



Results on tau physics from HERA

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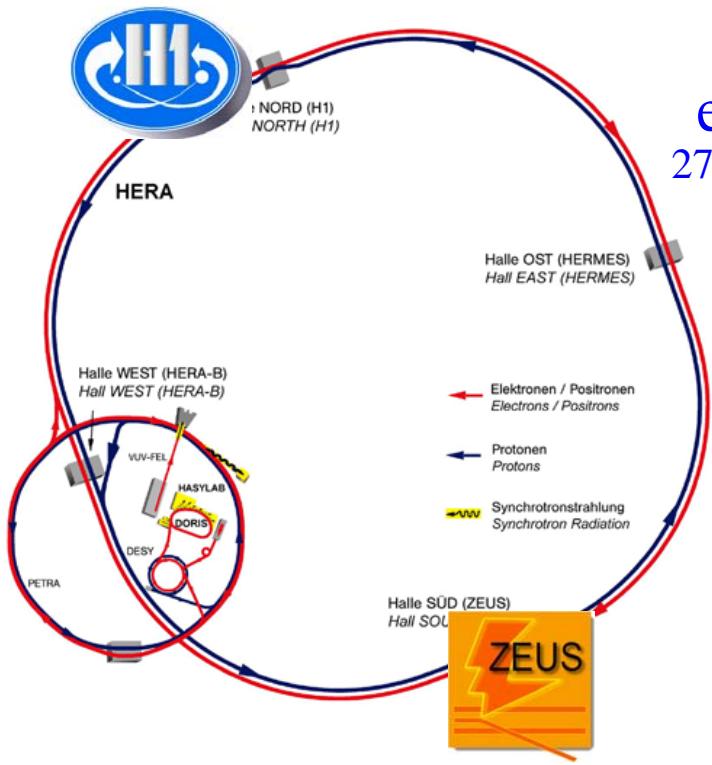


On behalf of
the H1 and ZEUS collaborations



10th Int. Workshop on Tau Lepton Physics (TAU08)
September 22–25, 2008
Novosibirsk, Russia

HERA: World's Only ep Collider

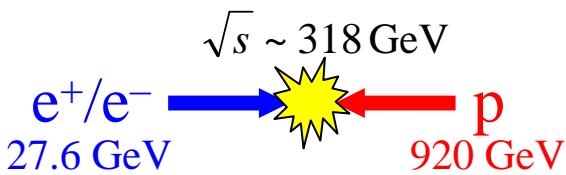


HERA-I (1992~2000) : $L \sim 120 \text{ pb}^{-1}/\text{exp.}$

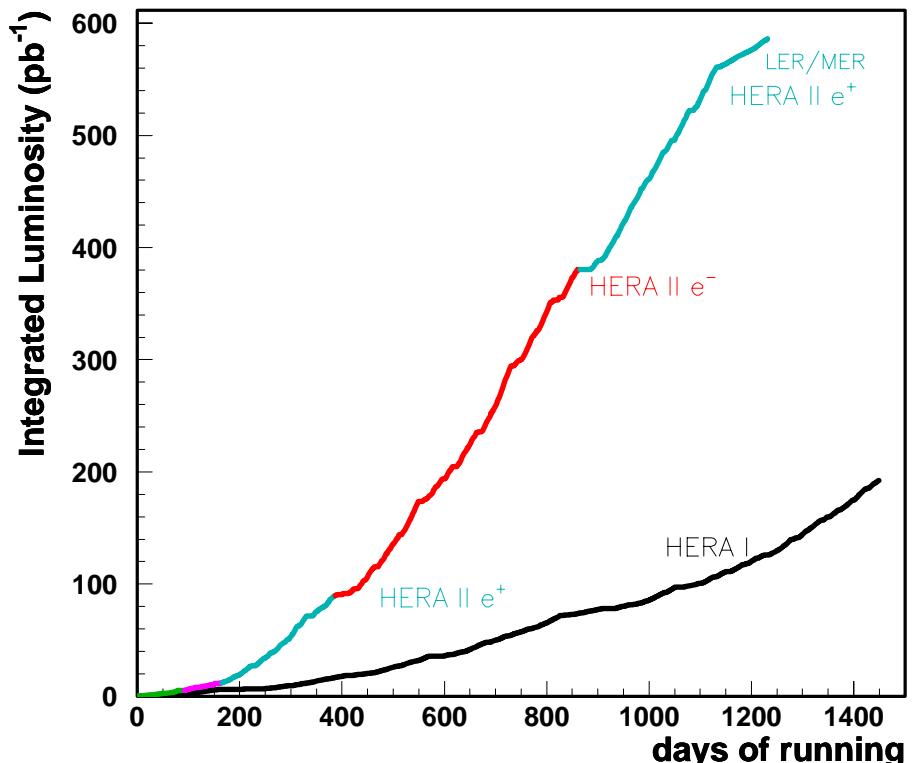
HERA-II (2002~2007) : $L \sim 350 \text{ pb}^{-1}/\text{exp.}$

- luminosity upgraded
- longitudinally polarized lepton beam
- detector upgrades

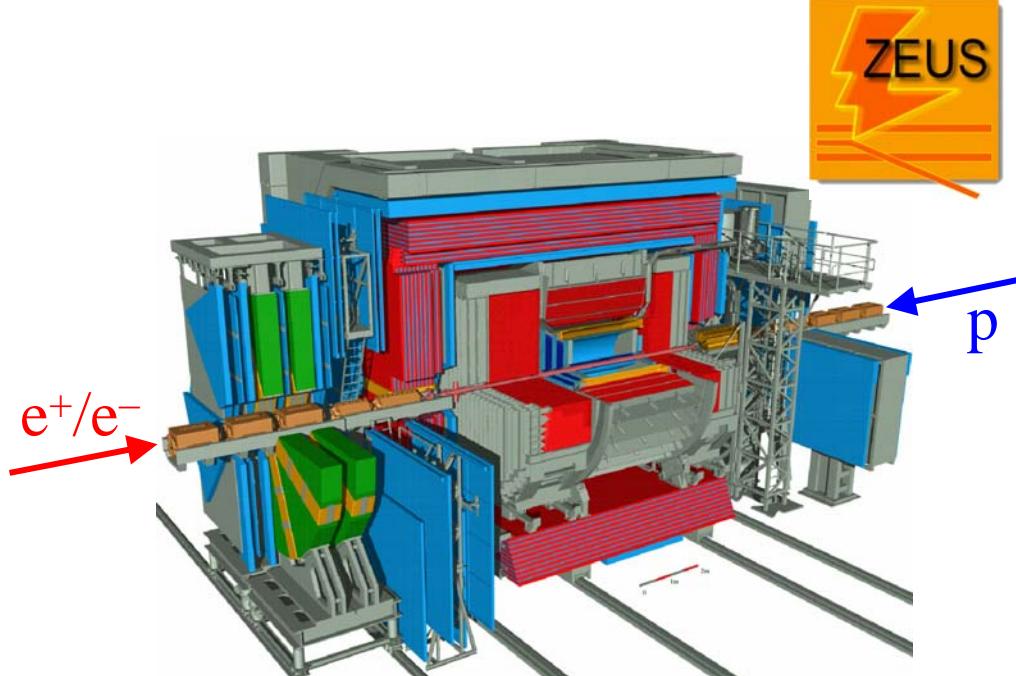
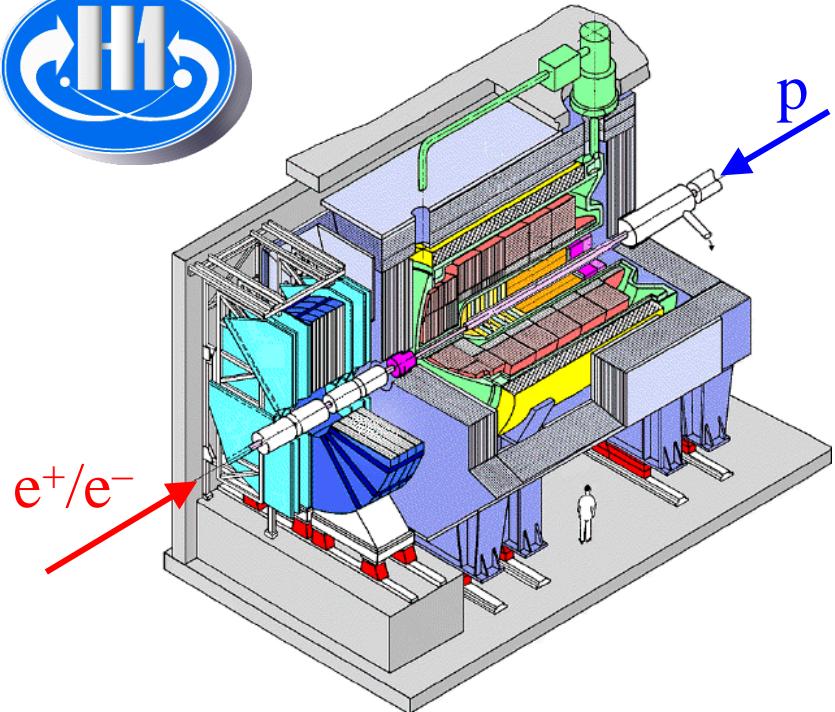
→ 0.5 fb^{-1} data for each experiment!



HERA laid to rest on 30 June 2007.
HERA delivered



H1 and ZEUS detector



Liquid Argon Calorimeter

fine granularity, excellent tracking

$$\sigma(E)/E = 12\% / \sqrt{E} \text{ for electrons}$$

$$\sigma(E)/E = 50\% / \sqrt{E} \text{ for hadrons}$$

Uranium–scintillator Calorimeter

good hadronic energy resolution

$$\sigma(E)/E = 18\% / \sqrt{E} \text{ for electrons}$$

$$\sigma(E)/E = 35\% / \sqrt{E} \text{ for hadrons}$$

Tau Production at HERA

Tau lepton production is a rare process at HERA!

- $\tau^+\tau^-$ pair-production events
- Isolated τ lepton with missing transverse momentum(P_T^{miss}) events
- Double charged Higgs Search
- Lepton Flavour Violation

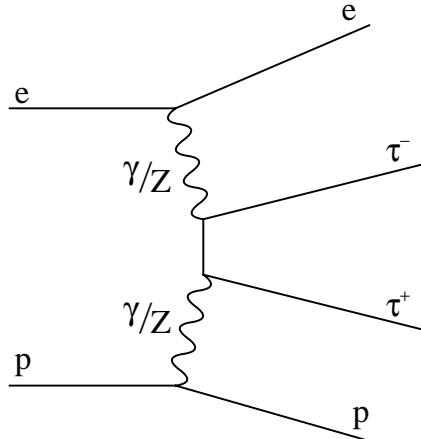
→ *Tau lepton is important in exotic search.*

Tau Production at HERA

- $\tau^+\tau^-$ pair–production events
 - Leptonic channel ($\tau^+\tau^- \rightarrow e^\pm\mu^\mp$)
 - Semi-leptonic channel ($\tau^+\tau^- \rightarrow e^\pm had^\mp, \mu^\pm had^\mp$)
 - Hadronic channel ($\tau^+\tau^- \rightarrow had^\pm had^\mp$)
- Isolated τ lepton with missing transverse momentum(P_T^{miss}) events
- Double charged Higgs Search
- Lepton Flavour Violation

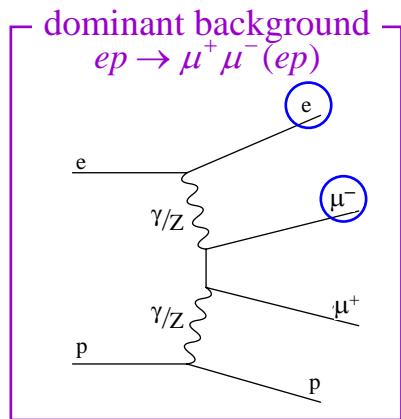
$\tau^+\tau^-$ pair-production (only leptonic) @ ZEUS

$ep \rightarrow \tau^+\tau^- (ep)$



HERA-II e⁻p data L=135 pb⁻¹

searched for $\tau^+\tau^- \rightarrow e^\pm\mu^\mp$
(leptonic decay)

