

# Measurement of 1-jettiness in deep-inelastic scattering at HERA

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Stony Brook University, ZOOM only  
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MAX-PLANCK-INSTITUT  
FÜR PHYSIK

# Neutral current deep-inelastic scattering

## Neutral current deep-inelastic scattering

- Process:  $ep \rightarrow e'X$
- Electron or positron

## Kinematic variables

- Virtuality of exchanged boson  $Q^2$

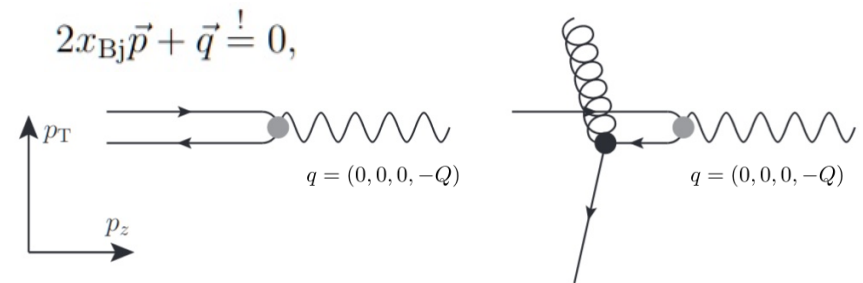
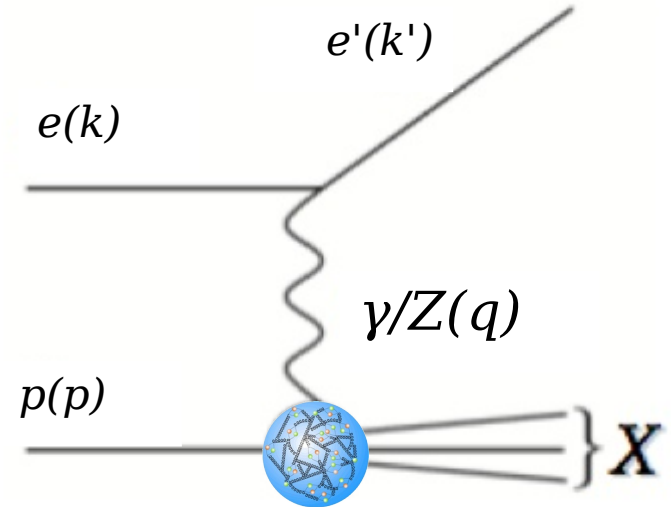
$$Q^2 = -q^2 = -(k - k')^2$$

- Inelasticity, Bjorken- $x$  and center-of-mass energy

$$y = \frac{p \cdot q}{p \cdot k} \quad Q^2 = s \cdot x_{\text{Bj}} \cdot y$$

## The Breit frame

- Exchanged virtual boson collides 'head-on' with parton from proton ('brick-wall' frame)



# The 1-jettiness event shape

DIS **thrust** normalised to boson axis

- Normalisation with  $2/Q$

$$\tau_Q = 1 - \frac{2}{Q} \sum_{i \in \mathcal{H}_c} P_{z,i}^{\text{Breit}}$$

- Infrared safe, and free of non-global logs

1-jettiness

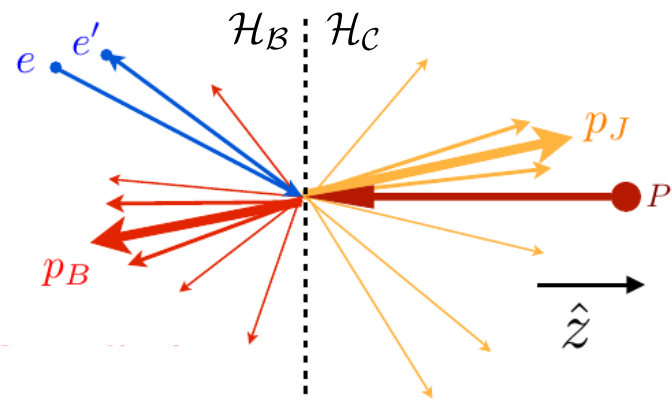
- Axes: incoming parton, and  $q+xP$

$$\tau_1^b = \frac{2}{Q^2} \sum_{i \in X} \min\{xP \cdot p_i, (q + xP) \cdot p_i\}$$

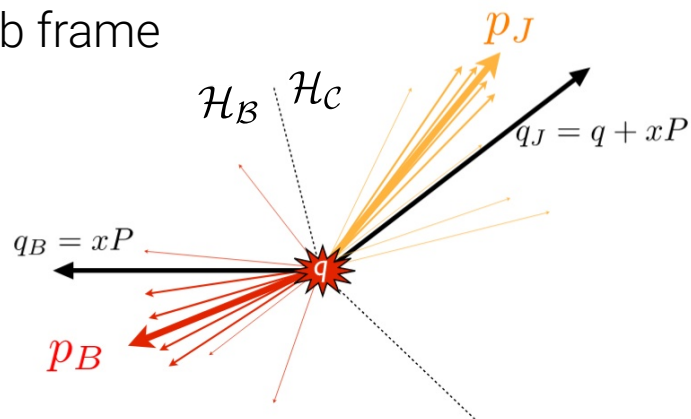
Equivalence

$$\tau_Q = \tau_1^b$$

Breit frame



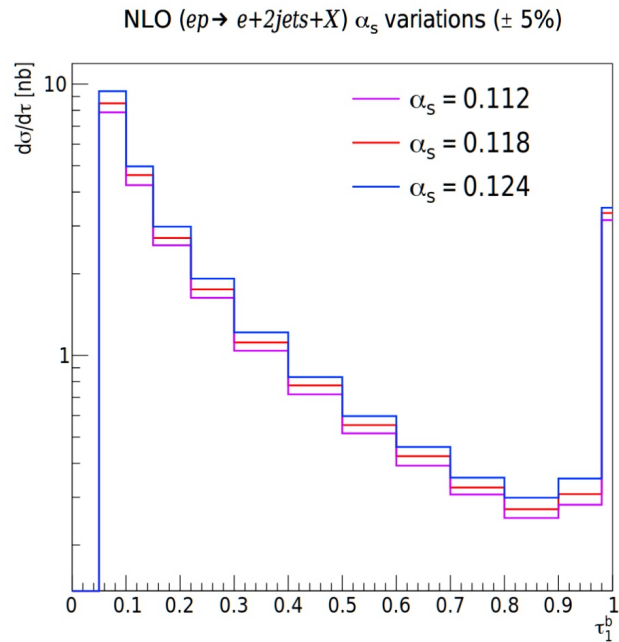
Lab frame



Sketch taken from Kang, Lee, Stewart  
[Phys.Rev.D 88 (2013) 054004]

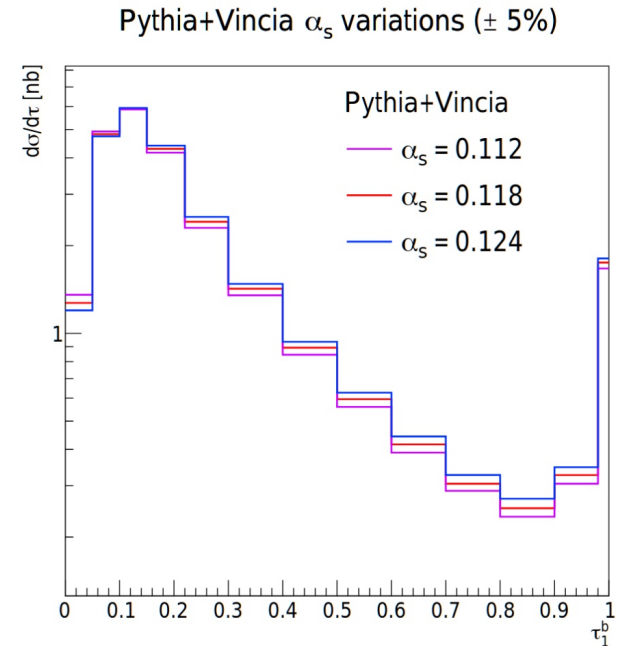
# $\alpha_s$ dependence $\pm 5\%$

## Fixed order predictions



- parton level predictions
- NLO QCD for  $ep \rightarrow 2$  jets
- Calculation invalid for  $\tau \rightarrow 0$

