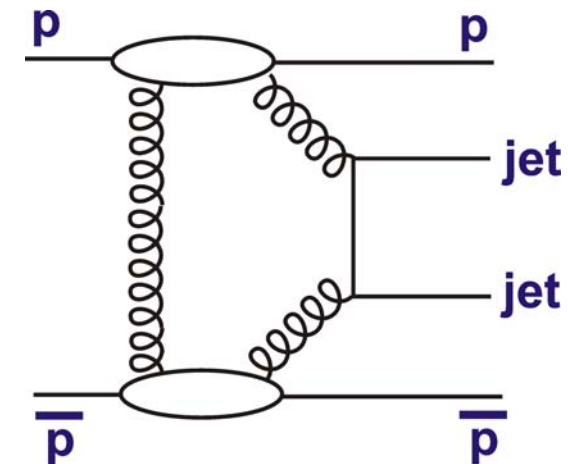
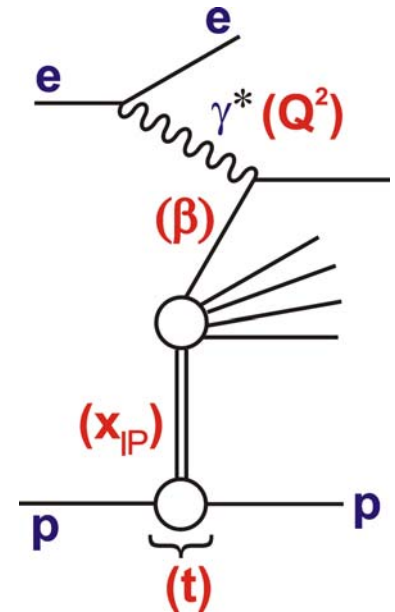


New Results on Hard Diffraction from HERA and the Tevatron

P. Newman (University of Birmingham)
HCP '07, Elba, 24 May 2007



- Extracting Diffractive Parton Densities
- Testing Diffractive Factorisation
- Searching for central exclusive diffractive production

(Apologies for many topics omitted in these personally selected highlights)

"Inclusive Diffraction" (SD)

e.g. $ep \rightarrow eXp$, $pp \rightarrow Xp$...

...characterised by multiple particle production in diffractive system X

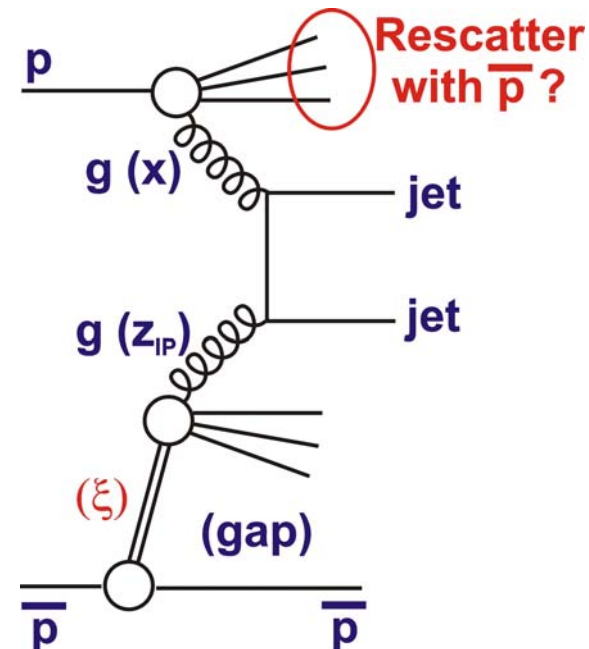
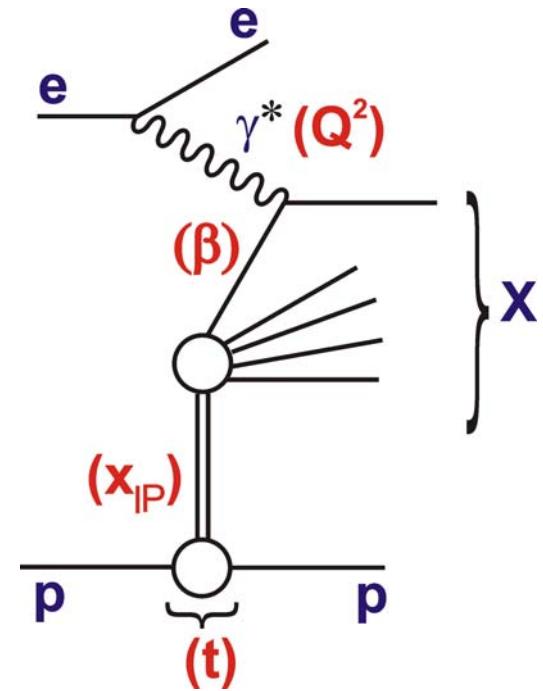
t = squared 4-mom. transfer to proton

$x_{IP}(\xi)$ = fractional proton mom. loss
(mom. frac. IP/p)

$\beta(z_{IP})$ = fraction of total exchanged mom. entering hard scatter
(mom. frac. q/IP or g/IP)

Typically 10% @ HERA, 1% @ Tevatron

... rapidity gap survival factors ~ 0.1
(soft rescattering / underlying event)

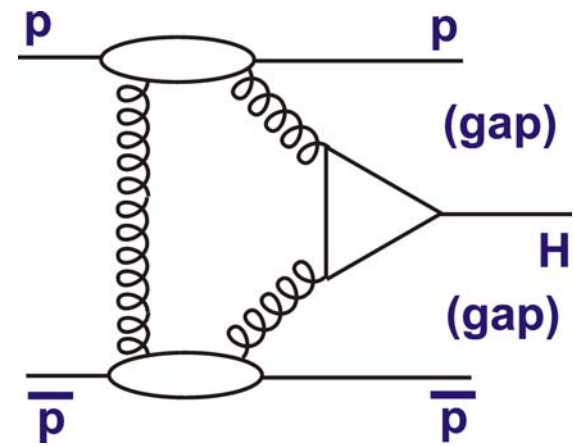


"Central Exclusive Production"

e.g. $pp \rightarrow ppH$

both protons remain intact

Higgs or other 0^+ state could be produced exclusively at LHC with very good m_H constraint from measured proton energy losses.



"KMR" perturbative calculation (Khoze et al., hep-ex/0507040)

- Visible LHC cross section ~ 3 fb for $M_H = 120$ GeV
- Includes 3% gap survival probability
- "factor 2.5 uncertainty"

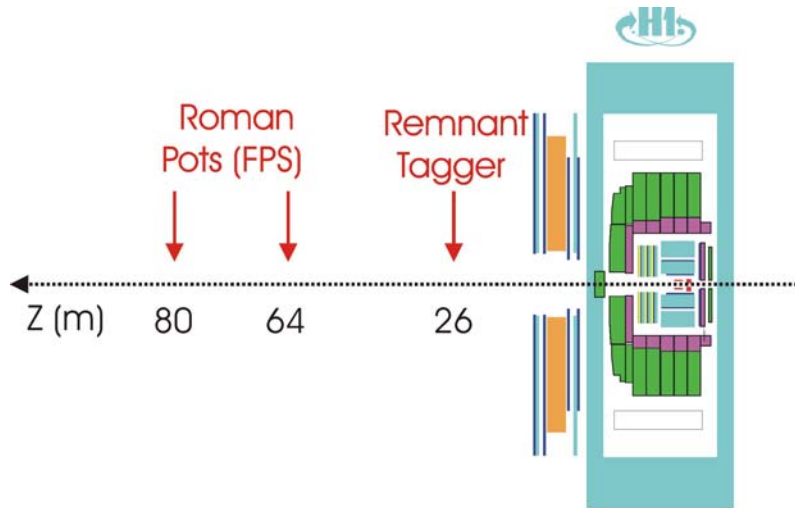
Central Exclusive control channels with much higher cross sections at Tevatron ... jets, photons

Linked to inclusive channels via gap survival & backgrounds

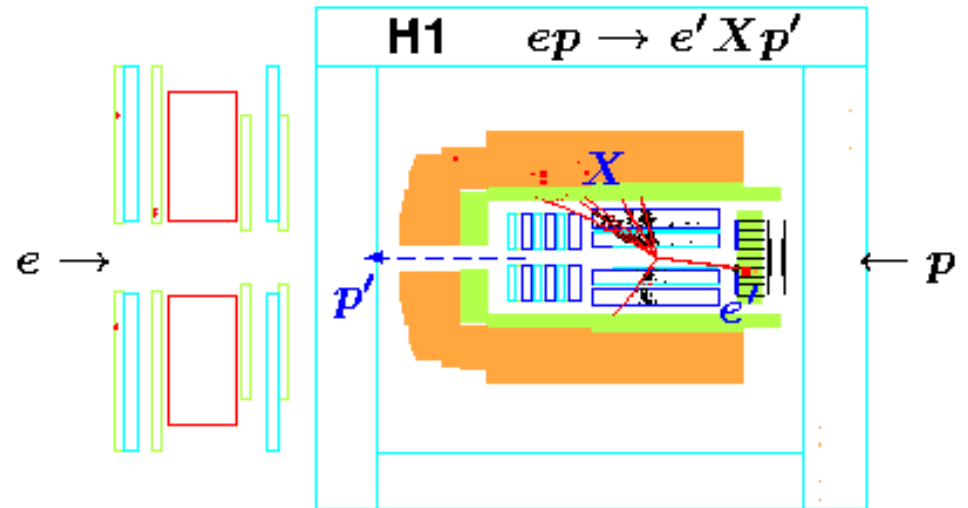
Selection Methods

Ideally, measure final state proton directly ...

`Roman Pot' inserts to beampipe (e.g. H1
`Forward Proton Spectrometer')



Alternatively identify `Large Rapidity Gap' (empty detectors) adjacent to outgoing (untagged) proton

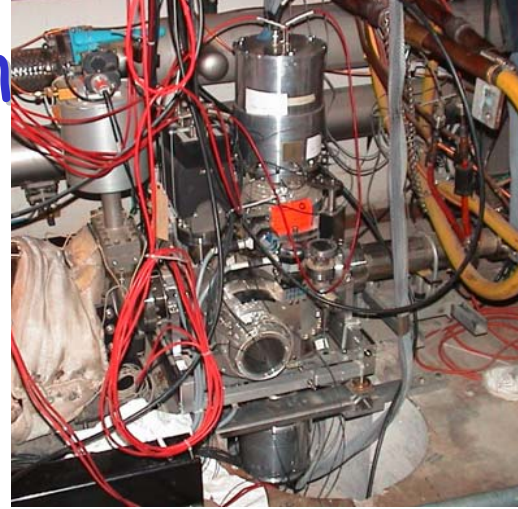
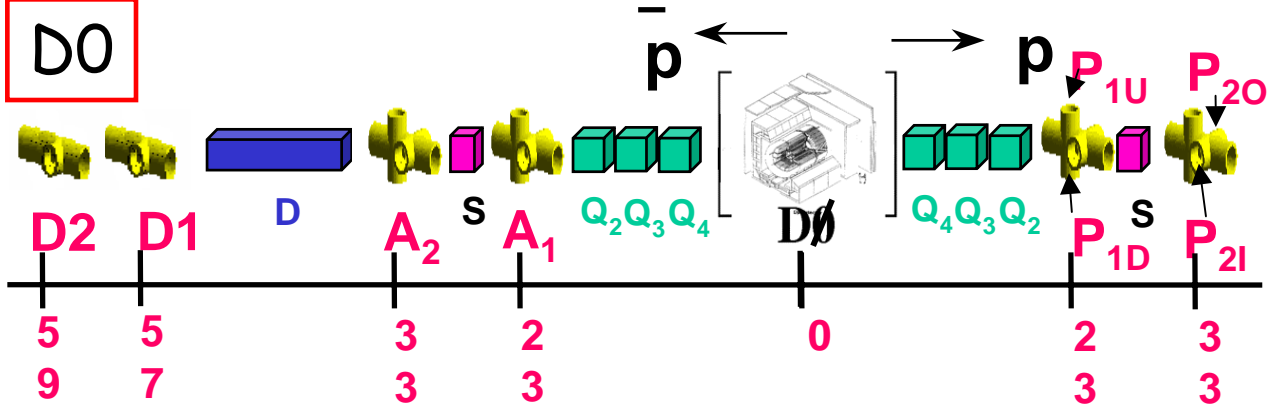


Good statistics, but systematics associated with missing proton

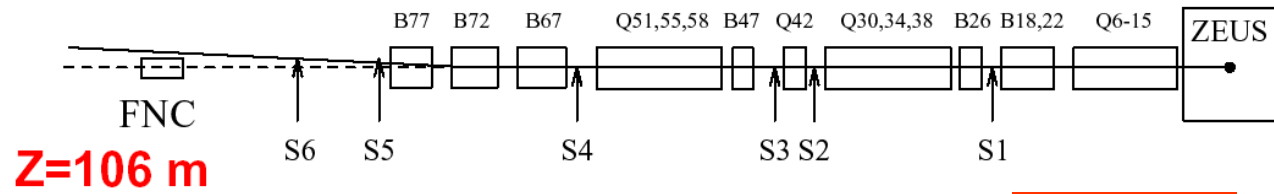
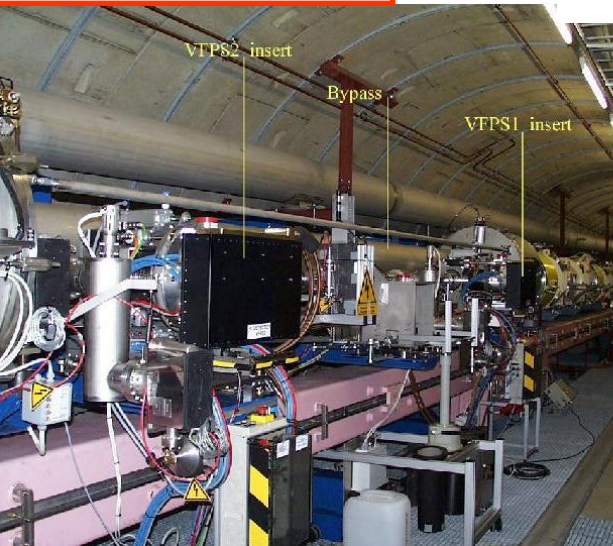
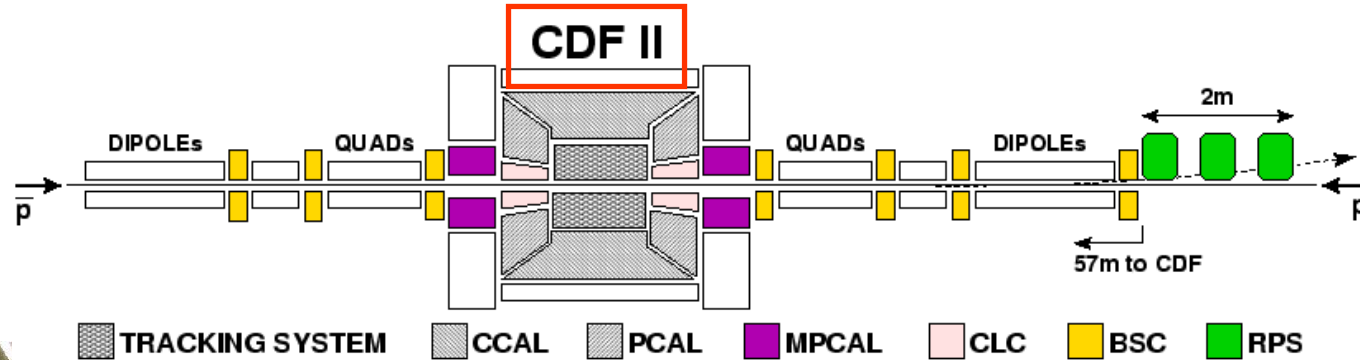
Unambiguous final state proton, but limited statistics

Roman Pots / Fwd Instrumentation

D0

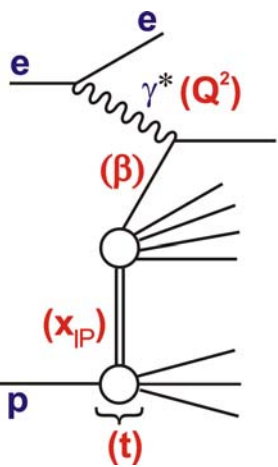
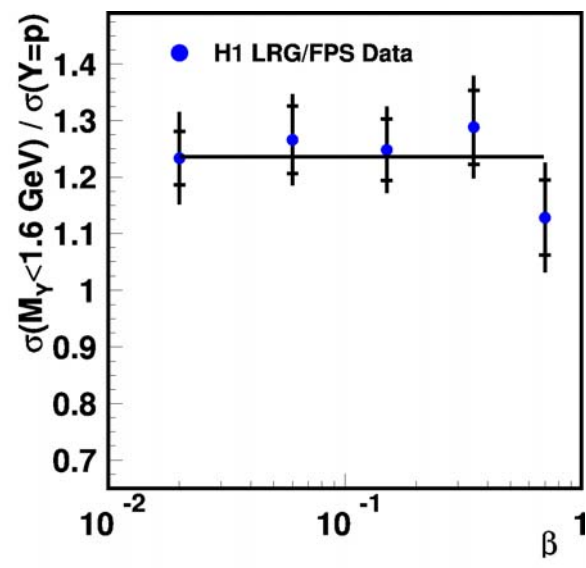
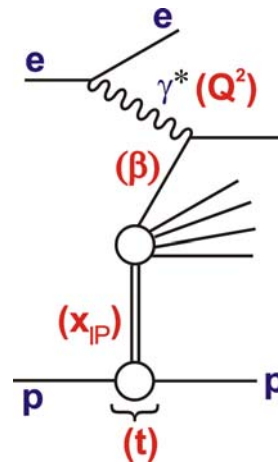
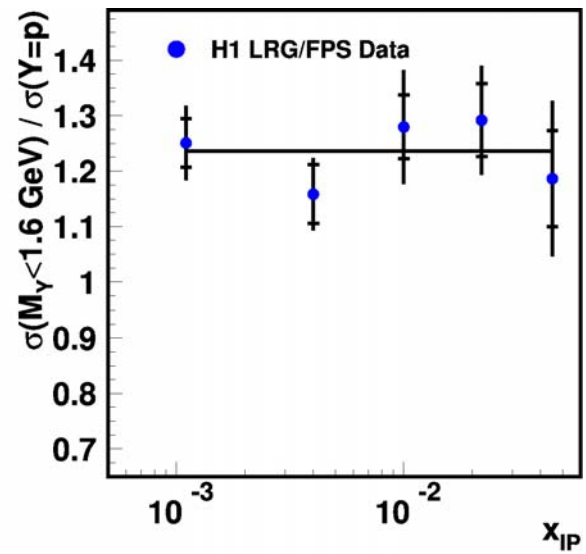
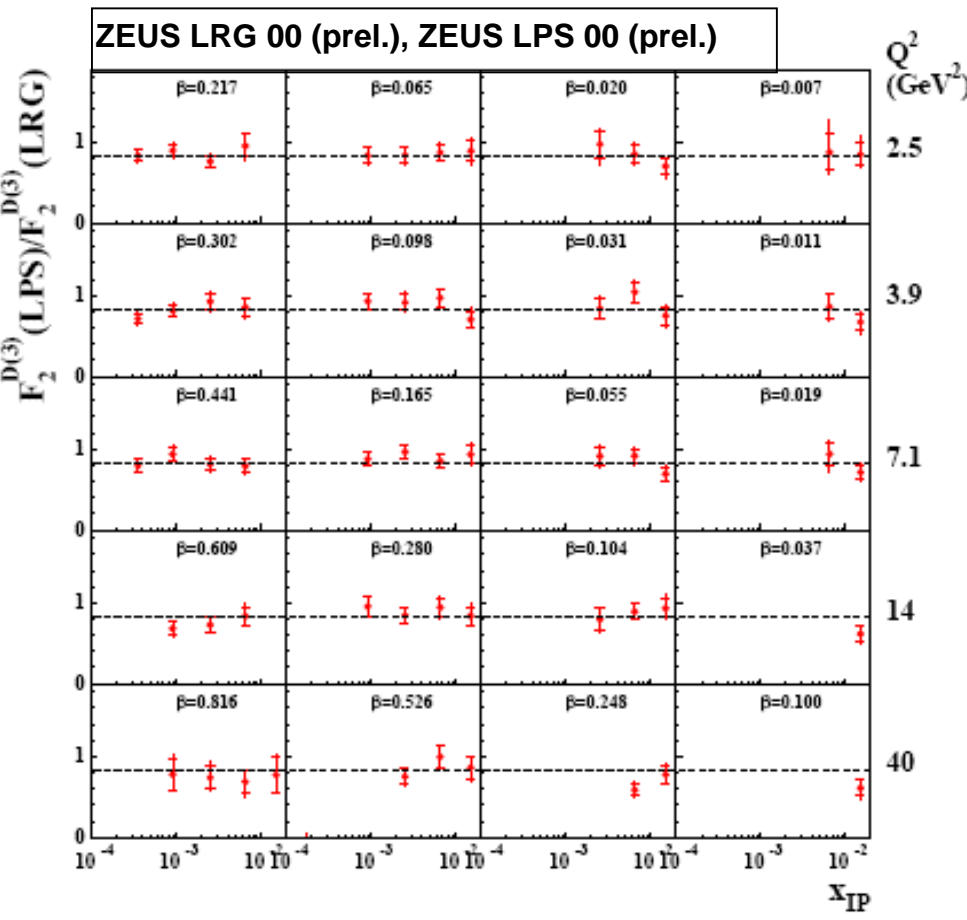