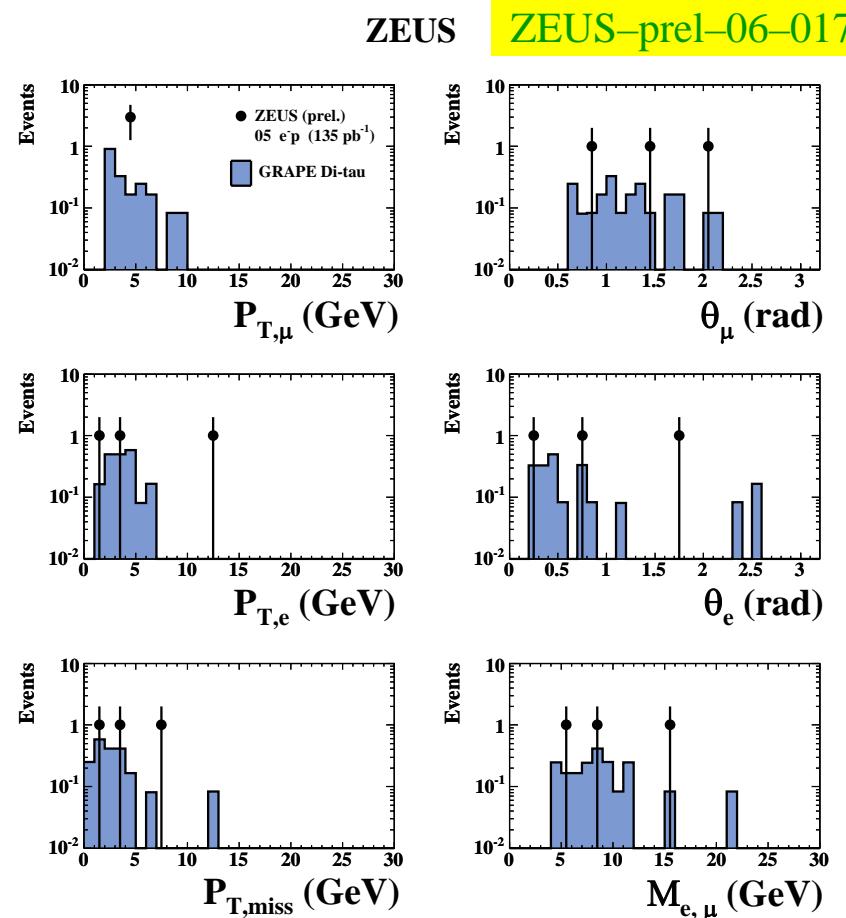


selection criteria

electron	muon
$E_e > 4 \text{ GeV}$	$p_{T,\mu} > 2 \text{ GeV}$
$\theta_e < 2.6 \text{ rad}$	$0.6 \text{ rad} \lesssim \theta_\mu \lesssim 2.8 \text{ rad}$ (Acceptance of central tracking detector)

elastic requirements

- Number of tracks in event : 1~3
- No energy deposit in "forward" calorimeter region



ZEUS e p 135 pb⁻¹ (prel.)

Data	$\tau^+\tau^-$	$\mu^+\mu^-$
3	2.0 ± 0.8	< 0.2

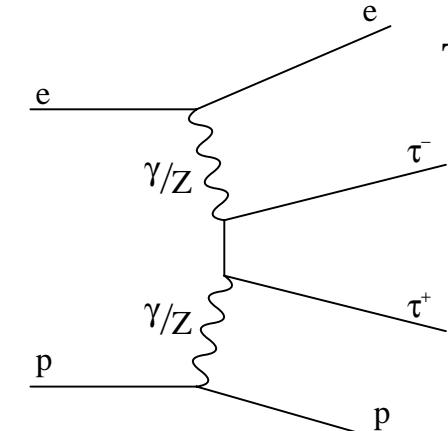
→ Data agree with SM expectation.

$\tau^+\tau^-$ pair-production (incl. hadronic) @ ZEUS

$ep \rightarrow \tau^+\tau^- (ep)$

HERA-II $e^\pm p$ data $L=364 \text{ pb}^{-1}$

ZEUS-prel-08-009



To study hadronically-decayed τ identification, searched for:

$$\tau^+\tau^- \rightarrow \begin{cases} e^\pm + h^\mp + \nu_\tau + \dots & (\text{BR : } \sim 23\%) \\ h^\pm + h^\mp + \nu_\tau + \dots & (\text{BR : } \sim 42\%) \end{cases}$$

Hadrons from τ decay is identified by "jet".

more statistics than $e\mu$ channel ($\sim 6\%$)

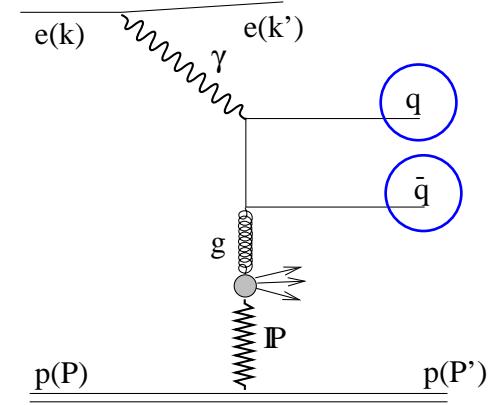
The most difficult thing : separate a τ -jet from quark/gluon induced jets

$\sigma \sim 10 \text{ pb} (P_T^\tau > 5 \text{ GeV})$

There are many kinds of large background...

e.g.) diffractive-photoproduction

$\sigma \sim 300 \text{ nb}$ (2 jets w/ $E_T > 4 \text{ GeV}$)



electron	jet
$p_T^e > 5 \text{ GeV} \& -2 < \eta_e < 2$ matched track ($p_T^{\text{track}} > 3 \text{ GeV}$)	$p_T^{\text{jet}} > 5 \text{ GeV} \& -2 < \eta^{\text{jet}} < 2$ at least one associated tracks, electron rejection cut
elastic requirements : No energy deposit in forward calorimeter region, Low track multiplicity	

τ -jet ID for $\tau^+\tau^-$ pair-production @ ZEUS

τ -ID using PDE Range Searching (discriminant)

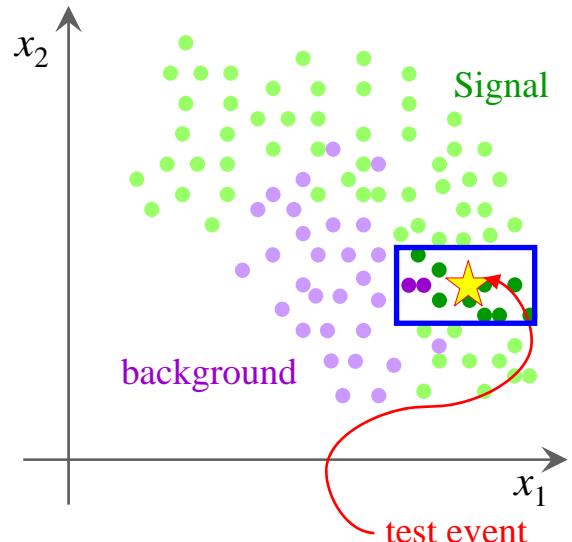
had τ -ID

suggested by T.Carli, B.Koblitz.
NIMA501(2003)576

- Generalization of one-dimensional PDE approach to n dimensions
 - Counts number of signal and background events (training sample) in "vicinity" V of the test event
 - Implemented in **TMVA**

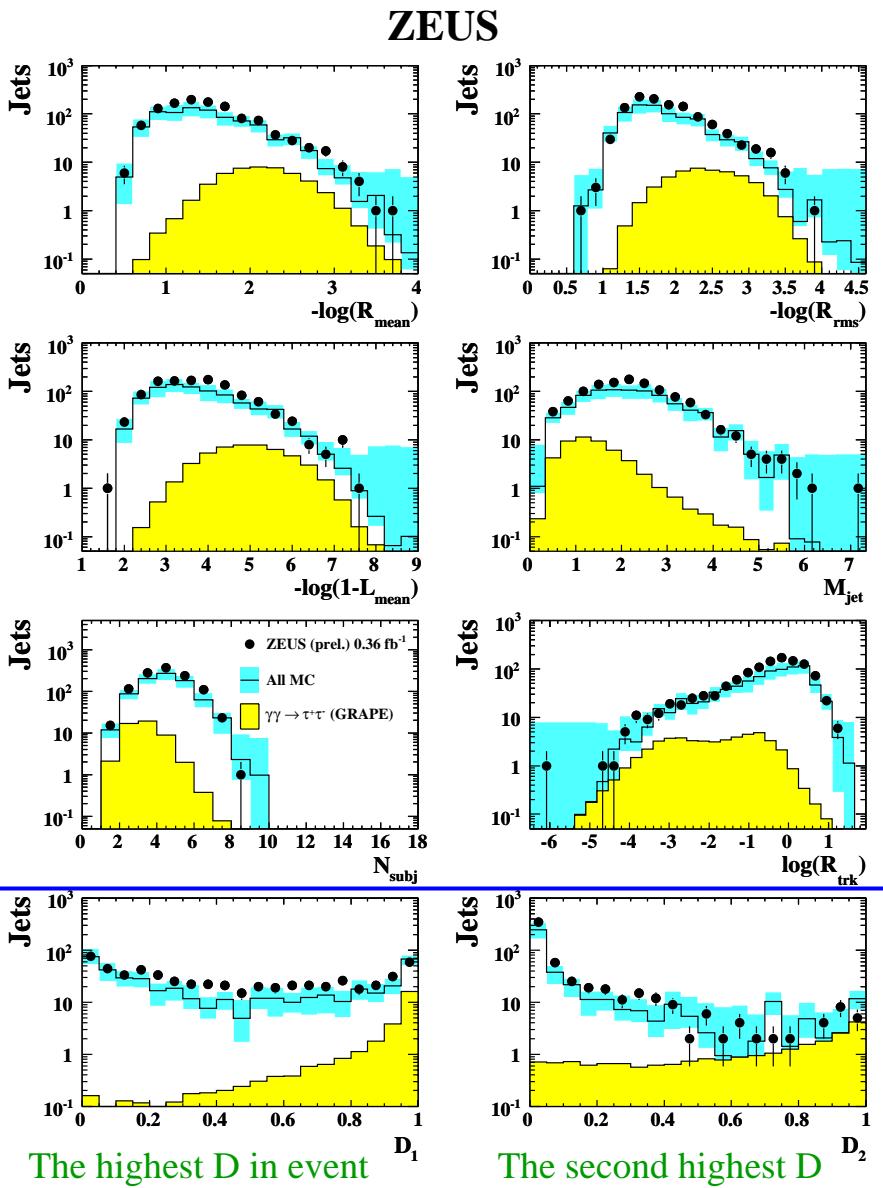
$$D(i_{\text{event}}, V) = \frac{n_S(i_{\text{event}}, V) / N_S}{n_S(i_{\text{event}}, V) / N_S + n_B(i_{\text{event}}, V) / N_B}$$

Discrimination value #signal events in V #all signal events
#background events in V #all background events



- 6 variables are inputted to discriminant, then evaluate discrimination value. (next slide)

τ -jet ID for $\tau^+\tau^-$ pair-production @ ZEUS



6 variables are prepared for discriminant.

had τ -ID

Rmean, Rrms : 1st and 2nd moment of radial extension

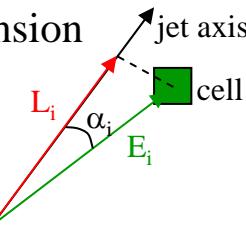
$$Rmean = \langle R \rangle = \frac{\sum_i \{ E_i \cdot R_i \}}{\sum_i E_i} \quad Rrms = \sqrt{\frac{\sum_i E_i \cdot (\langle R \rangle - R_i)^2}{\sum_i E_i}}$$

Mass : invariant mass of clustered CAL cells

$$Mass = \sqrt{(\sum_i E_i)^2 - (\sum_i p_{i,x})^2 - (\sum_i p_{i,y})^2 - (\sum_i p_{i,z})^2}$$

