

Diffraction Physics at the HERA Collider

... a review illustrated by recent results



HERA
Paul Newman
Birmingham University



Workshop on Forward Physics and High
Energy Scattering at Zero Degrees

PETRA
Nagoya, Japan
Tuesday 26 September 2017



Last Time I was in Japan (DIS'06, Tsukuba)

Diffractive Cross Sections and Parton Densities from Rapidity Gap and Leading Proton Measurements

P.Newman (Birmingham) for the H1 Collaboration

Measurement and QCD Analysis of the Diffractive Deep-Inelastic Scattering Cross Section at HERA

H1 Collaboration

Abstract

A detailed analysis is presented of the diffractive deep-inelastic scattering process $ep \rightarrow eXY$, where Y is a proton or a low mass proton excitation carrying a fraction $1-x_p > 0.95$ of the incident proton longitudinal momentum and the squared four-momentum transfer at the proton vertex satisfies $|t| < 1 \text{ GeV}^2$. Using data taken by the H1 experiment, the cross section is measured for photon virtualities in the range $3.5 \leq Q^2 \leq 1600 \text{ GeV}^2$, triple differentially in x_p , Q^2 and $\beta = x/x_p$, where x is the Bjorken scaling variable. At low x_p , the data are consistent with a factorisable x_p dependence, which can be described by the exchange of an effective pomeron trajectory with intercept $\alpha_p(0) = 1.118 \pm 0.008$ (exp.) $^{+0.029}_{-0.010}$ (theory). Diffractive parton distribution functions and their uncertainties are determined from a next-to-leading order DGLAP/QCD analysis of the Q^2 and β dependences of the cross section. The resulting gluon distribution carries an integrated fraction of around 70% of the exchanged momentum in the Q^2 range studied. Total and differential cross sections are also measured for the diffractive charged current process $e^+p \rightarrow \beta_e XY$ and are found to be well described by predictions based on the diffractive parton distributions. The dynamics of the ratio of the diffractive to the inclusive neutral current ep cross sections are studied. Over most of the kinematic range studied, this ratio shows no significant dependence on Q^2 at fixed x_p and x or on x at fixed Q^2 and β fixed.

Diffractive Deep-Inelastic Scattering with a Leading Proton at HERA

H1 Collaboration

Abstract

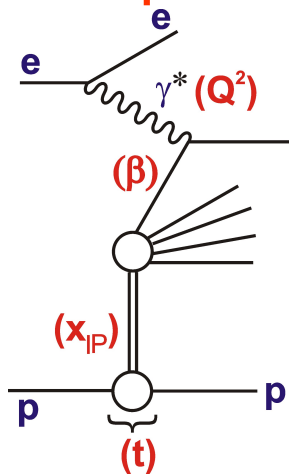
The cross section for the diffractive deep-inelastic scattering process $ep \rightarrow eXp$ is measured, with the leading final state proton detected in the H1 Forward Proton Spectrometer. The data analysed cover the range $x_p < 0.1$ in fractional proton longitudinal momentum loss, $0.08 < |t| < 0.5 \text{ GeV}^2$ in squared four-momentum transfer at the proton vertex, $2 < Q^2 < 50 \text{ GeV}^2$ in photon virtuality and $0.004 < \beta = x/x_p < 1$, where x is the Bjorken scaling variable. For $x_p \lesssim 10^{-2}$, the differential cross section has a dependence of approximately $d\sigma/dt \propto e^{\beta t}$, independently of x_p , β and Q^2 within uncertainties. The cross section is also measured triple differentially in x_p , β and Q^2 . The x_p dependence is interpreted in terms of an effective pomeron trajectory with intercept $\alpha_p(0) = 1.110 \pm 0.018$ (stat.) ± 0.012 (syst.) $^{+0.040}_{-0.030}$ (model) and a sub-leading exchange. The data are in good agreement with an H1 measurement for which the event selection is based on a large gap in the rapidity distribution of the final state hadrons, after accounting for proton dissociation contributions in the latter. Within uncertainties, the dependence of the cross section on x and Q^2 can thus be factorised from the dependences on all studied variables which characterise the proton vertex, for both the pomeron and the sub-leading exchange.

Final results, new for this conference. Everything shown is taken from these two closely related papers.

→ H1 2006 Fit B Diffractive PDFs

The HERA Collider

ep collisions
 at $\sqrt{s} \sim 300 \text{ GeV}$
 1992-2007
 $\sim 0.5 \text{ fb}^{-1}$ per expt.



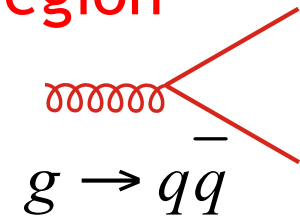
e.g. H1 publications on diffraction (similar numbers in ZEUS):

- Diffractive cross sections: 15 papers
- Diffractive final states: 18 papers
- Quasi-elastic cross sections: 22 papers
- Total cross sections / decomposition: 2 papers

Low x Physics: A Frontier of Standard Model

Gluon density knowledge entirely from inclusive NC HERA data ...

- NC Q^2 dependence in perturbative region driven by ...

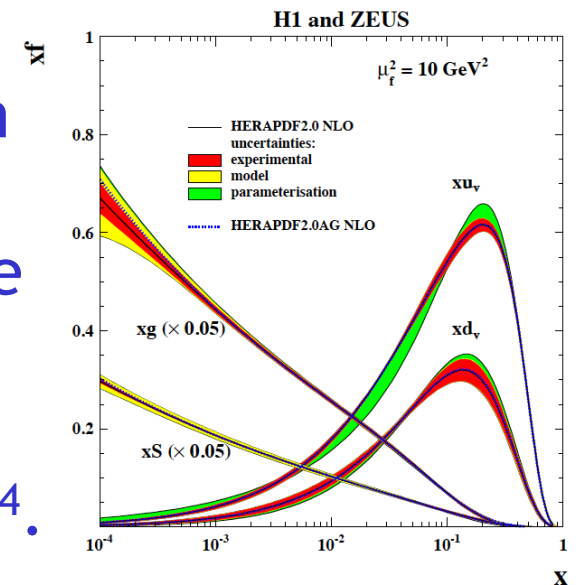
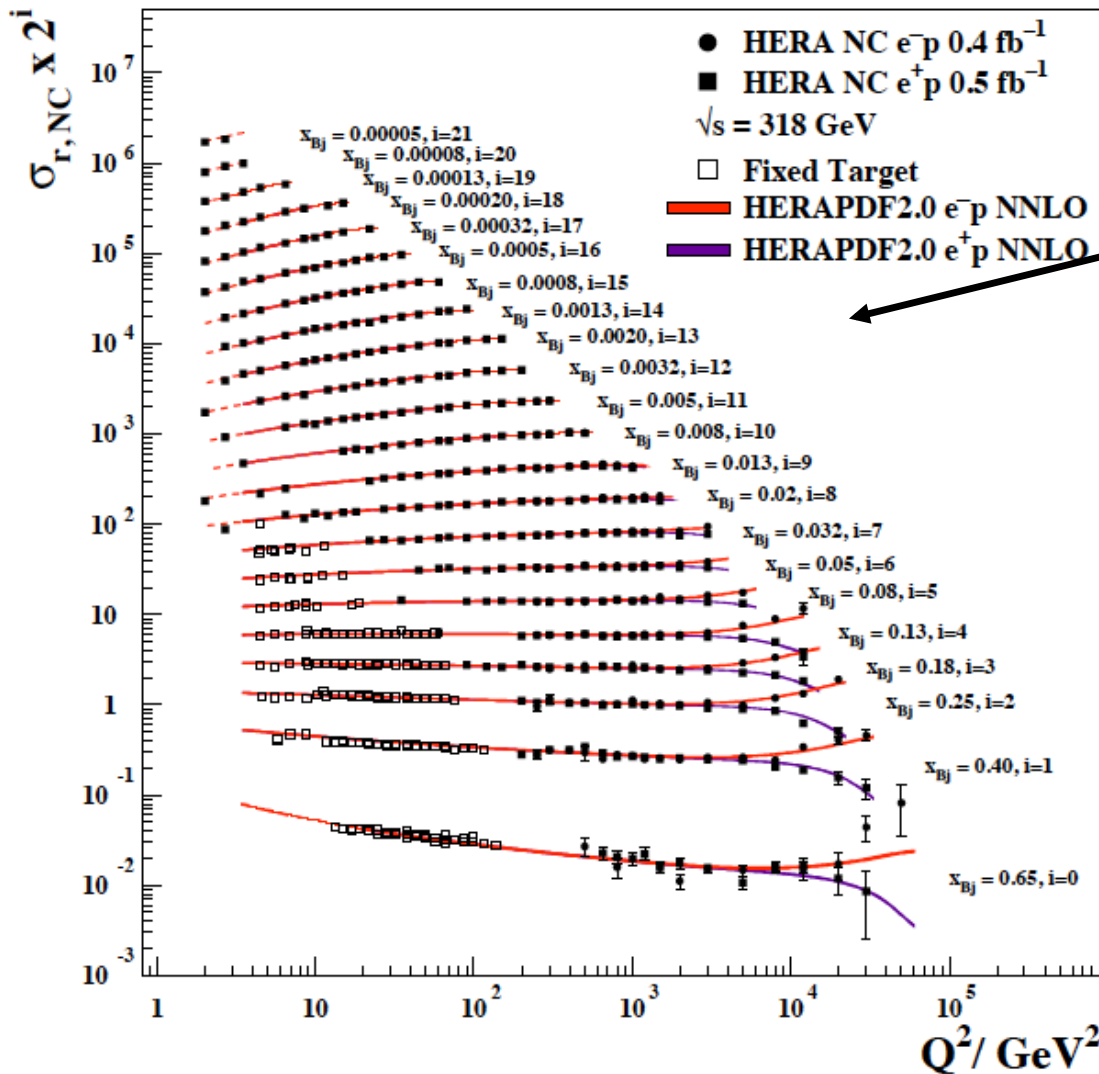


- e.g. Prytz approx:

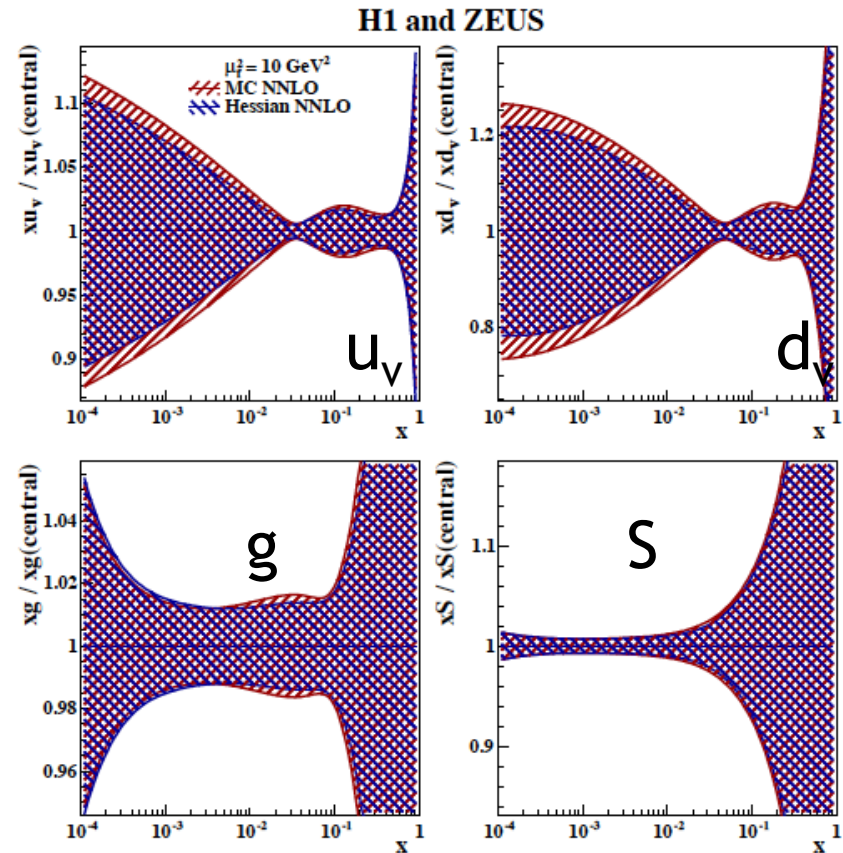
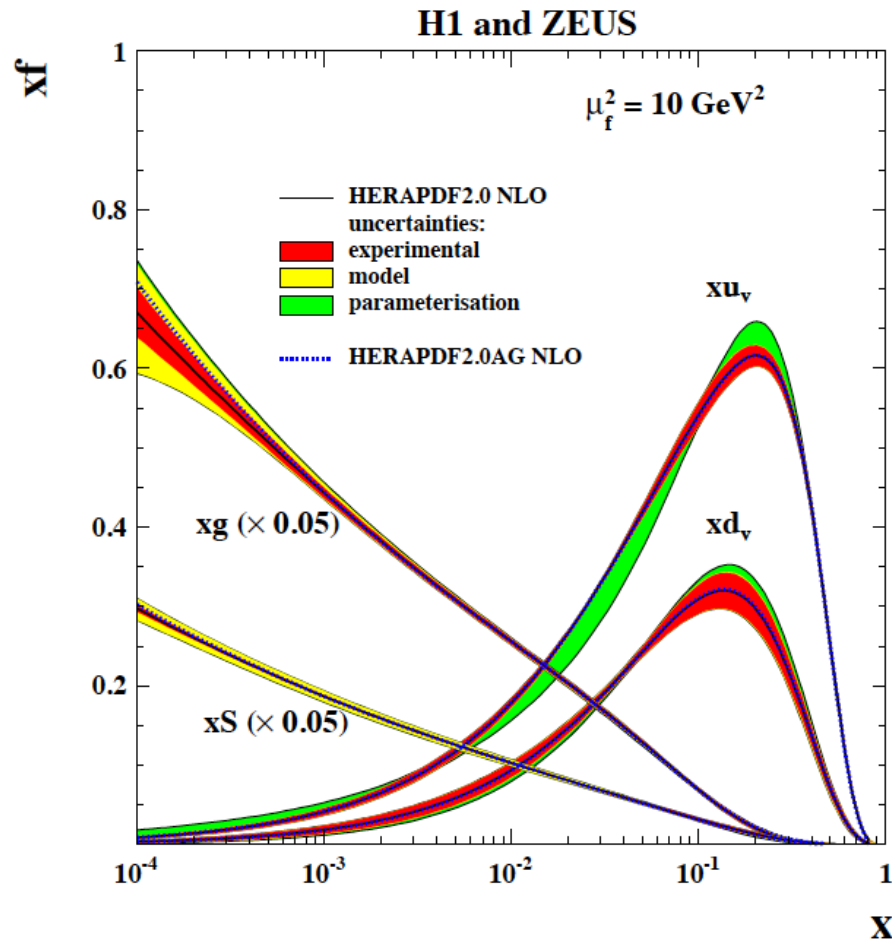
$$\frac{dF_2(x, Q^2)}{d \ln Q^2} \sim G(2x)$$

- needs lever-arm in Q^2 ... reasonable precision only to $x \sim 10^{-3}/10^{-4}$.

H1 and ZEUS



Final HERA Picture of Proton (HERAPDF2.0)

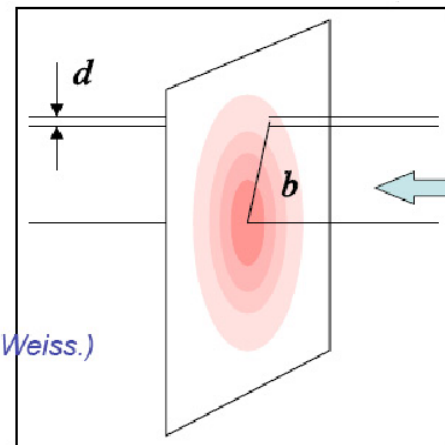
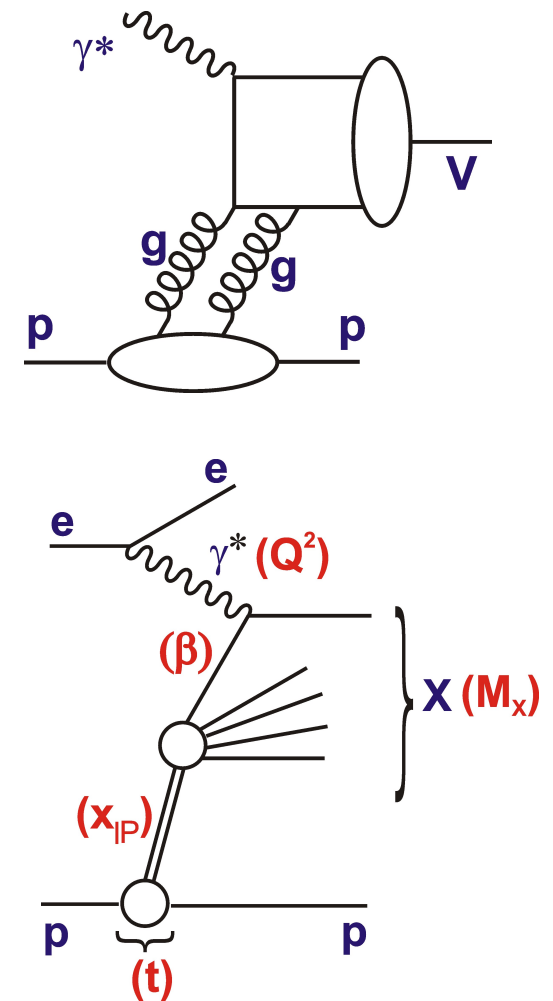


- ~2% precision on gluon over a wide range of x
- Gluon rises in a non-sustainable way
- emergent phenomena at high parton density & strong coupling (including diffraction, non-linear evolution, confinement, mass ...)

Exclusive / Diffractive Channels

- 1) [Low-Nussinov] interpretation as 2 gluon exchange enhances sensitivity to low x gluon (at least for exclusives)
- 2) Additional variable t gives access to impact parameter (b) dependent amplitudes

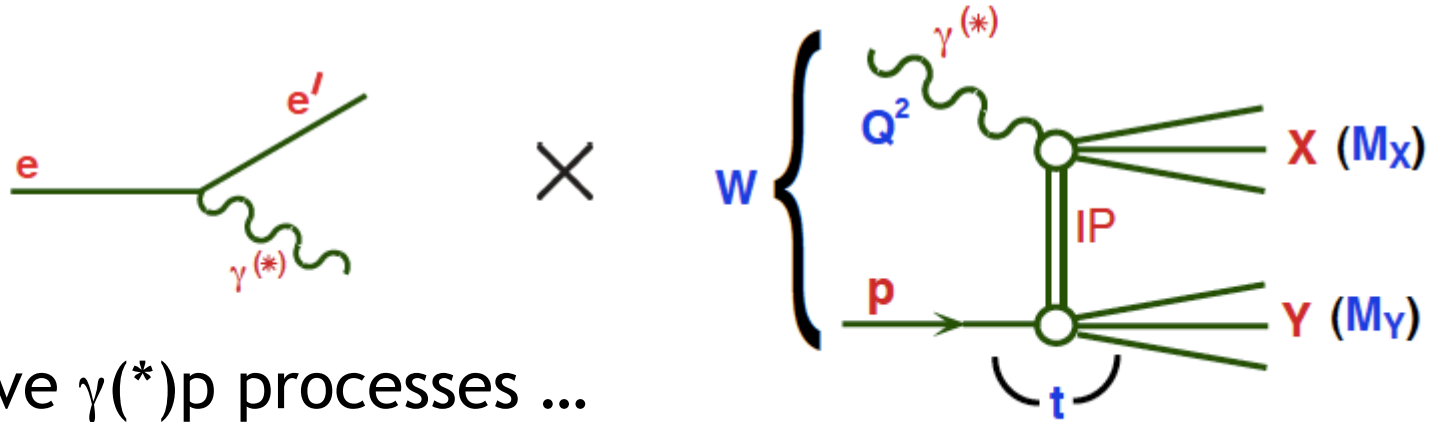
→ Large t (small b) probes densest packed part of proton?



(figure from C. Weiss.)

Central black region growing with decrease of x .

Colour singlet exchange processes at HERA



Diffractive γ^*p processes ...

All 5 of the kinematic variables shown can be measured.

Favourable kinematics to study X system (photon dissociation)

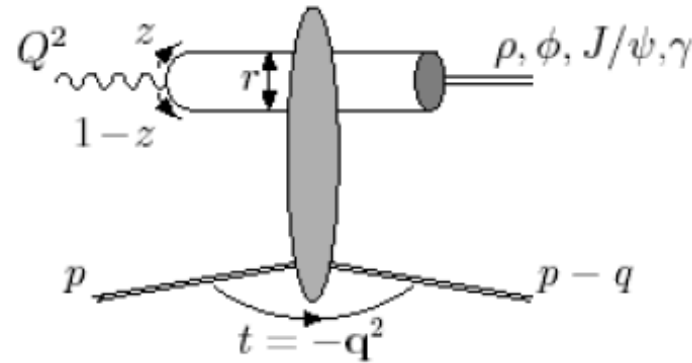
By varying Q^2 , the process can be smoothly changed

- from a soft process (real photon, $Q^2 \rightarrow 0$)
- to a deep inelastic process (highly virtual photon, large Q^2 , resolving partons and probing QCD structure of diffraction)

Exclusive
Vector Meson
Production

Describing Vector Mesons in terms of Partons

Factorisation theorem



Dipole Models

step 1. γ fluctuation into $q\bar{q}$ dipole

step 2. dipole – proton interaction $A = \int dr^2 dz \Psi_\gamma \sigma(dip - p) \Psi_V$

step 3. pair recombination into VM

1. γ wave function

well known : $\Psi(\mathbf{z}, k_t)$

however : large $|t|$ studies \rightarrow chiral odd contributions

- Basically known

3. pair recombination into VM

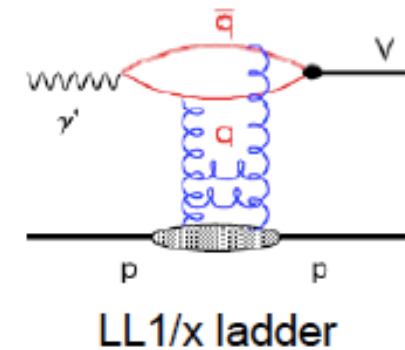
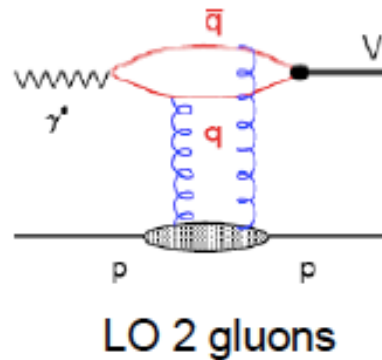
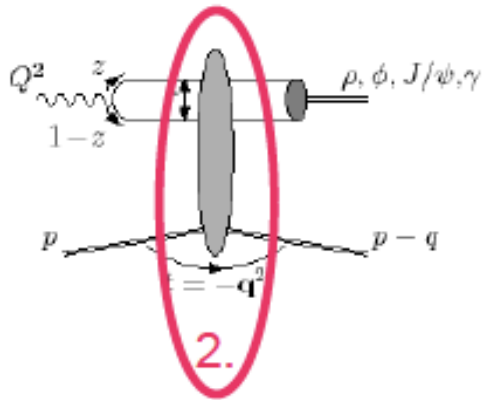
- VM wave function description ?

- role on σ_L / σ_T and helicity amplitudes

- Limits theoretical precision

The Dipole-Proton Interaction

2. dipole – proton interaction - The interesting physics



In principle, VM production is a promising candidate to learn about the gluon distribution in the proton

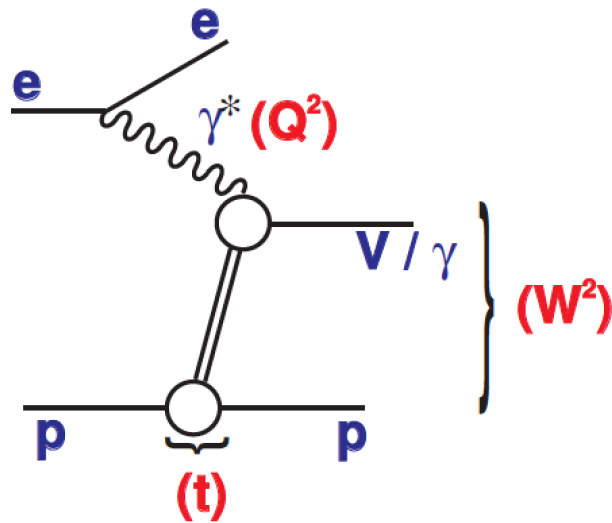
Many models on the details of $\sigma(r)$!

What is the relevant scale?... r depends on Q^2 and M_V^2

$$Q_{\text{eff}}^2 = z (1-z) (Q^2 + M_V^2) \sim (Q^2 + M_V^2) / 4$$

[MRT...]

Vector Mesons & the Soft \rightarrow Hard Transition



Behaviour usually parameterised in Regge-theory motivated form

$$\frac{d\sigma_{el}}{dt} \sim \left(\frac{W^2}{W_0^2} \right)^{2\alpha(t)-2} e^{bt}$$

- $\alpha(t) = \alpha(0) + \alpha' t$ is the effective pomeron trajectory
eg $\alpha(t) \sim 1.08 + 0.25t$ for soft pomeron
- e^{bt} empirically motivated - Fourier transform of spatial distribution of interaction
 $b = b_{\text{dipole}} + b_{\text{proton}} \rightarrow b_{\text{proton}}$ as dipole size $\rightarrow 0$
- Signatures for 'hard' behaviour include increase in $\alpha(0)$,₁₁
decrease in b and (maybe) decrease in α'

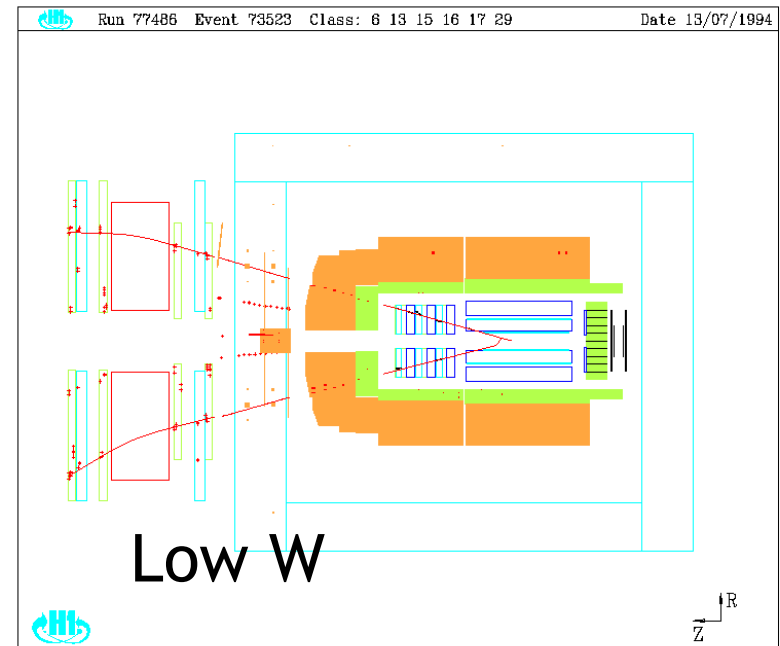
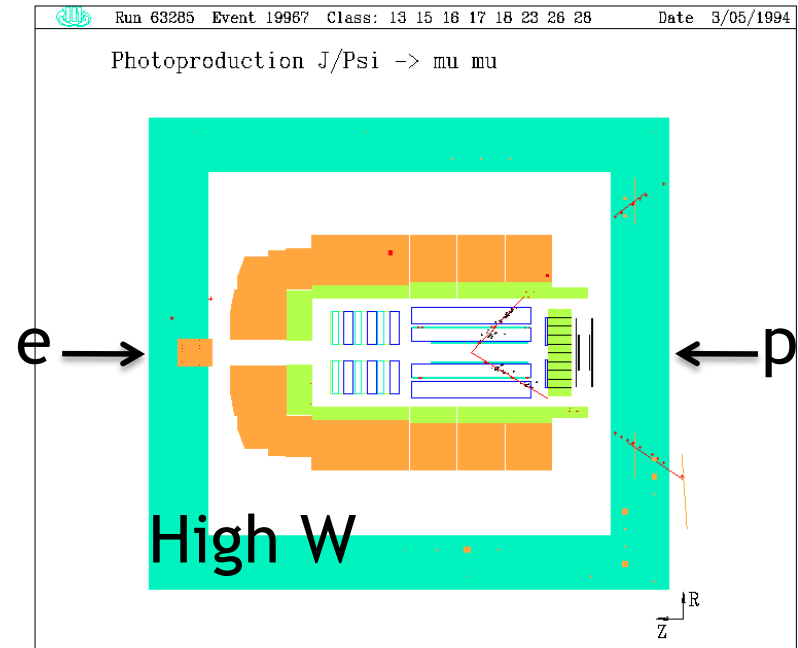
Experimental Selection

2-prong decays give beautifully clean events.

