

# Inclusive diffraction and DVCS

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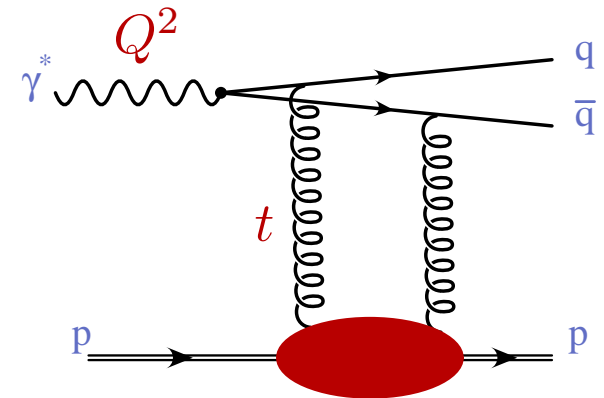
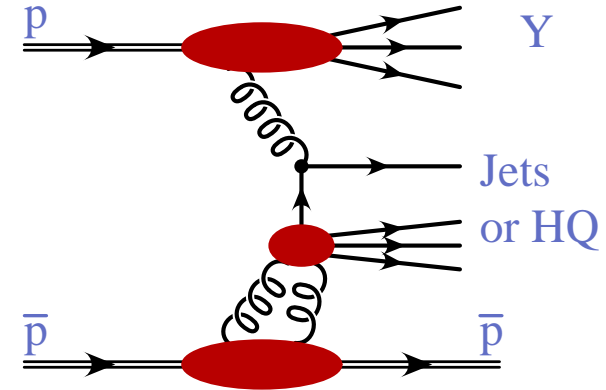
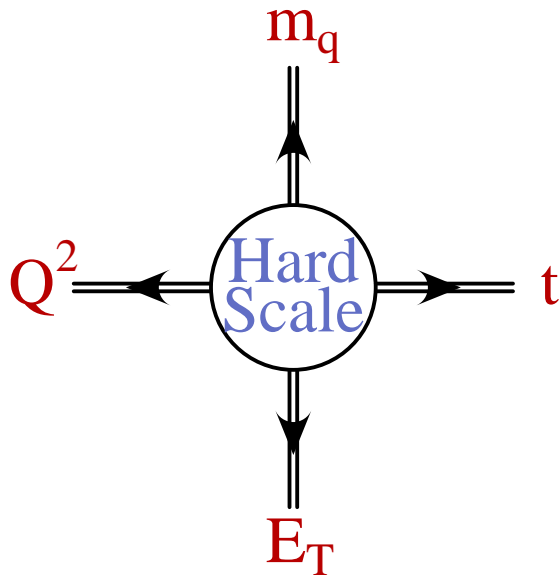
**ULB**



Ringberg Workshop 2005  
2-7<sup>th</sup> of October 2005

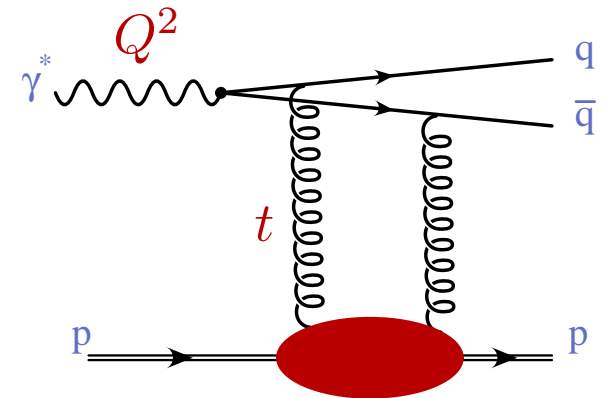
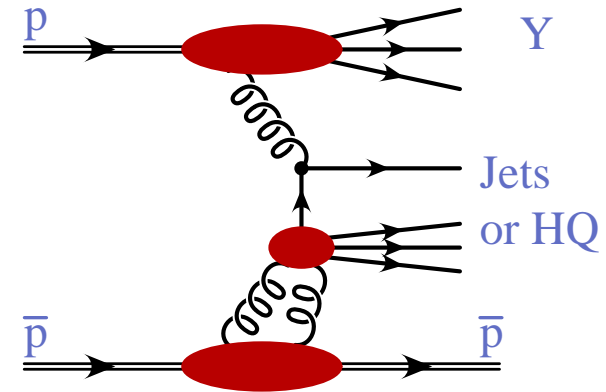
# Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
  - two gluon exchange
  - Several possible hard scales



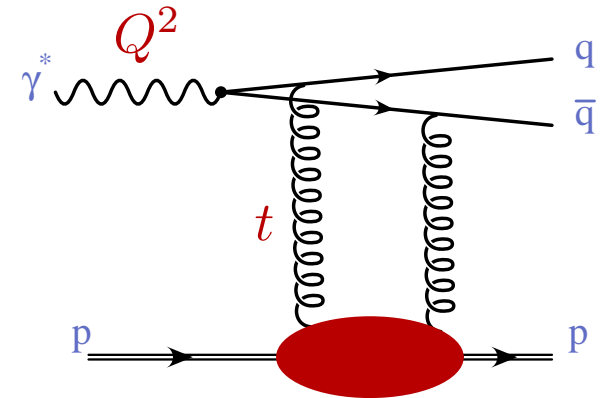
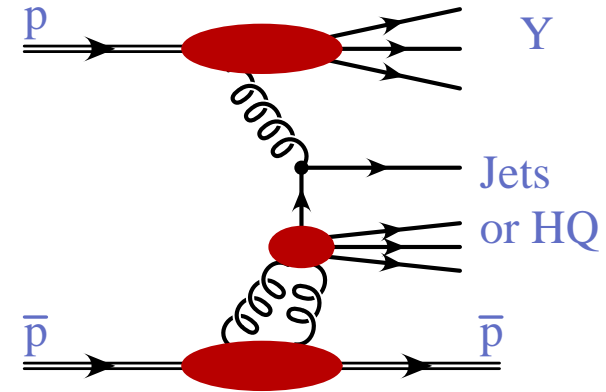
# Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
  - two gluon exchange
  - Several possible hard scales
  - probing the exchange partonic structure - like in inclusive structure functions
  - typical signature of hard scale presence: steep rise with  $W$  (cms energy)



