

Exclusive Diffraction at HERA

Xavier Janssen

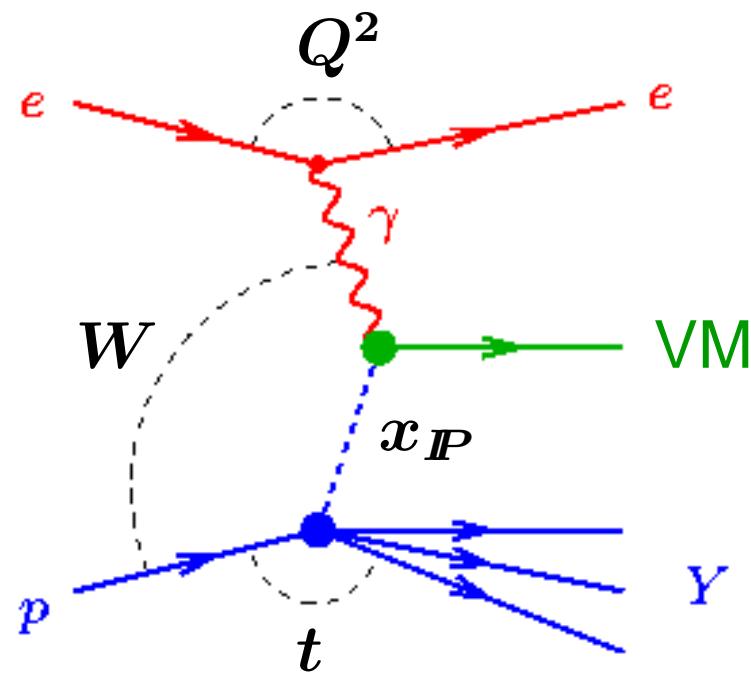


XXXIX International Symposium on Multiparticle Dynamics

4-9 September 2009, "Golden Sands" ,Gomel Region, Belarus

Diffractive Vector Meson Production and DVCS

$$e + p \rightarrow e + VM \quad (= \rho, \phi, J/\psi, \dots, \text{or } \gamma) + Y \quad (\text{or } p)$$



Q^2	Photon Virtuality Photoproduction: $Q^2 \sim 0$
W	γp CMS energy
t	4-momentum transfer squared
x_{IP}	Momentum fraction of the colour singlet exchange

Regge Theory

= Soft IPomeron exchange

$$\sigma \propto \left(\frac{W}{W_0}\right)^{4(\alpha_P(t)-1)}$$

$$\alpha_P(t) = 1.08 + 0.25 t \quad (\text{DL})$$

Light VM at low Q^2 and low $|t|$

⇒ Investigate transition between soft and hard regimes

pQCD Models

Exchange of ≥ 2 gluons

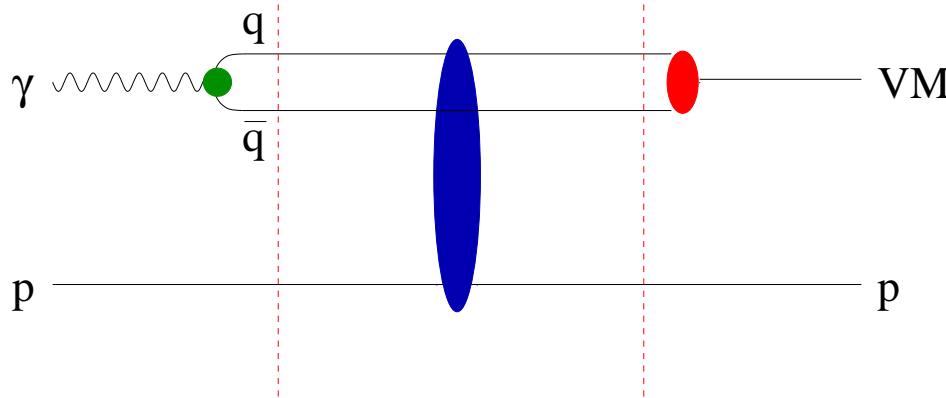
$$\sigma \propto (xG(x, Q^2))^2$$

Steep rise of $xG(x, Q^2)$

Requires hard scale: Q^2 , t or m_q

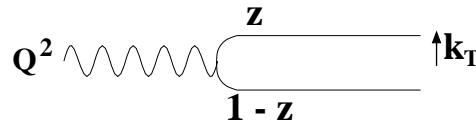
VM theory: Perturbative QCD approaches

Dipole approach (k_t factorisation)



$$\mathcal{A} = \Psi_{q\bar{q}}^\gamma \otimes \sigma_{q\bar{q}-p} \otimes \Psi_{q\bar{q}}^V$$

Scanning radius decrease with increasing Q^2 or M_V^2 $\rightarrow \mu^2 = z(1-z)(Q^2 + M_V^2)$



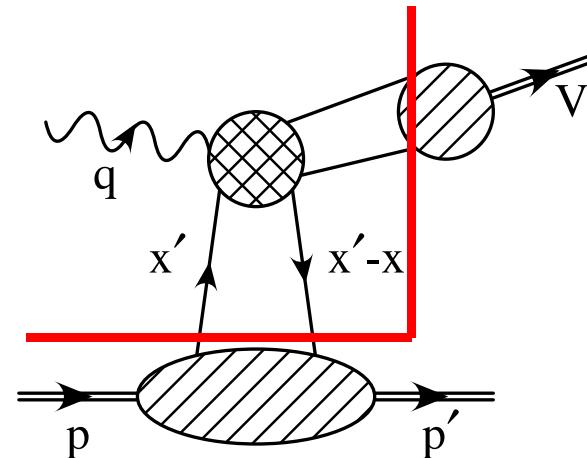
$$\rightarrow \sigma_L \propto \frac{Q^2/M_V^2}{(Q^2+M_V^2)^4} [\alpha_s(\mu^2) G(x, \mu^2)]^2$$

with $z \simeq 1/2 \rightarrow \mu^2 \simeq 1/4(Q^2 + M_V^2)$

$$\rightarrow \sigma_T \propto \frac{1}{(Q^2+M_V^2)^4} [\alpha_s(\mu^2) G(x, \mu^2)]^2$$

with $z = 0, 1$ endpoints contributions
 \rightarrow hard scale damped

Collinear factorisation theorem



$$\mathcal{A}_L = f(x, x', t, \mu) \otimes H \otimes \Psi^V$$

where f_i : non-forward PDF ($x' \neq x$)
 \rightarrow Generalized Parton Density

Theorem proven for σ_L ; often assumed for σ_T
 Collins, Frankfurt & Strikman [hep-ph/9611433]

Dipole - Saturation:

Kowalski, Motyka, Watt (KMW) [hep-ph/0606272]

Marquet, Peschanski, Soyez (MPS) [hep-ph/0702171]

Dipole - k_T factorisation:

Ivanov, Nikolaev, Savin (INS) [hep-ph/0501034]

Collinear - GPD:

Goloskokov, Kroll (GK) [hep-ph/07083569]

Parton hadron duality:

Martin, Ryskin, Teubner (MRT) [hep-ph/9609448]

VM theory: Main features / expectations

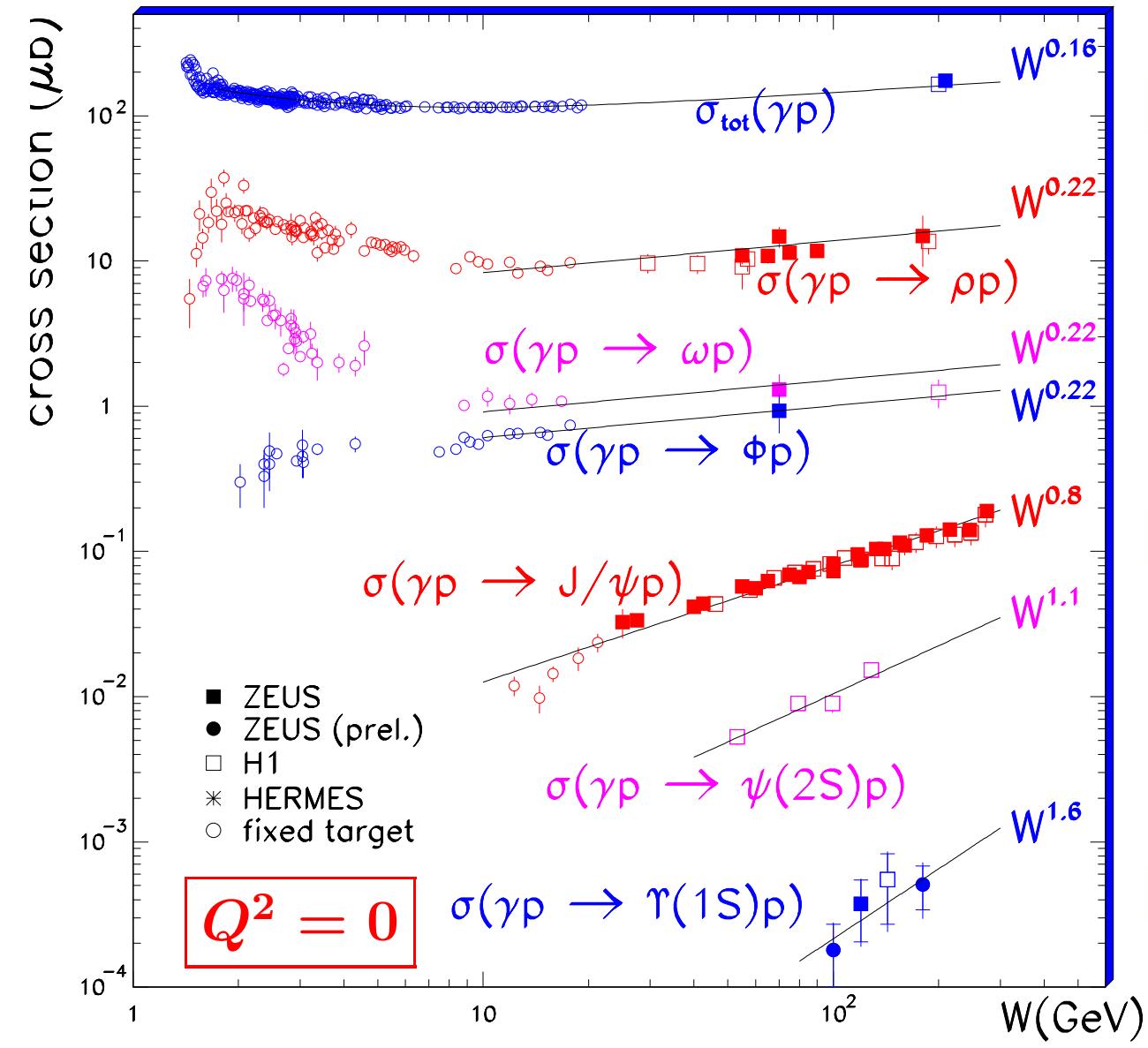
$\sigma(Q^2)$: $\sigma_L \propto Q^{-6}$; $\sigma_T \propto Q^{-8}$ but modified by gluon pdf Q^2 depend., quark Fermi motion and virtuality, $\alpha_s(Q^2)$, higher order.
→ Naive $R = \sigma_L/\sigma_T \propto Q^2/M_V^2$ also modified.

$\sigma(W)$: • For σ_L at high Q^2 and heavy VM, hard (universal) W depend. expected from $1/x$ hard gluon pdf evolution.
• For light VM, delayed approach to hard pQCD regime (σ_T).

$d\sigma/dt$: $\propto \exp(-b|t|)$ for low $|t|$, where $b = b_{q\bar{q}} \otimes b_{IP} \otimes b_p$
• Expect common b for σ_L at high Q^2 and heavy VM.
→ Naive universality of b vs. $\mu^2 = 1/4(Q^2 + M_V^2)$
• Larger dipole in σ_T than in σ_L → expect $b_T > b_L$
→ Delayed universality of b vs. μ^2

Helicity amplitudes: see later

Soft to hard transition: mass



- Low mass (ρ, ϕ, ω ; $M_V^2 \simeq 1 \text{ GeV}^2$):
no pert. scale
→ weak energy dep. (soft regime)
- High mass ($J/\psi, \nu$): pert. scale
→ strong energy dep. (hard regime)
- Large mass (Υ) important skewing effect

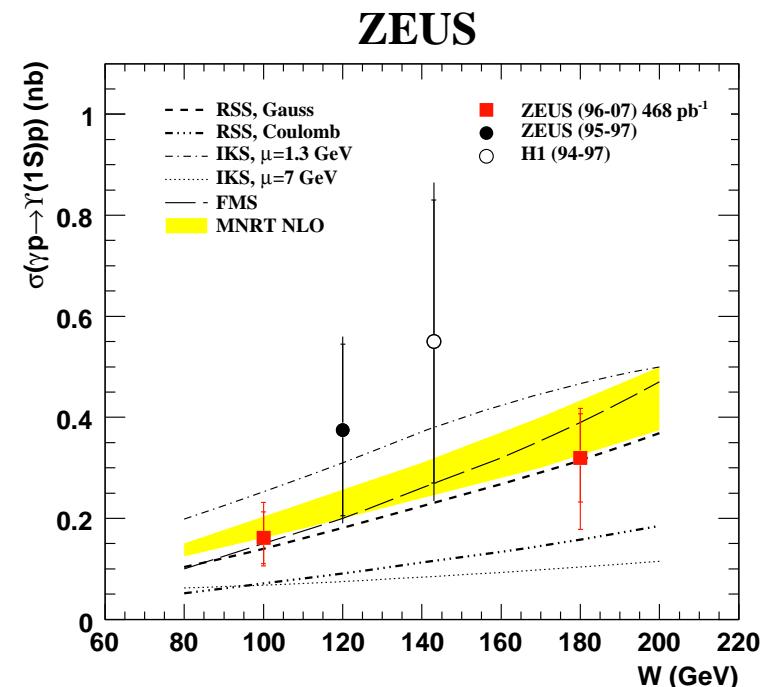
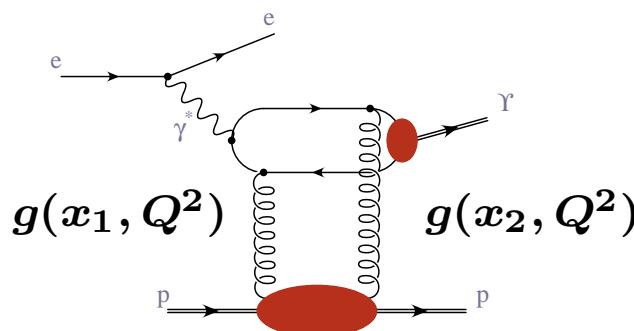
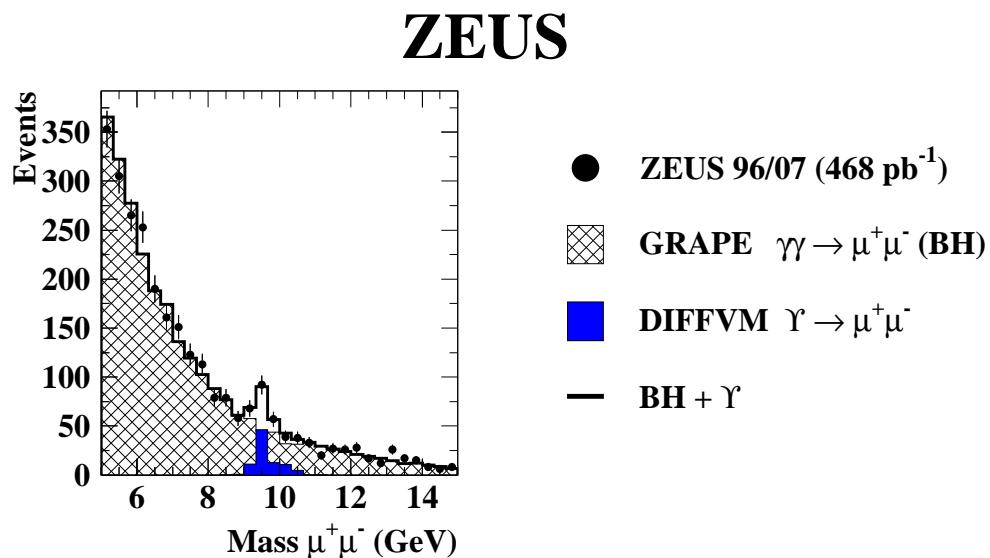
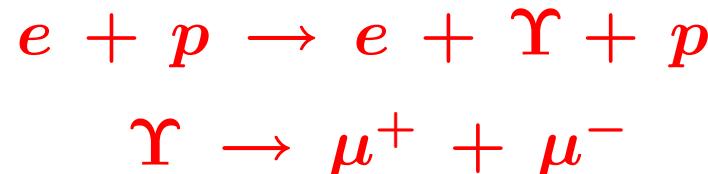
Upsilon Photoproduction

