

# Inclusive Diffraction in DIS – H1 Results

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*Diffraction 2006, Milos*

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# Overview

- Diffractive DIS at H1
  - Kinematics and Observables
- Comparison of Experimental Techniques
  - Rapidity Gap and Leading Proton Techniques Agree
- Factorisation, NLO QCD Fits and Diffractive PDFs
  - $M_Y$ ,  $t$  and  $x_{iP}$  Dependences Factorise from  $Q^2$  and  $\beta$  Dependences
  - QCD and the High  $z$  Gluon
- Ratio of Diffractive : Inclusive Cross Sections
  - Gluon : Quark Ratio is the Same
- Diffractive Charged Currents
  - Predicted from Fit to NC Data
- New Preliminary Data
  - H1 and the  $M_x$  Method

# Diffraction DIS Kinematics

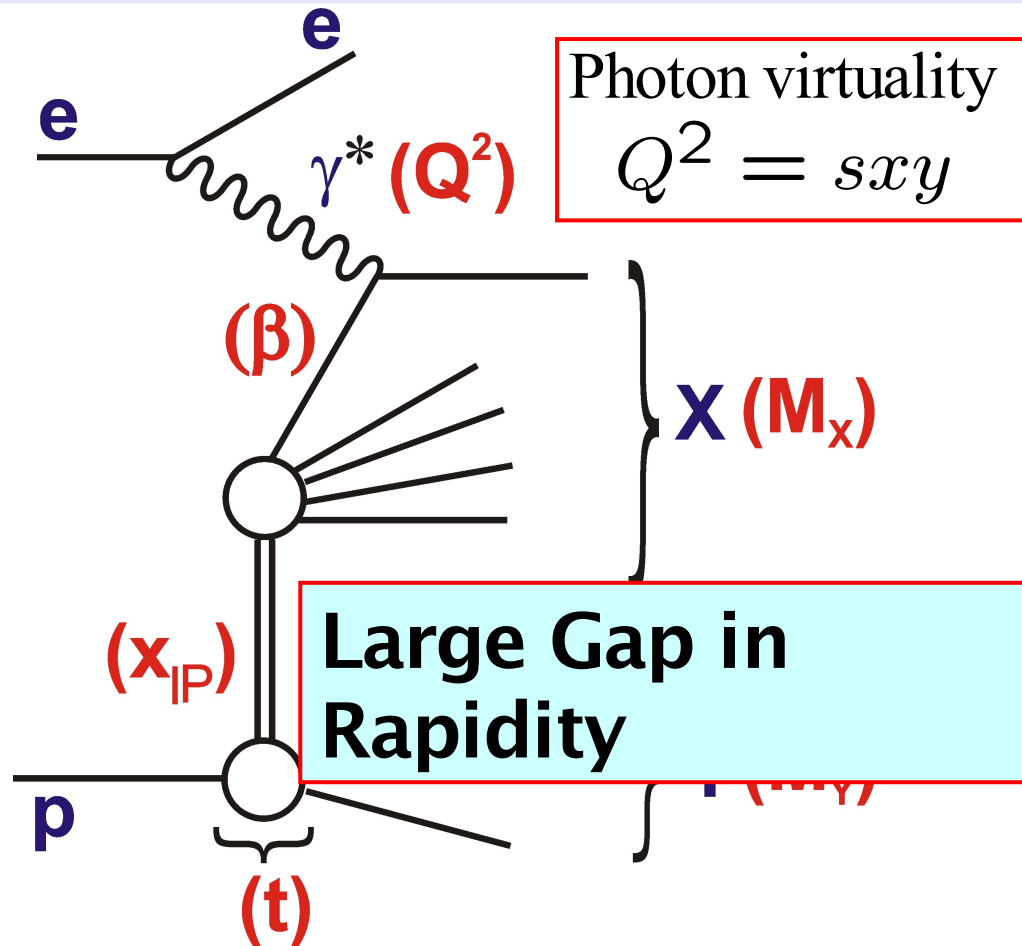
Fractional momentum of Parton wrt Pomeron

$$\beta = \frac{Q^2}{Q^2 + M_X^2}$$

$$x = x_{IP} \beta$$

Fractional Momentum of Pomeron wrt Proton

$$x_{IP} = \frac{Q^2 + M_X^2}{Q^2 + W^2}$$



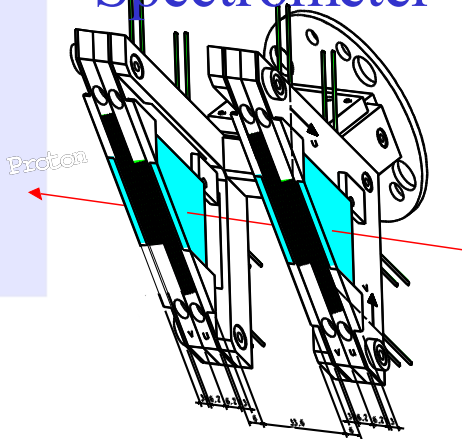
Momentum Transfer at Proton Vertex

$$t = (p - Y)^2$$

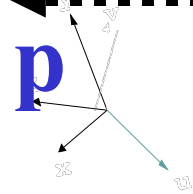
# Experimentally selecting

$$ep \rightarrow eXp$$

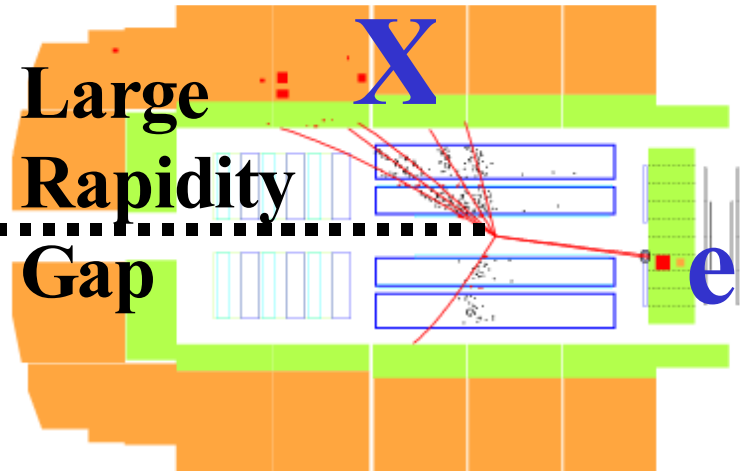
Forward Proton Spectrometer



$z = 64, z = 80m$



Large Rapidity Gap in H1



Measure Leading Proton (FPS)

No proton dissociation

Measure the  $t$  dependence

Low detector acceptance

Require Large Rapidity Gap (LRG) spanning at least  $3.3 < \eta < \sim 7.5$

Kinematics measured from  $X$  system, integrate  $|t| < 1.0 \text{ GeV}^2$ ,  $M_Y < 1.6 \text{ GeV}$

High detector acceptance  $\rightarrow$  precision

# Data Sets and Observables

- FPS data sample – 1999–2000 data, 28 pb<sup>-1</sup> hep-ex/0606003

- Measure  $t$  Dependence  $\frac{d\sigma}{dt} \sim \exp B|t|$

- And Differential Cross Section

$$\frac{d^4\sigma^{ep \rightarrow eXp}}{dx dQ^2 dx_{\mathbb{P}} dt} = \frac{4\pi\alpha^2}{xQ^4} Y_+ \sigma_r^{D(4)}(x, Q^2, x_{\mathbb{P}}, t)$$

Where

$$Y_+ = 1 + (1 - y)^2 \quad \text{and} \quad \sigma_r^{D(4)} = F_2^{D(4)} - \frac{y^2}{Y_+} F_L^{D(4)}$$

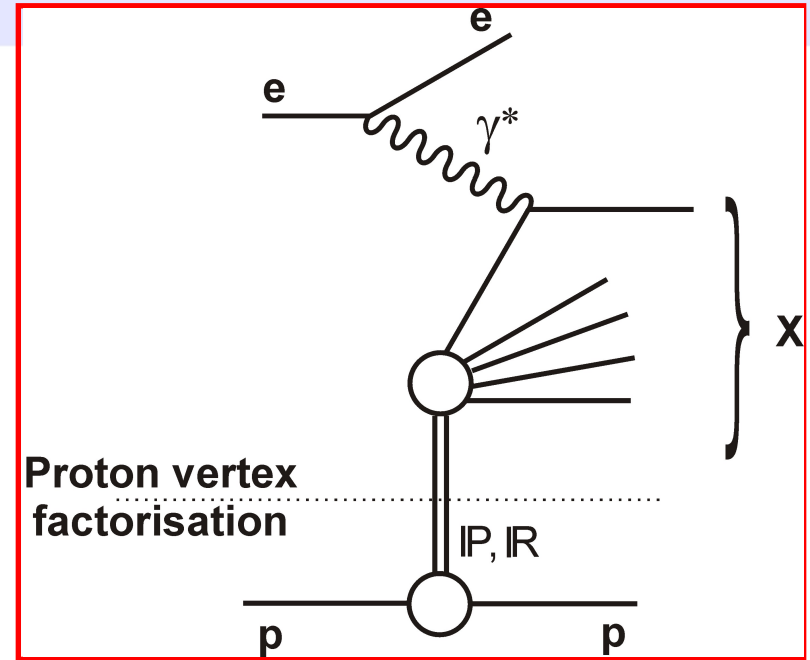
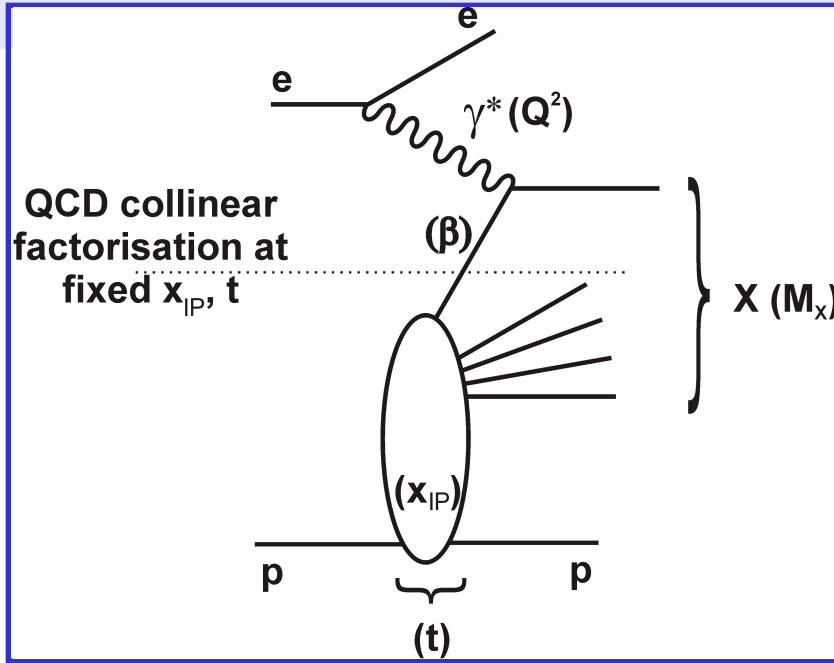
- LRG Data – 1997 – 2000 e+ data hep-ex/0606004

- $3 < Q^2 < 13.5$  GeV<sup>2</sup> 2.0 pb<sup>-1</sup>
- $13.5 < Q^2 < 105$  GeV<sup>2</sup> 10.6 pb<sup>-1</sup>
- $Q^2 > 133$  GeV<sup>2</sup> 61.6 pb<sup>-1</sup>

- Measure Reduced Cross Section Integrated over  $t$

$$\sigma_r^{D(3)} = \int_{-1}^{t_{min}} \sigma_r^{D(4)} dt$$

# Two Levels of Factorisation



QCD hard scattering collinear factorisation (Collins) at fixed  $x_{IP}$  and  $t$

$$d\sigma_{partoni}(ep \rightarrow eXY) = f_i^D(x, Q^2, x_{IP}, t) \otimes d\sigma^{ei}(x, Q^2)$$

Applied after integration over  $M_Y$  and  $t$  ranges

'Proton vertex' factorisation of  $\beta$  and  $Q^2$  from  $x_{IP}, t$ , and  $M_Y$  dependences

$$f_i^D(x, Q^2, x_{IP}, t) = f_{IP/p}(x_{IP}, t) \cdot f_i^{IP}(\beta = \frac{x}{x_{IP}}, Q^2)$$

