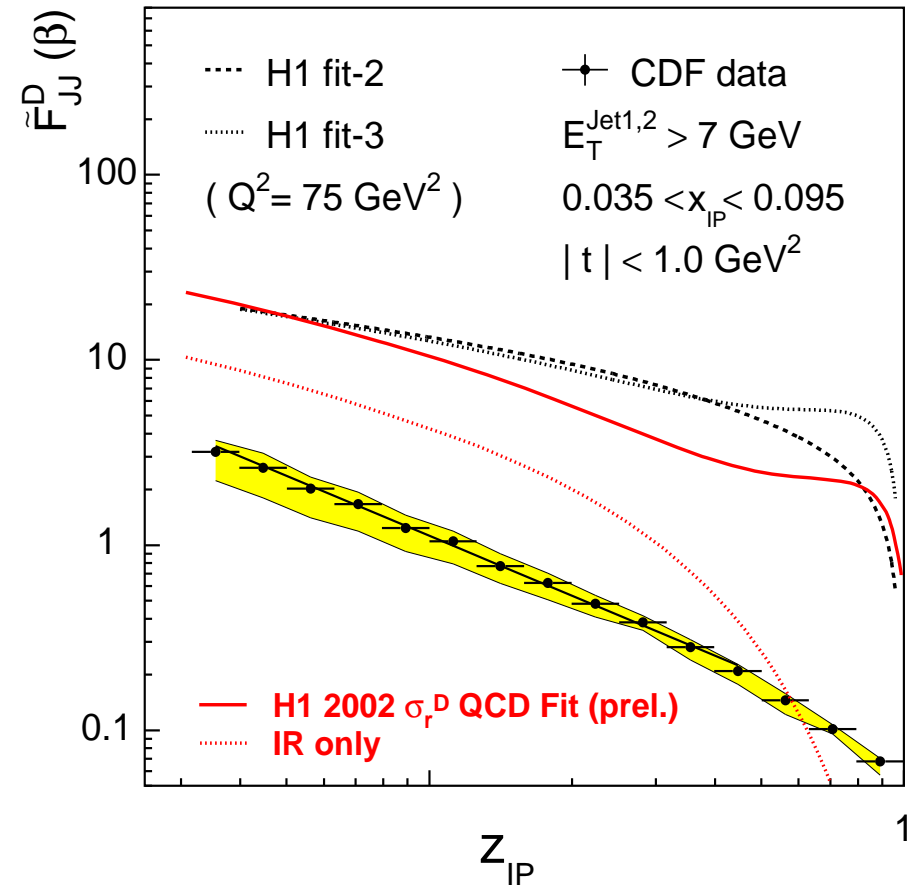
**QCD Factorisation holds in diffractive DIS, tested to NLO**

- consistent description at NLO of **both dijet and D\*** production using diffractive PDFs from inclusive diffractive measurements

