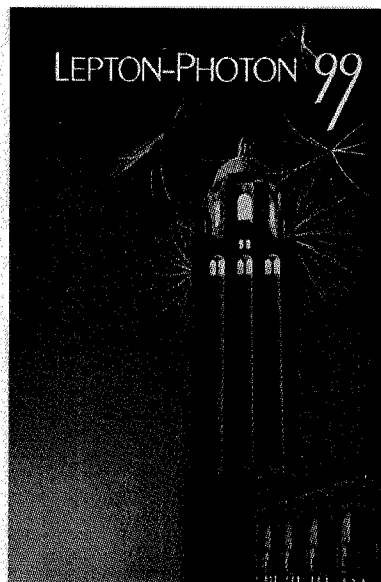


Structure Functions in Deep-Inelastic Lepton-Nucleon Scattering

Max Klein
DESY Zeuthen

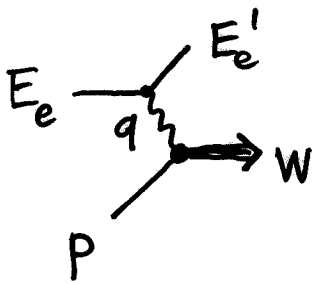


LEPTON-PHOTON '99
XIX International Symposium
on Lepton and Photon Interactions at
High Energies
Stanford University
August 9-14, 1999

1969

"observed behaviour of highly inelastic ep scattering"

SLAC · MIT



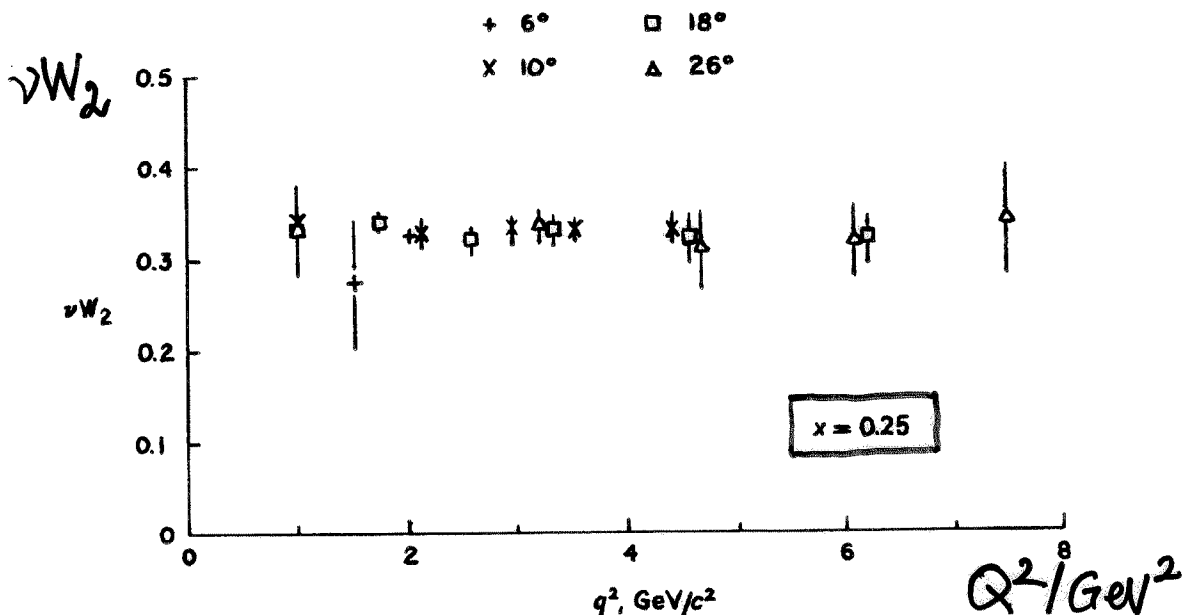
$$W^2 = (q+p)^2 = M^2 - Q^2 + 2M \underbrace{(E_e - E_e')}_{\nu}$$

$$x = \frac{Q^2}{2M\nu}, \quad 2M\nu = s \cdot y, \quad s = 2ME_e$$

proton structure function $\nu W_2(Q^2, \nu) \rightarrow F_2(x)$

$$Q^2 \rightarrow \infty, \nu \rightarrow \infty$$

Bj scaling



• partons at 10^{-16} m .

$$F_2 = x \sum Q_q^2 (q + \bar{q})$$

1979

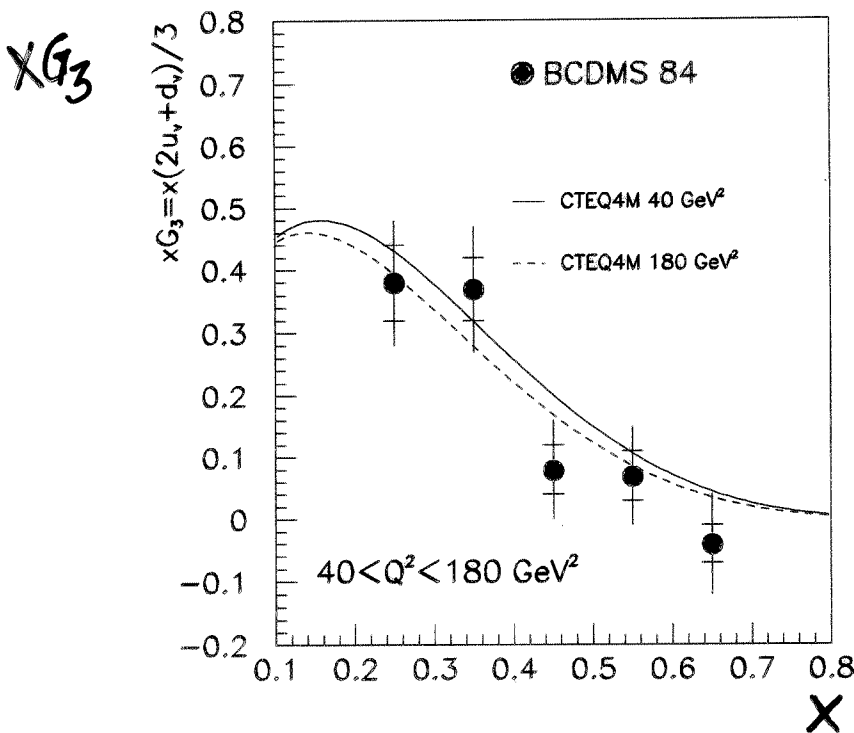
polarized $\vec{e}p$ scattering asymmetry measured at SLAC

$$A = \frac{\sigma(\lambda) - \sigma(-\lambda)}{\sigma(\lambda) + \sigma(-\lambda)} \sim \lambda \cdot \kappa \cdot a_e \frac{G_2}{F_2}, \quad \kappa \sim 10^{-4} \frac{Q^2}{\text{GeV}^2}$$

$$a_e = I_3^L(e) - I_3^R(e) \quad . \quad e_R \text{ singlet} \quad . \quad A \sim a_e v_q \text{ PV.}$$

- probe nucleon structure with γ and Z exchanged

$$F_2 \rightarrow x \sum (Q_q^2, 2Q_q v_q, v_q^2 + a_q^2) (q + \bar{q}) = (F_2, G_2, H_2)$$



γZ
interference
structure function
 $xG_3 = x(2u_v + d_v)/3$

$$\mu^\pm(\mp\lambda) C \rightarrow \mu^\pm X$$

$$xF_3 \text{ in NC} : 2x \sum (-, Q_q a_q, v_q a_q) (q - \bar{q}) = (0, xG_3, xH_3)$$

$$\sigma^\pm \sim Y_+ F_2 \mp \kappa Y_- xG_3 \quad . \quad Y_\pm = 1 \pm (1-y)^2$$

- ν, μ expts. renorm. group $\alpha_s = \frac{4\pi}{\beta_0 \ln Q^2/\Lambda^2}$

1989

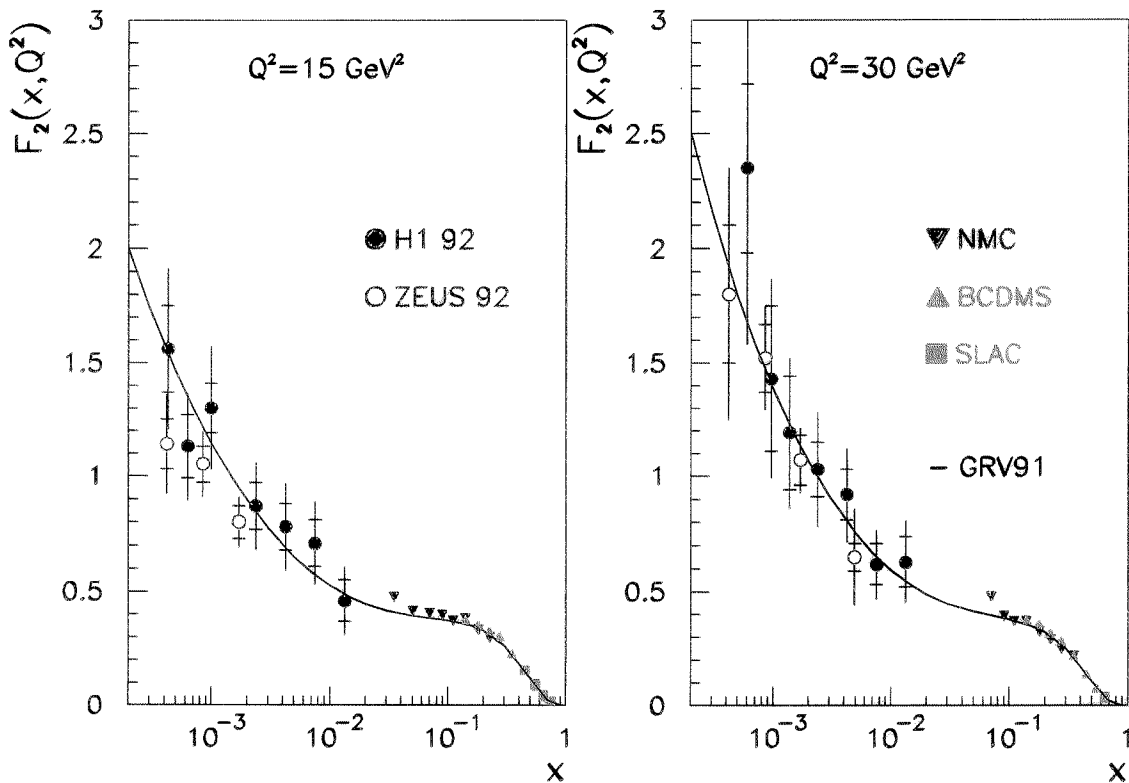
and 3 more years

HERA : electron-proton collider $S = 4E_e E_p \approx 10^5 \text{ GeV}^2$

high $Q^2 \sim M_{W,Z}^2$ neutral and charged currents

$$\text{Low } x = \frac{Q^2}{s \cdot y}$$

the rise of F_2



and the importance of the gluon distribution

$$\frac{\partial F_2}{\partial \ln Q^2} \sim ds \cdot xg \quad , \quad xg \sim \exp \sqrt{C \cdot \ln T \cdot \ln^2 1/x}$$

$$T = \ln Q^2 / \Lambda^2 / \ln Q_0^2 / \Lambda^2$$

Possible non Regge Behaviour of Electroprod. Structure Fcts.

DeRujula, Glashow, Politzer, Treiman, Wilczek, Zee . 1974

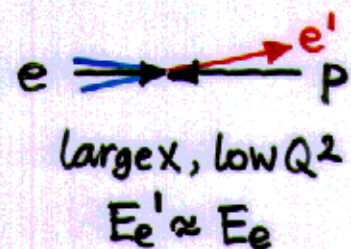
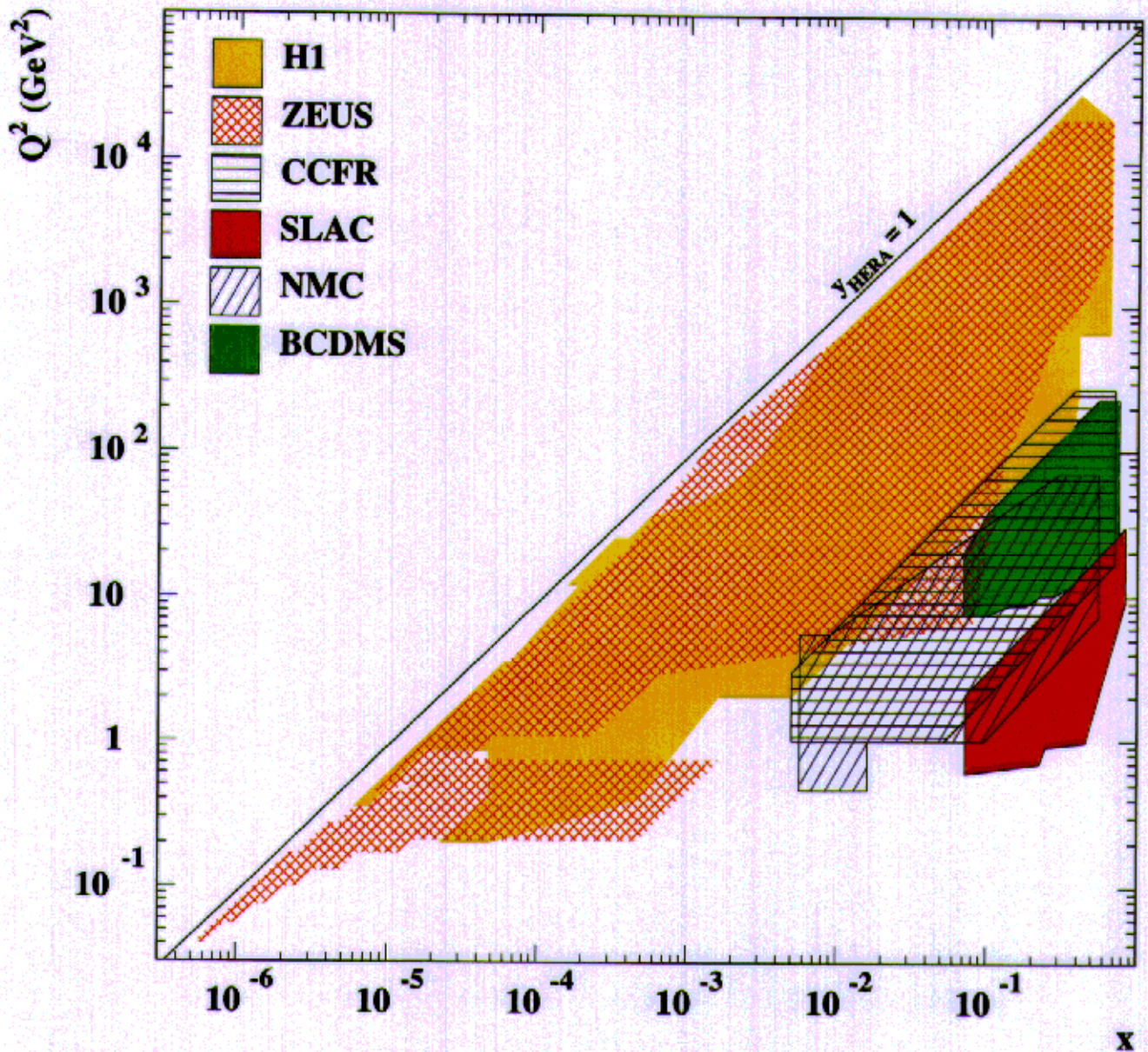
"dynamical partons": Parisi, Petronzio, ITEP, Glück, Reya 1976/77

1999

- recent measurements of structure functions
- quark distributions including charm
- gluon distribution and d_s
- towards 2009

HERA kinematics

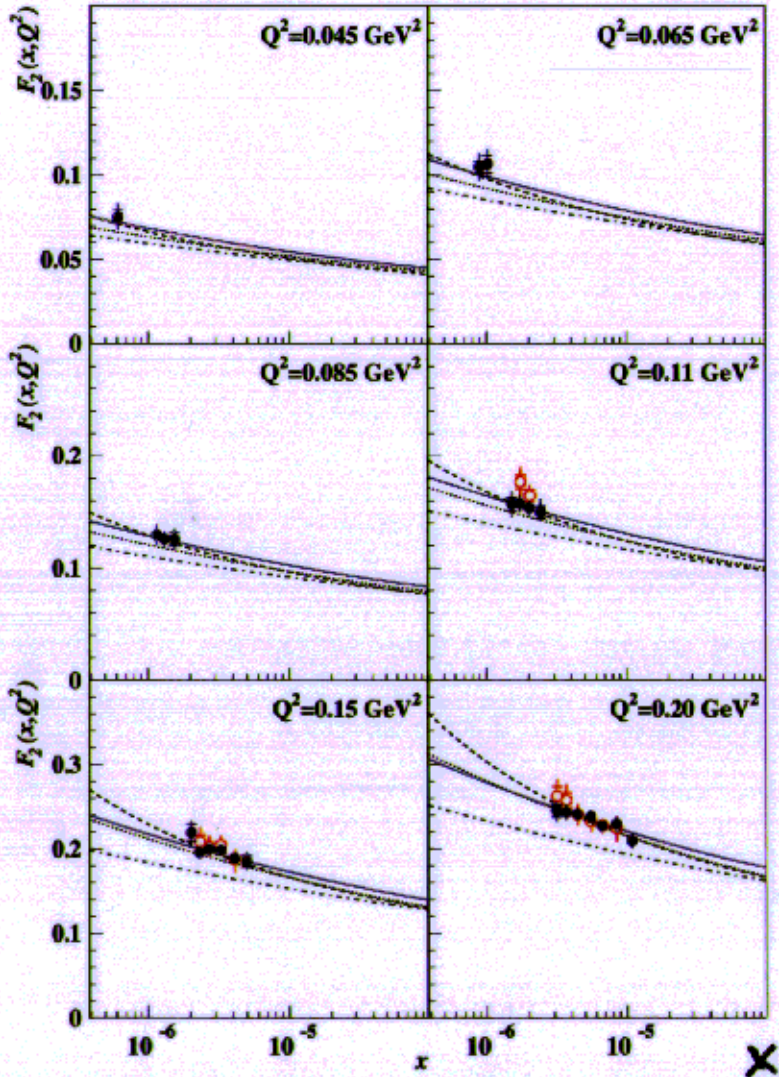
high Q^2 , large x



ZEUS 1997 (Preliminary)

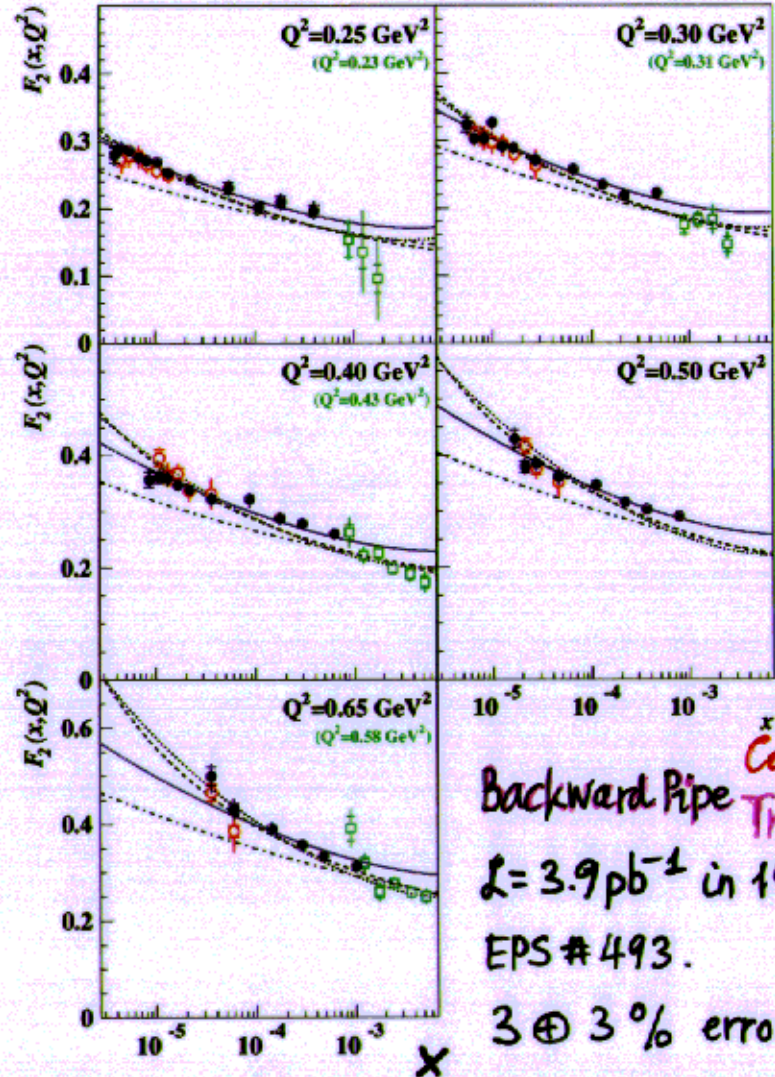
- E665
- ZEUS BPC 1995
- ZEUS BPT 1997 — ZEUS REGGE 97
- DL
- DL98
- ALLM97

F_2



ZEUS 1997 (Preliminary)

- E665
- ZEUS BPC 1995
- ZEUS BPT 1997 — ZEUS REGGE 97
- DL
- DL98
- ALLM97



Calorimeter
Backward Pipe Tracker
 $\mathcal{L} = 3.9 \text{ pb}^{-1}$ in 1997
 EPS #493.
 $3 \oplus 3\%$ errors

