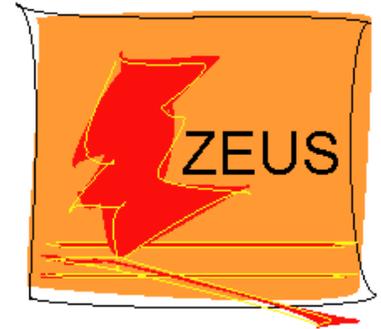


Combination of Differential $D^{*\pm}$ Cross - Section Measurements in DIS at HERA



Lidia Goerlich

Institute of Nuclear Physics PAN, Cracow
on behalf of the H1 and ZEUS collaborations



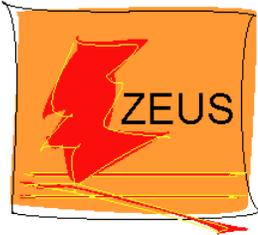
- Charm production in DIS
- Combination of D^* differential cross sections measured at HERA
- NLO QCD predictions (massive Fixed Flavour Number Scheme)
- Data vs. theory predictions
- Summary

Low-x Meeting 2015

Sandomierz, Poland, 1-5 September, 2015



HERA



$E_e = 27.6 \text{ GeV}$



$E_p = 920 - 460 \text{ GeV}$



- **HERA – the world's only ep collider** operated in 1992-2007 colliding electrons or positrons with protons
- two colliding beam experiments: H1 and ZEUS

- Nominal proton beam energy :

HERA I (1995-2000)

$E_p = 820 / 920 \text{ GeV}$
 $\sqrt{s} = 300 / 318 \text{ GeV}, L_{\text{int}} = 126 \text{ pb}^{-1}$

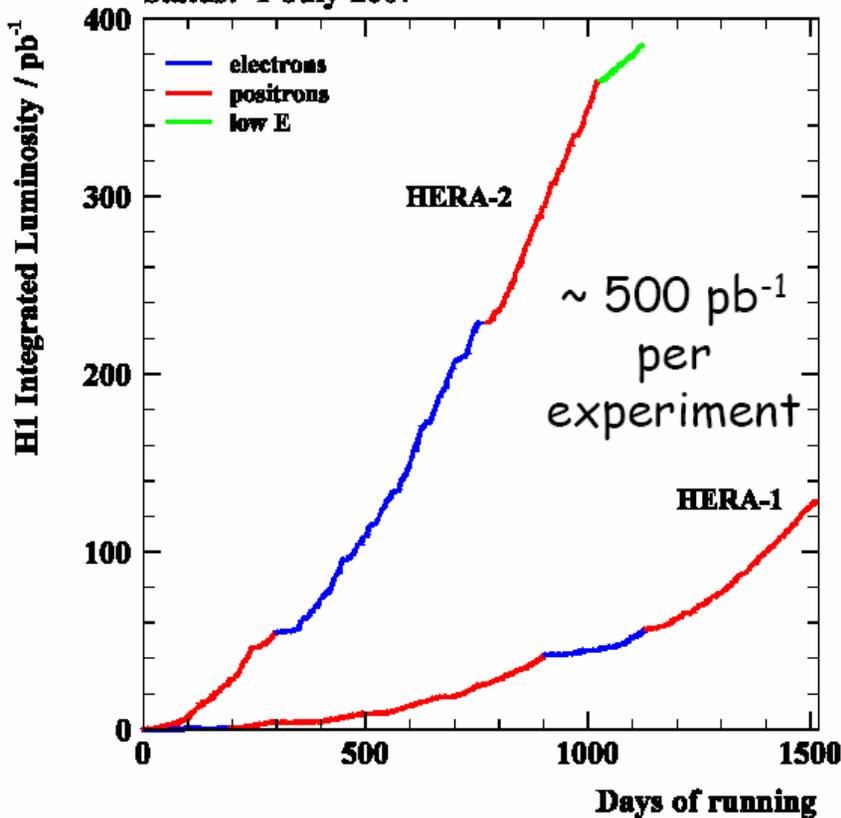
HERA II (2003-2007)

$E_p = 920 \text{ GeV}$
 $\sqrt{s} = 318 \text{ GeV}, L_{\text{int}} = 373 \text{ pb}^{-1}$

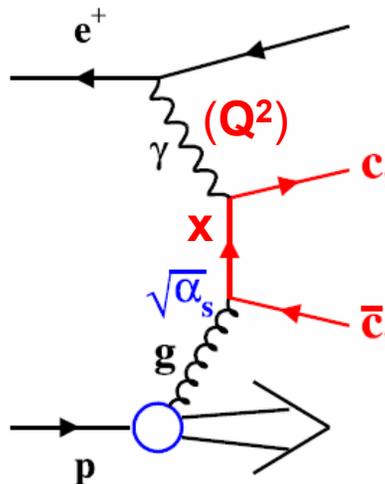
- Reduced proton beam energy :

$E_p = 460 \text{ GeV}, \sqrt{s} = 225 \text{ GeV}, L_{\text{int}} = 12.4 \text{ pb}^{-1}$
 $E_p = 575 \text{ GeV}, \sqrt{s} = 250 \text{ GeV}, L_{\text{int}} = 6.2 \text{ pb}^{-1}$

Status: 1-July-2007



Charm production in DIS



Boson-Gluon Fusion $\gamma g \rightarrow c\bar{c}$

dominant process of charm production in DIS

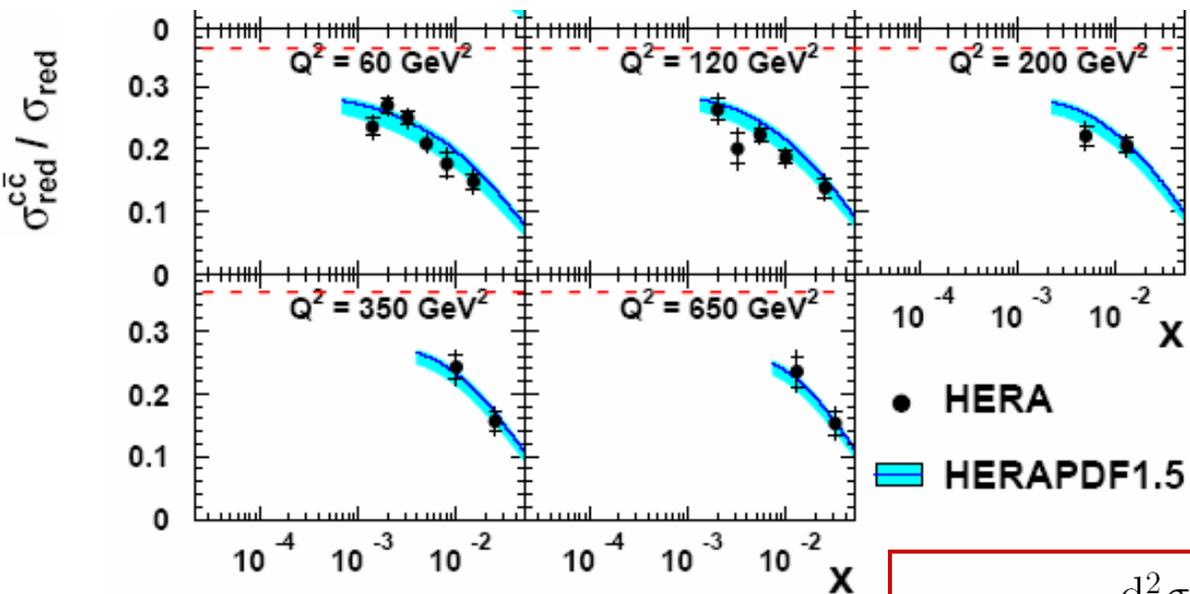
Q^2 |virtuality| of the exchanged boson

x fraction of proton momentum carried by struck quark in Quark Parton Model

y inelasticity, fraction of lepton energy taken by photon in the proton rest frame