# Single-Diffractive Dijets at the Tevatron



Diffractive Structure Function of Antiproton



**LO Comparison to diffr. Parton Densities from HERA**

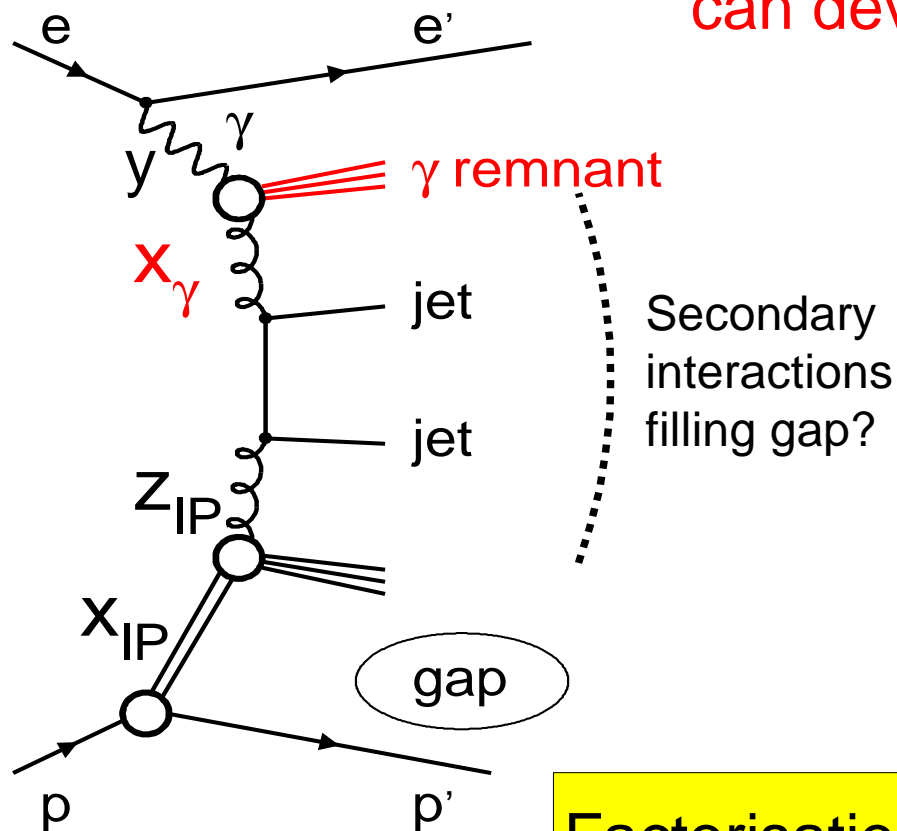
→ **Breakdown of Factorisation!**

- rate overestimated by factor  $\approx 10$
- secondary interactions due to hadronic system?

# Photoproduction — Transition from DIS to $p\bar{p}$

quasi-real photon ( $Q^2 \approx 0$ )

can develop hadronic structure



- $X_\gamma = 1$ : direct photon coupling, DIS-like