→ Select by requiring otherwise empty detector

→ Decay muon direction is determined by $W = \sqrt{s_{\gamma p}}$

e.g. Elastic $J/\Psi \rightarrow \mu\mu$



Photoproduction of Light v Heavy VM

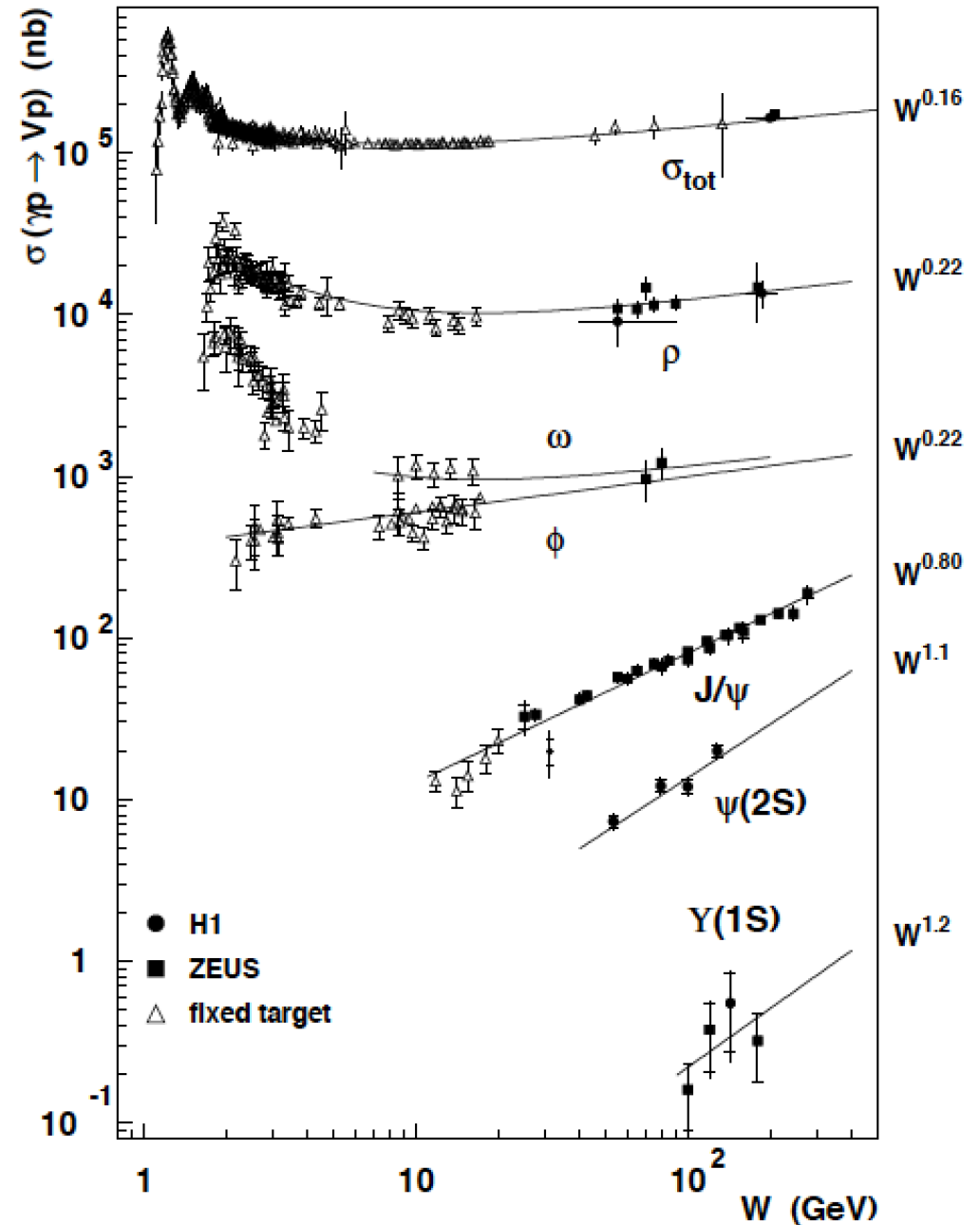
Increasing M_V leads to harder energy dependences

$$\sigma \propto W^\delta \text{ with } \delta = 4\alpha(\langle t \rangle) - 4$$

- Consistent with soft pomeron for light vector mesons

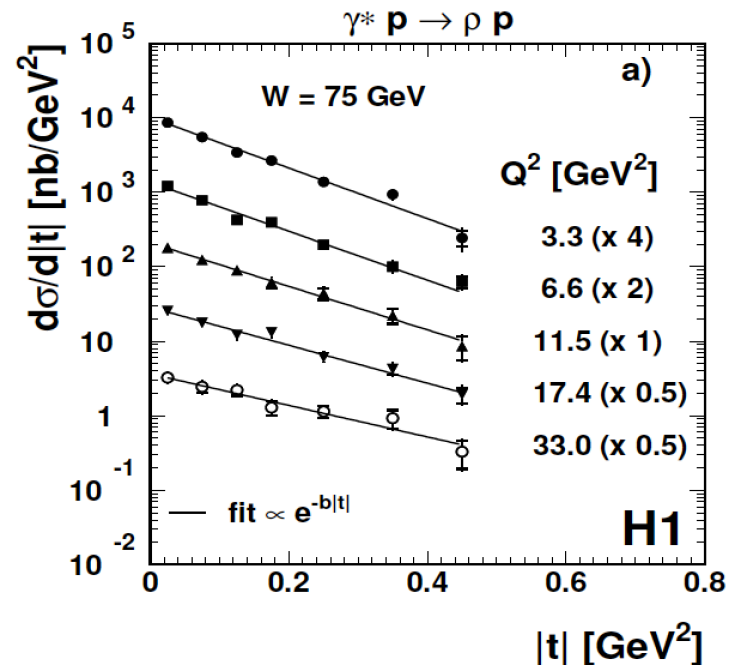
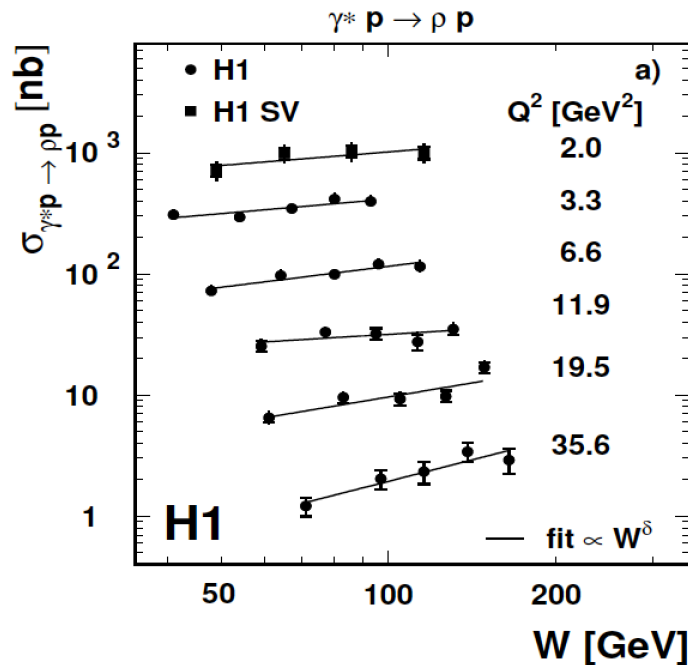
- For J/Ψ , effective $\alpha(t) \sim 1.20 + 0.13t$

... c, b mass implies pQCD already valid for J/Ψ , Y at $Q^2 = 0$



Turning the Q^2 Handle

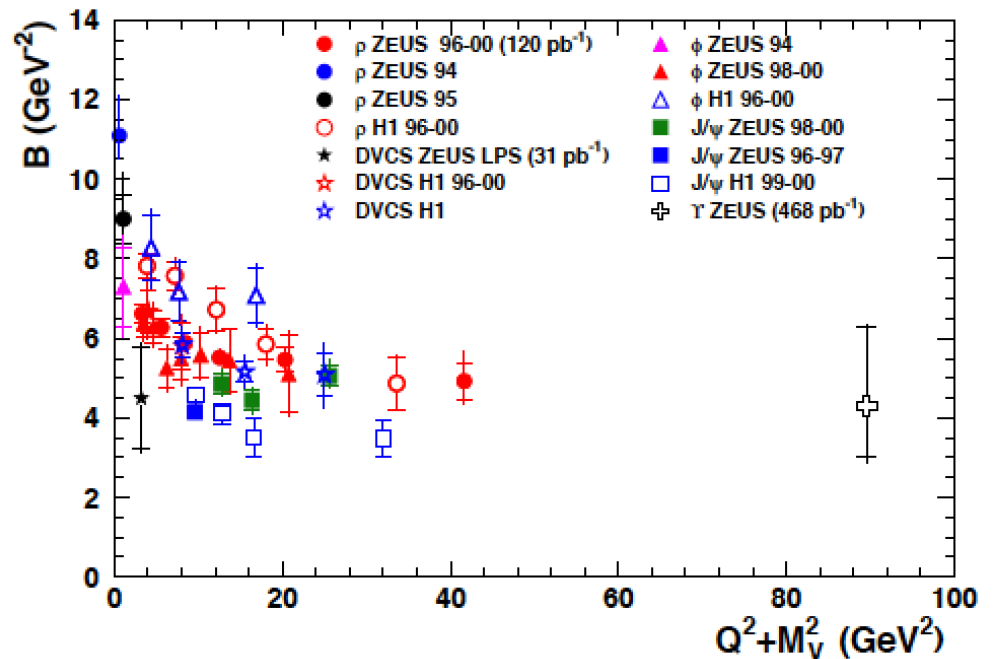
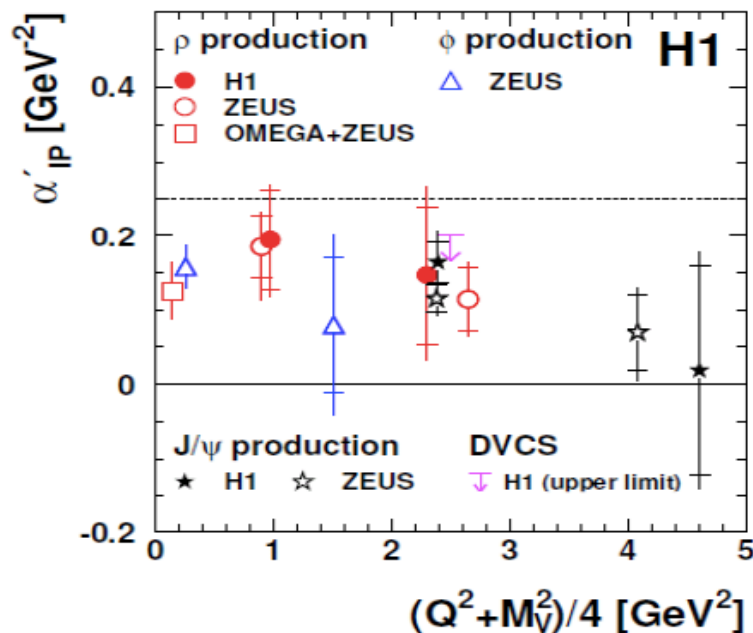
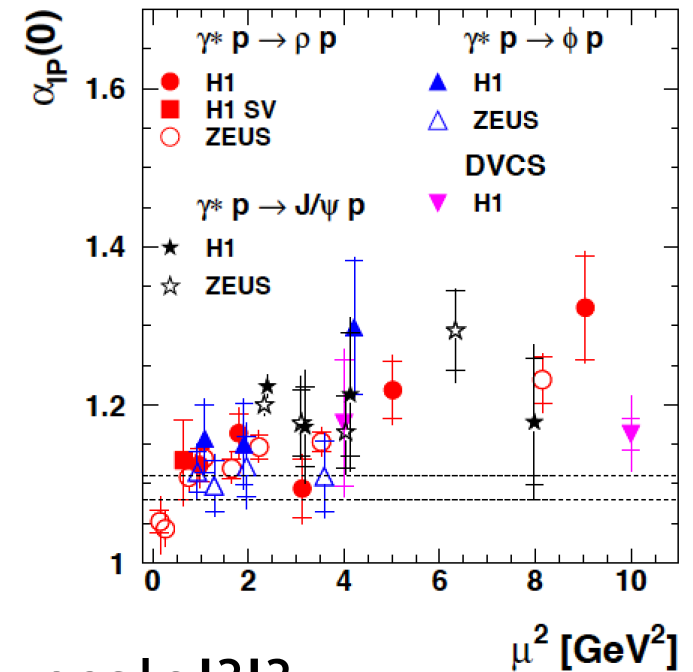
- J/Ψ : W & t dependences ~ unchanged - already hard @ $Q^2=0$
- Light vector meson behaviour evolves from soft to hard



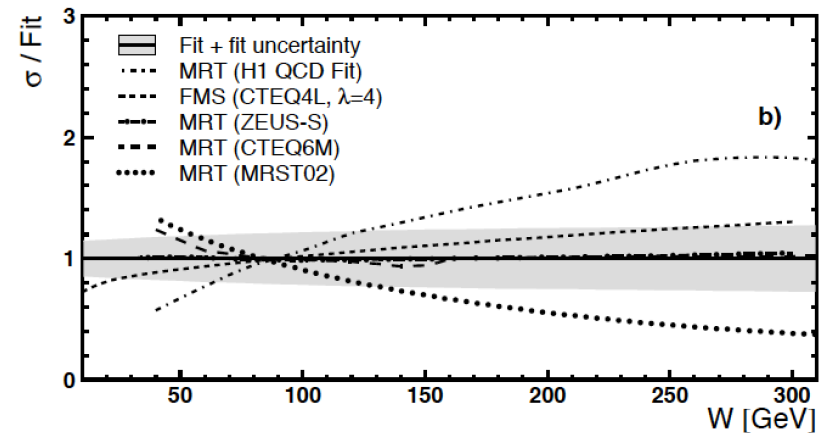
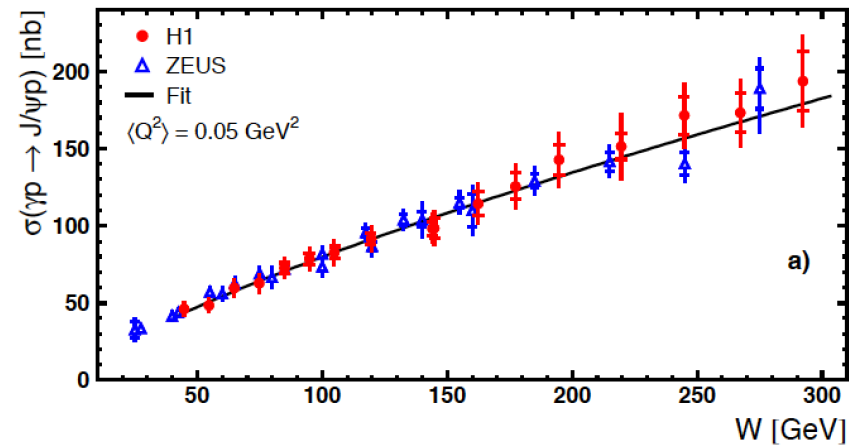
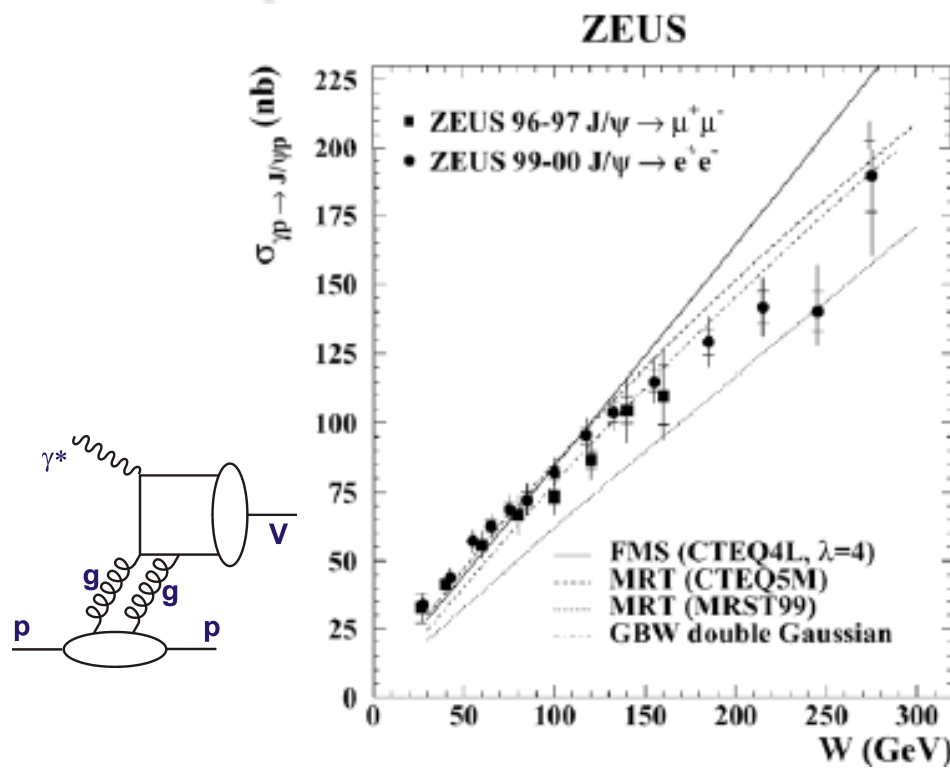
- Vector mesons produced from longitudinal and transverse polarised photons behave slightly differently
- Fast reduction in cross section illustrates higher twist nature of process: $\sigma_L \sim 1/(Q^2 + M_V^2)^{2.1}$, $\sigma_T \sim 1/(Q^2 + M_V^2)^{2.9}$
- ... reasonably well described by dipole (2 gluon) models

VM Characterisation Summary

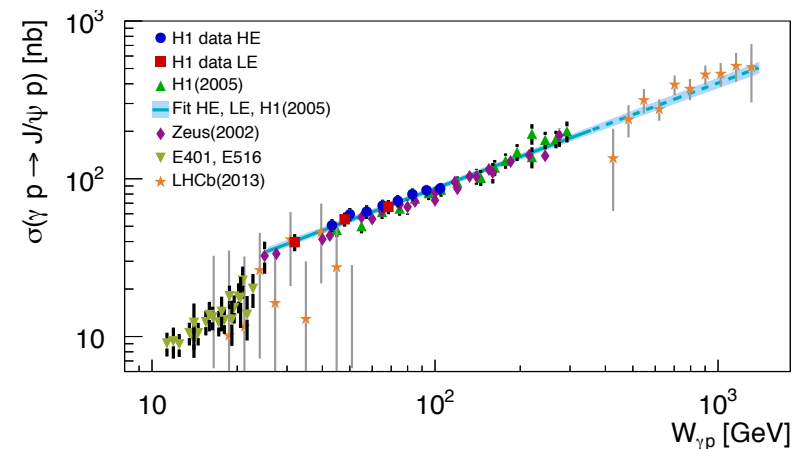
- Approximate scaling between different meson species in $(Q^2 + M_V^2)/4$
- t-slope approaches $B \sim 4-5 \text{ GeV}^{-2} \sim 0.6 \text{ fm}$
... slightly smaller than EM size of proton?
- α' shows no significant variation with any scale!?!?



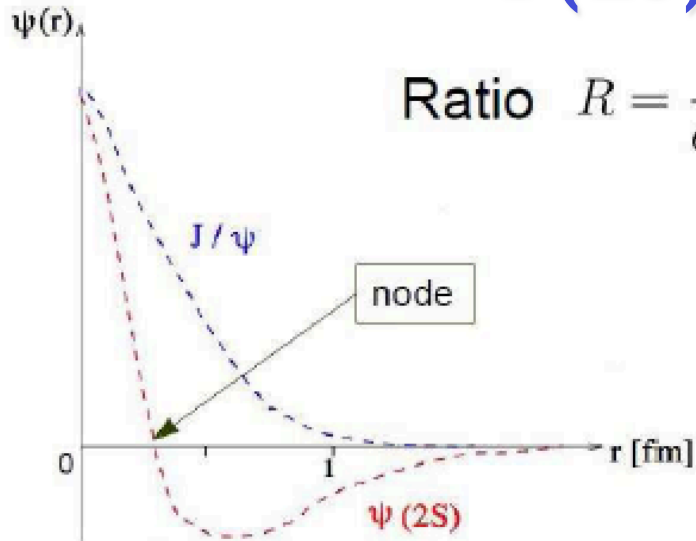
Photoproduction of J/ψ and the Gluon Density



- QCD models based on 2-gluon exchange describe data well & show power to discriminate between PDFs
- Sensitivity limited by theory uncert's (wavefunctions, scales ...)
- Now studied in Ultra-peripheral collisions at the LHC



Testing Understanding of the Wavefunction: $\Psi(2S) / J/\Psi(1S)$ ratio



Ratio $R = \frac{\sigma_{\gamma p \rightarrow \psi(2S)p}}{\sigma_{\gamma p \rightarrow J/\psi p}}$ gives information about the dynamics of hard process

sensitive to radial wave function of charmonium

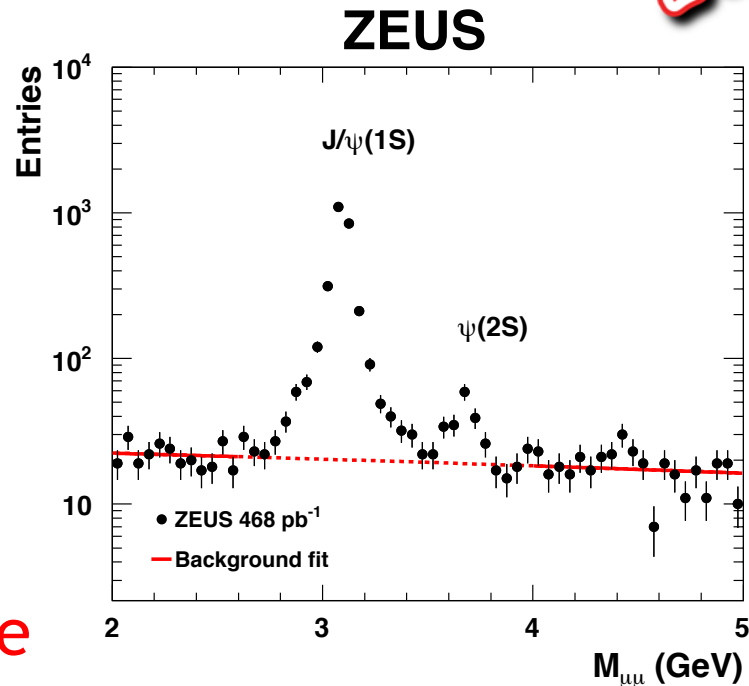
$\psi(2S)$ wave function different from J/ψ wave function:

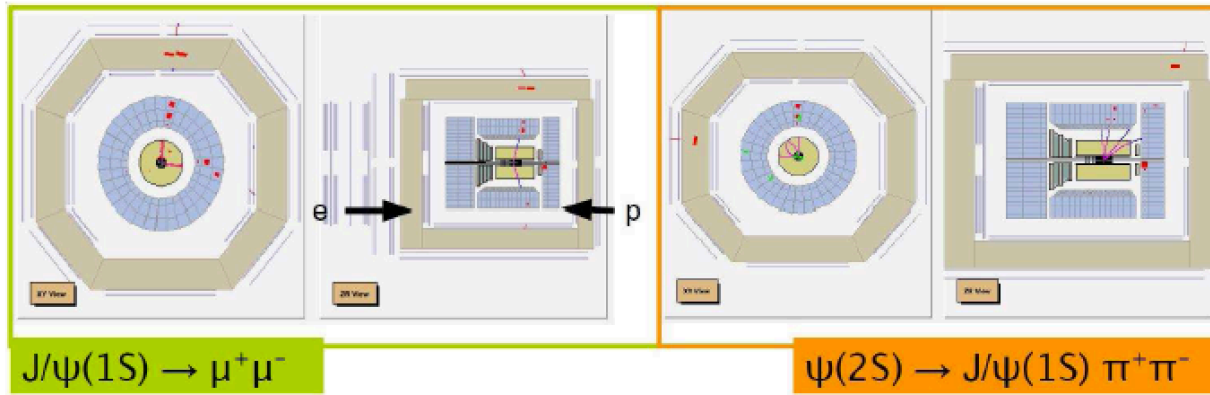
- Has a node at ≈ 0.35 fm
- $\langle r^2_{\psi(2S)} \rangle \approx 2 \langle r^2_{J/\psi(1S)} \rangle$

→ pQCD predicts ratio ~ 0.17 at $Q^2=0$, rising as Q^2 increases and $\langle r \rangle$ probed decreases

→ ZEUS measurement with 468 pb^{-1} sample

NEW!
 (-ish)





