

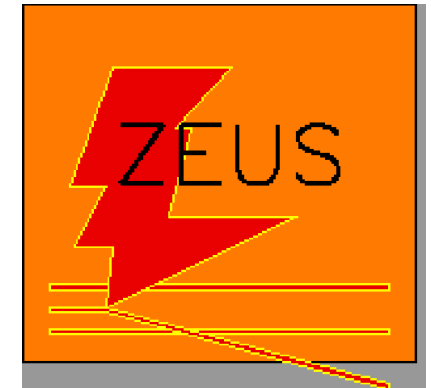


Riccardo Brugnera

Padova University and INFN

on behalf of the

H1 and ZEUS Collaborations

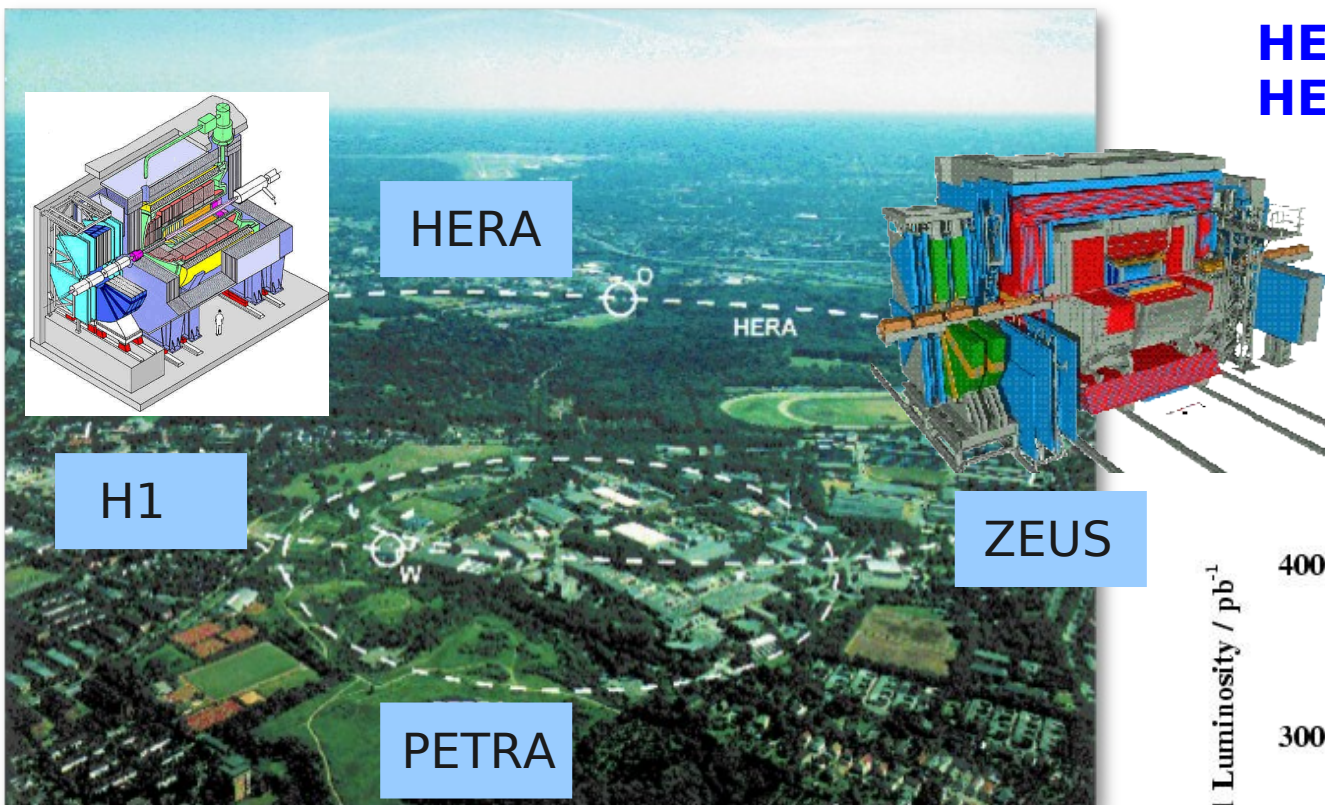


Heavy flavour production at HERA

Outline:

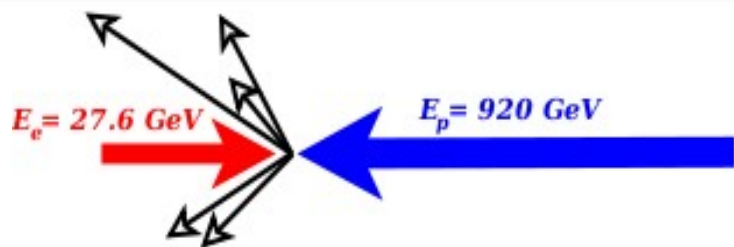
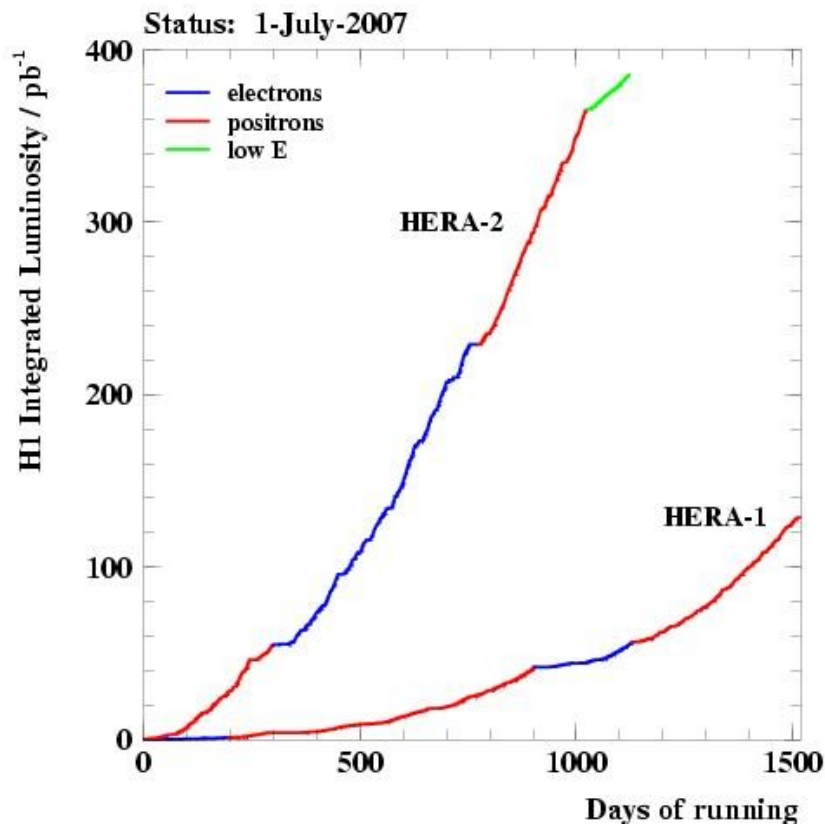
- **Charm fragmentation fractions**
- **Open charm production in DIS**
- **Open bottom production in DIS**
- **elastic and inelastic photoproduction of J/ψ mesons**

HERA data



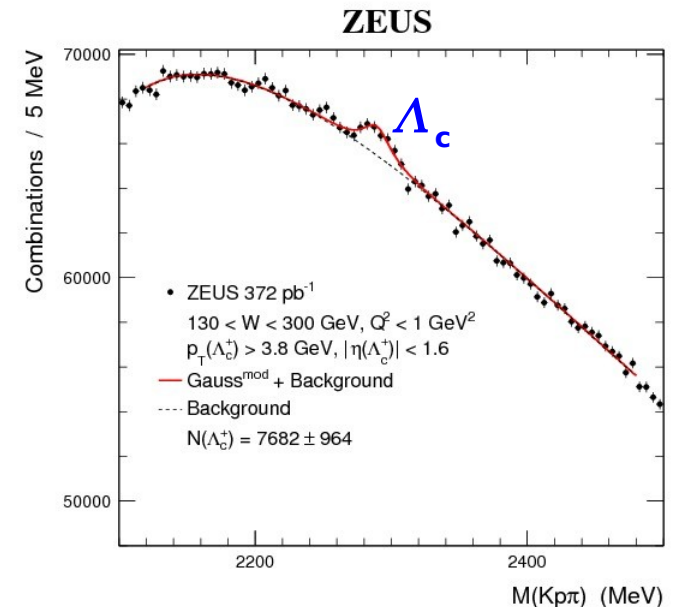
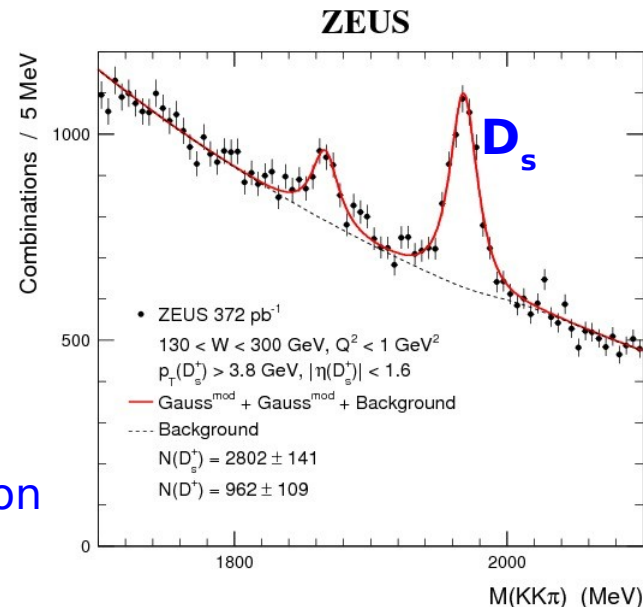
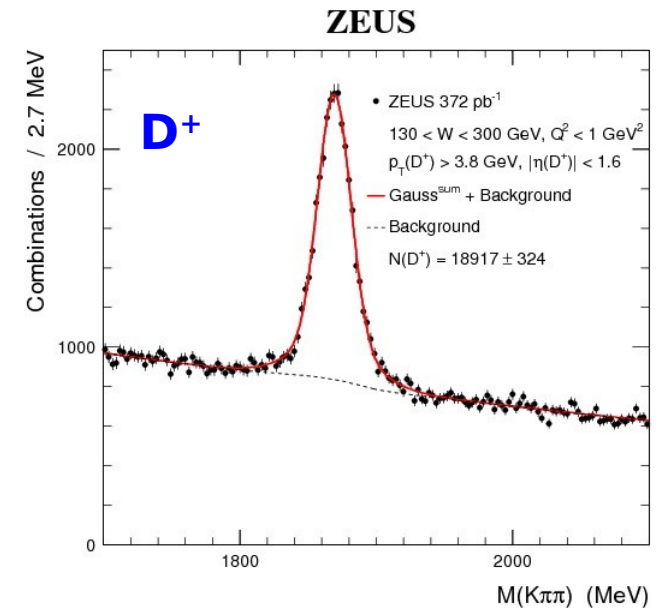
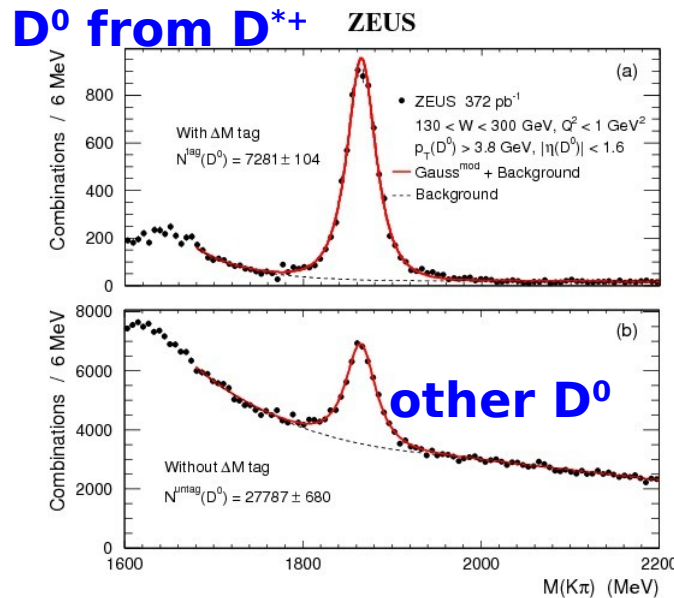
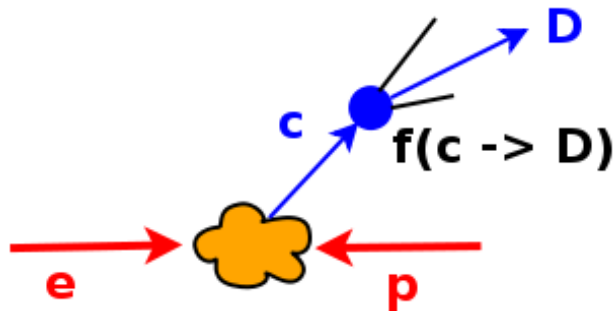
HERA-1 (1993-2000) \approx **120** pb⁻¹
HERA-2 (2003-2007) \approx **380** pb⁻¹

Final data samples
H1+ZEUS = 2 × 0.5 fb⁻¹



- 1998 E_p upgrade : 820 \Rightarrow 920 GeV
 (\sqrt{s} : 301 \Rightarrow 319 GeV)
- 2001 HERA-2 upgrade: $3 \times \mathcal{L}$, polarized e^+/e^-
 ($\langle P \rangle = 40\%$)

