



# Diffraction: from HERA to the LHC



M.Kapishin for the H1 and ZEUS Collaborations

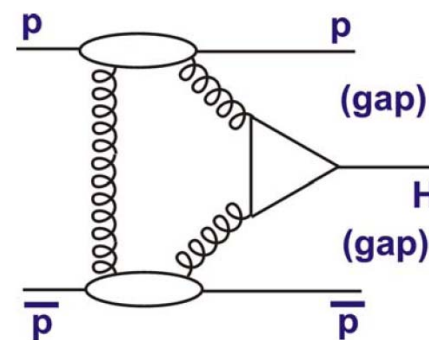
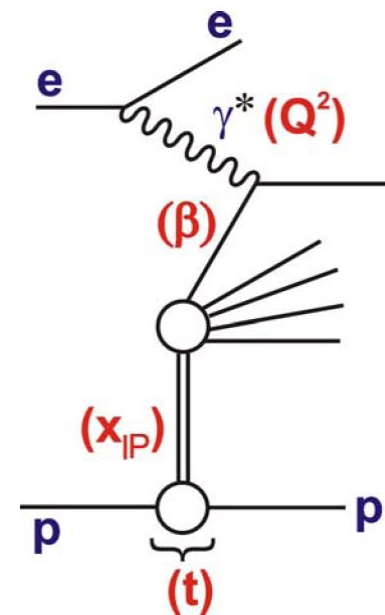
Diffraction 2008

International Workshop on Diffraction in High-Energy Physics

La Londe-les-Maures, France, September 9–14, 2008

What HERA can provide for future LHC measurements:

- Diffractive Parton Densities at HERA
- Factorization tests at HERA
  - Hard scattering collinear factorization
  - Gap survival probability: from  $\gamma p$  to  $pp$
  - Proton vertex factorization
- Exclusive processes at HERA
  - Exclusive Dijet production
  - towards Generalized Parton Densities: heavy VM and DVCS



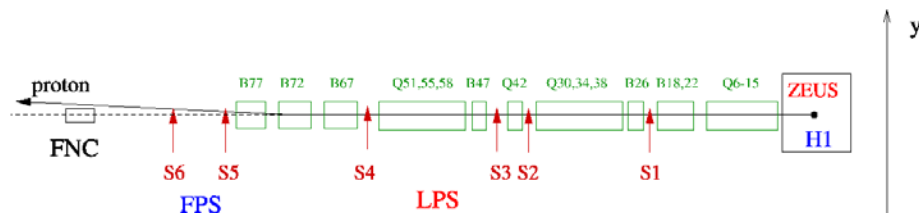
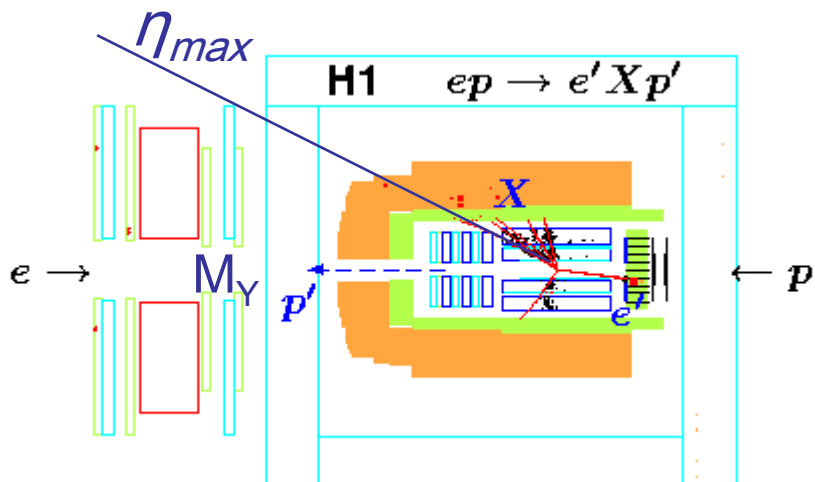


# Selection of diffraction at HERA



Large rapidity gap between leading proton  $p'$  ( $Y$ ) and  $X$

Leading Proton Spectrometers  
**ZEUS** and **H1**



- high statistics, data integrated over  $|t| < 1 \text{ GeV}^2$
- low  $M_Y$  p-dissociation contribution
- limited by systematic uncertainties related to missing proton

- free of p-dissociation background
- $x_{IP}$  and t-measurements
- access to high  $x_{IP}$  range (IP+IR)
- limited by low acceptance and systematic uncertainties of proton measurement

**LRG and LPS methods have different systematic uncertainties**

ZEUS:  $M_X$  method via decomposition of diffractive mass distribution



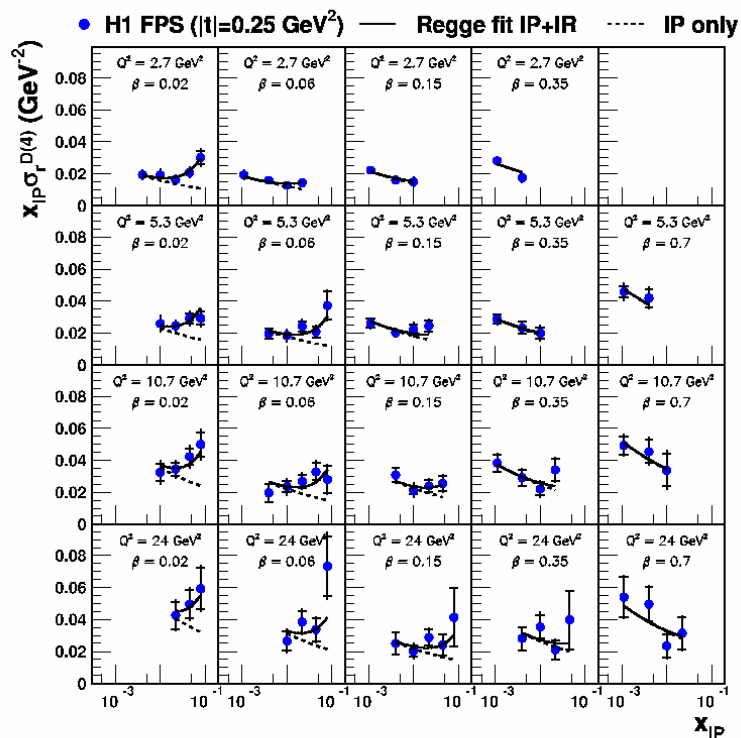
# $\sigma_r^{D(4)}(t, x_{IP}, \beta, Q^2)$ LPS measurement



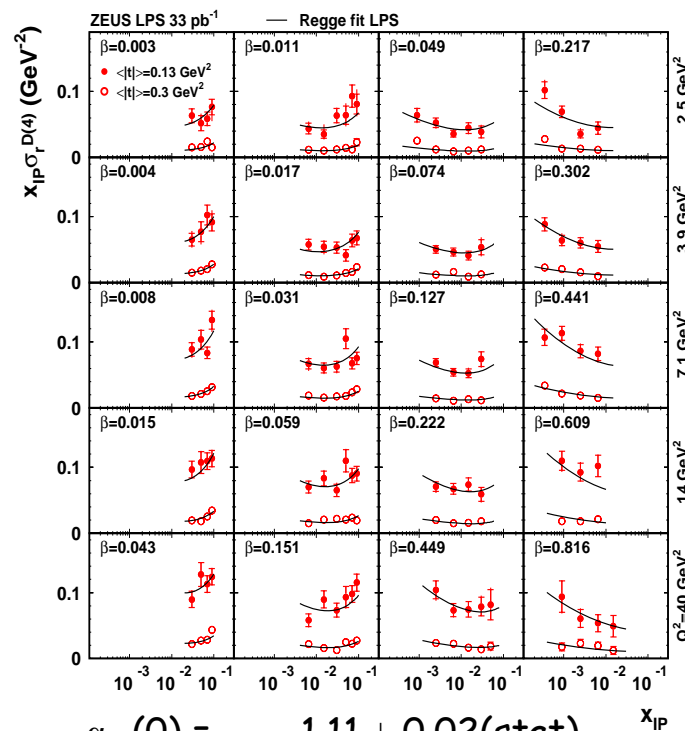
$$F_2^{D(4)}(\beta, Q^2, x_{IP}, t) = f_{IP}(x_{IP}, t) \cdot F_2^{IP}(\beta, Q^2) + n_{IR} \cdot f_{IR}(x_{IP}, t) \cdot F_2^{IR}(\beta, Q^2)$$