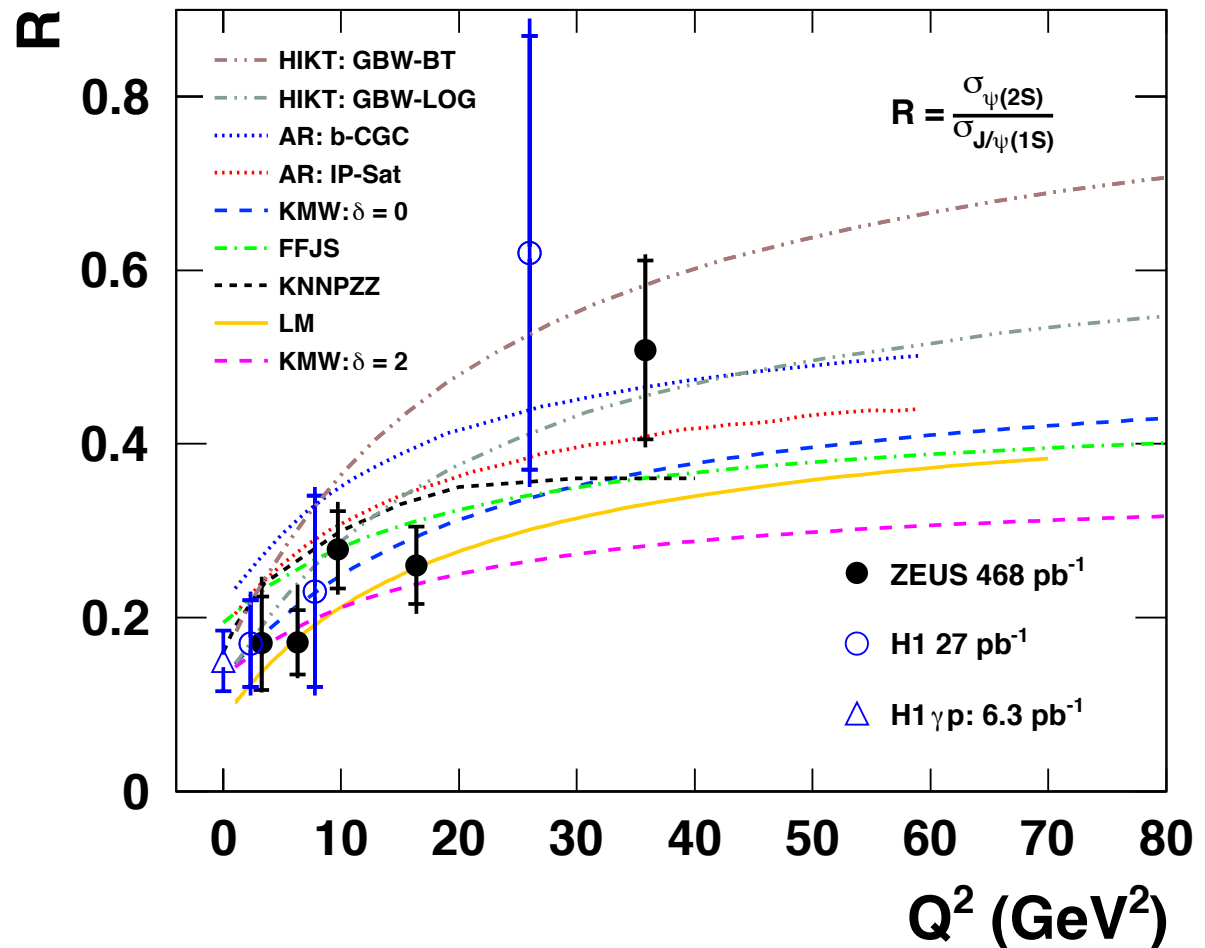
$\Psi(2S) / J/\Psi(1S)$ ratio

NEW!
(-ish)

ZEUS

- Data in qualitative agreement with pQCD models

-Some distinguishing power, though statistically limited at large Q^2

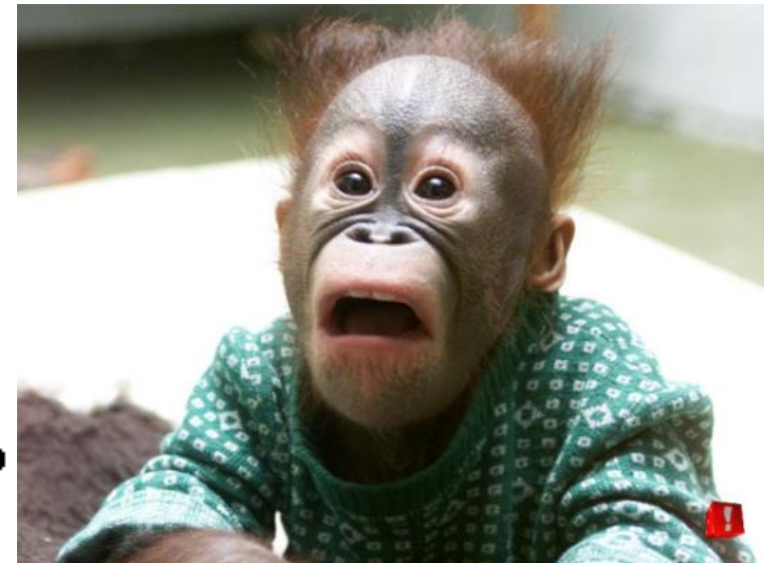
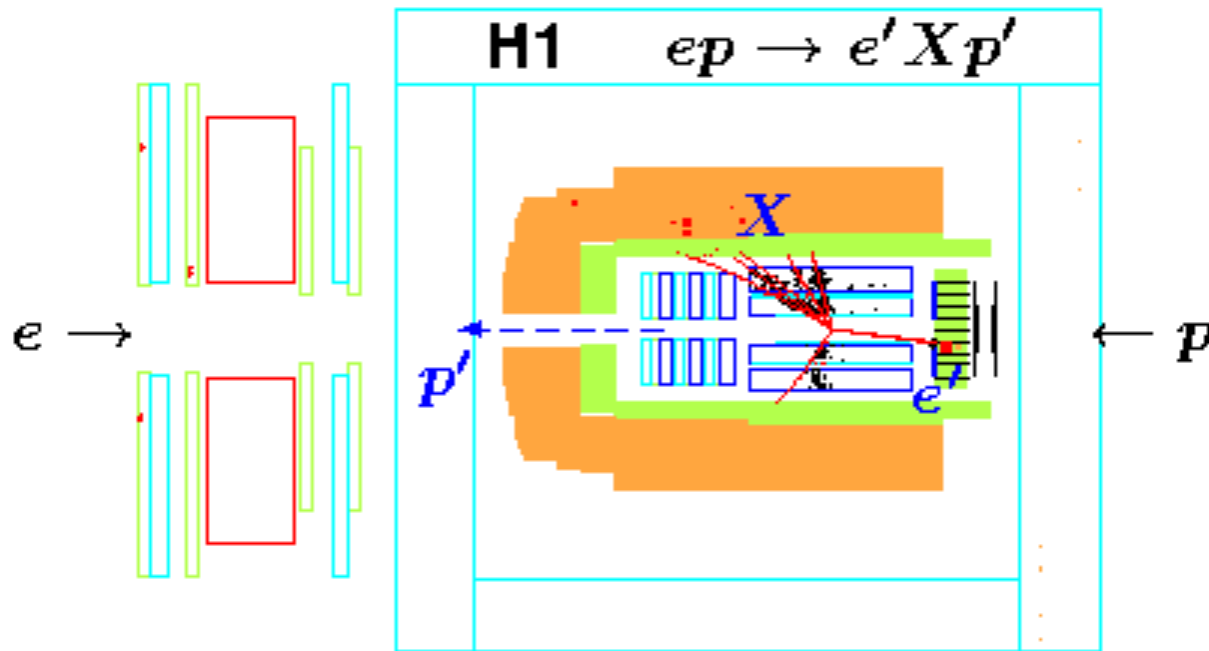
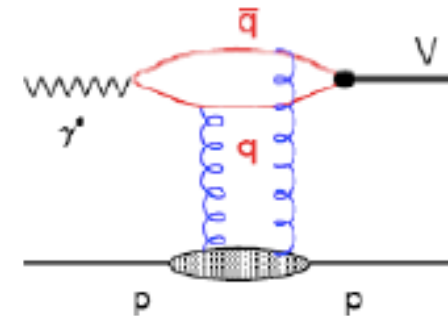


Inclusive
Diffraction in
Deep Inelastic
Scattering

Diffractive DIS

Vector meson production is a 'higher twist' (Q^2 suppressed) process

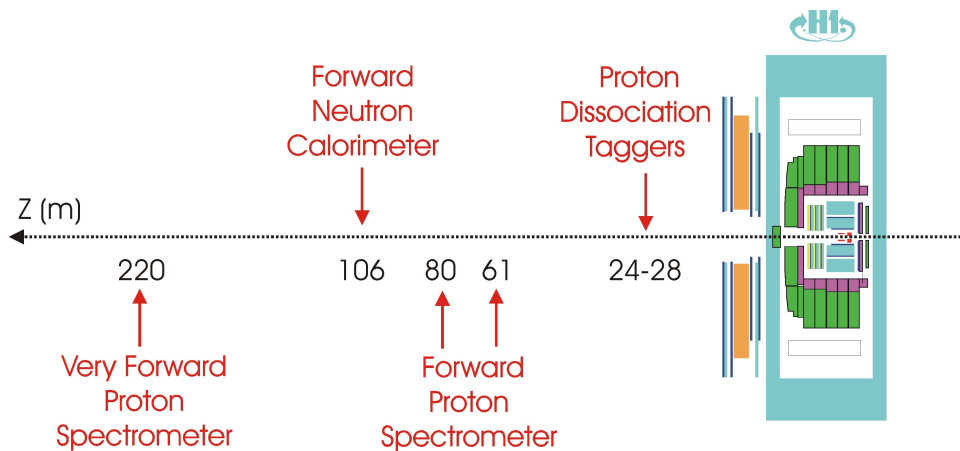
There are 'leading twist' diffractive processes with same Q^2 dependence as the bulk DIS cross section ...



~10% of DIS events
have no forward
energy flow

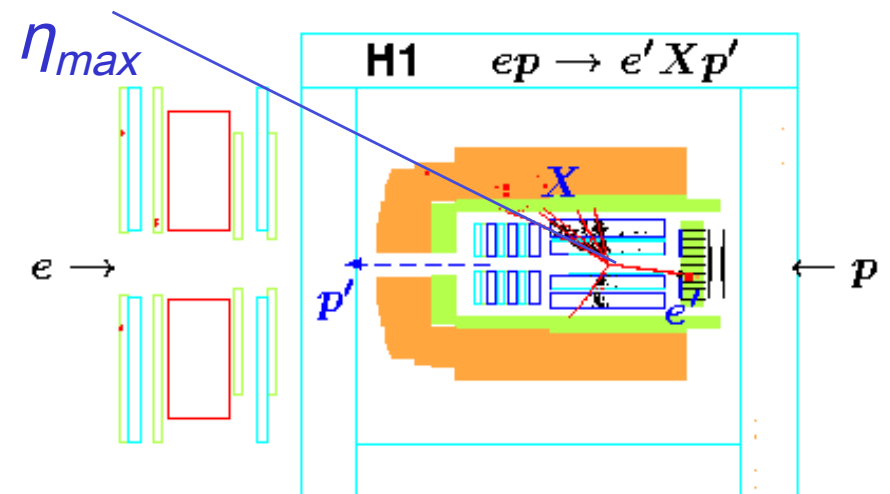
Signatures and Selection Methods

Scattered proton in Leading Proton Spectrometers (LPS)



Limited by statistics and p-tagging systematics

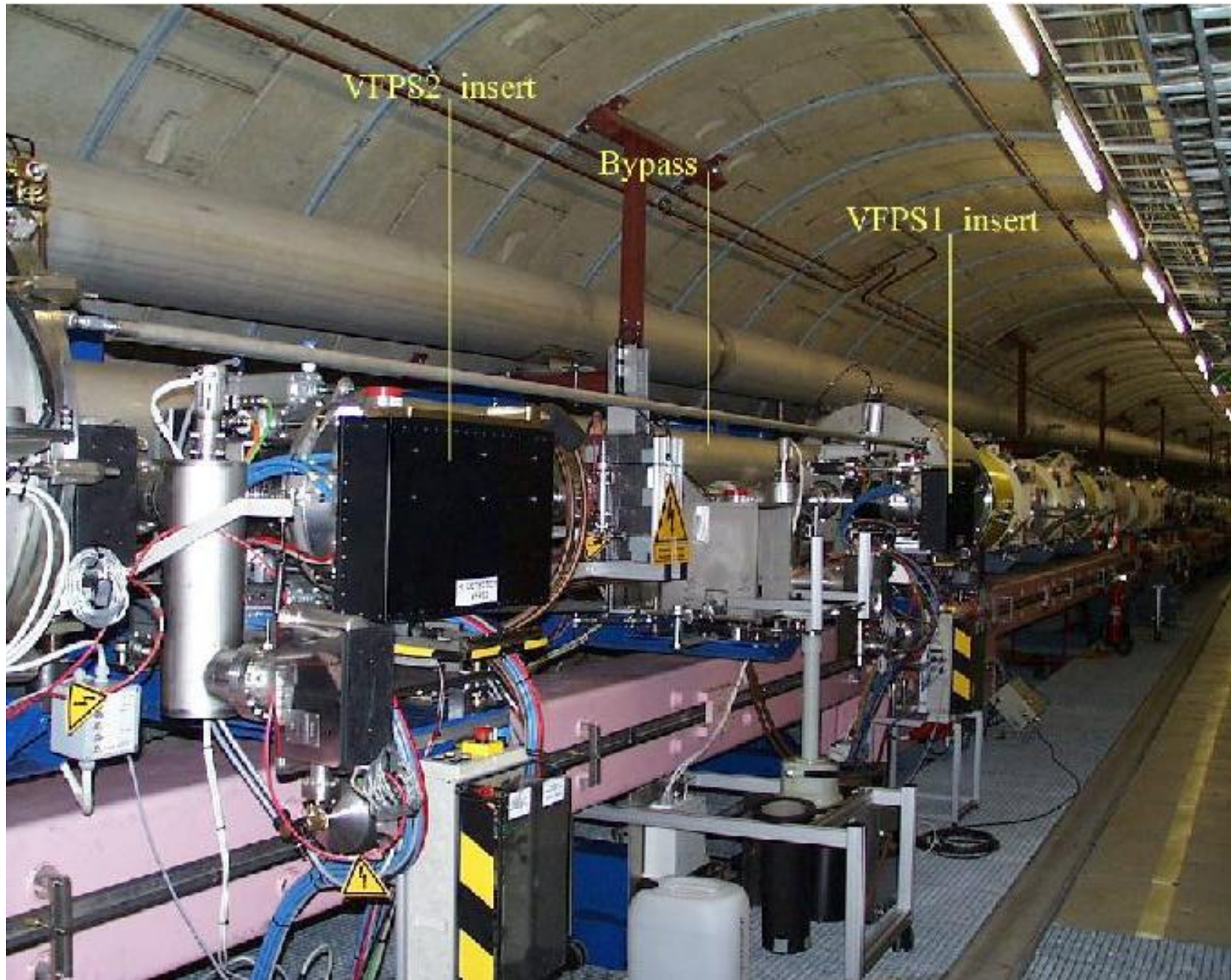
'Large Rapidity Gap' (LRG) adjacent to outgoing (untagged) proton



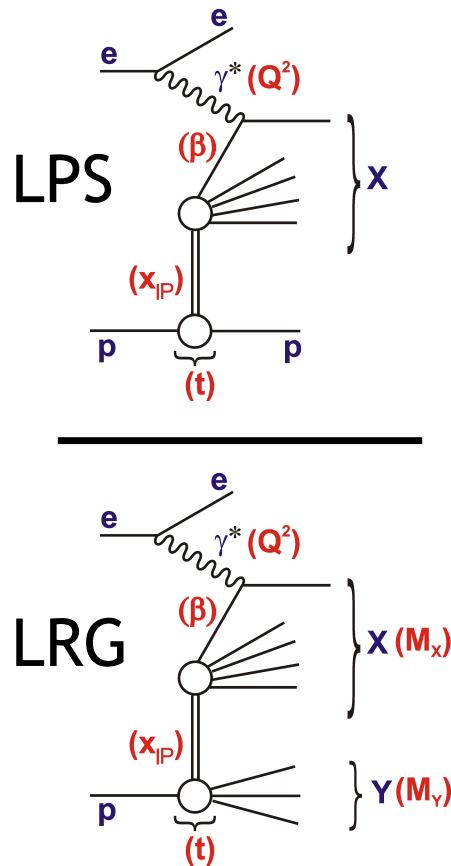
Limited by p-diss systematics

- The 2 methods have very different systematics

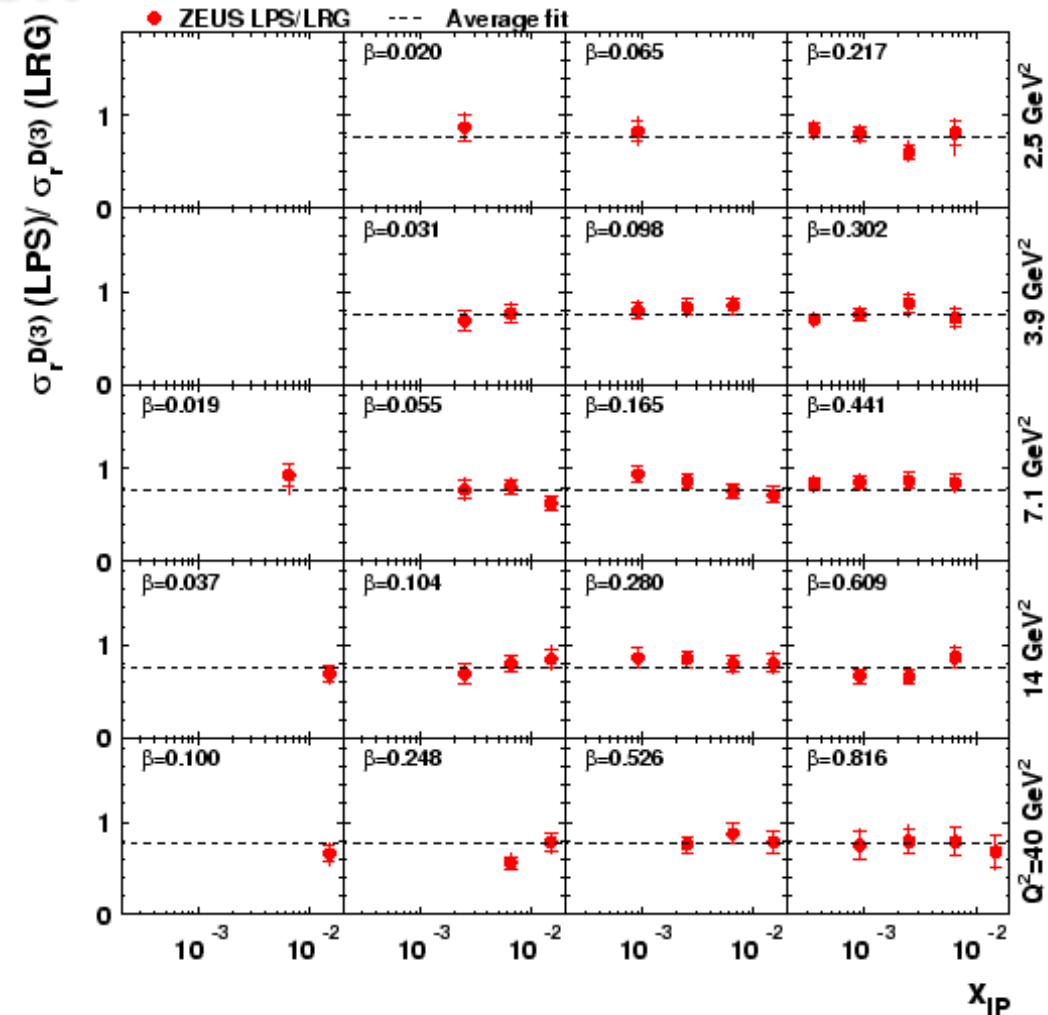
Example Roman Pots (H1 VFPS)



Comparisons between Methods



ZEUS



- LRG selections contain typically 20% p diss
- No significant dependence on any variable
- ... well controlled, precise measurements

Measurements and Observables

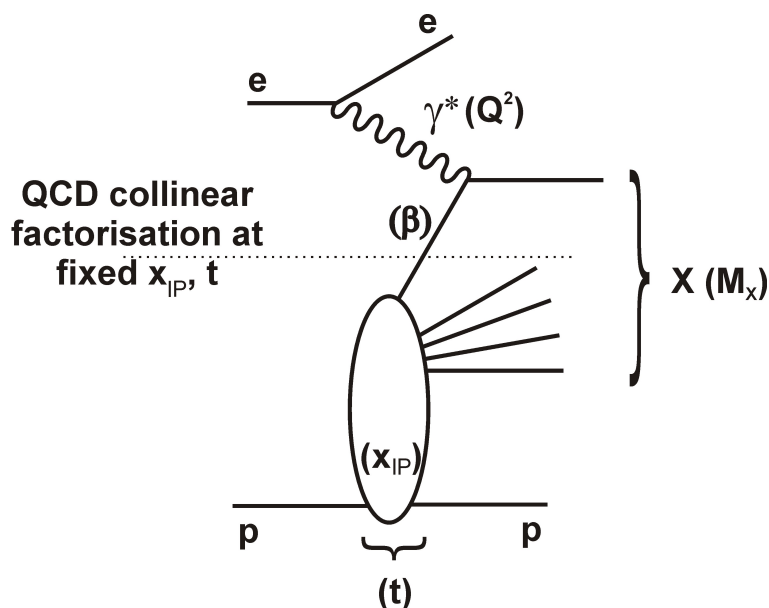
Main observable is the Diffractive ‘reduced cross section’ ...

$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = F_2^{D(3)} - \frac{y^2}{Y_+} F_L^{D(3)} \approx F_2^{D(3)}$$

... cross section (or structure fn.) dependent on 3 variables

... 4 if you also include $t \rightarrow \sigma_r^{D(4)}(\beta, Q^2, x_{IP}, t)$

... can only realistically study 1 (maybe 2) variables at a time!



“Semi-inclusive QCD Factorisation”

$$d\sigma_{\text{parton } i}(ep \rightarrow eXY) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\hat{\sigma}^{ei}(x, Q^2)$$

-i.e. can define

diffractive PDFs (DPDFs), f_i^D ...

- At fixed (x_{IP}, t) , DPDF Q^2 evolution

is same as inclusive PDFs!

A deeper factorisation?

'Proton vertex' factorisation

... completely separate (x_{IP}, t) from (β, Q^2) dependences.

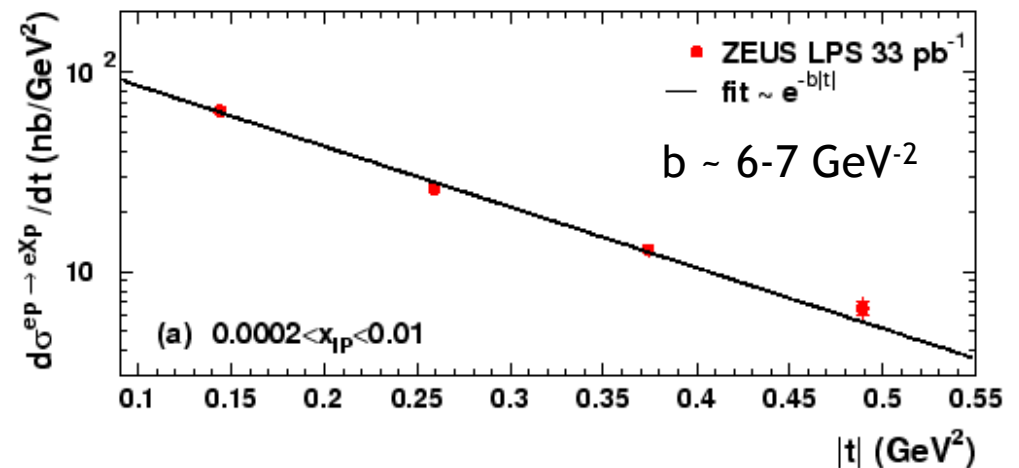
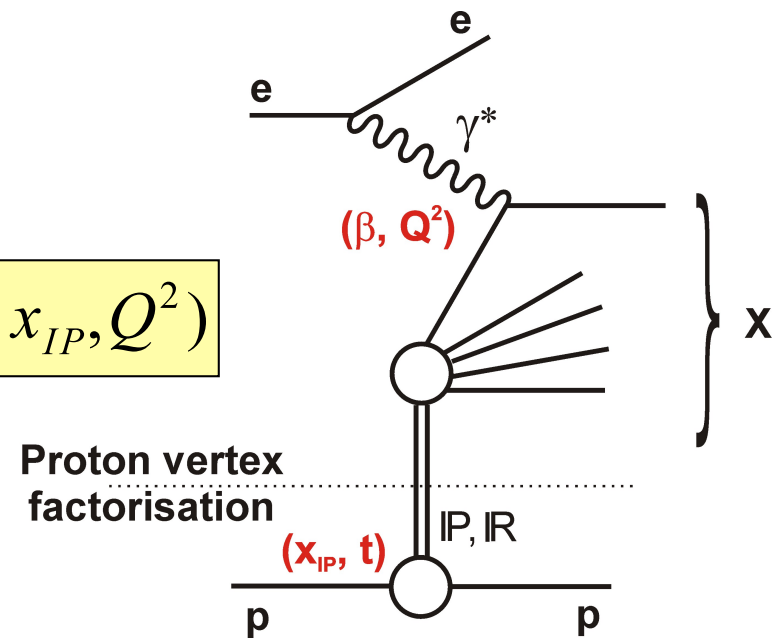
$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