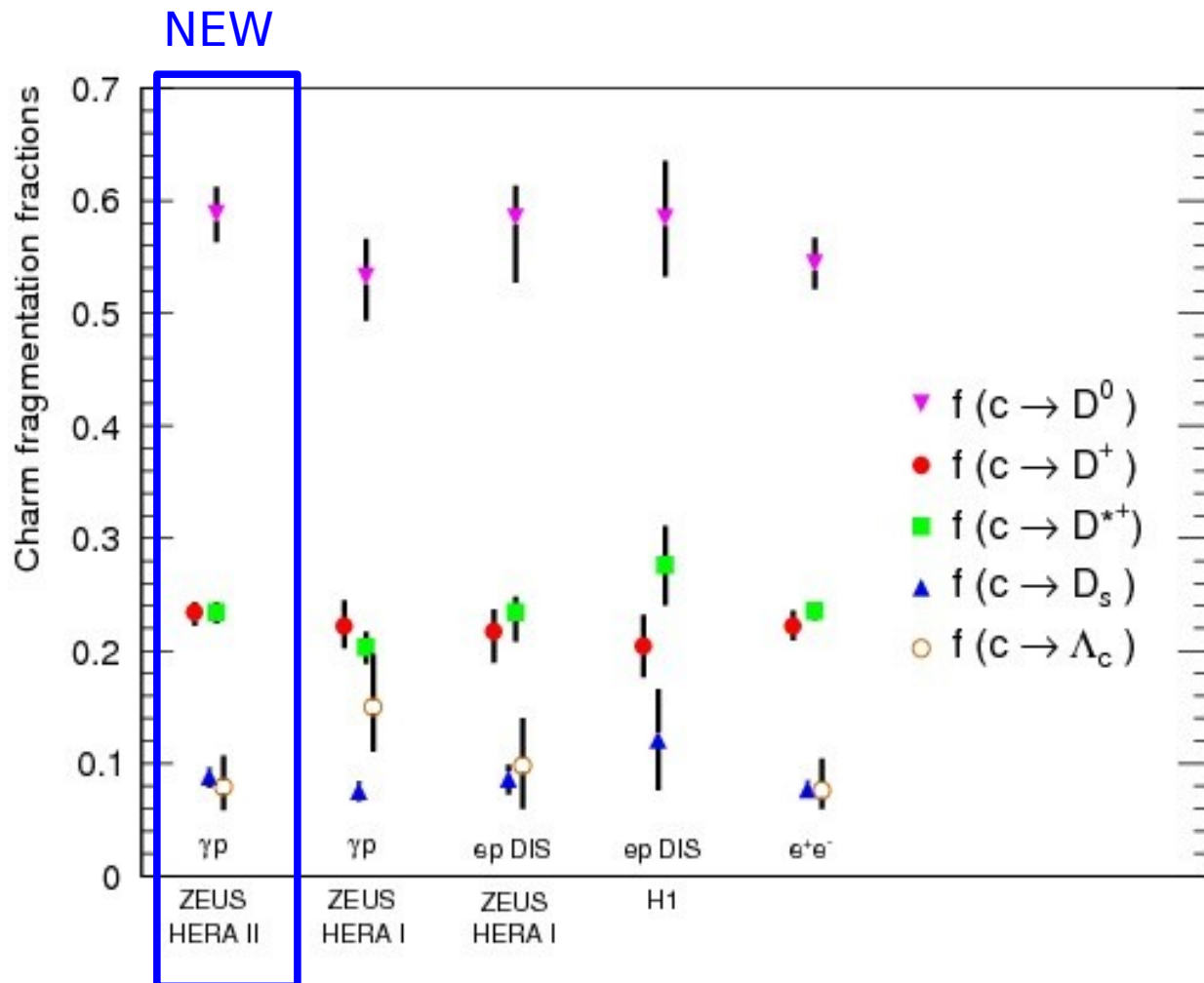
charm fragmentation fractions



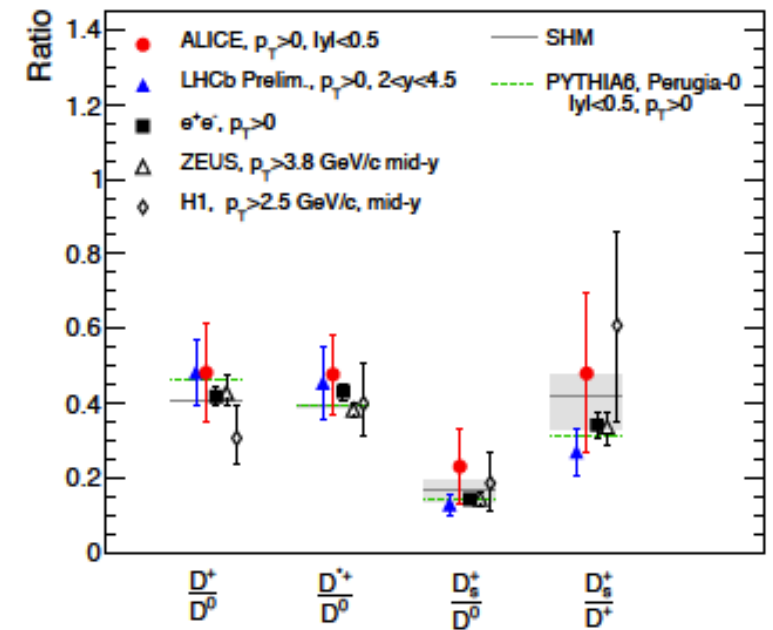
- New ZEUS photoproduction measurement:
JHEP09 (2013) 058
- Fragmentation fraction $f(c \rightarrow D)$: needed to go from partonic QCD calculations to hadron cross sections
- All charm ground state hadrons are measured (except charm-strange baryons)
- Measured for $p_T > 3.8$ GeV “equivalent phase space” treatment minimizes extrapolation to $p_T = 0$

fragmentation fraction results

- New results compared to previous photoproduction (γp), DIS and e^+e^- **support universality**

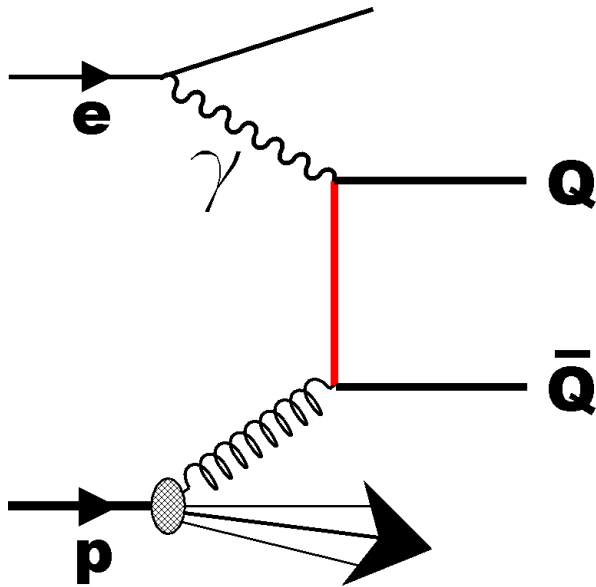


- Universality supported by LHC pp data (ALICE + LHCb)



heavy quark production in DIS

Leading Order:
Boson-gluon fusion (BGF)



- access to $g(x)$
- sensitivity to m_c and to m_b
- test of GM-VFNS heavy flavour schemes used in global PDF fits

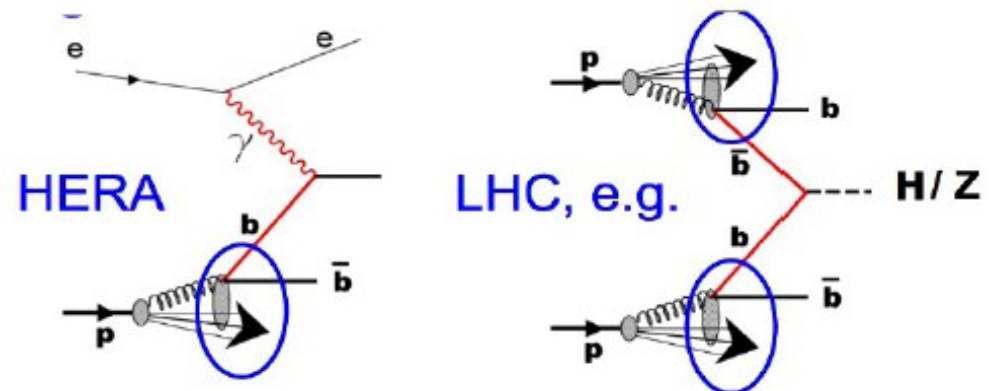
Theory of heavy quark production:

1) Fixed Flavour Number Scheme (FFNS)

- $n_f = 3$ active flavours in p
- c, b produced in hard scattering
- mass effects correctly included
- spoiled by large logs of Q^2/m^2 , p_T/m ...

2) General-Mass Variable Flavour Scheme (GM-VFNS)

- equivalent to FFNS for $Q^2 < m_c^2$
- c, b treated as massless parton for $Q^2 \gg m_c^2$
- interpolation in between (various scheme available)
- used by global PDF fits (useful at LHC ...)



charm production in DIS

several methods to tag charm:

- D^* , D^+ , D^0 , μ , secondary vertices

New results from ZEUS-HERA-II data

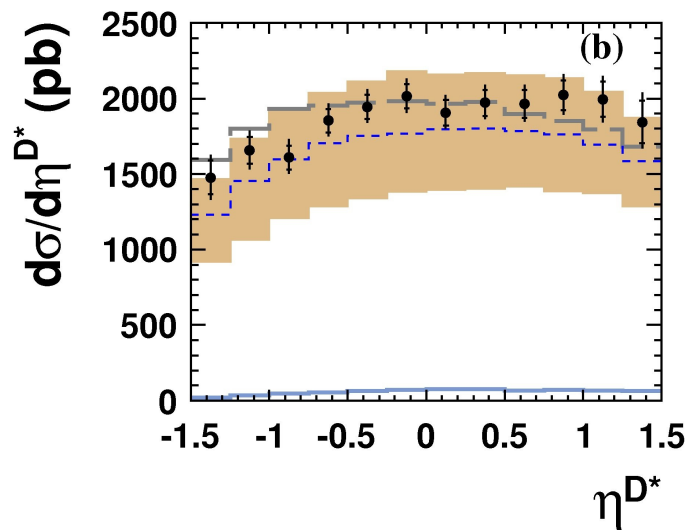
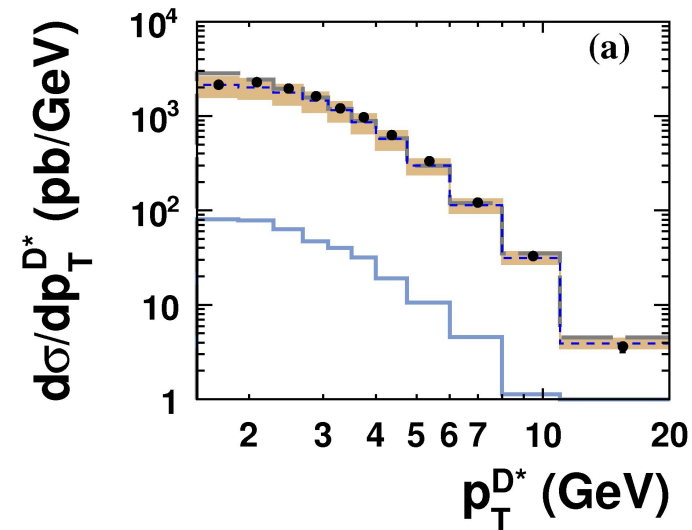
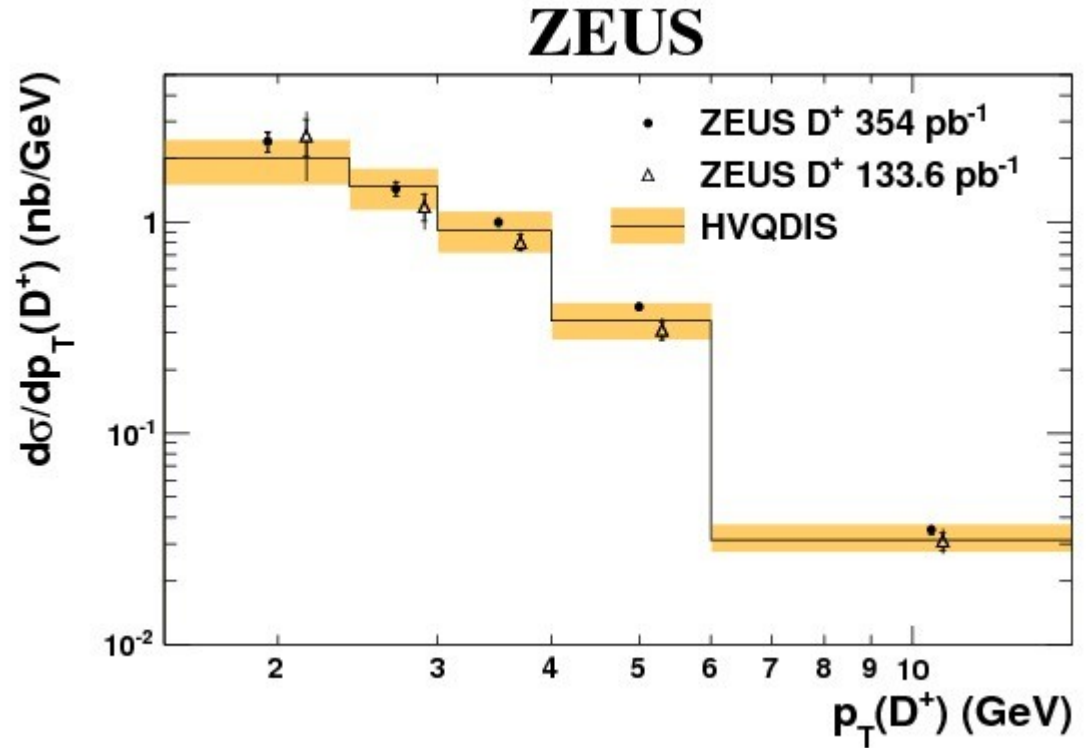
- D^* arXiv:1303.6578 JHEP05(2013)097
- D^+ arXiv:1302.5058 JHEP05(2013)023

- Cross sections in “visible” phase space (for D^*):

$$p_T > 1.5 \text{ GeV}, |\eta| < 1.5$$

$$0.02 < y < 0.7, Q^2 > 5 \text{ GeV}^2$$

- Good agreement with NLO FFNS theory (HVQDIS) complemented with fragmentation model based on ep data



