

# Combined H1 & ZEUS data and HERAPDF0.1

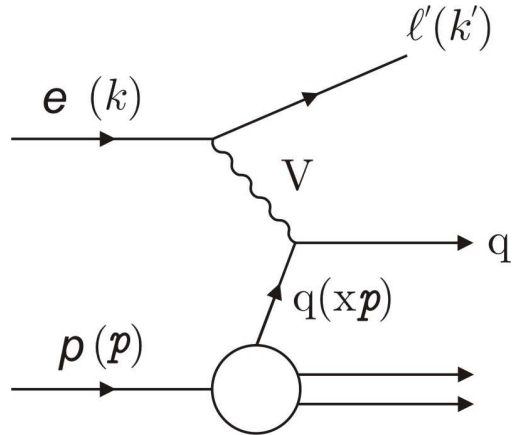
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On behalf of the HERA Structure Function  
Working Group

- ❖ Combined deep inelastic data
- ❖ NLO QCD fit to the combined data
- ❖ Outlook



# Deep Inelastic Scattering at HERA



Neutral Current  $ep \rightarrow eX, \quad V = \gamma \text{ or } Z^0$

Charged Current  $ep \rightarrow \nu X, \quad V = W^\pm$

Kinematics

$$Q^2 = -(k - k')^2 \quad x = \frac{Q^2}{2p \cdot q} \quad y = \frac{p \cdot q}{p \cdot k} \quad q = k - k'$$

$$s = (k + p)^2 \quad Q^2 = sxy \quad Y_\pm = 1 \pm (1 - y)^2$$

$$\frac{d^2 \sigma^{NC}(e^\pm p)}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} \left[ Y_+ F_2^{NC}(x, Q^2) \mp Y_- x F_3^{NC}(x, Q^2) \right]^\dagger$$

$$\frac{d^2 \sigma^{CC}(e^\pm p)}{dx dQ^2} = \frac{G_F^2}{4\pi x} \frac{M_W^4}{(Q^2 + M_W^2)^2} \left[ Y_+ F_2^{CC}(x, Q^2) \mp Y_- x F_3^{CC}(x, Q^2) \right]^\dagger$$

$$F_2^{NC} \approx \sum_i e_i^2 x(q_i + \bar{q}_i) \quad (\gamma \text{ only}); \quad F_2^{CC} = \sum_i x(q_i + \bar{q}_i); \quad xF_3^{CC} = \sum_i x(q_i - \bar{q}_i)$$

$q_i(x, Q^2)$  - momentum density of quark flavour  $i$  in proton

†  $F_L$  has been ignored

# Combined deep inelastic data

- ❖ Scope of the project
- ❖ Data
- ❖ Method
- ❖ Results

## Scope of the project

- ❖ Combination of HERA-I (1994-2000) inclusive DIS cross-sections
  - more precisely reduced cross-sections (the terms in [ ] on slide 2)
- ❖ Exploit the different technology of the H1 and ZEUS detectors to ‘cross-calibrate’, and hence reduce the systematic uncertainties
- ❖ The basic assumption is that the two experiments are measuring the same cross-sections at the same  $(x, Q^2)$  point.
- ❖ The method (developed by A. Glazov) uses an iterative  $\chi^2$  minimisation which takes full account of error correlations
  - first discussed at DIS2005 and then at the HERA-LHC Workshop
- ❖ Preliminary results for the combined data as submitted to LP2007 and presented at DIS2008 (Feltesse)

## Input NC & CC data sets: $1.5 < Q^2 < 30000 \text{ GeV}^2$ , $240 \text{ pb}^{-1}$

data set		$x$ range		$Q^2$ range ( $\text{GeV}^2$ )		$\mathcal{L}$ $\text{pb}^{-1}$	comment
H1 NC min. bias	97	0.00008	0.02	1.5	12	1.8	$e^+p \sqrt{s} = 301 \text{ GeV}$
H1 NC low $Q^2$	96 – 97	0.000161	0.20	12	150	17.9	$e^+p \sqrt{s} = 301 \text{ GeV}$
H1 NC	94 – 97	0.0032	0.65	150	30 000	35.6	$e^+p \sqrt{s} = 301 \text{ GeV}$
H1 CC	94 – 97	0.013	0.40	300	15 000	35.6	$e^+p \sqrt{s} = 301 \text{ GeV}$
H1 NC	98 – 99	0.0032	0.65	150	30 000	16.4	$e^-p \sqrt{s} = 319 \text{ GeV}$
H1 CC	98 – 99	0.013	0.40	300	15 000	16.4	$e^-p \sqrt{s} = 319 \text{ GeV}$
H1 NC	99 – 00	0.00131	0.65	100	30 000	65.2	$e^+p \sqrt{s} = 319 \text{ GeV}$
H1 CC	99 – 00	0.013	0.40	300	15 000	65.2	$e^+p \sqrt{s} = 319 \text{ GeV}$
ZEUS NC	96 – 97	0.00006	0.65	2.7	30 000	30.0	$e^+p \sqrt{s} = 301 \text{ GeV}$
ZEUS CC	94 – 97	0.015	0.42	280	17 000	47.7	$e^+p \sqrt{s} = 301 \text{ GeV}$
ZEUS NC	98 – 99	0.005	0.65	200	30 000	15.9	$e^-p \sqrt{s} = 319 \text{ GeV}$
ZEUS CC	98 – 99	0.015	0.42	280	30 000	16.4	$e^-p \sqrt{s} = 319 \text{ GeV}$
ZEUS NC	99 – 00	0.005	0.65	200	30 000	63.2	$e^+p \sqrt{s} = 319 \text{ GeV}$
ZEUS CC	99 – 00	0.008	0.42	280	17 000	60.9	$e^+p \sqrt{s} = 319 \text{ GeV}$

NB: H1 NC min. bias ( $Q^2 < 12 \text{ GeV}^2$ ) moved up by 3.4 % after re-analysis of luminosity

## Some details

- ❖ Common  $(x, Q^2)$  bins: H1  $x$ ; ZEUS  $Q^2$
- ❖ Shift measured data by simple interpolation using H1PDF2k
  - checked using ZEUS-Jets, NC shift factors agree within a few permille, some CC  $< 2\%$ . - differences much less than statistical errors.
  
- ❖ Move data to 920 GeV  $E_p$  beam energy
  - simple interpolation for CC
  - additive for NC
  - systematic uncertainty from  $F_L$ : compare  $F_L = 0$  and  $F_L = F_L(\text{H1PDF2k})$ , up to 5% at high  $y$ .
  - treat as a correlated ‘procedural’ systematic uncertainty

## $\chi^2$ for a single data set

$$\chi_{\text{exp}}^2(M^{i,true}, \Delta\alpha_j) = \sum_i \frac{\left[ M^{i,true} - \left( M^i + \sum_j \frac{\partial M^i}{\partial \alpha_j} \Delta\alpha_j \right) \right]^2}{\sigma_i^2} + \sum_j \frac{(\Delta\alpha_j)^2}{\sigma_{\alpha_j}^2}$$

$M^i$  measured central values

$\sigma_i$  statistical and uncorrelated systematic uncertainties

$\sigma_{\alpha_j}$  correlated systematic uncertainties

$\frac{\partial M^i}{\partial \alpha_j}$  sensitivity of datum  $i$  to systematic  $j$

$M^{i,true}$  fitted combined H1 - ZEUS data

$\Delta\alpha_j$  fitted shifts of correlated uncertainties

By definition  $\chi^2 = 0$  for  $M^{i,true}$  and  $\Delta\alpha_j = 0$ ;

$Cov(M^{i,true}, M^{j,true})$  gives the error matrix for the combined data

## Caveat

- ❖ In principle a nice simple  $\chi^2$  which allows minimisation by linear equations
- ❖ Unbiased for uncertainties independent of the central value (additive)
- ❖ However, for cross-sections, many uncertainties are proportional to the central value (multiplicative)
- ❖ This introduces a bias, as a smaller  $M^i$  will have a smaller relative error and hence give a smaller overall  $\chi^2$
- ❖ Modify  $\chi^2$  - translate multiplicative to additive uncertainty using  $M^{i,true}$ , common to all measurements



## Revised $\chi^2$ for a single data set

$$\chi_{\text{exp}}^2(M^{i,\text{true}}, \Delta\alpha_j) = \sum_i \frac{\left[ M^{i,\text{true}} - \left( M^i + \sum_j \frac{\partial M^i}{\partial \alpha_j} \frac{M^{i,\text{true}}}{M^i} \Delta\alpha_j \right) \right]^2}{\left( \sigma_i \frac{M^{i,\text{true}}}{M^i} \right)^2} + \sum_j \frac{(\Delta\alpha_j)^2}{\sigma_{\alpha_j}^2}$$

Minimisation is now non - linear, use an iterative procedure

1. Minimise original  $\chi^2$  to find an initial approximation to  $\{M^{i,\text{true}}\}$
2. Scale errors  $\sigma_i \rightarrow \sigma_i \frac{M^{i,\text{true}}}{M^i}$
3. Repeat step 1

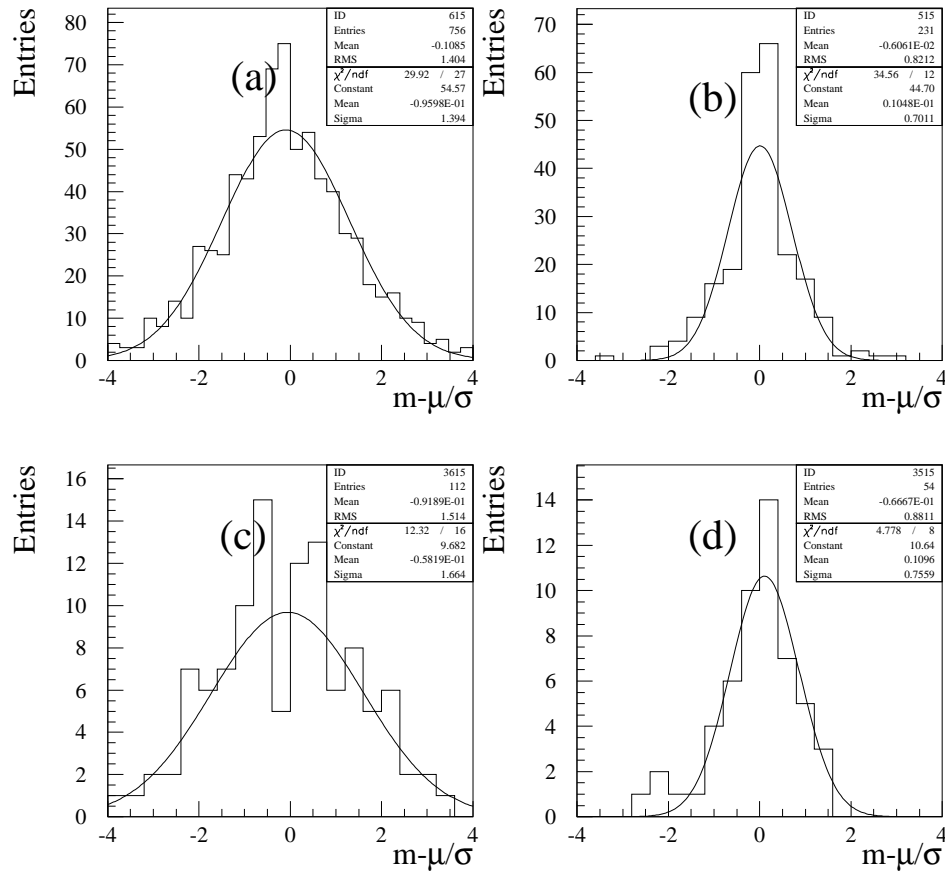
Convergence is usually after two iterations

Full  $\chi^2$  is the sum over all  $\chi_{\text{exp}}^2$ .