No firm QCD basis, but consistent with all experimental data

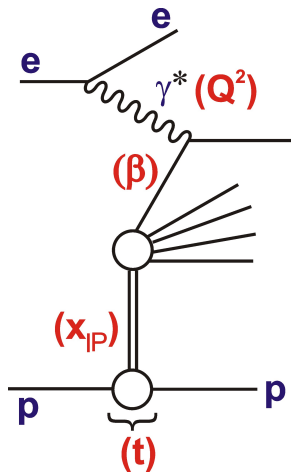
... Regge-based parameterisation works well \rightarrow Ingelman-Schlein

$$f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

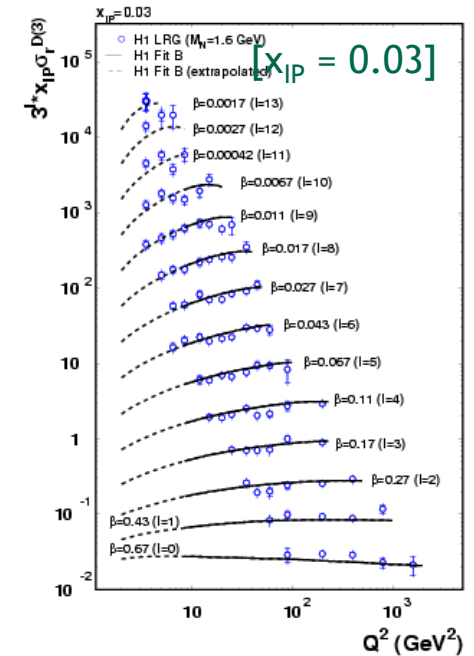
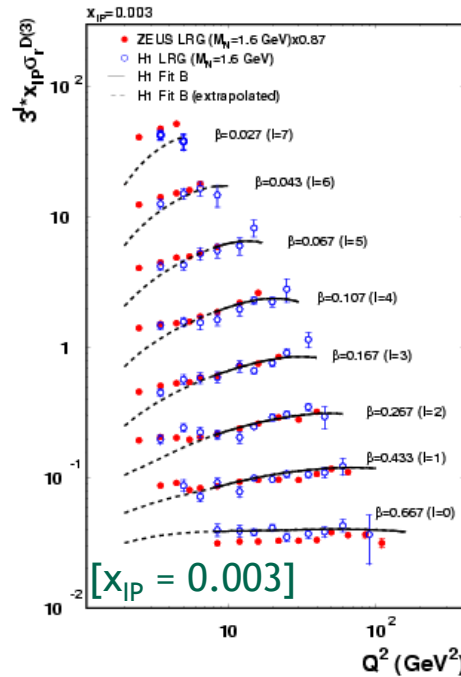
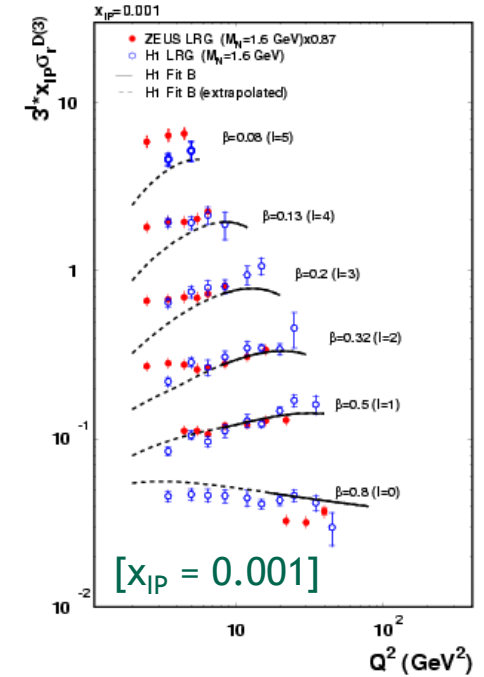
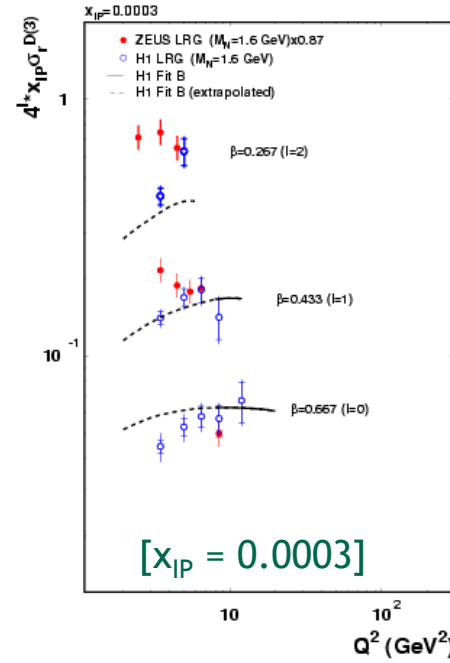
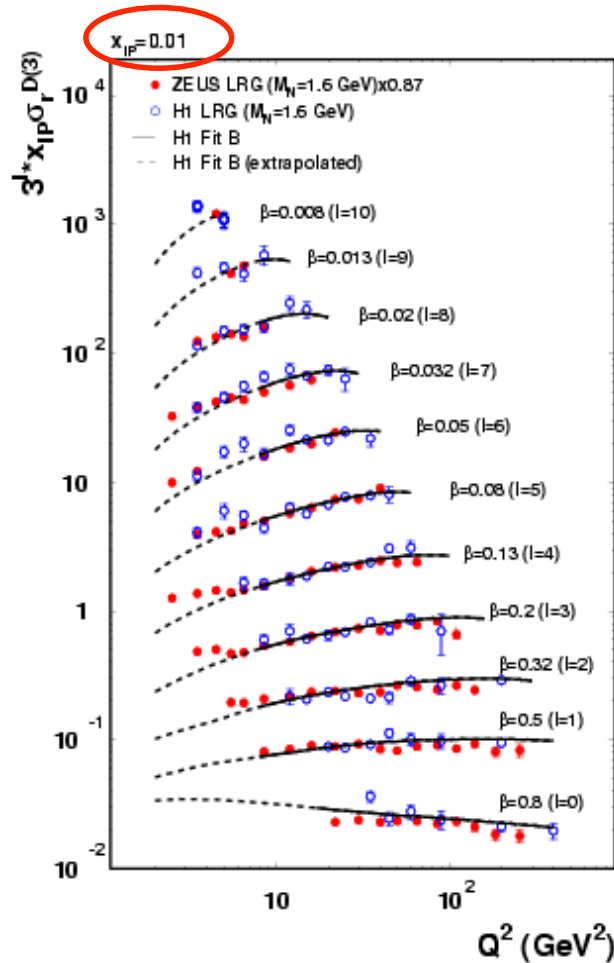
DPDFs f_i^{IP} then measure partonic structure of the exchanged system (IP)



(Some) Inclusive Diffraction Data

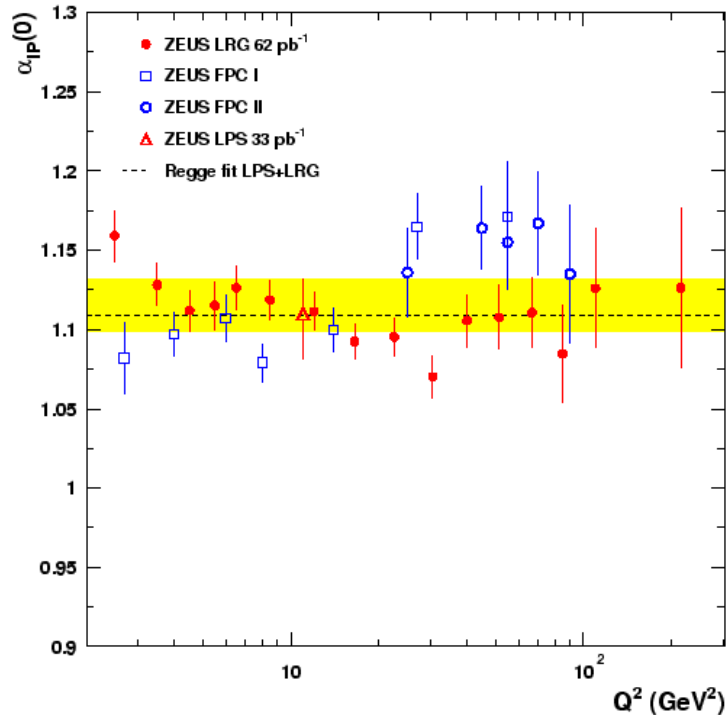


Huge topic with rich outputs

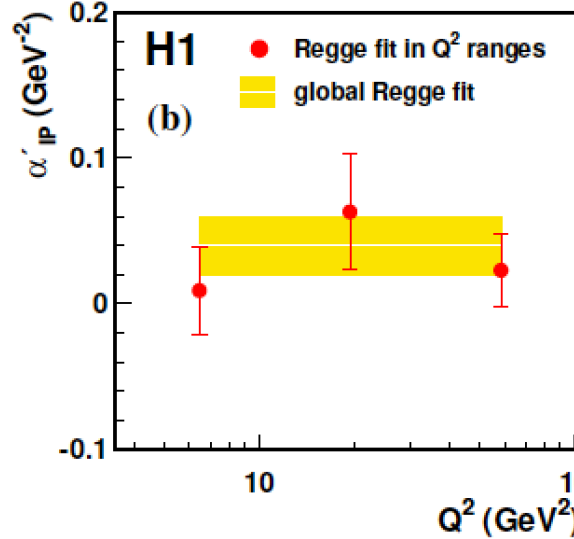


Evidence for Proton Vertex Factorisation & the Pomeron Flux Factor

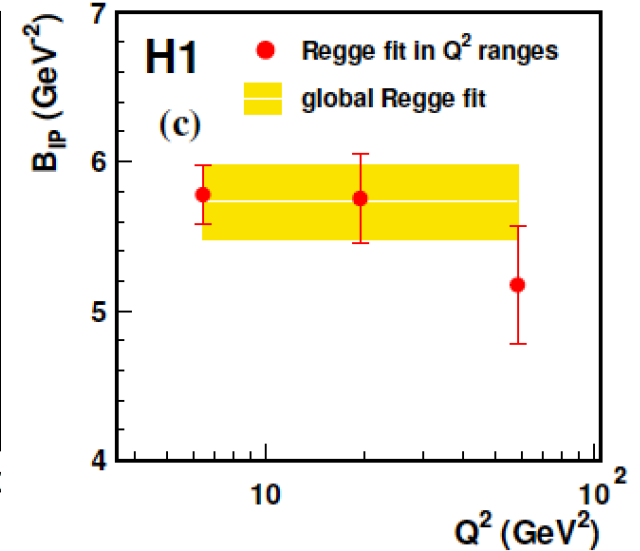
ZEUS



H1 FPS HERA II



H1 FPS HERA II



Excellent consistency
between experiments
and methods.

e.g. From H1 FPS data:

$$\alpha_{IP}(0) = 1.10 \pm 0.02 \text{ (exp.)} \pm 0.03 \text{ (model)}$$

$$\alpha'_{IP} = 0.04 \pm 0.02 \text{ (exp.)} \pm 0.07 \text{ (model) GeV}^{-2}$$

$$B_{IP} = 5.7 \pm 0.3 \text{ (exp.)} \pm 0.9 \text{ (model) GeV}^{-2}$$

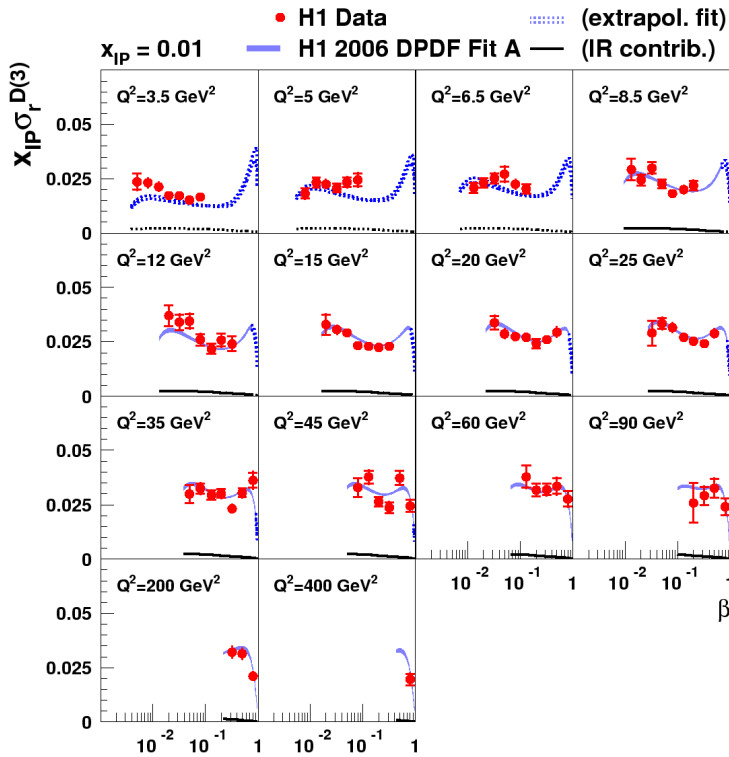
$\alpha_{IP}(0)$ consistent with soft IP
 α'_{IP} smaller than soft IP

→ Dominantly soft exchange
→ Absorptive effects?...²⁷

Diffractive
Parton
Densities
and Final
States

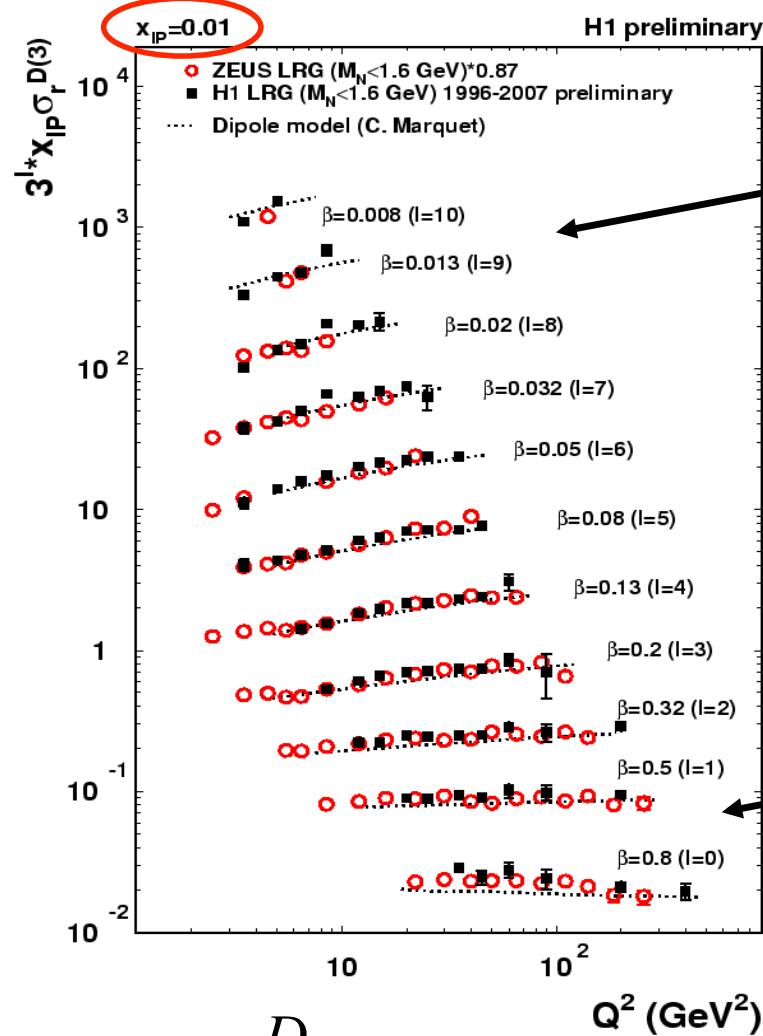
Sensitivity to Diffractive Quarks & Gluons

Similarly to Inclusive DIS ...



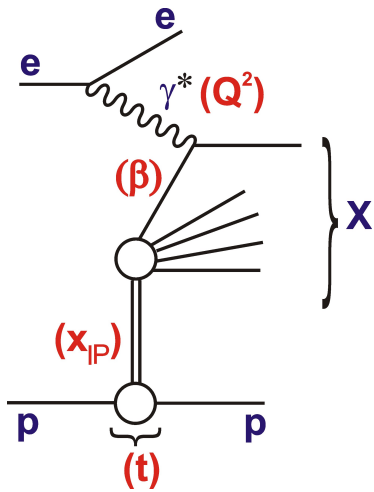
Diffractive cross section measures quark density

$$F_2^D = \sum_q e_q^2 \beta (q + \bar{q})$$

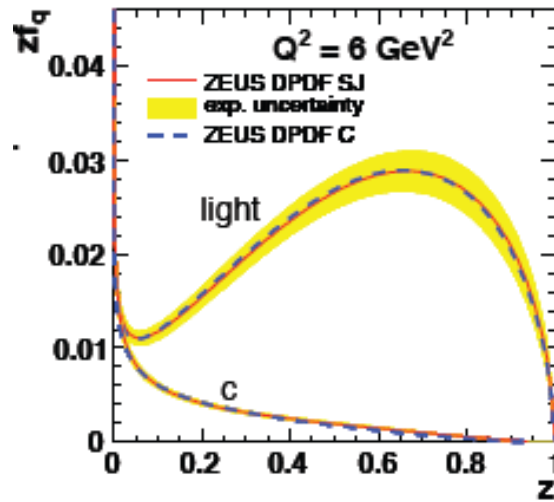
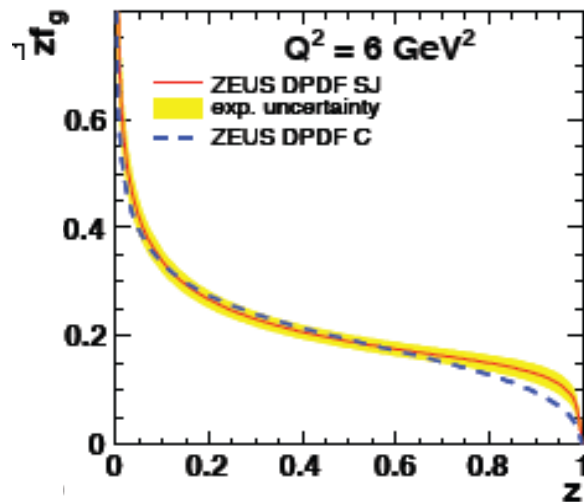
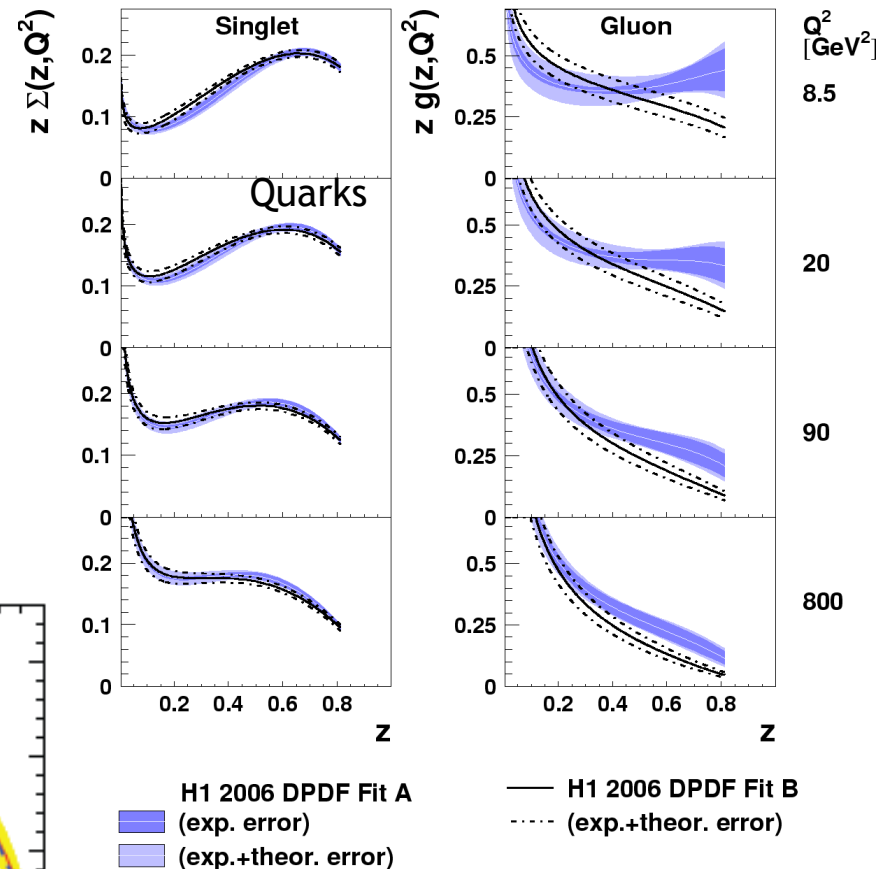


$$\frac{d\sigma_r^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[P_{qg} \otimes g + P_{qq} \otimes q \right]$$

Diffraction Parton Densities (DPDFs)



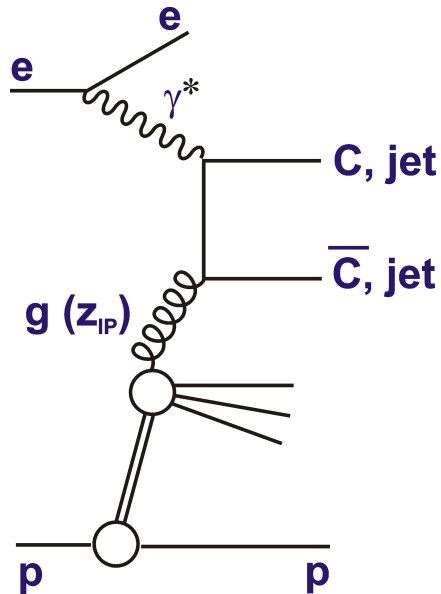
DPDFs extracted through fits to inclusive (& jet) data, assuming NLO DGLAP evolution, similar to inclusive DIS



... dominated by gluon density extending to large mom fractions, z

- NLO DGLAP QCD fits describe data over most of phase space
- Failure of diffractive PDF fits to describe data at lowest Q^2 ...

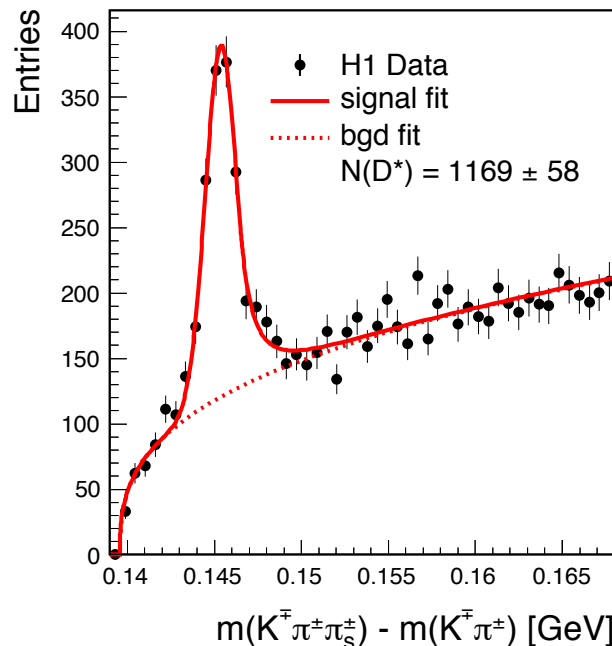
Testing Factorisⁿ and the Gluon with Charm



- Charm production up to 30% of total diffractive cross section

- Directly sensitive to gluon density

- Recent example:
H1, Eur Phys J C77 (2017) 340



- Sample of ~ 1170 D^* mesons in 287pb^{-1}

$$D^{*+} \rightarrow D^0 \pi_{slow}^+ \rightarrow (K^- \pi^+) \pi_{slow}^+ + C.C.$$

- Differential cross sections compared with NLO QCD (HVQDIS in FFNS, H1 DPDF 2006, $m_c=1.5\text{GeV}$, $\mu_R^2=\mu_F^2=4Q^2+m_c^2$, charm frag function from H1 non-diff analysis

DIS phase space
$5 < Q^2 < 100 \text{ GeV}^2$
$0.02 < y < 0.65$
D^* kinematics
$p_{t,D^*} > 1.5 \text{ GeV}$
$-1.5 < \eta_{D^*} < 1.5$
Diffractive phase space
$x_{\mathbb{P}} < 0.03$
$M_Y < 1.6 \text{ GeV}$
$ t < 1 \text{ GeV}^2$

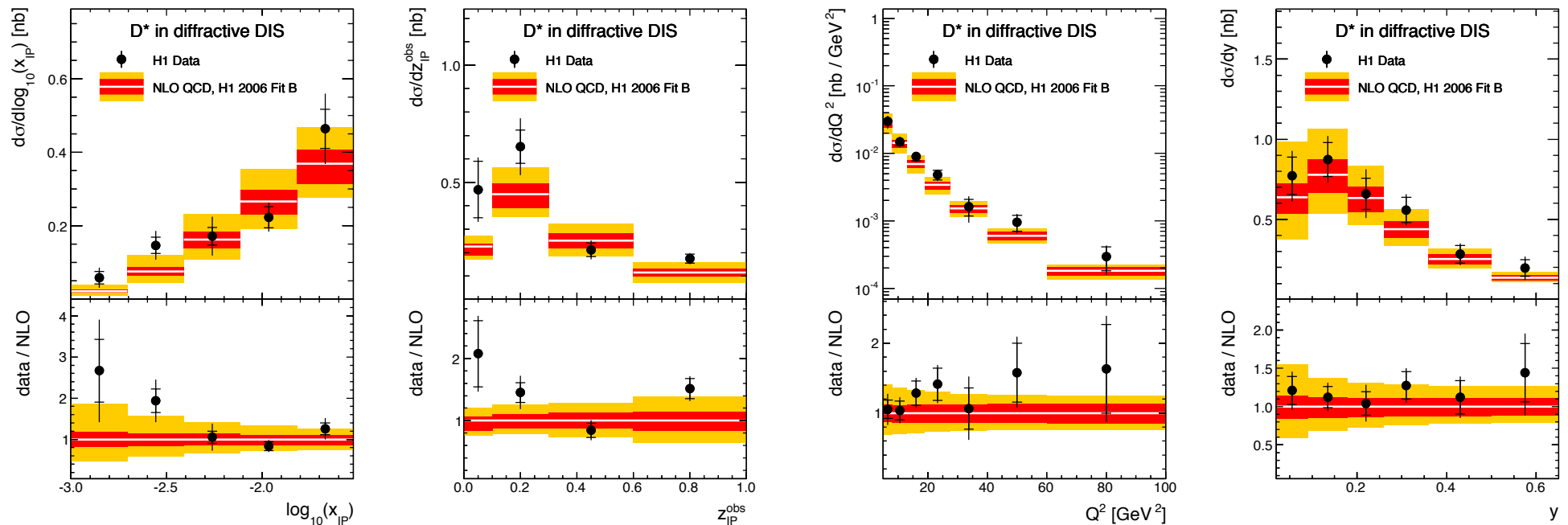
Differential D^* Cross Sections



Integrated over all phase space:

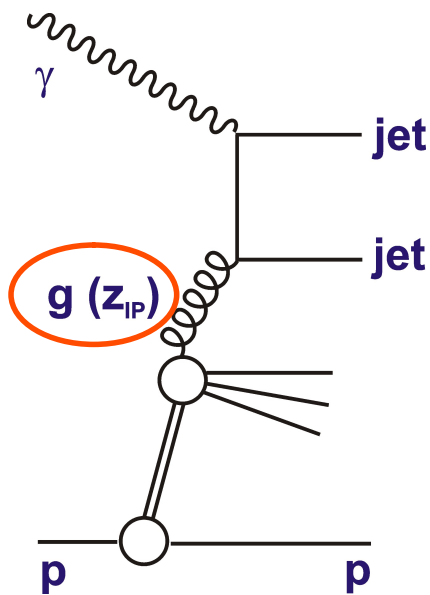
$$\sigma_{ep \rightarrow eYX(D^*)} = 314 \pm 23 \text{ (stat.)} \pm 35 \text{ (syst.) pb.}$$

$$\sigma_{ep \rightarrow eYX(D^*)}^{\text{theory}} = 265^{+54}_{-40} \text{ (scale)} \quad ^{+68}_{-54} (m_c) \quad ^{+7.0}_{-8.2} \text{ (frag.)} \quad ^{+31}_{-35} \text{ (DPDF) pb.}$$



Remarkable agreement over wide kinematic range

Testing Factorisation / Gluon with Jets

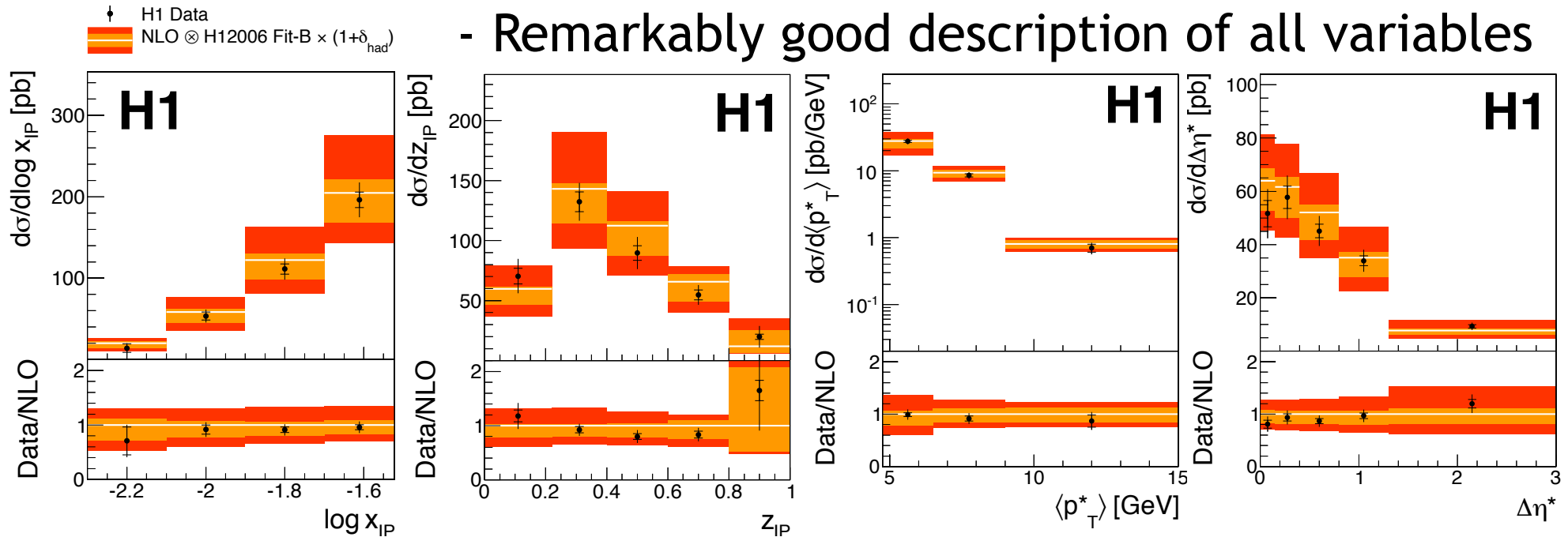


- Most recent measurement
H1 (2015) using rapidity gaps
and $E_T^{\text{jet}1,2} > 5.5 \text{ GeV}, 4\text{GeV}$