New ZEUS result:

1996-2007 data: 468 pb^{-1}

$60 < W < 220 \text{ GeV}, Q^2 < 1 \text{ GeV}^2$

DESY-09-036 (accepted by PLB)



W dependence of the cross section
is in agreement with pQCD models
including skewing, i.e. $x_1 \neq x_2$

Light VM in DIS: Data Selection

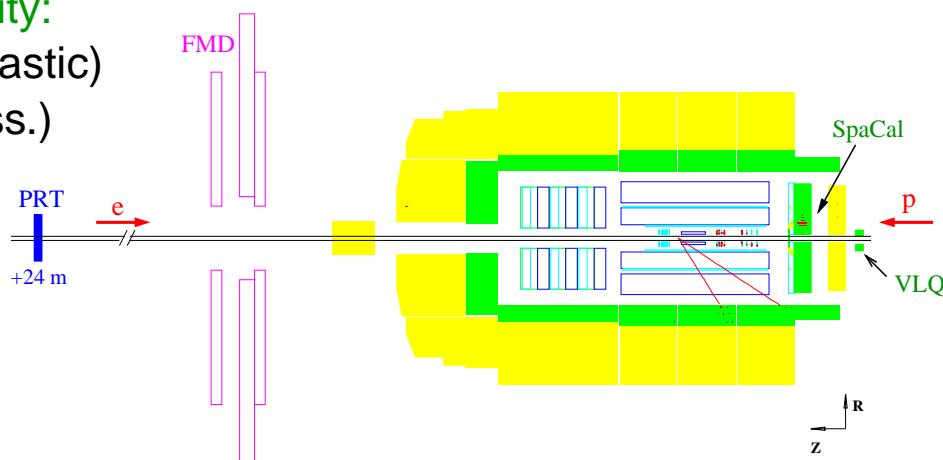
$$e^+ + p \rightarrow e^+ + \rho + p \text{ (or } Y\text{)} \quad ; \quad \rho \rightarrow \pi^+ + \pi^-$$

$$e^+ + p \rightarrow e^+ + \phi + p \text{ (or } Y\text{)} \quad ; \quad \phi \rightarrow K^+ + K^- \quad (\text{BR} = 49\%)$$

Forward activity:

NOTAG (\simeq elastic)

TAG (\simeq p diss.)



H1: ρ and ϕ

elastic and p diss. channels

1996-2000 data: 51 pb^{-1}

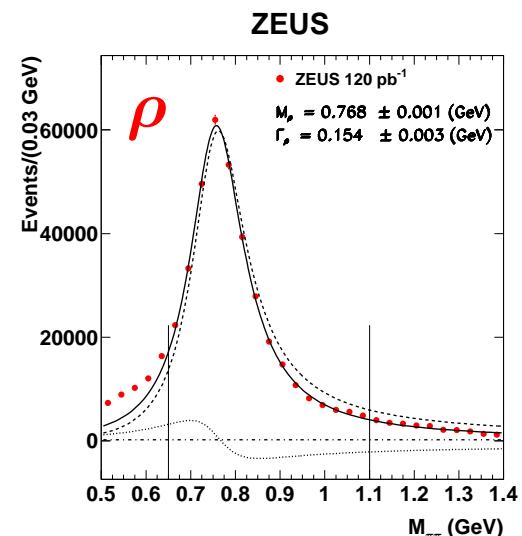
$2.5 < Q^2 < 60 \text{ GeV}^2$

$35 < W < 180 \text{ GeV}$

elastic: $|t| < 0.5 \text{ GeV}^2$

p diss.: $|t| < 3 \text{ GeV}^2$

H1prelim-08-013 & H1prelim-09-017



ZEUS: ρ

mostly elastic channel

1996-2000 data: 119 pb^{-1}

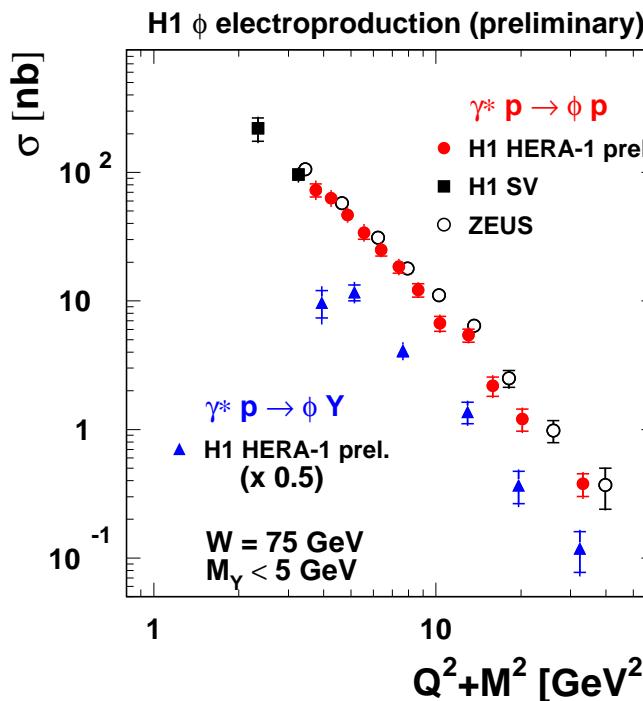
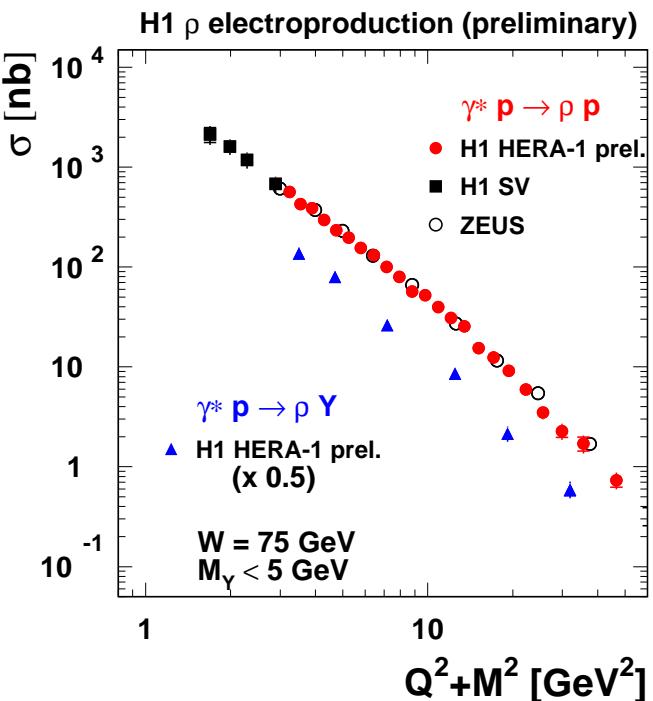
$2 < Q^2 < 160 \text{ GeV}^2$

$32 < W < 180 \text{ GeV}$

$|t| < 1 \text{ GeV}^2$

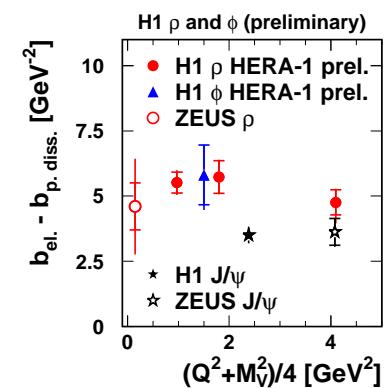
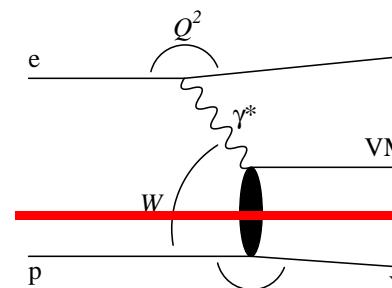
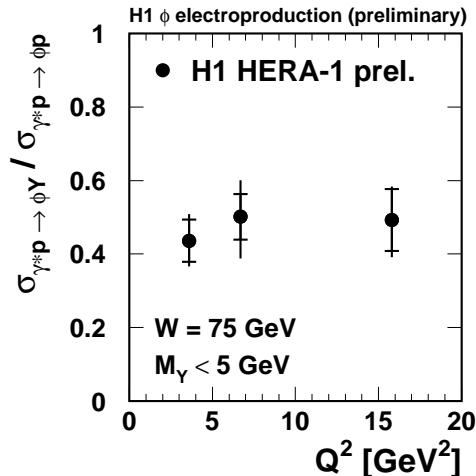
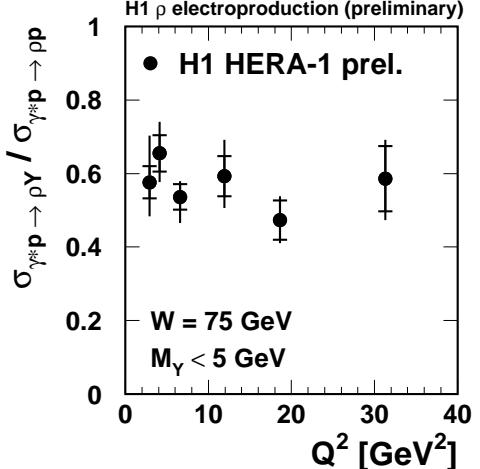
DESY-07-118 (PMC Physics A 1,6)

Light VM Cross-sections : Q^2 dependence



- High precision for elastic cross-sections
- First ϕ p-diss. cross-section
- H1 Zeus relative agreement

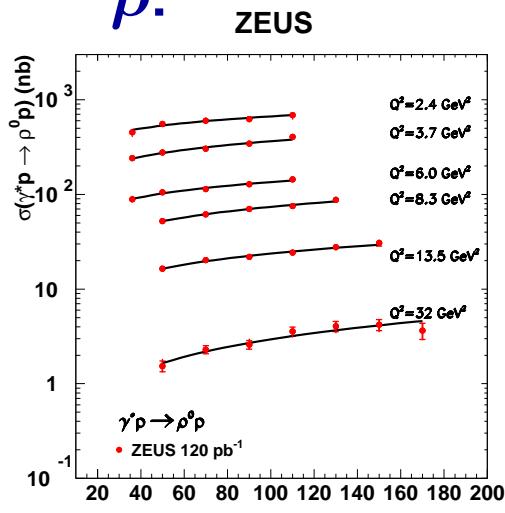
Test of vertex (“Regge”) factorisation:



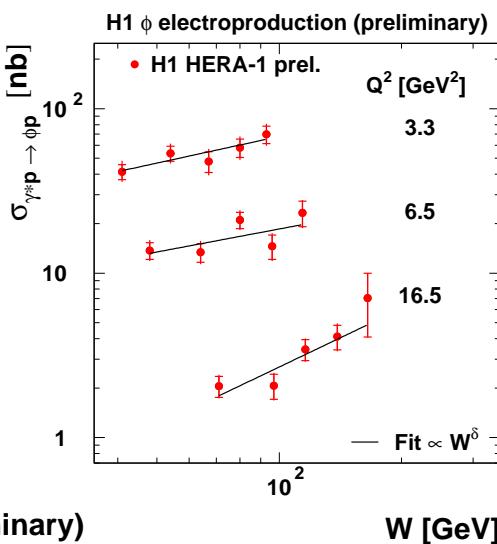
- p.diss/el : no Q^2 dep.
 - t -depend. : no Q^2 dep.
- vertex factorisation