**H1
VFPS
@ HERA-II**



ZEUS

HERA: Comparing Roman Pot with Gap Methods

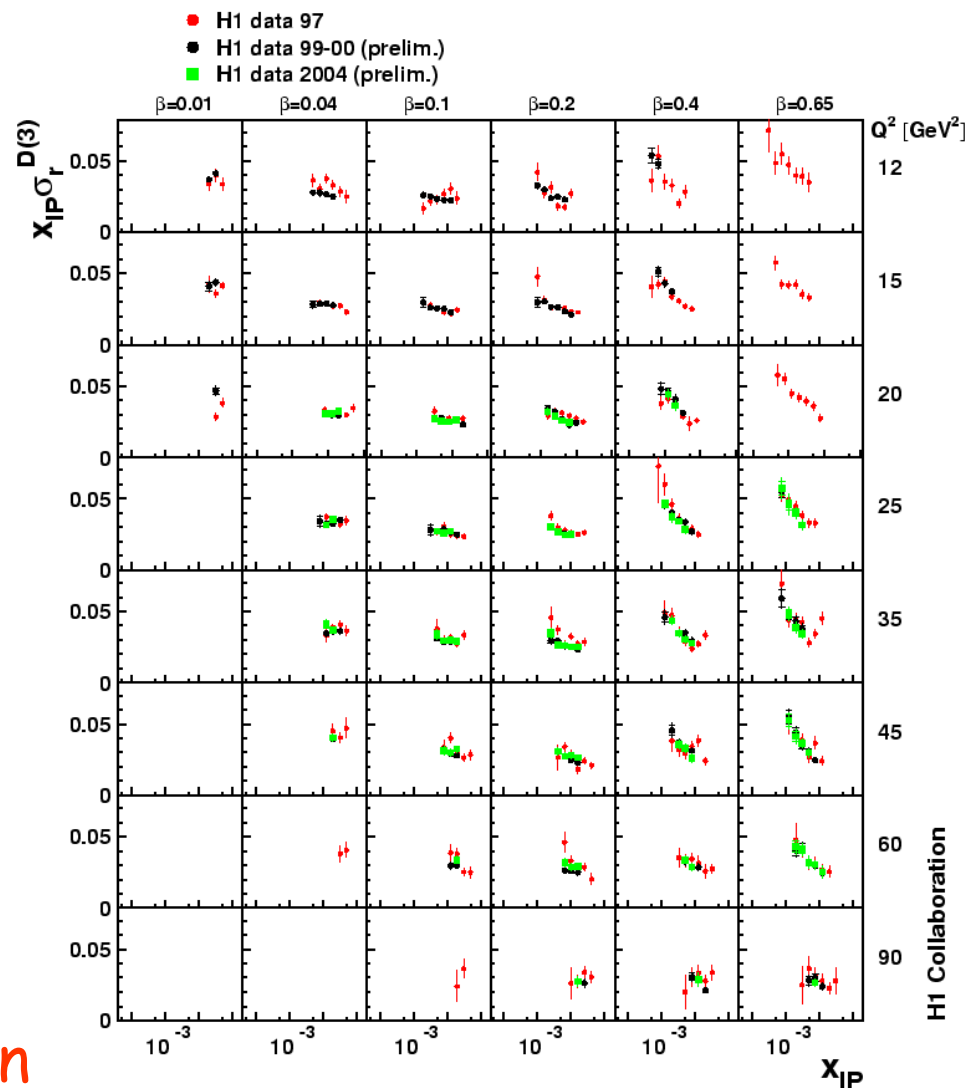
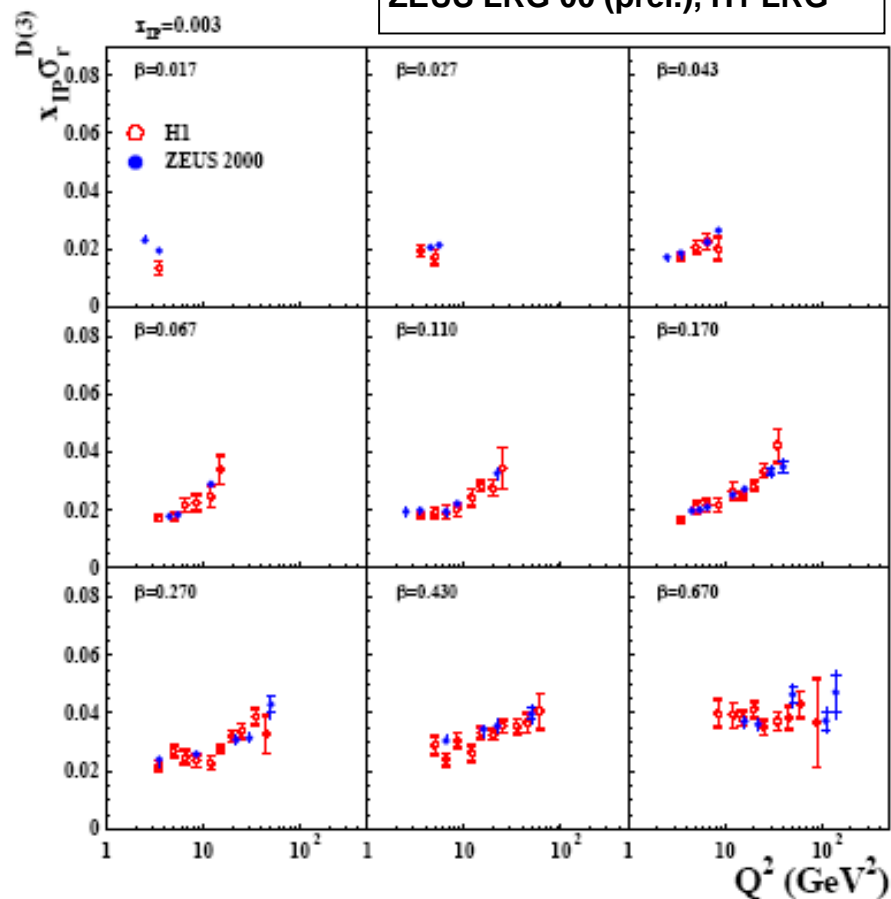


- Good agreement between selection methods with very different systematics!
- ~20% norm. differences (proton dissⁿ contributions)

Comparing Different Gap Method Samples

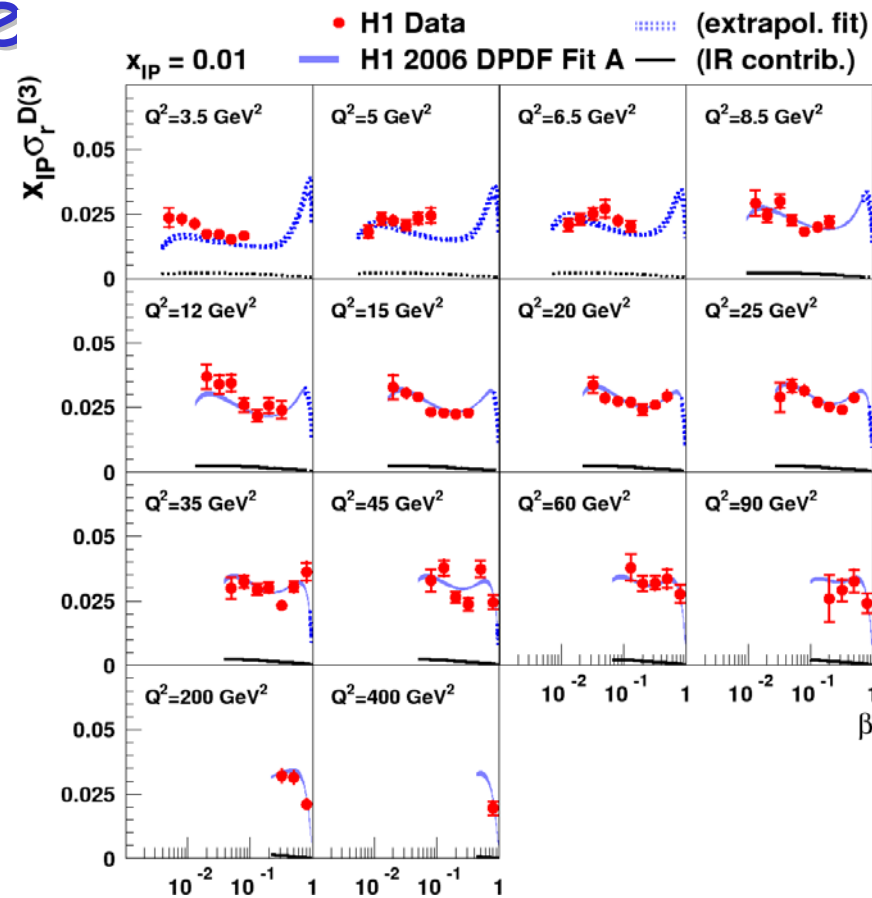
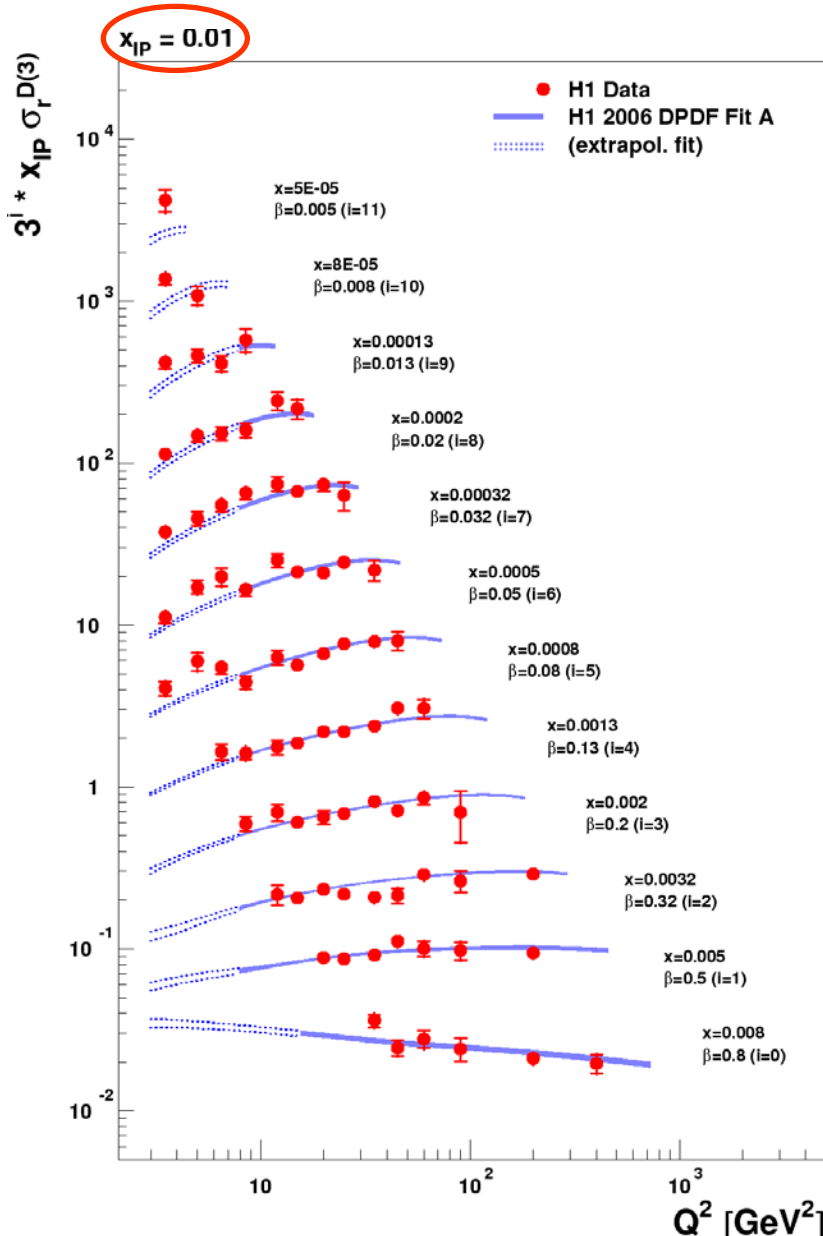
$x_{IP} = 0.003$

ZEUS LRG 00 (prel.), H1 LRG



Very good agreement between H1 and ZEUS and between HERA-I and HERA-II
 ... good understanding of systematics of gap selection

Subset of H1 Diffractive Structure Funcⁿ Data

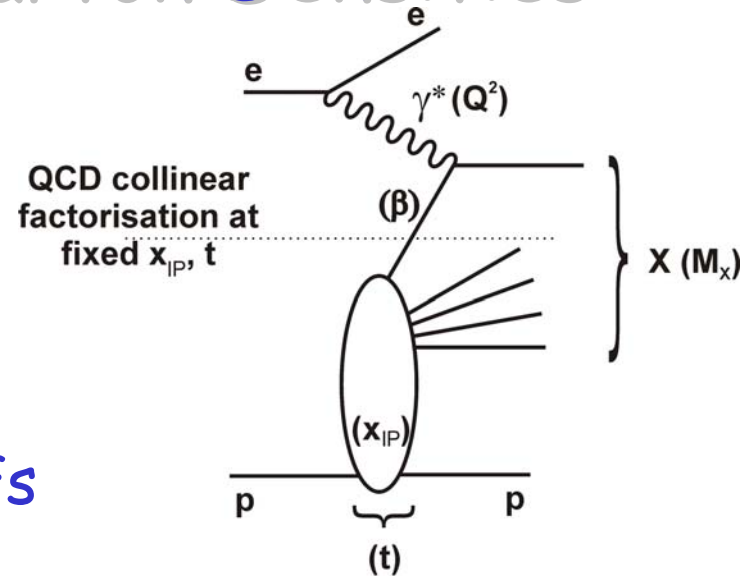


- ... similar at 4 more x_{IP} values
- Like an $F_2(\beta, Q^2)$ in each case
- Now reaching $Q^2 = 1600 \text{ GeV}^2$
- Precision in best regions \sim 5% (stat), 5% (syst), 6% (norm)

Extracting Diffractive Parton Densities

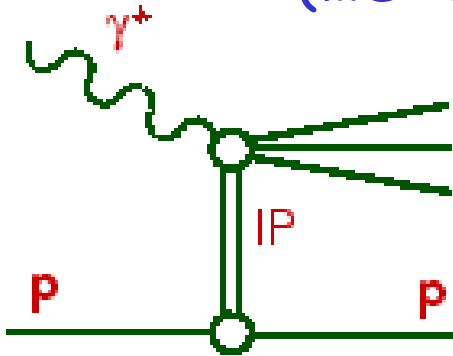
Semi-Inclusive QCD collinear factorisation at fixed x_{IP} and t ...

... can define β , x_{IP} and t dependent diffractive parton densities (DPDFs), with Q^2 evolution as for inclusive PDFs

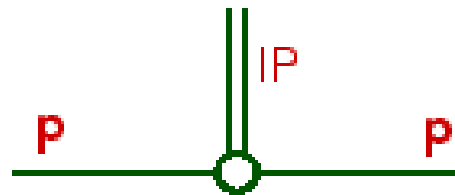


'Proton vertex' factorisation (empirically motivated)

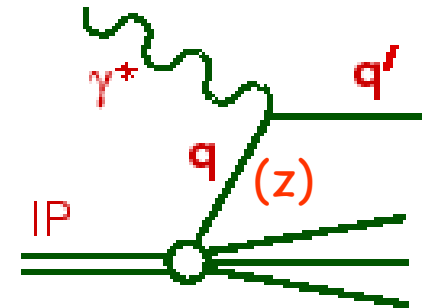
(...DPDFs change only in normalisation with x_{IP} , t)



=



x



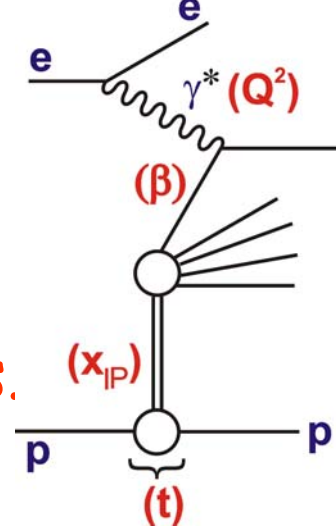
MEASUREMENT =

FLUX (x_{IP} , t)
(Regge theory)

STRUCTURE (β , Q^2)
(DGLAP)

Effective Pomeron Trajectory

- Pomeron trajectory from x_{IP} and t dependences.
- Assumed linear: $\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha' t$
- Consistent between H1 & ZEUS, Roman pot & gaps.



H1 Best values:

$$\alpha_{IP}(0) = 1.118 \pm 0.008 \text{ (exp.) } \begin{matrix} +0.029 \\ -0.010 \end{matrix} \text{ (theory)}$$

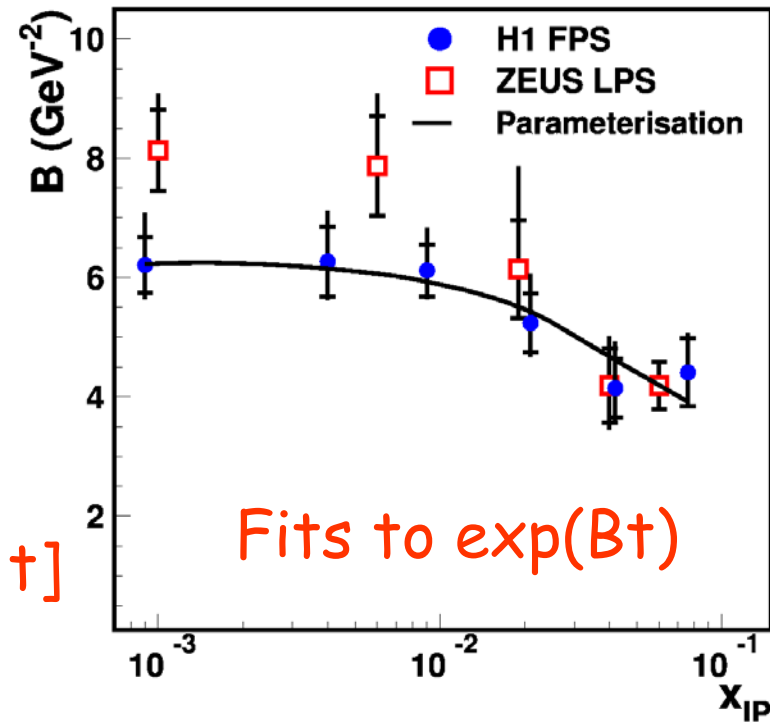
$$\alpha'_{IP} = 0.06^{+0.19}_{-0.06} \text{ GeV}^{-2}$$

ZEUS (prel) best values:

$$\alpha_{IP}(0) = 1.117 \pm 0.005 \text{ (stat.) } \begin{matrix} +0.024 \\ -0.007 \end{matrix} \text{ (theory)}$$

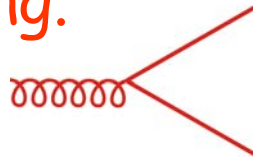
$$\alpha'_{IP} = -0.03 \pm 0.07 \text{ (stat.) } \begin{matrix} +0.04 \\ -0.08 \end{matrix} \text{ (sys.) GeV}^{-2}$$

- Not a soft IP [$\alpha_{IP}(t) \sim 1.08 + 0.25 t$]
- \sim independent of β , Q^2 (i.e. proton vertex factorises)



H1 2006 DPDF Fit Results (linear z scale)

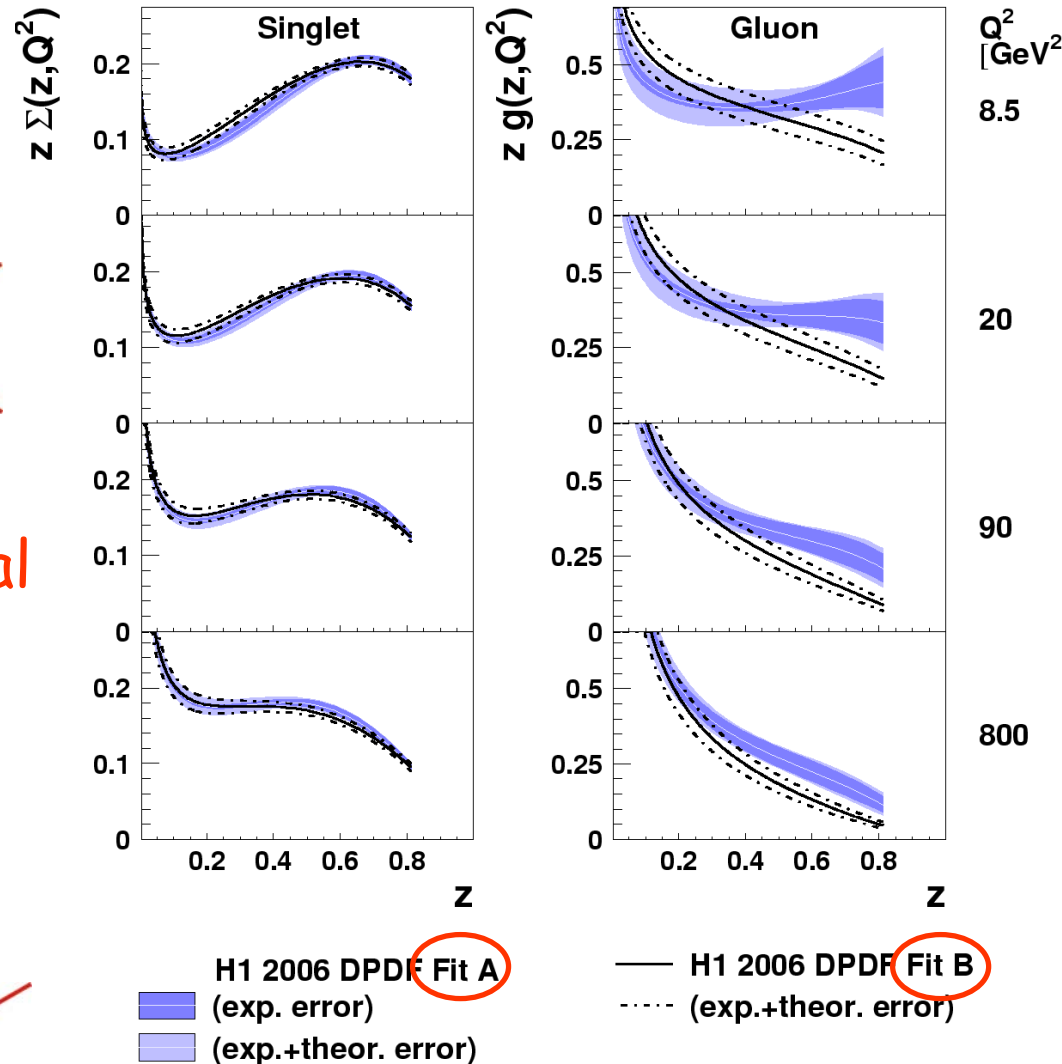
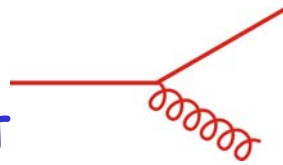
- As in inclusive case, σ_r^D gives quark density and its Q^2 dependence gives gluon via $g \rightarrow qq\bar{q}$ splitting.