- Soft physics ← deep-inelastic scattering

$$\sigma_{tot}^{\gamma^*p}(W^2, Q^2) = \frac{4\pi^2\alpha}{Q^2} F_2$$

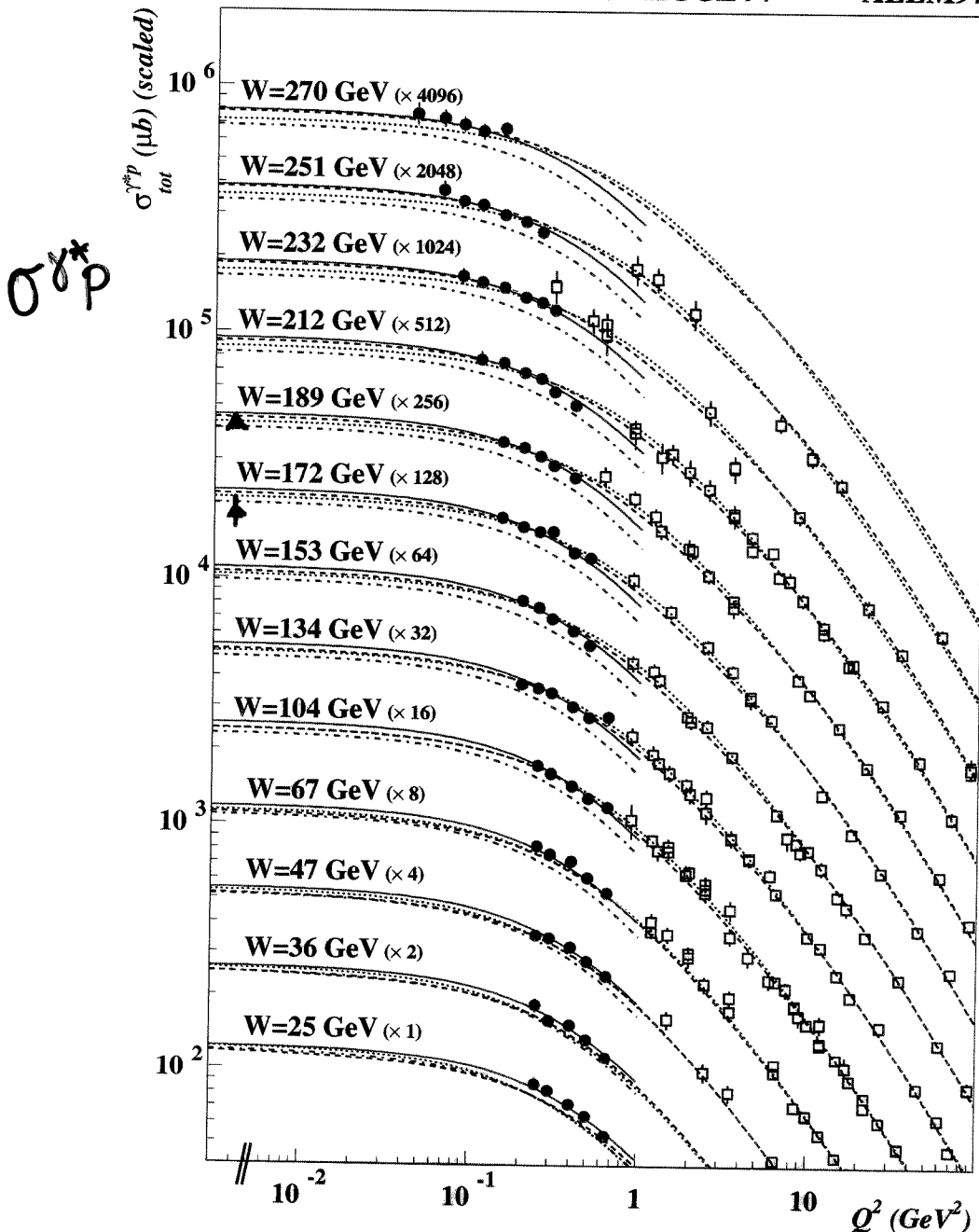
$$F_2 \sim X^{-\lambda(x, Q^2)}$$

$$\lambda \rightarrow 0.1 \approx \alpha_p - 1$$

for low Q^2

ZEUS 1997 (Preliminary)

- ZEUS+H1 94-95
- ▲ ZEUS+H1 γp
- ZEUS BPT 1997
- ZEUS REGGE 97
- DL
- DL98
- ALLM97



$$W^2 \approx S \cdot y$$

Regge and
GVDM
phenomenology.

$$F_2 = f(Q^2) \cdot g(W^2)$$

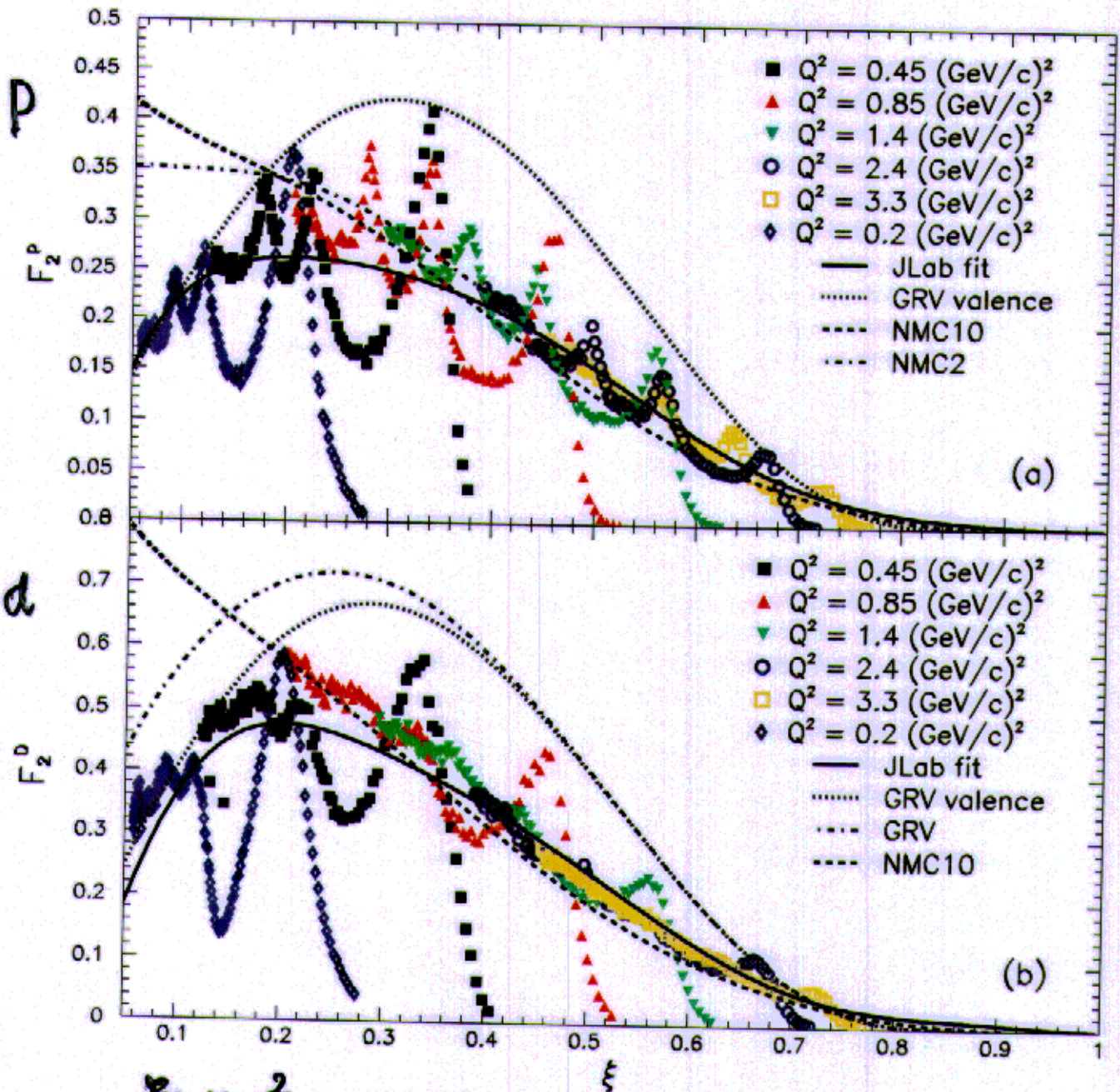
to approach
 $Q^2 \rightarrow 0$ limit:

- HERA
- $Q^2/4$ with
- $E_e/2$

parton-hadron duality. *)

Jefferson Lab, ep, eD in resonance region $W \sim 1 \text{ GeV}$, 3%

- valence like structure at $Q^2 < 1 \text{ GeV}^2$
- small higher twist
- G_M^p elastic form factor from inelastic data, R: E94-110



$$\xi = x \cdot \frac{2}{1 + \sqrt{1 + 4x^2 M^2 / Q^2}}$$

* Bloom, Gilman
PRD4(71)2901

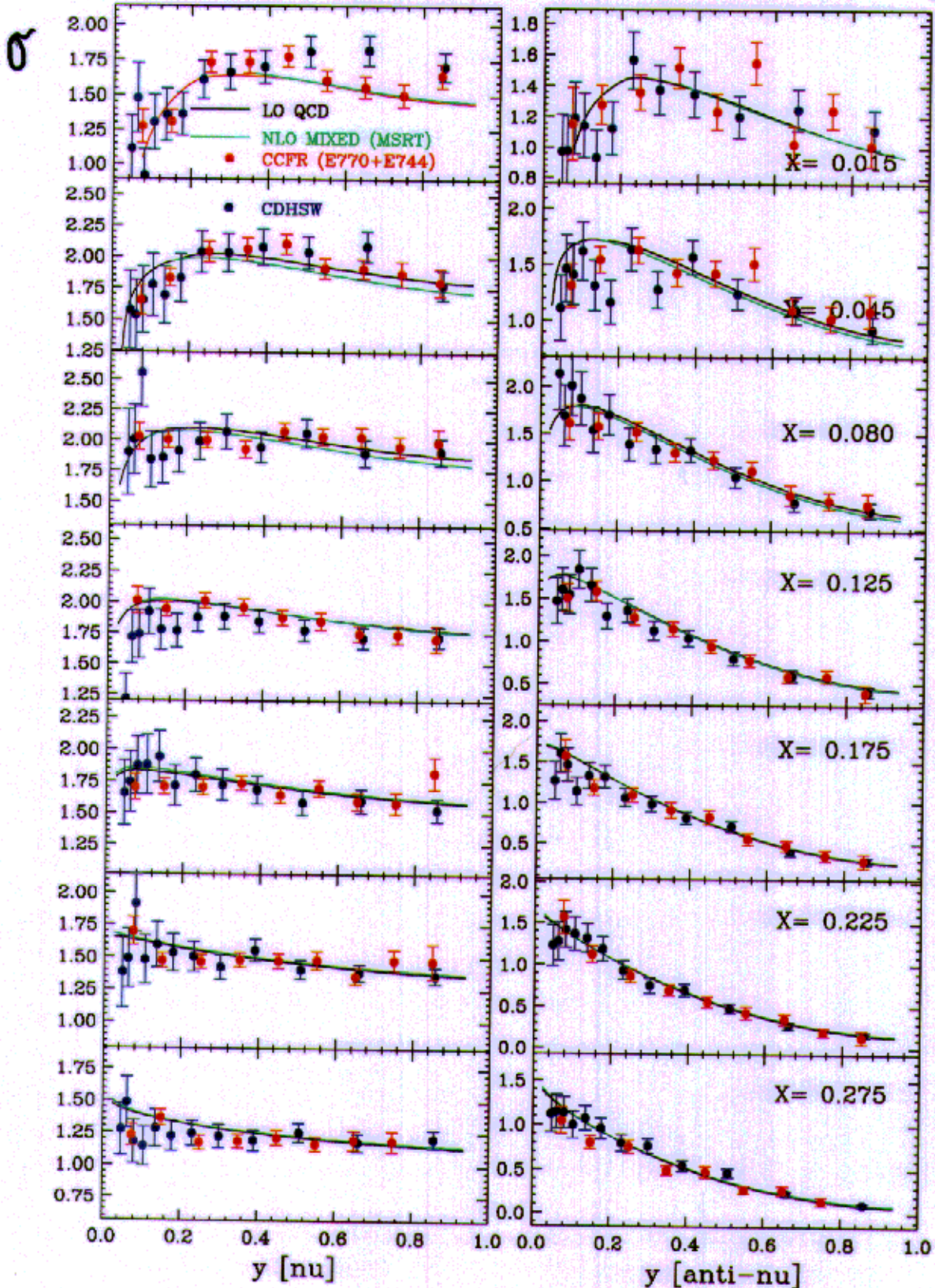
C. Keppel DIS99, April 99, Zeuthen.

($\bar{\nu}$)Fe CCFR

$\sigma^{\nu} \sim [q + \bar{q}(1-y)^2]$
 10^6 events

$\sigma^{\bar{\nu}} \sim [q(1-y)^2 + \bar{q}]$
 $1.8 \cdot 10^5$ events

$E_{\nu} = 150.0$ [unit: 10^{-38} cm 2]

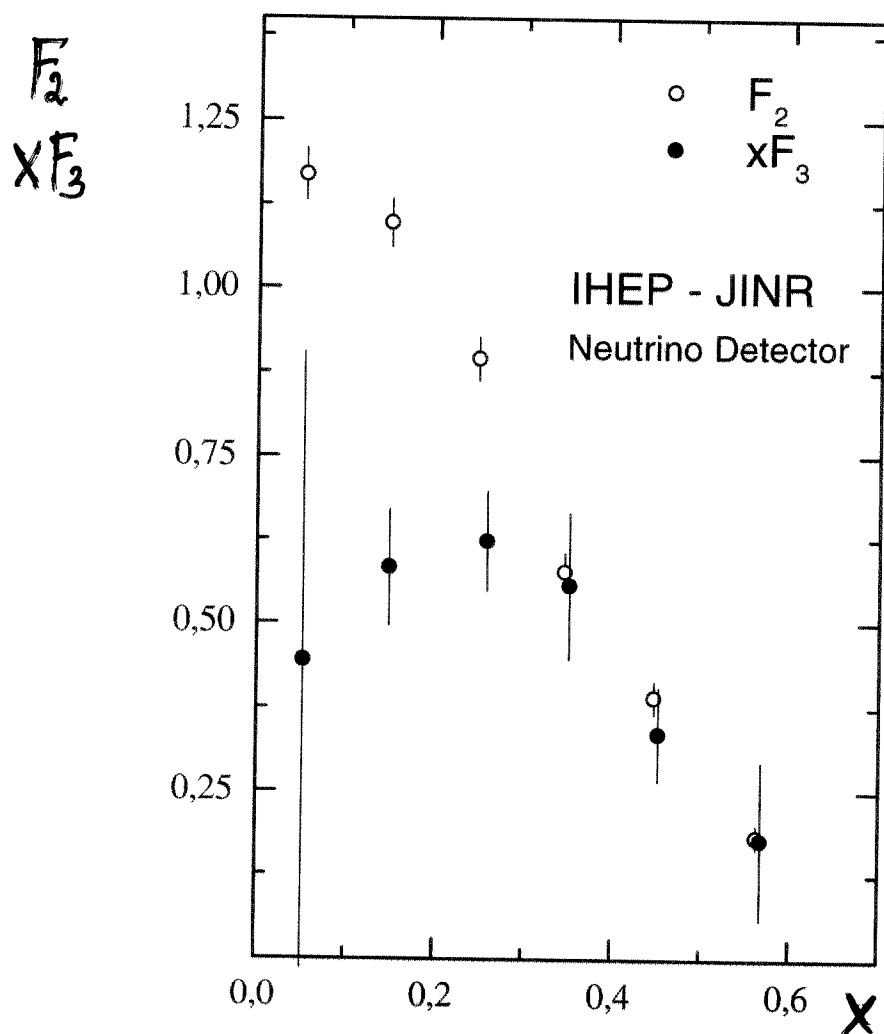


IHEP - JINR ν Detector $u\phi B\bar{\nu} - O\mu\alpha u$

- Liquid Sc. - Al calorimeter, μ toroid, drift chambers

$\nu(\bar{\nu})$ w/beam at Serpukhov U70 . $\bar{E}_\nu \sim 7 \text{ GeV}$

○	events	ν 741	$\bar{\nu}$ 5987	$, W^2 71.7 \text{ GeV}^2$
	$\langle Q^2 \rangle$	1.2	2.3 GeV^2	



- α_s analysis $\alpha_s(M_Z^2) = 0.123^{+0.010}_{-0.013}$

hep-ex/9905038

H1

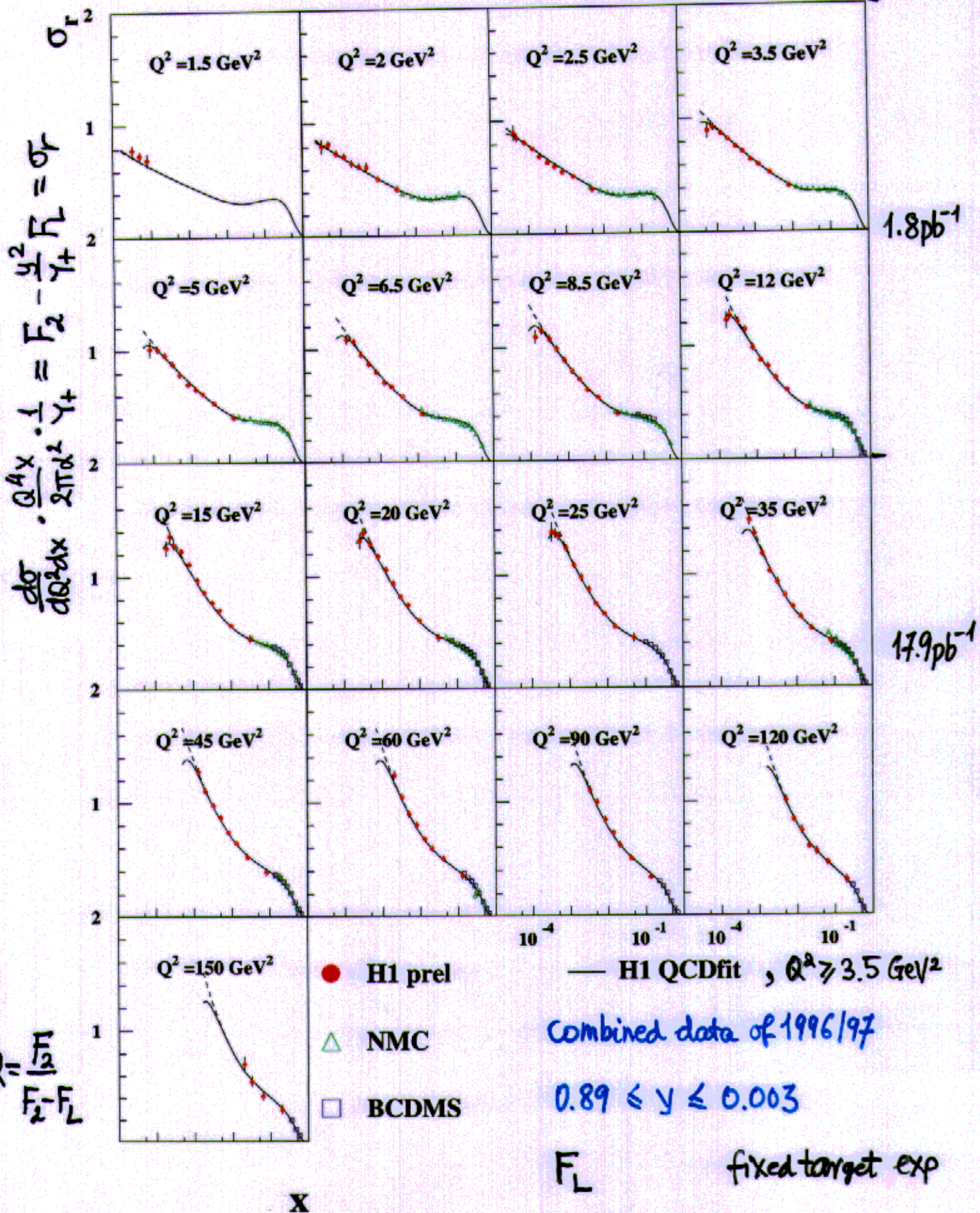
Low Q^2 (1.5 - 150 GeV²), Low x (0.00003 - 0.2)

- new backward apparatus
 - lead-fibre high resolution calorimeter (elm, had)
 - drift chamber
 - backward silicon tracker
- eID down to 3 GeV, vertex at large x ($low y = Q^2/sx$)
 $\delta E_e' = 0.3\%$, $\delta \vartheta_e = 0.3 \text{ mrad}$, $\delta E_h = 2\%$
- HERA \mathcal{L} increased : systematics improved
- most precise structure function data so far @ HERA
 $\lesssim 1\%$ statistical and $\gtrsim 2\%$ systematic errors.

[27.6e x 820p]

$F_2 - \frac{y^2}{4} F_L \rightarrow F_2 - F_L$ H1 96-97

$\leftarrow y = Q^2/sx$



ultra high energy neutrinos $E_\nu \approx 10^{12}$ GeV

AGN, GRB ...