DIS : $Q^2 \geq 1 \text{ GeV}^2$

Fraction of charm contribution to the inclusive DIS cross section



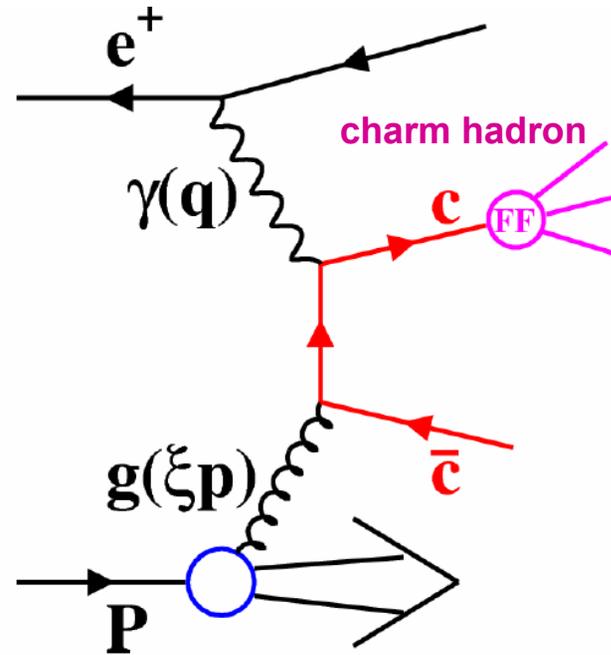
At high Q^2 up to 30% of charm contribution to the HERA DIS cross section

arXiv:1506.07519

reduced charm cross section

$$\sigma_{\text{red}}^{c\bar{c}} = \frac{d^2\sigma^{c\bar{c}}}{dx dQ^2} \cdot \frac{xQ^4}{2\pi\alpha^2(Q^2) (1 + (1 - y)^2)}$$

Charm production in DIS



- **Tests of perturbative QCD**
(multiple hard scales m_c , Q^2 , $p_T(c)$, various heavy quark mass schemes)
- **Sensitivity to the gluon density in the proton**
- **Constraints on the flavour composition of quarks in the proton**
- **Measurements of c-quark mass and its running**
- **Constraints on the charm fragmentation parameters**
- **Impact on proton parton distribution functions (PDFs)**
→ improvement of predictions for W^\pm / Z and Higgs production cross-sections at the LHC

At HERA different techniques used to measure charm production cross sections :

- full reconstruction of D or D* mesons
- lifetime tagging
- tagging of leptons from semi-leptonic decays of heavy-flavour hadrons



Reduced cross sections $\sigma_{\text{red}}^{c\bar{c}}$ for charm production measured by the H1 and ZEUS exp. combined, $2.5 \leq Q^2 \leq 2000 \text{ GeV}^2$, EPJ C73 (2013) 2311

(extrapolation from the visible to the full phase space, significant theory related uncertainties)

Combination of $D^{*\pm}$ differential cross sections in DIS

- analysis of fully reconstructed $D^{*\pm}$ mesons: best signal - to- background ratio

H1 medium Q^2 , $5 \leq Q^2 \leq 100 \text{ GeV}^2$, $L_{\text{int}} = 348 \text{ pb}^{-1}$, EPJ **C71** (2011) 1769

H1 high Q^2 , $100 \leq Q^2 \leq 1000 \text{ GeV}^2$, $L_{\text{int}} = 351 \text{ pb}^{-1}$, PL **B686** (2010) 91

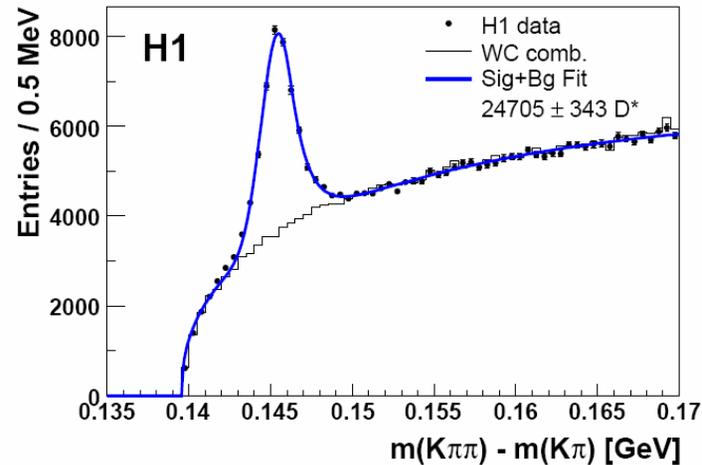
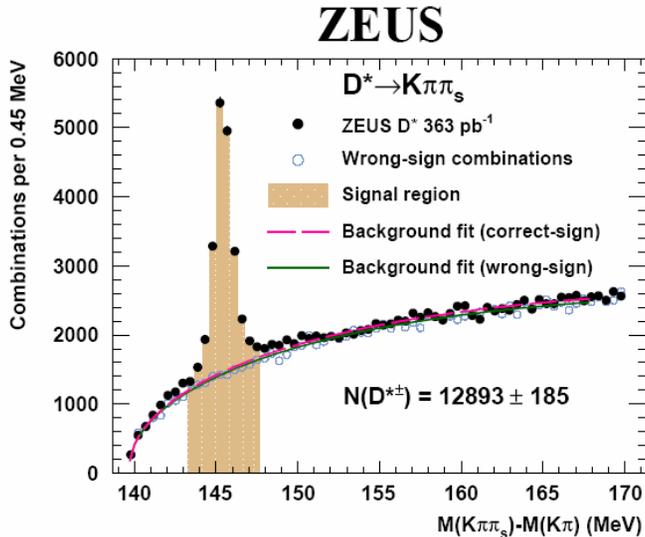
ZEUS, all Q^2 , $5 \leq Q^2 \leq 1000 \text{ GeV}^2$, $L_{\text{int}} = 363 \text{ pb}^{-1}$, JHEP **05** (2013) 097

ZEUS, HERA I, $1.5 \leq Q^2 \leq 1000 \text{ GeV}^2$, $L_{\text{int}} = 82 \text{ pb}^{-1}$, PR **D69** (2004) 012004 (used only for 2d cross sections)

- H1 and ZEUS data combined in the visible phase space region \rightarrow small extrapolation uncertainties
($5 < Q^2 < 1000 \text{ GeV}^2$, $0.02 < y < 0.7$, $p_{\text{T}}(D^*) > 1.5 \text{ GeV}$, $|\eta(D^*)| < 1.5$)