- NLO DGLAP QCD fit ... experimental and theoretical uncertainties evaluated.

- Singlet to $\sim 5\%$, gluon to $\sim 15\%$ at low z , growing at high z ($q \rightarrow qg$ dominates Q^2 evolution)

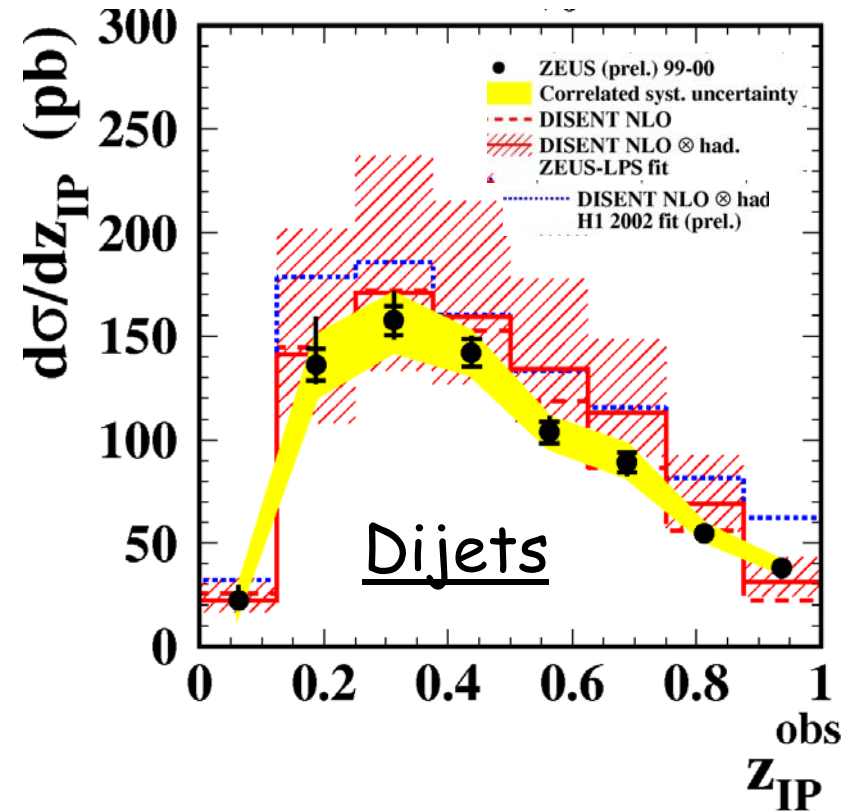
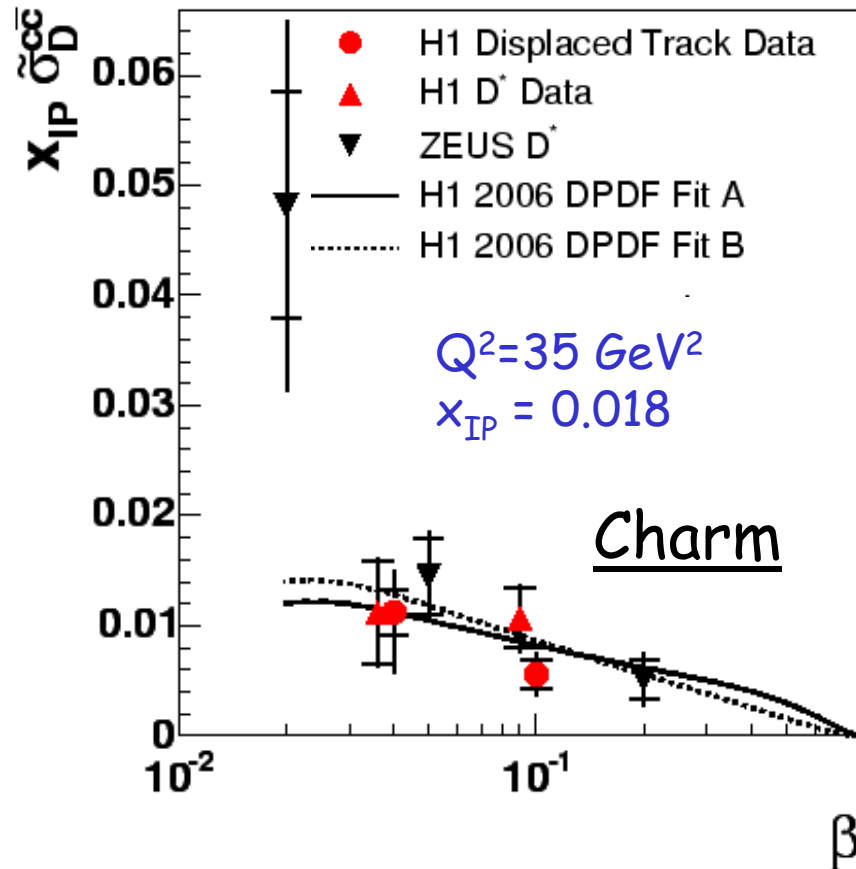
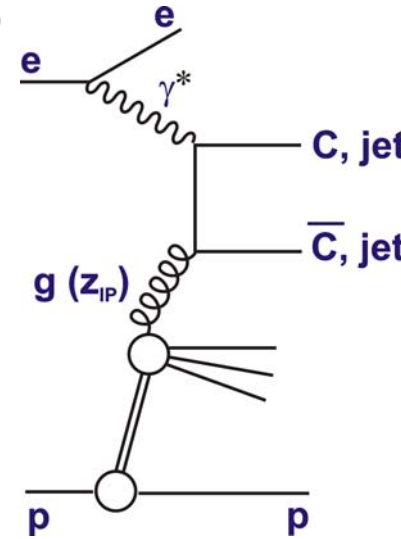
... yet high z is most important region for background to Central Exclusive Production!



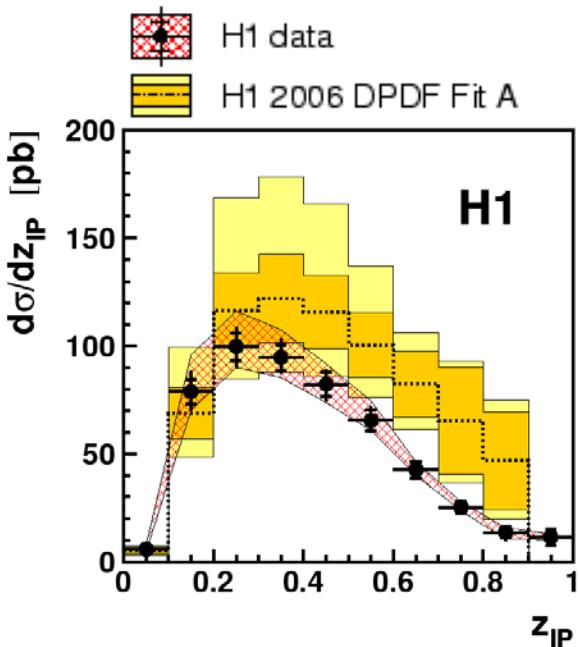
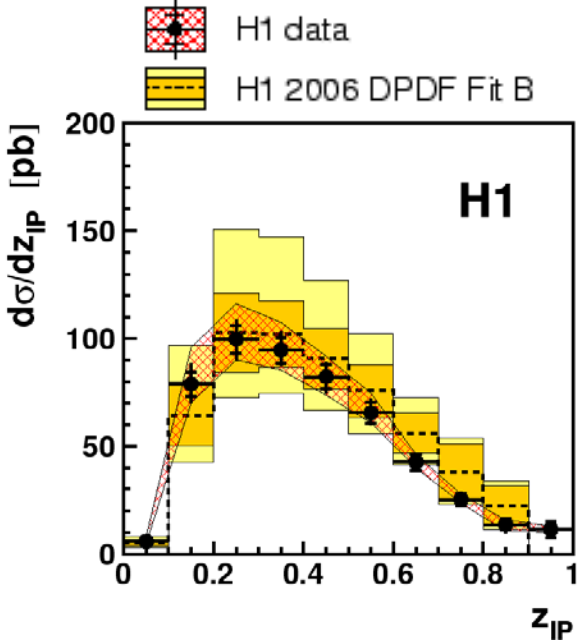
- $\sim 70\%$ gluons z integrated
- See also "ZEUS LPS" fit

Testing Factorisⁿ in DIS Final States

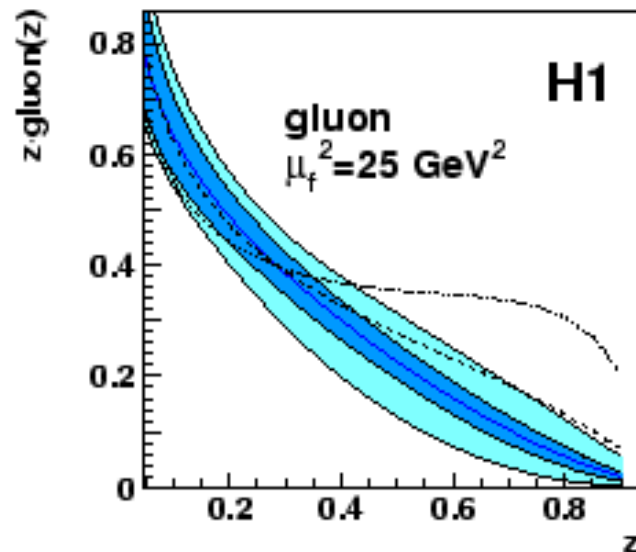
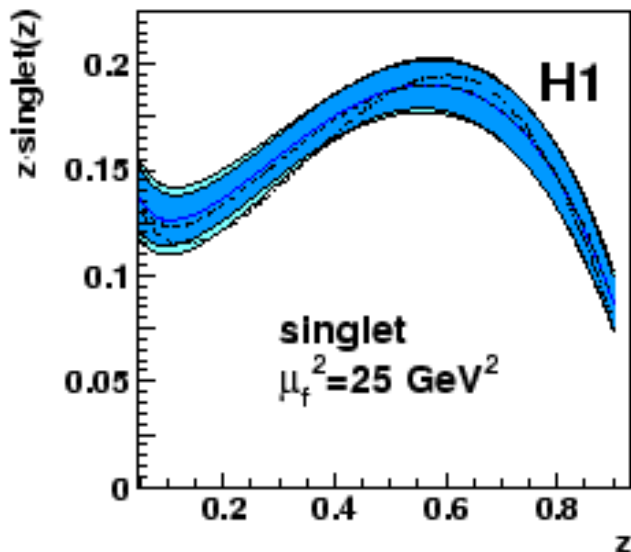
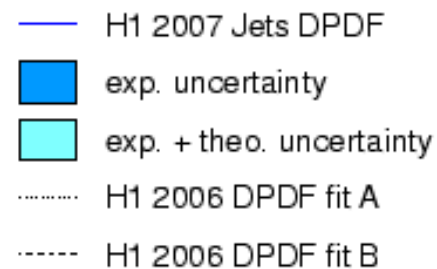
- Gluon DPDF known only indirectly from σ_r^D
- Test using processes driven by $\gamma^* g \rightarrow qq\bar{c}$
- Diffractive charm in DIS (two methods)
- Diffractive dijets in DIS
- Very good agreement (... for fit B ...)



DIS Dijets and the high z Gluon

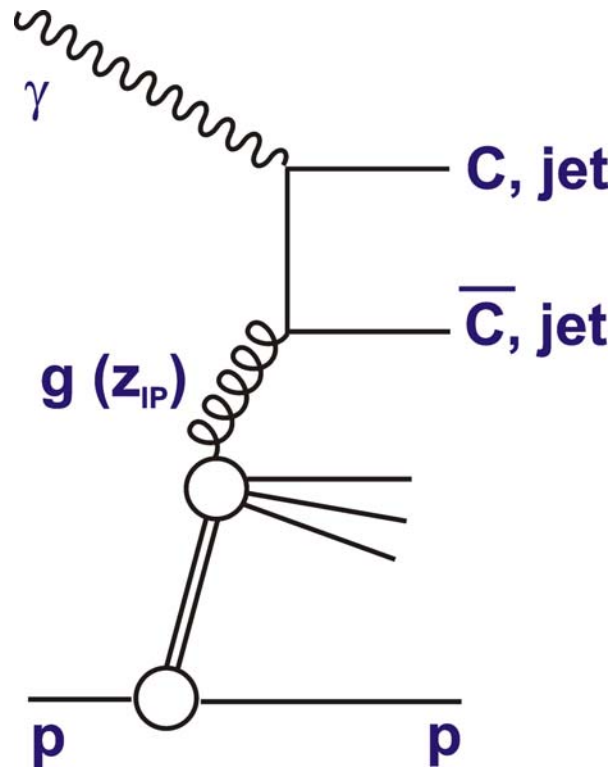


- Dijet data distinguish between 'H1 fit A' and 'H1 fit B' predictions at high z_{IP} .
- Including jet data in fit yields new 'H1 2007 Jets DPDF', with comparable precision on quark and gluon densities up to large z 😊

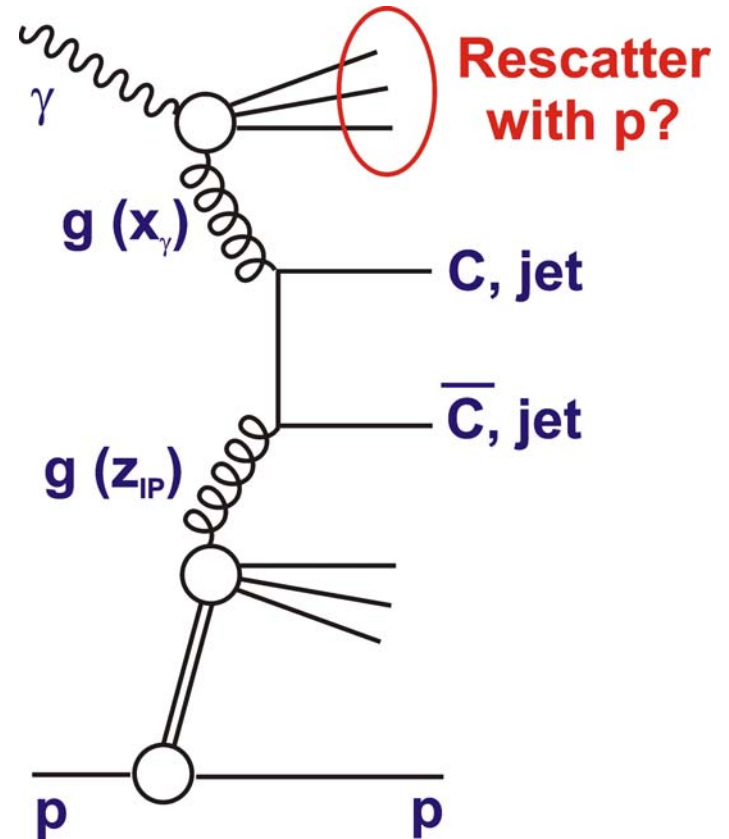


From ep towards pp ... Photoproduction, γp

... the $Q^2 \rightarrow 0$ limit of ep scattering - "real" photons

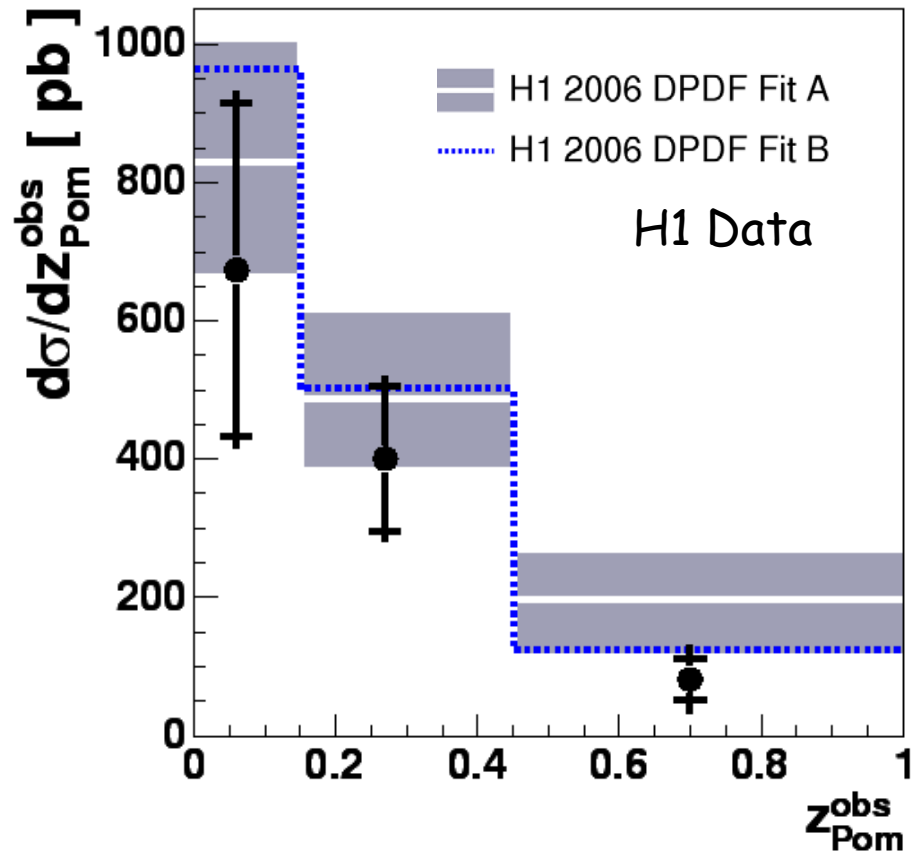
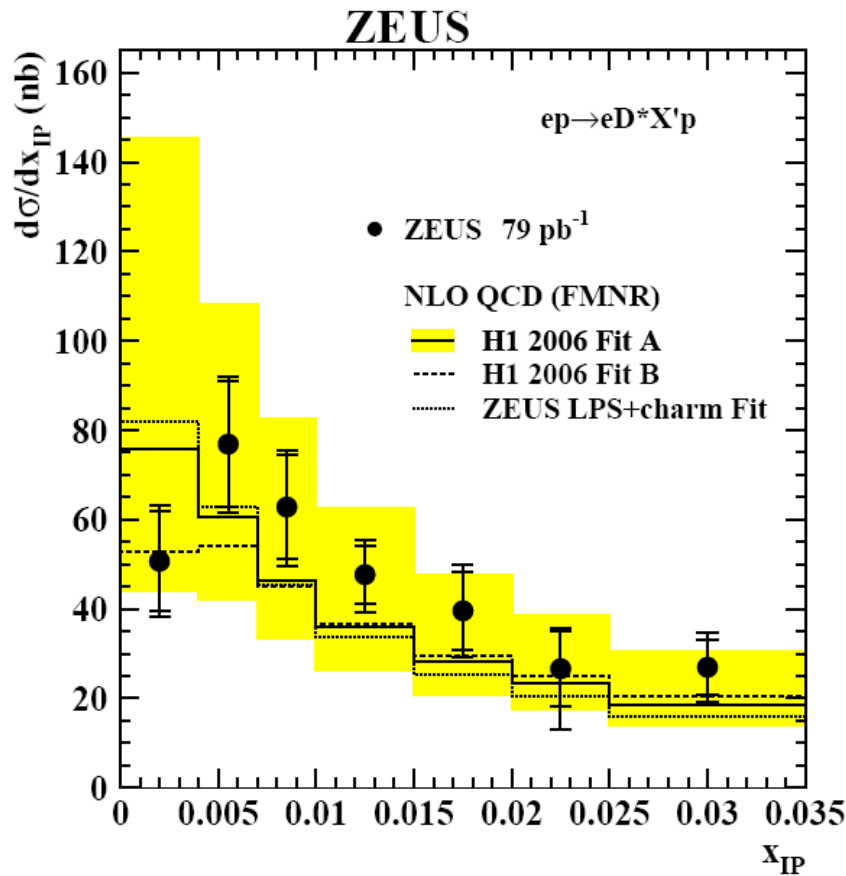


