

## *Leading Baryon Production at HERA*



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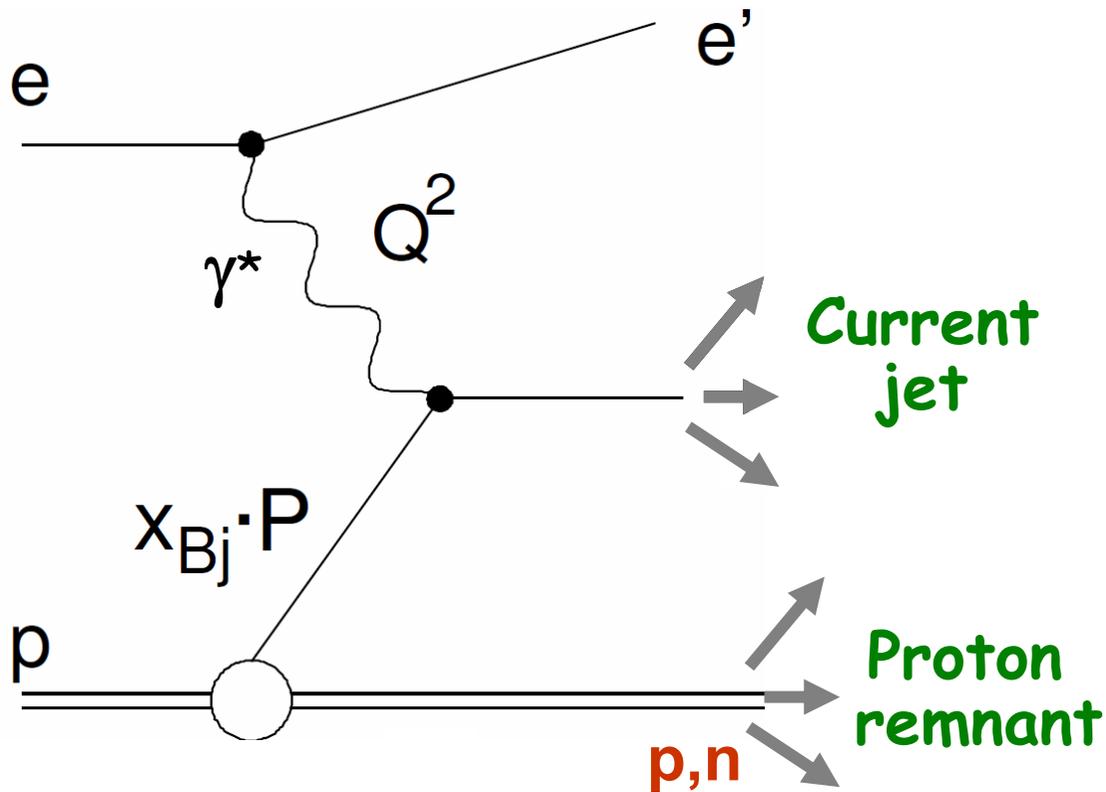


### Outline:

Leading Protons and Neutrons in DIS

Leading Neutrons in photoproduction of jets

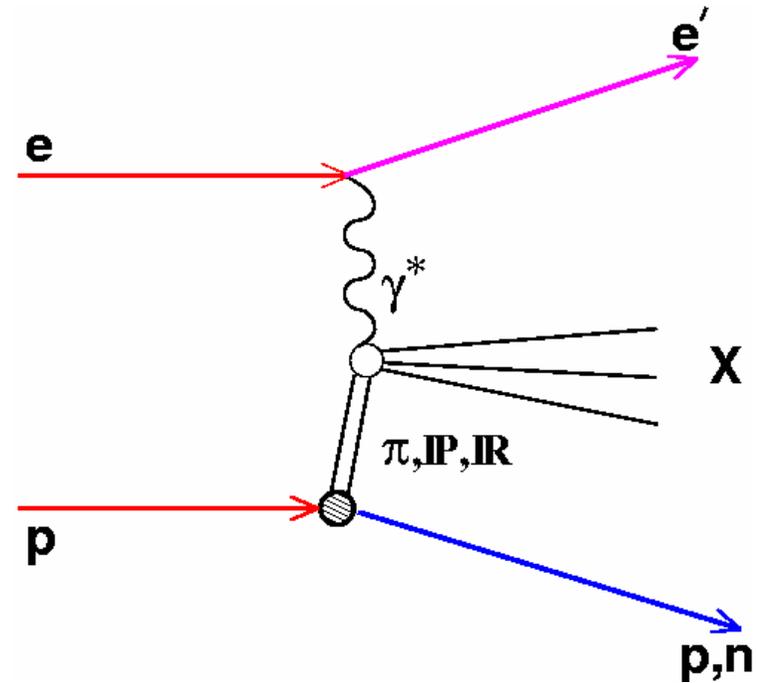
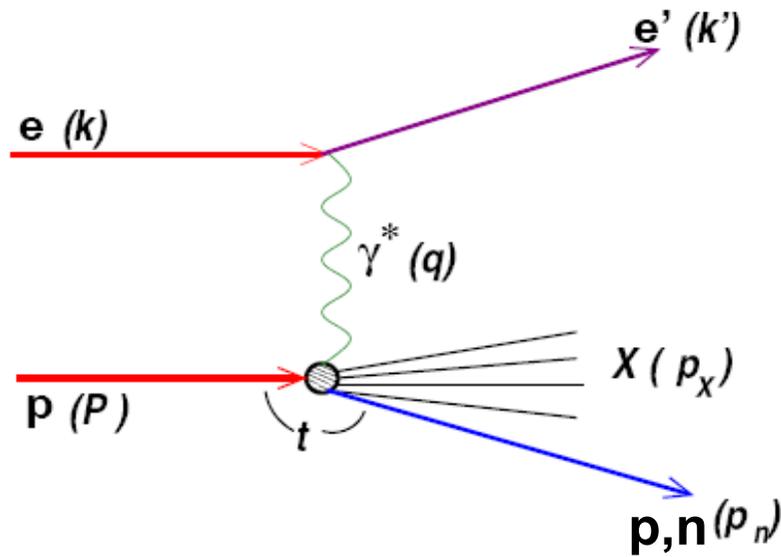
Leading Baryons and Cosmic Rays



scale for secondary particle production decreases from  $Q^2$  in current region (or high  $P_T$  jets if  $Q^2 \sim 0$ ) to a soft hadronic scale (proton fragmentation region)

Significant fraction of  $ep$  scattering events contains in the final state a leading proton or neutron which carry a substantial portion of the energy of the incoming proton:  $e+p \rightarrow e'+n+X$  or  $e'+p+X$

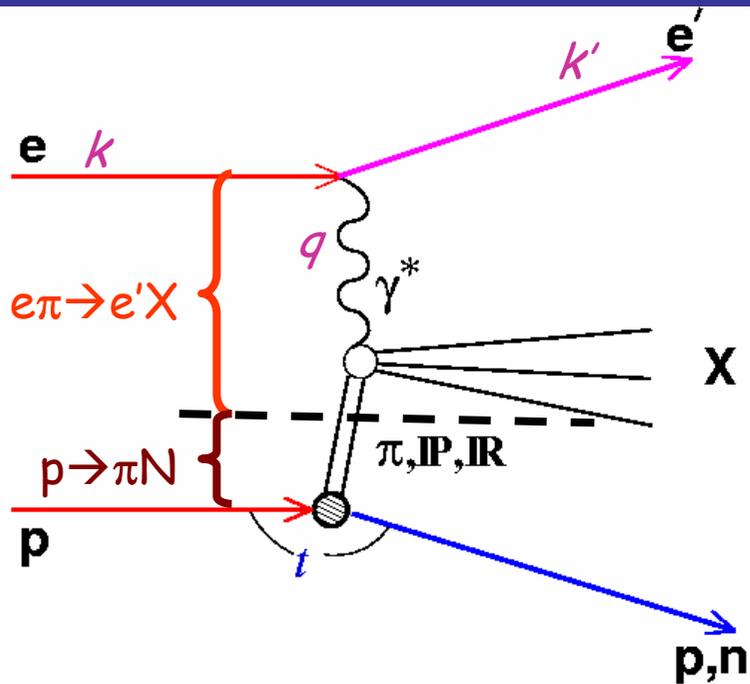
Production mechanism of leading baryons:



'conventional' fragmentation of proton remnant (e.g. Lund string)

- exchange of virtual particle
- LP: neutral iso-scalar, iso-vector ( $\pi, IR, IP$ )
  - LN: charged iso-vector ( $\pi^+, \rho^+, a_2 \dots$ )

# Kinematics and Vertex factorisation



$ep \rightarrow e'NX$

Lepton variables:

$$Q^2 = -(k - k')^2$$

$$x = Q^2 / (2p \cdot q)$$

Leading baryon variables:

$$x_L = E_{LB} / E_p$$

$$t = (p - p_{LB})^2 \quad (\text{or } p_{T, LB}^2)$$

In the exchange model the cross sections factorise, e.g. for one pion exchange

$$\sigma(ep \rightarrow e'NX) = f_{\pi/p}(x_L, t) \times \sigma(e\pi \rightarrow e'X)$$

$f_{\pi/p}(x_L, t)$  - pion flux:  
probability to emit pion from the photon with given  $x_L, t$

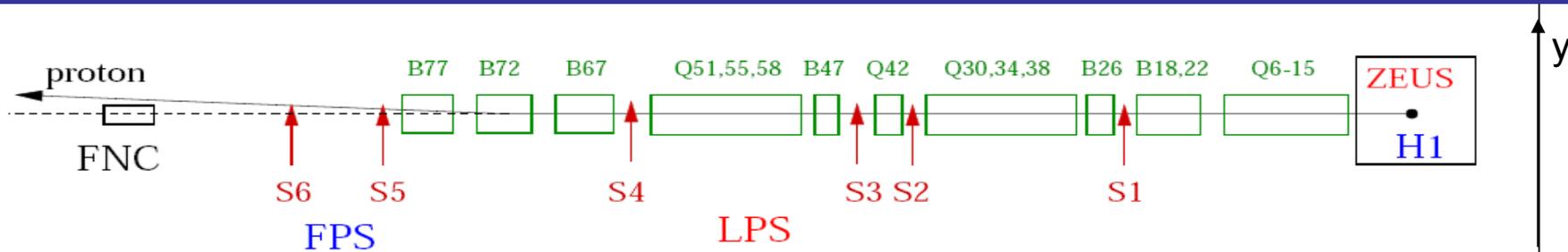
$\sigma(e\pi \rightarrow e'X)$  - cross-section  
of  $e\pi$  scattering

-Leading Baryon production independent from photon vertex

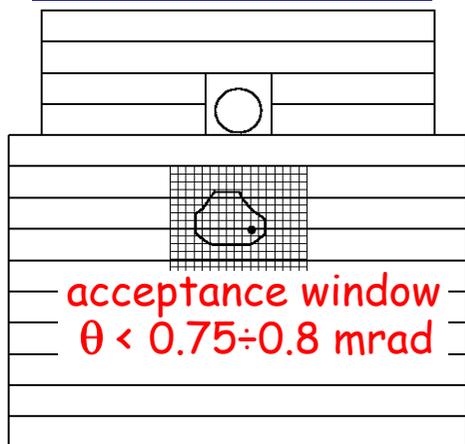
-probe structure of exchanged particle

-factorisation violation predicted- absorption/rescattering

# H1 and ZEUS detectors for leading baryons

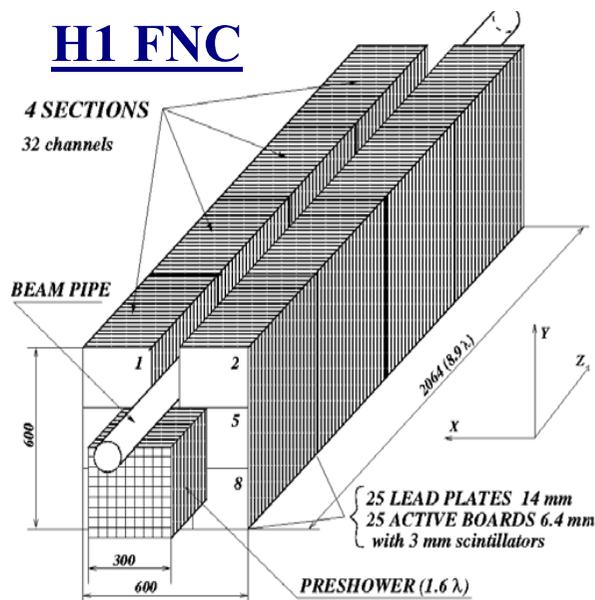


## ZEUS FNC+FNT



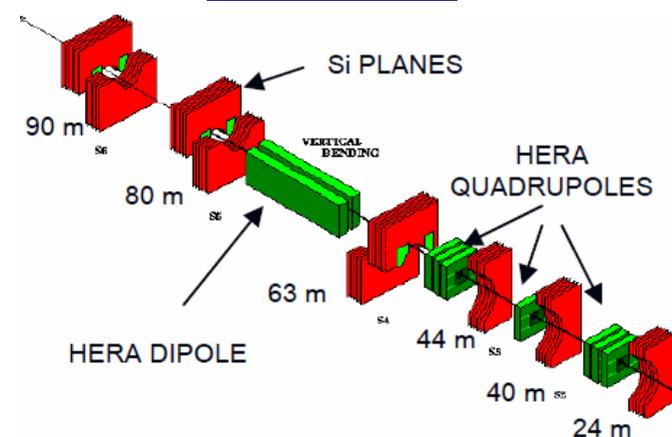
14 towers, 17x15 grid  
of the FNT hodoscopes,  
 $\sigma_E/E \approx 0.7/\sqrt{E}$   
position resolution 2-3mm