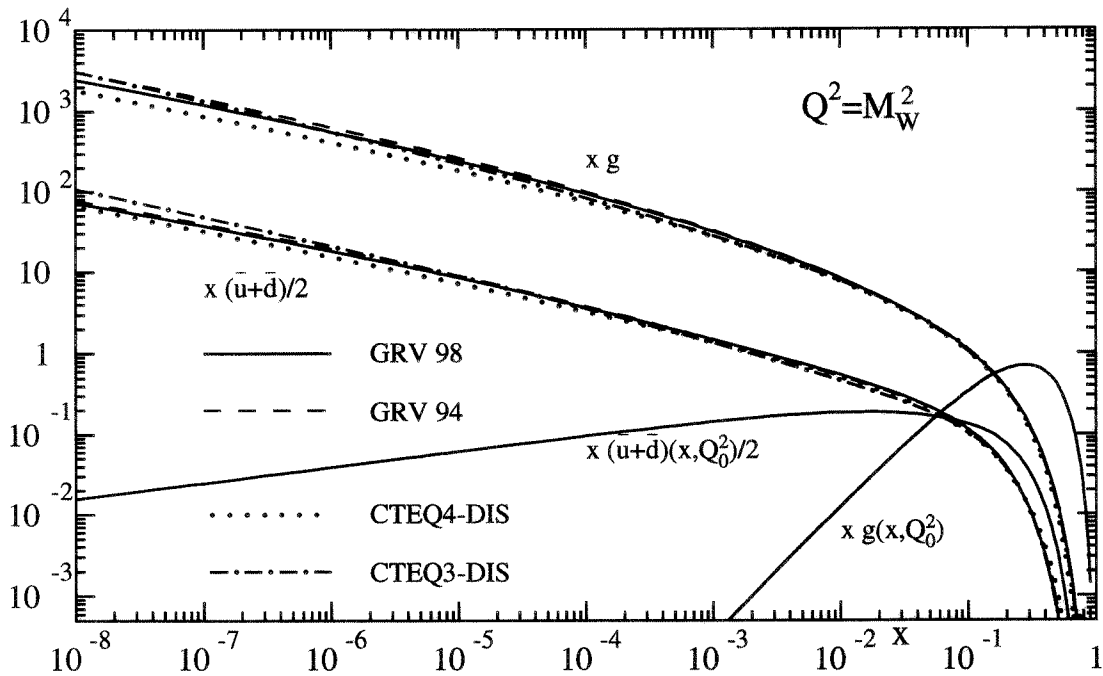


Fig. 1

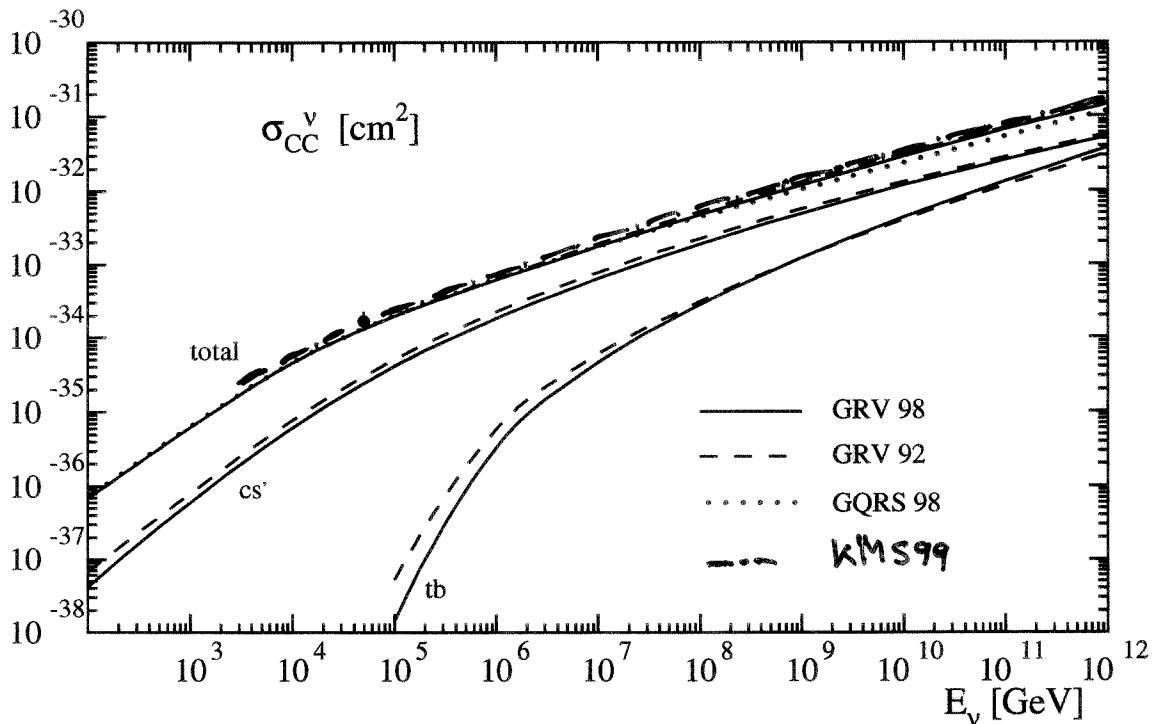
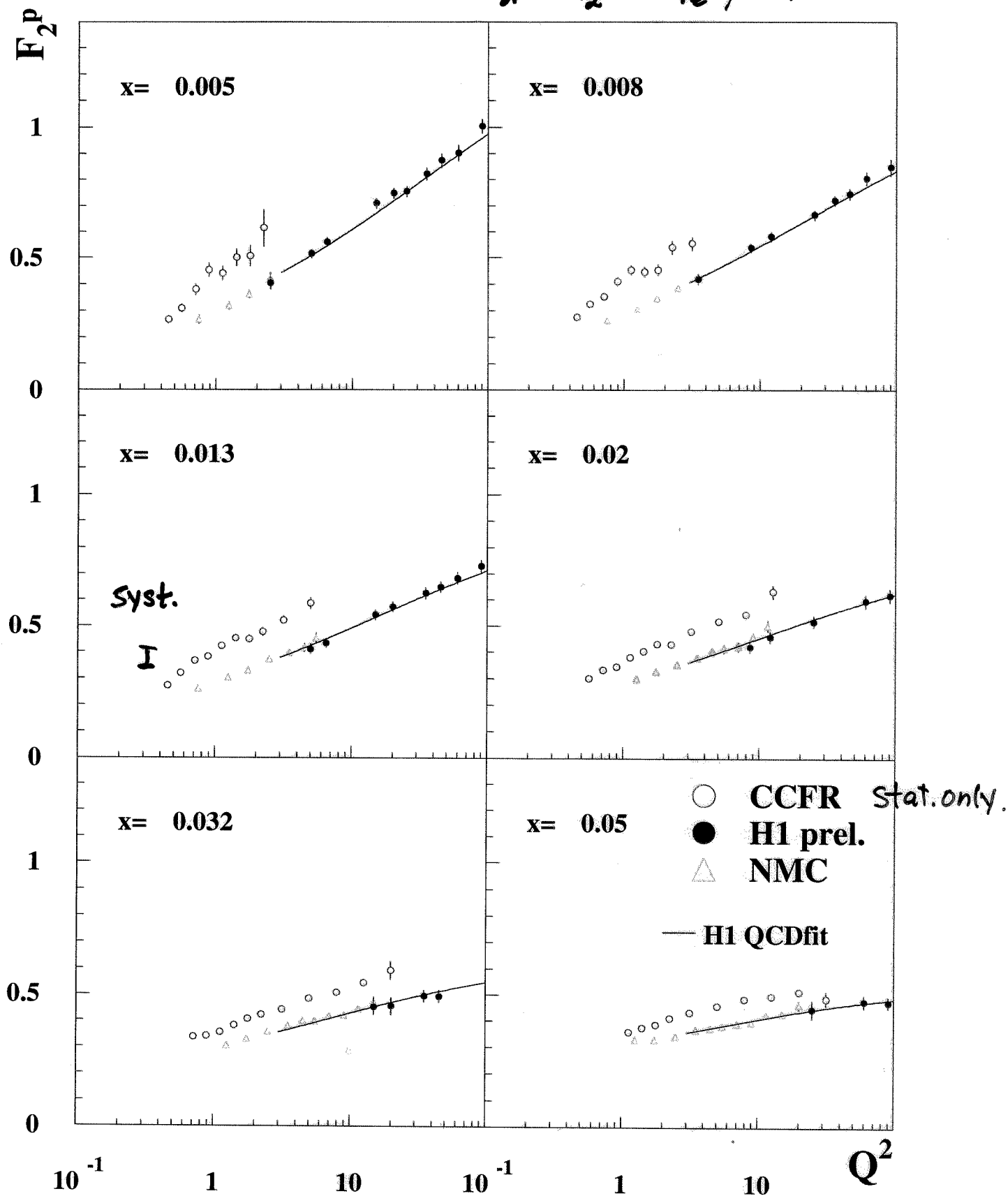


Fig. 2

$\delta\sigma = 20\%$

Glick, Reya, Kretzer, Gandhi, Quigg, Reno, Sarcevic, Kwiecinski, Martin
 astro-ph/9809273, hep-ph/9807264, Stasto, hep-ph/9905307

$$F_2^p \leftarrow F_2^{\nu Fe} \frac{d}{Fe} \frac{dF_2^p}{d} \frac{N}{\nu}$$



● CCFR : extended low Q^2 data $>$ NMC. reanalysis: RC, $\Delta x F_3$, charm soon out.

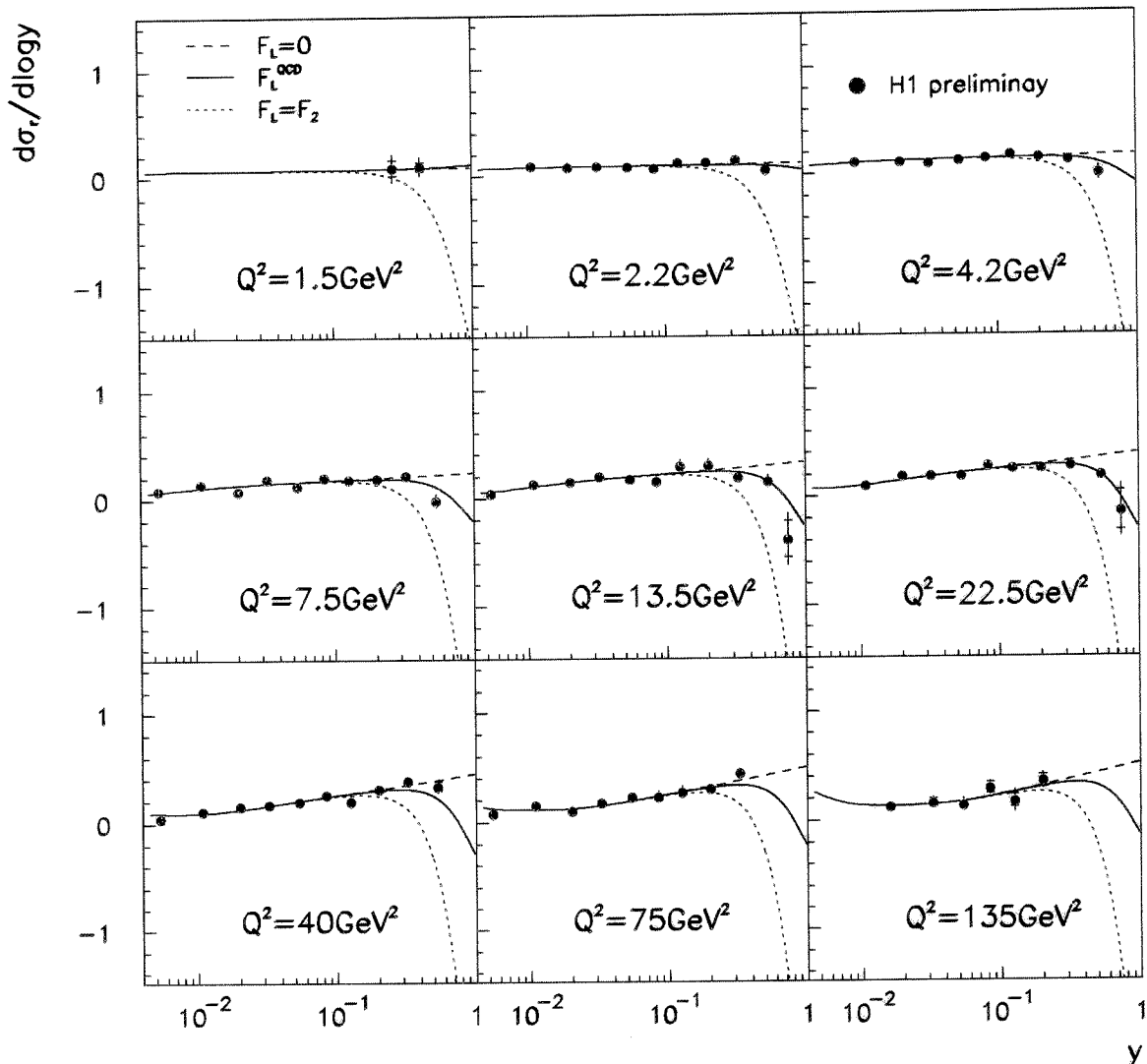
• see also: hep-ph/9908280 Boos-

$$F_2 - \frac{y^2}{4} \cdot F_L$$

Measurement of F_L is crucial test of QCD in NLO

- HERA has > 2 orders of magnitude of y covered at small x
- large $y = 1 - \frac{E_e'}{E_e} \sin^2 \frac{\theta}{2} \leftrightarrow$ low E_e' : eID, δp bgd.
- extraction of F_L at $Q^2 > 10 \text{ GeV}^2$ with NLO QCD extrap. of F_2
- low Q^2 : $\frac{\partial \sigma_r}{\partial \log y} \Big|_{Q^2} = \frac{\partial F_2}{\partial \log y} + f(y) \cdot F_L + \dots$

H1 96-97

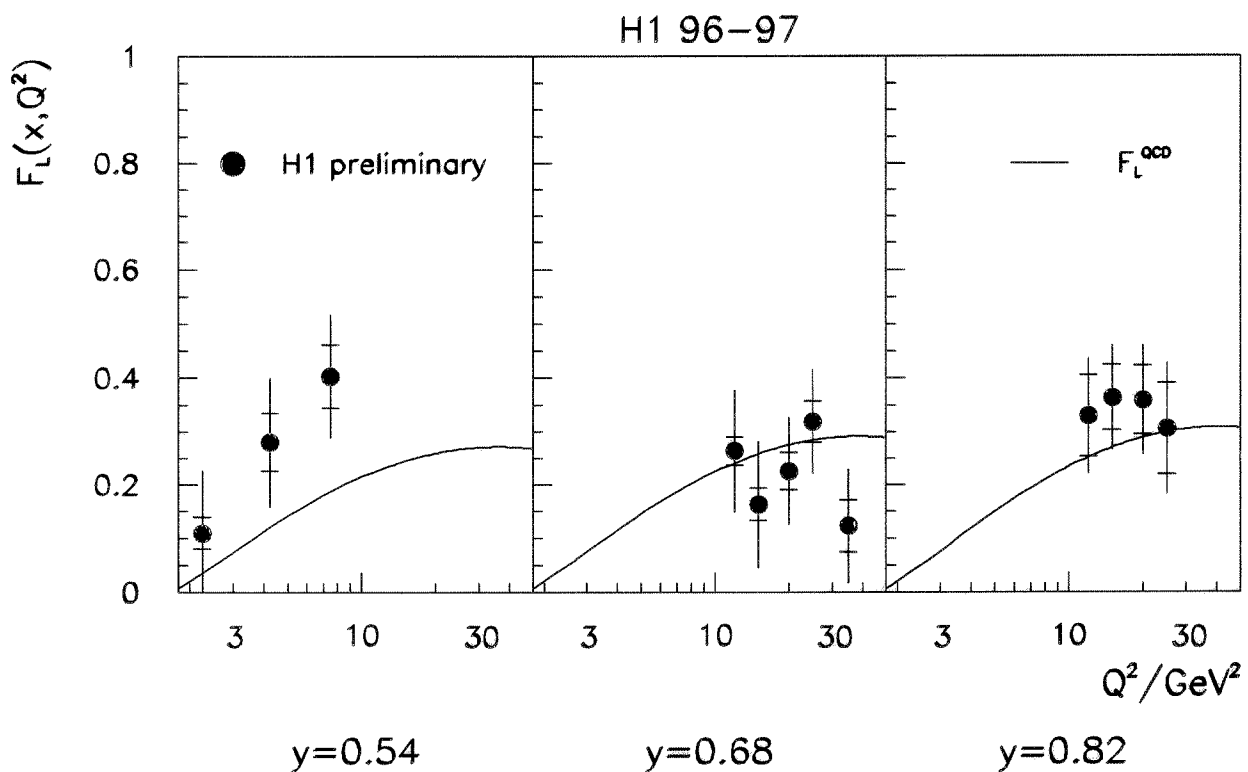


$$F_2 \sim \sqrt{\lambda}, \quad F_L \sim \lambda (1 + \lambda \ln \lambda) \quad \text{linear at low } \lambda$$

● New data, 6.2 pb^{-1} taken in 1996/97

● tracks to suppress / identify hadronic bkgd
BST · jet chamber

● F_L consistent with NLO QCD : fit to F_2 for $y < .35$
constrains xg .



● F_L^{ho} possibly negative at low Q^2

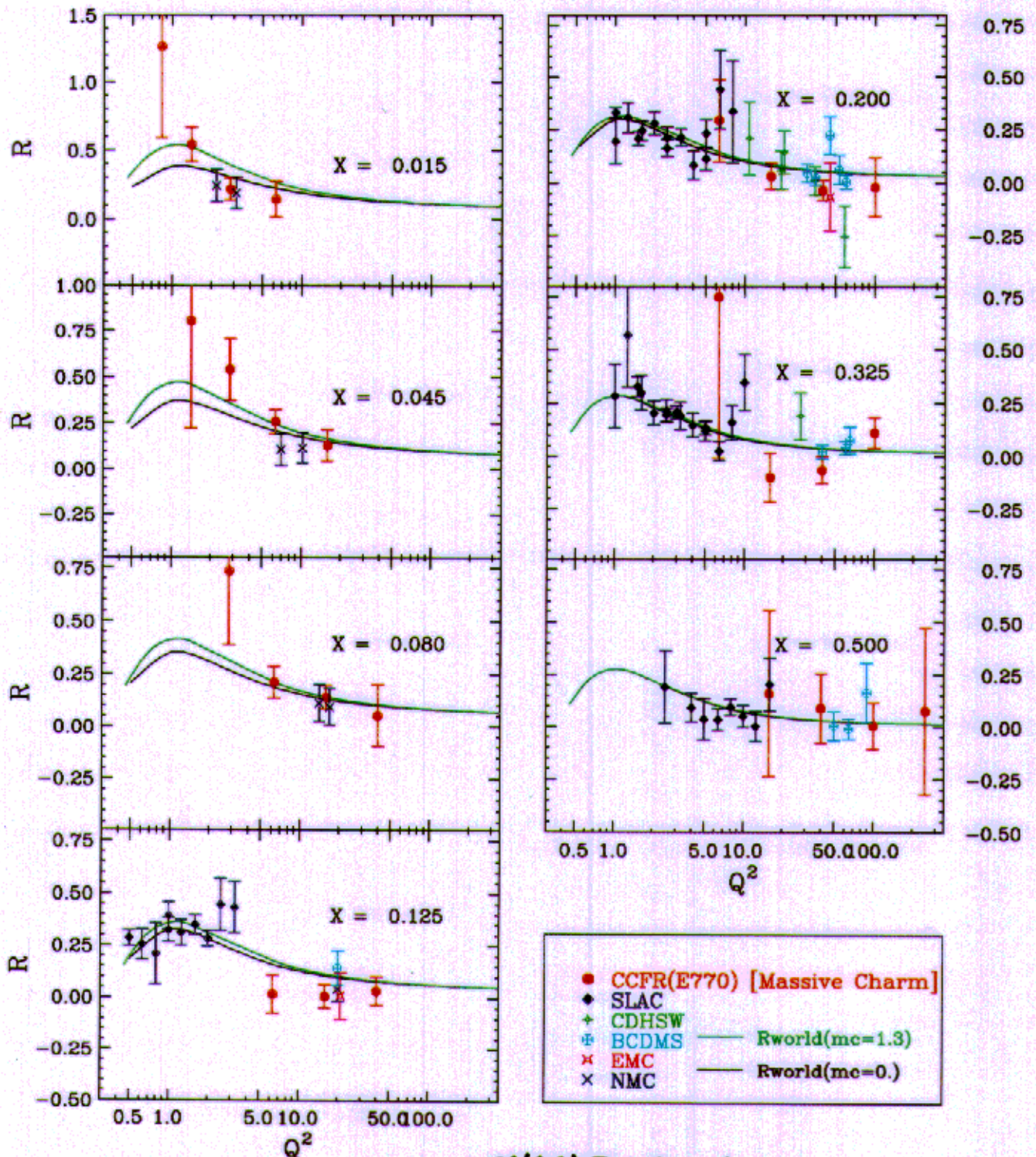
Zijlstra, v Neerven

- $(\sigma^{\nu} + \sigma^{\bar{\nu}}) \sim 2xF_1(1 + \varepsilon R) - \frac{y(2-y)}{2Y_+} \Delta XF_3$, $\varepsilon = \frac{2(1-y)}{Y_+}$

- $\Delta XF_3 \approx 4x(s-c)$

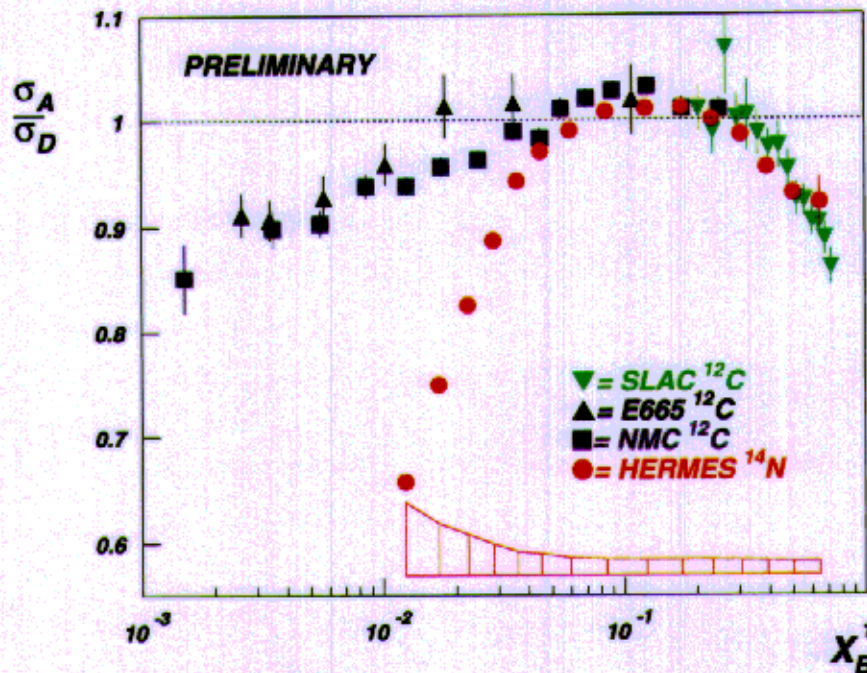
- $R(Q^2)$ at low x , low Q^2 ?

also extract ΔXF_3
at $Q^2 \geq 10 \text{ GeV}^2$

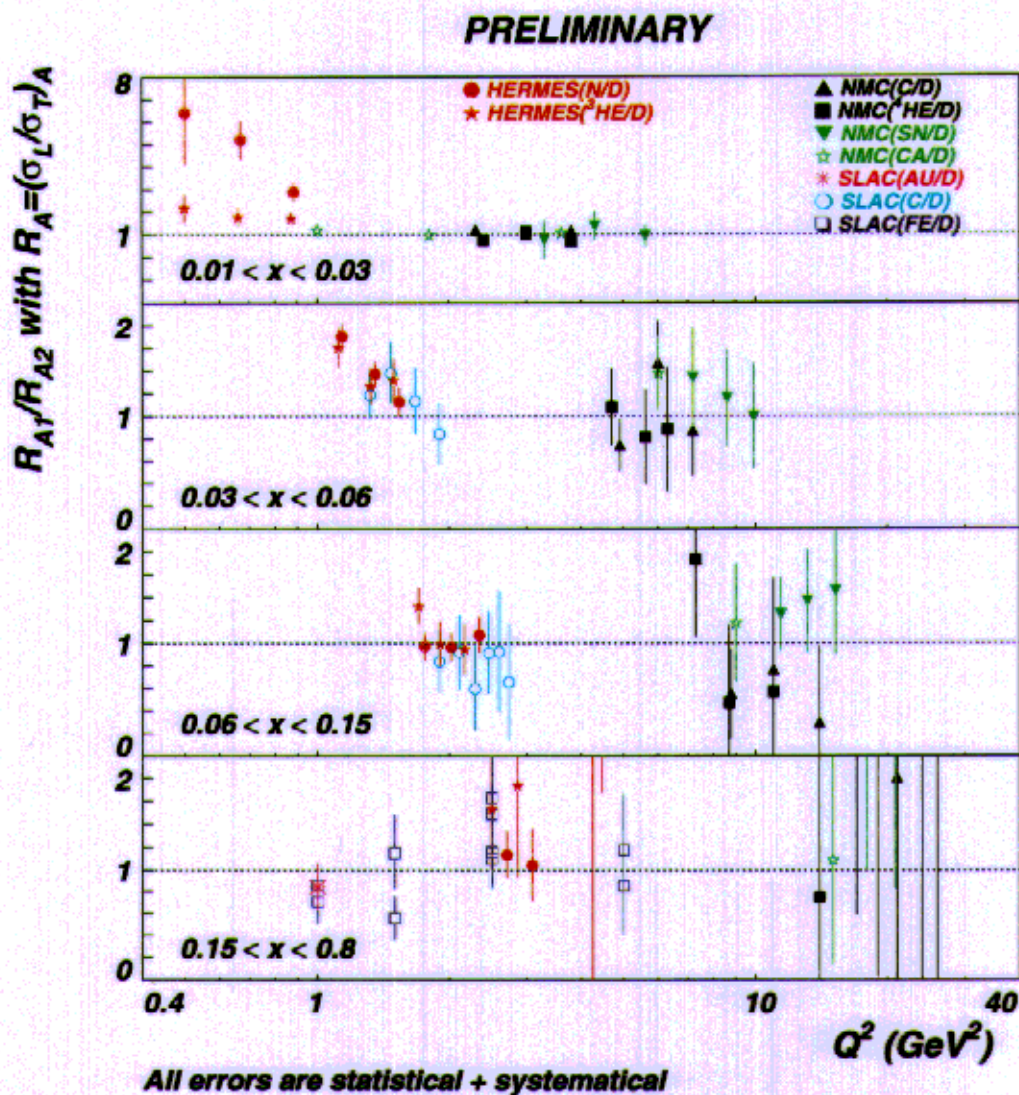


96/f NuTeV data to come.