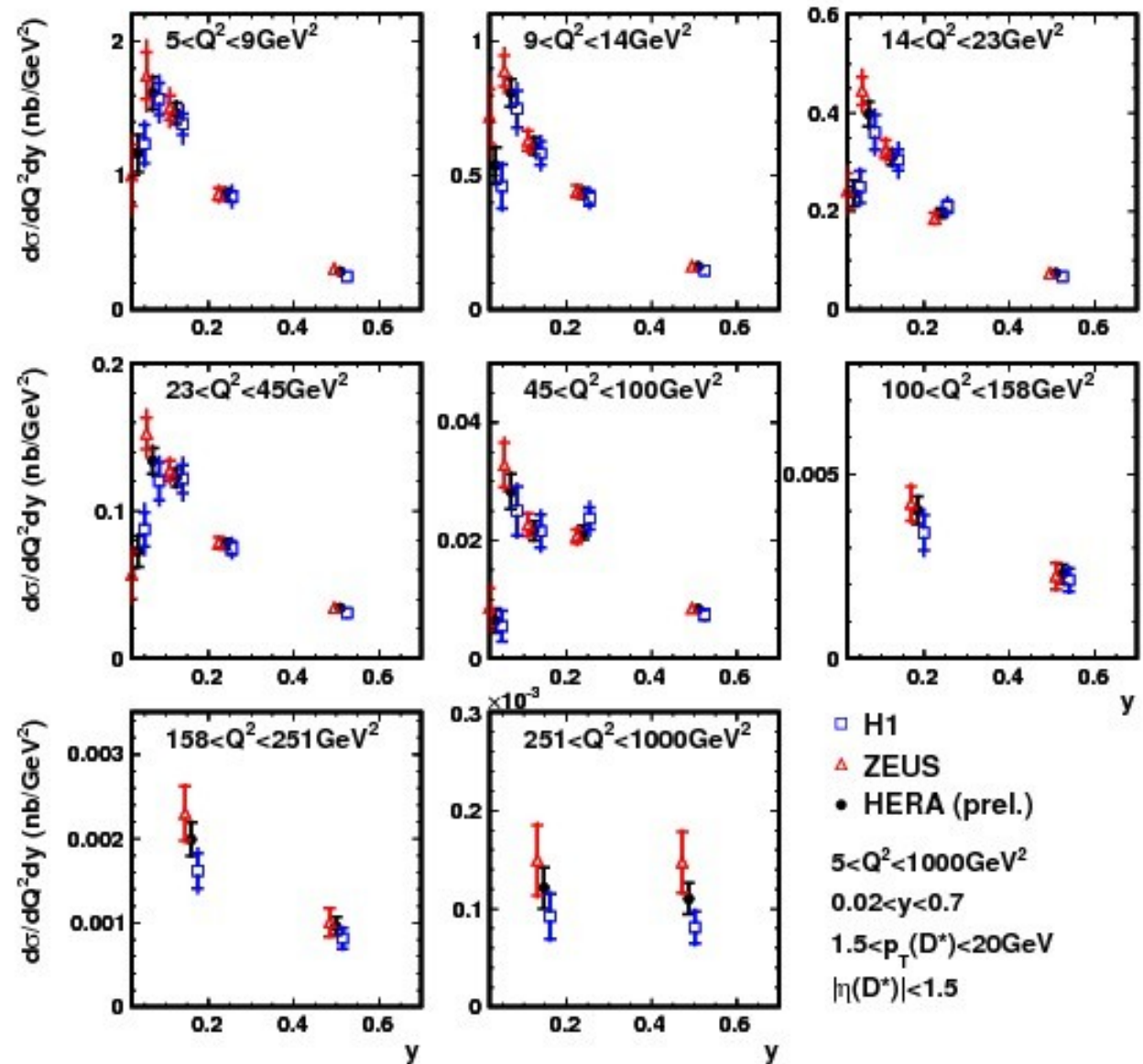
charm production in DIS

Double-differential
“visible” D^* cross sections
in Q^2 - y bins

ZEUS data in good
agreement with previous
results from H1 in the same
bins

The two results have been
combined in HERA “visible”
cross sections

H1 and ZEUS

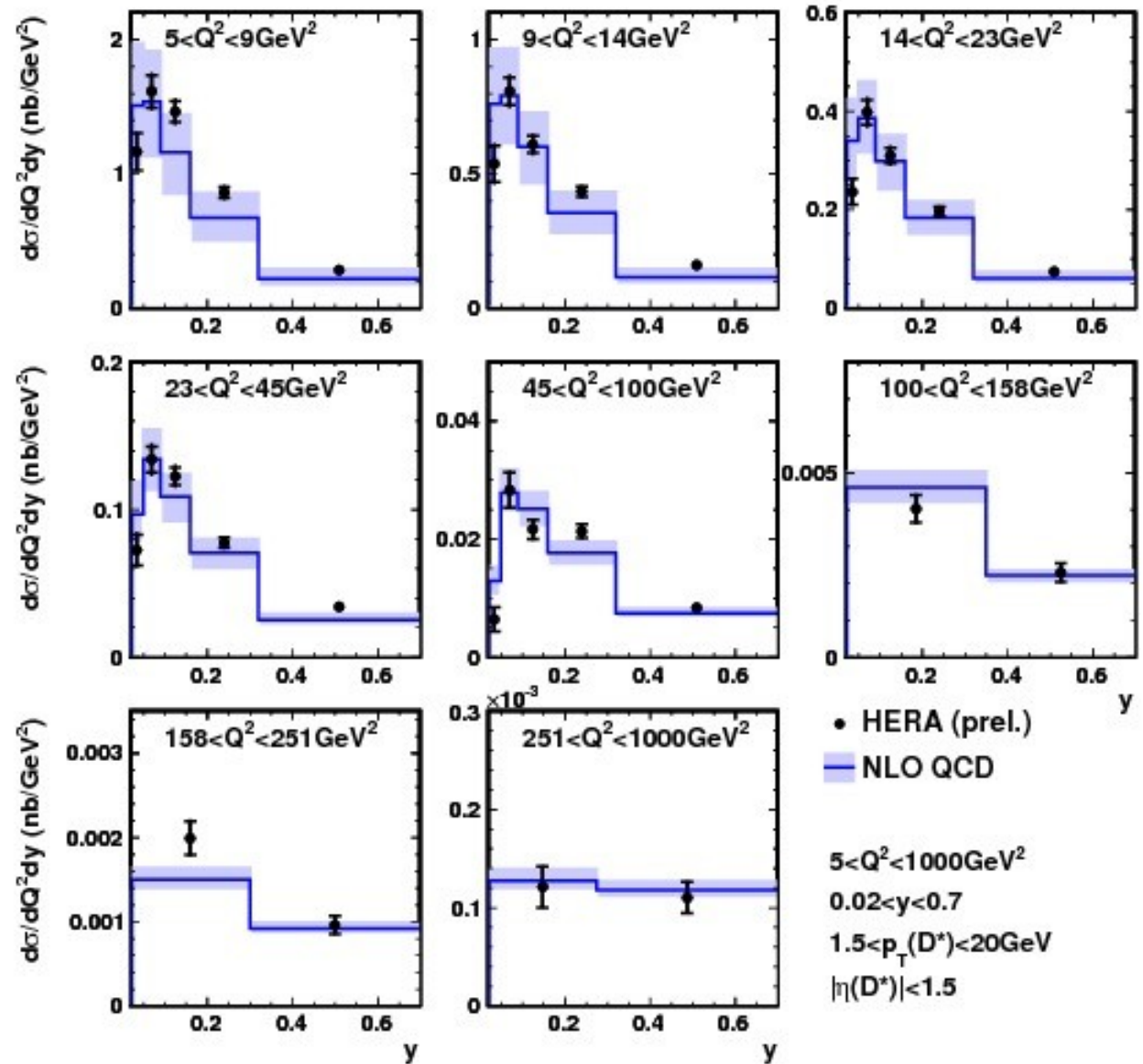


HERA Heavy Flavour Working Group (June 2013)

charm production in DIS

Combination in good agreement with NLO FFNS theory (HVQDIS)

H1 and ZEUS



HERA Heavy Flavour Working Group (June 2013)

$F_2^{c\bar{c}}$ and $\sigma_{red}^{c\bar{c}}$... but the same for $F_2^{b\bar{b}}$, $\sigma_{red}^{b\bar{b}}$

reduced charm cross sections defined in analogy to inclusive DIS:

$$\frac{d^2 \sigma^{c\bar{c}}}{dx dQ^2} = \frac{2\pi\alpha_{em}^2}{xQ^4} Y_+ \sigma_{red}^{c\bar{c}}(x, Q^2, s) \quad Y_+ = 1 + (1-y)^2$$

$$\sigma_{red}^{c\bar{c}}(x, Q^2, s) = F_2^{c\bar{c}}(x, Q^2) - \frac{y^2}{Y_+} F_L^{c\bar{c}}(x, Q^2)$$

Defined in analogy with inclusive DIS but considering events with charm in the final state.

Obtained from cross sections in visible phase space (σ_{vis}) in $[Q^2, y]$ bins

$$\sigma_{red}^{c\bar{c}}(x, Q^2) = \left(\sigma_{vis} - \sigma_{vis}^{beauty} \right) \left(\frac{\sigma_{red, HVQDIS}^{c\bar{c}}}{\sigma_{vis, HVQDIS}} \right)$$

The method accounts for extrapolation into the full phase space

Visible phase space acceptance for ZEUS D* ~50%, from 17% (low-y) to 64% (high Q²)

combination of HERA $\sigma_{red}^{c\bar{c}}$ cross sections

H1 and ZEUS:
arXiv:1211.1182
EPJC 73(2013)2311

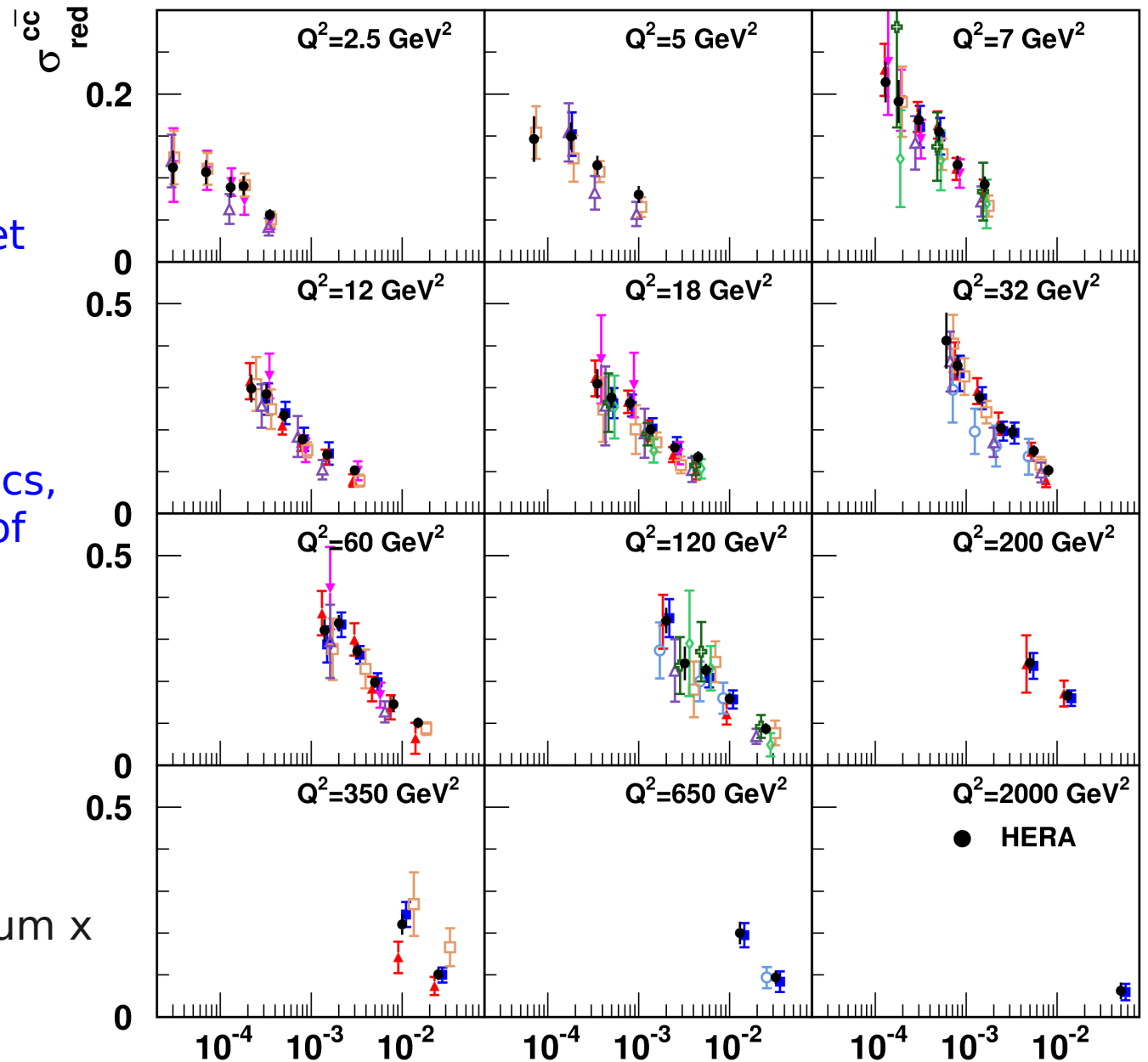
- 9 different data sets, (new ZEUS D*, D+ not yet included)
- 155 measurements combined into 55 $\sigma_{red}^{c\bar{c}}$ points
- 48 correlated systematics, 9 related to extraction of $\sigma_{red}^{c\bar{c}}$
- $\chi^2/n_{dof} = 62/103$

Combination significantly more precise than single measurements

Uncertainty $\sim 6\%$ at medium x and $12 < Q^2 < 60 \text{ GeV}^2$

■ H1 VTX ▼ H1 D* HERA-I □ ZEUS D* 98-00 ◇ ZEUS D⁰
▲ H1 D* HERA-II ○ ZEUS $c \rightarrow \mu X$ △ ZEUS D* 96-97 ⊕ ZEUS D*