"Direct" photon interactions ($x_\gamma \rightarrow 1$)
... expect gap survival probability = unity



"Resolved" photon interactions ($x_\gamma < 1$) ... expect gap survival probability < 1

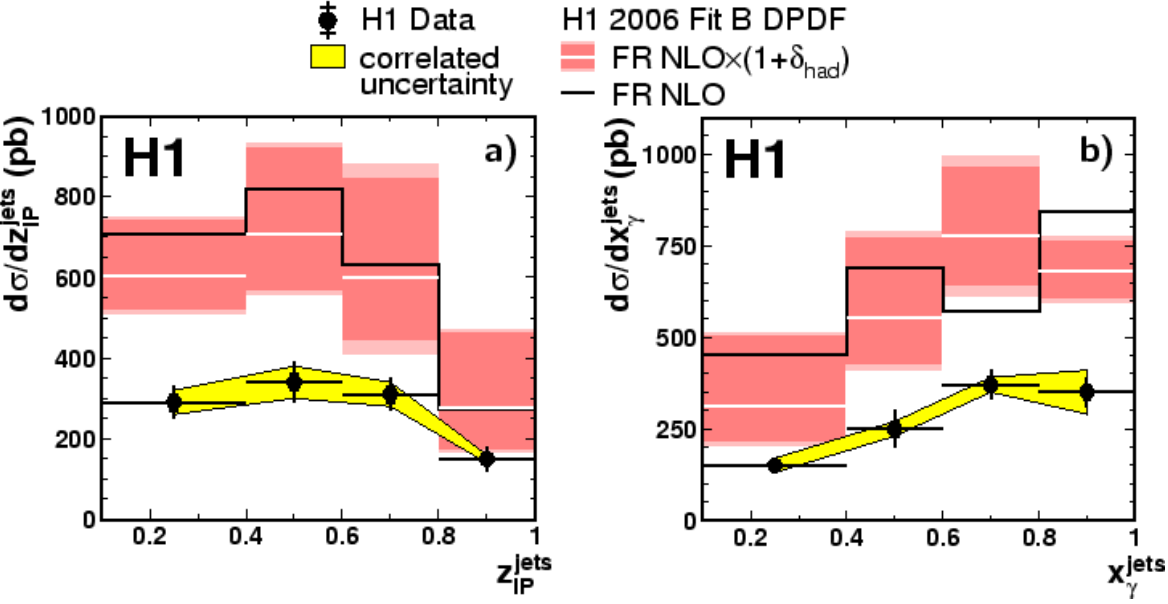
Charm (D^*) Photoproduction: Direct Photons



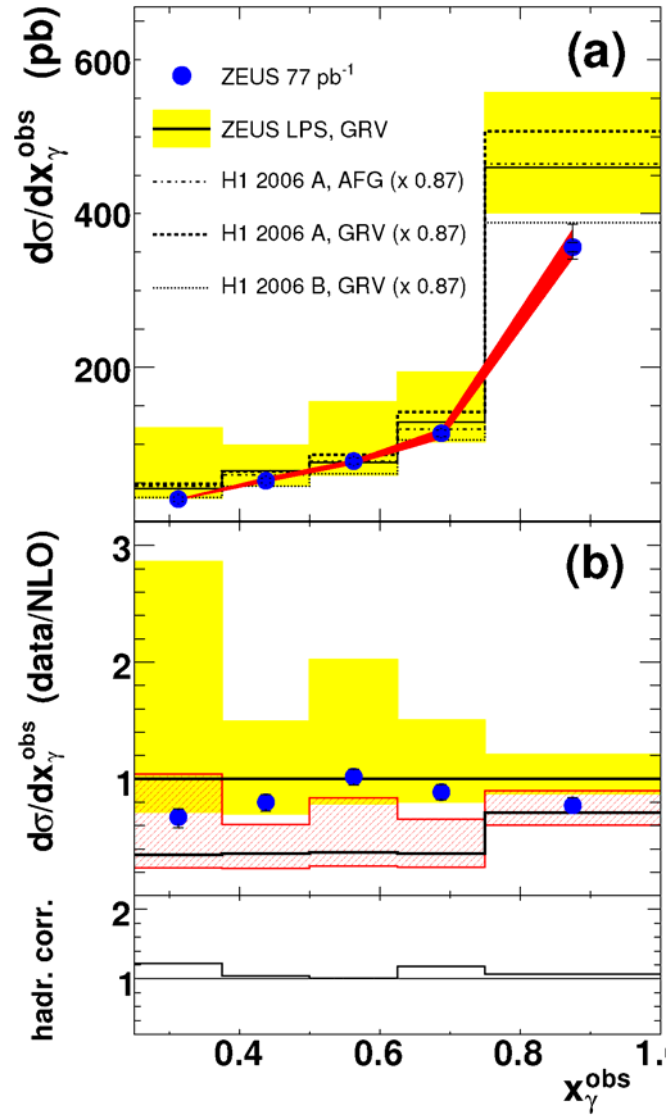
- Charm γp data dominated by direct photon interactions ... well described by predictions based on DPDFs
- Large scale uncertainties on theory (as in all final state diffractive studies at HERA) due to low scales accessed.

Jets in Resolved Photoproduction: Gap Survival

H1 Diffractive Dijet Photoproduction



ZEUS



H1: Suppression by factor ~ 2 observed, but seems to be present in direct as well as resolved processes?!?!?

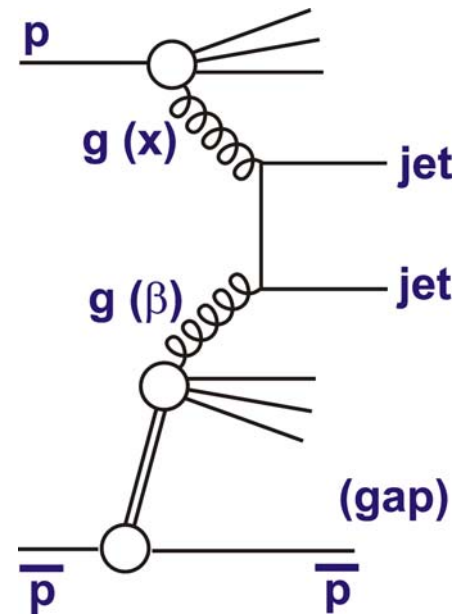
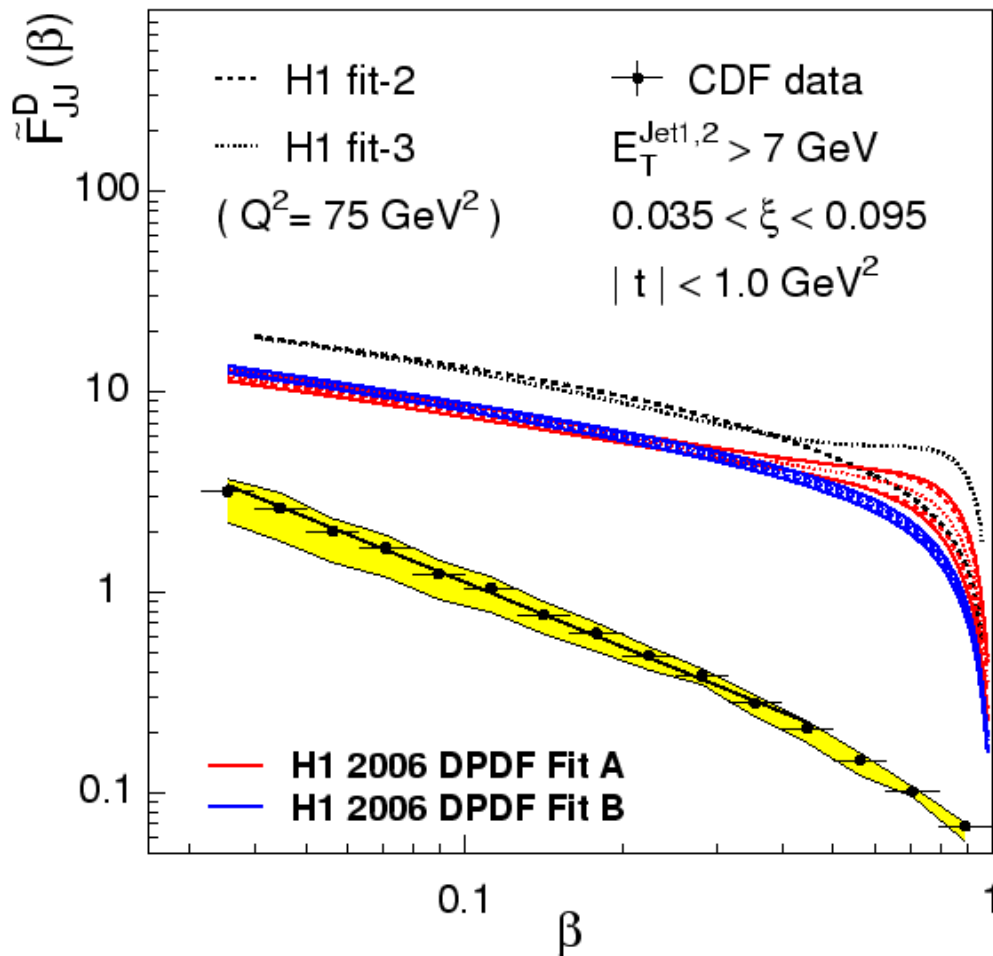
ZEUS: Weak (if any) suppression

Theory (Kaidalov et al): Factor 0.34 expected for resolved only.

... ongoing work to compare and check theory in predictions (e.g. mixing heavy flavour schemes)

Moving to the Tevatron ...

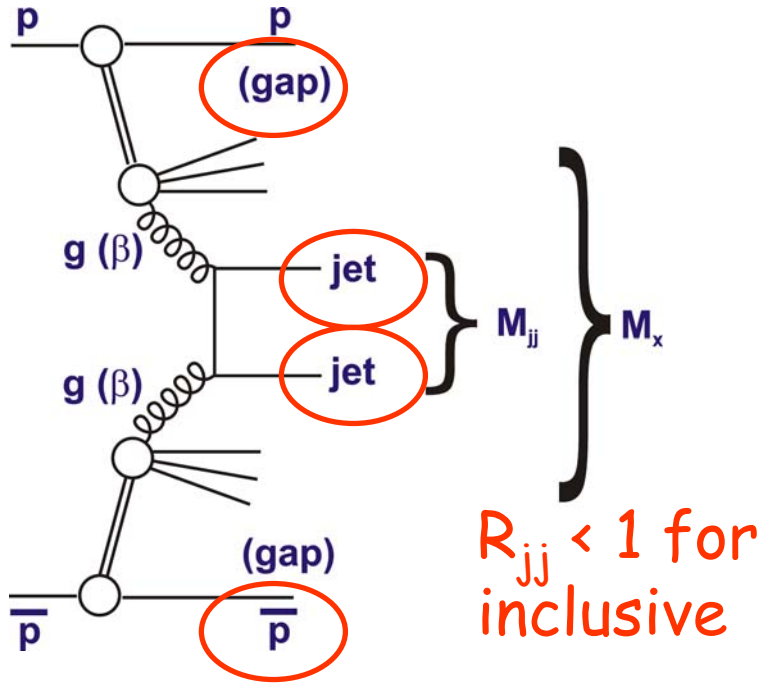
\times_{IP} integrated effective DPDFs
from CDF SD Dijets (Run I)



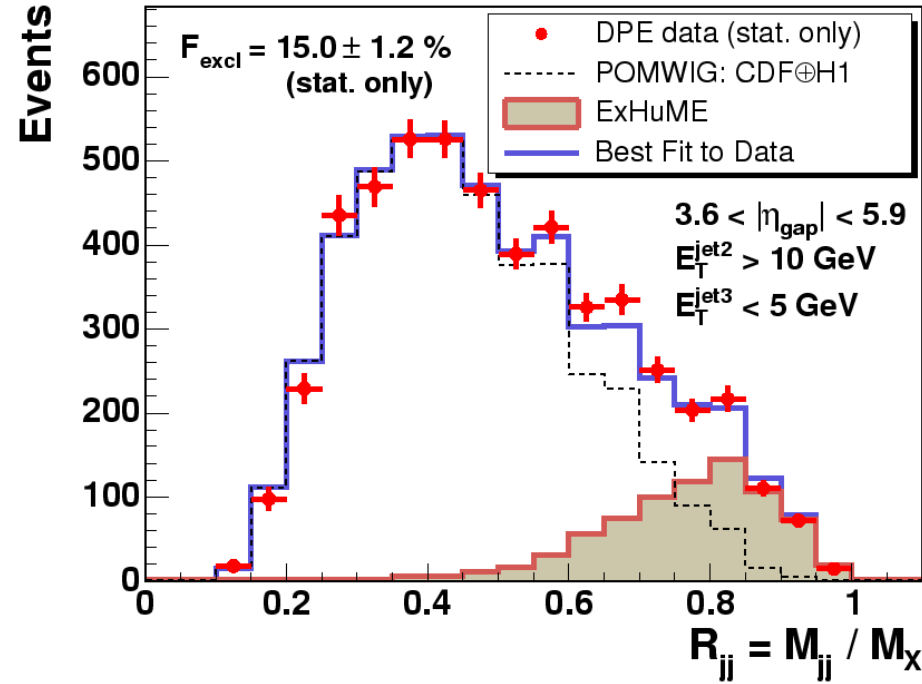
- $p\bar{p} \rightarrow p\bar{p}(jjX)$ is sensitive to DPDFs and can be cleanly predicted using HERA DPDFs
- Factorisation strongly broken by a (β dependent) factor ~ 10
- See also Run II data ...

Exclusive Dijet Production at the Tevatron?

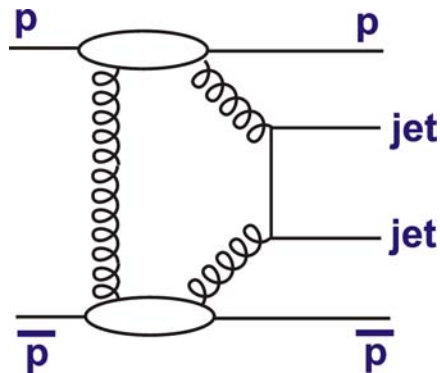
"DPE" dijets, plot $R_{jj} = M_{jj} / M_x$



CDF Run II Preliminary



$R_{jj} \rightarrow 1$ for exclusive
 (complicated by hadronisⁿ, higher order QCD ...)



Many comparisons with varying MC modelling and DPDFs ...
 ...hard to get rid of signal!
 Fit with free normalisation of inclusive, exclusive models to quantify exclusive part ...

CDF Exclusive Dijet Cross Section

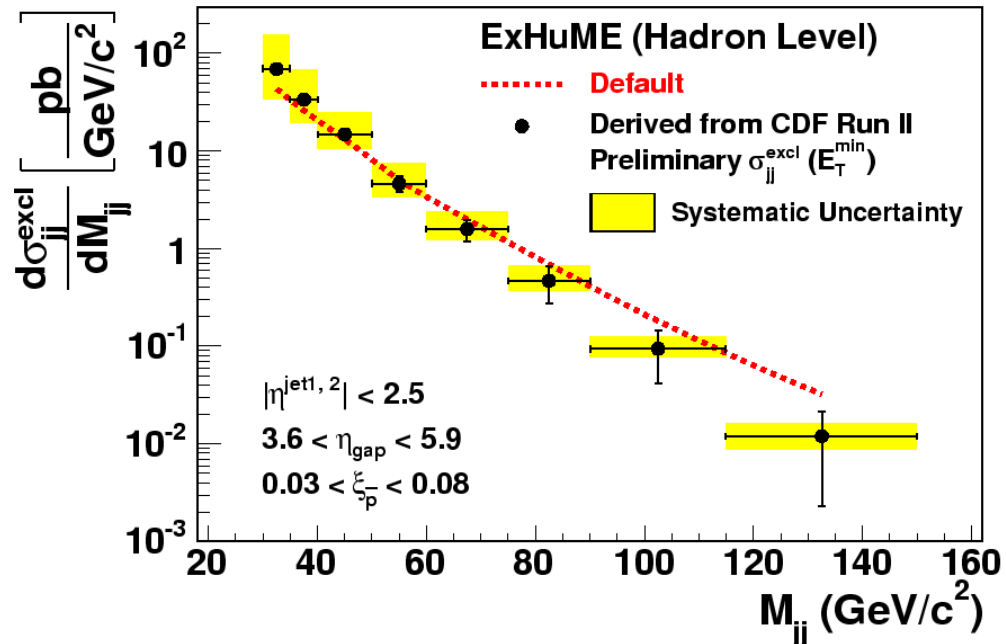
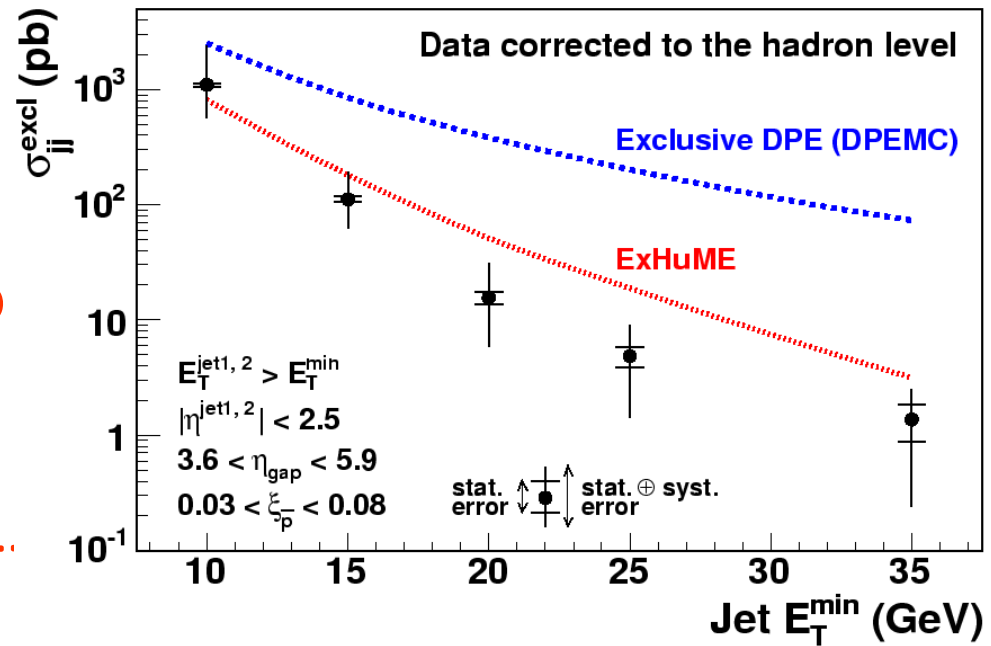
• Cross sections corrected to the hadron level compared with predictions based on central exclusive production ...