- HERMES. unpolarized D, ^3He , N data.



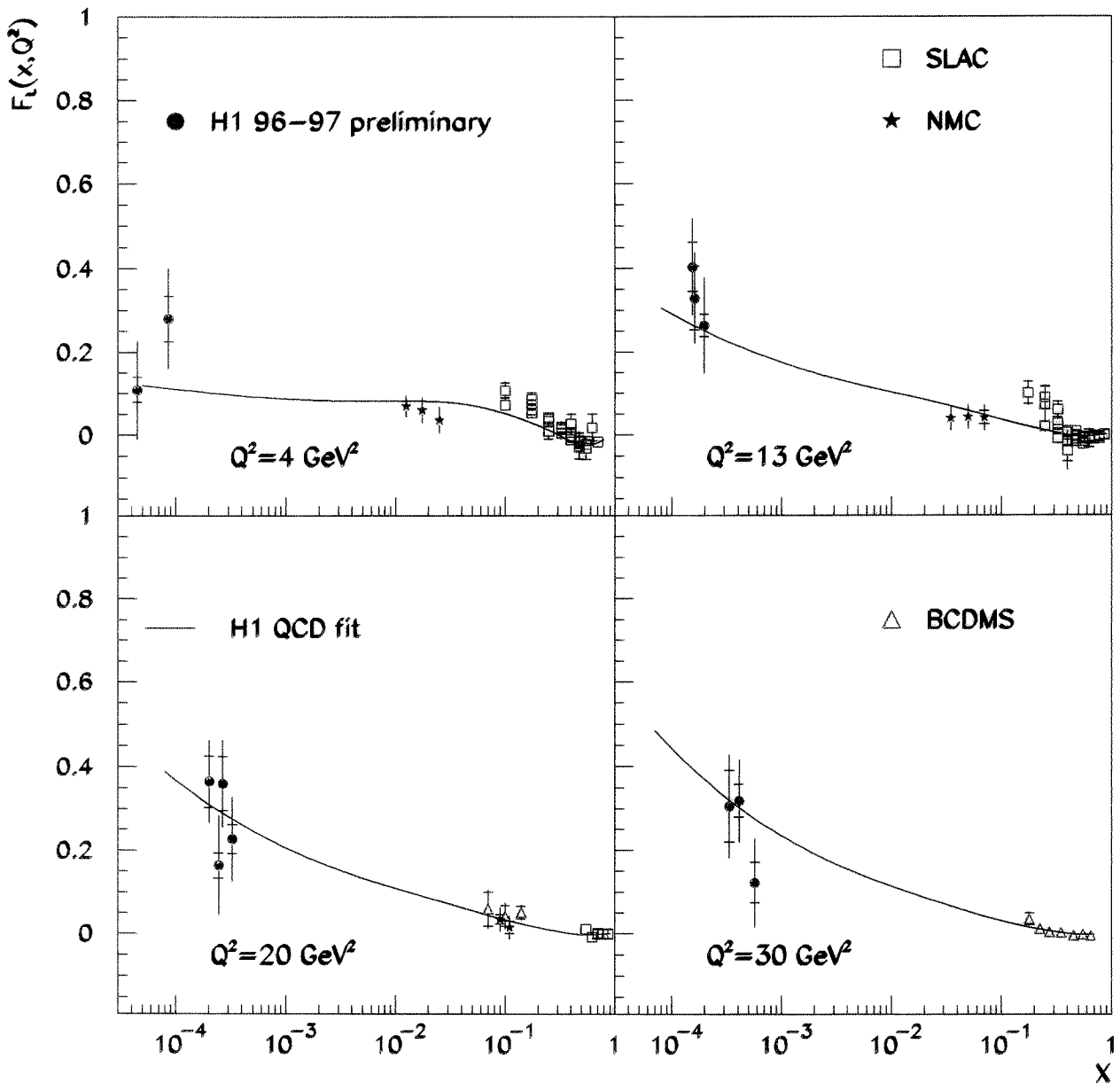
- A dependent R at low Q^2 & x ?



e/p p data - longitudinal structure function

rise towards low x consistent with behaviour of $Xg(x, Q^2)$

$$(N)LO : F_L = \frac{\alpha_s}{4\pi} x^2 \int \frac{dz}{z^3} \left[\frac{16}{3} F_2 + 8 \sum Q_q^2 \left(1 - \frac{x}{z}\right) g \right]$$



• more precision!

• $F_L \sim O(Q^4)$?
 $Q^2 \rightarrow 0$

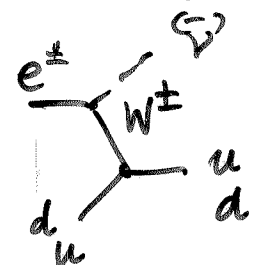
$$\text{high } Q^2 \sim M_{W,Z}^2$$

-  neutral currents

$$\frac{d^2\sigma^\pm}{dQ^2 dx} = \frac{2\pi\alpha^2}{Q^4 x} \cdot \left[Y_+ F_2^\pm + Y_- x F_3^\pm \right] \quad y^2, yZ, Z^2$$

five structure functions (and longitudinal ones)

- $\sigma^\pm \approx Y_+ F_2 \mp K Y_- a_e x G_3$
 - $1 - (1-y)^2$ large y
 - $K \sim \frac{G}{\sqrt{2}\pi\alpha} Q^2$ large Q^2
- charge asymmetry, parity conserving $\sim a_e a_q$

-  charged currents

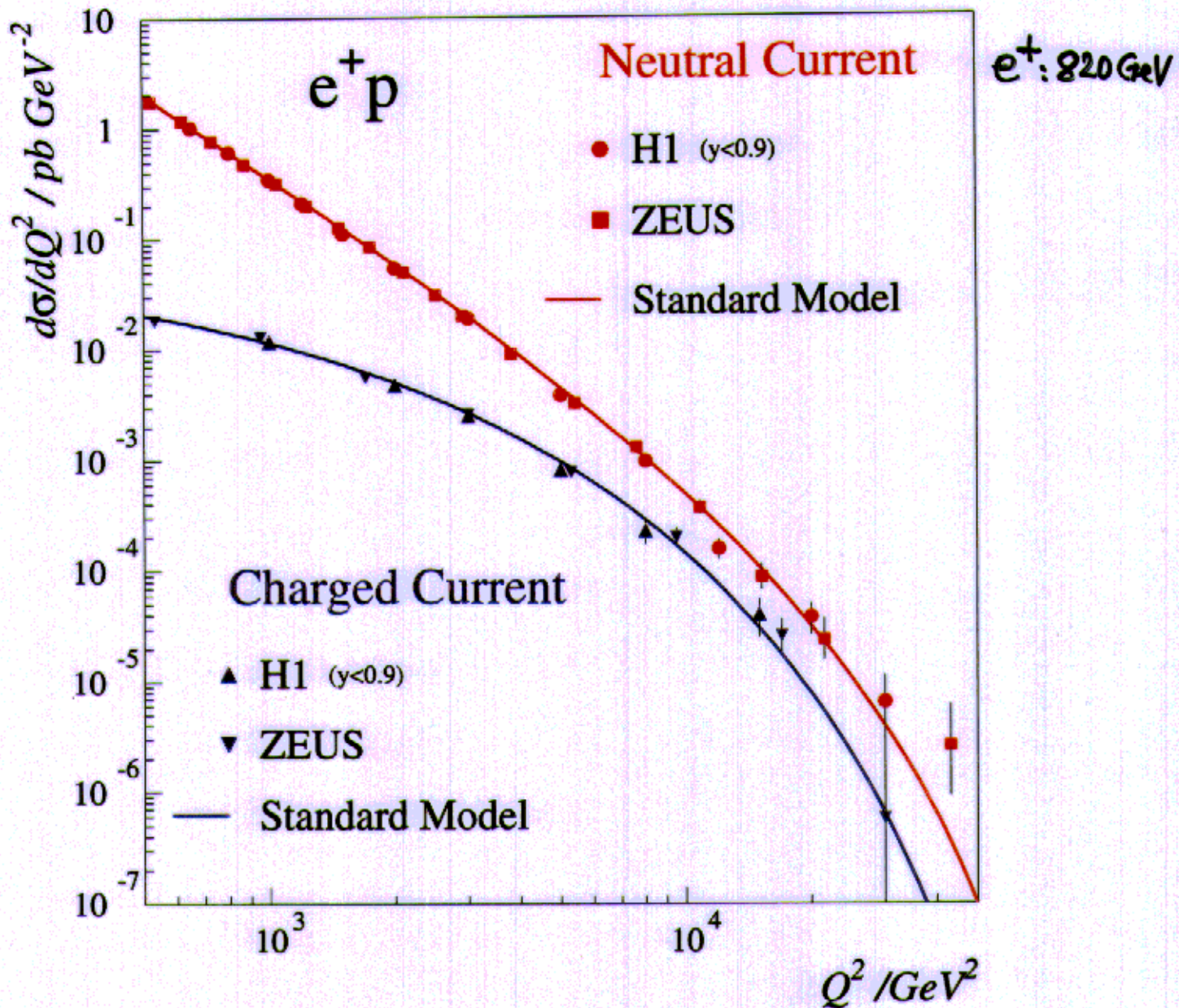
$$\frac{d^2\sigma^\pm}{dx dy} = \frac{G^2}{2\pi} \left(\frac{M_W^2}{Q^2 + M_W^2} \right)^2 \cdot S \frac{1 \pm \lambda}{2} \left[Y_+ W_2^\pm \mp Y_- x W_3^\pm \right]$$

four structure functions

$$W_2^+ = D + \bar{u} \quad W_2^- = u + \bar{D} \quad xW_3^+ = D - \bar{u} \quad xW_3^- = u - \bar{D}$$

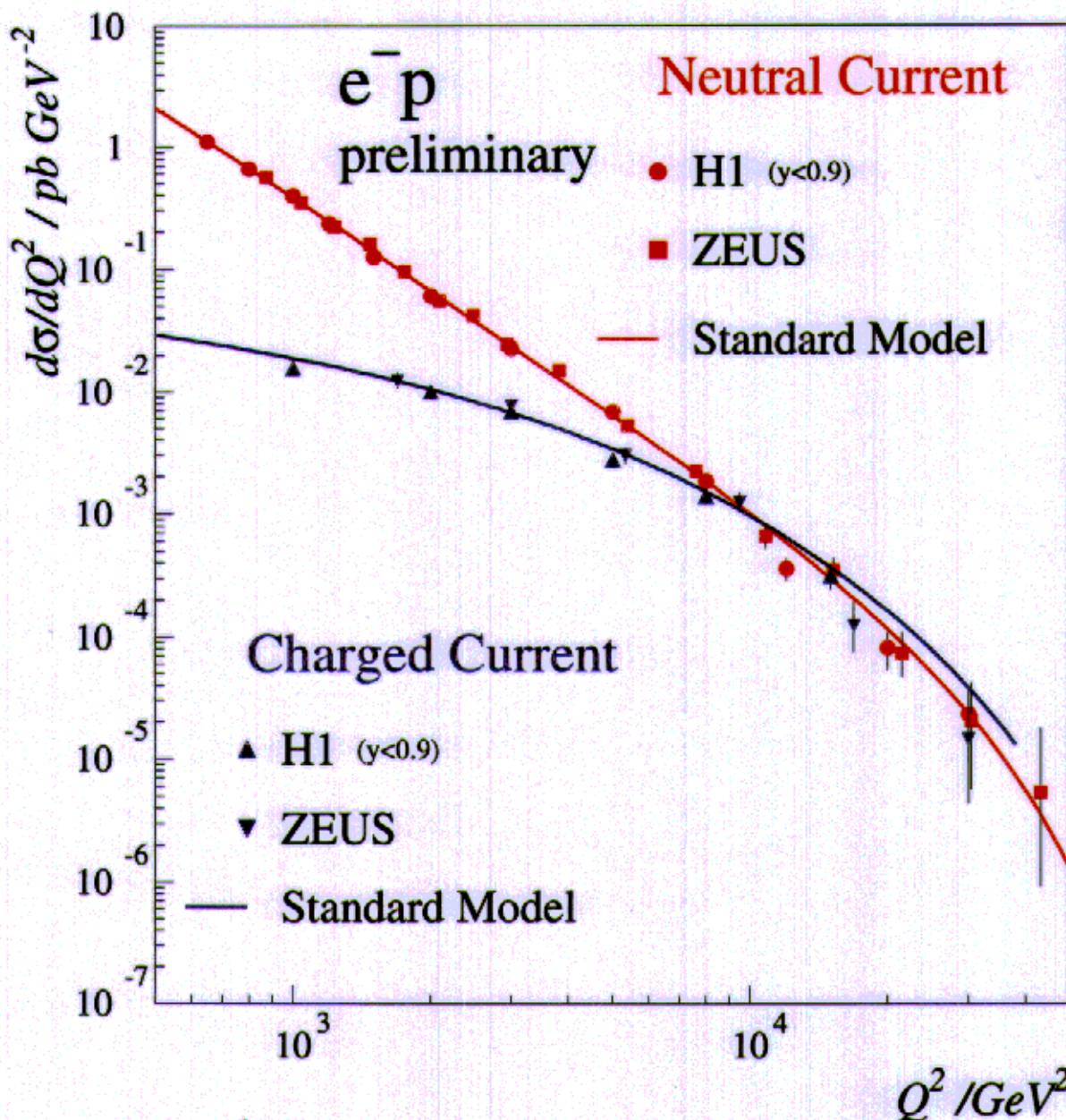
- $\sigma(e^+p \rightarrow \bar{\nu} X) \sim \bar{u} + (1-y)^2 D \rightarrow (1-y)^2 d_\nu$
- $\sigma(e^-p \rightarrow \nu X) \sim u + (1-y)^2 \bar{D} \rightarrow u_\nu$
large x

● **H1 e^+** 35.6 pb⁻¹ NC, CC double diff. cross sections DESY 99-107
▲ 94-97 $\delta\theta \approx 1-3$ mrad, $\delta E_e^1 = 0.7-3\%$ $\delta E_h = 2\%$



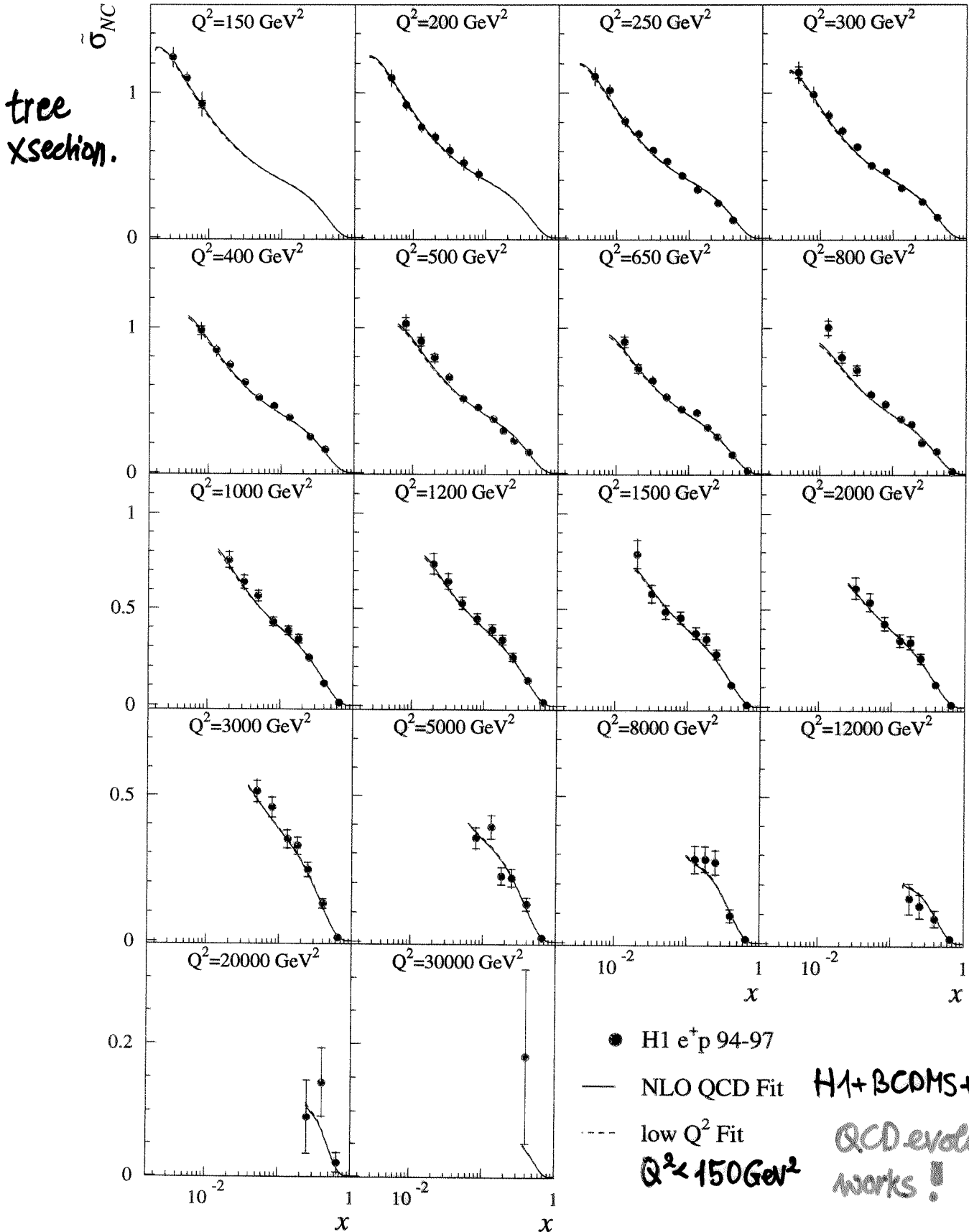
■ **ZEUS e^+** NC single diff. cross sections 47.7 pb⁻¹ 99-056
▼ 94-97 CC double diff. 99-057.
 $\delta\theta \approx 1$ mrad, $\delta E_e^1 = 1-3\%$, $\delta E_h \approx 2\%$

H1 98/99 e^- 15pb^{-1} double diff. cross sections, preel.



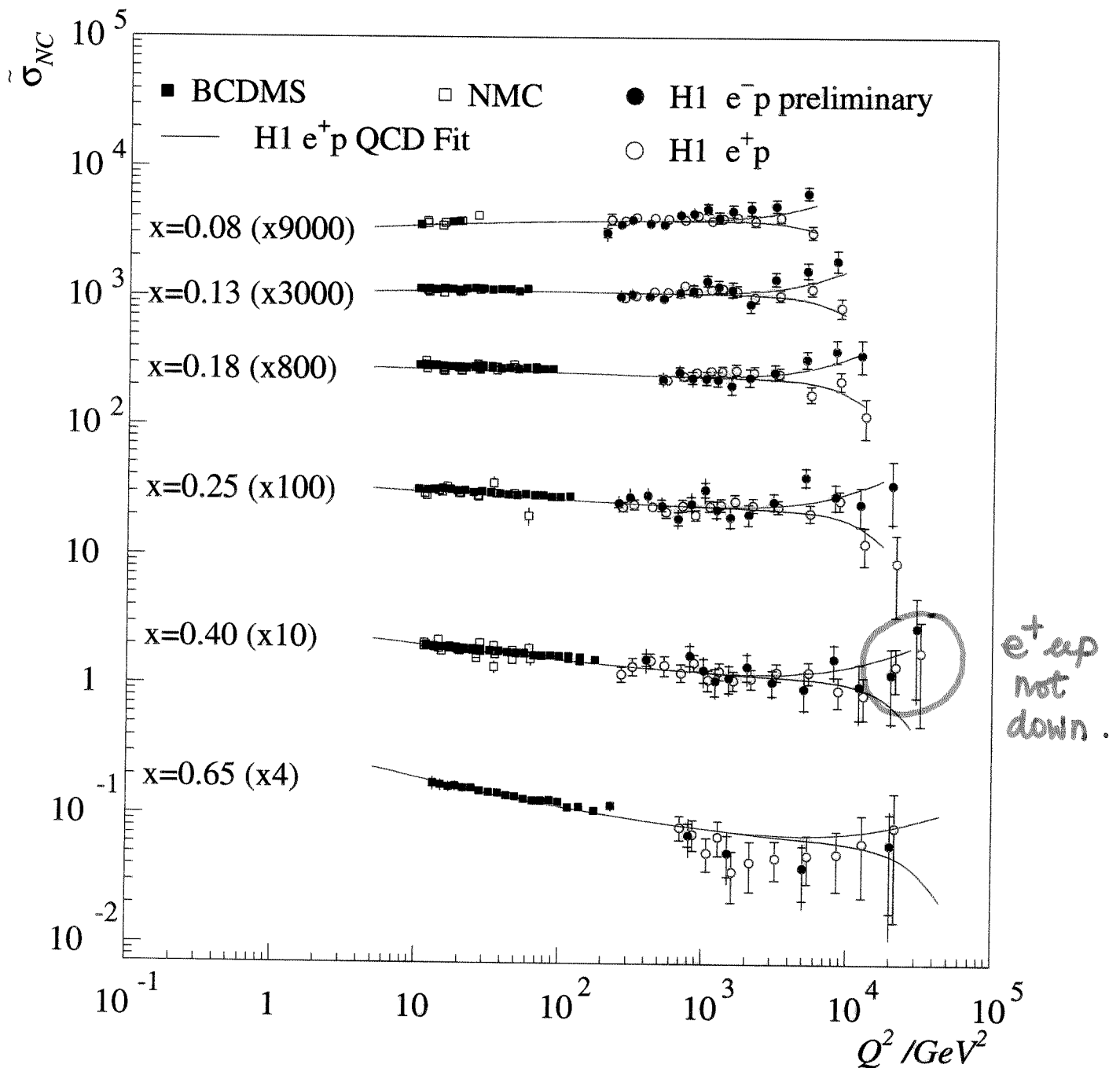
ZEUS 98/99 e^- NC 16pb^{-1} CC 6.3pb^{-1} single diff., preel.

$$\tilde{\sigma} = \frac{1}{4} \frac{Q^4 x}{2\pi\alpha^2} \frac{d\sigma}{dQ^2 dx} = F_2 (1 + \text{electroweak} + F_L \text{ corr's})$$