# Data Overview

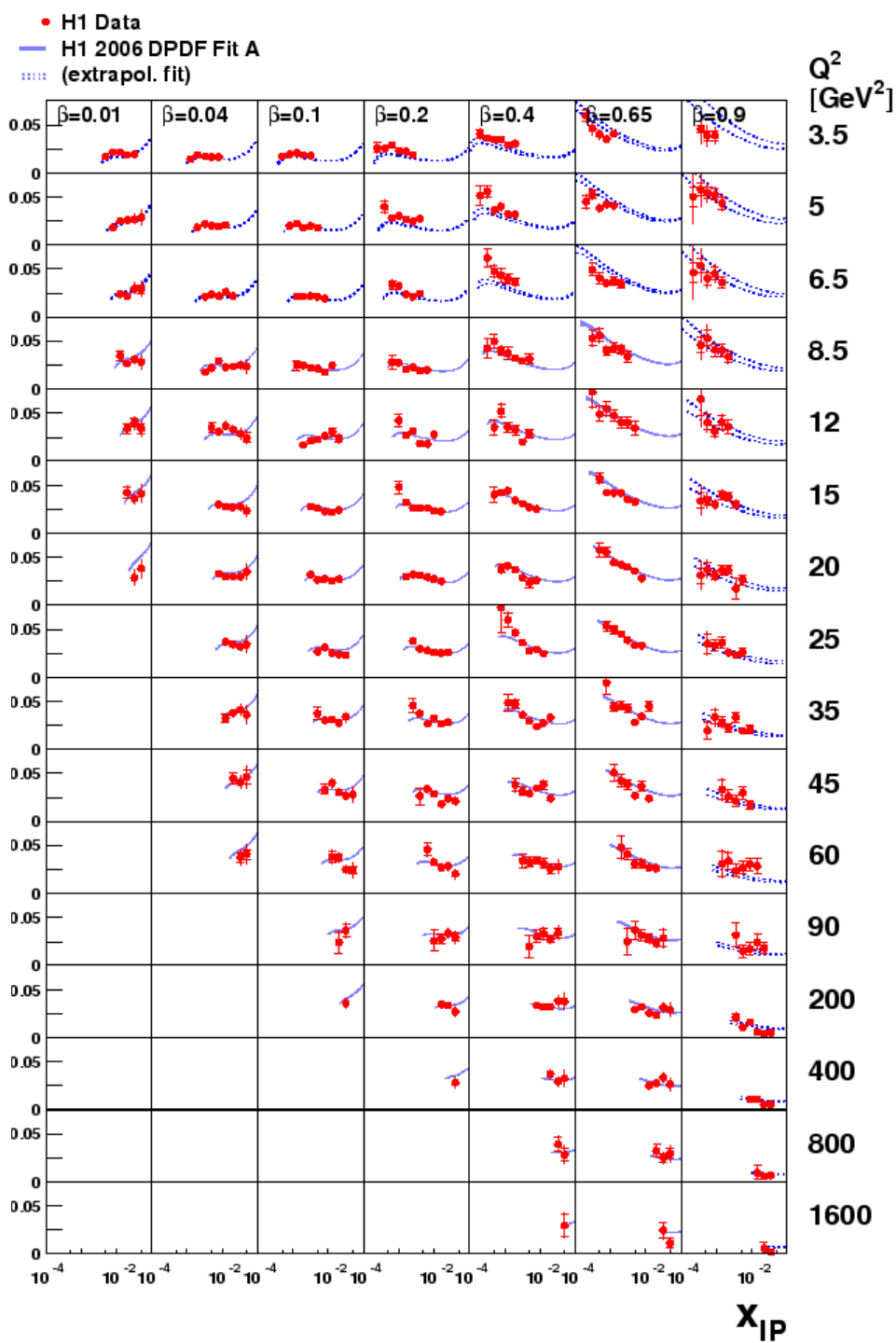
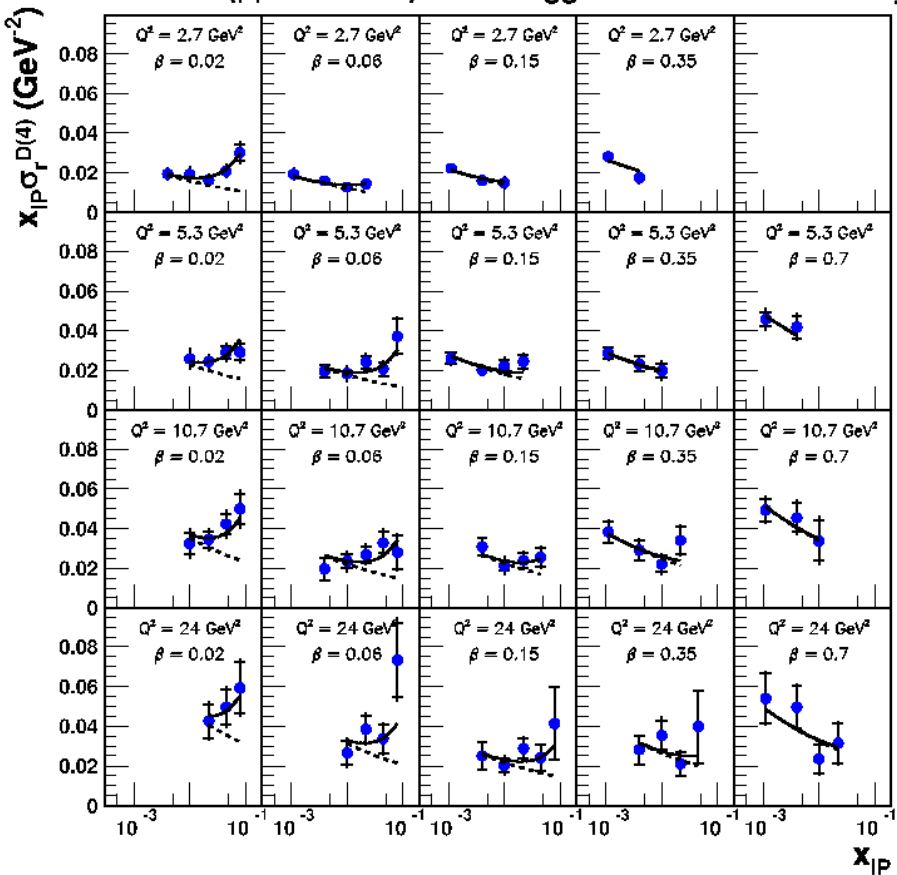
LRG:  $M_Y < 1.6 \text{ GeV}$   $\longrightarrow$   $x_{IP} \sigma_r^{D(3)}$

3.5  $Q^2$  1600  $\text{GeV}^2$

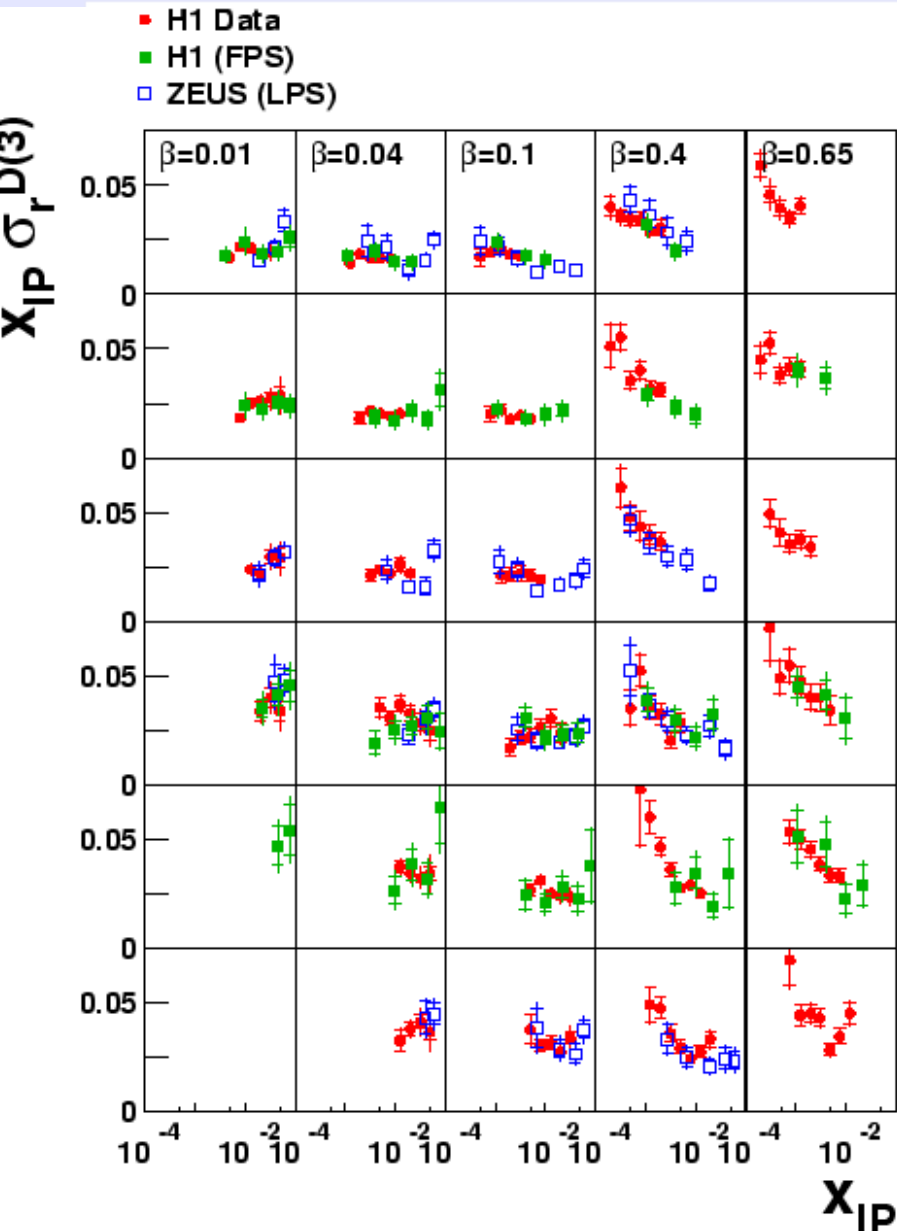
FPS:  $Y=p$

2.7  $Q^2$  24  $\text{GeV}^2$

● H1 FPS ( $|t|=0.25 \text{ GeV}^2$ ) — Regge fit IP+IR ..... IP only



# Comparison of H1 LRG, H1 FPS, ZEUS LPS Data



$Q^2$  [GeV<sup>2</sup>]  
 3.5 • **ZEUS (LPS)** and **H1 (FPS)**  
 Leading Proton Data agree very well  
 (they agree to 8% cf. 10%  
 normalisation uncertainties)

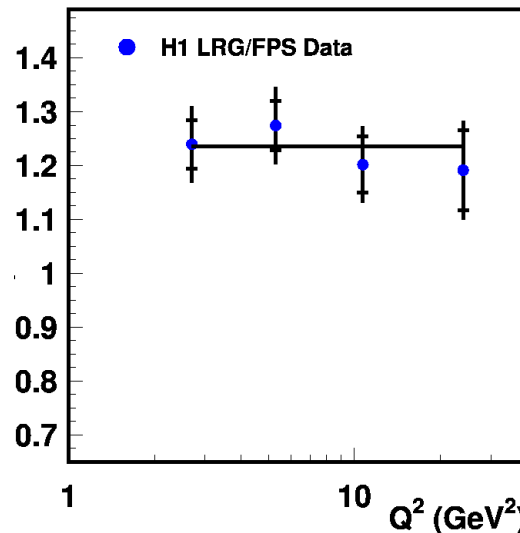
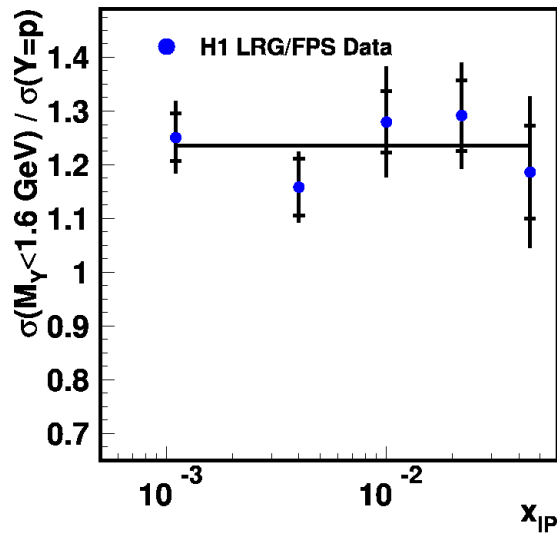
5 • **ZEUS LPS** and **H1 FPS**  
 scaled by global factor of 1.23 to  
 compare with **LRG**  $M_Y < 1.6$  GeV  
 6.5

12 • Very good agreement between  
 Leading Proton and LRG methods  
 after accounting for proton diss'n  
 25