Lmean : 1st moment of longitudinal extension

$$Lmean = \langle L \rangle = \frac{\sum_i E_i \cdot \cos \alpha_i}{\sum_i E_i}$$



Rtrk : The sum of distance between the jet axis and the tracks associated with the jet

$$Rtrk = \sum_i^{Ntrk} \sqrt{(\Delta \eta_i^2 + \Delta \phi_i^2)}$$

Nsubj : Number of subjets ($y_{cut} = 5 \times 10^{-4}$)

→ Outputted discrimination value

Results of $\tau^+\tau^-$ pair-production @ ZEUS

$ep \rightarrow \tau^+\tau^- (ep)$ HERA-II e \pm p data L=364 pb $^{-1}$

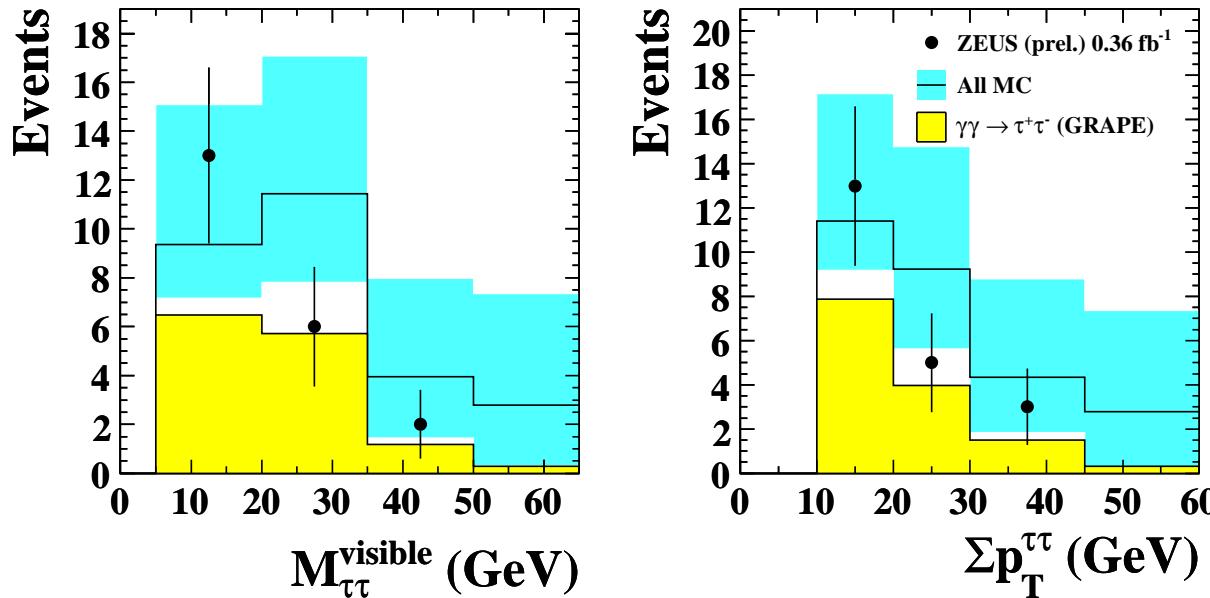
ZEUS-prel-08-009

Discriminant threshold is given for each topology.

Large uncertainty due to { • MC statistics
• PHP scale normalization

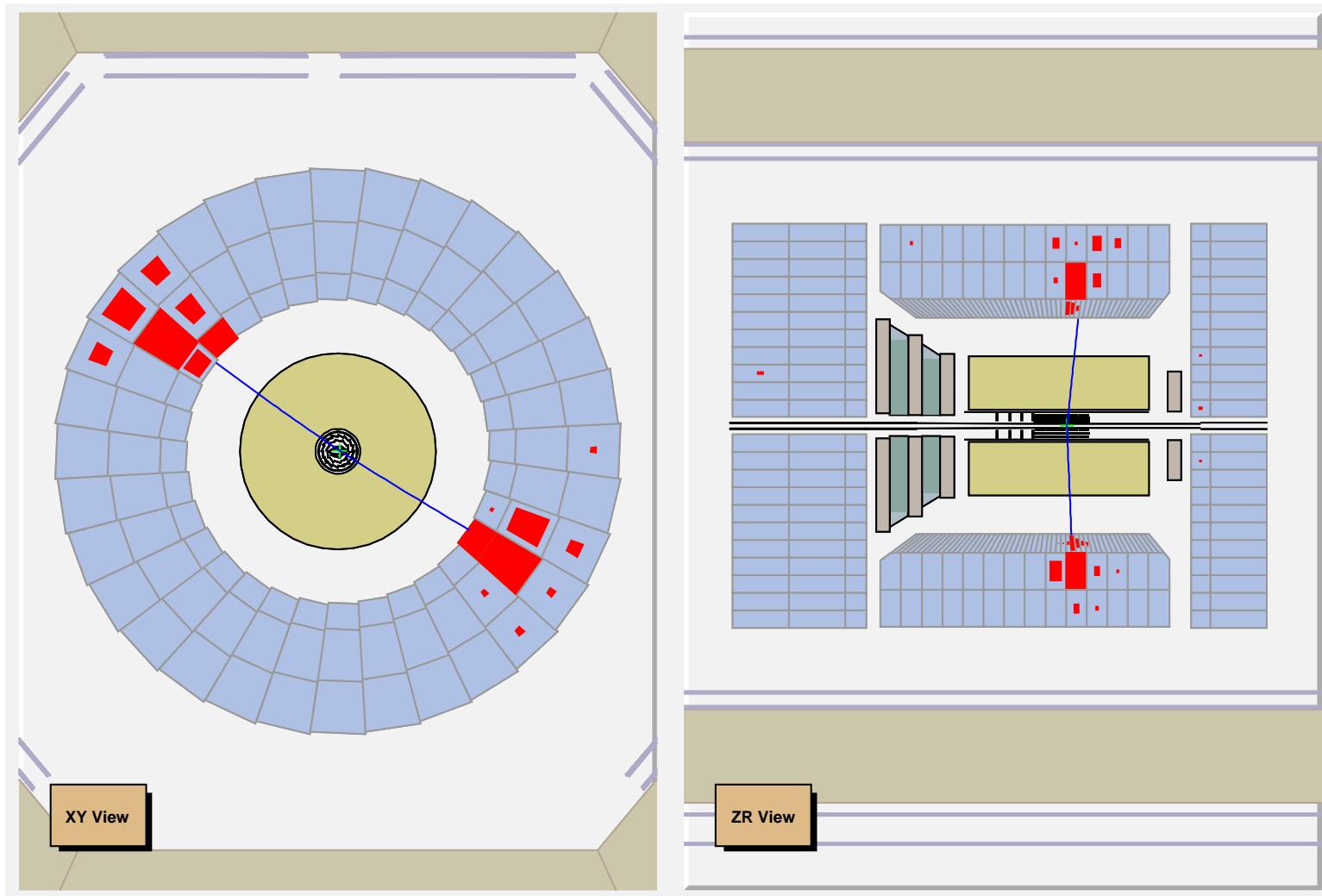
ZEUS ditau events HERA II data (L=0.36 fb $^{-1}$)					
Topology	All	jet-jet	e-jet-jet	e-jet	e-e-jet
D cut		0.80	0.50	0.90	0.90
Data	21	14	3	4	0
Total SM	$27.2^{+7.1}_{-6.3}$	$20.2^{+6.8}_{-5.7}$	$1.4^{+3.3}_{-0.2}$	$4.9^{+3.1}_{-1.3}$	$0.7^{+4.4}_{-0.1}$
ditau MC (purity)	$13.2^{+0.6}_{-1.0}$ (49%)	$9.1^{+0.4}_{-0.8}$ (45%)	1.4 ± 0.1 (97%)	$2.2 \pm +0.1$ (46%)	0.5 ± 0.1 (74%)

ZEUS



Data are in reasonable agreement with SM expectation.

The highest $M_{\tau\tau}^{\text{visible}}$ event ($M_{\tau\tau}^{\text{visible}}=40$ GeV)



$\tau^+\tau^-$ pair-production @ H1

$ep \rightarrow \tau^+\tau^- (ep)$ HERA-I e \pm p data L=106 pb $^{-1}$

Eur. Phys. J. C48 (2006) 699–714

look for all topologies to be able to identify $\tau^+\tau^-$ events

- leptonic(e μ), semi-leptonic(e-jet, μ -jet), hadronic(jet-jet) decay
- look at the jets from low P_T
 - exactly required 1 or 3-tracks in the jet
 - using neural network to identify τ -jet
- the first measurement of $\tau^+\tau^-$ cross section at ep -collider

Neural Network based tau-ID



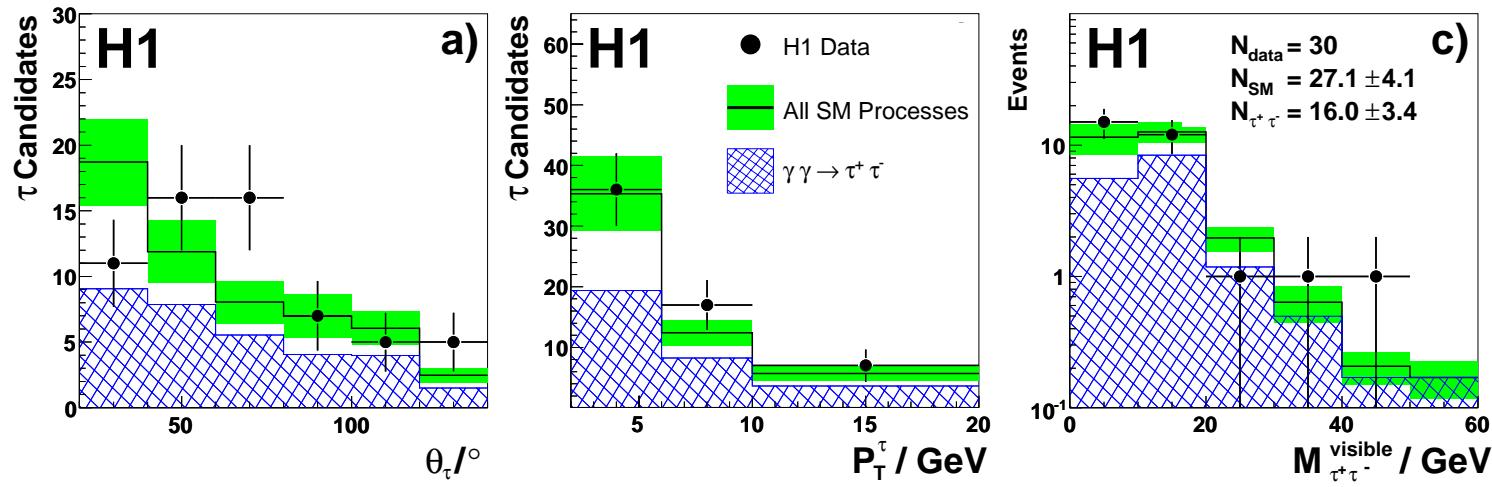
- To distinguish hadronic 1-prong, 3-prong τ decays from quark/gluon jets ($L_{1\text{-prong}}$, $L_{3\text{-prong}}$)
- To distinguish hadronic 1-prong τ decays from misidentified electrons/muons (L_{veto}^e , L_{veto}^μ)
 - multiplicities of neutral clusters / invariant mass / number of tracks / 1st moment of energy deposits...

electron	muon	jet	
$p_T^e > 3 \text{ GeV}$	$p_T^\mu > 2 \text{ GeV}$	$p_T^{\text{jet}} > 2 \text{ GeV}$ $L_{1\text{-prong}} \parallel L_{3\text{-prong}} > 0.75$	signal efficiency = 50% misidentified probability = 0.5% (4%)
Two isolated e or μ or jets of opposite charges			
<u>elastic requirements</u> : No additional tracks/clusters, No activity in forward regions <u>NC/di-e/di-μ rejection</u> : $E\text{-Pz} < 50 \text{ GeV}$, $L_{\text{veto}}^e > 0.75$, $L_{\text{veto}}^\mu > 0.75$			

