

# Inclusive and jet cross sections in diffraction at HERA

W. Schmidke  
MPI München

On behalf of the H1 and ZEUS collaborations  
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## Outline:

- Diffractive processes:
  - colorless exchange
  - experimental measurement
- Structure of colorless exchange:
  - Diffractive Parton Density Functions (DPDFs)
  - measurement in inclusive DIS: quarks
  - measurement in dijets in DIS: gluons
- DPDFs → photoproduction at HERA ~ hadron-hadron
  - test of factorization

# Diffraction DIS at HERA

**HERA:** 10% of low-x Deep Inelastic Scattering (DIS) events are diffractive

$Q^2$  = virtuality of photon =  
= (4-momentum exchanged at e vertex)<sup>2</sup>

$t$  = (4-momentum exchanged at p vertex)<sup>2</sup>  
typically:  $|t| < 1 \text{ GeV}^2$

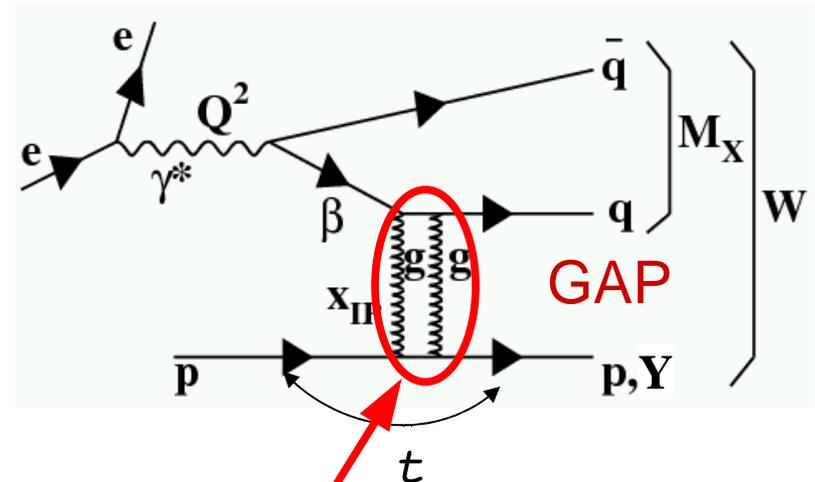
$W$  = invariant mass of  $\gamma$ -p system

$M_X$  = invariant mass of  $\gamma$ -IP system

$x_{IP}$  = fraction of proton's momentum  
taken by IP

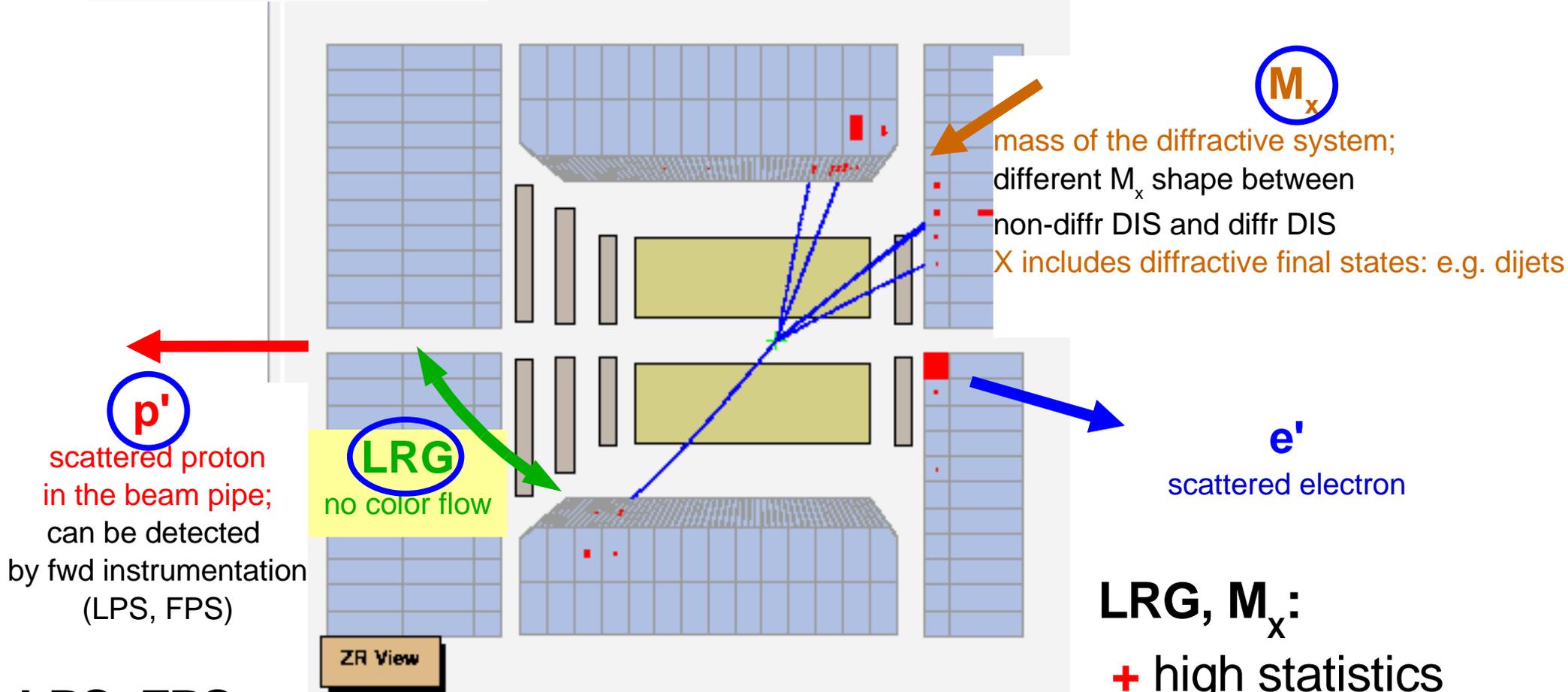
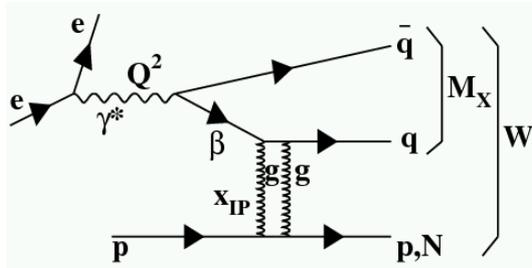
$\beta (= z_{IP})$  = Bjorken's variable for the IP  
= fraction of IP momentum  
carried by struck quark  
=  $x/x_{IP}$

## Diffraction DIS



- Probe structure of color singlet exchange (IP)  $\rightarrow F_2^D$
- A non-perturbative feature of proton structure

# Diffractive event selection



**LPS, FPS:**

- + clean, no proton dissociation background
- low statistics

**LRG,  $M_X$ :**

- + high statistics
- proton dissoci. bkg.
- non-diffractive bkg.

# QCD factorization in hard diffraction

- **Diffraction DIS, like inclusive DIS, is factorizable:**

[Collins (1998); Trentadue, Veneziano (1994); Berera, Soper (1996)...]

$$\sigma(\gamma^*p \rightarrow Xp) \approx f_{i/p}(z, Q^2, x_{IP}, t) \times \sigma_{\gamma^*p}(z, Q^2)$$

universal partonic cross section

Diffraction Parton Distribution Function (DPDF)

$f_{i/p}(z, Q^2, x_{IP}, t)$  expresses the probability to find, with a probe of resolution  $Q^2$ , in a proton, parton  $i$  with momentum fraction  $z$ , under the condition that the proton remains intact, and emerges with small energy loss,  $x_{IP}$ , and momentum transfer,  $t$  – the DPDFs are a feature of the proton and evolve according to DGLAP

- **Assumption → proton vertex factorization:**

$$\sigma(\gamma^*p \rightarrow Xp) \approx f_{IP/p}(x_{IP}, t) \times f_{i/p}(z, Q^2) \times \sigma_{\gamma^*p}(z, Q^2)$$