35 • Both experimental techniques  
 measure the same cross section

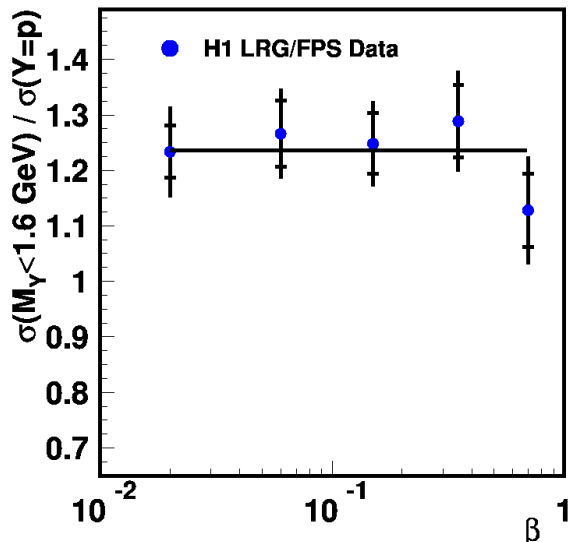


# Detailed Comparison LRG v FPS



• LRG measurement also done with FPS bins

• Form ratio of measurements as a function of  $x_{IP}$ ,  $\beta$  and  $Q^2$

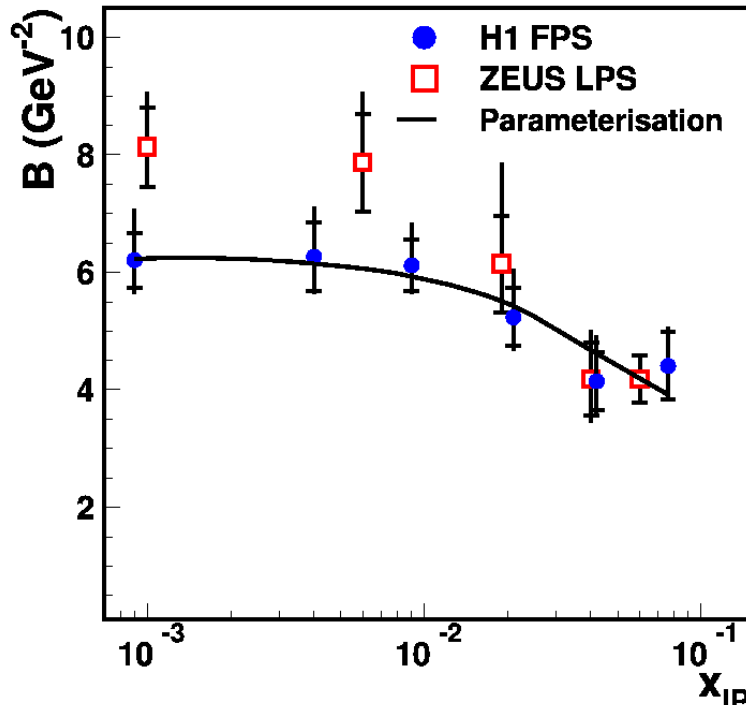


$$\frac{\sigma(M_Y < 1.6 \text{ GeV})}{\sigma(Y = p)} = 1.23 \quad 0.03 \text{ (stat.)} \\ 0.16 \text{ (syst.)}$$

$M_Y$  dependence factorises from  $x_{IP}$ ,  $\beta$  and  $Q^2$  within 10% (non-normalisation) errors

# t dependence from FPS measurements

$B(x_{IP})$  from fit to  $\frac{d\sigma}{dt} \sim \exp B|t|$



• Fitting low  $x_{IP}$  data to

$$B = B_{IP} + 2\alpha'_{IP} \ln(1/x_{IP})$$

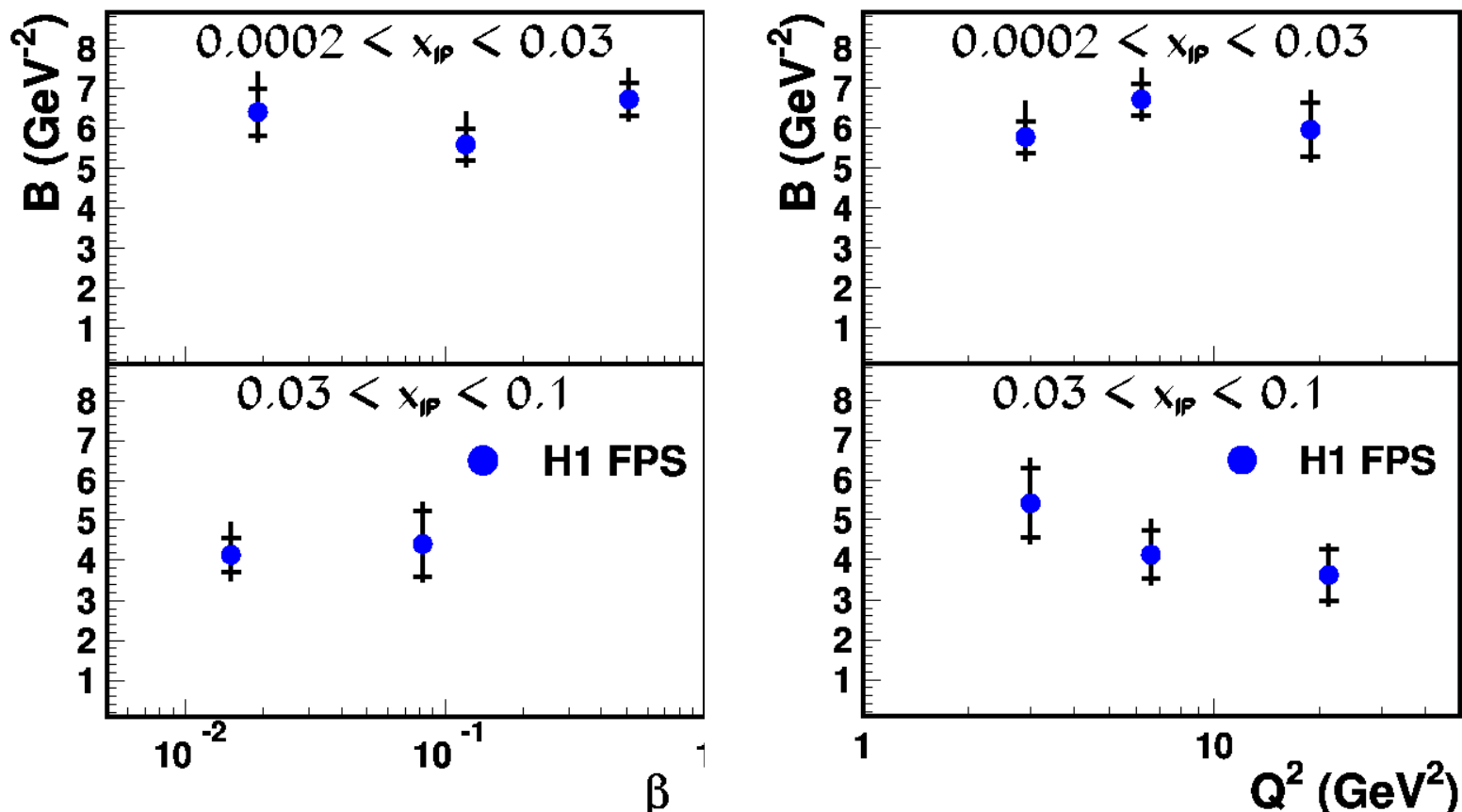
yields:

$$\alpha'_{IP} = 0.06^{+0.19}_{-0.06} \text{ GeV}^{-2} \quad B_{IP} = 5.5^{+2.0}_{+0.7} \text{ GeV}^{-2}$$

- $B(x_{IP})$  data constrain  $IP$ ,  $IR$  flux factors in proton vertex factorisation model