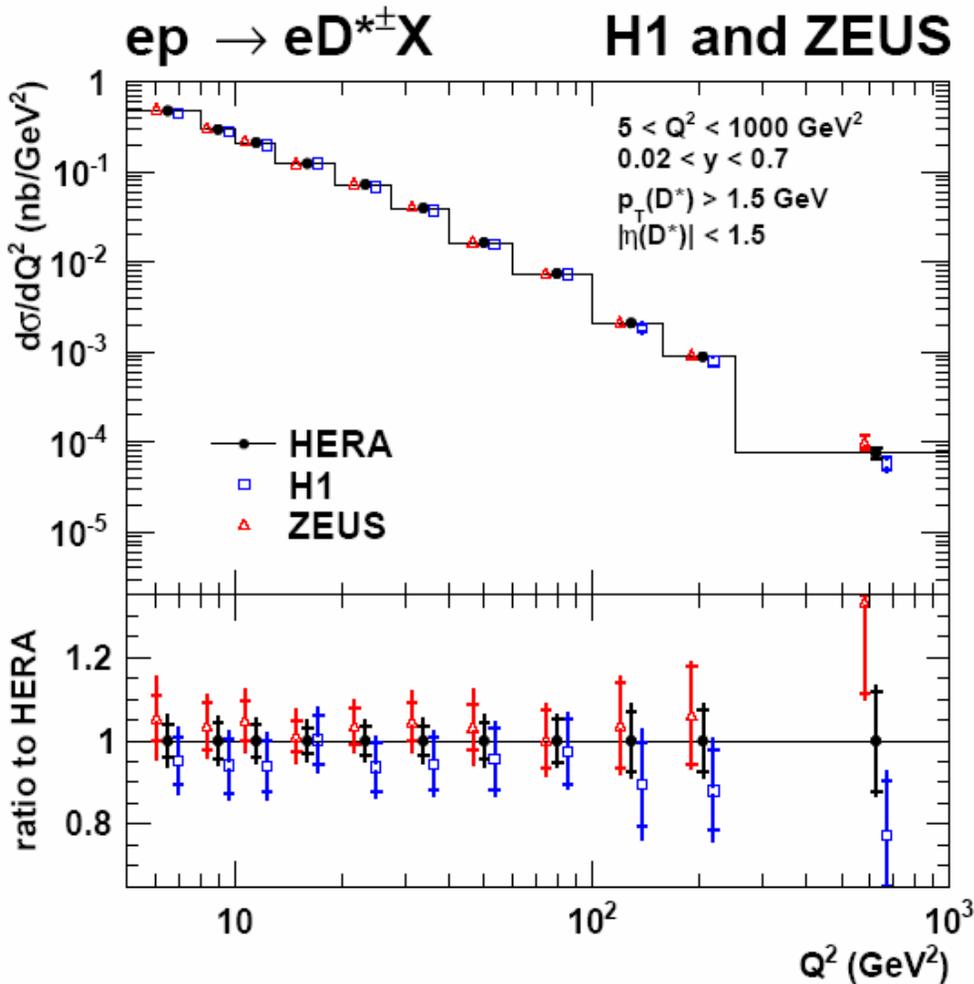
- single and double ($Q^2 > 1.5 \text{ GeV}^2$) differential cross section in various variables

golden decay channel $D^{*+} \rightarrow D^0 \pi_s^+ \rightarrow K^- \pi^+ \pi_s^+$



Clean signal in $M(K^- \pi^+ \pi_s^+) - M(K^- \pi^+)$ distribution

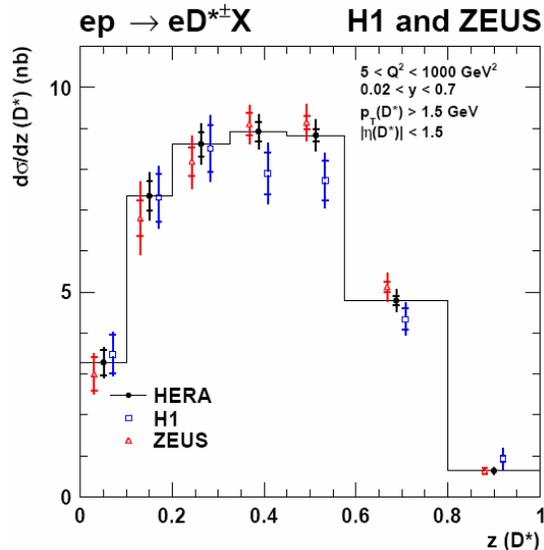
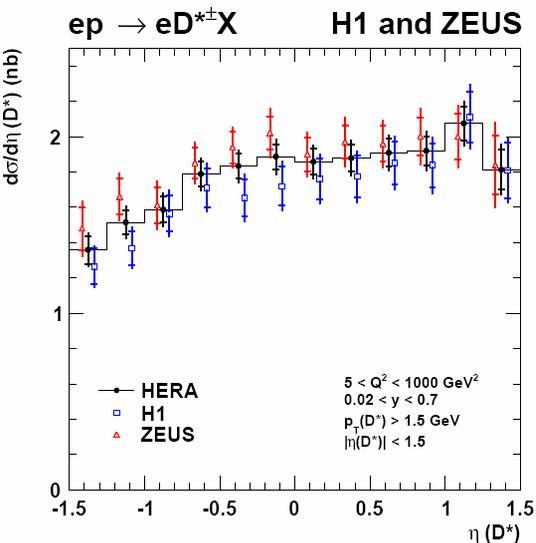
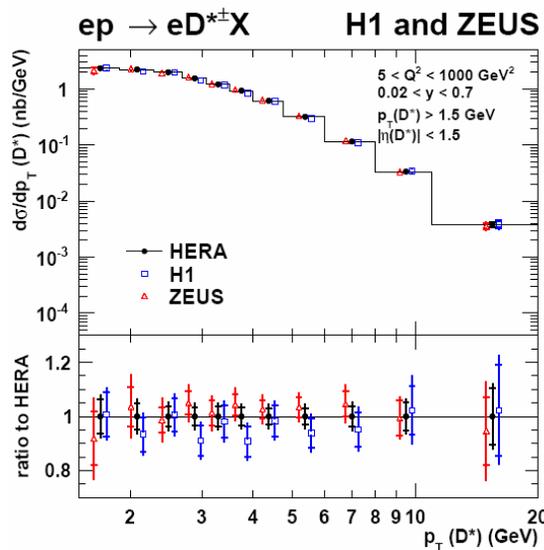
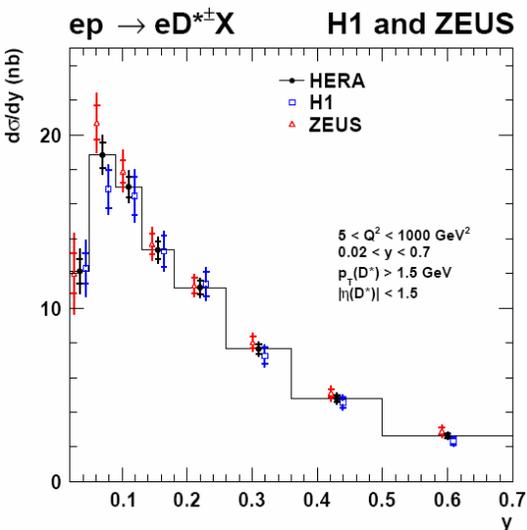
Combination of most precise $D^{*\pm}$ visible differential cross sections from full HERA II data-set performed separately for each variable



