



#### **QCD and Hadronic Final State measurements at HERA**

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(on behalf of the ZEUS & H1 collaborations)



 $Q^2 \sim 0 \text{ GeV}^2$ , Photoproduction (PHP)

 $Q^2 > 0.05 \text{ GeV}^2$ , DIS

- HFS hadronic final state is characterised by
- multiplicity
- space-time distribution of patricles (jets, etc)
- (W<sup>2</sup>) energy-momentum distribution
  - composition of FS (particle species )
  - how all these quantities varies with s, x,  $Q^2$ , W
  - Structure functions, talk by S. Shushkevich



#### **ISHEPP VI, 1981**



#### N. P. Zotov, A. D. Kovalenko, A. M. Baldin

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DESY



Hamburg-Bahrenfeld, Altona airport — future place for DESY and HERA (1957)

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#### HERA (1992-2007)

#### **German: Hadron-Elektron-RingAnlage, English: Hadron-Electron Ring Accelerator**



ZEUS

The storage ring was located in a tunnel of length 6.3 km

## HERA collider experiments





On May 31st 1992, the two HERA experiments **H1** and **ZEUS** observed for the first time electron - proton collisions

On June 30 2007, HERA was shut down.

The data were taken at proton beam energies of **820, 920, 575** and **460** GeV and an electron beam energy of **27.5** GeV:  $\sqrt{s} \le 318$  GeV

Change the centre of mass energy of the **e-p** collision allows to extract **F**<sub>L</sub>.

Collected data of ~1 fb<sup>-1</sup>

470+ papers

## HERA Kinematic Plane



## QCD — Quantum Chromo Dynamics

A part of more the general Electroweak Theory (SM), the output from the local gauge invariance principle and broken symmetries.

The SM Largangian provide rules for interactions of elementar partons.

However the proton and a vitual photon are more complicated systems.

Two branches:

1)The analytical perturbative approach (APA=pQCD + LHPD): MLLA, NNLO, ... 2) QCD MC models — essential ingredients:

- running  $\alpha_s$  ( asymptotic freedom )
- quarks and gluons as the particle constituents
- partonic cascade
- color coherence -> angular ordering
- parton fragmentation/hadronization into hadrons
- energy-momentym, electrical and colour charge conservation
- FS particles as input for the detector responce simulation with the GEANT package

That is

LO ME + LL PS + Fragmentation + Conservation Laws

However, it is misleading if LL showers are equated with LL analytical calculations.

In particular, the latter contain no constraints from energy-momentum conservation: the radiation off a quark is described in the approximation that the quark does not lose any energy when a gluon is radiated, so that the effects of multiple emissions factorize.

Therefore energy-momentum conservation is classified as a next-to-leading-log correction.

## **Space-time picture of fragmentation**

Timelike cascade (fireball type)



Space-like cascade, multiperiferal type (very succesful Regge approach)



HERWIG

Lund MC family:Pythia, Lepto, Ariadne (The fragmentation is based on the Lund string model) XXIII International Baldin Seminar

## Splitting kernels — Elemental parts of PS



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#### **DGLAP** evolution equations



 $q(z,Q^{2}_{0})$  should be parametrized ad hoc

$$P_{a \to bc}(z)$$

#### is interpreted as the branching probability for the original parton a. XXIII International Baldin Seminar

#### **Color Coherence and Angular Ordering**



«Chudakov effect»

$$\Theta_{\gamma e^{-}} pprox \Theta_{\gamma e^{+}} \gg \Theta_{e^{+}e^{-}}$$

Small transverse separation effective charge ~0, no radiation  $\gamma$  to be strongly suppressed

 $\Theta_{\gamma e^{-}} < \Theta_{e^+e^-}$  or  $\Theta_{\gamma e^+} < \Theta_{e^+e^-}$ 

e+ and e- can emit photons independently



effective color charge

$$\Theta_1 > \Theta_2 > \Theta_3 \dots, \Theta_1 >$$

So appears MLLA

a soft gluon singularity cures by the Sudakov FF

## Monte Carlo implementation

Can generate branching according to

$$\frac{d\sigma}{dt\,dz} = \Delta(Q,t)\frac{\alpha_s}{2\pi}\frac{1}{t}P_{q\,q}(z) \approx \exp\left(-\frac{\alpha_s}{2\pi}C_F \ln^2\frac{t}{Q} + \cdots\right)\frac{1}{t}\frac{\alpha_s}{2\pi}C_F\frac{1+z^2}{1-z}$$

The Sudakov factor  $\Delta(t0, t)$  as the probability of finding no gluons between the scales t and t0.