Soft to hard transition: Q^2

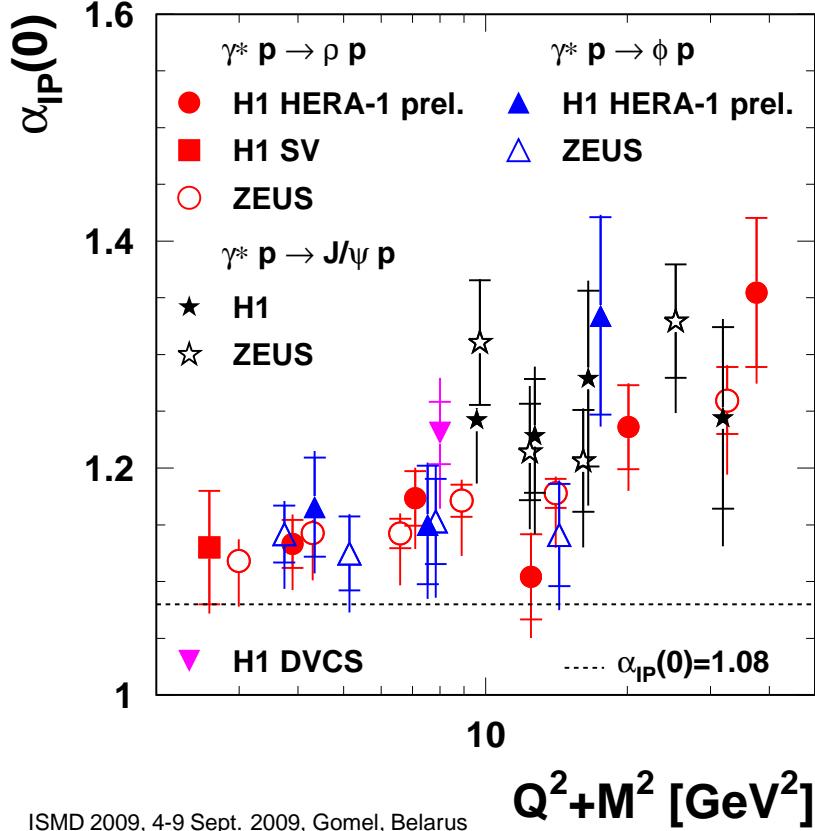
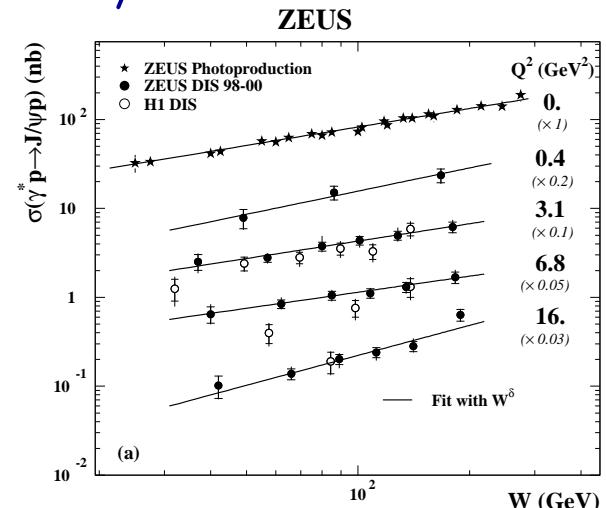
ρ :



Φ :



J/Ψ :

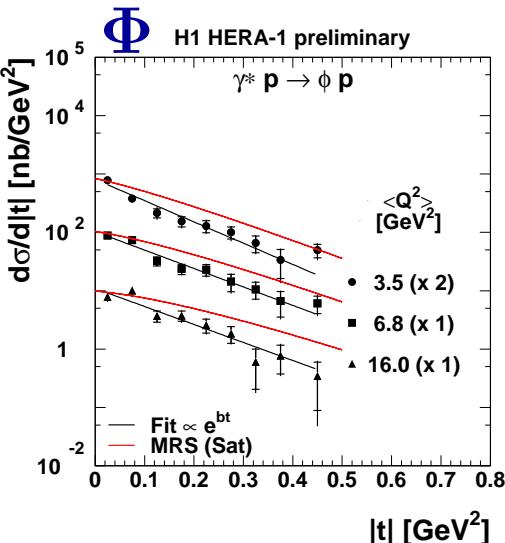
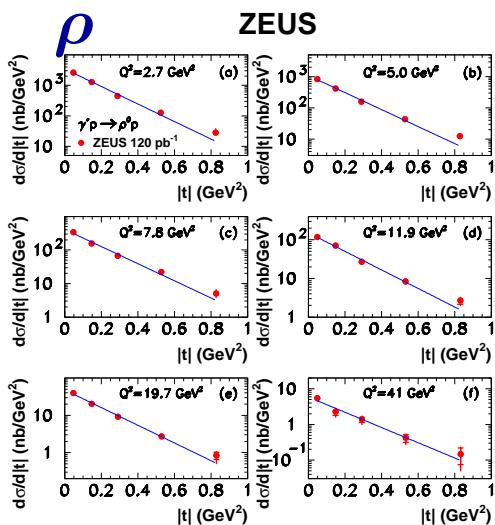


$$\alpha_{IP}(0) = 1 + \delta/4 + \alpha'_{IP}/\langle |t| \rangle$$

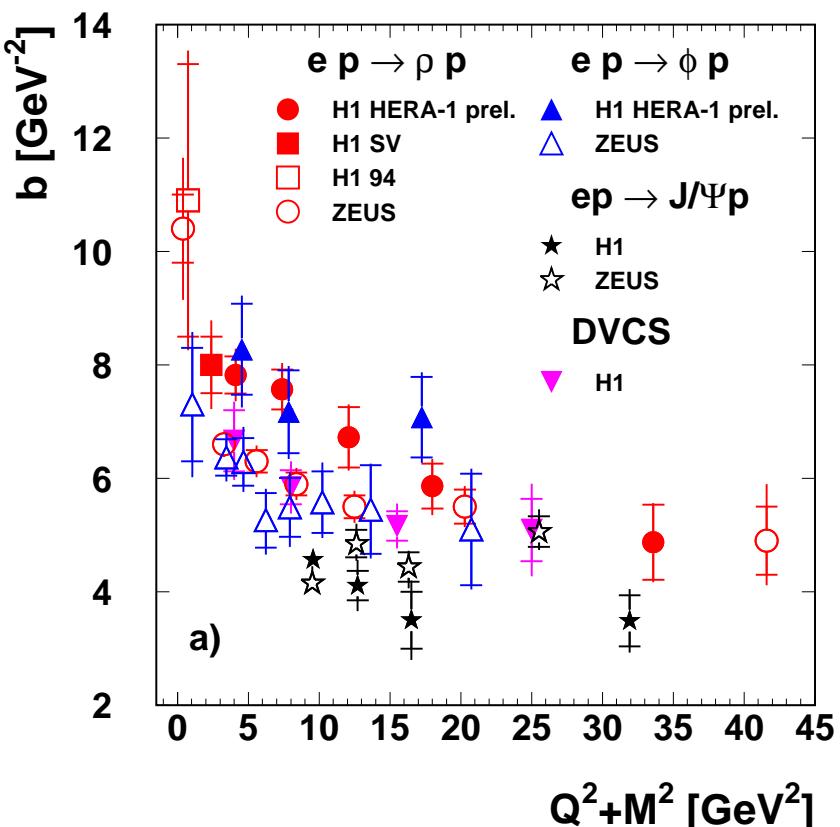
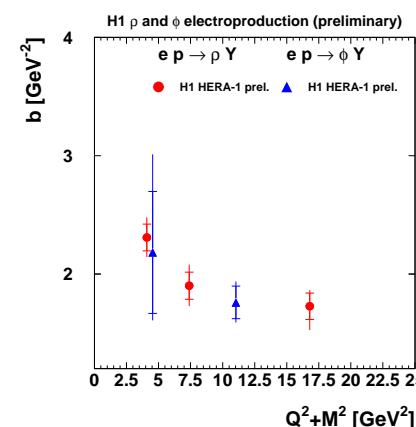
$$\alpha'_{IP} = 0 - 0.25 \text{ GeV}^{-2}$$

- Common hardening of $\alpha_{IP}(0)$ with $Q^2 + M^2$ for all VM and DVCS
 \Rightarrow Transition from soft to hard regime with $Q^2 + M^2$
- Soft contributions (in σ_L ?) up to $Q^2 \sim 20 \text{ GeV}^2$ for ρ and ϕ

t dependences: Universality and hard diffraction

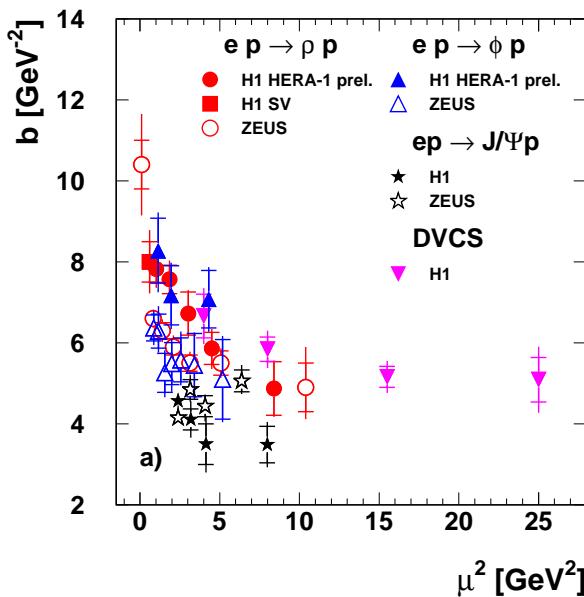
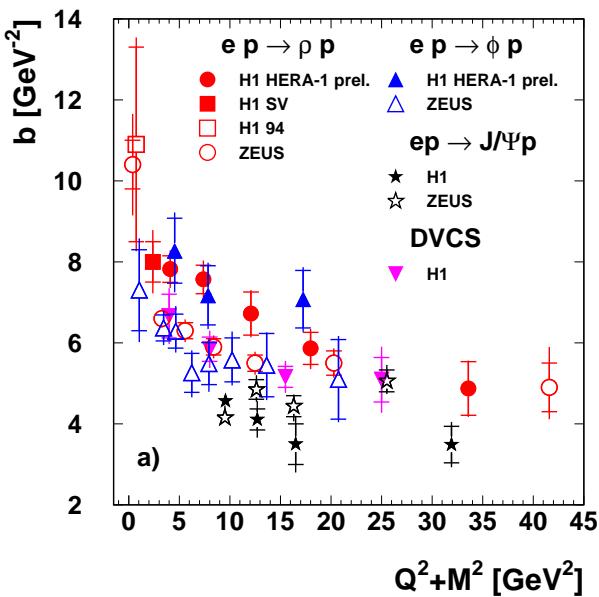


Proton dissociation:

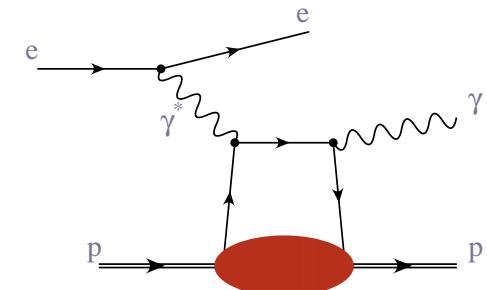


- fit $e^{-b|t|}$: $b = b_p \otimes b_{q\bar{q}} \otimes b_{IP}$
 $\rightarrow b \propto q\bar{q}$ dipole size
- b_ρ and b_ϕ decrease $Q^2 + M^2$
 - Common value with J/ψ for $Q^2 + M^2 > 20 \text{ GeV}^2$
 - Large dipole for light VM at low Q^2
- ⇒ Transition from soft to hard regime with $Q^2 + M^2$

Note on the scale and universality



DVCS is like DIS (at LO):



Photon interacts directly with a resolved quark

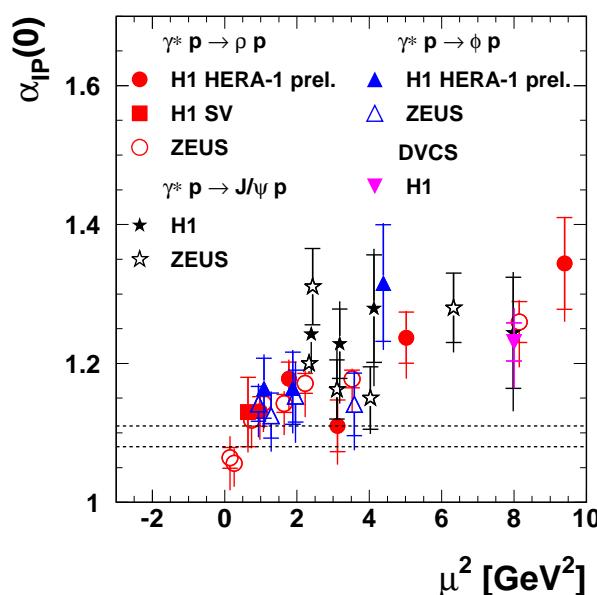
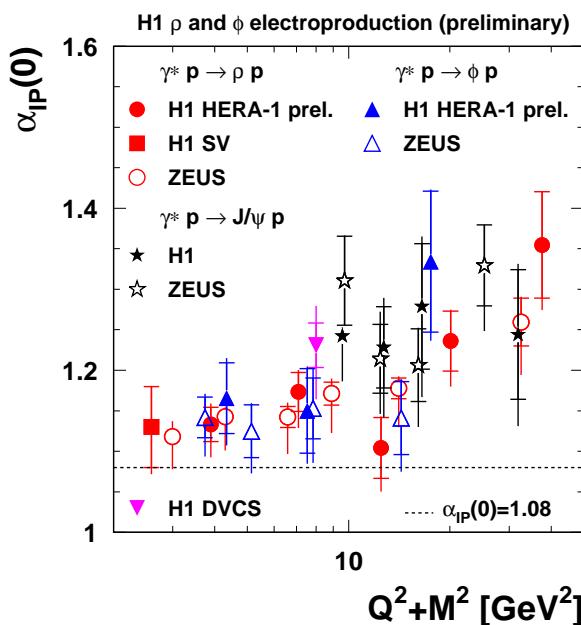
→ Hard scales are:

for DVCS: $\mu^2 = Q^2$

for VM: $\mu^2 = \frac{Q^2 + M^2}{4}$

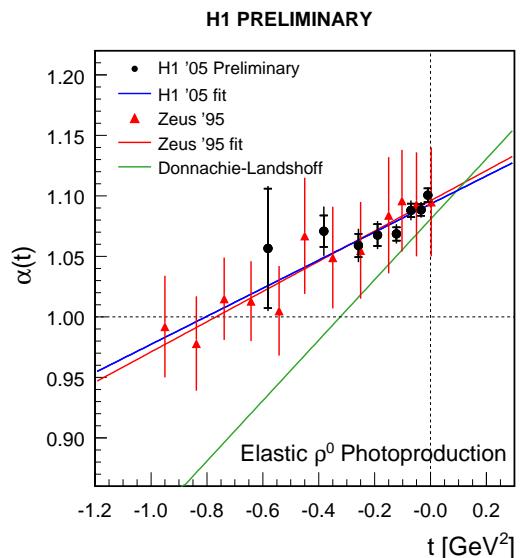
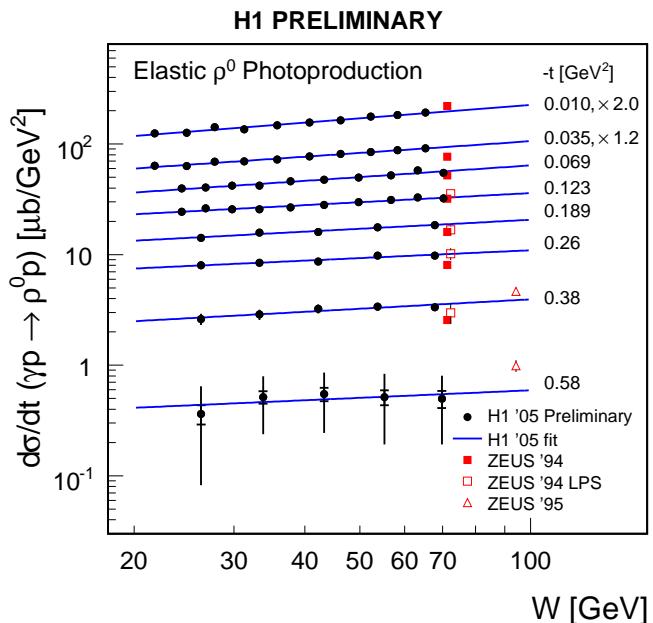
→ Universality vs μ^2 :