- Combined data reach precision of $\approx 5\%$ in large fraction of phase space
- data consistent between H1 and ZEUS

- ▶ exp. systematic uncertainties independent between H1 and ZEUS
- ▶ significantly reduced experimental uncertainties due to :
 - doubling of statistics
 - all correlations of systematic uncertainties taken into account
- ▶ negligible theoretical uncertainties [only little extrapolation \rightarrow (0-10%) of total uncertainty]

Combined D^{\pm} differential cross sections vs. y , $p_T(D^*)$, $\eta(D^*)$ and $z(D^*)$



Combination of D^{\pm} cross sections separately for each variable

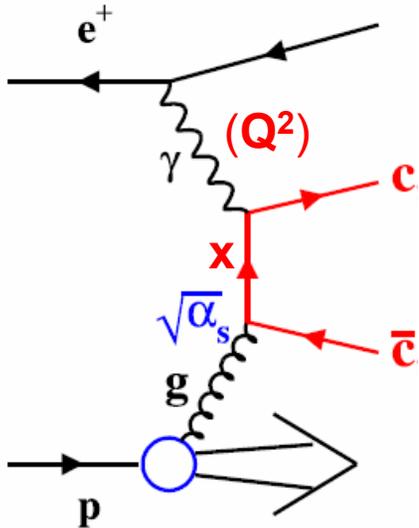
- Combined data reach precision of $\approx 5\%$ in large fraction of phase space
- Data consistent between H1 and ZEUS

$p_T(D^*)$, $\eta(D^*)$ and $z(D^*)$ measured in LAB

$$Z(D^*) = (E(D^*) - p_z(D^*)) / (2E_e y)$$

- charm quark is massive at all scales ($Q^2 \approx m_c^2$), mass effects correctly included
- 3 light quark flavours (u, d, s) and g in the proton PDF, no charm in the proton
- heavy quarks produced perturbatively in hard scattering
- no resummation of large logs of Q^2/m_c^2 , p_T/m_c , ...

Full NLO ($O(\alpha_s^2)$) and partial NNLO ($O(\alpha_s^3)$) calculations of heavy-flavour production in DIS exist



Charm production cross section in DIS at HERA best described by NNLO predictions in the massive FFNS scheme (EPJ C73 (2013) 2311, combined $\sigma_{\text{red}}^{c\bar{c}}$, calculations of Alekhin, Blümlein and Moch)

leading order $O(\alpha_s)$ process

NLO QCD predictions for $D^{*\pm}$ production

- HVQDIS program (B. W. Harris & J. Smith, PR D57 (1998) 2806)

NLO FFNS predictions for differential x-sec for c-quarks converted to $D^{*\pm}$ -meson cross sections using fragmentation function (FF) of Kartvelishvili et al.

$ep \rightarrow e c\bar{c} X \rightarrow e D^* X$

Estimation of theoretical uncertainties :

- $\mu_r^2 = \mu_f^2 = Q^2 + 4m_c^2$, scales changed independently by factors 0.5 and 2
- the pole mass of the charm-quark $m_c = 1.50 \pm 0.15$ GeV
- HERAPDF1.0, FFNS
- $\alpha_s^{nf=3}(M_Z) = 0.105 \pm 0.002$ (corresponds to $\alpha_s^{nf=5}(M_Z) = 0.116 \pm 0.002$)

- uncertainties related to fragmentation:

- ▶ fragmentation parameter $\alpha_K(D^*)$ in FF

- ▶ \hat{s} : photon-parton CMS energy squared

$$\hat{s}_1 = 70 \pm 40 \text{ GeV}^2, \hat{s}_2 = 324 \text{ GeV}^2$$

- ▶ transverse fragmentation $f(k_T) = k_T \exp(-2k_T/\langle k_T \rangle)$; $\langle k_T \rangle = 0.35 \pm 0.15$ GeV

- ▶ fragmentation fraction $f(c \rightarrow D^*) = 0.2287 \pm 0.0056$

\hat{s} range	$\alpha_K(D^*)$
$\hat{s} \leq \hat{s}_1$	6.1 ± 0.9
$\hat{s}_1 < \hat{s} \leq \hat{s}_2$	3.3 ± 0.4
$\hat{s} > \hat{s}_2$	2.67 ± 0.31

- HVQDIS : estimation of small beauty contribution to the $D^{*\pm}$ signal ($ep \rightarrow e b\bar{b} X \rightarrow e D^* X$)

Customised NLO QCD predictions for $D^{*\pm}$ production

- Find parameters of the HVQDIS calculations providing **reasonable description of all D^* differential cross sections** in shape and normalisation
- Theory uncertainty dominated by variations of scales μ_r and μ_f , **c-quark pole mass and fragmentation model**

▶ reduce μ_r by factor 2 : $\mu_r^2 = Q^2 + 4m_c^2$, $\mu_r \rightarrow 0.5 \cdot \mu_r \rightarrow$ **increase of D^* cross section**

▶ reduce charm-quark pole mass : $m_c = 1.50 \text{ GeV} \rightarrow m_c = 1.40 \text{ GeV} \rightarrow$ **increase of D^* cross section**

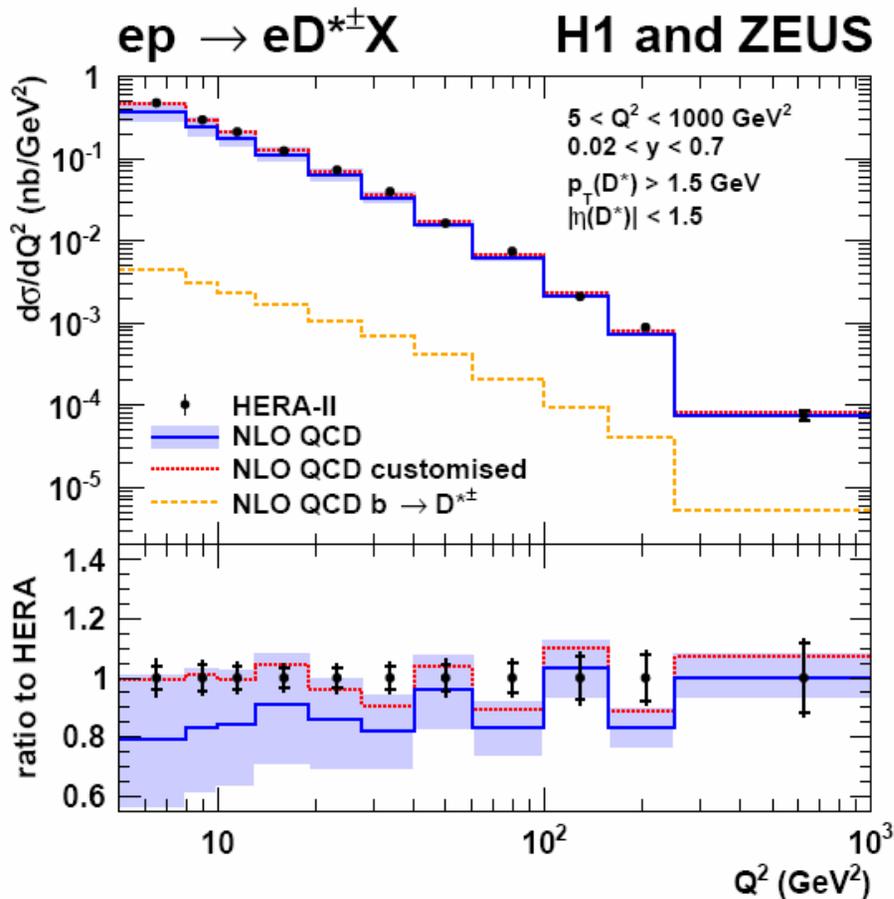
▶ change parameter \hat{s}_1 in longitudinal Kartvelishvili FF