H1 and ZEUS

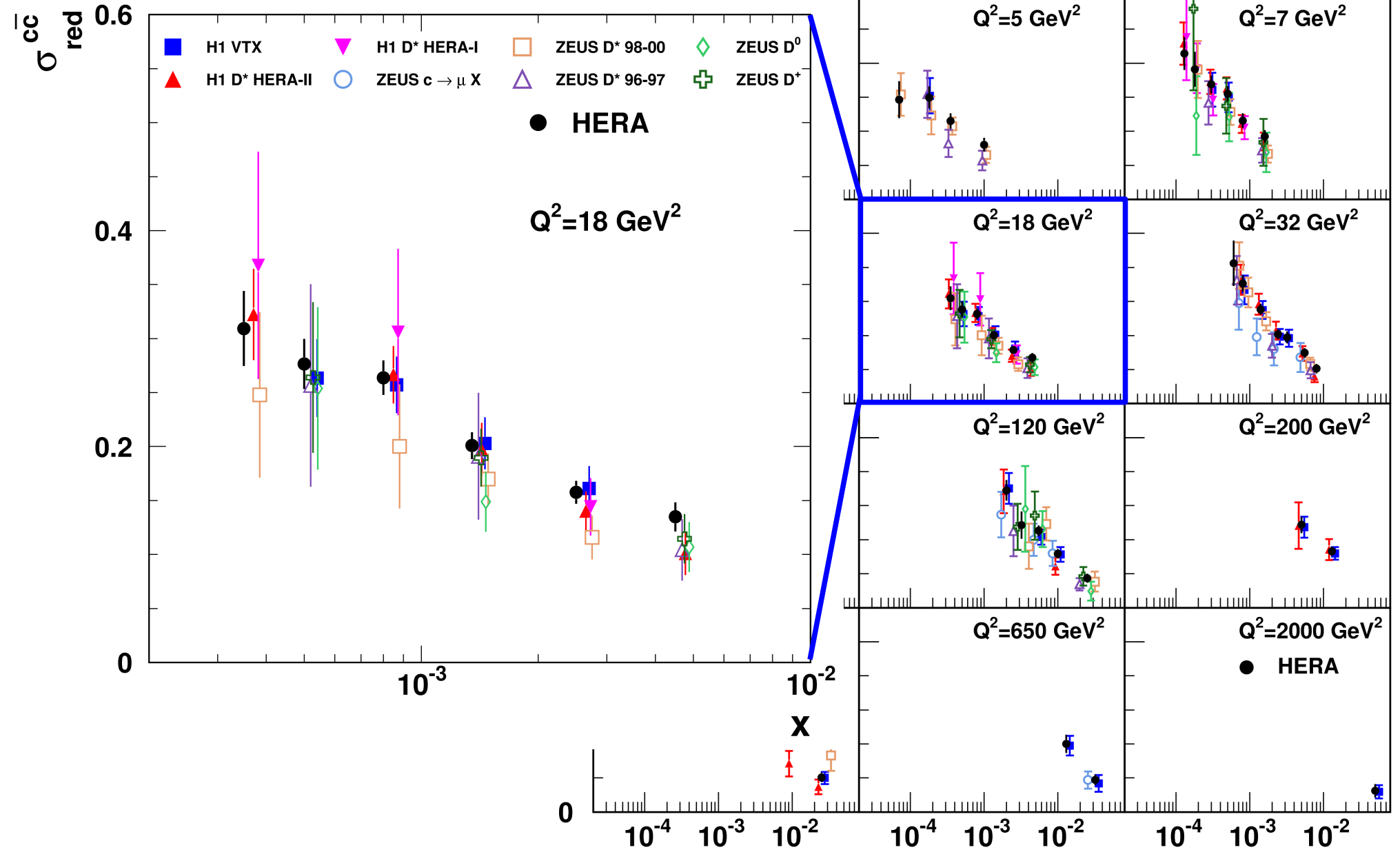


combination of HERA $\sigma_{red}^{c\bar{c}}$ cross sections

H1 and ZEUS

□ ZEUS D* 98-00 ◇ ZEUS D⁰
△ ZEUS D* 96-97 + ZEUS D*

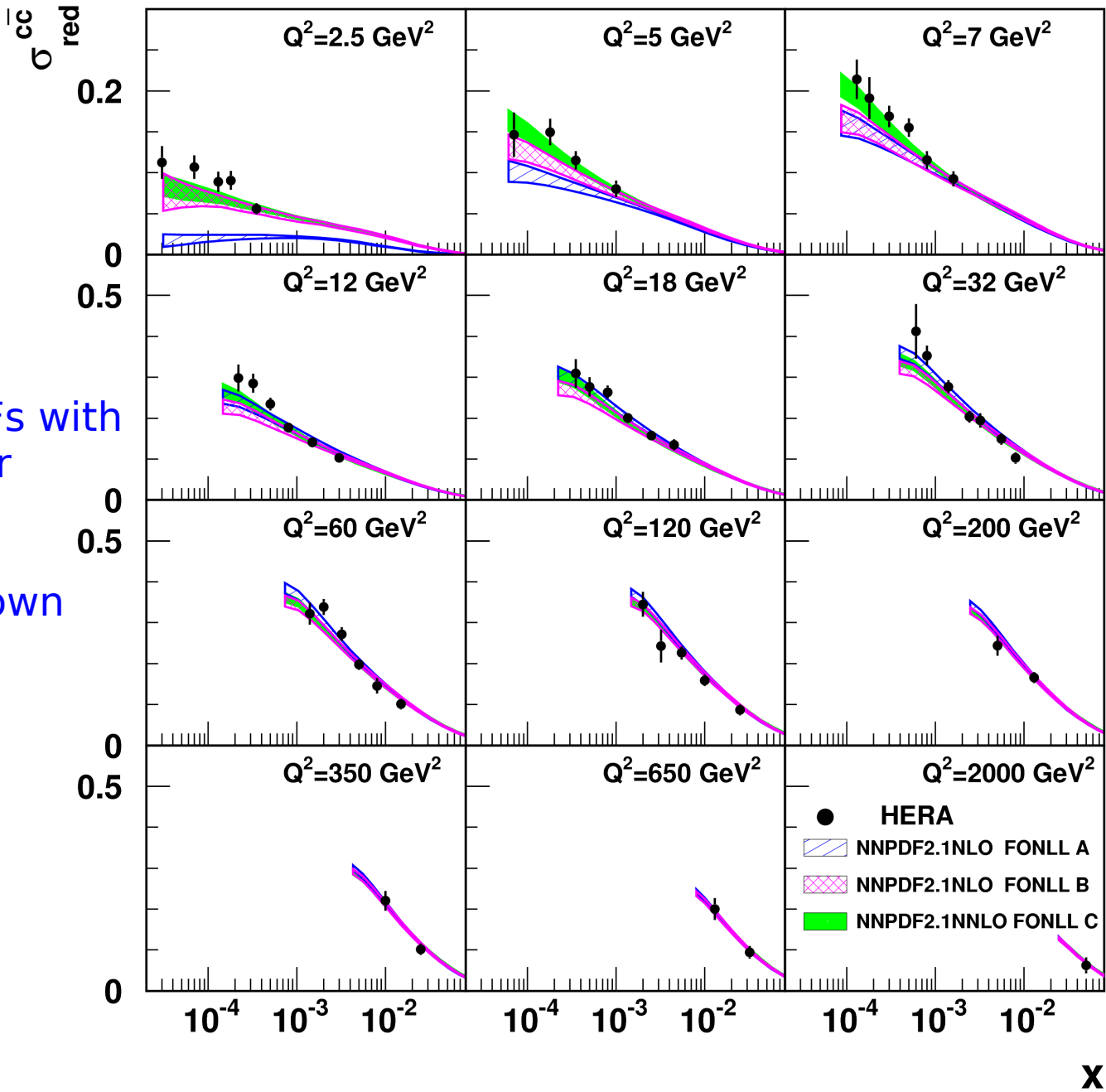
H1 and ZEUS



comparison with GM-VFNS predictions

H1 and ZEUS

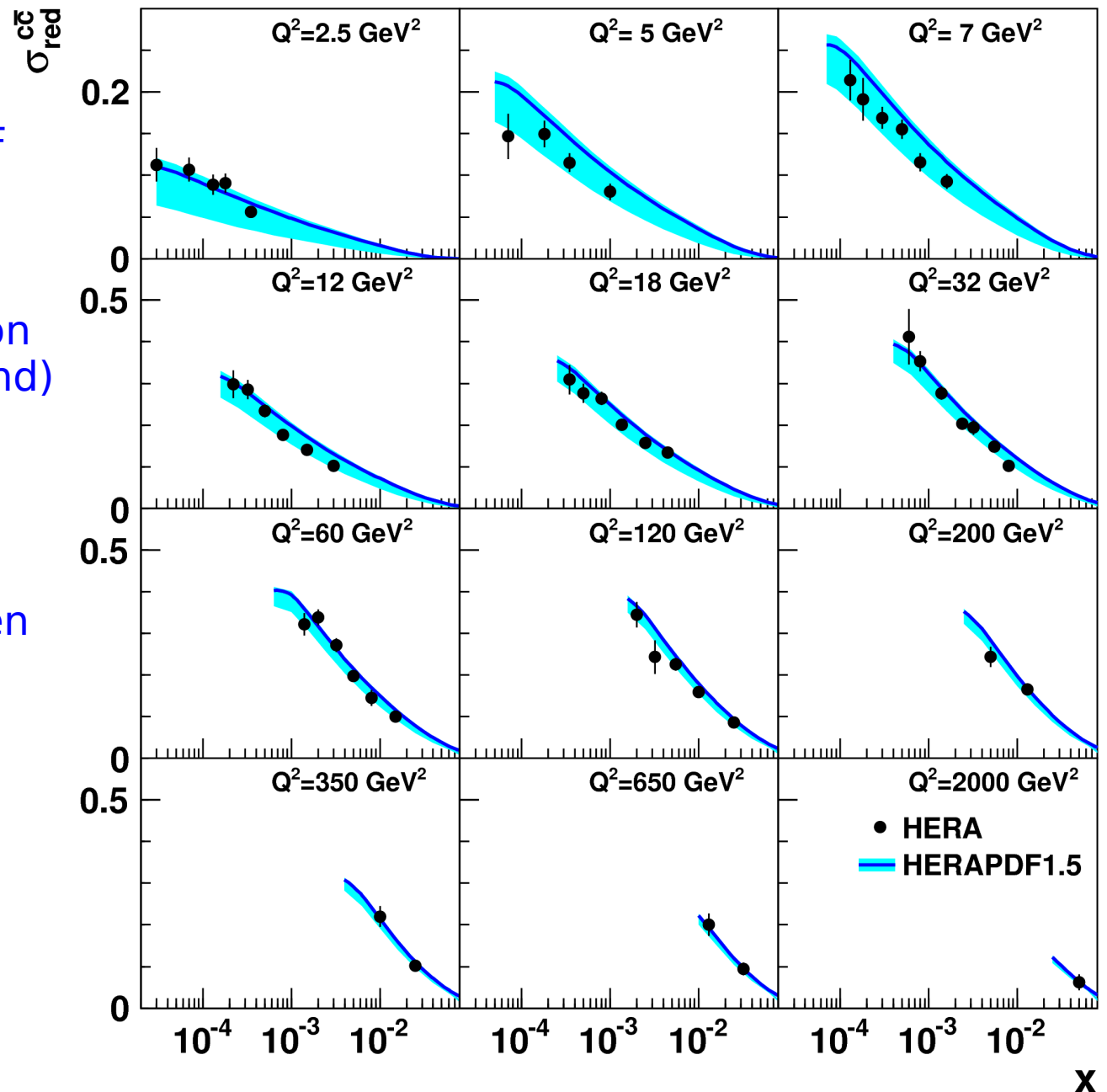
- Combined HERA data are able to discriminate between different GM-VFNS approaches
- Example: NNPDF2.1 PDFs with 3 different heavy-flavour matching schemes
- no mass uncertainty shown



comparison with HERAPDF1.5

H1 and ZEUS

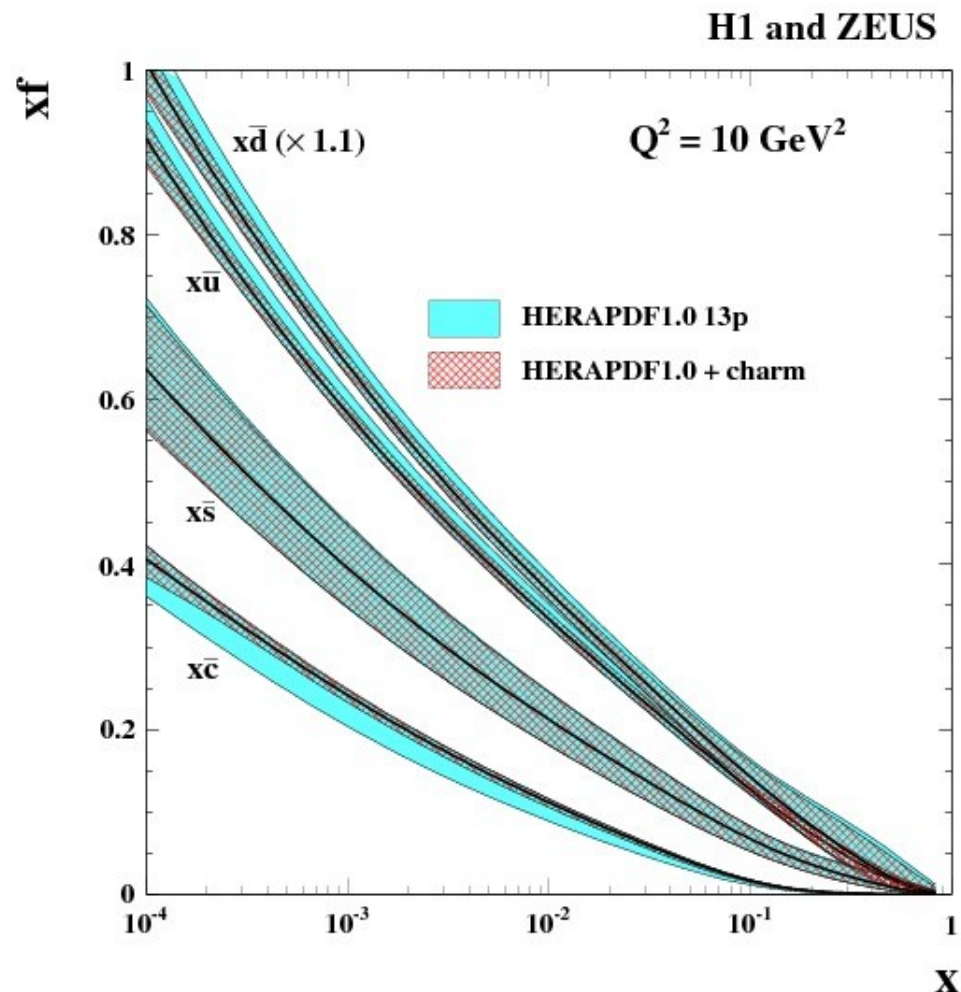
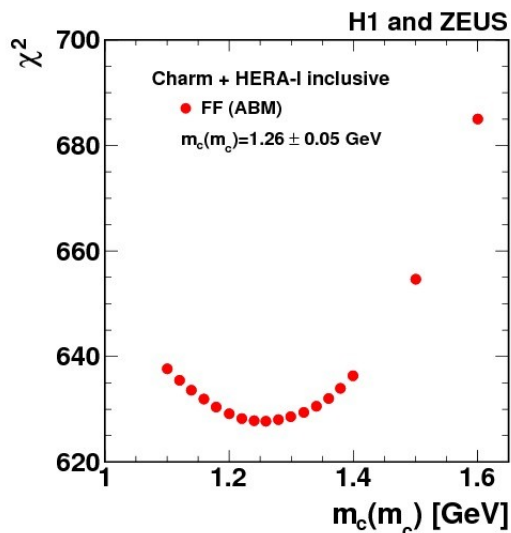
- HERAPDF1.5: GM-VFNS calculation based on a PDF fit to inclusive HERA data
- Main uncertainty from (pole) charm mass variation $1.35 < m_c < 1.65$ GeV (band)
- Consistency of charm data with inclusive fit
- Charm data have been then include into the HERAPDF fit ...



inclusion of charm data into PDF fit

New fit HERAPDF1.0 + charm

- uncertainty on $c(x)$ (and $g(x)$) reduced mainly due to reduced uncertainty on charm mass.
- uncertainty on sea quarks also reduced due to reduced $c(x)$
- sensitivity to charm quark mass



NLO FFNS fit used to extract the charm quark mass ($\overline{\text{MS}}$ scheme):

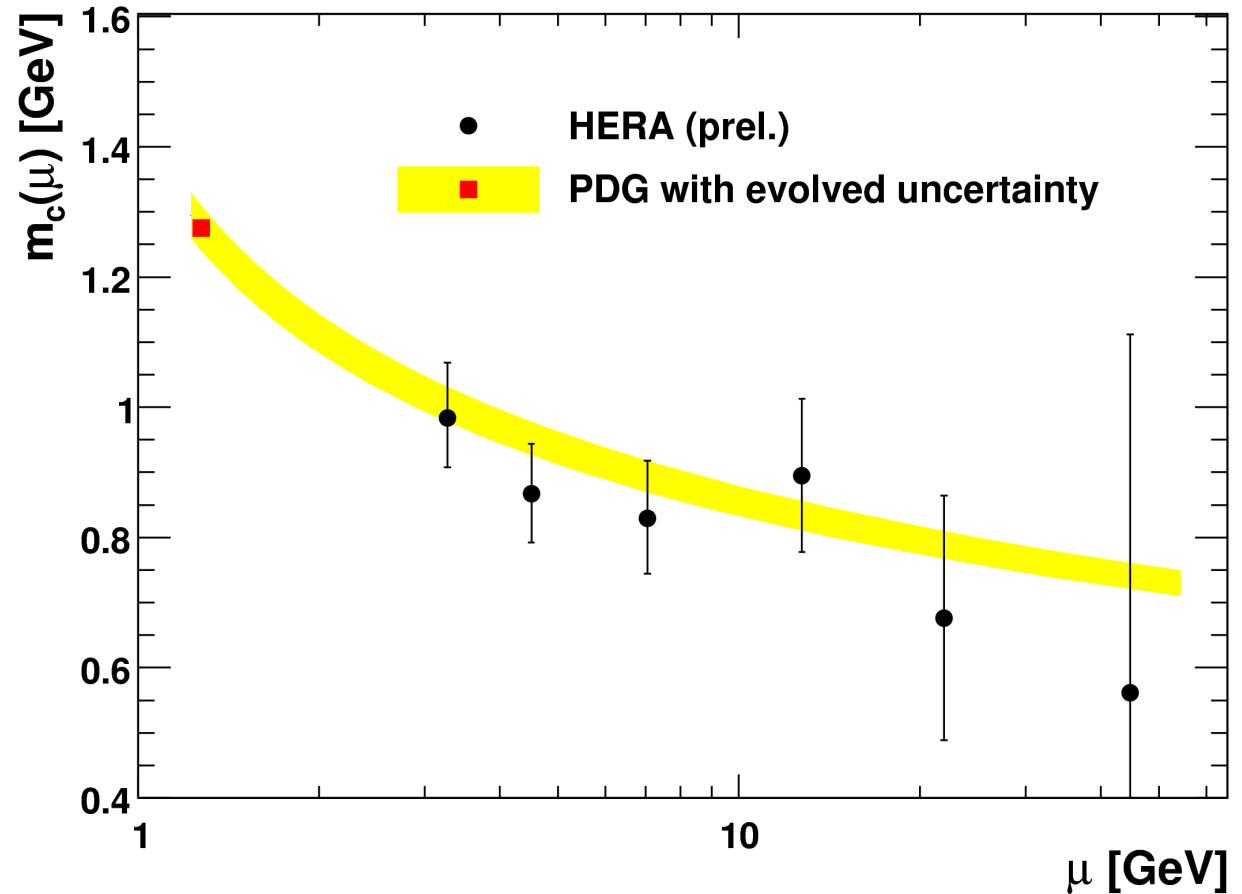
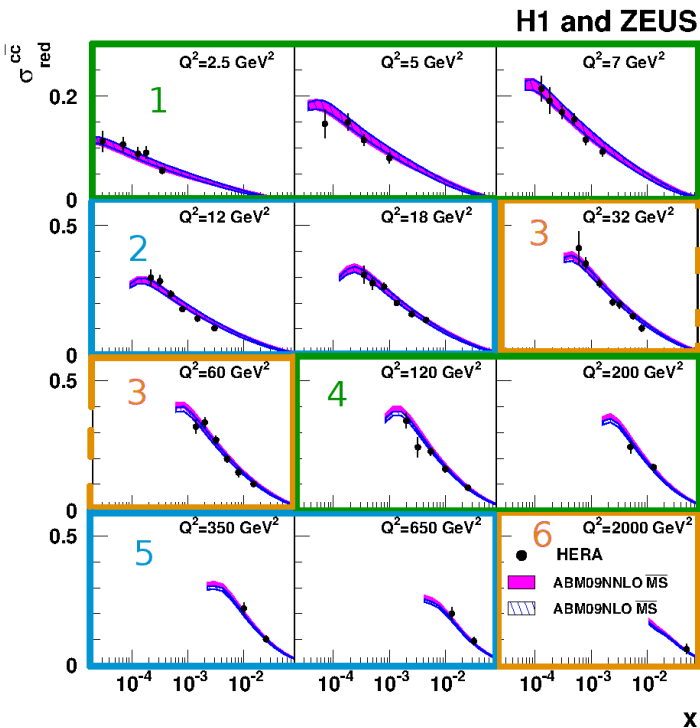
$$\text{HERA: } m_c(m_c) = 1.26 \pm 0.05_{\text{exp}} \pm 0.03_{\text{mod}} \pm 0.02_{\text{param}} \pm 0.02_{\alpha_s} \text{ GeV}$$

$$\text{PDG: } m_c(m_c) = 1.275 \pm 0.025 \text{ GeV}$$

charm mass running

H1-prelim-14-071
ZEUS-prel-14-006

H1 and ZEUS preliminary



- The running of the charm mass in the $\overline{\text{MS}}$ scheme is measured for the first time from the combined HERA charm reduced cross section
- found to be consistent with expectations from QCD
- PDG value of m_c mainly obtained from lattice gauge theory and time-like processes → important consistency check