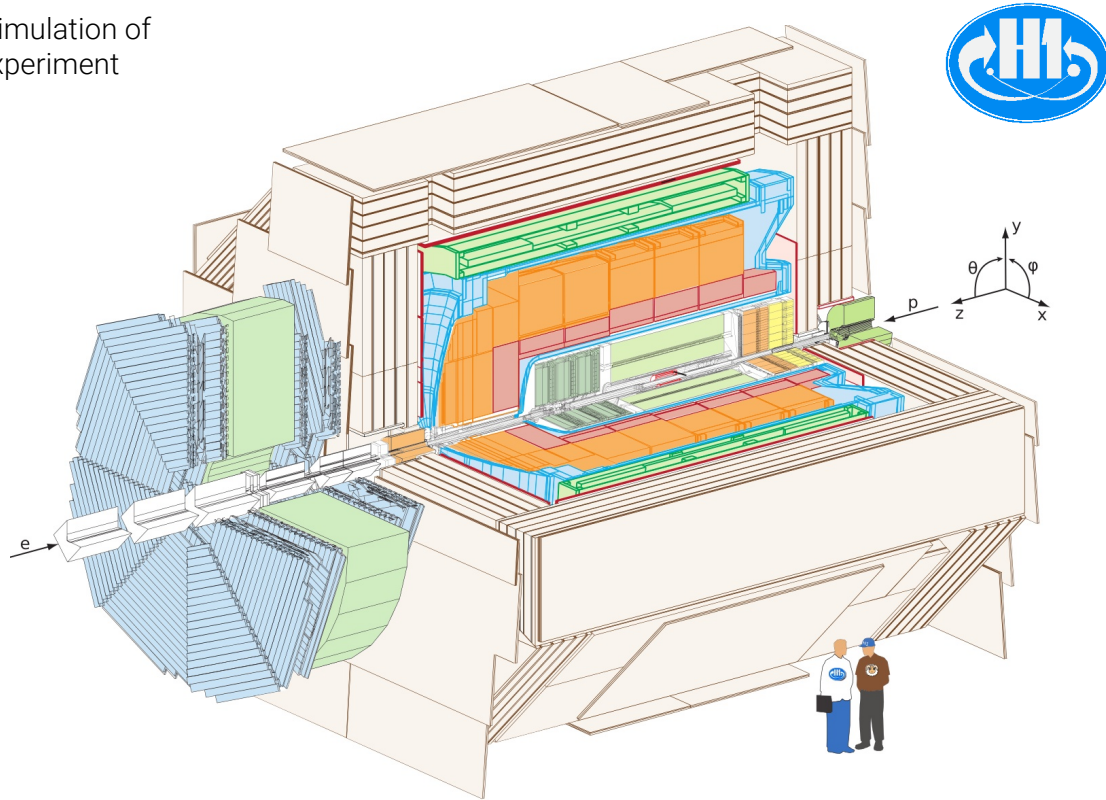
## Monte Carlo event generator



- Pythia predictions at particle level
- DIS plus parton shower plus hadronization
- DIS kinematics important at low  $\tau$

# The H1 experiment at HERA

GEANT simulation of  
the H1 experiment



## H1 'Multi-purpose' DIS detector

- Asymmetric design with trackers, calorimeters, solenoid, muon-chambers, forward & backward detectors, ...

# H1 data preservation and analysis

## HERA data preservation supported by DESY-IT and MPP

- Data storage, redundancy, access rights, compute power etc... but:
- Experiment specific software, documentation, databases, etc... are in the responsibility of the collaborations

## H1 software

- Fortran code(s) → Simulation, reconstruction, data access, event display, analysis
- C++ ROOT-based common analysis framework  
→ defines common language how H1 talks about data and processes data
- All H1 software migrated to amd64 architecture (gcc9, C++20, ROOT6, LCG97) and runs natively on CentOS7 at DESY NAF-cluster
- Distributed python-based analysis possible through PyROOT

arXiv:2106.11058v1 [hep-ex] 21 Jun 2021

### Preservation through modernisation: The software of the H1 experiment at HERA

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**Abstract.** The lepton–proton collisions produced at the HERA collider represent a unique high energy physics data set. A number of years after the end of collisions, the data collected by the H1 experiment, as well as the simulated events and all software needed for reconstruction, simulation and data analysis, were migrated into a preserved operational mode at DESY. A recent modernisation of the H1 software architecture has been performed, which will not only facilitate on going and future data analysis efforts with the new inclusion of modern analysis tools, but also ensure the long-term availability of the H1 data and associated software. The present status of the H1 software stack, the data, simulations and the currently supported computing platforms for data analysis activities are discussed.

#### 1 The H1 experiment at HERA

Operating during the years 1992 to 2007, HERA at DESY is so far the only high energy lepton–proton ( $ep$ ) collider in the world to have been constructed, where 27.6 GeV electrons or positrons were brought into collision with 920 GeV protons, resulting in a centre-of-mass energy of 319 GeV. The collision of point-like leptons with hadrons made HERA a unique tool for precise measurements of the structure of the proton. Many other areas of particle physics were also accessible at HERA, including QCD and jets, heavy quark production, diffraction, electroweak physics, as well as the search for rare processes in  $ep$  collisions. The H1 detector [1, 2] at HERA recorded the final state particles of  $ep$  collision events.

vCHEP contribution  
EPJ Web Conf. 251 (2021) 03004

# Inclusive DIS data

## HERA-II data

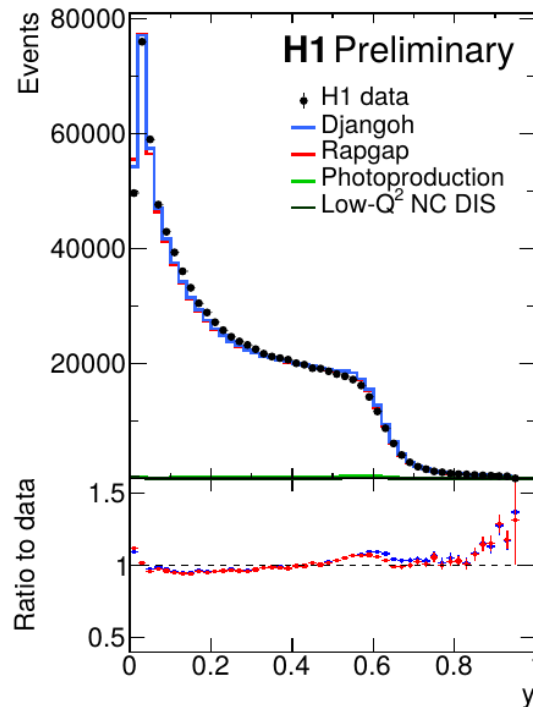
- Luminosity  $L=351 \text{ pb}^{-1}$
- Trigger requires high-energetic cluster in LAr calorimeter
  - triggered by electron or hadron
  - >99% efficient for  $y < \sim 0.7$
- High- $Q^2$  region:  $Q^2 > 150 \text{ GeV}^2$

## Signal Monte Carlo models

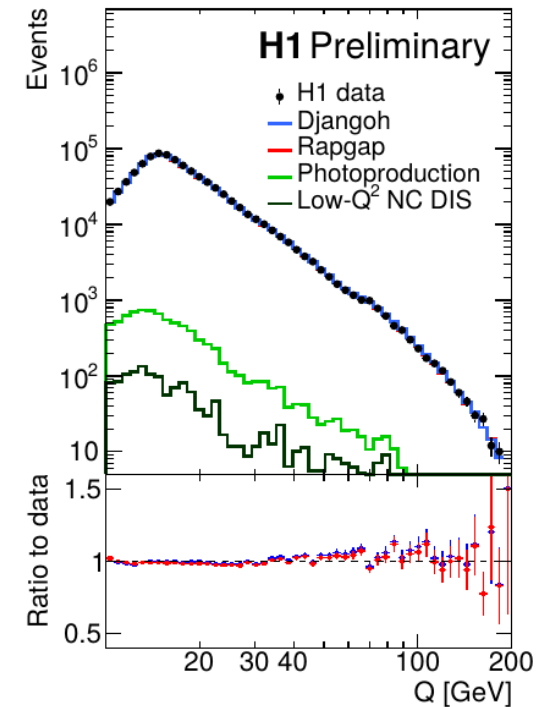
- Rapgap (ME+PS) and
- Djangoh (CDM)