$\hat{s}_1 = 70 \text{ GeV}^2 \rightarrow \hat{s}_1 = 30 \text{ GeV}^2 \rightarrow$ **soften fragmentation**

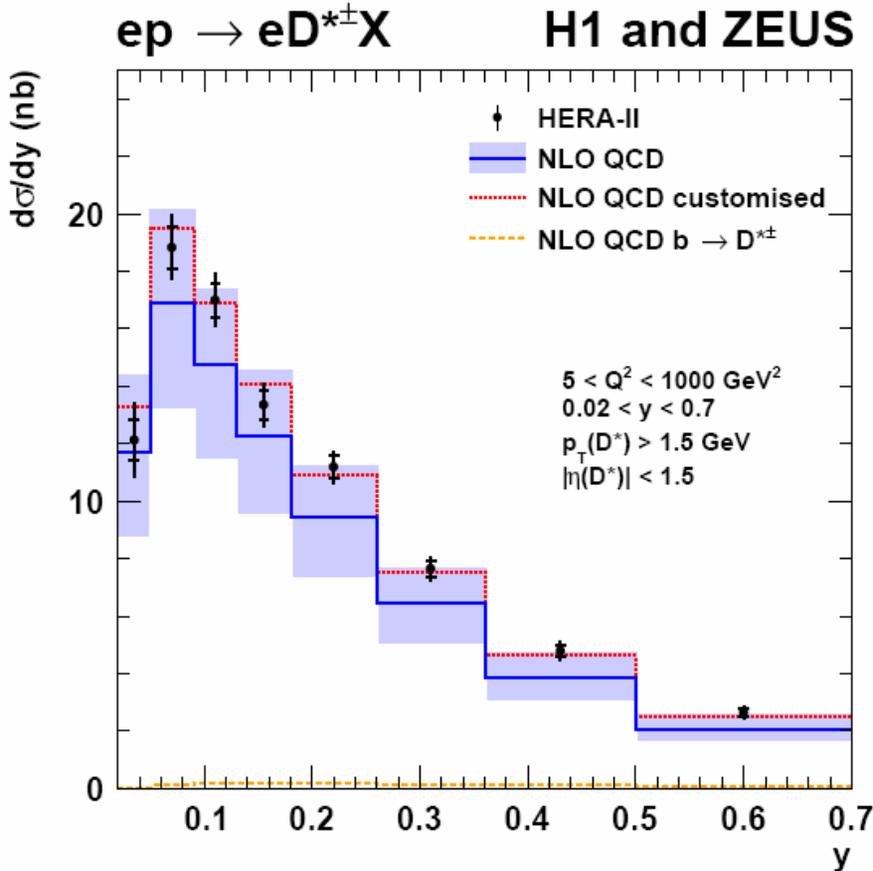
\hat{s} range	$\alpha_K(D^*)$
$\hat{s} \leq \hat{s}_1$	6.1 ± 0.9
$\hat{s}_1 < \hat{s} \leq \hat{s}_2$	3.3 ± 0.4
$\hat{s} > \hat{s}_2$	2.67 ± 0.31

▶ all other parameters are left at their default values

This adjustment is not a prediction but may give hints
in which direction to develop theory

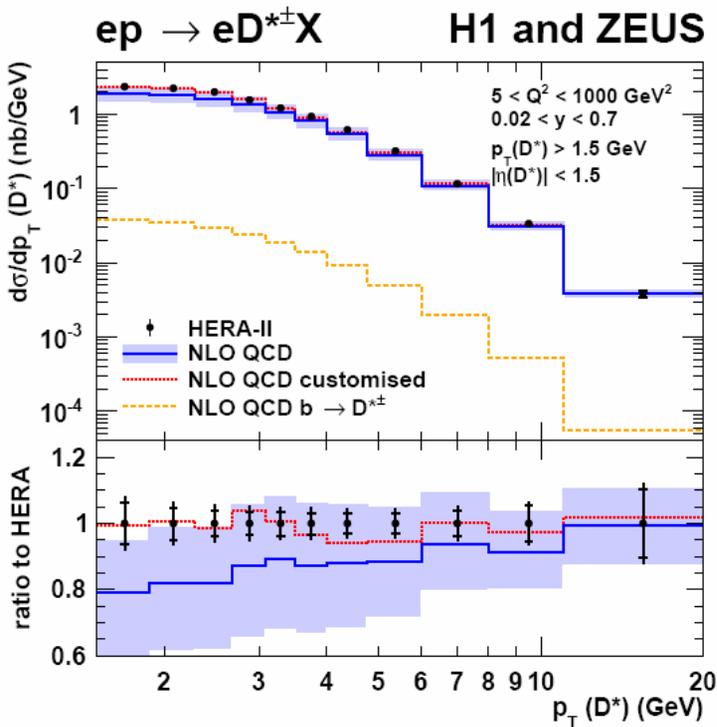


- Data are more precise than theory predictions
 - data reach precision of $\approx 5\%$
 - theoretical uncertainties from (30- 40)% at low Q^2 to 10% at high Q^2
- NLO QCD predictions describe data reasonably within large uncertainties
- NLO QCD customised describe data very well
- Higher order calculations will reduce theory uncertainties

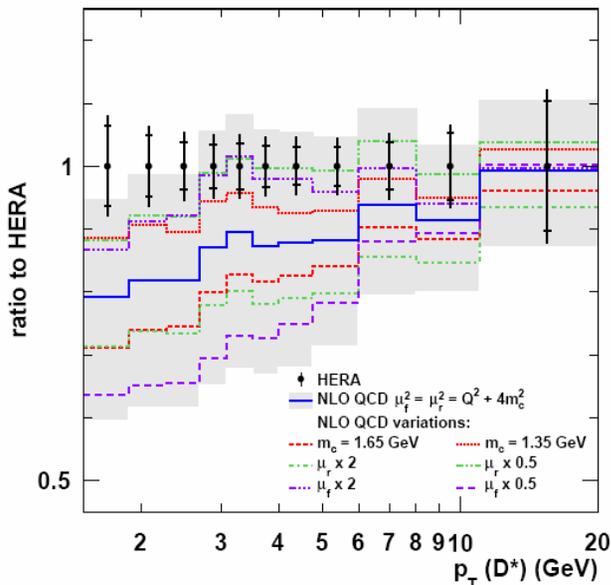


- **Data yield much higher precision than theory**
 - precision of data $\approx 5\%$
 - typical theoretical uncertainty (10- 30)%
- **NLO QCD predictions describe data reasonably within large uncertainties**
- **NLO QCD customised describe data very well**