# Uncertainties

- ❖ Statistical uncertainties are uncorrelated
- ❖ Systematic uncertainties:
  - point-to-point uncorrelated, added in quadrature to statistical giving a total point-to-point uncorrelated uncertainty
  - point-to-point correlated errors, (e.g. energy scales), often common for CC and NC measurements for a given experiment and run period
    - multiplicative or additive? Try both – gives additional uncertainty  $< 1\%$  for low  $Q^2$  rising to  $1.5\%$  at large  $Q^2$
  - overall normalisation uncertainty, similarly common for a given experiment and run period (clearly multiplicative)
- ❖ Correlations between H1 and ZEUS, (e.g. MC simulations, calibration methods..), 12 possible sources identified
  - compare  $2^{12}-1$  averages taking all pairs as correlated or uncorrelated in turn to give deviation from central values
  - largest ( $\sim 1\%$ ) from photoproduction MC and hadronic energy scales
  - treat these as procedural uncertainties

# Quality of the fit



1153 individual NC, CC data  
averaged to 573 points

$$\chi^2 = 510$$

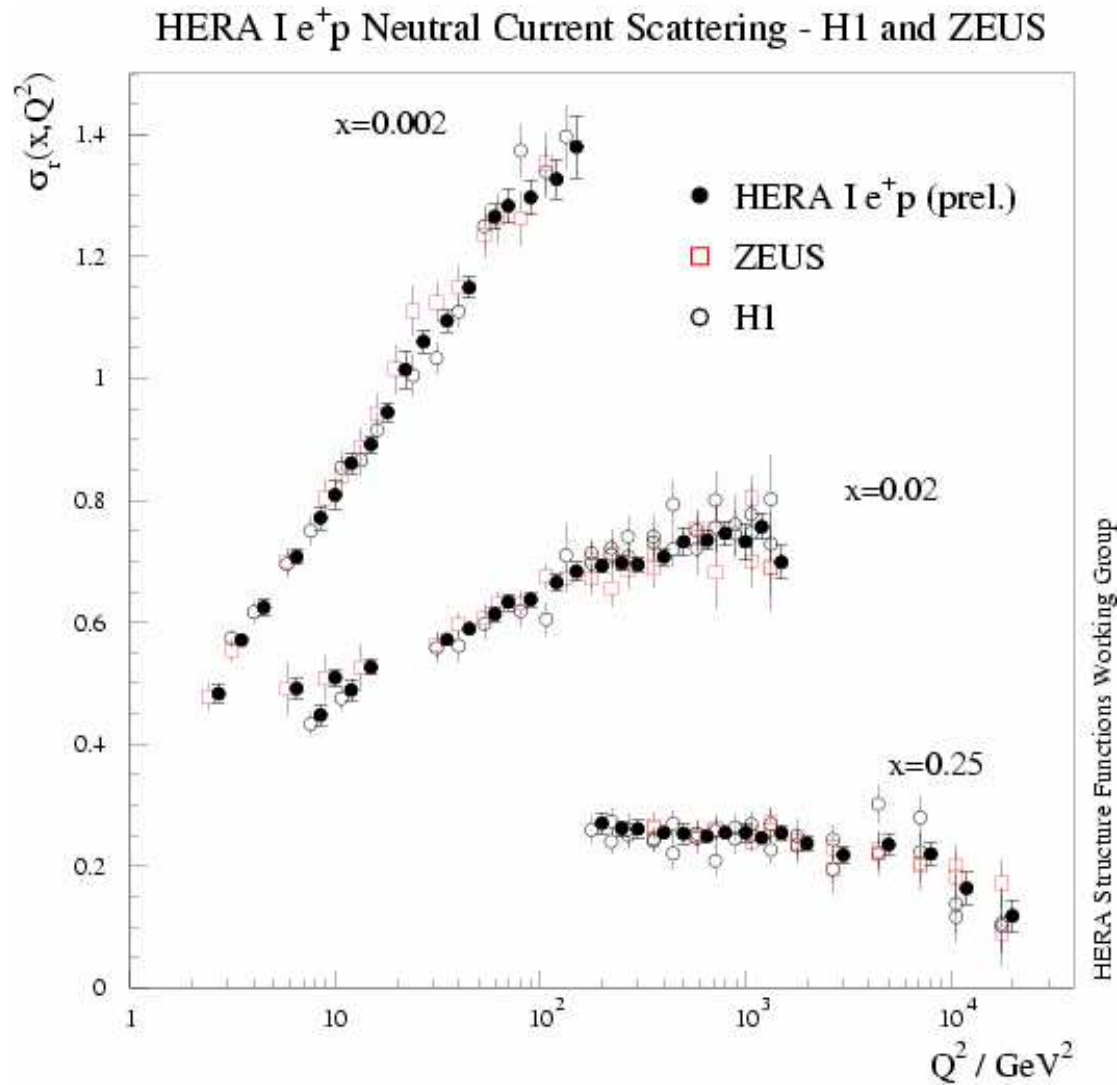
	Pulls	
	Mean	Sigma
(a) NC e+p	-0.09	1.4
(b) NC e-p	0.01	0.7
(c) CC e+p	-0.05	1.7
(c) CC e-p	0.1	0.8

A total of 43 systematic uncertainties from the data and 4 from the averaging procedure

## Comments on the results

- ❖ All uncertainties lie within  $1\sigma$  of the central value of published data
  - except the normalisation of H1 NC low  $Q^2$  (1996-7), up by  $1.6\sigma$
- ❖ Almost all systematic uncertainties reduced, eg
  - H1 rear calorimeter energy scale by a factor of 3
  - ZEUS forward energy flow modelling by a factor of 4
- ❖ Overall precision improved
  - $Q^2 < 12 \text{ GeV}^2$ , separately 2-3%, combined better than 2%
  - medium  $Q^2$ , 1.5% achieved
  - highest  $Q^2$ , 10% achieved, increased statistics now important
- ❖ Both H1PDF2k and ZEUS-Jets PDFs describe the combined data well

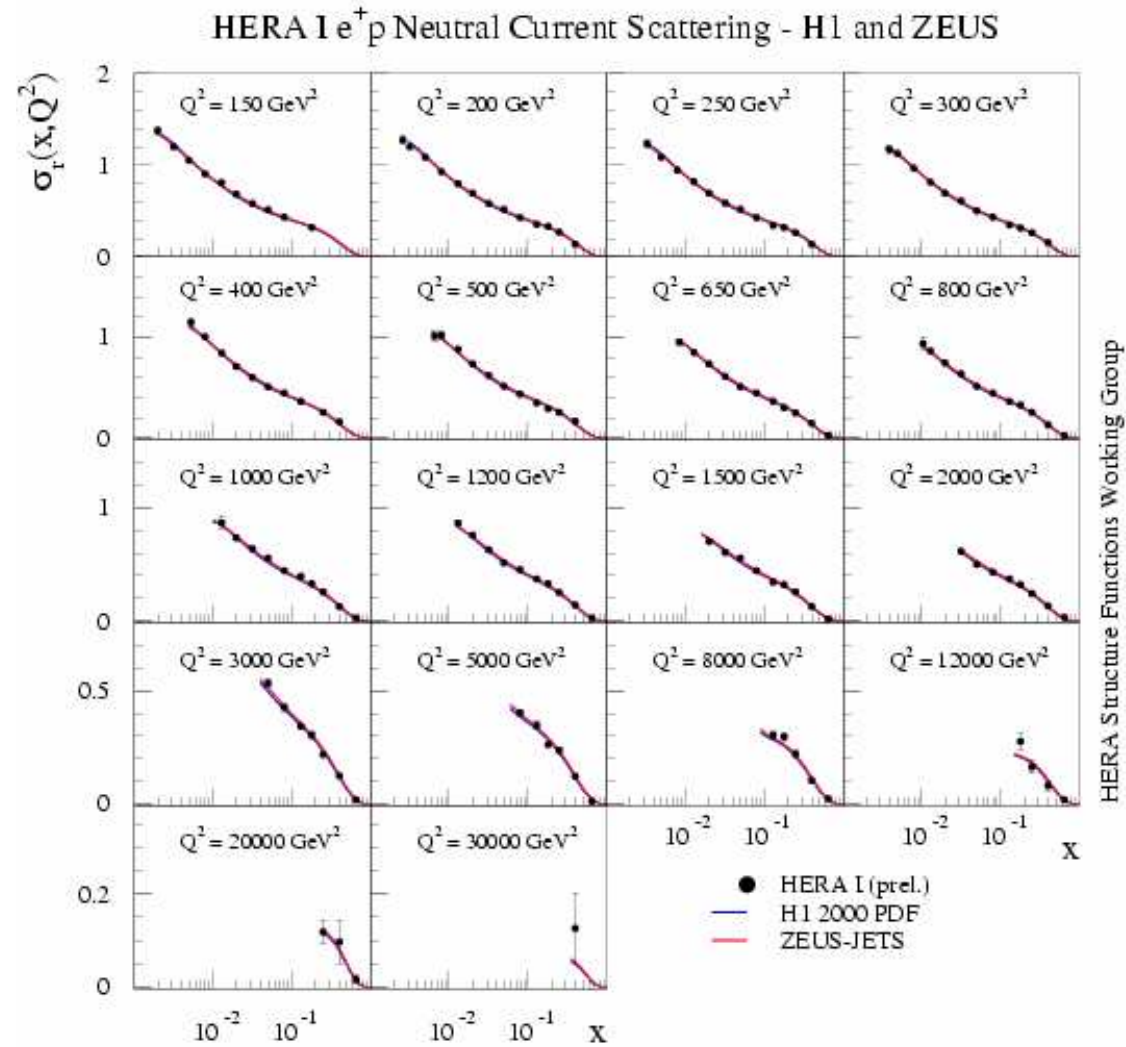
# Examples (I): NC $e^+p$ , at fixed $x$



Combined data is smoother than that of either H1 or ZEUS – with significantly smaller uncertainties

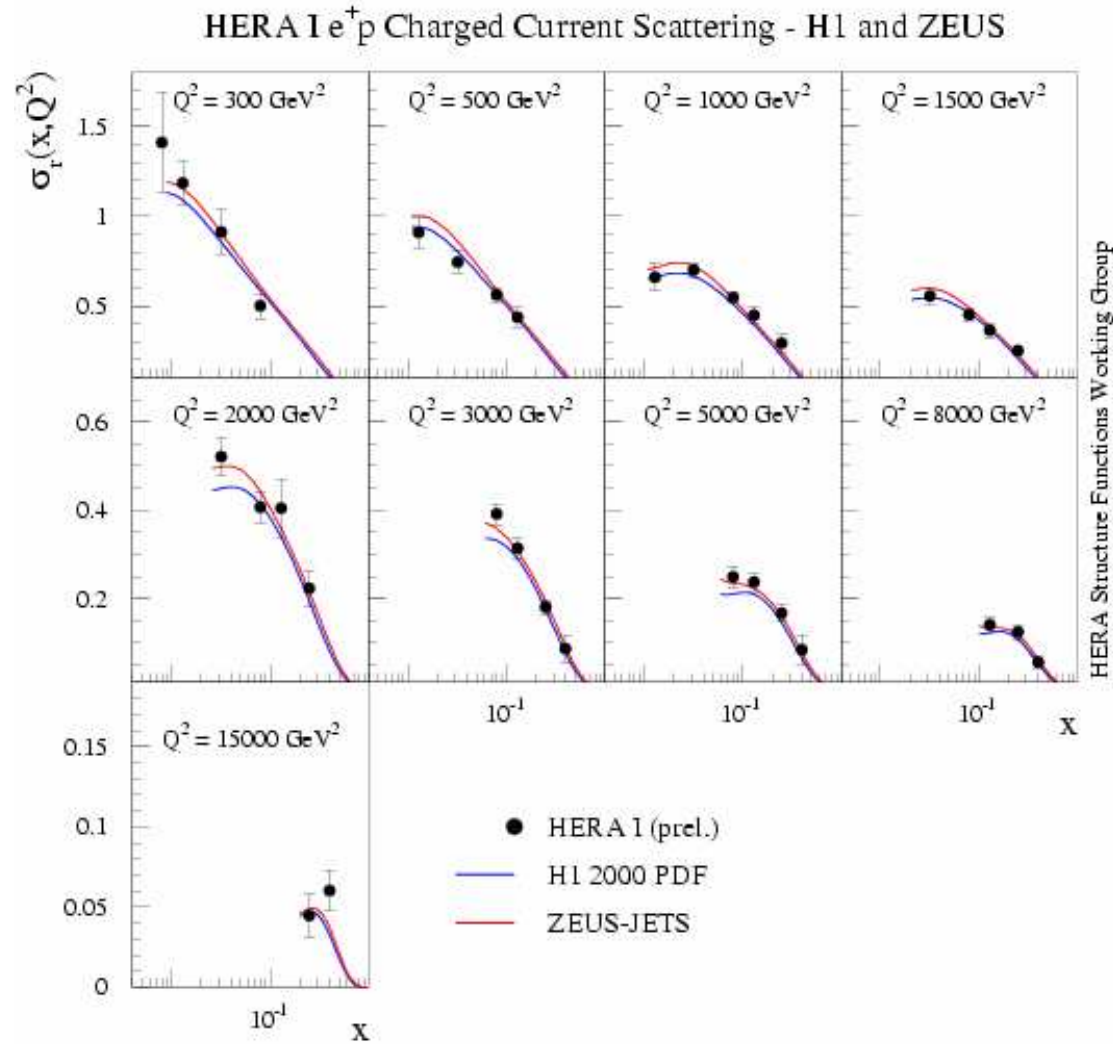
## Examples (II): NC $e^+p$ , high $Q^2$