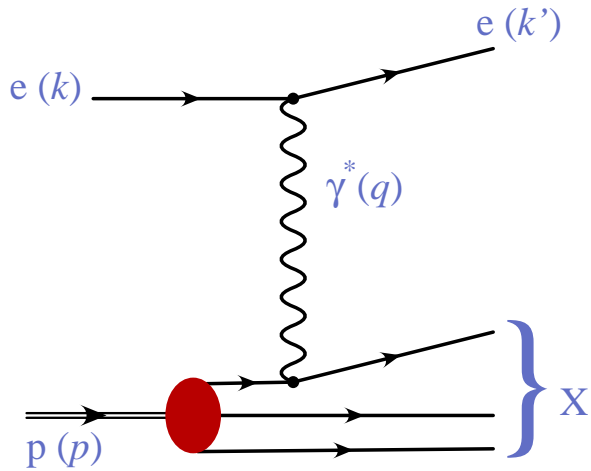
# Interest of Hard Diffraction

- Understanding of Diffractive phenomena in terms of QCD
  - two gluon exchange
  - Several possible hard scales
  - probing the exchange partonic structure - like in inclusive structure functions
  - typical signature of hard scale presence: steep rise with  $W$  (cms energy)
- Access to very low  $x$  of nucleon structure function and parton correlations → the Generalized Parton Distributions (GPDs).
- Test of DGLAP and BFKL asymptotic behaviour dynamics
- Colour Dipole model approach: transition to non pQCD, saturation



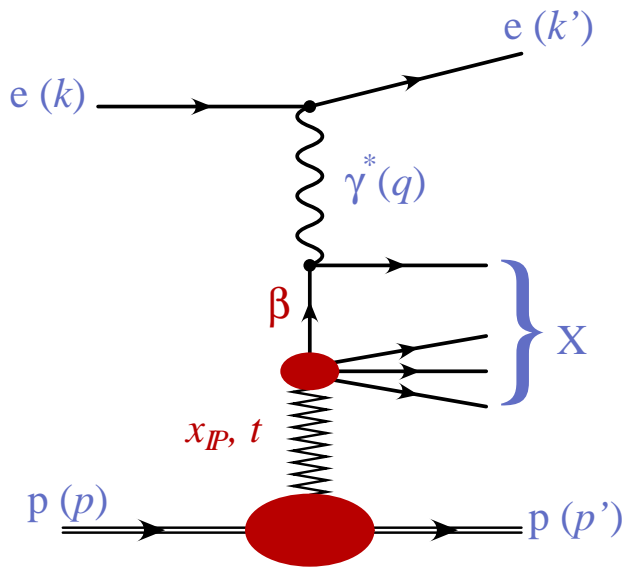
# Kinematic

## Deep Inelastic Scattering



- $Q^2 = -q^2$  - virtuality of the exchanged photon
- $W$   $\gamma^*$  -  $p$  system energy
- $x$  Bjorken- $x$ : fraction of proton's momentum carried by the struck quark
- $y$   $\gamma^*$  inelasticity :  $y = Q^2 / s x$

## Diffractive Scattering



- $x_{\mathbb{P}}$  fraction of proton's momentum of the colour singlet exchange (also named  $\xi$ )
- $x_{\mathbb{P}} \simeq \frac{Q^2 + M_X^2}{Q^2 + W^2}$
- $\beta$  fraction of  $\mathbb{P}$  carried by the quark "seen" by the  $\gamma^*$   $\beta = x / x_{\mathbb{P}}$
- $t = (p - p')^2$ , 4-momentum squared at the  $p$  vertex

# Factorisation Properties

## QCD Hard Scattering Fact.

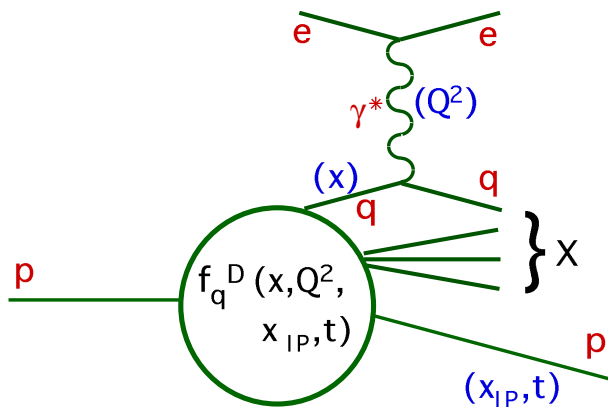
$$\sigma_{\text{DIS}}^{\text{Dif}} \sim f_q^D(x_{\mathbb{P}}, t, x, Q^2) \otimes \hat{\sigma}_{\text{pQCD}}$$

Diffractive parton densities

$$f_q^D(x_{\mathbb{P}}, t, x, Q^2)$$

→ conditional proton parton probability distributions for particular  $x_{\mathbb{P}}, t$ .

DGLAP applicable for  $Q^2$  evolution.