Parameterization of  $x_{IP}$  dependence  $\rightarrow$   
IP intercept

First measurement in  
the two  $t$  bins



$$\alpha_{IP}(0) = 1.118 \pm 0.008 \text{ (exp.) } \begin{matrix} +0.029 \\ -0.010 \end{matrix} \text{ (theory)}$$



$$\alpha_{IP}(0) = 1.11 \pm 0.02(\text{stat}) \\ +0.01 -0.02(\text{syst}) \\ +0.02(\text{model})$$

$$\alpha'_{IP} = -0.01 \pm 0.06(\text{stat}) \\ +0.04 -0.08(\text{syst}) \text{ GeV}^{-2}$$

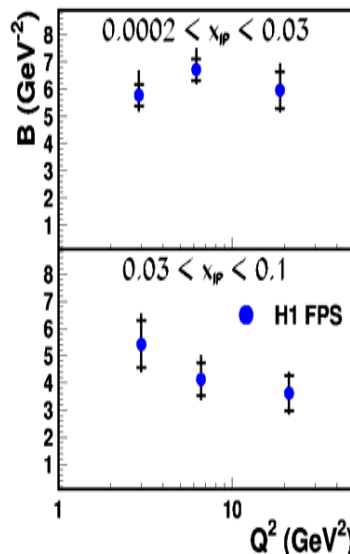
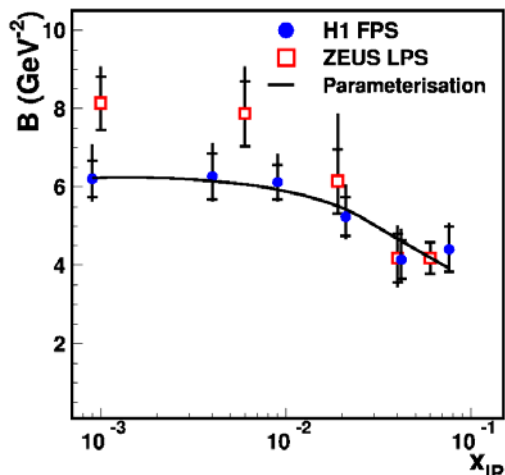
Plans: results based on full statistics of HERA-I,II



# Test of Proton Vertex Factorization



LPS measurements of t-slopes in diffractive DIS

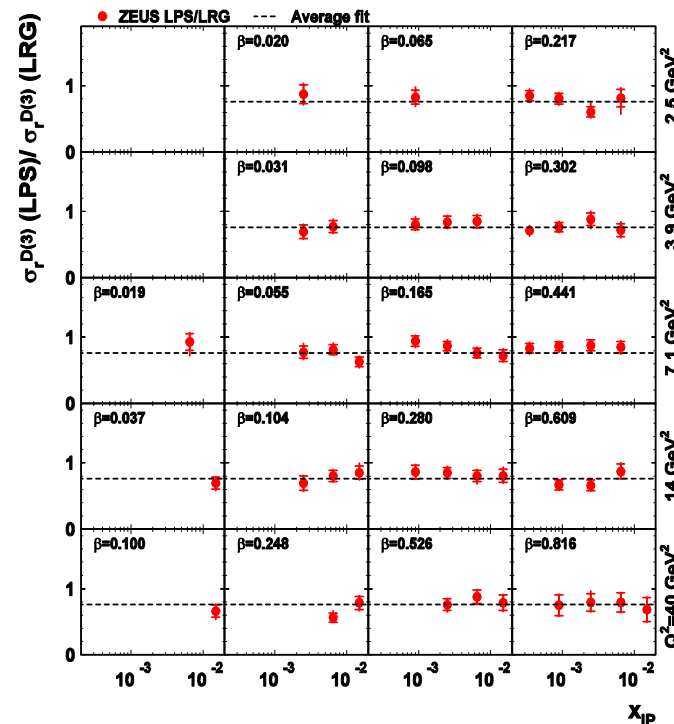


$$B = B_{IP} + 2\alpha'_{IP} \ln(1/x_{IP})$$

$$\alpha'_{IP} = 0.06^{+0.19}_{-0.06} \text{ GeV}^{-2}$$

$$B_{IP} = 5.5^{+2.0}_{-0.7} \text{ GeV}^{-2}$$

LRG / LPS ratio



- $t$  dependence does not change with  $\beta$  or  $Q^2$  at fixed  $x_{IP}$  → consistent with **proton vertex factorization**
- $\alpha'_{IP}$  is not “soft” ( $\alpha'_{IP}(\text{soft}) \sim 0.25 \text{ GeV}^{-2}$ )

- $M_Y$ -dependence (H1+ZEUS): LRG / LPS ratio does not depend on  $Q^2$ ,  $\beta$ ,  $x_{IP}$  within uncertainties → consistent with **proton vertex factorization**



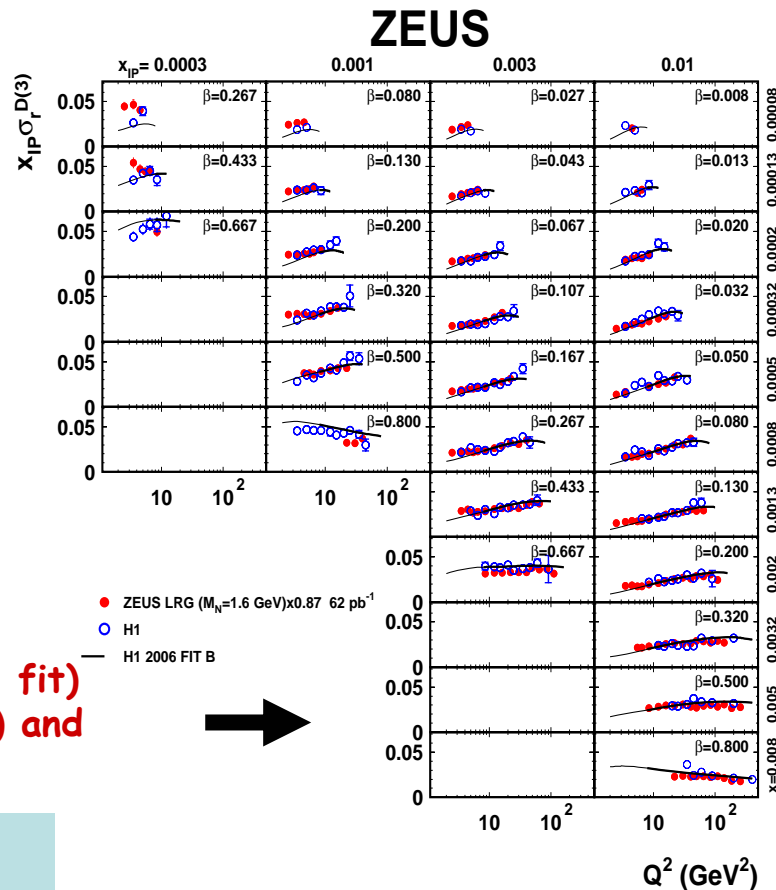
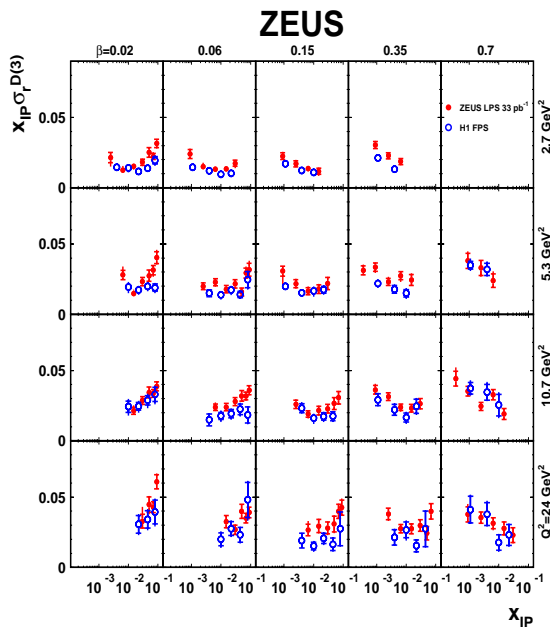
# Inclusive Diffraction in DIS