Combined data compared to H1PDF2k & ZEUS-Jets calculations



# Examples (III): CC e<sup>+</sup>p

Combined data compared to H1PDF2k & ZEUS-Jets calculations



## Combined data – summary & outlook

- ❖ A robust procedure has been developed for combining the H1 and ZEUS NC and CC reduced cross-section data
- ❖ The experiments cross calibrate each other, leading to a significant reduction in systematic uncertainties across the kinematic plane, in addition at large  $Q^2$  there is a reduction in statistical error
- ❖ It is hoped to publish the combined data later this year (H1 has a couple of HERA-I NC data sets still to be published)
- ❖ HERA-II data on NC and CC cross-sections with polarised  $e^+$  and  $e^-$  beams are being extracted by H1 and ZEUS
- ❖ Once the individual results are published, the combined HERA-II data will be produced



# NLO QCD fit to the combined HERA data

- ❖ Context & Scope
- ❖ Form of the PDF parameterisation
- ❖ Error/uncertainty treatment
- ❖ Model assumptions
- ❖ HERAPDF0.1
- ❖ Comparisons
- ❖ LHAPDF
- ❖ Summary

## Context & Scope

- ❖ H1PDF2k and ZEUS-Jets, most recent PDF sets from H1 and ZEUS
  - differ in many details (parameterisation and choice of partons, uncertainty treatment, input data)
  - results broadly compatible, but the gluon PDFs in particular are different
- ❖ Goal is an NLO PDF fit to the combined HERA-I data alone
- ❖ A lot of preliminary and ongoing work undertaken by the H1-ZEUS team, e.g.
  - try each other's approaches on own data and combined data
  - try both hessian and offset methods for uncertainty estimates
  - try different flavour break-ups and heavy flavour schemes
  - etc
- ❖ The outcome (HERAPDF0.1) should be viewed as work in progress

## HERA PDF parameterisation at $Q_0^2$

$$xf(x, Q_0^2) = Ax^B (1-x)^C (1 + Dx + Ex^2 + Fx^3 + \dots)$$

	A	B	C	D	E
gluon	sum rule				
$u_v$	sum rule				
$d_v$	sum rule	= $B(u_v)$			
$U_{bar}$	$\lim_{x \rightarrow 0} U/D \rightarrow 1$				
$D_{bar}$		= $B(U)$			

Optimisation and  
constraints on  
parameters

Partons fitted :  $xg, xu_v, xd_v, x\bar{U} = x\bar{u} + x\bar{c}, x\bar{D} = x\bar{d} + x\bar{s} + x\bar{b}$

Sea flavour break - up at  $Q_0$  :  $s = f_s D, c = f_c U, A_{\bar{U}} = (1 - f_s) A_{\bar{D}} / (1 - f_c)$

with  $f_s = 0.33, f_c = 0.15$

Parameter optimisation: start with A, B, C (BLUE) add D, E ,F... until no  $\chi^2$  advantage – find only D & E (red) non zero for  $xu_v$

This form is derived from the H1 and ZEUS parameterisations

less model dependence for B parameters than H1 form

no additional x(ubar-dbar) input as used in the ZEUS form

## More details

- ❖ NLO DGLAP framework for evolving PDFs to arbitrary  $Q^2$
- ❖ Zero-mass variable-number heavy flavour scheme
- ❖ Renormalisation and factorisation scales:  $Q^2$
- ❖ Fit 573 combined HERA-I NC & CC data
- ❖ A total of 11 free parameters

Further fixed parameters :

$$Q_0^2 = 4 \text{ GeV}^2 \text{ (input scale)}$$

$$Q_{\min}^2 = 3.5 \text{ GeV}^2 \text{ (minimum for data)}$$

$$m_c = 1.4 \text{ GeV (charm mass)}, m_b = 4.75 \text{ GeV (beauty mass)}$$

$$\alpha_s(M_Z) = 0.1176 \text{ (PDG 2006 value)}$$

## Error/uncertainty treatment

- ❖ Combined data have much reduced errors, systematic uncertainties smaller than statistical across most of  $(x, Q^2)$  plane
- ❖ Combine 43 systematic uncertainties of the data with their statistical uncertainties in quadrature, then offset the 4 combination systematic uncertainties. Gives  $\chi^2/dof = 476.7/562$
- ❖ Checks:
  - taking 47 systematics in quadrature gives  $\chi^2/dof = 428/562$
  - taking all systematics as correlated gives  $\chi^2/dof = 553.1/562$
  - all three methods give very similar PDF central values and uncertainties
- ❖ The self consistency and small systematics of the combined data allows the use of  $\Delta\chi^2 = 1$  to calculate PDF parameter uncertainties

## Model uncertainties

❖ To be added to total PDF uncertainty

$$m_c (1.45): 1.3 \rightarrow 1.55 \text{ GeV}$$

$$m_b (4.75): 4.3 \rightarrow 5.0 \text{ GeV}$$

$$f_s (0.33): 0.25 \rightarrow 0.40$$

$$f_c (0.15): 0.10 \rightarrow 0.20$$

$$Q_0^2 (4.0): 2.0 \rightarrow 6.0 \text{ GeV}^2$$

$$Q_{\min}^2 (3.5): 2.5 \rightarrow 5.0 \text{ GeV}^2$$

❖ To be compared with the results

$$\text{Vary } \alpha_s(M_Z) (0.1176): 0.1156 \rightarrow 0.1196$$

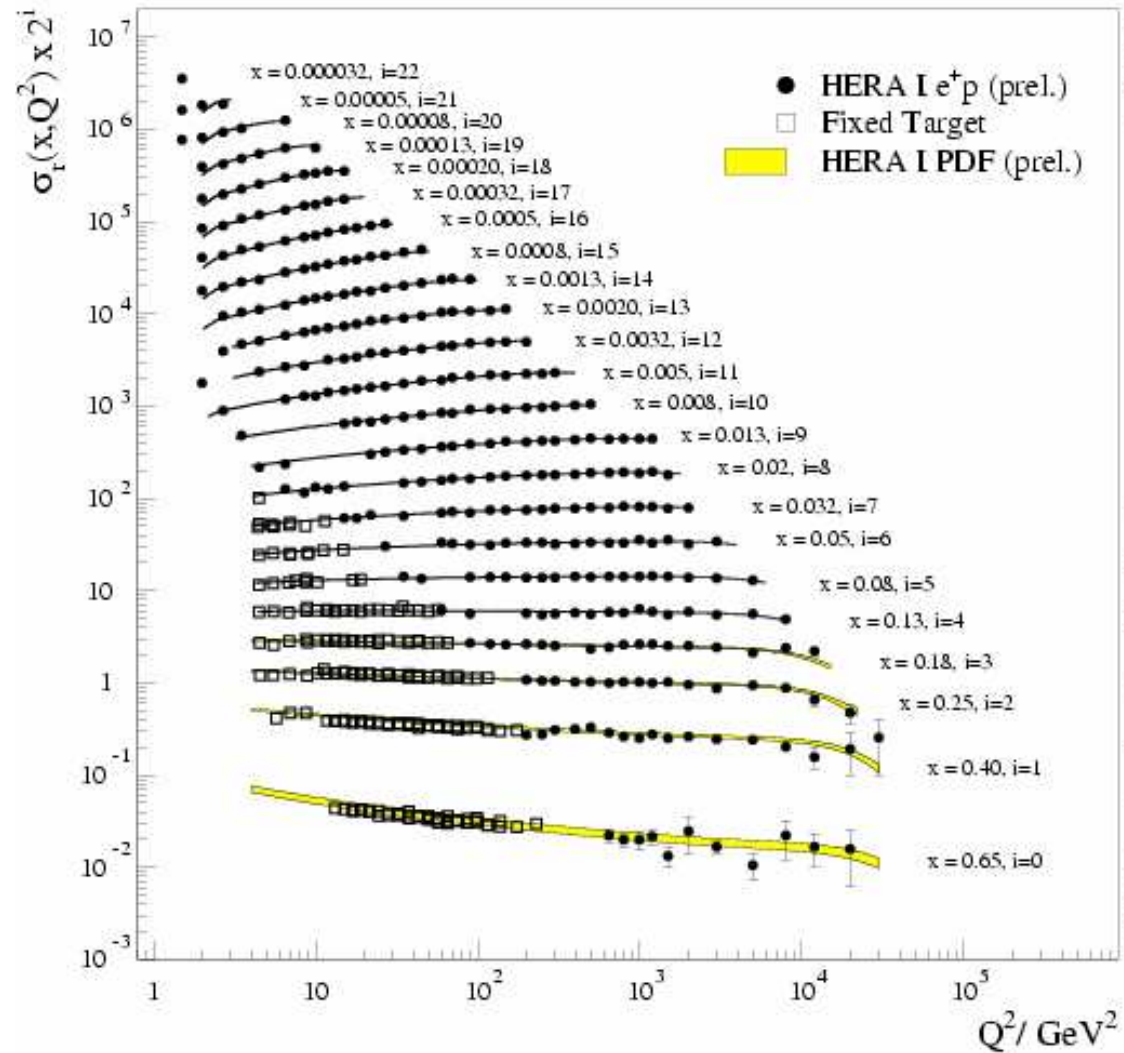
$$\text{Vary PDF parameterisation (HERA): H1} \rightarrow \text{ZEUS}$$