Results of $\tau^+\tau^-$ pair-production @ H1

$ep \rightarrow \tau^+\tau^- (ep)$ HERA-I e \pm p data L=106 pb $^{-1}$

Eur. Phys. J. C48 (2006) 699–714



$\tau^+\tau^-$ Results					
Decay Channel	Leptonic $e\mu$	Semi-leptonic		$\tau\text{-jet } \tau\text{-jet}$	Total
		$e\tau\text{-jet}$	$\mu\tau\text{-jet}$		
H1 Data	7	2	10	11	30
SM	2.9 ± 0.4	6.3 ± 0.9	7.0 ± 1.3	11.0 ± 2.0	27.1 ± 4.1
$\tau^+\tau^-$	56%	47%	85% (circled)	50%	59%

Phase space definition

elastic events with two τ leptons of

- $P_T^\tau > 2 \text{ GeV}$
- $20^\circ < \theta_\tau < 140^\circ$

(acceptance $\approx 1\%$)

Purest final state

$$\begin{aligned}\sigma_{\text{measured}} &= 13.6 \pm 4.4 \pm 3.7 \text{ pb} \\ \sigma_{\text{theory}} &= 11.2 \pm 0.3 \text{ pb (GRAPE)}\end{aligned}$$

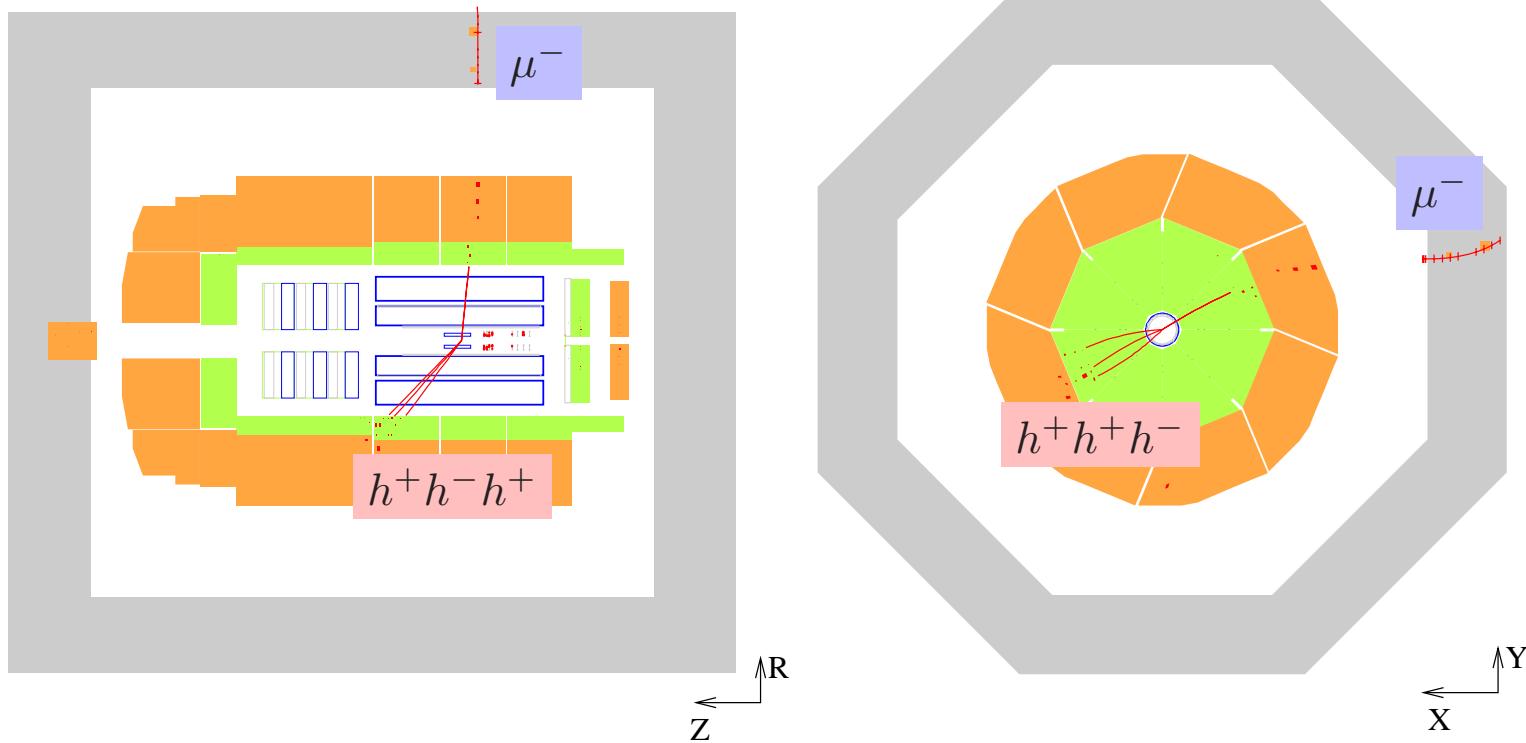
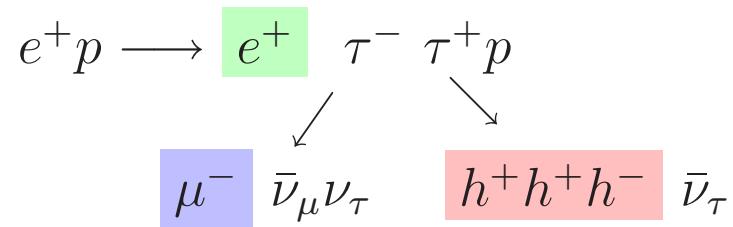
→ good agreement with SM expectation!

$\tau^+\tau^-$ candidate @ H1

Eur. Phys. J. C48 (2006) 699–714

H1

TAU PAIR CANDIDATE



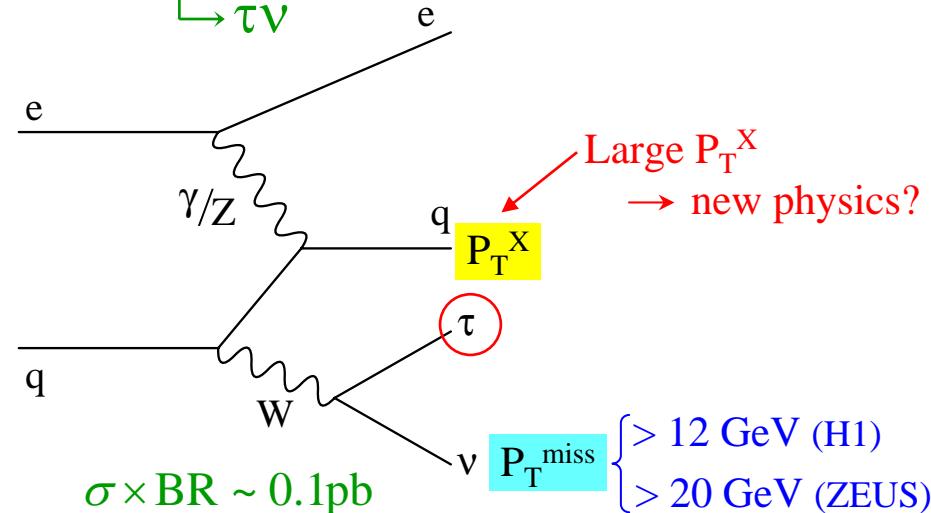
Tau Production at HERA

- $\tau^+\tau^-$ pair-production events
- Isolated τ lepton with missing transverse momentum(P_T^{miss}) events
- Double charged Higgs Search
- Lepton Flavour Violation

Isolated tau leptons + P_T^{miss} physics

$$ep \rightarrow W(eX)$$

$$\downarrow \tau\nu$$



ZEUS : Phys. Lett. B 583 (2004) 41

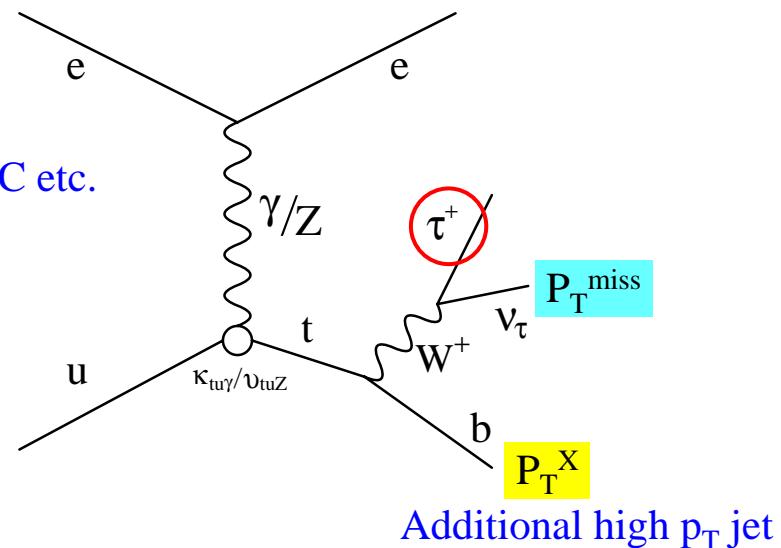
H1 : H1prelim-07-064

Rare process, but sensitive to new physics

- SM signal is single W boson production with subsequent decay $W \rightarrow \tau\nu$.
- Main background is CC events with narrow jets.
- A complement to isolated $e(\mu) + P_T^{\text{miss}}$ analysis
(There is a slight excess for $e(\mu)$ channel at H1.)

An excess at high p_T^X could be a sign of new physics.

→ single top production via FCNC etc.



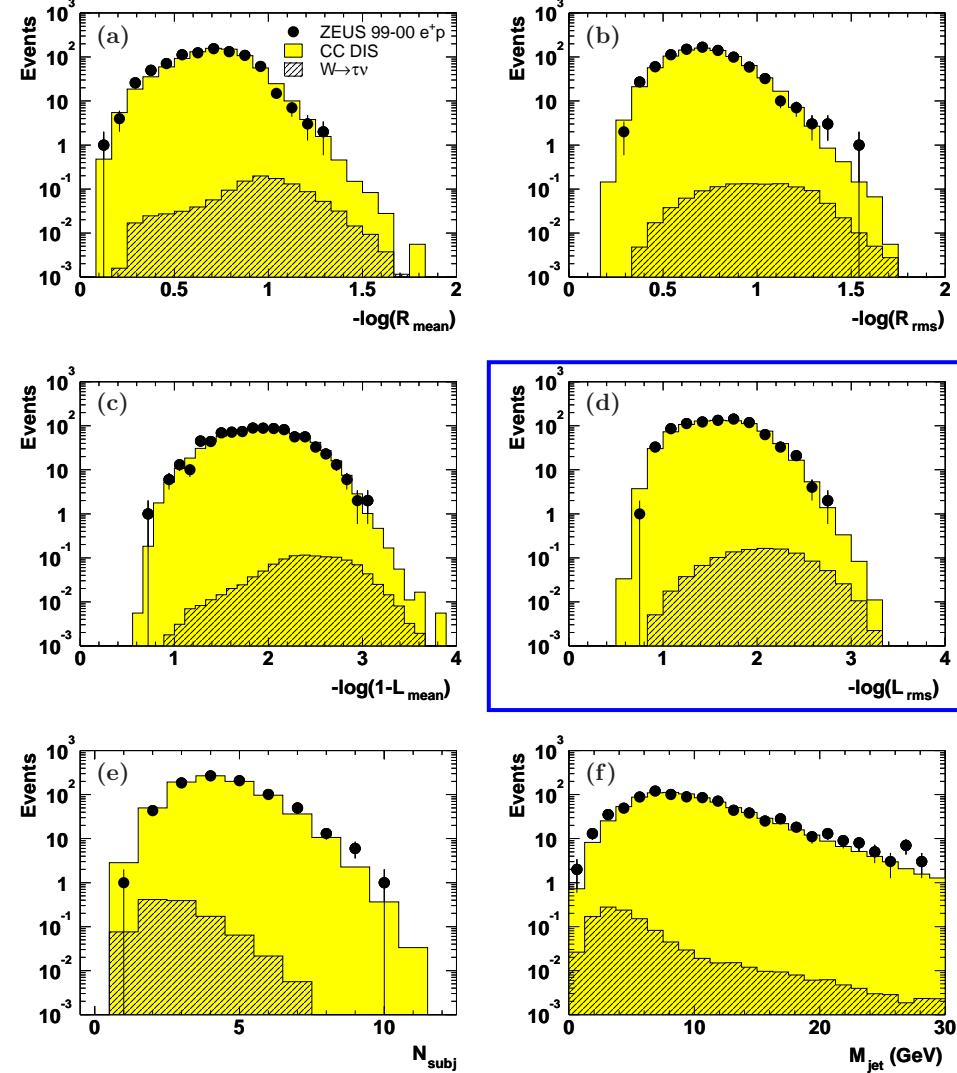
τ -ID for Isolated $\tau + P_T^{\text{miss}}$ analysis @ ZEUS