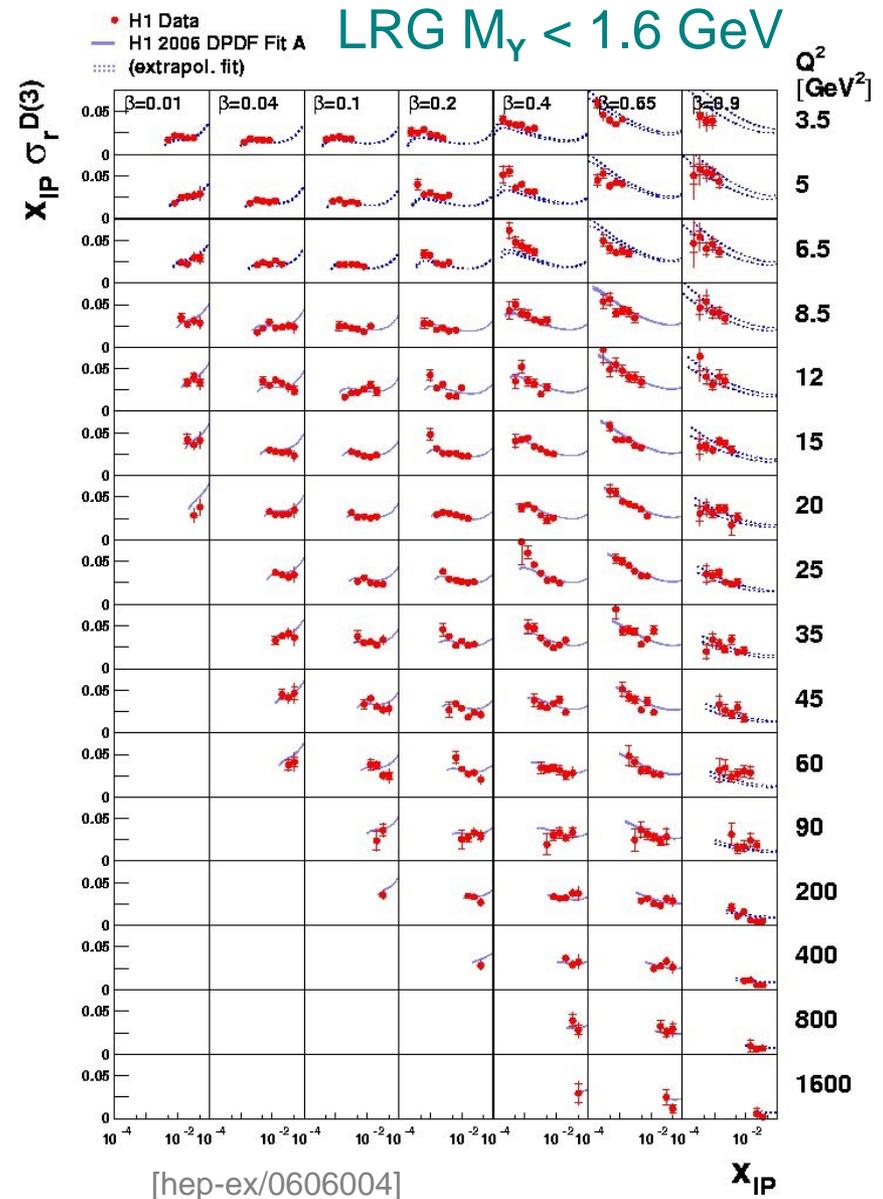
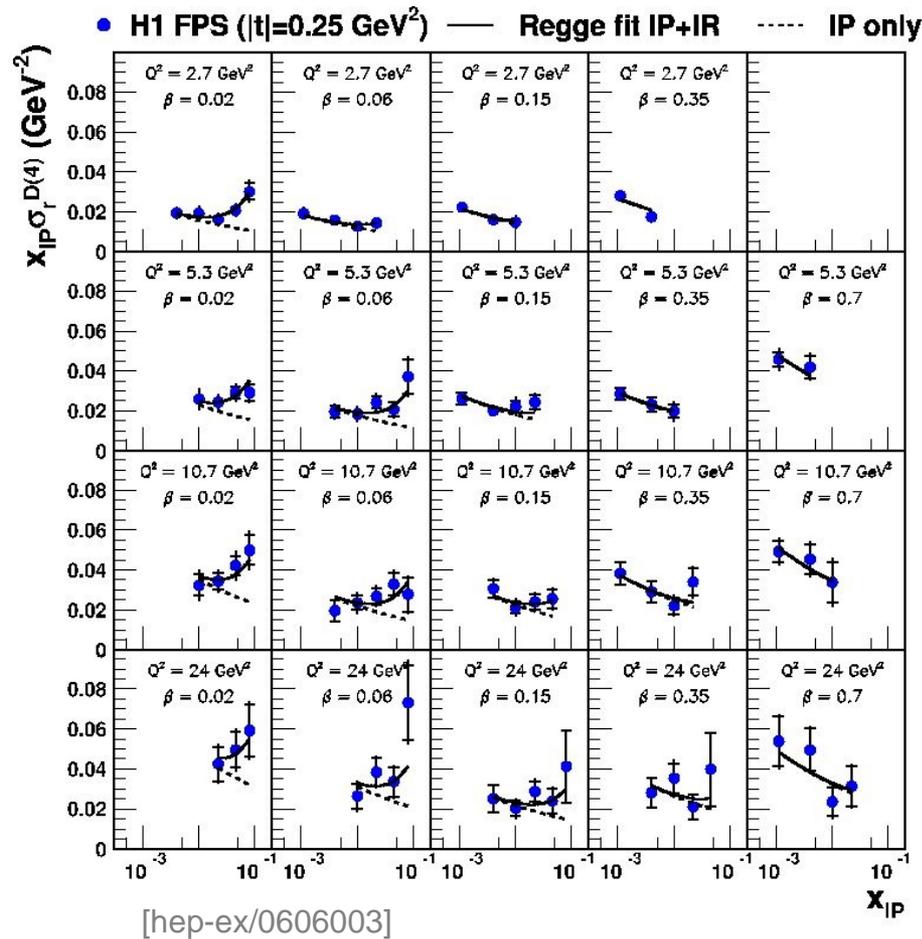
Regge motivated IP flux

At large  $x_{IP}$ , a separately factorizable sub-leading exchange (IR), with different  $x_{IP}$  dependence and partonic composition

# H1 inclusive diffractive measurements

$$\sigma_r^{D(3)} \sim F_2^{D(3)} - y^2/(1-(1-y)^2) F_L^{D(3)}$$

FPS:  $Y = p$



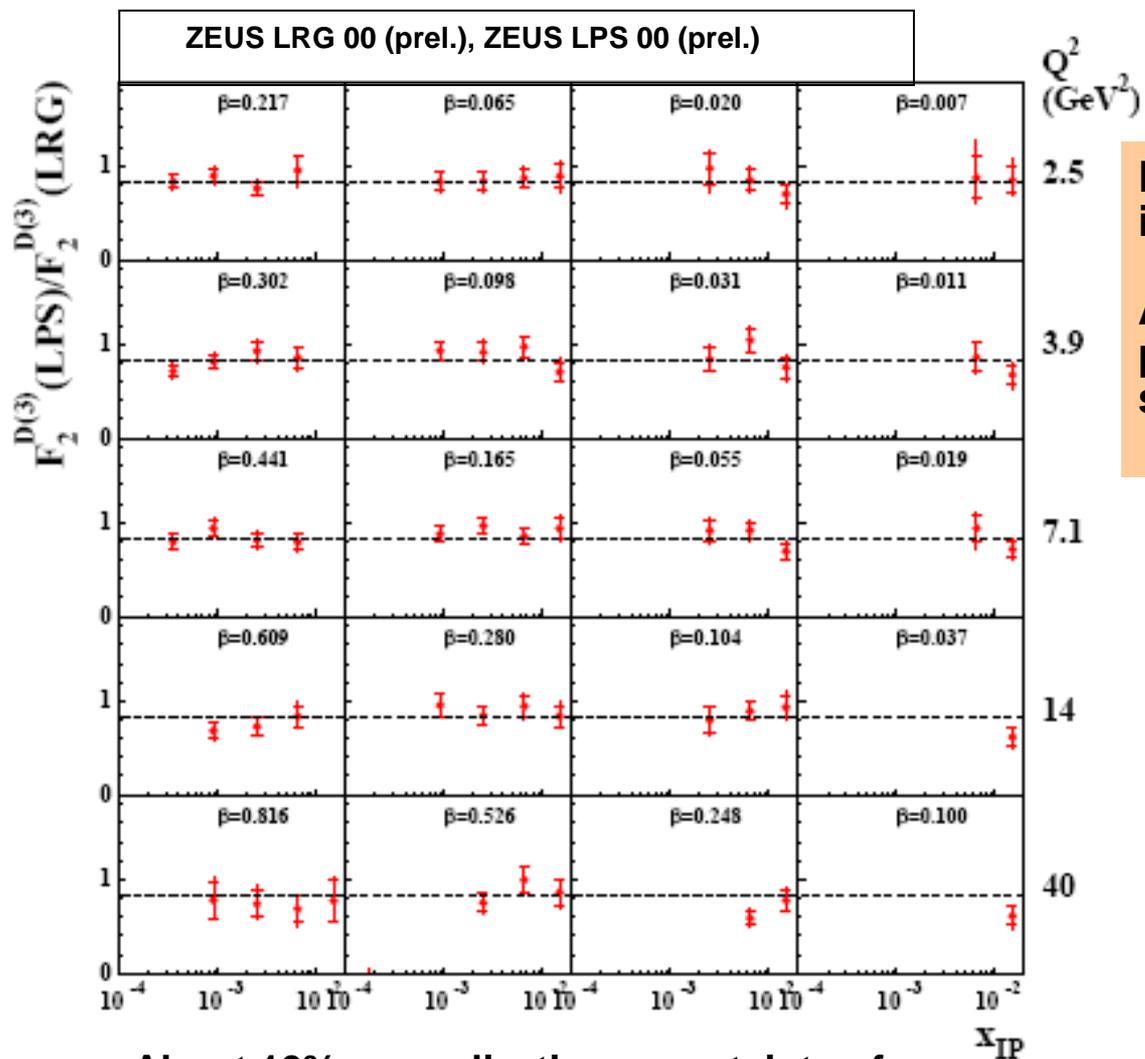
$$\alpha_{IP}(0) = 1.114 \pm 0.018(\text{stat.}) \pm 0.012(\text{syst.}) \begin{matrix} +0.040 \\ -0.020 \end{matrix} (\text{theory})$$

$$\alpha'_{IP} = 0.06 \pm \begin{matrix} 0.19 \\ 0.06 \end{matrix} \text{ GeV}^{-2}$$

Regge flux params.