• ExHuME model based on KMR calculation ...

- 4.5% gap survival prob
- "Uncertainty factor 2.5"

• Expressed in terms of M_{jj} , signal extends into possible Higgs discovery mass region!

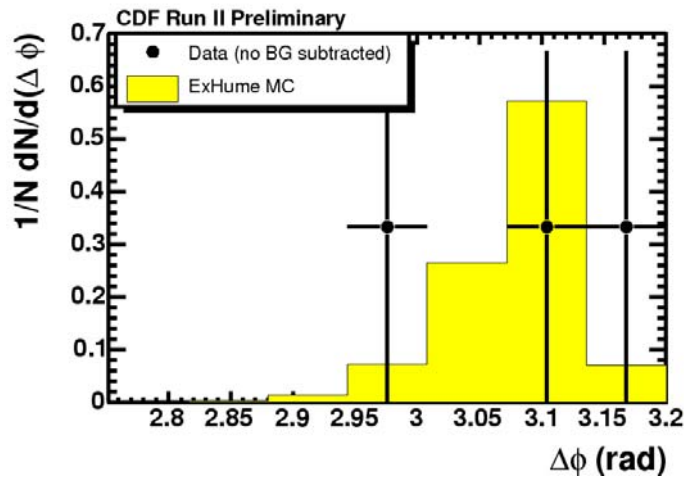
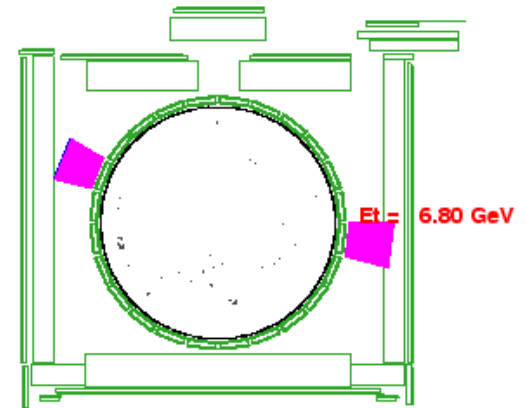
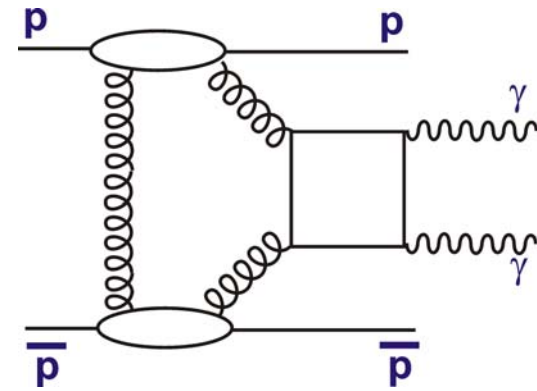
CDF Run II Preliminary



CDF Exclusive Di-photons

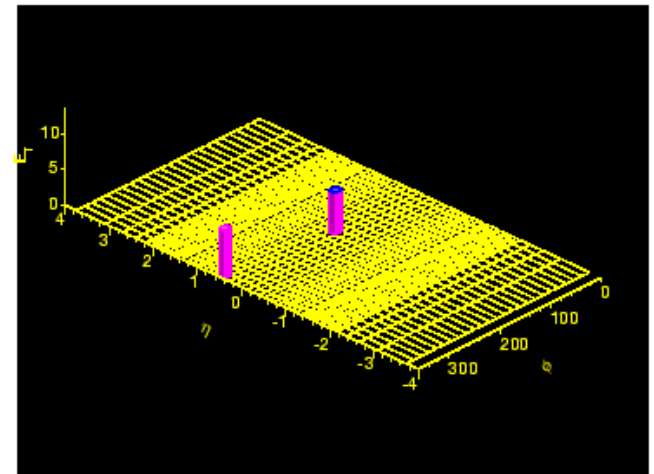
$E_{\gamma} > 5 \text{ GeV}$, $|\eta_{\gamma}| < 1$, detector otherwise consistent with empty (protons not tagged)

3 candidates with background < 0.2



Prediction (KMR) is around 1 event, uncertainty factor 3-5

... hard to explain through other processes (qq or $\gamma\gamma$ few % of gg)



Summary

- Many measurements of inclusive diffraction at HERA
 - Good agreement between methods, collaborations
 - Much improved precision on diffractive PDFs
- Many tests of diffractive factorisation using final states
 - Works nicely in DIS (jets now included in fits)
 - Photoproduction needs clarification
 - Clear breakdown in ppbar (gap survival factors)
- Increasingly compelling evidence for central exclusive production from CDF at the Tevatron
 - ppbar \rightarrow ppbar + dijet
 - ppbar \rightarrow ppbar + $\gamma\gamma$

Could diffraction play a role in Higgs studies at LHC?...

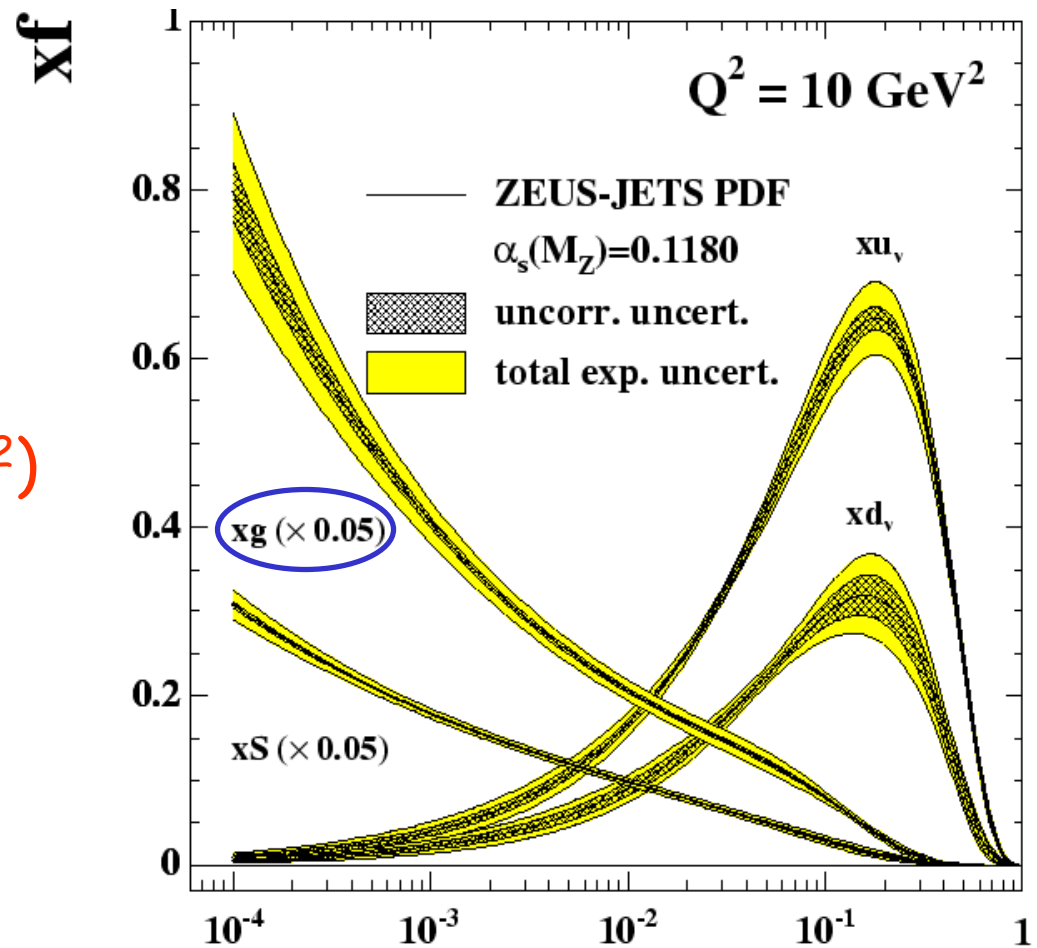
Low x Physics and Diffraction

- Low x studies at HERA revealed strong rise of quark density (F_2) and gluon density ($d F_2 / d \ln Q^2$) with decreasing x.

- Gluon terrifyingly big?

- High density, low coupling limit of QCD

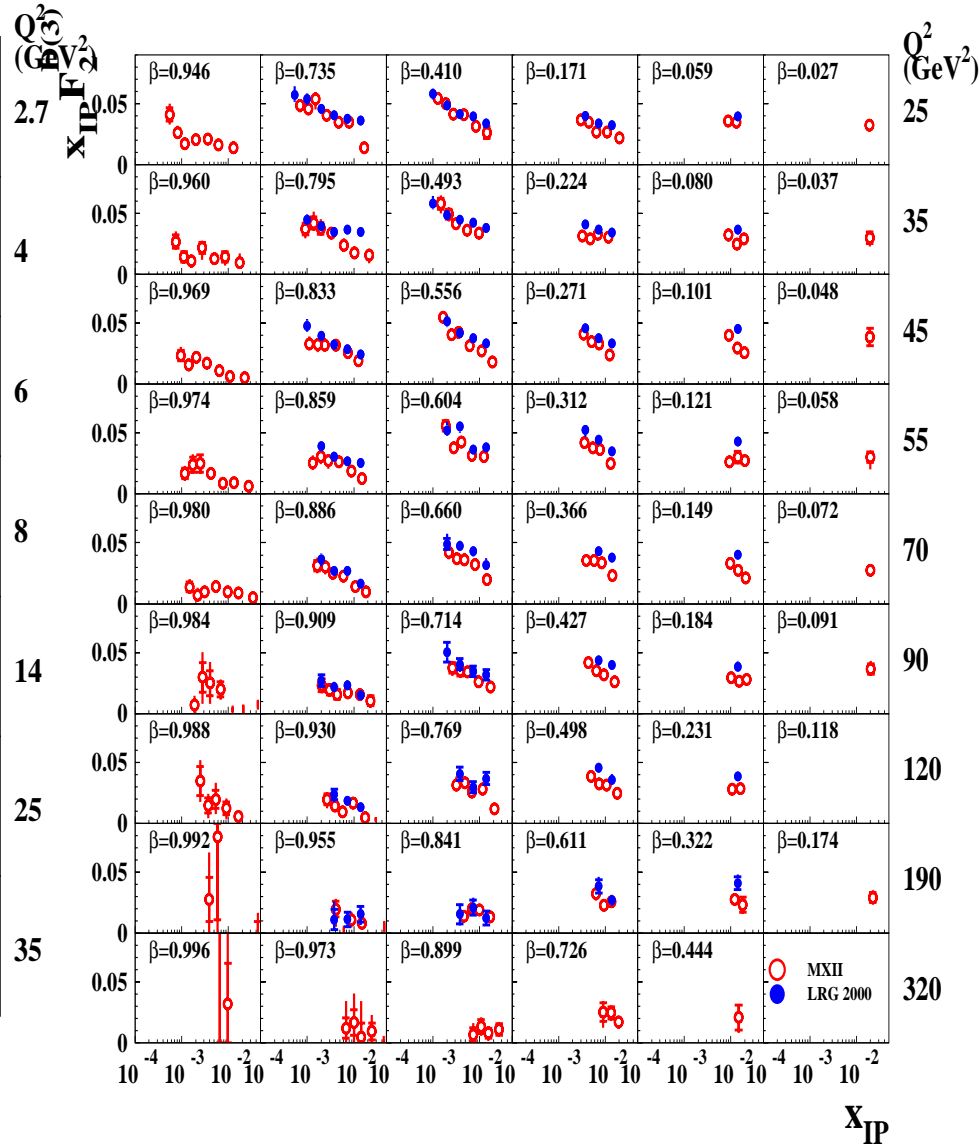
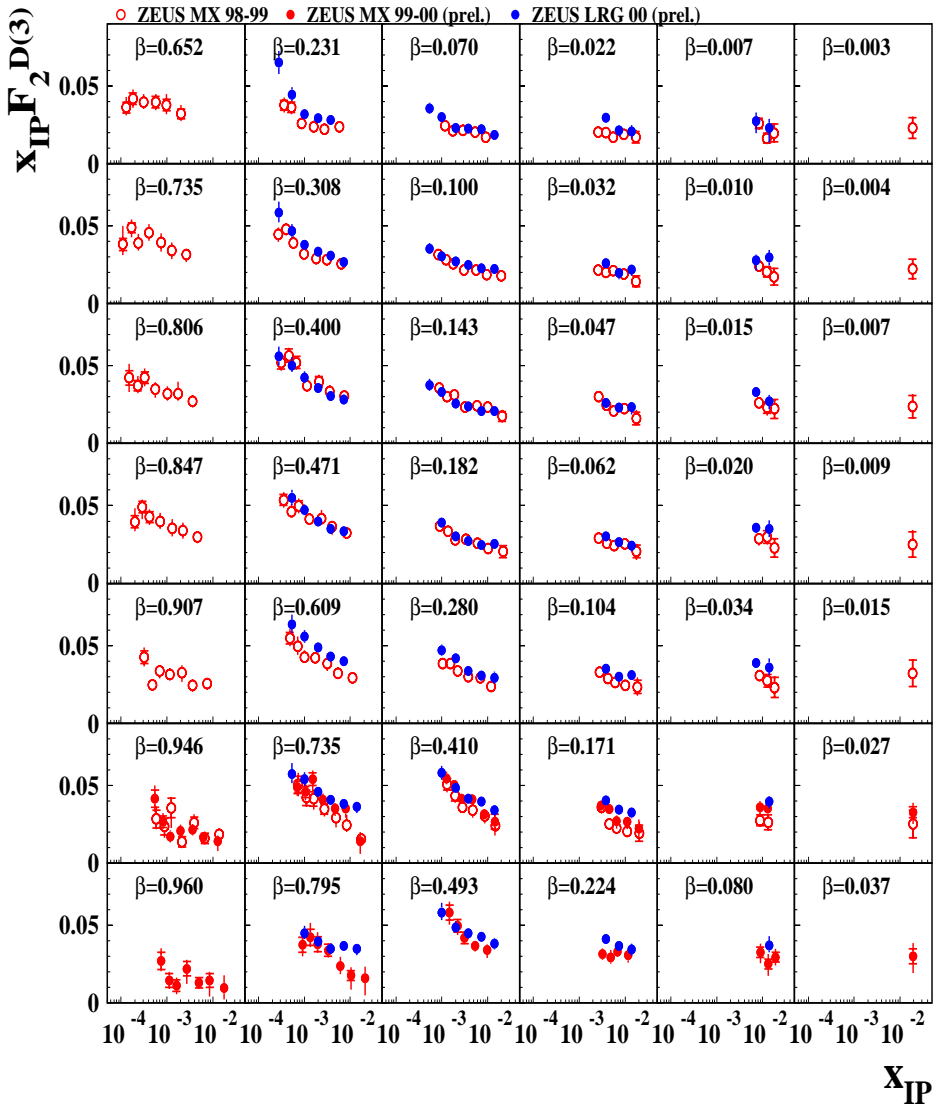
- ... large diffractive cross sections observed with leading Q^2 dependence ($ep \rightarrow eXp$ is a constant fraction $\sim 10\%$)



Back up's follow ...

ZEUS Mx v LRG

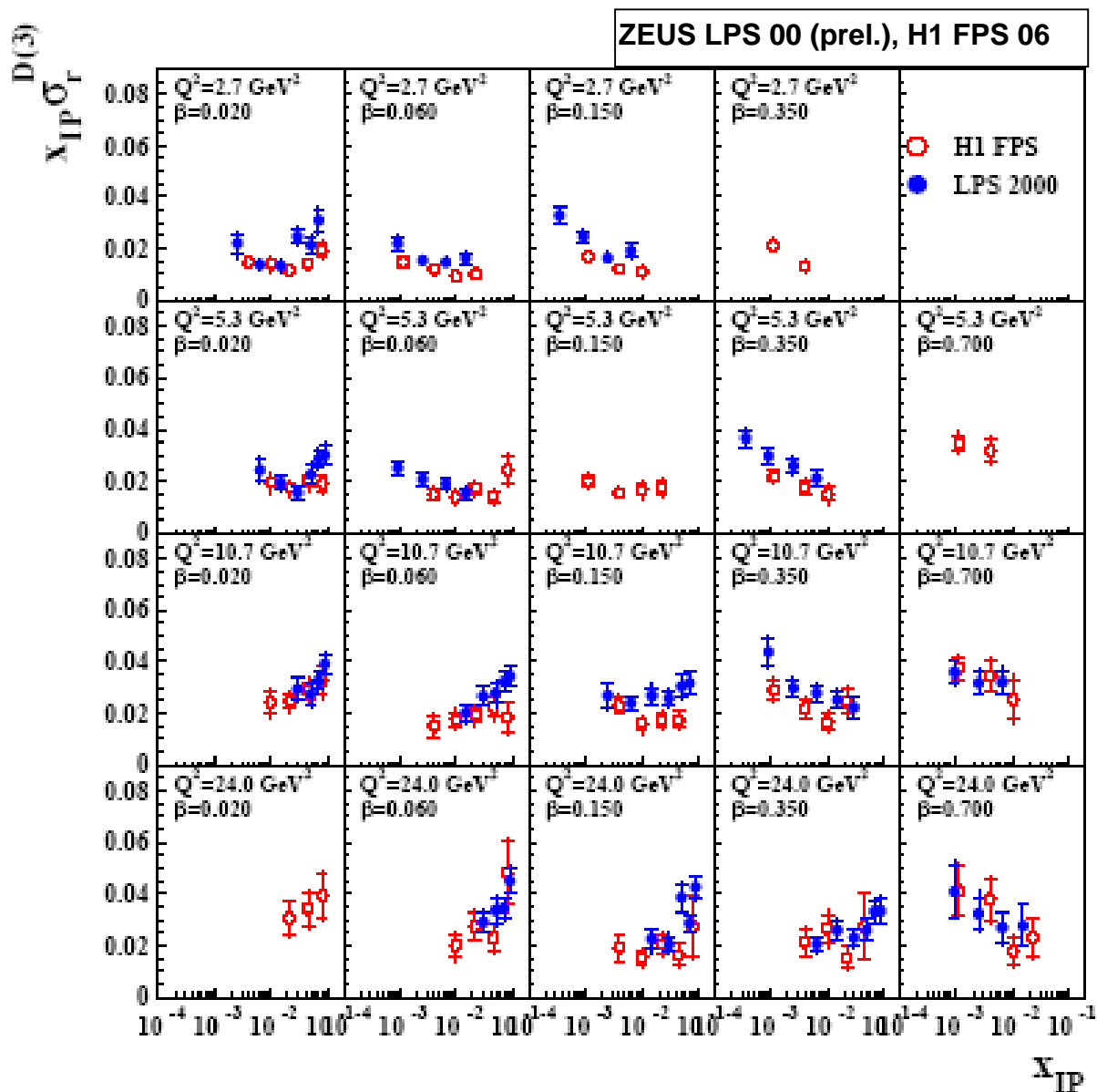
ZEUS



In general reasonable agreement for $x_{IP} < 0.01$

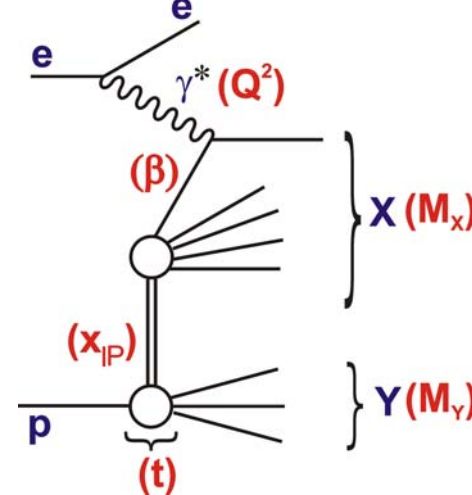
For $x_{IP} > 0.01$ one can expect some differences from Reggeon contributions to the LRG data

