

Moriond QCD La Thuile 19 – 26 March 2022



Impact of jet-production data on the NNLO HERAPDF2.0 proton PDFs, and extraction of αs(MZ)

EPJ C 82, 243 (2022)



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on behalf of the H1, ZEUS, NNLOJet and APPLfast collaborations

HERA (1992 – 2007)

• HERA: the world's first and, to date, only, ep collider, situated at DESY, Hamburg



HERA legacy

arXiv:1506.06042



 HERA I+II (H1+ZEUS combined) inclusive DIS dataset is most important input to any modern proton PDF (just small subset shown here)



• HERAPDF2.0 – uses only HERA inclusive DIS

 HERA, a success story: established detailed structure of proton, including strong rise of gluon (and much more besides: large contribution from diffraction, jets, γ structure, αs, c, b, BSM limits, ...)

outline of this talk

- completion of **HERAPDF2.0** family of **PDFs**
- previously produced (arXiv:<u>1506.06042</u>): HERAPDF2.0 LO, NLO and NNLO PDFs;
- HERAPDF2.0Jets was only at NLO since no NNLO DIS jet predictions at that time
- NEW **HERAPDF2.0Jets NNLO** presented here
- NNLO QCD DIS jet predictions from <u>NNLOJET</u>, interfaced to <u>APPLfast</u> for fast theoretical predictions
- simultaneous fit of PDFs + α s(MZ)
- inclusion of jet data allows constrained αs:

 $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} +0.0001 \text{ (model + parameterisation)} \pm 0.0029 \text{ (scale)}$

• NEW PDFs at NNLO QCD for fixed **αs(MZ)=0.118** and **0.1155**

why jet data?

- HERA inclusive DIS gives information on quark PDFs via N(and CC cross sections, and gluon via scaling violations
- HERA inclusive DIS alone <u>cannot</u> give precise **αs** determination since shape of **gluon** and **αs** are coupled through DGLAP equation



 considering also HERA jet data, which depend on αs and gluon in a different way, helps break correlation, and gives improved constraints on both



motivation and impact at LHC



. . .

αs is least known coupling constant;

needed to constrain GUT scenarios; cross section predictions, including Higgs;



PDG21: αs = 0.1175 ± 0.0010 (w/o lattice)



Gluon-Fusion Higgs production, LHC 13 TeV

 PDFs and/or αs limit: precision SM and Higgs measurements, BSM searches,

. . .

what is true α s central value and uncertainty?

new precise determinations have important role to play

HERAPDF approach

- HERAPDF uses only HERA data
- HERAPDF2.0 based on FINAL combination of HERA I+II inclusive DIS data
- combination yields very precise and consistent dataset for 4 different processes:
 e+p and e-p neutral (NC) and charged (CC) current reactions

 (also e+p NC @ 4 beam energies)
- single, consistent dataset \rightarrow conventional X² tolerance, Δ X²=1, when setting 68% CL experimental uncertainties
- HERAPDF evaluates model and parameterisation uncertainties as well as experimental
- HERAPDF2.0Jets adds HERA inclusive- and di-jet data over wide Q² range
- **HERA** other advantages:
- pure proton target, no need for heavy target/deuterium corrections
- d-valence from CC e+p without need for strong isospin symmetry assumption
- negligible higher twist effects (data at high hadronic mass, W > 15 GeV)

HERA NNLO QCD analysis: jet datasets

• strong overlap with those used in previous NLO analysis (arXiv: 1506.06042)

Data set	Taken	$Q^2[\mathbf{C}]$	GeV ²] range	$\mathcal{L} \text{ pb}^{-1}$	e^+/e^-	\sqrt{s} GeV	Normalised	All points	Used points	-
	From to	From	n To							
H1 HERA I normalised jets	1999–2000	150	15,000	65.4	e^+p	319	Yes	24	24	
H1 HERA I jets at low Q^2	1999–2000	5	100	43.5	e^+p	319	No	28	20	low Q ² H1
H1 normalised inclusive jets at high Q^2	2003–2007	150	15,000	351	<i>e</i> ⁺ <i>p</i> / <i>e</i> ⁻ <i>p</i>	319	Yes	30	30	
H1 normalised dijets at high Q^2	2003–2007	150	15,000	351	<i>e</i> ⁺ <i>p</i> / <i>e</i> ⁻ <i>p</i>	319	Yes	24	24	that were not
H1 normalised inclusive jets at low Q^2	2005–2007	5.5	80	290	e ⁺ p/e ⁻ p	319	Yes	48	37	used in the
H1 normalised dijets at low Q^2	2005–2007	5.5	80	290	<i>e</i> ⁺ <i>p</i> / <i>e</i> ⁻ <i>p</i>	319	Yes	48	37	previous
ZEUS inclusive jets	1996–1997	125	10,000	38.6	e^+p	301	No	30	30	NI O analysis
ZEUS dijets	1998–2000 and 2004–2007	125	20,000	374	<i>e</i> ⁺ <i>p</i> / <i>e</i> ⁻ <i>p</i>	318	No	22	16	