- $X_\gamma < 1$ : resolved photon, hadron-like

- photon remnant energy  $\propto 1 - X_\gamma$

Factorisation breaking in diffr. photoproduction  
 Suppression dependent on photon remnant



# $x_\gamma$ : Momentum Fraction of Photon

## H1 Preliminary measurement

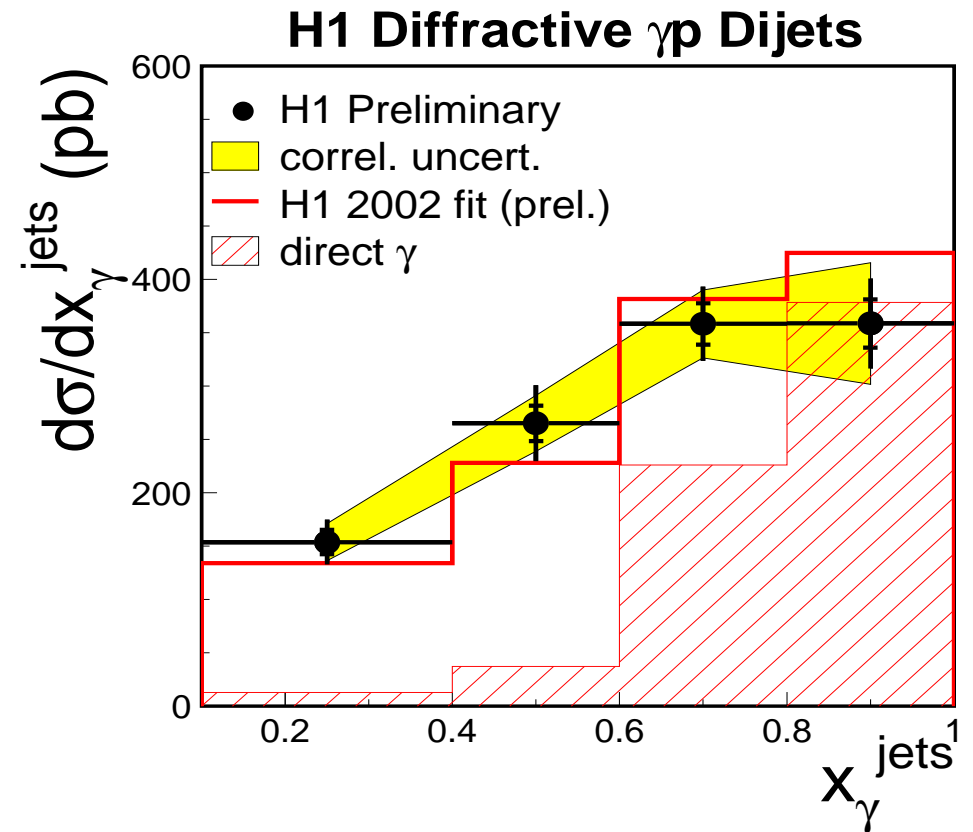
$L=18/\text{pb}$ ,  $Q^2 < 0.01 \text{ GeV}^2$ ,  
 $165 < W < 240 \text{ GeV}$ ,  $x_{\text{IP}} < 0.03$ ,  
incl.  $k_T$  jet algorithm,  $p_{\text{T}}^{\text{jet}(1,2)} > 5,4 \text{ GeV}$

