

Physics with charm quarks at HERA



On behalf of the ZEUS and H1
Collaborations

By John Loizides

University College London

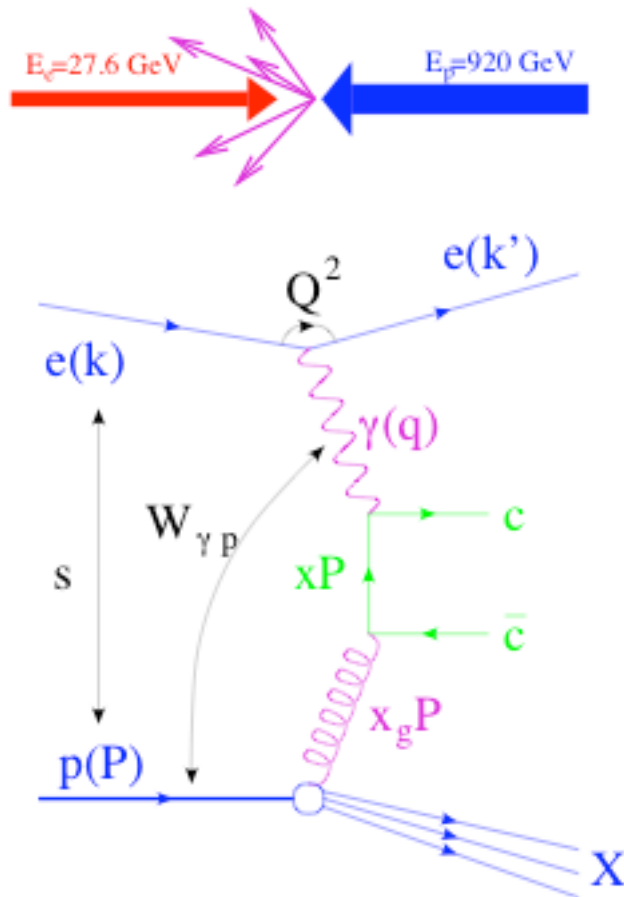
RINGBERG WORKSHOP: New
Trends in HERA Physics

October 2005

Outline of charm at HERA

- HERA and its charm
- Perturbative QCD calculations.
- D^* cross sections
- D^* and Jet production.
- Charm fragmentation aspects.
- F_2^{cc} .

HERA's charm production



Boson Gluon fusion

Charm directly sensitive to the proton gluon density.

Study of charm over huge kinematical ranges: $1.5 < p_T^c < 30 \text{ GeV}$, $0 < Q^2 < 1000 \text{ GeV}^2$.

Photoproduction: $Q^2 \leq 1 \text{ GeV}^2$

DIS: $Q^2 > 1 \text{ GeV}^2$

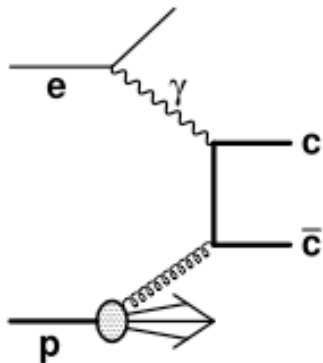
HERA's charm production

At LO Boson Gluon Fusion (BGF) dominates $\rightarrow \gamma g \rightarrow c\bar{c}$

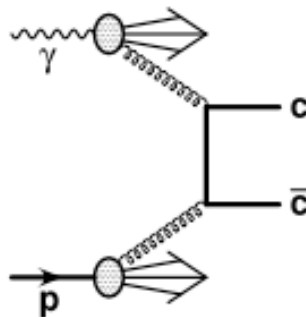
Direct and Resolved contributions

$$\sigma = \text{proton PDF} \otimes \sigma_{\gamma g \rightarrow QQ} \otimes \text{photon PDF} \otimes \text{fragmentation function}$$

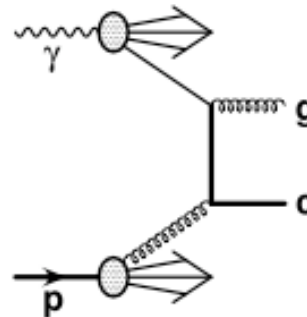
direct photon



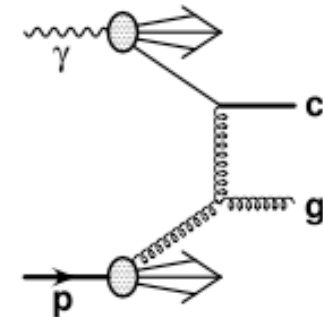
resolved photon



resolved photon
charm excitation



resolved photon
charm excitation



Charm pQCD calculations

pQCD calculations are performed in different ways: Massive (PHP S.Fixione et al) (DIS Harris and Smith), Massless (B. Kniehl et al) and a combined method (M. Cacciari et al).

The “Massive” approach, to fixed order in α_s :

→ $m_Q \neq 0$ and the heavy quarks (c and b) are not parts of the structure functions. Heavy quarks produced dynamically in the hard interaction. → reliable at $p_T \approx m_Q$

DGLAP evolution is used to obtain the quark and gluon densities.

Programs for Photoproduction: FMNR (Frixione et al.) and

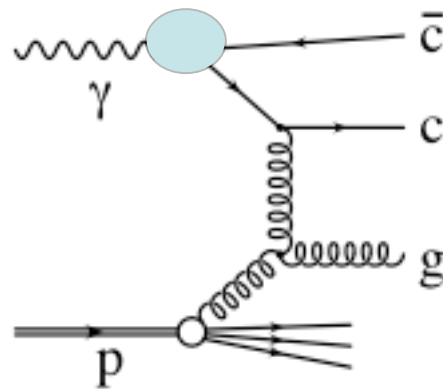
DIS: HVQDIS (Harris+Smith)

Charm pQCD calculations

“Massless” Approach: re-summation of $\alpha_s \ln(p_T^2 / m_c^2)$ at orders in α_s :

→ $M_Q = 0$ → the heavy quarks are an active flavour in the PDF

Heavy quarks can also be produced in flavour excitation



Reliable $p_T \gg m_Q$ (B. Kniehl et al)

Charm Tagging

Charm tagging via D^* meson

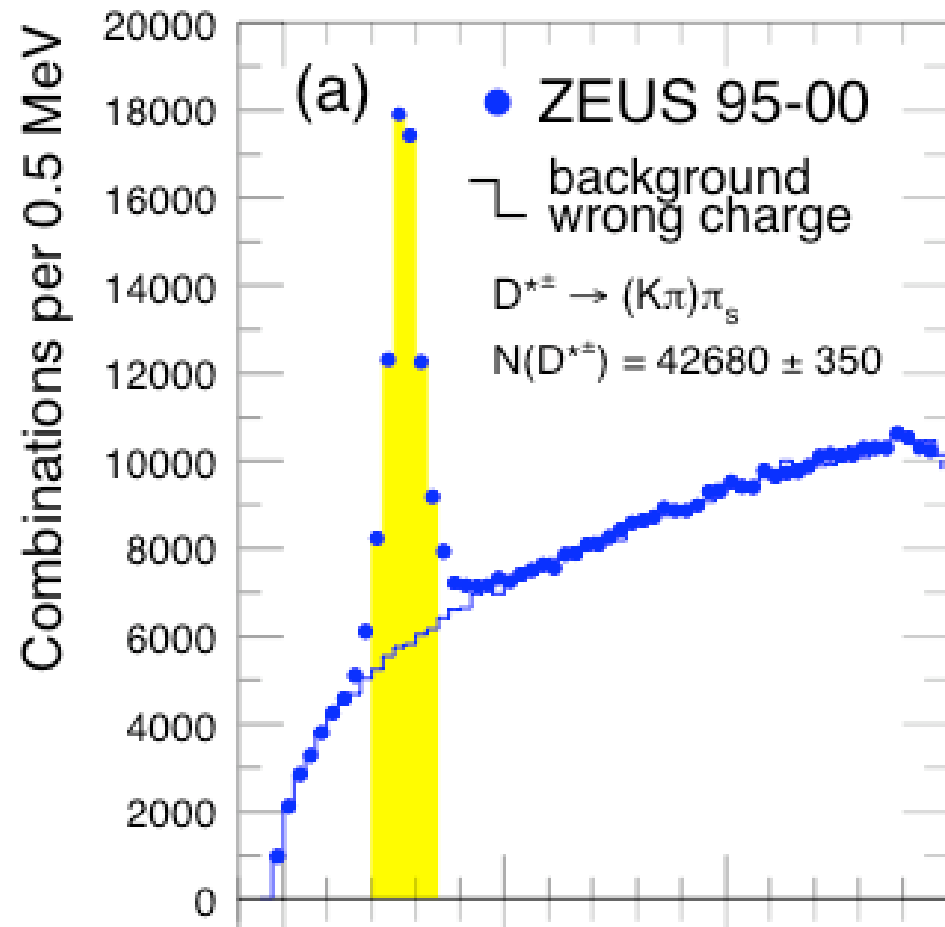
$D^* \rightarrow D^0, \pi$ Where $D^0 \rightarrow K, \pi$

HERA is a charm factory

42680 ± 350 D^* mesons.

H1 & ZEUS for HERA I

$50 < \text{luminosity} < 100 \text{ pb}^{-1}$.



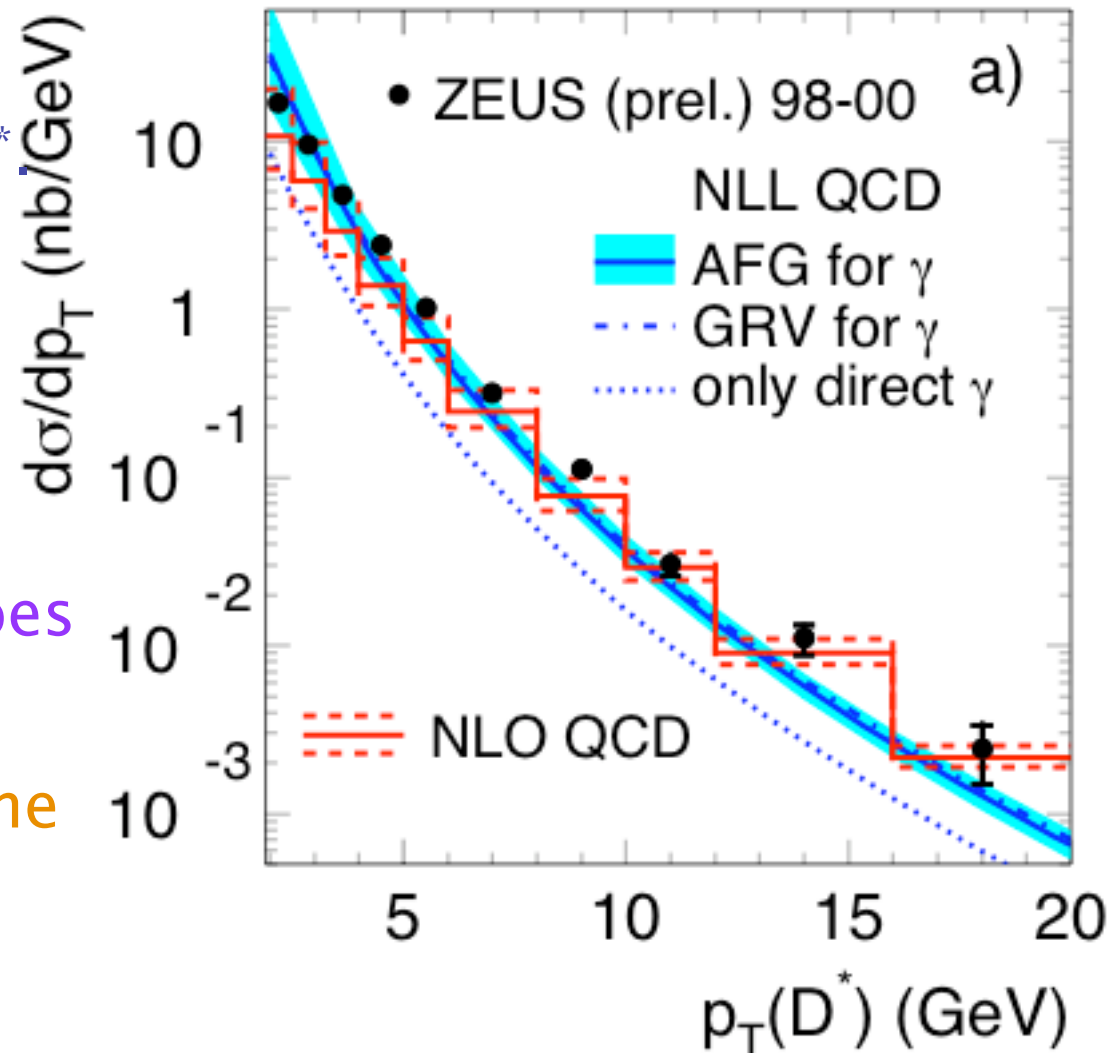
D* Photoproduction inclusive cross sections