- ... plus, some data removed cf. NLO analysis:
- trijets; no NNLO QCD calculations available
- low scale data $\mu = \sqrt{(Q^2 + pt^2)} < 10$ GeV; keeps NNLO scale uncertainties below 10%
- 6 ZEUS dijet data points at low pt, for which predictions are not truly NNLO
- all systematic and statistical correlations implemented

HERAPDF parameterisation and uncertainties

- generic form as for HERAPDF2.0 NNLO (full details in extras);
- parameterise: xg, xuv, xdv, xUbar=xubar, xDbar=x(dbar+sbar) at scale $\mu_{f0}^2 = 1.9 \,\text{GeV}^2$

Regge theory inspired

$$\int_{-1}^{f(1)=0} \int_{-1}^{f(1)=0} xf(x) = Ax^B(1-x)^C(1+Dx+Ex^2)$$
(extra negative term for gluon $-A'_g x^{B'_g}(1-x)^{C'_g}$)
QCD sum rules constrain: Ag, Auv, Adv
 $x\bar{s} = f_s x\bar{D}$
 $A_{\bar{U}} = A_{\bar{D}}(1-f_s), B_{\bar{U}} = B_{\bar{D}}$ ($x\bar{u} \to xd$ as $x \to 0$)
D,E parameters only if favoured by X²
14 parameter central fit

• PDF uncertainties:

Parameter:

experimental uncertainties treated using Hessian method, evaluated with ΔX²=1

• Model:

	Parameter		Central value	Downwards variation	Upwards variation	
	$Q^2_{\rm min}$	[GeV ²]	3.5	2.5	5.0	
	f_s		0.4	0.3	0.5	
	M_c	[GeV]	1.41	1.37*	1.45	
	M_b	[GeV]	4.20	4.10	4.30	
\rightarrow	μ_{f0}^2	[GeV ²]	1.9	1.6	2.2*	

+ addition of 15th D,E parameter(s)

† range of variation for Mc and Mb restricted using HERA charm and beauty data (see extras)

HERAPDF specification updates

- factorisation and renormalisation scale choices: $\mu F^2 = \mu R^2 = (Q^2 + pt^2)$
- scale uncertainties treated as completely correlated between bins and datasets
- cf. previous NLO analysis:
- $\mu F^2 = Q^2$; updated since not a good choice for low Q^2 jet data; change makes almost no difference for high Q^2
- $\mu R^2 = (Q^2 + pt^2)/2$; NNLO fit with $\mu R^2 = (Q^2 + pt^2)$ gives $\Delta X^2 = -15$ cf. $\mu R^2 = (Q^2 + pt^2)/2$ and vice versa for NLO
- scale uncertainties previously treated as 1/2 correlated and 1/2 uncorrelated

 \dagger pt denotes pt^{jet} in the case of inclusive jet cross sections and <pt> for dijets

- improved treatment of hadronisation uncertainties; NOW included together with experimental systematics; treated as ½ correlated, ½ uncorrelated between bins and datasets, c.f. previously treated using offset method
- (small) uncertainties on theory predictions included

HERAPDF2.0Jets NNLO αs determination



 $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} ^{+0.0001}_{-0.0002} \text{ (model + parameterisation)} \pm 0.0029 \text{ (scale)}$

NB, scale uncertainty dominates, μ R, μ F varied by factor of 2 avoiding cases with μ R/ μ F =4 or ½, and treated fully correlated between bins and datasets; (exp) uncertainty includes hadronisation correction uncertainties

α_s sensitivity to cut and parameterisation choices



- in addition, alternative parameterisation checked, with negative gluon term removed (Ag'=0) :
- NB, adding a multiplicative (1+Dg.x) does not change this result

- HERA data at small x, Q² may be subject to need for ln(1/x) resummation or higher twist effects (EG, arXiv:<u>1506.06042</u>, <u>1710.05935</u>)
- X² scans performed with various minimum Q² cuts to assess sensitivity; no significant change to extracted αs(Mz)
- inclusive DIS data alone unable to sufficiently constrain αs(MZ)

$$\alpha_s(M_Z^2) = 0.1151 \pm 0.0010 \text{ (exp)}$$

consistent with central result

comparison to NLO α_s result



NNLO X² scans from new fit with HERA jet data, compared to previously published scans at NLO

similar level of precision at NLO and NNLO smaller value of αs(Mz) preferred at NNLO