New LPS / FPS Data H1 v ZEUS

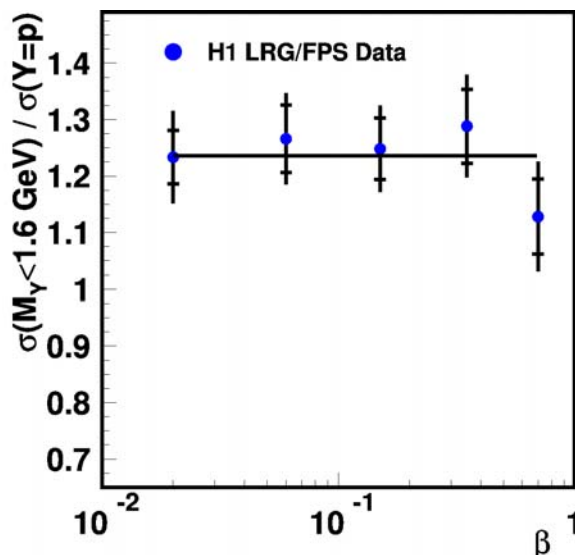
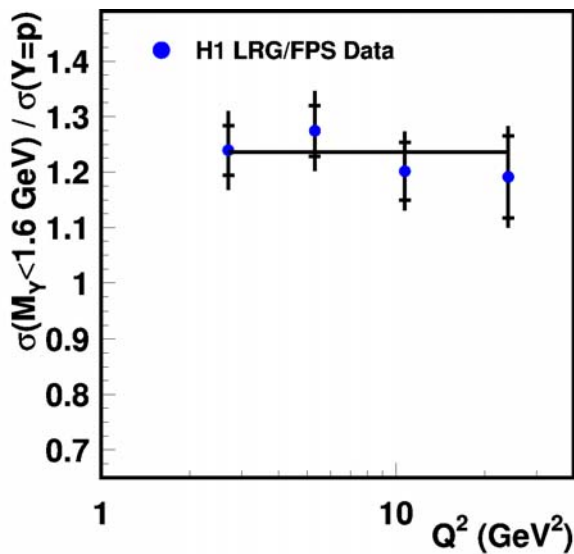
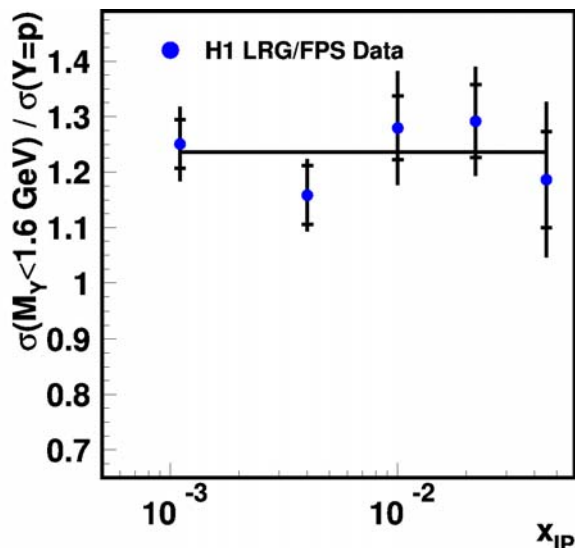


Relative
Norm uncties
15% not shown

Detailed Comparison LRG v FPS



LRG measurement also done in FPS bins



- Form ratio of measurements as a function of x_{IP} , β or Q^2 after integration over others

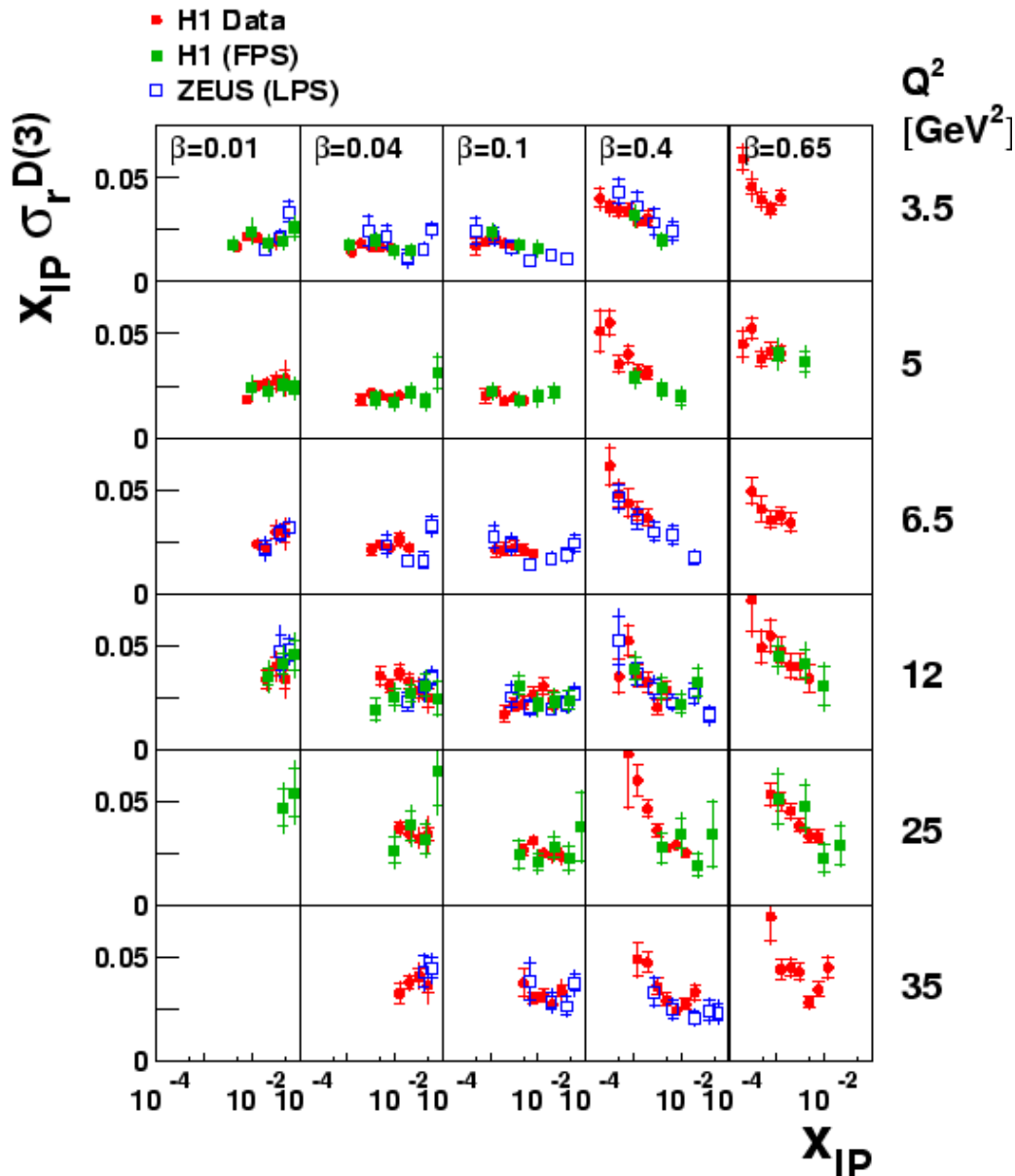
$$\frac{\sigma(M_Y < 1.6 \text{ GeV})}{\sigma(Y = p)} = 1.23 \pm 0.03 \text{ (stat.)} \pm 0.16 \text{ (syst.)}$$

independently of kinematics within errors

- Agreement in detail between methods

- M_Y dependence factorises within (10%) (non-normⁿ) errors

H1 LRG v H1 FPS v ZEUS LPS Data



• ZEUS and H1 Roman pot data agree to well within normalisation uncertainties

• Very good agreement between proton-tagging and LRG methods.

• Roman Pot data scaled by global factor of 1.23 to account for proton dissociation ($M_y < 1.6$ GeV) in LRG data.

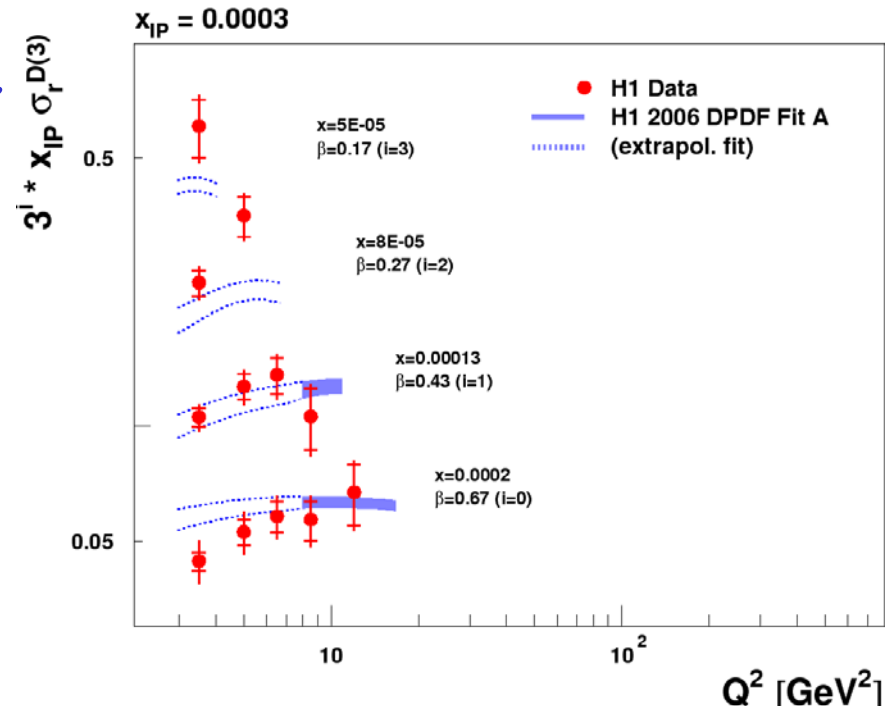
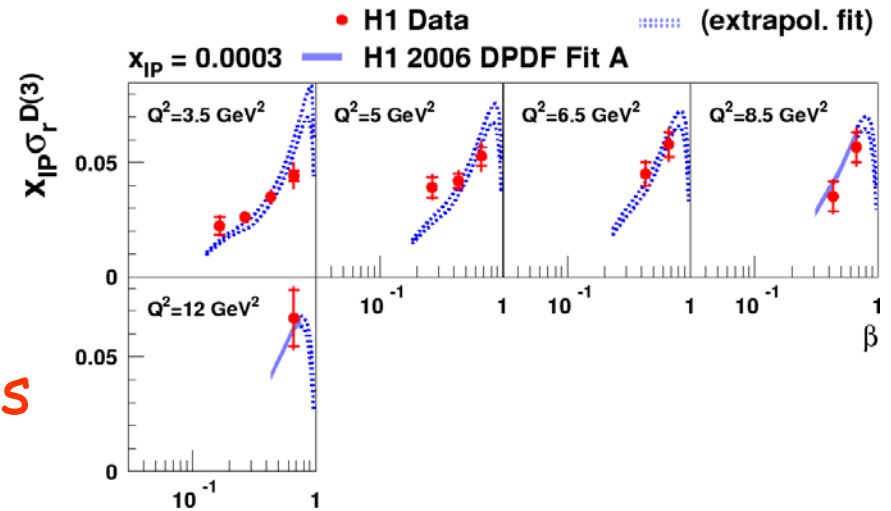
QCD Aspects! $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at $x_{IP} = 0.0003$

- Study QCD structure with LRG data ... Q^2 and β ($= x / x_{IP}$) dependences at a small number of fixed x_{IP} values.
- Good precision - in best regions 5% (stat.), 5% (syst) 6% (norm)

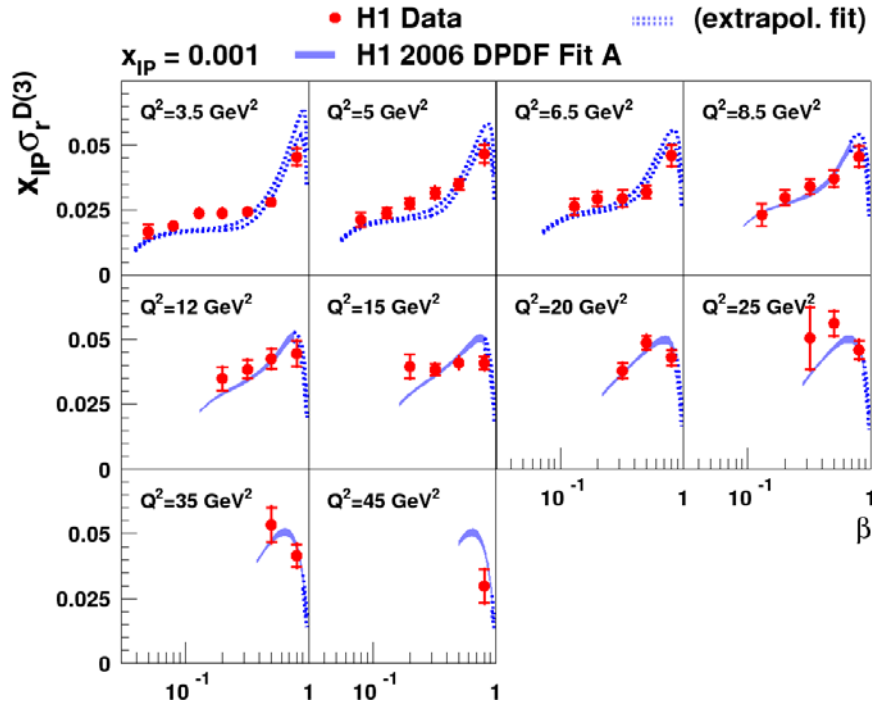
- Directly measures diffractive quark density at fixed x_{IP}

$$\sigma_r^D(\beta, Q^2) \sim F_2^D = \sum e_q^2 (q + \bar{q})$$

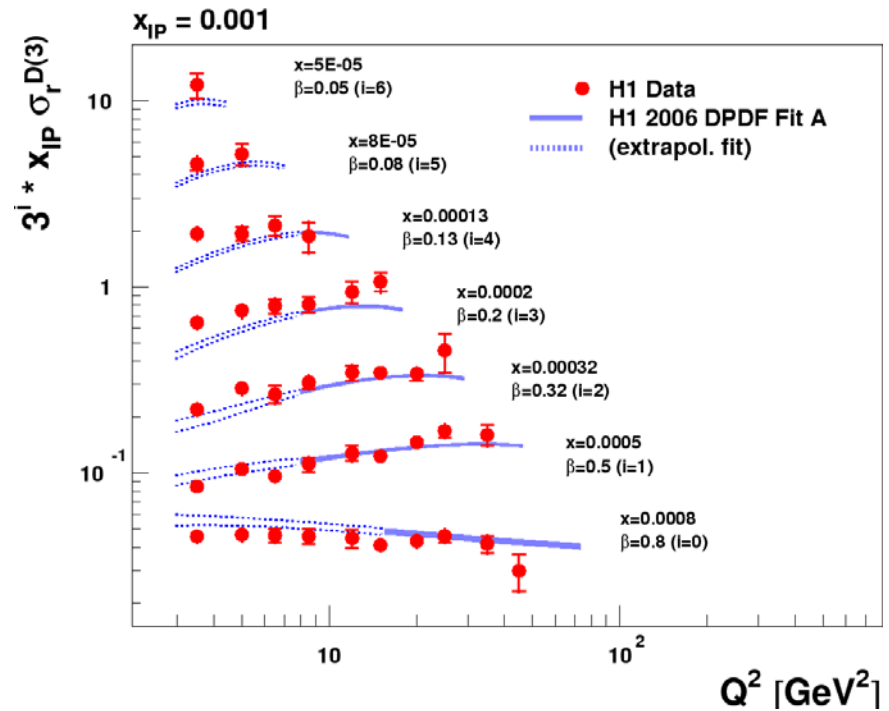
- Data compared with 'H1 2006 DPDF Fit' and error band (assumes proton vertex factorisation - see later)



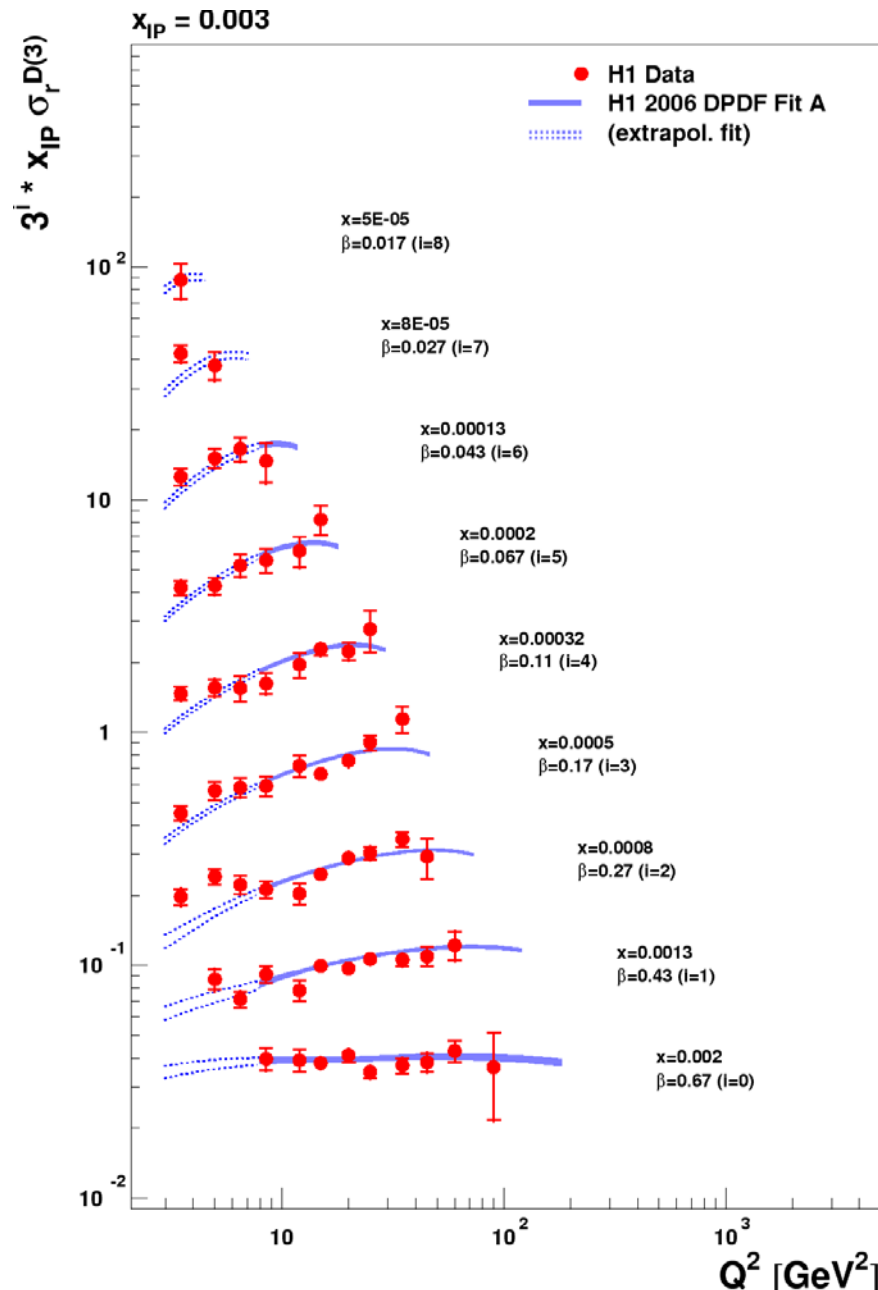
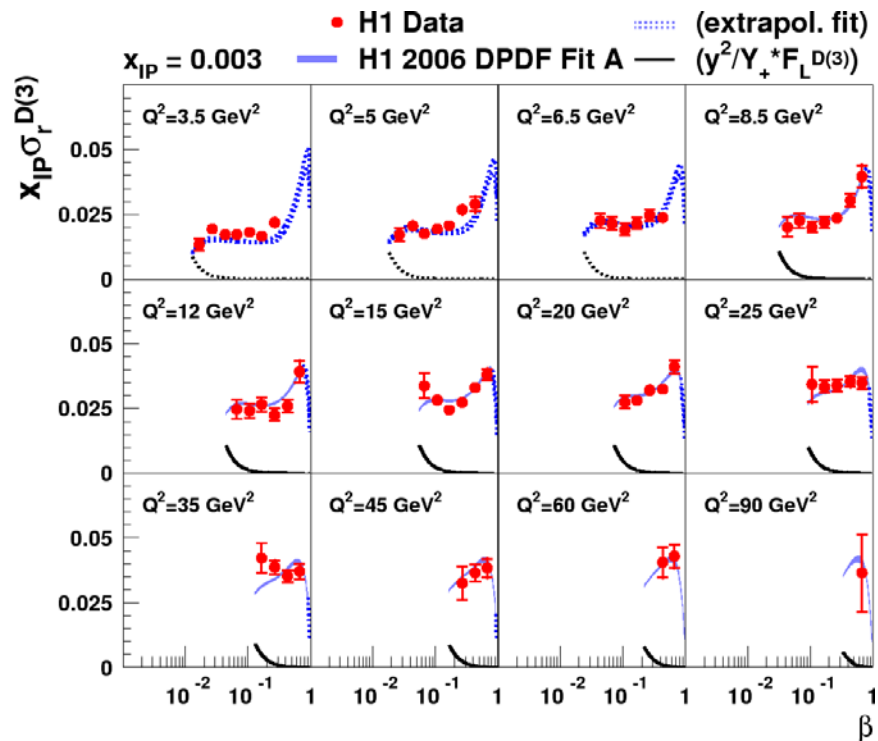
$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) \text{ at } x_{IP} = 0.001$$