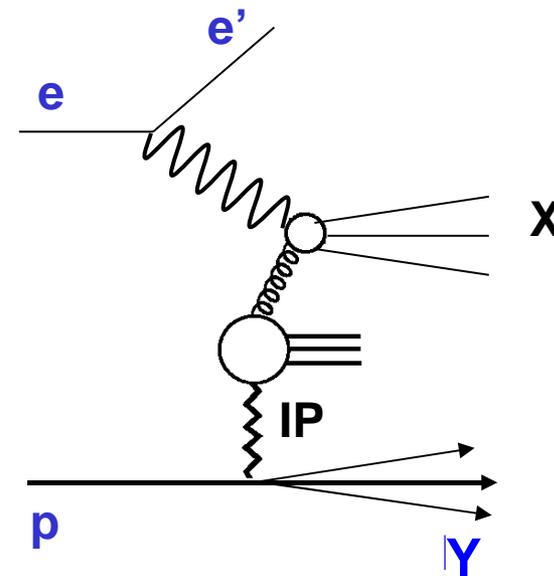
$$\frac{\sigma(M_Y < 1.6 \text{ GeV})}{\sigma(Y = p)} = 1.23 \pm 0.03 (\text{stat.}) \pm 0.16 (\text{syst.})$$

# ZEUS LRG vs LPS results



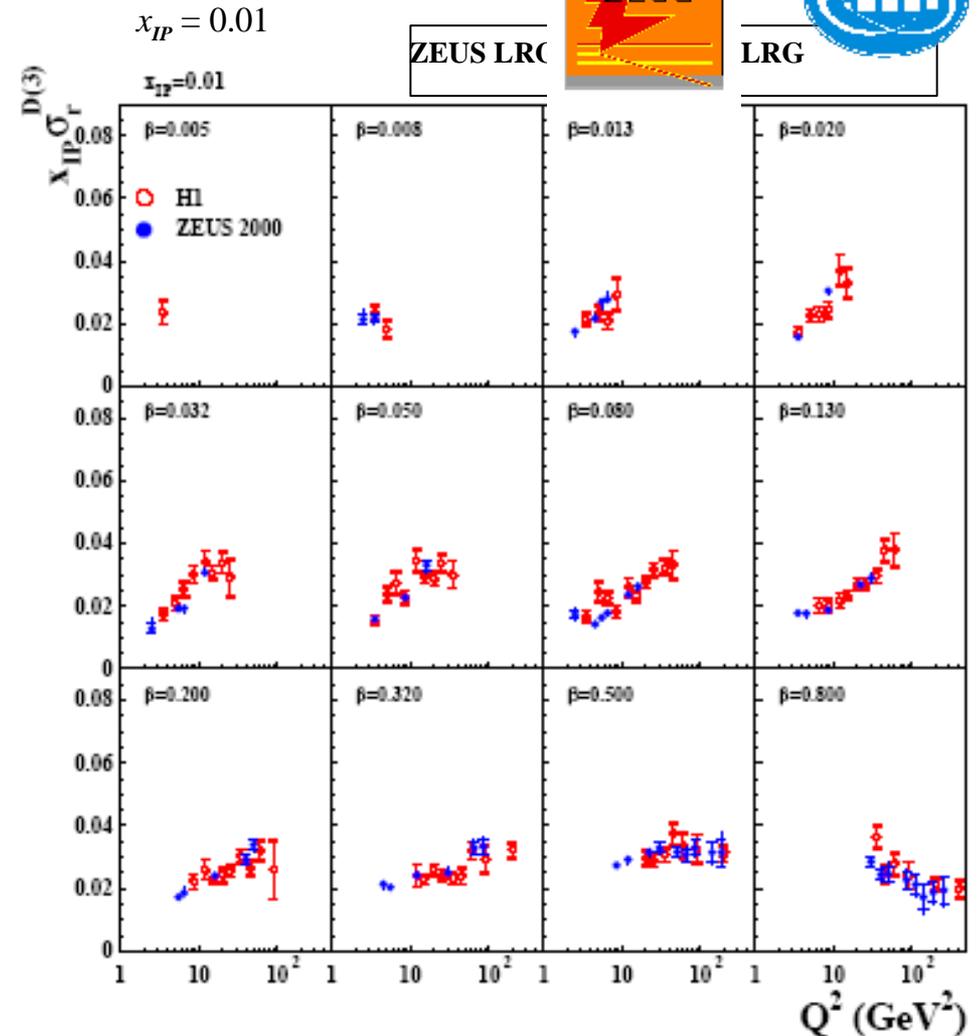
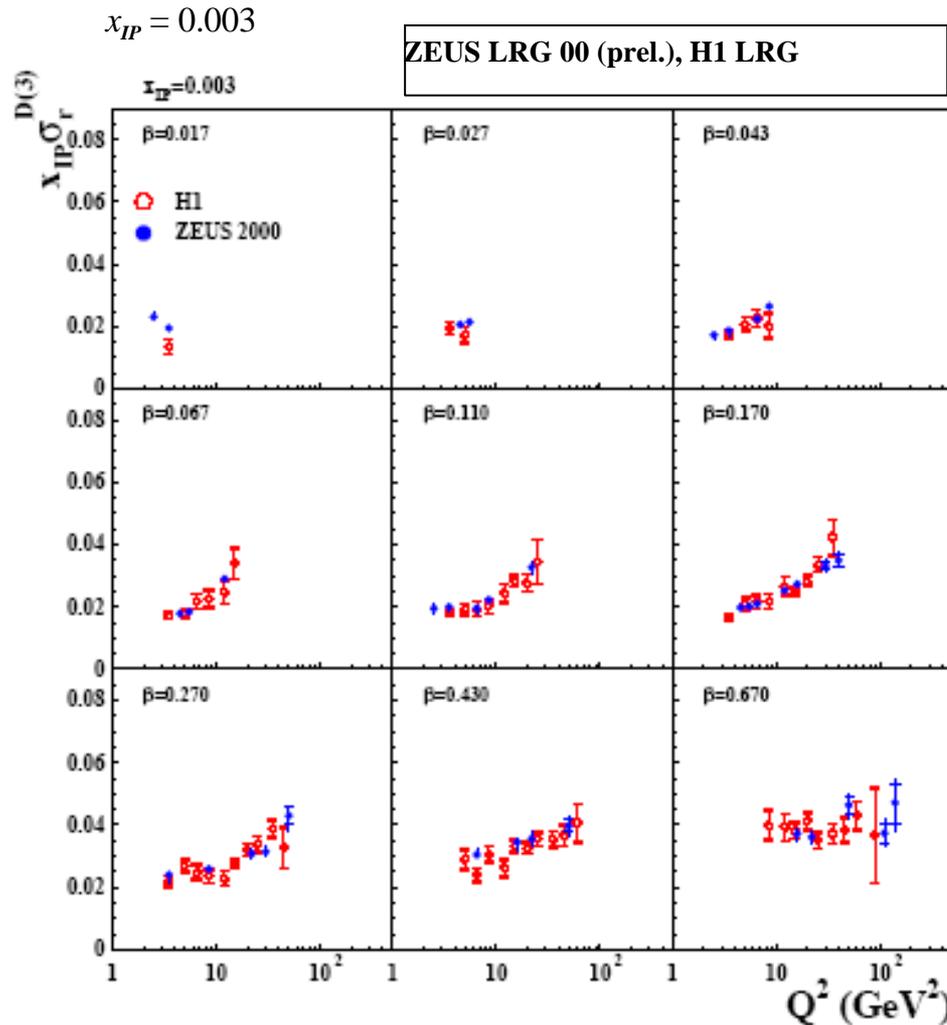
$LPS/LRG = 0.82 \pm 0.01(\text{stat.}) \pm 0.03(\text{sys.})$   
independent of  $Q^2$  and  $\beta$

