



Diffraction at HERA

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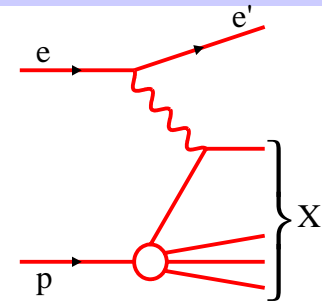
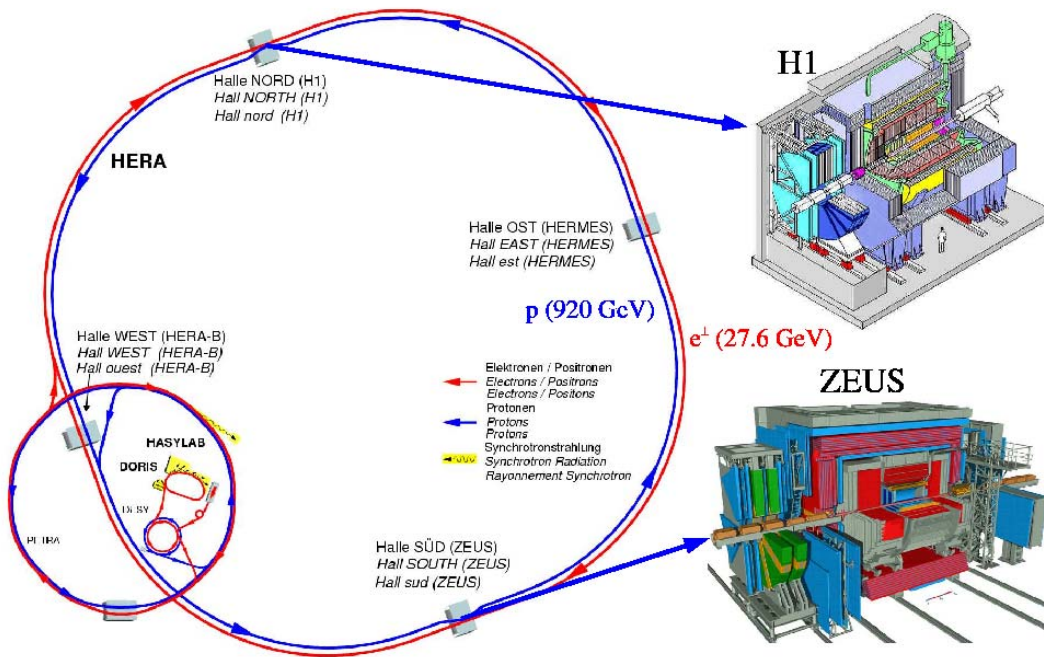
on behalf of H1 and ZEUS collaborations



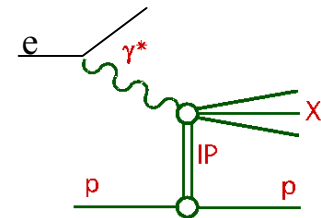
HERA collider experiments



- 27.5 GeV electrons/positrons on 920 GeV protons $\rightarrow \sqrt{s}=318$ GeV
- two experiments: H1 and ZEUS
- HERA I: 16 pb⁻¹ e-p, 120 pb⁻¹ e+p
- HERA II: ~ 550 pb⁻¹, $\sim 40\%$ polarisation of e⁺, e⁻



DIS: Probe structure of proton $\rightarrow F_2$

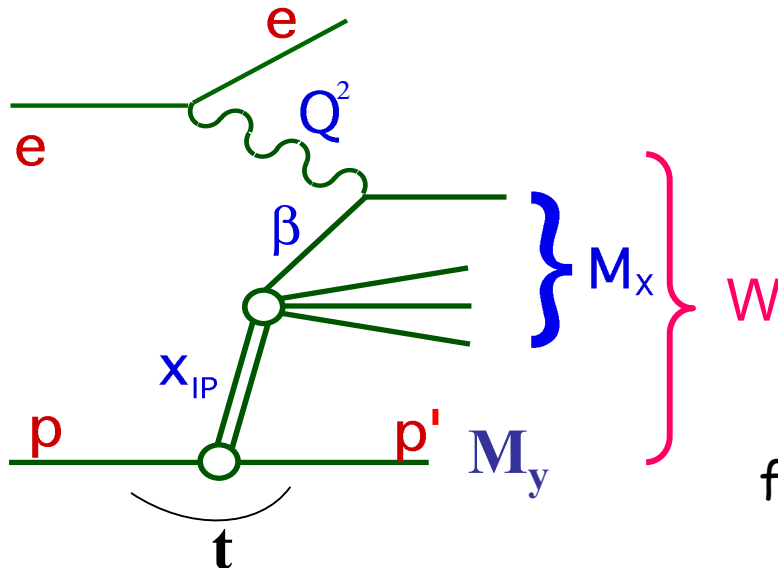


Diffractive DIS: Probe structure of color singlet exchange $\rightarrow F_2^D$

HERA: ~10% of low-x DIS events are diffractive

Why to study diffraction?

- **fundamental aim:** to understand high energy limit of QCD (gluodynamics)
- **novelty:** for the first time probe partonic structure of diffractive exchange
- **practical motivations:** to study factorisation properties of diffraction - try to transport to **hh** scattering (e.g. predict diffractive Higgs production at LHC)



$$x_{IP} = \frac{q \cdot (p - p')}{q \cdot p} \approx \frac{Q^2 + M_x^2}{Q^2 + W^2} \longrightarrow$$

momentum fraction of color singlet exchange

$$\beta = \frac{x}{x_{IP}} \approx \frac{Q^2}{Q^2 + M_x^2} \longrightarrow$$

fraction of exchange momentum, coupling to γ^*

$$t = (p - p')^2 \longrightarrow$$

4-momentum transfer squared

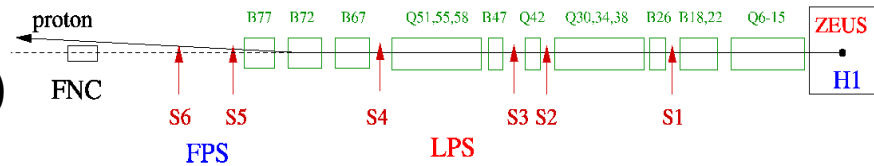


Diffraction Event Selection



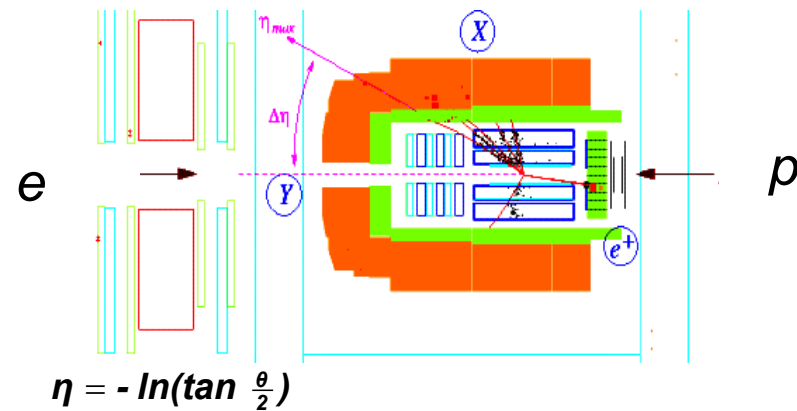
1) Proton Spectrometers:

- **ZEUS**: LPS (1993-2000)
- **H1**: FPS (1995-), VFPS (2004-)
- t measurement
- access to high x_{IP} range
- free of p-dissociation background at low x_{IP}
- small acceptance → low statistics ☠



2) Large Rapidity Gap, **H1, ZEUS**:

- Require no activity beyond η_{max}
- t not measured, some p-diss background ☠

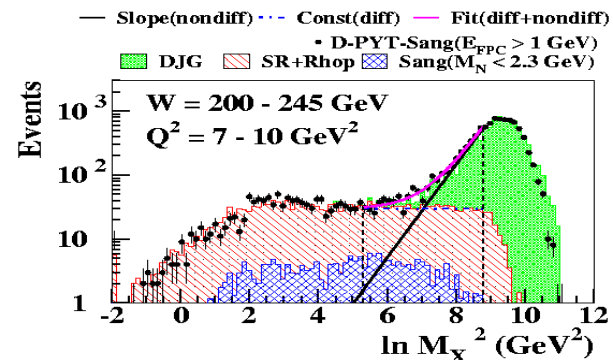


3) M_x method, **ZEUS**:

- Diffractive vs non-diffractive: exponential fall off vs constant distribution in $\ln M_x^2$
- Some p-diss contribution ☠