Soft/hard transition around $\mu^2 \sim 5$ GeV 2



Shrinkage : α'_P measurements

H1 ρ photoproduction measurements:



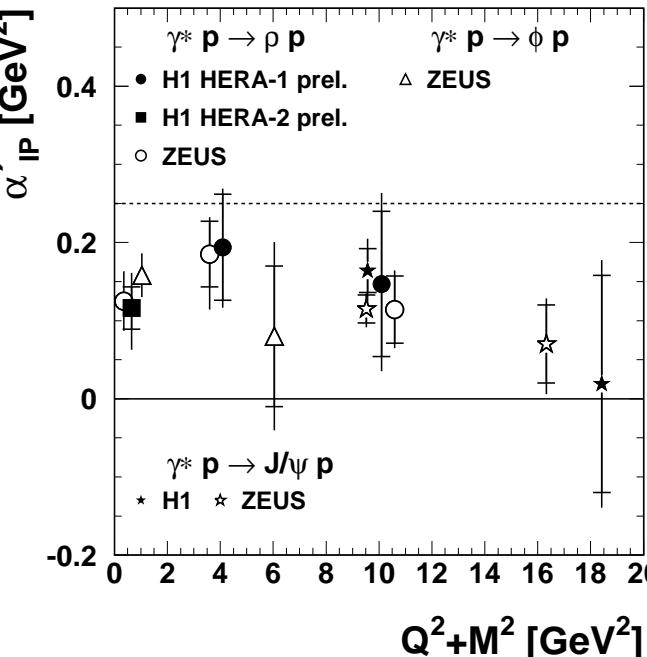
$$\frac{d\sigma}{dt}(W) \propto e^{b_0 t} W^{4(\alpha_P(t)-1)}$$

1. Study W depend. in bins of t :

$$\rightarrow \text{Fit: } W^\delta \rightarrow \alpha_P(t) = 1 + \delta/4$$

2. Study $\alpha_P(t)$ trajectories:

$$\rightarrow \text{Fit: } \alpha_P(t) = \alpha_P(0) + \alpha'_P t$$

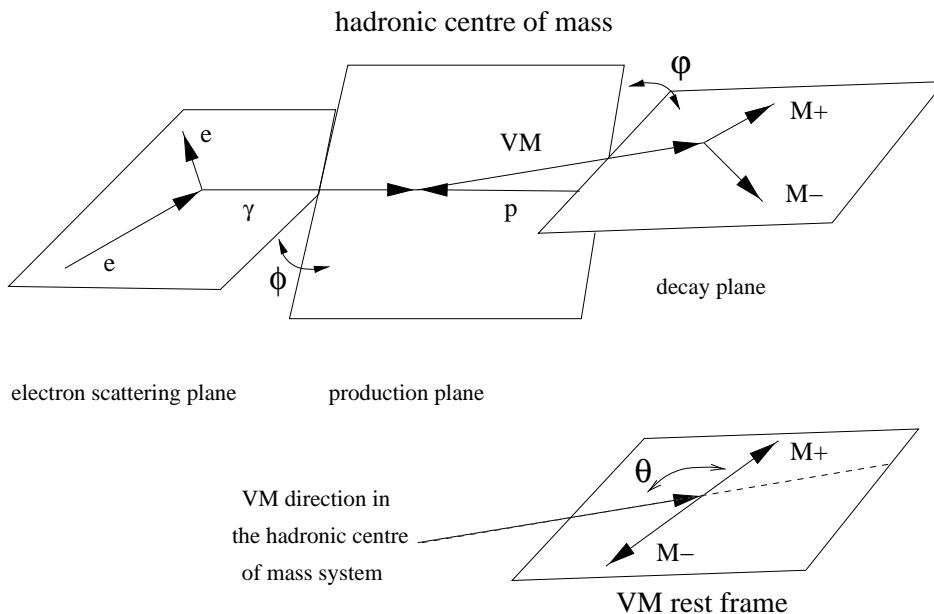


⇒ For all VM, α'_P smaller than 0.25 (DL, $p\bar{p}$)
(cf BFKL, multiple P exchange)

SPIN DENSITY MATRIX ELEMENTS

$$\theta^*, \Phi, \varphi \iff 15 \text{ SDMEs} : r_{kl}^{ij} \propto T_{\lambda'_\rho \lambda'_\gamma} T_{\lambda_\rho \lambda_\gamma}$$

$T_{\lambda_\rho \lambda_\gamma}$: helicity amplitudes



No helicity flip: $T_{00} : \gamma_L \rightarrow \rho_L$

$T_{11} : \gamma_T \rightarrow \rho_T$

Single flip: $T_{01} : \gamma_T \rightarrow \rho_L$

$T_{10} : \gamma_L \rightarrow \rho_T$

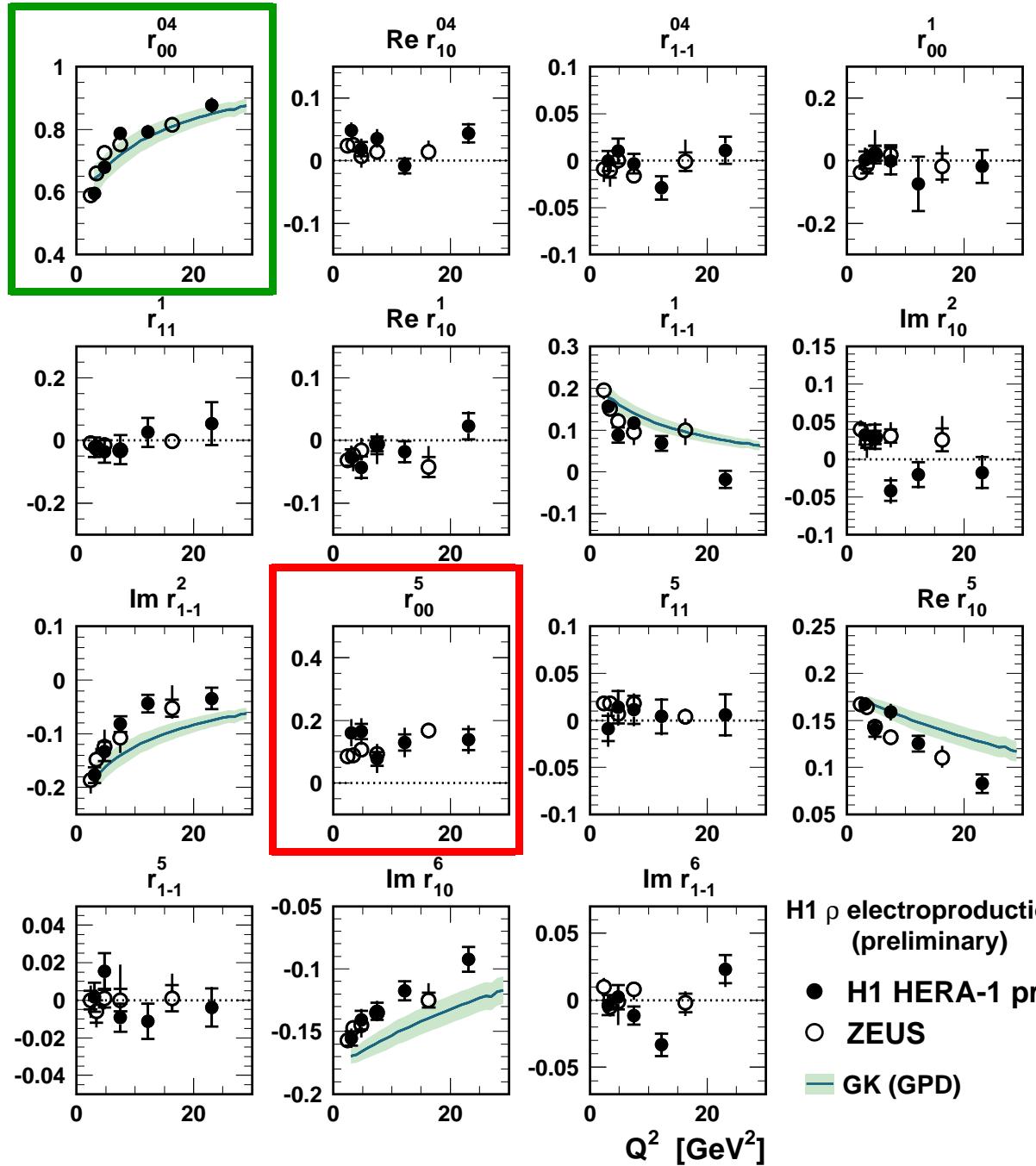
Double flip: $T_{1-1} : \gamma_T \rightarrow \rho_T$

s-Channel Helicity Conservation (SCHC): $T_{01} = T_{10} = T_{1-1} = 0$

- pQCD models:
- SCHC violation (single flip $\propto \sqrt{|t|}$, double $\propto |t|$)
 - Hierarchy: $|T_{00}| > |T_{11}| > |T_{01}| > |T_{10}| > |T_{1-1}|$

D. Yu Ivanov and
R. Kirschner
[hep-ph/9807324]

ρ Polarisation - SDMEs vs. Q^2



- r_{00}^{04} increases with Q^2
- ↔ similar effects for r_{1-1}^1 , $\text{Im } r_{1-1}^2$, $\text{Re } r_{10}^5$ and $\text{Im } r_{10}^6$ (in SCHC)
- ↔ Fair description by GK (GPD) model

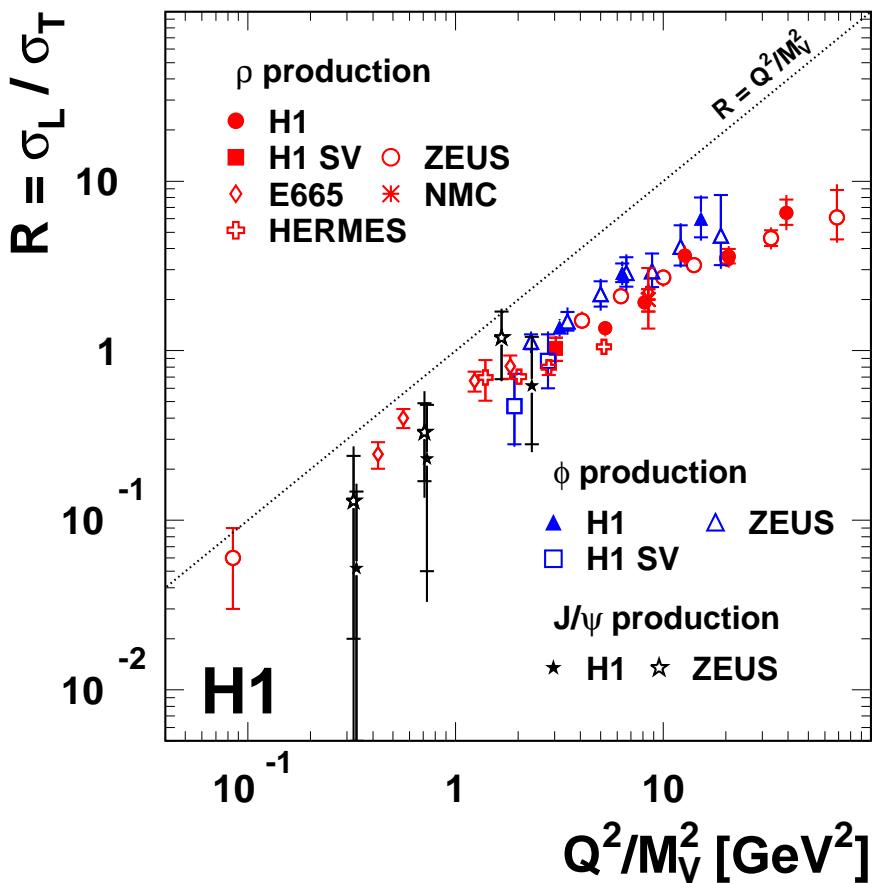
- r_{00}^5 violates SCHC
- Other SDME $\simeq 0$

H1 ρ electroproduction (preliminary)

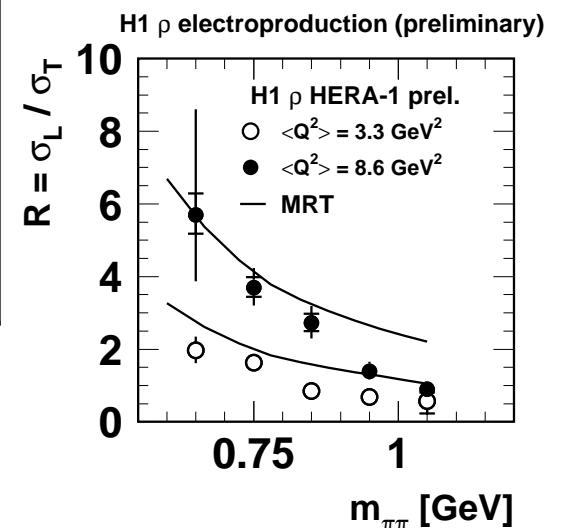
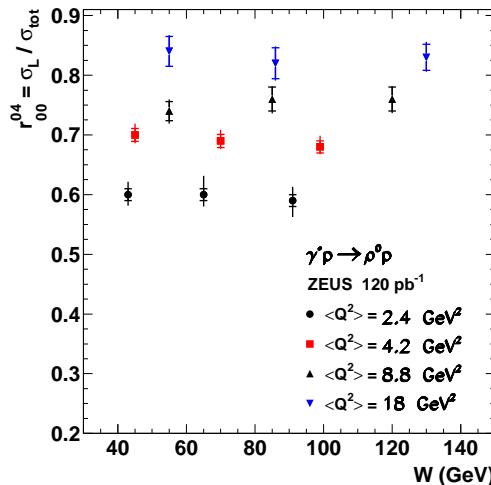
- H1 HERA-1 prel.
- ZEUS
- GK (GPD)

ρ and ϕ Polarisation - $R = \sigma_L / \sigma_T$

$$R_{SCHC} = \frac{1}{\epsilon} \frac{r_{00}^{04}}{1 - \epsilon r_{00}^{04}} = \frac{|T_{00}|^2}{|T_{11}|^2} + \text{non SCHC corrections in H1 case}$$