# PDF fit results I

HERAPDF0.1  
fit quality to  
the combined  
HERA-I data  
for NC e+p

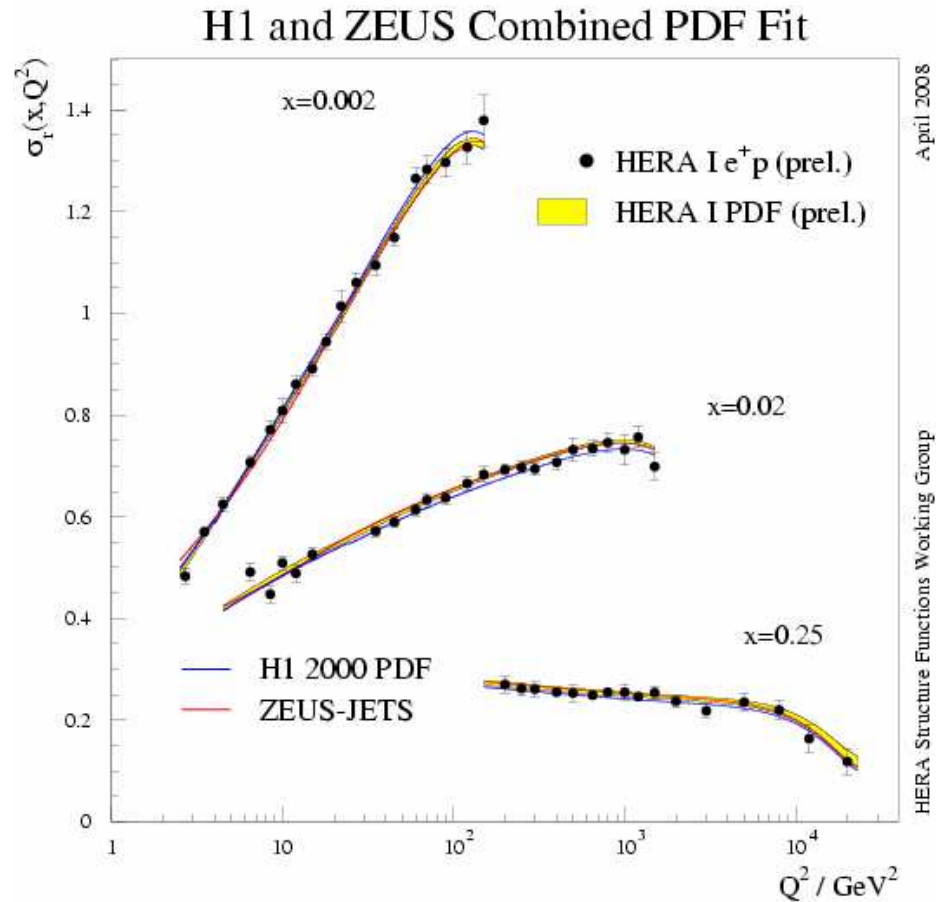
uncertainties on  
both data and fit  
are included

## H1 and ZEUS Combined PDF Fit



HERA Structure Functions Working Group April 2008

## PDF fit results II



In more detail, for  
the three  $x$  values  
shown on p 13

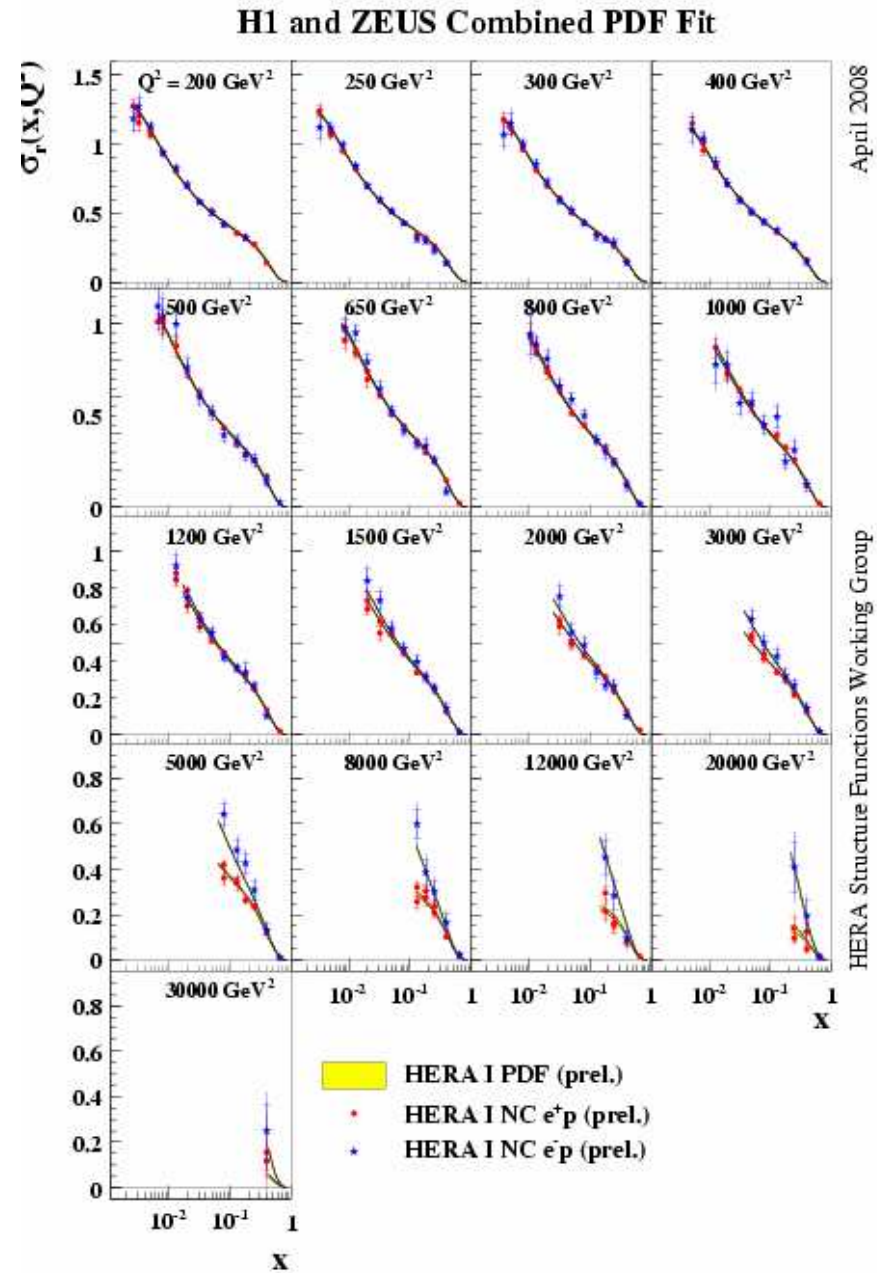
scaling violation  
thru' DGLAP eqns  
gives tight constraint  
on gluon



# PDF fit results III

High  $Q^2$  NC

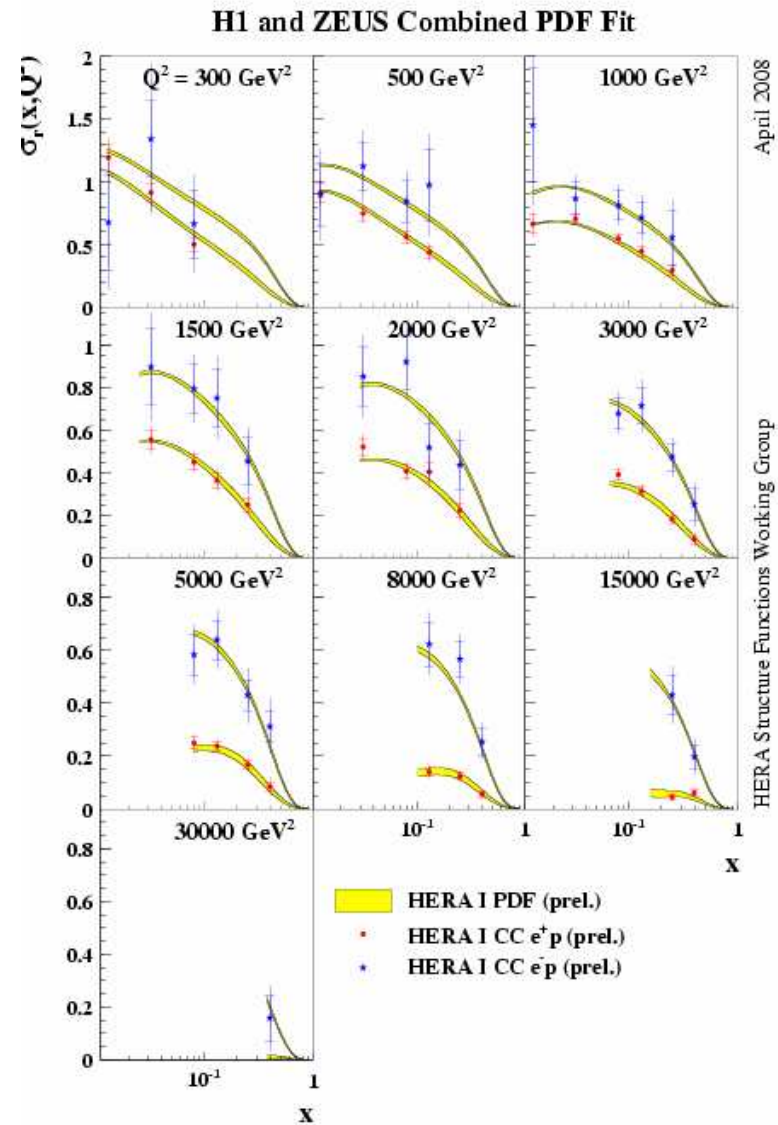
Precision is crucial for the extraction and exploitation of  $xF_3$  and its valence quark dependence



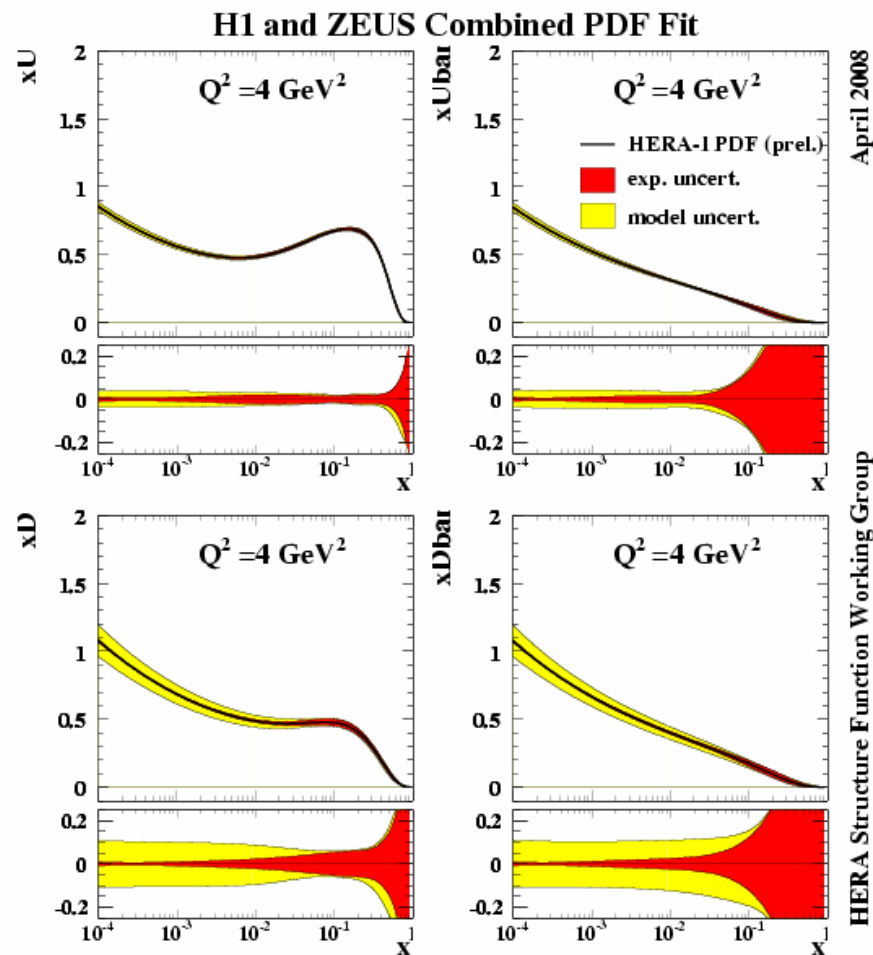
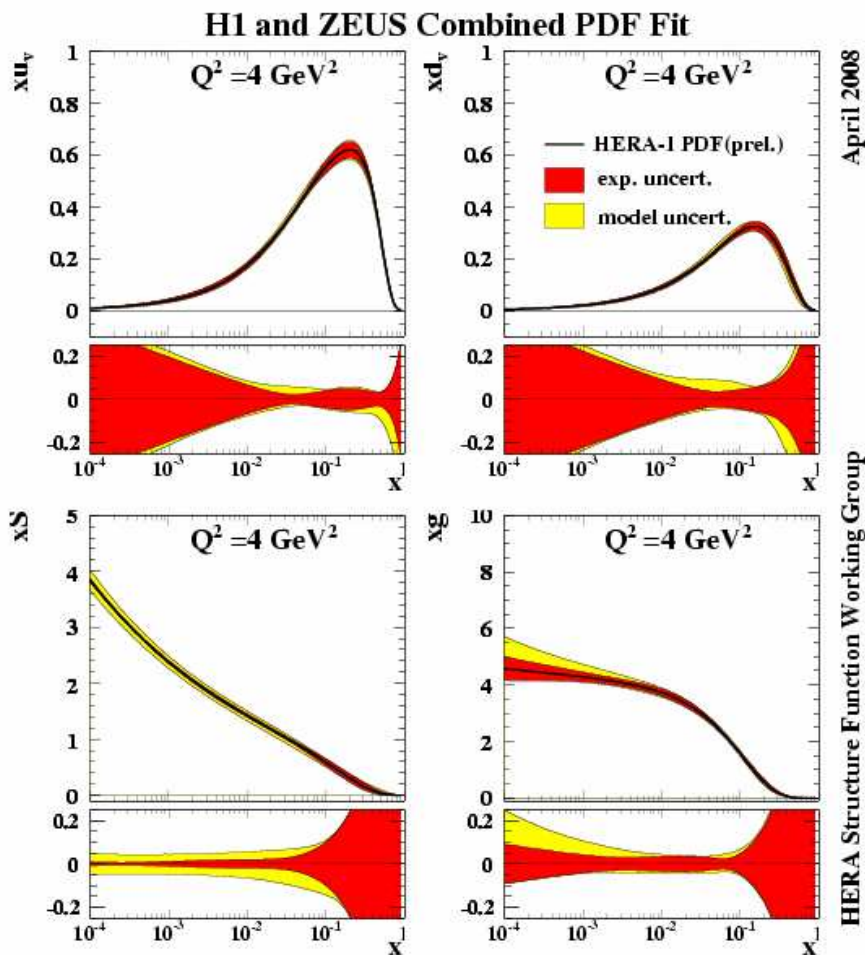
# PDF fit results IV