## Little background in incl. DIS

- photoproduction
- low- $Q^2$  NC DIS



$$y = y_{\Sigma} = \frac{\Sigma}{\Sigma + E_{e'}(1 - \cos \theta_{e'})}$$



$$Q^2 = Q_{\Sigma}^2 = \frac{E_{e'}^2 \sin^2 \theta_{e'}}{1 - y_{\Sigma}}$$

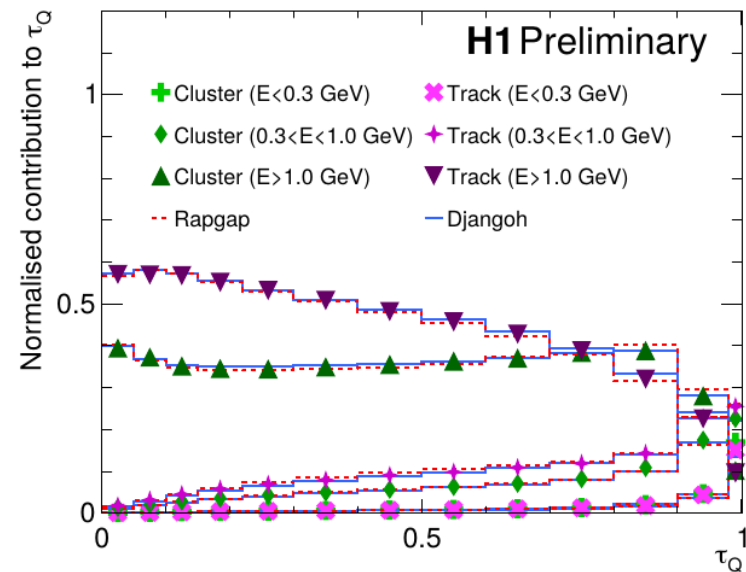
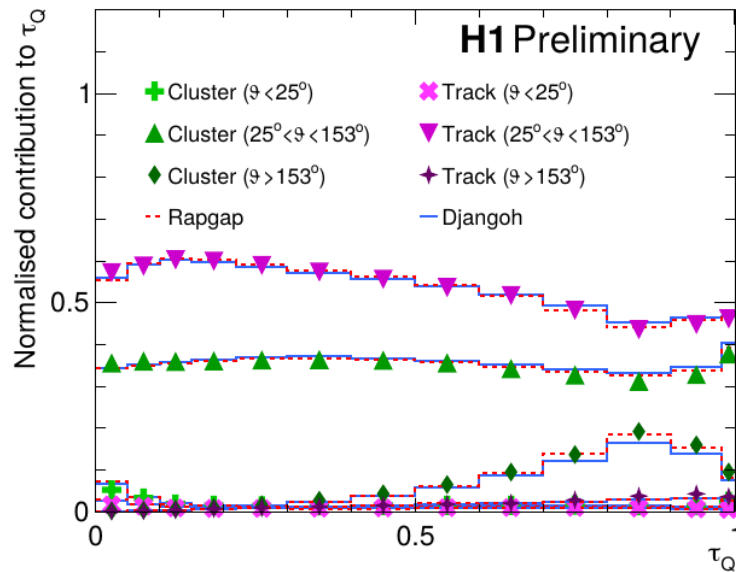
# DIS Thrust – a $4\pi$ observable

All particle candidates in all DIS events contribute

- Particles are reconstructed using a particle-flow algorithm,  
→ combining cluster and track information without double-counting of energy

$$\tau_Q = 1 - \frac{2}{Q} \sum_{i \in \mathcal{H}_c} P_{z,i}^{\text{Breit}}$$

Normalised contribution to  $\tau_Q$  for different ranges in polar angle  $\theta$  and energy



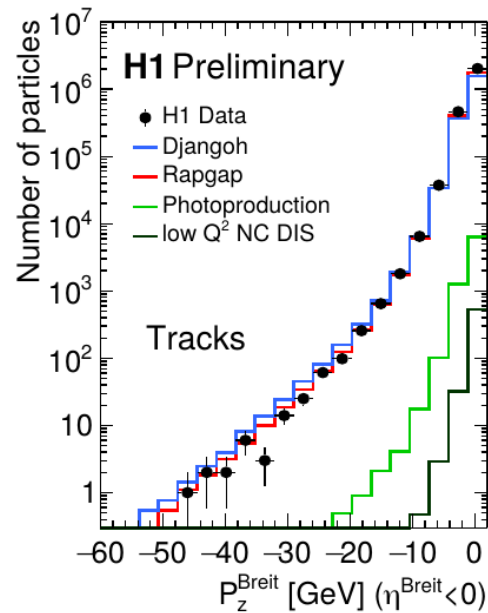
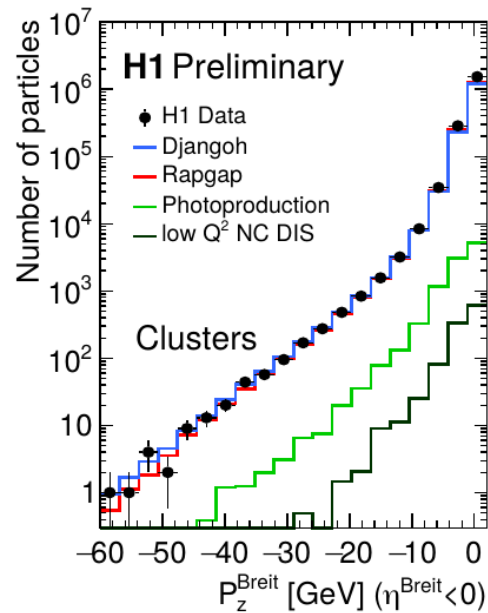
- Mainly tracks and clusters in the central part of the detector contribute ( $25^\circ < \theta < 153^\circ$ )
- Mainly particles with high energy contribute ( $E > 1.0$  GeV)



# 1-jettiness – DIS thrust

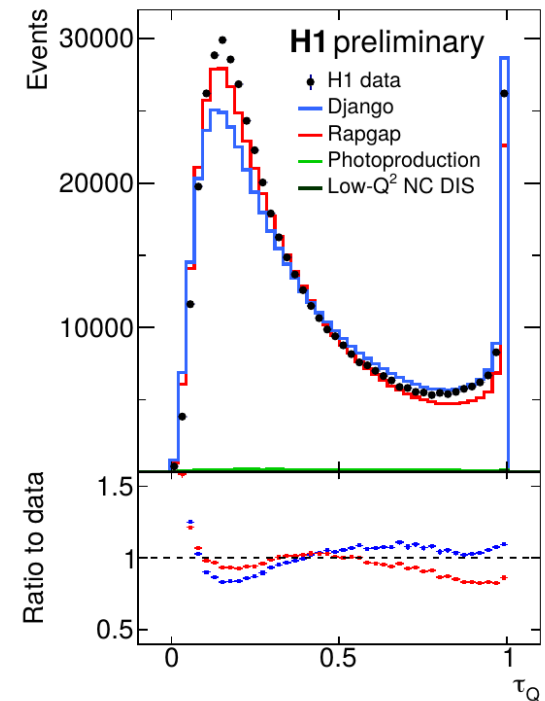
## DIS thrust: sum of longitudinal momenta

- Longitudinal momenta in Breit frame are well measured and well modelled by simulation for clusters and tracks



## DIS thrust at detector level

- Reasonable agreement between data and MC, as expected from the two physics models (ME+PS, CDM)
- Full  $\tau$  range measurable



# Single differential cross sections

## 1-jettiness cross sections

- Unfolded using bin-by-bin method
- Corrected for QED radiative effects
- range:  $0 \leq \tau \leq 1$
- stat. & syst. uncertainties smaller than markers