$\sigma_r^{D(3)}$  ZEUS vs H1

Leading Proton Spectrometer data

Large Rapidity Gap data



Remaining normalisation difference of 13% (global fit) covered by uncertainty on p-diss. correction (8%) and relative normalisation uncertainty (7%)

Plans:

- combine H1+ZEUS  $\sigma_r^{D(3)}$  data
- measure  $F_L^D$  using H1+ZEUS data at low, medium and high proton energies

■ ZEUS results consistent with H1 results within uncertainties

# Factorization in Diffractive DIS

QCD hard scattering collinear factorization:

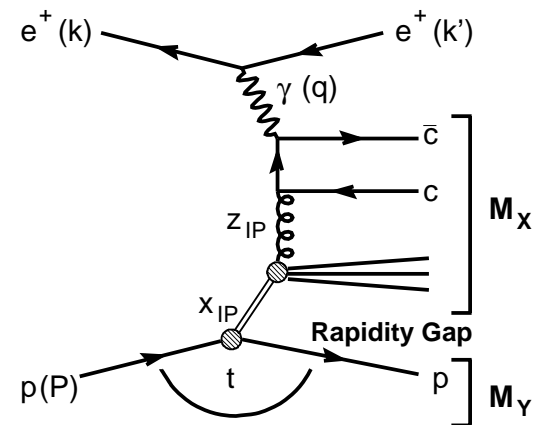
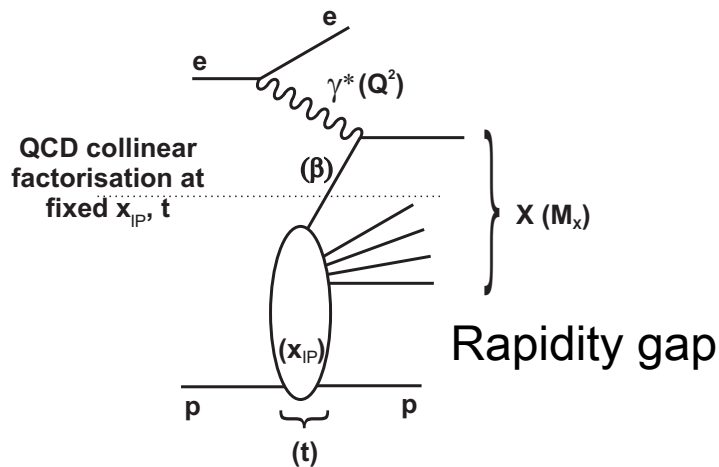
$$\sigma^D(\gamma^* p \rightarrow Xp) = \sum_{parton\_i} f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma^{\gamma^*i}(x, Q^2)$$

$\sigma^{\gamma^*i}$  universal hard scattering cross section (same as in inclusive DIS)

$f_i^D$  - Diffractive Parton Distribution Function  $\rightarrow$  obey DGLAP,  
universal for diffractive  $ep$  DIS (inclusive, Dijets, Charm)

❑ Extract DPDFs from QCD fit to inclusive diffractive DIS

❑ Test DPDFs in diffractive Final States (Boson Gluon Fusion)

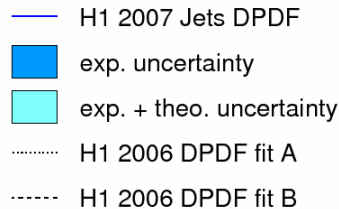


❑ Assumption: Proton vertex factorization  $\rightarrow$   $Q^2$  and  $\beta$  dependences of diffractive PDFs factorize from  $x_{IP}$ ,  $t$ ,  $M_Y$  dependences



# Diffractive PDFs

- Diffractive Dijet DIS data used as an additional constrain in a NLO QCD fit

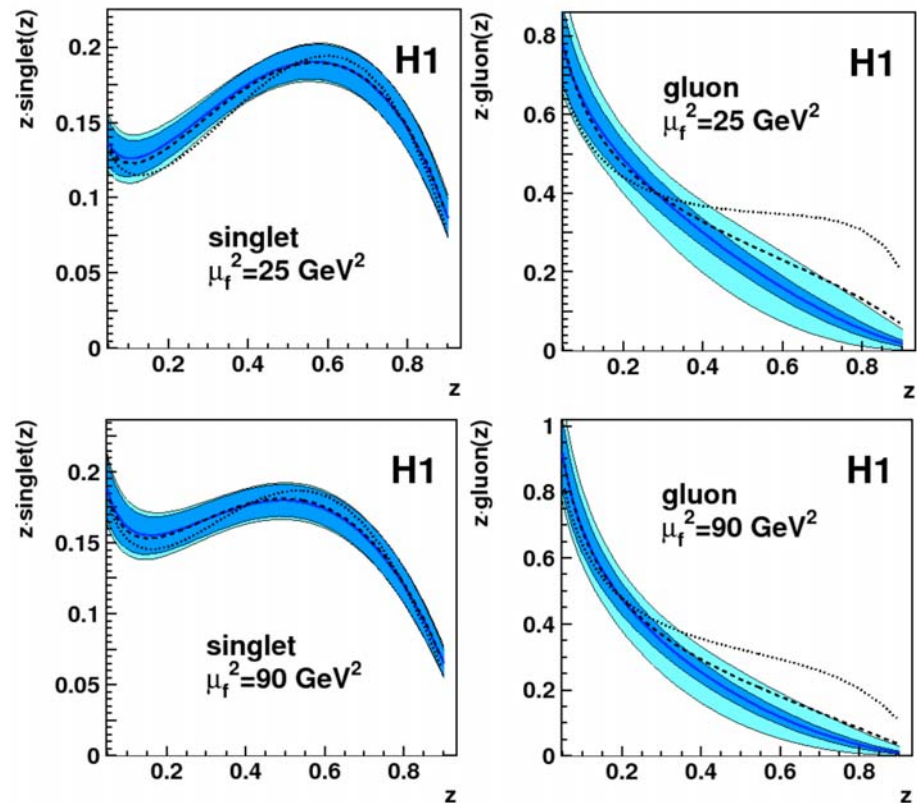


- Combined fit to inclusive DIS and Dijet DIS data constrain quark singlet to  $\sim 5\%$  and gluon PDFs to  $\sim 15\%$  at low  $z$

- **H1 Jets 2007 DPDF are the most precise diffractive partons**
- Gluon PDF at high  $z$  important to estimate background for Central Exclusive Production

Plans:

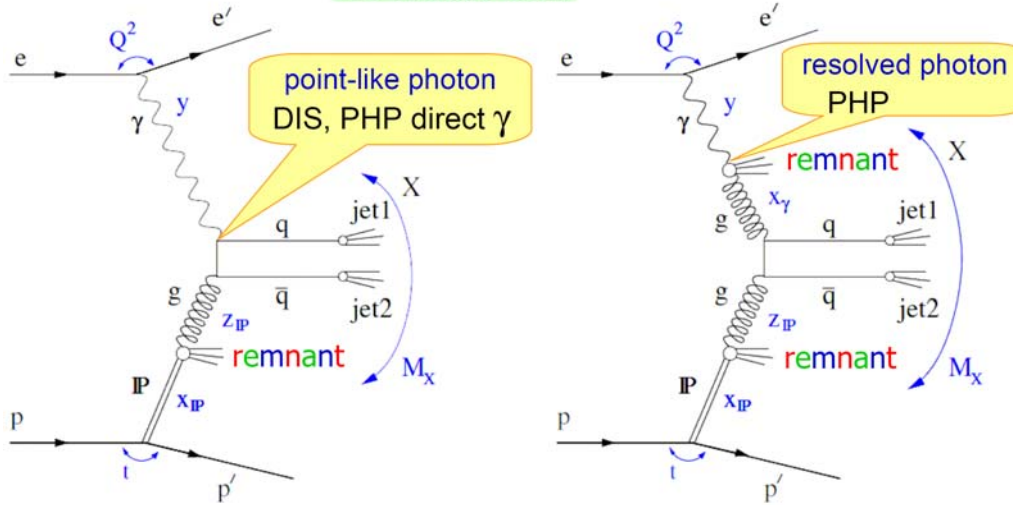
- include high  $Q^2$  inclusive and Dijet DIS data to reduce scale uncertainty
- combine H1+ZEUS data in a joint NLO QCD fit
- constrain  $F_L^D$  from H1+ZEUS data



# Diffractive Dijet Photo-production

Test of hard scattering collinear factorization

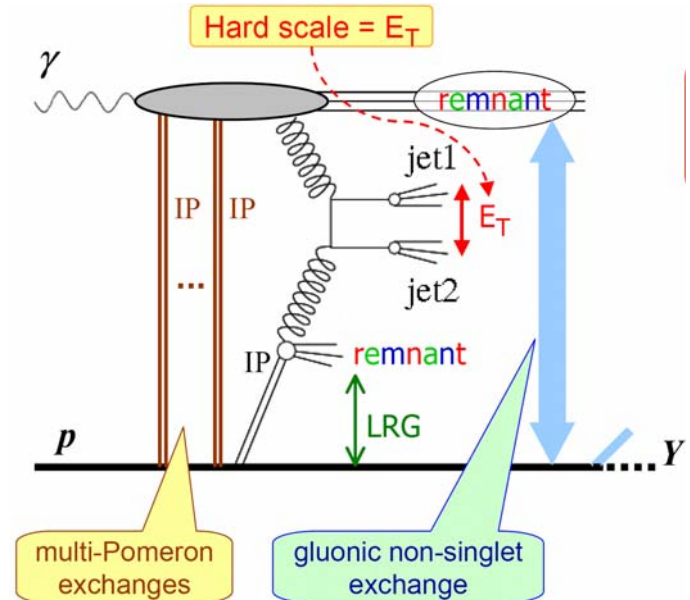
LO intuitive view



Rescattering leads to:

- Factorisation breaking
- Screening
- Rapidity gap fill-up

- Does factorisation hold for the diffractive dijet photoproduction where ...?
  - known to be strongly broken in  $pp$  diffraction
  - Factorisation should hold for direct photon –  $x_\gamma = 1$
  - Suppression – if any – expected for resolved photon –  $x_\gamma < 1$







# Diffractive Dijet Photoproduction



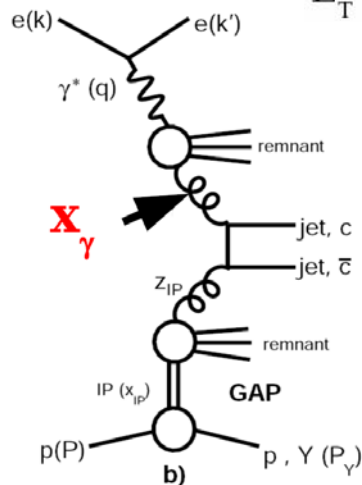
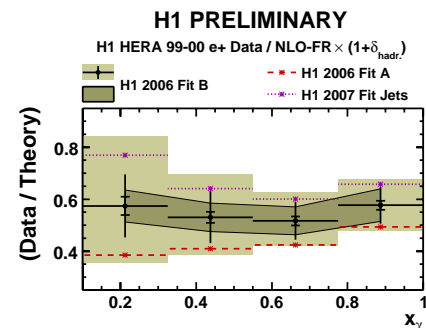
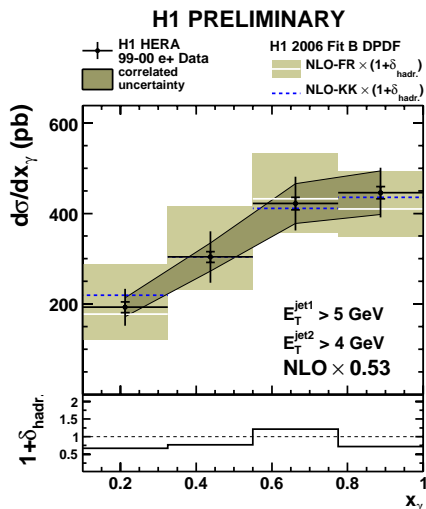
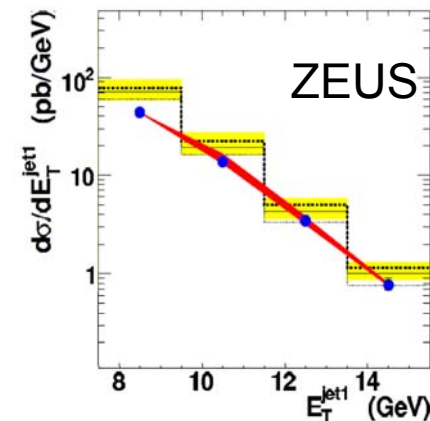
H1 Dijet analysis with higher statistics data vs NLO QCD calculations:

H1 and ZEUS:

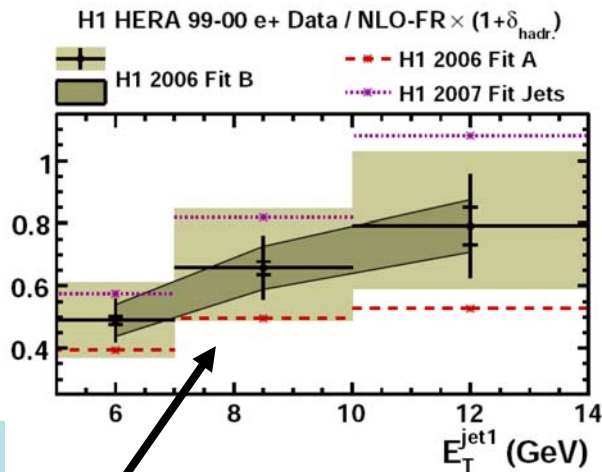
There is no evidence for any difference in survival probabilities for resolved and direct photons.

$$E_T^{\text{jet1}} > 5 \text{ GeV}$$

$$E_T^{\text{jet2}} > 4 \text{ GeV}$$



H1 PRELIMINARY



H1 and ZEUS:

Another suggestion for harder  $E_T^{\text{jet1}}$  slope in data than NLO theory.