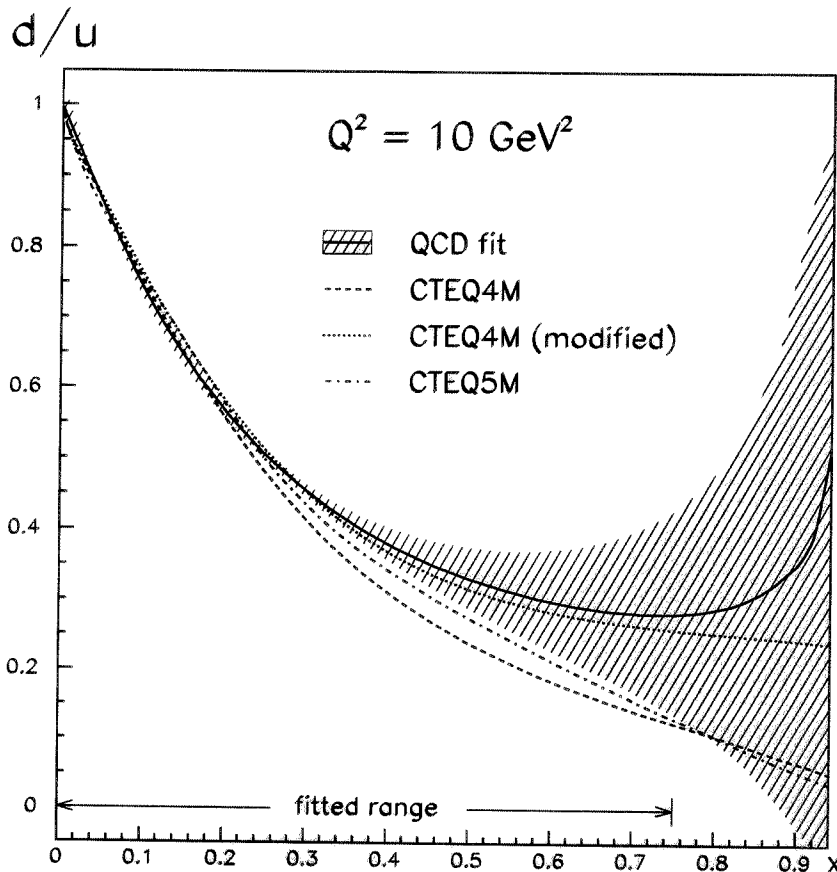
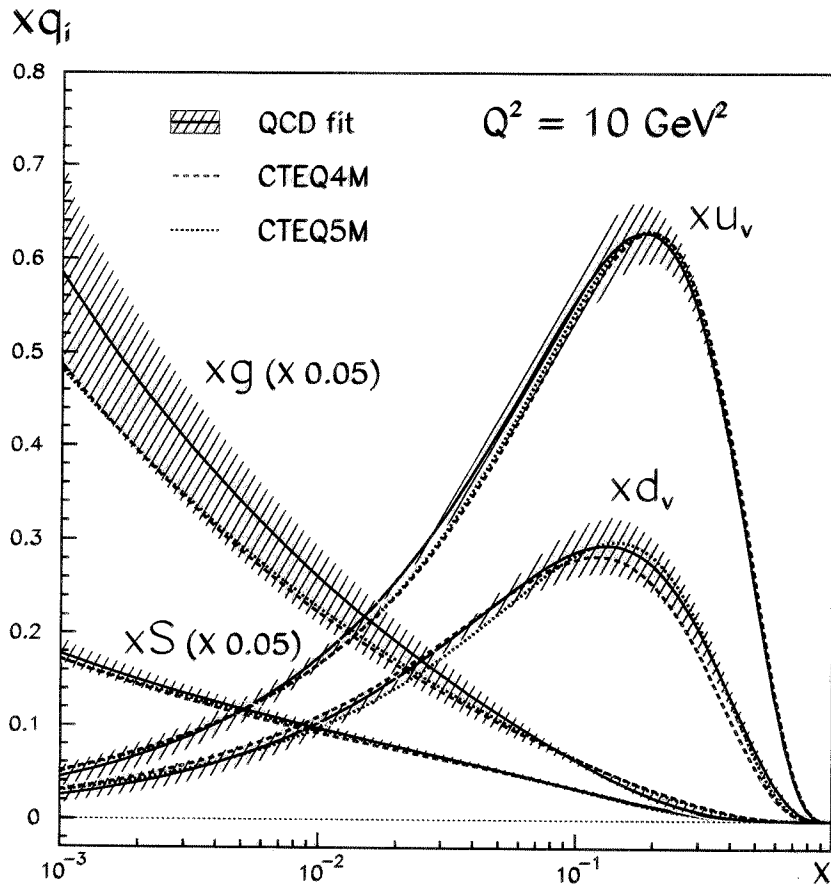


DESY 99-107

observe γZ interference (charge asymmetry)
at HERA



Quark Distributions and Heavy Flavour



NLO QCD fit

p, d DIS

$xF_3 \nu Fe$

$\bar{d} - \bar{u}$

M. Botje

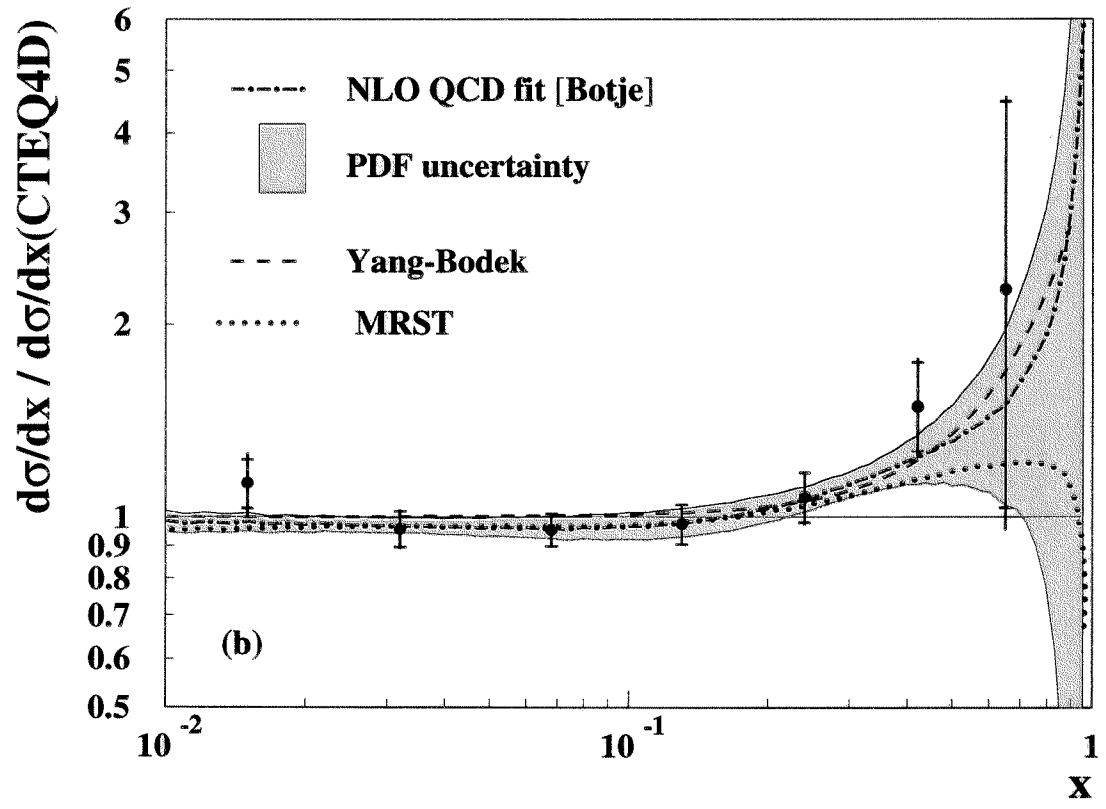
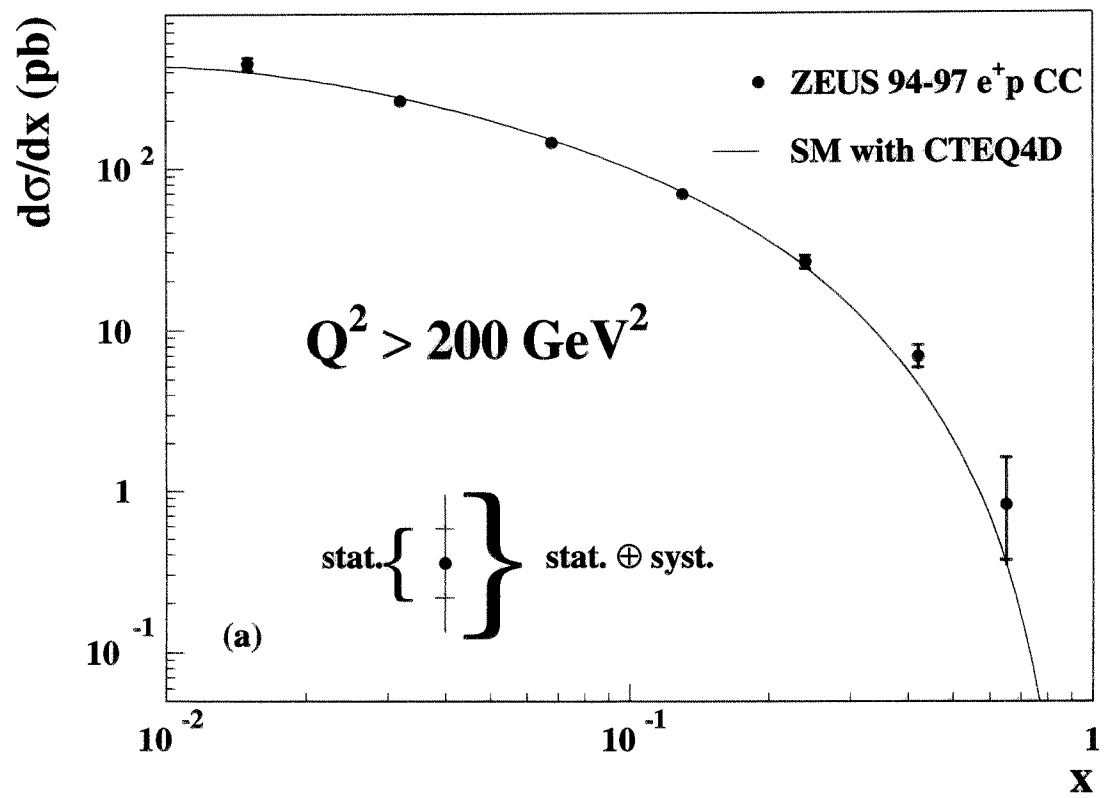
Proc. DIS99

• d/u for $x \rightarrow 1$

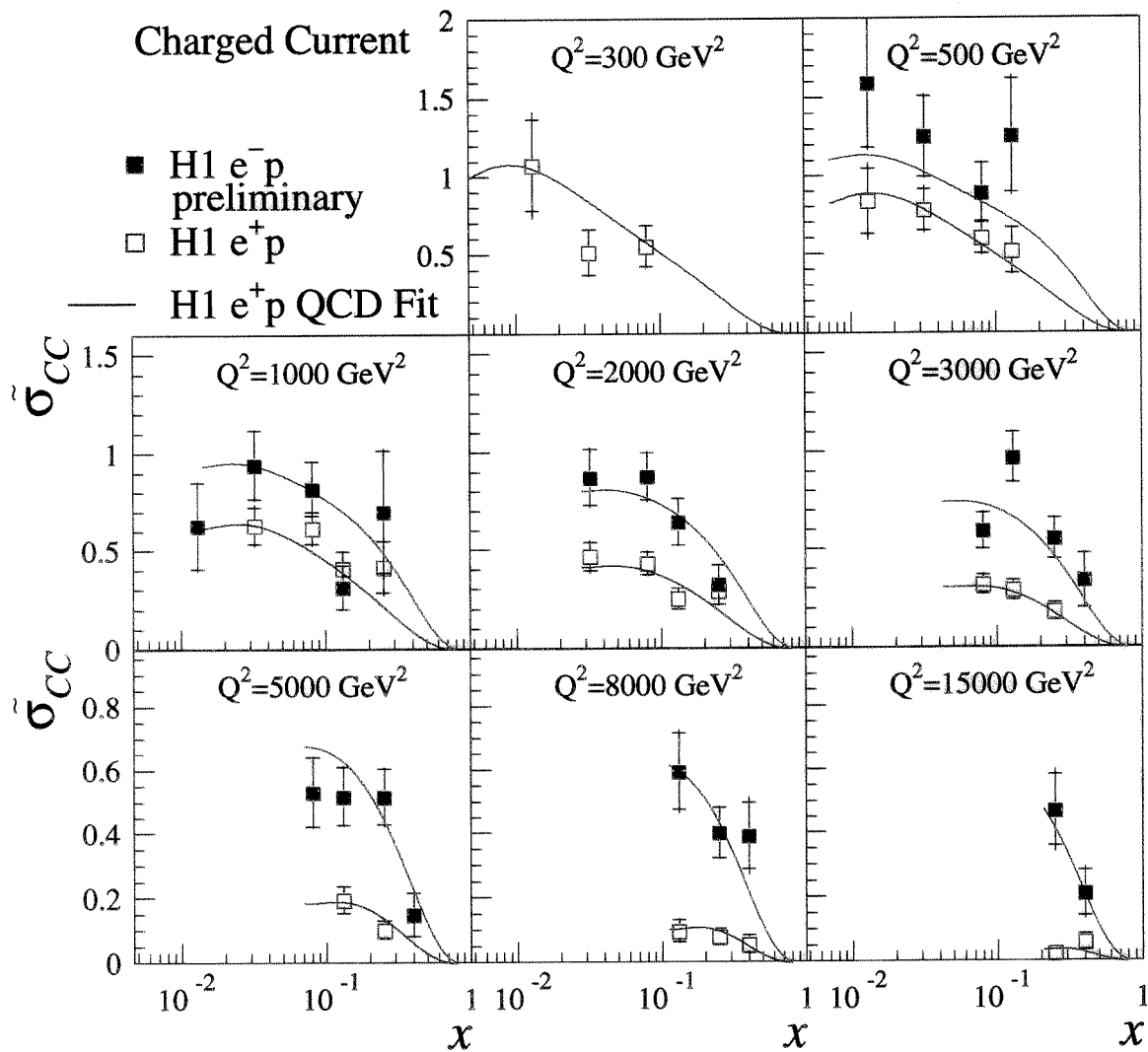
F_2^P/F_2^D : D binding reconsidered. $d \uparrow$: $[d/u \rightarrow 0.2]^{*})$
 Consistent with CDF N asymmetry and HERA CC. $x \rightarrow 1$

ZEUS CC 1994-97

\rightarrow need $\sim 200 \text{ pb}^{-1}$



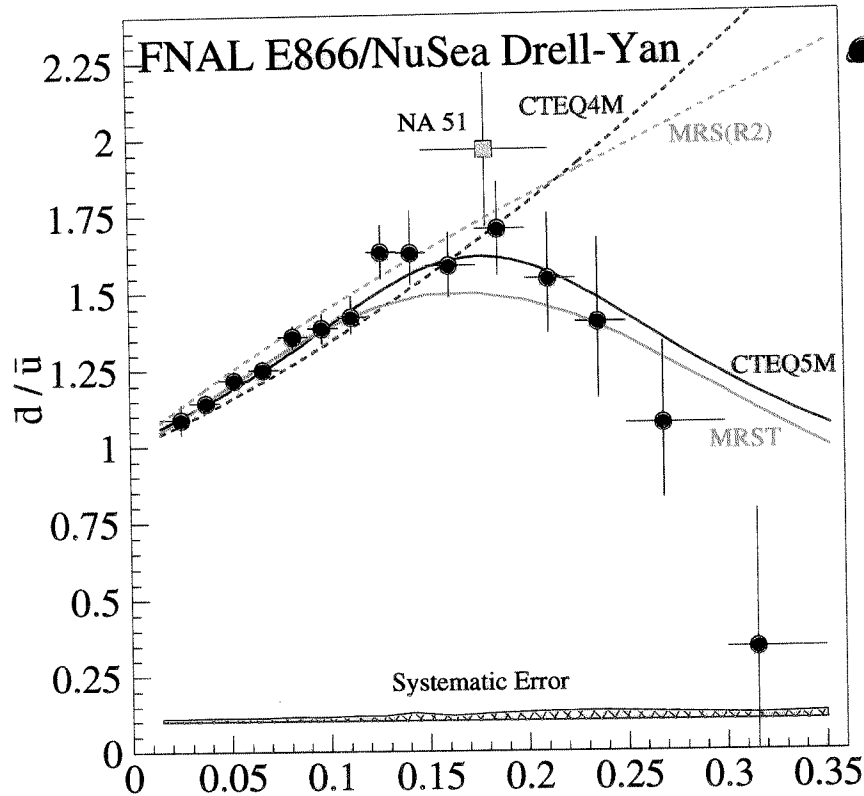
A. Bodek, UK. Yang PRL 82 (99) 2467.



$$\blacksquare \sigma^- \sim u + (1-y)^2 \bar{D} \rightarrow u_\nu \quad 15 \text{pb}^{-1}$$

$$\blacksquare \sigma^+ \sim \bar{u} + (1-y)^2 D \rightarrow (1-y)^2 d_\nu \quad 36 \text{pb}^{-1}$$

flavour asymmetry in the nucleon sea $\bar{u} \neq \bar{d}$



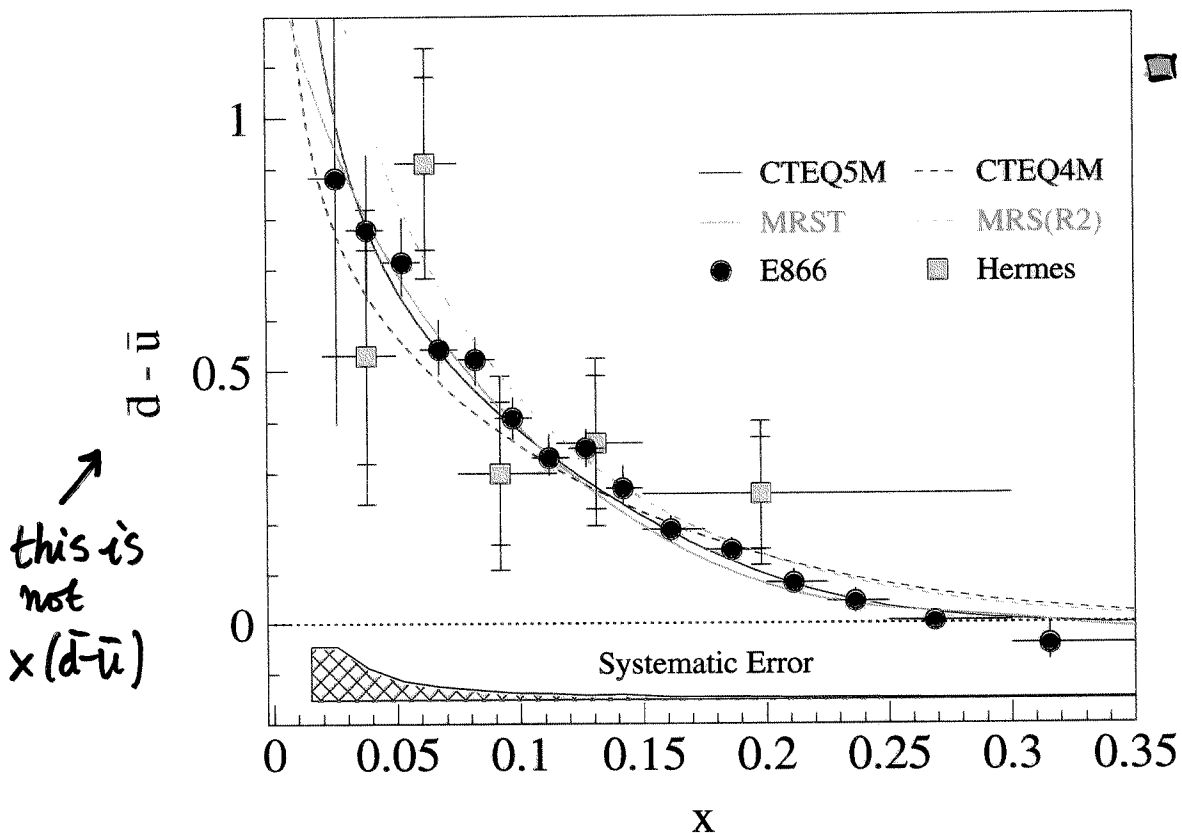
● E866 / NuSea
pp, pd DY.
 $3.7 \cdot 10^5 \mu$ pairs
 $\langle Q^2 \rangle \approx 54 \text{ GeV}^2$

$$\int_0^1 (\bar{u} - \bar{d}) dx$$

Gottfried SR: $\int \frac{1}{x} (F_2^p - F_2^n) dx = \frac{1}{3} + \frac{2}{3} \int (\bar{u} - \bar{d}) dx$

E866: -0.118 ± 0.011

NMC: -0.15 ± 0.04



■ HERMES

$eN \rightarrow e\pi^\pm X$

p, d

$\langle Q^2 \rangle = 2.3 \text{ GeV}^2$

↑
this is not
 $x(\bar{d} - \bar{u})$

Strange sea

- NuTeV
hep-ex/9906038

dip data

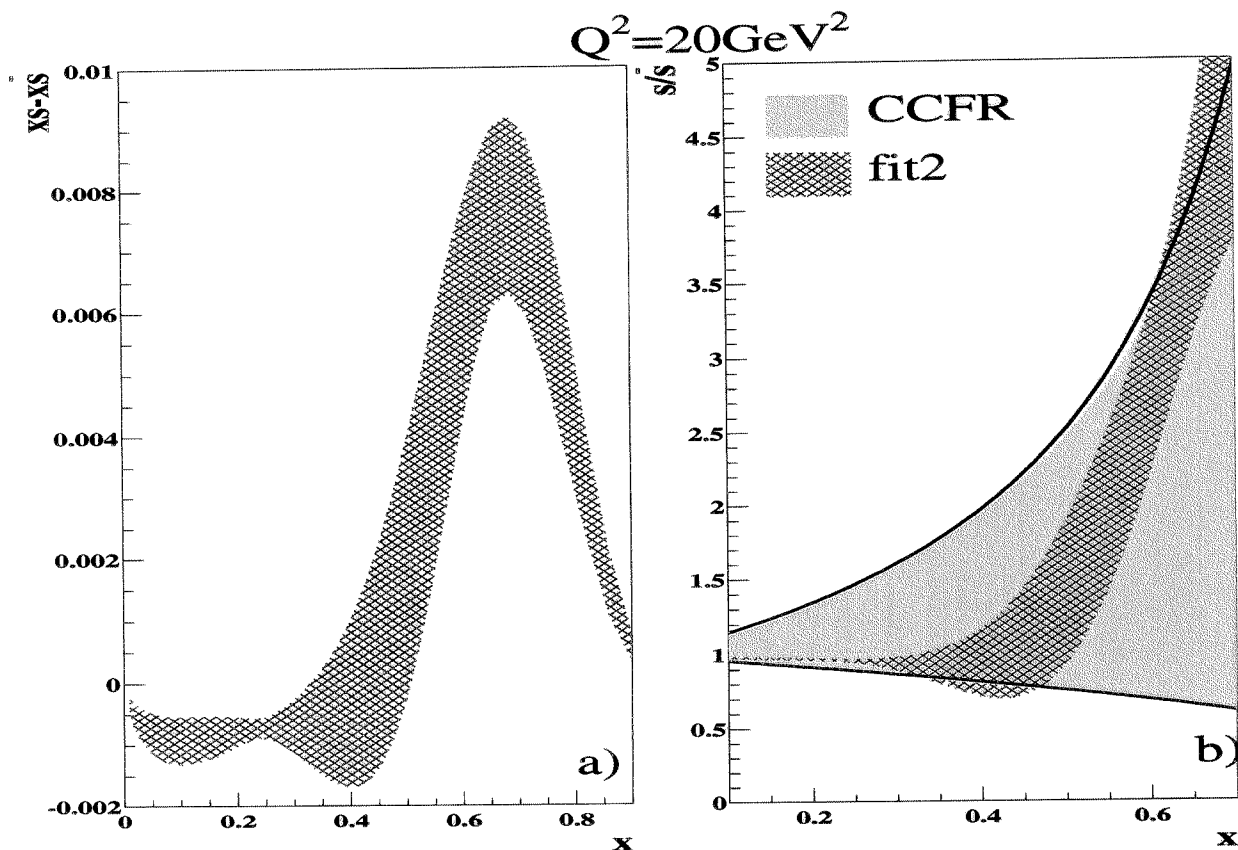
$$S = (0.42 \pm 0.07 \pm 0.06) \frac{\bar{u} + \bar{d}}{2}$$

consistent with CHARMII, CDHS, CCFR.