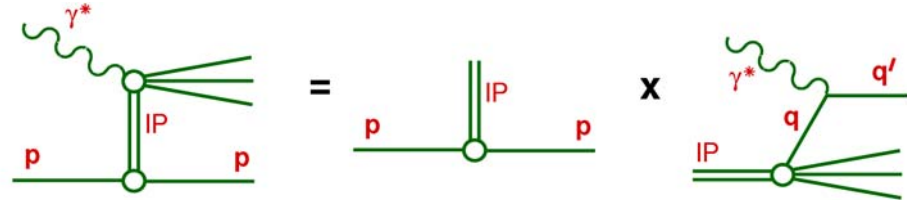
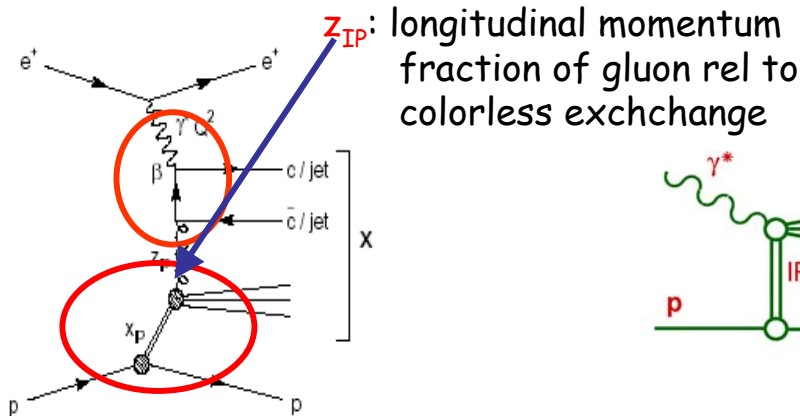
$$\frac{dN}{d \ln M_x^2} \propto D + C e^{B \ln M_x^2}$$

diff.
non-diff





Factorisation properties in diffraction



QCD factorisation &

rigorously proven for DDIS by Collins et al

Regge factorisation

conjecture, e.g. Resolved Pomeron Model by Ingelman, Schlein

$$\sigma^D(\gamma^* p \rightarrow Xp) \propto \sum_{parton_i} f_i^D(x, Q^2, x_{IP}, t) \cdot \sigma^{\gamma^*i}(x, Q^2)$$

σ^{γ^*i} universal hard scattering cross section (same as in inclusive DIS)

f_i^D diffractive parton distribution functions \rightarrow obey DGLAP, universal for diffractive ep DIS (inclusive, di-jets, charm)

Regge motivated pomeron flux

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = x/x_{IP}, Q^2)$$

Extracted from inclusive diffraction!

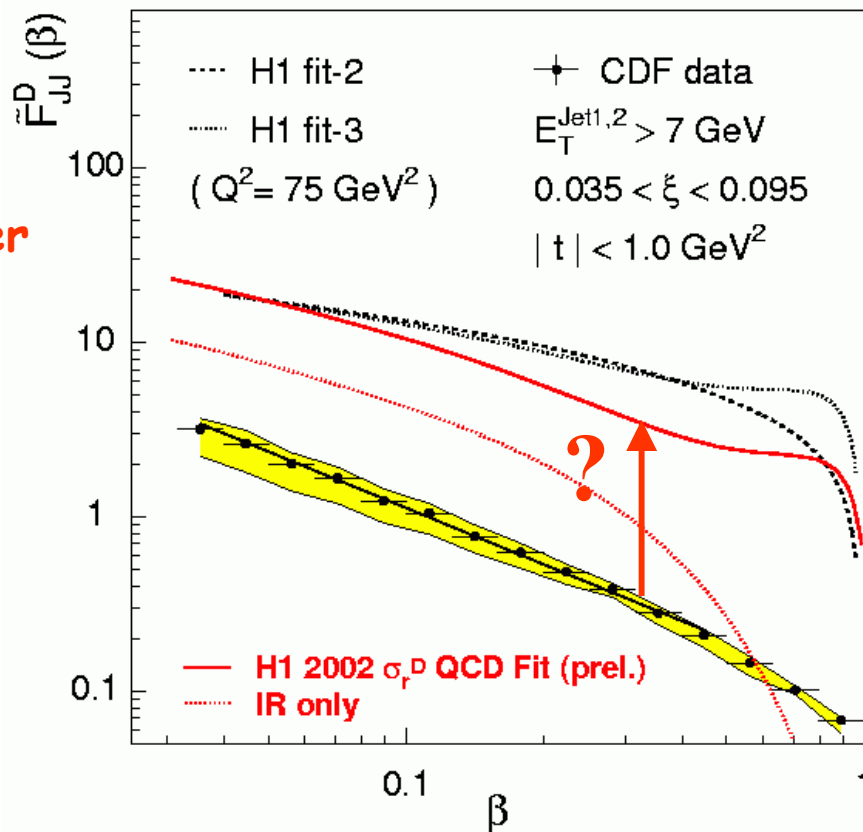
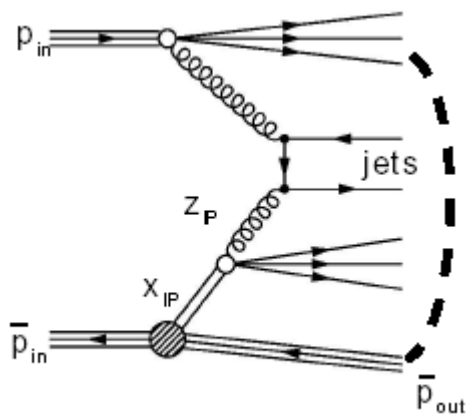


Exporting PDFs from HERA to the Tevatron.....

CDF Tevatron data:

At Tevatron HERA PDF's do not work....????

Dijet cross section factor **5-10 lower** than the QCD calculation using HERA PDFs





QCD factorisation in diff. DIS

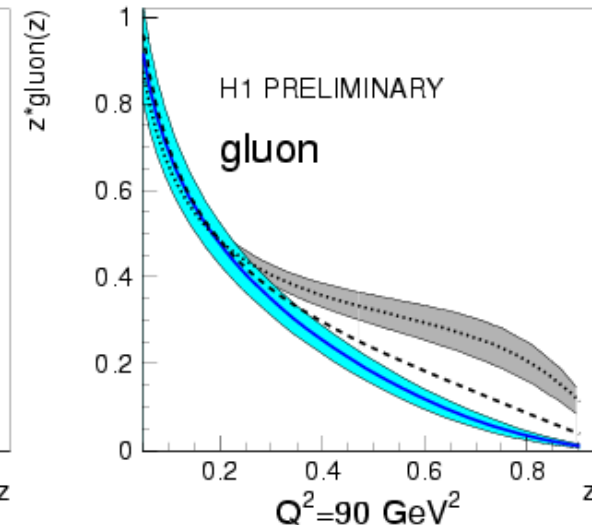
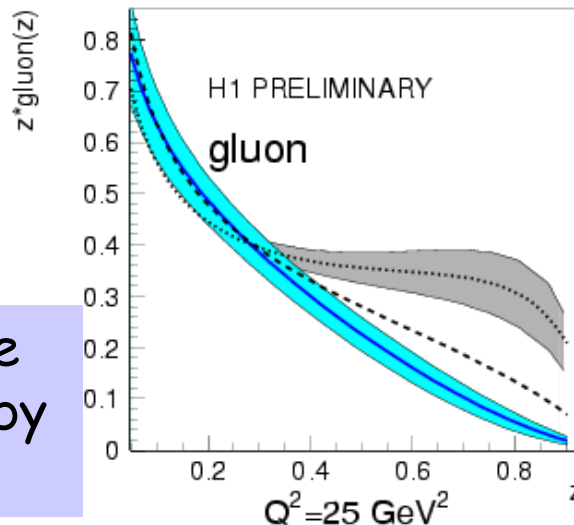
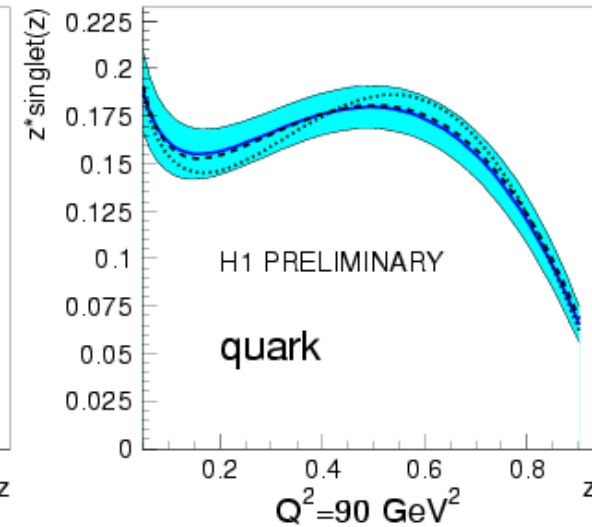
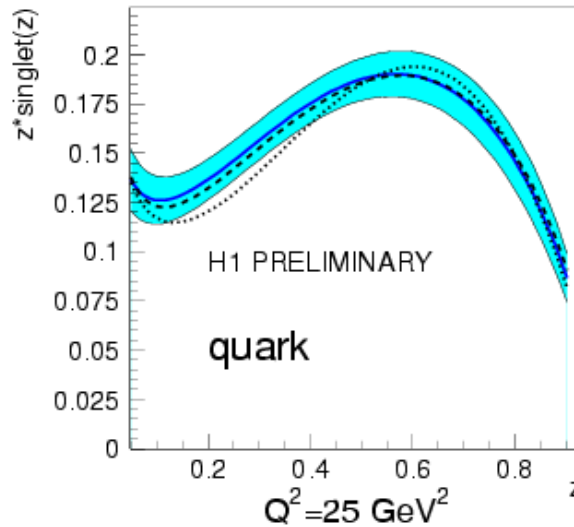
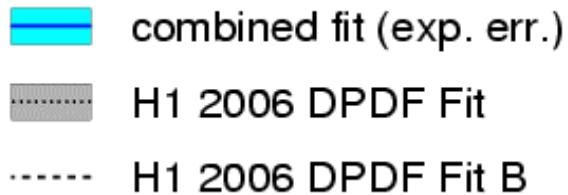


Factorisation in DIS diffraction dijets proven by both H1 and ZEUS

Low sensitivity of fits to inclusive cross section to gluon PDF especially at large $z_{IP} \rightarrow$
use jets to combined fits!