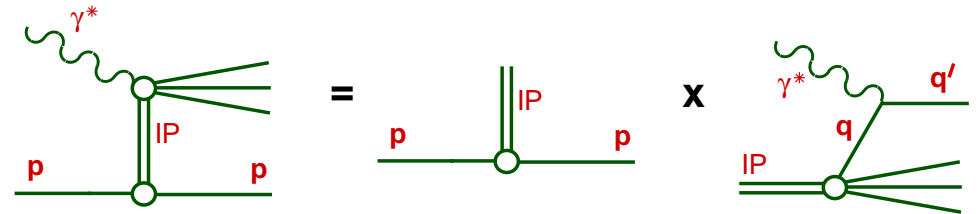


Rigorous for leading  $Q^2$  dependence but not in hadron-hadron collisions

## Regge Factorisation

$$f_q^D(x_{\mathbb{P}}, t, x, Q^2) = f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) \cdot q_{\mathbb{P}}(\beta, Q^2)$$

Diffractive parton densities factorise into “pomeron flux factor” and “pomeron parton densities”



$\mathbb{P}$  flux factor from Regge theory ...

$$f_{\mathbb{P}/p}(x_{\mathbb{P}}, t) = \frac{e^{Bt}}{x_{\mathbb{P}}^{2\alpha(t)-1}} \quad \text{where ...}$$

$$\alpha(t) = \alpha(0) + \alpha't$$

No firm basis in QCD

## ZEUS Data:

- “Study of Deep Inelastic Inclusive and Diffractive Scattering with the ZEUS Forward Plug Calorimeter” (Mx method)  
DESY-05-011, accepted by Nucl. Phys. B  $2.4 < Q^2 < 39 \text{ GeV}^2$  (98-99)
- “Dissociation of virtual photons in events with a leading proton at HERA” (Leading Proton)  
Eur. Phys. J C38 (2004) 43  $2.7 < Q^2 < 55 \text{ GeV}^2$  (97)

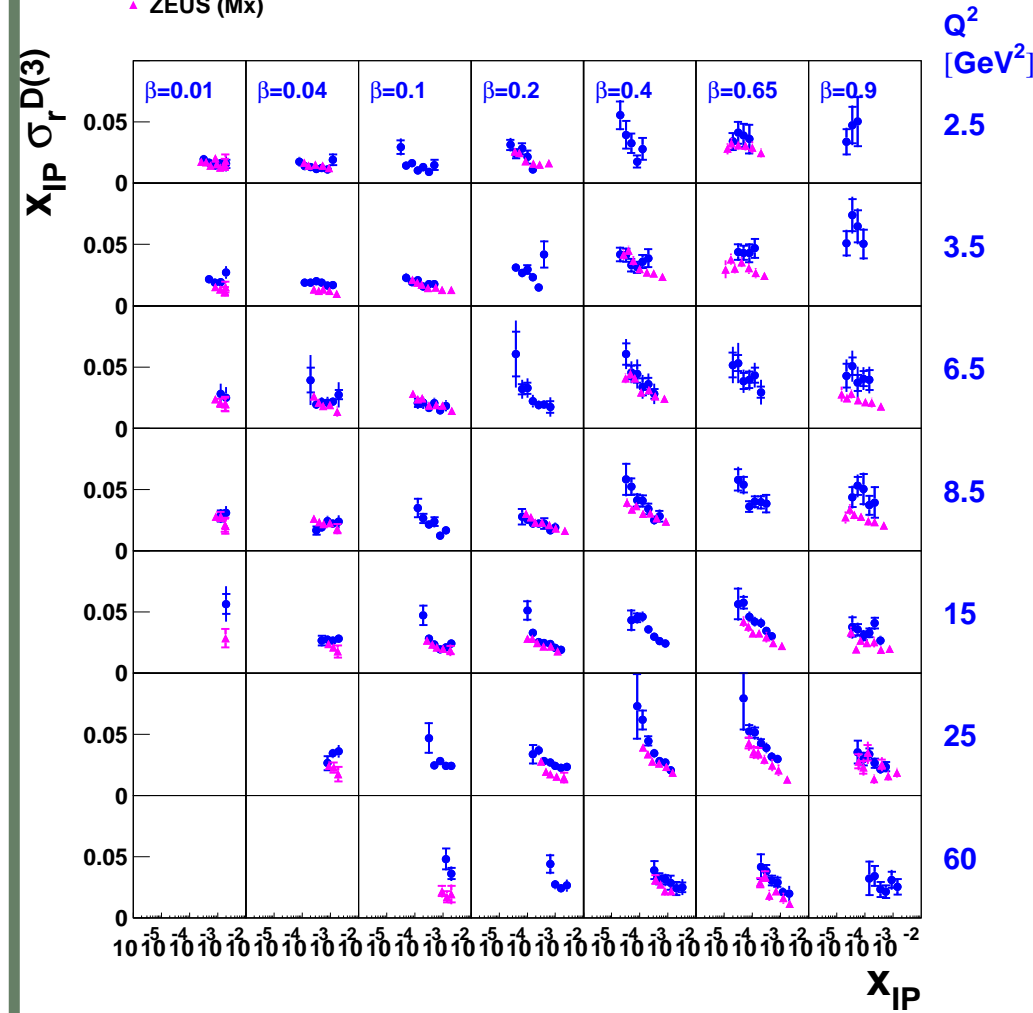
## H1 Data:

- “Measurement of semi-inclusive diffractive deep-inelastic scattering with a leading proton at HERA” (Leading Proton)  
Paper 6-984 subm. to ICHEP 2002, H1prelim-01-112  $2.6 < Q^2 < 20 \text{ GeV}^2$  (99-00)
- “Measurement of the Diffractive DIS Cross Section at low  $Q^2$ ” (LRG method)  
Paper 981 subm. to ICHEP 2002, H1prelim-02-112  $1.5 < Q^2 < 12 \text{ GeV}^2$  (99)
- “Measurement and NLO DGLAP QCD Interpretation of Diffractive Deep-Inelastic Scattering at HERA” (LRG method)  
Paper 980 subm. to ICHEP 2002, H1prelim-02-012  $6.5 < Q^2 < 120 \text{ GeV}^2$  (97)
- “Measurement of the Inclusive Diffractive Cross Section  $\sigma_r^D(3)$  at high  $Q^2$ ” (LRG method)  
Paper 5-090 subm. to EPS 2003, H1prelim-03-011  $200 < Q^2 < 1600 \text{ GeV}^2$  (99-00)