Inclusive D* production over a large range of $p_T^{D^*}$

At large $p_T^{D^*}$ massive calculation does better than massless.

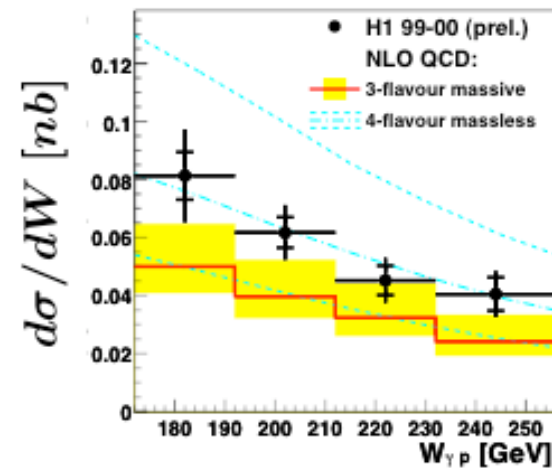
At lower values of $p_T^{D^*}$ massless calculation does better than massive.

Expect scenario to be the other way round.

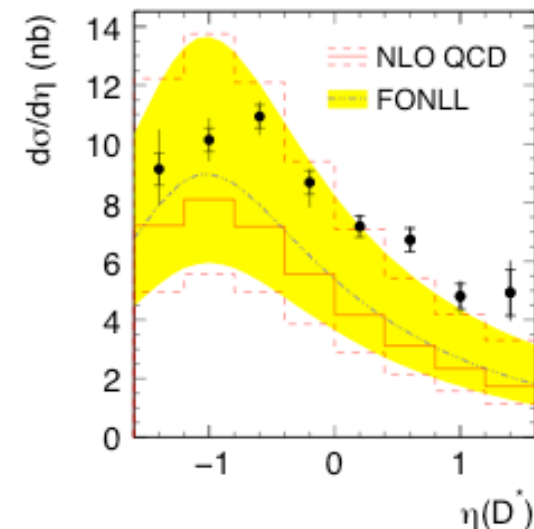


D* Photoproduction inclusive cross sections

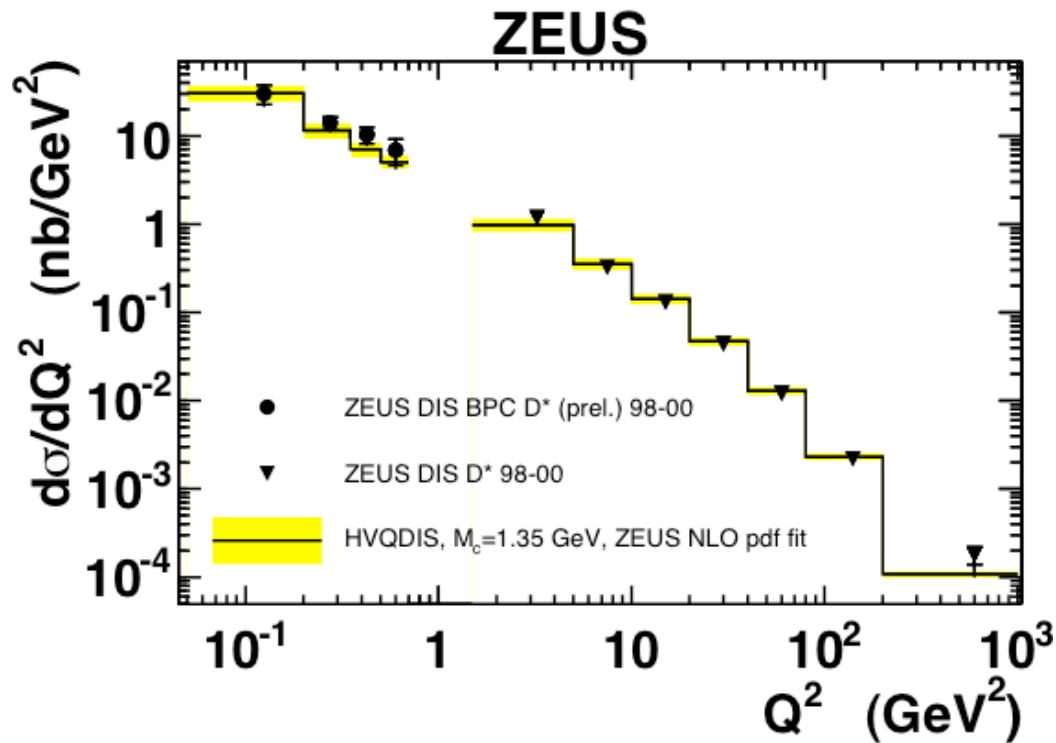
- D* selection in photoproduction
- NLO “massive” and “massless” predictions are compared to the data.
- $d\sigma / dW$ is described well, but the shape of $d\sigma / d\eta(D^*)$ is not well described in shape.
- Theoretical uncertainties from charm mass and renormalisation scale are large!
- Precise data \rightarrow Need for NNLO.



ZEUS



Charm over all Q^2



Comparison of low Q^2 data, using the beam pipe calorimeter (BPC) to tag the scattered electron.

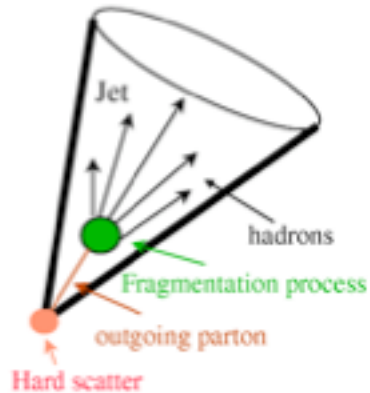
NLO charm production tested across the transition region from DIS to Photoproduction.

Low Q^2 is much smaller than charm mass.

High Q^2 is much larger than charm mass

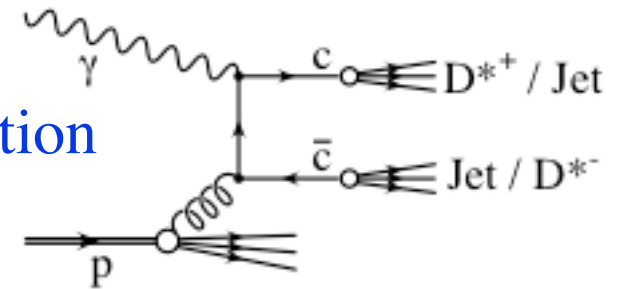
Good agreement with massive theory.

Charm Jet Production



- D^* production and Jet production

- Tag second hard parton by a using a Jet (k_T Algorithm definition)

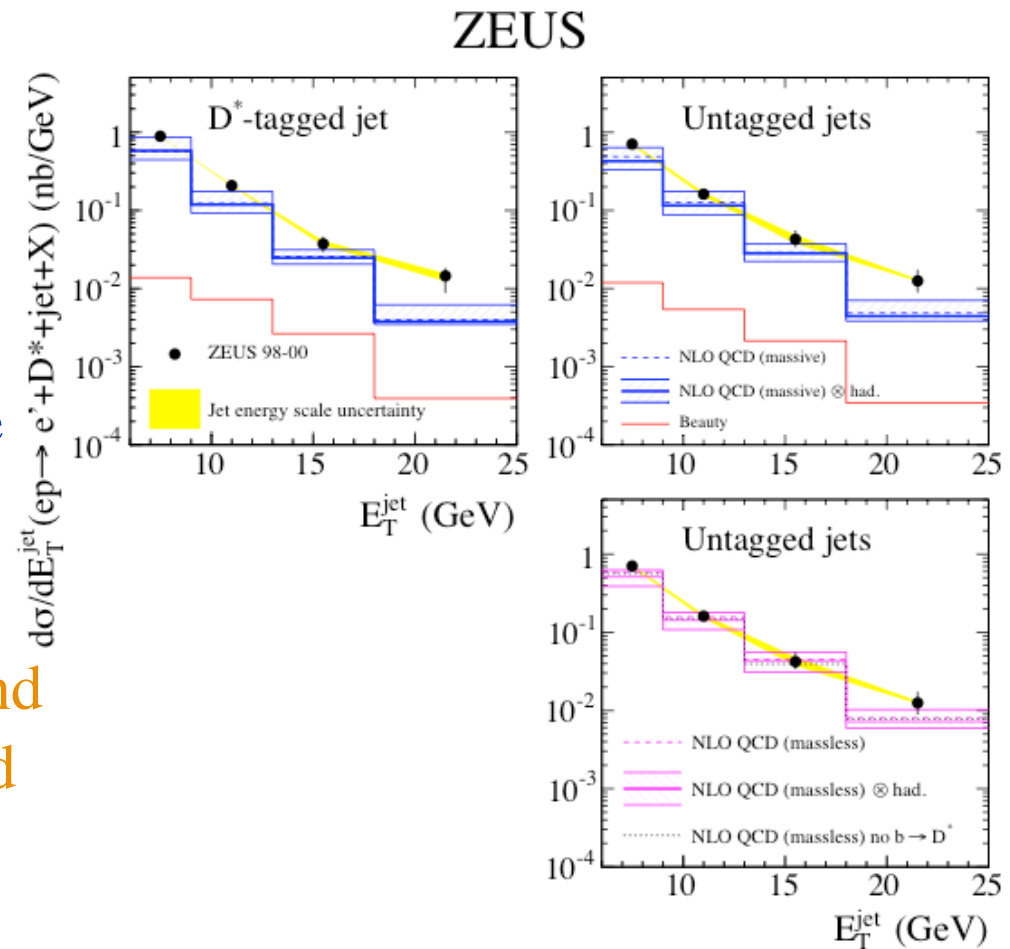


- Jet and D^* correlations can be studied when the D^* is NOT associated to with a Jet \rightarrow angular correlations arising from higher orders.