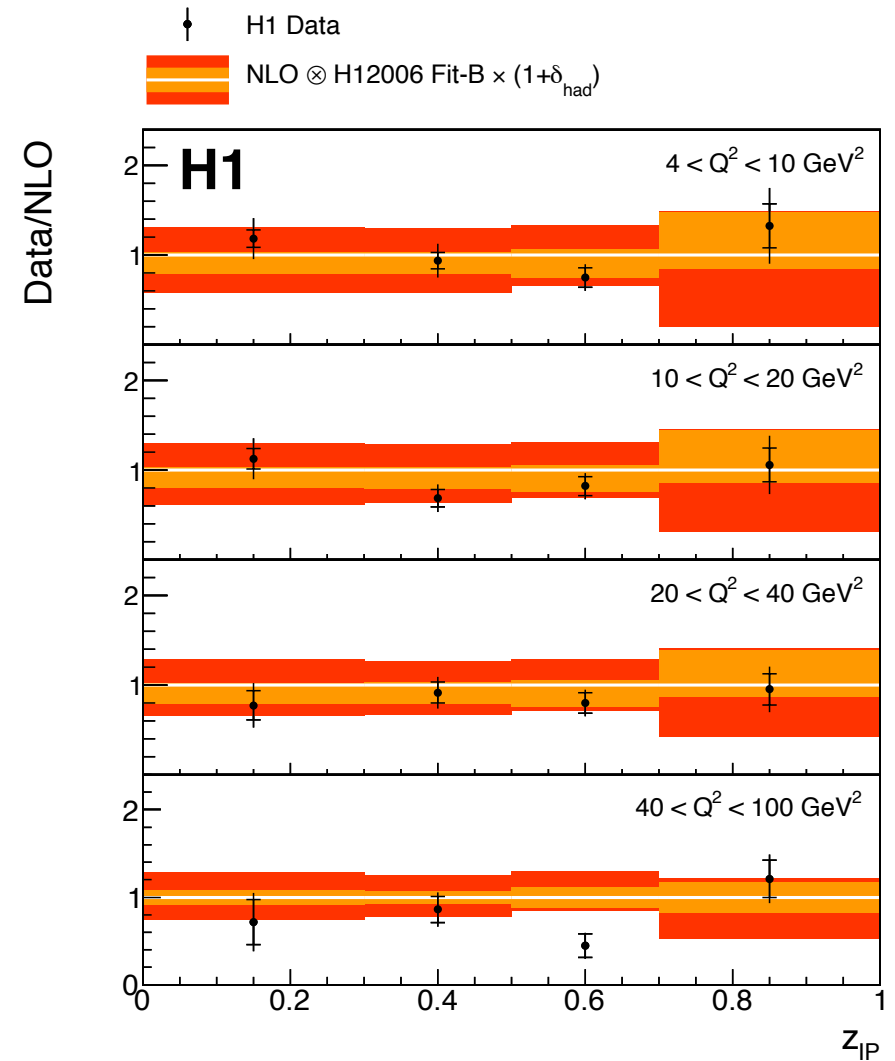
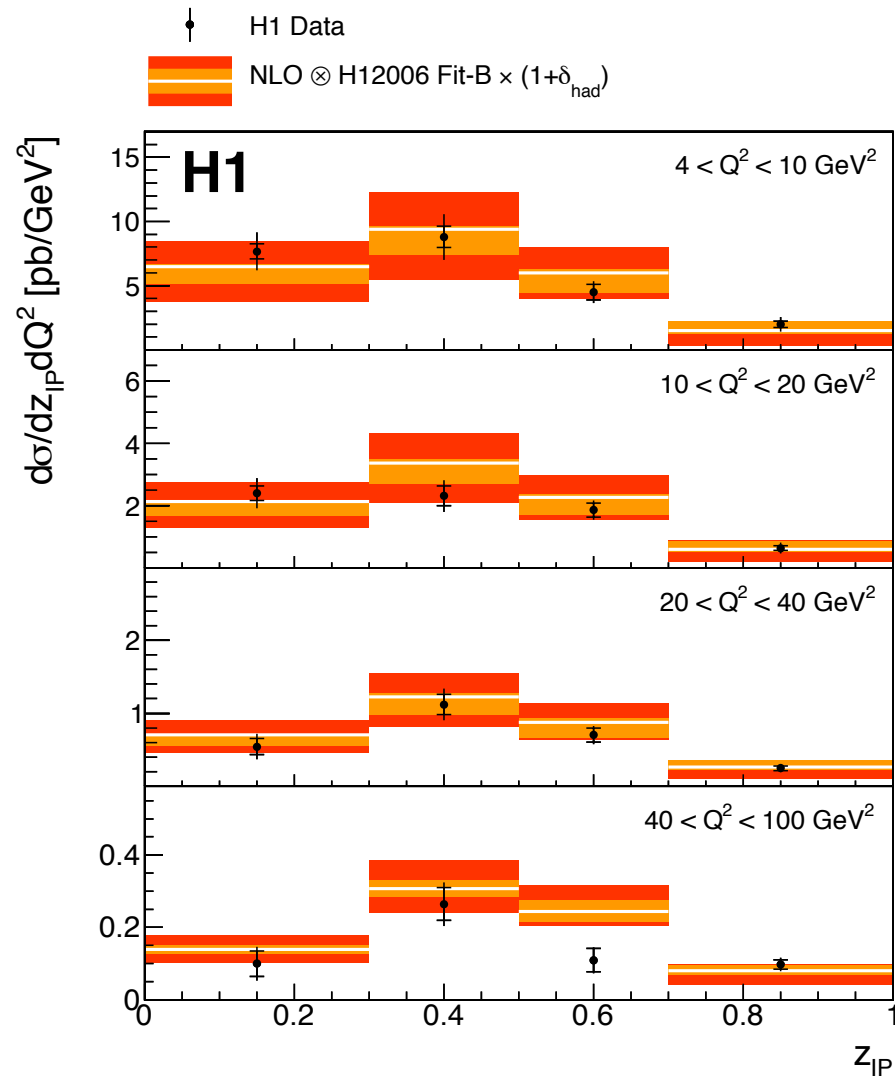
NEW!
(-ish)

- 50pb-1 compared with NLO QCD
calculations \rightarrow H1 2006 Fit B DPDFs,
NLOJET++, $\mu_R^2 = \mu_F^2 = Q^2 + \langle E_T^*{}^2 \rangle$

- Remarkably good description of all variables



Double Differential Jet Cross Sections

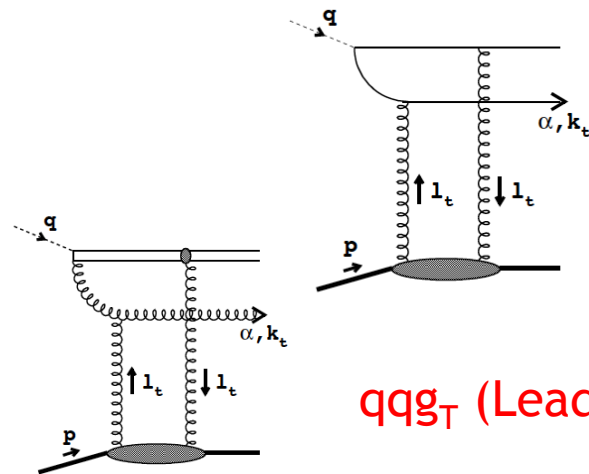


- Description remains excellent for all z_{IP} , Q^2
 - Many more observables tested (CC, F_L^D , flow and spectra ...)
- ...Factorisation works in diffractive DIS at current precision

Diffractive DIS & Dipole Models

- χ^2 / ndf increases systematically in H1 DPDF fits when data of $Q^2 < 8.5 \text{ GeV}^2$ are included (slightly lower in ZEUS)
- ... low Q^2 breakdown of pure Leading Twist DGLAP approach

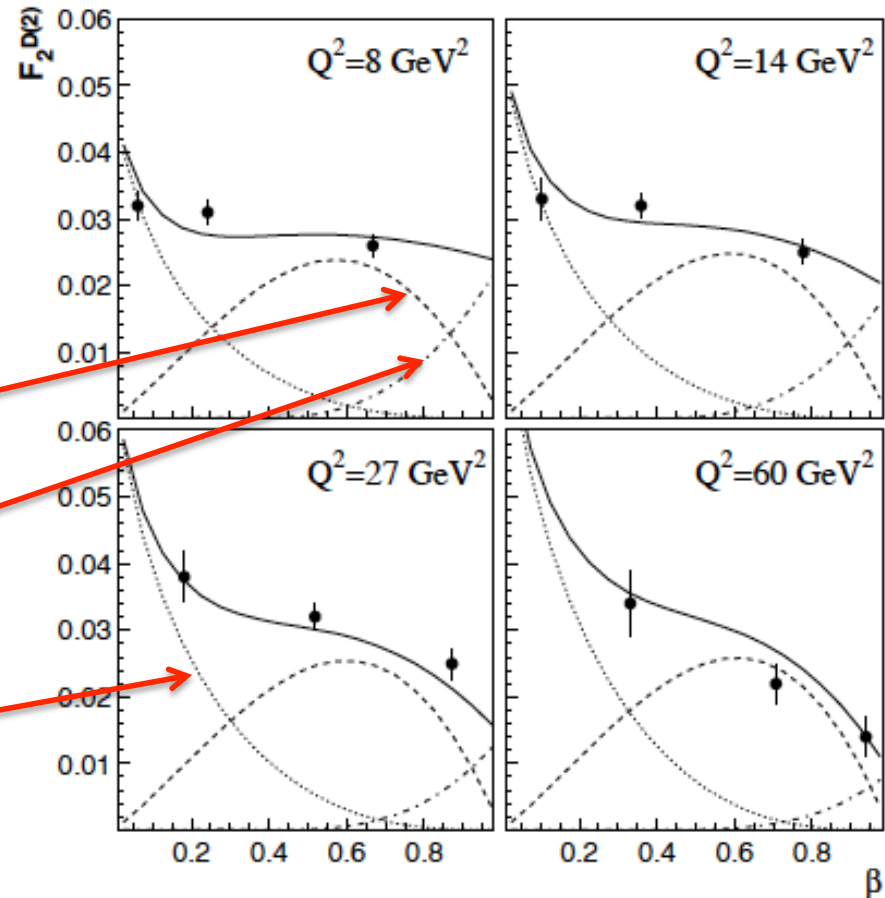
- Dipole models also applied, but need qqbar-g terms (and perhaps higher Fock states)



qq_T (Leading Twist)

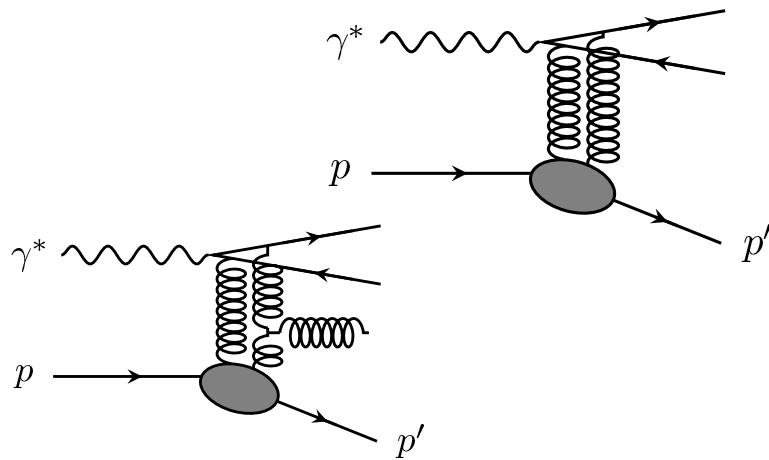
qq_L (Higher Twist)

qqg_T (Leading Twist)



- Not yet describing fine detail
- Unravelling this rich phenomenology can yield big rewards!

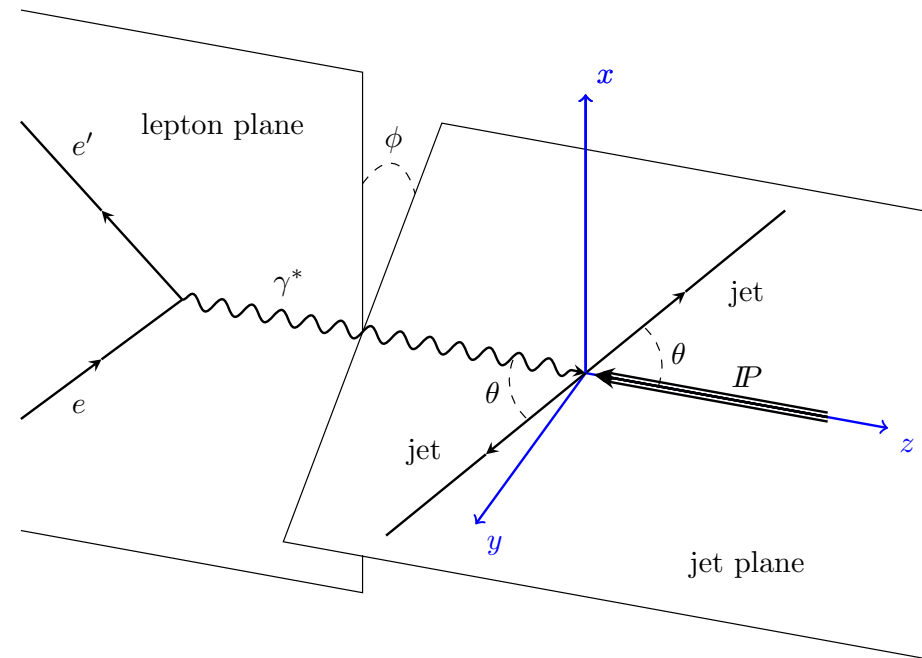
Is a 'Direct' Component to the Pomeron present in Diffractive Dijets?



- Perturbative '2 gluon exchange' process leads naturally to exclusive dijet Production ($Z_{IP} \rightarrow 1$)

- Leads to a different shape of the dijet azimuthal angle distribution from 'resolved' pomeron (boson-gluon fusion)

$$d\sigma/d\phi \propto 1 + A \cos(2\phi)$$

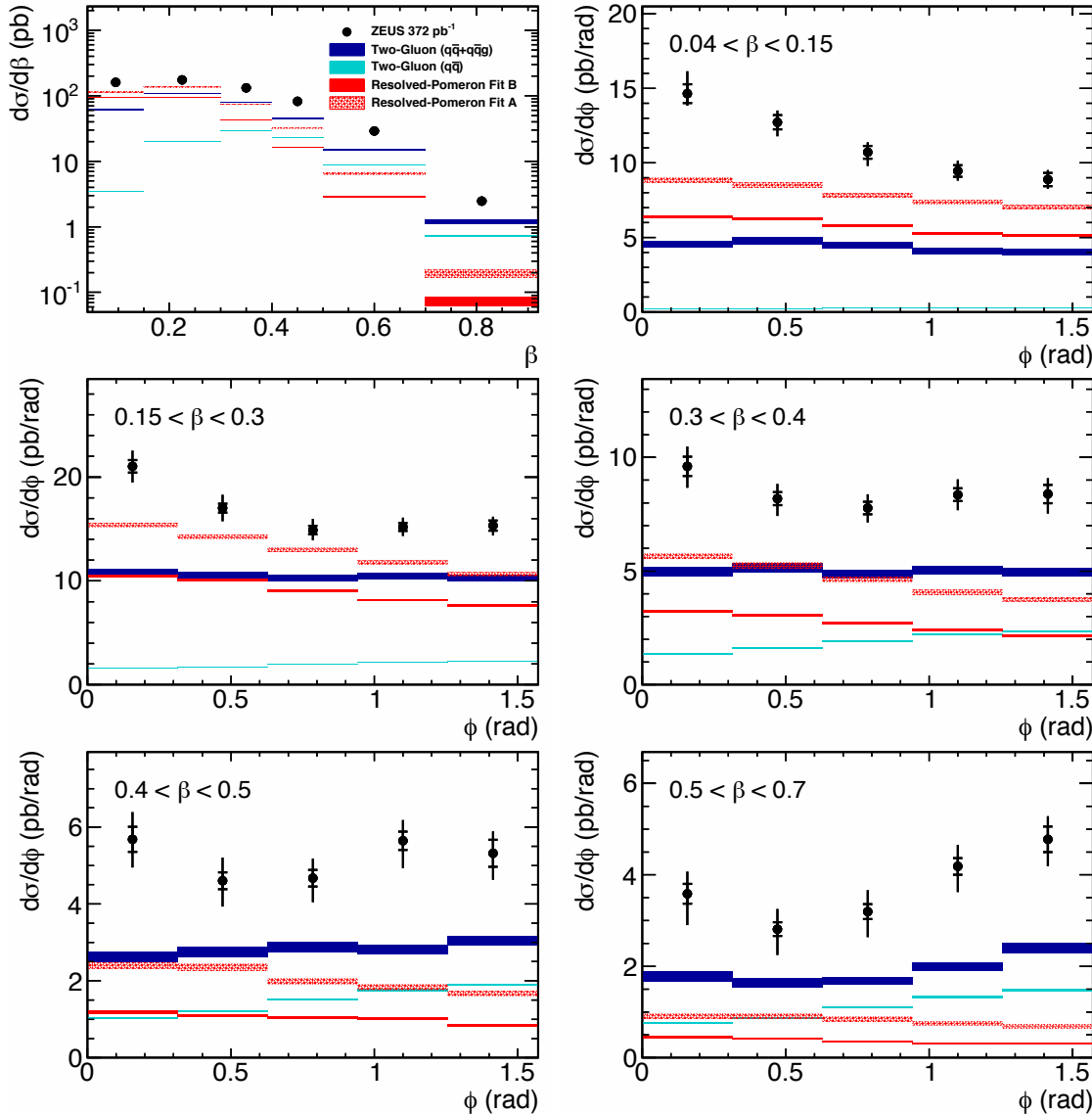


- A is +ve if 1 gluon enters hard interaction, -ve for 2 gluons⁶

Evidence for Exclusive Dijet Production

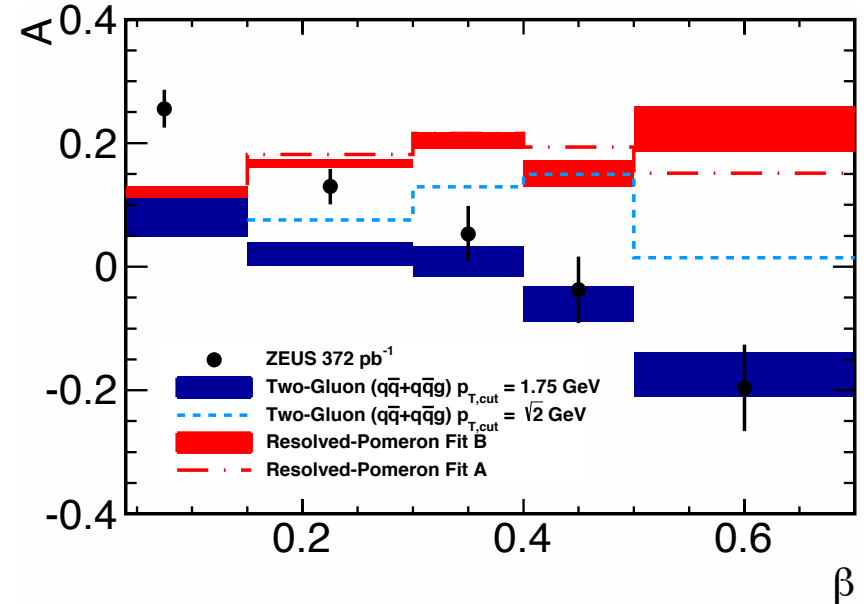
ZEUS study with 372pb-1 (2015)

NEW!
(-ish)



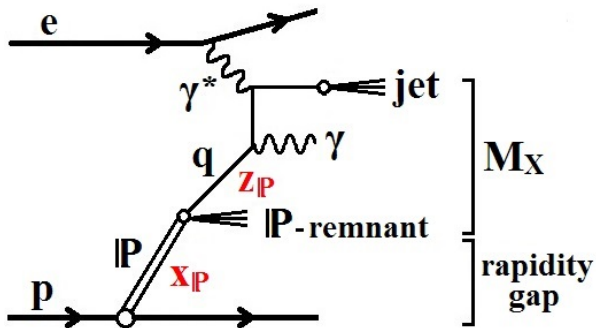
Shape of ϕ distribution changes with β in a way that is well described by 2 gluon model (Bartels et al)

ZEUS

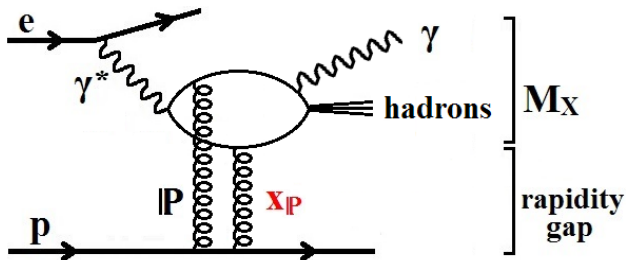


$$d\sigma/d\phi \propto 1 + A \cos(2\phi)$$

Diffractive Prompt Photon Production

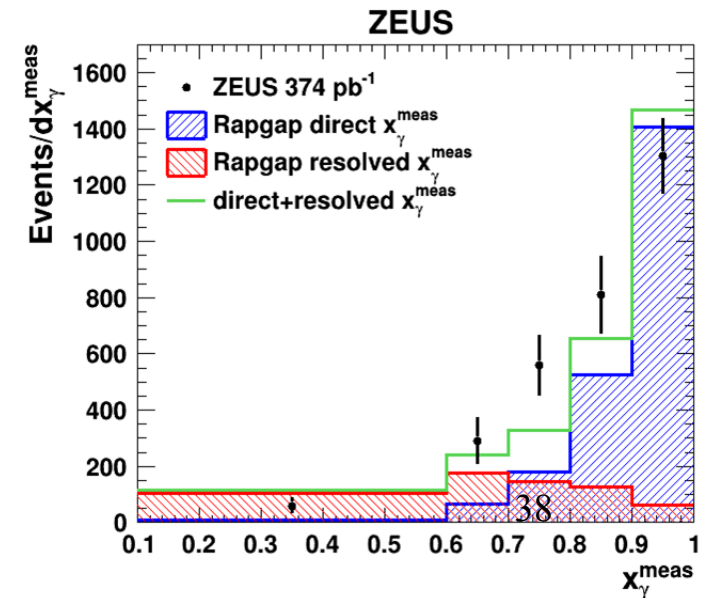


- Sensitive to quark component of DPDFs in standard resolved pomeron model

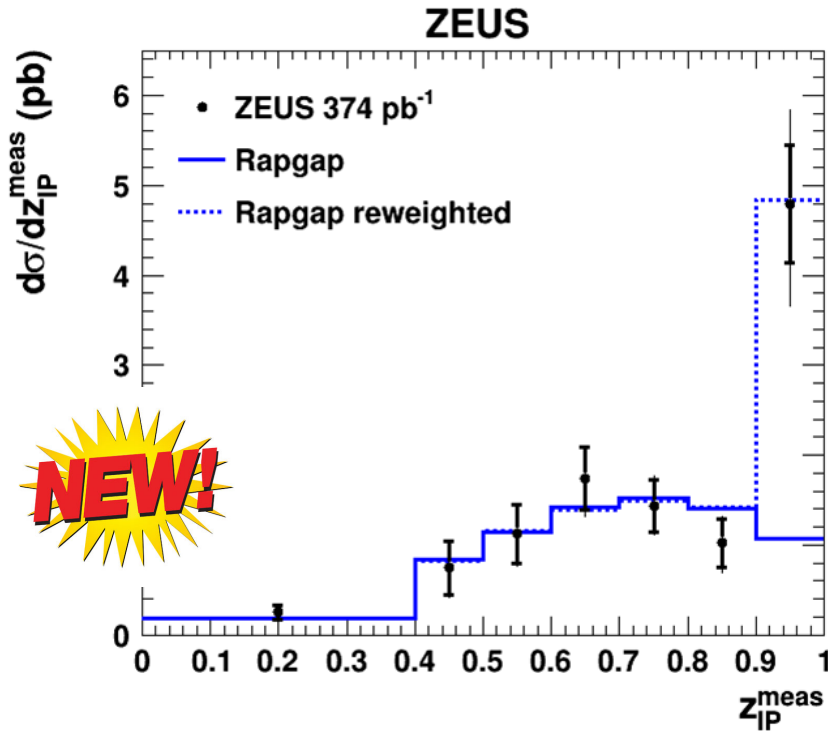


- Potential direct pomeron / 2-gluon contribution if cross section large

- ZEUS analysis of 456pb⁻¹ of LRG data
- Inclusive isolated photons ($E_T^\gamma > 5$ GeV) and (photon+jet) topology
- Sample dominated by direct photons ($x_\gamma \rightarrow 1$)
- Data compared with (normalised) LO RAPGAP model - H1 2006 Fit B DPDFs



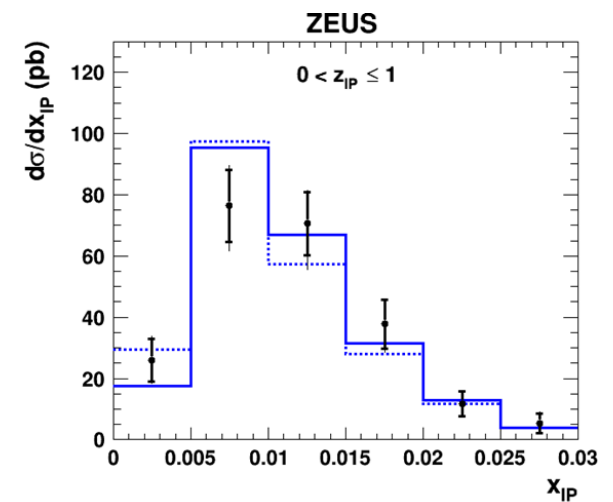
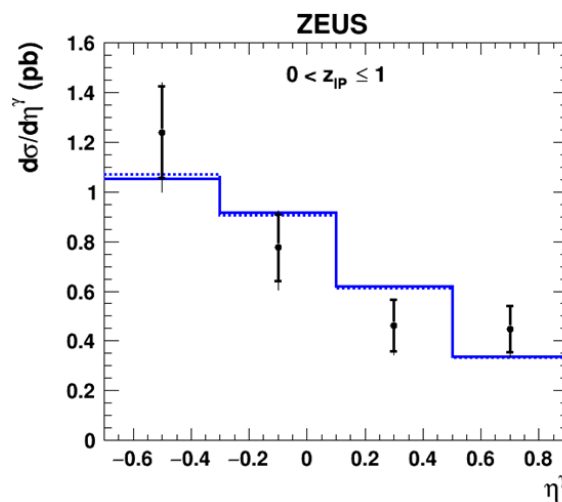
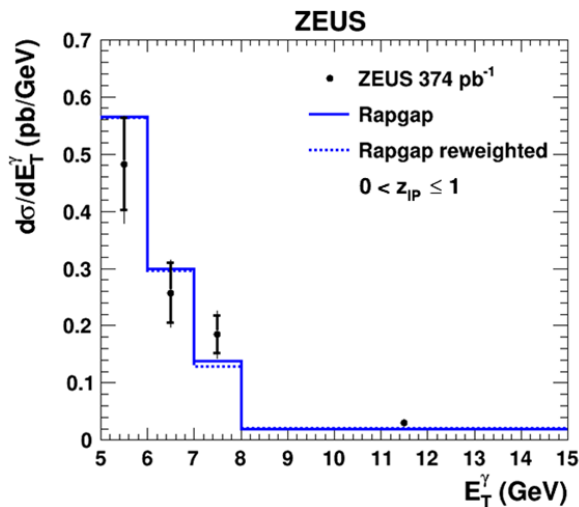
Evidence for Direct Pomeron Contribution in Diffractive Prompt Photon Production