HERA-I $e^\pm p$ data $L=130 \text{ pb}^{-1}$

Phys. Lett. B 583 (2004) 41

ZEUS

had τ -ID

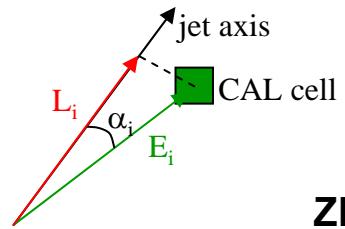


τ -ID using PDE Range Searching

- Same method as $\tau^+\tau^-$ analysis. (see above)
- Variable set is different.

$$\log(R_{\text{trk}}) \longrightarrow -\log(L_{\text{rms}})$$

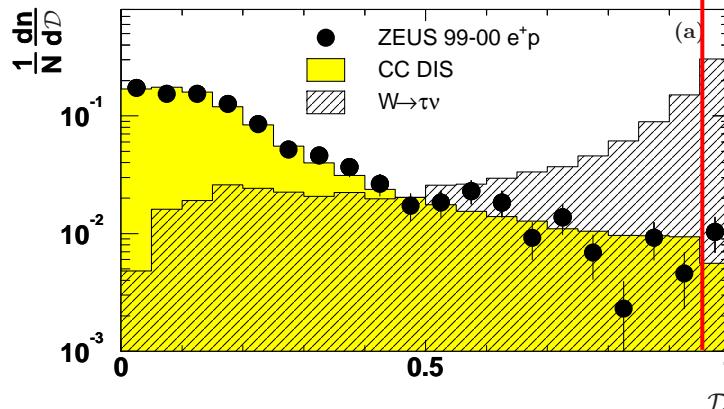
L_{rms} : 2nd moment of longitudinal extension



$$L_{\text{rms}} = \sqrt{\frac{\sum_i E_i (L_{\text{mean}} - \cos \alpha_i)^2}{\sum_i E_i}}$$

ZEUS

required $D > 0.95$

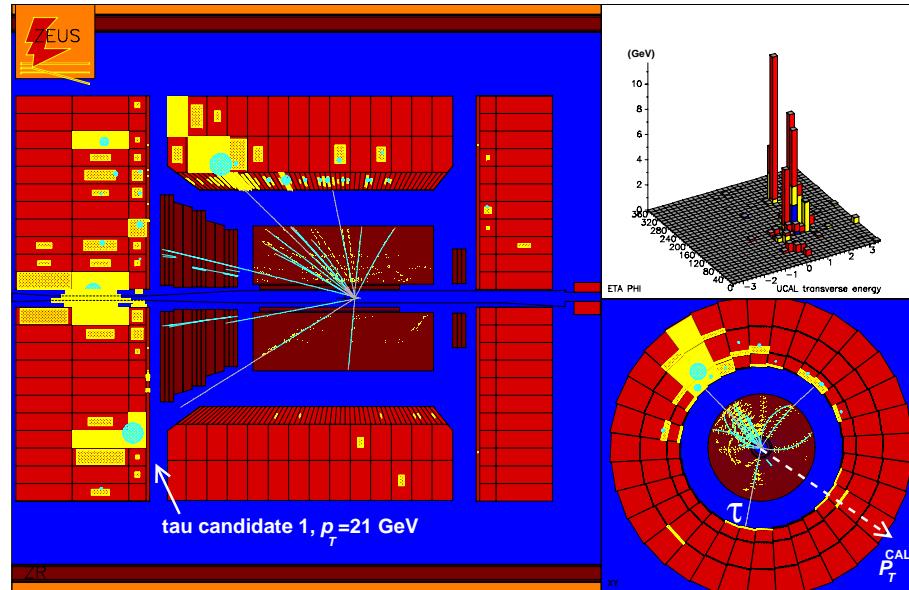
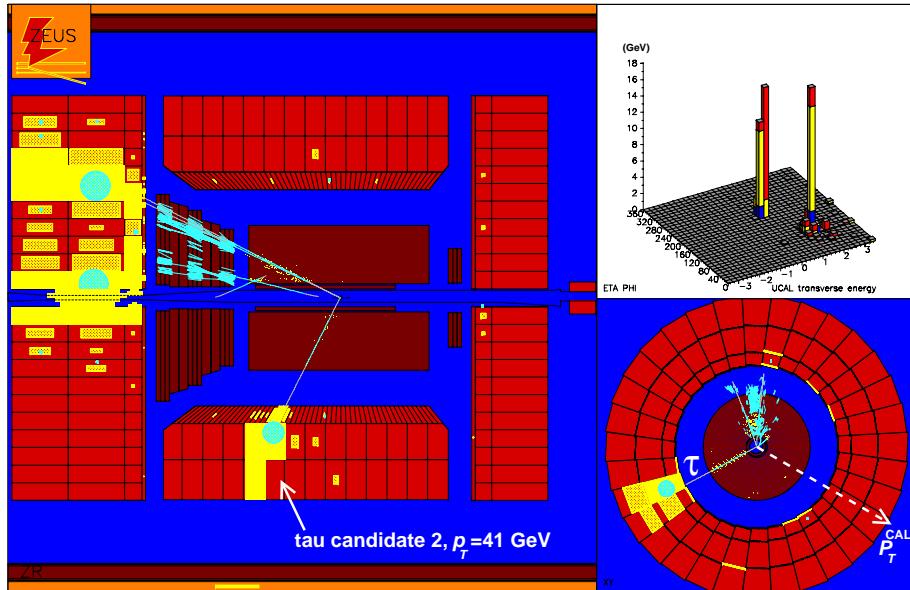
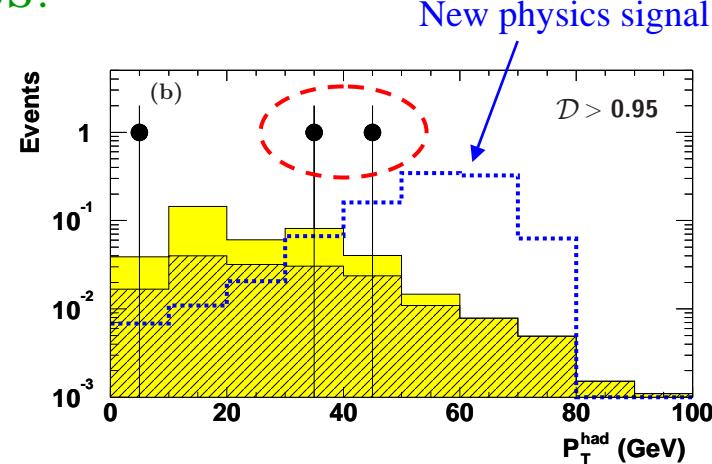


Good separation of signal from background!

Result of isolated $\tau + P_T^{\text{miss}}$ @ ZEUS

Phys. Lett. B 583 (2004) 41

Interesting $\tau + P_T^{\text{miss}}$ events at large P_T^X are observed at ZEUS!



Isolated tau leptons + P_T^{miss} @ H1

$$ep \rightarrow W(eX) \xrightarrow{\tau\nu}$$

- ZEUS HERA-I result has a slight excess for $\tau + P_T^{\text{miss}}$ events.
- H1 analyzed all HERA data. $\longrightarrow 471 \text{ pb}^{-1}$

H1prelim-07-064

cut-based tau-ID

had τ -ID

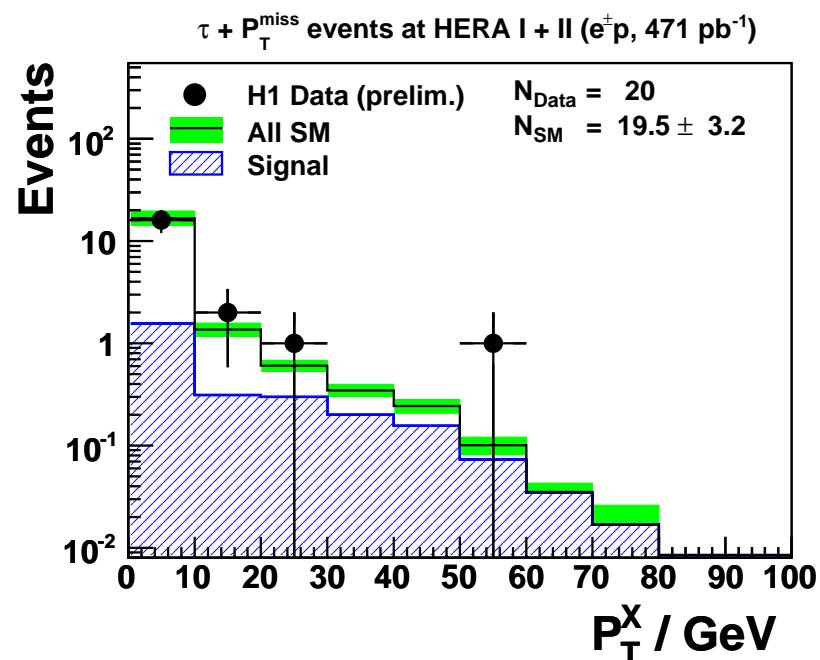
- look for jet in LAr calorimeter (cone radius = 1.0)
- $P_T^{\text{jet}} > 7 \text{ GeV}, 20^\circ < \theta^{\text{jet}} < 120^\circ$
- Isolation : Distance to other e, μ , jet in $\eta - \phi > 1.0$

Radial shower shape ("Jet radius") < 0.12

$$R_{\text{jet}} = \frac{1}{E_{\text{jet}}} \sum_h E_h \sqrt{\Delta\eta(\text{jet}, h)^2 + \Delta\phi(\text{jet}, h)^2}$$

- $N_{\text{jet}}^{\text{tracks}} = 1$ (only 1-prong jet)

\longrightarrow misidentification probability : $< 1\%$



P_T^X / GeV

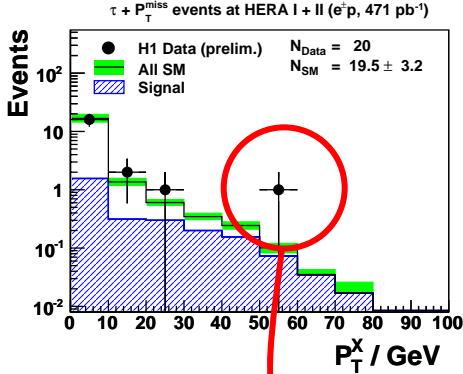
CC events are dominant.

H1 Preliminary		H1 Data	SM Expectation	SM Signal $W \rightarrow \tau\nu$	Other SM Processes
$\tau + P_T^{\text{miss}}$ events at HERA I+II					
$e^\pm p$	Full Sample	20	19.5 ± 3.2	2.7 ± 0.4	16.8 ± 2.8
471 pb^{-1}	$P_T^X > 25 \text{ GeV}$	1	0.99 ± 0.13	0.62 ± 0.10	0.37 ± 0.03

Data in good agreement with SM prediction!