- $S \neq \bar{S}$? Brodsky, Ma intrinsic S states $K^+ \Lambda$ *)
 $\bar{S} \sim (1-x) \leftarrow \rightarrow S \sim (1-x)^3$

- V. Barone, C. Pascaud, F. Zomer
reanalysis and global analysis of $eN, \nu N, DY$ data

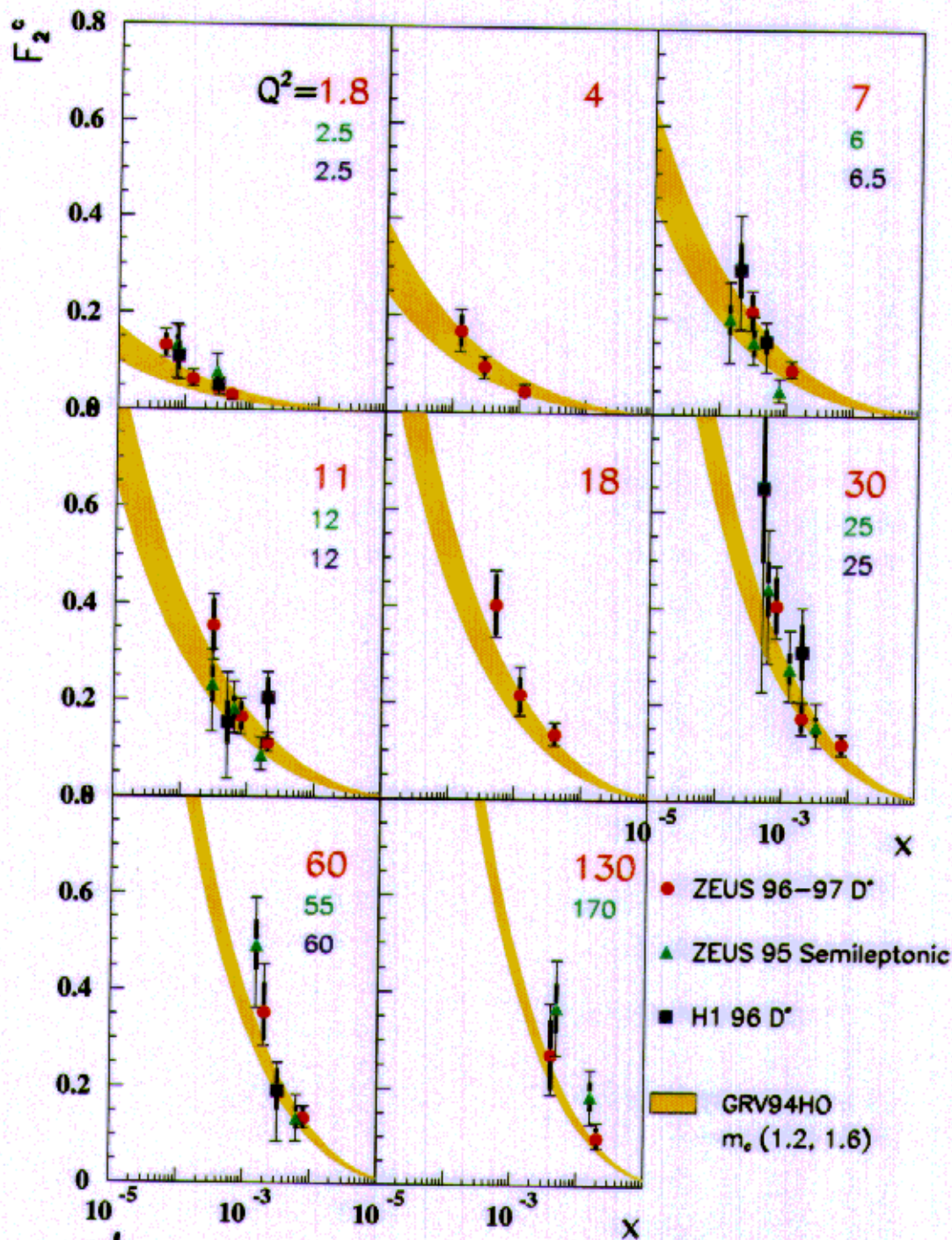
$$\sigma_V - \sigma_{\bar{V}} \sim x(S - \bar{S}) + Y - x(u_V + d_V), \text{ CDHS}$$



*) see W. Melnitchouk, hep-ph 9906488 for disc. & ref's

extrinsic charm ^{x)}

HERA 95-97 PRELIMINARY



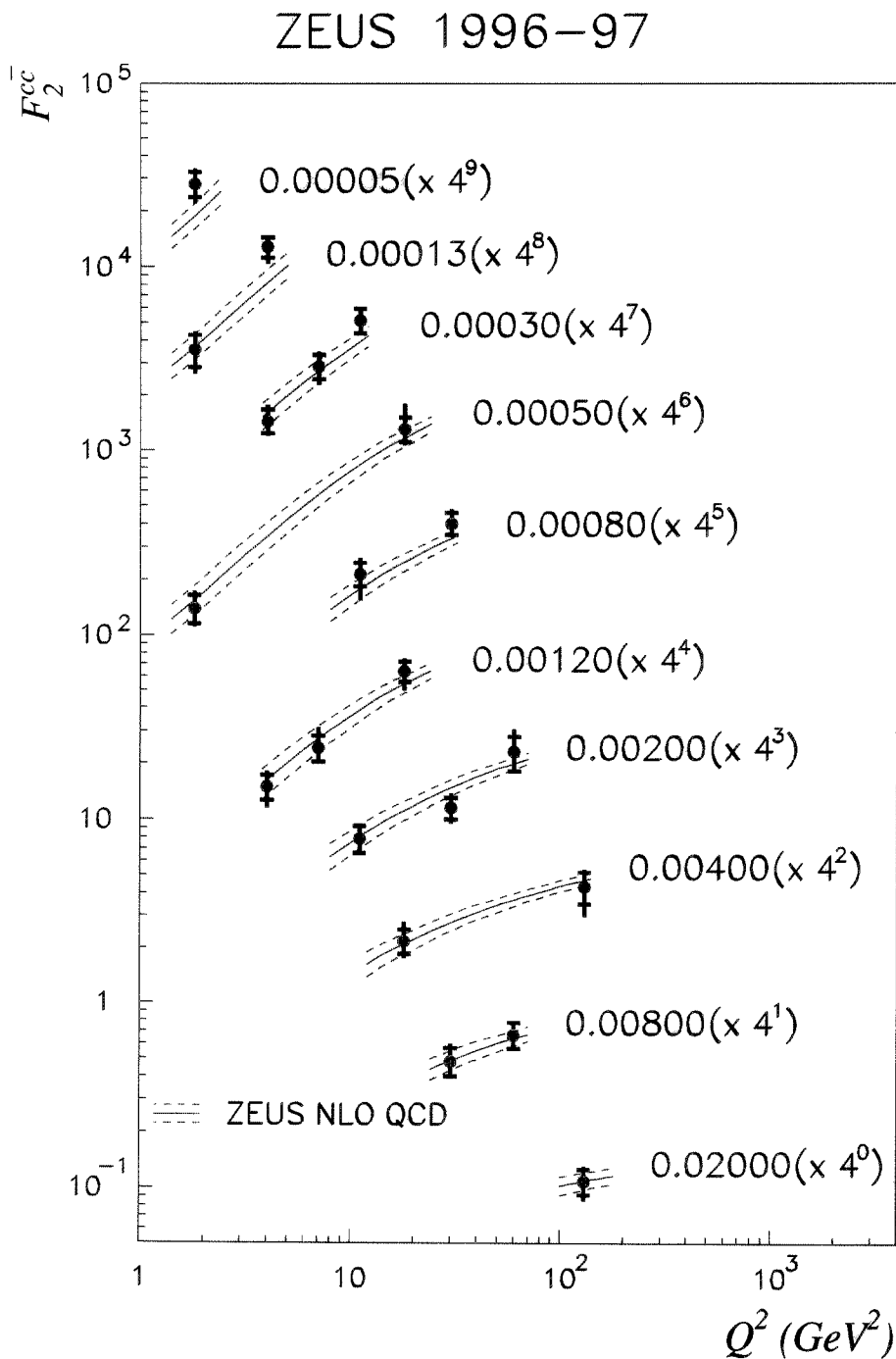
$D^* \rightarrow D^0 \pi_S$
 $\hookrightarrow K^- \pi^+, K^0 \pi^0$

extrapolation F_2^D
 fragmentation F_2^C

Witten, Shifman, Glück, Reya 1976-79

charm structure function.

ZEUS $D^* \rightarrow K2\pi, K4\pi$. 37pb^{-1} . DESY 99-101 hep-ex/9908012



Scaling
violations

$\hat{=}$ m_c 1.2...1.6 GeV
dominantly.

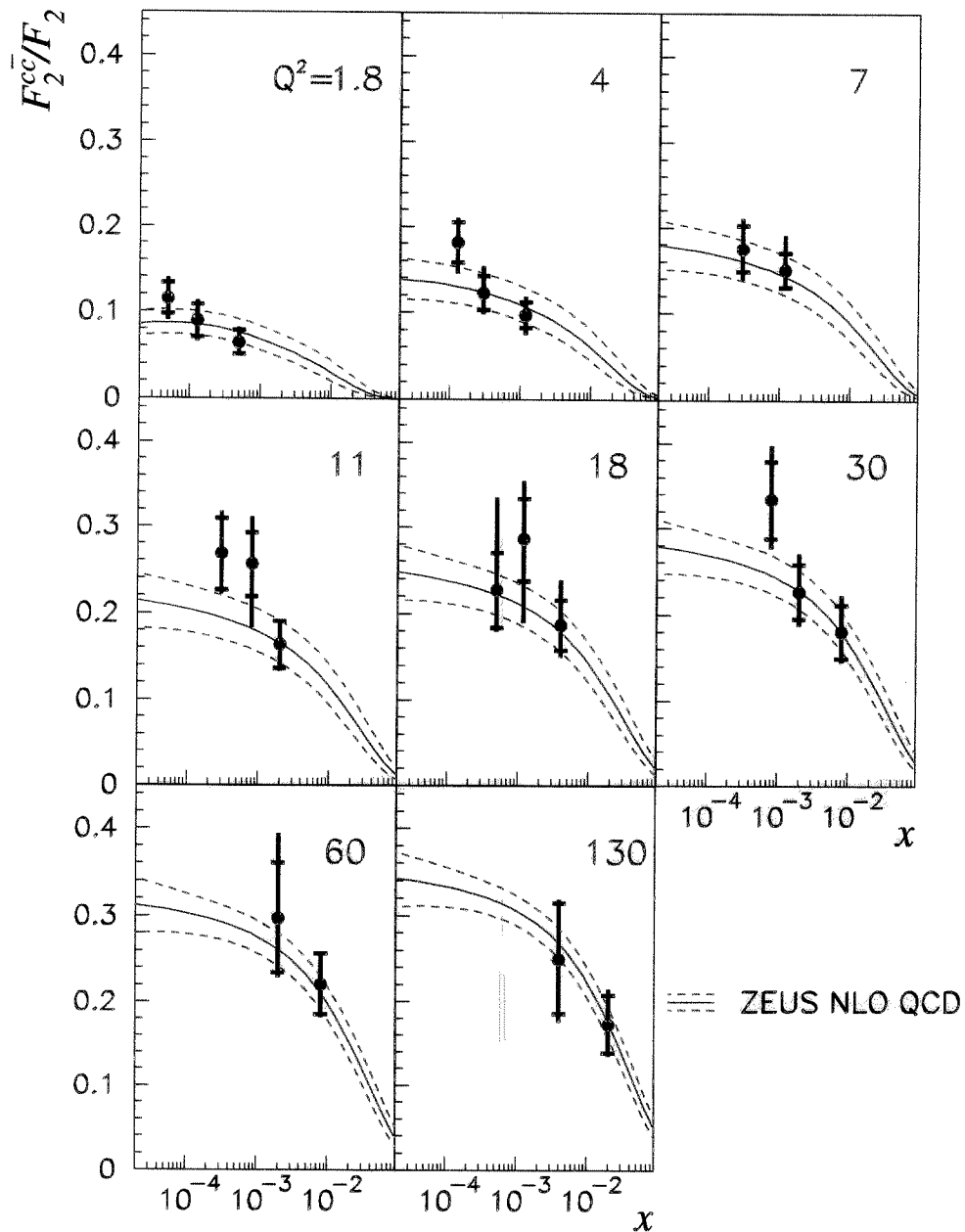
thy: 4 flavours, $Q^2 \gg m_c^2$

3 flavour + bg fusion $Q^2 \approx m_c^2$ Laenen, vNeerven, Smith
Riemersma

variable flavour schemes cf. J. Smith Di's 99 proc.

charm contribution to $F_2 \sim 20\%$

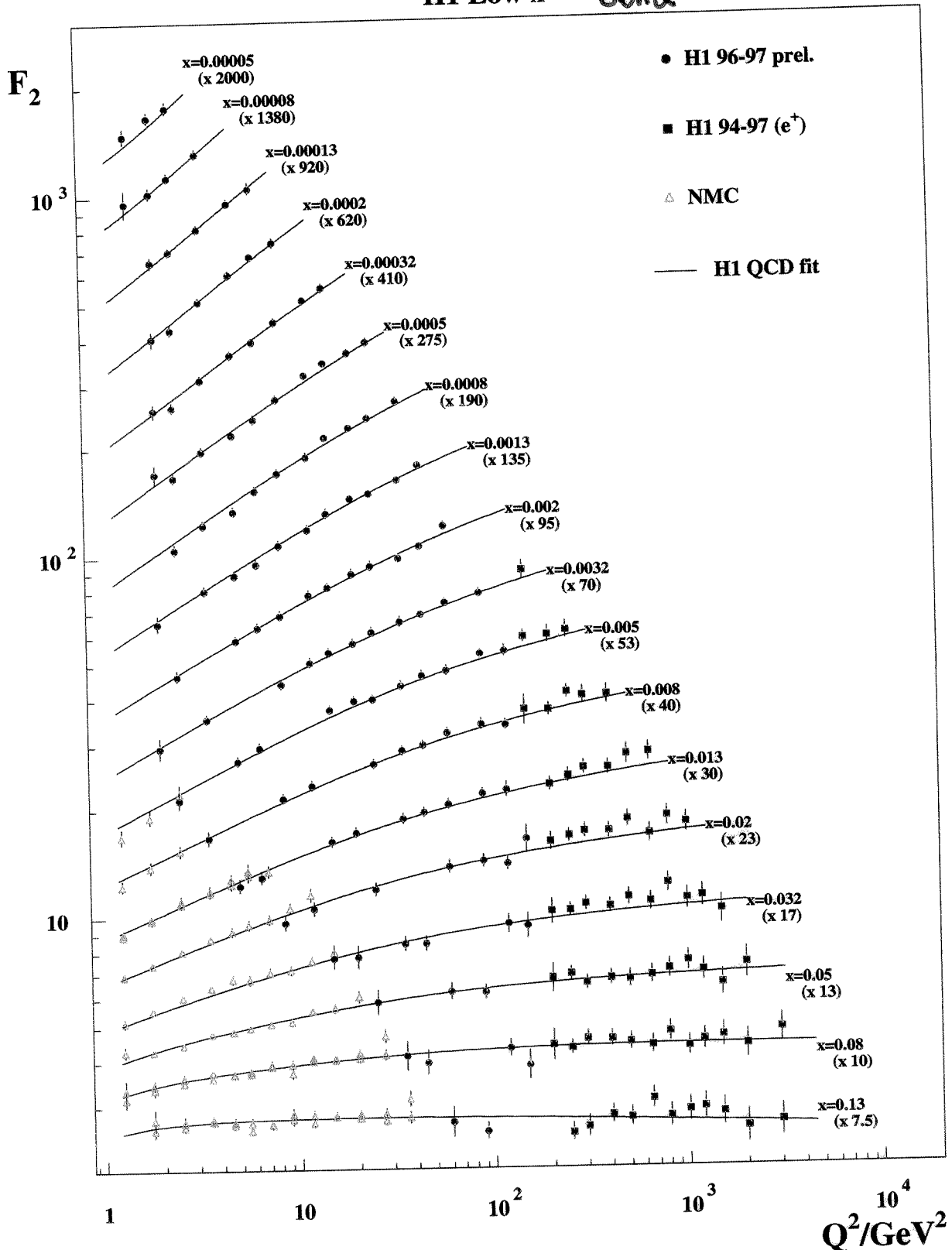
ZEUS 1996-97



Large scaling violations described by NLO DGLAP

H1 Low x

$$\frac{\partial F_2}{\partial \ln Q^2} \sim d_s \cdot Xg$$



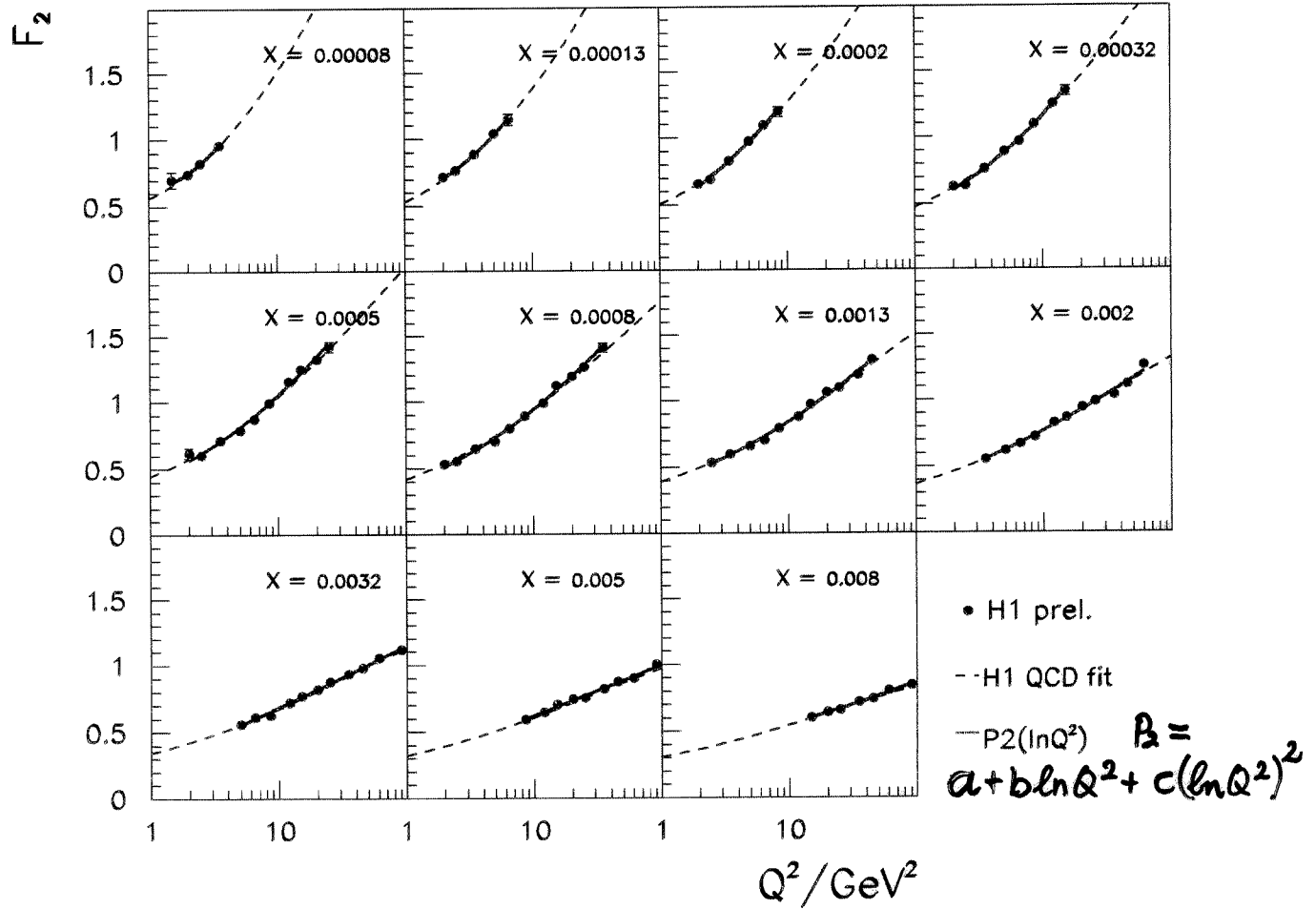
Where is BFKL, $\ln^2 1/x$ terms?