A measure of the contamination by proton dissociative events in the LRG sample



About 10% normalization uncertainty of the LPS measurement not shown

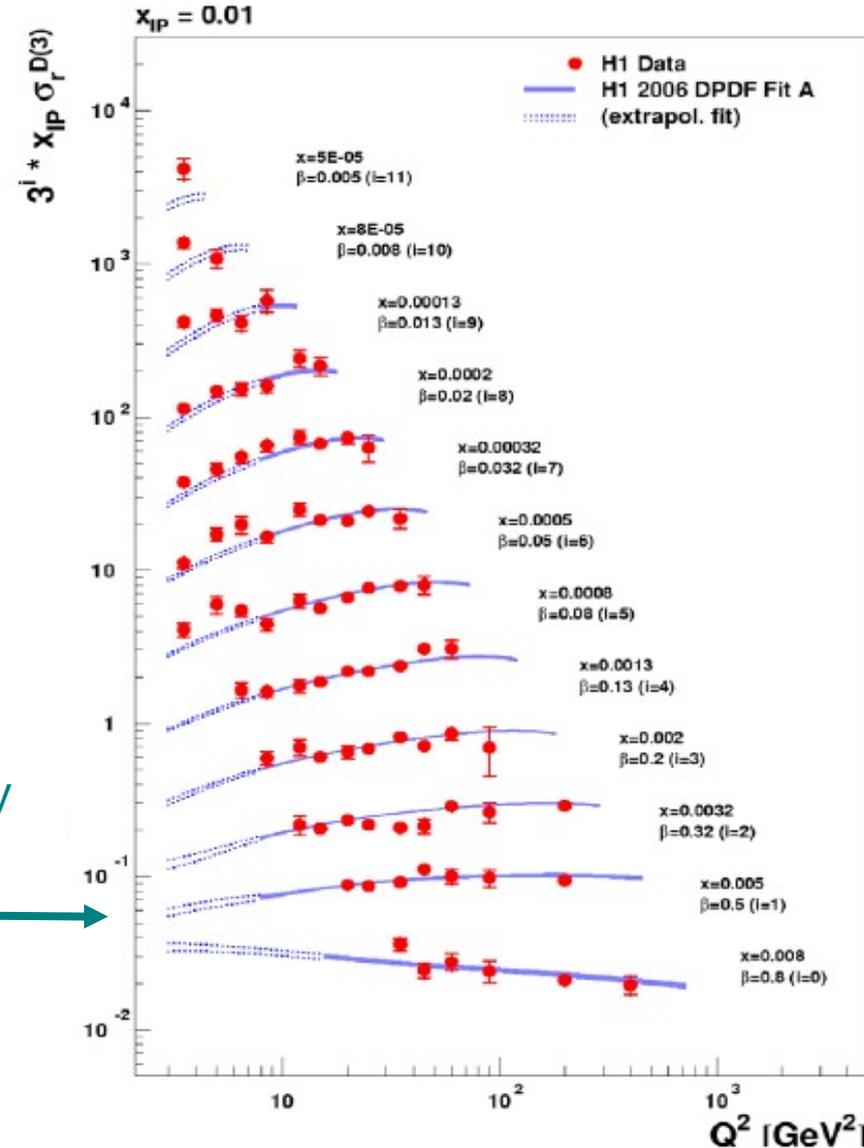
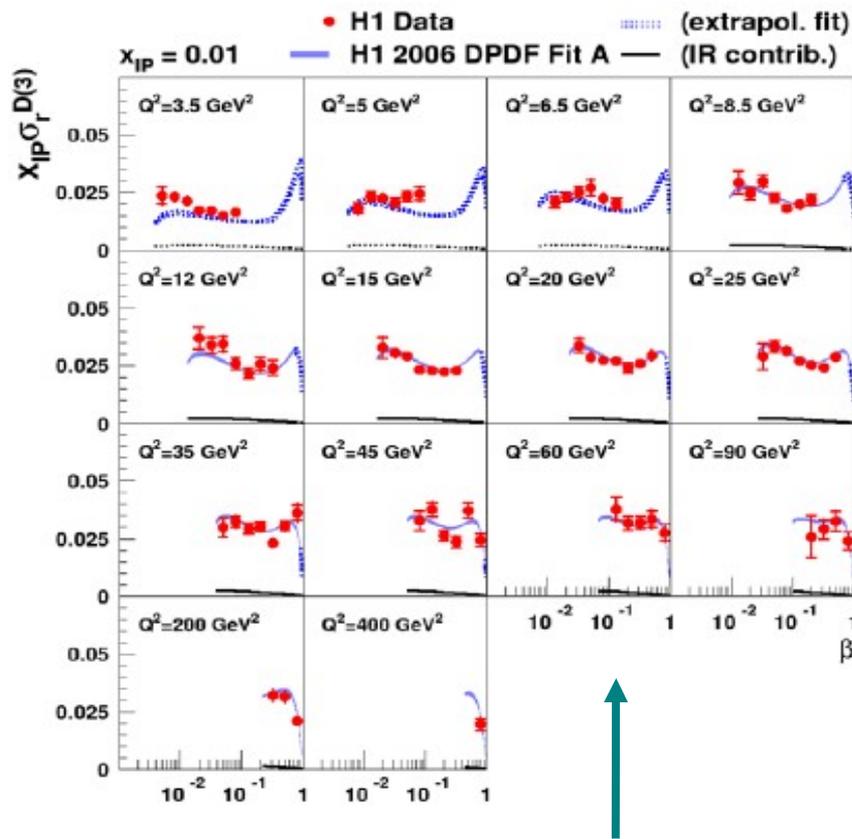
# Comparison ZEUS LRG $\leftrightarrow$ H1 LRG



→ Fair agreement H1&ZEUS

- Fraction of proton dissociation events different for ZEUS and H1 detectors
- ZEUS LRG data normalized to H1 LRG data

# DPDFs extraction



Reduced cross section constrains quark density

$\ln Q^2$  dependence constrains gluon density

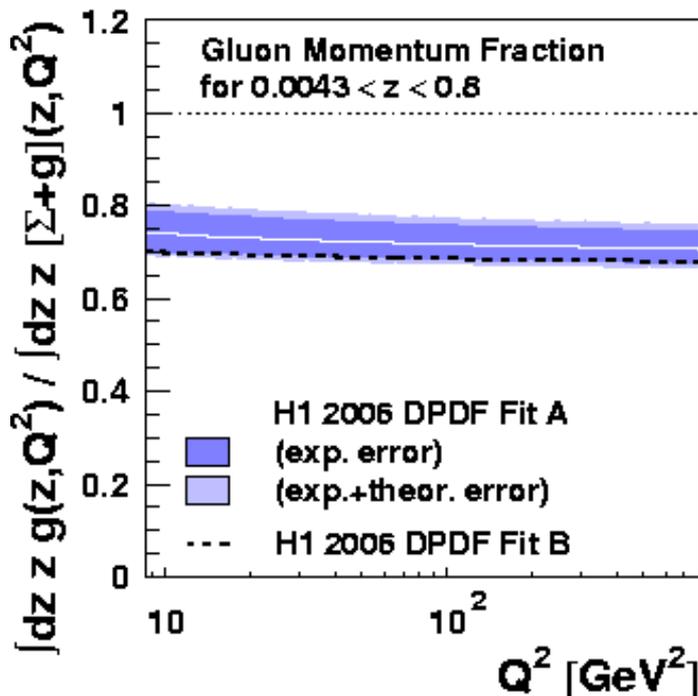
- Positive scaling violations up to high  $\beta$   
 → a lot of gluons in the diffractive exchange

- > Fit LRG data with fixed  $x_{IP}$  binning
- > Use proton vertex factorization with  $\alpha_{IP}(t)$  from FPS and LRG data to relate data from different  $x_{IP}$  values with complementary  $\beta$ ,  $Q^2$  coverage
- > Exclude data with  $M_X < 2$  GeV or  $\beta > 0.8$  and with  $Q^2 < 8.5$  GeV<sup>2</sup> (poor measurement, theory)