# $t$ Slope Dependence on $\beta$ or $Q^2$ ?

$B$  measured double differentially in ( $\beta$  or  $Q^2$ ) at fixed  $x_{IP}$

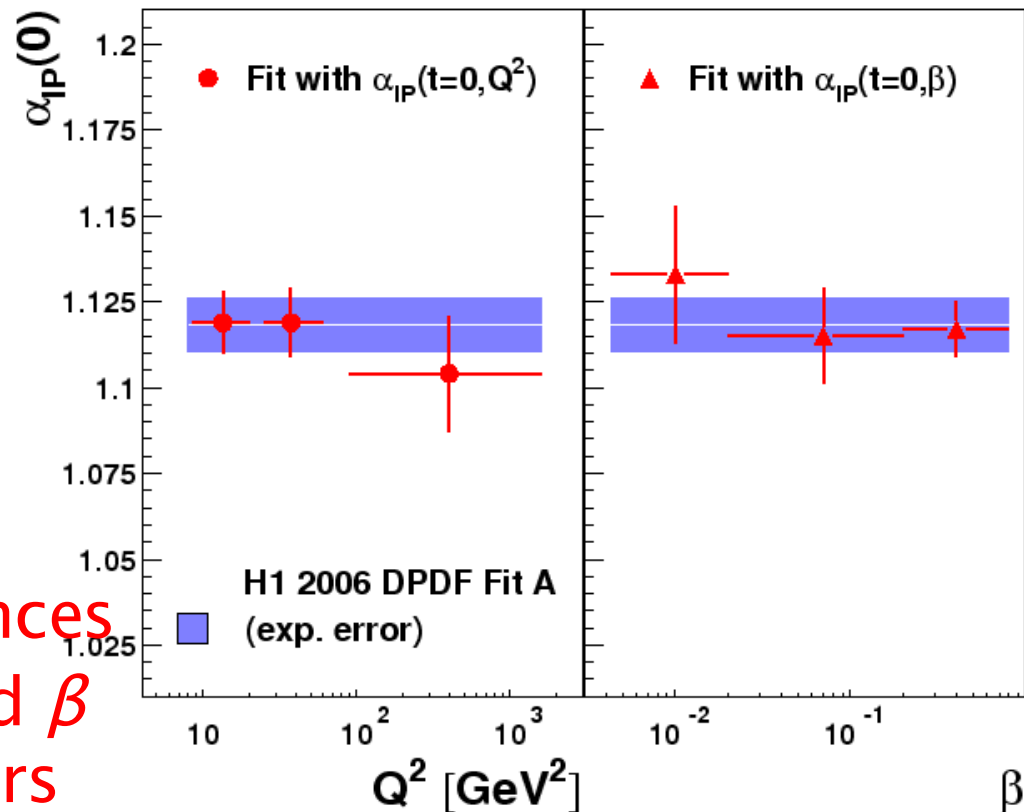


- $t$  dependence does not change with  $\beta$  or  $Q^2$  at fixed  $x_{IP}$

# Effective Pomeron Intercept Independent of $\beta$ and $Q^2$

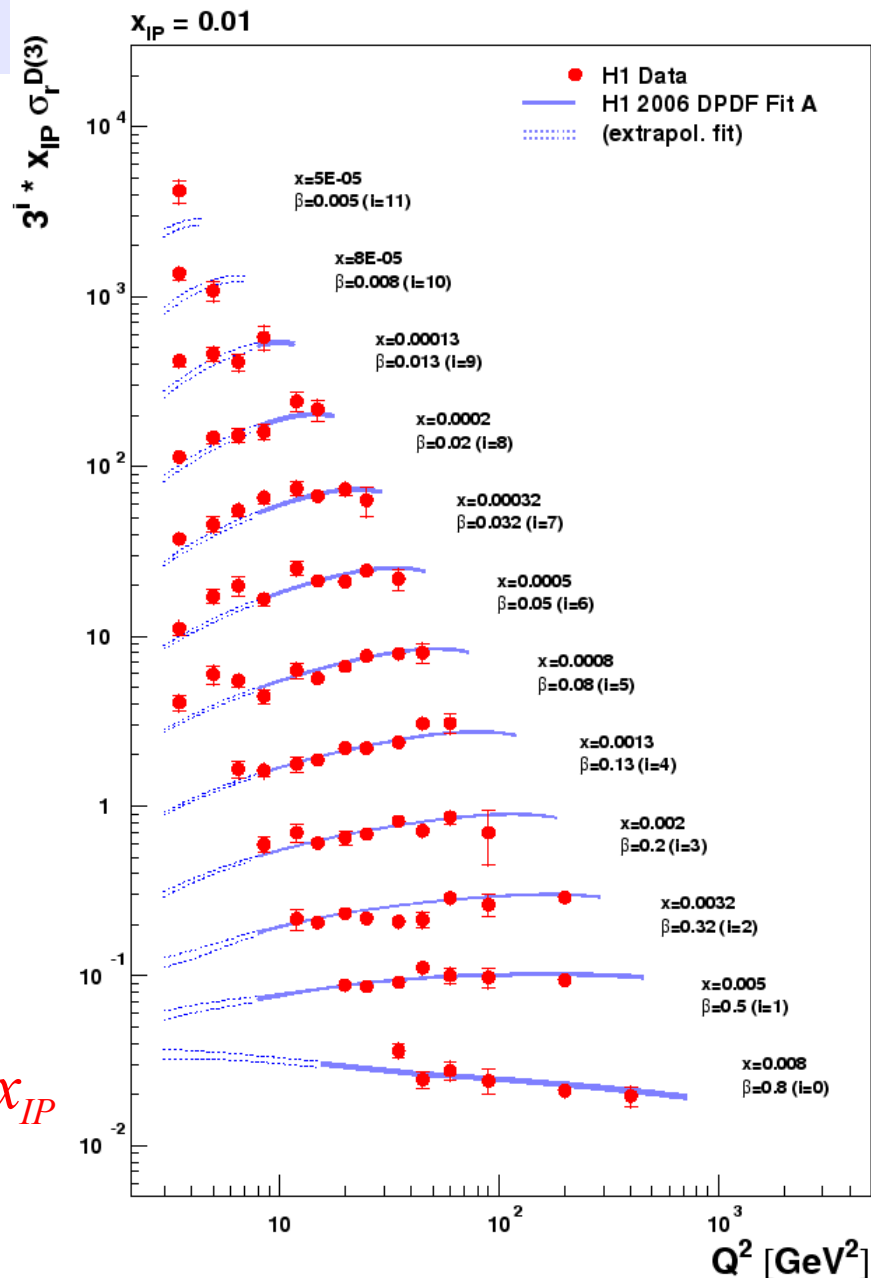
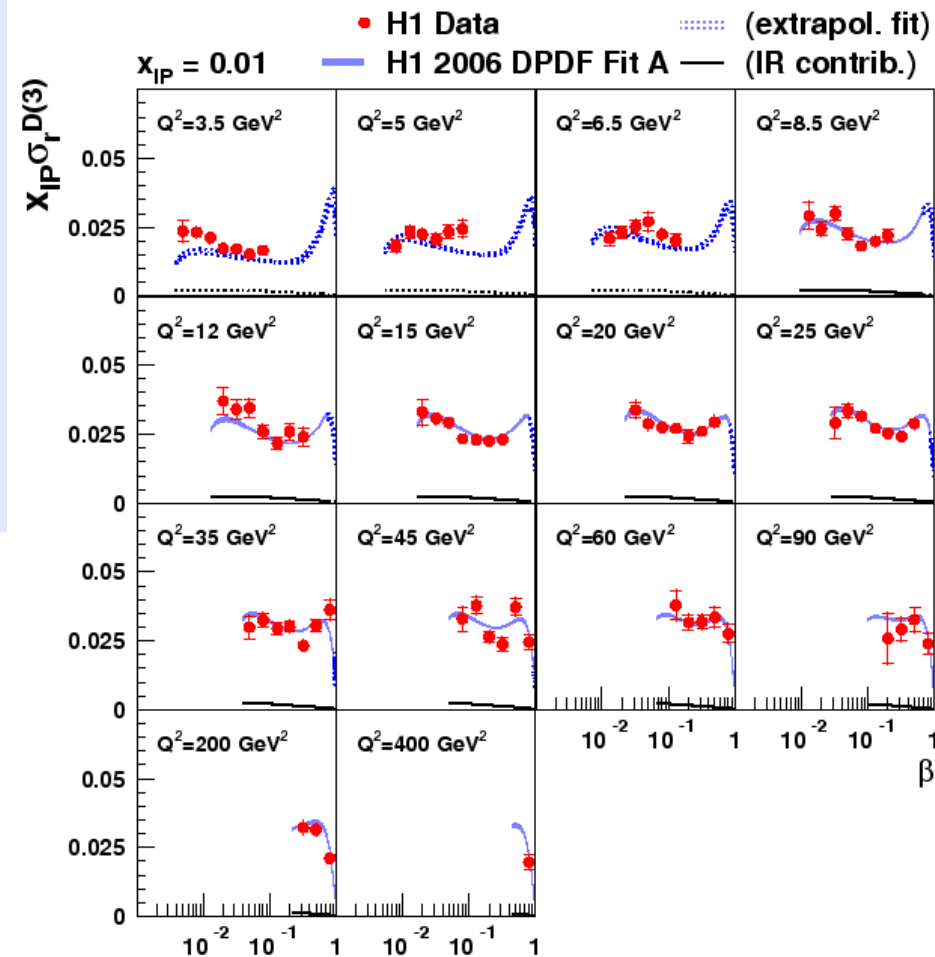
From fit to LRG data:  $\alpha_{IP}(0) = 1.118 \pm 0.008$  (exp.)  $^{+0.029}_{-0.010}$  (theory)

- No dependence of  $\alpha_{IP}(0)$  on  $Q^2$  or  $\beta$
- The  $x_{IP}$  dependence also factorises from  $Q^2$  and  $\beta$
- $x_{IP}$ ,  $t$  and  $M_Y$  dependences factorise from the  $Q^2$  and  $\beta$  dependences within errors



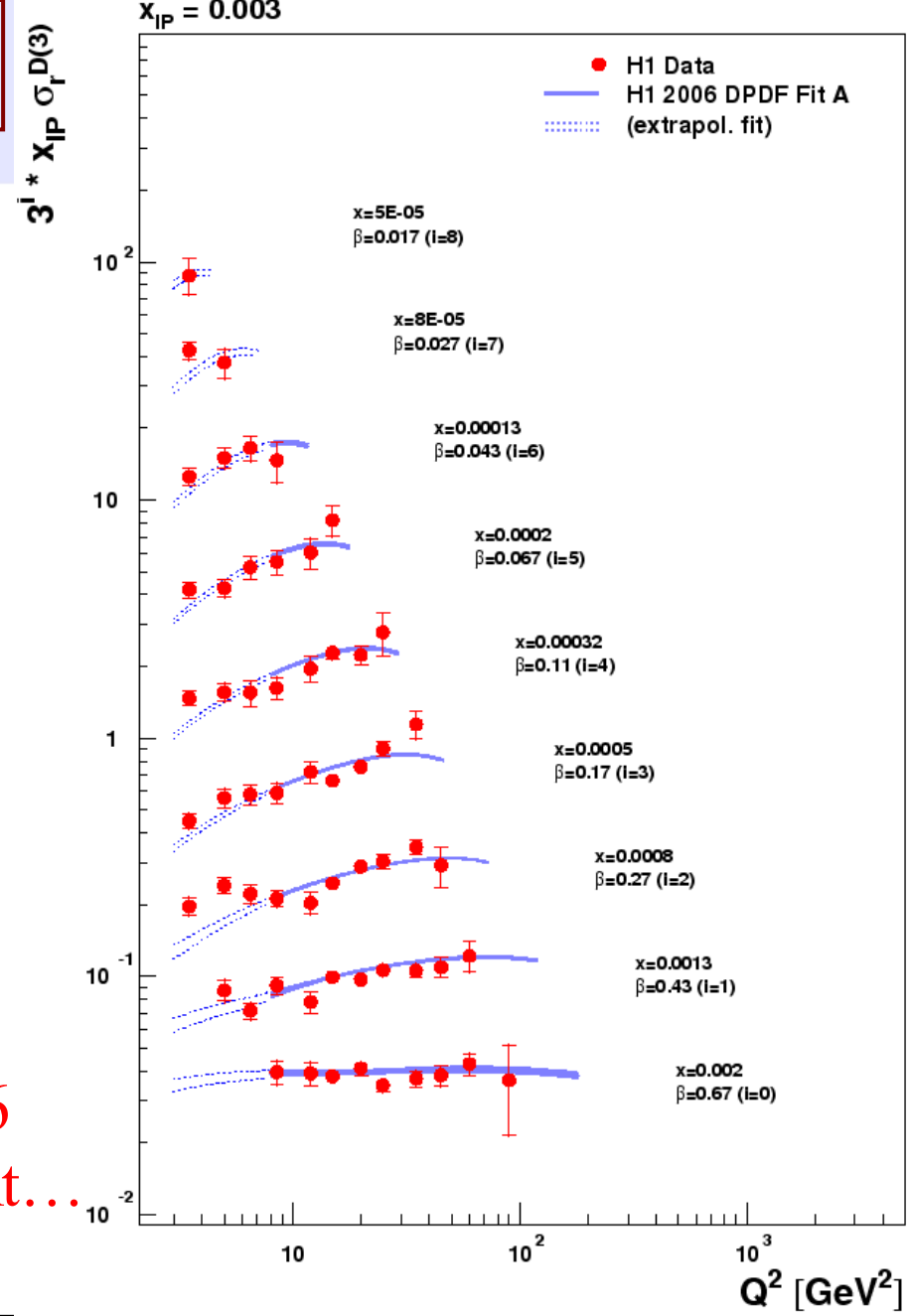
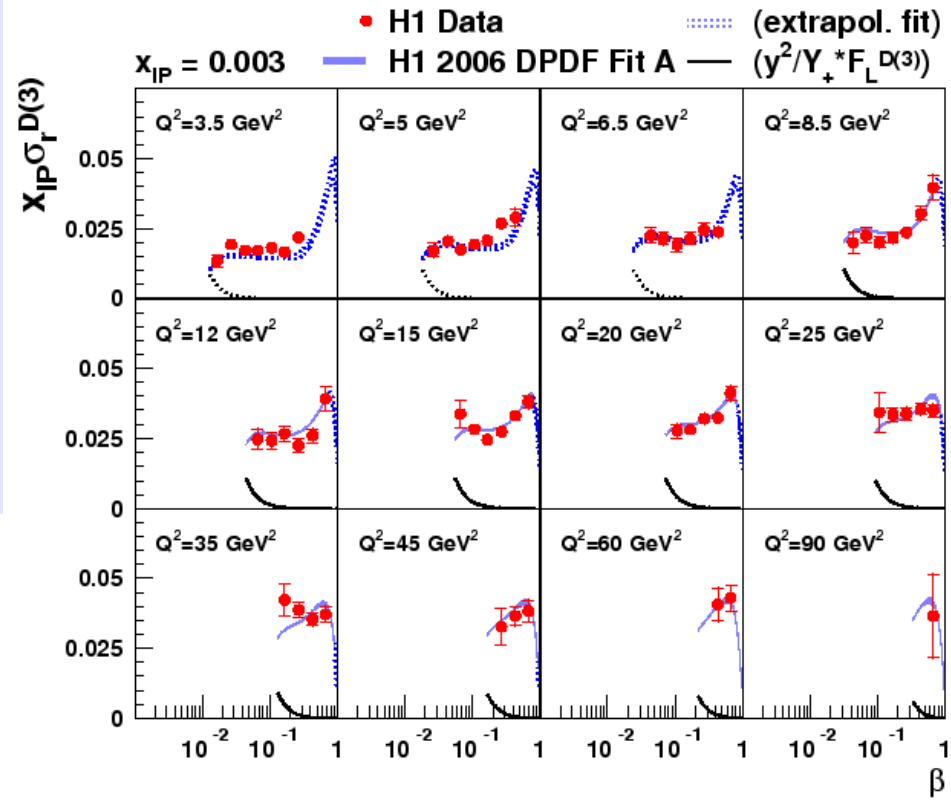
→ Data support Proton Vertex Factorisation

$$\sigma_r^{D(3)}(\beta, Q^2, x_{IP}) \text{ at } x_{IP} = 0.01$$



Study  $\beta$  and  $Q^2$  dependences at fixed  $x_{IP}$   
 Analogous to making an inclusive  $F_2$   
 measurement at each value of  $x_{IP}$

# $\sigma_r^{D(3)}(\beta, Q^2, x_{IP})$ at $x_{IP} = 0.003$



Directly measure the quark content

Large scaling violations out to  $\beta \sim 0.6$  are suggestive of a large gluon content...