Balitskii, Fadin, Kuraev, Lipatov 1975, 78

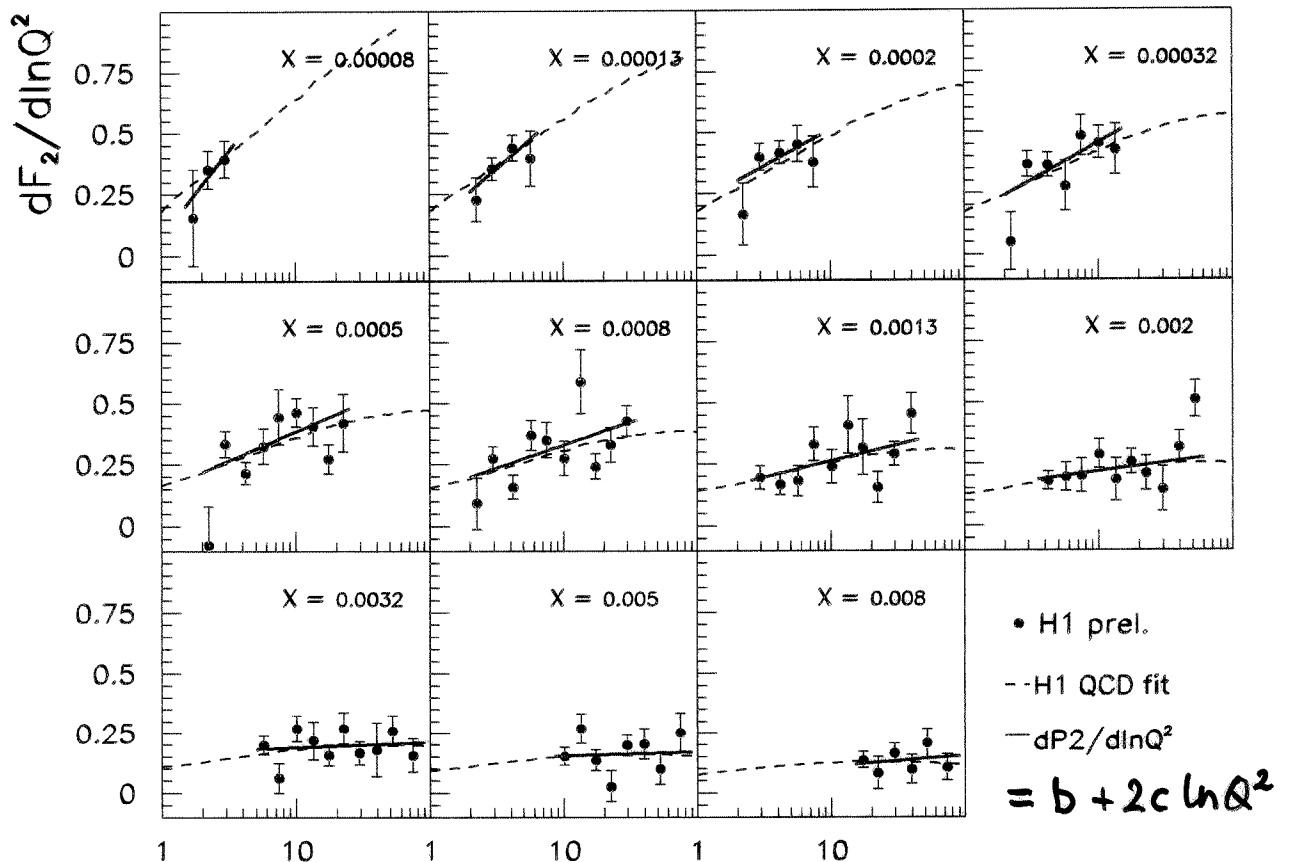
cf. Proc. DIS 99

Ciafaloni, Fadin, de Luca
Thorne ...

H1 96-97 $F_2(\ln Q^2)$ non-linear.



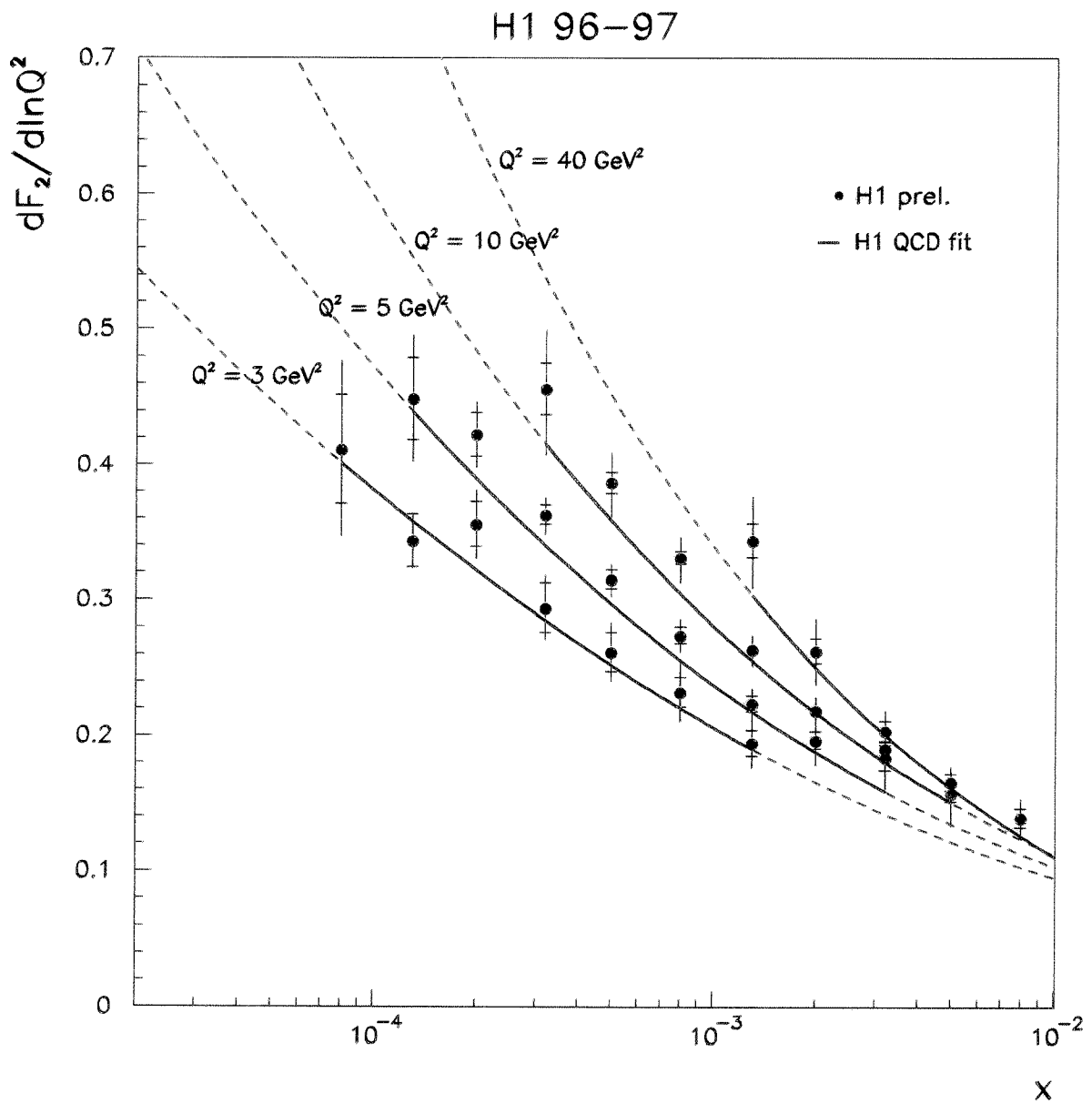
Local derivatives H1 96-97 $\frac{\partial F_2}{\partial \ln Q^2}(x, Q^2)$



$F_2'(\ln Q^2)$ non-linear in QCD.
NLO

Q^2/GeV^2

data are well described
 $\forall Q^2 > 2 \text{ GeV}^2$

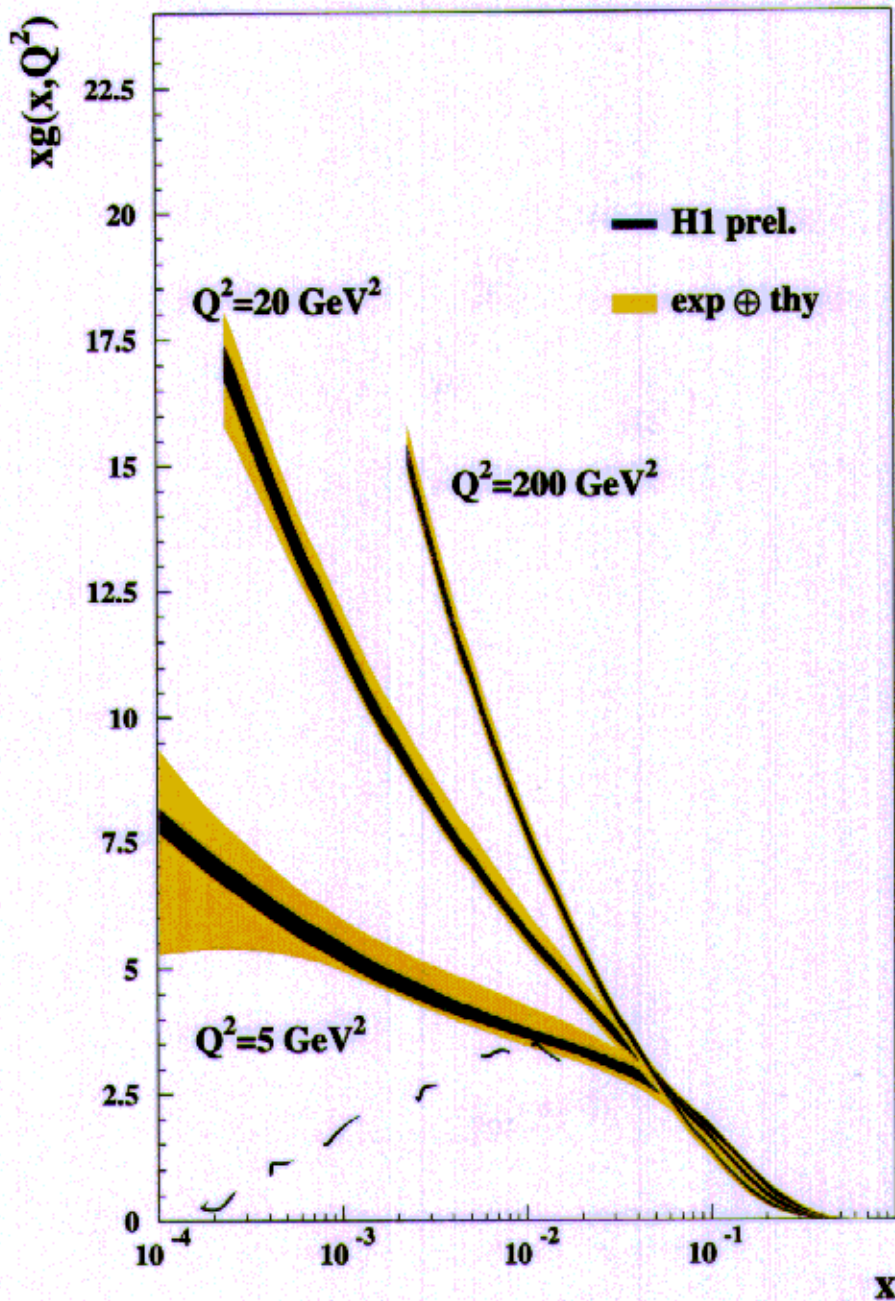


use quadratic approximation to determine $\frac{\partial F_2}{\partial \ln Q^2}(x, Q^2)$
 no departure from rising behaviour seen for $Q^2 \geq 3 \text{ GeV}^2$.

below: NLO QCD fits depend much on starting conditions
 $\sim 3 \text{ GeV}^2$ and h.o calculations become unreliable. γ shadowing corr's

gluon distribution

H1 96-97



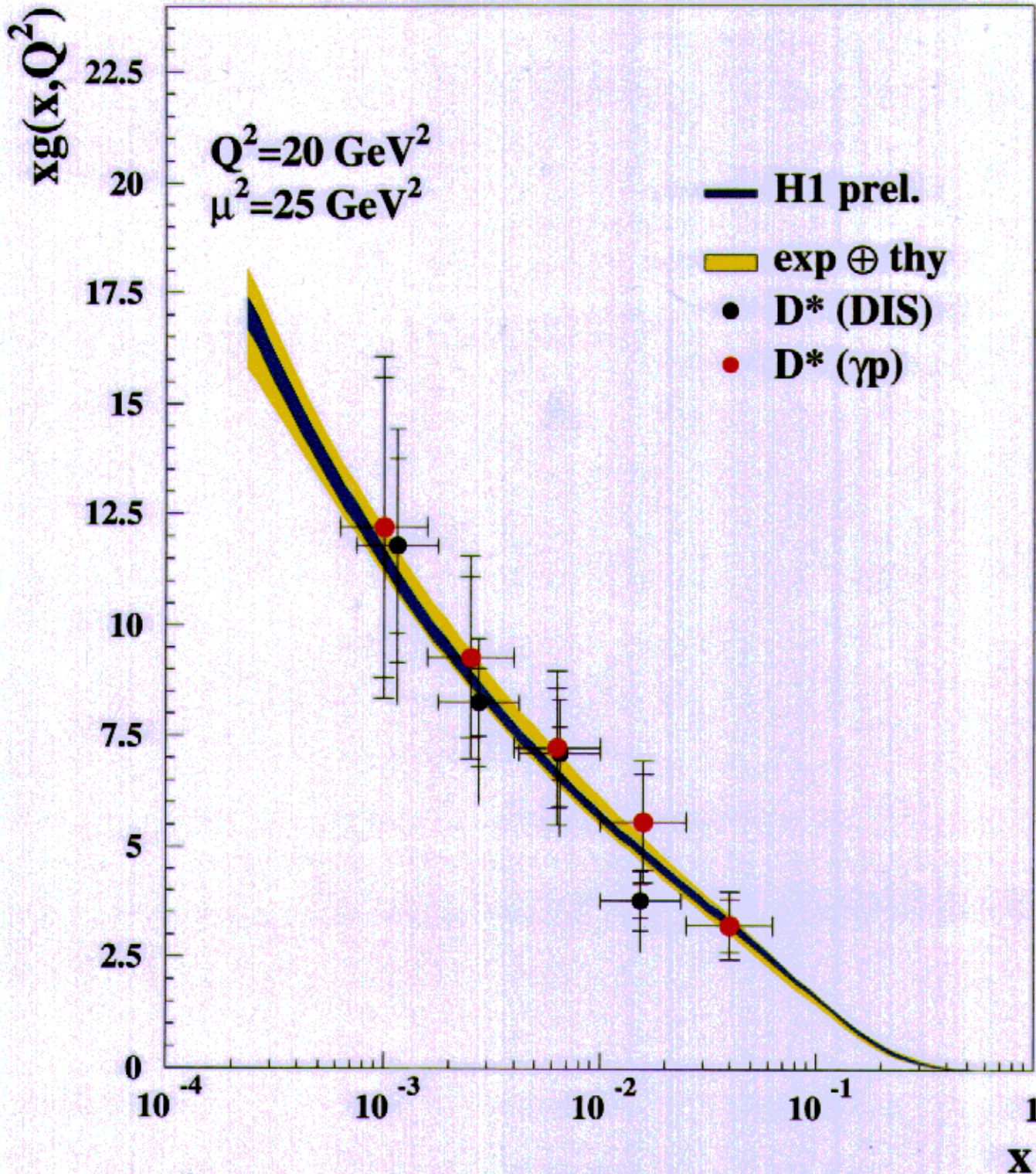
H1 + NMCp

$Q^2 = 3.5 \dots 3000 \text{ GeV}^2$

b, g for c, b : massive scheme

DIS

H1 96-97 • unfolded xg (charm)



H1
Nucl. Phys B545 (99) 21

NLO
thy

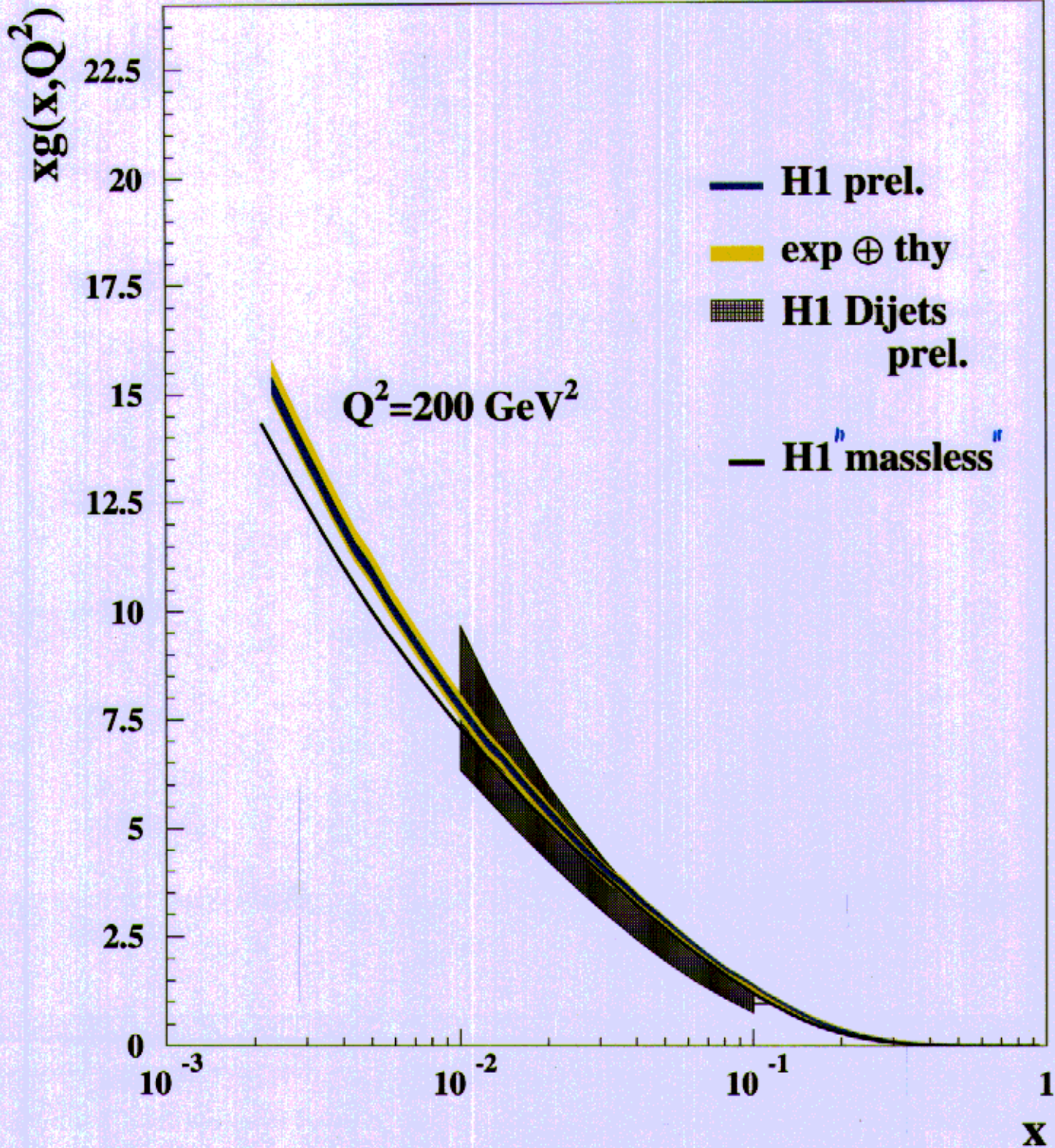
B. Harris, J. Smith
PR D57 (98) 2806

also: hep-ph/9905365

DIS

H1 96-97

dijets



bg fusion



QCD Compton

jet definition, hadronization, NLO QCD

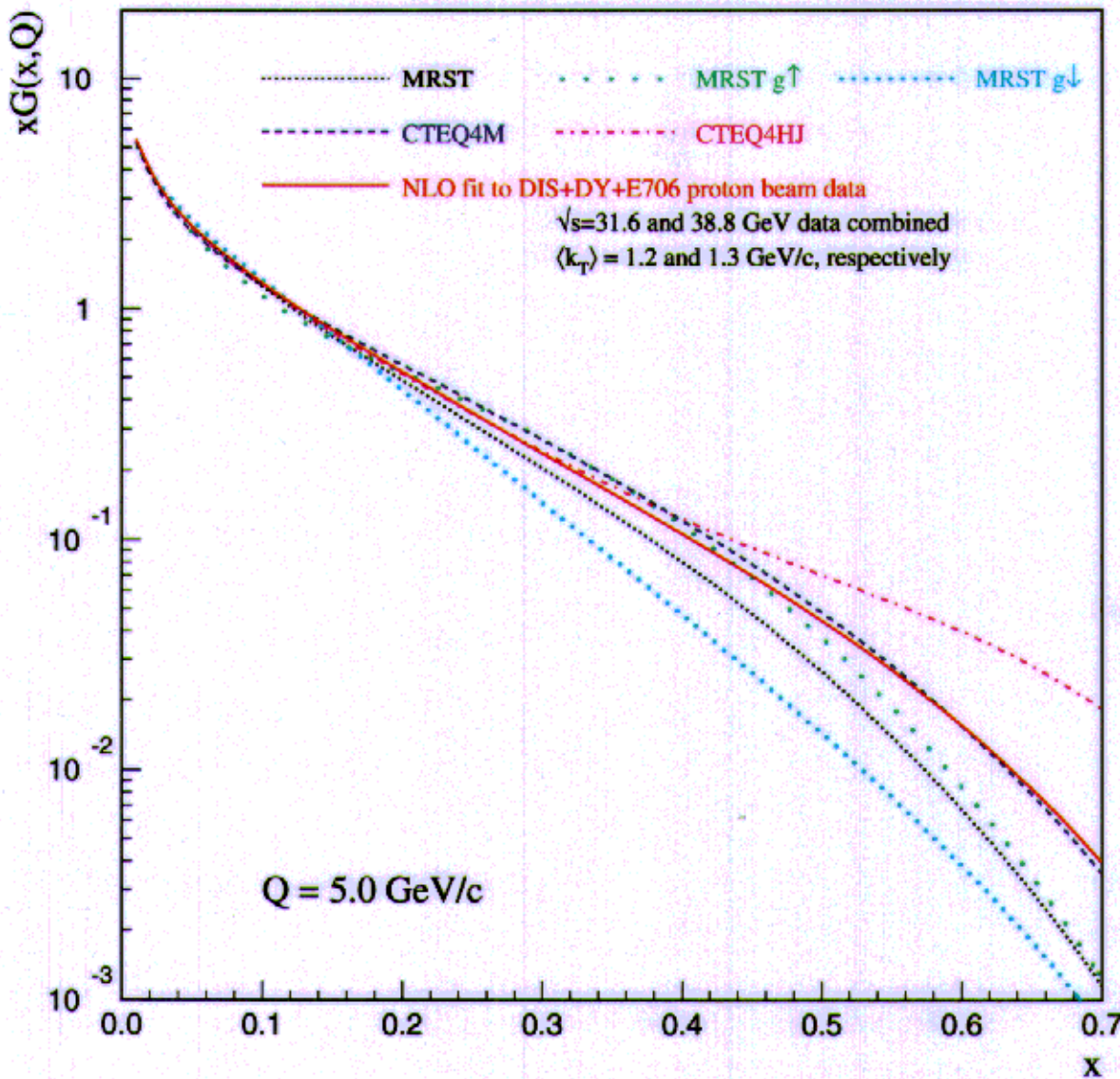
$$\frac{d\sigma}{dQ^2 d\xi} > \xi = \left(1 + \frac{m_{ij}^2}{Q^2}\right) \cdot x$$

& DIS Q^2 200-650 GeV²

xg at high x ?