## H1 FNC



$\sigma_E/E \approx 0.63/\sqrt{E} \oplus 2\%$

## ZEUS LPS

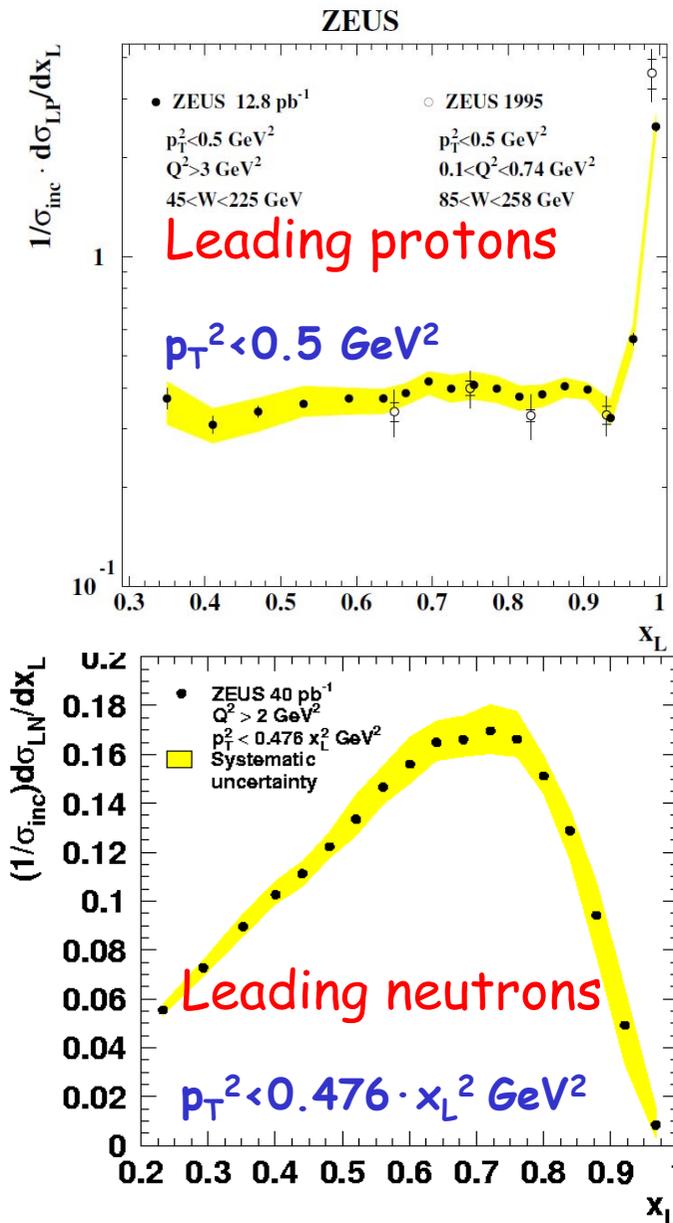


6 stations with  $\mu$ strip detectors  
hit position resolution  $\sim 30 \mu\text{m}$   
 $\sigma_{X_L} < 1\%$ ,  $\sigma_{p_T} \sim \text{few MeV}$

momentum accuracy  $< 1\%$

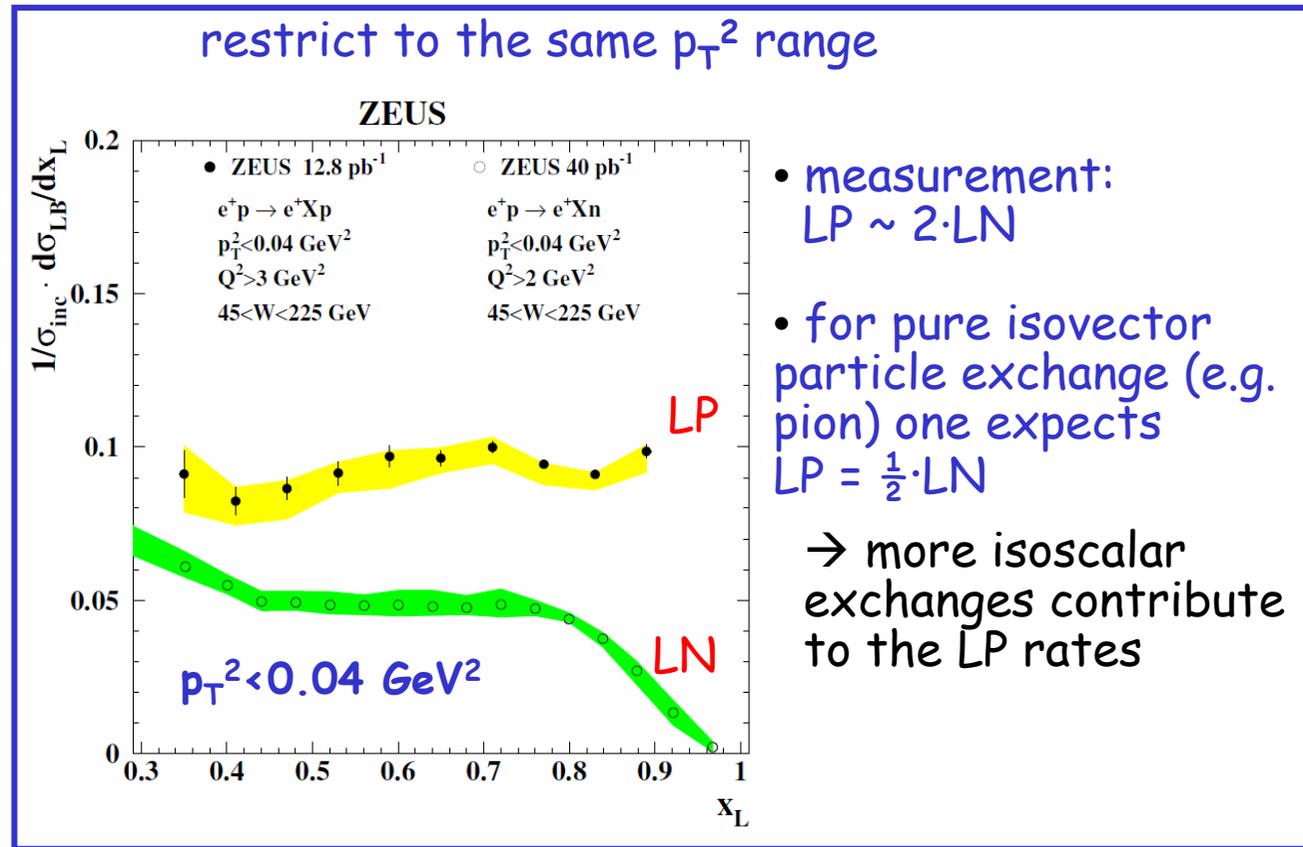
Acceptance limited by beam apertures and detector size  
 $p_T$  resolution is dominated by  $p_T$  spread of proton beam (50-100 MeV)

# Cross sections vs $x_L$ normalised to $\sigma_{DIS}$ ( $1/\sigma_{DIS} \times d\sigma/dx_L$ )



**Leading protons:** (JHEP 0906:074,2009)  
 • diffractive peak at  $x_L=1$  ; flat at  $x_L < 0.95$

**Leading neutrons:** (Nucl.Phys.B776(2007)1)  
 • yield  $\rightarrow 0$  as  $x_L \rightarrow 1$  ;  
 • drop at  $x_L < 0.7$  due to drop in acceptance



• measurement:  
 LP ~ 2·LN

• for pure isovector particle exchange (e.g. pion) one expects  
 LP =  $\frac{1}{2}$ ·LN

→ more isoscalar exchanges contribute to the LP rates

# Double differential cross sections vs $p_T^2$ and $x_L$

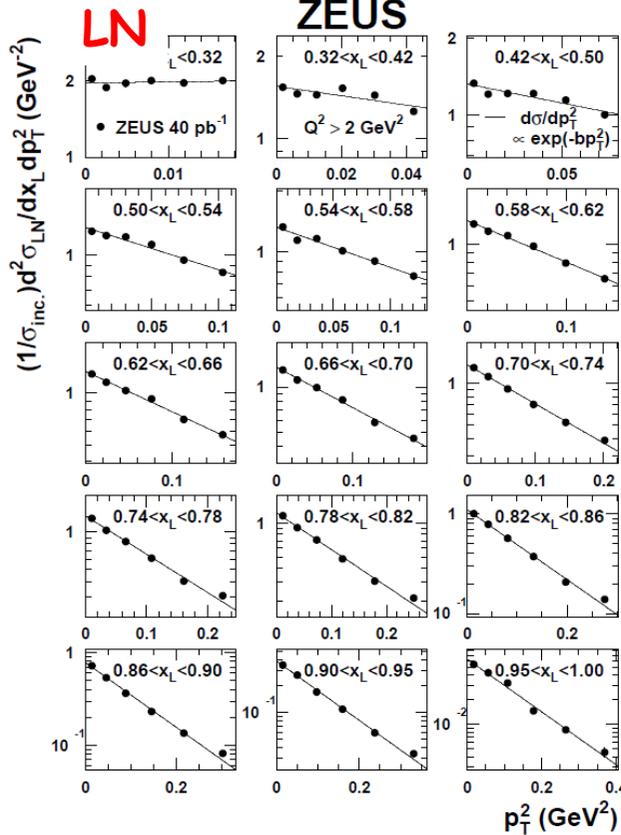
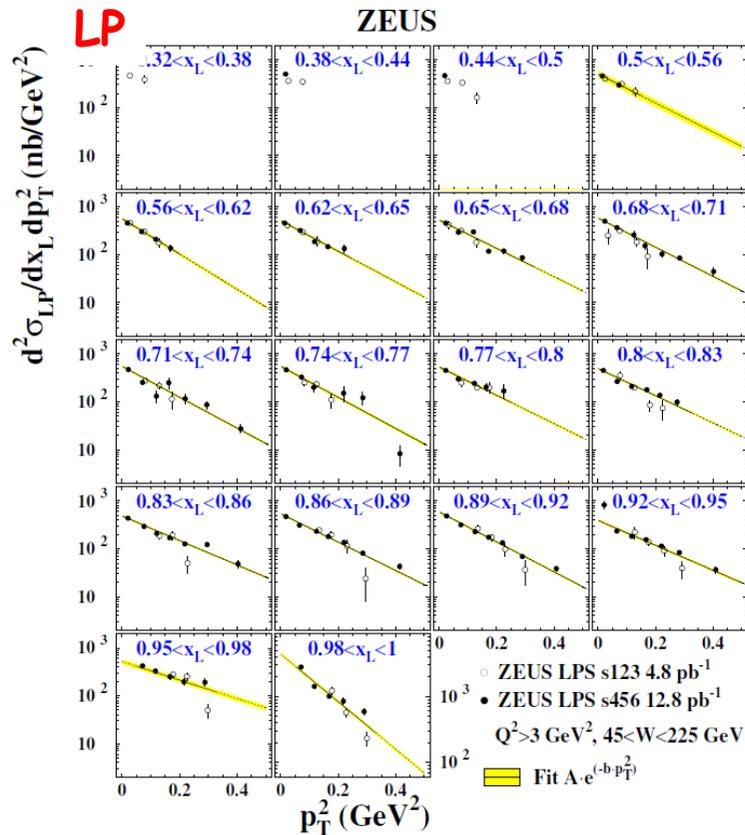
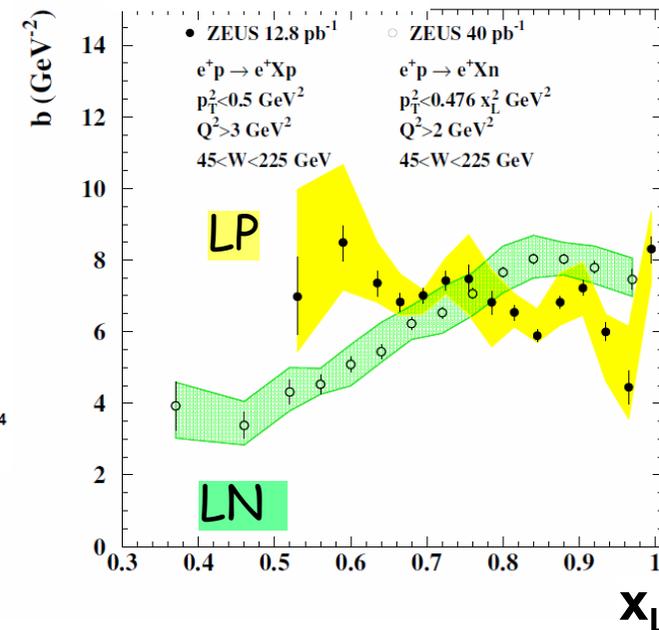
JHEP 0906:074,2009