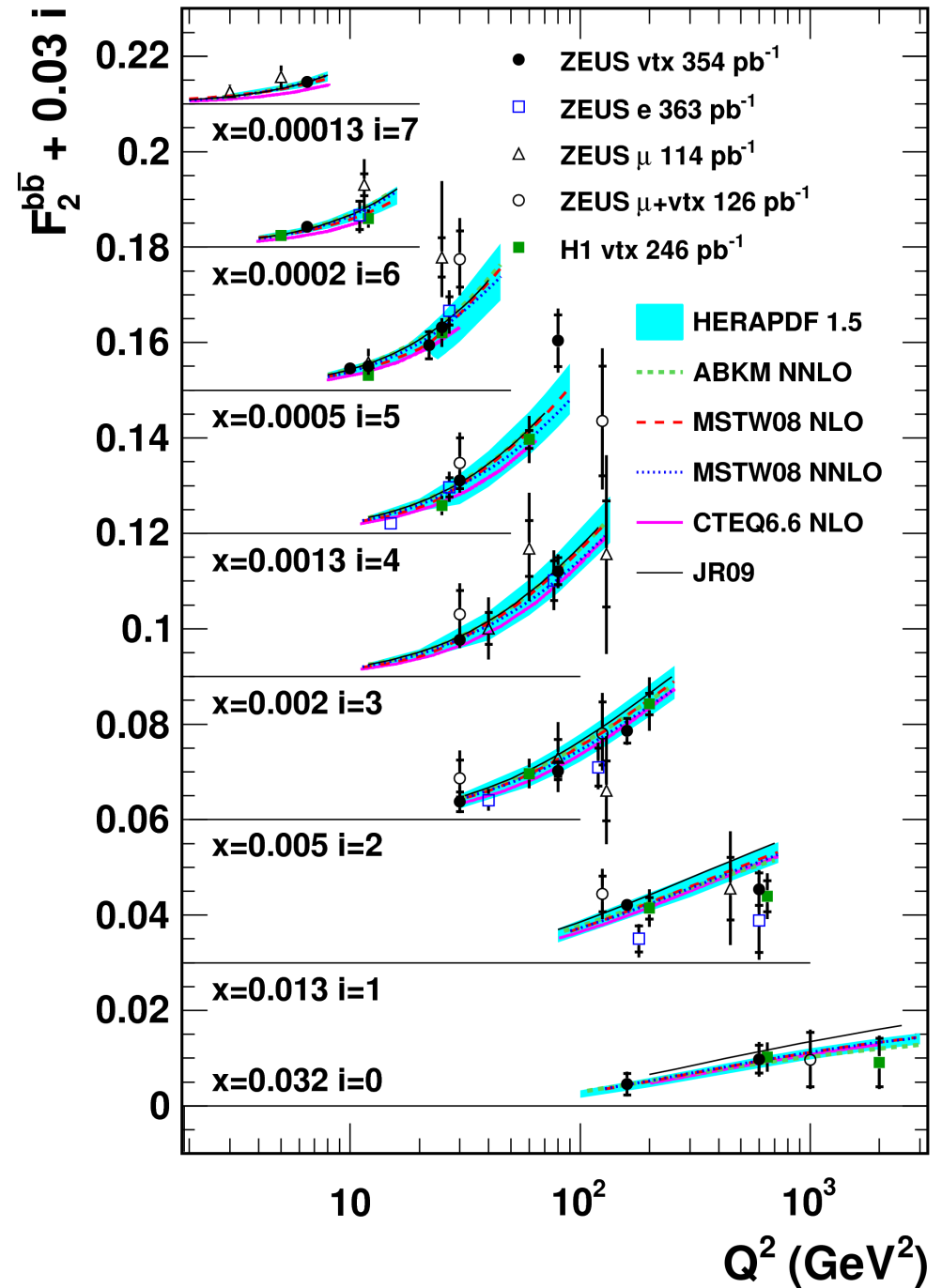
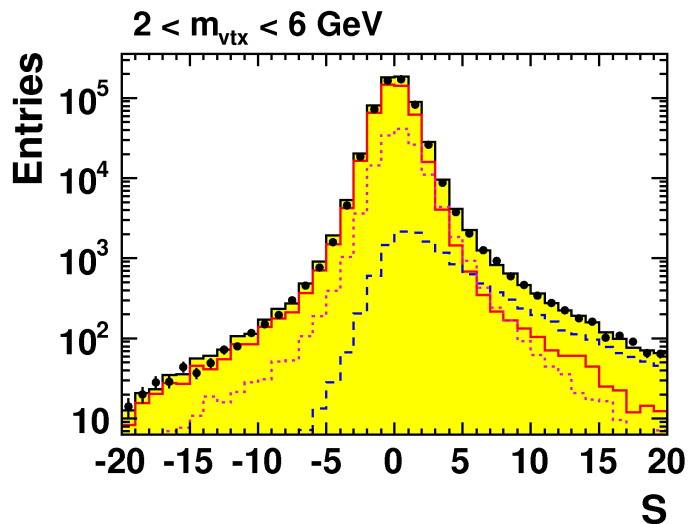
$F_2^{b\bar{b}}$ structure function

ZEUS: arXiv:1405.6915v1

$\mathcal{L} = 354 \text{ pb}^{-1}$

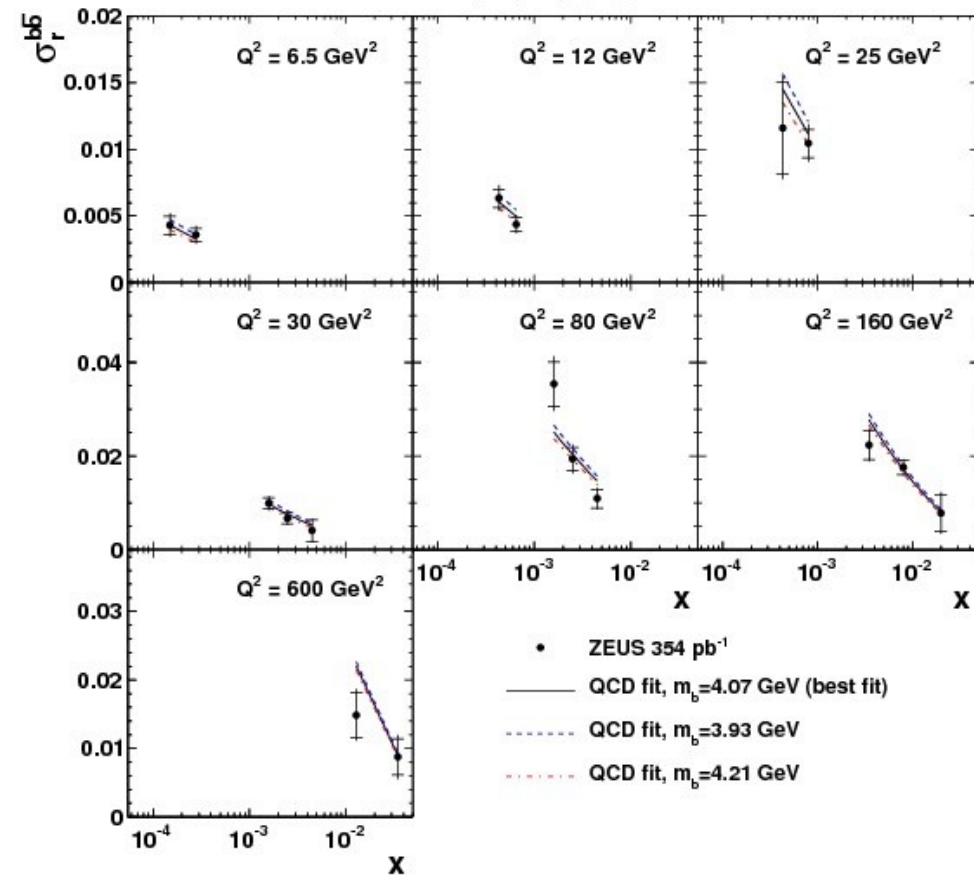
Phase space: $5 < Q^2 < 1000 \text{ GeV}^2$
 $1.5 \cdot 10^{-4} < x < 0.035$

Events with at least 1 jet with invariant mass of charged tracks associated with secondary vertices and decay-length significance of these vertices

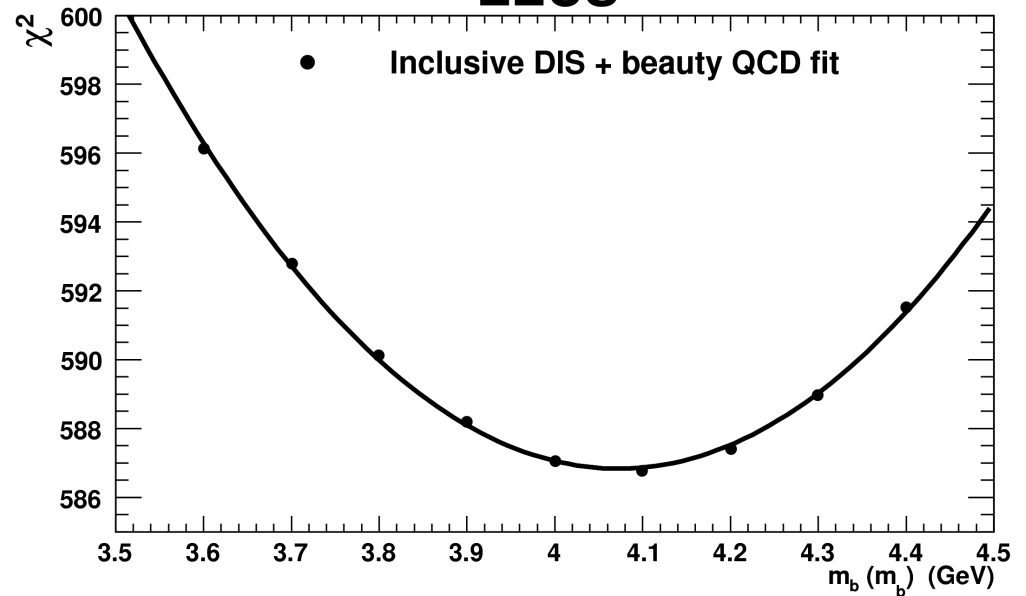


running beauty-quark mass

ZEUS



ZEUS



NLO FFNS fit used to extract the bottom quark mass ($\overline{\text{MS}}$ scheme):

$$\text{ZEUS: } m_b(m_b) = 4.07 \pm 0.14 (\text{fit}) \begin{matrix} +0.01 \\ -0.07 \end{matrix} (\text{mod.}) \begin{matrix} +0.05 \\ -0.00 \end{matrix} (\text{param.}) \begin{matrix} +0.08 \\ -0.05 \end{matrix} (\text{theo.}) \text{ GeV}$$