The Sudakov factor is equivalent to performing the leading logarithmic resummation in QCD.

It has the important qualitative effect of sending the cross section for producing a gluon at t = 0 from  $\sigma = \infty$  to  $\sigma = 0$ 

### **Hadronization**

Partons are not physical particles: they cannot freely propagate. Hadrons are.

Hadronization cannot be calculated from first principles. Need a model of partons' confinement into hadrons: hadronization.

Simplest : LHPD  $N_{hadrons} = K_{LPHD} \times N_{partons}$ 

LHPD - Local Hadron Parton Duality

#### Fragmentation - The Lund String Model

Start by ignoring gluon radiation: e+e- annihilation = pointlike source of qq pairs Intense chromomagnetic field within string →qq pairs created by tunnelling. Analogy with QED:

 $\frac{d(\text{Probability})}{dx \ dt} \propto \exp(-\pi m_q^2/\kappa)$ 

Expanding string breaks into mesons long before yo-yo point.



Light quarks connected by string.

## ARIADNE - The Colour Dipole Model

```
Emission of soft gluons from colour-anticolour dipole.
Subsequent dipoles continue to cascade
c.f. parton shower: one parton \rightarrow two
CDM: one dipole \rightarrow two = two partons \rightarrow three
                                             Kinematics is represented
                                             in 'origami diagram':
                                                                       G. Gustafson
                                             \log k_{\perp}
         1000
```

No Kt ordering (ala BFKL evolution)

Hadronization according to the Lund String Model

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Leif Lönnblad



#### Bryan Webber



The cluster hadronization model is based on the preconfinement property of parton showers, which leads to colour-singlet parton clusters with a universal mass distribution at low scales.



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1-st generation

2-nd generation

LEPTO

RAPGAP

PYTHIA 5.7 / JETSET 7.4 Dec 1993 PYTHIA 8.2 Present

ARIADNE 2 1988 ARIADNE version 4.12, present DJANGO CASCADE

HERWIG 5.1 1992 HERWIG 7 present , author list new HERWIG++ SHERPA



Large asymmetry of the beam energies at HERA, a large fraction of the hadronic final state close to the proton direction lies outside the detector acceptance. Only hadrons belonging to CR of BF or HCM used in analyses

#### <u>Modified Leading Log Approximation</u> <u>MLLA/LPHD expectations</u>

Average multiplicity of hadrons  $< n_{ch}(s) >= a\alpha_s(s)^{b_1} \exp[b_2/\sqrt{\alpha_s(s)}]$ 

Momentum distribution 
$$\frac{1}{\sigma} \frac{d\sigma^{e^+e^-}}{\mathrm{d}\ln(1/x_p)} = \mathcal{N}(Y) \left(\frac{36N_C}{\pi^2 b Y^3}\right)^{1/4} \exp\left[-\sqrt{\frac{36N_C}{b}} \frac{(l - \ln(1/x_{max}))^2}{Y^{3/2}}\right]$$

where 
$$l = \ln(1/x_p), Y = \ln(\sqrt{s}/2\Lambda_{eff})$$
  $b = \frac{11}{3}N_c - \frac{2}{3}N_f$   
 $\mathcal{N}(Y) = K^{ch}Y^{1/4-B/2} \exp\sqrt{\frac{16N_c}{b}Y}$ 

The position of the maximum of the distribution

$$\ln(1/x_{max}) = 0.5Y + B\sqrt{\frac{b}{16N_C}}\sqrt{Y} + \mathcal{O}(1)$$

Access to the main parameters of QCD

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## MLLA .vs. MC



The same was done with LEPTO and HERWIG in pre-HERA



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#### <u>Universality of the quark fragmentation in e+e- and ep</u>



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#### Universality of the Quark Fragmentation: Scale and Frame Dependence

#### ZEUS



DISMeasurements are performed in HCM and BF

-Scales: W in HCM,  $2\cdot E_{\rm B}^{\rm cr}\,$  in BF

DESY-08-036

#### Invariant charged Hadron Energy Spectrum in the Current Hemisphere

H1

Nuclear Physics B 504 (1997) 3



all events are shown as open circles and those utilising the Breit frame energy flow selection as solid circles

The solid line is the prediction of MLLA/LPHD and the dashed line is the corresponding expectation for a non-running coupling constant,  $\alpha_s$  <sup>26</sup>

#### **Momentum Distributions**

ZEUS 1993



The logarithmic scaled momentum distributions,  $\xi$ 

$$\xi = \log \frac{1}{x}, x = p_{track} / E_{jet}$$

Guassian shape





**Evolution of the Peak** 



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## Evolution of the Peak at Tevatron

 $N_{hadrons} = K_{LPHD} \times N_{partons}$ 

Local Parton-Hadron Duality



Tracks were counted in restricted cones of sizes 0.47 around the jet axis.