## Comparisons with Monte Carlo models

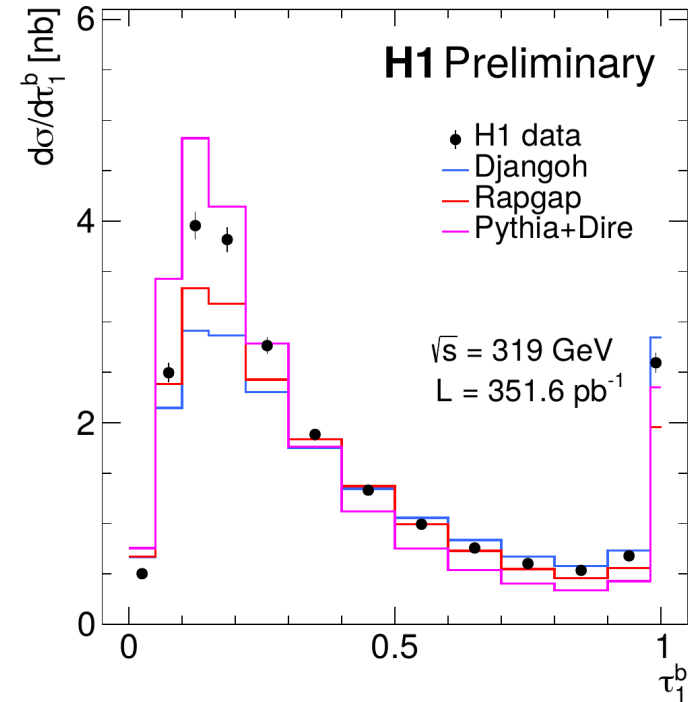
- Djangoh 1.4: Color-dipole-model (CDM)
- Rapgap 3.1: ME + parton shower
- Pythia8.3 + Dire

## Resummation region

- Not well described by MC models

## Fixed order region

- Djangoh & Rapgap perform well
- Pythia+Dire underestimate data



$$150 < Q^2 < 20\,000 \text{ GeV}^2$$
$$0.2 < y < 0.7$$

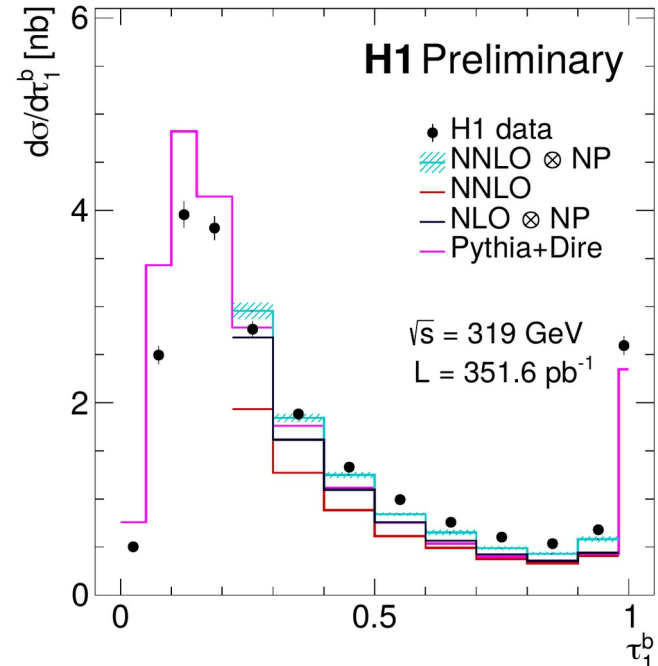
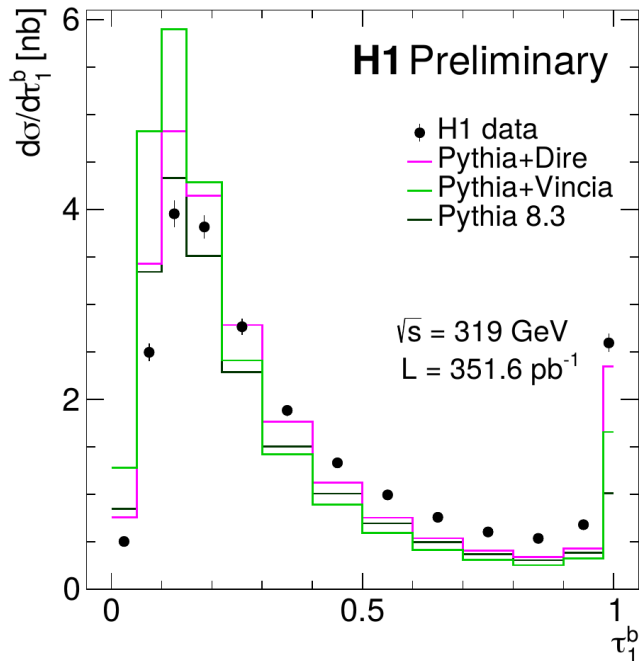
# Single differential cross sections

## Comparison with Parton shower models

- Resummation region has strong dependence on different parton showers
- No PS model provides a fully satisfactory description
- 'Pythia default' PS underestimates  $\tau=1$

## $yp \rightarrow 2\text{jets}$ NNLO prediction from NNLOJET

- NP corrections from Pythia8.3 (sizeable)
- NNLO provides reasonable description of fixed-order region
- NNLO improves over NLO



# 3D cross sections

Large cross section & sizeable data

→ triple-differential cross sections as functions of:

$$Q^2, y, \tau_1$$

3D cross sections

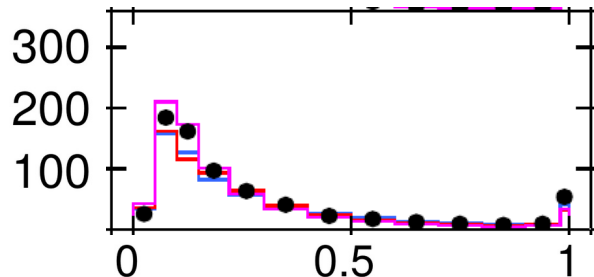
→ higher  $Q^2$

- 'peak' moves to lower  $\tau$
- bulk region lowers

→ higher  $y$  (lower  $x$ )