$$\text{PDG: } m_b(m_b) = 4.18 \pm 0.03 \text{ GeV}$$

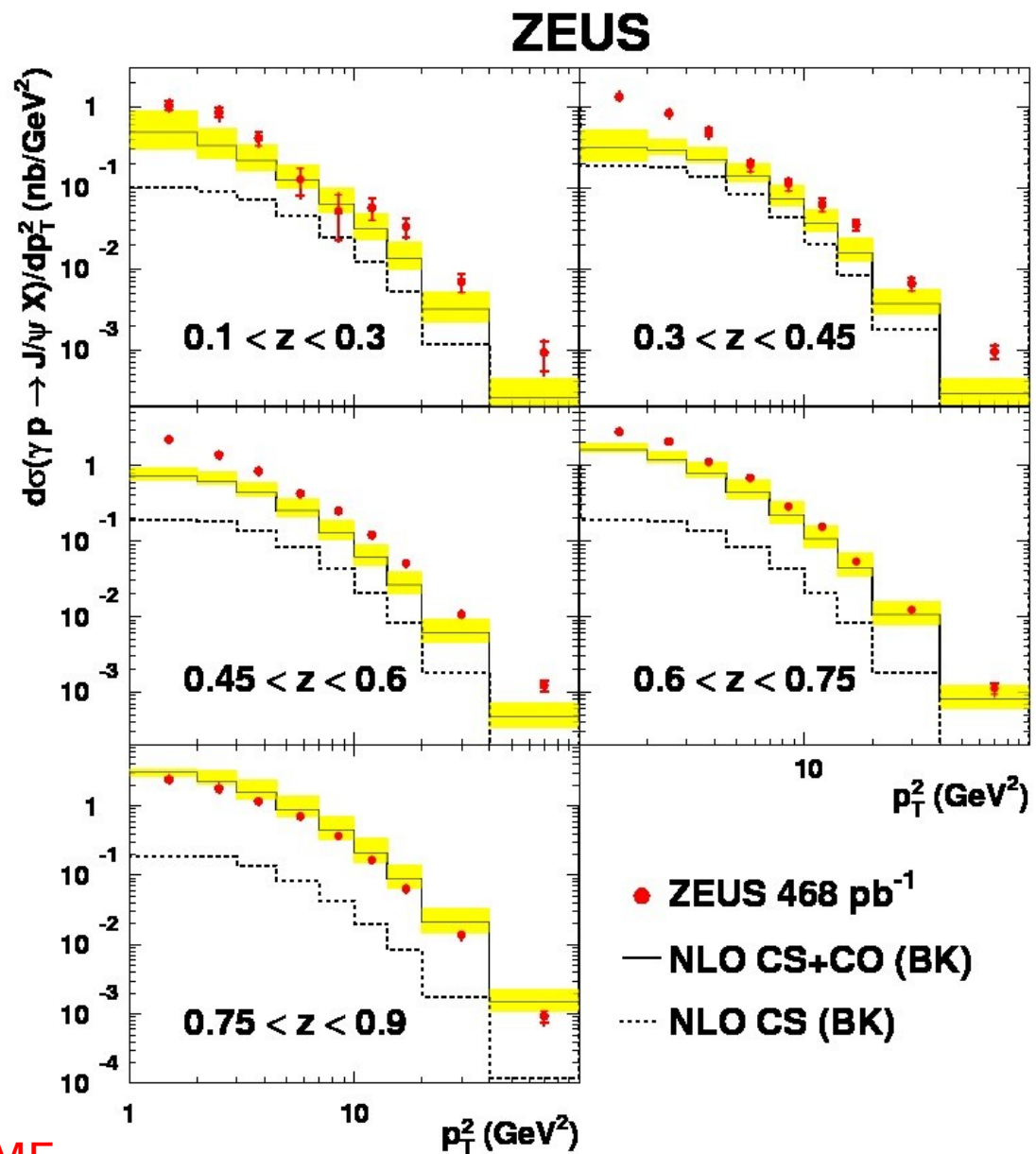
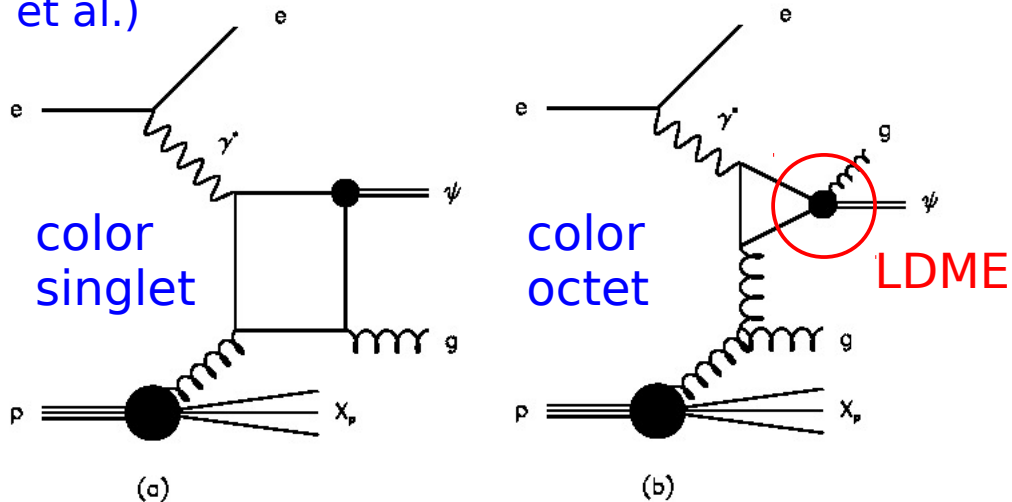
inelastic J/ψ photoproduction

New measurement
ZEUS:arXiv:1211.6946 JHEP02(2013)071

Full HERA data
Photoproduction ($Q^2 < 1 \text{ GeV}^2$)
 γ -p cms energy $60 < W < 240 \text{ GeV}$

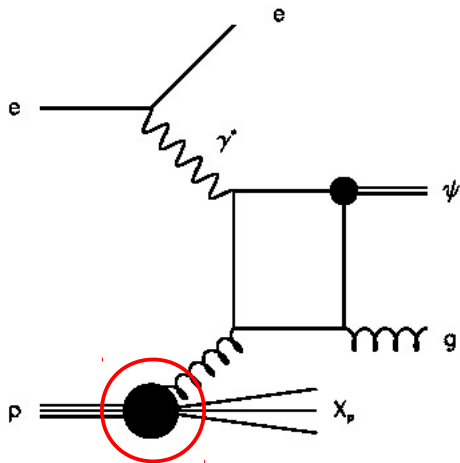
Double -differential in z, p_T^2
($z = E(J/\psi)/E(\gamma)$ in p rest frame)

Compared to NLO NRQCD calculation
color octet long-distance matrix elements
(LDMEs) from global fit to J/ψ data (Kniehl et al.)



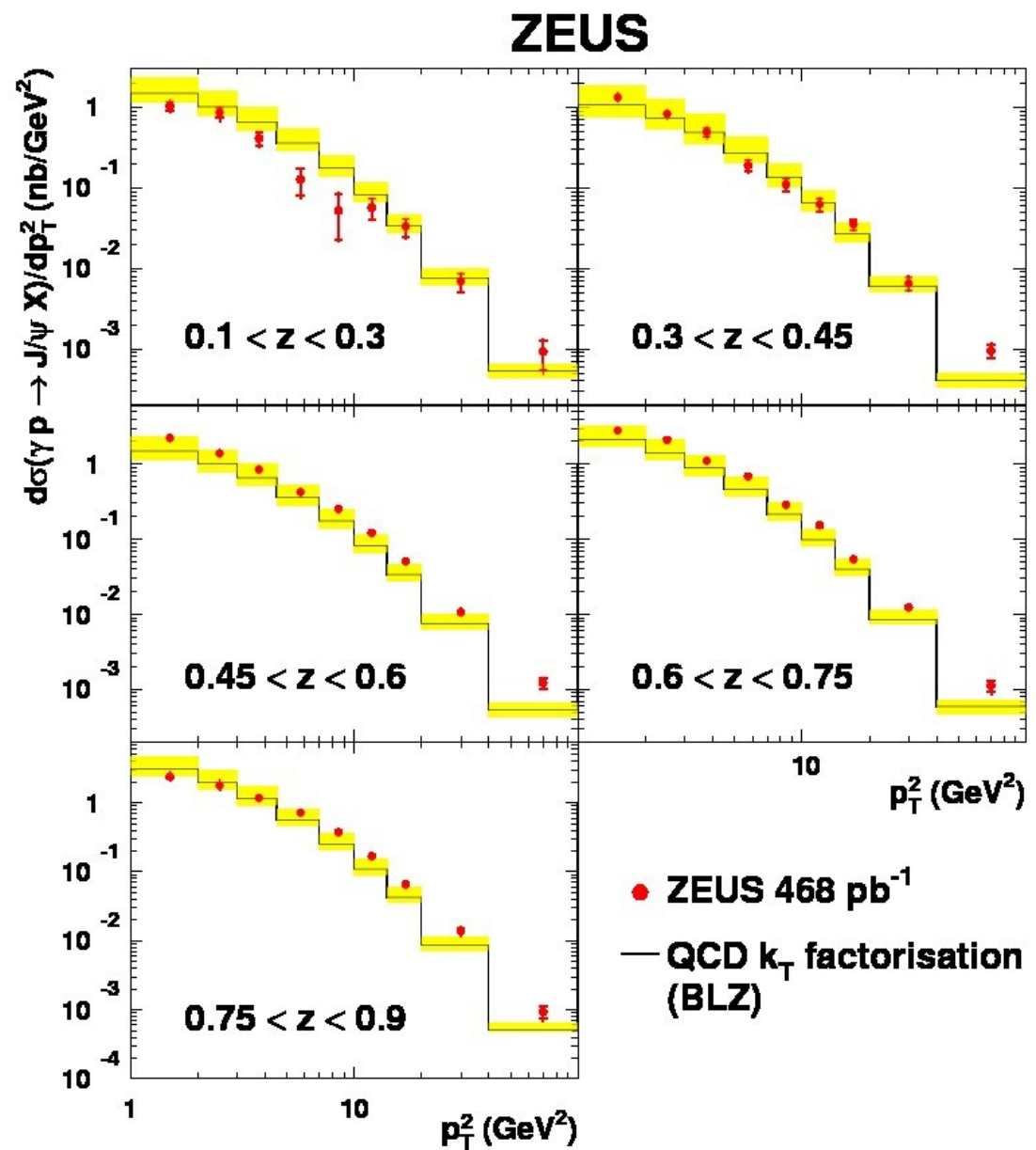
inelastic J/ψ photoproduction

Compared also to color singlet calculation with k_T factorization model (Baranov, Lipatov, Zotov)



unintegrated pdf $g(x, k_T, Q^2)$

Both NRQCD and k_T factorization models provide reasonable but not perfect description of the data.

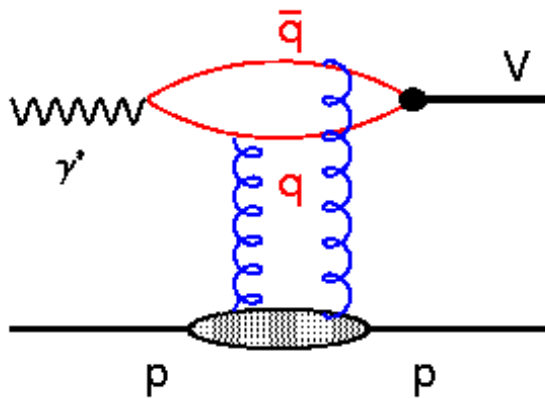


elastic J/ψ photoproduction

New H1 data: arXiv:1304.5162
EPJC 73(2013)2466

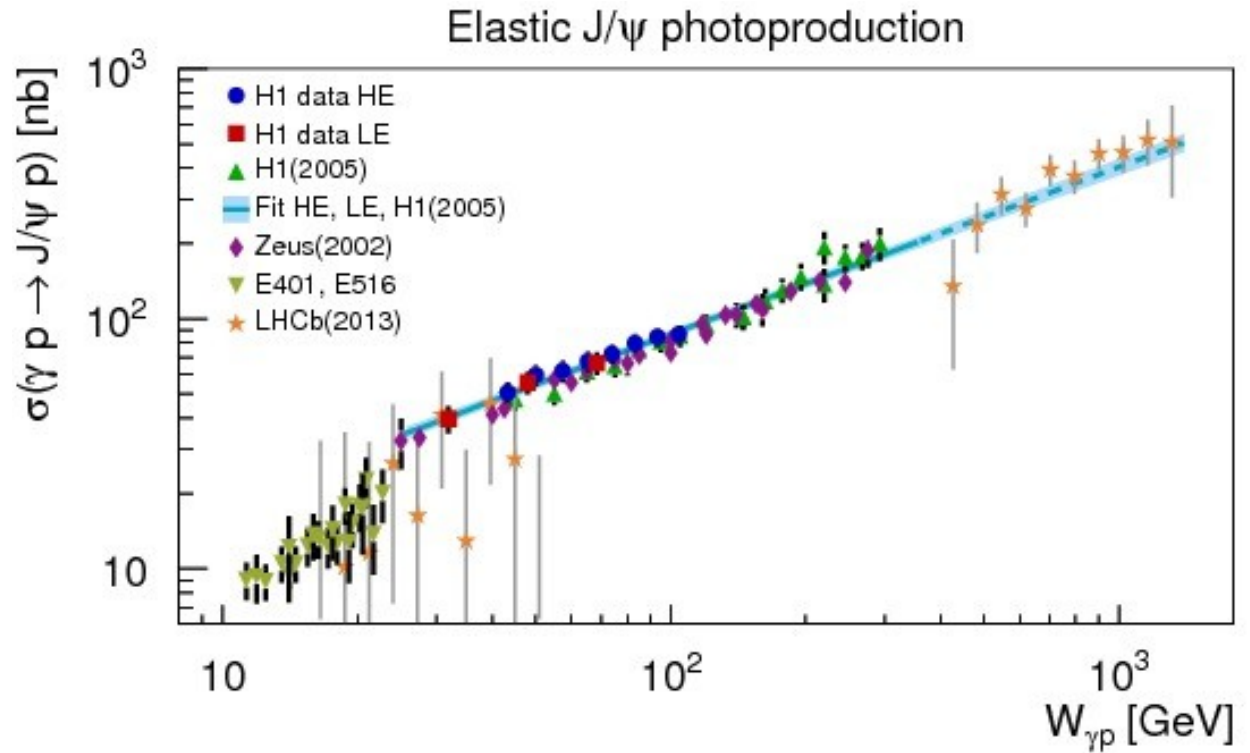
Significant gain in luminosity
wrt previous data.

Elastic vector meson (VM)
production:
the proton does not break
(no color exchange)



$$W = \gamma p \text{ cms energy}$$

$$t = (p' - p)^2$$



Power-law fit to W dependence at HERA:
Steeper than light VMs

Qualitative agreement with slope expected
from $g(x)$ growth at low x

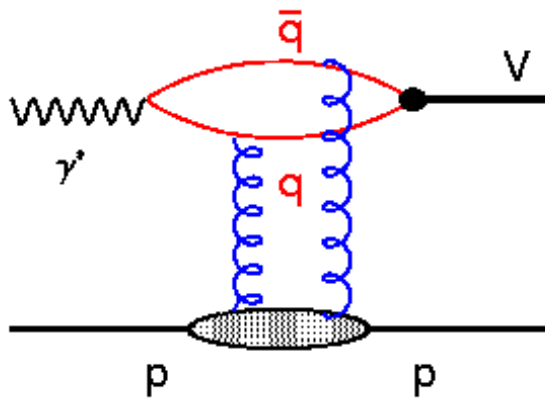
Extrapolation agrees well with LHCb data

elastic J/ψ photoproduction

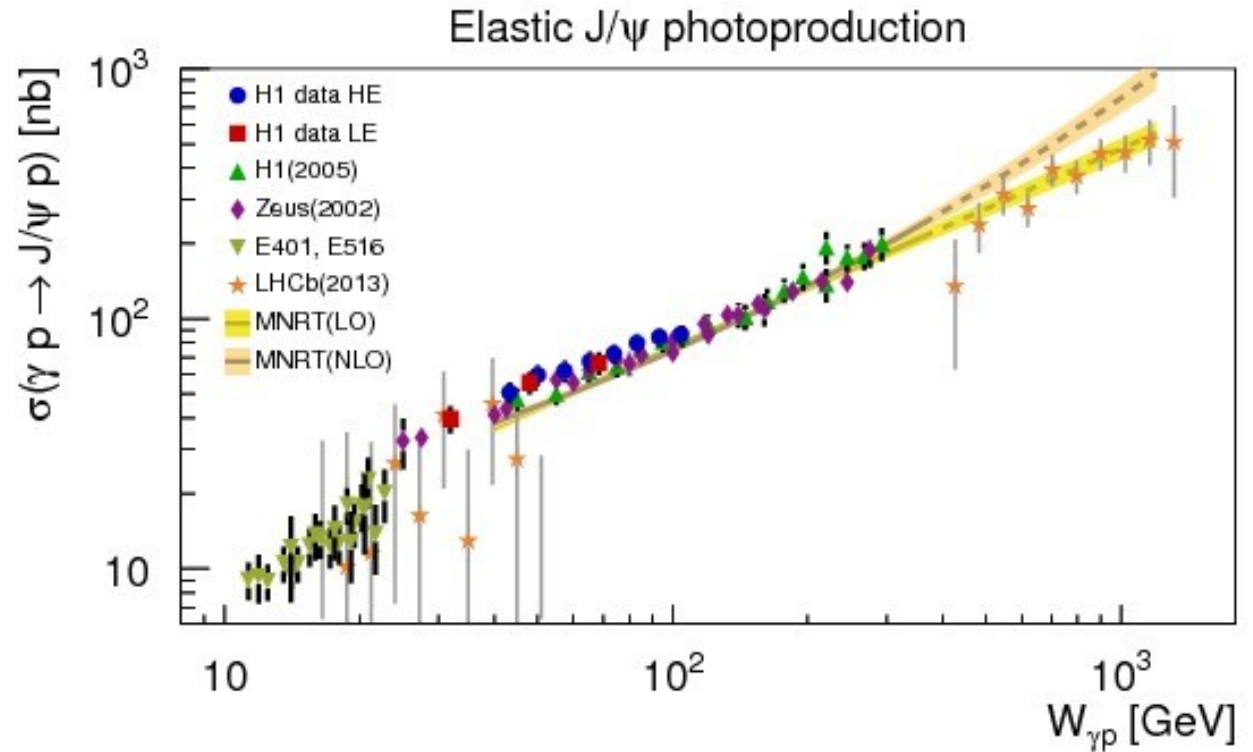
New H1 data: arXiv:1304.5162
EPJC 73(2013)2466

Significant gain in luminosity
wrt previous data.