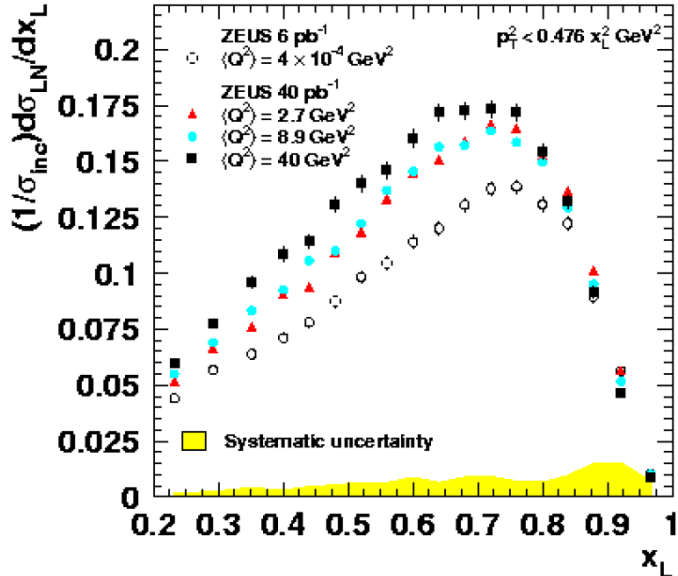
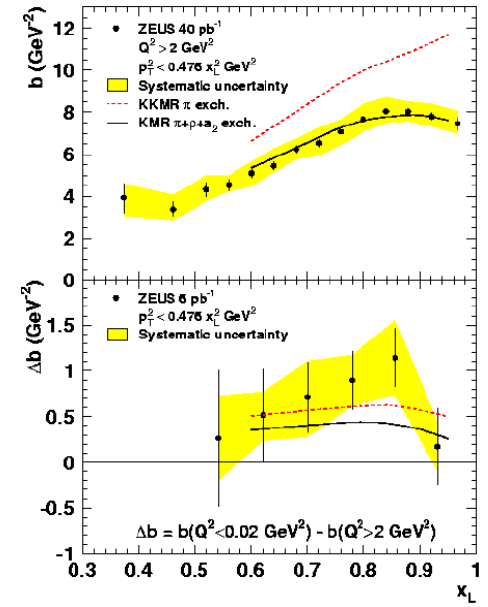
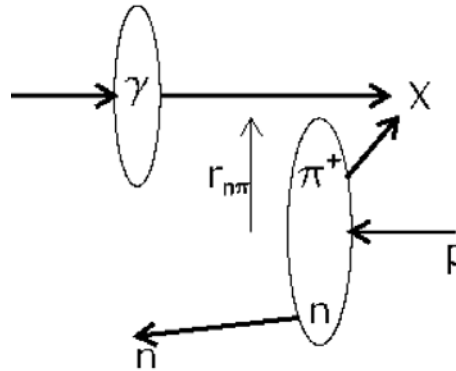
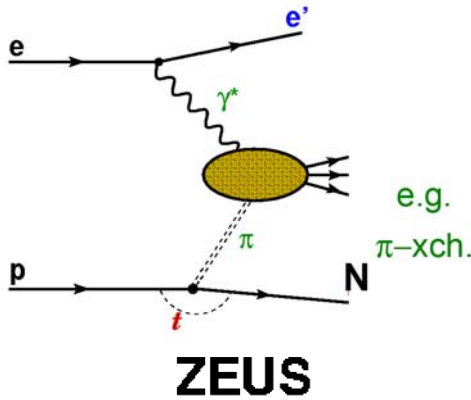
H1:

Similar survival probability range to ZEUS in similar  $E_T$  range if data and DPDF uncertainties taken into account.

# Leading neutrons: absorptive corrections



- Effect of absorption (re-scattering) in Leading Neutron production (PhP & DIS) → compare Data with KKMR calculations based on absorption corrections

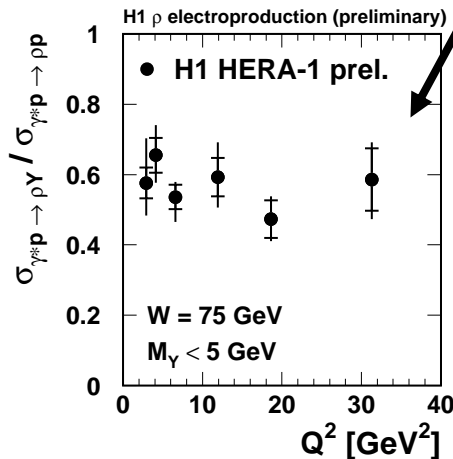
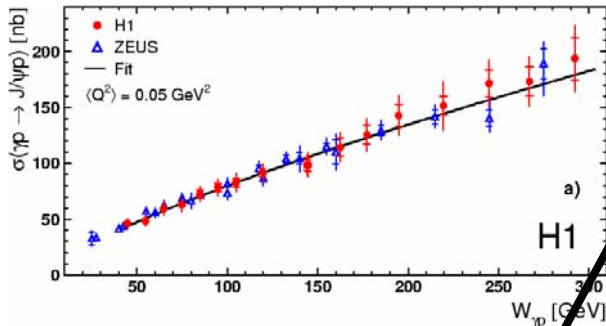
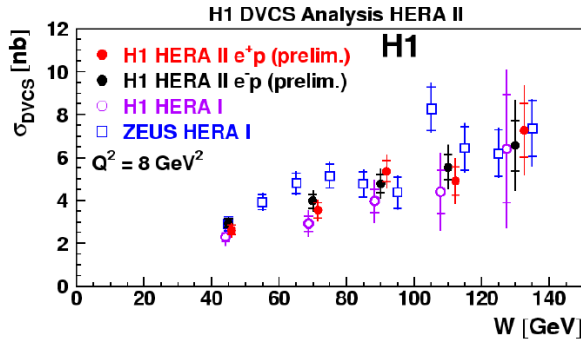


LN yield increases with  $Q^2$  → consistent with absorption model: larger  $Q^2$  → smaller  $\gamma^*$

Plans:

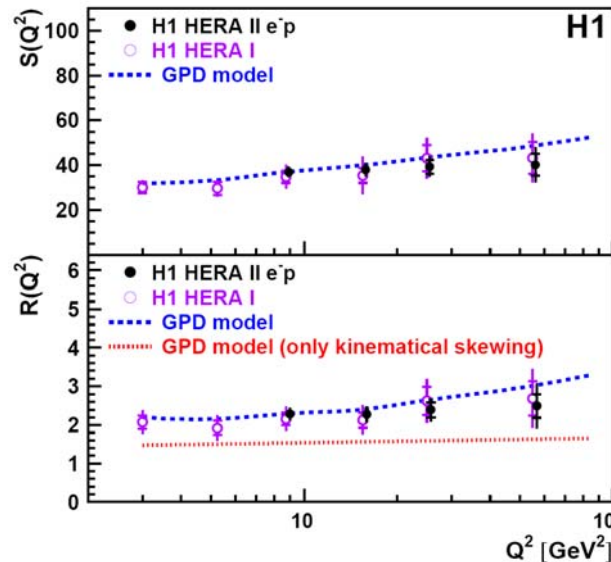
- Detailed studies of  $x_L$  and  $p_T^2$  spectra
- Test of proton vertex factorization using leading neutron data at low, medium and high proton beam energies

# Vector mesons and DVCS at HERA



Tests of  $\gamma^*$  p scattering in diffractive VM photo-production and DIS:

- Unique transverse / longitudinal  $\gamma^*$  separation
- Unique sensitivity to 'soft  $\rightarrow$  hard' transition
- t measurements  $\rightarrow$  transverse picture of proton
- Proton vertex factorization tests  $\rightarrow$  PD / EL ratio
- Constrain Generalized Gluon Density (best with heavy VM and DVCS)