Nucl.Phys.B776(2007)1

Exponential behavior

$$\frac{d^2\sigma}{dx_L dp_T^2} \sim a(x_L) e^{-b(x_L)p_T^2}$$

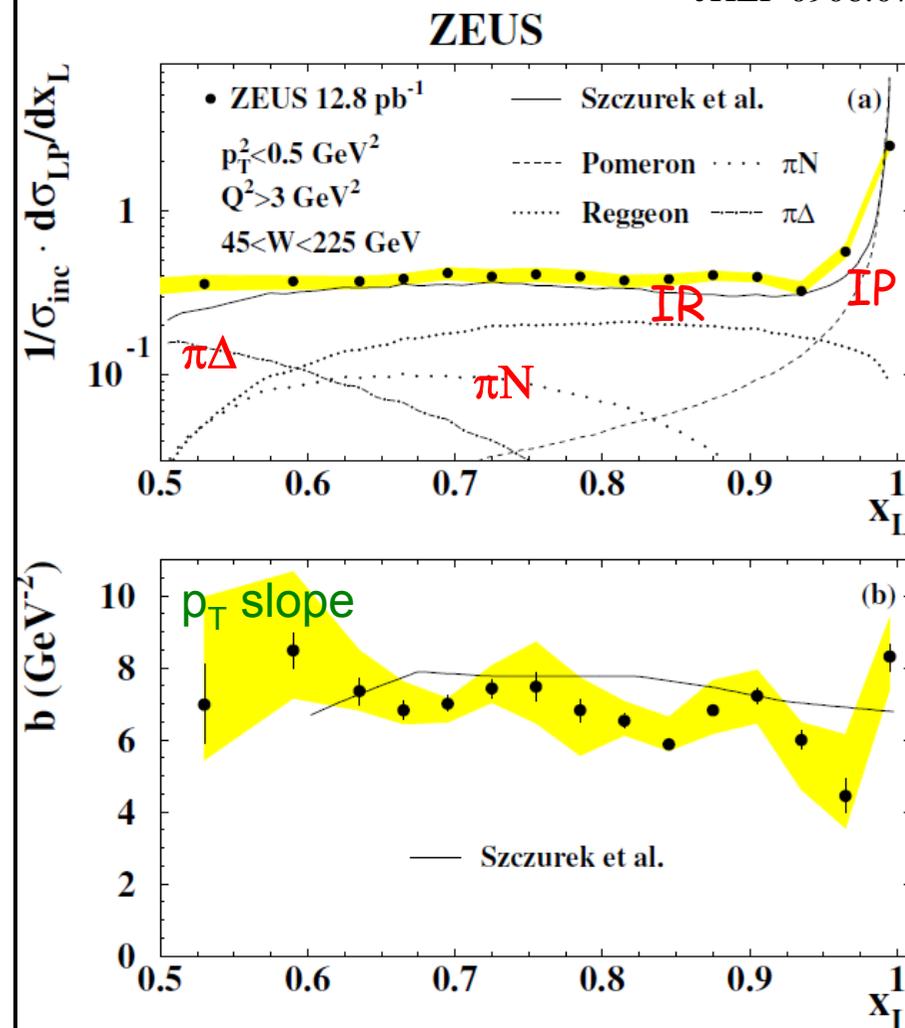
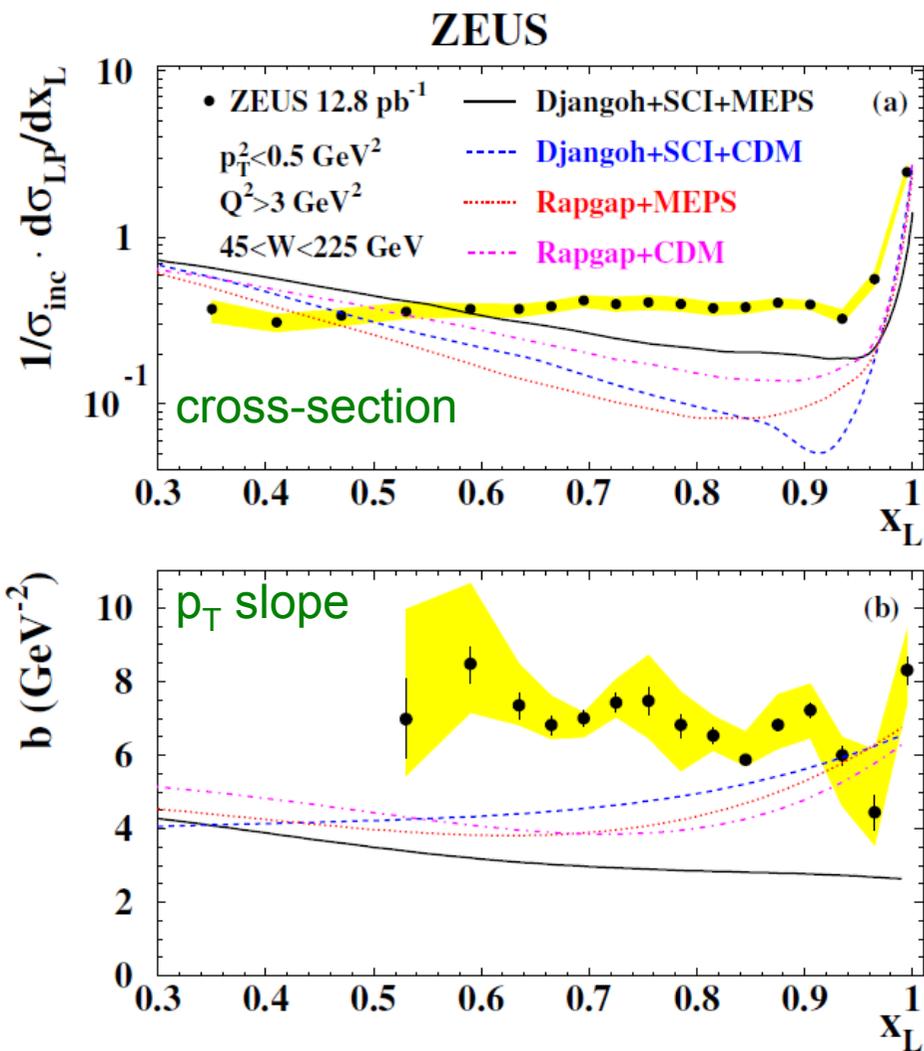
slope  $b(x_L)$



- different behavior for LP and LN
- similar around  $x_L \sim 0.7$

# Comparison with fragmentation and exchange models: Leading Protons in DIS

JHEP 0906:074,2009



• standard fragmentation MC models don't describe the data out of the diffractive peak

• slopes too low at low  $x_L$

• good description by exchange models

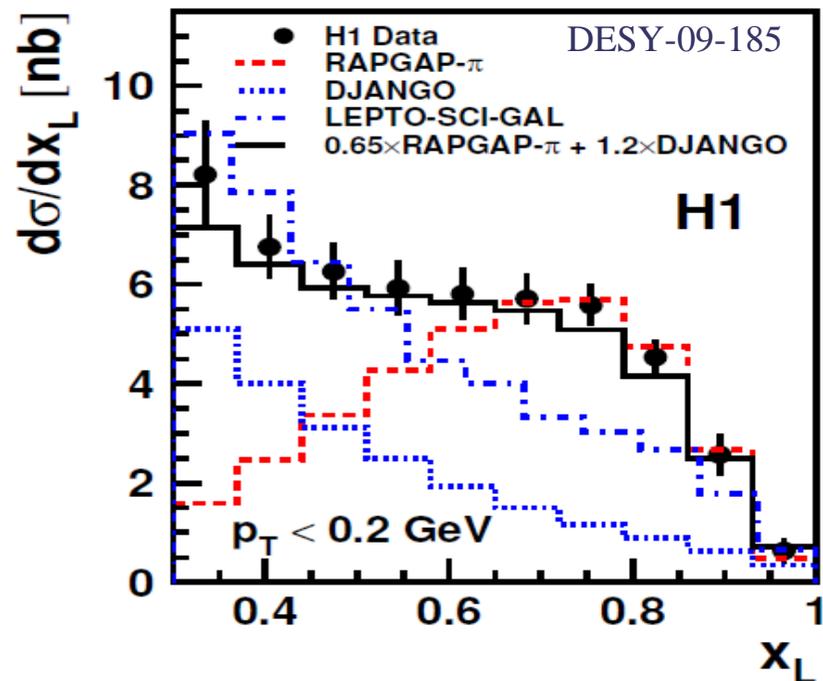
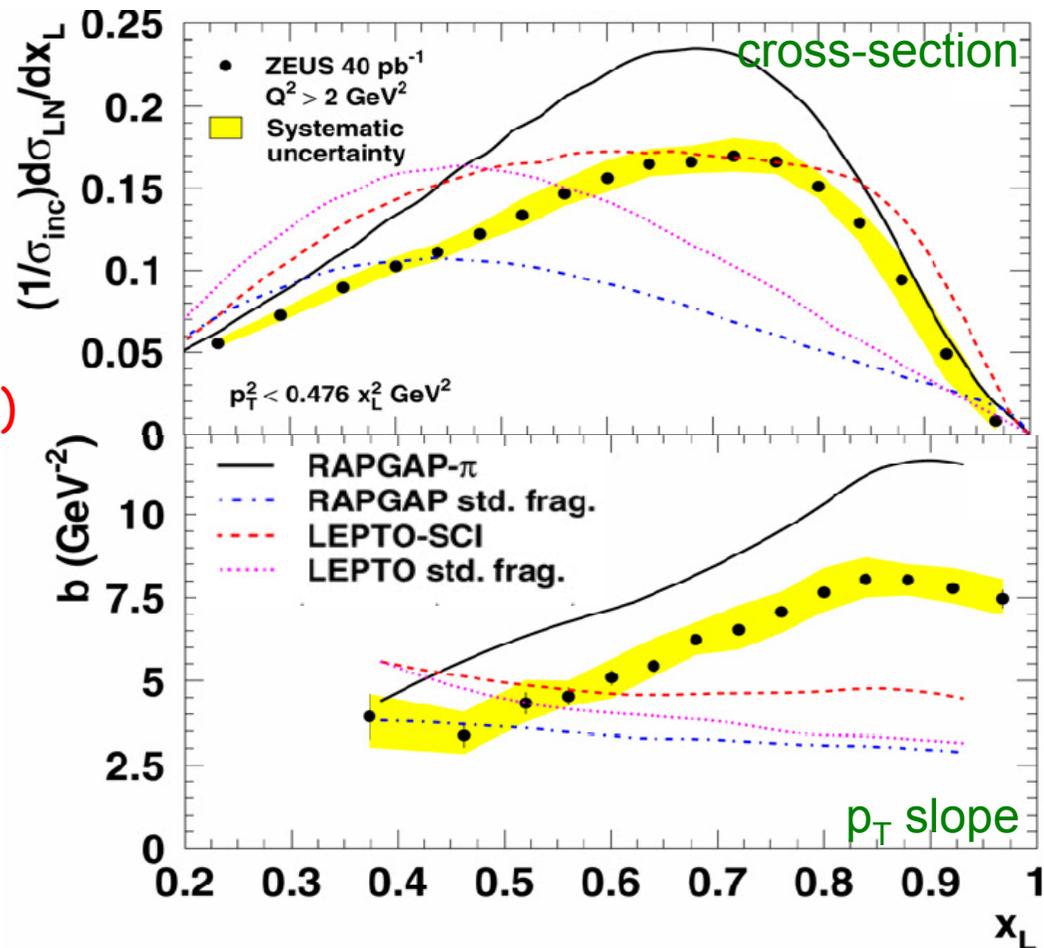
• isoscalar reggeon dominant at intermediate  $x_L$