## Monte Carlo comparison:

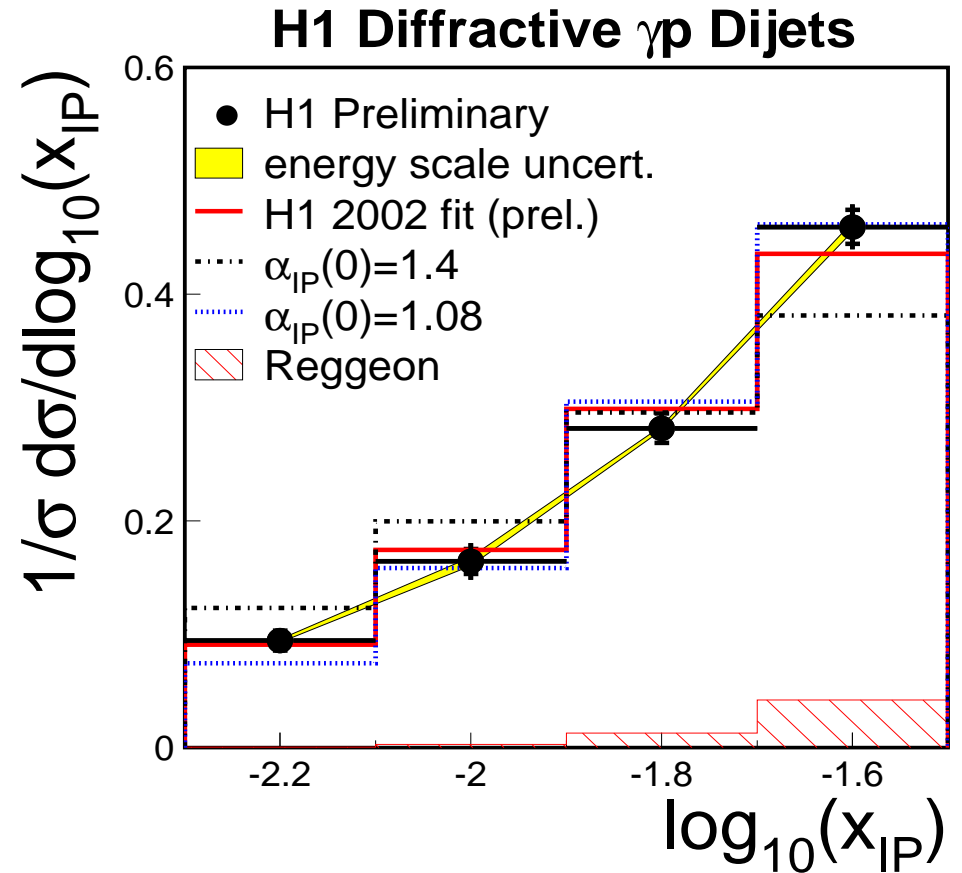
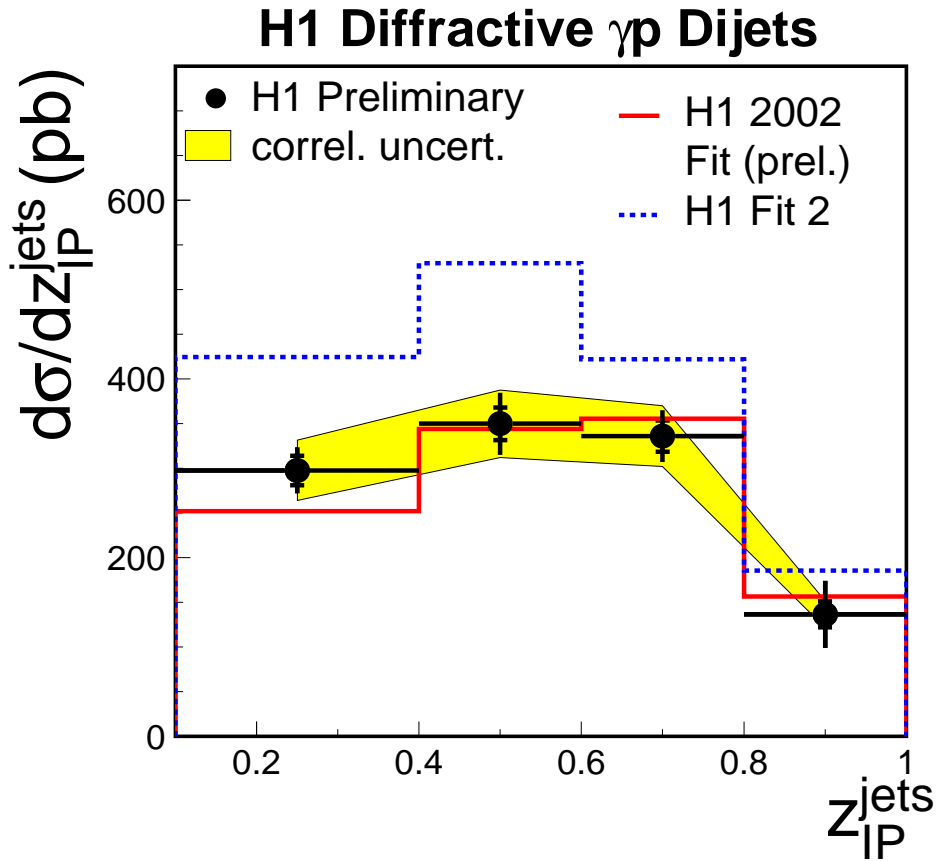
- LO Matrix Elements + Parton Showers
- scale  $\mu_r = \mu_f = p_T$  (uncertainty: 25%)