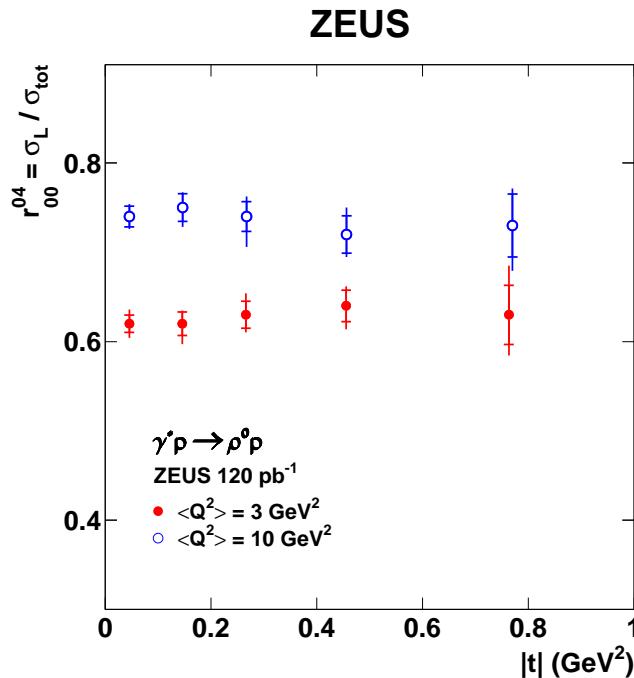
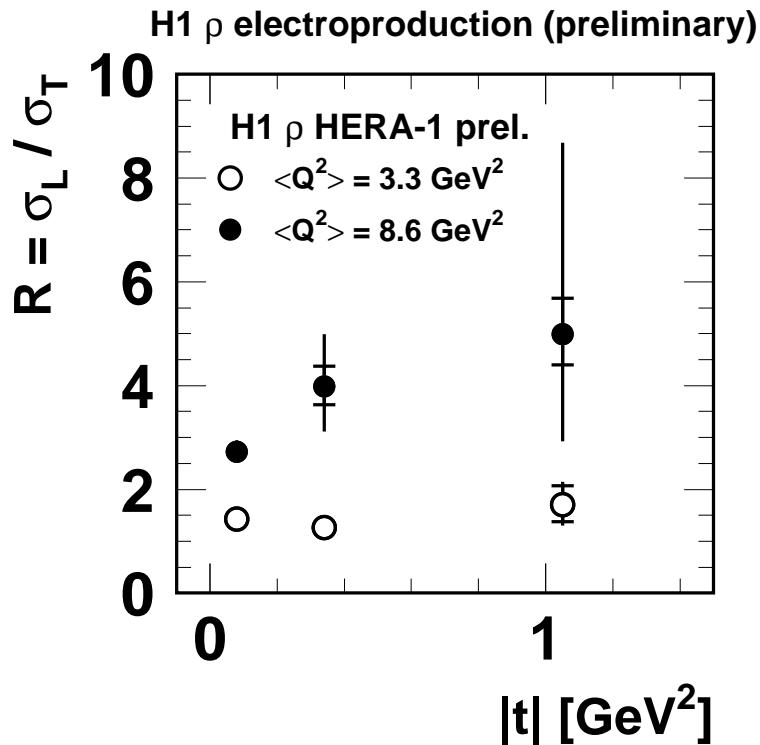
- ρ : no W dependance of R



- Strong invariant mass dependance in ρ case
- formal pQCD: $R \propto Q^2/M^2$ but M being diquark mass
cf Martin, Ryskin, Teubner calculation

- Formal pQCD: $R \propto Q^2/M^2$
- Scaling for all VM with Q^2/M_V^2
- Damping at large Q^2

ρ and ϕ Polarisation - $R(t)$ and $b_L - b_T$



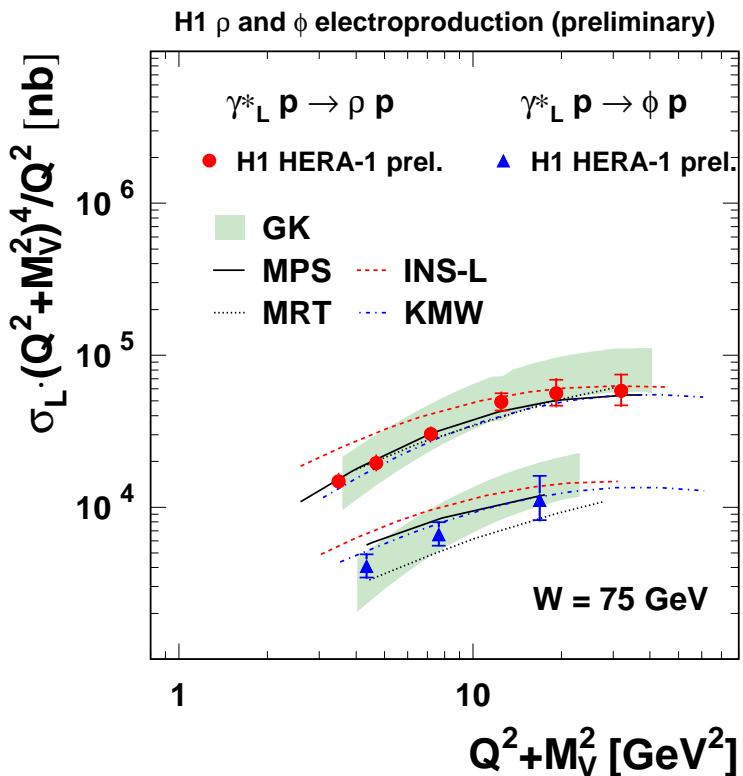
$$R(t) \propto \frac{\sigma_L}{\sigma_T} \exp(-(b_L - b_T)|t|)$$

- H1: $(b_L - b_T) < 0$ by 1.5σ for $Q^2 > 5 \text{ GeV}^2$
- also a t dependance of T_{11}/T_{00} - see later
 - Small difference of transverse size of $q\bar{q}$ dipoles from transverse and longitudinal photons

ρ and ϕ Polarisation - Cross-sections

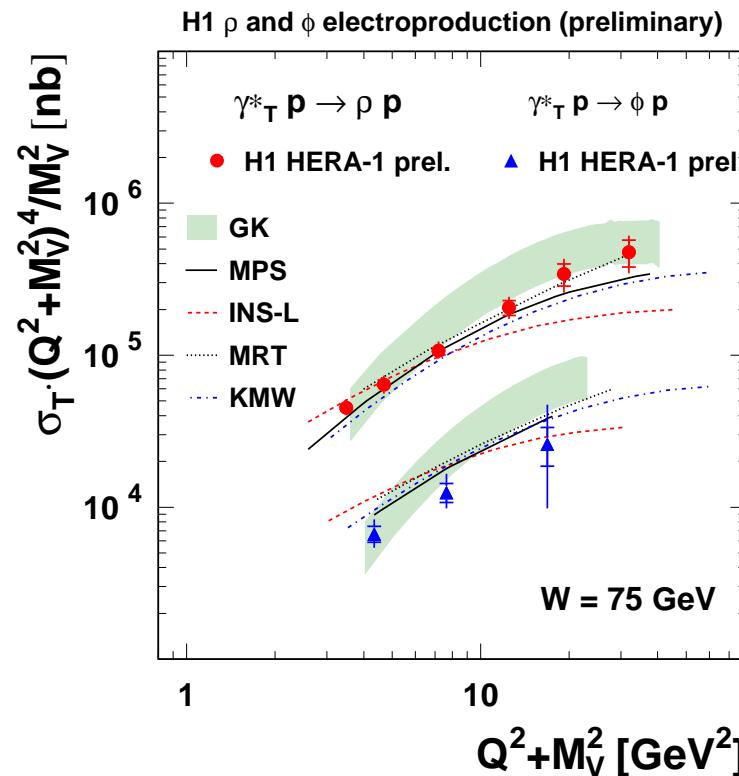
Longitudinal

$$\sigma_L \propto \frac{Q^2/M_V^2}{(Q^2+M_V^2)^4} [\alpha_s(\mu^2) G(x, \mu^2)]^2$$



Transverse

$$\sigma_T \propto \frac{1}{(Q^2+M_V^2)^4} [\alpha_s(\mu^2) G(x, \mu^2)]^2$$



- Different $Q^2 + M^2$ dependences of σ_L and σ_T ($\sigma_L = 0$ at $Q^2 = 0$)
 - Good description by models with some differences
 - Effect of Q^2 dependances of $[\alpha_s(\mu^2) G(x, \mu^2)]^2$ visible
- N.B.: data at fixed $W \rightarrow$ varying x with $Q^2 + M_V^2$

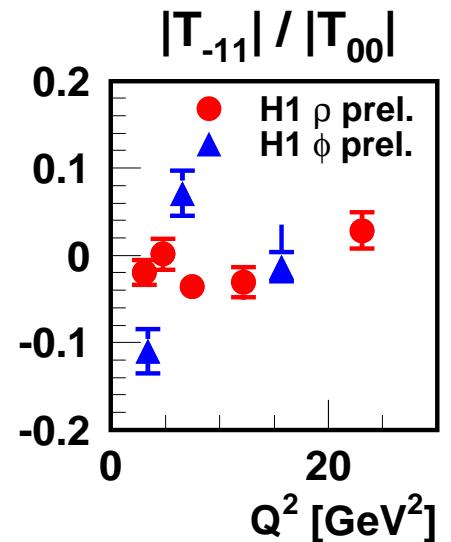
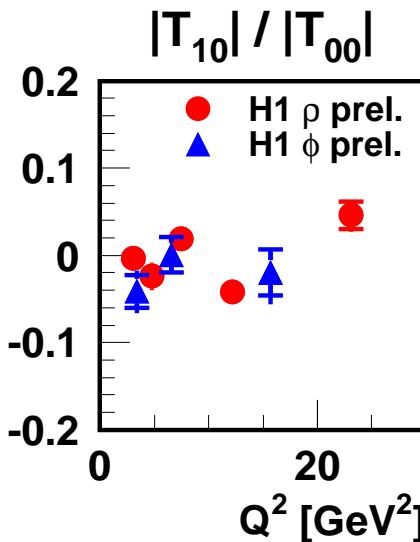
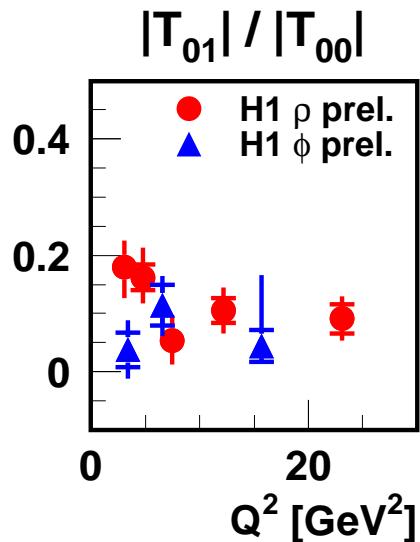
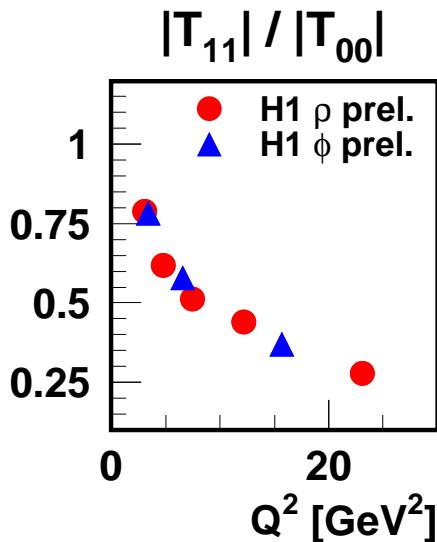
Polarisation - Amplitude ratios vs. Q^2

pQCD (IK):

- $T_{11}/T_{00} \propto \frac{M}{Q} \frac{1+\gamma}{\gamma}$
- $T_{01}/T_{00} \propto \frac{\sqrt{|t|}}{Q} \frac{1}{\sqrt{2}\gamma}$

- $T_{10}/T_{00} \propto -\frac{M}{Q^2} \frac{\sqrt{|t|}}{\gamma} \frac{\sqrt{2}}{\gamma}$

γ : gluon anomalous dim.



- T_{11}/T_{00} decreases with $Q^2 \leftrightarrow \sigma_L/\sigma_T$ increases with Q^2
- $T_{01}/T_{00} > 0 \leftrightarrow$ SCHC violation
- T_{10}/T_{00} and T_{-11}/T_{00} are small
- $\Rightarrow |T_{00}| > |T_{11}| > |T_{01}| > |T_{10}|, |T_{-11}| \leftrightarrow$ hierarchy observed

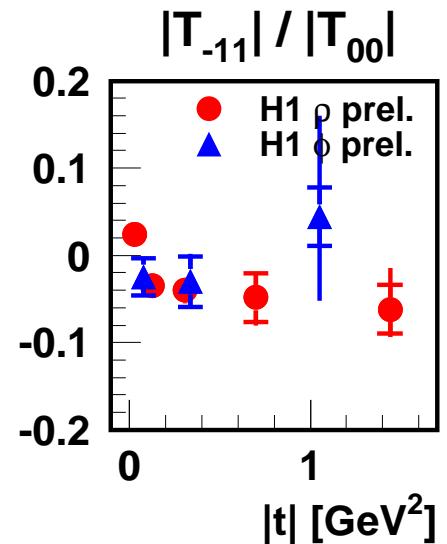
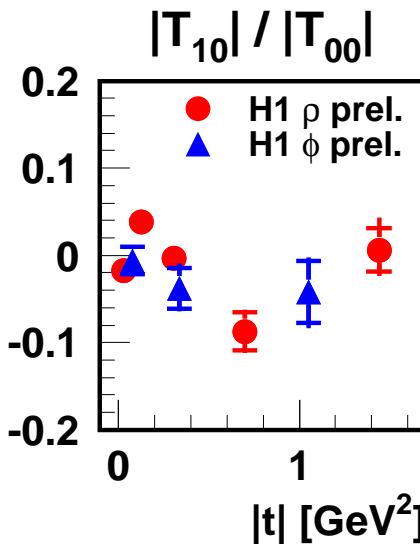
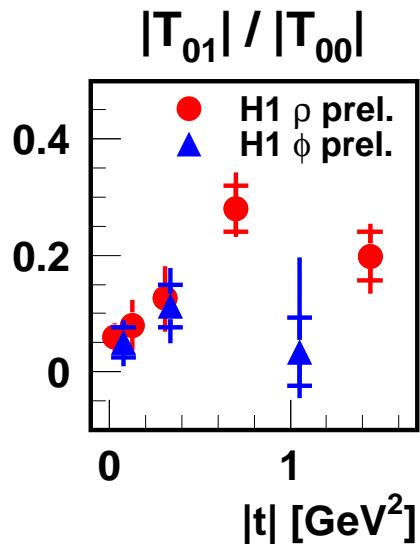
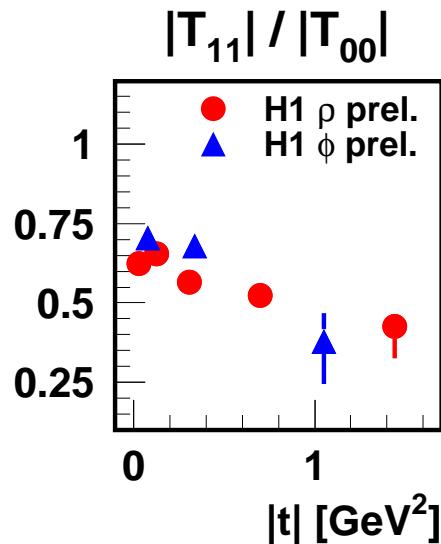
Polarisation - Amplitude ratios vs. $|t|$