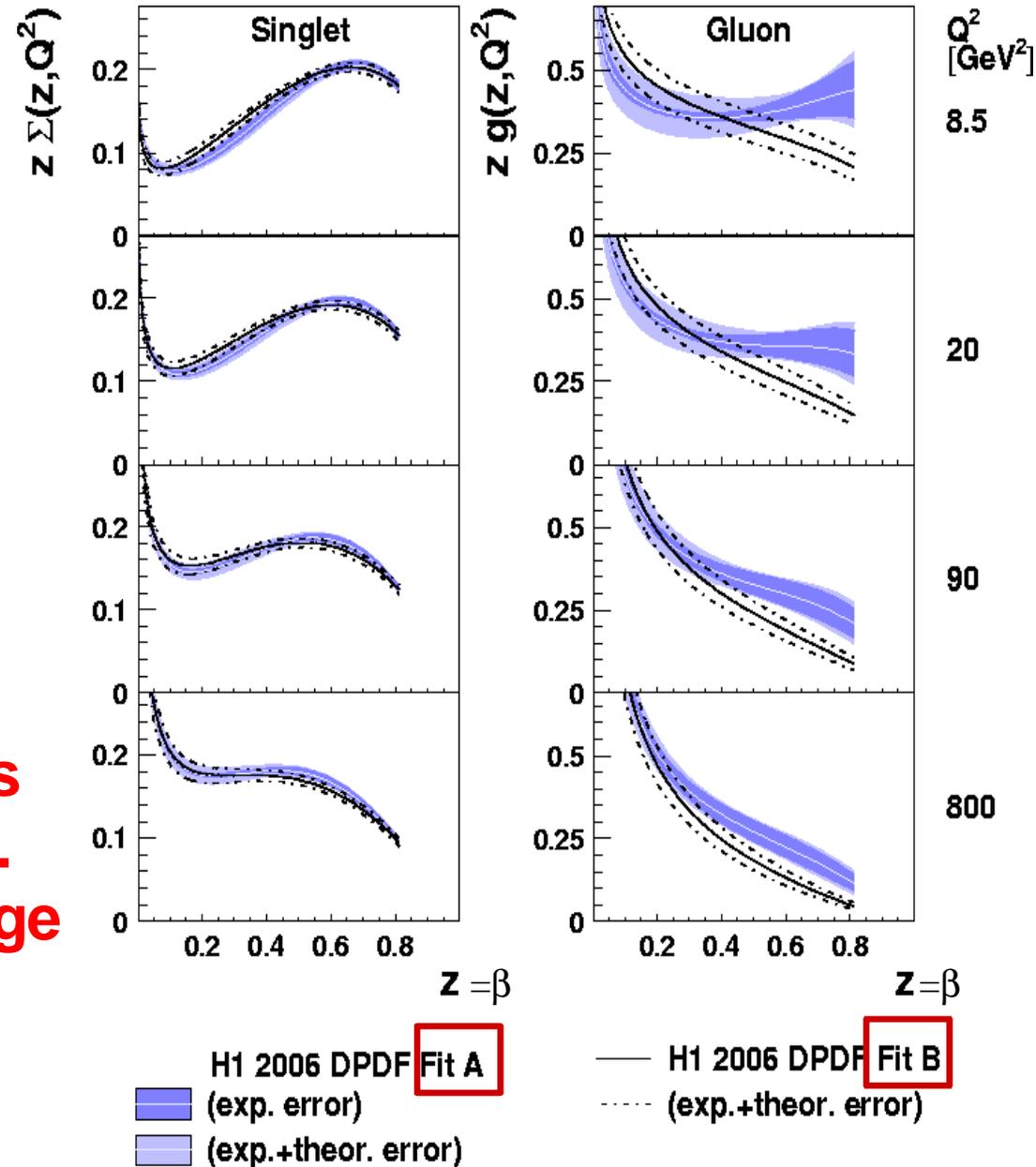
# DPDFs



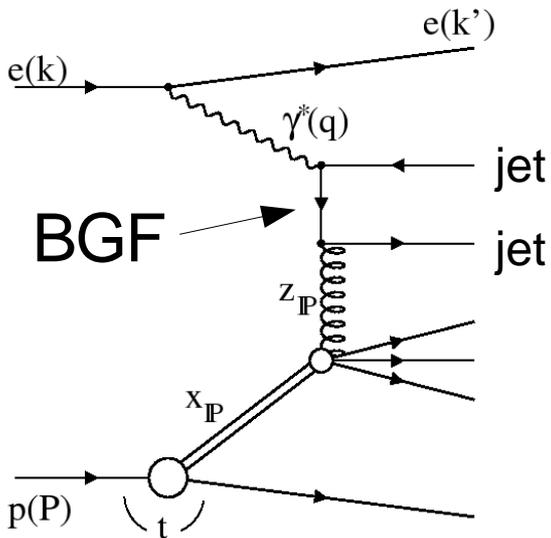
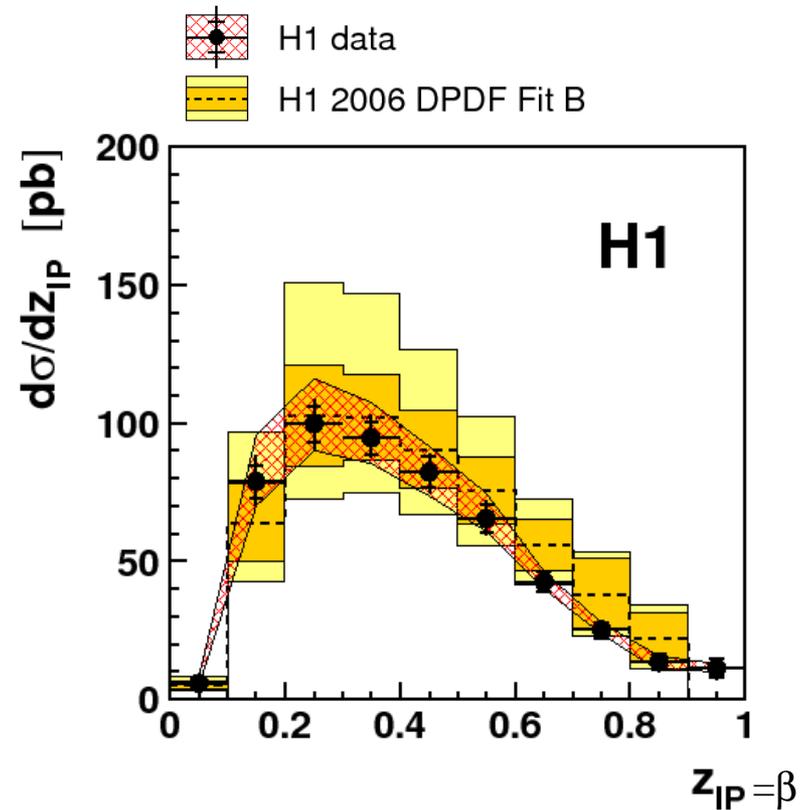
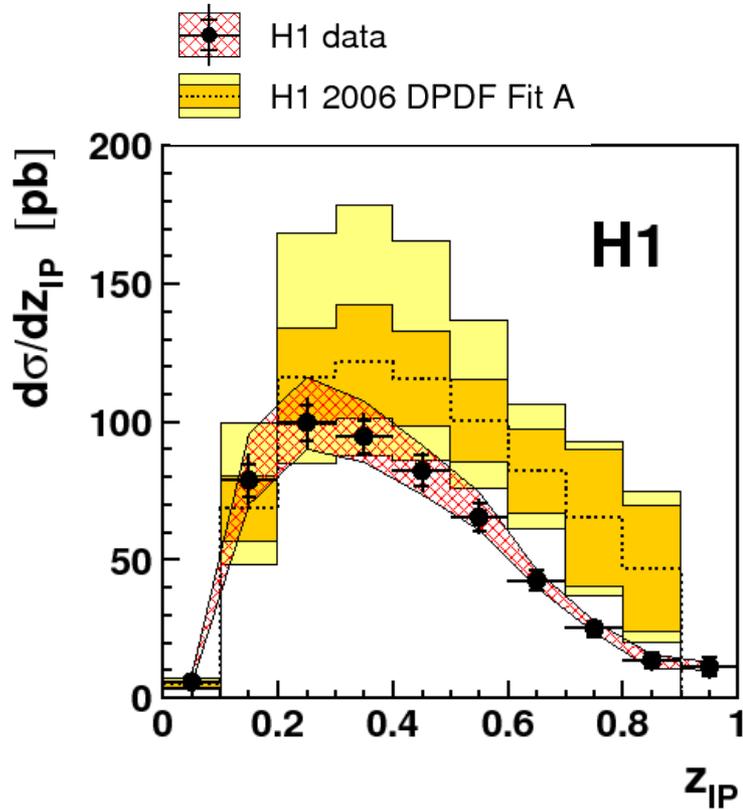
- H1 DPDFs Fit A & B
  - different starting parameterizations
- Well constrained **singlet**
- Weakly constrained **gluons** (esp. at high values of  $\beta$ )