DIS: bremsstrahlung, direct photons, high p_{\perp} jets

- MRST99 hep-ph/9907231 : WA70, vary k_L , d_s , m_c , d/u
- CTEQ5 9903282 : jets $M = \overline{MS}$, HJ = high xg , HQ ACOT



differences
in xg
c
 d/u
:
depending
on the
formalism
and
data sets
used.

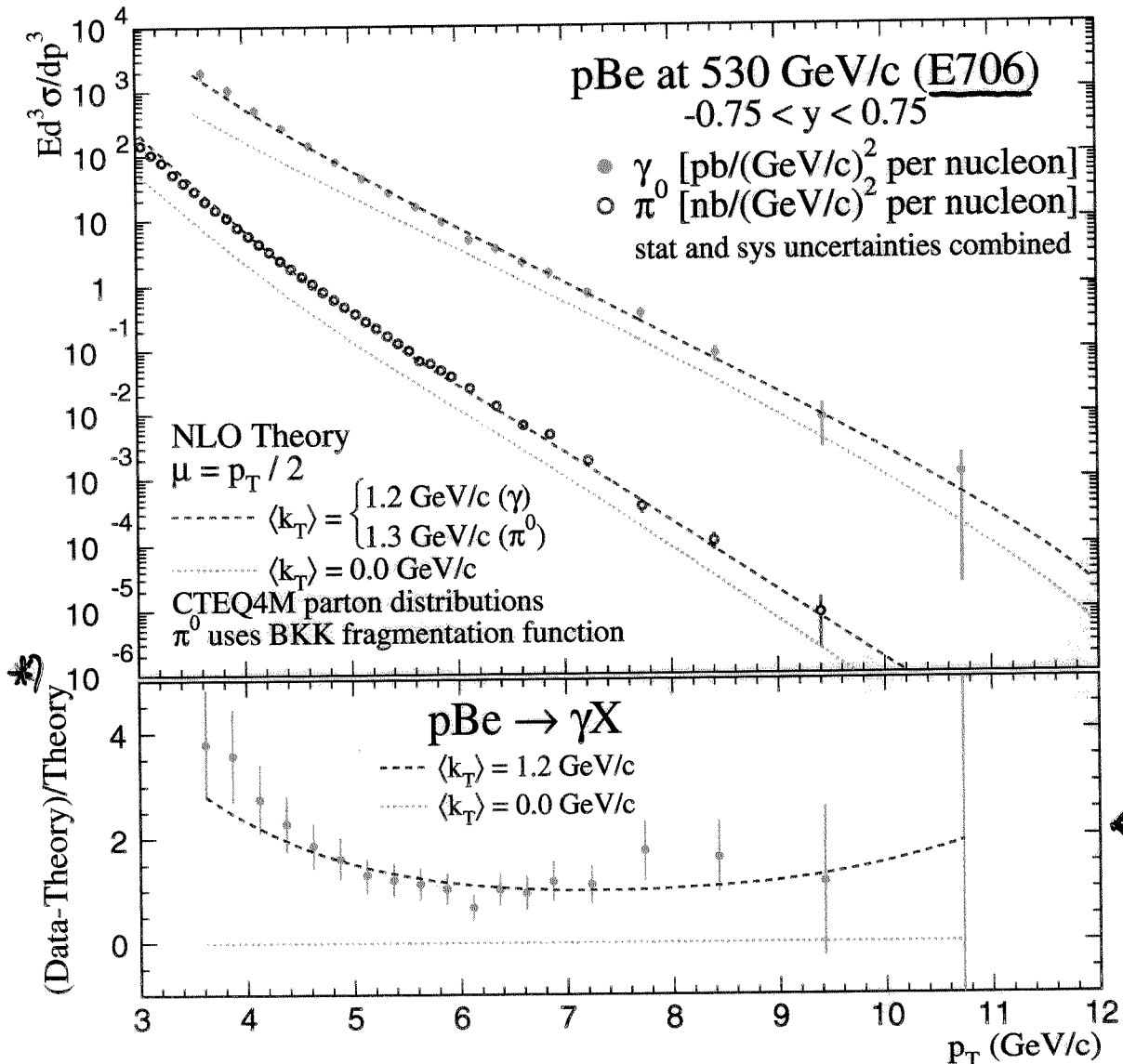
- GRV98 hep-ph/9806404 DIS, n/p, DY $d_s = 0.14$.

quark-gluon Compton scattering



- Xg at large x (Halzen, Scott 1980) but initial state gluon emission (Feynman, Field, Fox 1978)
- UAG, WA70, E706, CDF, DØ
↳ will extend to $\sim 100 \text{ GeV } p_{\perp}$

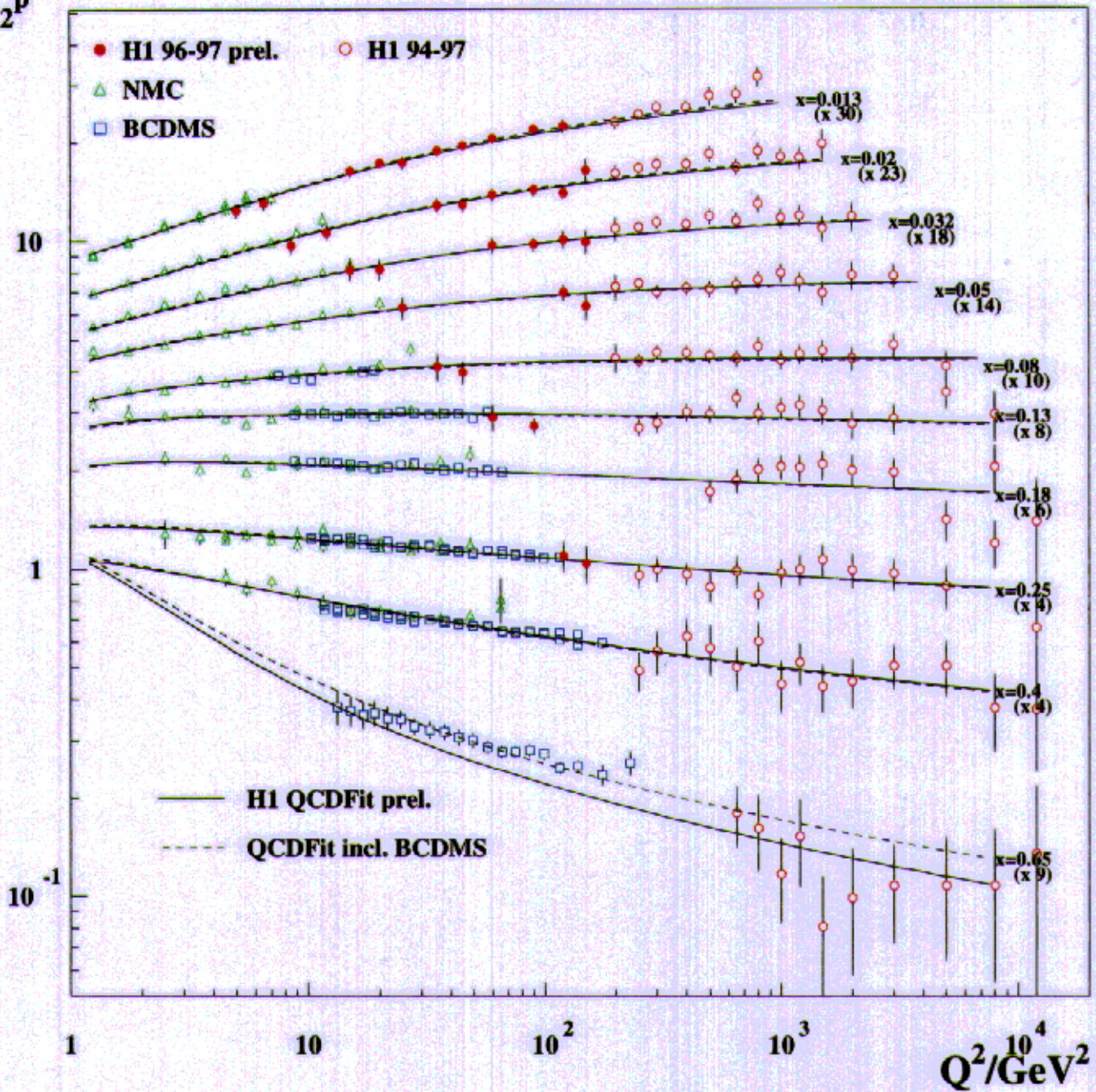
L. Apanasevich et al., PRL 81 (1998) 2642



- LO x sections @ Gaussian k_{\perp} smearing:
 k_{\perp} enhanced NLO th y^+ • $k_{\perp} \sim 1 \text{ GeV} > k_{\perp}^{\text{intrinsic}}$

F_2^p

H1 96-97



high x to be measured at HERA with e^+e^-

α_s in DIS

- $\delta\alpha_s \sim 0.001$ precision HERA & fixed target HERA workshop 95
Botje, Pascaud, M.
- requires NNLO, miss 3 loop terms of splitting functions
cf. vNeerven, Vogt -ph/9907472
- revival of moment analyses (2n Mellin: Larin et al.)

J. Santiago, F. Yndurain hep-ph/9907387 Bernstein

$$\alpha_s^{\text{NNLO}}(M_Z^2) = 0.1163 \pm 0.0023 \quad \text{NLO} + 0.0012$$

SLAC, BCDMS, E665, H1, ZEUS & Xg

A. Kataev, G. Parente, A. Sidorov hep-ph/9907340 Jacobi

$$\alpha_s^{\text{NNLO}}(M_Z^2) = 0.118 \pm 0.002_{\text{stat}} \pm 0.005_{\text{sys}} \pm 0.003_{\text{thy}} \quad \text{NLO} + 0.002$$

X_F_3 , CCFR

S. Forte et al : truncated moments $\int_{x_{\text{min}}}^1$

- NLO S. Alekhin hep-ph/9907350

$$\alpha_s^{\text{NLO}} = 0.1183 \pm 0.0021_{\text{exp}} \pm 0.0013_{\text{thy}}$$

higher
trunc
 $h(x)/Q^2$

- J. Blümlein, A. Vogt DIS99: replace xg by $\partial F_2 / \partial \ln Q^2$ in evolution equ's.

major future projects in DIS

- ν

MINOS_{near}

E_ν up to 25 GeV, $4 \cdot 10^6$ events/year
main injector at Fermilab

↳ 1 km, 17 m long Fe (+A) / Sc spectrometer

measure all $\nu, \bar{\nu}$ structure functions and A dep.

- μ Collider cf. J. Morfin DIS99
hep-ex/9907033 B. King (MURINE).

- HERA

luminosity upgrade during 2000

restart early 2001 after shutdown in May... 2000

- high precision at high Q^2 $150 \text{ pb}^{-1}/\text{year}$

E_e, p variations.

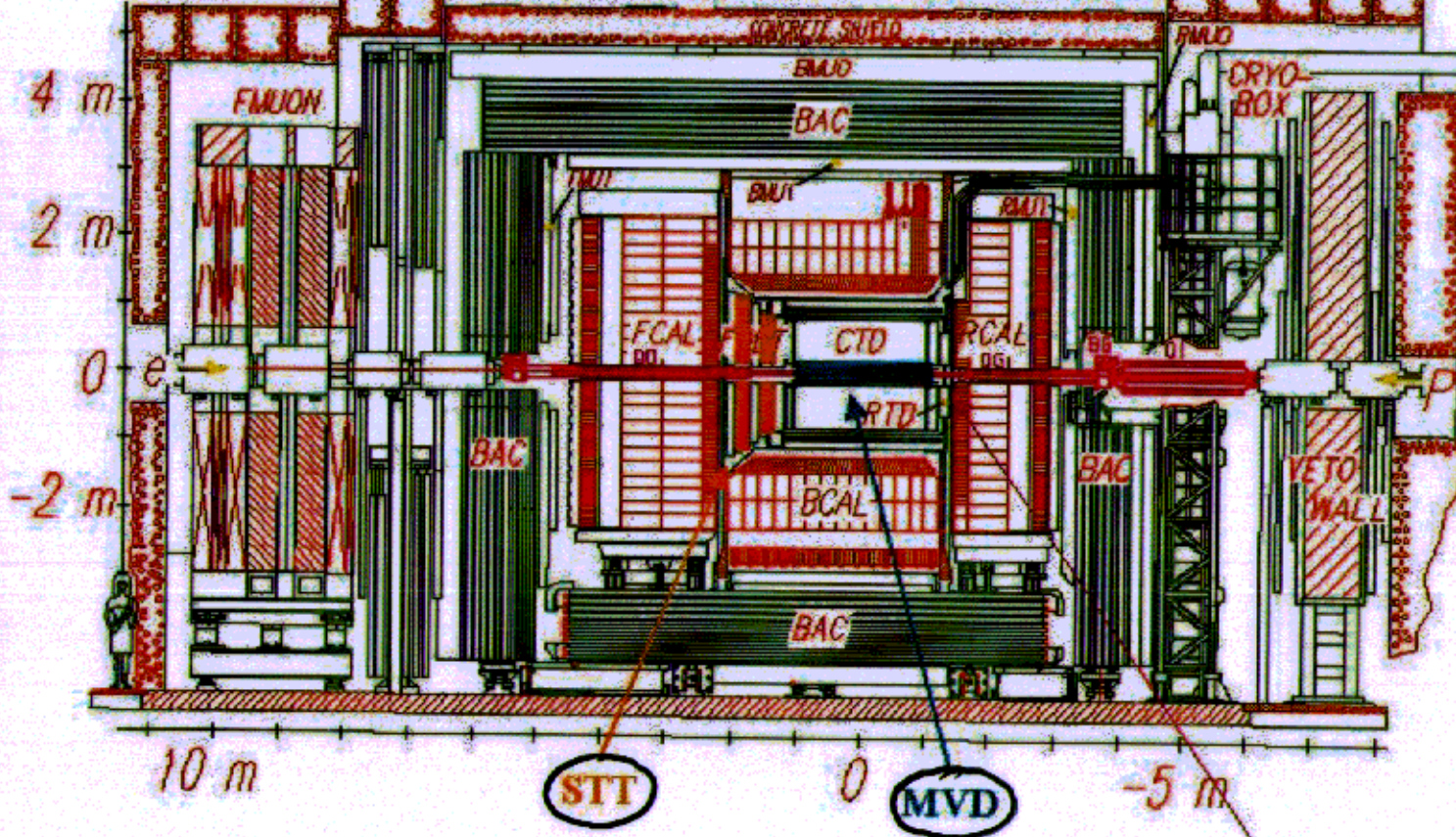
$\int \mathcal{L} dt \sim 1 \text{ fb}^{-1}$
HERA

- options.

D, A, \vec{p}

Future upgrades

Overview Of The ZEUS Detector
(Longitudinal Cut)
For Luminosity Upgrade With 'Short Magnets'



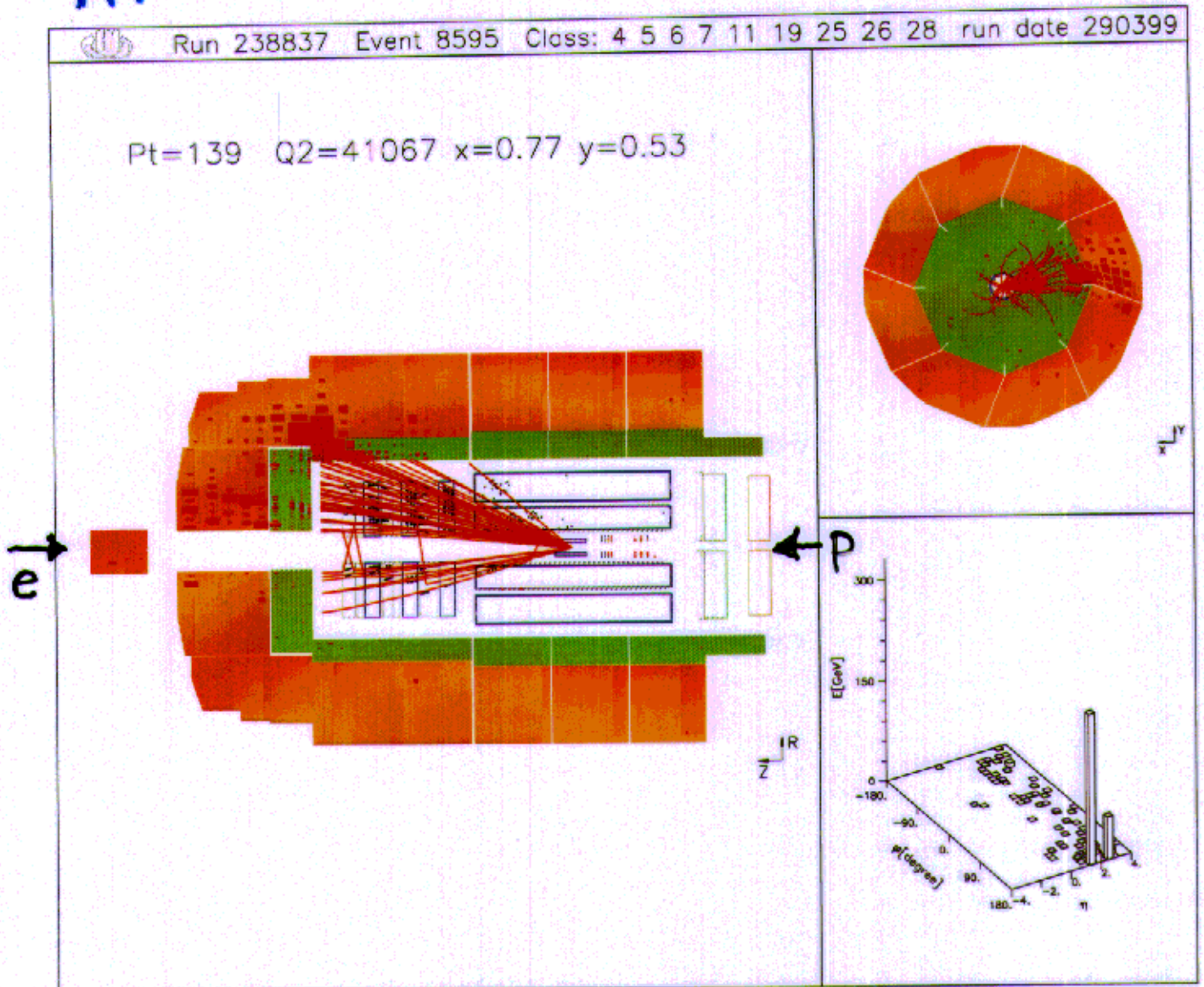
ZEUS y2k...

○ New Beam Pipe System

polarimeter (with H1) .

H1

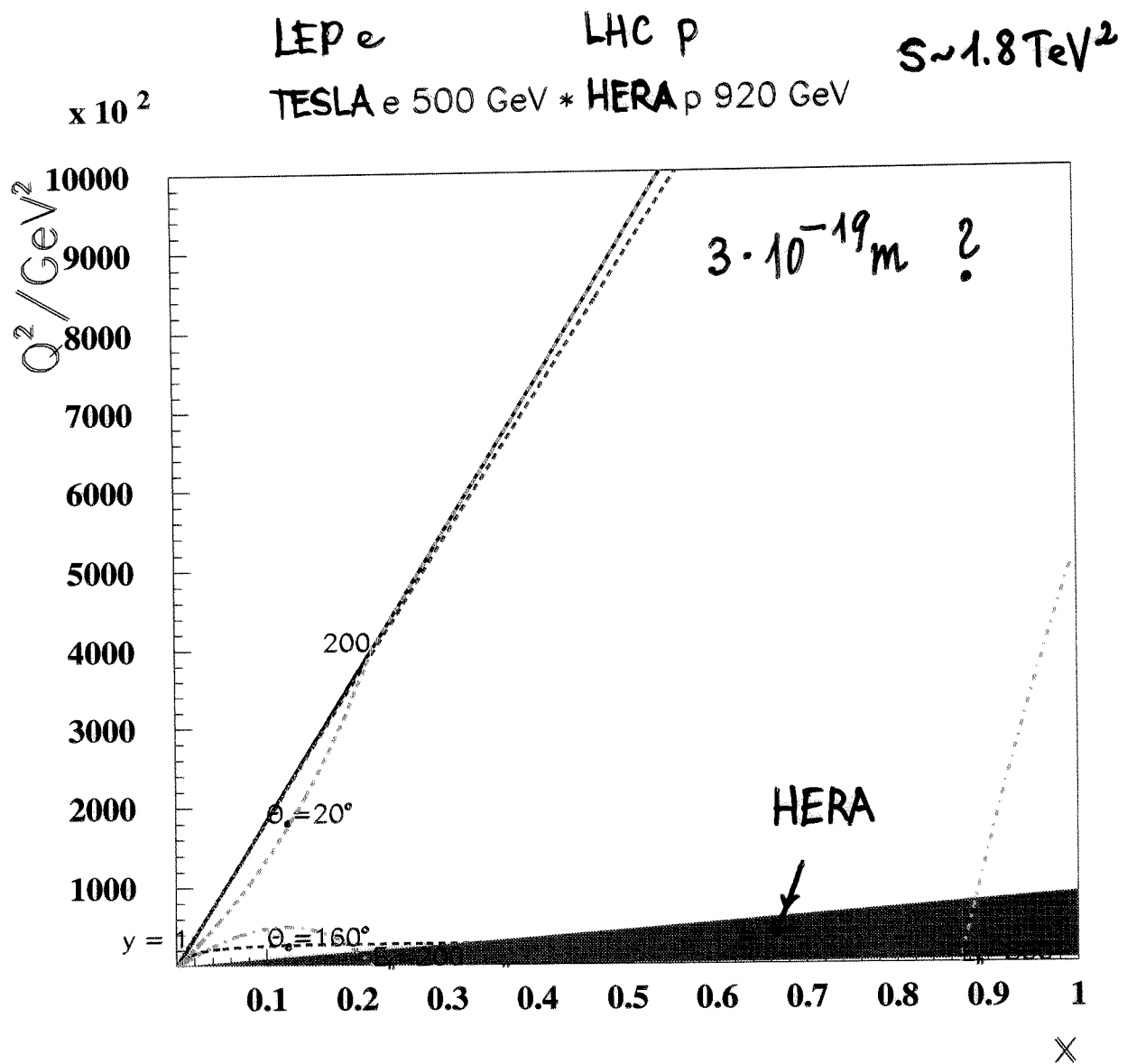
CC event $Q^2 = 41000 \text{ GeV}^2, x = 0.77$



- forward silicon tracker
- upgraded forward tracker
- new inner trigger chamber
-

H1 upgrade

deep-inelastic scattering



has an exciting future

instead of listening to a summary

please think a moment about

Bjoern Wiik.

thank you .

many thanks to

members of the H1 structure function group

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R. Towell, W.K. Tung, A. Wagner, J. Whitmore

U.K. Yang, R. Yoshida ...