# H1 and ZEUS Measurements

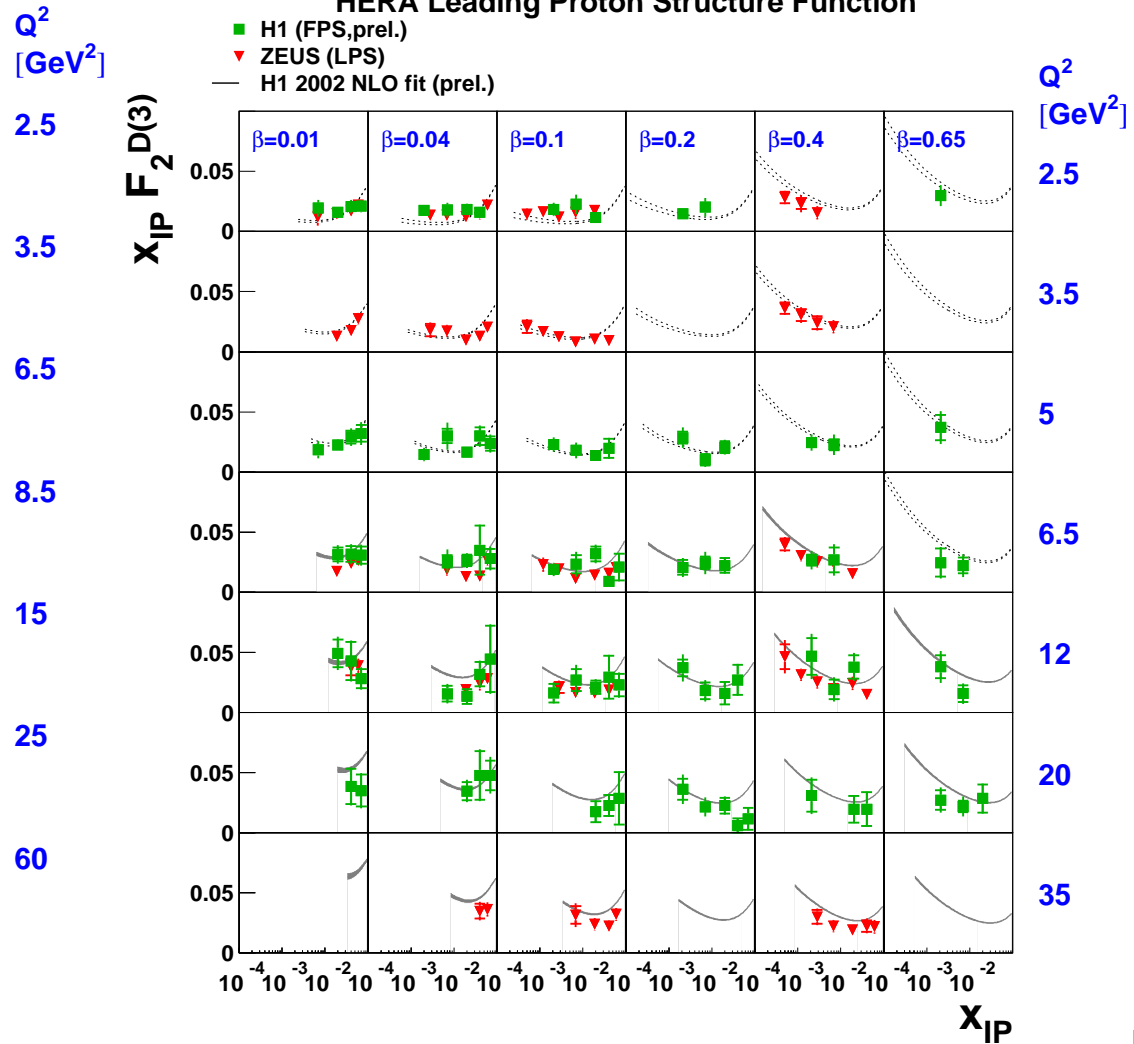
HERA Diffractive Structure Function

- H1 (LRG, prel.)
- ▲ ZEUS (Mx)



HERA Leading Proton Structure Function

- H1 (FPS,prel.)
- ▼ ZEUS (LPS)
- H1 2002 NLO fit (prel.)





# Regge factorisation: $\beta$ Dependence of $F_2^D$

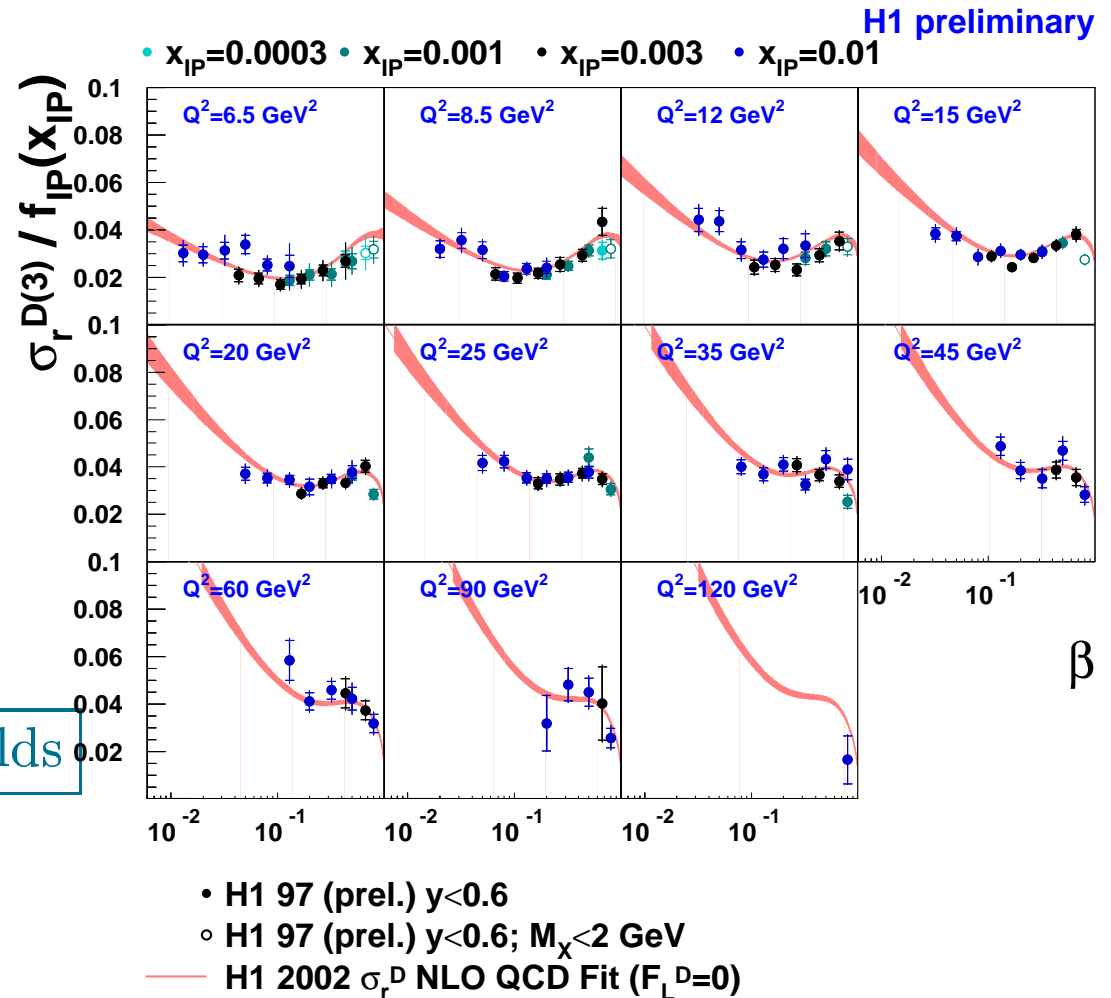
Does Regge factorisation work ?