~75%  
gluons  
in diff.  
exchange



# These fit DPDFs: compare to diffractive dijets in DIS



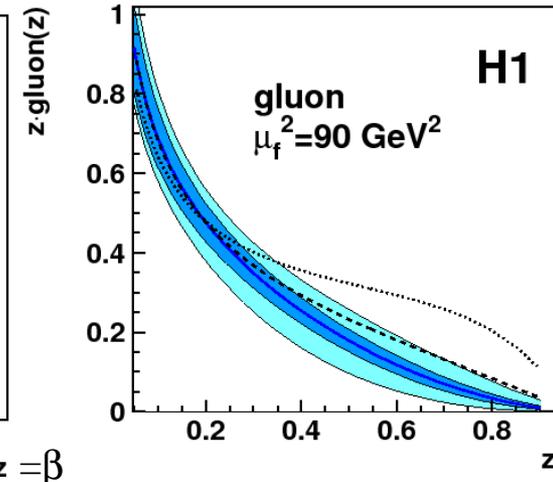
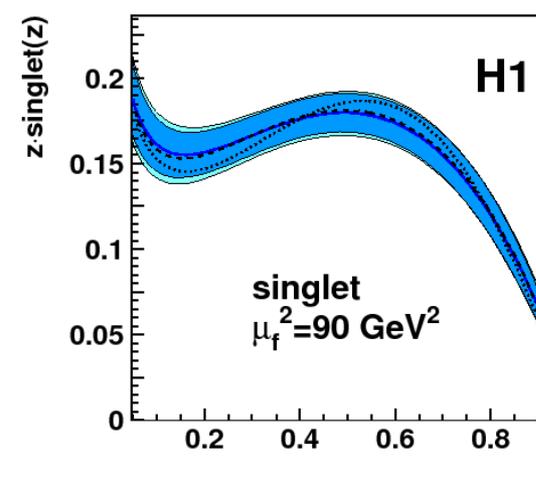
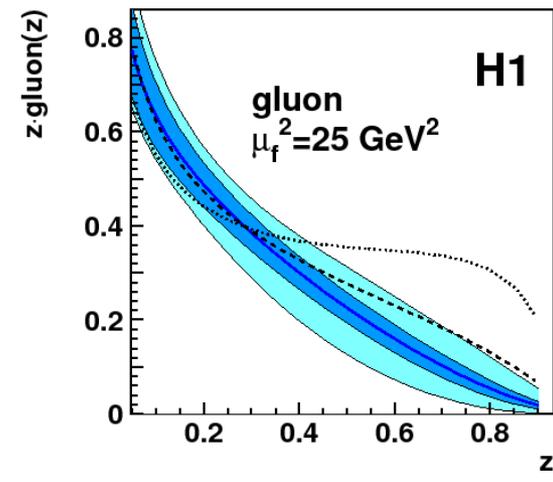
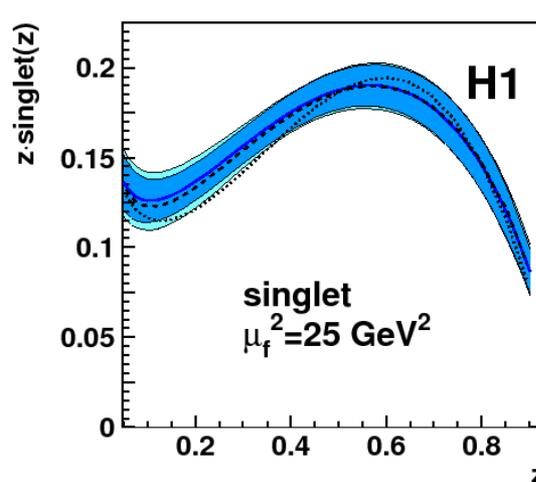
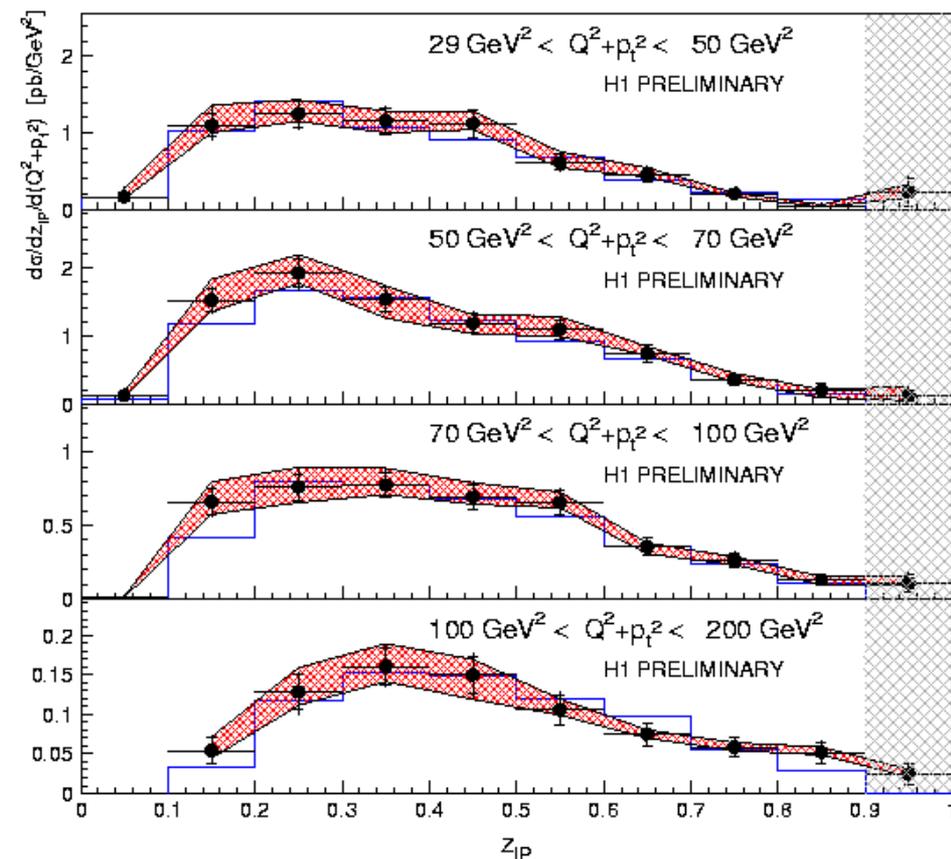
- At low  $\beta$  ( $< 0.3$ ) Fit A and Fit B are similar, agree with predictions from fit DPDFs
- Consistent with factorization
- At high  $\beta$  the diffractive dijet data clearly prefer FitB
- Sensitive to  $g(\beta, Q^2)$  via *boson-gluon fusion* (BGF)
- Include DIS diffractive dijets in DPDF fit ↘ 10

# Improved DPDFs: dijets

Include in QCD fit:

Fit result:

- H1 2007 Jets DPDF
- exp. uncertainty
- exp. + theo. uncertainty
- ⋯ H1 2006 DPDF fit A
- ⋯ H1 2006 DPDF fit B



Include dijets  $\Rightarrow$  much improved  $g(b, Q^2)$  at high  $\beta$

# Transition $ep \rightarrow$ hadron-hadron

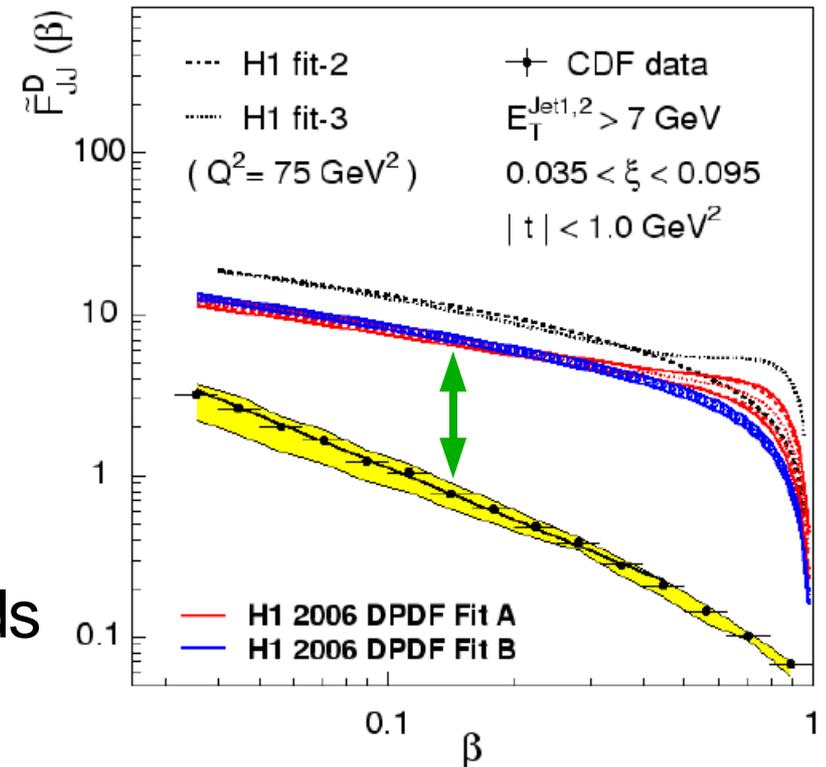
- Factorisation not expected to hold in pp, pp scattering

[Kaidalov, Khoze, Martin, Ryskin, Goulianos, Levin., Gotsman, Maor, ..]

Indeed it does not: **factor 10 normalization discrepancy when HERA DPDFs are extrapolated to Tevatron**

- The picture for this: rescattering
- Additional interactions between pp in initial, final state; can simultaneously:
  - drop final state p to lower energy, not detected in forward spectrometer
  - products from interaction can destroy the rapidity gap $\Rightarrow$  loss of diff. events all selection methods

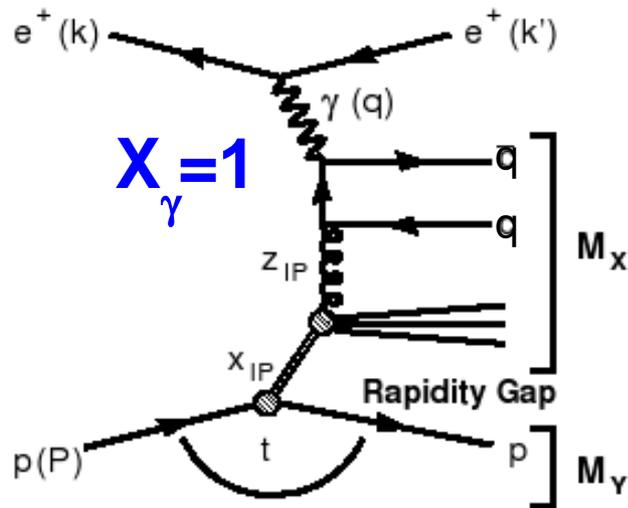
- Investigate at HERA:  
transition high  $Q^2$  DIS  $\rightarrow$   $Q^2 \sim 0$  photoproduction