$d\sigma/dp_T(D^*)$ vs. NLO QCD prediction

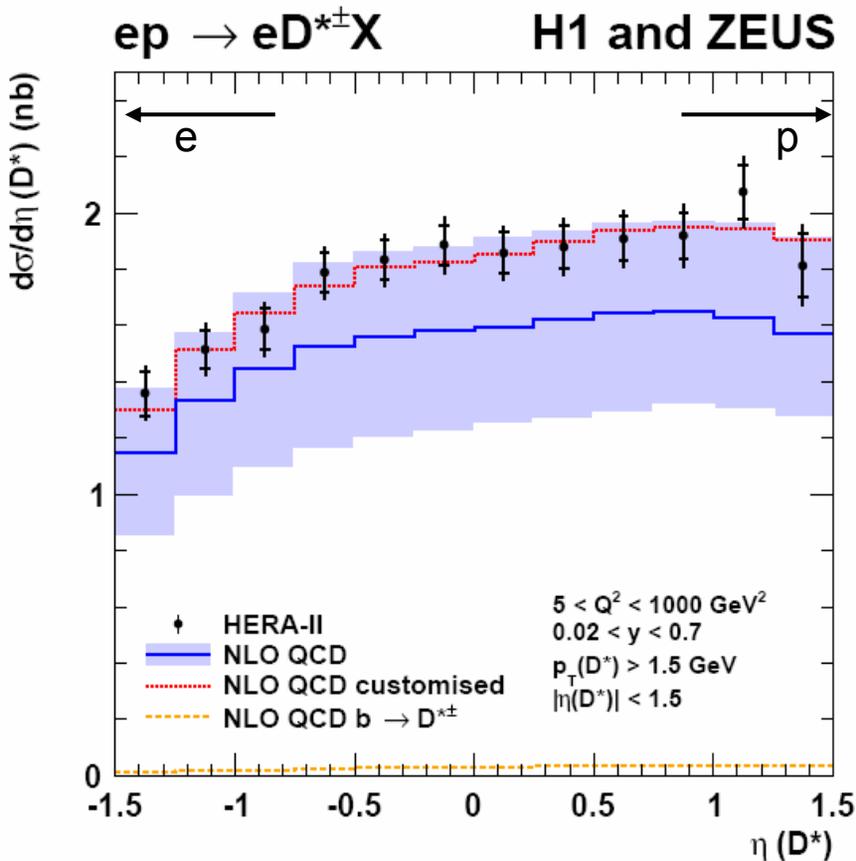


- Data yield much higher precision than theory
 - precision of data $\approx 5\%$
 - typical theoretical uncertainty (10- 30)%
- NLO QCD predictions describe data reasonably within large uncertainties
- NLO QCD customised describe data very well
- Higher order calculations will reduce theory uncertainties



NLO predictions (ratio to data) with different variations of parameters \rightarrow preference for a reduced renormalisation scale μ_r

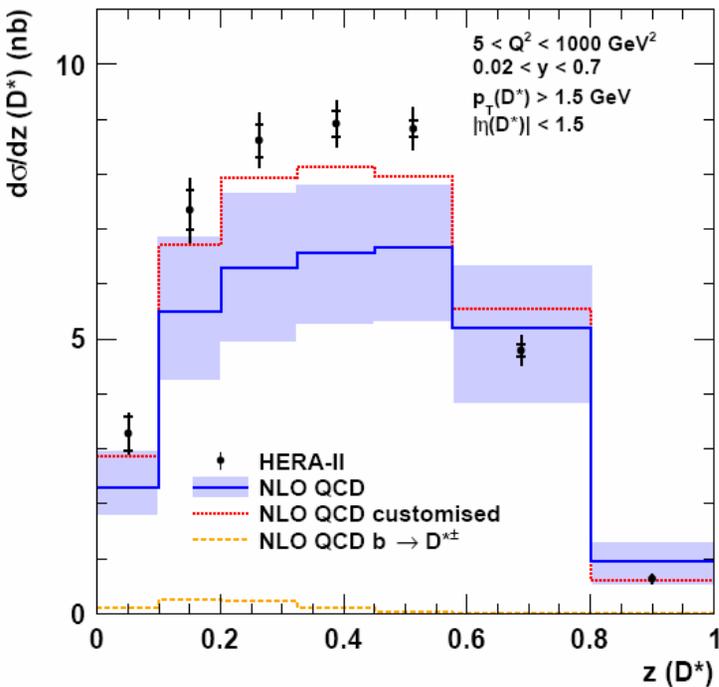
$d\sigma/d\eta(D^*)$ vs. NLO QCD prediction



- Data yield much higher precision than theory
 - precision of data $\approx 5\%$
 - typical theoretical uncertainty (10- 30)%
- NLO QCD predictions describe data reasonably within large uncertainties
- NLO QCD customised describe data very well

$d\sigma/dz(D^*)$ vs. NLO QCD prediction

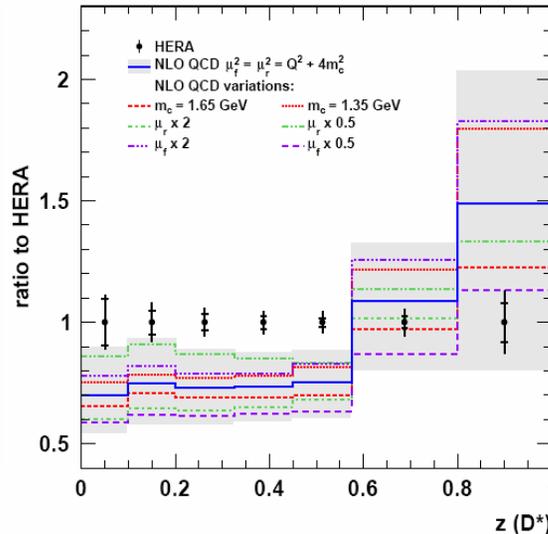
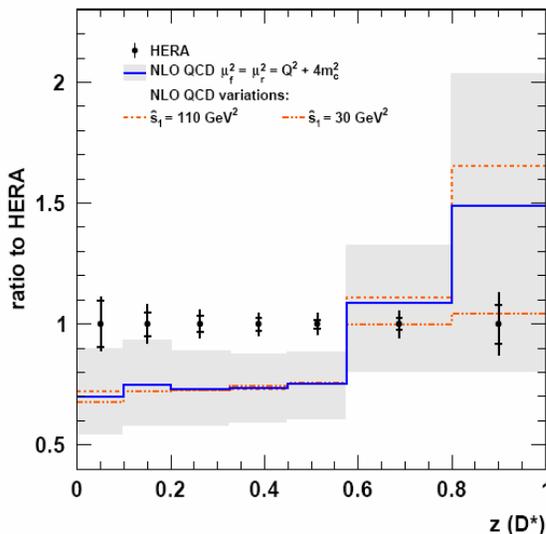
$ep \rightarrow eD^{*\pm}X$ H1 and ZEUS