## Mean, Dispersion etc.



#### B. R. Weber

$$\langle n 
angle = a \, \alpha_s^b \exp\left(c/\sqrt{\alpha_s}\right) \, \left[1 + d \cdot \sqrt{\alpha_s}\right]$$
  
Two-loop expression

$$\frac{\alpha_s(W^2)}{4\pi} = \frac{1}{\beta_0 \ln(W^2/\Lambda^2)} - \frac{\beta_1 \ln \ln(W^2/\Lambda^2)}{\beta_0^3 \ln^2(W^2/\Lambda^2)}$$

$$R_2 = \langle n(n-1) \rangle / \langle n \rangle^2$$

## Mini conclusions

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- Universality of the quark fragmentation
- Running strong coupling
- Color coherence
- Shower picture
- Independent fragmentation
- MLLA predictions well confirmed by MC and data

#### **Bose-Einstein Correlations (BEC)**

Pairs of like-sign identical particles had a tendency to have smaller opening angles than pairs of unlike-sign one.	Process	Experiment	$r \ ({\rm fm})$
		AMY	$0.73 \pm 0.05 \pm 0.20$
	$e^+e^-$	TASSO	$0.82 \pm 0.06 \pm 0.04$
The effect of BEC between identical final-state particles is the true quantum nature of the hadronization process.		MARK II	$0.75 \pm 0.03 \pm 0.04$
		LEP	$0.78 \pm 0.01 \pm 0.16$
	Previous	EMC	$0.84 \pm 0.03$
The detailed BE physics is not that well	DIS	BBCNC	$0.80\pm0.04$
understood		H1	$0.68 \pm 0.04^{+0.02}_{-0.05}$
No dependence of the source size on the kinematic variables, including Q <sup>2</sup> .		ZEUS	$0.666 \pm 0.009^{+0.022}_{-0.036}$
	HERA	H1 (diffractive)	$0.59 \pm 0.13^{+0.05}_{-0.05}$
		ZEUS $(K^{\pm}K^{\pm})$	$0.57 \pm 0.09^{+0.15}_{-0.08}$
Within the DIS regime, Bose–Einstein		ZEUS $(K_S^0 K_S^0)$	$0.63 \pm 0.09^{+0.11}_{-0.08}$
interference in ep scattering does not depend significantly on the details of			
the hard process.	In MC, BEC implemented by force		
	not with amplitudes		

#### Strange particle production

# How well MC generators simulate s-quark production with $\lambda_s = const$ ?



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## $K_{s}^{0}$ and $\Lambda$ reconstruction



Background at the level of  $\sim 6\%$  in  $\Lambda$ and  $\sim 3\%$  in the K<sup>0</sup> sample MC To study physics and determine the response of the detector and obtain the correction factors DIS **CDM** ARIADNE 4.1 MEPS LEPTO 6.5 PHP PYTHIA 6.1