Shape and normalisation well described within uncertainties:

- Result consistent with QCD Factorisation in Photoproduction
- no difference between direct and resolved photon processes



# Dependence on $z_{IP}$ and $x_{IP}$



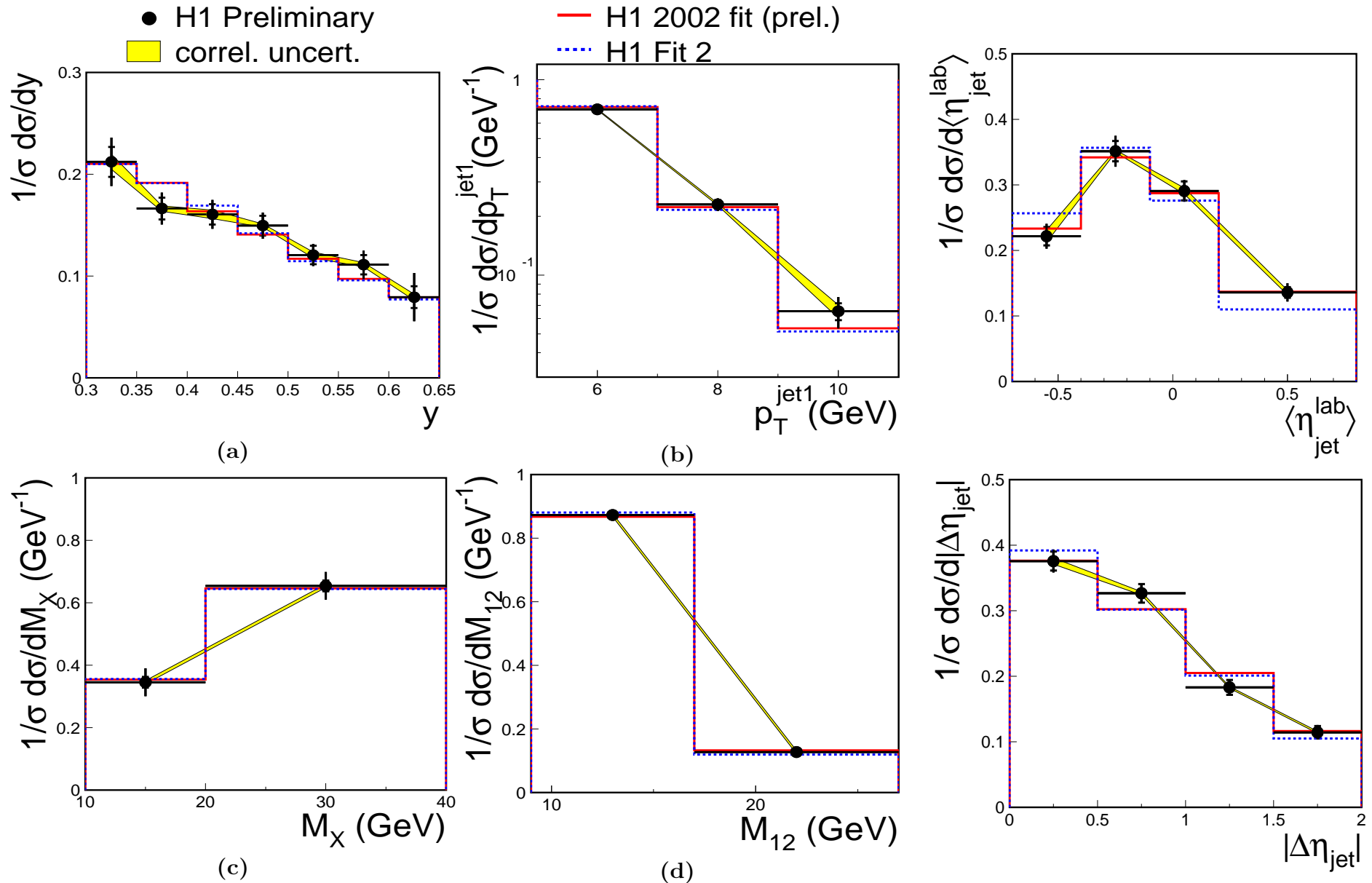
distributions well described!

- pomeron intercept 1.4 excluded
- 1.08 and 1.17 both compatible



# More well described Cross Sections

## H1 Diffractive $\gamma p$ Dijets



# Survival Probability in Diffractive Photoproduction

- comparison of diffractive dijet cross sections in DIS and photoproduction
- need MC to correct for different acceptance (phase space effect)
- no dependence on  $x_\gamma \rightarrow$  overall factor

$$S = \frac{\left(\frac{\text{MC}}{\text{data}}\right)_{\gamma p}}{\left(\frac{\text{MC}}{\text{data}}\right)_{\text{DIS}}} = 1.3 \pm 0.3 \text{ (exp.)}$$

uncertainty is quadratic sum of experimental errors

No significant suppression found in photoproduction relative to DIS

# Summary

## Diffraction Dijet and $D^*$ Production in DIS

- NLO comparisons with diffractive PDFs from inclusive diffractive measurements
- Results consistent with QCD Factorisation at NLO

## Diffraction Dijet Photoproduction

- comparisons with Monte Carlo (LO + Parton Showers)
- Results consistent with QCD Factorisation in diffractive photoproduction
- no significant suppression observed in photoproduction relative to DIS