i.e. is  $F(\beta, Q^2)$  dependent of  $x_{IP}$  after factoring out the flux dependence ?

$$f_{IP/p}(x_{IP}, t) = \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}}$$

Take experimentally measured  $B, \alpha(0)$

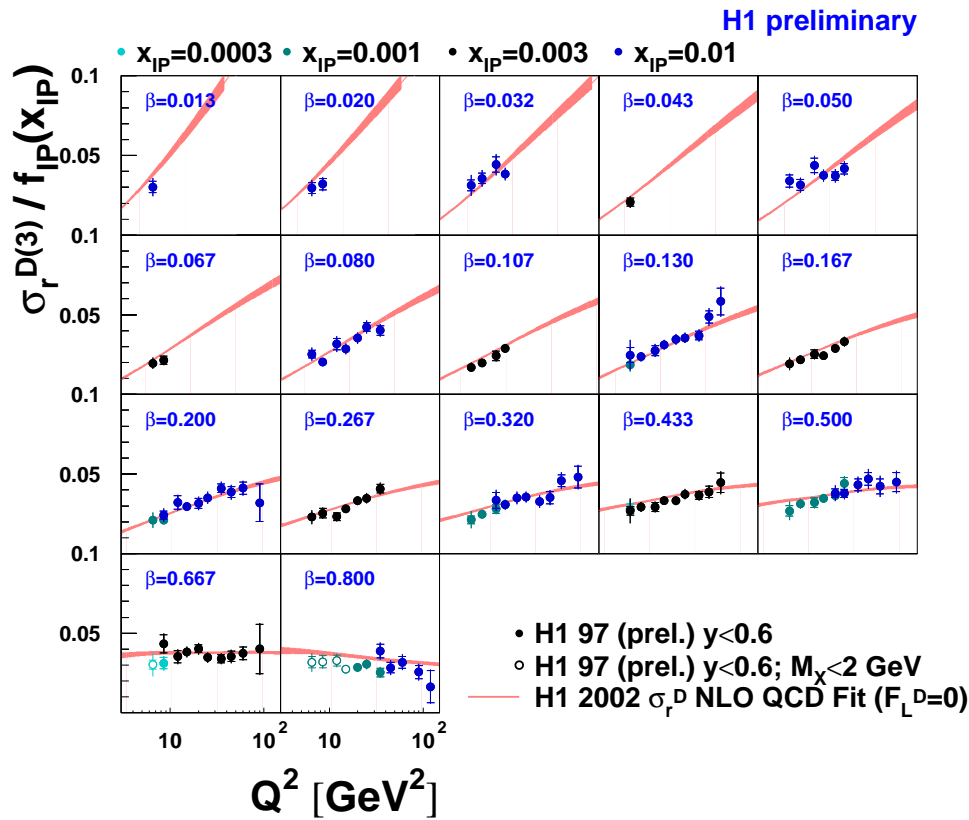
→ Regge factorisation basically holds