pQCD (IK): • $T_{11}/T_{00} \propto \frac{M}{Q} \frac{1+\gamma}{\gamma}$

• $T_{01}/T_{00} \propto \frac{\sqrt{|t|}}{Q} \frac{1}{\sqrt{2}\gamma}$

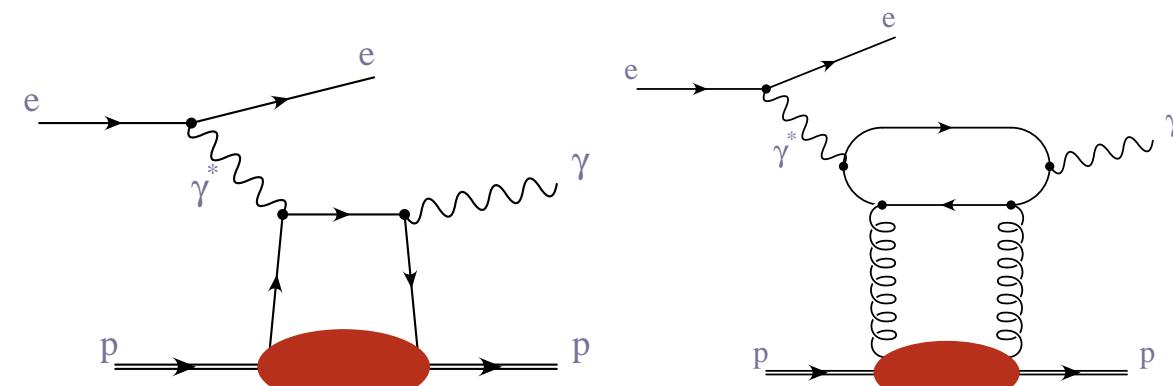
• $T_{10}/T_{00} \propto -\frac{M}{Q^2} \frac{\sqrt{|t|}}{\gamma} \frac{\sqrt{2}}{\gamma}$

γ : gluon anomalous dim.

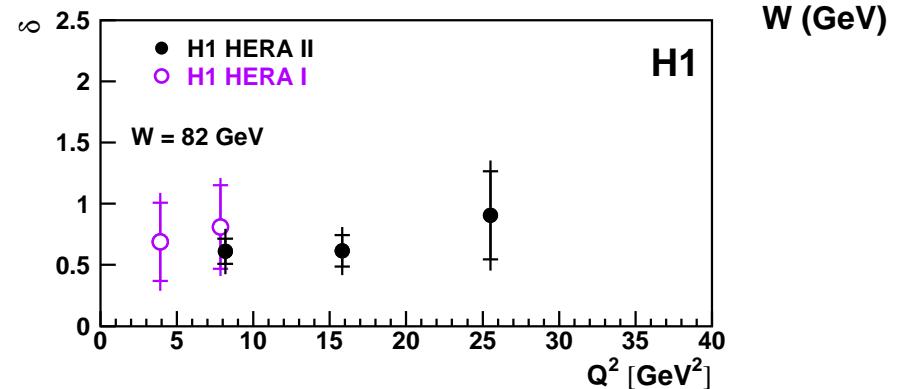
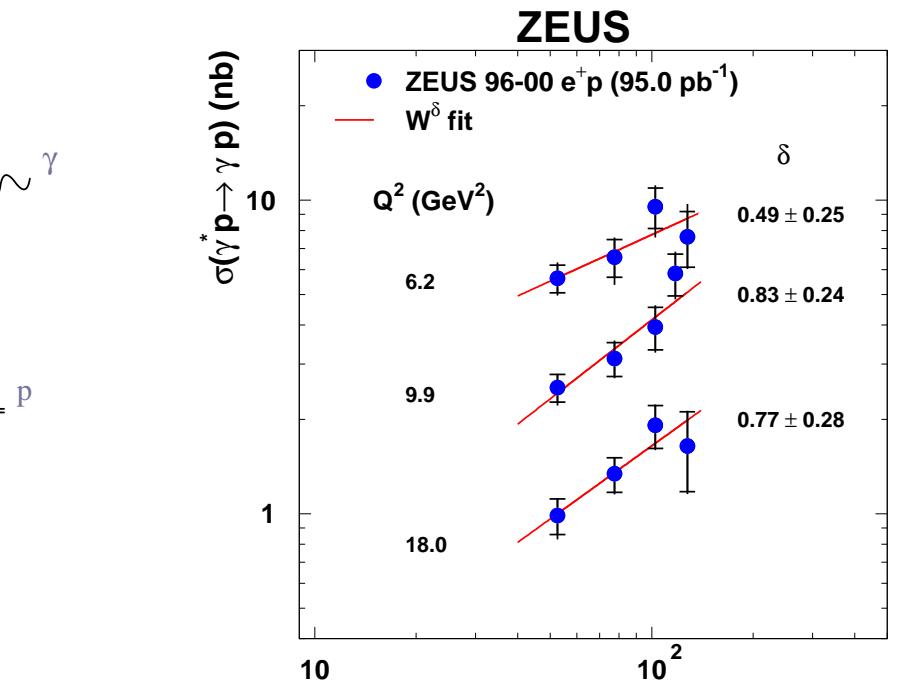
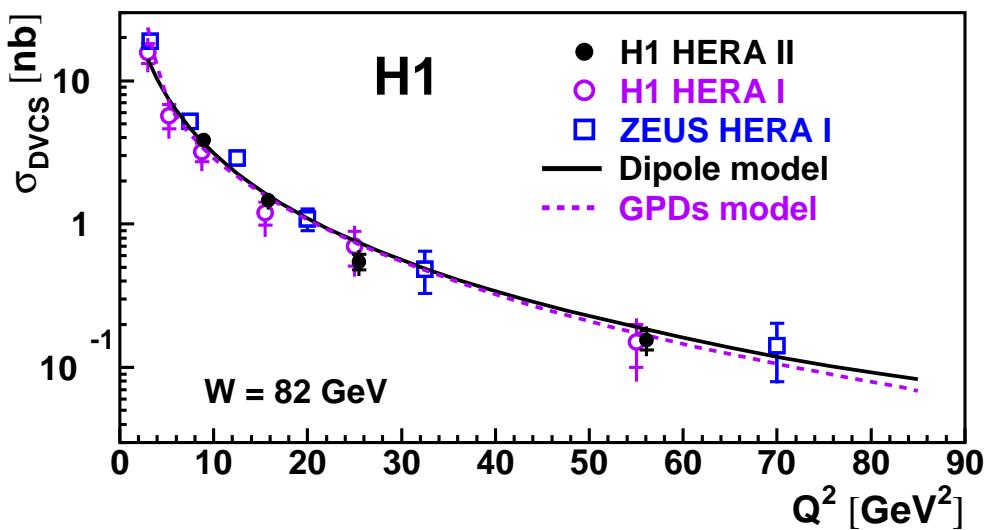


- T_{11}/T_{00} decreases with $|t|$ (cf. $b_L - b_T$)
- T_{01}/T_{00} increases with $|t| \leftrightarrow$ SCHC violation increases with $|t|$
- T_{10}/T_{00} and T_{-11}/T_{00} are small but some $|t|$ dependence

Deep Virtual Compton Scattering



- fully calculable in pQCD
- Access to the full QCD amplitude
- Constrain gluon GPDs



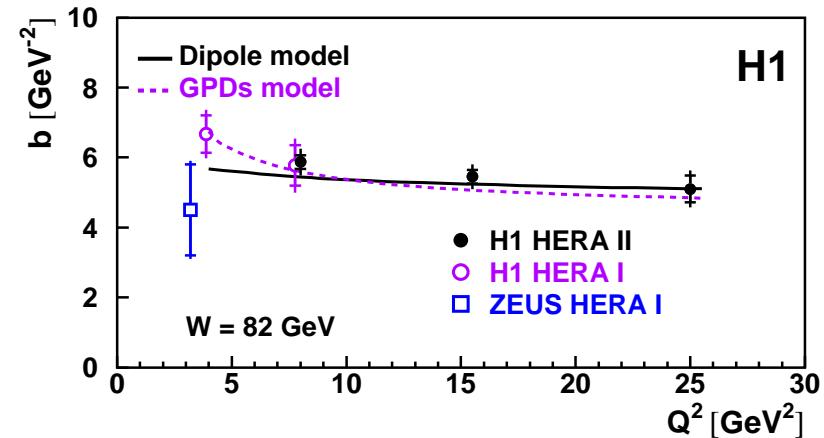
W dependence indicates a hard regime (similar to J/Ψ)

DVCS: t slope and Beam Charge Asymmetry

H1 measurement based on 291 pb^{-1} of HERA II data (e^+ and e^-).

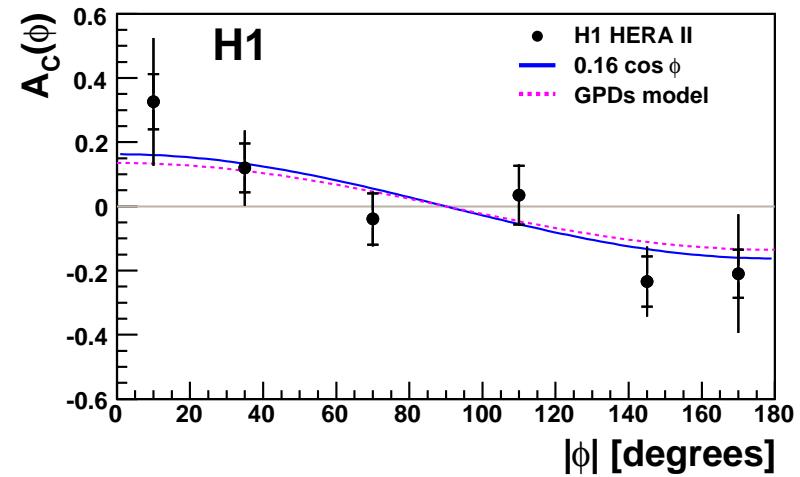
- t slope as a function of Q^2

⇒ Similar behaviour with VM
using the scale $Q^2 + M_{VM}^2$



- First DVCS BCA measured at HERA.

$$BCA \equiv \frac{\sigma(e^+p) - \sigma(e^-p)}{\sigma(e^+p) + \sigma(e^-p)} \sim p_1 \cos(\Phi)$$



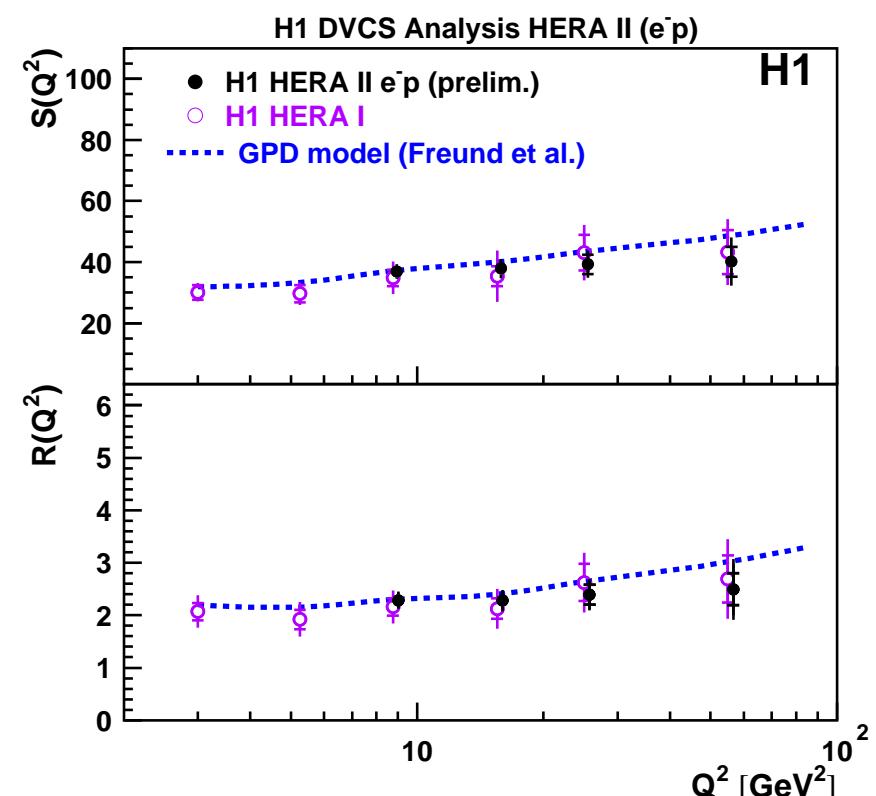
DVCS: QCD interpretation

- correct Q^2 dependence of the propagator and of b in the cross section:

$$S = \sqrt{\frac{\sigma_{DVCS} Q^4 b(Q^2)}{(1 + \rho^2)}}$$

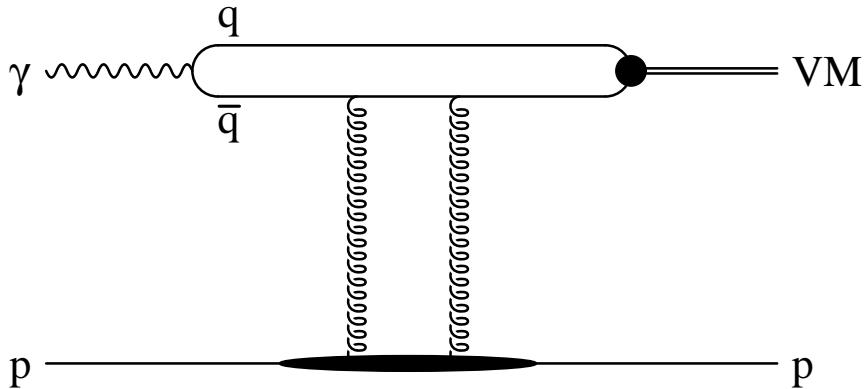
- skewing factor: around 2

$$\begin{aligned} R &= \frac{\text{Im } A(\gamma^* p \rightarrow \gamma p)}{\text{Im } A(\gamma^* p \rightarrow \gamma^* p)} \\ &= \frac{4 \sqrt{\pi} \sigma_{DVCS} b(Q^2)}{\sigma_T(\gamma^* p \rightarrow X) \sqrt{(1 + \rho^2)}} \\ &\Rightarrow \text{important skewing factor} \\ &\Rightarrow Q^2 \text{ evolution close to the one of DIS (pure DGLAP)} \end{aligned}$$