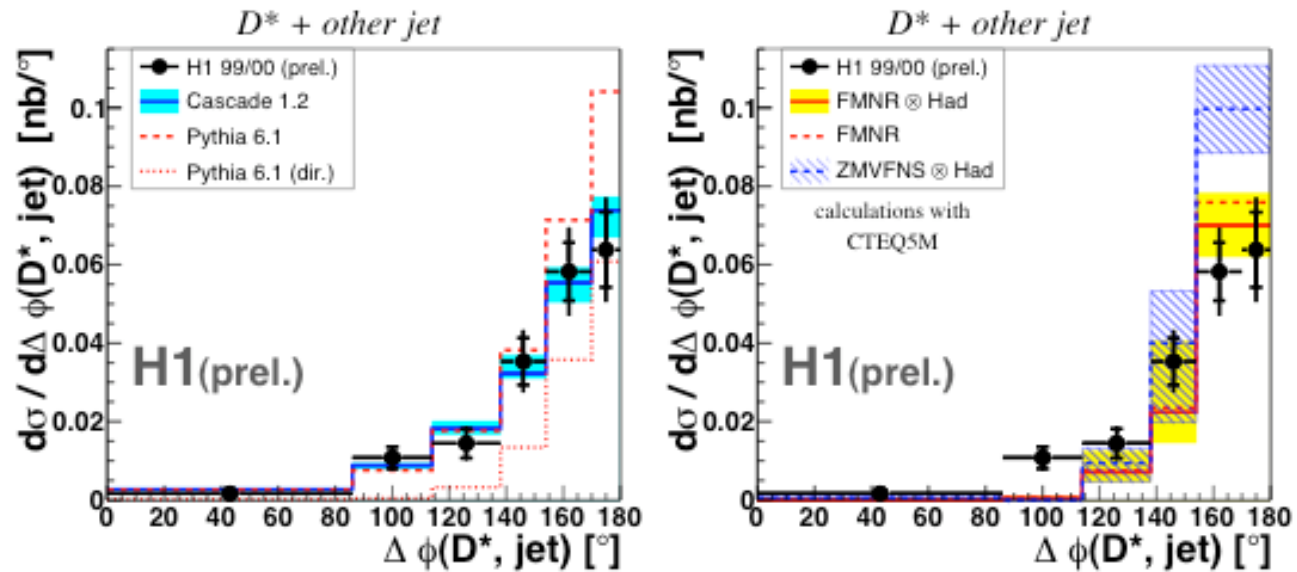
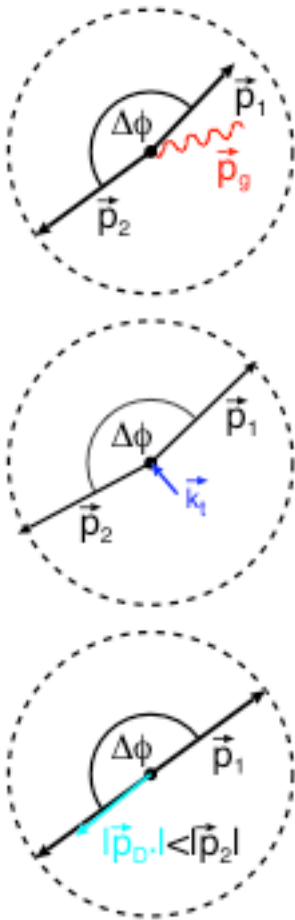
- Jet E_T provides an extra hard scale: test QCD!

Charm Jet Production

- D^* photoproduction and Jet selection.
- “massive” and “massless” pQCD predictions give reasonable descriptions of the data.
- Data lie on upper bound of NLO \rightarrow lower charm mass and renormalisation scale changed simultaneously.
- \rightarrow Large theoretical uncertainties.



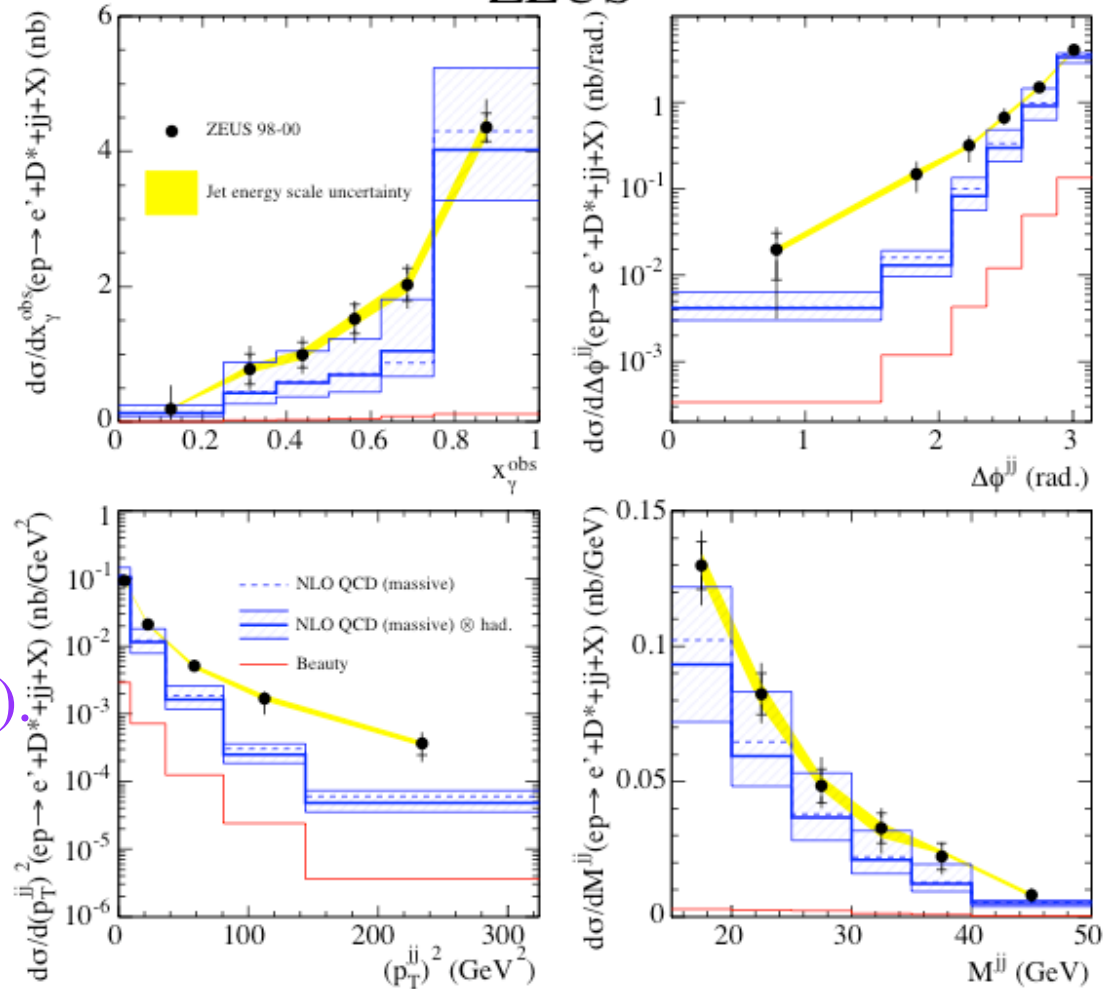
Charm Jet Production



- $D^* + \text{Jet}$ selection in photoproduction. Different Kinematic region from ZEUS, lower E_T^{Jet} .
- Comparison to pQCD and LO+PS models. → CASCADE and PYTHIA describe data. pQCD does not.
- Only one parton radiation from NLO not sufficient to describe the data.

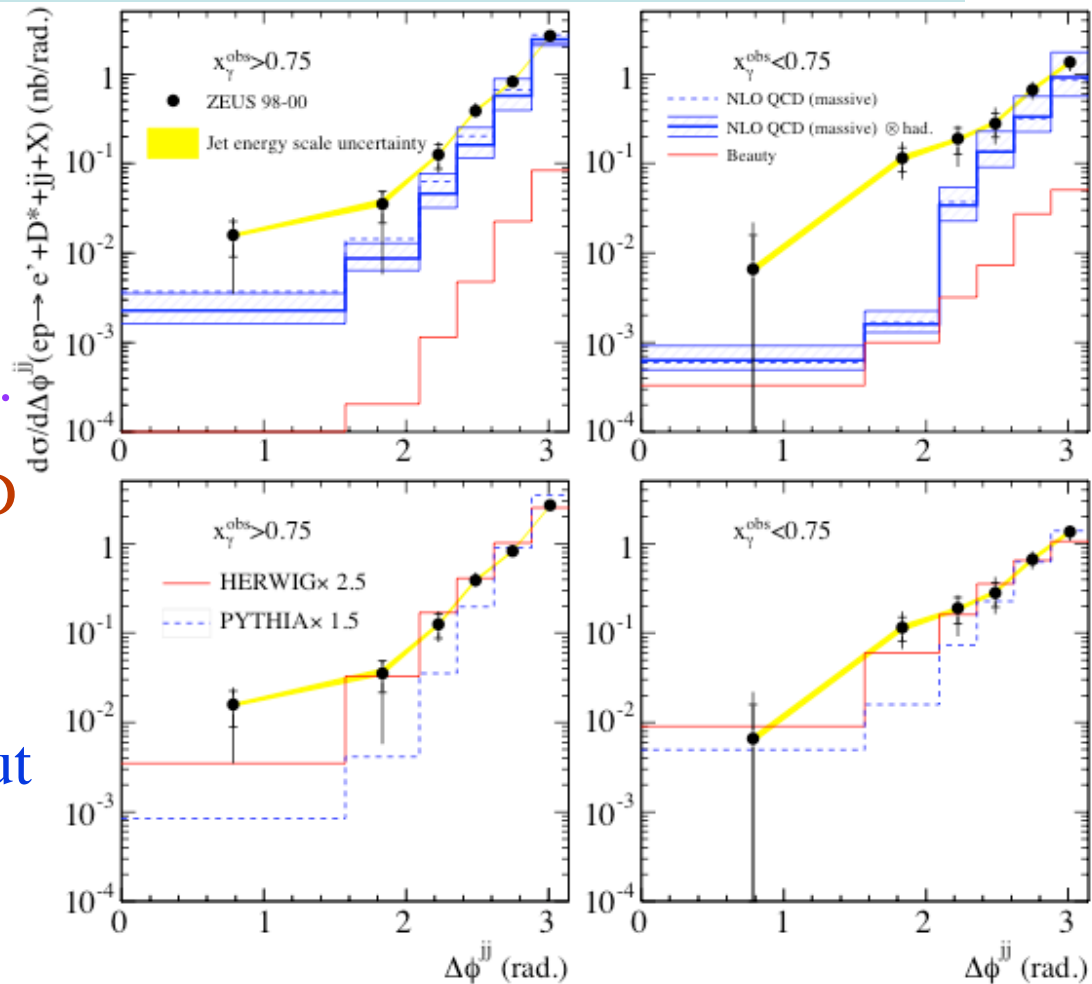
Charm Dijet Production

- D^* Dijet photoproduction.
 - M^{JJ} and x_γ^{obs} well described.
 - Angular correlations $(p_T^{JJ})^2$ and $\Delta\phi^{JJ}$ are not described.
 - Split sample: direct-enriched ($x_\gamma^{obs} > 0.75$), resolved-enriched ($x_\gamma^{obs} < 0.75$).
- Does one sample contribute more than other to the discrepancy?