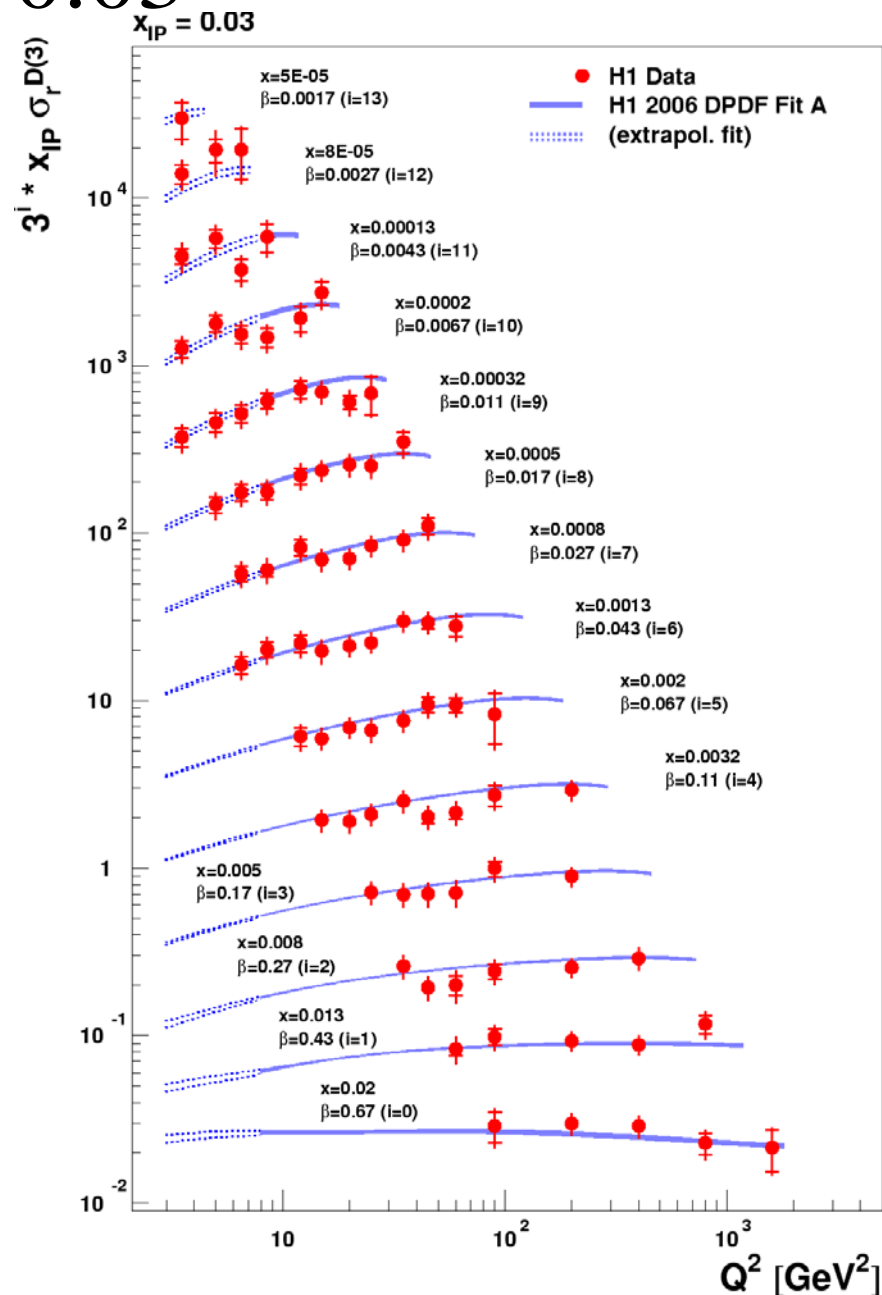
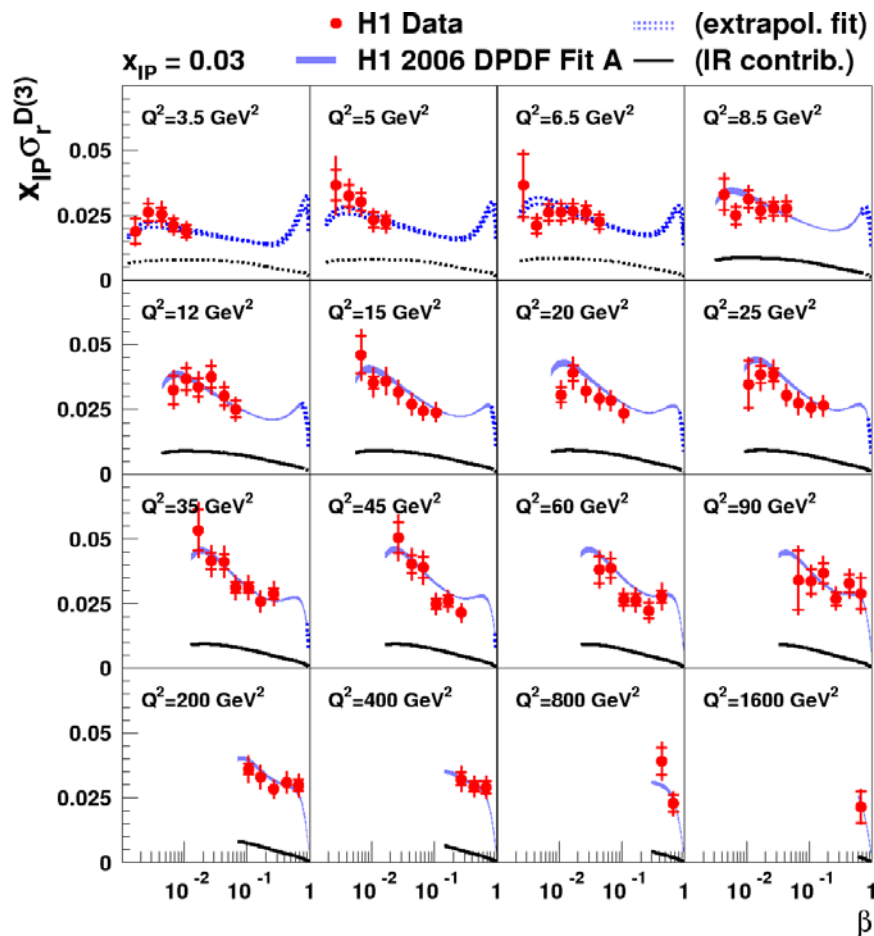
(Like an inclusive F_2 measurement at each value of x_{IP})



$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) \text{ at } x_{IP} = 0.003$$



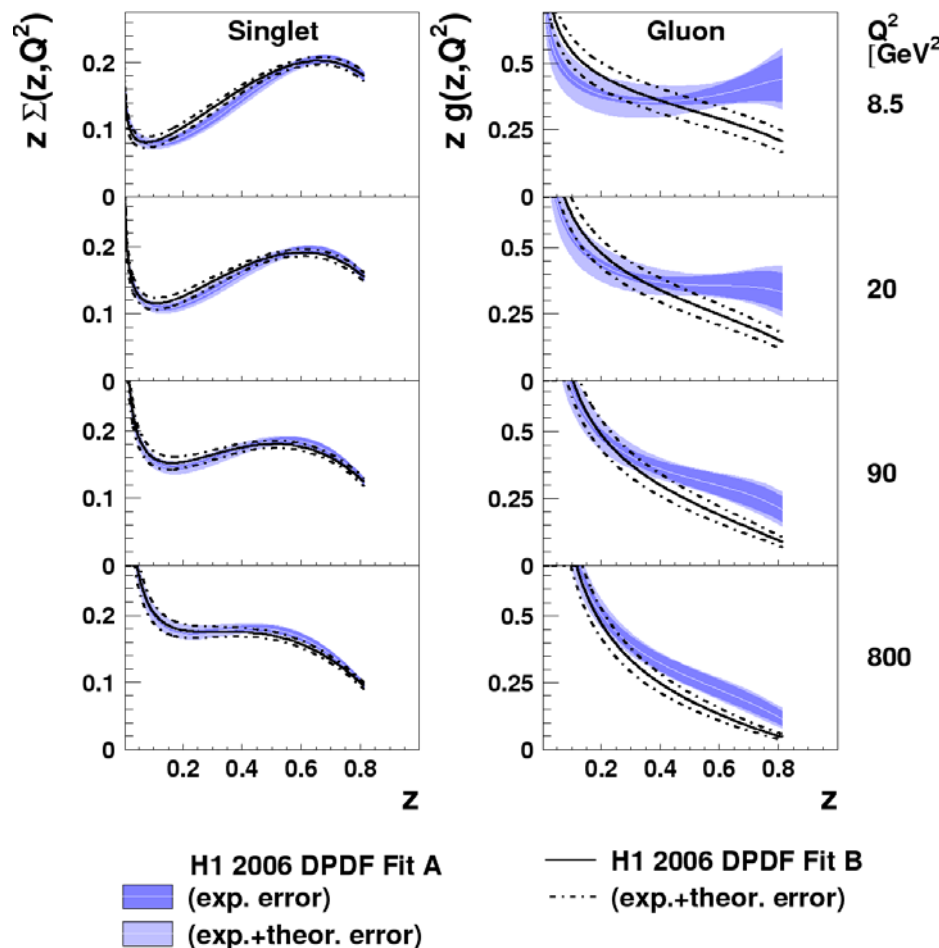
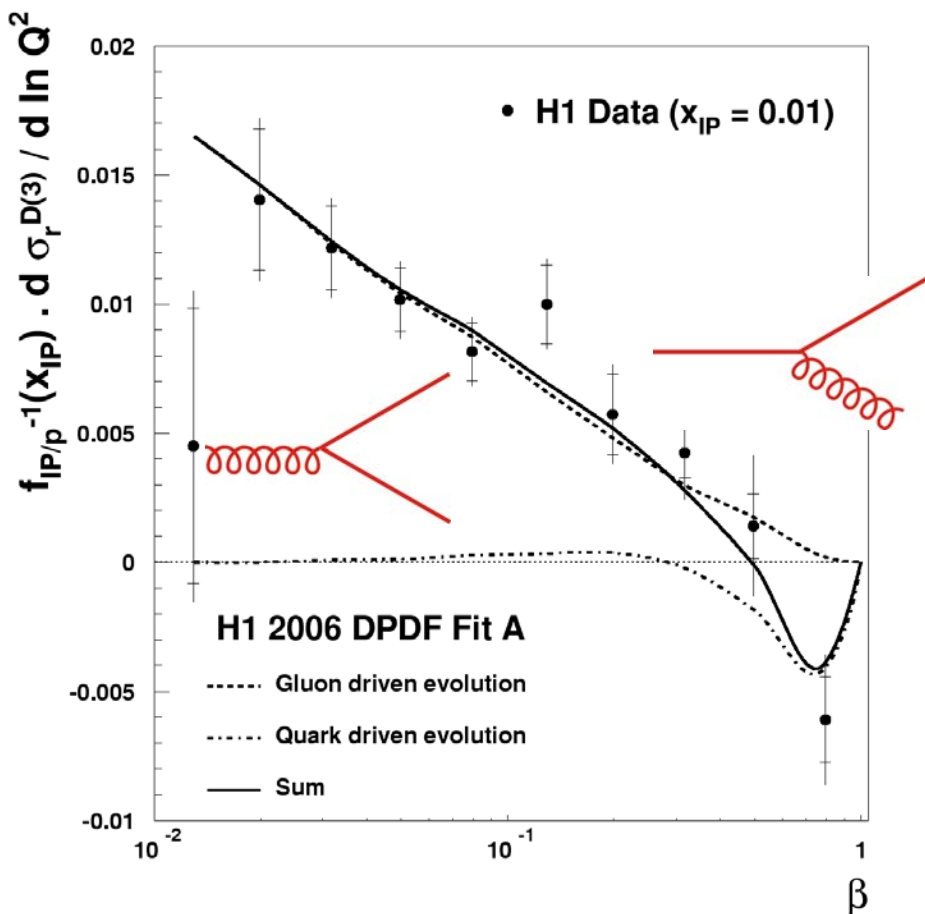
$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) \text{ at } x_{IP} = 0.03 = 0.03$$



A Closer Look at the High z Region

With only singlet quarks,
DGLAP equation for F_2^D ...

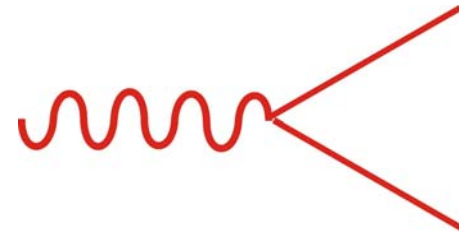
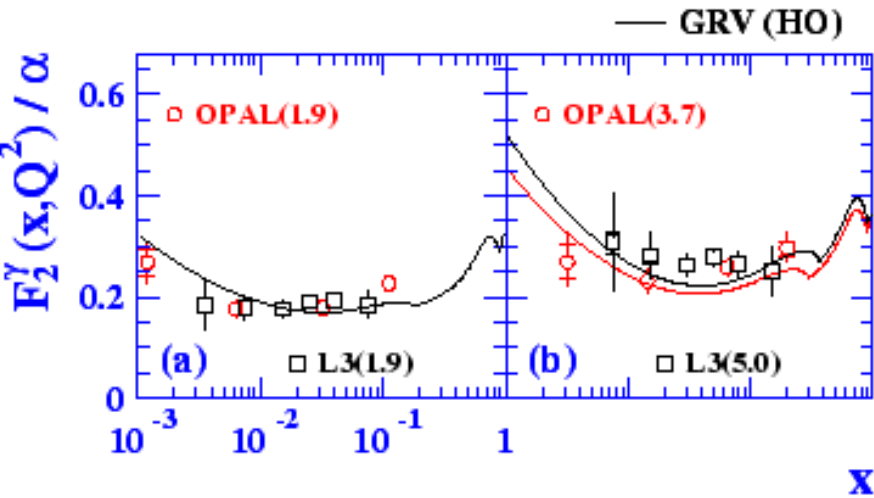
$$\frac{dF_2^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} \left[P_{qg} \otimes g + P_{qq} \otimes \Sigma \right]$$



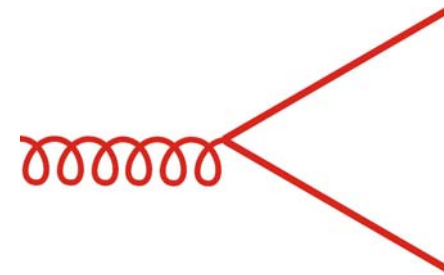
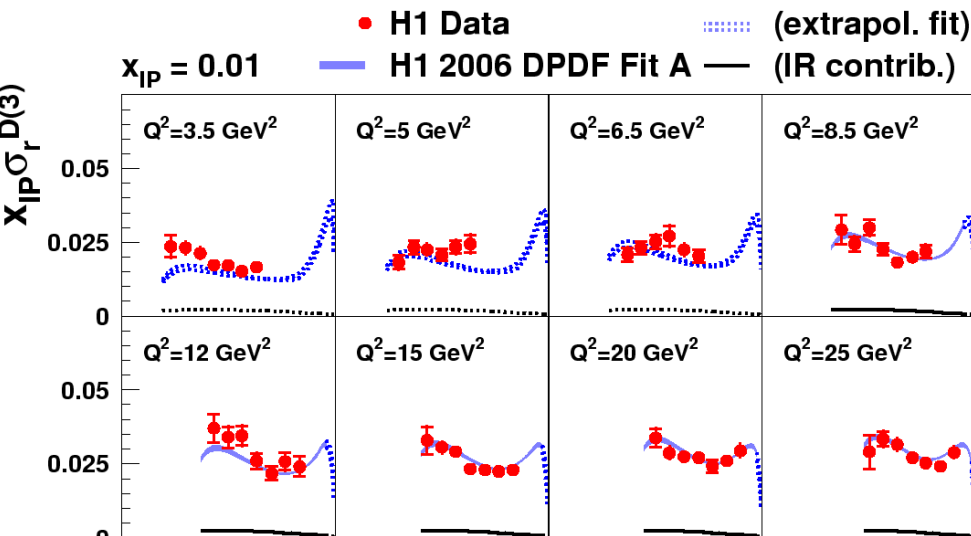
Very different high z gluon
but similar χ^2 with
modified parameterisation

... but what do the DPDFs actually mean?

High z behaviour looks a lot like the photon structure function ...



Photon 'structure' derived from $\gamma \rightarrow q\bar{q}$

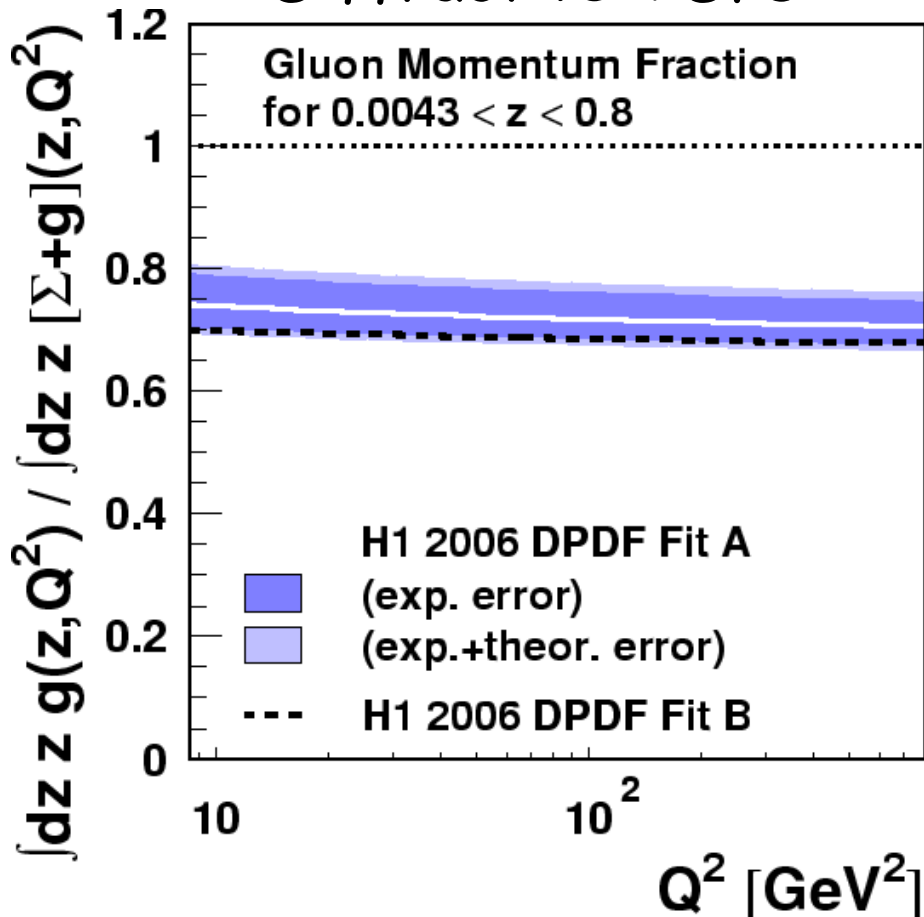


Diffractive DIS derived from $g \rightarrow q\bar{q}$ (and $g \rightarrow gg \dots$) ... leading gluon exchange?

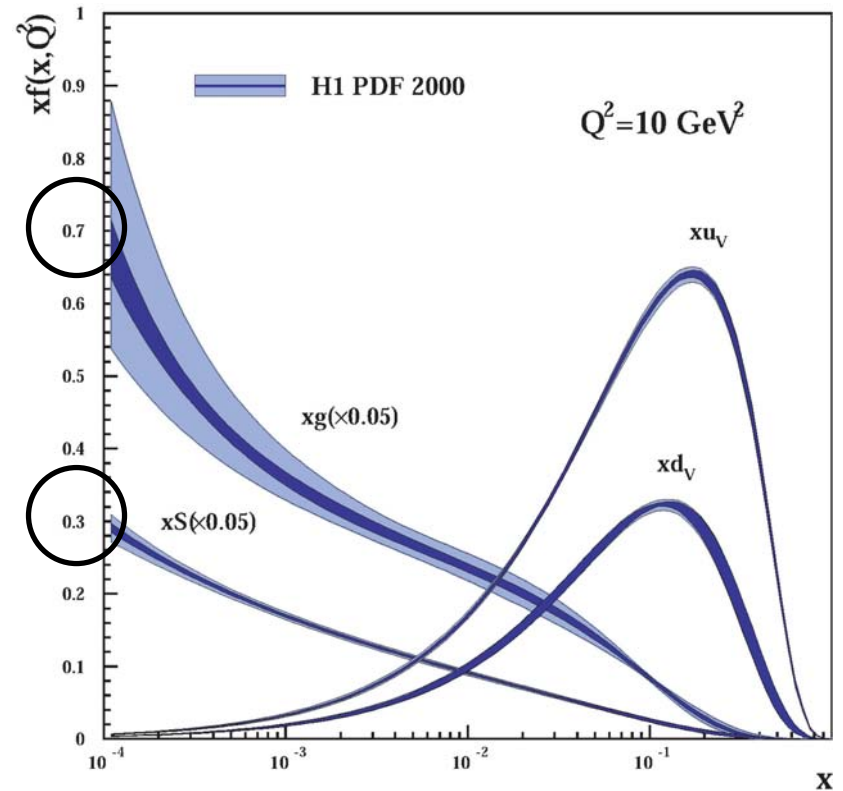
... but what do the DPDFs actually mean?