# Comparison with fragmentation and exchange models: Leading Neutrons in DIS

Nucl.Phys.B776(2007)1

- all standard fragmentation models underestimate the neutron yield at high  $x_L$
- LEPTO-SCI better for  $x_L$  shape, but not for the slope
- RAPGAP- $\pi$ -exchange describes data well for  $x_L > 0.6$ , underestimate data at lower  $x_L$

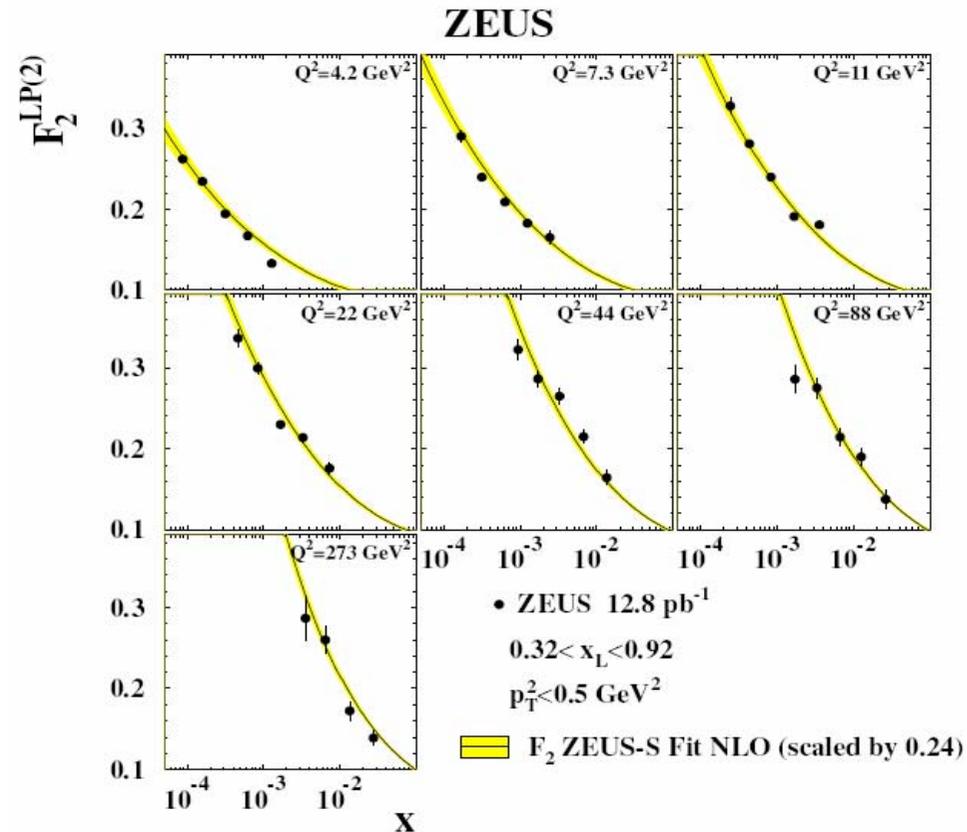
Mixture of RAPGAP- $\pi$ -exchange and standard fragmentation (e.g. DJANGO-CDM) gives the best description of the data



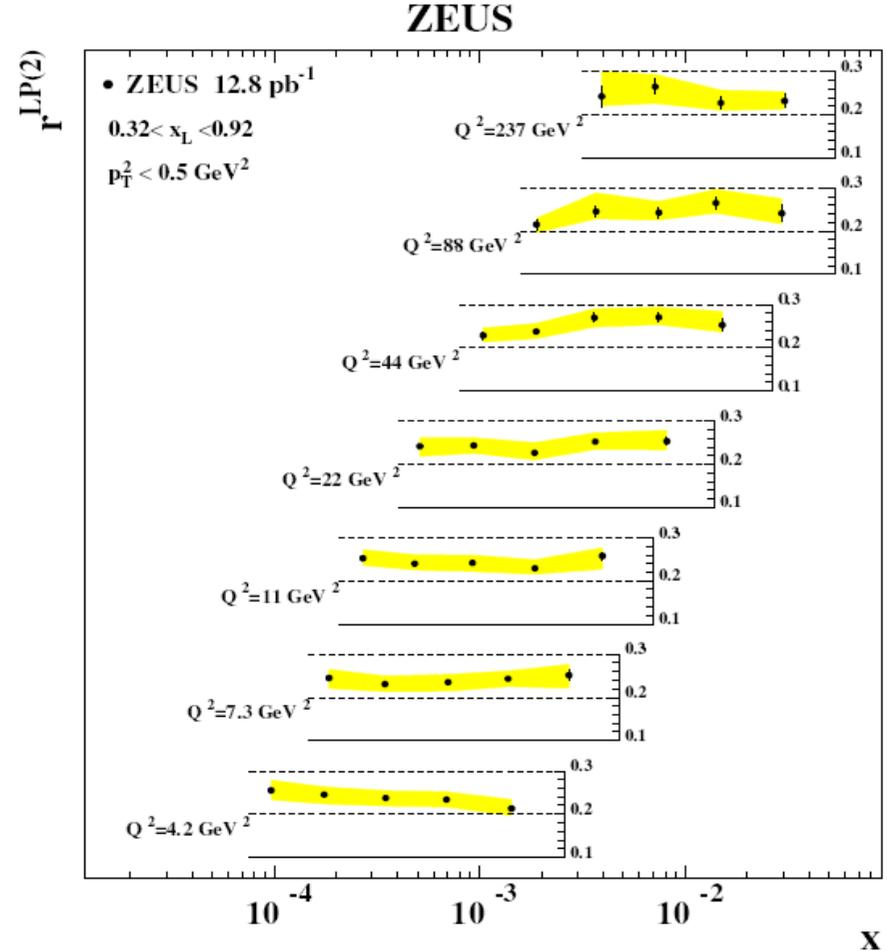
## Structure function $F_2^{LP(2)}$

$$\frac{d^2\sigma(ep \rightarrow eNX)}{dQ^2 dx} = \frac{4\pi\alpha^2}{xQ^4} \left[ 1 - y + \frac{y^2}{2} \right] \cdot F_2^{LP(2)}(Q^2, x)$$

## Rates to inclusive DIS



Same trend as inclusive  $F_2$  is observed



$r^{LP(2)}$  is approximately constant vs  $x$  and  $Q^2$  with average value  $\sim 0.24$

# Leading Neutron production rate in DIS: $F_2^{LN(3)}(Q^2, x, x_L)$ to $F_2(Q^2, x)$ ratio

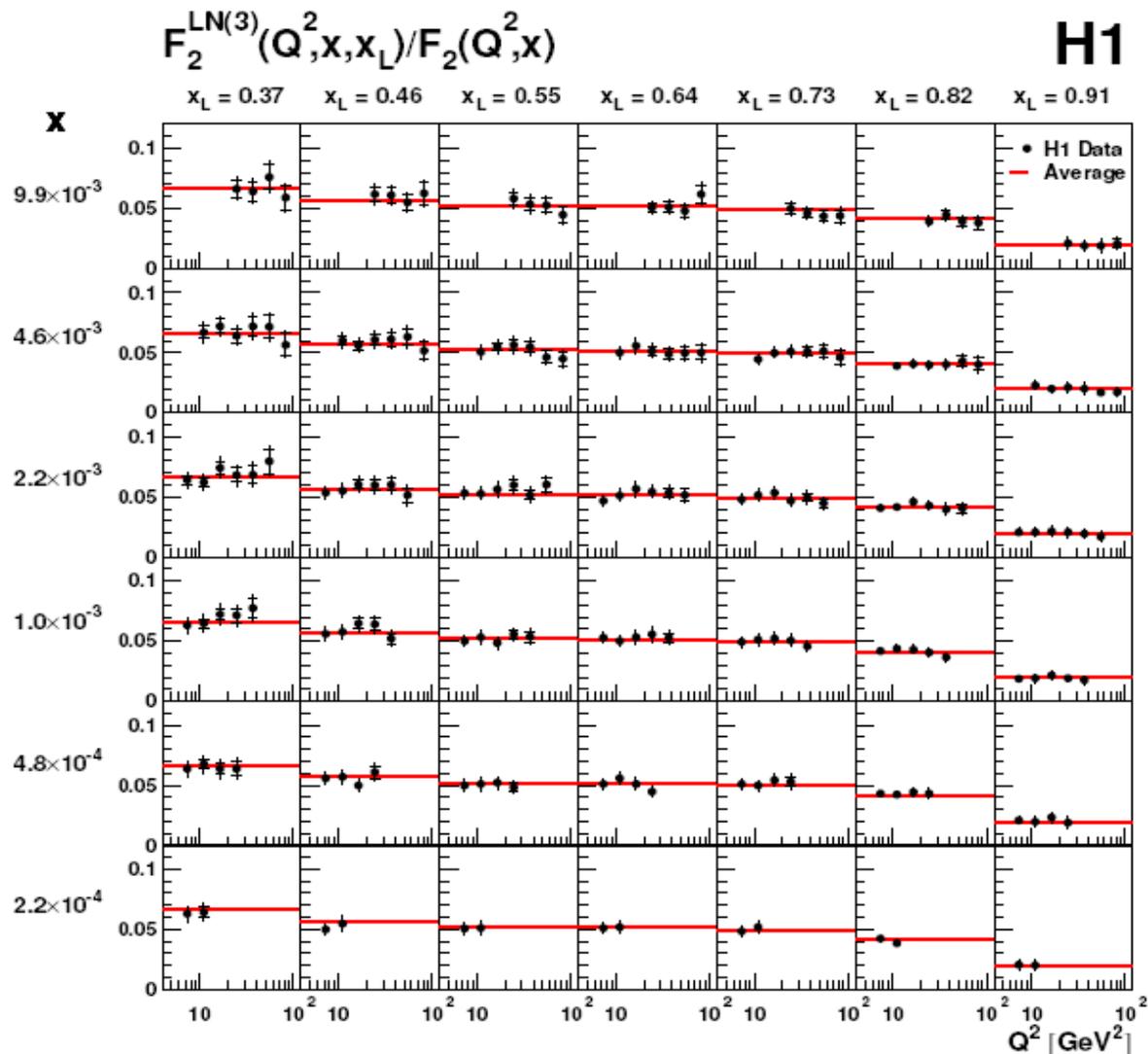
$6 < Q^2 < 100 \text{ GeV}^2$ ,  $p_T < 0.2 \text{ GeV}$

$F_2(Q^2, x)$  from the H1-2000-PDF parameterisation

$$\frac{d^3\sigma(ep \rightarrow eNX)}{dQ^2 dx dx_L} = \frac{4\pi\alpha^2}{xQ^4} \left[ 1 - y + \frac{y^2}{2} \right] F_2^{LN}(Q^2, x, x_L)$$

$F_2^{LN}(Q^2, x, x_L)/F_2(Q^2, x)$  is mostly flat in  $Q^2$  and  $x$

i.e. LN production rate, kinematics is approx. independent of  $(Q^2, x)$   
 → consistent with factorisation, limiting fragmentation (overall suppression of LN events is also possible)



# $F_2^{LN(3)}(Q^2, \beta, x_L)$ : factorisation properties

$$F_2^{LN(3)}(Q^2, \beta, x_L)$$

$$Q^2 = 7.3 \text{ GeV}^2 \quad Q^2 = 11 \text{ GeV}^2 \quad Q^2 = 16 \text{ GeV}^2 \quad Q^2 = 24 \text{ GeV}^2 \quad Q^2 = 37 \text{ GeV}^2 \quad Q^2 = 55 \text{ GeV}^2 \quad Q^2 = 82 \text{ GeV}^2$$

H1

In particle exchange picture expect  
proton vertex factorisation:

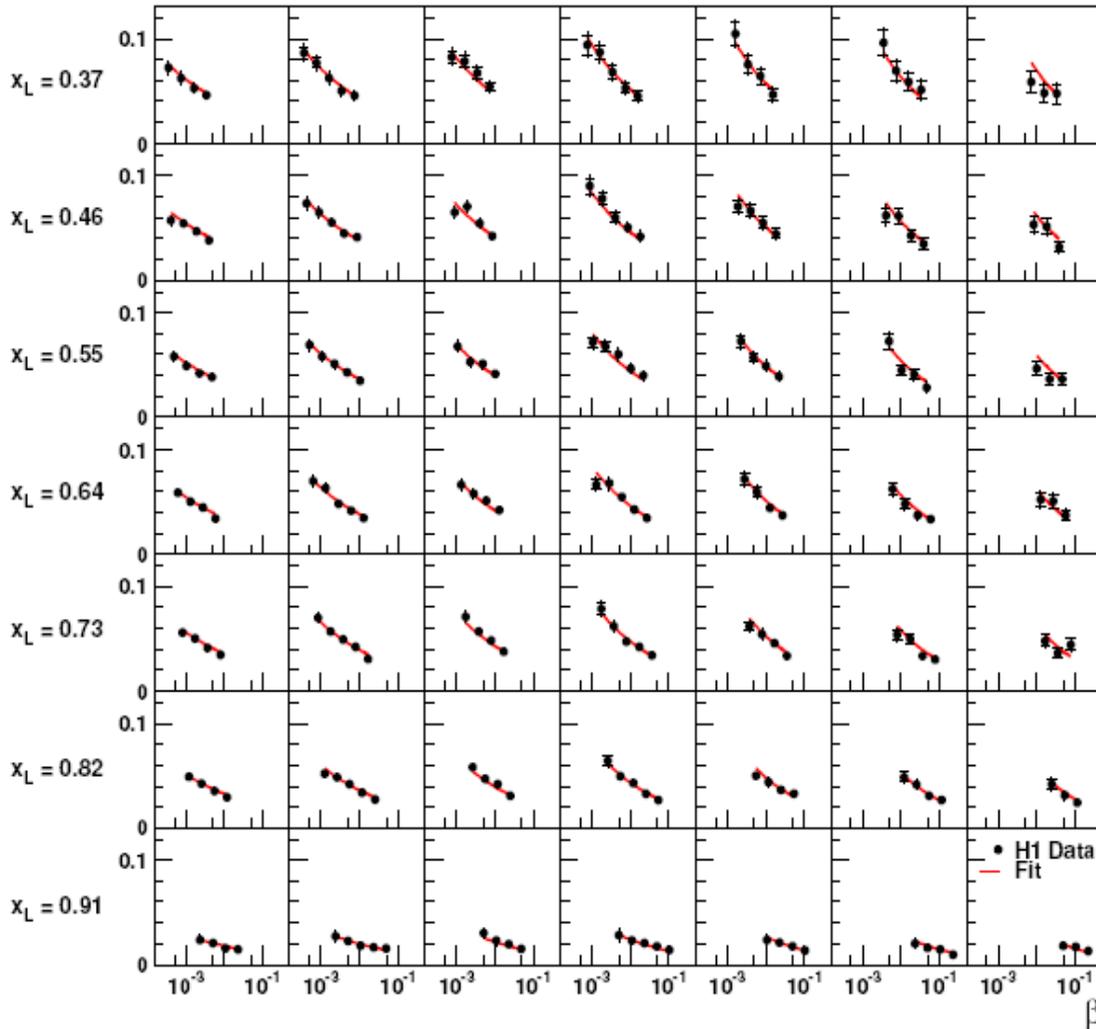
$$F_2^{LN(3)}(Q^2, \beta, x_L) \sim f(x_L) \times F_2^{LN(2)}(Q^2, \beta)$$

$\beta = x/(1-x_L)$  - fraction of exchange's  
momentum carried by the struck quark

$$F_2^{LN(3)}(Q^2, \beta, x_L) \sim \beta^{-\lambda}$$

$\lambda$  is almost independent of  $x_L \rightarrow$

consistent with vertex factorisation



# Estimate the Pion structure function from $F_2^{LN}(Q^2, x, x_L)$

DESY-09-185

H1

within  $\pi^+$ -exchange model we may try to estimate  $F_2^\pi$  from measured  $F_2^{LN}$ :

$$F_2^{LN(3)}(\beta, Q^2, x_L) = \Gamma_\pi(x_L) \cdot F_2^\pi(\beta, Q^2)$$

where

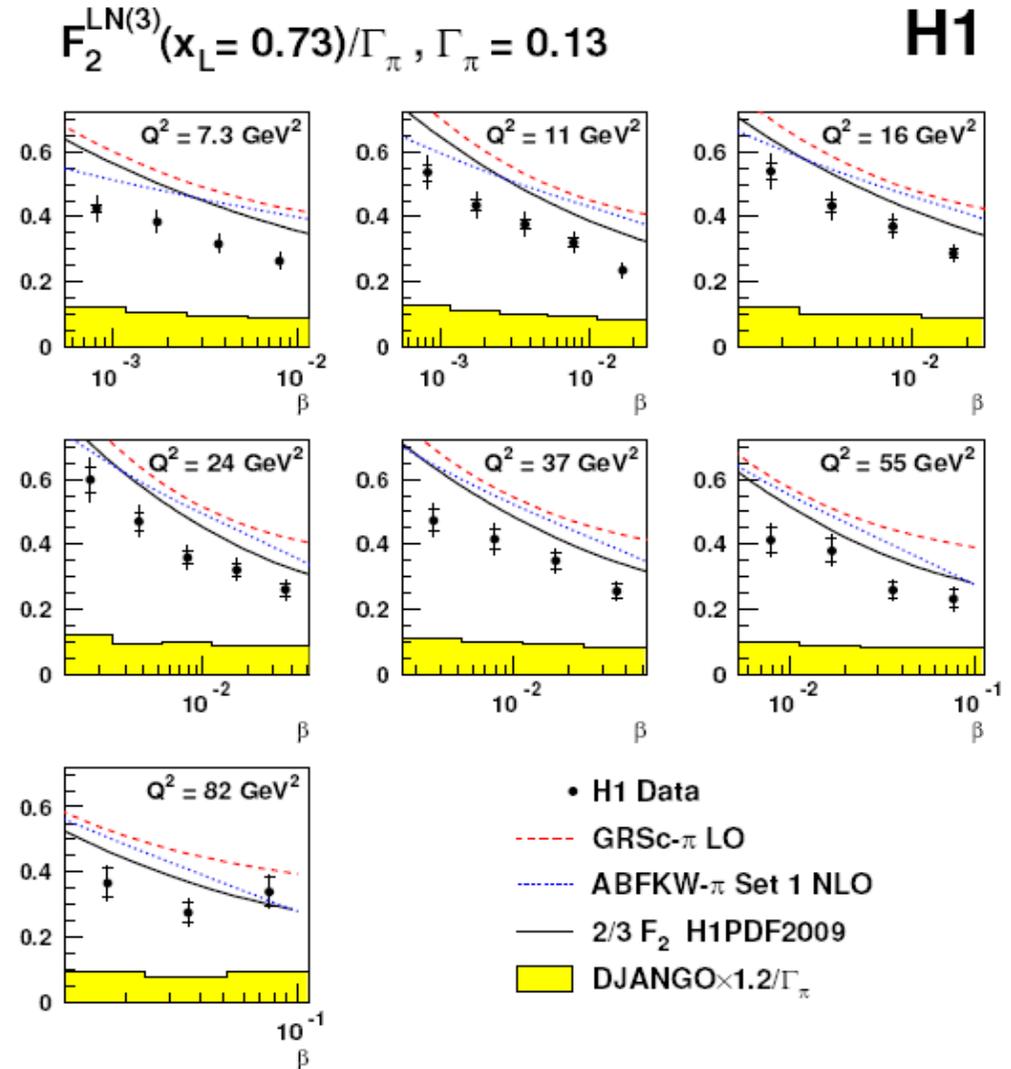
$\beta = x/(1-x_L)$  - fraction of pion momentum carried by struck quark (i.e.  $x_{Bj}$  for pion)

$\Gamma_\pi(x_L)$  is integrated over  $t$  pion flux

$$\Gamma_\pi = \int f_{\pi/p}(x_L = 0.73, t) dt$$

use pion flux parameterisation (Holtmann et al.):

$$f_{\pi^+/p} = \frac{1}{2\pi} \frac{g_{p\pi\pi}^2}{4\pi} (1-x_L) \frac{-t}{(m_\pi^2 - t)^2} \cdot \exp\left(-R_{\pi n}^2 \frac{m_\pi^2 - t}{1-x_L}\right)$$



Data are sensitive to the parameterisations of the pion structure function (constrained for  $x > 0.1$  from the fixed target experiments).

# Estimate the Pion structure function from $F_2^{LN}(Q^2, x, x_L)$

DESY-09-185

within  $\pi$ -exchange model we can estimate  $F_2^\pi$  from measured  $F_2^{LN}$ :

$$F_2^{LN(3)}(\beta, Q^2, x_L) = \Gamma_\pi(x_L) \cdot F_2^\pi(\beta, Q^2)$$

where

$$\beta = x/(1-x_L)$$

$\Gamma_\pi(x_L)$  is integrated over  $t$  pion flux

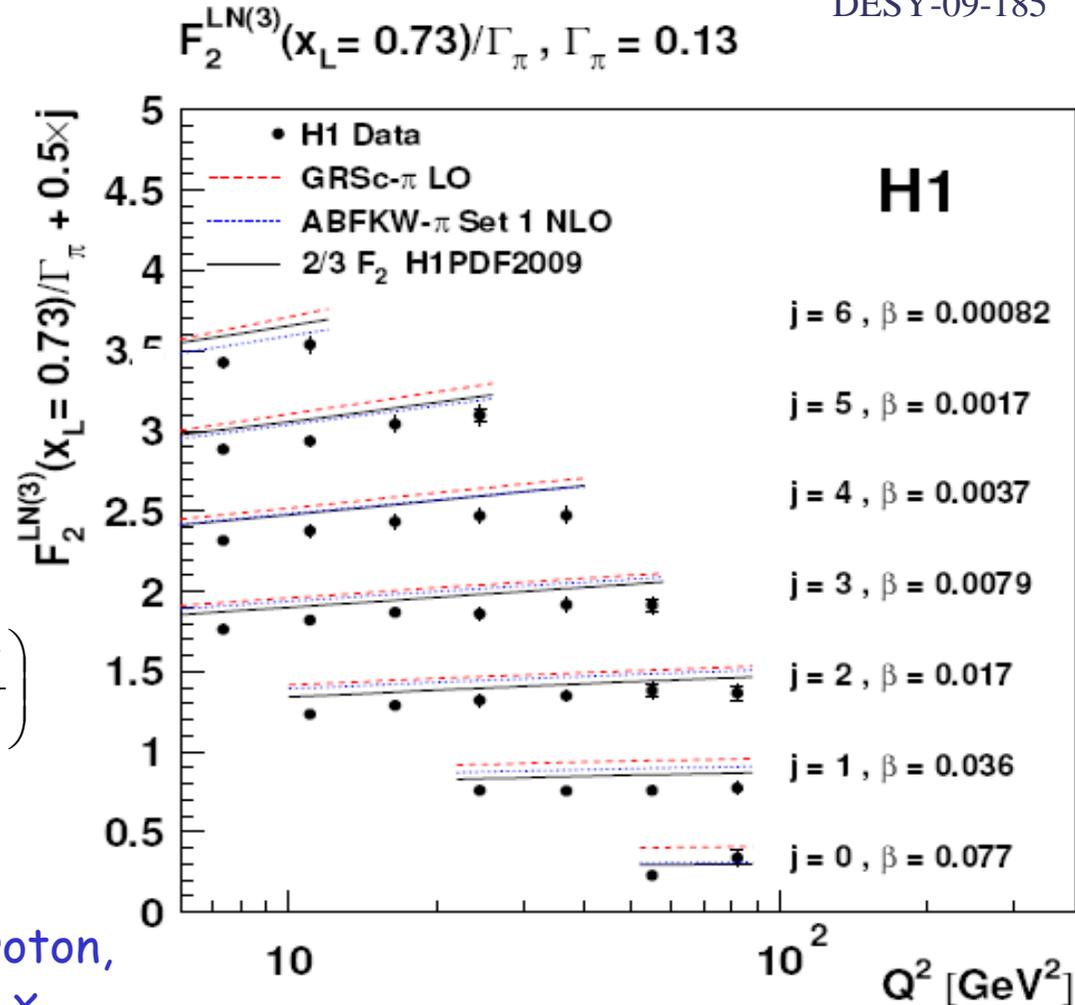
$$\Gamma_\pi = \int f_{\pi/p}(x_L = 0.73, t) dt$$

use pion flux expression (Holtmann et al.):

$$f_{\pi^+/p} = \frac{1}{2\pi} \frac{g_{p\pi\pi}^2}{4\pi} (1-x_L) \frac{-t}{(m_\pi^2 - t)^2} \cdot \exp\left(-R_{\pi n}^2 \frac{m_\pi^2 - t}{1-x_L}\right)$$