- Data yield much higher precision than theory
- NLO QCD predictions harder than data
- NLO QCD customised describe data better but not perfect
- **NNLO calculations and improved c-quark fragmentation models may help**

$$Z(D^*) = (E(D^*) - p_z(D^*)) / (2E_{e,y})$$

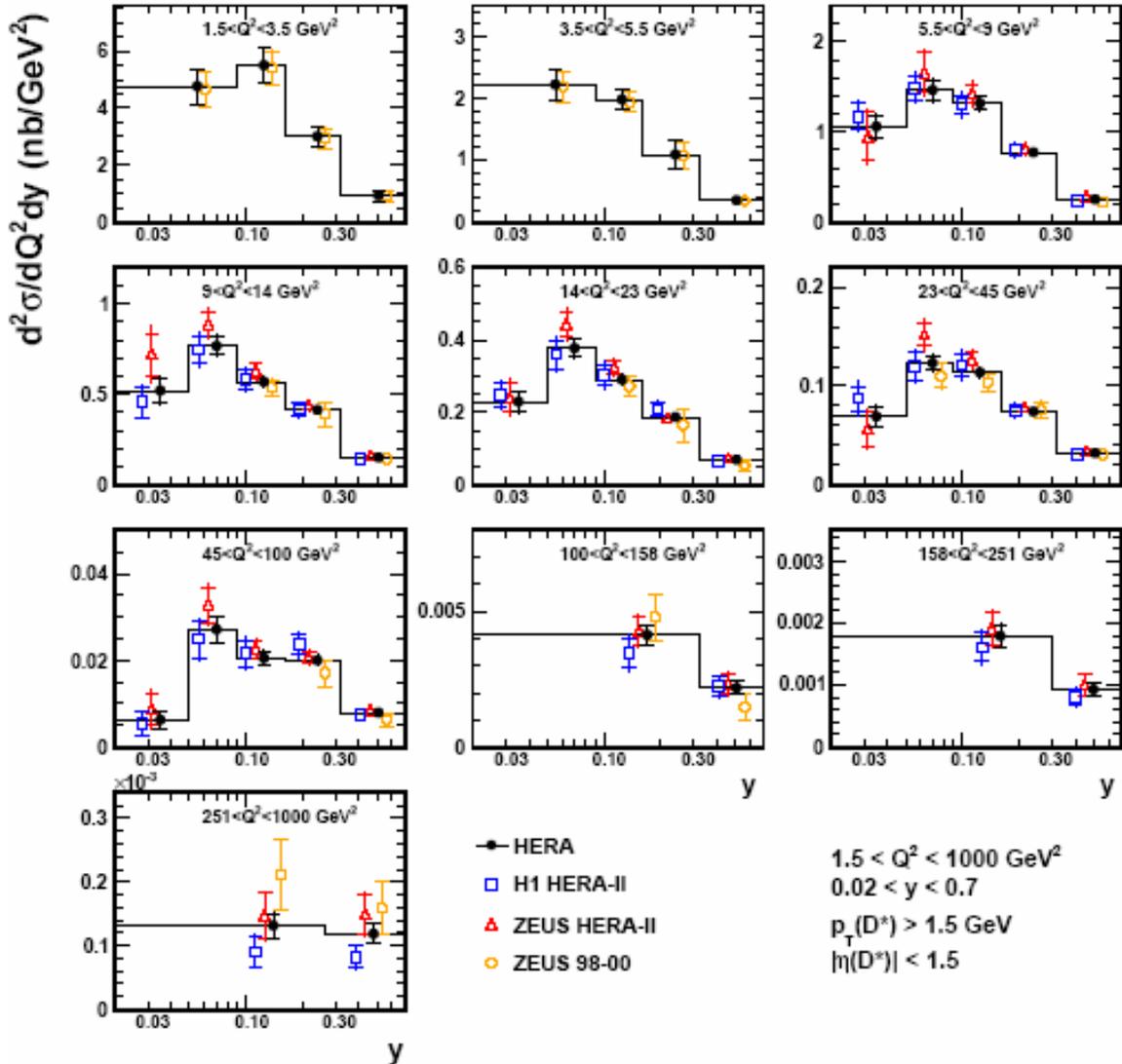
Ratio of NLO predictions to data with different variation of parameters



- preference for a reduced renormalisation scale
- sensitivity to fragmentation parameters

$ep \rightarrow eD^{*\pm}X$

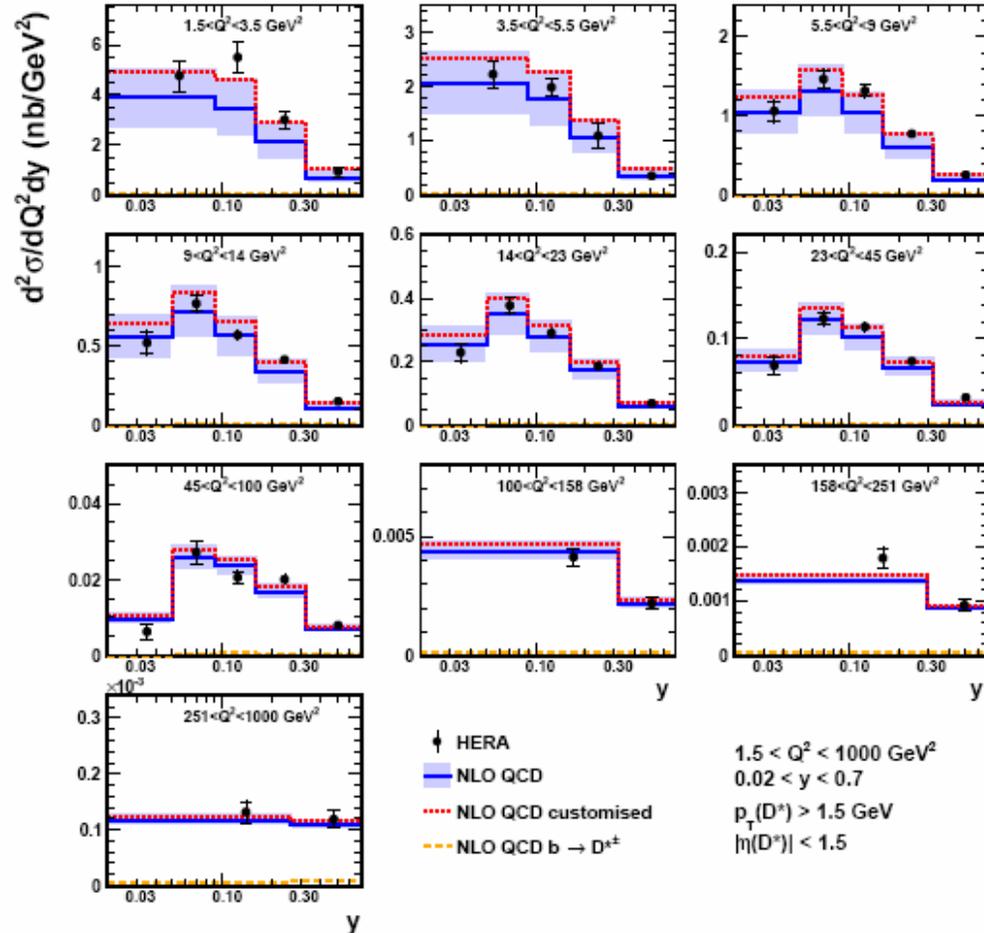
H1 and ZEUS



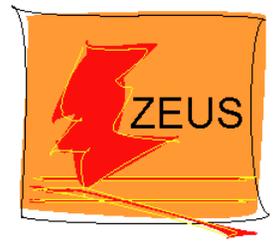
- Combined data reach precision of \approx (5-10)% in large fraction of phase space
- Data consistent between H1 and ZEUS

$ep \rightarrow eD^{*\pm}X$

H1 and ZEUS



- Data are more precise than theory predictions
 - data reach precision of $\approx (5-10)\%$
 - theoretical uncertainties from 30% at low Q^2 to 10% at high Q^2
- NLO QCD predictions describe data well within large uncertainties
- NLO QCD customised describe data well



- **Precise differential D^* measurements in DIS by the H1 and ZEUS experiments combined:**
 - distributions of inclusive DIS variables and kinematic variables of D^* -mesons
 - significantly reduced overall uncertainties
 - combination in the visible phase space
→ negligible theoretical uncertainties
- **Massive-scheme NLO QCD predictions describe data reasonably within large theory uncertainties**
- **Higher order QCD corrections and improved heavy-quark fragmentation models would be desirable to exploit the precision of the HERA data**

Backup slides

Theory of heavy quark production

Massive Fixed Flavour Number Scheme (FFNS)

- charm quark is massive at all scales ($Q^2 \approx m_c^2$), mass effects correctly included
- 3 light quark flavours (u, d, s) and g in the proton PDF, no charm in the proton
- heavy quarks produced perturbatively in hard scattering
- no resummation of large logs of Q^2/m_c^2 , p_T/m_c , ...