NB, however, these results not directly comparable, since different scale choice and slightly different jet datasets

with choices harmonised, result is in fact an **increased** difference between **NLO** and **NNLO**:

αs(Mz) = 0.1186 ± 0.0014 (exp) NLO αs(Mz) = 0.1144 ± 0.0013 (exp) NNLO

 \leftarrow change from preferred value of 0.1156 mainly from exclusion of the H1 low Q² data, and low-pt points at high Q²

comparison to NLO α_s result

 in previous NLO analysis, scale uncertainties were applied as ½ correlated and ½ uncorrelated between bins and data sets, due to inclusion of HQ and trijet data

NOW using that previous procedure, our present NNLO result becomes: $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} +0.0001 \text{ (model + parameterisation)} \pm 0.0022 \text{ (scale)}$ (includes hadronisation uncertainties)

c.f. published NLO result:

 $\alpha_{\rm s}(M_{\rm Z}^2) = 0.1183 \pm 0.0009 \,({\rm exp}) \pm 0.0005 \,({\rm model/par.}) \pm 0.0012 \,({\rm had})^{+0.0037}_{-0.0030} \,({\rm scale})$

→ considerable reduction in scale uncertainty from NLO to NNLO

comparison to other HERA DIS jet results

1. <u>H1 NNLO jet study</u> using fixed PDFs, includes H1 inclusive-jet and di-jet:



HERAPDF2.0 Jets NNLO PDFs



16

comparison of $\alpha_s(Mz)=0.1155$ and 0.118

H1 and ZEUS



HERAPDF2.0 vs. HERAPDF2.0Jets NNLO



comparison with **HERAPDF2.0 NNLO**, with fixed **αs(MZ)=0.118** (arXiv:<u>1506.06042</u>)

H1 and ZEUS



- large-x gluon uncertainties reduced due to jet data
- small-x uncertainties reduced due to reduced model uncertainties in Mc and μ_{f0}^2



summary

- HERAPDF2.0 family of PDFs completed by performing an NNLO QCD fit including HERA DIS inclusive-jet and di-jet data
- possible due to theoretical (NNLOJet) and fast interpolation grid technology developments (APPLfast)
- jet data allows constraint of $\alpha s(MZ)$ new HERA NNLO PDF+ αs result: $\alpha_s(M_Z^2) = 0.1156 \pm 0.0011 \text{ (exp)} \stackrel{+0.0001}{-0.0002} \text{ (model + parameterisation)} \pm 0.0029 \text{ (scale)}$

with scale uncerts. evaluated in same way as previous NLO result: ± 0.0022 cf. NLO value: $\alpha_{s}(M_{Z}^{2}) = 0.1183 \pm 0.0009 \,(\text{exp}) \pm 0.0005 \,(\text{model/par.}) \pm 0.0012 \,(\text{had})^{+0.0037}_{-0.0030} \,(\text{scale})$

shift downwards at NNLO and reduction in scale uncertainties

- two new PDF sets, to appear in LHAPDF:
- HERAPDF2.0Jets NNLO αs(MZ)=0.1180 (PDG value)
- HERAPDF2.0Jets NNLO $\alpha_s(MZ)=0.1155$ (value favoured by new fits)

extras



(x,Q2) plane



HERAPDF parameterisation

- generic form as for HERAPDF2.0 NNLO;
- parameterise: xg, xuv, xdv, xUbar=xubar, xDbar=x(dbar+sbar) at scale $\mu_{f0}^2 = 1.9 \text{ GeV}^2$ •

Regge theory inspired

$$\int_{a}^{f(1)=0} f(1) = 0$$

$$xf(x) = Ax^{B}(1-x)^{C}(1+Dx+Ex^{2})$$

(extra negative term for gluon $-A'_g x^{B'_g} (1-x)^{C'_g}$) 14 parameter central fit

QCD sum rules constrain: Ag, Auv, Adv $x\bar{s} = f_s x\bar{D}$ $A_{\bar{U}} = A_{\bar{D}}(1 - f_s), B_{\bar{U}} = B_{\bar{D}} (x\bar{u} \to xd \text{ as } x \to 0)$ D,E parameters only if favoured by X^2

$$\begin{split} xg(x) &= A_g x^{B_g} (1-x)^{C_g} - A'_g x^{B'_g} (1-x)^{C'_g}, \\ xu_v(x) &= A_{u_v} x^{B_{u_v}} (1-x)^{C_{u_v}} \left(1+E_{u_v} x^2\right), \\ xd_v(x) &= A_{d_v} x^{B_{d_v}} (1-x)^{C_{d_v}}, \\ x\bar{U}(x) &= A_{\bar{U}} x^{B_{\bar{U}}} (1-x)^{C_{\bar{U}}} \left(1+D_{\bar{U}} x\right), \\ x\bar{D}(x) &= A_{\bar{D}} x^{B_{\bar{D}}} (1-x)^{C_{\bar{D}}}. \end{split}$$