- $\tau_1=1$  becomes enhanced

$$708 < Q^2/\text{GeV}^2 < 1120 \quad 0.400 < y < 0.700$$



$$10000 < Q^2/\text{GeV}^2 < 20000$$

$$3550 < Q^2/\text{GeV}^2 < 10000$$

$$1780 < Q^2/\text{GeV}^2 < 3550$$

$$1120 < Q^2/\text{GeV}^2 < 1780$$

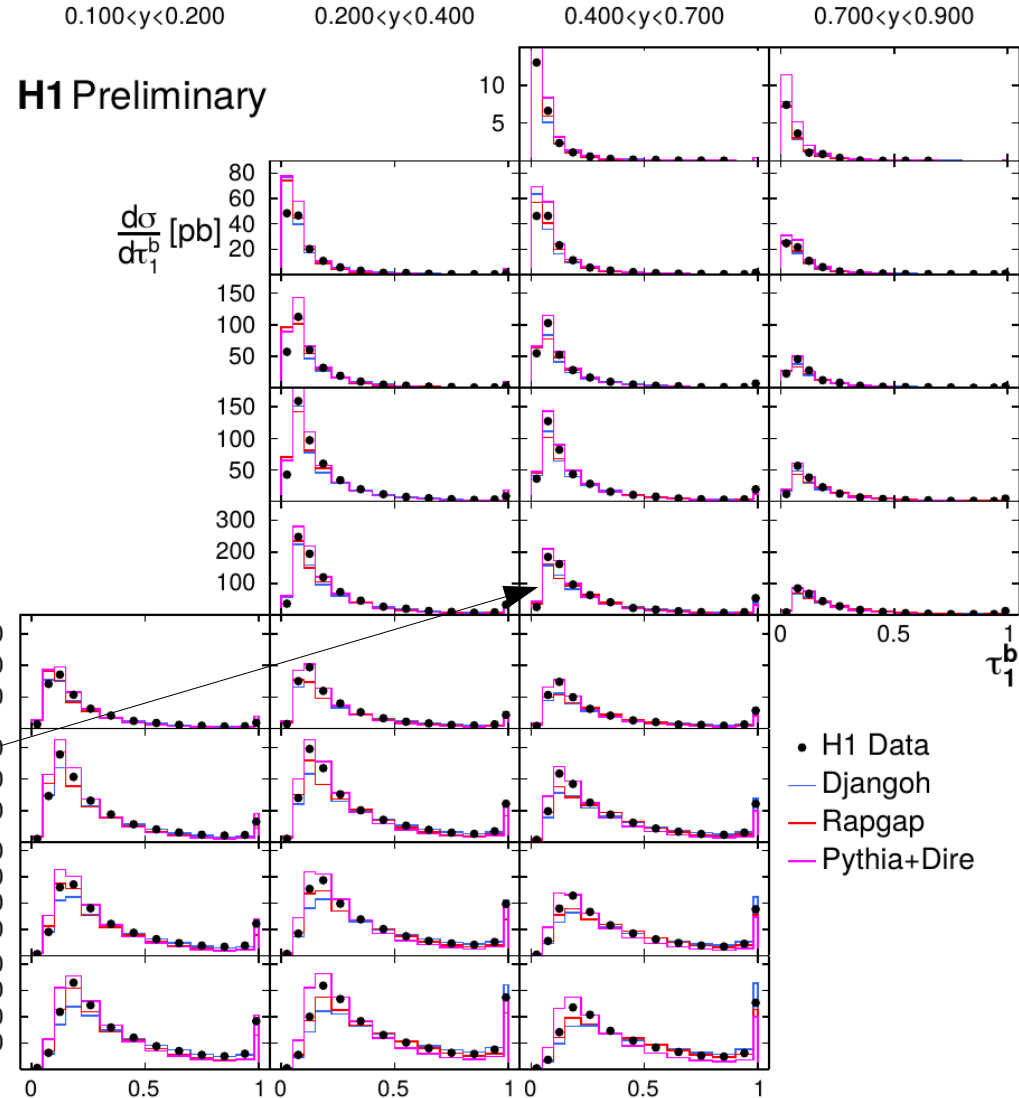
$$708 < Q^2/\text{GeV}^2 < 1120$$

$$447 < Q^2/\text{GeV}^2 < 708$$

$$282 < Q^2/\text{GeV}^2 < 447$$

$$200 < Q^2/\text{GeV}^2 < 282$$

$$150 < Q^2/\text{GeV}^2 < 200$$



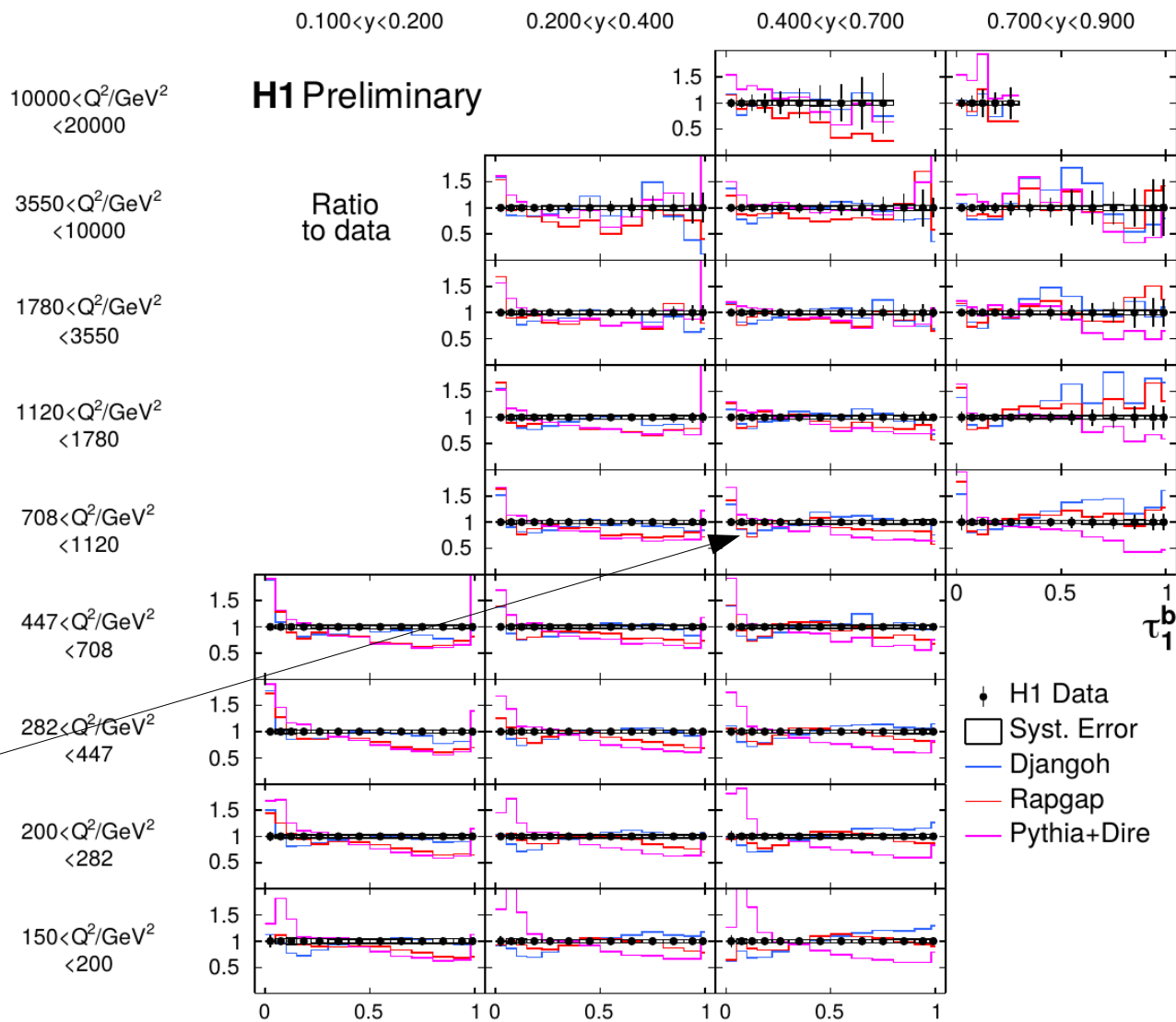
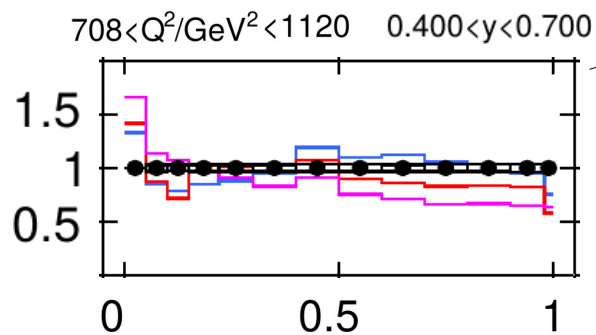
# 3D cross sections

## Ratio to data

- Stat. uncertainties of a few to  $O(10\%)$
- Syst. uncertainties are of the order of 5%

## 'classical' MC models

- Perform reasonably well over entire phase space
- Pythia+Dire similar to Rapgap at low  $y$ , but too large at low  $\tau$



# 3D cross sections

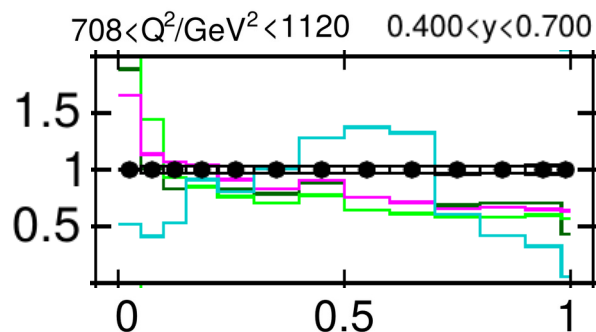
## Comparison with further MC models

- Pythia + Vincia
- Pythia w/ default shower

## Herwig 7.2

- often similar to Pythia, but
- resummation region too low (too low DIS cross section)
- some structure at high  $\tau$