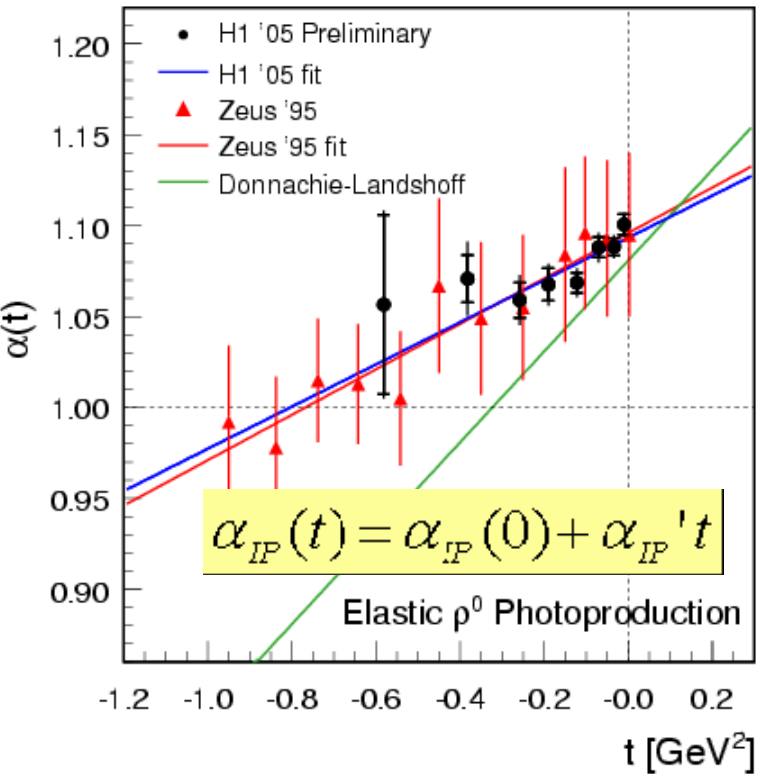


$$S = \sqrt{\frac{\sigma_{DVCS} Q^4 b(Q^2)}{(1 + \rho^2)}}$$

skewing factor: around 2

$$R = \frac{\text{Im } A(\gamma^* p \rightarrow \gamma p)}{\text{Im } A(\gamma^* p \rightarrow \gamma^* p)}$$

H1 PRELIMINARY



## Elastic $\rho$ -meson photo-production

H1:

$$\alpha_{IP}(t) = [1.093 \pm 0.008] + [0.116 \pm 0.049] t$$

ZEUS:

$$\alpha_{IP}(t) = [1.096 \pm 0.021] + [0.125 \pm 0.038] t$$

Soft h-h

scattering:

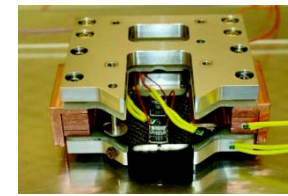
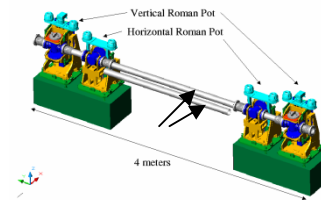
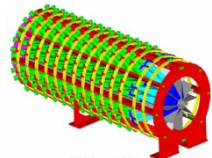
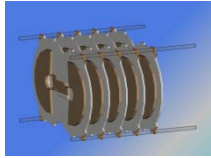
$$\alpha_{IP}(t) = [1.085] + [0.25] t$$

- slope  $\alpha_{IP}'$  is smaller than value 0.25  $\text{GeV}^{-2}$  extracted from soft hadron-hadron scattering
- slope  $\alpha_{IP}'$  from inclusive diffractive DIS is even smaller
- No universal soft IP in photo-production and hadron-hadron scattering

• Need confirmation with Leading Proton Spectrometer data

# LHC Forward Instrumentation

IP5



TOTEM-T2

CASTOR

ZDC

TOTEM-RP

FP420

14m

16m

140m

147-(180)-220m

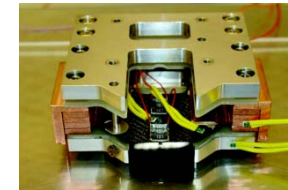
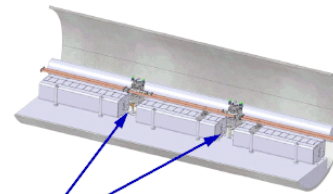
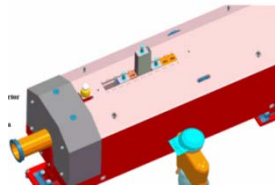
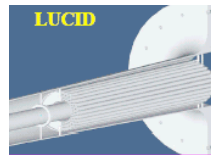
420m

LUCID

ZDC

ALFA/FP220

FP420



IP1

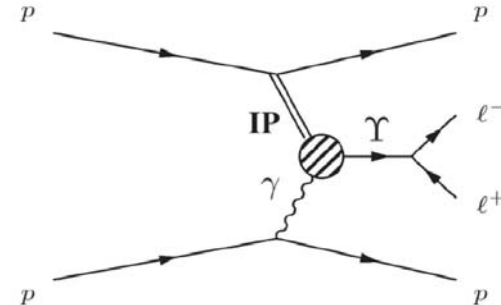
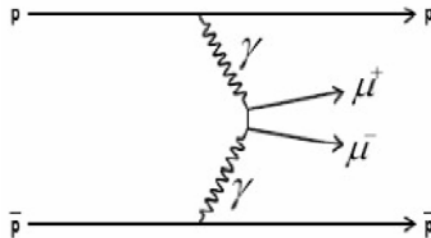
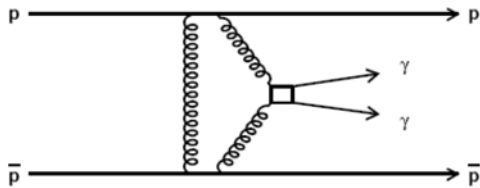
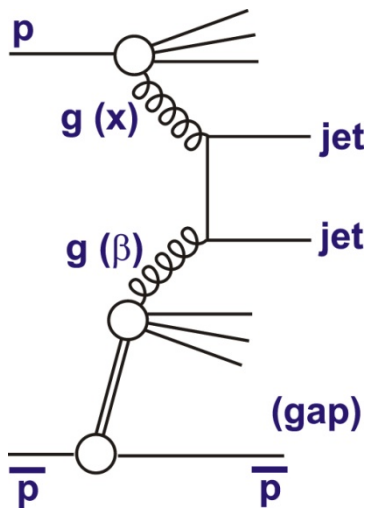
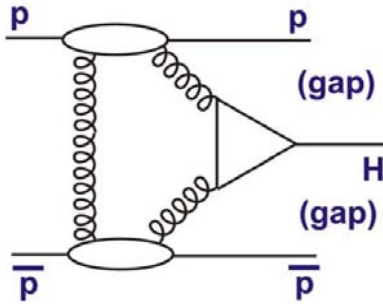
Experimental techniques:

- Large rapidity gaps (low luminosity phase)
- Leading protons tagged in Roman Pots

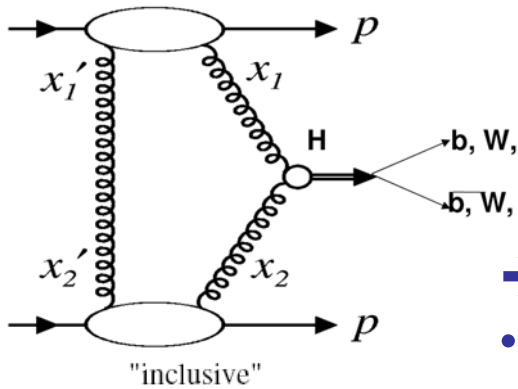
# Hard Diffraction at the LHC

## Wide scope of measurements:

- Single and double diffraction:  $x_{IP}$ ,  $t$ ,  $M_x$  spectra, gap survival probabilities
- Hard Pomeron–Pomeron scattering  $\rightarrow$  high  $p_T$  jets, heavy flavors,  $W$ ,  $Z$  production
- Central exclusive production  $\rightarrow$  Di-jets,  $\gamma\gamma$  production, Higgs and processes beyond SM
- Forward jets and forward Drell-Yan pair production
- $\gamma\gamma$  and  $\gamma p$  physics and luminosity measurements