 $\lambda_s = P(s)/P(u)$ 

- strangeness suppression factor

#### $\mathrm{K}^{0}_{\mathrm{s}}$ in photoproduction $x_{\gamma}^{\text{OBS}} = \frac{\sum E_T^{\text{jet}} e^{-\eta^{\text{jet}}}}{2y_{\text{JB}} E_e^{\text{beam}}}$ ZEUS 5000 [qd] <sup>4000</sup> <sup>4000</sup> <sup>4000</sup> <sup>4000</sup> <sup>900</sup> [dd] dơ/dx<sub>γ</sub><sup>obs</sup> [pb] 900 ZEUS (prel.) 96-97 K<sup>0</sup><sub>s</sub> production 250 Energy scale uncertainty 800 dơ/dx<sub>ץ</sub>⁰bs | 700 HERWIG 200 600 PYTHIA 500 150 400 2000 100 300 1000 200 10<E<sup>jet1</sup>≤16GeV 100 <E<sup>jet1</sup>≤23GeV 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 0 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 õ ŏ 0.1 0.2 0.3 0.4 0.5 0.6 0.7 0.8 0.9 $\mathbf{X}_{\gamma}^{obs}$ $\mathbf{X}_{\gamma}^{obs}$ $\mathbf{X}_{\gamma}^{obs}$ ZEUS dơ/dη(K<mark>s</mark>) [pb] 8 8 ಕ ಕ dơ/dη(K<sub>S</sub>) [pb] dơ/d ղ(K<sub>s</sub>) [pb] ZEUS (prel.) 96-97 -HERWIG K<sup>0</sup><sub>s</sub> production 40 Energy scale uncertainty - PYTHIA 350 300 25( 200 150 x<sup>obs</sup>>0.75 x<sup>obs</sup>>0.75 x.obs>0.75 100 10<E<sup>jet1</sup>≤16GeV 16<E<sup>jet1</sup>≤23Ge\ E<sup>jet1</sup>>23GeV 50 0 -1.5 -0.5 0.5 -0.5 0.5 -0.5 0.5 -1 0 1 1.5 -1 0 1 1.5 0 1 -1.5 1.5 -1.5 η**(K**<sub>s</sub><sup>0</sup>) η**(K**<sub>s</sub><sup>0</sup>) η**(K**<sub>s</sub><sup>0</sup>)

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## $\Lambda$ in photoproduction



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D=0.3

#### **DIS cross-sections: Differential features**

ZEUS

ZEUS



K<sup>0</sup><sub>s</sub>: All model predicts steeper p<sub>T</sub> slopes

**Λ**: ARIADNE with  $\lambda_s$ =0.3 describes data well, 0.22 less satisfactory LEPTO fails to describe the data (too fast growth of d $\sigma$ /dη with Q<sup>2</sup>)



 ARIADNE underestimates the data at high Q<sup>2</sup> by up to 20%; Ratios are similar to those from ee and pp.

#### **Cross Sections in Breit Frame**



- Current region: weak sensitivity to  $\lambda_s$ 
  - HERWIG does not fall steeply enough - ARIADNE describe the data with any  $\lambda_s = 0.2 \div 0.3$
- Target region:  $\frac{d\sigma}{dx_p} \sim e^{c\lambda_s}$  (cascade)  $\lambda_s$  value is sensitive to the particle mass  $\lambda_s(m_\Lambda) > \lambda_s(m_{\mathrm{K}^0_s})$ - ARIADNE:  $\lambda_s \ge 0.3$  at  $x_p > 0.5$  and
  - $\lambda_s \sim 0.22 0.25$  at  $x_p < 0.5$
  - HERWIG falls too steeply

#### Non-perturbative phenomena: Search for QCD-instanton in DIS



Existence of instantons is required by the Standard Model.

Ringwald and Schrempp: MC QCDINS, less than 1% of  $\sigma$  (NC DIS)

For the description of parton showers and hadronisation, HERWIG 5.9 is used.

H1 — neural network O(50), PDERS (Probability Density Estimator with Range Search) ZEUS - the Fisher discriminant O(6)

The problem: the background MC HERWIG (w/o instantons) generate fireball like events

ZEUS,2003: Upper limit on the instanton cross section of 26 pb at a 95% c.l. has been set. The theory predicts the cross section of 8.9 pb.

H1, 2016: No evidence for the production of QCD instanton-induced events is observed. Upper limits on the cross section for instanton-induced processes between 1.5~pb and 6~pb XXIII International Baldin Seminar 40

# Photoproduction



event with 2 jets

fireball-like event

#### Fireball sample selection in PHP





**PYTHIA** fails to describe the data MI makes several independent jets

## Mini conclusions

- ARIADNE and PYTHIA satisfactorily describe some of the distributions with  $\lambda_s$  in the range [0.22-0.3], however  $\lambda_s$  value depends on Q<sup>2</sup>, x<sub>Bj</sub>, p<sub>T</sub> and \eta;

- The ratio of baryons to mesons is large in the PHP resolved region and in the fireball PHP region much larger than in e+e- and is not described by PYTHIA ;

### $\phi(1020)$ in the K+K- decay mode



- cross sections as functions of  $p_t(\phi)$ ,  $\eta(\phi)$ ,  $x_p(\phi)$  and  $Q^2$ , compared to MC models with  $\lambda_s=0.22$  values and the CTEQ5D parton densities.
  - <u>ss</u> pairs from QPM and QCDC (green shaded band)
  - ss pairs from BGF (yellow shaded band)
- Current region of the Breit frame contains a significant fraction of events due to the hard scattering on the strange sea
- Relative contribution from hard QCD increases with  $\mathbf{p}_{\mathrm{t}}(\phi)$

Lund Monte Carlo models fail to describe  $x_p$ ,  $\eta(\phi)$  distributions and the cross section in the Breit frame.