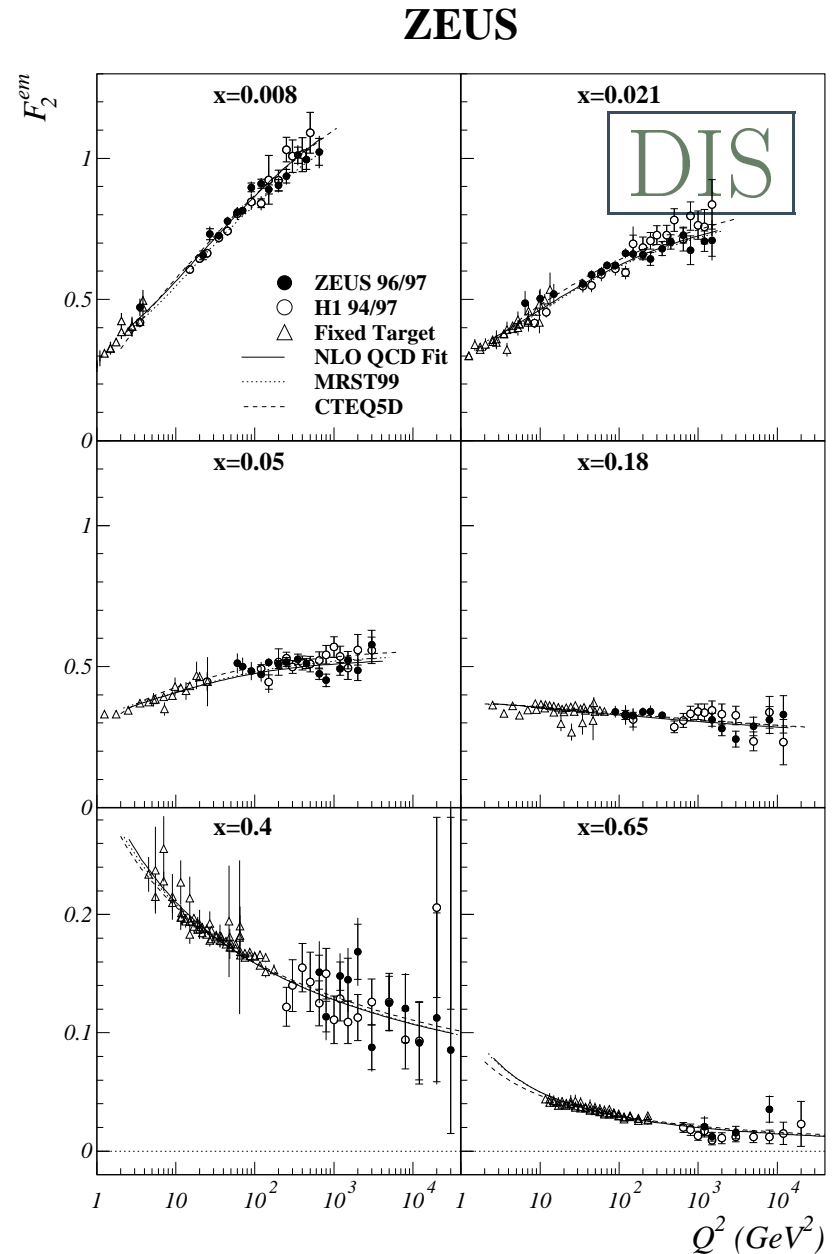
Measures parton density over wide  $\beta$  range.

# $Q^2$ Dependence of $F_2^D$

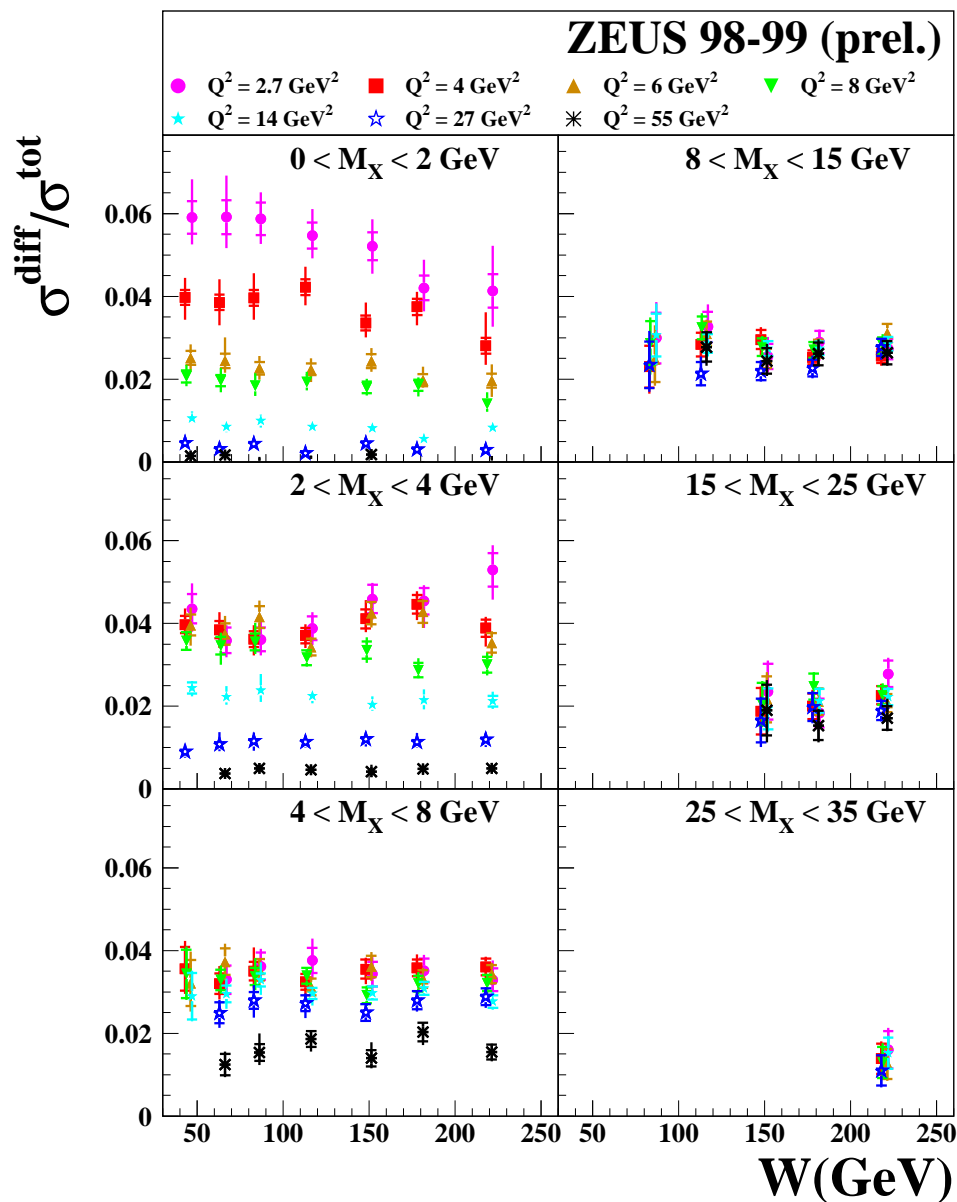
$Q^2$  dependence displays strong scaling violations with positive  $\partial\sigma_r^D / \partial \ln Q^2$  up to high  $\beta$