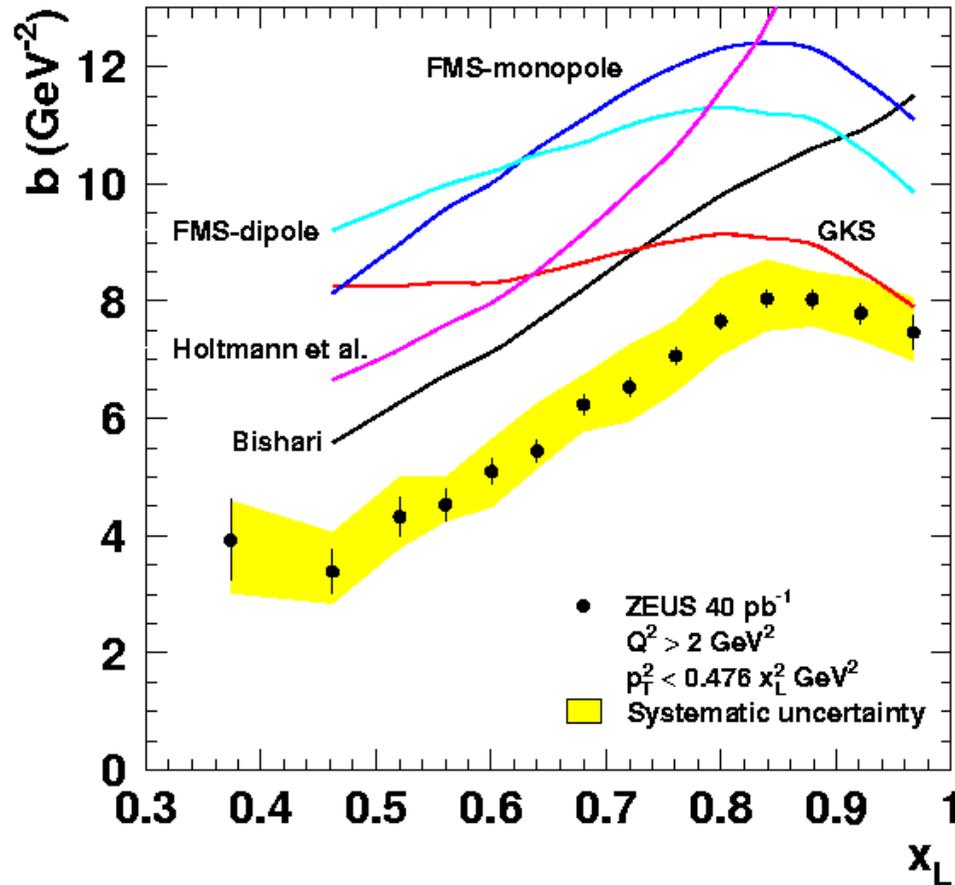
- $F_2^{LN}$  dependence on  $x$  and  $Q^2$  similar to proton,  $\rightarrow$  universality of hadron structure at low  $x$
- in absolute values  $F_2^{LN}/\Gamma$  below the  $F_2^\pi$  and  $F_2$

However: large uncertainty of pion flux normalisation: choice of pion flux (formfactor), absorption/rescattering, background...



# Comparison of $p_T$ slope of Leading Neutrons with pion exchange models

## ZEUS



in  $\pi$ -exchange picture

$$\sigma(ep \rightarrow e'nX) = f_{\pi/p}(x_L, t) \times \sigma(e\pi^+ \rightarrow e'X)$$

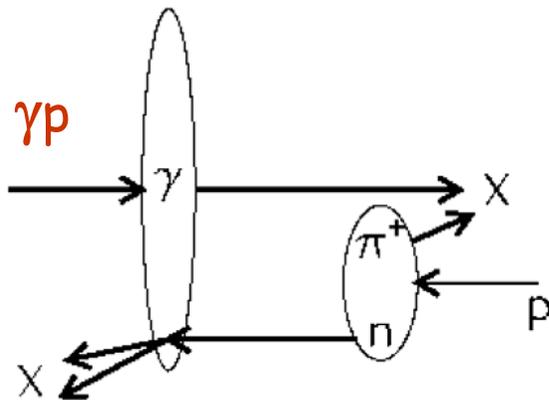
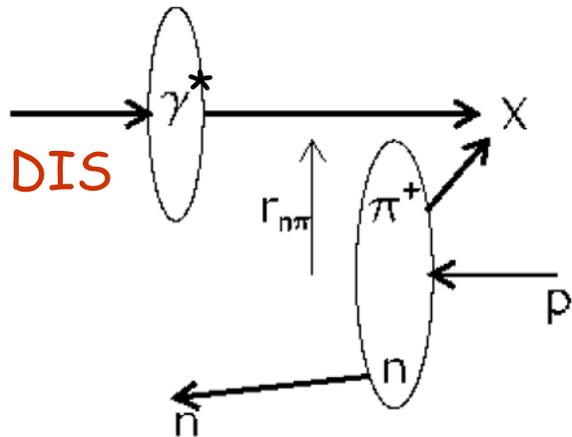
- $p_T^2$  (or  $t$ ) distribution is determined solely by pion flux

$$f_{\pi/p} = \frac{1}{2\pi} \frac{g_{p\pi\pi}^2}{4\pi} (1-x_L)^{1-2\alpha(t)} \frac{-t}{(m_\pi^2 - t)^2} \cdot |F(x_L, t)|^2$$

- many parameterizations of pion flux  $f_{\pi/p}(x_L, t)$  in literature
- compare measured  $p_T$ -slope  $b(x_L)$  with models (shown best agreeing models)
- reasonable agreement in shape but not in absolute values: all give too large  $b(x_L)$
- $\pi$ -exchange models alone don't describe  $p_T^2$  distribution

# Exchange model refinement: absorptive corrections

Absorption: important ingredient to interpret the results in terms of particle exchange



Neutron absorption through rescattering:

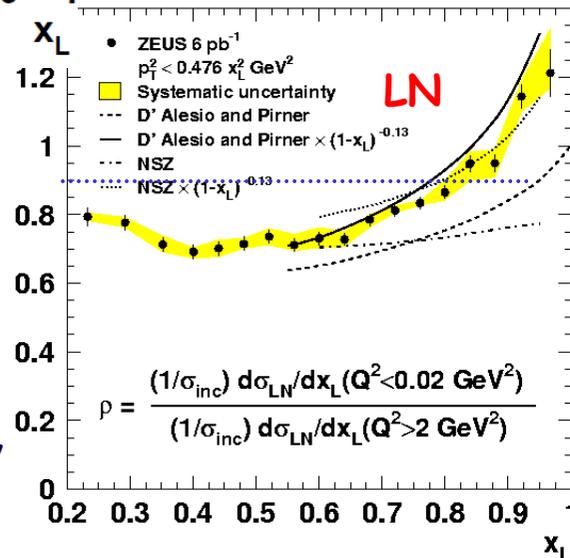
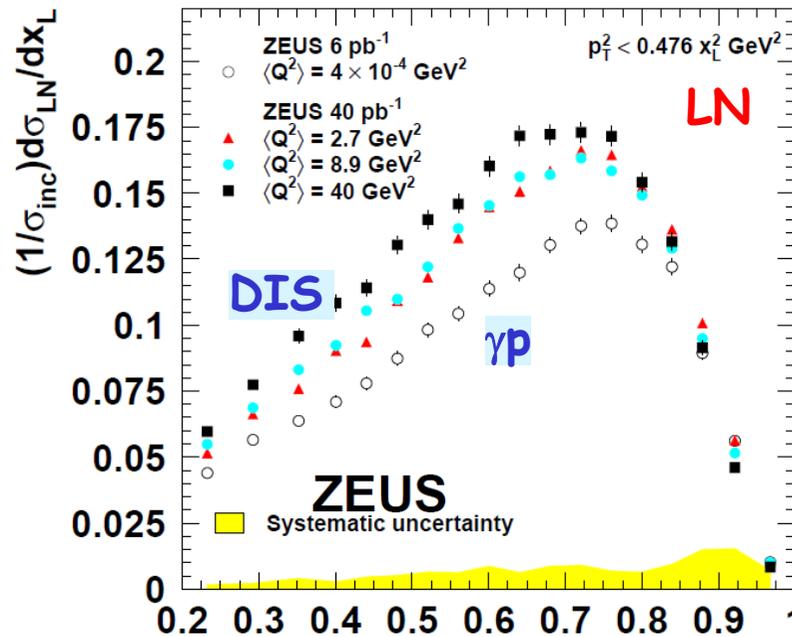
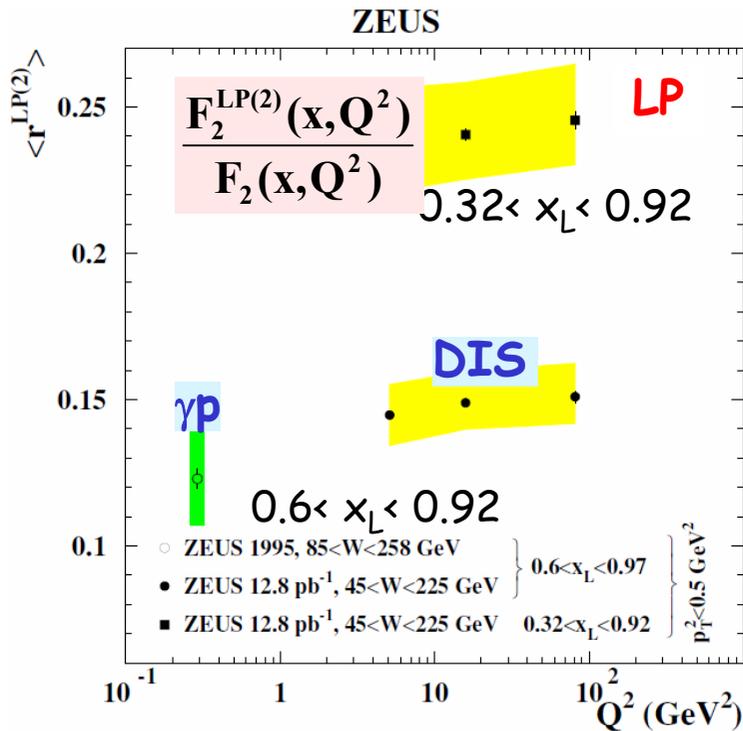
enhanced when size of  $\pi$ -n system  $r_{\pi n} \sim 1/p_T$  is small w.r.t. the transverse size of  $\gamma$ , e.g. at high  $p_T$ , low  $x_L$   
→ neutron breaks up or  
→ is kicked to lower  $x_L$ , higher  $p_T$  (migration) and/or escapes detector acceptance (absorption loss)  
(in other language: multi-Pomeron exchange)

- Affects the relative rate of leading neutrons (depends on the scale Q)  
more absorption in photoproduction than in DIS, (real  $\gamma$  transverse size larger than at higher  $Q^2$ )  
→ The calculations/models made without absorption may overestimate the measurements

Effects of absorption and migration estimated:  
D'Alesio, Pirner; Nikolaev, Speth, Zakharov;  
Kaidalov, Khoze, Martn, Ryskin ;  
Kopeliovich, Potashnikova, Schmidt, Soffer

Absorption- key ingredient in calculations of gap-survival probability in pp interactions at LHC, critical in interpreting hard diffractive processes, e.g. central exclusive Higgs prod.