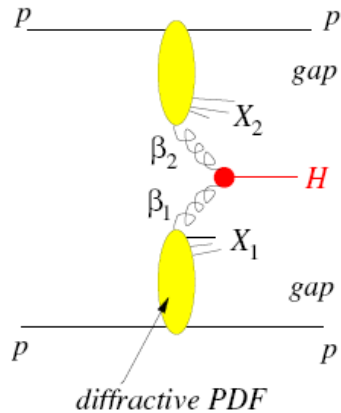
# LHC: Central Exclusive Production



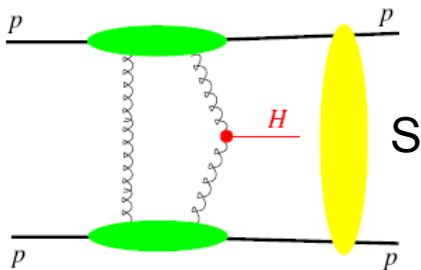
Physics motivation: Higgs and processes beyond SM

## HERA input:

- ➔ rate and background estimate
- diffractive PDFs: control non-exclusive background
- gap survival probability ( $\gamma p$  & DIS Dijets, leading neutrons), compare HERA DPDF predictions with Tevatron data
- exclusive Dijet cross section



- ➔ generalized (skewed) gluon distribution
- exclusive  $J/\psi$  production  $\rightarrow$  high rate; double differential distributions
- exclusive  $Y$  production  $\rightarrow$  large mass scale, but very low rate;  $t$ , energy dependences
- DVCS  $\rightarrow$  clean process for theory, but low rate

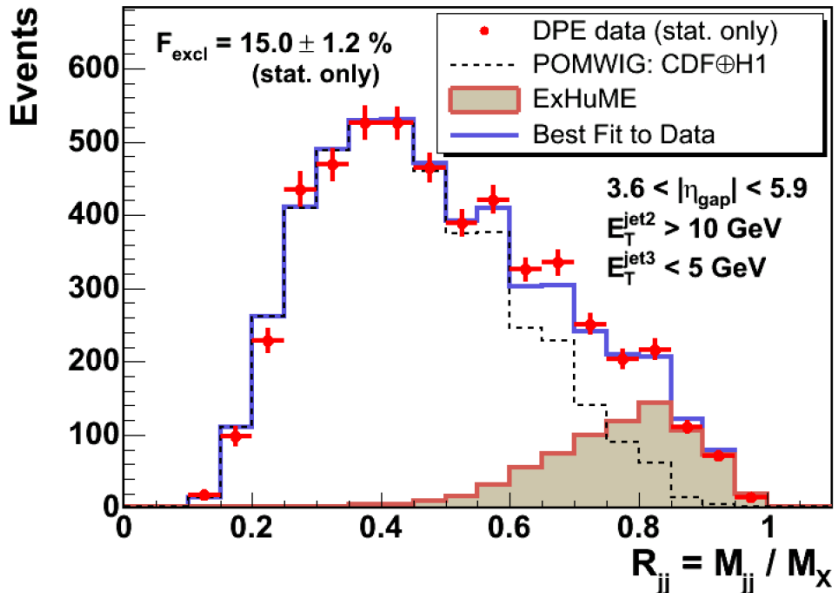


$$\sigma = \sigma_{\text{hard}} \otimes |S|^2$$

# Tevatron: Central Exclusive Jet Production

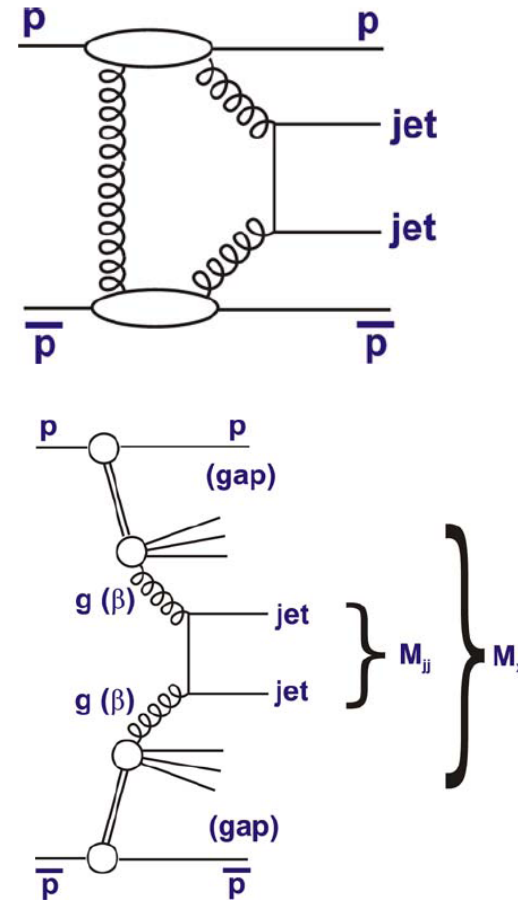
Look for excess over inclusive Dijet prediction at large dijet mass fraction  $R_{jj}$

CDF Run II Preliminary



Excess at large  $R_{jj}$  described by  $gg \rightarrow gg$  LO pQCD KMR calculation and by DPE MC based on Regge model, Gap Survival probability  $\sim 5\%$ , 'uncertainty factor'  $\sim 2.5$

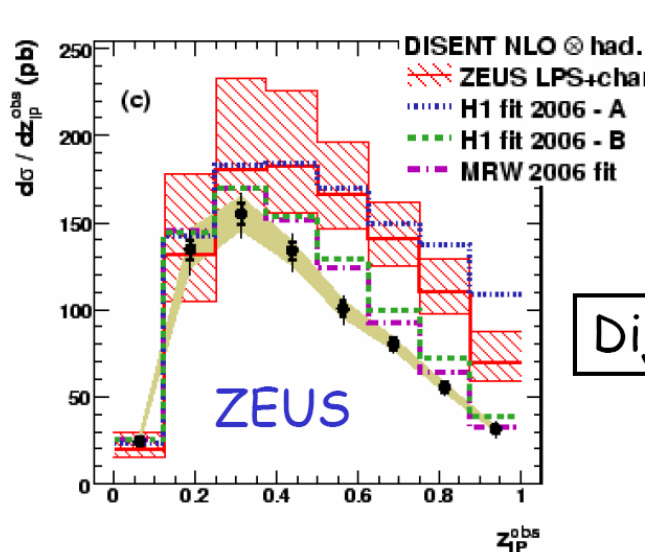
What about HERA results?