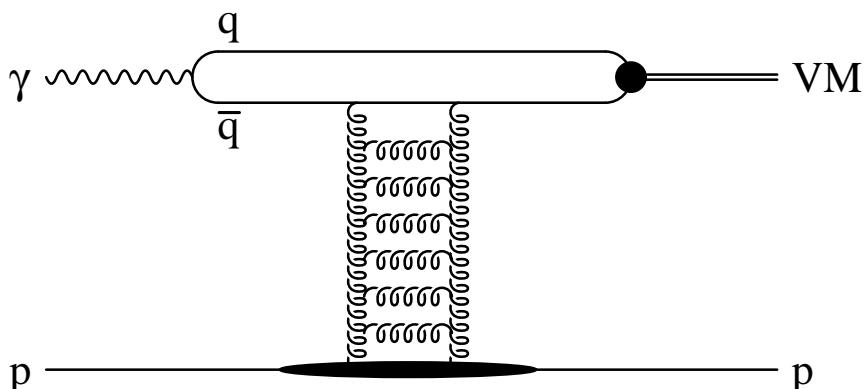


High $|t|$ Exclusive Photoproduction: Introduction

LO: 2 gluon exchange



LLA: Gluon ladder



DGLAP Evolution ($|t| < M_{VM}^2$):

Strong k_T ordering along ladder

$$\rightarrow d\sigma/dt \sim e^{bt}$$

\rightarrow No increase of $d\sigma/dt$ with W

BFKL Evolution ($|t| > M_{VM}^2$):

- p_T fully transferred from p to $q\bar{q}$
- high $W \rightarrow$ small x_{Bj}

No k_T ordering in ladder

$$\rightarrow d\sigma/dt \sim |t|^{-n}; n = 3 - 4$$

\rightarrow Increase of $d\sigma/dt$ with W

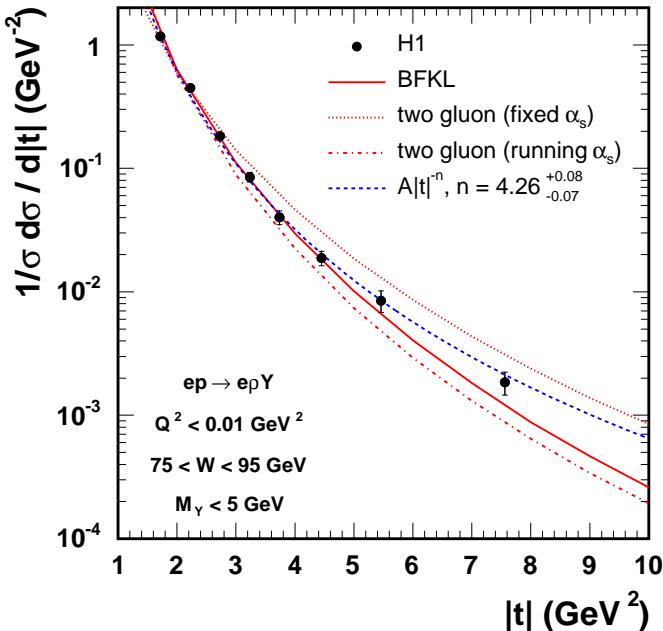
\rightarrow Little shrinkage

\rightarrow VM: SCHC expected

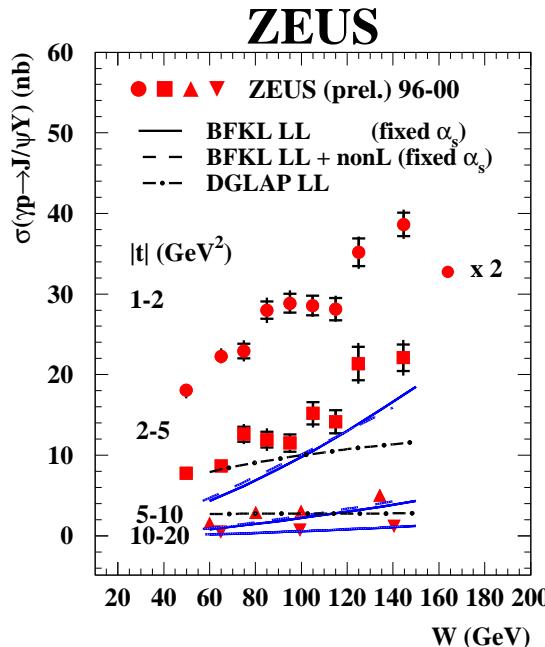
Models: Forshaw et al.

High $|t|$: Vector Meson Cross-Sections

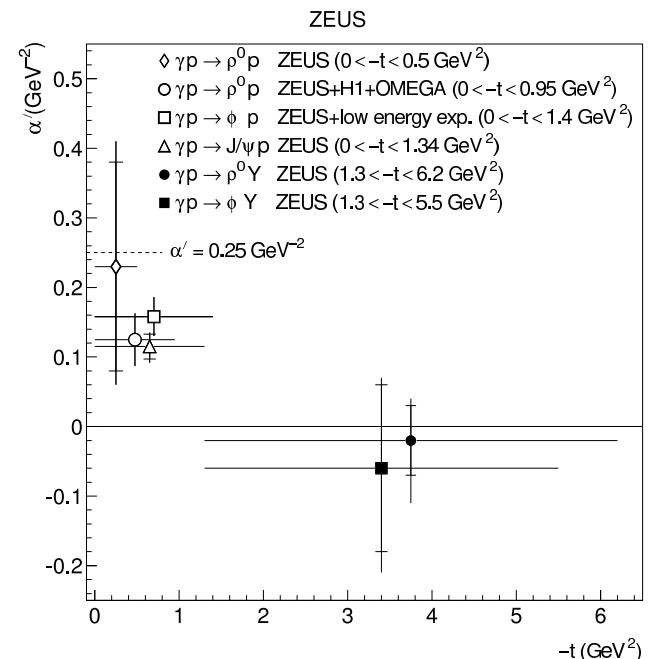
ρ vs t



J/ψ vs W



$α'$ vs t

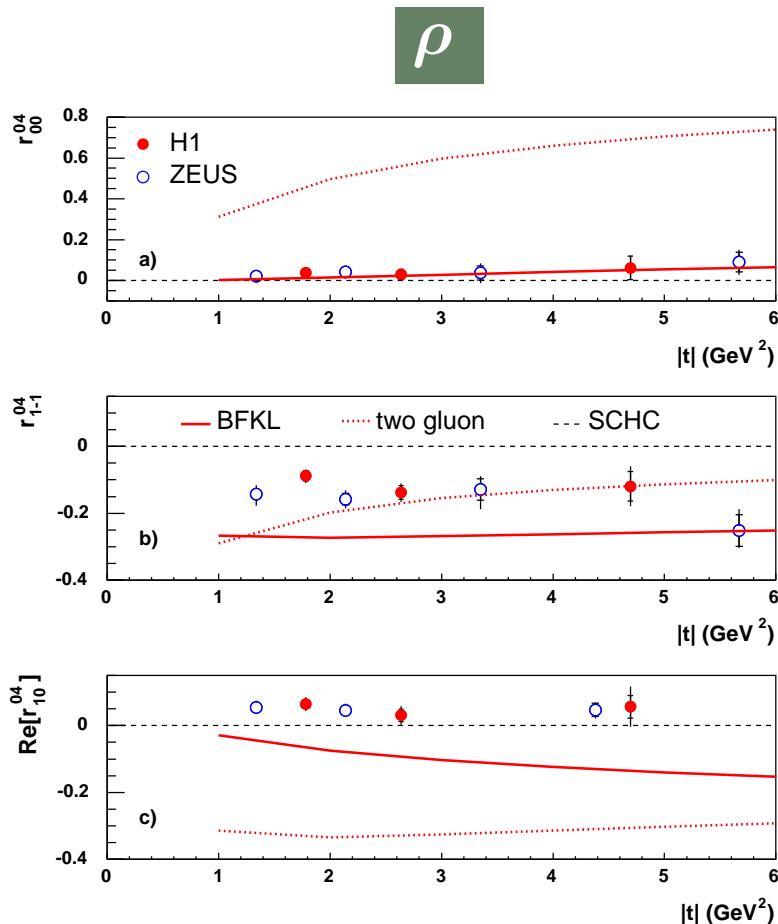


- Data follow $|t|^{-n}$
- BFKL describes data
- 2-gluon (DGLAP-like) fails

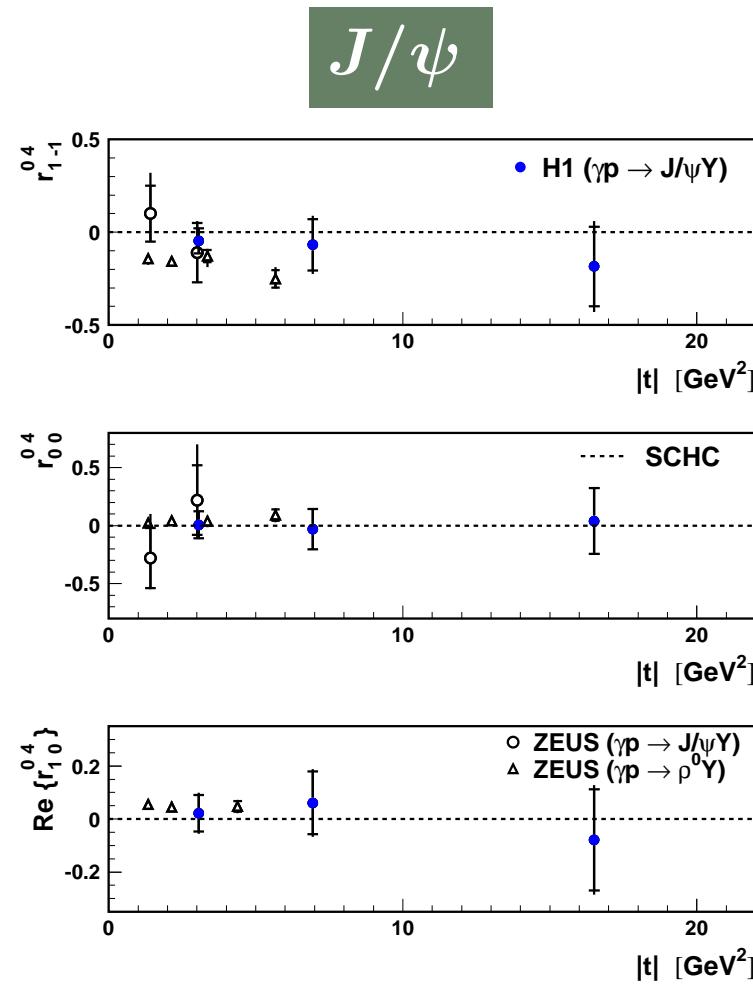
- Hardening of W depend. with $|t|$
- BFKL reproduces rise with W
- DGLAP fails at high $|t|$

- $α'$ decreases with $|t|$
- No shrinkage as expected for BFKL

High $|t|$: Vector Meson Polarisation



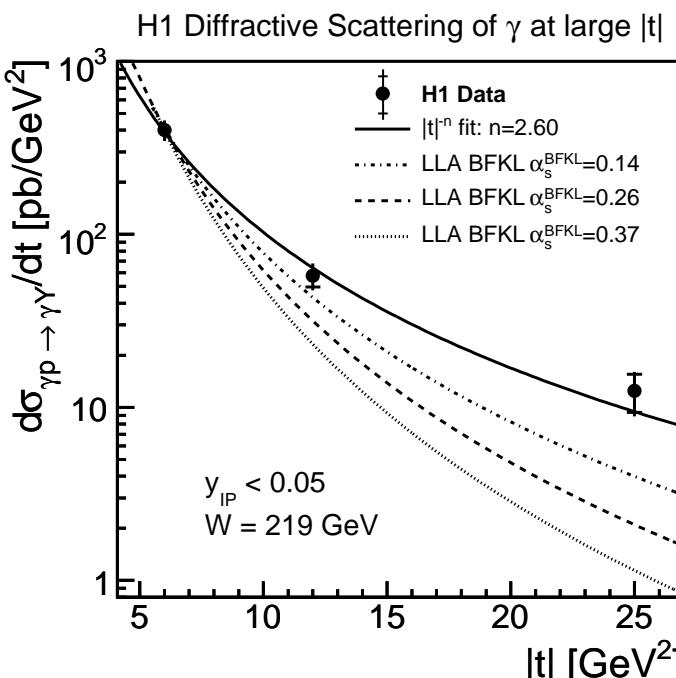
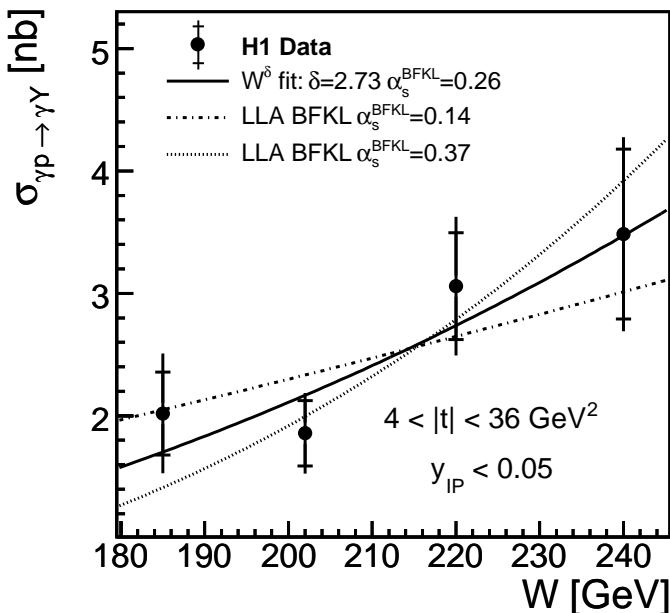
- ρ data: SCHC violation for r_{1-1}^{04} and $\text{Re}[r_{10}^{04}]$
- Two-gluon and BFKL models are unable to describe data



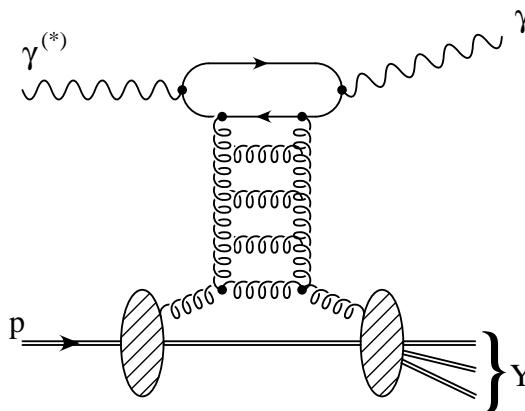
- J/ψ data: SCHC holds
→ non-relativistic WF is OK
i.e. equal long. momentum sharing between q and \bar{q}