comparison of $\alpha_s(Mz)=0.1155$ and 0.118

H1 and ZEUS



H1 and ZEUS



comparison of uncertainties of new NNLO jets fit with inclusive-only fit

H1 and ZEUS



comparison of uncertainties of new NNLO jets fit with inclusive-only fit

H1 and ZEUS



new NNLO jets fit at αs(Mz)=0.1155 vs HERAPDF2.0 NNLO with αs(Mz)=0.118

H1 and ZEUS



new NNLO jets fit at αs(Mz)=0.1155 vs HERAPDF2.0 NNLO with αs(Mz)=0.118



Some remarks on NLO to NNLO comparison- (not in the paper) Our present NNLO result using $\frac{1}{2}$ correlated and $\frac{1}{2}$ uncorrelated scale uncertainty $\alpha_s(M_7) = 0.1156 \pm 0.0011(exp) + 0.0001_{-0.0002}$ (model+parametrisation ± 0.0022(scale))

where "exp" denotes the experimental uncertainty which is taken as the fit uncertainty, including the contribution from hadronisation uncertainties.

Maybe compared with the NLO result

 $\alpha_{\rm S}({\rm M_Z}) = 0.1183 \pm 0.0008({\rm exp}) \pm 0.0012({\rm had})^{+0.0003}_{/-0.0005}({\rm mod/param})^{+0.0037}_{/-0.003}({\rm scale})$

• the choice of scale was different;

BUT

- the NLO result did not include the recently published H1 low-Q² inclusive and dijet data [28];
- the NLO result did not include the newly published low p_T points from the H1 high- Q^2 inclusive data;
- the NNLO result does not include trijet data;
- the NNLO result does not include the low p_T points from the ZEUS dijet data;
- the NNLO analysis imposes a stronger kinematic cut $\mu > 10 \text{ GeV}$
- the treatment of hadronisation uncertainty differs.

All these changes with respect to the NLO analysis had to be made to create a consistent environment for a fit at NNLO. at the same time, an NLO fit cannot be done under exactly the same conditions as the NNLO fit since the H1 low Q^2 data cannot be well fitted at NLO. However, an NLO and an NNLO fit can be done under the common conditions:

An NLO and an NNLO fit can be done under the common conditions:

- choice of scale, $\mu_f^2 = \mu_r^2 = Q^2 + p_T^2$;
- exclusion of the H1 low- Q^2 inclusive and dijet data;
- exclusion of the low- p_T points from the H1 high- Q^2 inclusive jet data;
- exclusion of trijet data;
- exclusion of low- p_T points from the ZEUS dijet data;
- exclusion of data with $\mu < 10 \text{ GeV}$
- hadronisation uncertainties treated as correlated systematic uncertainties as done in the NNLO analysis.

The values of $\alpha_{\rm S}({\rm M_Z})$ obtained for these conditions are: 0.1186 ± 0.0014(exp) NLO and 0.1144 ± 0.0013(exp) NNLO. The change of the NNLO value from the preferred value of 0.1156 is mostly due to the exclusion of the H1 lowQ² data and the low-p_T points at high Q²

What do we mean when we say the H1 low Q² jets cannot be well fitted at NLO? Simply this, that at NNLO the increase in overall χ^2 of the fit when the 74 data pts of these data are added is ~80 (exact value depends on $\alpha_S(M_Z)$ and on scale choice) Whereas at NLO the increase in overall χ^2 of the fit when the 74 data pts of these data are added is ~180.







- X2 scans performed versus Mc and Mb using inclusive and heavy quark data
- start with $\alpha s(MZ)=0.118$ and the usual HERAPDF2.0 parameterisation; perform scan and $\approx a^{\frac{1}{2}} \log t$ resulting values of Mc and Mb $\approx \lambda^{\frac{1}{2}} \log t$ $\sim NLO$
- \neg then fit for $\alpha s(MZ)$ including jet data
- Since new value (αs(MZ)=0,1156) is obtained (see slide 10), scans are revisited obtaining very slightly different Mc and Mb values
- then refit for $\alpha_{s}(MZ)$ using these new Mc and Mb values $-\alpha_{s}(MZ)=0.1156$ is unchanged
- then resheck parameterisation with new Mc, Mb, as(MZ)=0.1156 AND jet data added
- previous parameterisation confirmed no further iteration needed! M_b/GeV



















10

(b)

30

10

³⁰ <p_T>₂ / GeV

cf. HERA jet data









cf. other NNLO results using HERA jets



H1 jets, arXiv:1709.07251

see also, arXiv:2203.08271



H1+ZEUS inclusive jets, arXiv:1906.05303