Charm Dijet Production

- D^* Dijet photoproduction.
- Split sample
direct-enriched ($x_\gamma^{\text{obs}} > 0.75$)
resolved-enriched ($x_\gamma^{\text{obs}} < 0.75$).
- Discrepancies between pQCD
and resolved-enriched
($x_\gamma^{\text{obs}} < 0.75$).
- LO+PS can describe shape but
not normalisation.
- \rightarrow need for higher order
calculations e.g. NLO +PS



Charm Fragmentation

- What is the proper parameterisation for the fractional transfer of c-quark energy/momentum to a given D-meson (z)? Fragmentation function, $f(z)$.

Find a jet containing a D^* and relate the D^* energy to the energy of the jet:

ZEUS:

$$Q^2 < 1\text{GeV}^2, P_T^{D^*} > 2\text{GeV}, E_T^{\text{Jet}} > 9\text{GeV}$$

$$z = (E + P_{\parallel})^{D^*} / (E + P_{\parallel})^{\text{Jet}} \equiv (E + P_{\parallel})^{D^*} / 2 E^{\text{jet}}$$

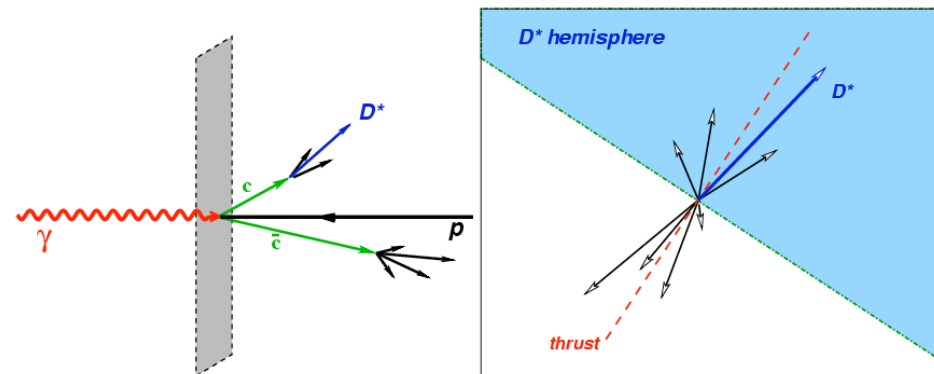
H1 jet method:

$$Q^2 > 2\text{GeV}^2, P_T^{D^*} > 1.5\text{GeV}, E_T^{\text{Jet}} > 3\text{GeV}$$

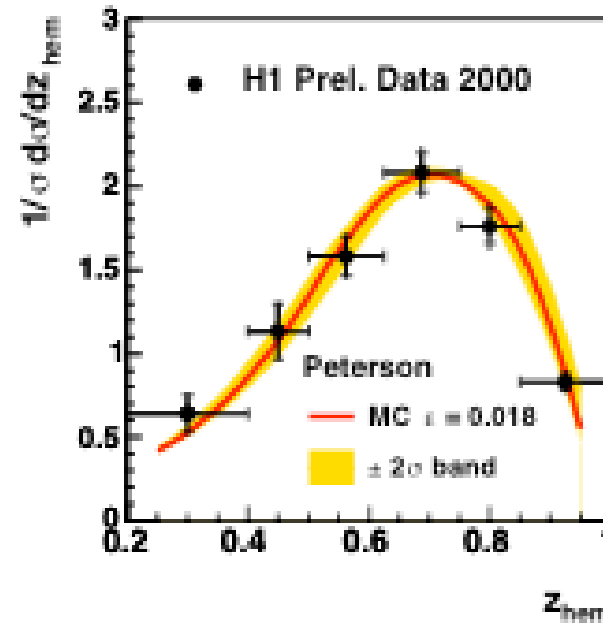
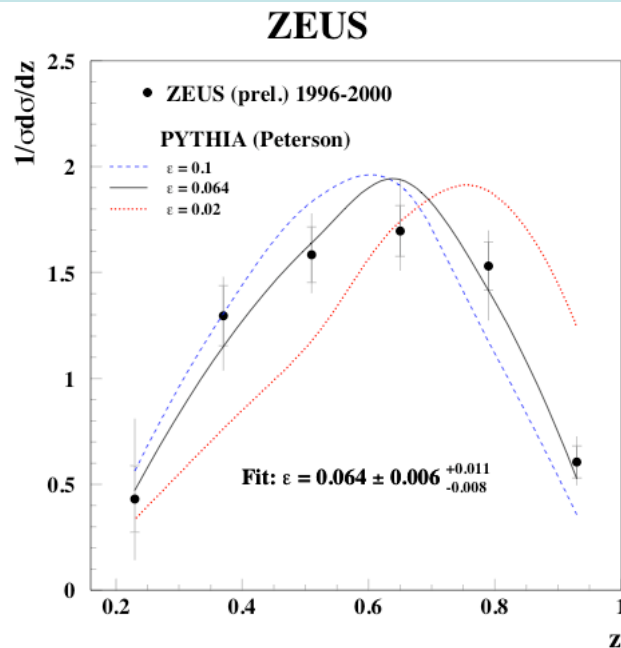
$$z_{\text{jet}} = (E + P_{\parallel})^{D^*} / (E + P)^{\text{Jet}} \text{ in } \gamma^*p$$

H1 hemisphere method:

$$Z_{\text{hem}} = (E + P_{\parallel})^{D^*} / \sum_{\text{hem}} (E + P) \text{ in } \gamma^*p$$



Charm Fragmentation



Peterson parameterisation →

$$f(z) \propto \frac{1}{z(1-1/z-\epsilon/(1-z))^2}$$

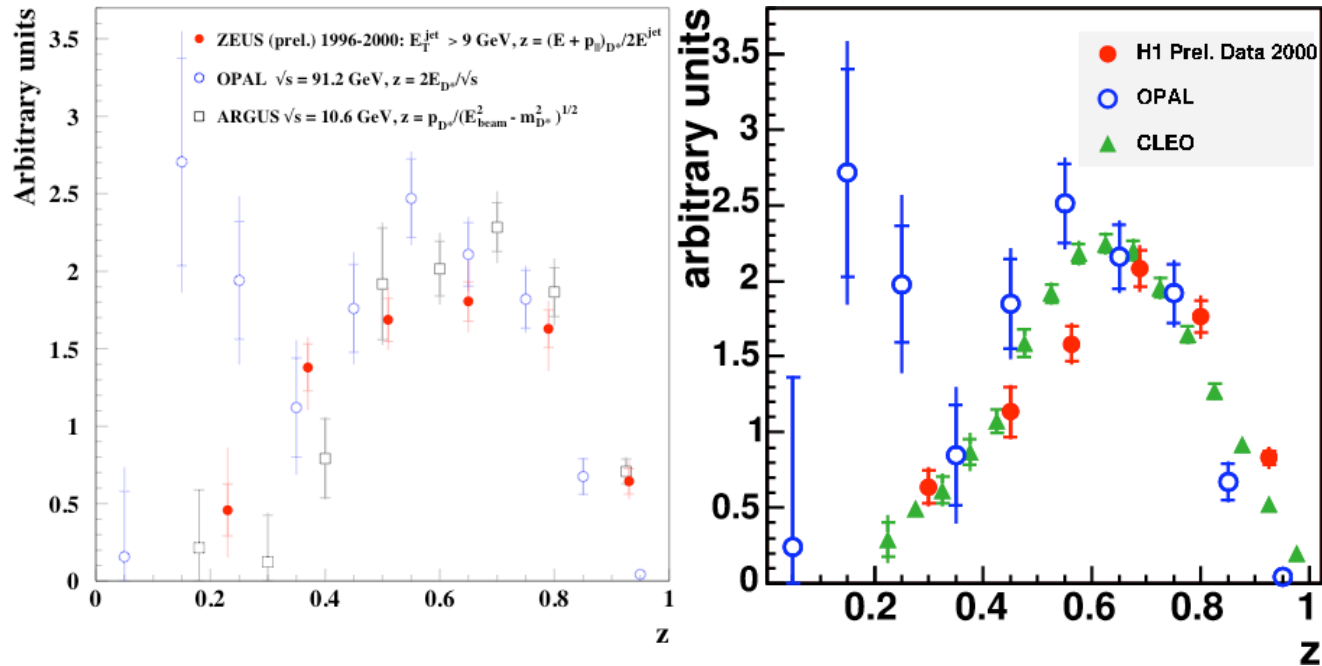
ZEUS: $\epsilon = 0.064 \pm 0.006$, H1(HEM): $\epsilon = 0.018 \pm 0.004$

H1(Jet) : $\epsilon = 0.030 (+0.006 - 0.005)$

Differences in kinematical region selected as well as different parameters tuned from H1 to ZEUS in the Monte Carlos.

Charm Fragmentation

ZEUS



H1 hemisphere method

$$\langle \sqrt{s} \rangle \approx 10 \text{ GeV},$$

$$z = \frac{(E+PL)_{D^*}}{\sum_{hem}(E+p)}$$

OPAL $\sqrt{s} = 91.2$ GeV,

$$z = 2E_{D^*} / \sqrt{s}$$

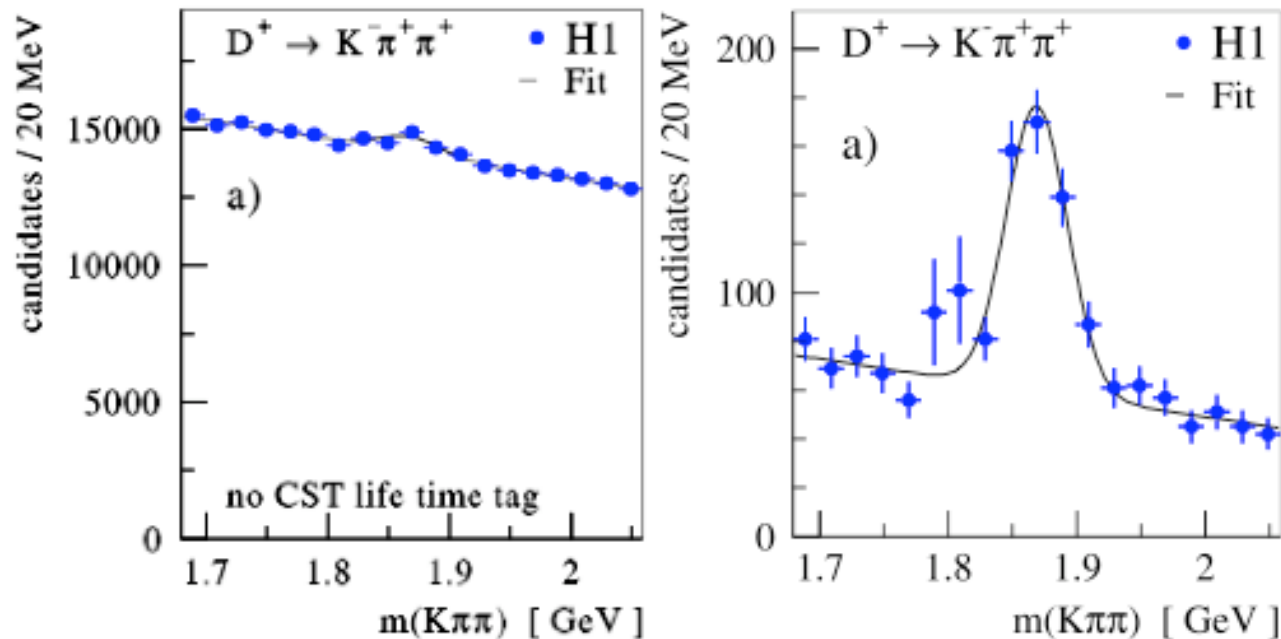
CLEO $\sqrt{s} \approx 10$ GeV,

$$z = p_{D^*} / p_{max}$$

No gluon splitting in low-energy data, seen at low z in e^+e^- .