# Comparison photoproduction and DIS: $Q^2$ dependence



increase of LP and LN rate from  $\gamma p$  to DIS

Suggest violation of vertex factorisation

Ratio  $\gamma p$ /DIS: absorption models describe the data

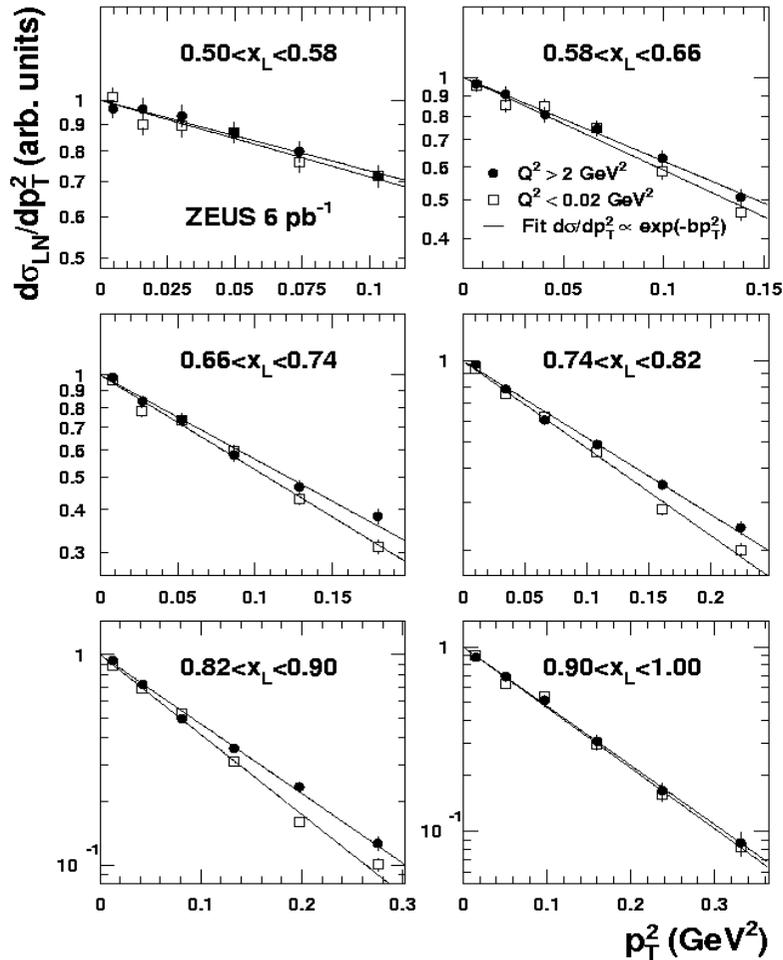
-d'Alesio, Pirner geometrical model

-Nikolaev, Speth, Zakharov Regge based model with multipomeron exchanges

From geometrical picture:  
smaller  $\gamma^*$  transverse size at higher  $Q^2$   
→ less absorption → larger event yield

# Comparison $\gamma p$ /DIS: $p_T^2$ distributions (LN)

**ZEUS**



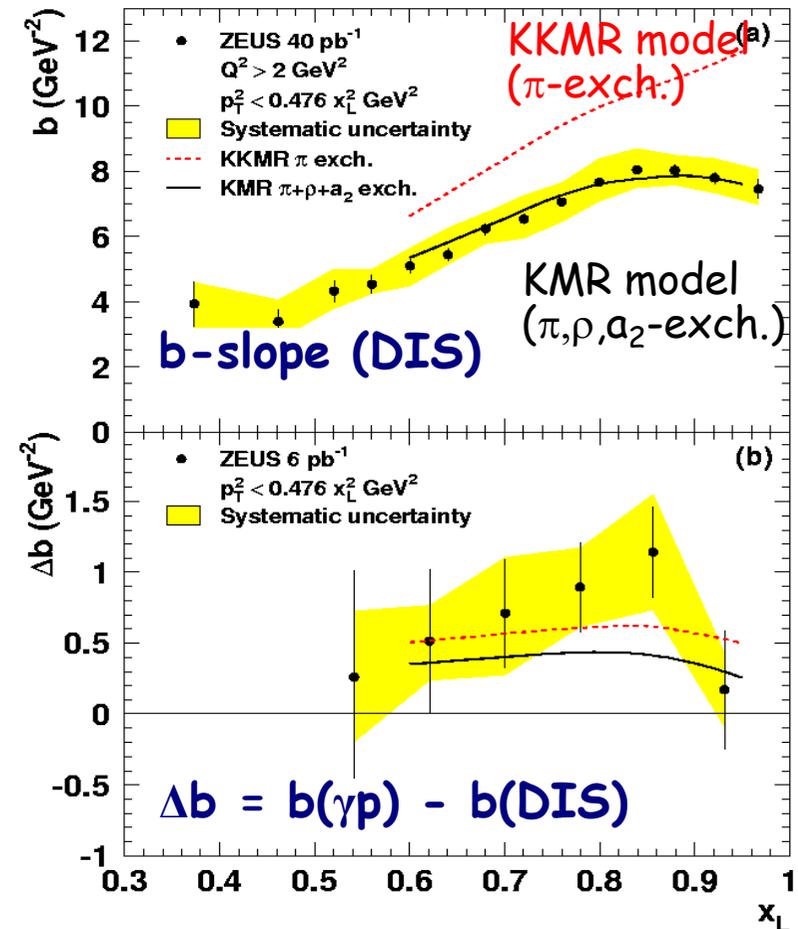
$p_T^2$  slopes steeper in  $\gamma p$  than in DIS

From geometrical picture:

Larger  $p_T \rightarrow$  smaller  $r_{\pi n} \rightarrow$  more absorption  
 $\rightarrow$  less neutrons at high  $p_T \rightarrow$  steeper slope

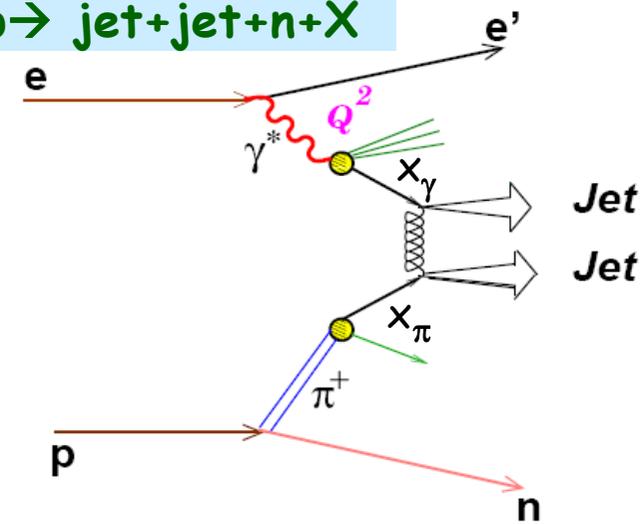
model of Kaidalov, Khoze, Martin, Ryskin

- rescattering on intermediate partons in central rapidity region; migration of LN in  $(x_L, p_T)$
- $\sim 50\%$  absorption loss in  $\gamma p$
- addition of  $(\rho, a_2)$  exchanges



# Dijet photoproduction with Leading Neutrons

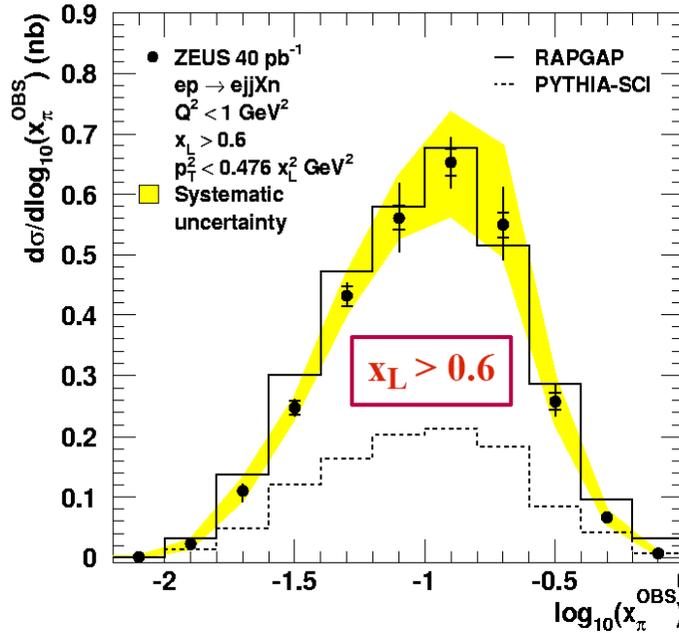
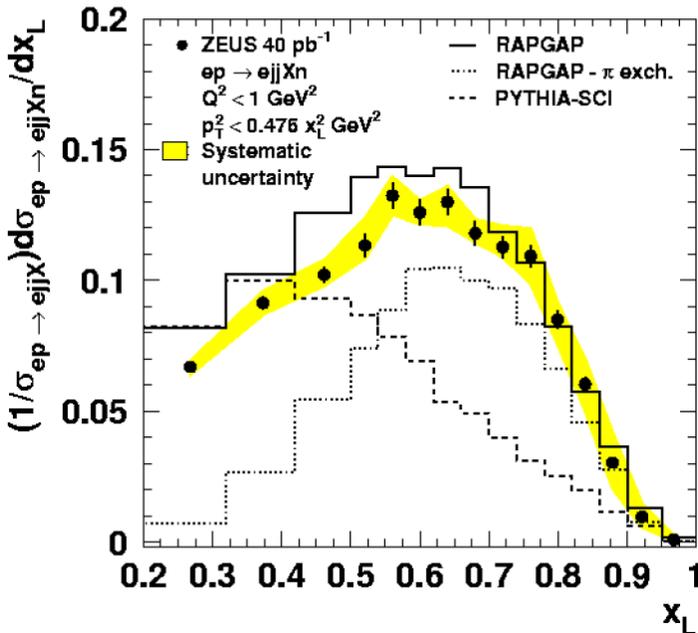
$\gamma p \rightarrow \text{jet} + \text{jet} + n + X$



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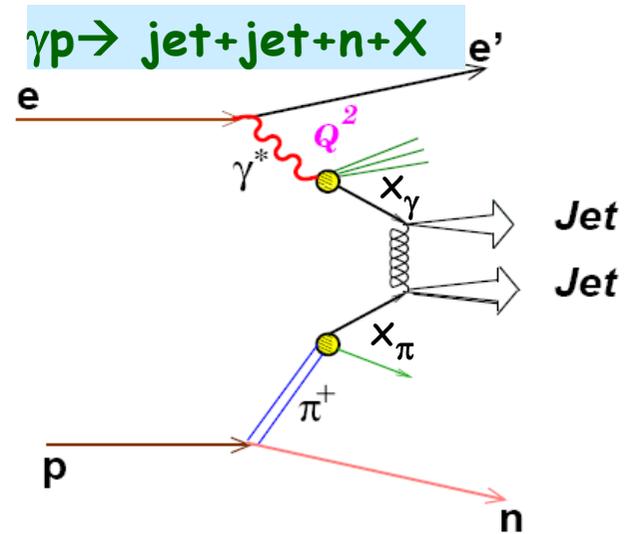
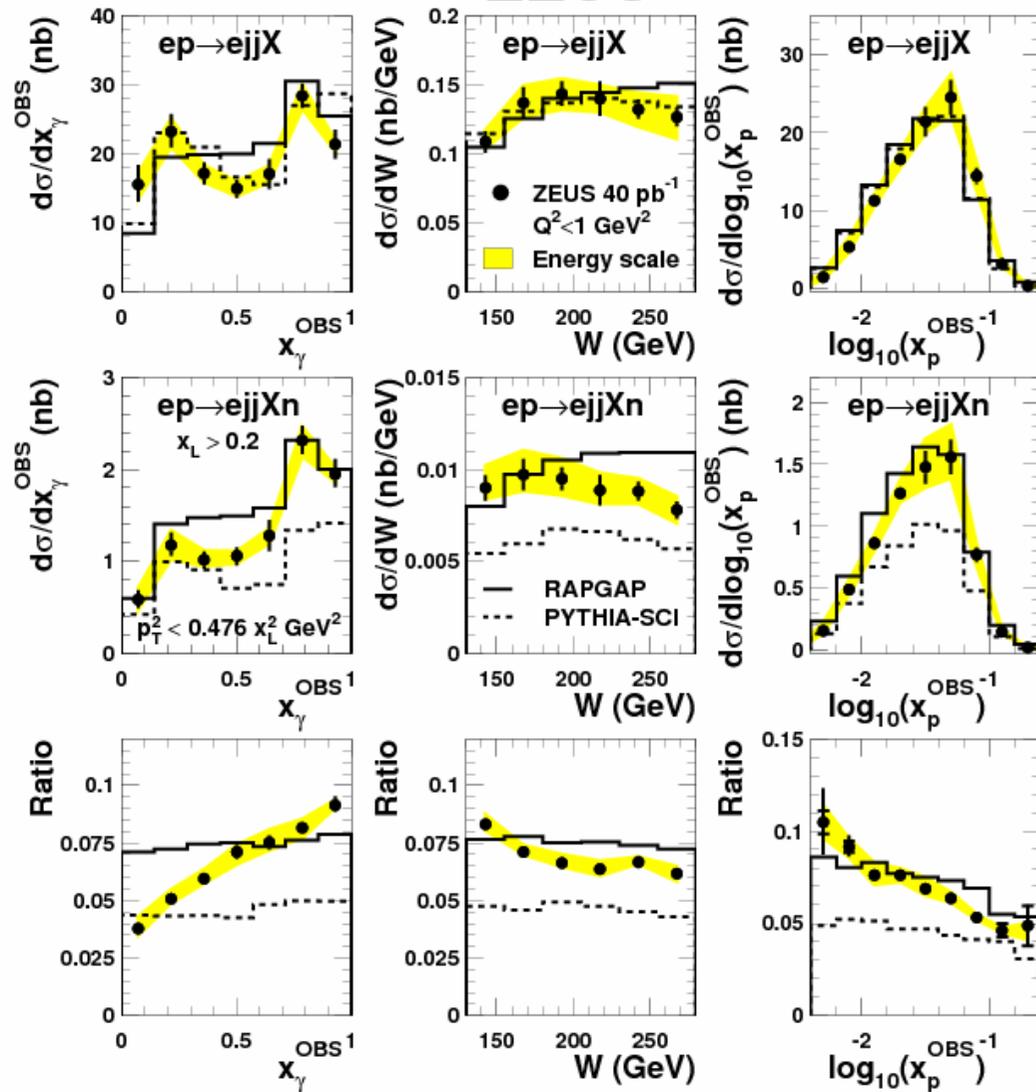


- In photoproduction ( $Q^2 \sim 0$ ) hard scale provided by jets with high  $P_{T}^{\text{jet}}$
- RAPGAP- $\pi$ -exchange and PYTHIA-SCI describe data poor
- Pion exchange is dominating mechanism at high  $x_L$
- Full RAPGAP ( $\pi$ -exchange + inclusive DIS) gives good description of data

# Dijet photoproduction with Leading Neutrons

Dijet cross sections in inclusive  $\gamma p$  and in  $\gamma p$  with LN, and their ratios.