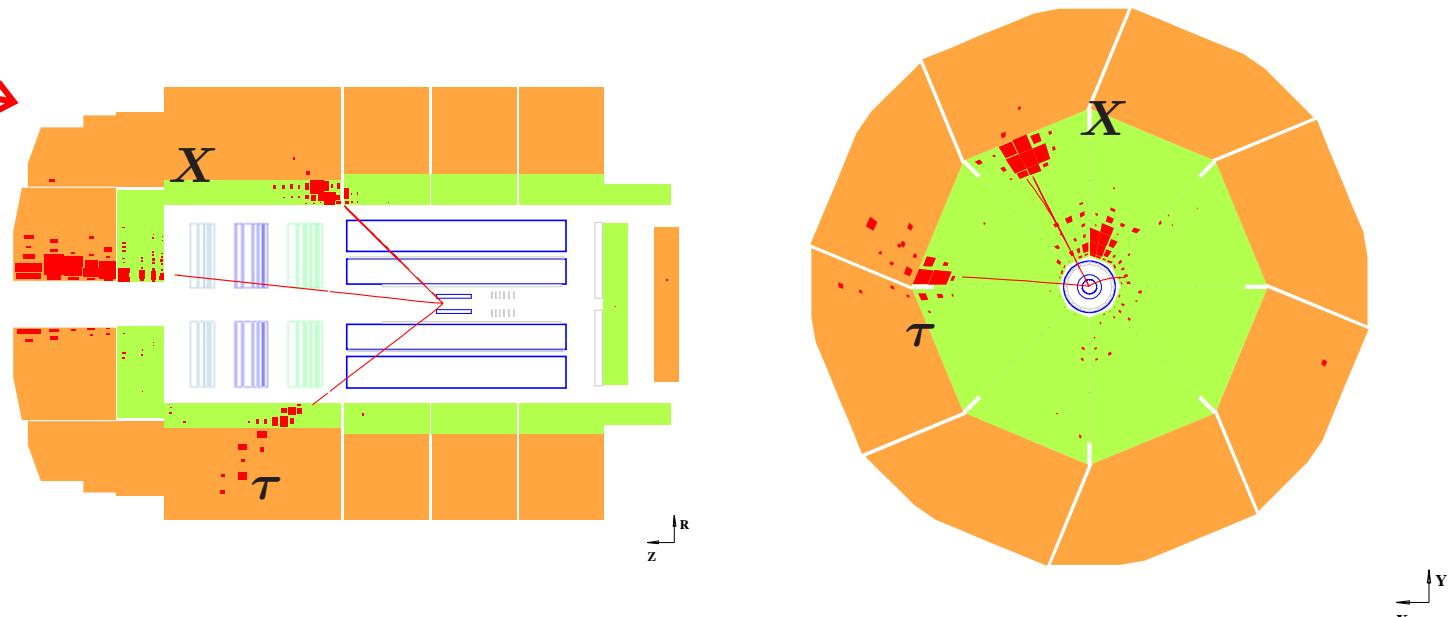
$\tau + P_T^{\text{miss}}$ candidate event

H1prelim-07-064



H1

$\tau + P_T^{\text{miss}}$ candidate with large P_T^X



$$P_T^{\text{miss}} = 59 \text{ GeV} \quad P_T^\tau = 14 \text{ GeV} \quad P_T^X = 51 \text{ GeV}$$

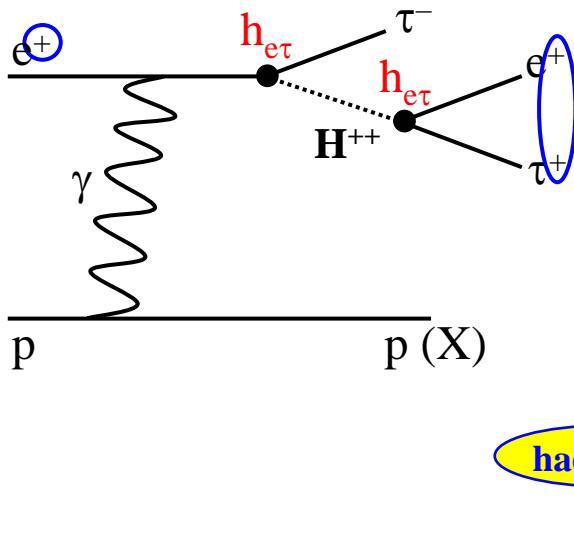
Tau Production at HERA

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Doubly–charged Higgs search @ H1

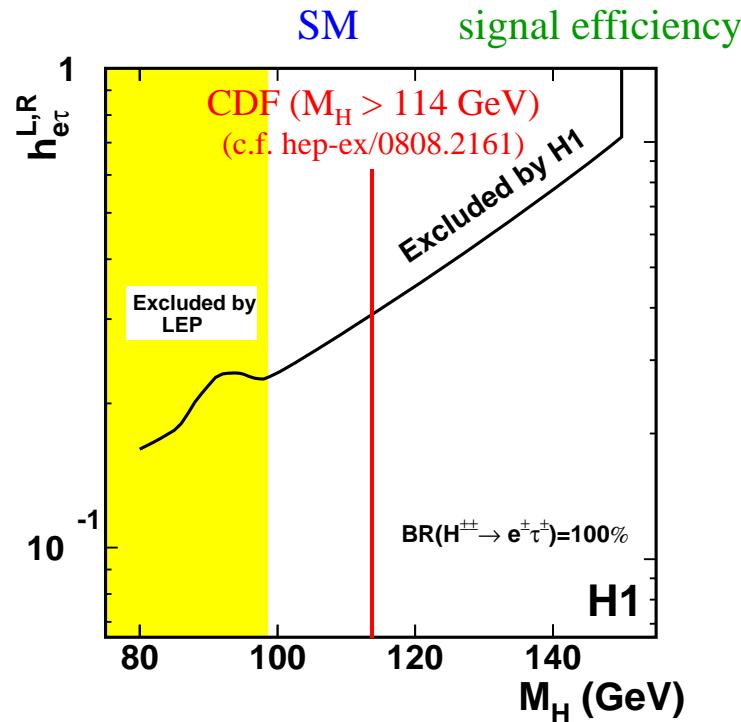
searched for H^{++} bosons using HERA-I e^+p data $L=88 \text{ pb}^{-1}$

Phys. Lett. B 638 (2006) 432



e	μ	jet
$p_T^e > 10(5) \text{ GeV}$	$p_T^\mu > 10(5) \text{ GeV}$	$p_T^{\text{jet}} > 10(5) \text{ GeV}$
Isolated	Isolated	No other track within $0.15 < R < 1.5$
$P_T^{\text{miss}} > 8 \text{ GeV } (e-e) / P_T^{\text{miss}} > 11 \text{ GeV } (e-jet)$		
Charge of Higgs decay product candidates = lepton beam charge		

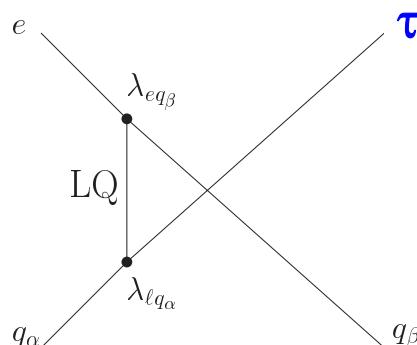
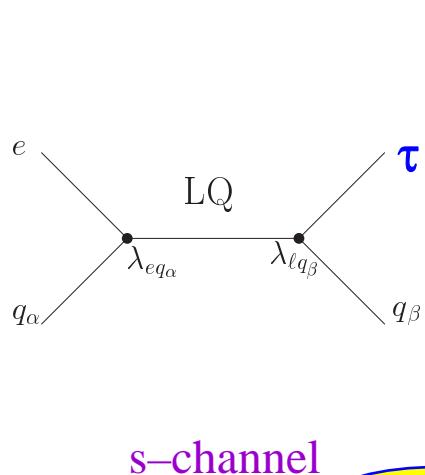
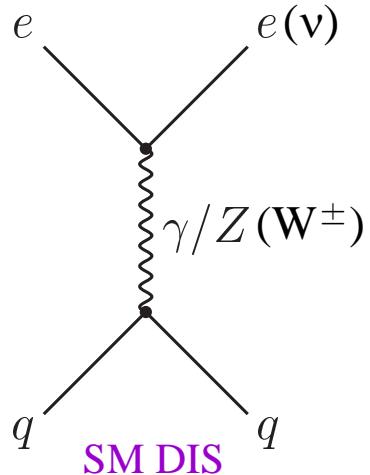
Event class	$H^{++} \rightarrow e^+\tau^+$ final selection		
	N_{obs}	N_{bckg}	Signal fraction
$e\mu$	0	0.27 ± 0.02	6 %
eh	1	1.66 ± 0.48	12 %
ee	0	0.14 ± 0.04	7 %
total	1	2.07 ± 0.54	25 %



No evidence for $H^{++} \rightarrow e^+\tau^+$ decays

Lepton Flavour Violation @ H1/ZEUS

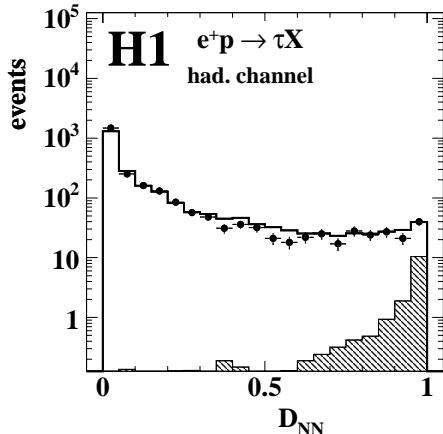
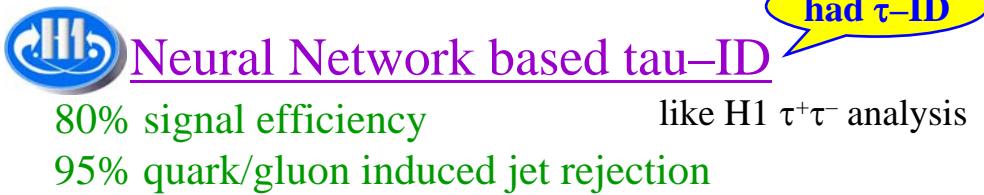
searched for Lepton Flavour Violation



H1 : Eur. Phys. J. C52 (2007) 833–847
 ZEUS : Eur. Phys. J. C44 (2005) 463–479

Leptoquark(LQ)s couple to both quarks and leptons.

It carries both leptonic(L) and baryonic (B) numbers.

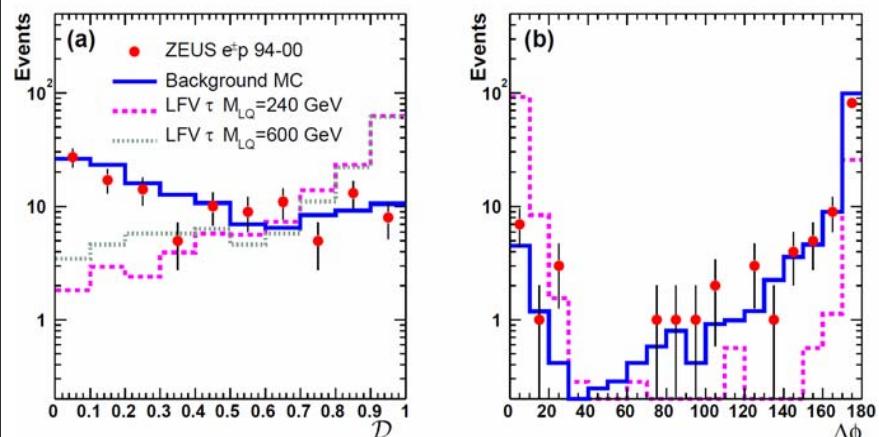


Required :
 $P_T^{jet1} > 25\text{GeV}$, $P_T^{jet2} > 15\text{GeV}$
 exactly 1 or 3 tracks in the jet
 $D_{NN} > 0.8$ & ...

τ -ID using PDE Range Searching

same discriminant as in ZEUS $\tau + P_T^{\text{miss}}$ search

ZEUS



Limits for LQs in the τ channel @ H1/ZEUS

Eur. Phys. J. C52 (2007) 833–847

HERA-I $e^\pm p$ data $L=80.2 \text{ pb}^{-1}$

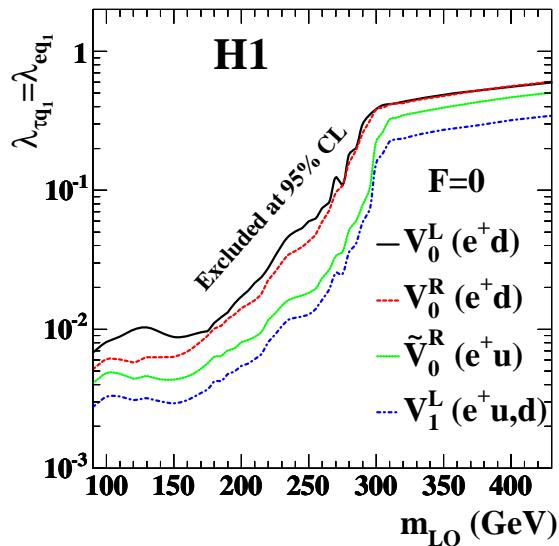


No significant deviation from SM found.