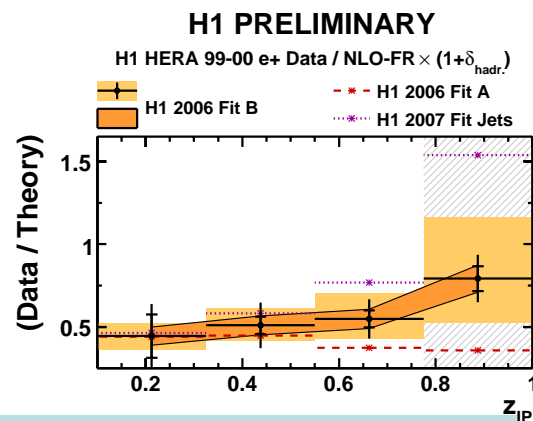
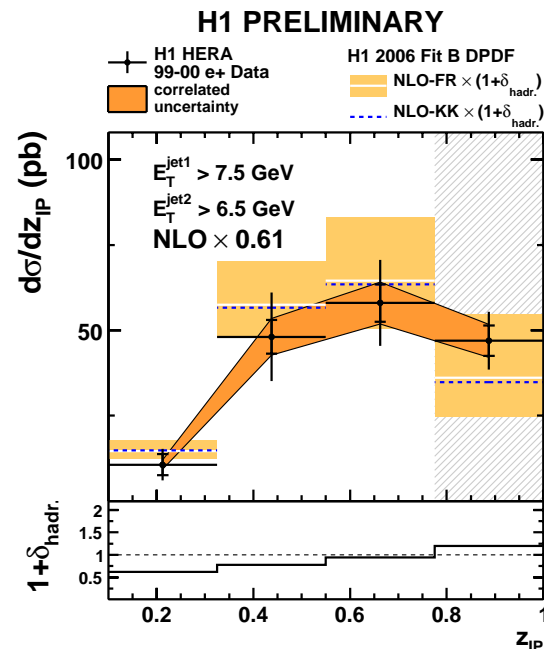
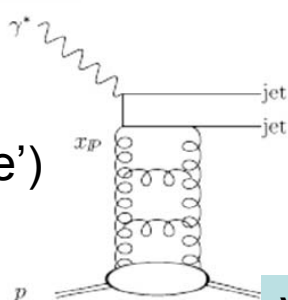
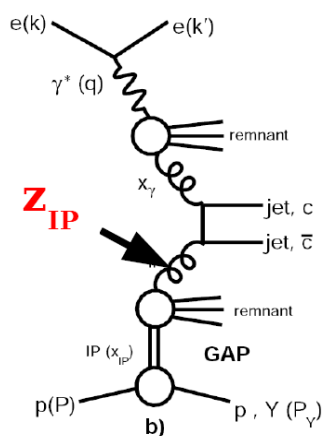
DPE Dijets:  $R_{jj} = M_{jj} / M_x$   
 $R_{jj} \rightarrow 1$  exclusive jets  
 $R_{jj} < 1$  inclusive jets



- No excess over gluon DPDF prediction at large fractional momentum  $z_{IP}$  within model uncertainties
- Need systematic search for exclusive jets in PhP and DIS



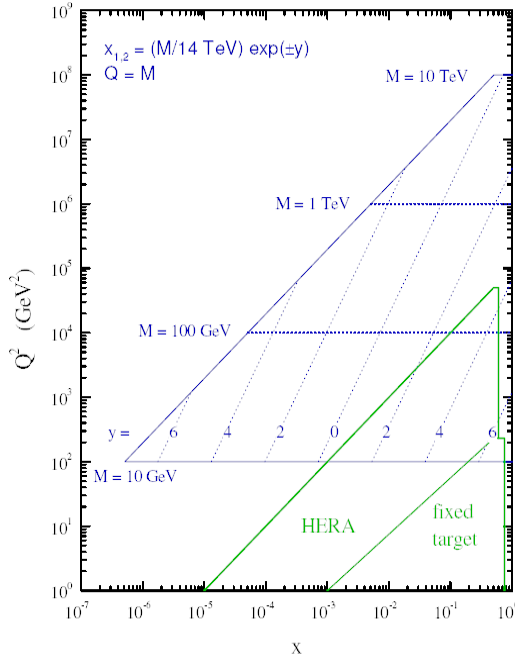
Dijet



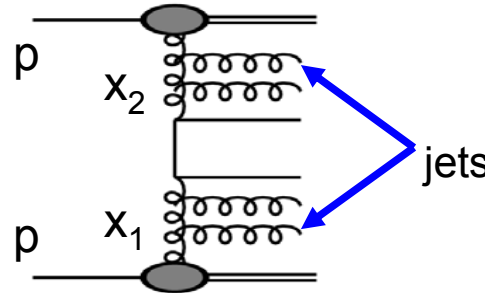
constrain direct ('hard, perturbative') Pomeron (MRW approach)  $\rightarrow$

Very large theory uncertainty at high fractional momentum  $z_{IP}$

# Forward Jets with leading proton



## LHC: Probe PDFs in different kinematical domains

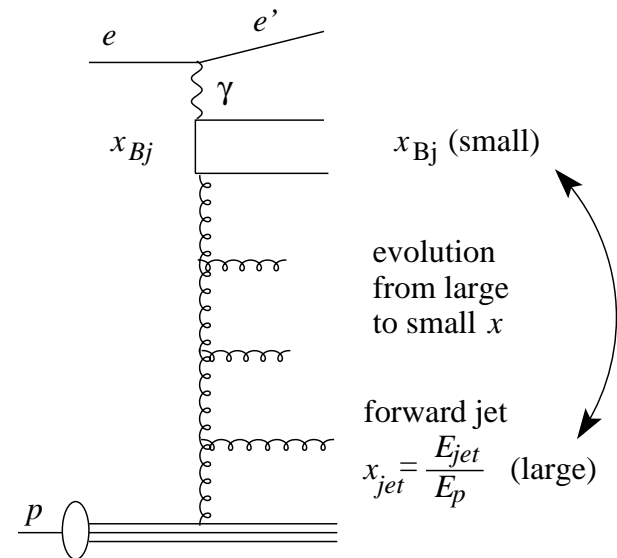


$$x_{Bj} = \frac{Q}{\sqrt{s}} e^{-\eta}, \quad Q = p_T, M, \dots$$

- $x_2 \approx x_1 \rightarrow$  study jets in central detector
- $x_2 \ll x_1 \rightarrow$  forward jets, forward Drell-Yan pairs, access to very low- $x_{Bj} \approx 10^{-6}$

## HERA input:

- Study forward jets with LPS leading proton  $\rightarrow$  distinguish between soft and perturbative Pomeron
- Forward energy flow and forward hadron multiplicity in DIS events with LPS leading proton



# A wish list of HERA measurements

## Inclusive DIS:

- $F_2^D(t, x_{IP}, \beta, Q^2)$  measurement using full HERA-I,II LPS data
- $F_L^D$  measurement using data at low / medium / high proton energies
- High  $Q^2$  HERA-II data ( $F_2^D$ +DIS Dijets) to reduce DPDF uncertainty
- combine DPDFs from H1 and ZEUS data

## Dijets in $\gamma p$ and DIS:

- Ratio of Diffractive to Inclusive Dijet cross sections ( $Q^2$  dependence)
- Systematic search for Exclusive Dijets
- Forward Jets, forward energy flow in hard diffraction with LPS proton

## Elastic VM and DVCS:

- Measure t-slopes using LPS data
- Double differential distributions to constrain GPDs using full HERA-I,II data
- Diffractive  $J/\psi$  photo-production: measure  $M_\gamma$  distribution  $\rightarrow$  bare 3IP vertex

# Summary of HERA results

- **HERA experiments give consistent results** for diffractive DIS with different methods and provide tools for future diffractive measurements at the LHC
- **Diffractive Parton Densities** extracted at HERA are used to predict cross sections of diffractive processes at the LHC
- **Factorization tests at HERA**
  - confirm hard scattering collinear factorization in diffractive DIS
  - give estimation of gap survival probability in  $\gamma p$  scattering
  - data consistent with proton vertex factorization within uncertainties
- **Measurements of exclusive processes at HERA**
  - constrain  $t$ ,  $Q^2$  and energy dependence of heavy VM and DVCS
  - constrain Generalized Parton Densities for predictions of Central Exclusive Processes at the LHC