H1 dijet DIS measurement:

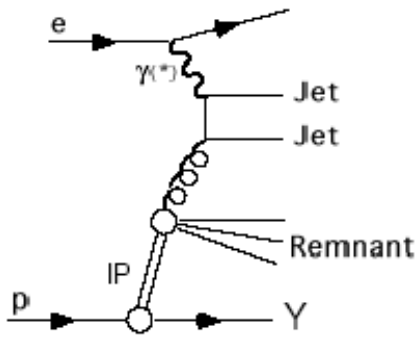
- new NLO QCD fit



Factorisation in diffractive DIS D^* production proven by both H1 and ZEUS

x_γ - fraction of photon's momentum in hard subprocess

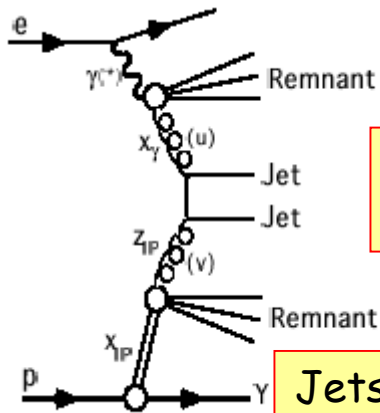
$$x_\gamma = x_\gamma^{OBS} = \frac{\sum (E - p_z)_{jets}}{(E - p_z)_{hadrons}}$$



DIS ($Q^2 > 5 \text{ GeV}^2$) and direct photoproduction ($Q^2 \approx 0$):

- photon directly involved in hard scattering
- $x_\gamma = 1$

unsuppressed! ?



Resolved photoproduction ($Q^2 \approx 0$):

- photon fluctuates into hadronic system, which undergoes hard scattering

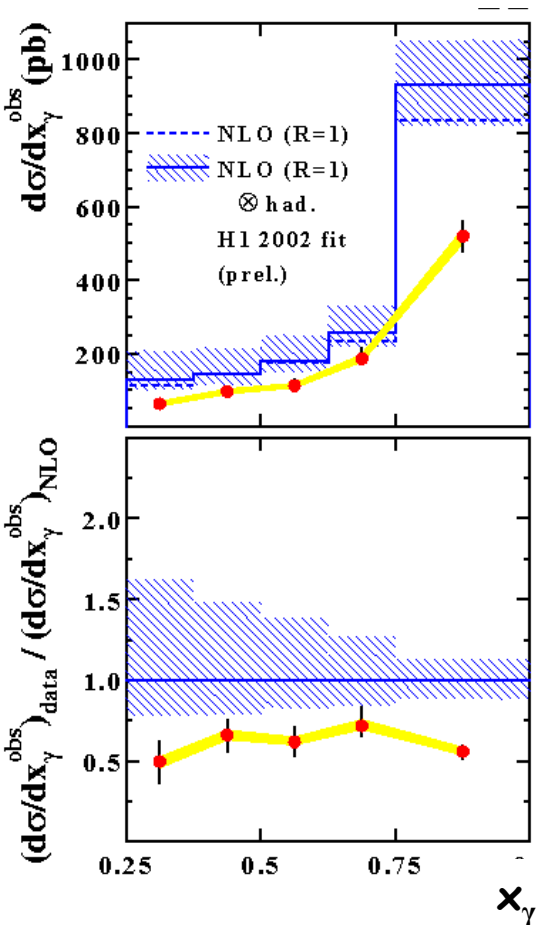
Secondary interactions between spectators??

- $x_\gamma < 1$

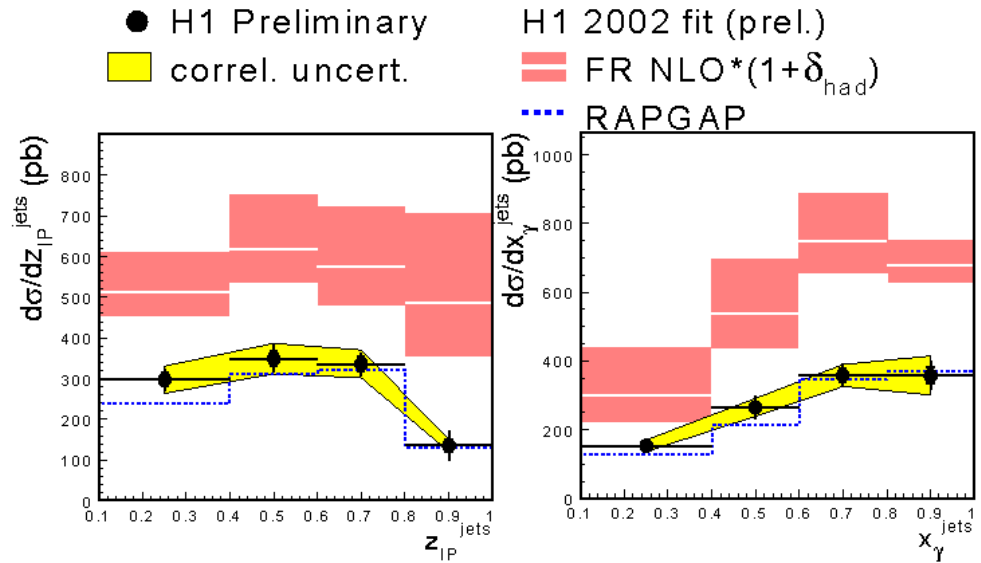
suppressed! ?

Jets in photoproduction thought to be ideal testing ground for rescattering

ZEUS



H1 Diffractive γp Dijets



H1 and ZEUS:

- NLO overestimates data by factor ~ 1.6
- Scaling only resolved part doesn't describe data either
- PDF uncertainty? Unlikely, as DIS is described...

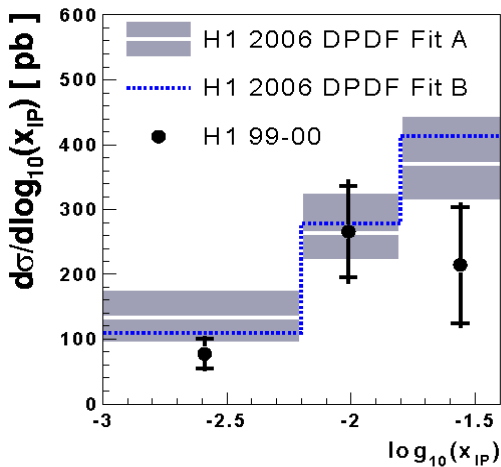
Within errors suppression observed for both dir and res!



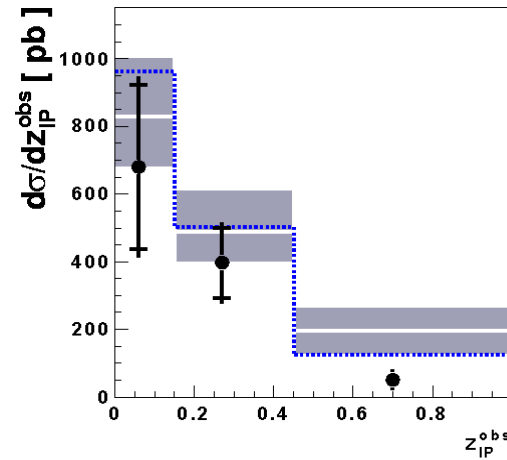
Factorisation in photoproduction-D*



H1

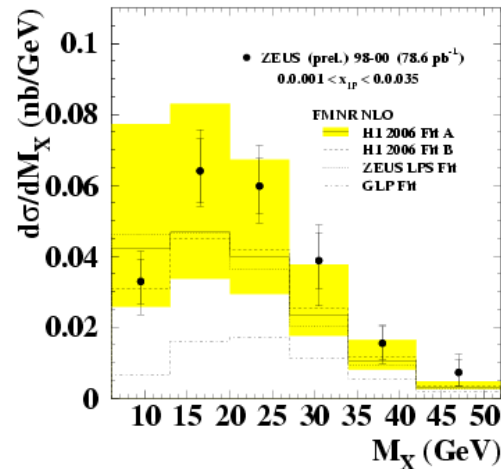


H1

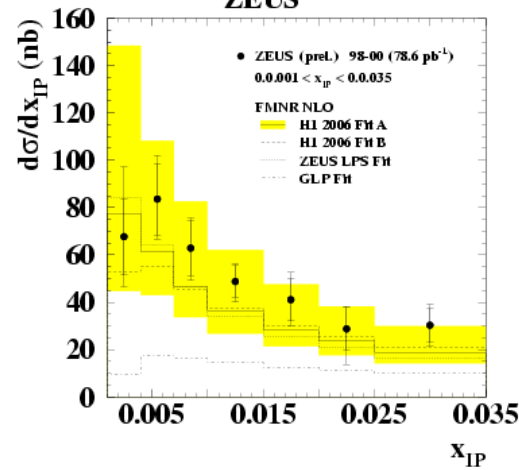


- data consistent with NLO QCD prediction within scale uncertainties
- no evidence for suppression of charm direct photoproduction

ZEUS



ZEUS



- however - large NLO uncertainties

Within errors no suppression observed !