... what about **low z** ... ratio of quarks to gluons is about 70:30 for both diffractive PDFs and (low x) inclusive PDFs ...

Diffractive PDFs

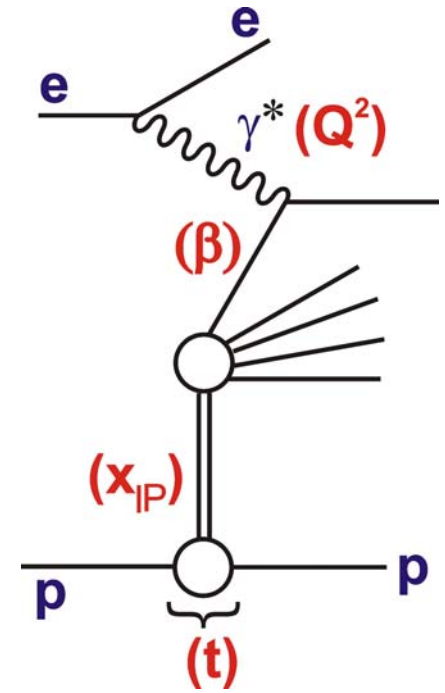


Inclusive PDFs



Extracting the Quarks and Gluons

- Fit β and Q^2 dependence of LRG data from fixed x_{IP} binning scheme (χ^2 minimisation)
- Parameterise DPDFs at starting scale Q_0^2 for QCD evolution ...
... evolve to higher Q^2 using NLO DGLAP equations (massive charm) and fit β and Q^2 dependence for DPDFs
- Use proton vertex factorisation with $\alpha_{IP}(t)$ from FPS and LRG data to relate data from different x_{IP} values with complementary β , Q^2 coverage.
- Exclude data with $M_x < 2 \text{ GeV}$ or $\beta > 0.8$ (higher twist region) and with $Q^2 < 8.5 \text{ GeV}^2$ (NLO insufficient?)



Free Parameters of H1 2006 DPDF Fit

5 free parameters for singlet quark $z\Sigma(z, Q_0^2)$, gluon $zg(z, Q_0^2)$ densities, where z is parton momentum fraction ($= \beta$ for quarks at lowest order, otherwise $> \beta$)

$$z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$$

$$zg(z, Q_0^2) = A_g (1-z)^{C_g}$$

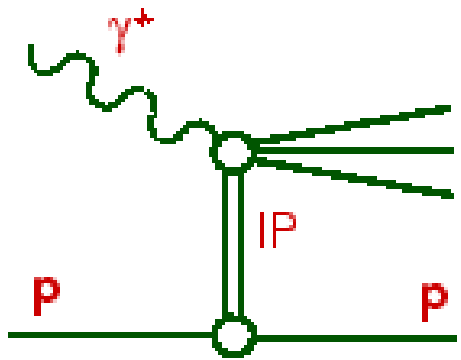
(gluon insensitive to B_g)

- 1 free parameter $\alpha_{IP}(0)$ describes x_{IP} dependence via flux factor
- 1 free parameter describes normalisation of sub-leading IR, which is otherwise treated as a π^0
- Results reproducible within errors with many variations in assumptions, parameterisations and other details

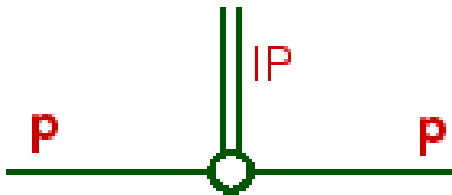
Proton Vertex Factorisation and 'Pomeron Flux'

If proton vertex factorisation works, we can factorise out x_{IP} , t (and M_y) dependence into a 'flux factor'

MEASUREMENT = IP FLUX \times IP STRUCTURE

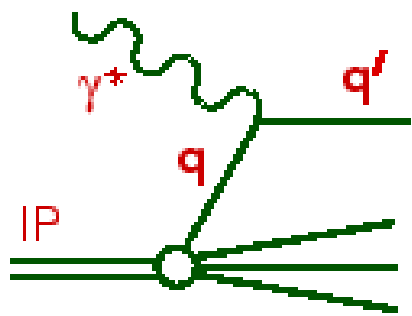


$$f_{IP/p}(x_{IP}, t)$$



\times

$$F_2^{IP}(\beta, Q^2)$$



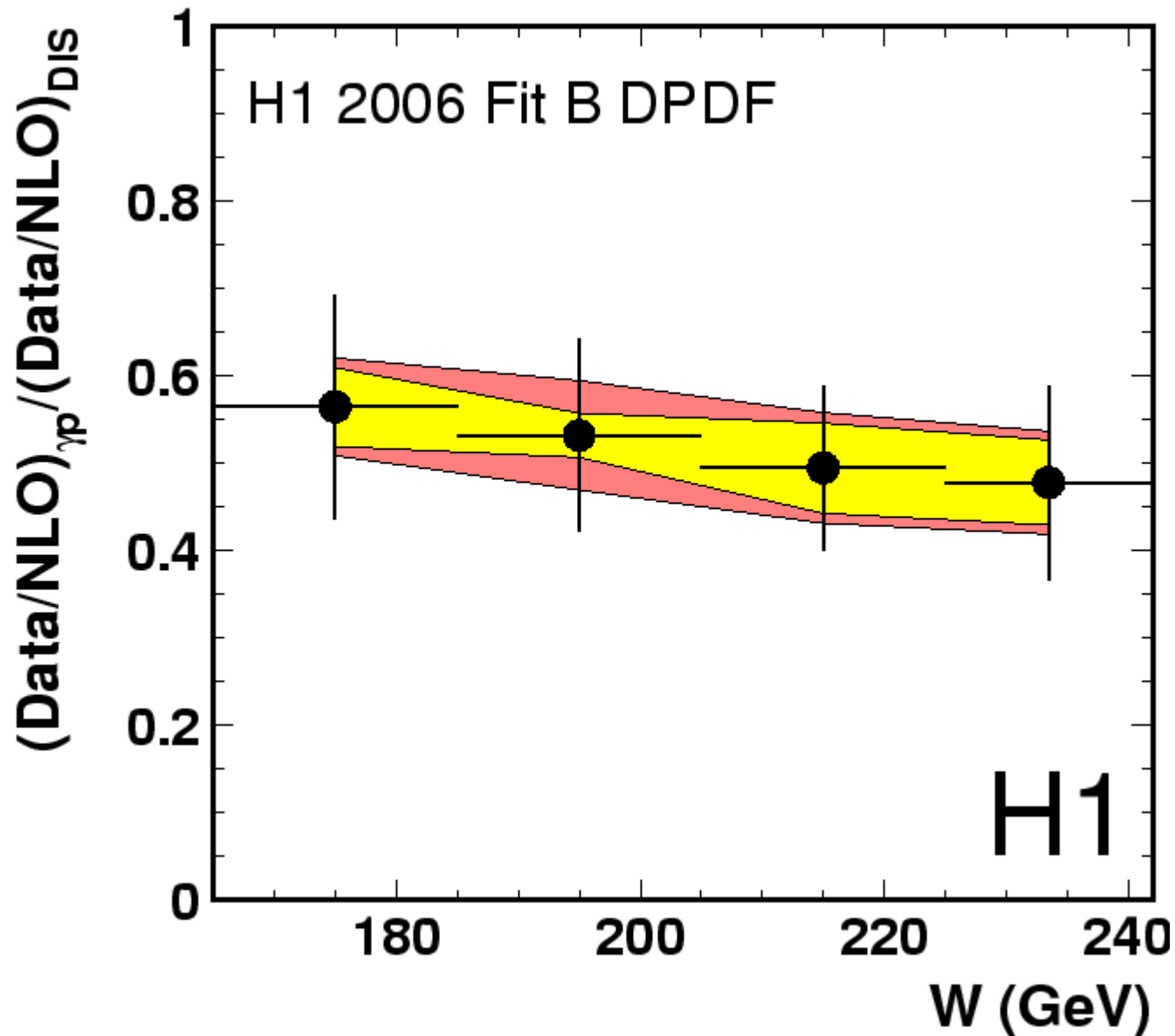
'Flux' parameterisation inspired
By Regge theory ...

$$f_{IP/p}(x_{IP}, t) = \frac{e^{B_{IP}t}}{x_{IP}^{2\alpha_{IP}(t)-1}}$$

Free parameters - pomeron 'trajectory' $\alpha_{IP}(t) = \alpha_{IP}(0) + \alpha'_{IP} t$

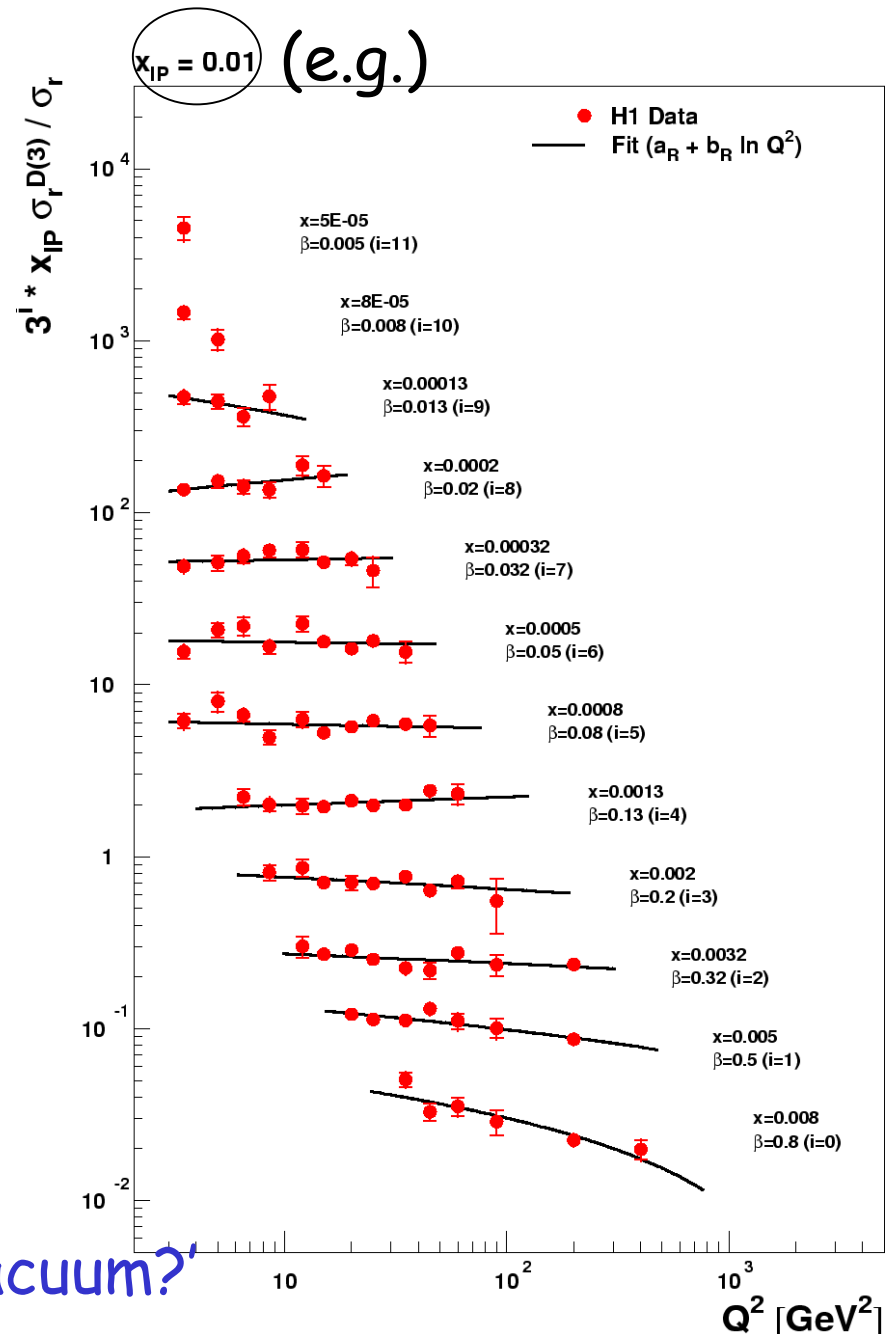
Double Ratios of DIS : photoproduction

H1 Diffractive Dijet Production



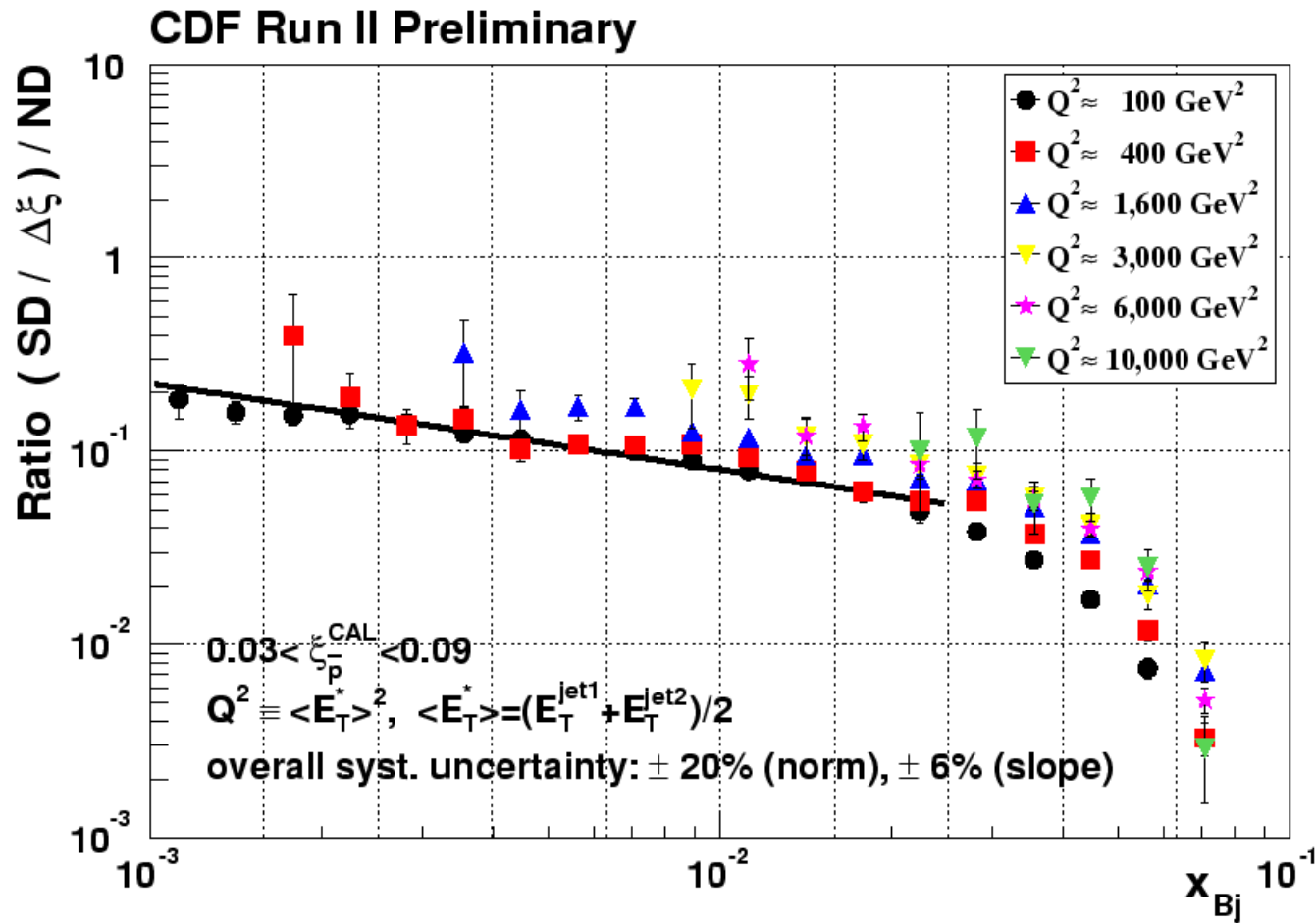
Low x similarity of diffractive & inclusive PDFs

- Similar ratios of quarks to gluons reflected in similar Q^2 evolution of inclusive and diffractive cross sections at low x ...
- ...Ratio $\sigma_r^D/\sigma_r \sim$ independent of Q^2 at fixed x_{IP} and x .
- ... away from the influence of valence quarks, PDFs and their evolution is driven only by QCD ... same for proton, pomeron, pion, photon ...?

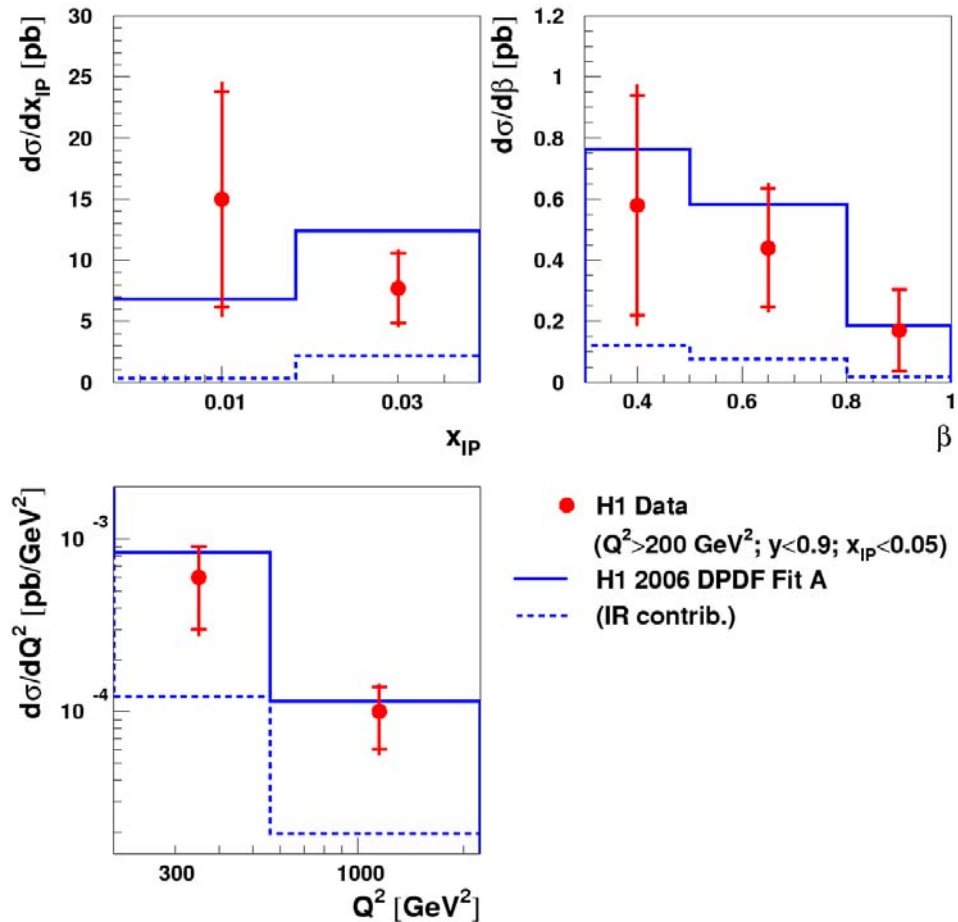
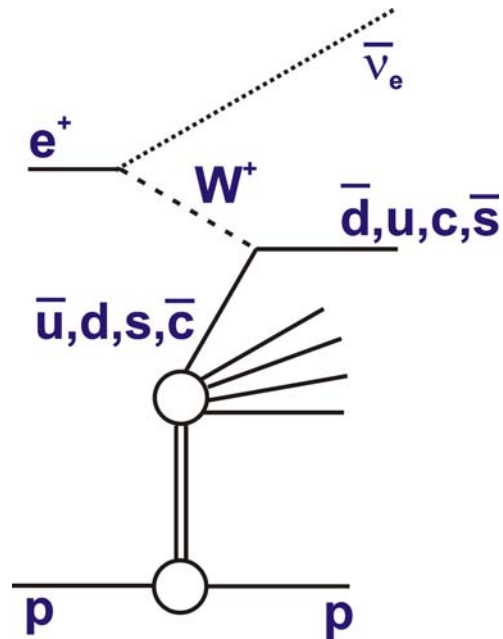


... 'universal structure of QCD vacuum?'

Diffractive Structure Fn @ CDF



Diffractive Charged Current Cross Section



Very similar method of measurement to Neutral Current case.

Good agreement with fit prediction (assumes $u = d = s = \bar{u} = \bar{d} = \bar{s}$ and c from BGF) though statistical precision limited so far