Not like a "normal" hadron



# Ratio of Diffractive to inclusive cross-sections



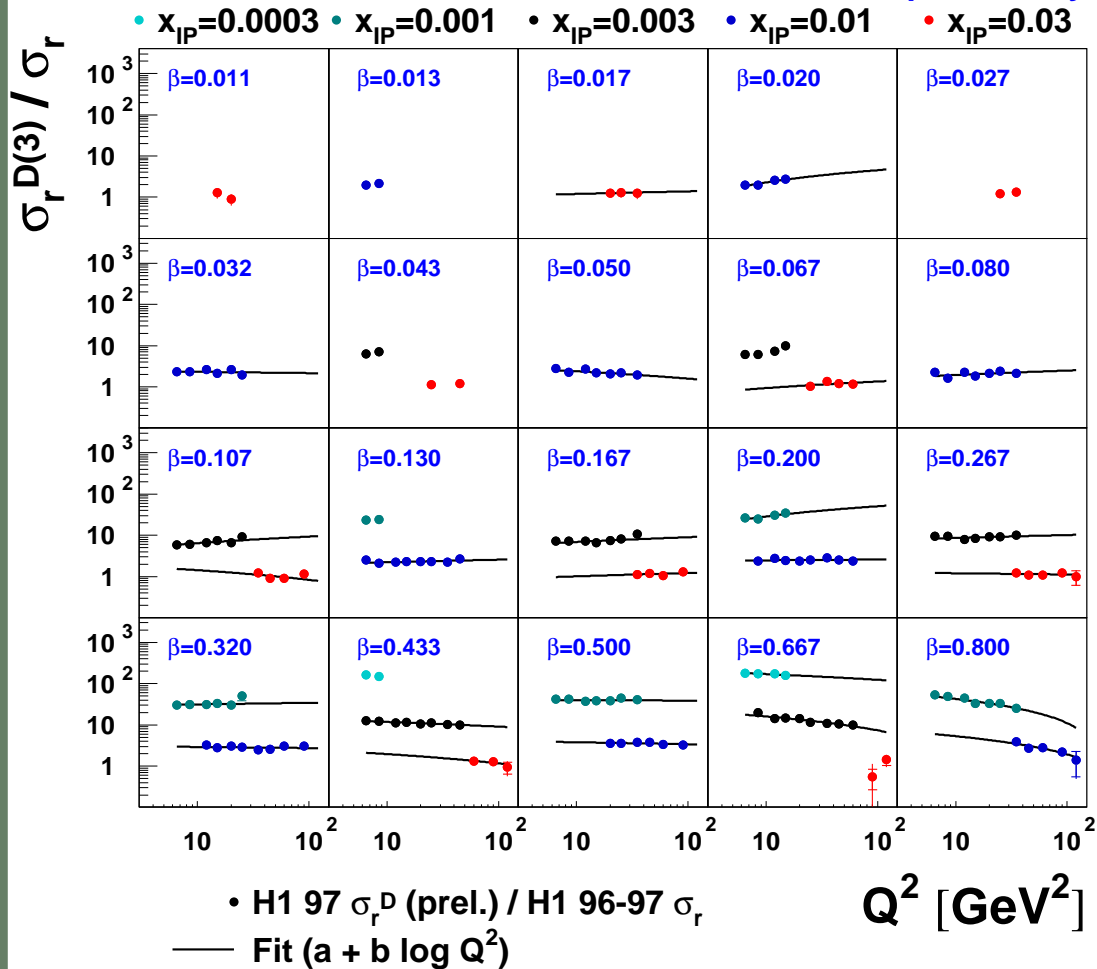
$$W^2 \simeq Q^2/x$$

$$\beta \simeq Q^2/(Q^2 + M_X^2)$$

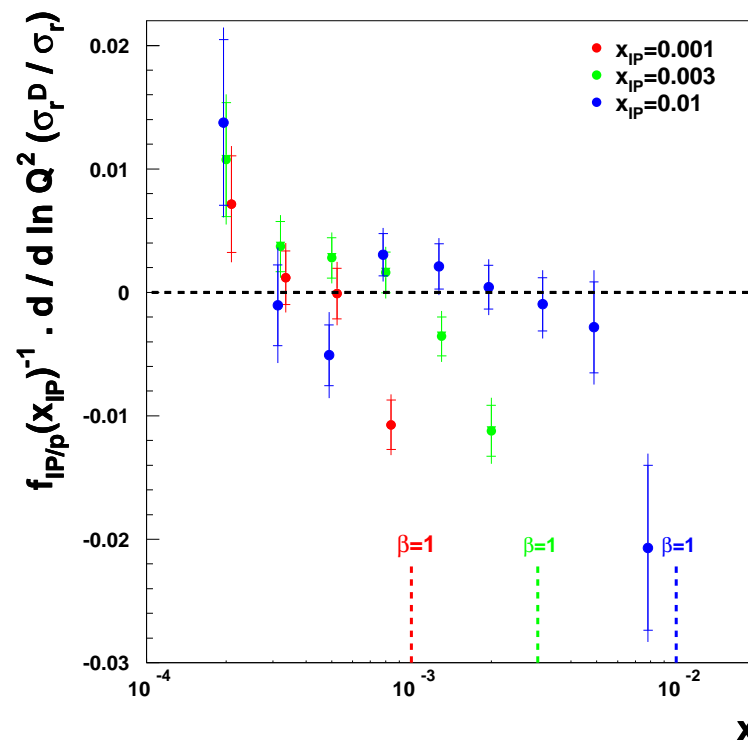
- For  $M_X > 2$  GeV: flat in  $W$ 
  - same  $W$  dependence as  $\sigma_{tot}$
  - Not consistent with naive 2 gluon exchange:
 
$$R = \frac{|x g(x, Q^2)|^2}{x g(x, Q^2)} = x g(x, Q^2)$$
- $M_X > 8$  GeV: no  $Q^2$  dependence
  - same DGLAP evolution
  - $\gamma^*$  sees: 1 gluon that can radiate
- If  $M_X \searrow, \beta \nearrow \rightarrow \gamma^*$ : more and more of the exchanged object (2 g)
- $M_X < 2$  GeV (large  $\beta$ ): falling with  $W$ 
  - contribution of Vector Meson production (higher twist)
  - no g radiation allowed
  - "closed" gluon object