Summary - status of factorisation



	dijets	D*
DIS		
photoproduction		

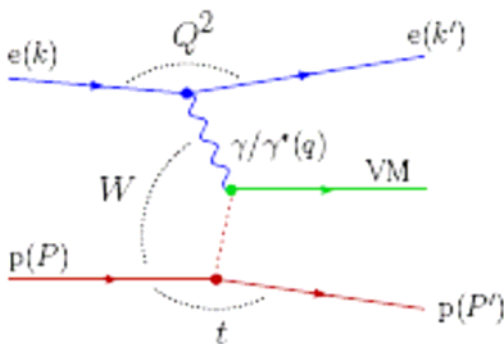
low statistics, large NLO uncertainty

breakdown observed for both direct and resolved processes!

Vector mesons have $J^{PC} = 1^{--}$ as photon

- no quantum number exchange necessary

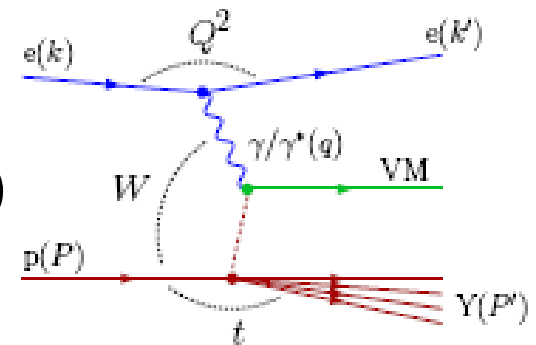
Large diffractive cross sections in wide kinematic range
 → HERA is an excellent place for VM studies



Elastic - exclusive,
 dominates at low $|t|$

$$e + p \rightarrow e + VM + p \text{ (or } Y \text{)}$$

$$VM = \rho, \omega, \Phi, J/\psi, \psi', Y, \dots$$



Proton dissociative
 mainly at high $|t|$

Regge phenomenology, soft pomeron

Soft pomeron exchange

$$\frac{d\sigma}{dt} = e^{bt} \left(\frac{W}{W_0} \right)^{4(\alpha_0-1)}$$

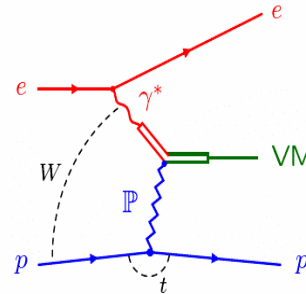
$$\alpha_p(t) = \alpha_0 + \alpha' t$$

$$\alpha_0 = 1.08, \alpha' = 0.25 \text{ GeV}^2$$

Slow rise: $\sigma \propto W^{0.22 \dots 0.32}$

Shrinkage: $b = b(W)$

Light VMs at $Q^2 \approx 0, t \approx 0$



soft

Calculable in pQCD

Exchange of 2 gluons or ladder

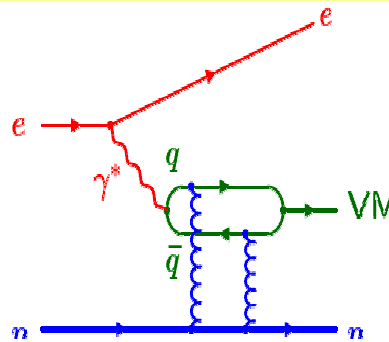
$$\sigma \propto (xg(x, Q^2))^2$$

Steep rise of σ

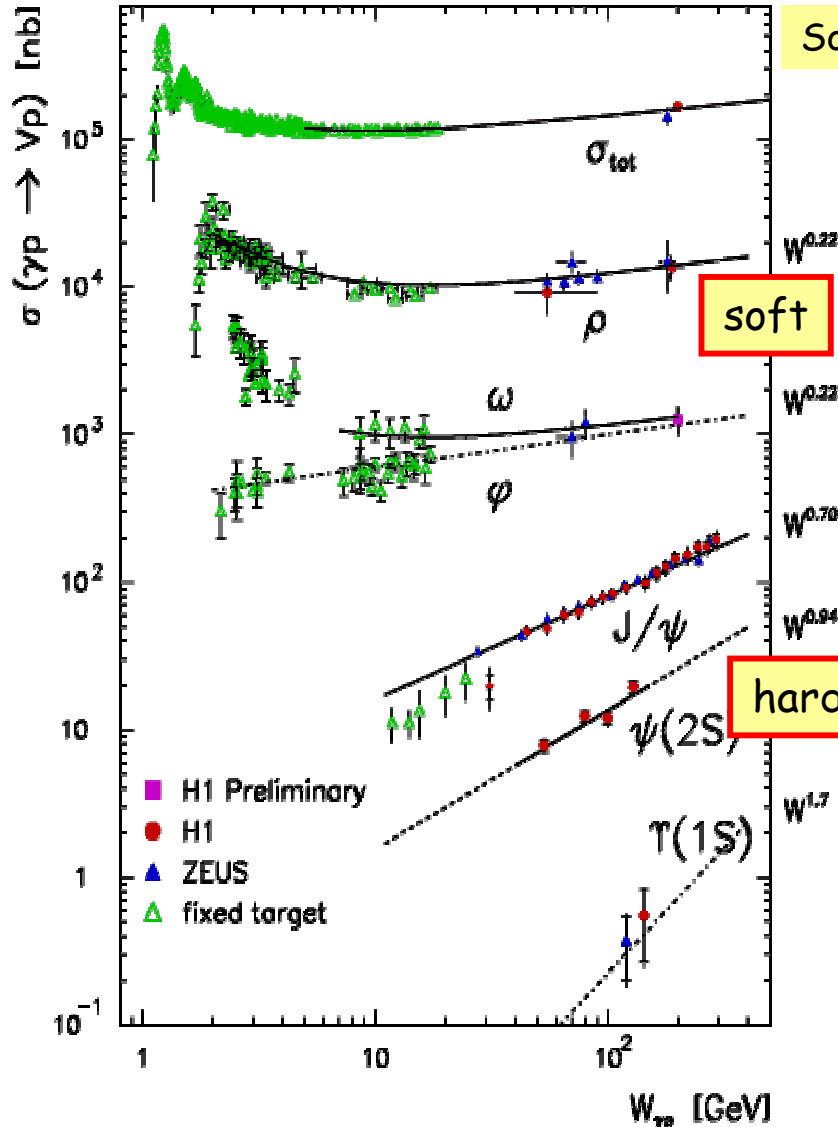
~~Shrinkage~~

Presence of hard scale:

$$Q^2, t, M_{VM}$$



hard





ρ^0 in photoproduction



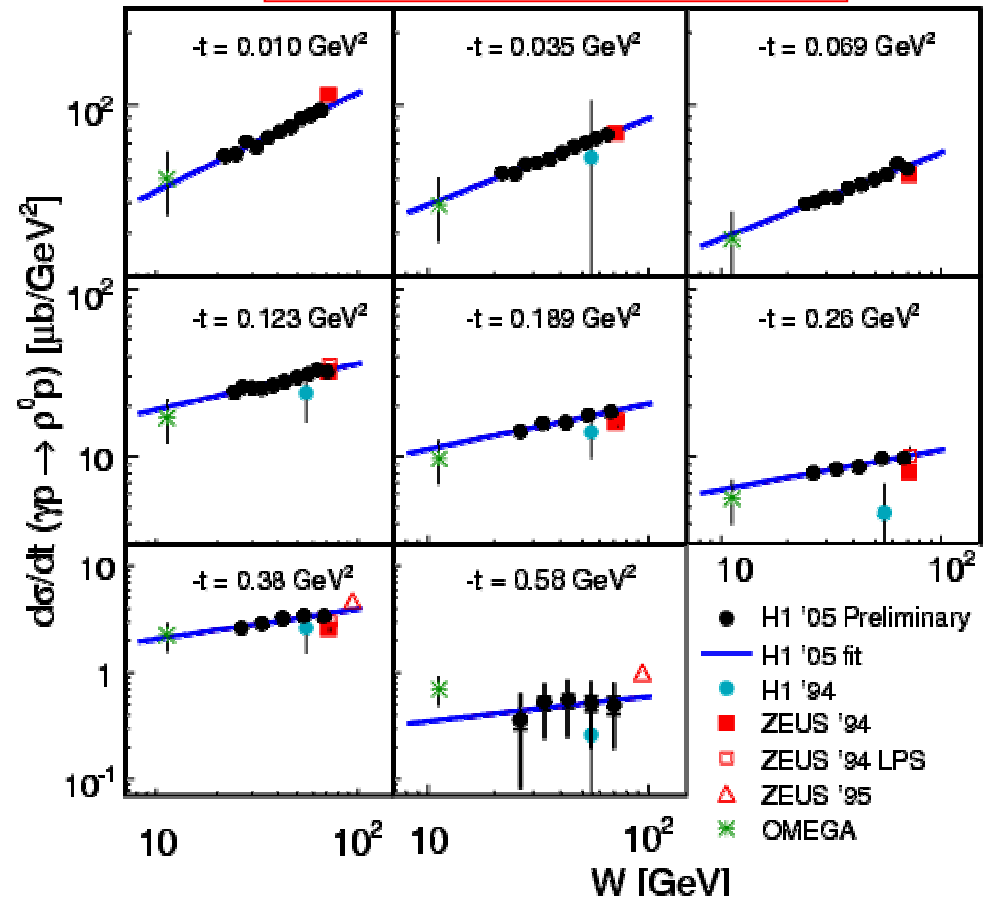
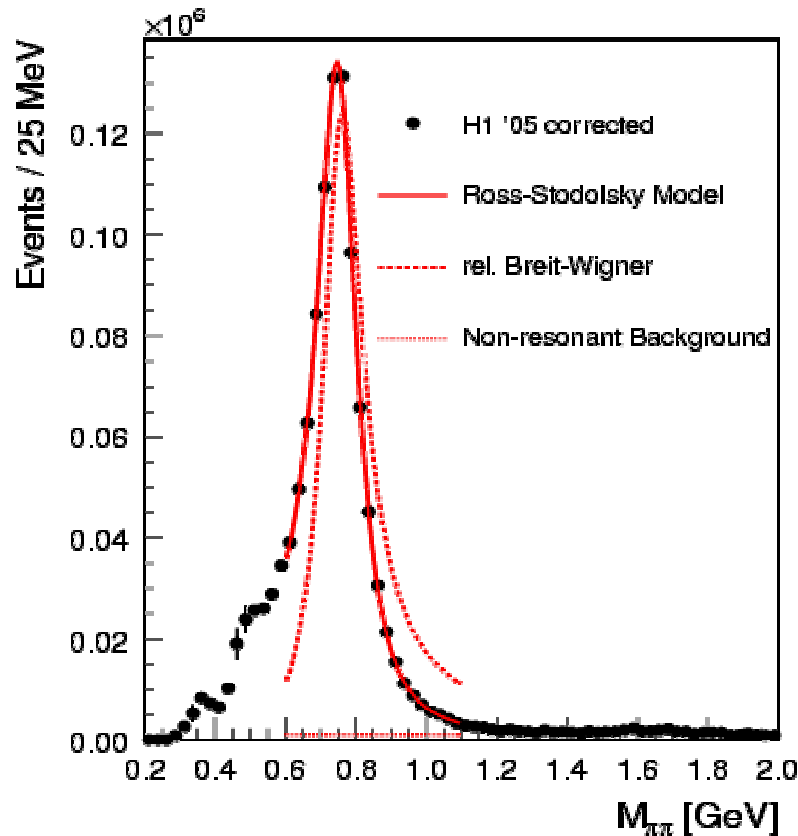
H1: new measurement

HERA II data (2005)

$Q^2 < 4 \text{ GeV}^2 ; 20 < W < 90 \text{ GeV}$

$\sim 240000 \rho^0$ candidates

$$\text{fit} \quad \frac{d\sigma(W)}{dt} = \frac{d\sigma(W_0)}{dt} \left(\frac{W}{W_0} \right)^{4(\alpha-1)}$$



Fits from data from a single experiment

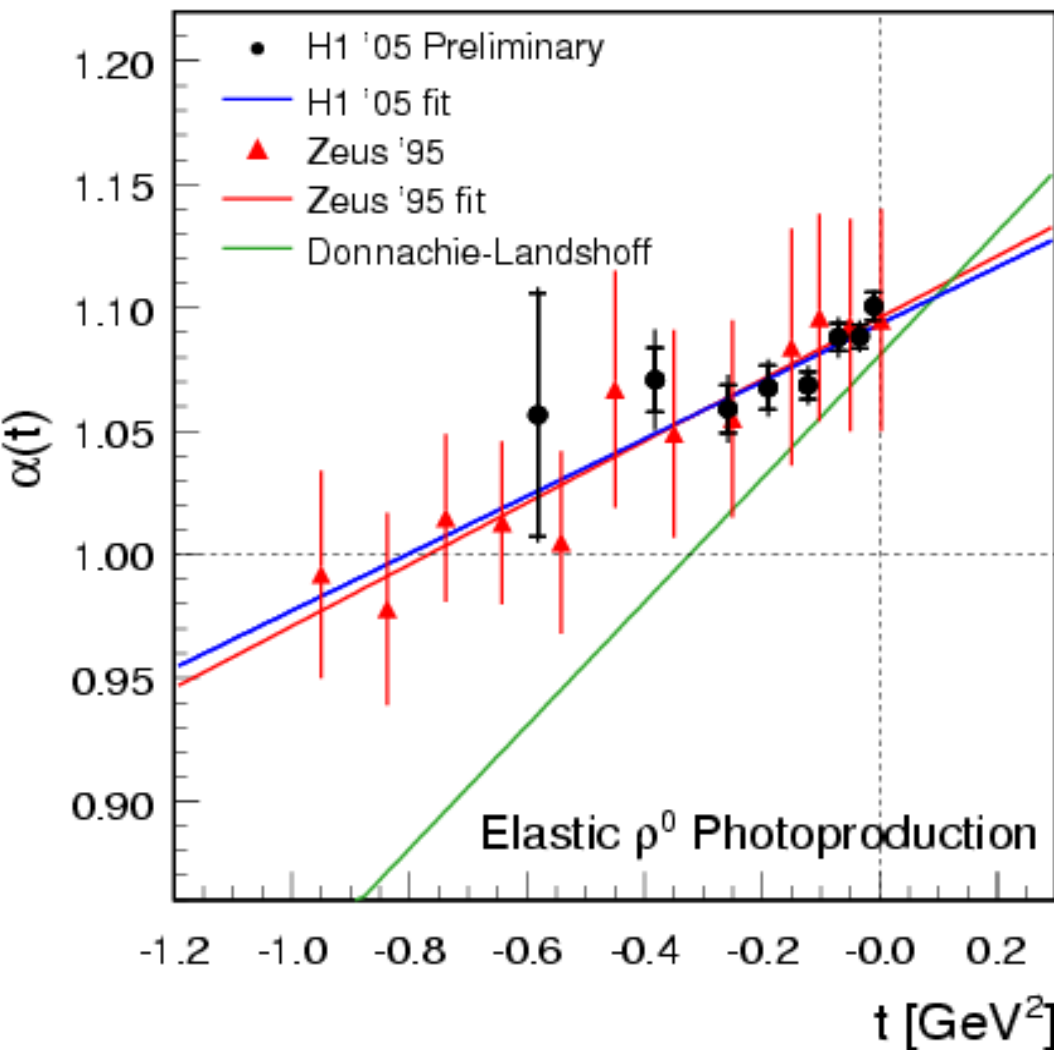
Very good agreement with previous results from H1, ZEUS and OMEGA



ρ^0 Pomeron Trajectory



H1 PRELIMINARY



Fit to the H1 data assuming a linear Pomeron trajectory

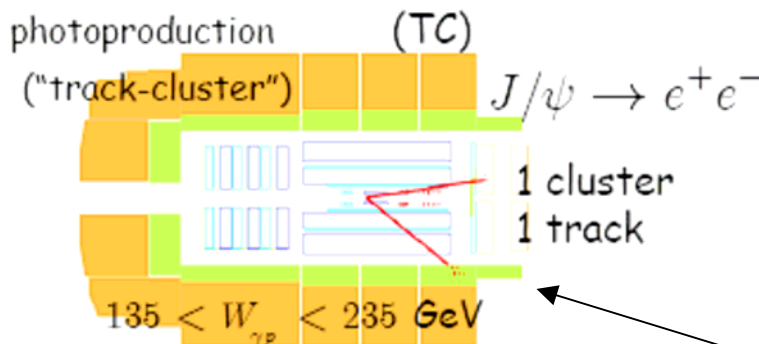
$$\alpha(t) = \alpha_0 + \alpha' \cdot t$$

$$\alpha_{\text{IP}}(t) = (1.093 \pm 0.003^{+0.008}_{-0.007}) + (0.116 \pm 0.027^{+0.036}_{-0.046}) \text{GeV}^{-2} \cdot t$$

Supports previous measurement of ZEUS \longrightarrow
 α' significantly smaller than 0.25 GeV^{-2}