High  $Q^2$  CC

Precision needed to exploit the different flavour dependence of the  $e^+$  and  $e^-$  cross-sections

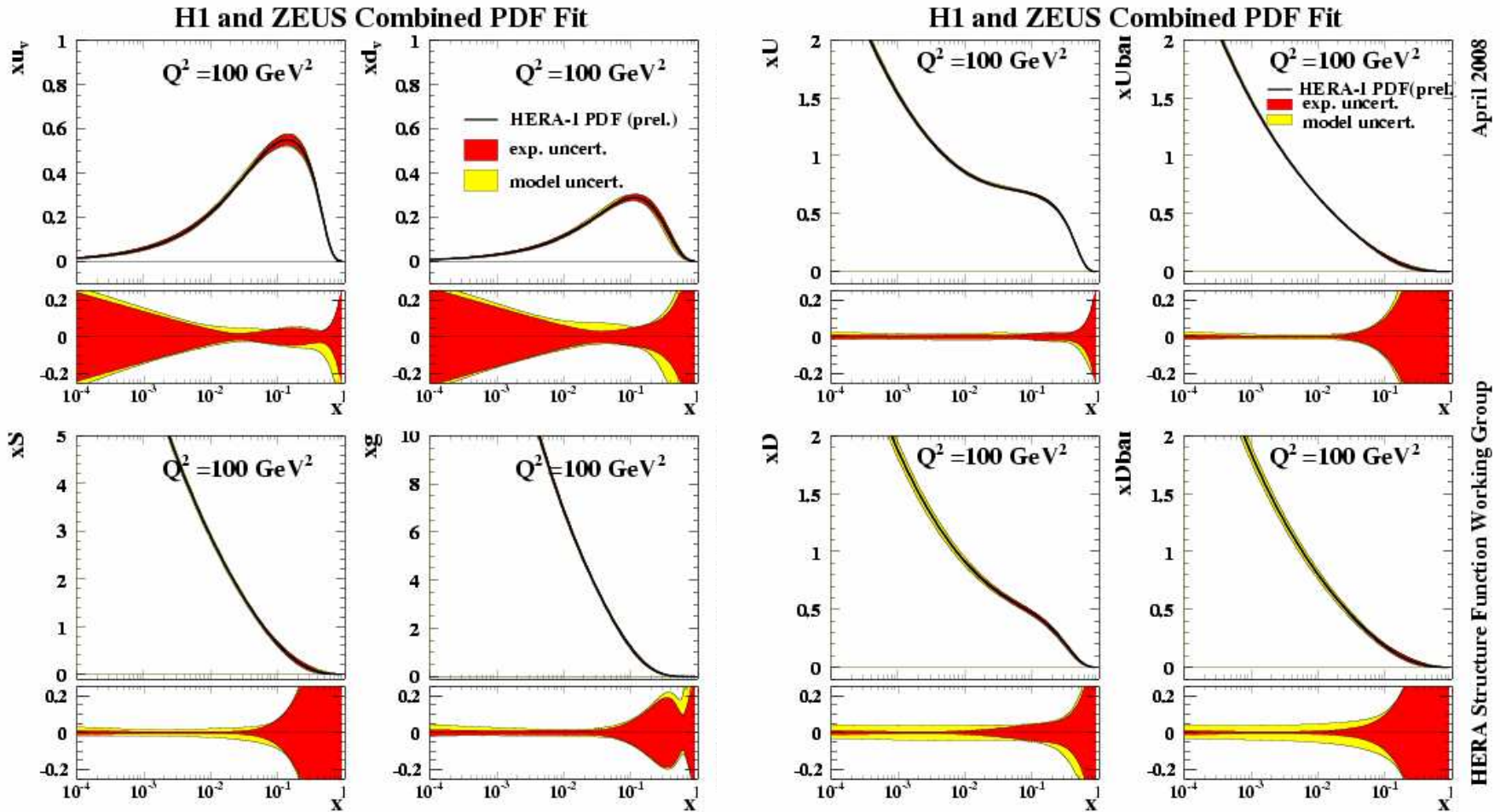


# PDFs at the starting scale $Q_0^2 = 4 \text{ GeV}^2$



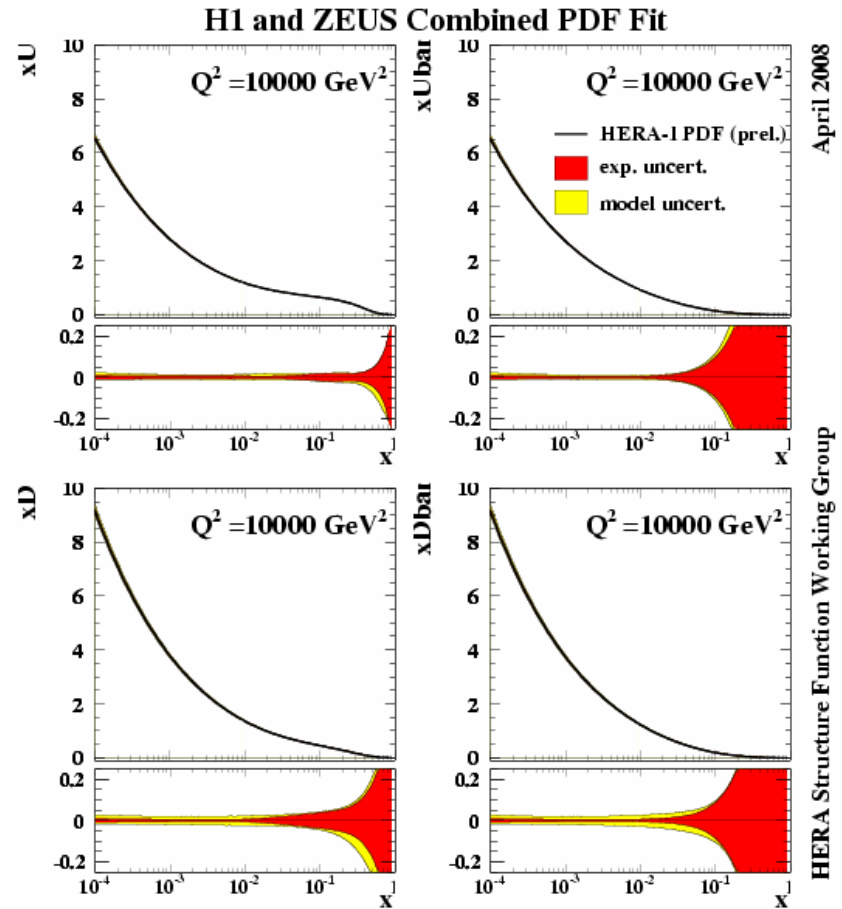
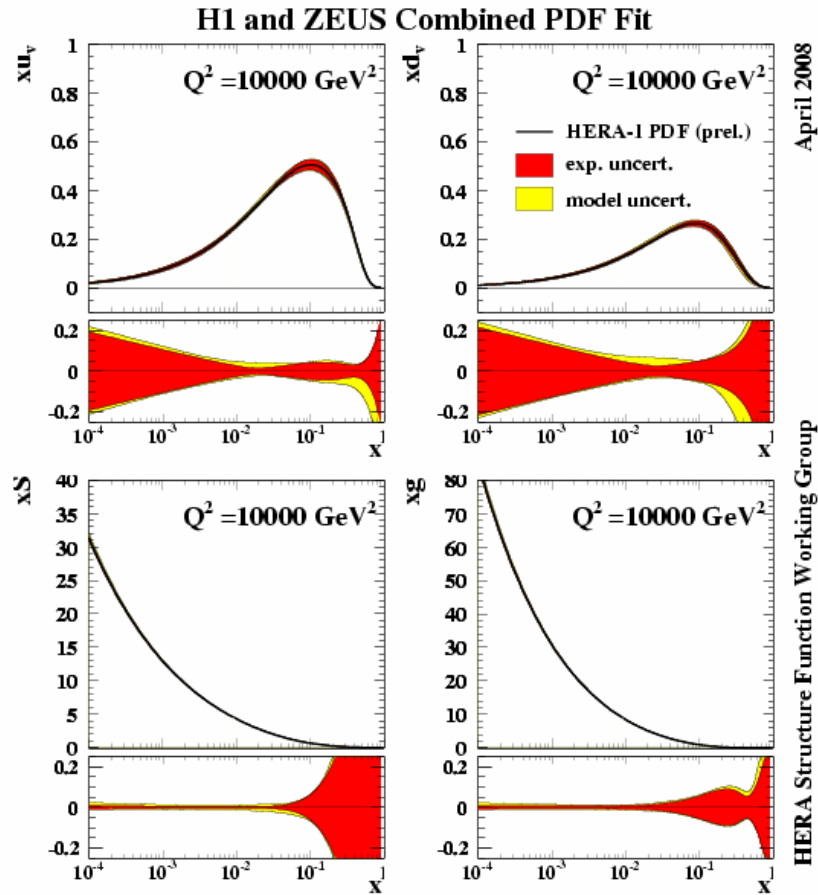
Total exp. uncertainty band (red); model uncertainties (yellow)  
 -  $f_s$  dominates model uncert. on sea;  $Q_0^2$  &  $Q_{min}^2$  dominate  $xg$  &  $xq_v$