High $|t|$: Diffractive High P_T Photons

H1 Diffractive Scattering of γ at large $|t|$



First measurement of high P_T photons



H1 99-00 Data: 46 pb^{-1}

$Q^2 < 0.01 \text{ GeV}^2$
 $175 < W < 247 \text{ GeV}$
 $4 < |t| < 36 \text{ GeV}^2$
 $y_{IP} \simeq e^{-\Delta\eta} < 0.05$
 $E_\gamma > 8 \text{ GeV}$

Strong W dependence:

- W^δ fit $\rightarrow \delta = 2.73 \pm 1.02^{+0.56}_{-0.78}$
 $\rightarrow \alpha_s^{\text{BFKL}} = 0.26 \pm 0.10^{+0.05}_{-0.07}$ $\leftrightarrow J/\psi$: $\alpha_s \sim 0.18$
- LLA BFKL (with $\alpha_s = 0.26$) prediction describe the W dependence

t dependence:

- $|t|^{-n}$ fit $\rightarrow n = 2.60 \pm 0.19^{+0.03}_{-0.08}$
- LLA BFKL too steep for t dependence

CONCLUSIONS

Important progresses in precision for VM and DVCS leading to the understanding of the underlying dynamics

VM cross-section measurements:

- New Υ photoproduction results
- Hard regime reached only around $\mu^2 = \frac{Q^2 + M^2}{4} = 5 \text{ GeV}^2$ as observed in measurements of $\alpha_{IP}(0)$ and b -slopes.
→ Possible soft component in σ_L up to "high" Q^2 for light VM.
- p diss. / elastic ratio: proton vertex factorisation observed

VM polarisation properties:

- Polarised cross-section and amplitude ratios have been extracted
- σ_L/σ_T increases with Q^2 and maybe with $|t|$ at high Q^2
↔ $|t|$ depend. expected in pQCD from \neq dipole in σ_L and σ_T .
- Violation of SCHC: significant T_{01}/T_{00} increases with $|t|$
- σ_L/σ_T decreases with ρ invariant mass
↔ Predicted by MRT / limited influence of VM wave function.

CONCLUSIONS

Important progresses in precision for VM and DVCS leading to the understanding of the underlying dynamics

DVCS:

- W dependence indicates hard regime
- Significant skewing factor measured → GPD
- First Beam Charge Assymetry measured

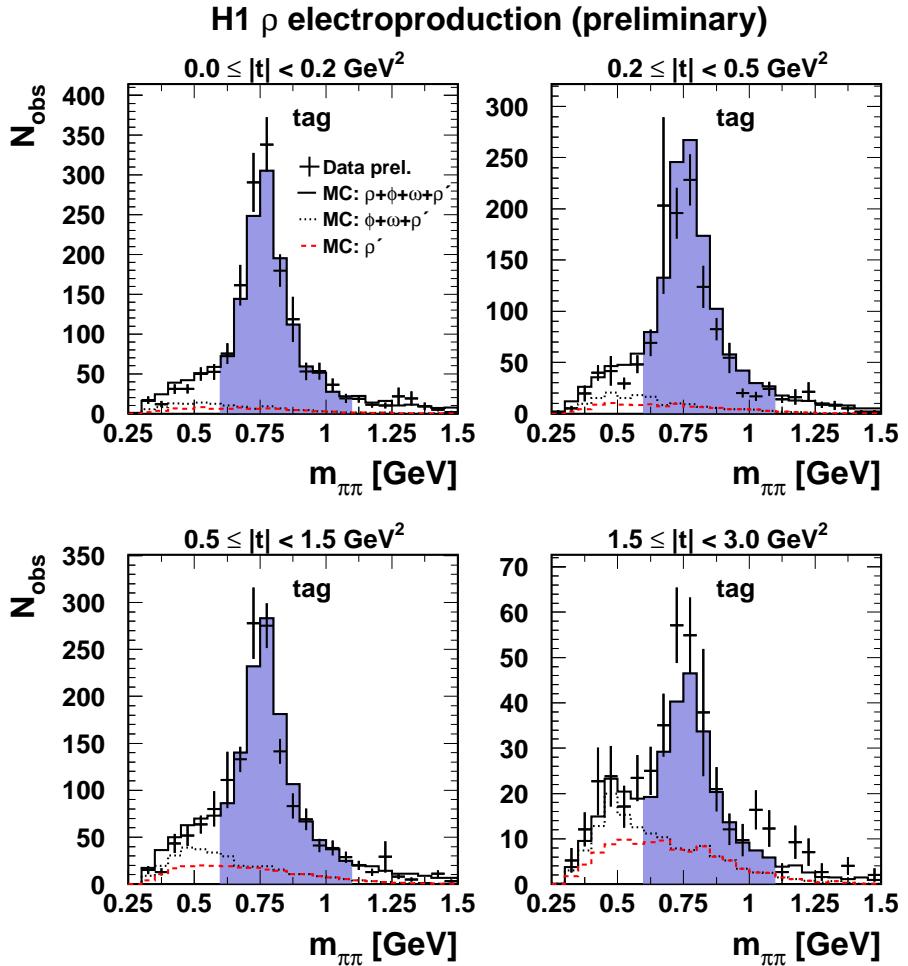
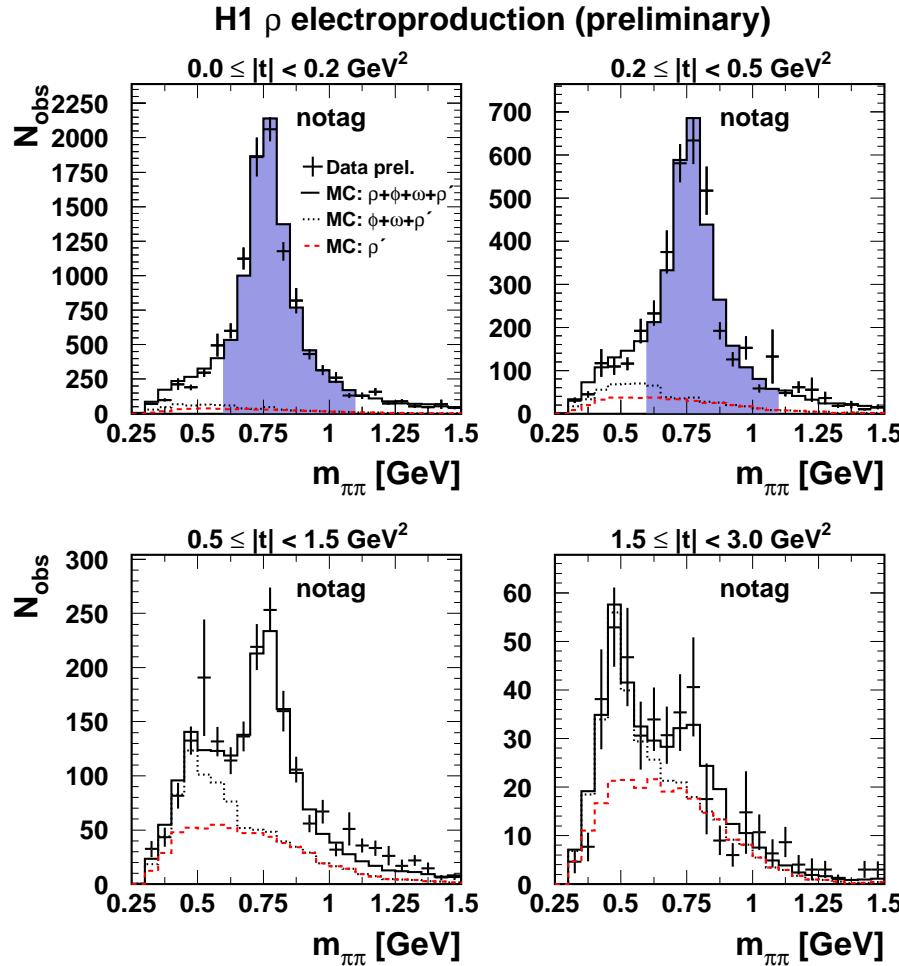
High $|t|$:

- High $|t|$ VM data are showing BFKL like behaviours
- High P_T photons measurement roughly agree with BFKL

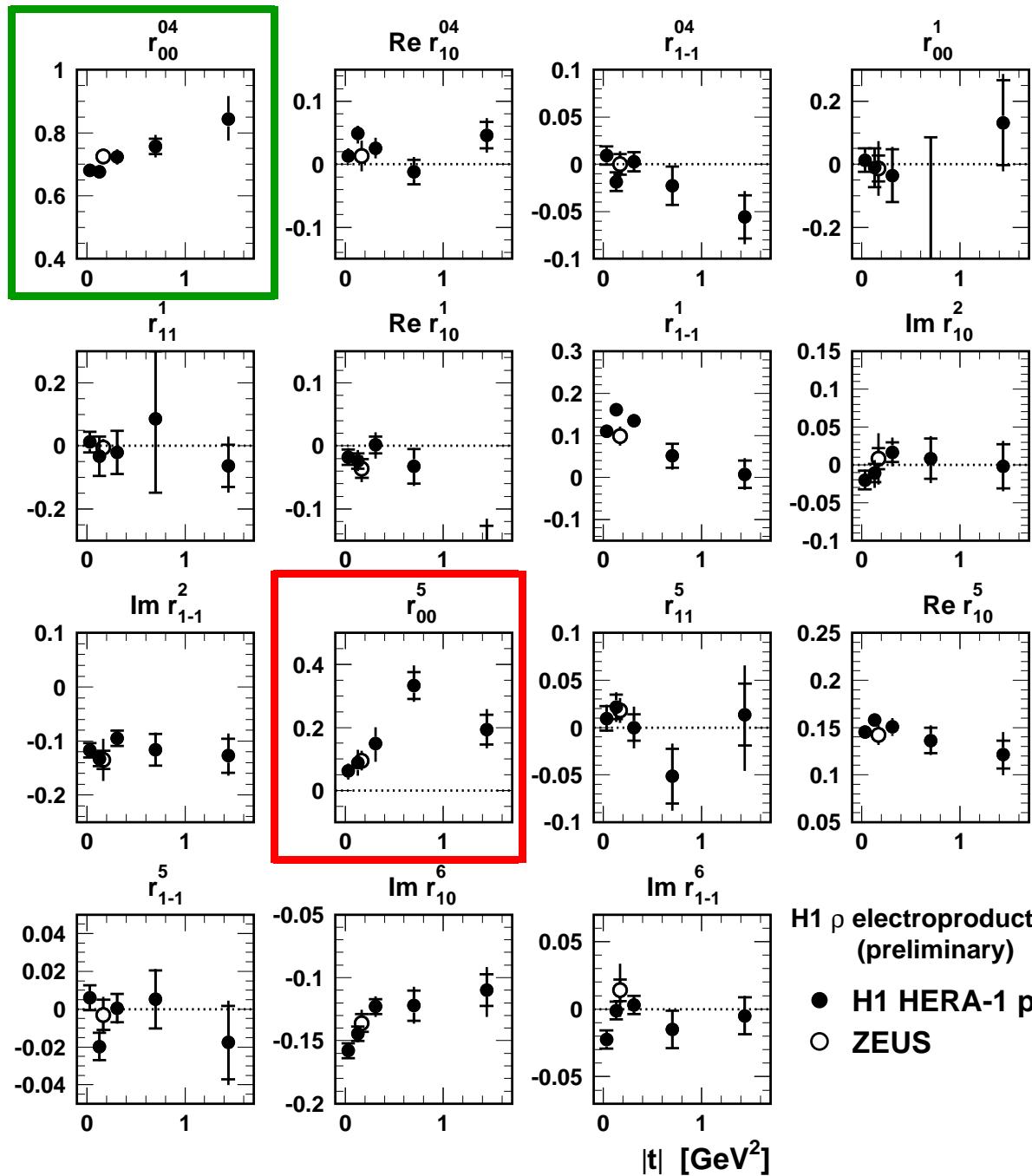
pQCD models:

- GPD and dipole models describe main features of data
- Some differences in details

H1 background subtraction



ρ Polarisation - SDMEs vs. $|t|$



- r_{00}^5 increases with $|t|$
- ↔ SCHC violation increases with $|t|$

- r_{00}^{04} increases with $|t|$
- ↔ similar effects for r_{1-1}^1 , $\text{Im } r_{1-1}^2$, $\text{Re } r_{10}^5$ and $\text{Im } r_{10}^6$ (in SCHC)

H1 ρ electroproduction (preliminary)

- H1 HERA-1 prel.
- ZEUS

Polarisation - Retrieving Amplitude ratios

Assume purely imaginary amplitudes \rightarrow phase = ± 1 !

→ Extract $|T_{11}|/|T_{00}|$, $|T_{01}|/|T_{00}|$, $|T_{10}|/|T_{00}|$ and $|T_{-11}|/|T_{00}|$ from fit to the 15 SDMEs:

$$r_{00}^{04} = B(\epsilon + \beta^2)$$

$$\text{Re } r_{10}^{04} = B/2(2\epsilon\delta + \beta\alpha - \beta\eta)$$

$$r_{1-1}^{04} = B(\alpha\eta - \epsilon\delta^2)$$

$$r_{00}^1 = -B\beta^2$$

$$r_{11}^1 = B\alpha\eta$$

$$\text{Re } r_{10}^1 = B/2\beta(\eta - \alpha)$$

$$r_{1-1}^1 = B/2(\alpha^2 + \eta^2)$$

$$\text{Im } r_{10}^2 = B/2\beta(\alpha + \eta)$$

$$\text{Im } r_{1-1}^2 = B/2(\eta^2 - \alpha^2)$$

$$r_{00}^5 = \sqrt{2}B\beta$$

$$r_{11}^5 = B/\sqrt{2}\delta(\alpha - \eta)$$

$$\text{Re } r_{10}^5 = B/(2\sqrt{2})(2\beta\delta + \alpha - \eta)$$

$$r_{1-1}^5 = B/\sqrt{2}\delta(\eta - \alpha)$$

$$\text{Im } r_{10}^6 = -B/(2\sqrt{2})(\alpha + \eta)$$

$$\text{Im } r_{1-1}^6 = B/\sqrt{2}\delta(\alpha + \eta)$$

$$\alpha = |T_{11}|/|T_{00}|$$

$$\beta = |T_{01}|/|T_{00}|$$

$$\delta = |T_{10}|/|T_{00}|$$

$$\eta = |T_{-11}|/|T_{00}|$$

$$B = \frac{1}{N_T + \epsilon N_L} = \frac{R}{1 + \epsilon R}$$

$$N_T = \alpha^2 + \beta^2 + \eta^2$$

$$N_L = 1 + 2\delta^2$$