Elastic J/ψ production



$$Q^2 < 1 \text{ GeV}^2,$$

$$40 < W < 305 \text{ GeV},$$

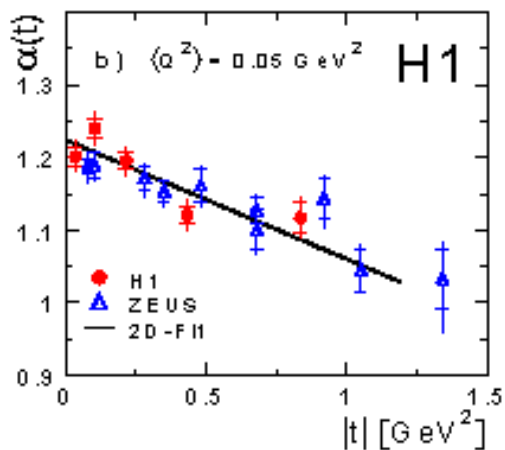
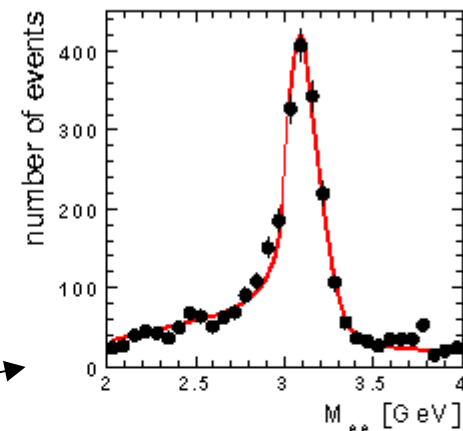
$$|t| < 1.2 \text{ GeV}^2$$

$$40 < W < 160 \text{ GeV}$$

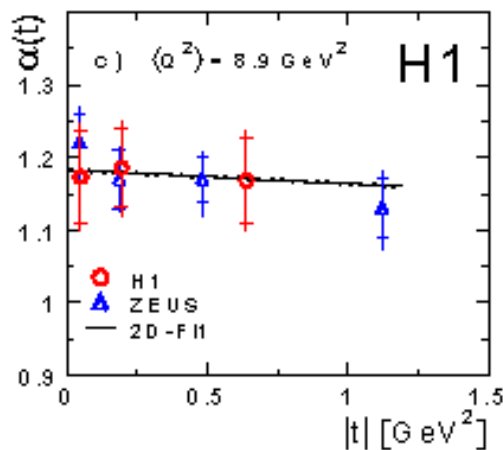
$$J/\psi \rightarrow \mu^+\mu^-$$

$$135 < W < 305 \text{ GeV}$$

$$J/\psi \rightarrow e^+e^-$$



photoproduction



electroproduction

Electroproduction also studied in the region $2 < Q^2 < 80 \text{ GeV}^2$

Pomeron trajectory

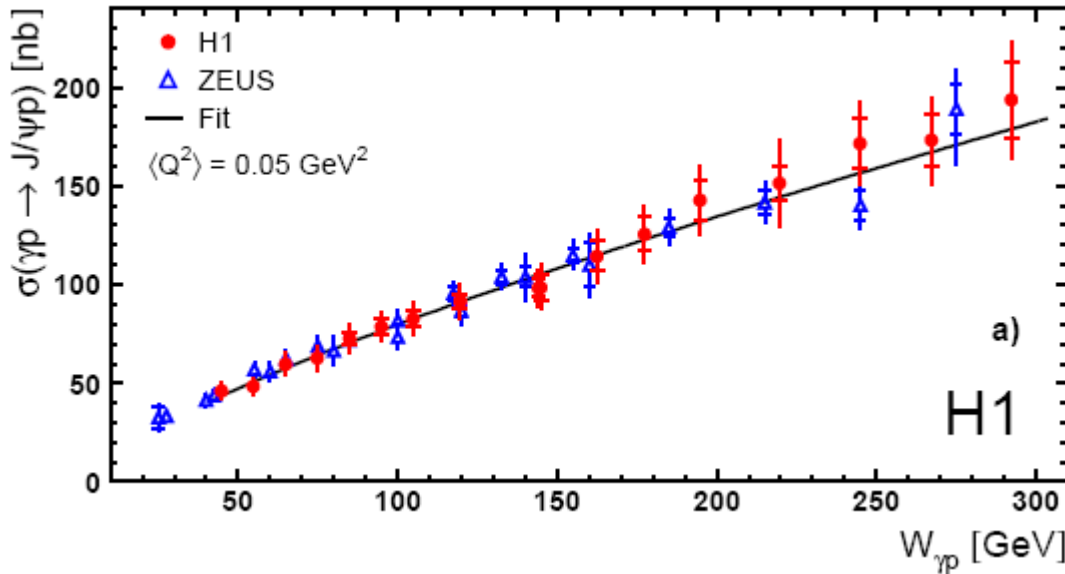
very far from "soft" value 0.25 GeV^{-2}

$$\text{PhP: } \alpha(t) = (1.224 \pm 0.010 \pm 0.012) + (0.164 \pm 0.028 \pm 0.030) \text{ GeV}^{-2} t$$

$$\text{DIS: } \alpha(t) = (1.183 \pm 0.054 \pm 0.030) + (0.019 \pm 0.139 \pm 0.076) \text{ GeV}^{-2} t$$



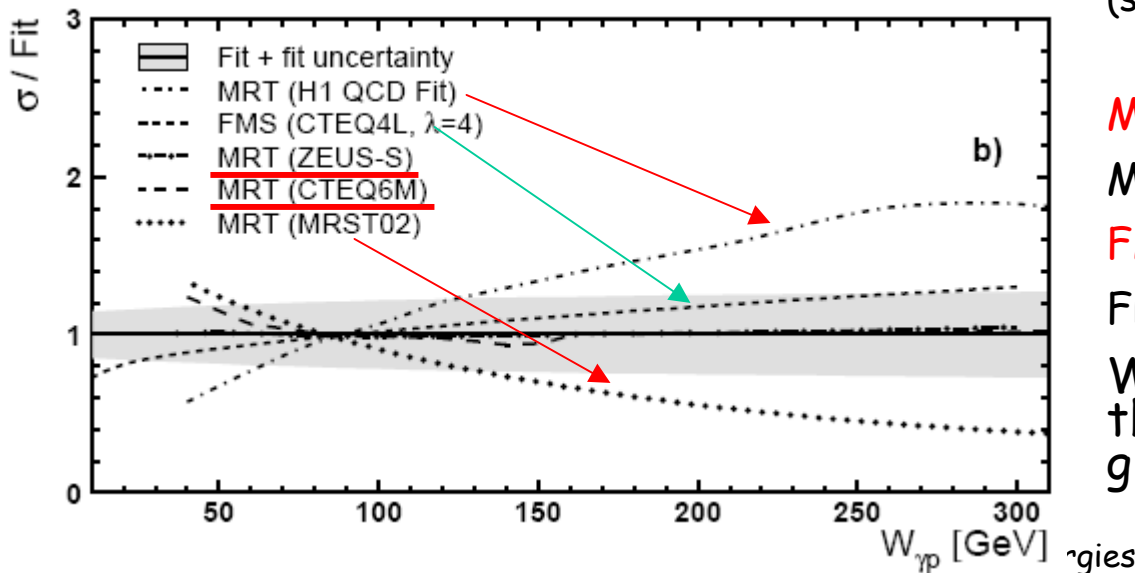
Elastic J/ψ photoproduction



$J/\psi \rightarrow \mu^+\mu^-; J/\psi \rightarrow e^+e^-$
 $Q^2 < 1 \text{ GeV}^2, |t| < 1 \text{ GeV}^2$
 $40 < W < 305 \text{ GeV}^2$

Good agreement with measurement by ZEUS
 Fit W^δ

$\delta = 0.75 \pm 0.03 \pm 0.03$
 (soft pomeron $\delta \sim 0.22-0.32$)



MRT - pQCD model by Martin, Ryskin and Teubner

FMS - dipole model by Frankfurt, McDermott, Strikman

W dependence is sensitive to the shape of the generalised gluon distribution!