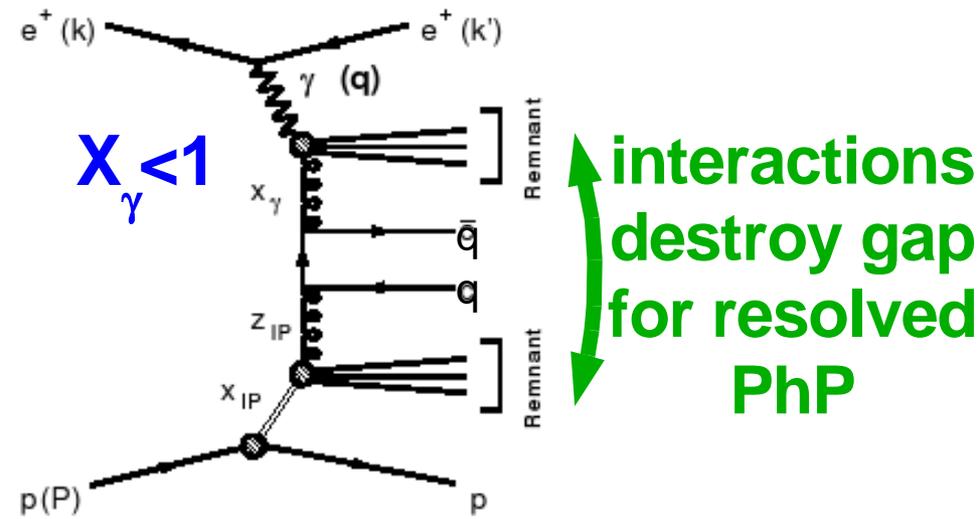
# Diff.-dijets: DIS $\rightarrow$ photoproduction

- With dijets have additional observable:  $X_\gamma$  = fraction of photon momentum in hard scattering
- 'Direct' photon w/  $X_\gamma = 1$  behaves pointlike
- 'Resolved' photon w/  $X_\gamma < 1$  in photoproduction (PhP) can behave like a hadron:

## DIS and direct PhP



## resolved PhP



# Diffractive-dijets in PhP: ZEUS&H1 measurements & theory comparison

- ZEUS measurement

- $k_T$  algorithm in LAB frame,  $R=1$
- $E_T^{\text{jet1}} (E_T^{\text{jet2}}) > 7.5 (6.5) \text{ GeV}$   $\longleftrightarrow$
- $\langle Q^2 \rangle = 0.02 \text{ GeV}^2$
- $142 < W < 293 \text{ GeV}$
- $X_{\text{IP}} < 0.025$

- ZEUS comparison:

- NLO calculation Klasen&Kramer
- input recent fit DPDFs

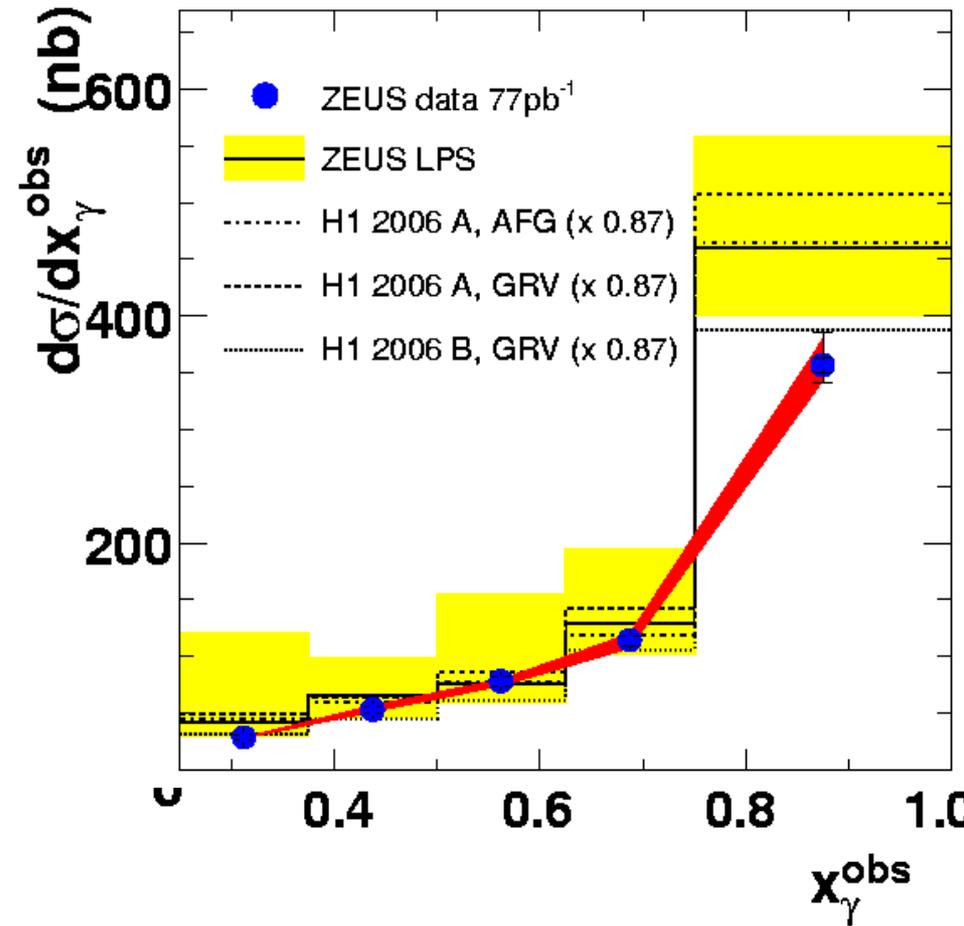
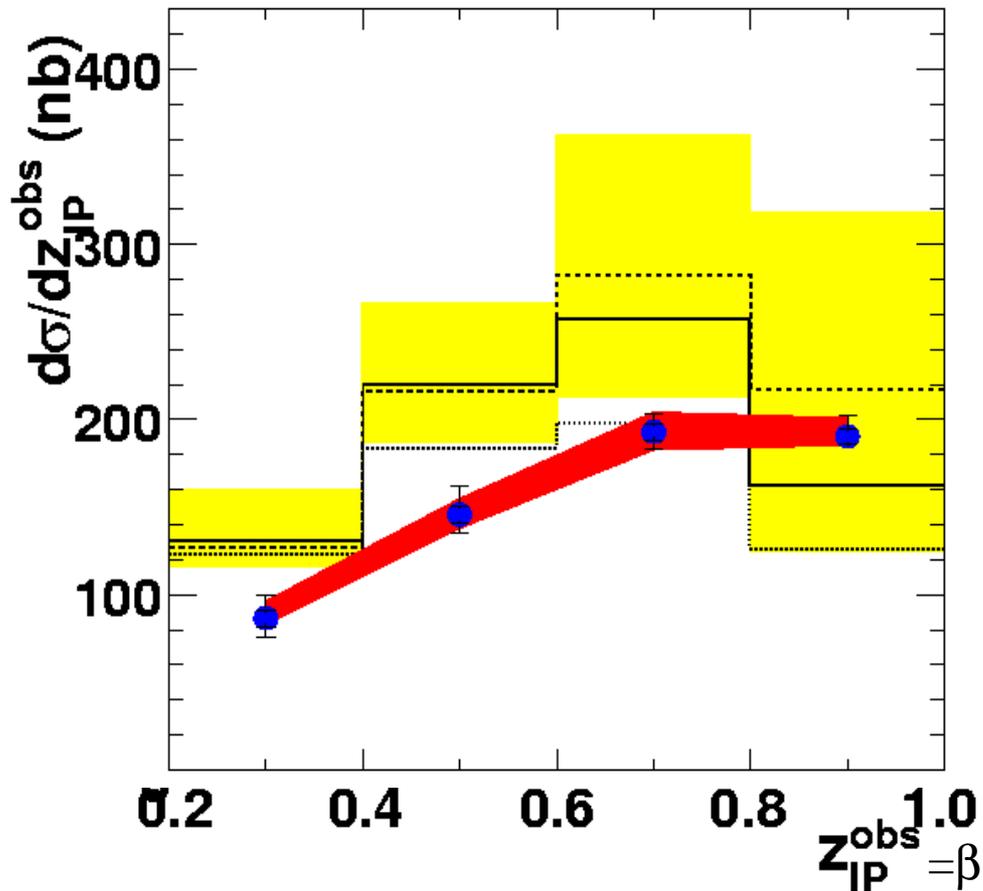
- H1 measurement

- $k_T$  algorithm in LAB frame,  $R=1$
- $E_T^{\text{jet1}} (E_T^{\text{jet2}}) > 5 (4) \text{ GeV}$
- $Q^2 < 0.01 \text{ GeV}^2$
- $165 < W < 242 \text{ GeV}$
- $X_{\text{IP}} < 0.03$

- H1 theory comparison:

- NLO calculation Frixione&Ridolfi
- input recent fit DPDFs

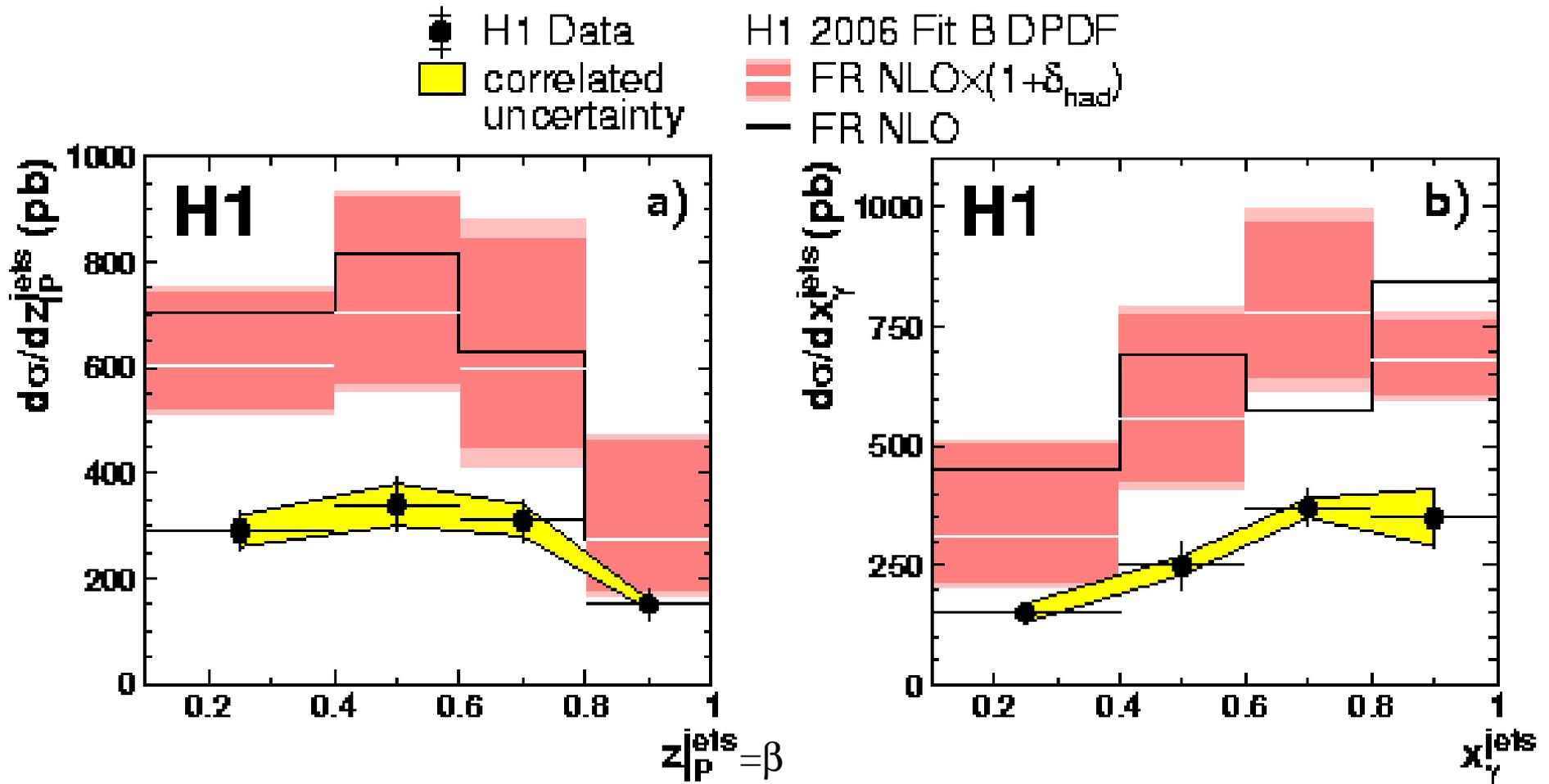
# Diffraction-dijets in PhP: ZEUS



- Reasonable agreement with Klasen&Kramer NLO
- No strong evidence of cross section suppression w.r.t. K&K**
- No preferential suppression of resolved contribution

# Diffraction-dijets in PhP: H1

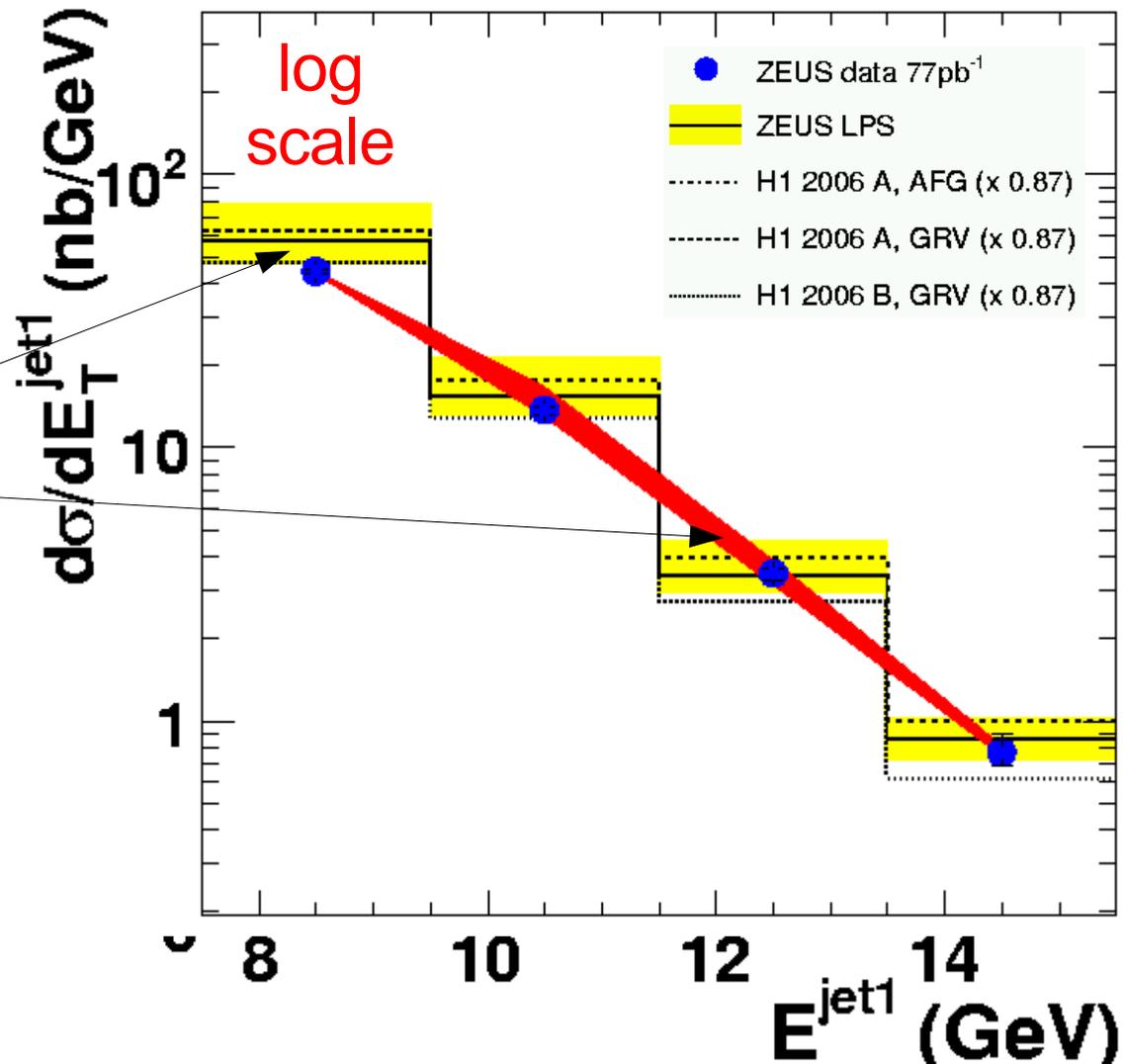
## H1 Diffractive Dijet Photoproduction



- Data  $\sim 1/2$  of Frixione&Ridolfi NLO calculation
- **Evidence of cross section suppression w.r.t. F&R**
- No preferential suppression of resolved contribution

# ZEUS ↔ H1 inconsistent? Not clearly...

- H1 starts at lower  $E_T^{\text{jet}}$ 
  - H1:  $E_T^{\text{jet1(jet2)}} > 5$  (4) GeV
  - ZEUS :  $E_T^{\text{jet1(jet2)}} > 7.5$  (6.5) GeV
- $x_p$  range slight difference:
  - H1:  $< 0.03$ , ZEUS  $< 0.025$
- $E_T^{\text{jet1}}$  in the data seems harder than the NLO
  - Both in H1 and ZEUS
  - Seems the reason to have more suppression at low  $E_T^{\text{jet}}$  i.e. the H1 result
- Problem in the NLO?  
Or, suppression only at low- $E_T^{\text{jet}}$  events?



- **Data is ~final**
- **Implementation of DPDFs to NLO calculations still work in progress...**

# Summary

- Diffraction (color singlet exchange) measured in DIS and photoproduction at HERA
- Structure of exchange: DPDFs
  - Inclusive DIS  $\rightarrow$  quark structure
  - DPDFs  $\leftrightarrow$  dijets in DIS: factorization holds
  - Dijets in DIS  $\rightarrow$  improved gluon structure
    - $\Rightarrow$  **Gluon dominated: ~75%**
- Transport DPDFs  $\rightarrow$  hadron-hadron
  - Seen to fail badly ( $\times 10$ ) at Tevatron
  - Photoproduction at HERA: may apply in some kinematic regions, not others (?)
    - $\Rightarrow$  work in progress...