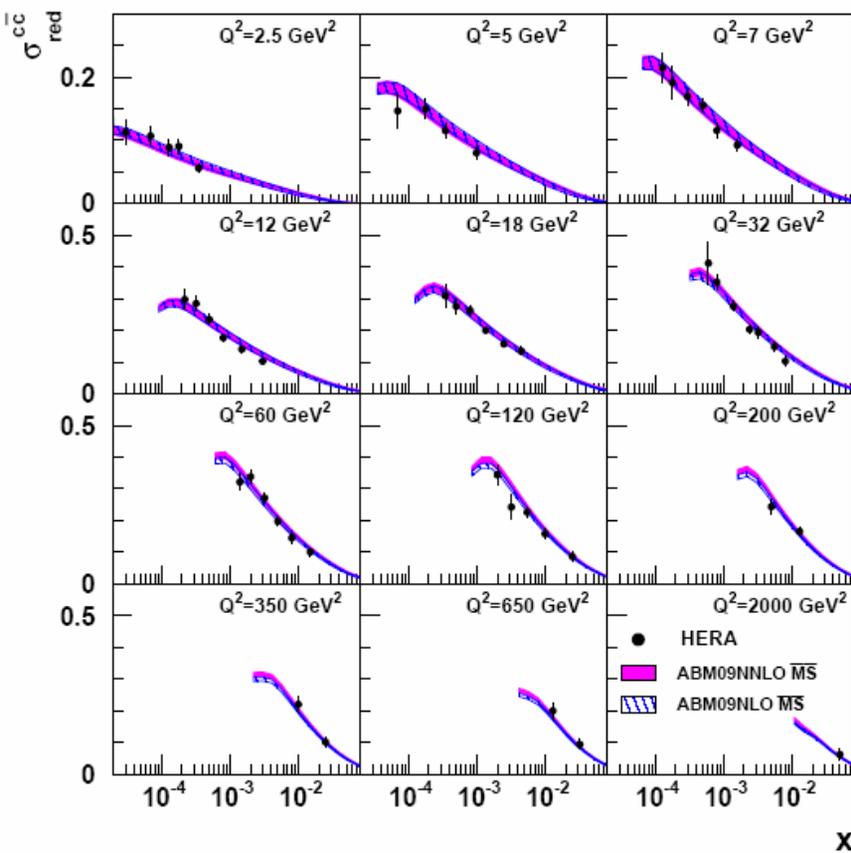
Zero Mass Variable Flavour Number Scheme (ZM-VFNS)

- $m_c = 0$ in matrix elements and kinematics calculations
- flavour threshold $Q^2 \sim m_c^2$:
 - $Q^2 < m_c^2 \rightarrow$ charm production cross section vanishes, 3 light flavours in the proton PDF
 - $Q^2 > m_c^2 \rightarrow$ charm as massless parton in the proton in addition to u, d, s (heavy-quark PDF)
- resummation of large logs of Q^2/m_c^2

General Mass Variable Flavour Number Scheme (GM-VFNS)

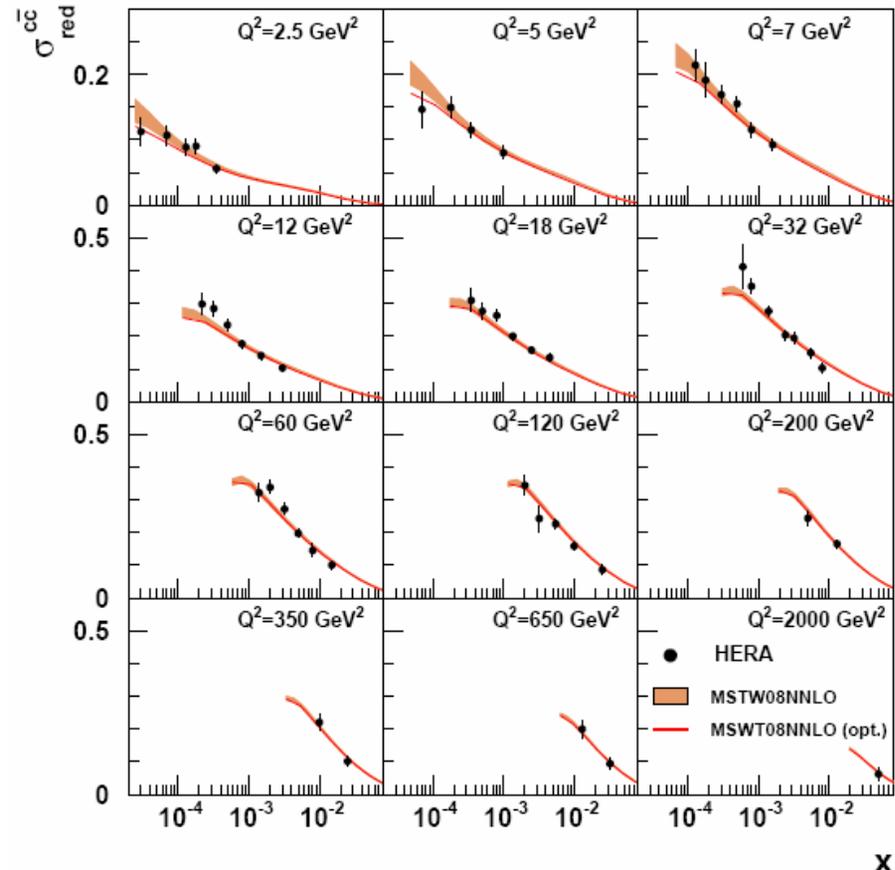
- low Q^2 ($Q^2 \leq m_c^2$) : charm production in FFNS approach (mass effects largest)
- high Q^2 ($Q^2 > m_c^2$):charm production in ZM-VFNS (important resummation effects)
- at intermediate scales interpolation between 2 schemes
- used in PDF fits

H1 and ZEUS



$\sigma_{\text{red}}^{\text{cc}}$ vs. NLO and NNLO FFNS predictions of the ABM group (Alekhin, Blümlein and Moch)

H1 and ZEUS



$\sigma_{\text{red}}^{\text{cc}}$ vs. NNLO GM-VFNS predictions of the MSTW group (Martin, Stirling, Thorne and Watt)

NLO (NNLO) FFNS predictions give a good description of the HERA charm data.

NNLO GM-VFNS predictions also provide a good description of charm reduced cross sections.