Limits were set on coupling to LQ
leading to Lepton Flavour Violation.

H1 $e^- p: 13.7 \text{ pb}^{-1}, e^+ p: 66.5 \text{ pb}^{-1}$			
		Data	SM MC
ep $\rightarrow \tau X$	$e^- p$	0	0.75 ± 0.21
	$e^+ p$	1	4.90 ± 0.85

→ No evidence for LFV



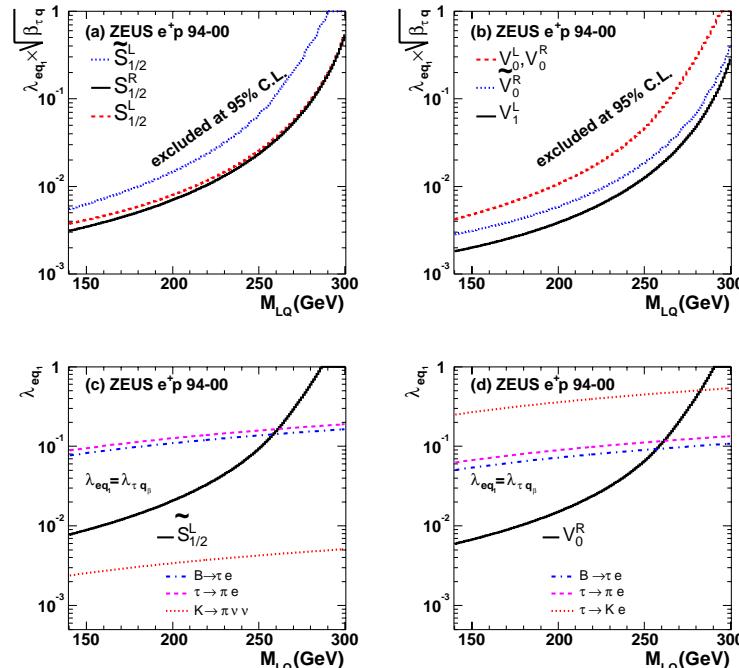
Eur. Phys. J. C44 (2005) 463–479

No candidate was found. Limits on LQ were set.

HERA-I $e^\pm p$ data $L=130 \text{ pb}^{-1}$

Data	0
SM	2.3 ± 0.5
sel. eff.	$22 \sim 30\% (M_{LQ} < \sqrt{s})$

ZEUS → No evidence for LFV

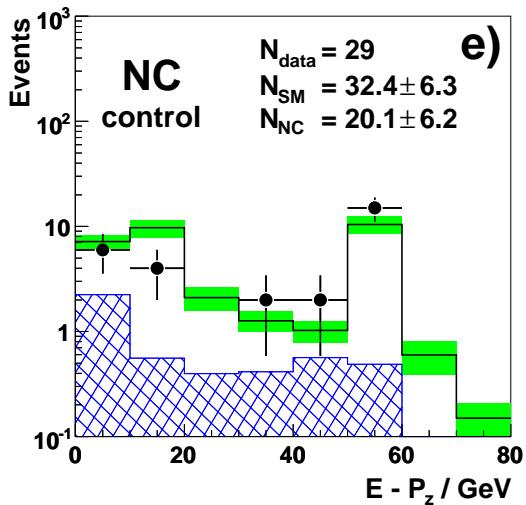
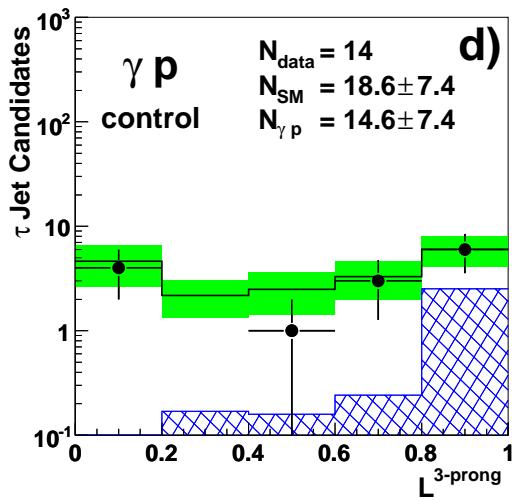
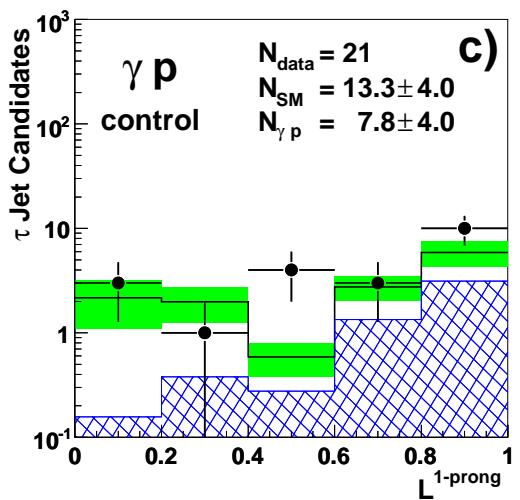
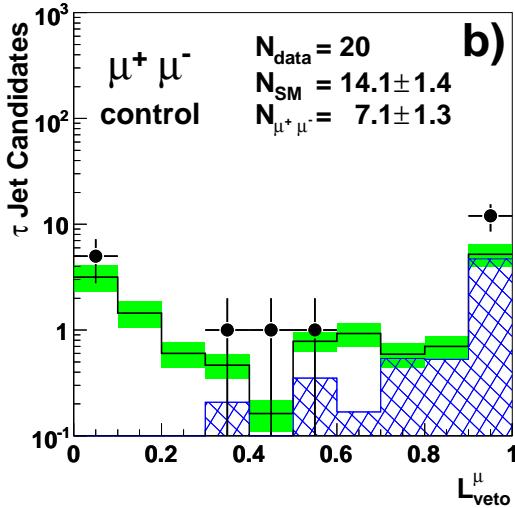
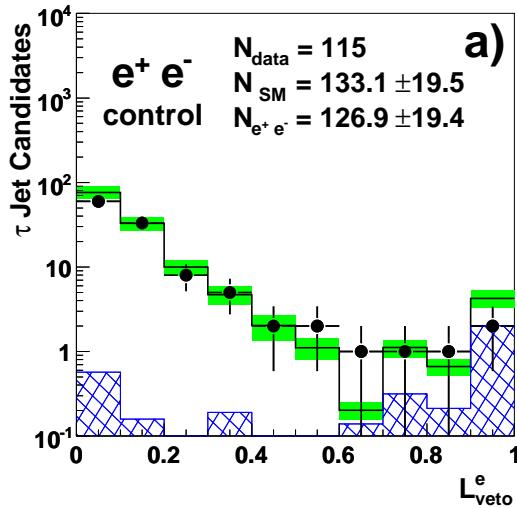


Summary

- Only a few taus have been seen at HERA.
 - Detecting taus at HERA is a challenging task.
- They are an important signature for new physics.
- Many tools for the identification of hadronically-decayed taus have been developed by **H1** and **ZEUS**.
- Results for $\tau^+\tau^-$ pair-production are in agreement with SM prediction (**H1/ZEUS**).
- New result of isolated $\tau + P_T^{\text{miss}}$ from **H1** is in agreement with SM expectation.
 - **ZEUS** result using HERA-I data has a slight excess.
- No evidence for $H^{++} \rightarrow e^+\tau^+$ decays (**H1**) and lepton flavour violation (**H1/ZEUS**)
- HERA data taking ended on June 30 2007 after 15 years successful operation:
 - Each experiment has collected $\sim 500 \text{ pb}^{-1}$ data.
 - Tau analyses have not finalized yet, still more to come for the next years!

backup slides

Background control sample for $\tau^+\tau^-$ pair-production @ H1



- H1 Data
- All SM Processes
- ▨ $\gamma\gamma \rightarrow \tau^+\tau^-$

Event selection for isolated tau + P_T^{miss} @ H1

CC selection

$$P_T^{\text{miss}} > 12 \text{ GeV}$$

the ratio of the anti-parallel and parallel components
of the hadronic P_T

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Inclusive CC	$P_T^{\text{calo}} > 12 \text{ GeV}$ $P_T^{\text{had}} > 12 \text{ GeV}$ $P_T^{\text{miss}} > 12 \text{ GeV}$ $\delta^{\text{miss}} > 5 \text{ GeV}$ $V_{ap}/V_p < 0.5$ $(< 0.15 \text{ if } P_T^{\text{miss}} < 25 \text{ GeV})$
Narrow Jets	$P_T^{\text{jet}} > 7 \text{ GeV}$ $20 < \theta^{\text{jet}} < 120$ $R^{\text{jet}} < 0.12$
Isolation	$N_{\text{tracks}}^{\text{jet}} \geq 1, \max(P_T^{\text{track}}) > 5 \text{ GeV}$
Acoplanarity	$D_{e,\mu,\text{jet}} > 1.0$ $\Delta\varphi(\tau, X) < 170 \text{ if } P_T^X > 5 \text{ GeV}$
1-Prong Jets	$N_{\text{tracks}}^{D_{\text{jet}} < 1.0} = 1$
Final Selection	$N_{\text{DTNV}}^{D_{\text{track}} < 0.3} = 1$

Tau-jet selection (cut-based)

look for jet in LAr calorimeter (cone radius = 1.0)

$$P_T^{\text{jet}} > 7 \text{ GeV}, 20^\circ < \theta^{\text{jet}} < 120^\circ$$

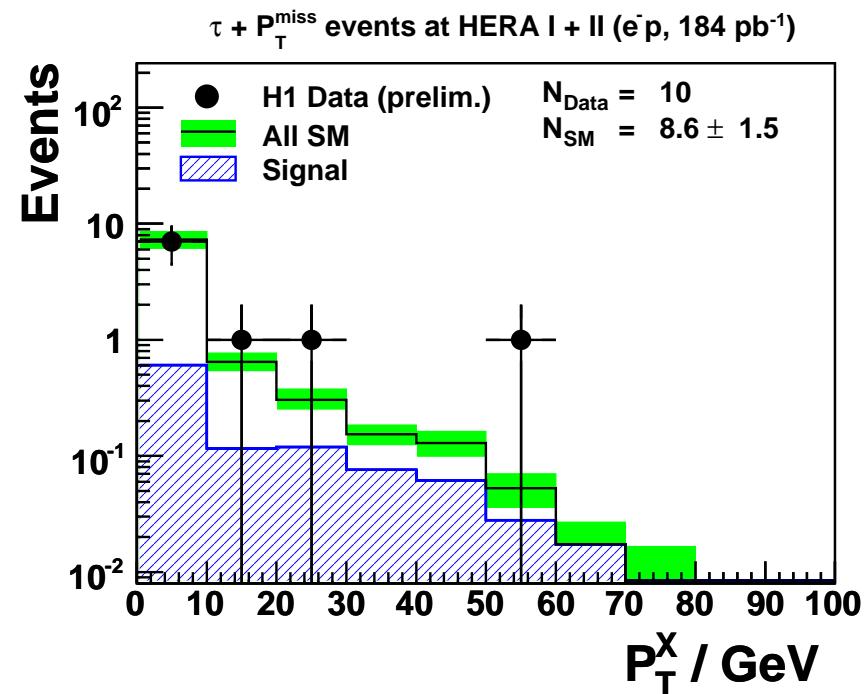
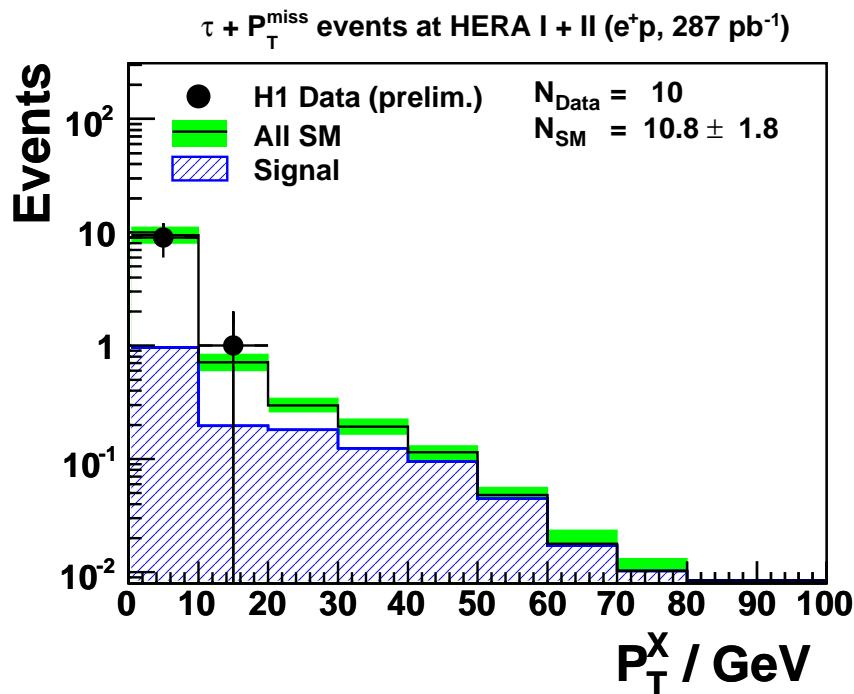
Isolation : Distance to other e, μ , jet in $\eta-\phi > 1.0$