A global fit of the z values would result in a more rigorous test of the compatibility of these results.

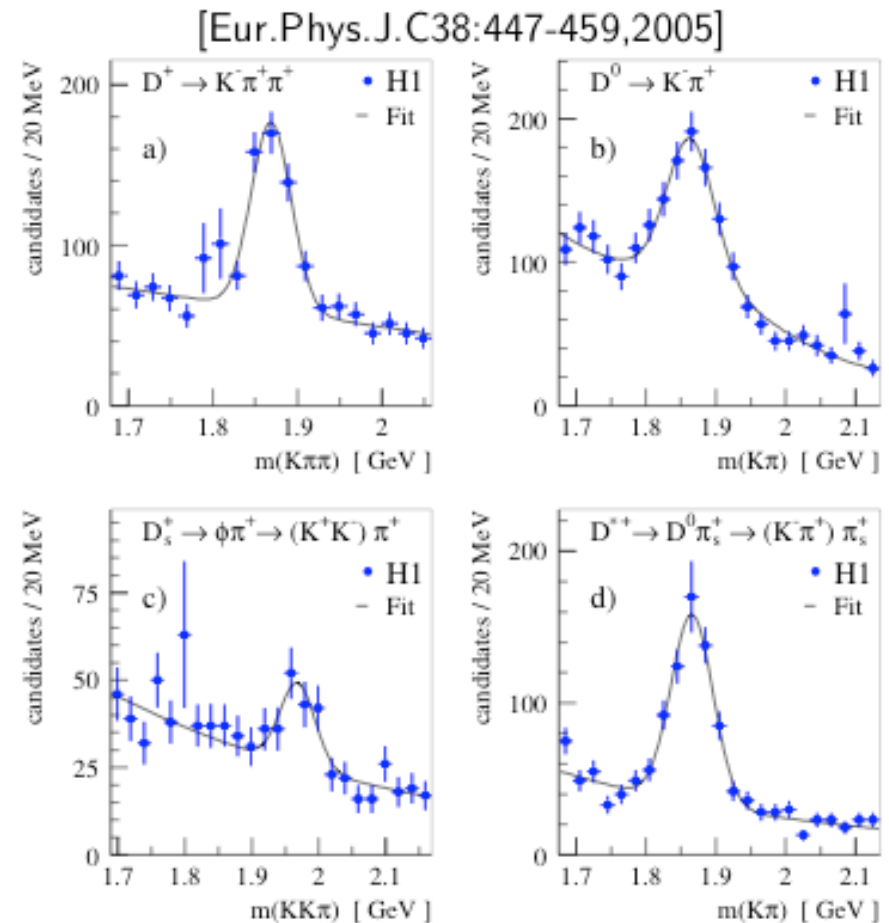
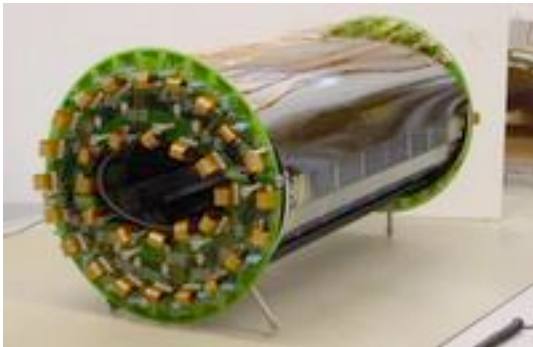
Charm fragmentation fractions



- Secondary vertex tagging, $D^+ \rightarrow K^- \pi^+ \pi^+$. Use of silicon tracker.
- Significance ($S_L = L / \sigma_L$) provided better signal to background ratio for many of the D mesons.

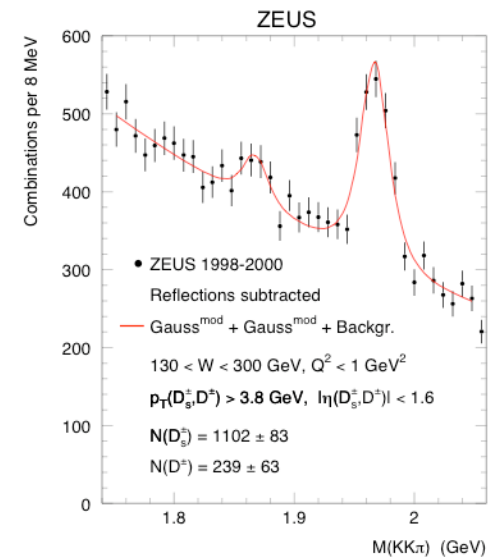
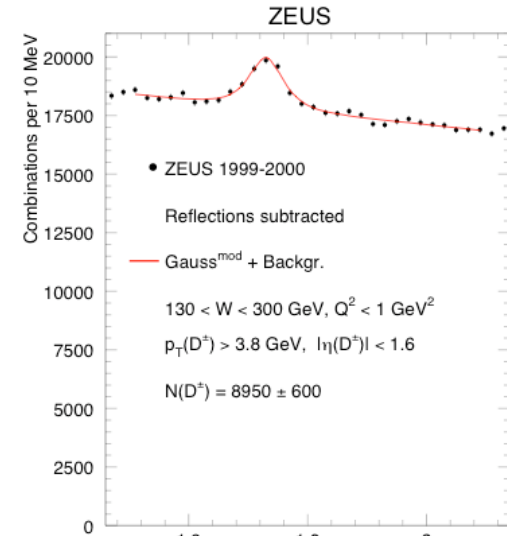
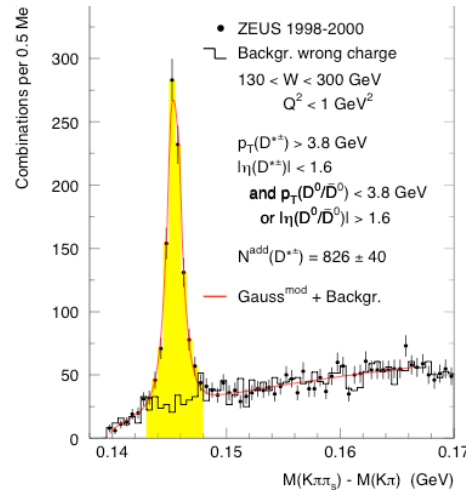
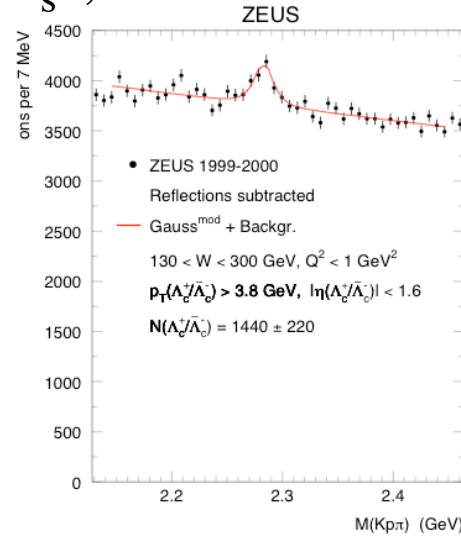
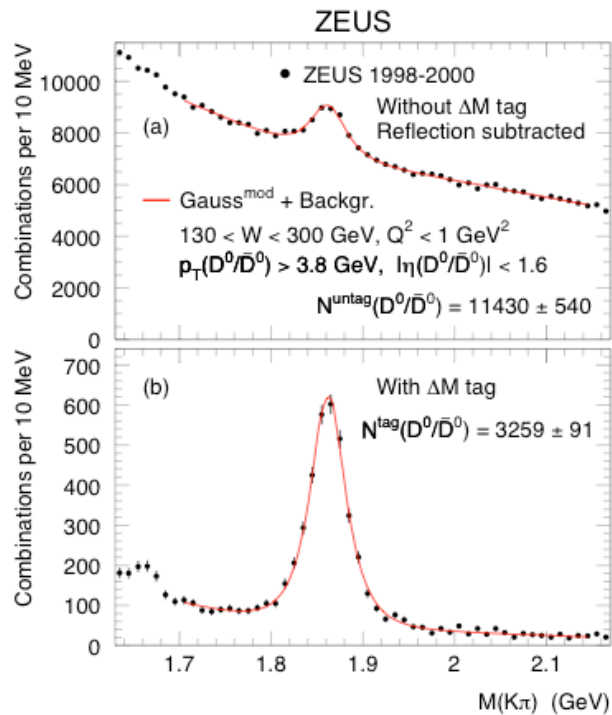
Charm fragmentation fractions

- D meson signals for D^+ , D^0 , D_s^+ and D^{*+} in DIS using secondary vertex tagging.
- Clean signals to study fragmentation processes.



Charm fragmentation fractions

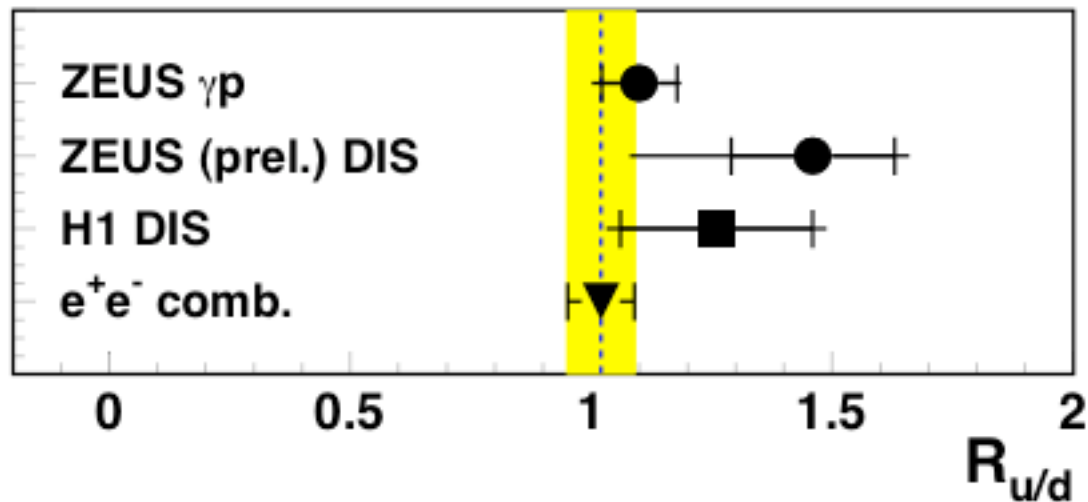
- Photoproduction of D^{*+} and D meson ground states D^0 , D_s^+ , D^+ and Λ_c .