# PDFs at $Q^2 = 100 \text{ GeV}^2$



Uncertainties decrease as  $Q^2$  increases

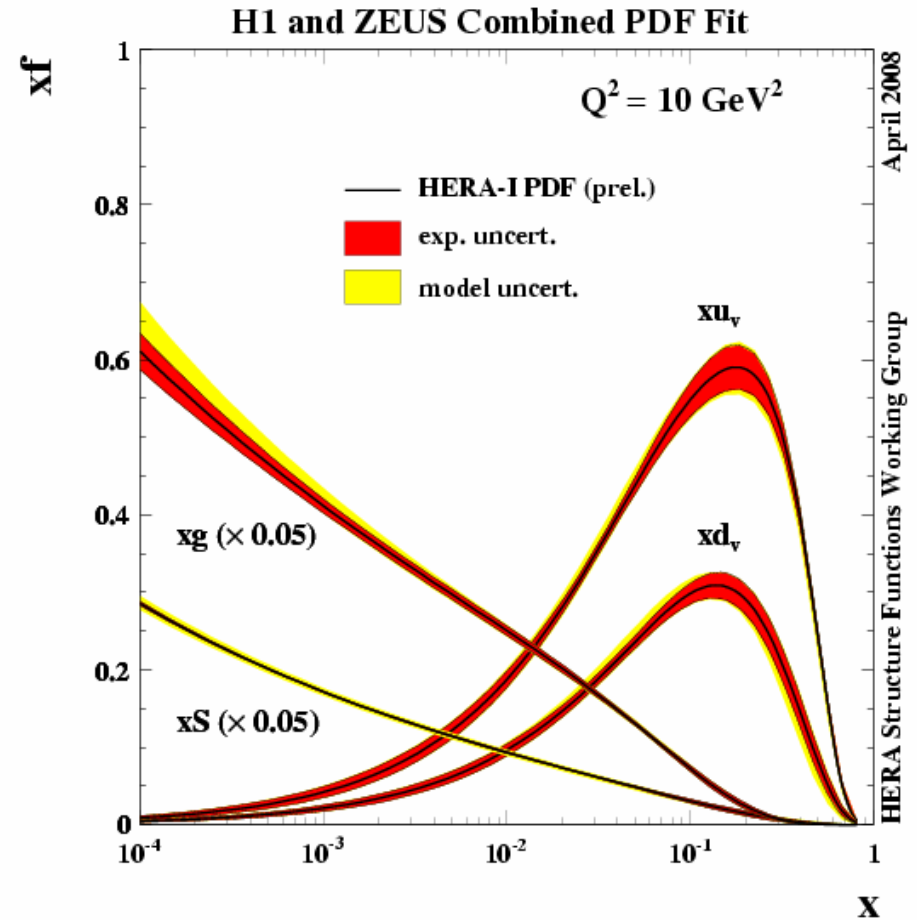
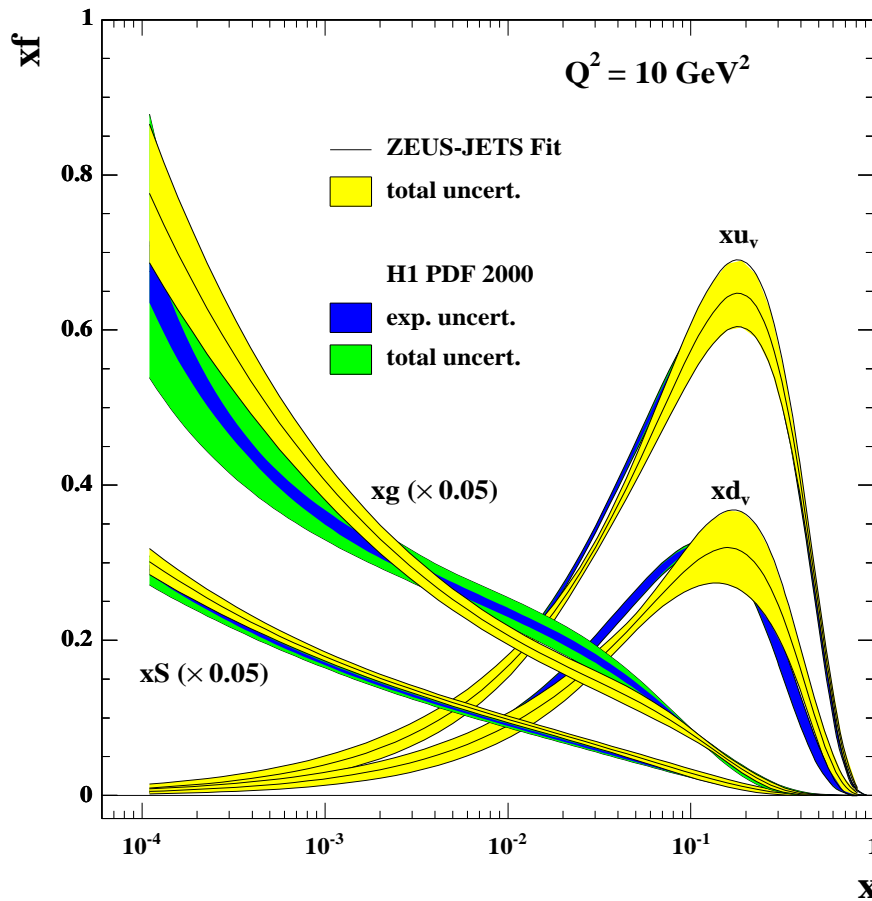
# PDFs at $Q^2 = 10000 \text{ GeV}^2$



Scale relevant for the LHC – impressively small uncertainties  
 see Cooper-Sarkar & Perez (talk at HERA-LHC May 08 w/shop, Indico confId = 27458)

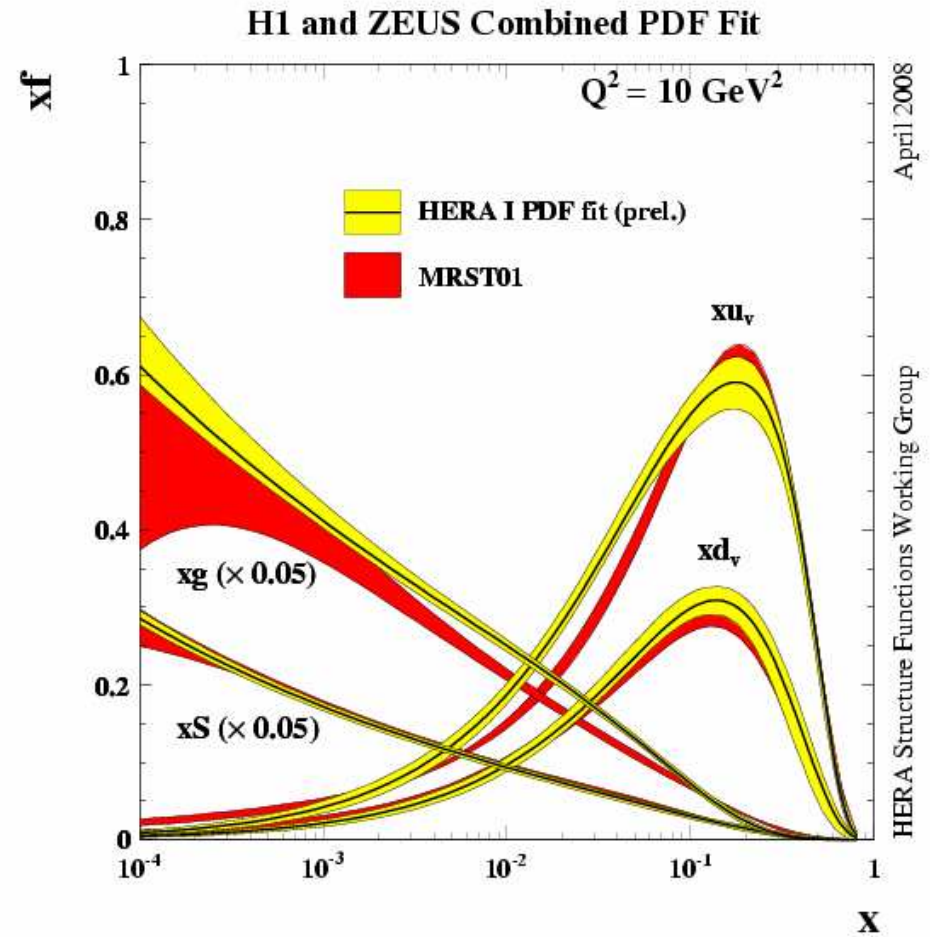
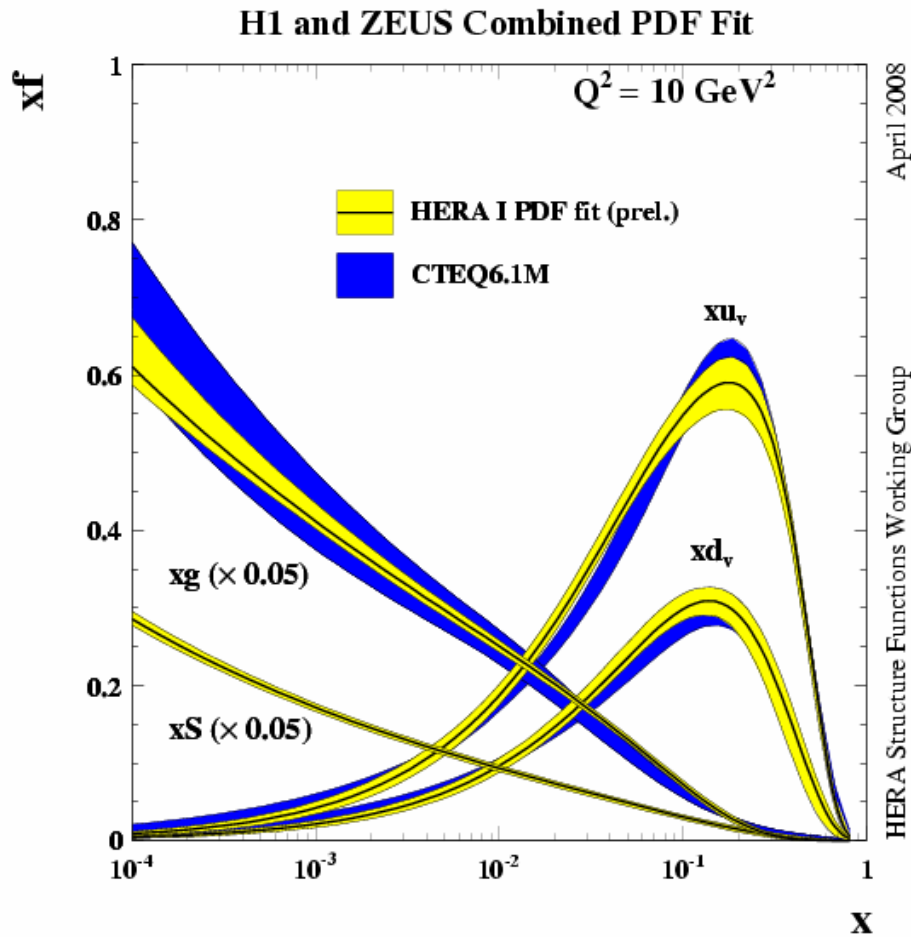
# Comparisons I: with H1 & ZEUS fits

NB: H1PDF2k has  $\alpha_s$  variation included in model error, ZEUS-Jets does not.



Improved precision and resolution of a discrepancy

# Comparisons II: with CTEQ & MRST



Difference between HERAPDF0.1 and MRST01  $xg$  at low  $x$  is due in part to parameterisation

# LHAPDF

- ❖ Results shown here are those released at DIS08
- ❖ The intention is to release HERAPDF0.1 to LHAPDF ‘soon’
- ❖ Quite a few details are being checked and refined, e.g.
  - more work on flavour break-up of the sea
  - ditto on varying  $Q_0^2$  and  $m_c$
  - studies of  $xg$  at low and high  $x$  wrt other PDFs and other data
- ❖ None of the above have produced any significant differences from the results shown here
- ❖ There are also technical choices to be made, e.g.
  - input parameters plus evolution code?
  - or PDF values on  $(x, Q^2)$  grid?