# $Q^2$ Dependence in More Detail

Fit data at fixed  $x$ ,  $x_{IP}$  to

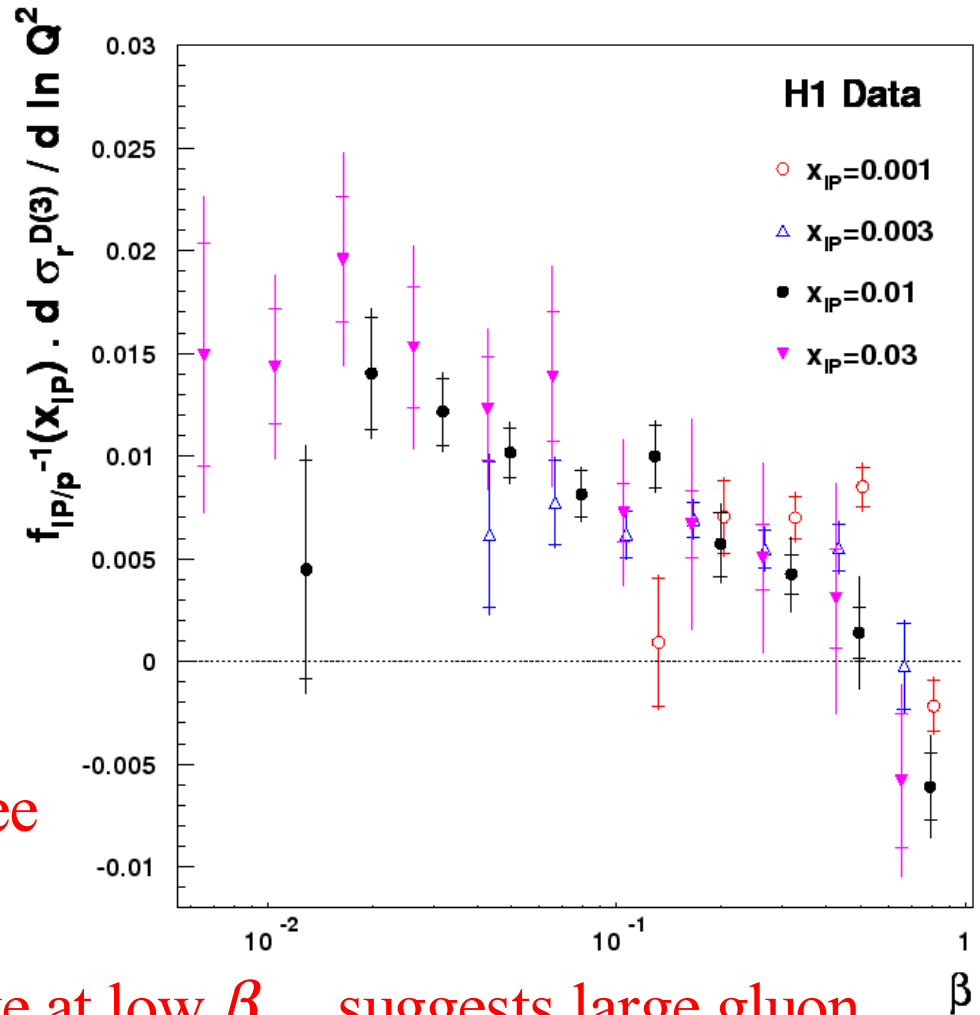
$$\sigma_r^D = A + B \ln Q^2$$

such that 
$$B = \frac{d\sigma_r^D}{d \ln Q^2}$$

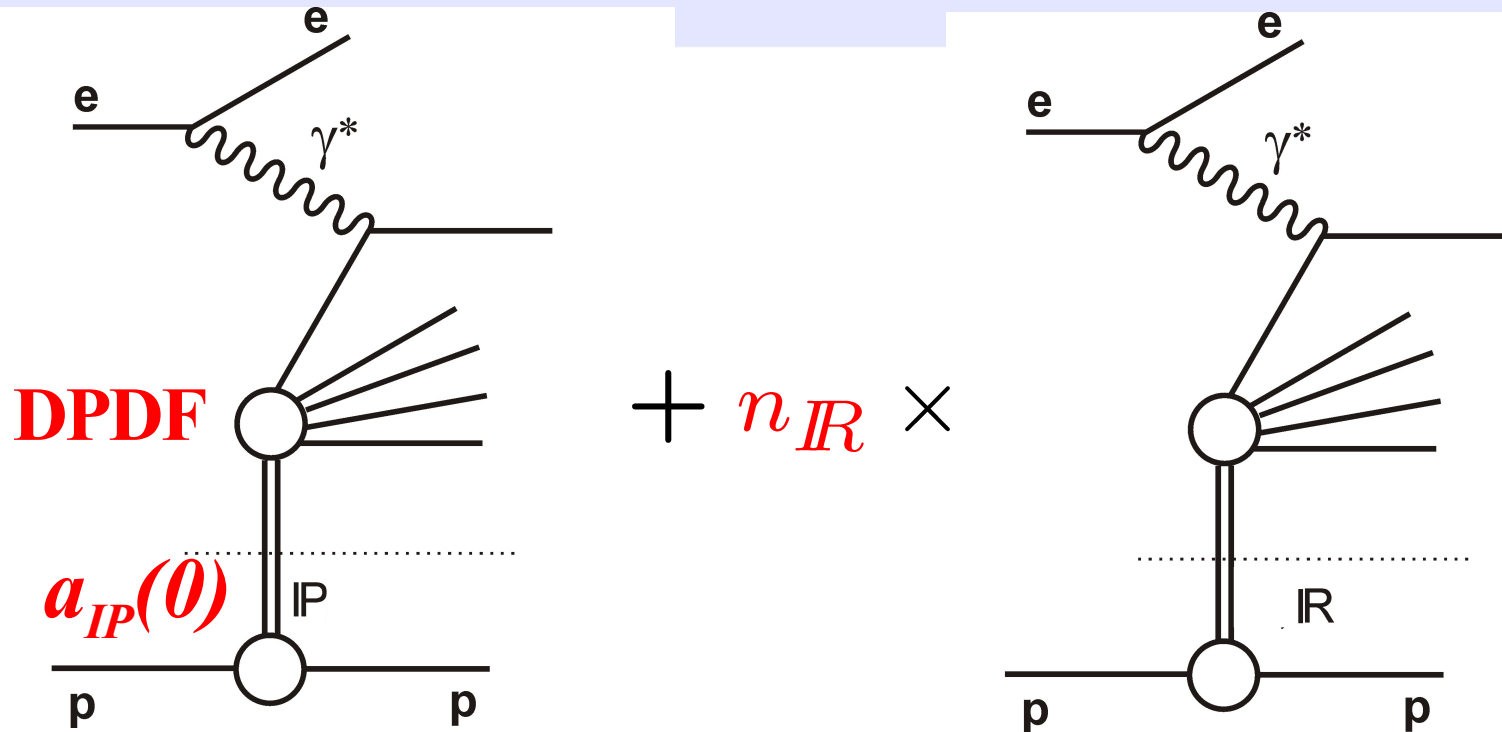
Divide results by  $f_{IP/p}(x_{IP})$   
to compare different  $x_{IP}$  values

Different  $x_{IP}$  measurements agree

Derivatives large and positive at low  $\beta$  ... suggests large gluon



# H1 2006 DPDF Fit, Overview



- *IP* component: Fit  $\alpha_{IP}(0)$  ( $x_{IP}$  dependence). Simultaneously, fit 5 parameters of DPDFs ( $\beta$  and  $Q^2$  dependences) using NLO QCD.

- *IR* component: fit one free parameter for normalisation,  $n_{IR}$

All flux params taken from previous H1 data. PDFs taken from Owens- $\pi$ .



# Kinematic Range and DPDF Parameterisation

- Fit is stable with variations of, e.g.  $\beta_{max}$  – the maximum value of  $\beta$  allowed in the fit
- Systematic variation of gluon density with minimum  $Q^2$  of data included in fit for  $Q^2_{min} < 8.5 \text{ GeV}^2$ . Stable for larger  $Q^2_{min}$
- Fit all data with  $Q^2 > 8.5 \text{ GeV}^2$  (and  $M_X > 2 \text{ GeV}$ ,  $\beta < 0.8$ )
- Parameterise quark singlet  $z\Sigma(z, Q_0^2)$  and gluon  $zg(z, Q_0^2)$  densities, where  $z$  is parton momentum fraction (=  $\beta$  for QPM).

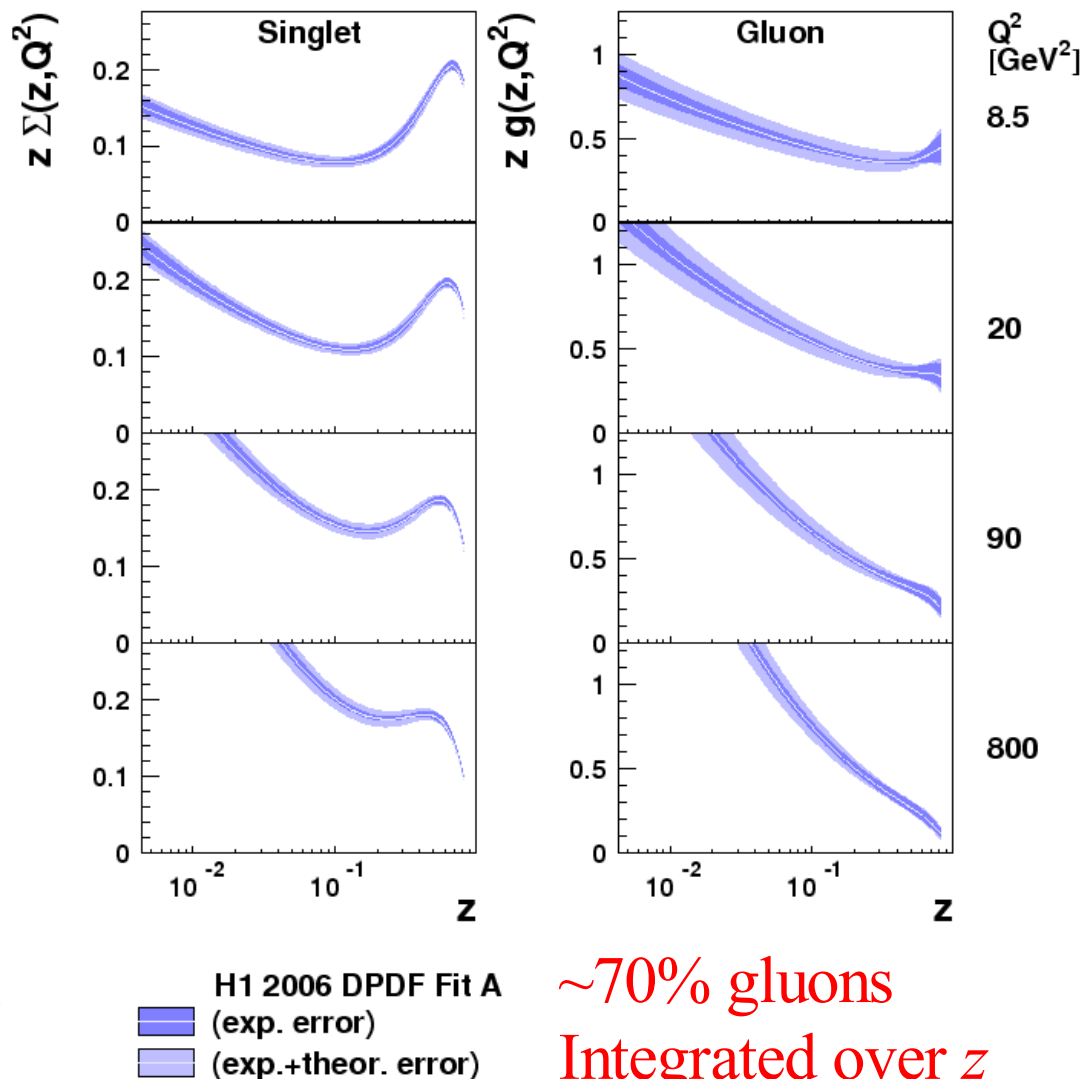
Parameterisation used is  $z\Sigma(z, Q_0^2) = A_q z^{B_q} (1-z)^{C_q}$  and  $zg(z, Q_0^2) = A_g (1-z)^{C_g}$   
 (gluon insensitive to  $B_g$ )

# H1 2006 DPDF Fit Results (log z scale)

$$Q_0^2 = 1.75 \text{ GeV}^2$$


$$\chi^2 \sim 158 / 183 \text{ d.o.f.}$$

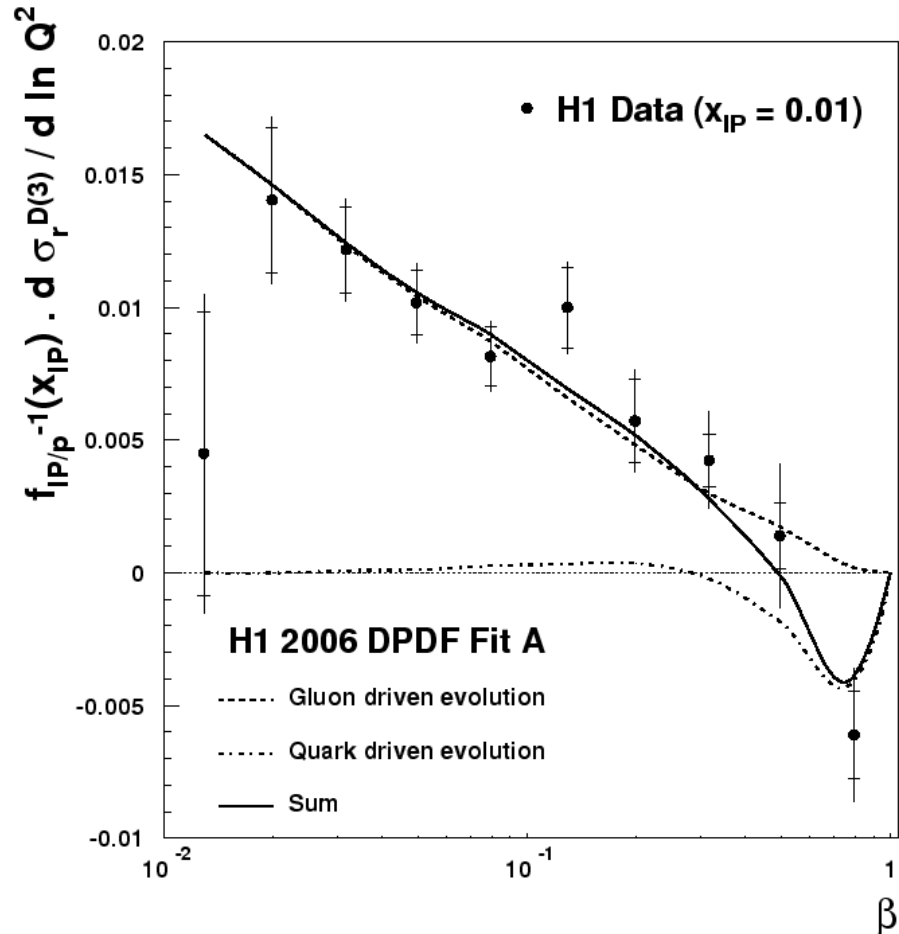
- Experimental uncertainty obtained by propagating errors on data through  $\chi^2$  minimisation procedure
- Theoretical uncertainty estimated by varying fixed parameters of fit and  $Q_0^2$
- Singlet constrained to  $\sim 5\%$ , gluon to  $\sim 15\%$  at low  $z$ , growing considerably at high  $z$