Elastic vector meson (VM)
production:
the proton does not break
(no color exchange)



$W = \sqrt{s}$ cms energy
 $t = (p^1 - p)^2$



Comparison to QCD analysis with $g(x)$ based
on fit to previous HERA data

W dependence too steep, especially at NLO

Conclusions

► H1 and ZEUS still providing new heavy flavour results, exploiting the full HERA statistics to put tighter constraint on QCD

- Fragmentation fractions:
new precise measurements, support universality
- Charm production in DIS:
new measurements and HERA combination of previous ones put constraints on PDFs and on treatment of Heavy Quarks in QCD calculations
- Beauty production in DIS:
new precise measurements at high statistics (using secondary vertices)
- Inelastic J/ψ production:
new results, disfavour pure color-singlet models
- Elastic J/ψ production:
new precise measurements: tighter constraints on QCD models

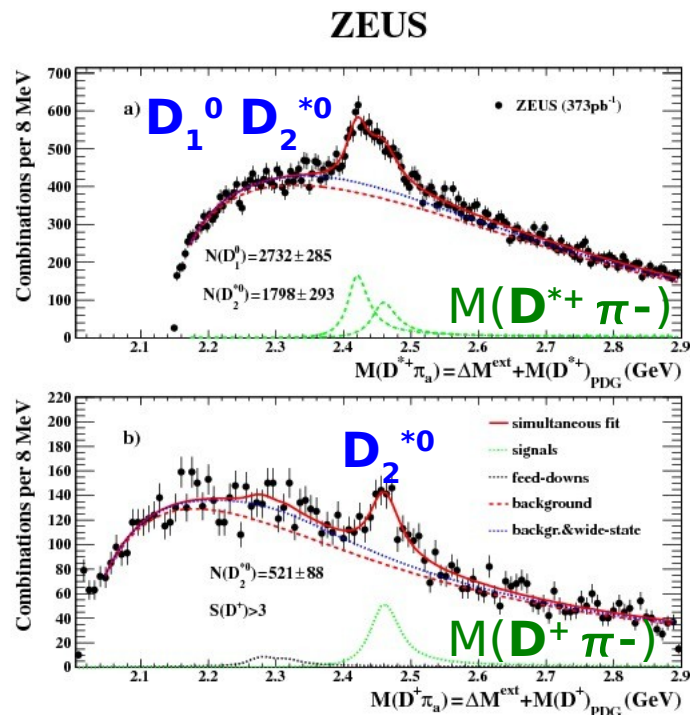
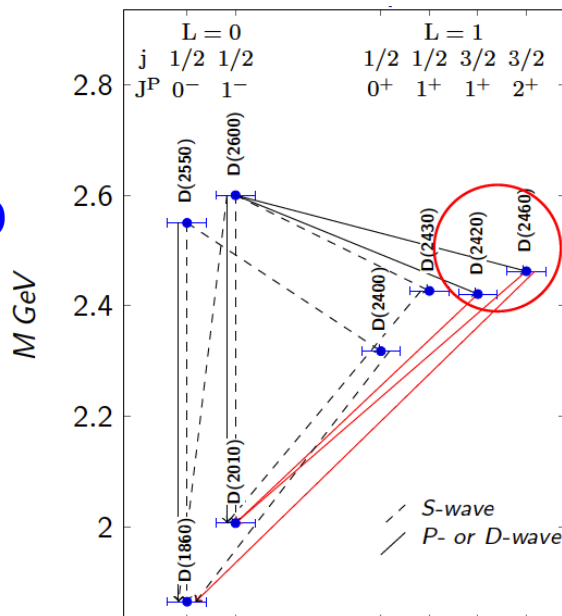
backup slides

Excited Charm Mesons

$D_1(2420)^0$ and $D_2^*(2460)^{0,+/-}$

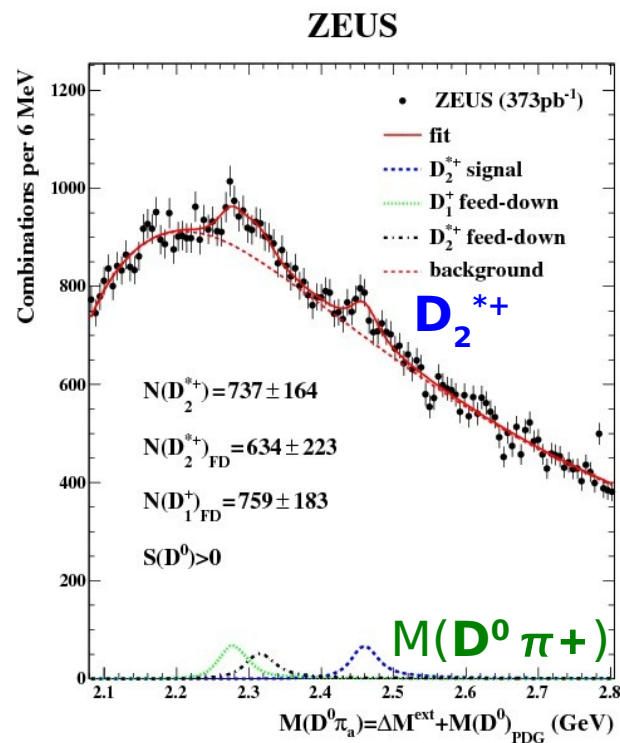
ZEUS: NPB 866 (2013) 229

Masses, width of neutral states, D_1^0 helicity and BRs compatible with B-factory results



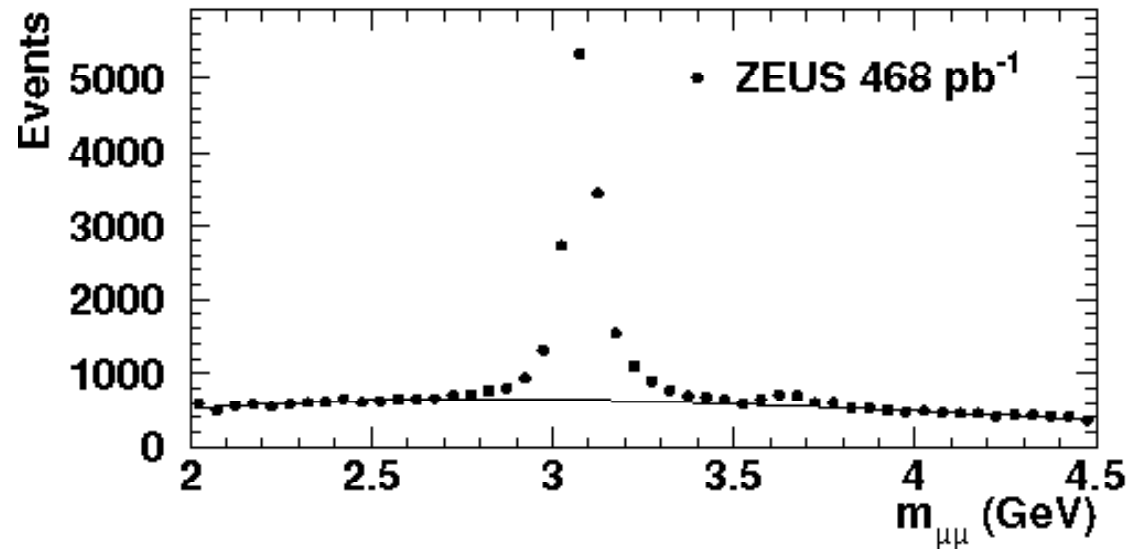
Fragmentation fractions %

	$f(c \rightarrow D_1^0)$	$f(c \rightarrow D_2^{*0})$	$f(c \rightarrow D_1^+)$	$f(c \rightarrow D_2^{*+})$
HERA-II	$2.9 \pm 0.5^{+0.5}_{-0.5}$	$3.9 \pm 0.9^{+0.8}_{-0.6}$	$4.6 \pm 1.8^{+2.0}_{-0.3}$	$3.2 \pm 0.8^{+0.5}_{-0.2}$
HERA-I	$3.5 \pm 0.4^{+0.4}_{-0.6}$	$3.8 \pm 0.7^{+0.5}_{-0.6}$		
OPAL	$2.1 \pm 0.7 \pm 0.3$	$5.2 \pm 2.2 \pm 1.3$		



data sample and selection

- ★ $\mathcal{L} = 468 \text{ pb}^{-1}$ (1996-2007)
- ★ $Q^2 \sim 0 \text{ GeV}^2$
- ★ $60 < W < 240 \text{ GeV}$
- ★ $p_{T,\psi} > 1.0 \text{ GeV}$
- ★ $0.1 < z < 0.9$



backgrounds from other J/ψ production mechanisms

p-diffractive J/ψ

- cut: $N_{\text{tracks}} \geq 3$
- overall $\sim 6 \%$
- **contribution subtracted**

B meson decays

- overall $\sim 1.6 \%$
- **contribution not subtracted**

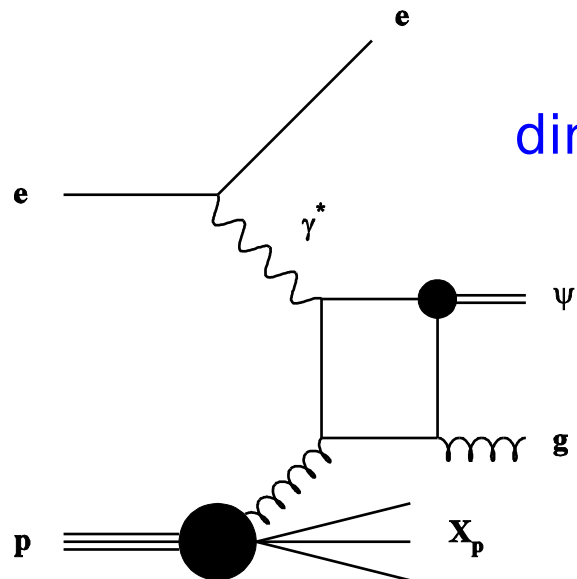
$\psi(2S)$ feed down (diff. + inel.)

- overall $\sim 15 \%$
- **contribution partially subtracted**

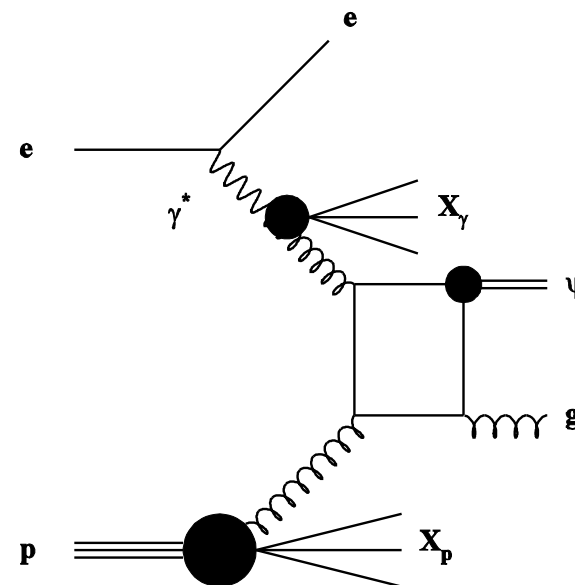
Inelastic J/ψ photoproduction

$$z = E(J/\psi)/E(\gamma)$$

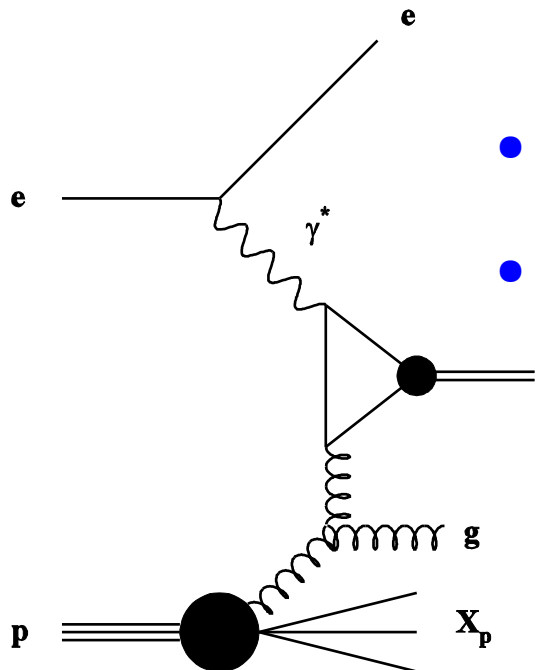
p rest frame



direct γ , "CS model"
 $0.2 < z < 0.9$



resolved γ , "CS model"
 $z < 0.2$



direct γ , "CO model"

- this particular diagram
 $0.2 < z < 0.9$
- more "typical" ones:
 $z > 0.9$

- + other J/ψ production mechanisms:
- ◆ J/ψ from diffraction
 - ◆ J/ψ from ψ' decays
 - ◆ J/ψ from B mesons decays

theoretical calculations

The measurements are compared with the following calculations:

► **NLO-CS+CO**: M. Butenschön, B. A. Kniehl, Phys. Rev. Lett. **104**, 072001 (2010).