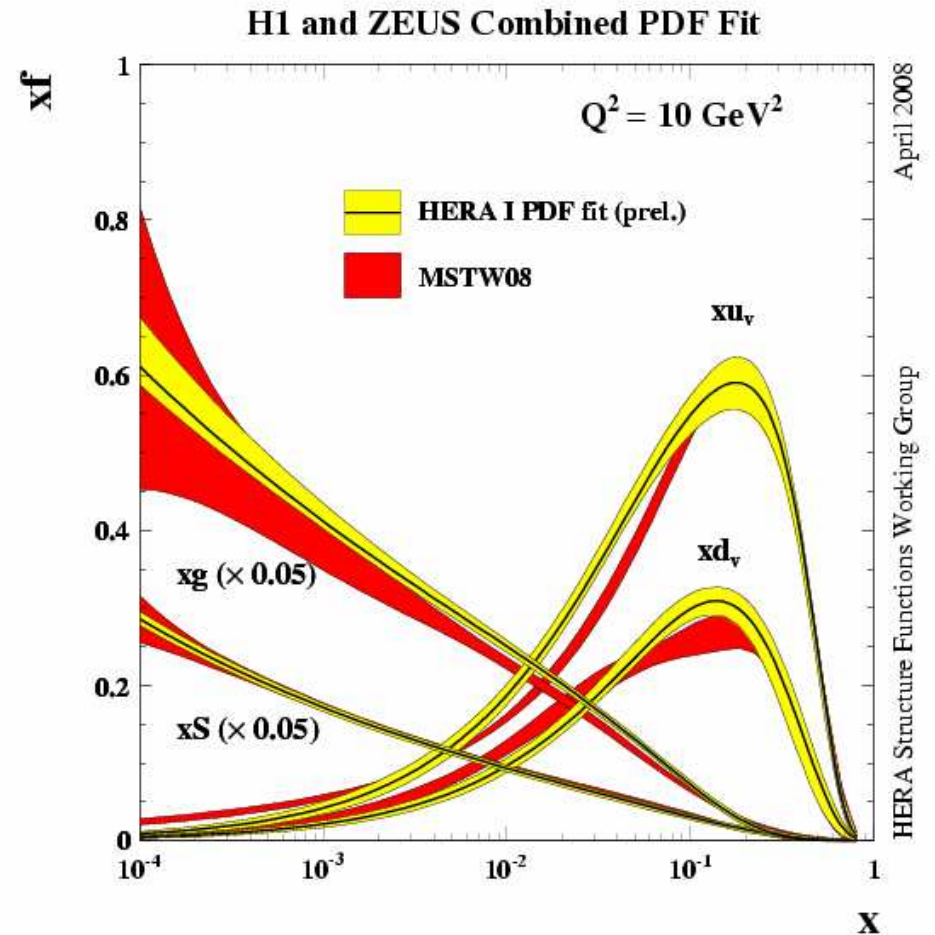
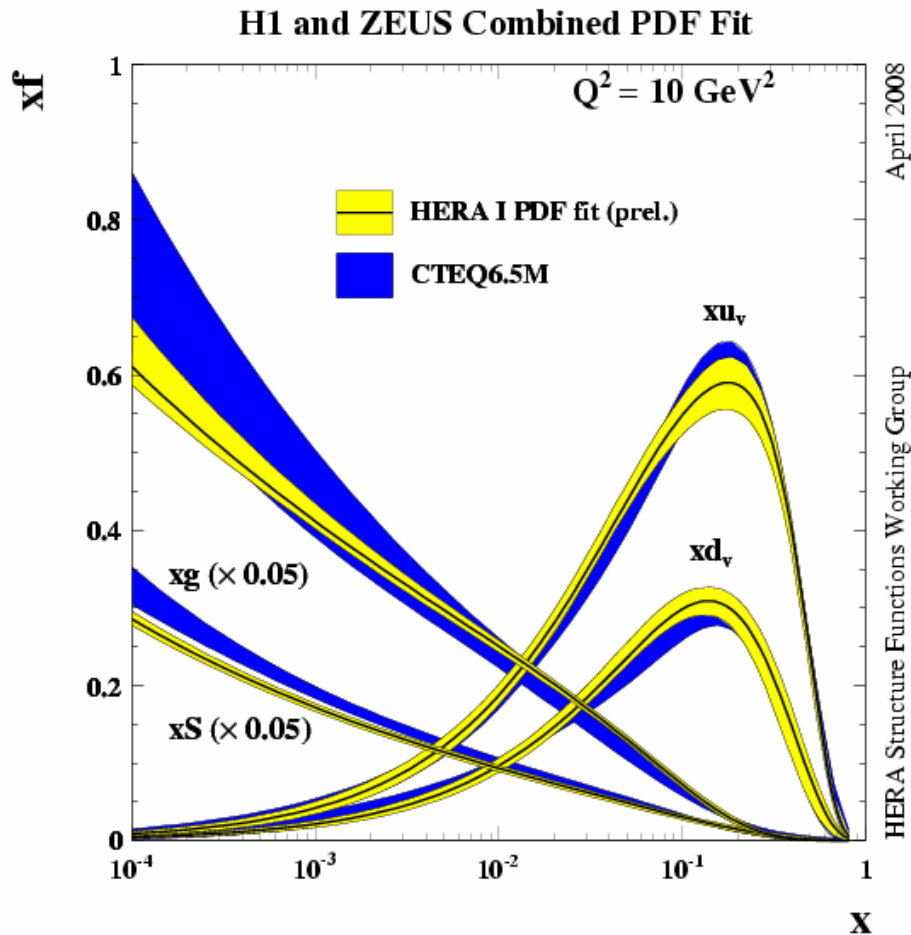
## Summary (PDF fit)

- ❖ The improved precision of the combined HERA-I is reflected in the improved precision of the HERAPDF0.1 fit
- ❖ Experimental and fit-model uncertainties have been studied and allowed for
- ❖ Differences between H1PDF2k and ZEUS-Jets understood and resolved
- ❖ Note that the HERA fit parameterisation is ‘minimal and optimised’ in form and number of parameters
  - does not require target mass corrections
  - does not require heavy target or deuteron corrections