# A Closer Look at the High $z$ Region

We have only singlet quarks, so DGLAP evolution equation for  $F_2^D$  ....

$$\frac{dF_2^D}{d \ln Q^2} \sim \frac{\alpha_s}{2\pi} P_{qg} g + P_{qq} \Sigma$$




At high  $\beta$ , relative error on derivative grows,  $q$   $qg$  contribution to evolution becomes important ... sensitivity to gluon is lost

# DPDFs (linear z scale)

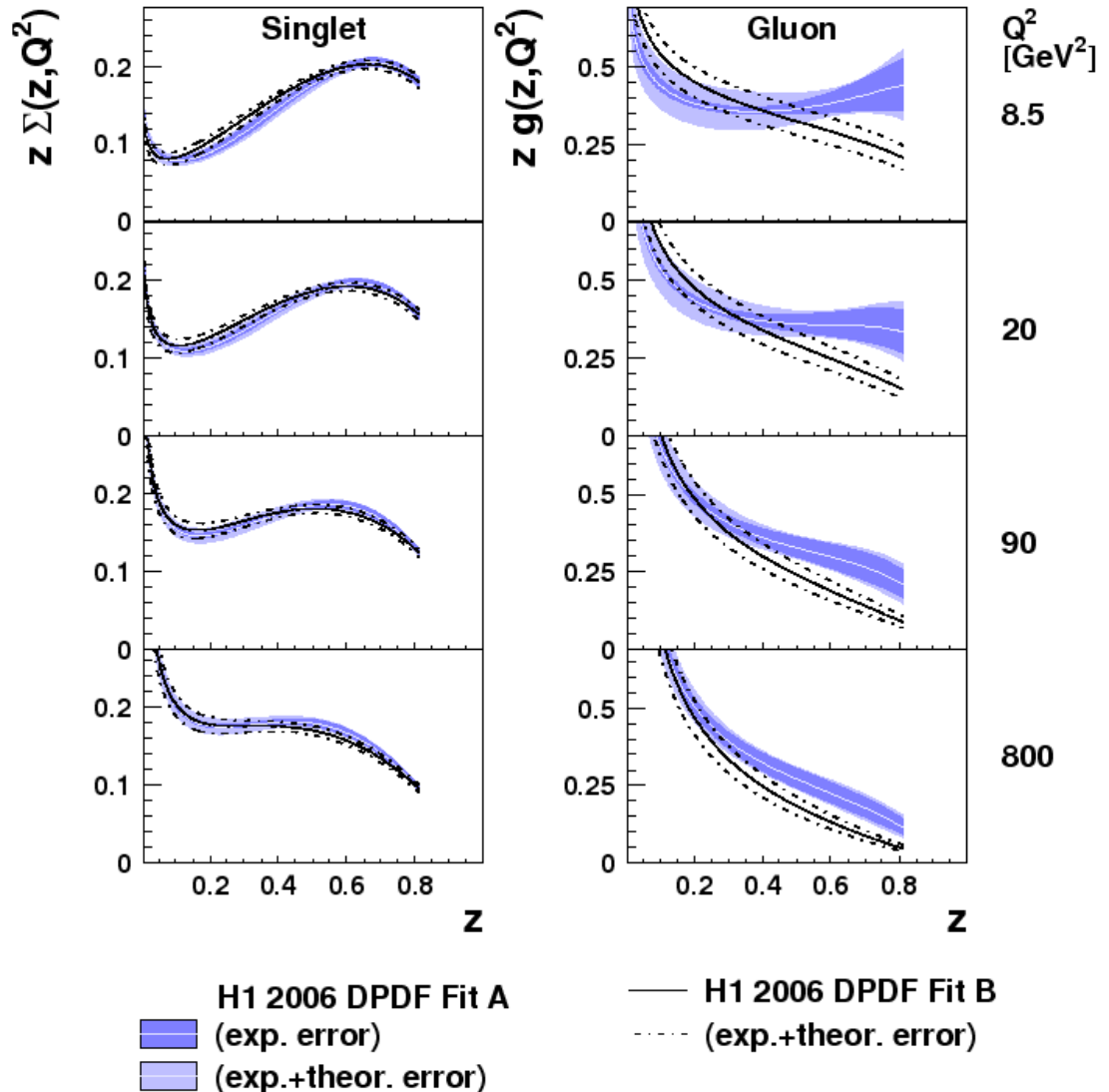
- Lack of sensitivity to high  $z$  gluon confirmed by dropping (high  $z$ )  $C_g$  parameter, so gluon is a simple constant at the star scale!

## •Fit B

$$\chi^2 \sim 164 / 184 \text{ d.o.f.}$$

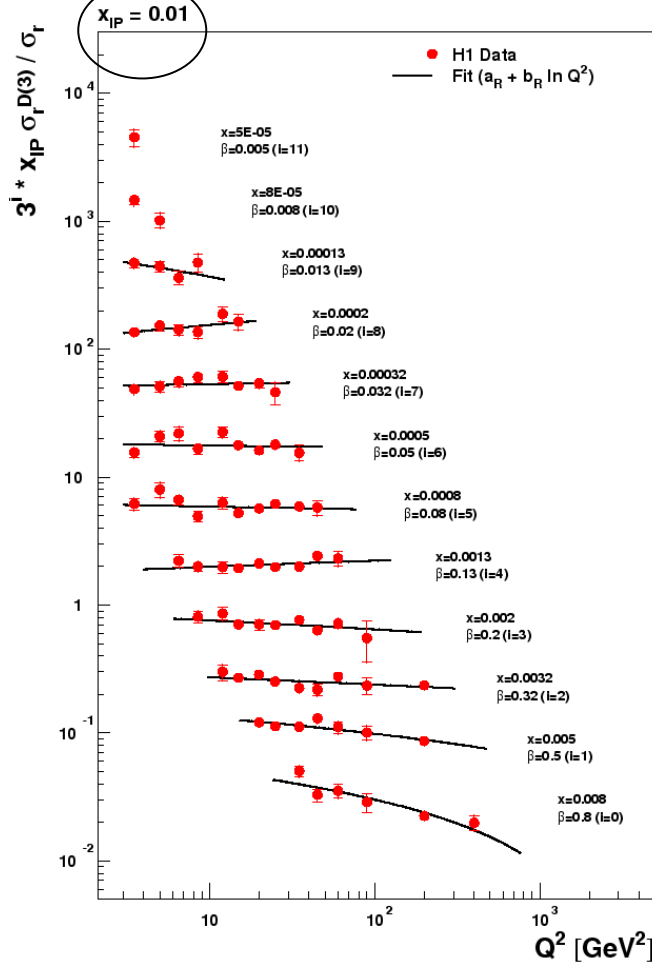
$$Q_0^2 = 2.5 \text{ GeV}^2$$

- Quarks very stable
- Gluon similar at low  $z$
- Substantial change to  $g_l$  at high  $z$

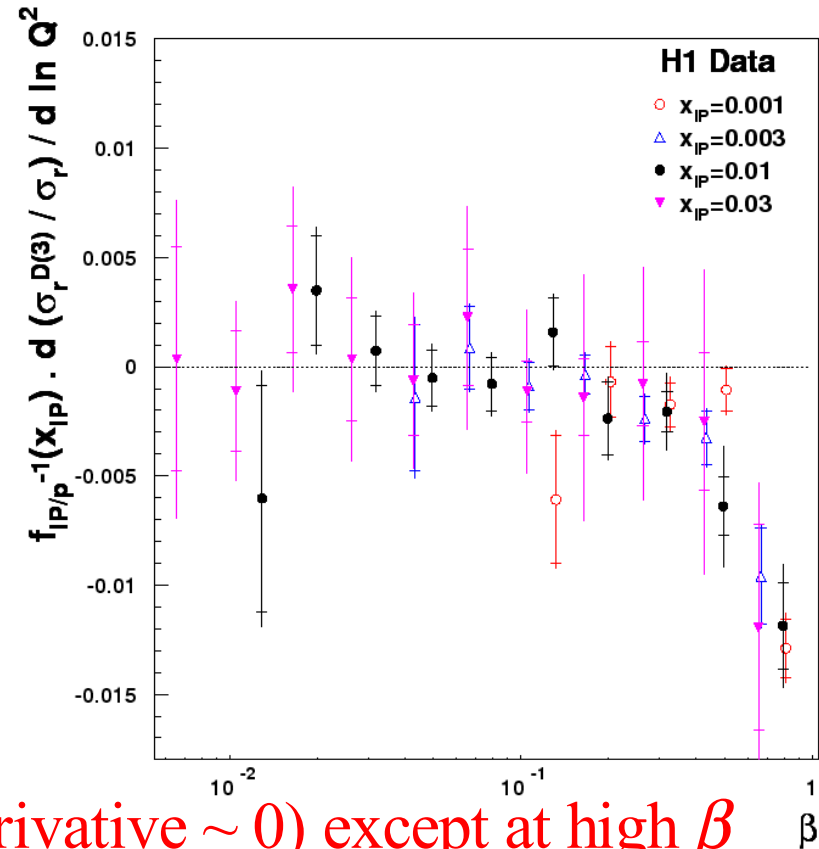


# $Q^2$ dependence of diffractive/inclusive ratio

Make ratio at fixed  $x_{IP}$  and  $x$  and fit to  $\sigma_r^D / \sigma_r = A + B \ln Q^2$



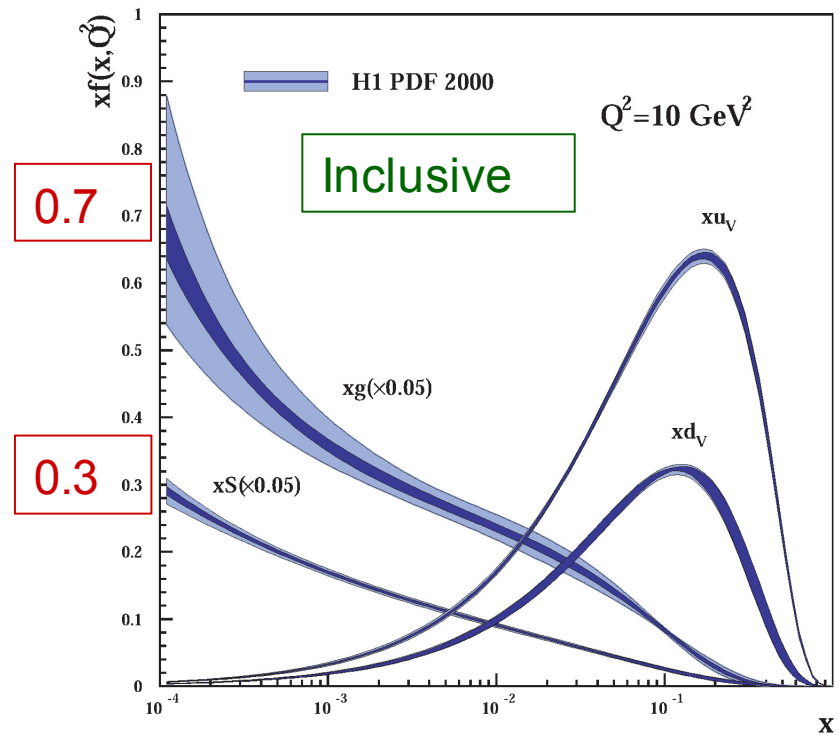
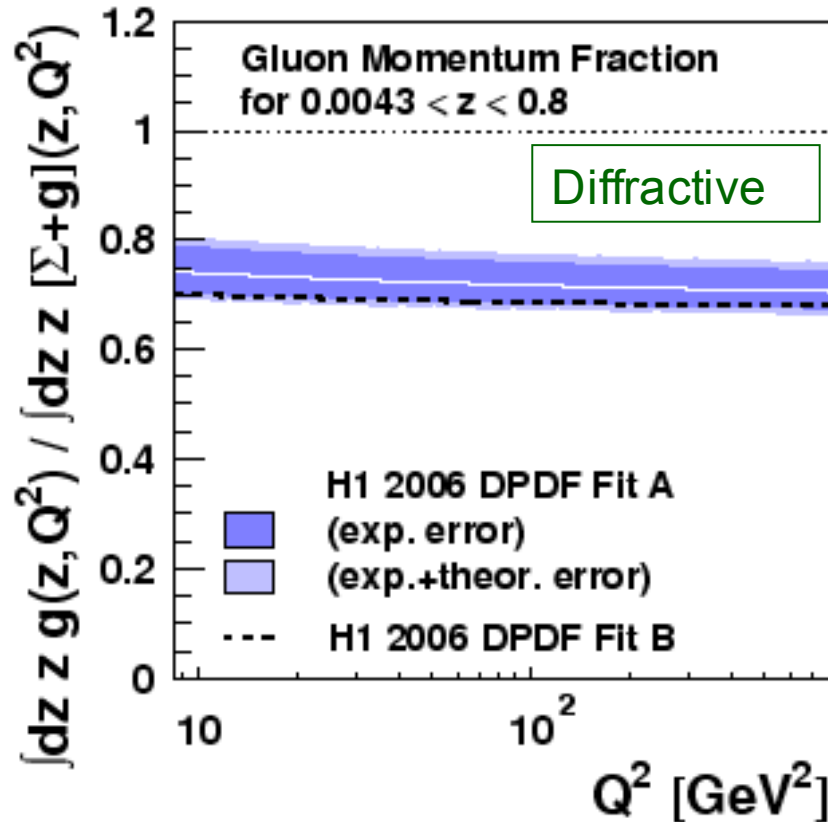
such that  $B = \frac{d(\sigma_r^D / \sigma_r)}{d \ln Q^2}$