**ZEUS** Nucl.Phys.B827 (2010) 1

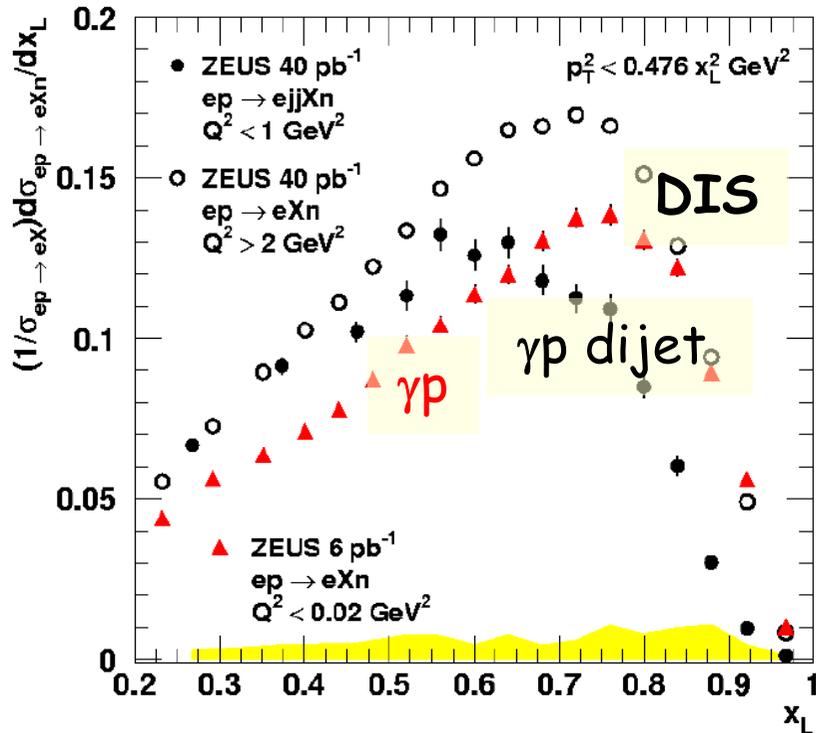


- $W$  - total energy of  $\gamma p$  system
- $x_\gamma = \sum_{\text{jets}} (E - p_z) / (2yE_e)$
- $x_p = \sum_{\text{jets}} (E + p_z) / (2E_p)$
- strong dependence of ratio on  $x_\gamma$  (also on  $W, x_p$ )
- resolved photon processes seem to be suppressed in LN events

# Dijet photoproduction with leading neutrons

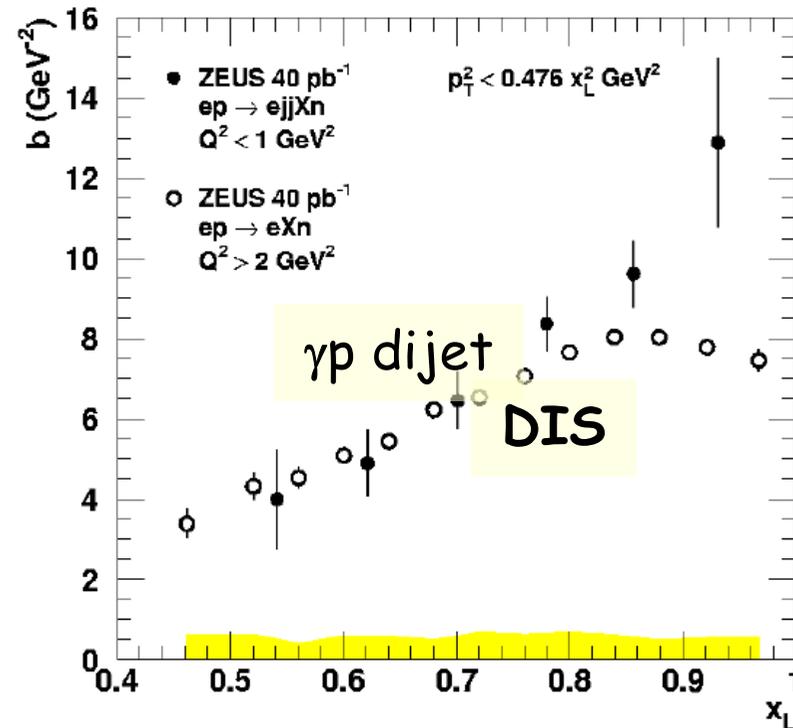
Compare LN yield and b-slopes in DIS,  $\gamma p$ -dijets and inclusive  $\gamma p$ .

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- photoproduction suppressed at low  $x_L \rightarrow$  consistent with rescattering; effect is not so prominent in jet production (hard scale provided by  $E_T^{\text{jet}}$ )
- dijets suppressed at high  $x_L \rightarrow$  phase space limitation (dijets in the final state leave little room for energetic neutrons)
- similar b-slopes in DIS &  $\gamma p$ -dijets; slightly different at high  $x_L$

Above  $10^{14}$  eV, primary cosmic rays particles are detected via air showers-determination of their primary energy and mass relies on the modeling of hadronic interactions.

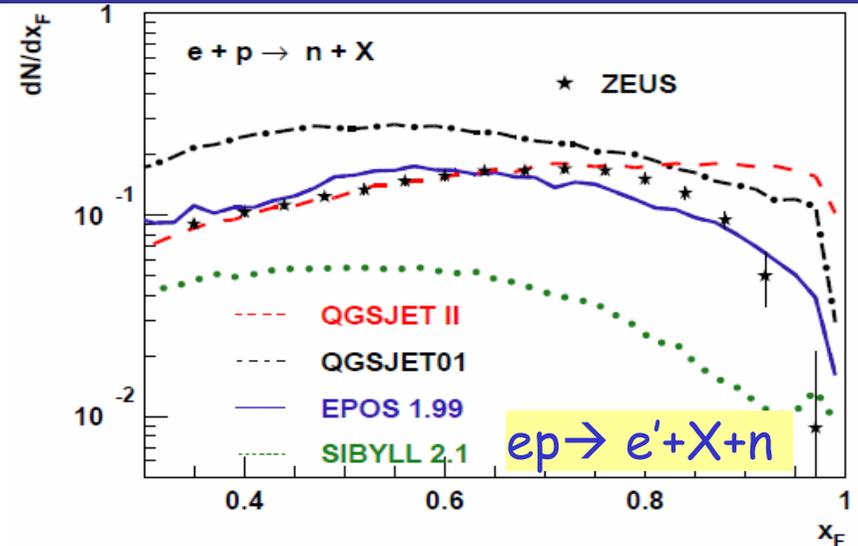
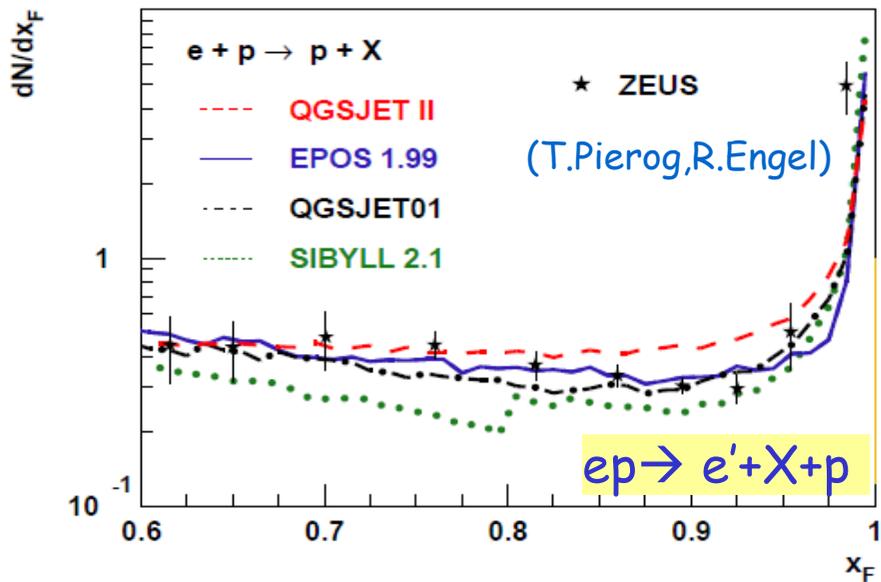
Precision of elemental composition analyses limited by modeling of hadronic interactions; significant differences between the model predictions for particle multiplicities, energy flow etc.



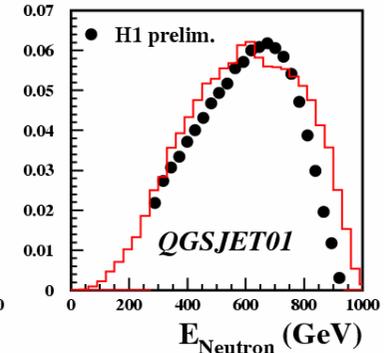
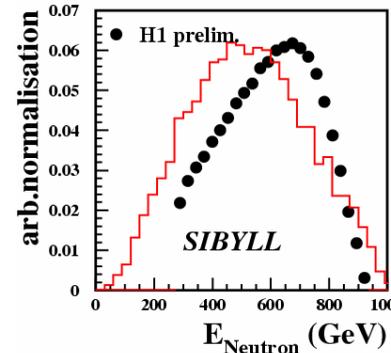
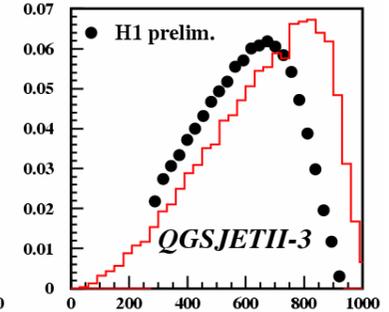
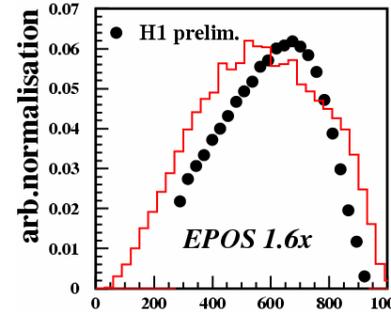
**The measurements at accelerators can contribute to the tuning of the models**

In particular, the forward measurements (baryons,  $\gamma$ 's,  $\pi^0$ ) are of the greatest importance for the model tuning, since the shower development is dominated by the forward, soft interactions.

# Forward proton spectra vs models for cosmic rays



- EPOS 1.6, 1.9 (Pierog, Werner)
  - QGSJET 01 and II (Kalmykov, Ostapchenko)
  - SIBYLL 2.1 (Engel, Fletcher, Gaisser, Lipari, Stanev)
- reasonable predictions for LP data (after model tuning)
- none of models describe LN data well
- HERA can further contribute to the understanding of high energy cosmic rays
- The forward measurements (p,n,γ) are possible also at lower proton beam energies



# Summary

## Leading Baryons are good ground to study interplay of soft and hard physics

- precise measurements of LB  $x_L$  and  $p_T^2$  presented in  $\gamma p$ , DIS,  $\gamma p$  with dijets
- 'standard' fragmentation models without meson exchange do not describe the data; models with virtual particle exchange describe data better;
- $F_2^{LP}/F_2$  and  $F_2^{LN}/F_2$  ratio is mostly independent of  $x$  and  $Q^2$
- For leading neutron production the pion structure function estimated, compared with parameterisations of  $F_2^\pi$
- neutron energy spectrum in  $\gamma p$  compatible with effects of absorption and migration; suppression in  $\gamma p$  at low  $x_L$ , high  $p_T$   
absorption effects not prominent in high  $P_T$  jet photoproduction
- leading baryon data important for an improved theoretical understanding of the proton fragmentation; provide very useful input for models of CR interactions with matter