Radial shower shape ("Jet radius")

$$R_{\text{jet}} = \frac{1}{E_{\text{jet}}} \sum_h E_h \sqrt{\Delta\eta(\text{jet}, h)^2 + \Delta\phi(\text{jet}, h)^2}$$

only 1-prong jet → (misidentification probability : < 1%)

Isolated tau leptons + P_T^{miss} @ H1

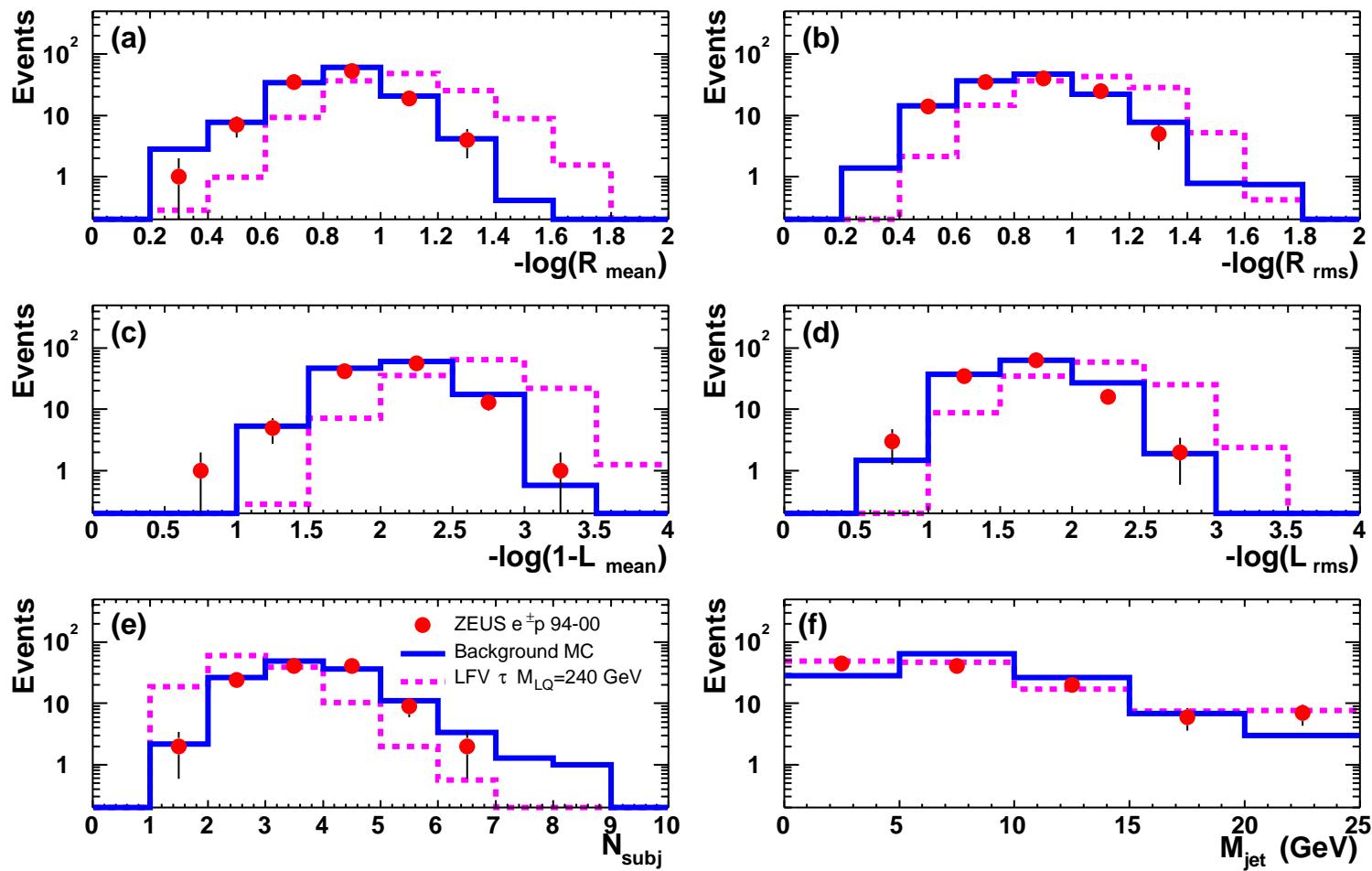


H1 Preliminary		H1 Data	SM Expectation	SM Signal $W \rightarrow \tau\nu$	Other SM Processes
$\tau + P_T^{\text{miss}}$ events at HERA I+II					
e^+p 287 pb^{-1}	Full Sample	10	10.8 ± 1.8	1.6 ± 0.3	9.2 ± 1.6
	$P_T^X > 25 \text{ GeV}$	0	0.53 ± 0.07	0.38 ± 0.06	0.15 ± 0.01
e^-p 184 pb^{-1}	Full Sample	10	8.6 ± 1.5	1.0 ± 0.2	7.6 ± 1.4
	$P_T^X > 25 \text{ GeV}$	1	0.47 ± 0.07	0.25 ± 0.04	0.22 ± 0.03
$e^\pm p$ 471 pb^{-1}	Full Sample	20	19.5 ± 3.2	2.7 ± 0.4	16.8 ± 2.8
	$P_T^X > 25 \text{ GeV}$	1	0.99 ± 0.13	0.62 ± 0.10	0.37 ± 0.03

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Lepton Flavour Violation @ ZEUS

ZEUS



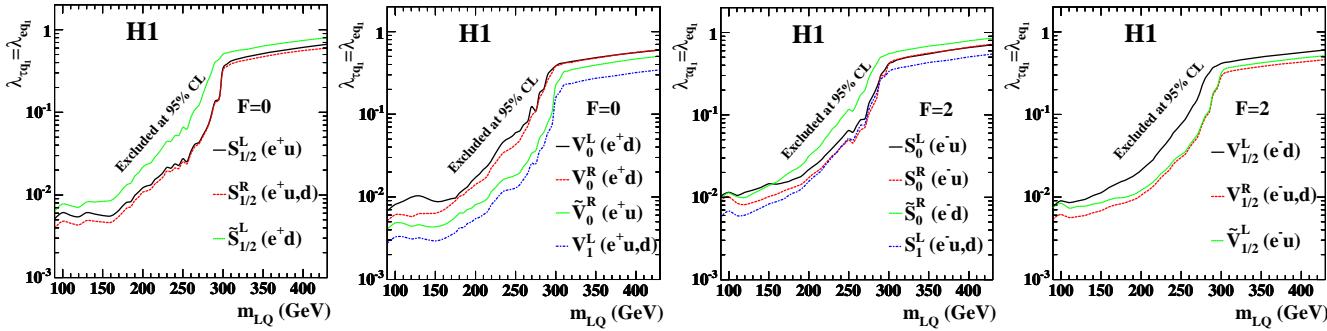
Limits for LQs in the τ channel @ H1/ZEUS

Eur. Phys. J. C52 (2007) 833–847

HERA-I $e^\pm p$ data $L=80.2 \text{ pb}^{-1}$

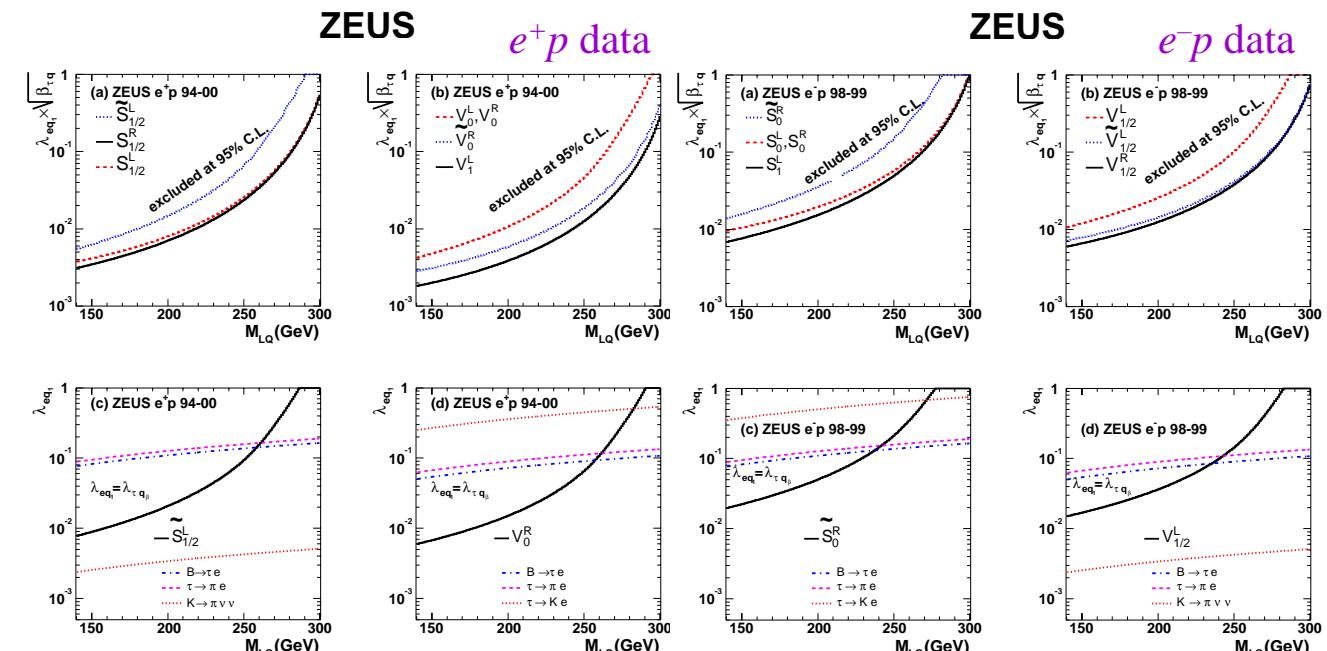
No significant deviation from SM found.

Limits were set on coupling to LQ leading to Lepton Flavour Violation.



		Data	SM MC
ep $\rightarrow \tau X$	e-p	0	0.75 ± 0.21
	e+p	1	4.90 ± 0.85

→ No evidence for LFV



HERA-I $e^\pm p$ data $L=130 \text{ pb}^{-1}$

Data	0
SM	2.3 ± 0.5
sel. eff.	$22 \sim 30\% (M_{LQ} < \sqrt{s})$

No candidate was found.
Limits on LQ were set.

→ No evidence for LFV

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