Ratio remarkably flat (derivative  $\sim 0$ ) except at high  $\beta$

# $Q^2$ derivative and gluon/quark ratios

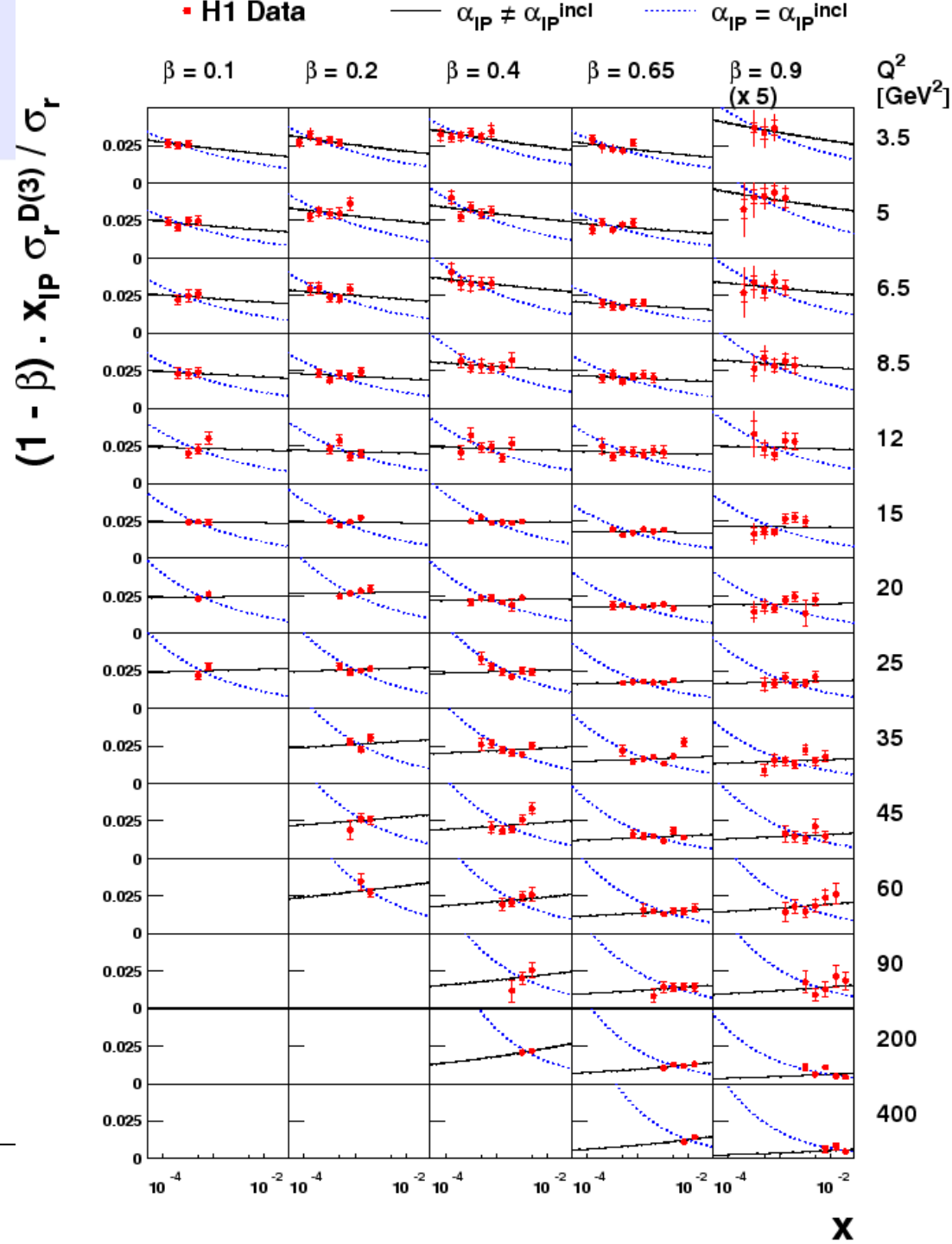
$$\text{If } \frac{d(\sigma_r^D / \sigma_r)}{d \ln Q^2} \sim 0 \text{ then } \frac{1}{\sigma_r^D} \frac{d\sigma_r^D}{d \ln Q^2} \approx \frac{1}{\sigma_r} \frac{d\sigma_r}{d \ln Q^2} \rightarrow \frac{g^D}{q^D} \sim \frac{g}{q}$$



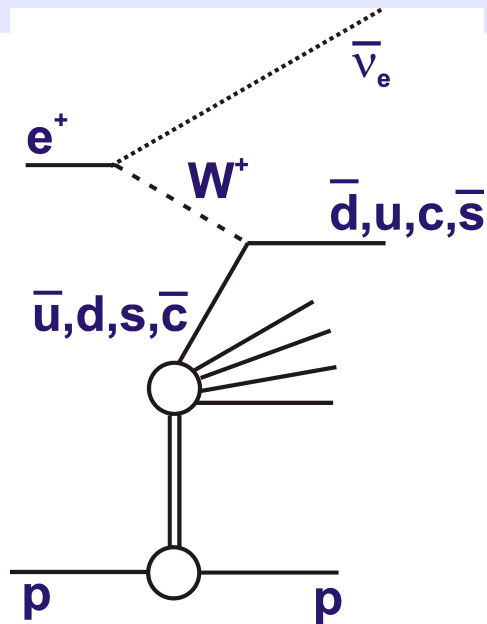
**low  $x$ , gluon:quark ratio  $\sim 70\%/30\%$ , common to diffractive and inclusive**

# Ratio diffractive/inclusive: $x$ dependence

- Plot  $\sigma_r^D/\sigma_r$  vs  $x$  ( $\sim 1/W^2$ ) at fixed  $\beta$   $Q^2$  (hence fixed  $M_X$ )
- Remarkably flat vs  $x$  over most of kinematic range (bins with large  $F_L$  or  $IR$  contribution not shown)
- Diffractive and inclusive cross sections cannot be described with the same  $\alpha_{IP}(0)$ , even if it is  $Q^2$  dependent



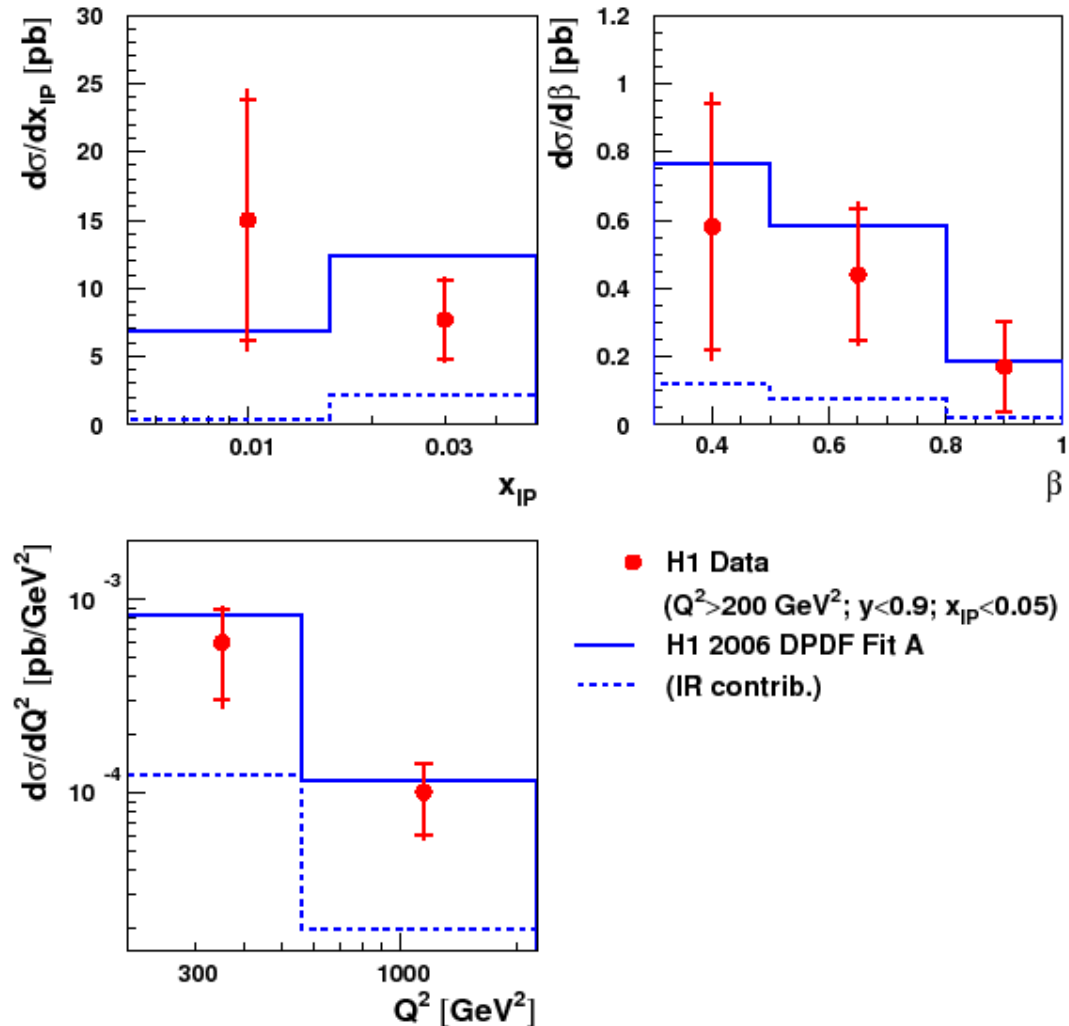
# Diffractive Charged Current



- Sensitive to flavour decomposition of singlet (which is completely unconstrained by NC data)