This is the just the start of the ‘combined HERA data’ programme

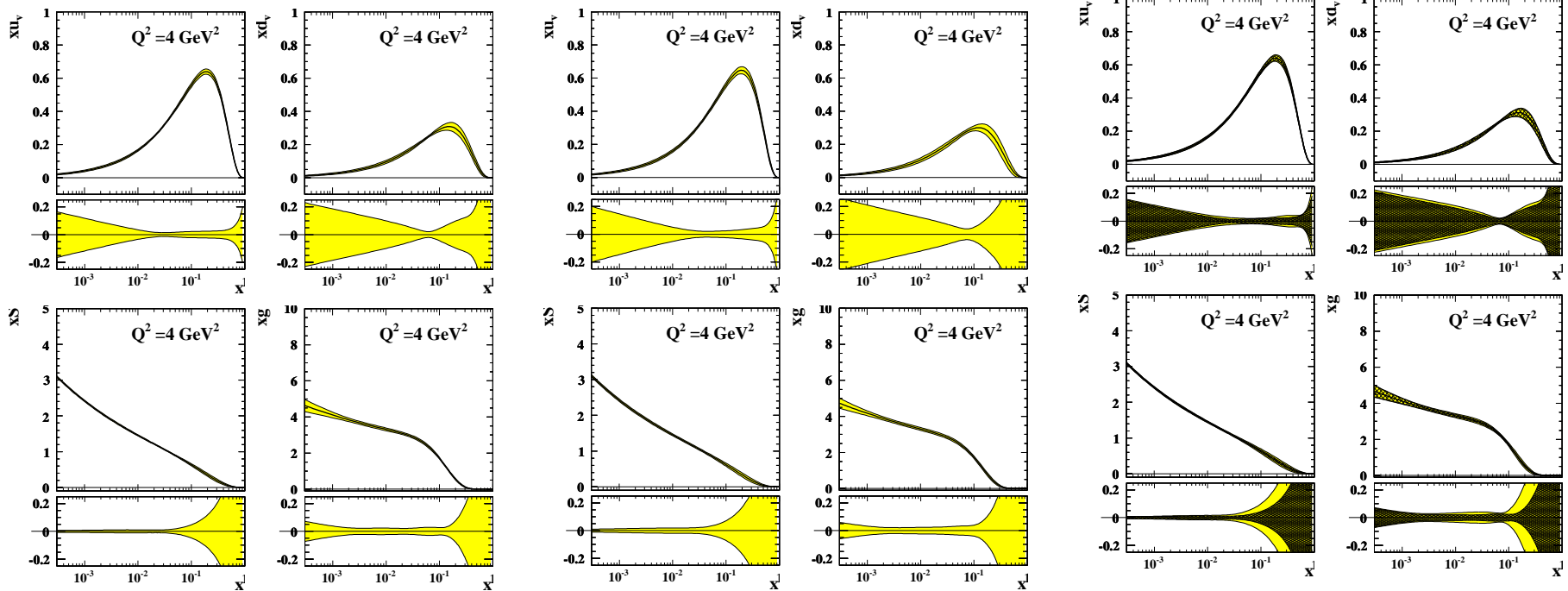
# EXTRAS

# Compare to CTEQ and MRST analyses: newer



Note MSTW08 is as yet unpublished  
– this is a pre-release

## Different error treatments

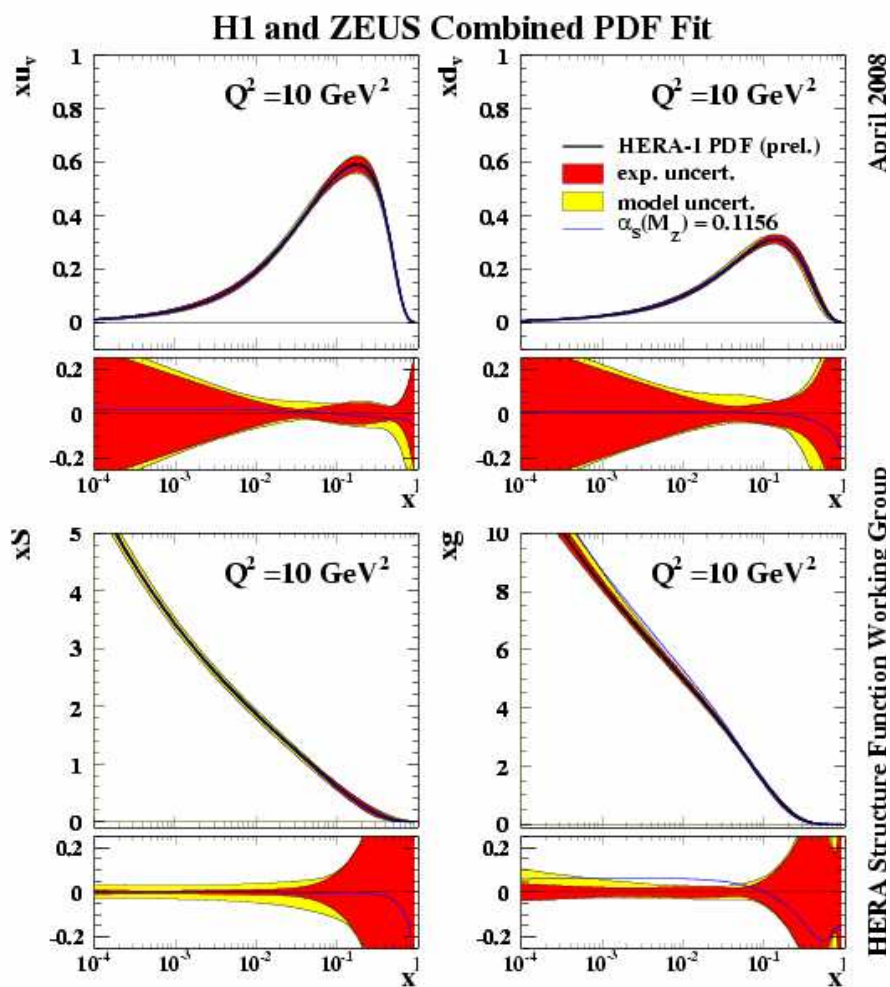


47 systematic errors  
added to statistical  
quadratically  $\chi^2=428.0$

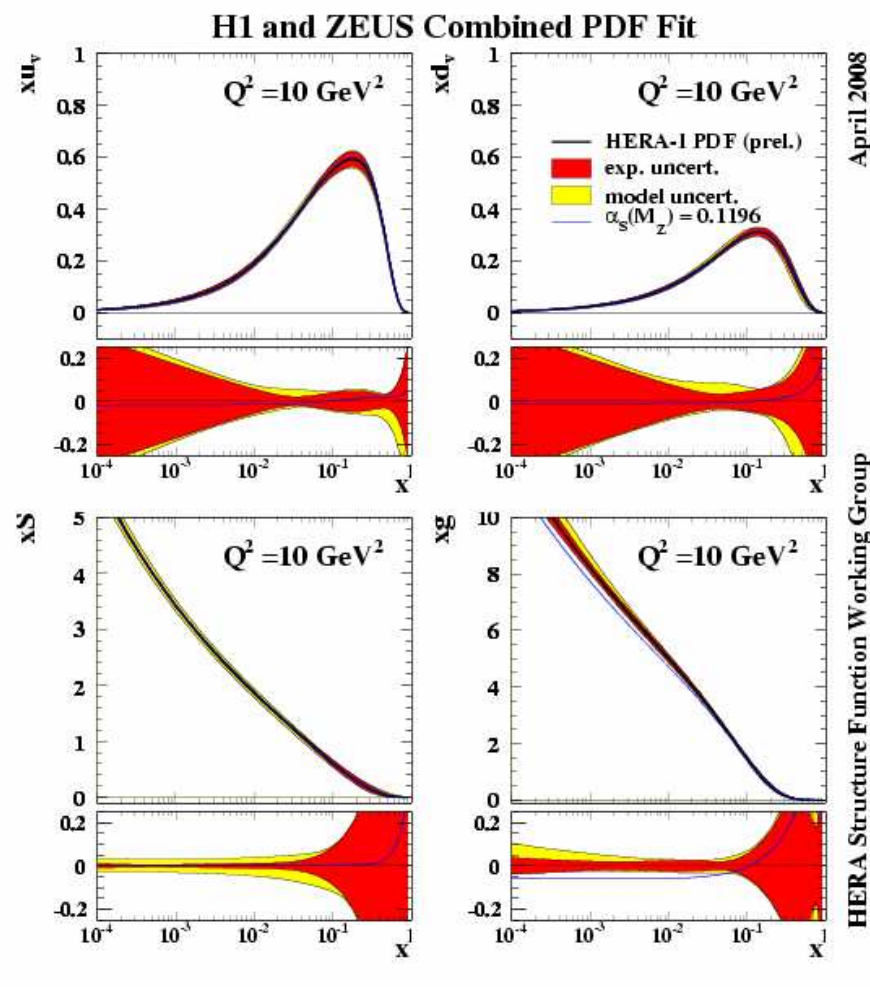
47 systematic errors  
treated by Hessian  
method  $\chi^2=553.1$

43 original sources of  
systematic errors added  
to statistical quadratically  
and 4 procedural errors  
Offset  $\chi^2=476.7$

# Varying $\alpha_s$



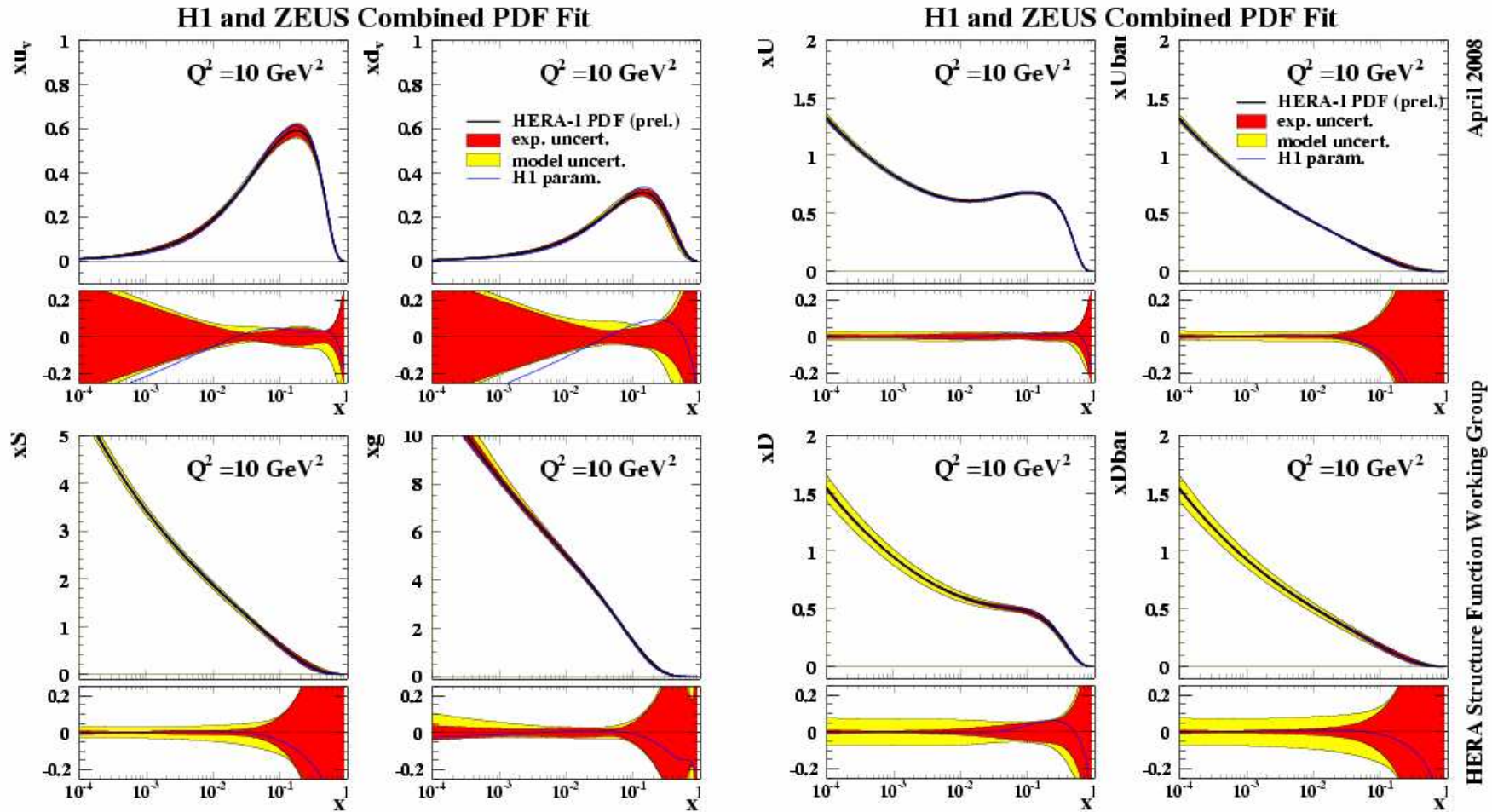
$\alpha_s = 0.1156$



$\alpha_s = 0.1196$

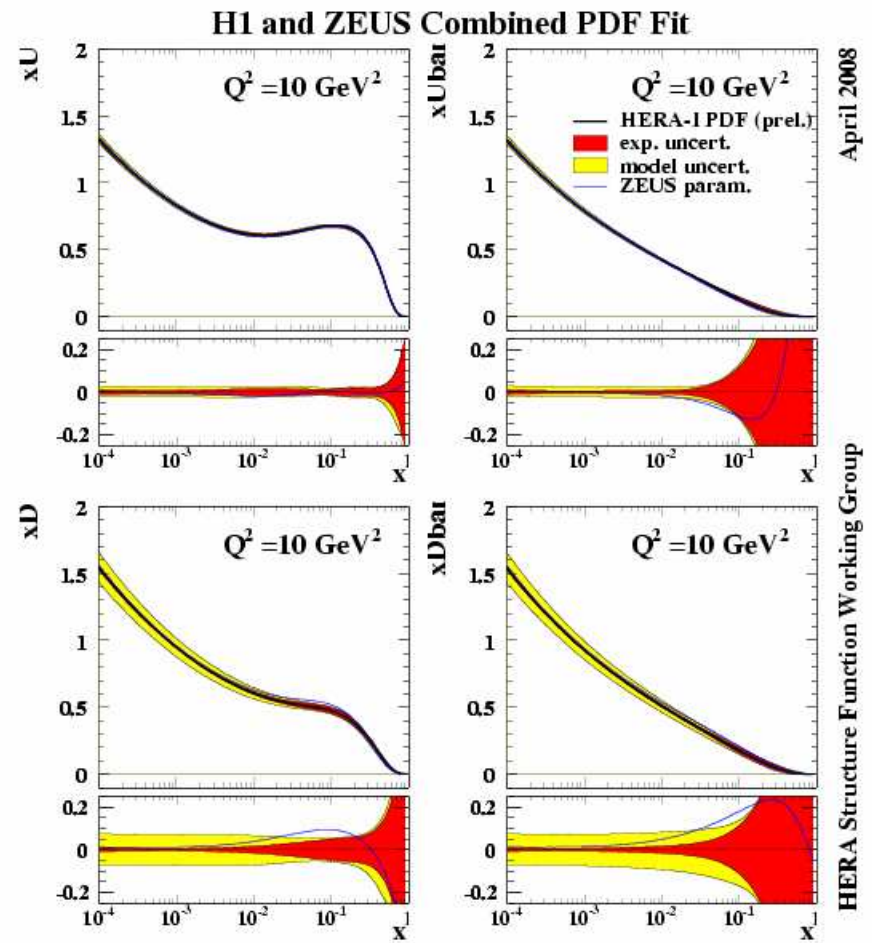
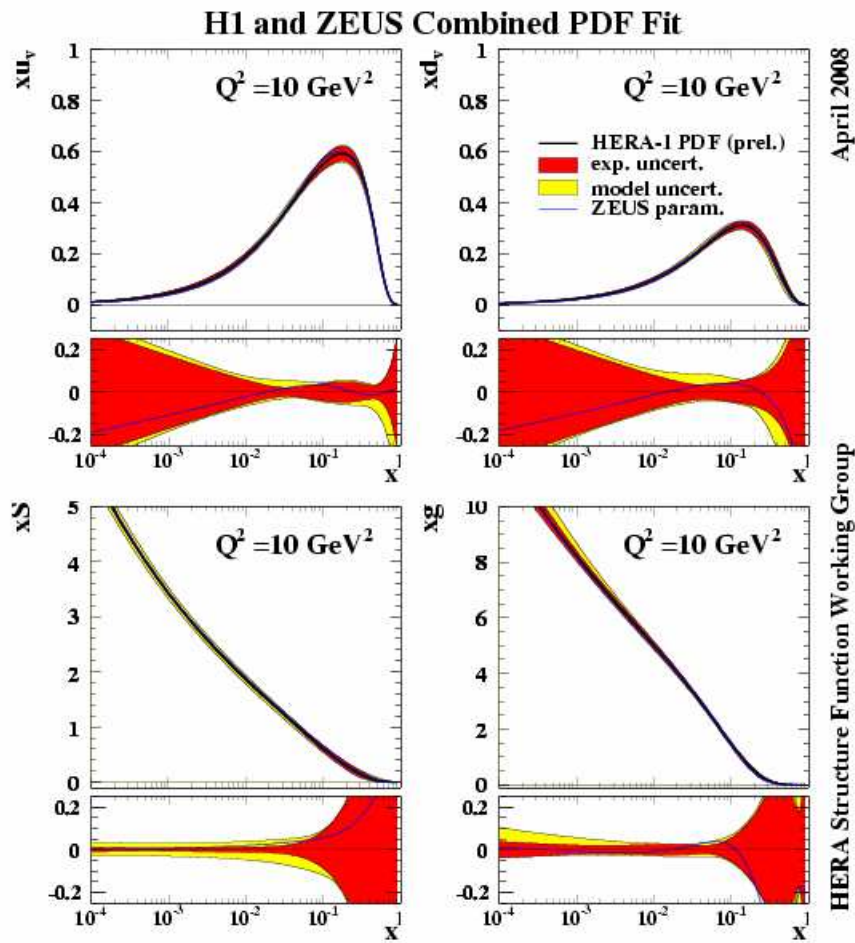
Variation is (just) outside the gluon error band

## Variation: H1 style parameterisation



Central HERAPDF0.1 fit compared to H1 style parameterisation (optimised)  
 Marginally outside error bands for valence quarks at low  $x$

# Variation: ZEUS style parameterisation



Central HERAPDF0.1 fit compared to ZEUS style parameterisation (optimised)  
just inside error bands if model uncertainty included