Charm fragmentation fractions

$R_{u/d}$ measurement

- Are u and d quarks produced equally? $R_{u/d} = cu / cd$.
- N.B// different production mechanism to LEP and at lower momentum.

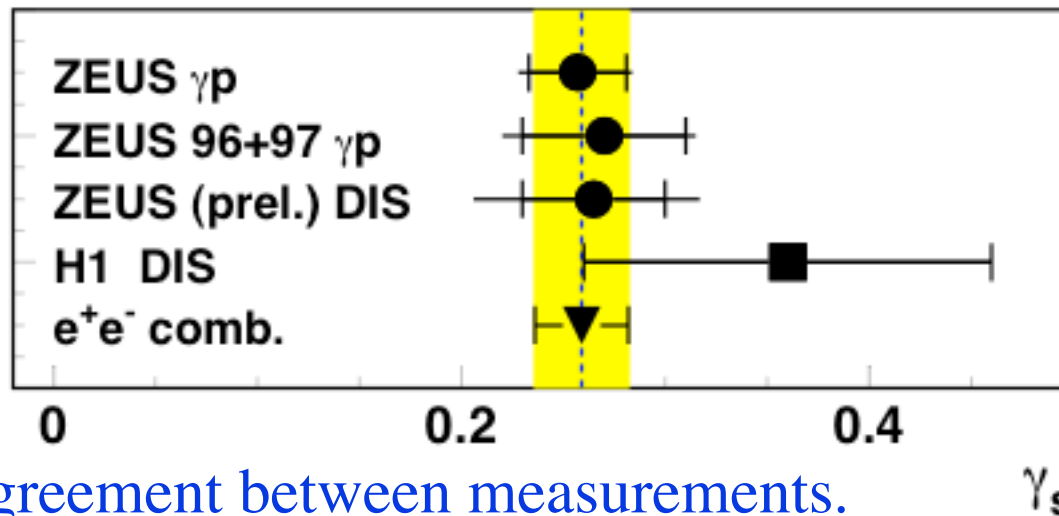


→ u and d quarks are produced equally in charm fragmentation

Charm fragmentation fractions

γ_s measurement

What is the s-quark production suppression? $\gamma_s = 2cs / (cd + cu)$



Very good agreement between measurements.

Charm strange meson production is suppressed by a factor of ≈ 3.9 in charm fragmentation.

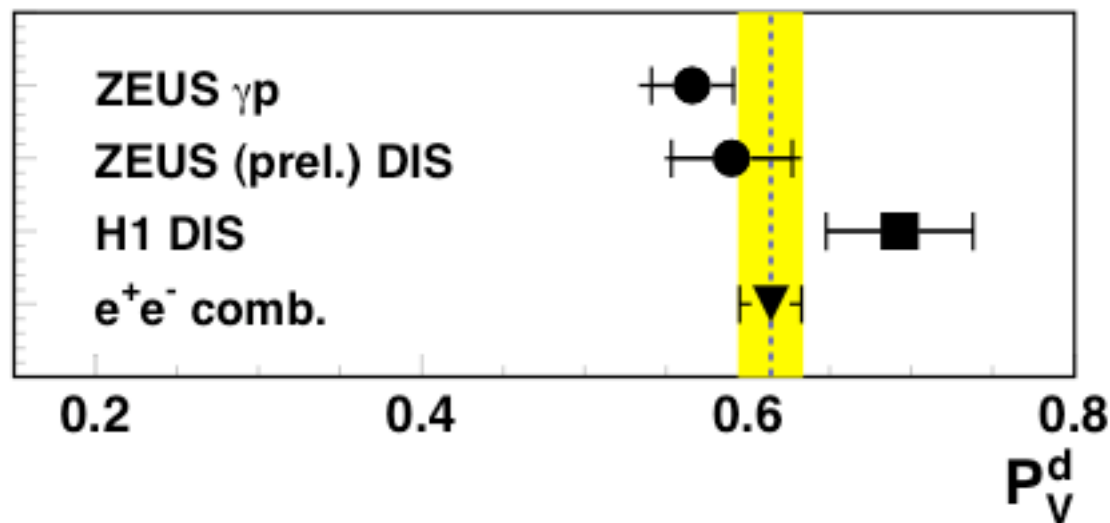
Excited charm-strange mesons like to decay to non-strange D mesons.

Charm fragmentation fractions

P_V^d measurement

Are vector D^* and pseudo scalar (D) mesons produced by spin counting?

$$P_V = V / (V + PS) \quad (= 0.75?)$$



$P_V \neq 0.75 \rightarrow$ naive spin counting does not work for charm.

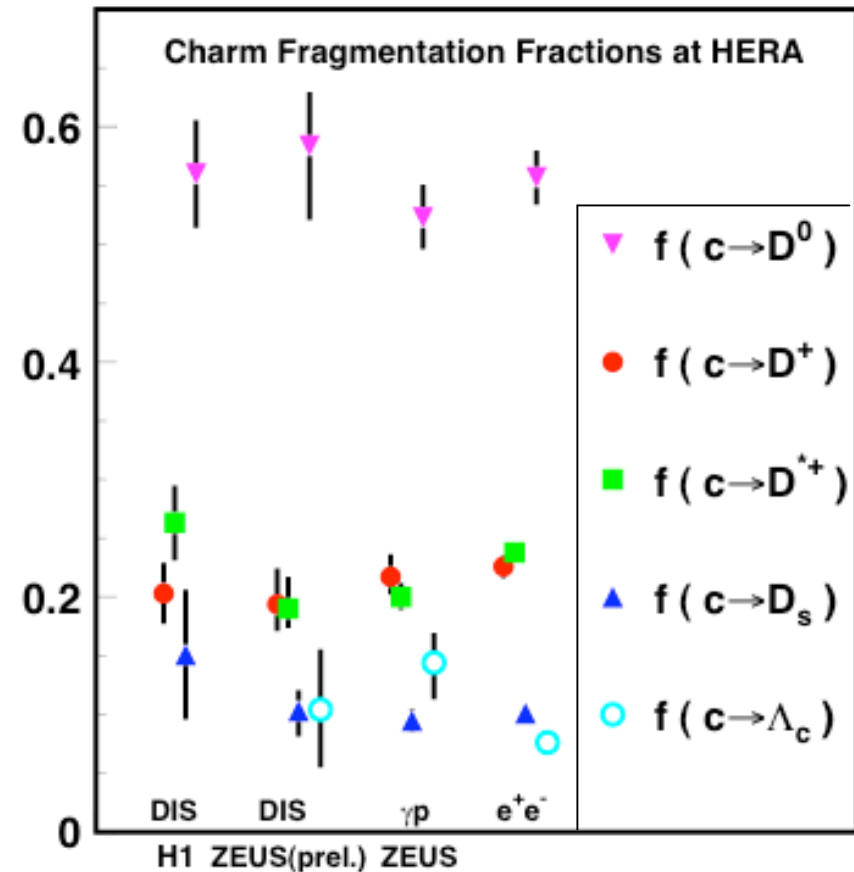
Charm fragmentation fractions

$$F(c \rightarrow D) = N(D) / N(c) = \sigma(D) / \sum_{\text{all}} \sigma(D)$$

Are these functions, ratios and fractions universal? Compare HERA results to those from e^+e^- annihilations.

Consistent with the universality of charm fragmentation fractions.

Half of $f(c \rightarrow D^*)$ is due to different in $f(c \rightarrow \Lambda_c)$, could this be due to a proton in the initial state? \rightarrow More data from HERA II may provide the answer.



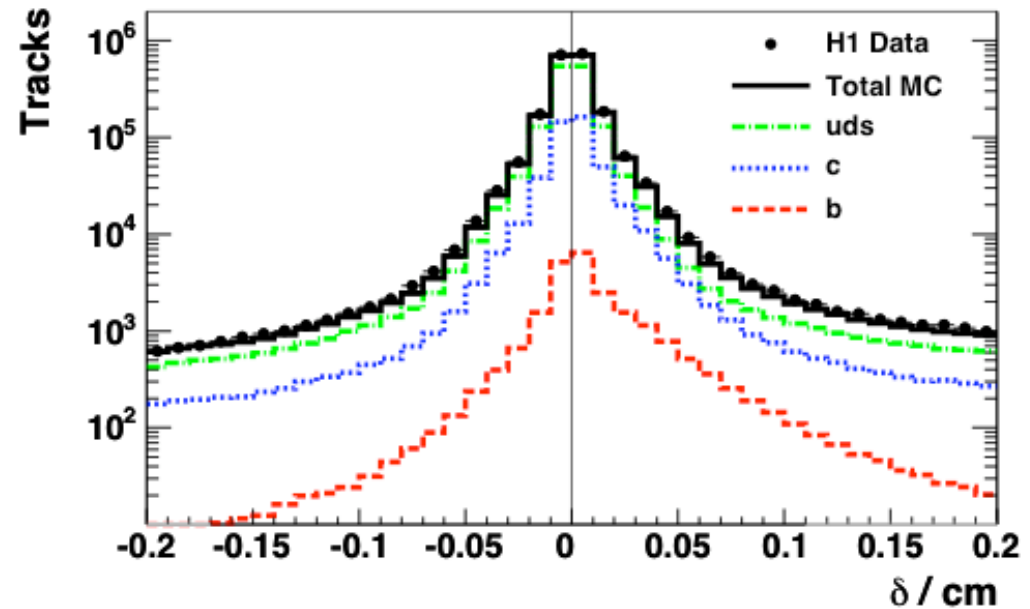
Extraction of F_2^{cc}

$$F_{2 \text{ meas}}^{c\bar{c}}(x, Q^2) = \frac{\sigma_{\text{meas}}(ep \rightarrow D^* X)}{\sigma_{\text{theory}}(ep \rightarrow D^* X)} F_{2 \text{ theory}}^{c\bar{c}}(x, Q^2)$$

- Extraction of F_2^{cc} from measured D^* meson cross sections to full phase space using consistent ‘massive’ NLO QCD scheme (HVQDIS program)
- Extrapolation factors (4.7 - 1.5) in p_T and η decreasing with Q^2 . Sensitivity $p_T(D^*) > 1.5 \text{ GeV}$ and $|\eta(D^*)| < 1.5$.
- Uncertainties in extrapolation due to fragmentation, charm mass, PDF typically around 10% and less than 20%.