→ See talk by H. Klest for updated HERWIG predictions



10000 <  $Q^2/\text{GeV}^2$  < 20000

3550 <  $Q^2/\text{GeV}^2$  < 10000

1780 <  $Q^2/\text{GeV}^2$  < 3550

1120 <  $Q^2/\text{GeV}^2$  < 1780

708 <  $Q^2/\text{GeV}^2$  < 1120

447 <  $Q^2/\text{GeV}^2$  < 708

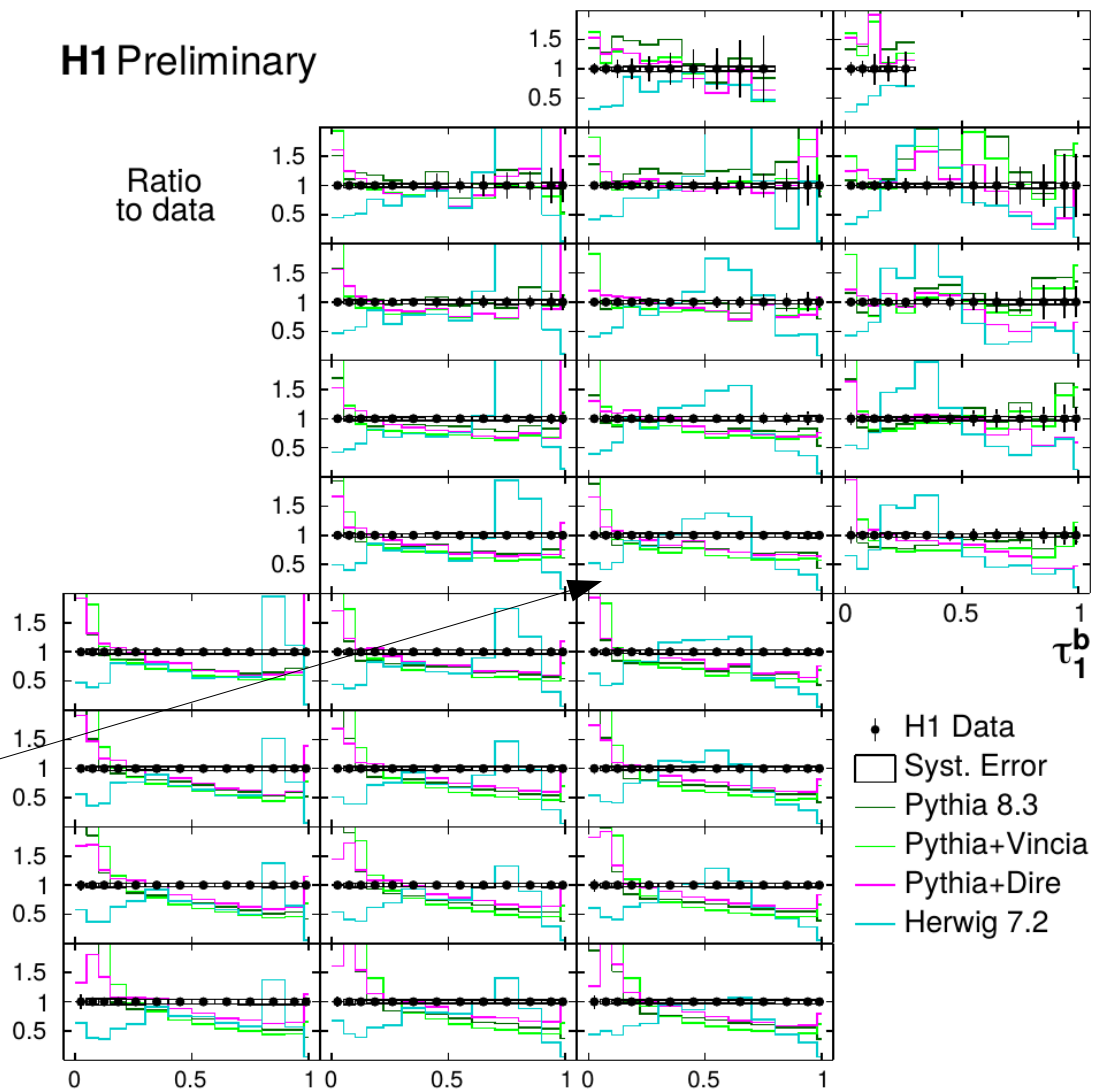
282 <  $Q^2/\text{GeV}^2$  < 447

200 <  $Q^2/\text{GeV}^2$  < 282

150 <  $Q^2/\text{GeV}^2$  < 200

H1 Preliminary

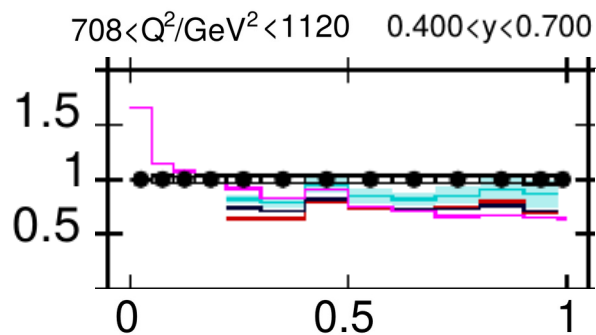
0.100 <  $y$  < 0.200    0.200 <  $y$  < 0.400    0.400 <  $y$  < 0.700    0.700 <  $y$  < 0.900



# 3D cross sections

## NNLO pQCD ( $ep \rightarrow 2$ jets)

- Reasonable description in entire phase space:
- Improved description with increasing  $Q^2$
- small scale uncertainties
- Altogether:  
NNLO improves over NLO  
NP corrections are  $Q^2$  dependent



10000 <  $Q^2/\text{GeV}^2$  < 20000

3550 <  $Q^2/\text{GeV}^2$  < 10000

1780 <  $Q^2/\text{GeV}^2$  < 3550

1120 <  $Q^2/\text{GeV}^2$  < 1780

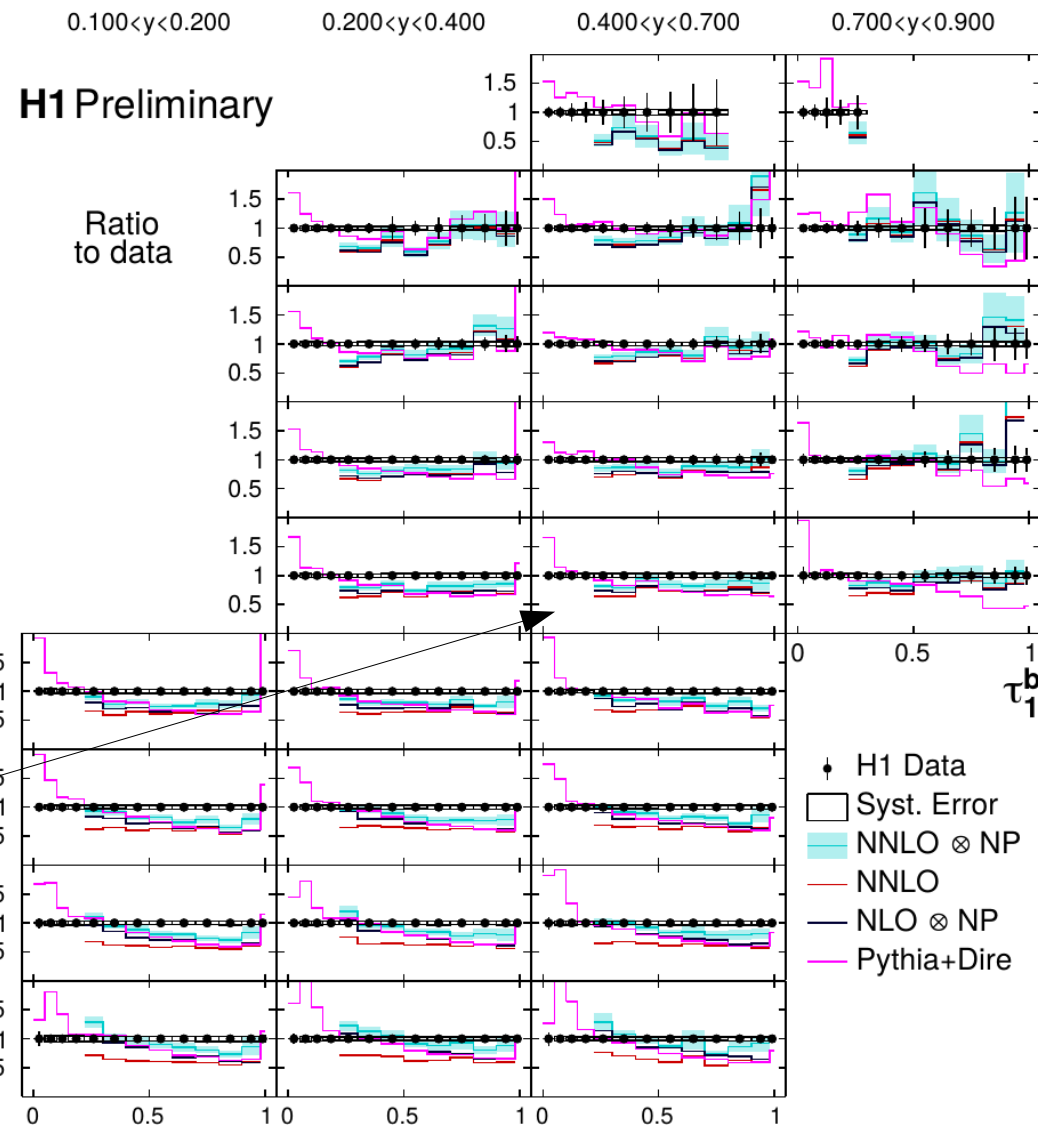
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200 <  $Q^2/\text{GeV}^2$  < 282