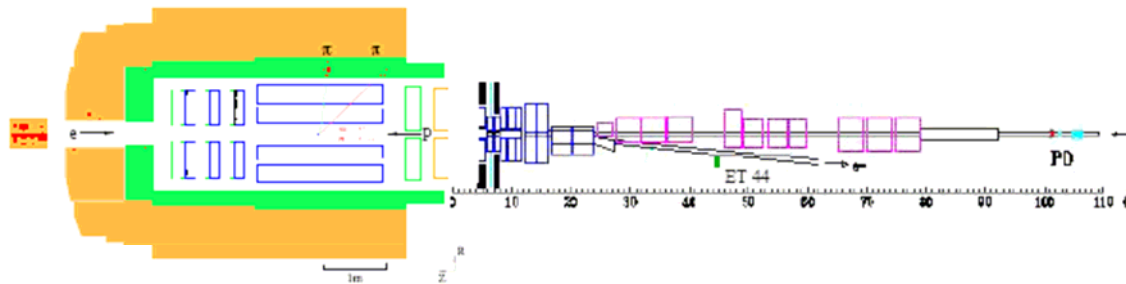


Vector mesons at large $|t|$



- vector meson photoproduction at large $|t|$ proposed as test of BFKL
- challenge is to describe both the t dependence and the helicity structure

H1 - ρ^0 photoproduction

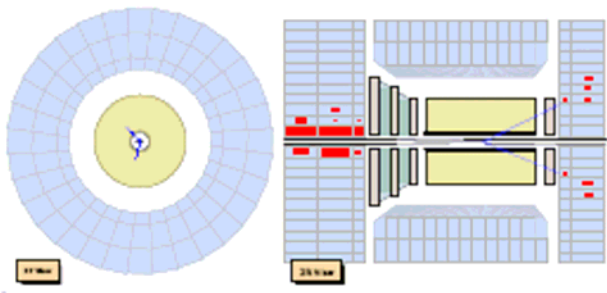


Data 2000
 $Q^2 < 0.01 \text{ GeV}^2$
 $75 < W < 95 \text{ GeV}$
 $1.5 < |t| < 10 \text{ GeV}^2$
 $M_y < 5 \text{ GeV}$

$\rho^0 \rightarrow \pi^+ \pi^-$

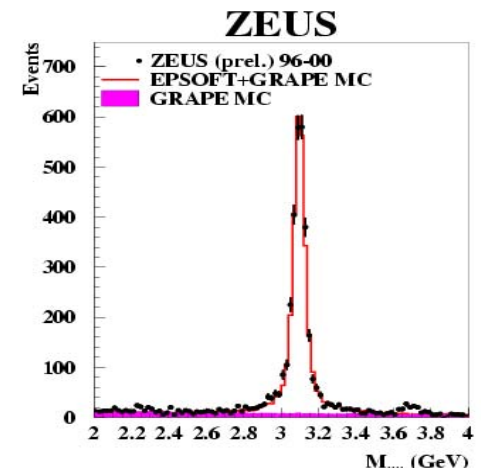
ZEUS - J/ψ photoproduction

$e p \rightarrow e J/\psi Y$
 $J/\psi \rightarrow l^+ l^-$

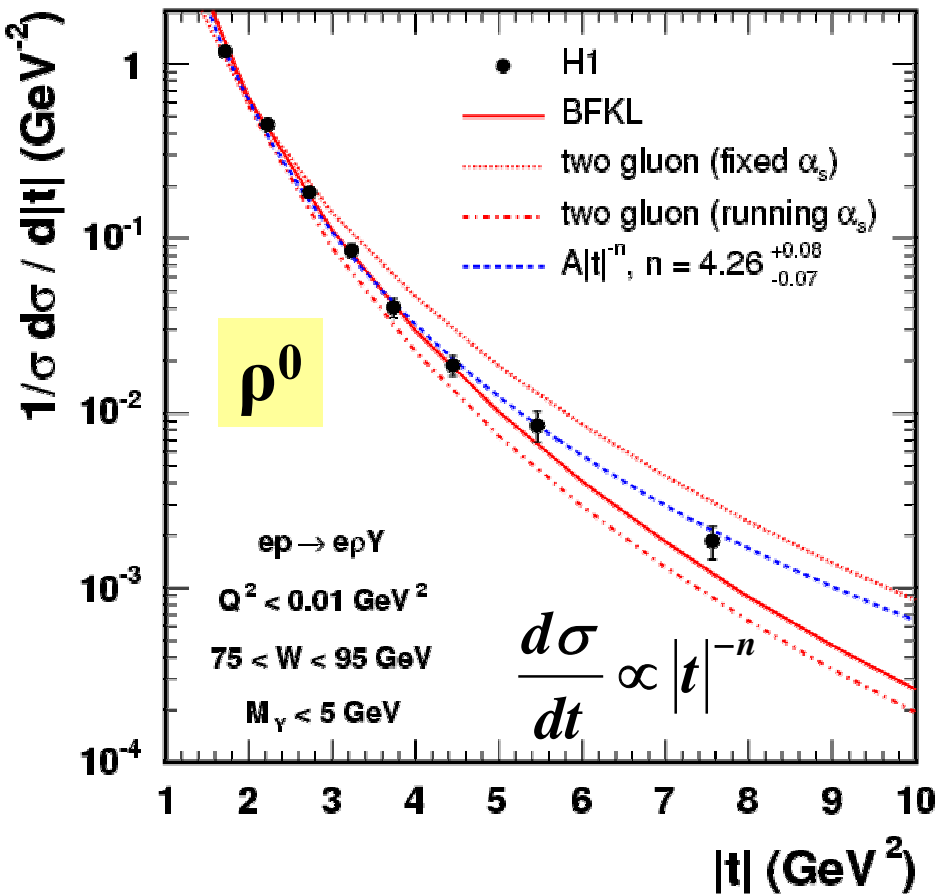


Data 1996-2000
 $Q^2 < 1 \text{ GeV}^2$,
 $50 < W < 150 \text{ GeV}$,
 $1 < |t| < 20 \text{ GeV}^2$
 $M_y < 30 \text{ GeV}$

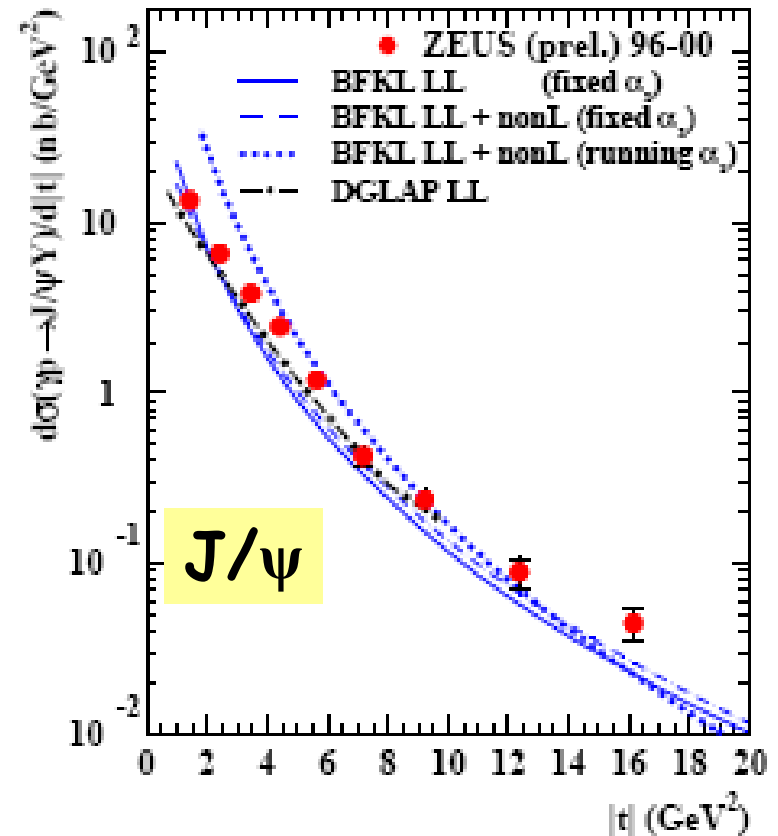
$J/\psi \rightarrow \mu^+ \mu^-$



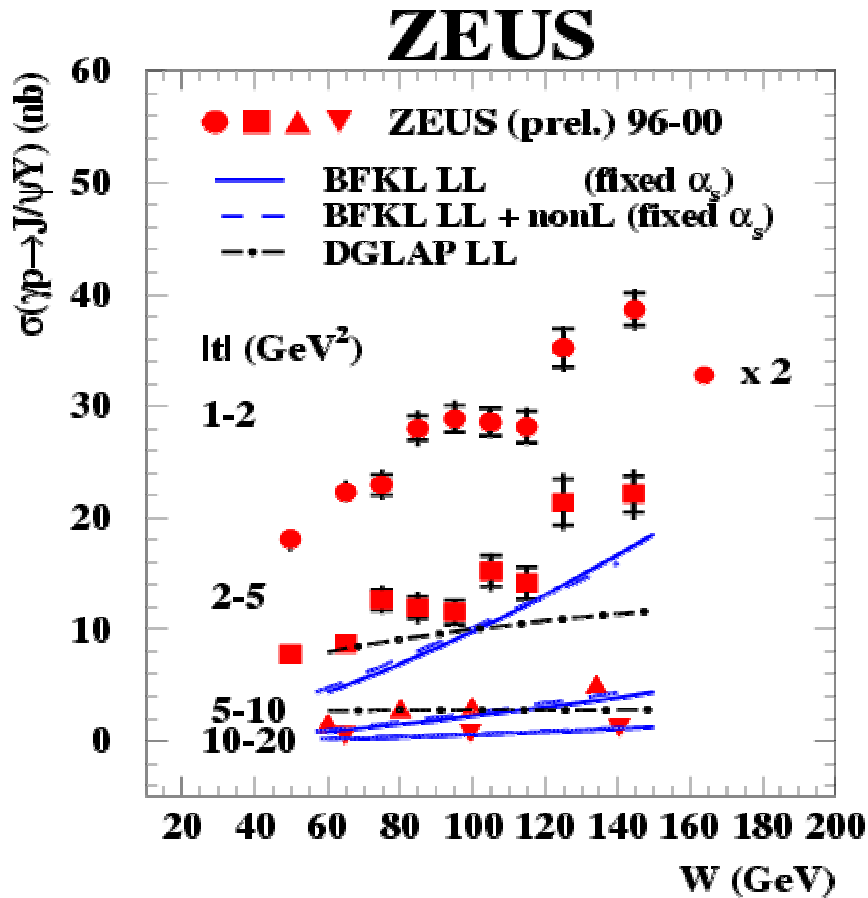
H1



ZEUS



- Power-like behaviour supported by data
- BFKL model gives reasonable description, "two gluon" model doesn't describe data