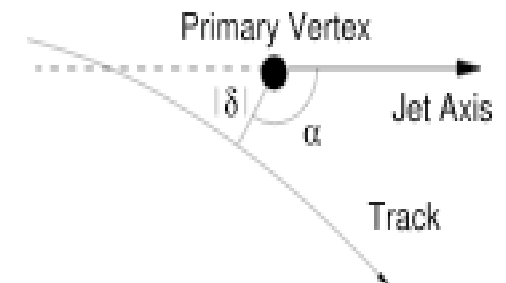
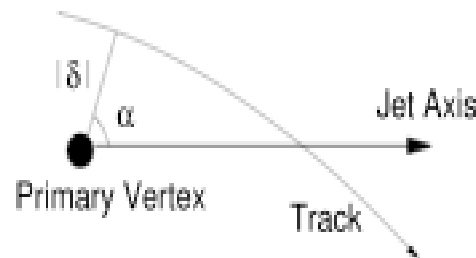
Extraction of F_2^{cc}

- Impact Parameter method. All tracks have $p_T > 0.5$ GeV
 - Much larger acceptance than for D^* mesons.
 - Smaller extrapolation factors.
 - For each track within a jet, plot the distance of closest approach (DCA) to the primary vertex in the r - ϕ plane.
 - Heavy flavours have a large positive impact parameter.
 - Light flavours have a small symmetric negative and positive impact parameter.
- 2 times smaller statistical errors
Compared to D^* measurements.



$$\alpha < 90^\circ \rightarrow \delta = +|\delta|$$

$$\alpha > 90^\circ \rightarrow \delta = -|\delta|$$



Extraction of F_2^{cc}

- Impact Parameter significance $S_i = \delta/\sigma(\delta)$

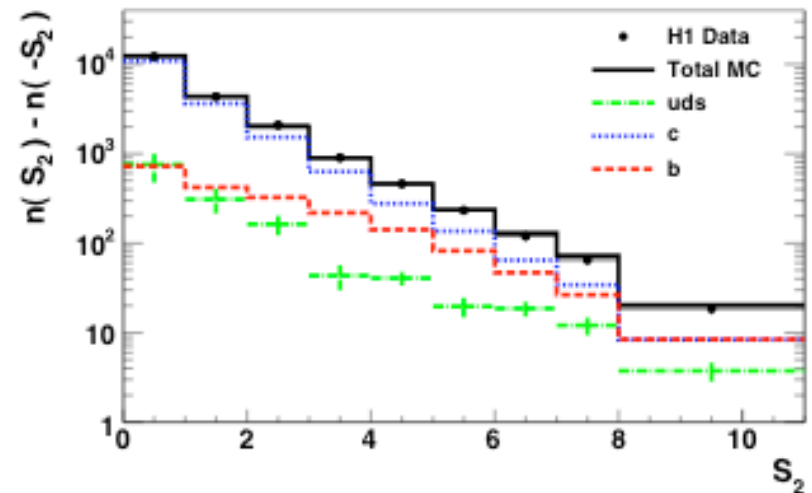
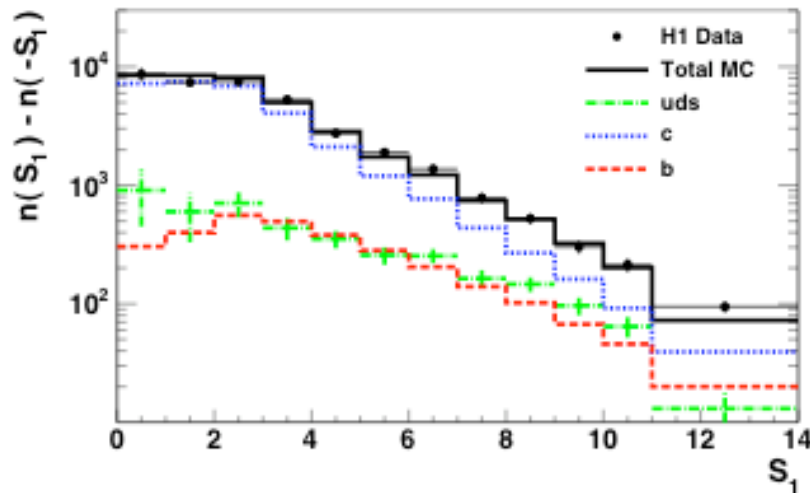
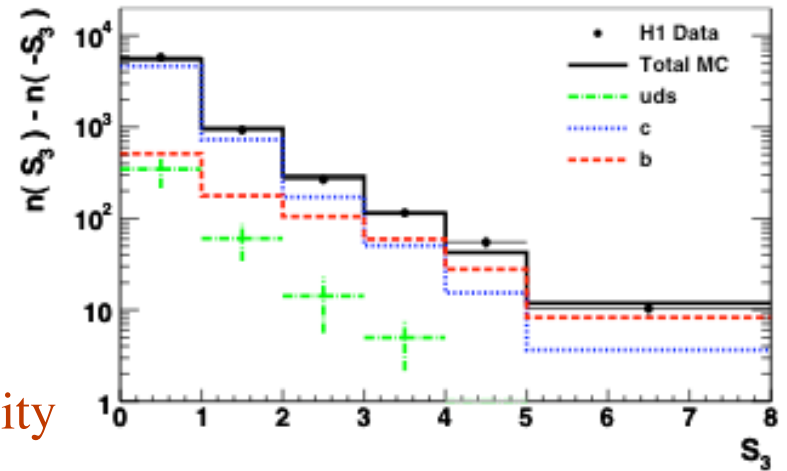
3 significance distributions:

S_1 - highest significance track

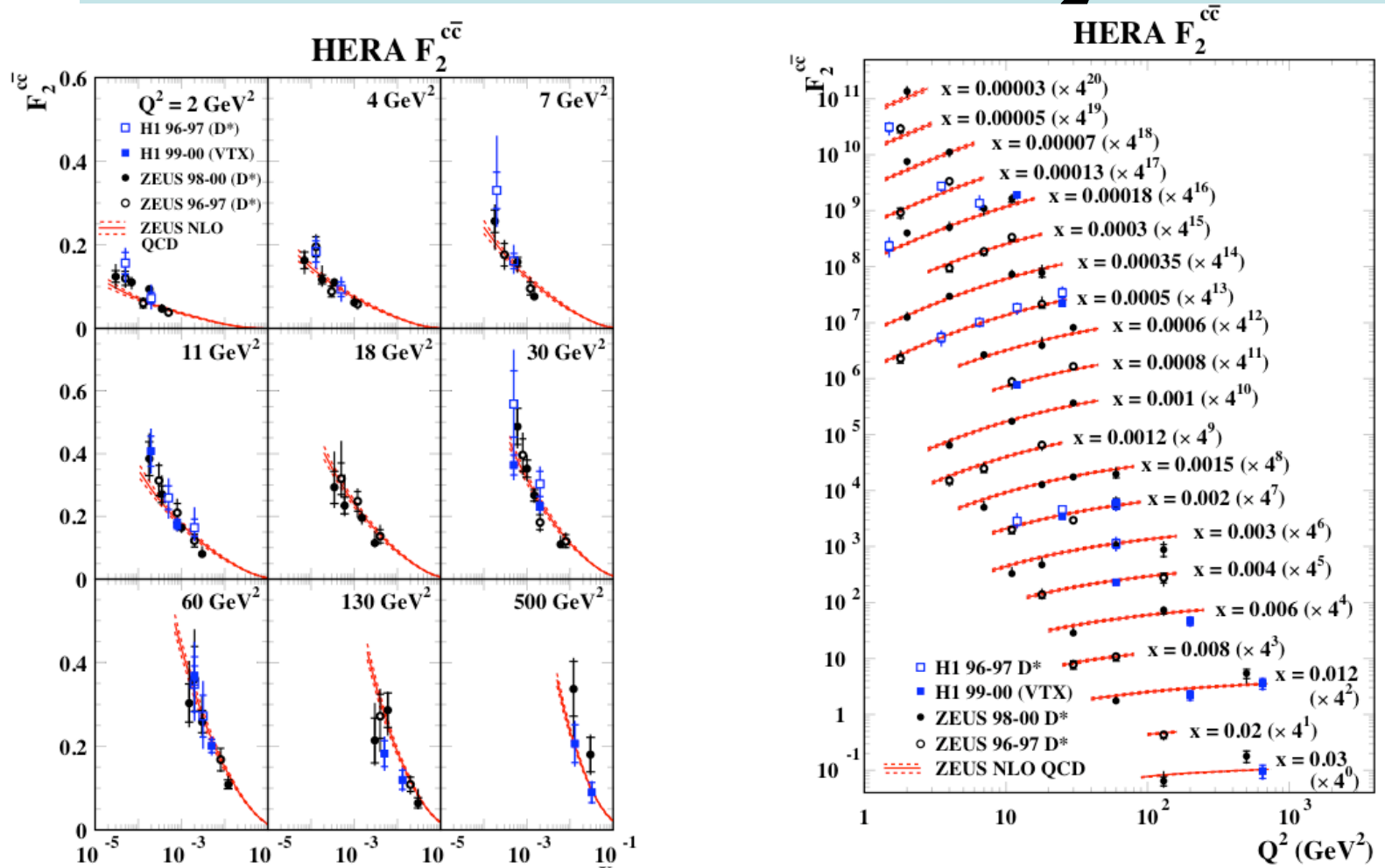
S_2 - 2nd highest significance track

S_3 - 3rd highest significance track

Subtraction from positive side reduces sensitivity to the resolution of light quarks



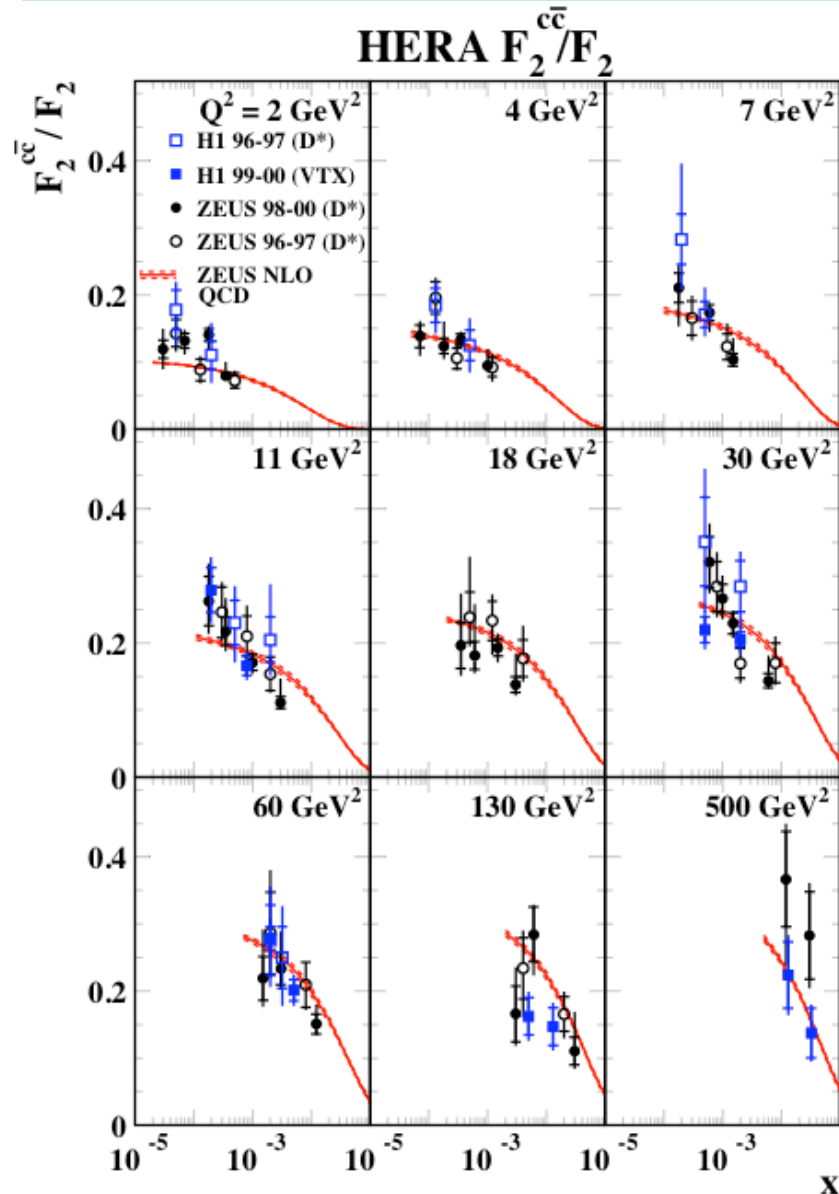
Extraction of F_2^{cc}



Lots of data, comparable methods.