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#### Strange sea in the proton



High-momentum  $\phi$  mesons  $(x_p > 0.8$ ) in the current region of the Breit frame give clear evidence for the strange sea in the proton.

## Prompt photons

Isolated photons emerge without the hadronization phase



An access to the quark and gluon content of the proton and the hadronic nature of the resolved photon.

A comparison to MC models, as well as to NLO QCD (DGLAP) and the kT -factorization approaches.

#### Prompt photons: NLO, collinear factorization



As 
$$x_{\gamma} = 1$$
 - dir.  $\gamma$   
 $x_{obs}^{\gamma} \leq 0.9$  res.  $\gamma$ 

Or place cuts on pT

suppress the contribution from the res.  $\gamma$ 

 $x_{obs}^{p} = \frac{p_T^{\gamma} e^{\eta^{\gamma}} + p_T^{\text{jet}} e^{\eta^{\text{jet}}}}{2 E^{p}}$ 

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## Prompt photons: kT-factorization

A. Lipatov, N. Zotov

Relies on parton distribution functions where the kT -dependence has not been integrated out.

kT of incoming partons is generated in the course of non-collinear parton evolution

Kimber-Martin-Ryskin (KMR) unintegrated parton densities (UPD)



#### Prompt photons: comparison to data



 $\sigma_{tot}$  for the process
  $ep \rightarrow e + \gamma_{prompt} + jet + X$   $\sigma(ep \rightarrow e + \gamma_{prompt} + jet + X) = 33.1 \pm 3.0 (stat.) ^{+4.6}_{-4.2} (syst.) pb$  ZEUS

  $23.3^{+1.9}_{-1.7} pb$  (KZ)
  $30.7^{+3.2}_{-2.7} pb$  (LZ)
 PYTHIA
 20 pb

  $23.5^{+1.7}_{-1.6} pb$  (FGH)
  $30.7^{+3.2}_{-2.7} pb$  (LZ)
 PYTHIA
 20 pb

## Prompt photons: comparison to data



The QCD calculation based on the kT -factorization and KMR prescription for UPD, gives the best description of the E<sub>T</sub> and  $\eta$  cross sections, However, such calculations have larger theoretical uncertainties (scale dependence). LL MC underestimate xs by 30%

#### Conclusions

We briefly overviewed only a small part the HERA measurements on HFS.

These results confirms all basic features of QCD.

The HERA data favours the MC models with PS and the Lund hadronization.

However the data also indicate that PS should be improved by corrections beyond LL and the kT-ordering (BFKL-type evolution).

H1Zeus - HERA Combined Results

8 papers

www.desy.de/h1zeus/combined\_results/

## **HERA** Heritage

226+ H1 publications: www-h1.desy.de/publications/H1\_sci\_results.shtml

H1 Theses: www-h1.desy.de/publications/H1\_sci\_results.shtml

253+ ZEUS publications: www-zeus.desy.de/zeus\_papers/

**ZEUS Theses:** zeusdp.desy.de/zeus\_theses/index.html

**Reviews (only recent):** 

-The Hadronic Final State at HERA, P.R. Newman, M. Wing, Rev. Mod. Phys. 86, 1037 (2014)

-Charm, Beauty and Top at HERA, O. Behnke, A. Geiser, M. Lisovyi,Prog.Part.Nucl.Phys. 84,1 (2015)

-Summary of workshop on Future Physics with HERA Data, A. Bacchetta, J. Blümlein, O. Behnke et.al, arXiv.org/abs/1601.01499 indico.desy.de/conferenceDisplay.py?ovw=True&confld=10523

HERA Data: Open Access is under discussion

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### In memory of two outstanding personalities



#### Pavel Ermolov,

Head and the Founder of DEHEP at SINP MSU

And the great scientific journey we undertook together ...

DESY,Hamburg, 30.06.2007

## ... with the grandiose equipment, people



The ZEUS detector + The ZEUS Collaboration

+ QCD



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DESY, Hamburg, 30.06.2007  $_{56}$