J/ψ

Fit $\sigma \propto W^\delta$, δ rises with $|t|$

Effective pomeron trajectory:

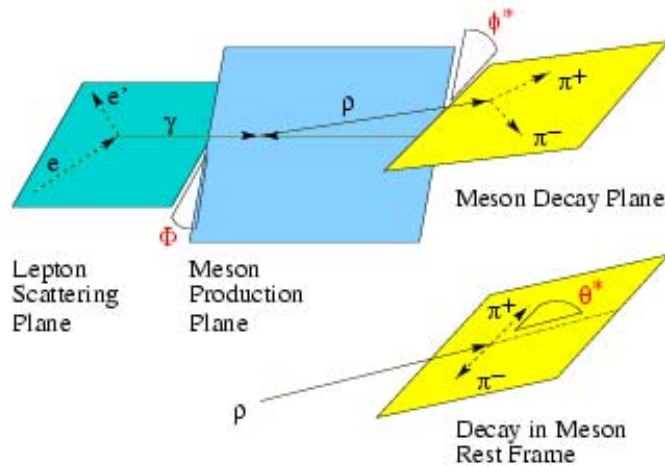
$$\alpha(0) = 1.153 \pm 0.048 \pm 0.039$$

$$\alpha' = -0.020 \pm 0.014 \pm 0.010 \text{ GeV}^{-2}$$

(in agreement with older H1 result)

- BFKL reproduces general behaviour of data
- DGLAP is not able to describe rise of cross section with W

Helicity = component of spin along direction of the particle's motion



SDMEs are bilinear combinations on the helicity amplitudes

$$r_{kl}^{ij} \propto M_{\lambda_{VM}\lambda_\gamma} M_{\lambda'_{VM}\lambda'_\gamma}$$

- in photoproduction can only measure θ^* & ϕ^*
 \Rightarrow allows measurement of 3 of the 15 spin density matrix elements (SDME)
- s-channel helicity conservation (SCHC)
 \Rightarrow vector meson retains helicity of photon
 \Rightarrow all 3 SDMEs are predicted to be zero

pQCD:

- During the interaction, the orbital momentum of $q\bar{q}$ can be modified through the transverse momentum carried by gluons
- The helicity of the outgoing vector meson can be different from that of the incoming photon, helicity flip between photon and meson is possible

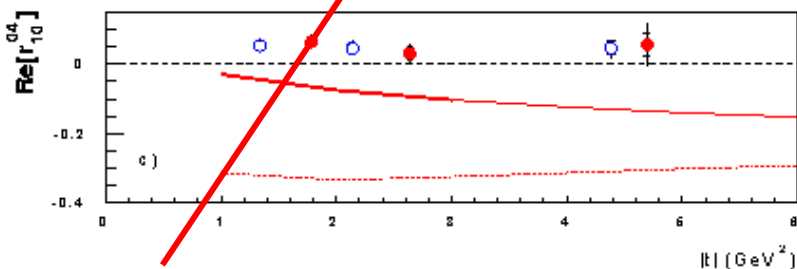
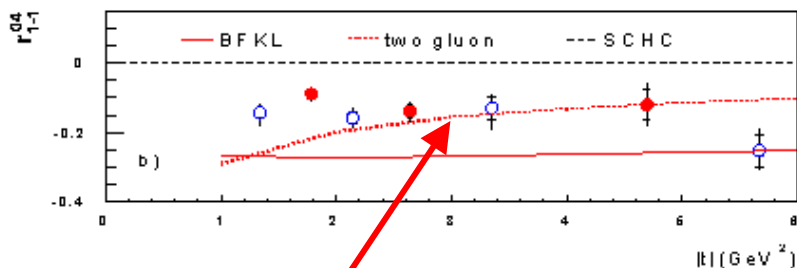
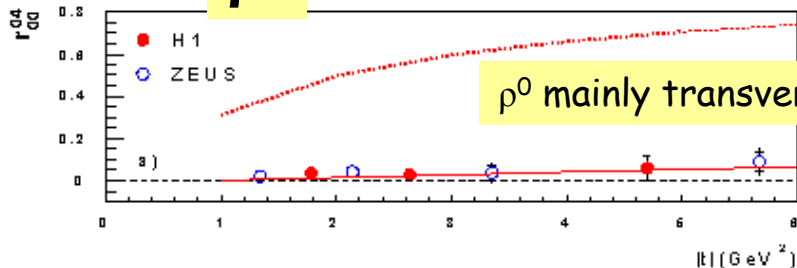
$$\frac{1}{\sigma} \frac{d^2\sigma}{d\cos\theta^* d\phi^*} = \frac{3}{4\pi} \left(\frac{1}{2}(1+r_{00}^{04}) - \frac{1}{2}(3r_{00}^{04}-1)\cos^2\theta^* + \sqrt{2}\operatorname{Re}\{r_{10}^{04}\}\sin 2\theta^*\cos\phi^* + r_{1-1}^{04}\sin^2\theta^*\cos 2\phi^* \right)$$



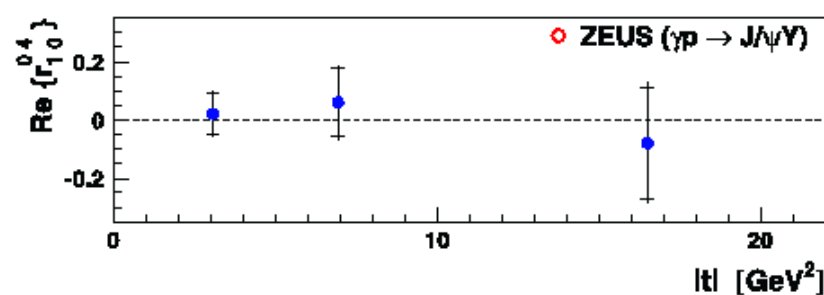
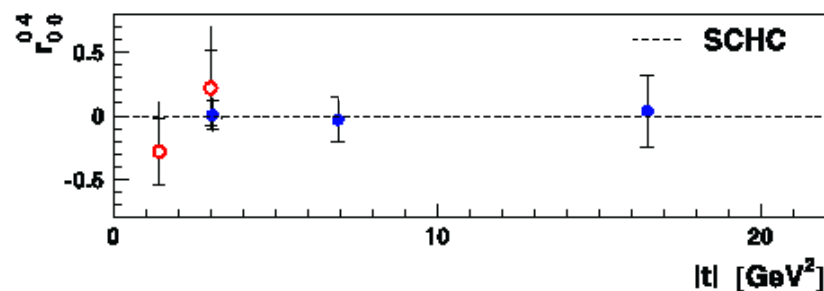
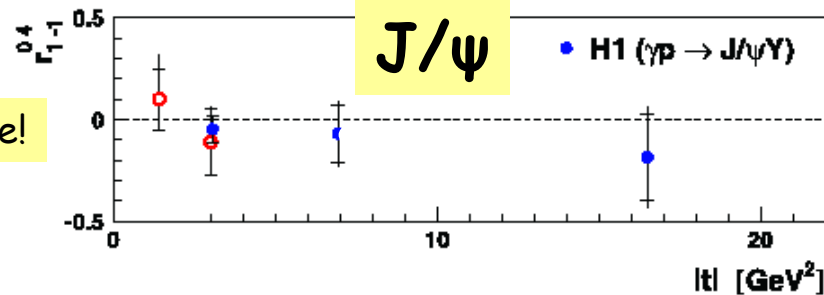
Helicity conservation?



ρ^0



J/ψ



s-channel helicity violation observed

H1 collab. Phys Lett B568 (2003),205

Two-gluon and BFKL models clearly inconsistent with data!



Summary



Factorisation tested with diffractive DIS and photoproduction dijets and charm:

- indication of QCD factorisation breaking in diffractive dijet photoproduction (but still large errors)

Elastic ρ^0 and J/ψ in photoproduction:

- pomeron trajectory determined using data within one experiment
- α' significantly less than 0.25 GeV^{-2}
- transition from soft to hard diffraction regime observed, (large $|t|$, M_{VM})
- heavy Vector Meson measurements sensitive to gluon densities

ρ^0 and J/ψ photoproduction at large $|t|$:

- W and t dependencies described by pQCD BFKL model
- BFKL model fails to describe the helicity structure