→ Gluon density visible. Good agreement with NLO QCD.

Extraction of $F_2^{c\bar{c}}$



Contribution of $F_2^{c\bar{c}}$ can be as large as 30%.

Different methods of extraction agree.

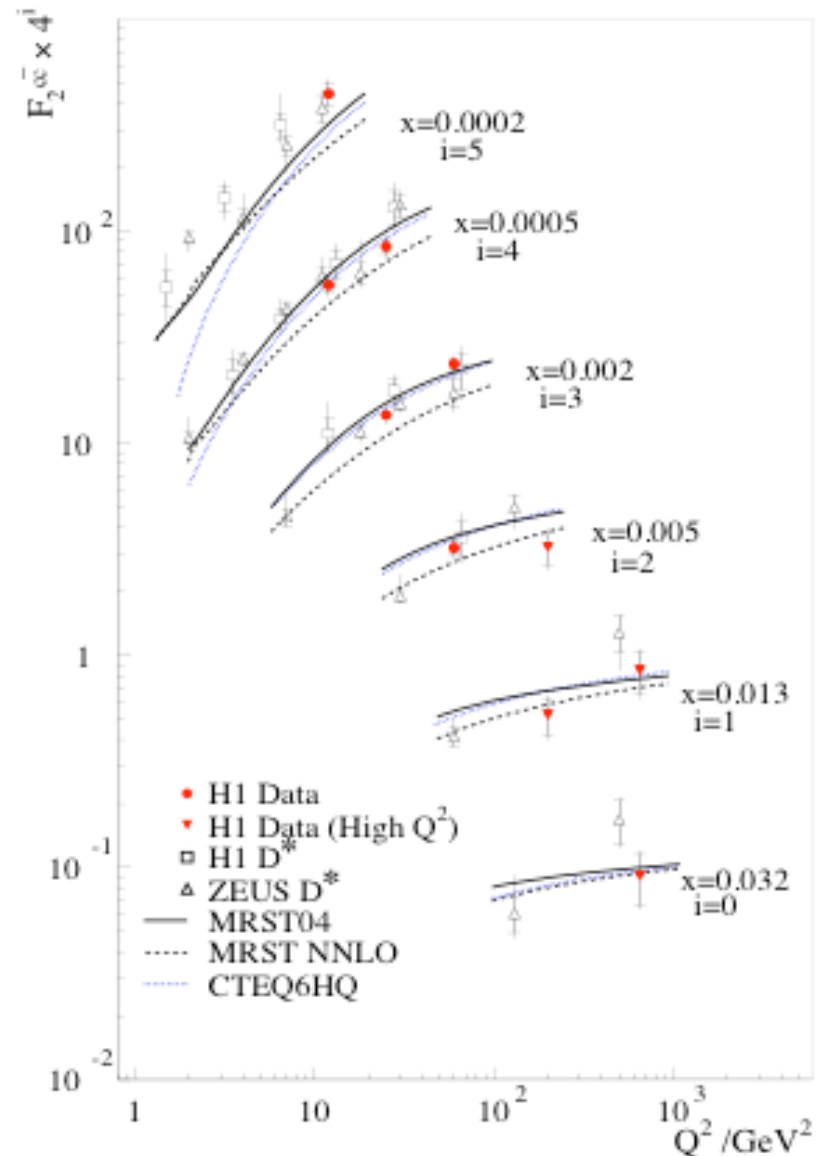
Good description by NLO QCD calculation.

Extraction of F_2^{cc}

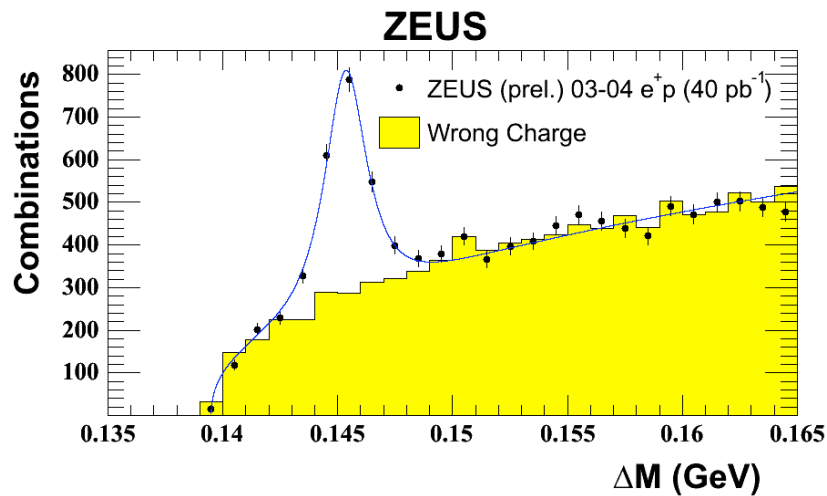
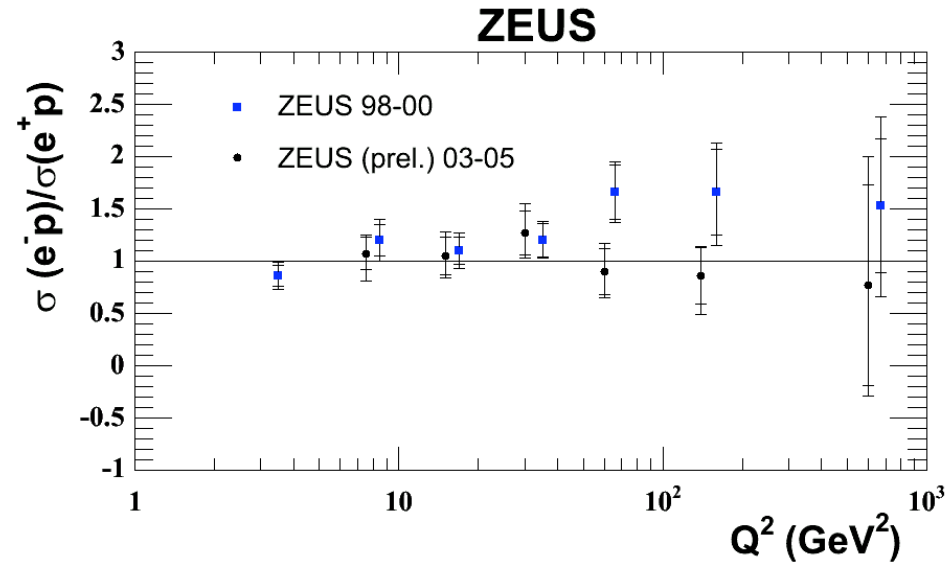
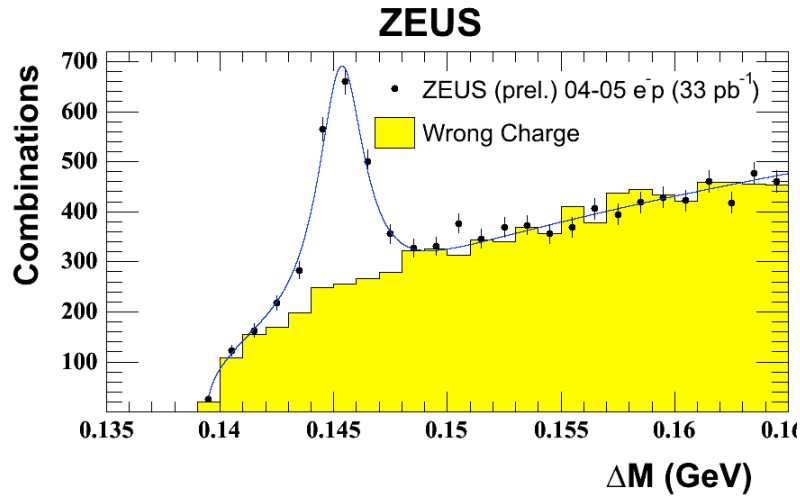
QCD calculations fit the data reasonably well.

NNLO calculations \rightarrow different from NLO in some regions.

At smallest x and low Q^2 MRST NLO and NNLO differ from CTEQ6HQ.



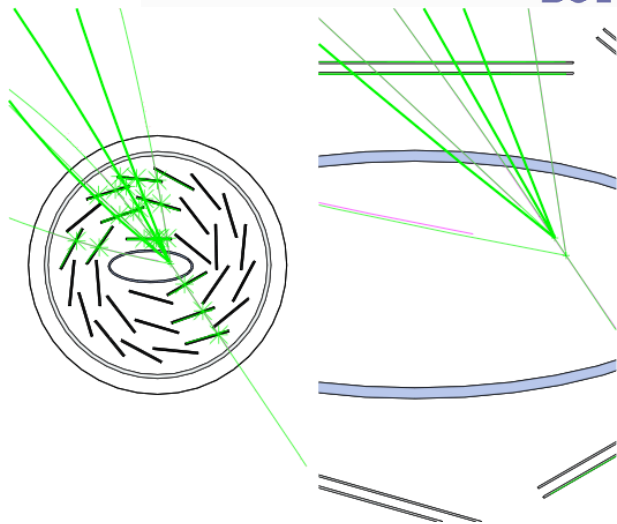
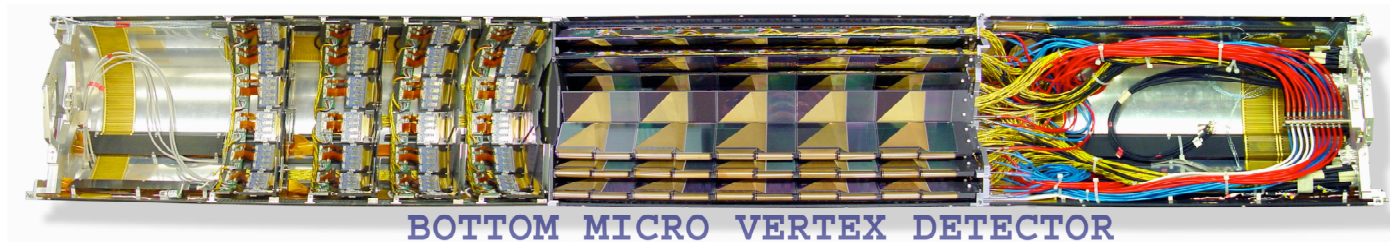
Charm in DIS at HERA II



- HERA I data \rightarrow higher D^* cross sections for e^-p than for e^+p running.
- Revisited at HERA II \rightarrow ratio is 1.

Summary

- Charm results in reasonable agreement with pQCD.
- Areas of disagreement can be selected (e.g. D^* + dijets) indicating the need for higher order corrections e.g. MC@NLO.
- There is evidence that charm fragmentation is universal in e^+e^- and ep.
- HERA errors small compared to theoretical uncertainties.



Future charm prospects:

- Higher Q^2 , and highest x , F_2^{cc} to a precision of better than 10%.
- Extend phase space to the forward region.
- Impact of charm data on PDF fits.