- Shape of z_{IP} distribution can't be described by 'resolved pomeron' model with standard DPDFs

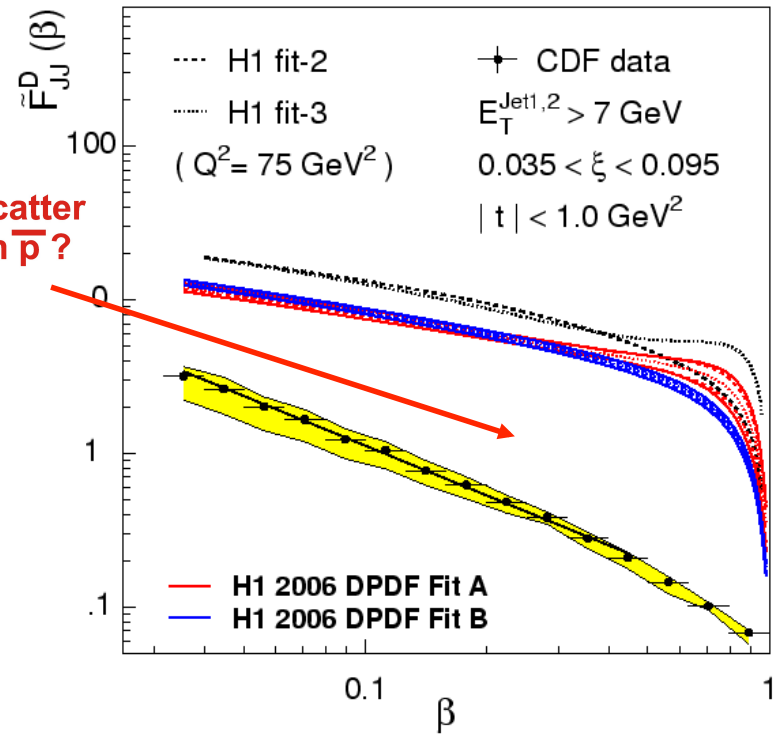
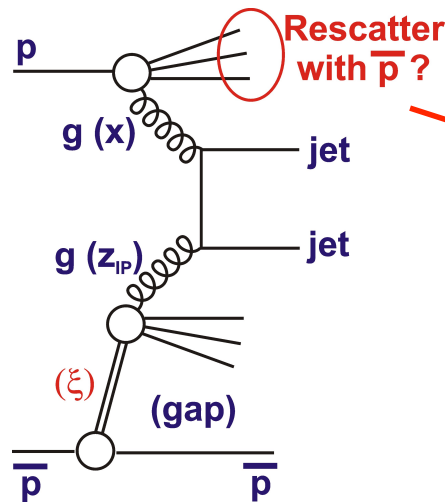
- Excess at large z_{IP} → evidence for 2-gluon exchange contribution

- All other important distributions well described



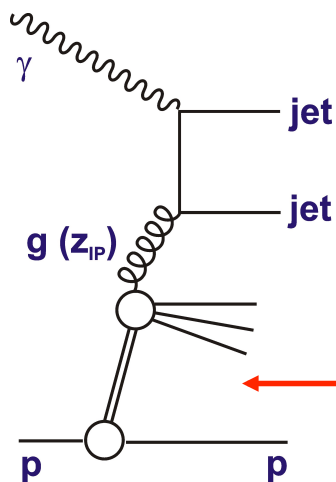
.. meanwhile in pp(bar) ...

Strong evidence for absorptive effects in comparing Tevatron diffractive dijets with HERA DPDFs ...
 `rapidity gap survival probability' $S^2 \sim 0.1$

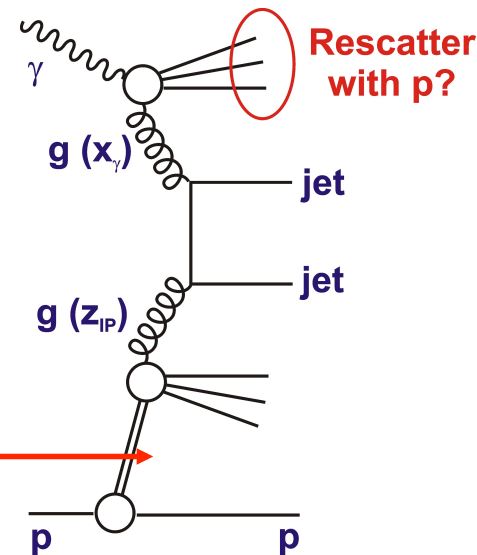


... photoproduction jets as the perfect control experiment?...

“Direct” photon
 $(x_\gamma \rightarrow 1)$
 “ $S^2 = 1$ ”



GAP



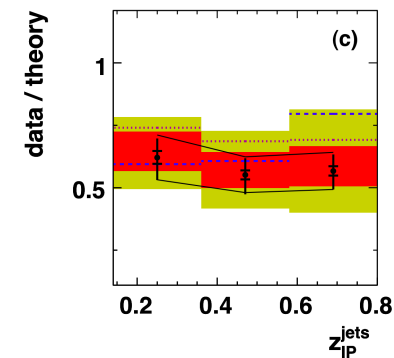
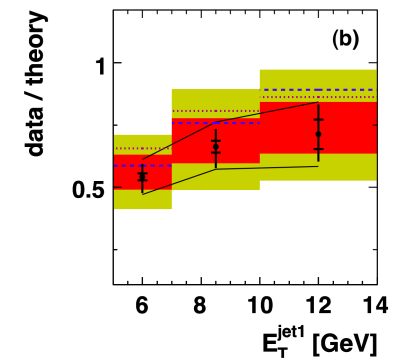
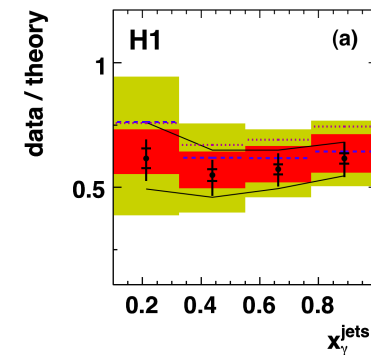
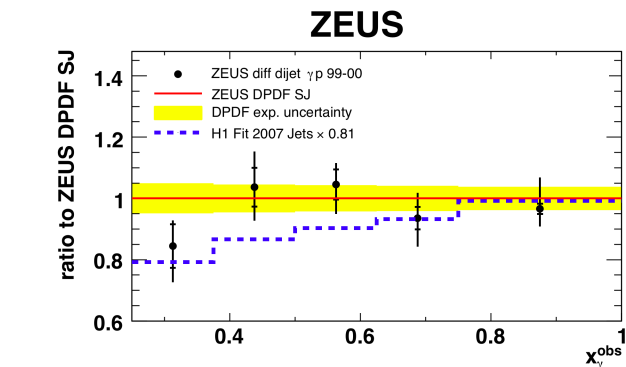
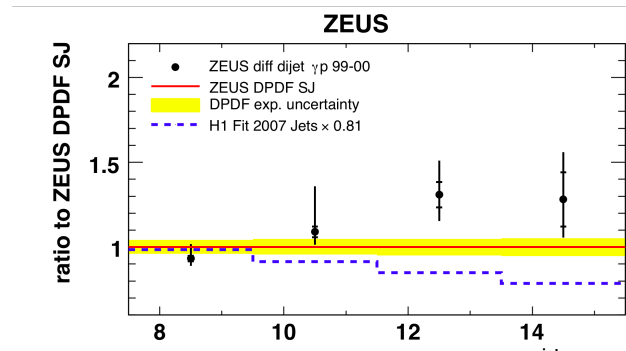
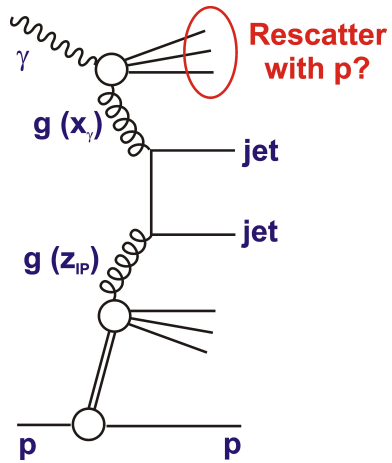
“Resolved” photon
 $(x_\gamma < 1)$
 $S^2 ?$

Rapidity Gap Survival Probability in Diffractive Dijet Photoproduction: Old Data

ZEUS [$E_T^{j1} > 7.5$ GeV]... No evidence for any gap destruction

H1 [$E_T^{j1} > 5$ GeV]... Survival probability < 1 at 2σ significance

$$\sigma(\text{H1 data}) / \sigma(\text{NLO}) = 0.58 \pm 0.12 (\text{exp.}) \pm 0.14 (\text{scale}) \pm 0.09 (\text{DPDF})$$



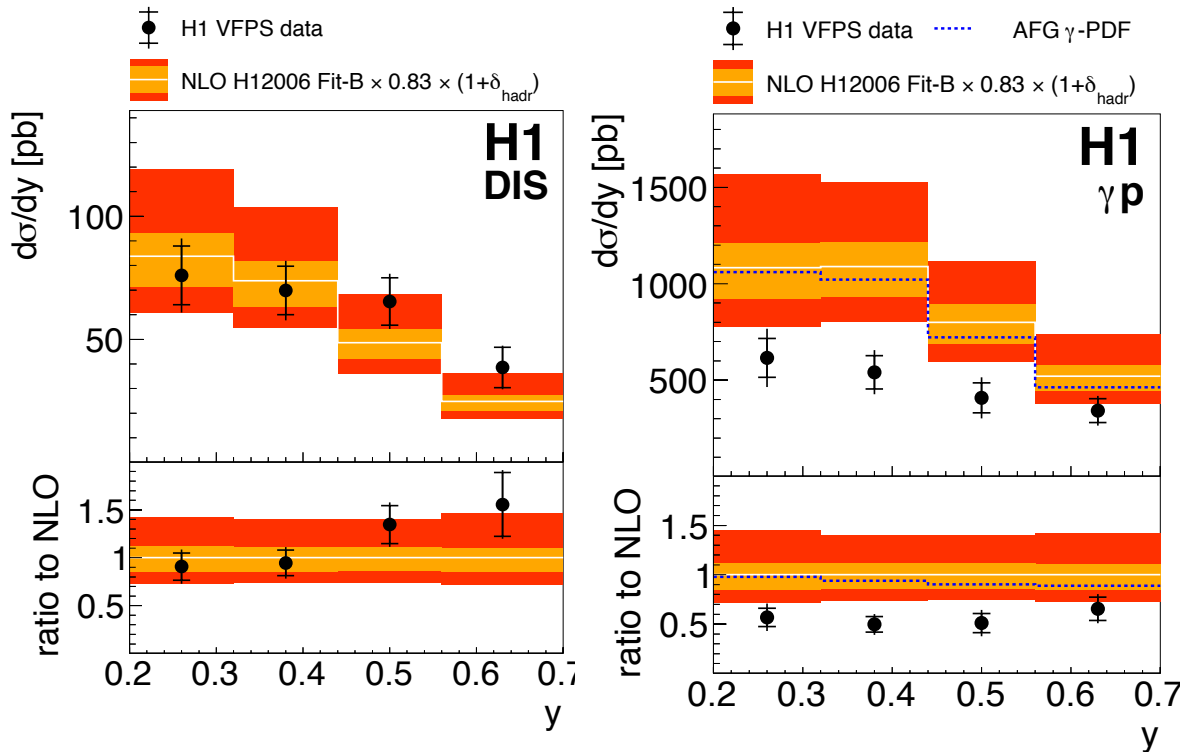
H1 data / theory

- NLO H1 2006 Fit B $\times (1+\delta_{\text{hadr}})$
- data correlated uncertainty
- - - NLO H1 2007 Fit Jets $\times (1+\delta_{\text{hadr}})$
- - - NLO ZEUS SJ $\times 1.23 \times (1+\delta_{\text{hadr}})$

- Gap survival unexpectedly has little dependence on x_γ
- Hint of a dependence on jet E_T ?

Latest Data, Tagged Protons (VFPS)

NEW!
(-ish)



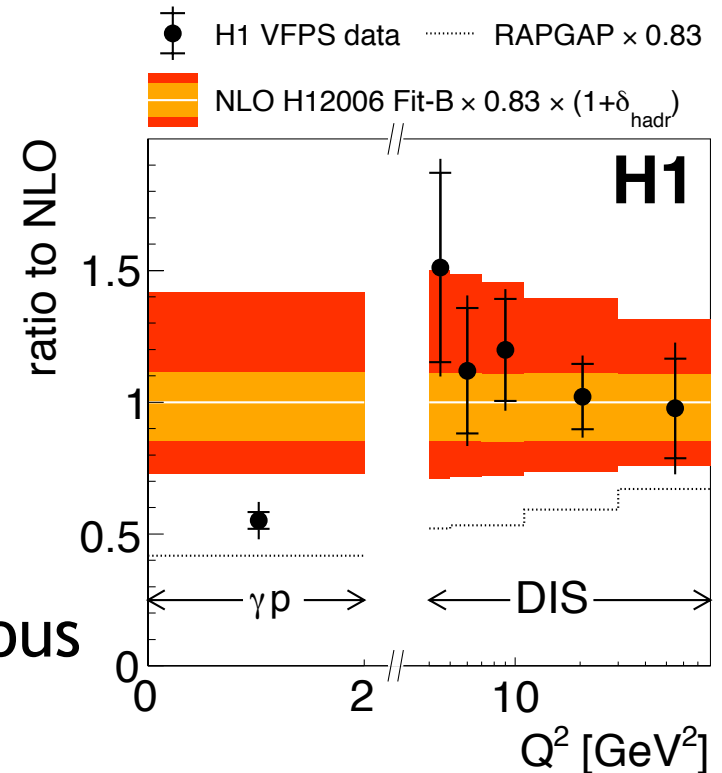
Data v NLO QCD with
H1 2006 Fit B DPDFs

$E_T^{\text{jet}1,2} > 5.5 \text{ GeV}, 4\text{GeV}$

From double ratio:

$$(\text{data/theory}) (\gamma p / \text{DIS}) = 0.51 \pm 0.09$$

- Effect persists at similar level to previous
... will remain a mystery for now ...



- Extensive studies of diffraction at HERA have led to a revolution in our understanding in QCD

Summary: Diffraction & the HERA revolution

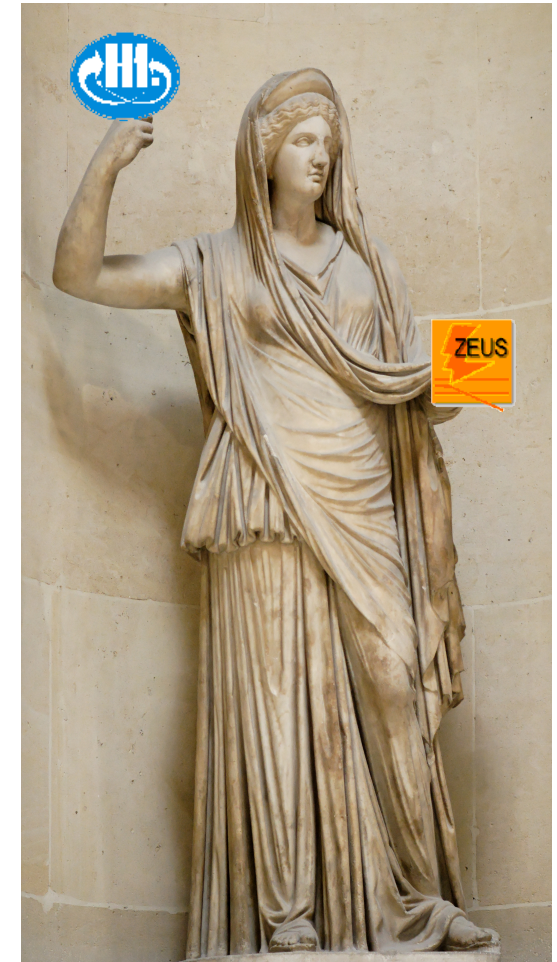
→ **Inclusive process** is leading twist ... original Ingelman-Schlein model works well with only slight modification to factorisable 'soft pomeron' properties

→ Evidence for non-factorising '2 gluon exchange' contribution from exclusive jj and γj final states

→ **Vector meson production** is an inherently exclusive process

→ Turn-on of hard scales mapped for multiple meson species, $(Q^2 + M_V^2)/4$ is often a good choice of scale for comparisons

→ Hard vector meson production sensitive to proton gluon density / saturation and showed the way for UPC at LHC



Back-ups & Rejects

Many More tests ... e.g. F_L^D

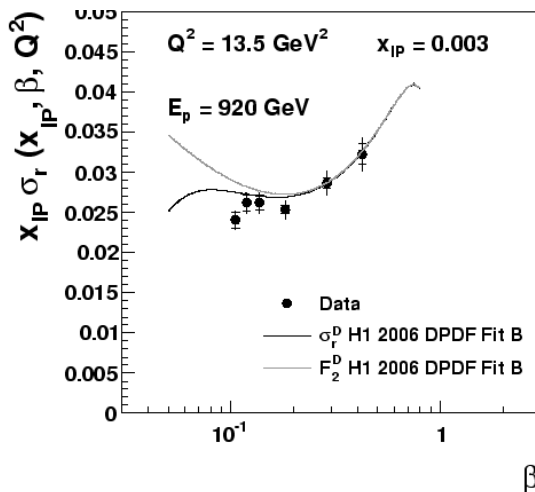
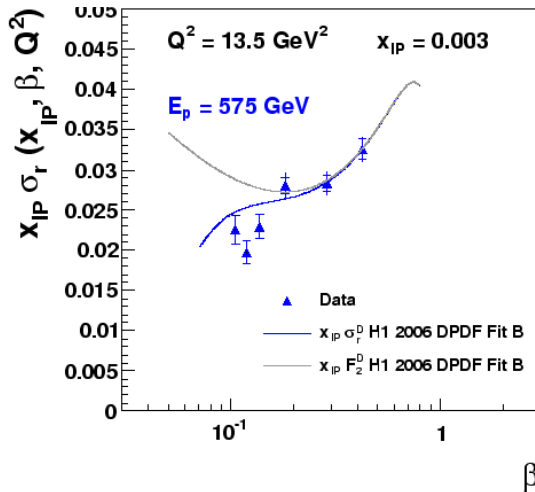
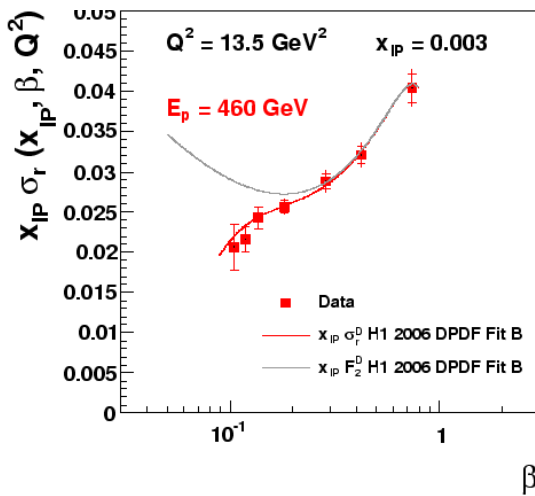
Novel test of diffractive gluon density

$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) = F_2^{D(3)} - \frac{y^2}{Y_+} F_L^{D(3)}$$

... F_L^D sensitivity @ highest y ($E_e \rightarrow 3.4$ GeV)

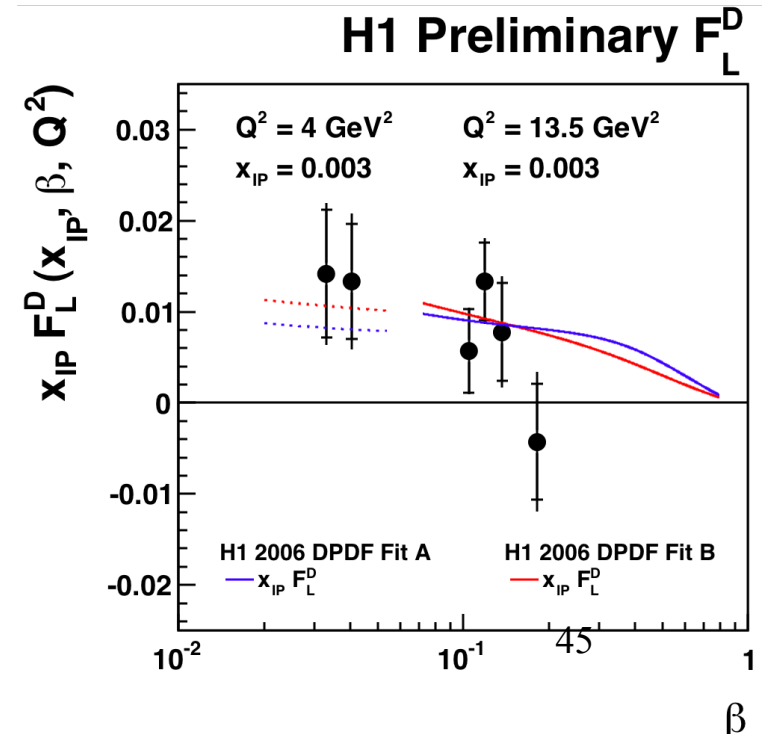
... vary $E_p \rightarrow$ change y at fixed β, x_{IP}, Q^2

... 11pb^{-1} @ 575 GeV, 6pb^{-1} @ 460 GeV,
in addition to 820 GeV, 920 GeV data

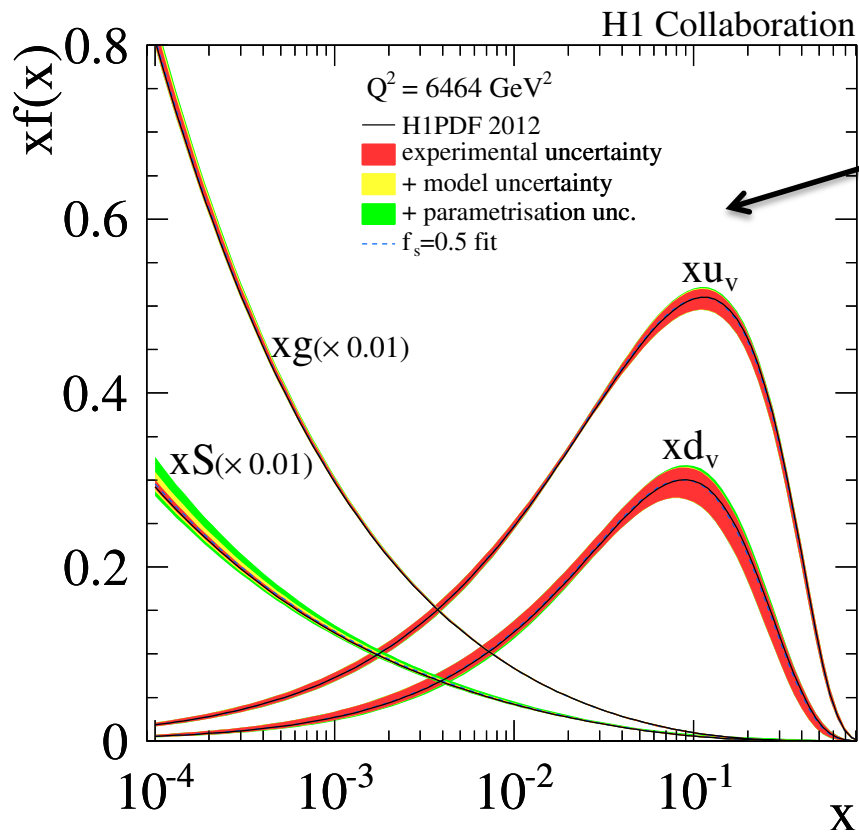


• F_L^D shown to be several σ from zero

• Compatible with all predictions based on NLO DGLAP fits to σ_r^D



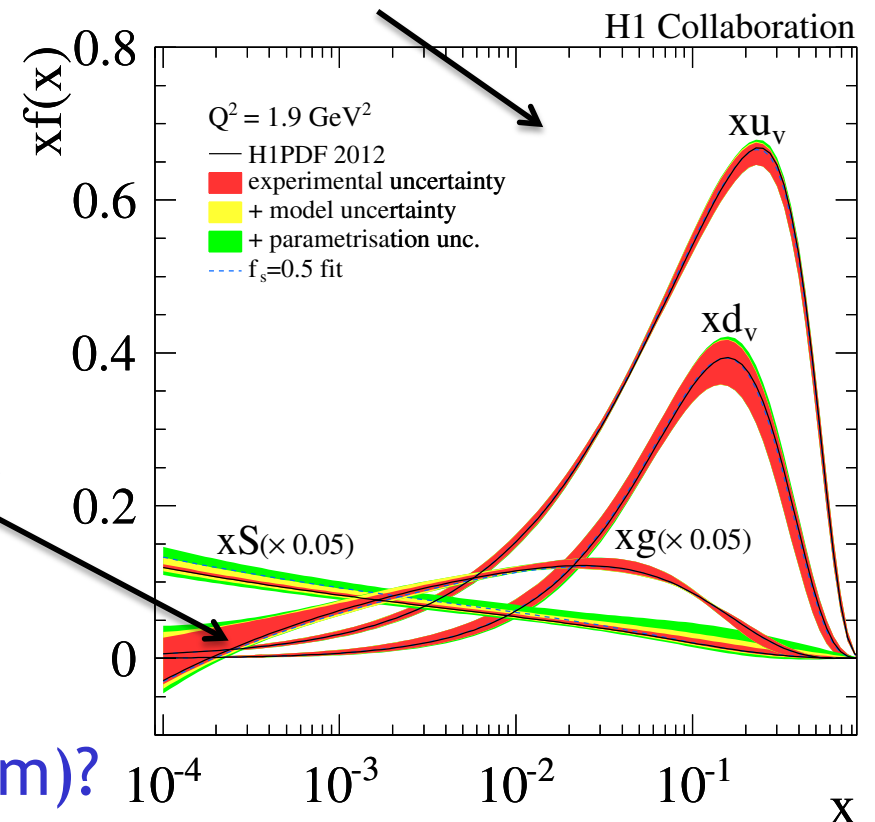
The Gluon Density at Other Scales



- **Electroweak scale $\sim M_Z^2$** (as relevant to precision LHC physics)
... gluon rise gets sharper ...

- **Starting scale $\sim 1.9 \text{ GeV}^2$** (as relevant to future sat'n studies)

- Gluon close to zero in pure DGLAP approach (and coupling not so weak).
 - Saturating hadrons with a small number of (“large”) gluons?
 - Alternative language (dipole models, gluons not degrees of freedom)?