- Good agreement with H1 2006 DPDF fit (which assumes

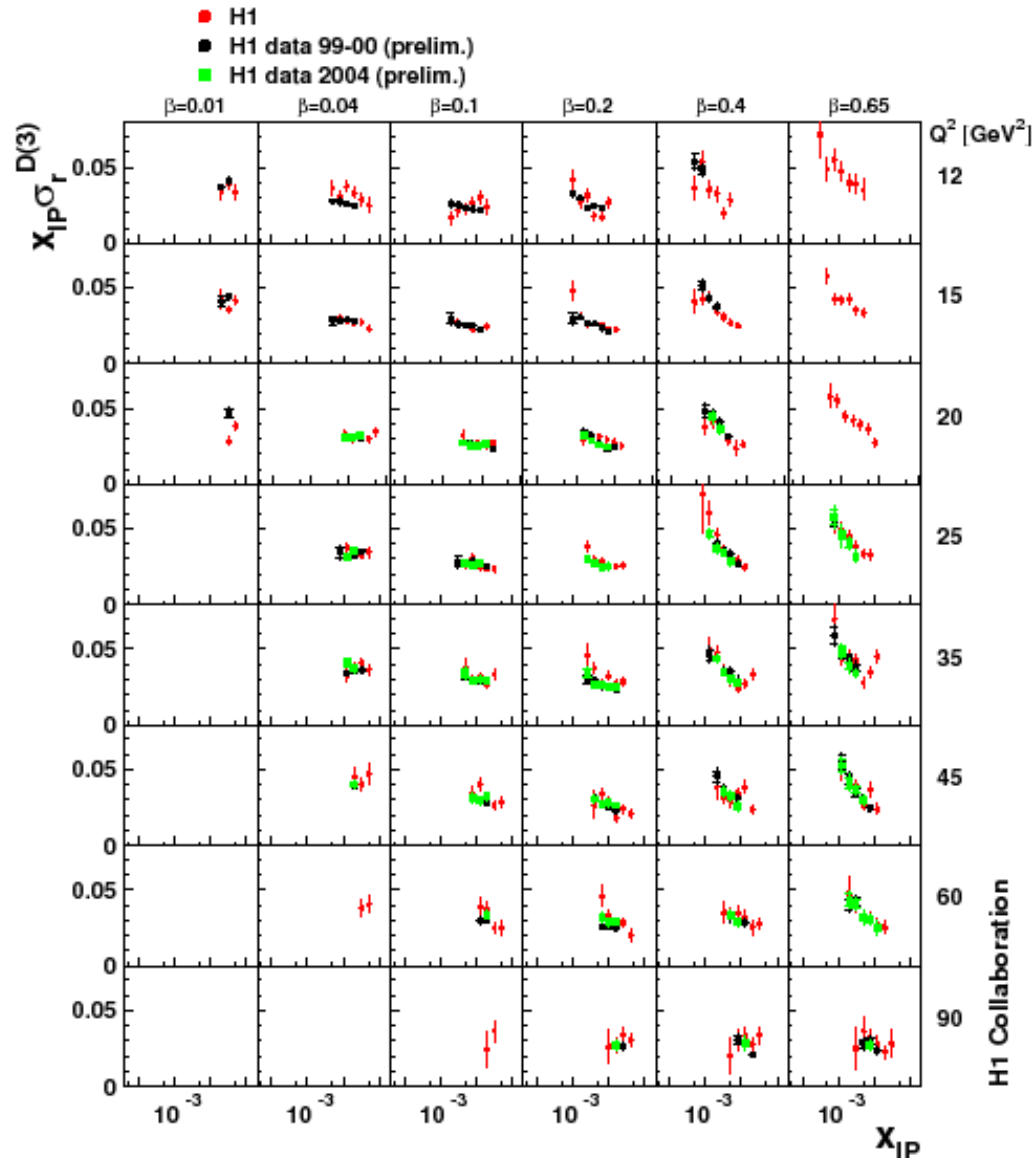
$u = d = s = \bar{u} = \bar{d} = \bar{s}$   
though statistics very limited so far



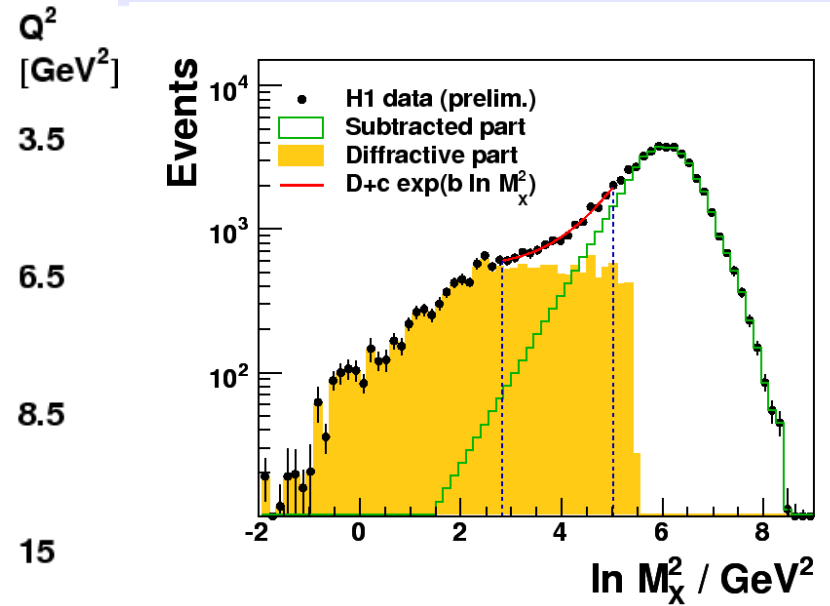
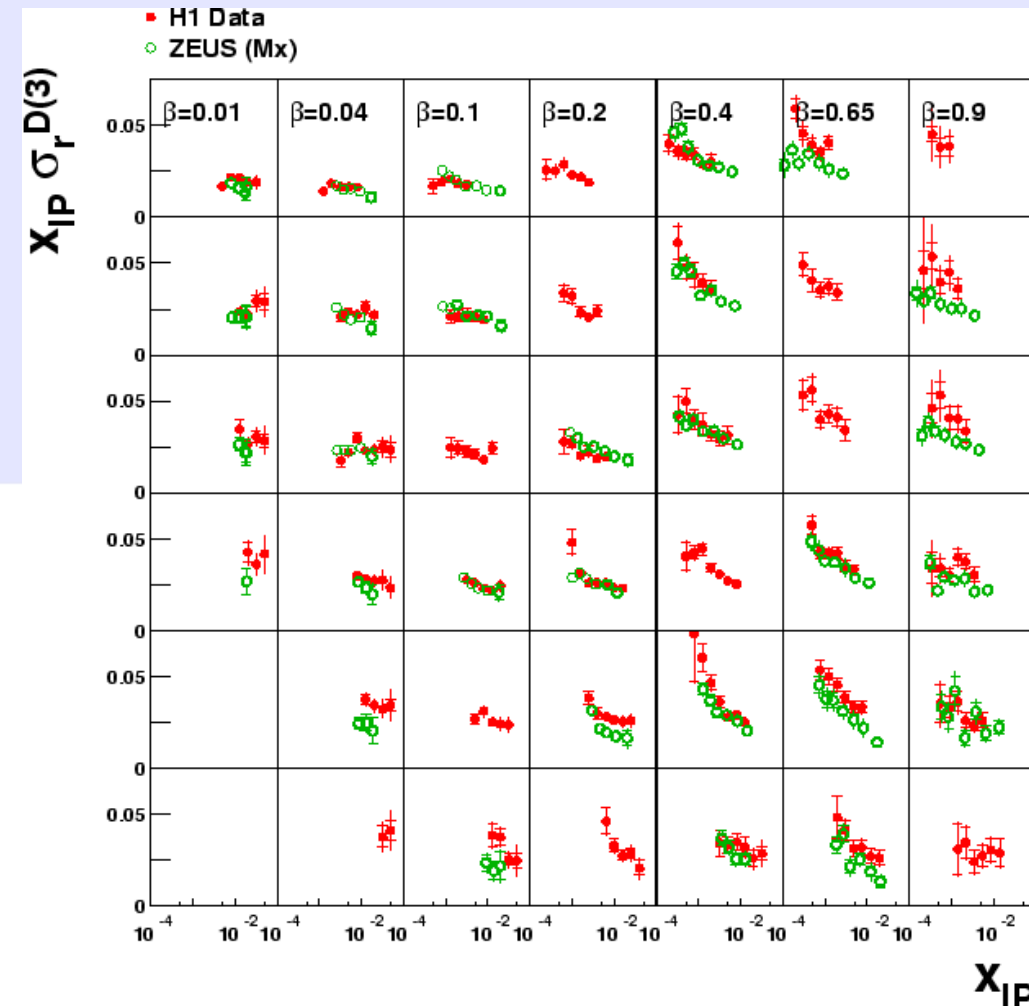


# New Data using Rapidity Gap Method

- **Published data**
- Prel. 99-00 data,  $34 \text{ pb}^{-1}$   
 $10 < Q^2 < 105 \text{ GeV}^2$
- Prel. 2004 data,  $34 \text{ pb}^{-1}$   
 $17.5 < Q^2 < 105 \text{ GeV}^2$
- Large increase in statistics  
at medium  $Q^2$
- Consistent with published  
data



# H1 LRG and ZEUS M<sub>x</sub>



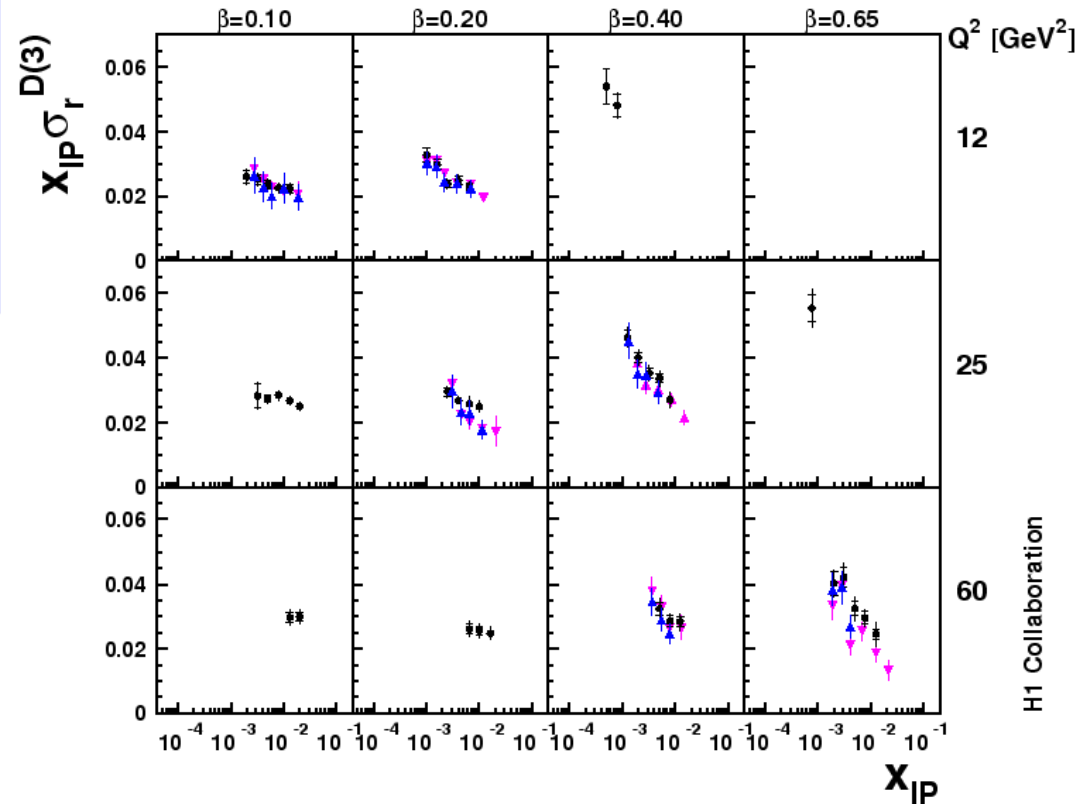
The  $M_X$  method does not agree with the other two experimental methods

- Long-standing discrepancy between  $M_X$  and both LRG and Leading Proton methods
- Differences apparent at the cross-section level (see also comparisons for Hera-LHC workshop by Newman and Schilling)

# Comparisons of H1 LRG, H1 $M_X$ and ZEUS

## $M_X$

- H1 etamax 99-00 (prelim.)
- ▲ H1 Mx 99-00 (prelim.)
- ▼ ZEUS Mx



- H1  $M_X$  measurement limited in acceptance to low  $M_X$  (no forward plug calorimeter)

- In this region of small subtraction the two experimental methods agree rather well

Suggests the difference comes from making the subtraction

# Summary

- H1 diffractive measurements using FPS and LRG methods published
  - [hep-ex/0606003](#) and [hep-ex/0606004](#) (both accepted by EPJC)
  - Data from two methods agree in detail! Also agreement with ZEUS-LPS
- Proton vertex factorization holds:  $M_y$ ,  $t$  and  $x_{IP}$  dependences factorise from  $\beta$  and  $Q^2$
- DPDFs from NLO QCD fits to  $\beta$   $Q^2$  dependences (**H1 2006 DPDF Fits A+B**)
  - Quark singlet very well constrained ( $\sim 5\%$ )
  - Gluon constrained to  $\sim 15\%$ , but poorly known at high  $z$  (see talk by M. Mozer)
- Ratio of diffractive/inclusive DIS measured
  - $\sim$ flat with  $Q^2$  (fixed  $x$ ,  $x_{IP}$ ), also with  $x$  (fixed  $Q^2$ ,  $M_x$ )
- Diffractive Charged Currents predicted by fit to NC data, though statistics are low
- New preliminary H1 data with large improvement in statistics at medium  $Q^2$ 
  - $M_x$  method agrees with other experimental techniques when subtraction is small

BACK-UP SLIDES FOLLOW