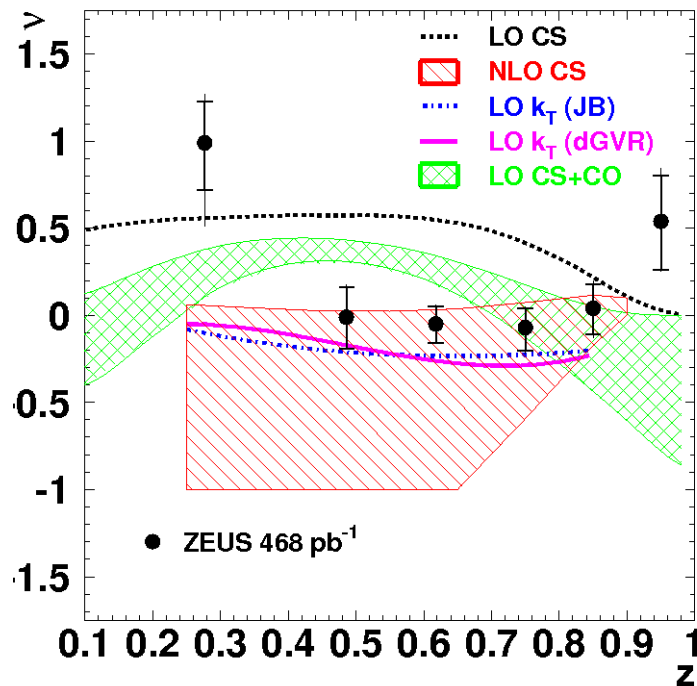
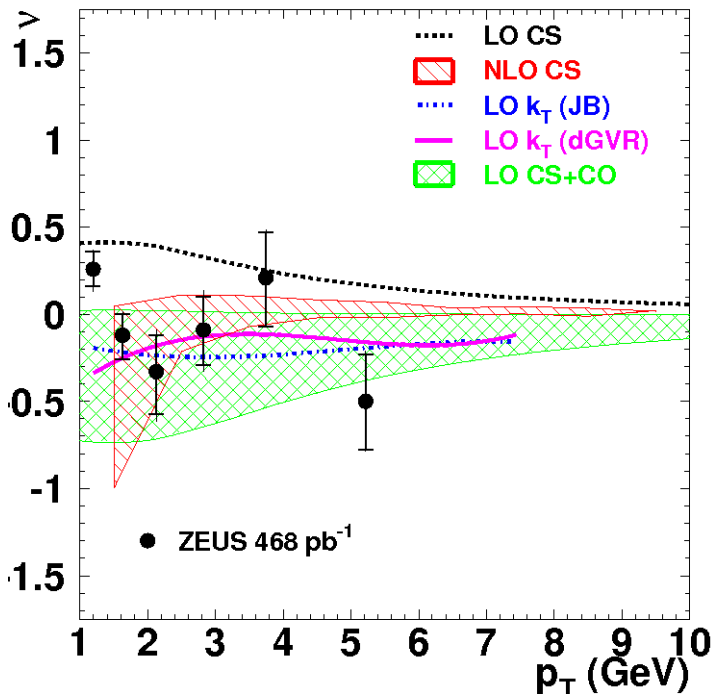
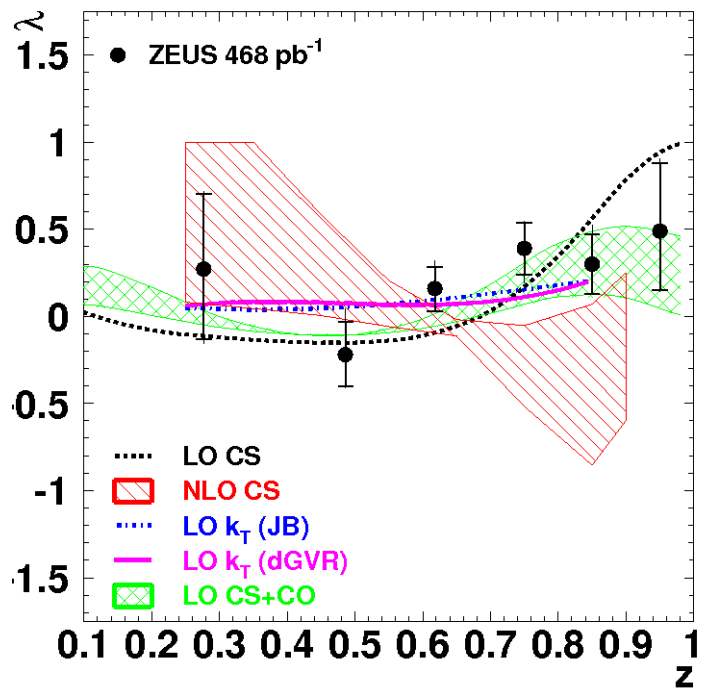
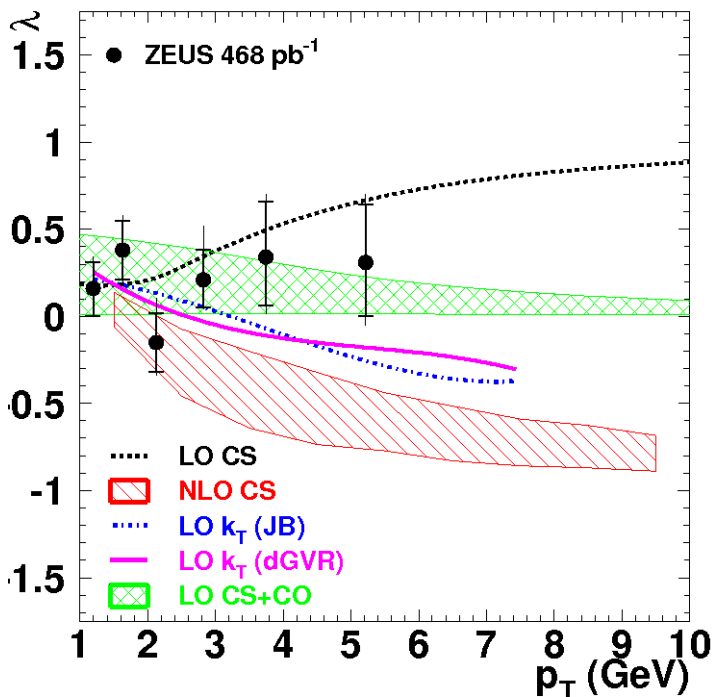
M. Butenschön, B. A. Kniehl, DESY 11-046
arXiv:1105.0820v1

- ◆ the calculation contains both direct and resolved photon contributions
- ◆ includes the full relativistic corrections due to $^1S_0^{[8]}$, $^3S_1^{[8]}$, $^3P_J^{[8]}$ CO states
- ◆ CO long-distance matrix elements (universal function) extracted from all available high-quality data of inclusive J/ψ production

► **LO- k_T** : S.P. Baranov, A.V. Lipatov and N.P. Zotov, Eur. Phys. J. **C 71**, 1631 (2011)

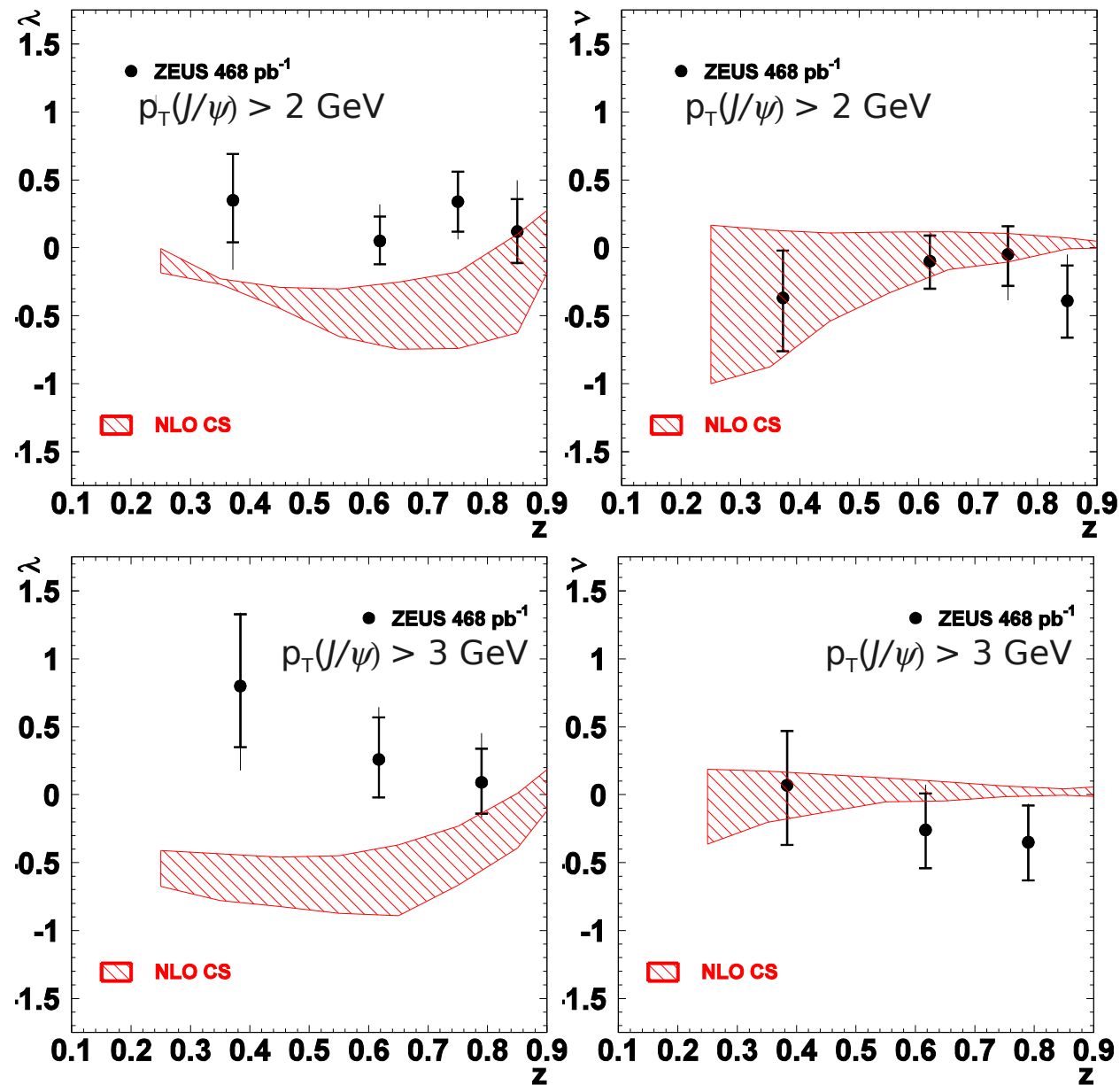
- ◆ only CS contribution taken into account
- ◆ k_T factorization
- ◆ unintegrated gluon distribution

ZEUS data: Helicity frame



JHEP 12 (2009) 007

ZEUS data: Helicity frame



NLO predictions for:

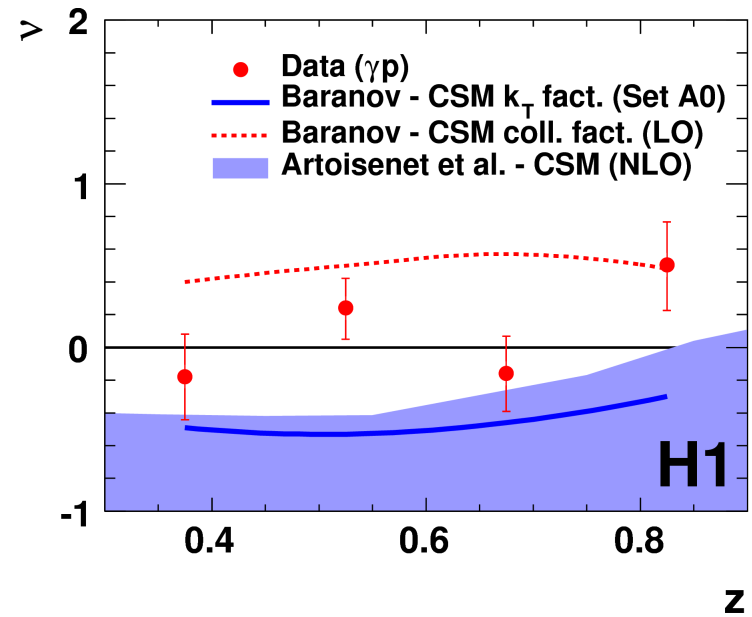
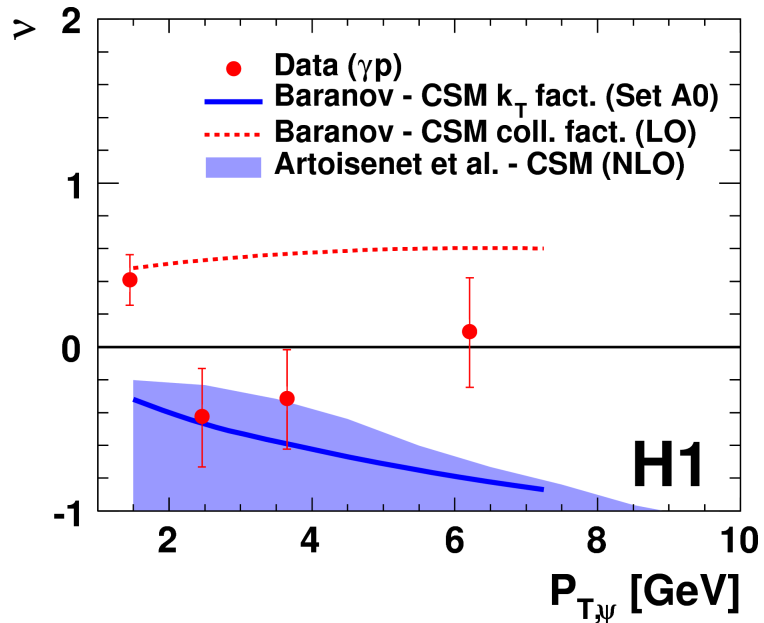
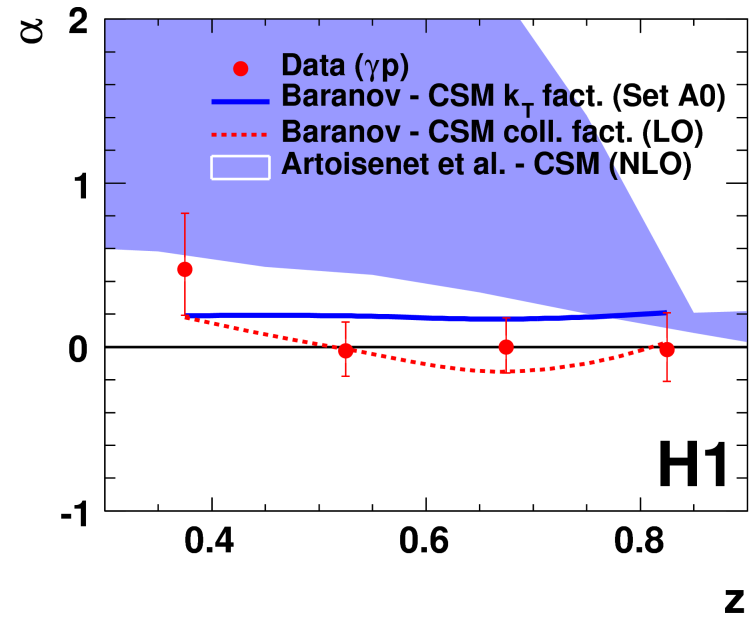
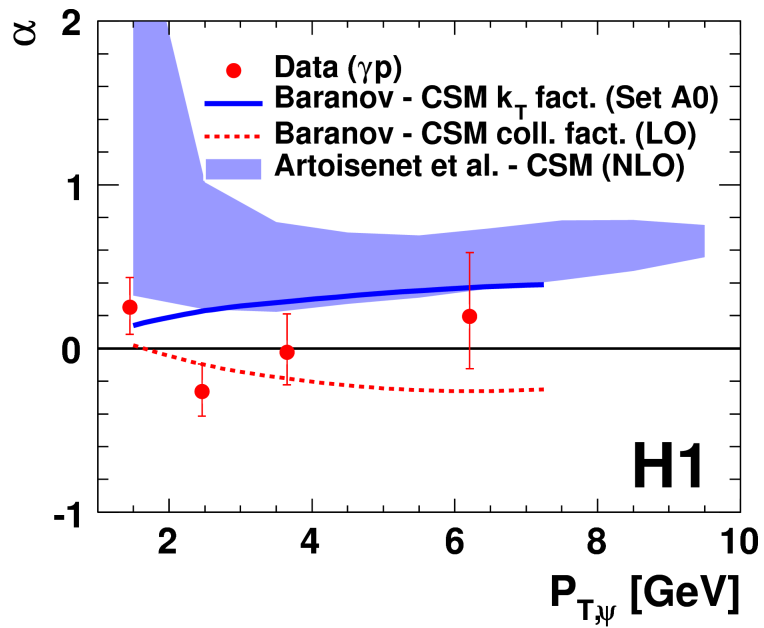
- $p_T(J/\psi) > 2 \text{ GeV}$
- $p_T(J/\psi) > 3 \text{ GeV}$

NLO calculation has reduced uncertainties ... unlikely experimental errors grow ... and the agreement between NLO and data does not really improve ...

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H1 data: Collins-Soper Frame

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even if the ZEUS and H1 analyses differ in several details the overall results are compatible