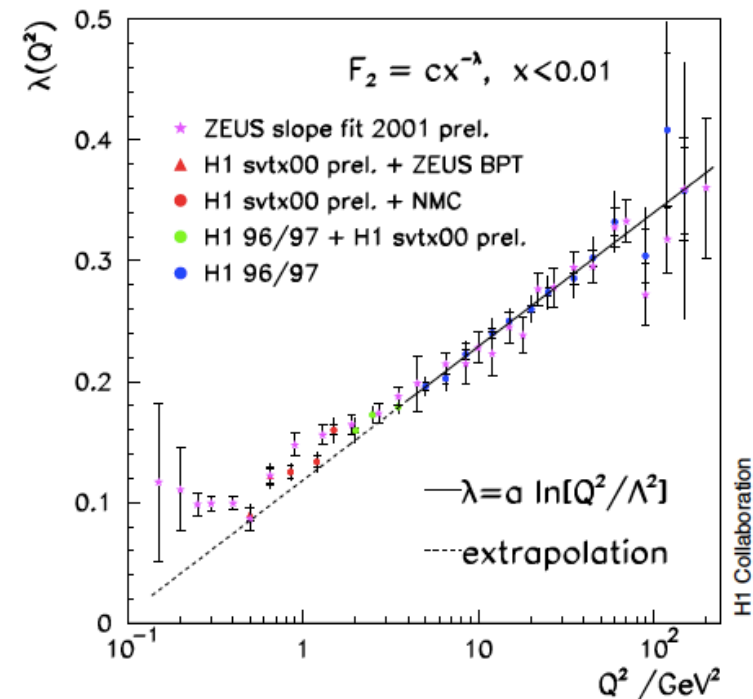
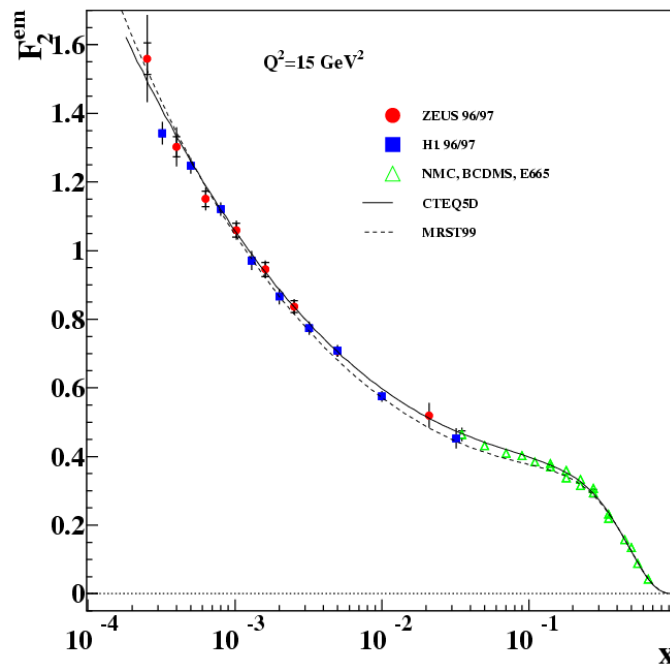


Total γ^*p Cross Sections at HERA

Low x Kinematics ... $W^2 = Q^2 / x$

The rise of F_2 with decreasing x is equivalent to the rise of the total cross section with increasing W at fixed Q^2

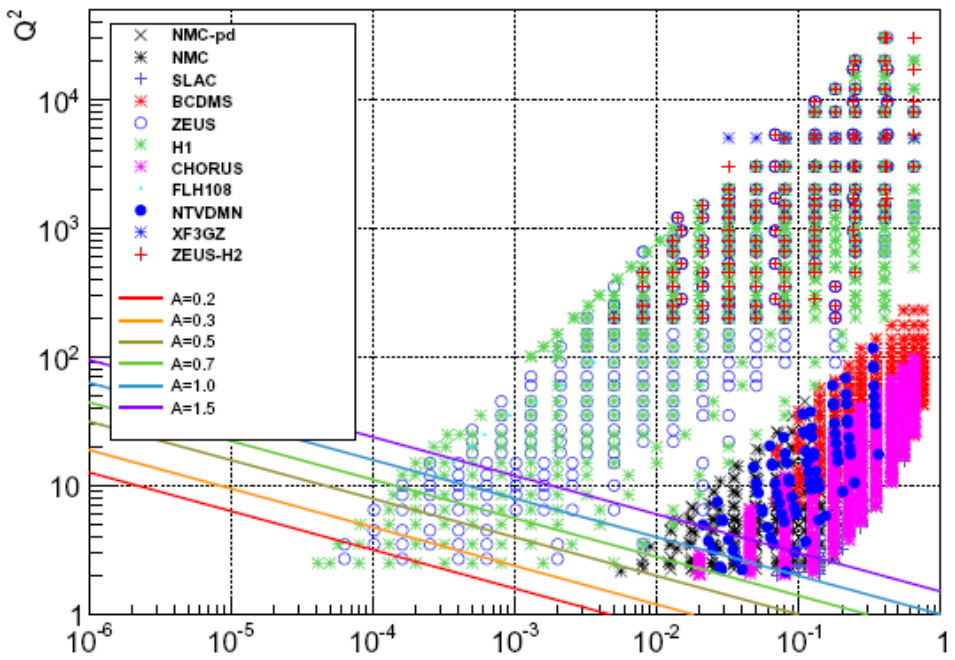
$$F_2 \sim x^{-\lambda} \quad \leftrightarrow \quad \sigma_{\text{tot}} \sim s^{\alpha(0)-1} \quad \dots \quad \lambda = \alpha(0) - 1$$



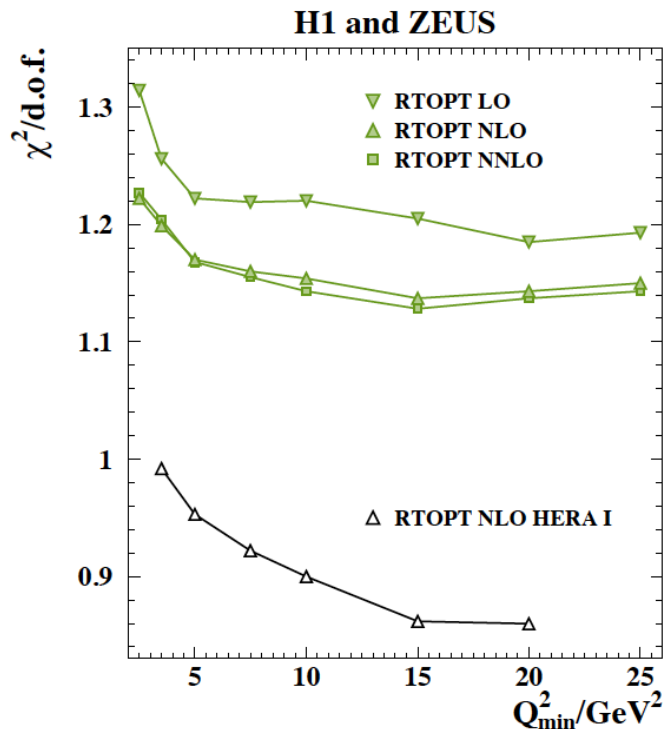
- The idea of a universal pomeron dead from the outset at HERA!... harder scales give stronger energy dependences⁴⁷

Different Approaches and improved data in Perturbative region

e.g. NNPDF: NLO DGLAP description deteriorates when adding data in lines $Q^2 > Ax^{-0.3}$ parallel to 'saturation' curve in x/Q^2 .



A	$\chi^2_{\text{without cuts}}/d.o.f.$	$\chi^2_{\text{cut}}/d.o.f.$
0.5	19.68/25 = 0.79	106.22/25 = 4.25
1.0	54.41/44 = 1.24	138.24/44 = 3.14
1.5	62.31/59 = 1.06	860.65/59 = 14.6

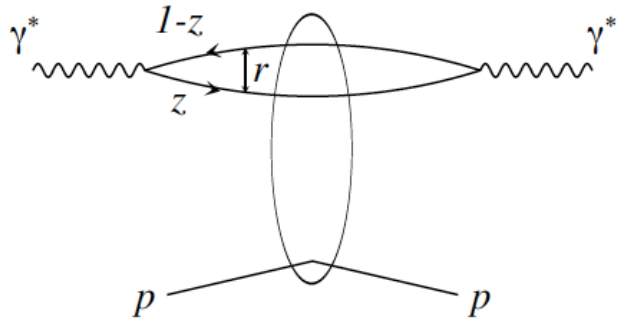


Final HERA-2 Combined PDF Paper:

“some tension in fit between low & medium Q^2 data... not attributable to particular x region” (though kinematic correlation)

... something happens ... interpretation?

Advantage of Diffractive DIS: Dipole Language

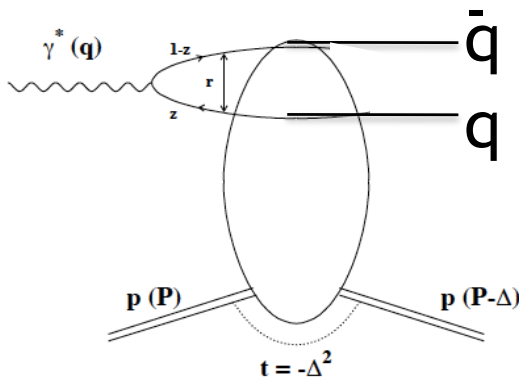


Inclusive Cross Section

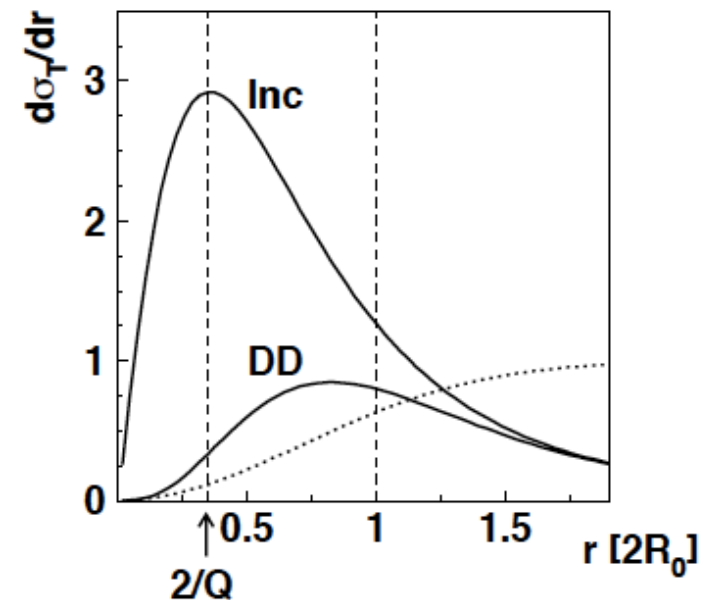
$$\sigma_{T,L}(x, Q^2) = \int d^2\mathbf{r} \int_0^1 d\alpha |\Psi_{T,L}(\alpha, \mathbf{r})|^2 \hat{\sigma}(x, r^2)$$

Diffractive DIS

$$\left. \frac{d\sigma_{T,L}^D}{dt} \right|_{t=0} = \frac{1}{16\pi} \int d^2\mathbf{r} \int_0^1 d\alpha |\Psi_{T,L}(\alpha, \mathbf{r})|^2 \hat{\sigma}^2(x, r^2)$$

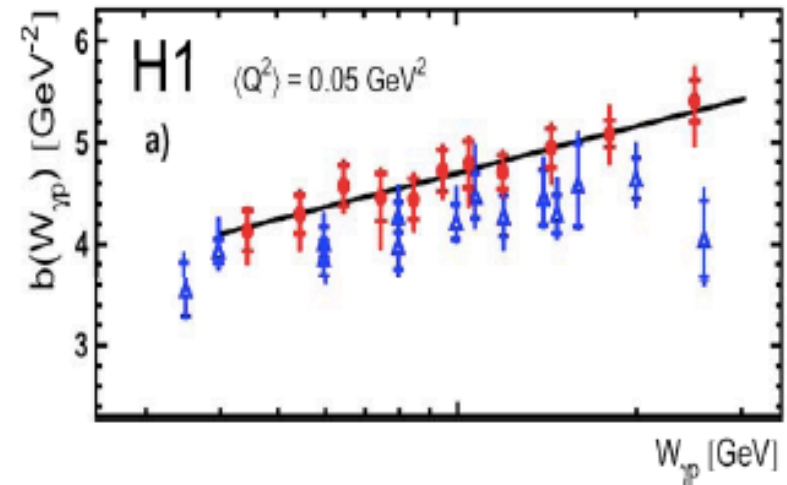
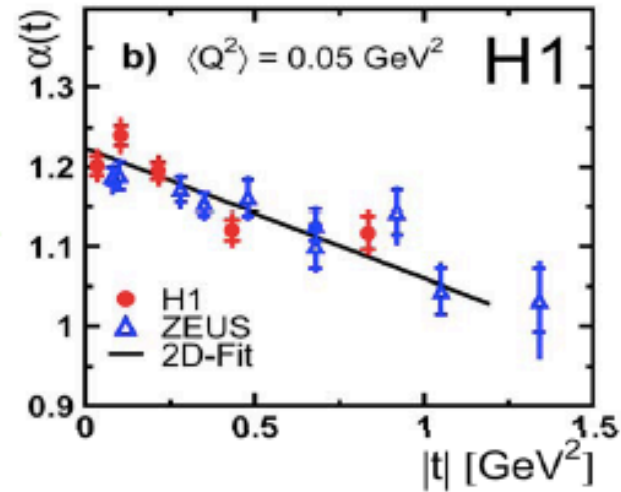
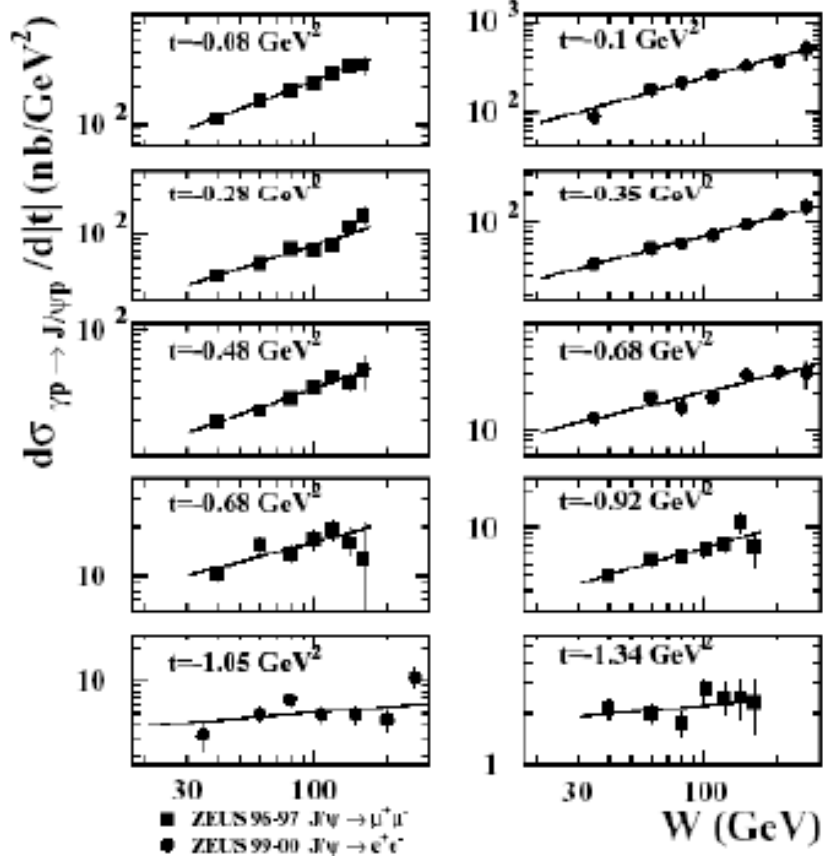


3) Extra factor of dipole cross section weights DDIS cross section towards larger dipole sizes \rightarrow enhanced sensitivity to saturation effects.



Effective Trajectory for J/ψ Photoproduction

ZEUS 1996-97, 1999-2000



hard intercept $\alpha(0) \sim 1.20$

lower (?) slope $\alpha' \sim 0.12 - 0.16 \text{ GeV}^{-2}$

ZEUS $\alpha_{IP}(0) = 1.200 \pm 0.009^{+0.004}_{-0.010}$
 $\alpha'_{IP} = 0.115 \pm 0.018^{+0.008}_{-0.015} \text{ GeV}^{-2}$

	α_0	$\alpha' [\text{GeV}^{-2}]$
H1	$1.224 \pm 0.010 \pm 0.012$	$0.164 \pm 0.028 \pm 0.030$

Exclusive Vector Mesons

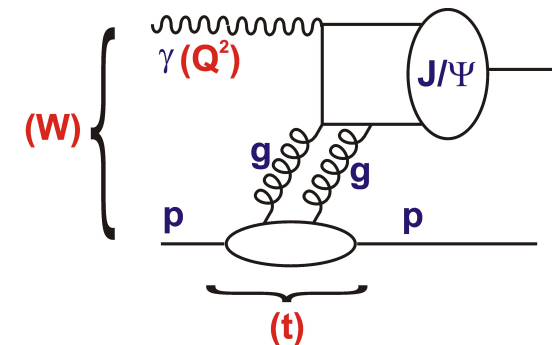
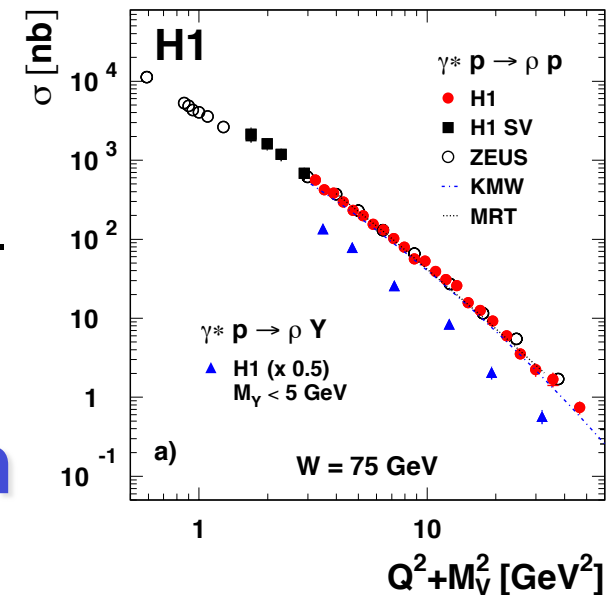
- Huge database of measurements from HERA, Ψ , J/Ψ , ϕ , ρ , ρ' , DVCS ... mapping soft-hard transition, unfolding σ_T , σ_L ...

Test Case: J/Ψ Photoproduction

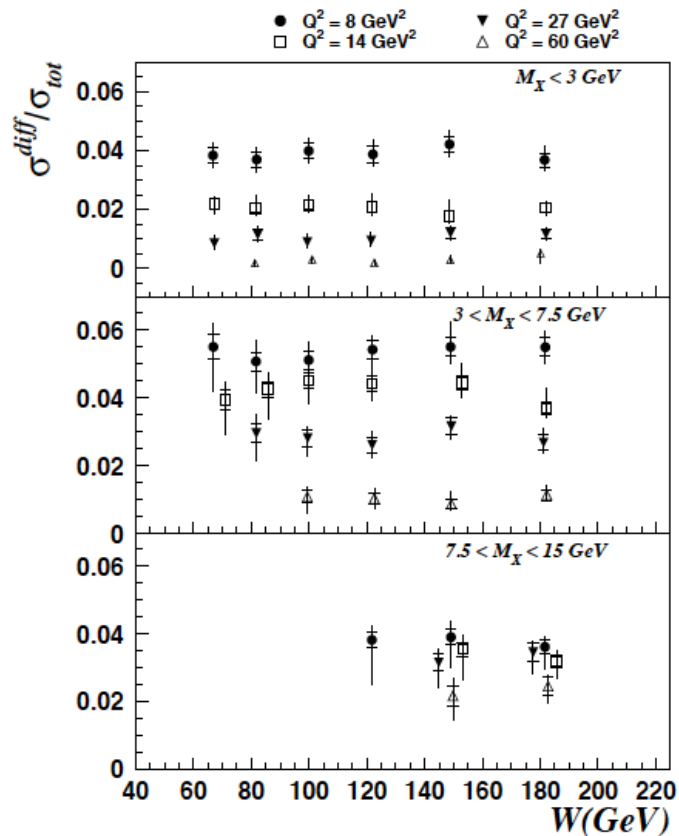
- `Cleanly' interpreted as hard $2g$ exchange coupling to $q\bar{q}$ dipole
- c and c -bar share energy equally, simplifying VM wavefunction relative to ρ
- Clean experimental signature (just 2 leptons)

- Scale $\overline{Q^2} \sim (Q^2 + M_V^2) / 4 > \sim 3 \text{ GeV}^2$ ideally suited to reaching Lowest possible x whilst remaining in perturbative regime

... eg LHeC reach extends to: $x_g \sim (Q^2 + M_V^2) / (Q^2 + W^2) \sim 5 \cdot 10^{-6}$

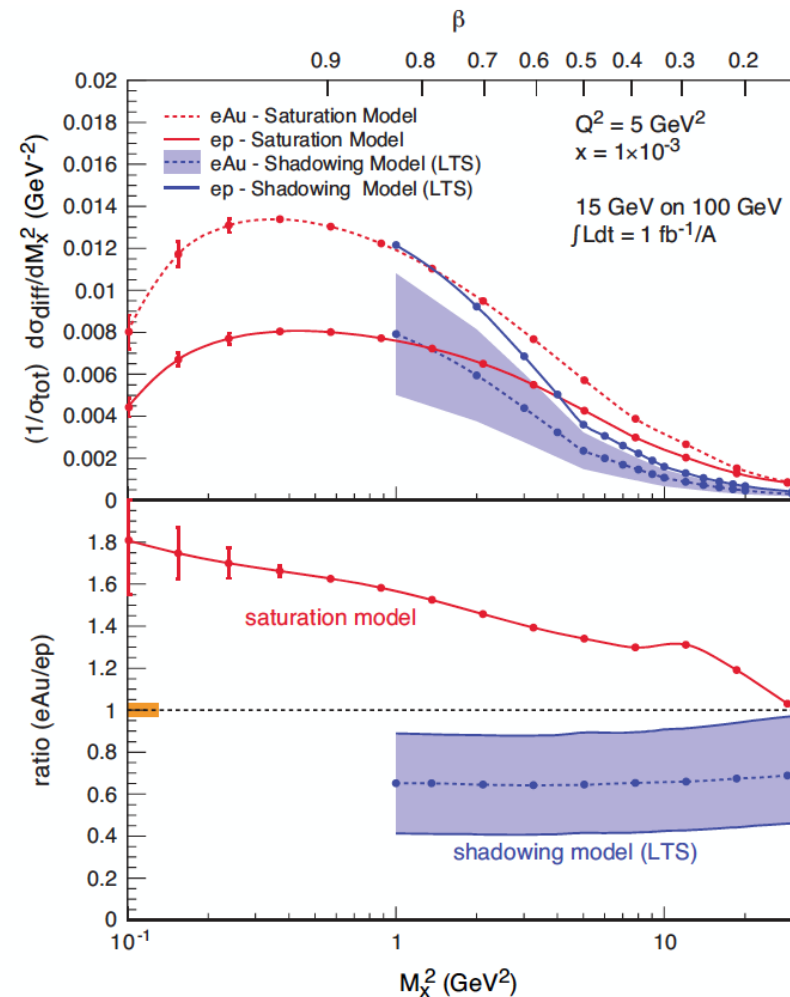


Diffractive : Inclusive Ratio



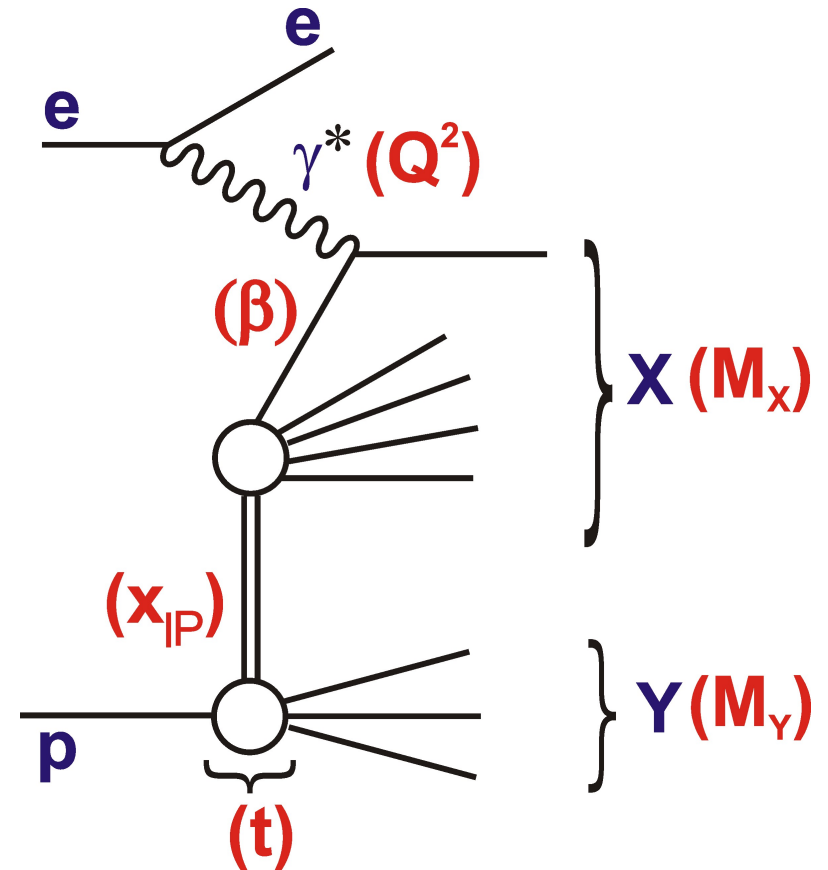
- Famous HERA plot ... Rather flat diffractive/inclusive ratio v x at fixed Q^2 , taken as evidence for saturation

- EIC 'Day 1' simulations confirm the importance of this sort of observable to disentangle saturation and shadowing ...
 ... increasing diff/incl ratio with A in saturation case ...