150 <  $Q^2/\text{GeV}^2$  < 200



# Classical event shape observables

## Classical event shapes

- Measured at HERA-I by H1 and ZEUS
- No public measurement in HERA-II

Master thesis J. Hessler, TUM, 2021  
<https://www-h1.desy.de/psfiles/theses/h1th-920.pdf>  
<https://inspirehep.net/literature/2010833>

## Definitions

- Thrust  $\tau_z$
- Jet broadening B
- Squared jet mass  $\rho$
- C-parameter

$$\tau_z = 1 - \frac{\sum_{h \in \mathcal{H}_c} |p_{z,h}|}{\sum_{h \in \mathcal{H}_c} |\vec{p}_h|}$$

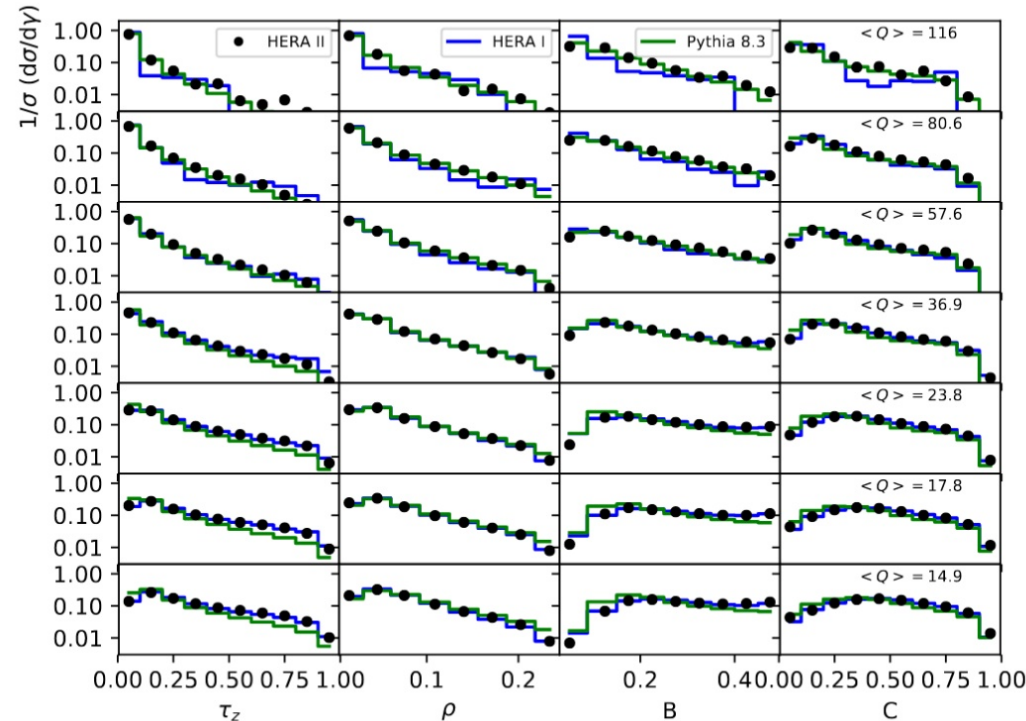
$$B = \frac{\sum_{h \in \mathcal{H}_c} |p_{t,h}|}{2 \sum_{h \in \mathcal{H}_c} |\vec{p}_h|}$$

$$\rho = \frac{(\sum_{h \in \mathcal{H}_c} |p_h|)^2 - (\sum_{h \in \mathcal{H}_c} \vec{p}_h)^2}{(2 \sum_{h \in \mathcal{H}_c} |\vec{p}_h|)^2}$$

$$C = \frac{1}{2} \cdot \frac{3 \sum_{h,h' \in \mathcal{H}_c} |\vec{p}_h| |\vec{p}_{h'}| \sin^2 \vartheta_{hh'}}{(\sum_{h \in \mathcal{H}_c} |\vec{p}_h|)^2}$$

→ only particles in the current hemisphere contribute  
 → Additional cut to ensure infrared safety of observables

$$E_c = \sum_h E_h > Q/10$$



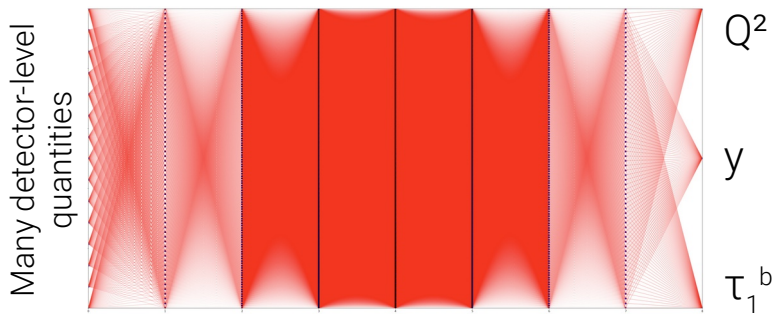


# Further improvements using Machine Learning

- Triple differential measurement of  $Q^2$ ,  $y$  and  $\tau_1^b$ 
  - requires precise measurement of electron and the entire hadronic final state (and also  $x$  for the boost vector)
- With lower  $y$  these measurements become increasingly difficult

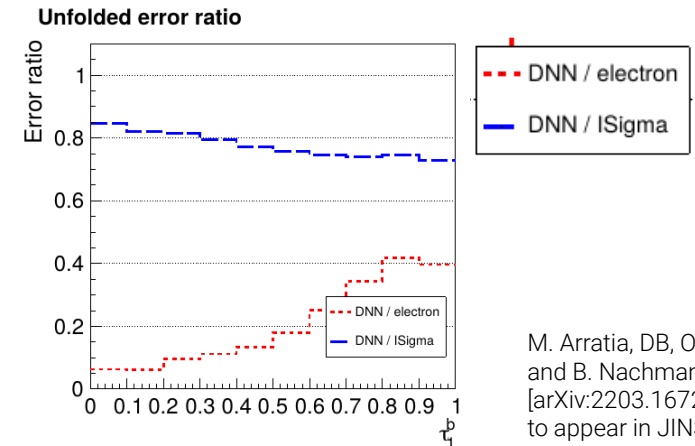
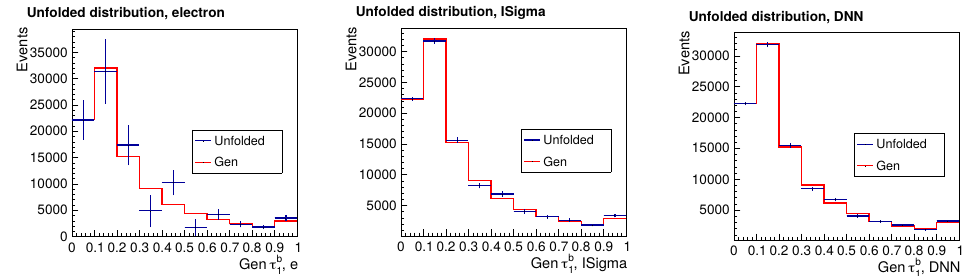
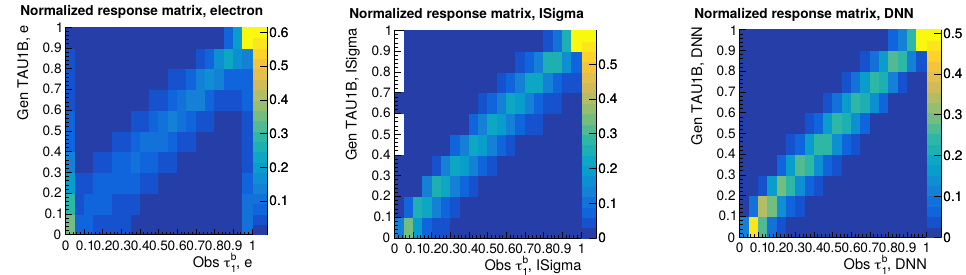
## Possible improvement with a deep neural network