Kinematics

Most generally $ep \rightarrow eXY \dots$



In most cases here, $Y=p$,
(small admixture of low
mass excitations)

Standard DIS variables ...

x = momentum fraction q/p

$Q^2 = |\gamma^* \text{ 4-momentum squared}|$

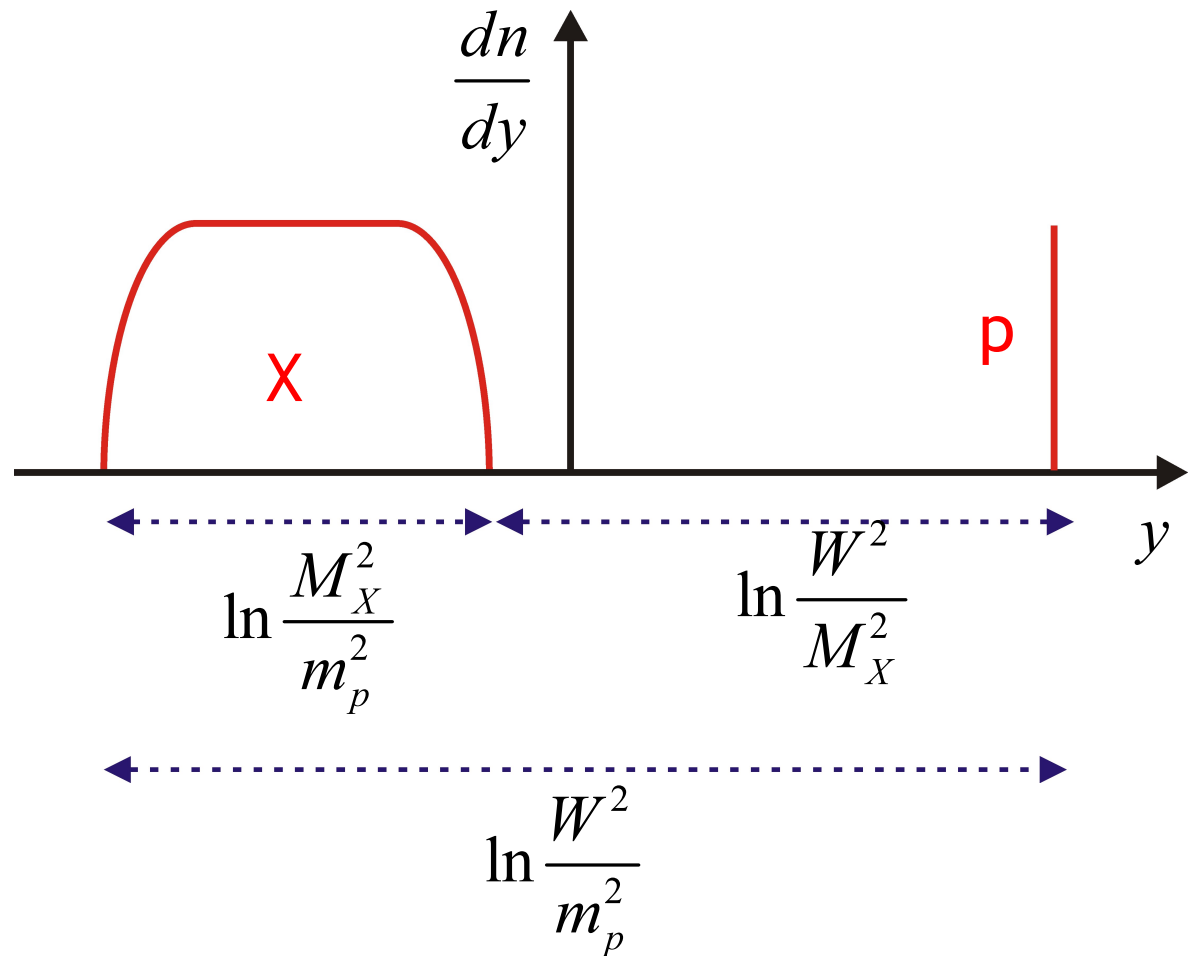
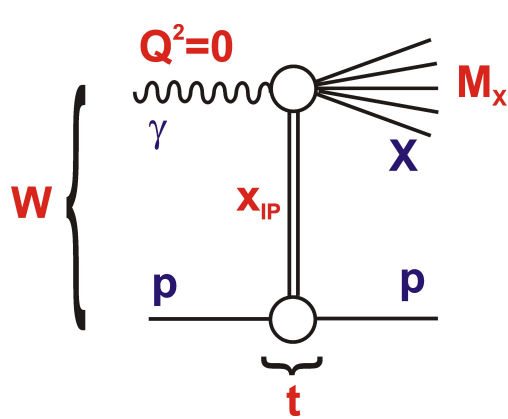
Additional variables
for diffraction ...

t = squared 4-momentum
transfer at proton vertex

x_{IP} = fractional momentum
loss of proton
(momentum fraction IP/p)

$\beta = x / x_{IP}$
(momentum fraction q / IP)

Basic Single Diffractive Event Topology



γp system of invariant mass W fragments to produce particles over rapidity range $\sim \ln(W^2/m_p^2)$

Similarly, diffractive system of mass M_X fragments over rapidity range $\sim \ln(M_X^2/m_p^2)$ leaving rapidity gap of size $\sim \ln(W^2/M_X^2) \sim -\ln x_{IP}$

Particle production within X shows similar patterns to ND

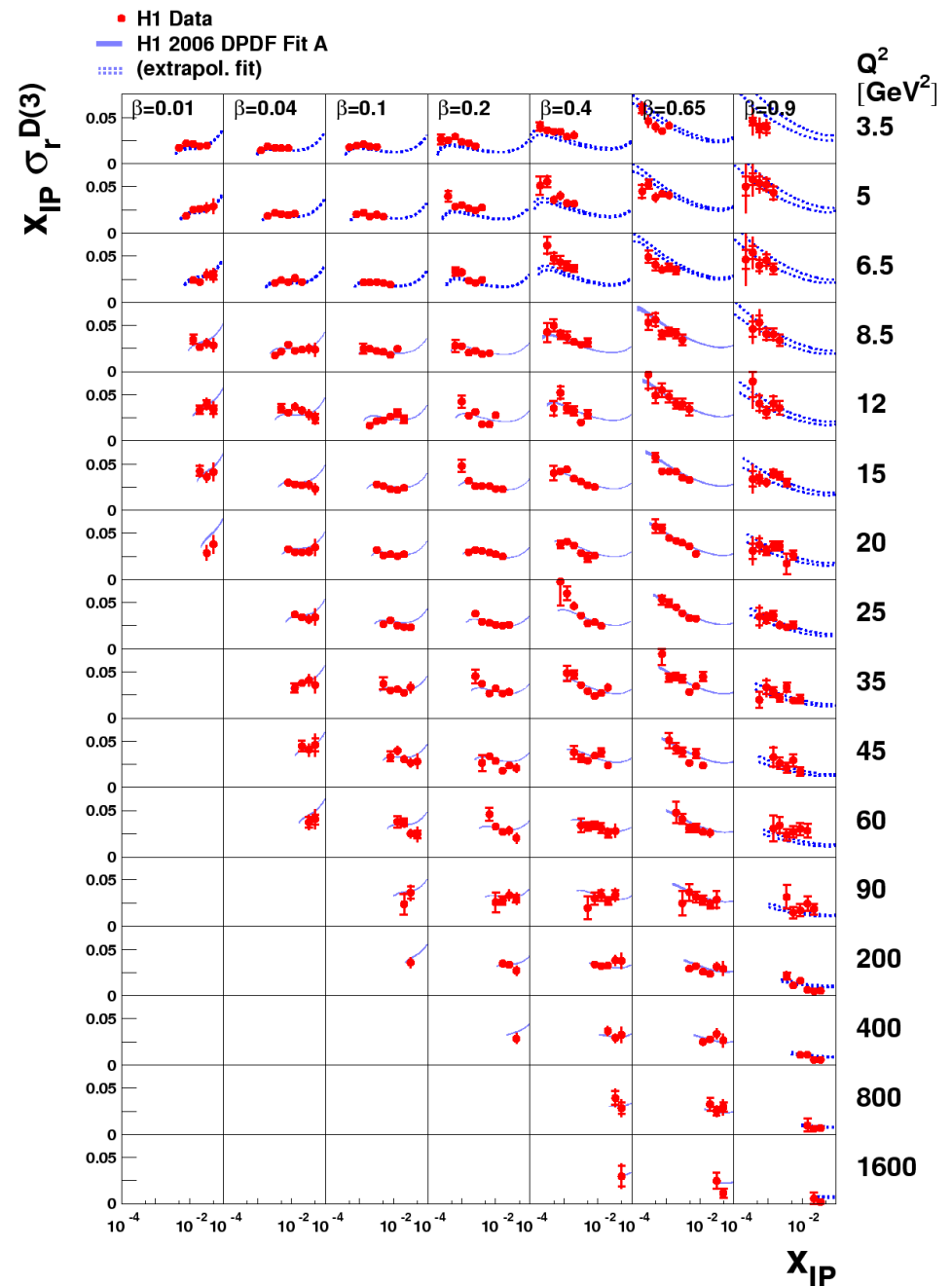
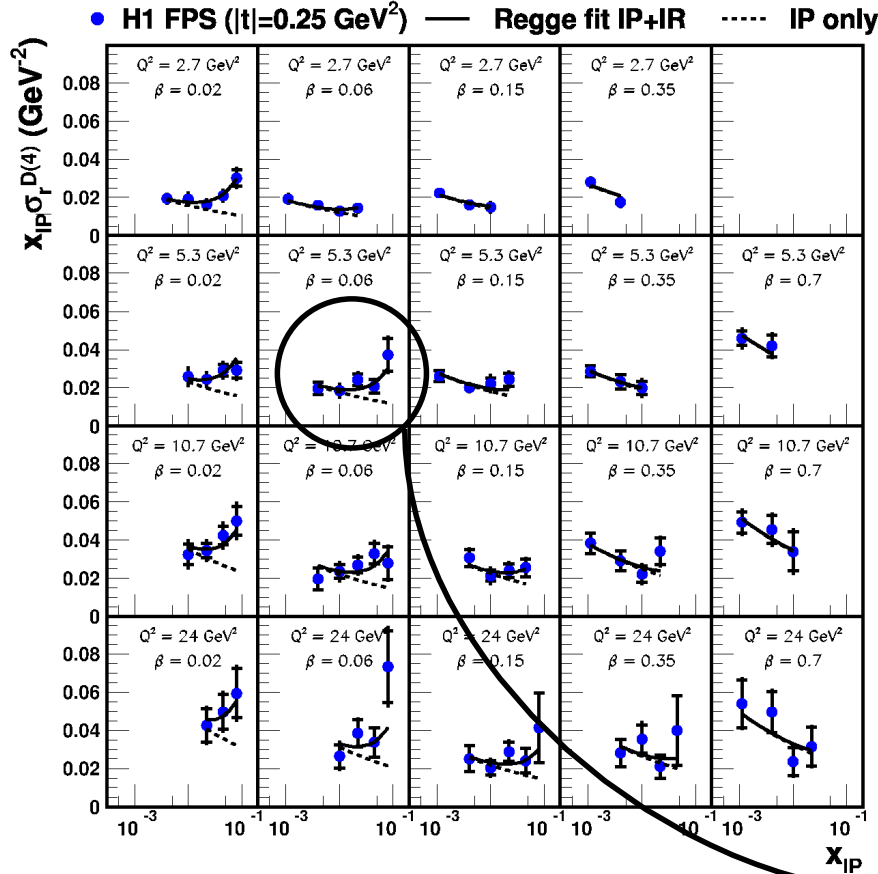
ep → eXY Data

LRG: $M_Y < 1.6 \text{ GeV}$ →

$$3.5 \leq Q^2 \leq 1600 \text{ GeV}^2$$

FPS: $Y=p$

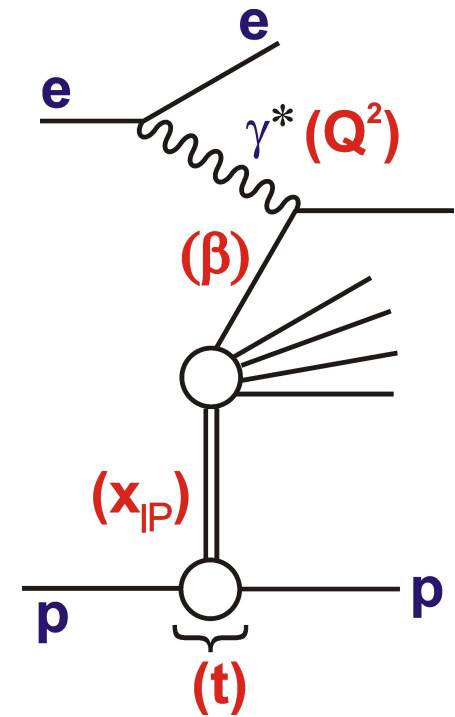
$$2.7 \leq Q^2 \leq 24 \text{ GeV}^2$$



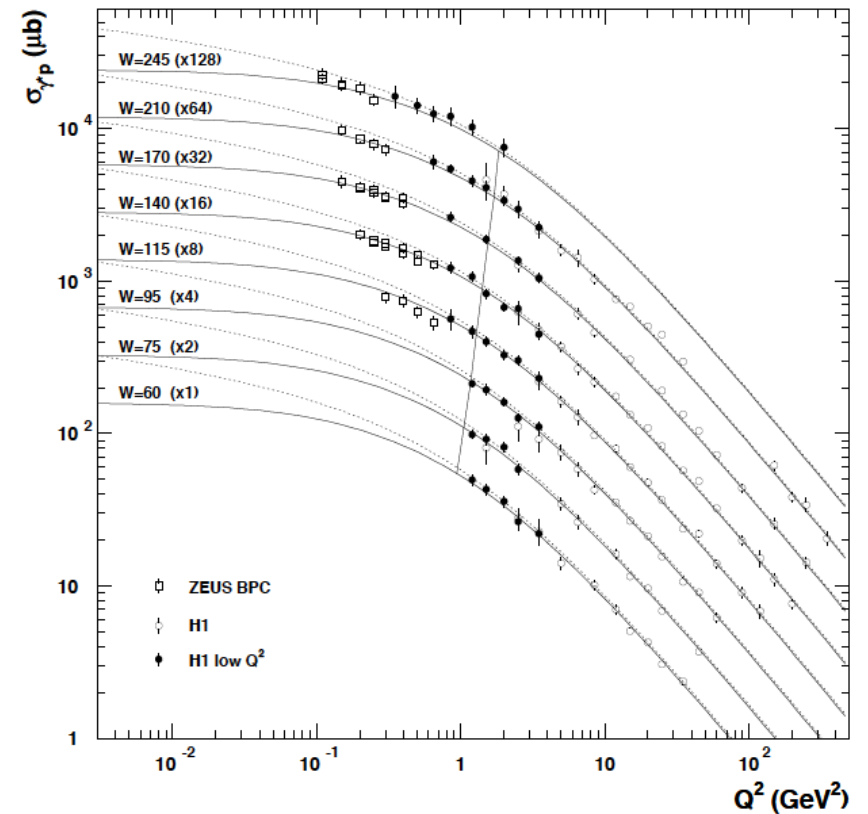
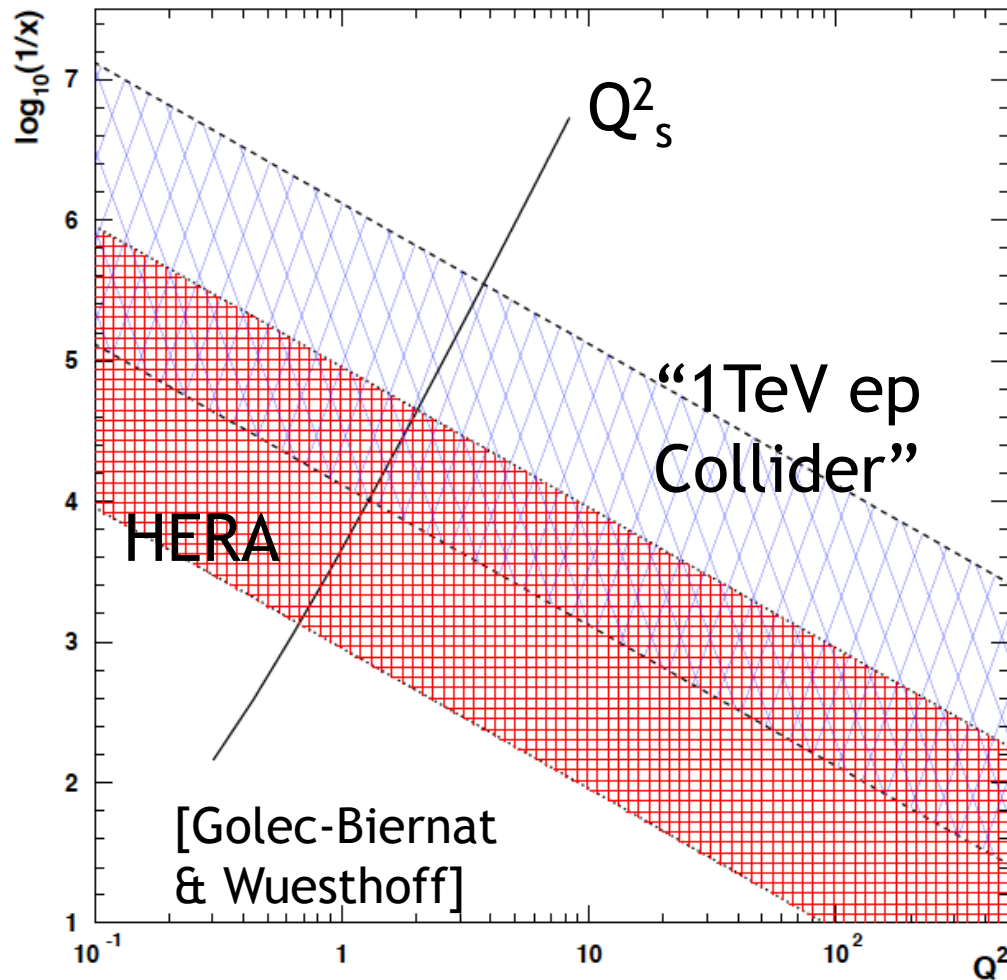
x_{IP} dependence shows clear IP+IR structure

Extracting the Quarks and Gluons

- Fit β and Q^2 dependence of LRG data from fixed x_{IP} binning scheme (χ^2 minimisation)
- Parameterise DPDFs at starting scale Q_0^2 for QCD evolution ...
... evolve to higher Q^2 using NLO DGLAP equations (massive charm) and fit β and Q^2 dependence for DPDFs
- Use proton vertex factorisation with $\alpha_{\text{IP}}(t)$ from FPS and LRG data to relate data from different x_{IP} values with complementary β , Q^2 coverage.
- Exclude data with low M_x or high β (higher twist region) and with low Q^2 (NLO insufficient?)



Introducing $Q^2 < 1 \text{ GeV}^2$ data ... and a Dipole Model with Saturation

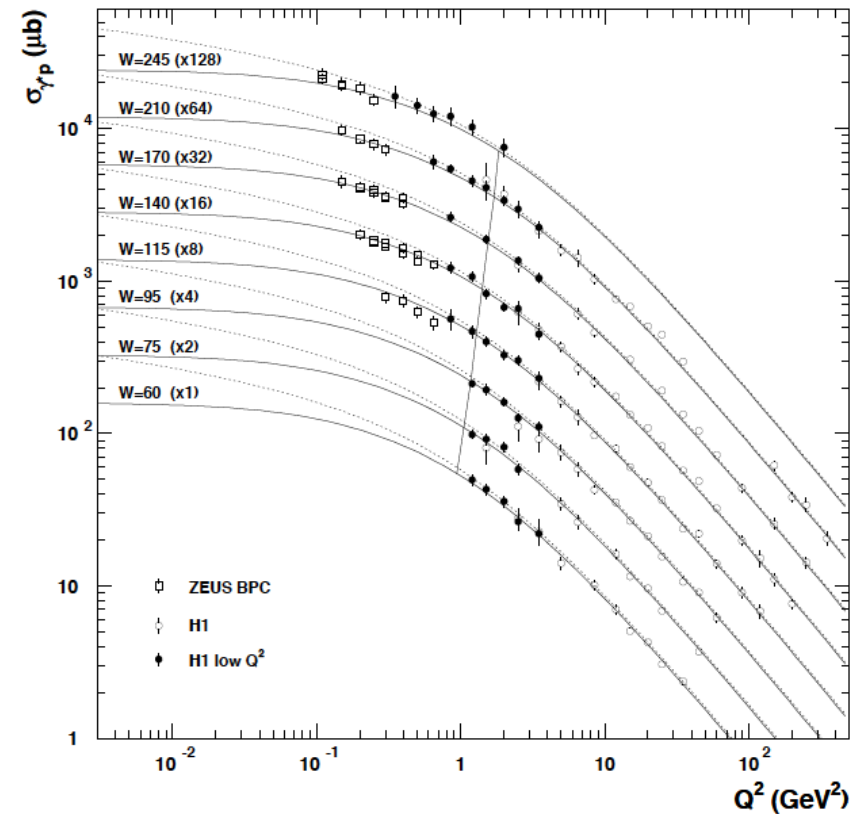
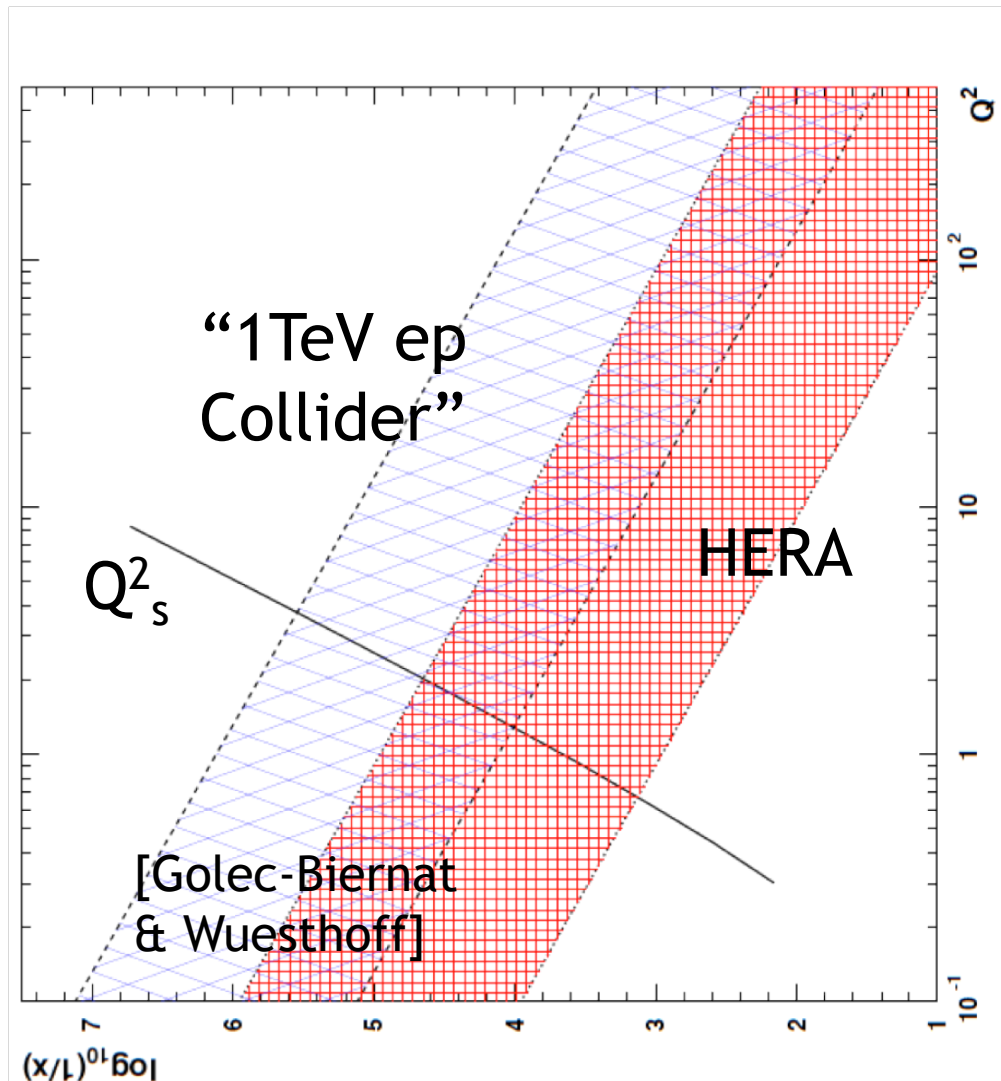


All data ($Q^2 > \sim 0.05 \text{ GeV}^2$) are well fitted in (dipole) models that include saturation effects

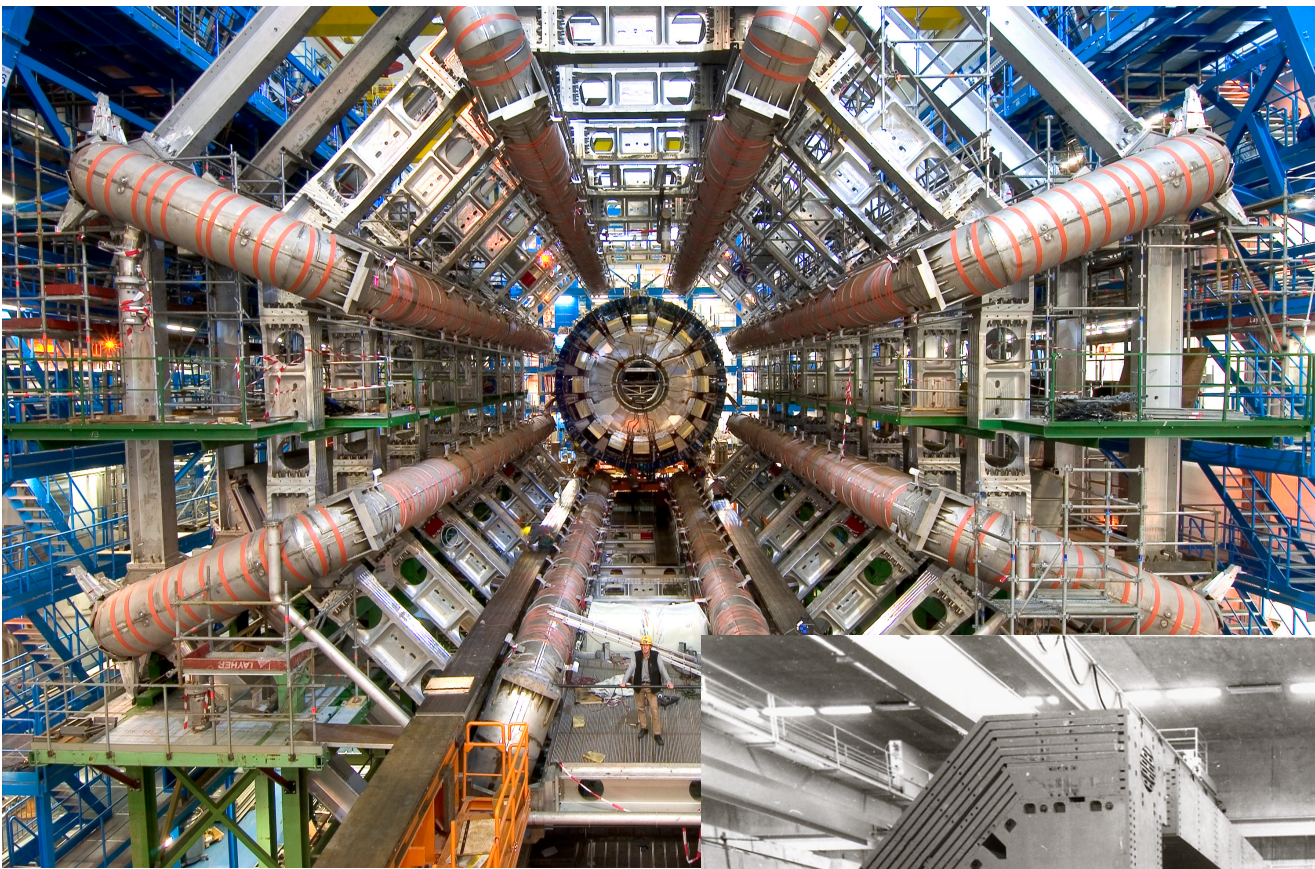
- x dependent "saturation scale", $Q_s^2(x)$

$$\frac{xG_A(x, Q_s^2)}{\pi R_A^2 Q_s^2} \sim 1 \implies Q_s^2 \propto A^{1/3} x^{-0.3}$$

Introducing $Q^2 < 1 \text{ GeV}^2$ data ... and a Dipole Model with Saturation



... at HERA, Q_s^2 doesn't get above about 0.5 GeV^2
 → Saturation may have been observed at HERA ... well described by CGC+dipoles
 → ***Gluon*** satⁿ not observed?
 (and may not be in inclusive ep in foreseeable future)



Scale:
H1 v
ATLAS

... yet x range of
sensitivity at HERA
is very well matched
to LHC requirements!