Use a **deep neural network** to reconstruct  $Q^2$ ,  $y$  and  $\tau_1^b$  using the entire event information in an optimal way



- Possibility to enlarge the accessible phase space
- Lower uncertainties of unfolded cross sections

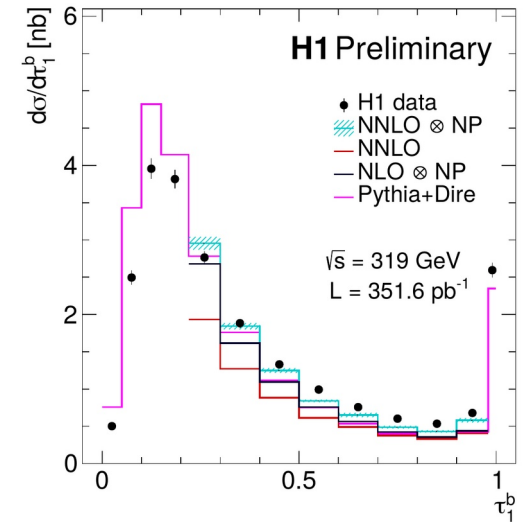
More details about the DNN by O. Long on Friday



M. Arratia, DB, O. Long, and B. Nachman [arXiv:2203.16722] to appear in JINST

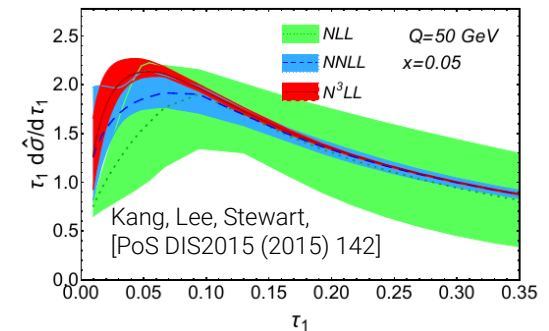
# Summary and outlook

- A first measurement of the 1-jettiness event shape observable in NC DIS was presented:  $\sqrt{s}=319$  GeV,  $Q^2>150\text{GeV}^2$ ,  $0.1 < y < 0.9$ ,  $0 \leq \tau \leq 1$
- 1-jettiness is equivalent to DIS thrust normalised with  $2/Q$   
→  $\tau_{1^b}$  is defined for every NC DIS event
- 'Classical' Monte Carlo models provide a good description of the data
- Modern Monte Carlo models provide a reasonable description
- NNLO fixed order predictions ( $ep \rightarrow 2\text{jets}$ ) provide good description in the region of validity, but hadronisation corrections are large



## Outlook

- N3LL and N3LO DIS predictions need to be confronted with data
- sensitivity to  $\alpha_s$  and PDFs need to be explored
- Data will become useful for improving (DIS) MC generators
- Determine the generalized structure functions  $F_1(Q^2, x, \tau)$  and  $F_L(Q^2, x, \tau)$
- Many more event shape quantities can be measured ( $\tau_{1^a}$ ,  $\tau_{1^c}$ ,  $\tau_2$ , jet mass, ...)
- Measurements in diffractive DIS or charged current DIS can be performed





H1prelim-21-032  
July 2021  
Submitted to ISMD2021 and EPS-HEP21 conferences

## Measurement of the 1-jettiness event shape observable in deep-inelastic electron-proton scattering at HERA

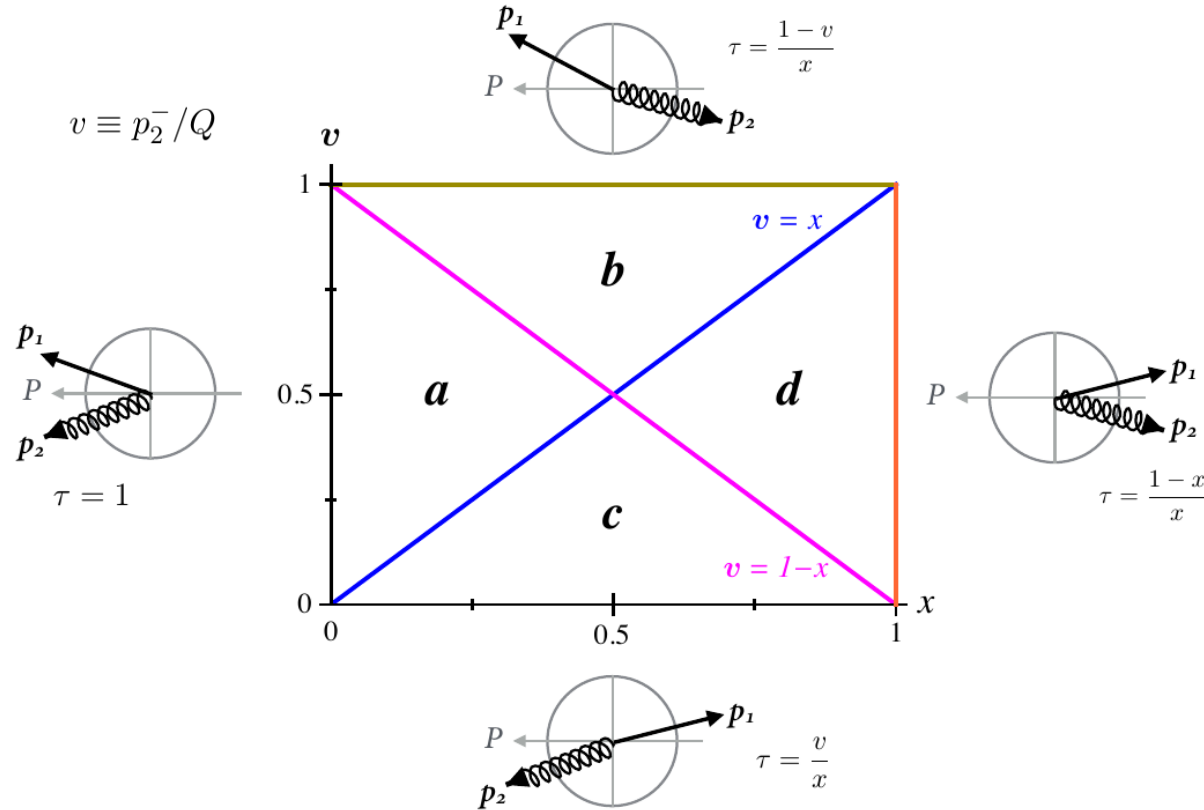
H1 Collaboration

### Abstract

A first measurement of the 1-jettiness event shape observable in neutral-current deep-inelastic electron-proton scattering is presented. The 1-jettiness observable  $\tau_b^1$  is defined such that it is equivalent to the thrust observable defined in the Breit frame. The data were taken in the years 2003 to 2007 with the H1 detector at the HERA  $ep$  collider at a center-of-mass energy of 319 GeV and correspond to an integrated luminosity of  $351.6 \text{ pb}^{-1}$ . The triple-differential cross sections are presented as a function of the 1-jettiness  $\tau_b^1$ , the event virtuality  $Q^2$  and the inelasticity  $y$  in the kinematic region  $Q^2 > 150 \text{ GeV}^2$ . The data have sensitivity to the parton distribution functions of the proton, the strong coupling constant and to resummation and hadronisation effects. The data are compared to selected predictions.

<https://www-h1.desy.de/h1/www/publications/htmlsplit/H1prelim-21-032.long.html>

# Regions of two-body phase space in Breit frame



D. Kang, Ch. Lee, I. W. Stewart  
 JHEP 11 (2014) 132 [arXiv:1407.6706]