# Ratio of Diffractive to inclusive cross-sections

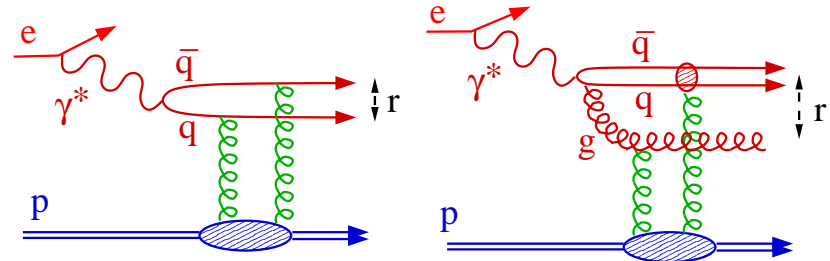
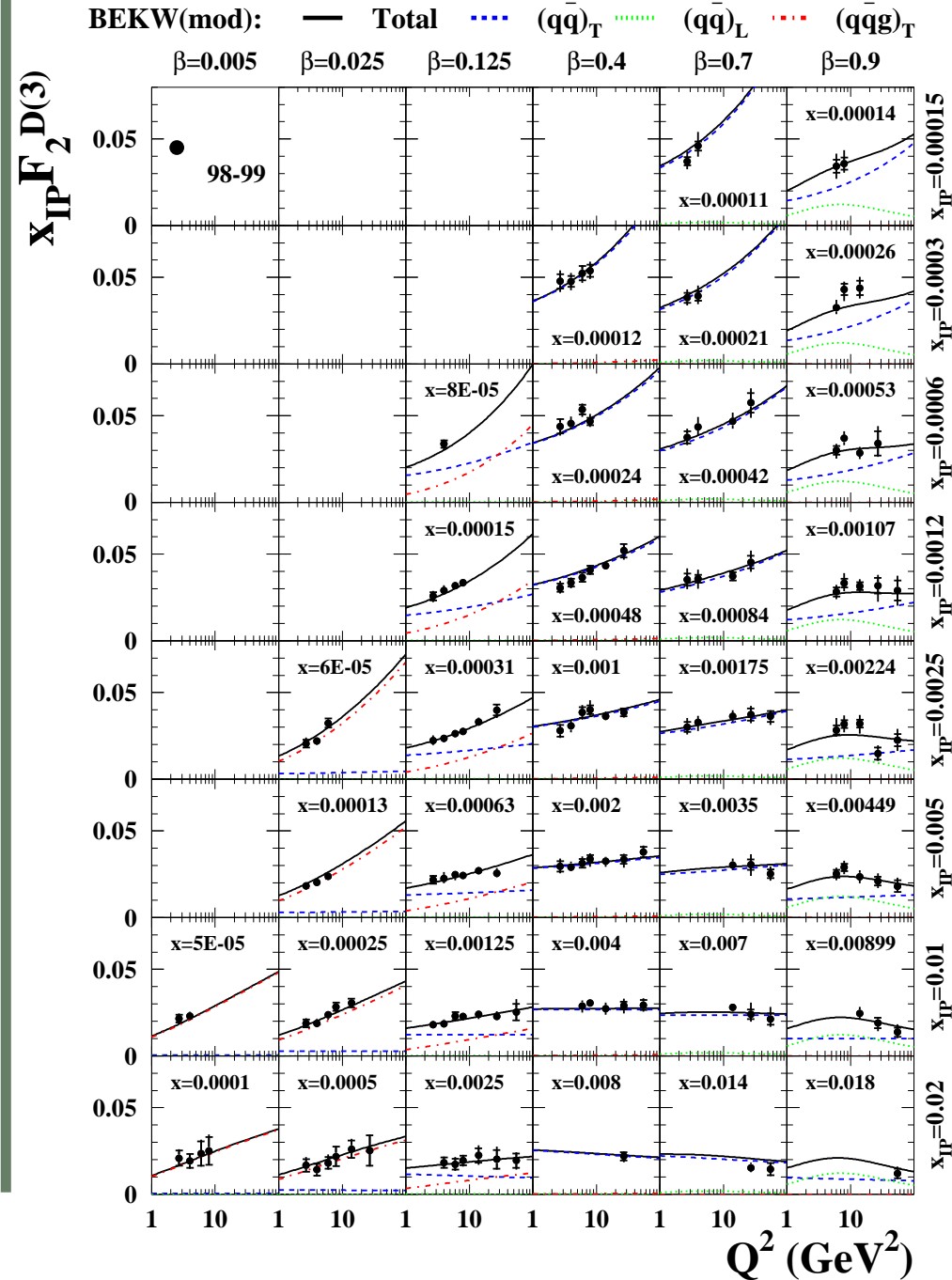
H1 preliminary



H1 Preliminary



# Colour Dipole approach



- Dominated by  $(q\bar{q}g)_L$  for  $\beta < 0.1$
- Dominated by  $(q\bar{q})_T$  for  $\beta > 0.1$
- Importance of  $(q\bar{q})_L$  for  $\beta > 0.8$
- $\beta \rightarrow 1 \rightarrow$  exclusive final state

# NLO QCD fit: H1 Measurement

## QCD Fit Technique:

- factorize  $f(x_{\mathcal{P}})f(z, Q^2)$
- Singlet  $\Sigma$  and gluon  $g$
- NLO DGLAP evolution

$$\frac{1}{f_{\mathcal{P}/p}} \frac{\partial \sigma_r^D}{\partial \ln Q^2} \sim xg(x) \otimes \alpha_s \otimes P_{qg}$$

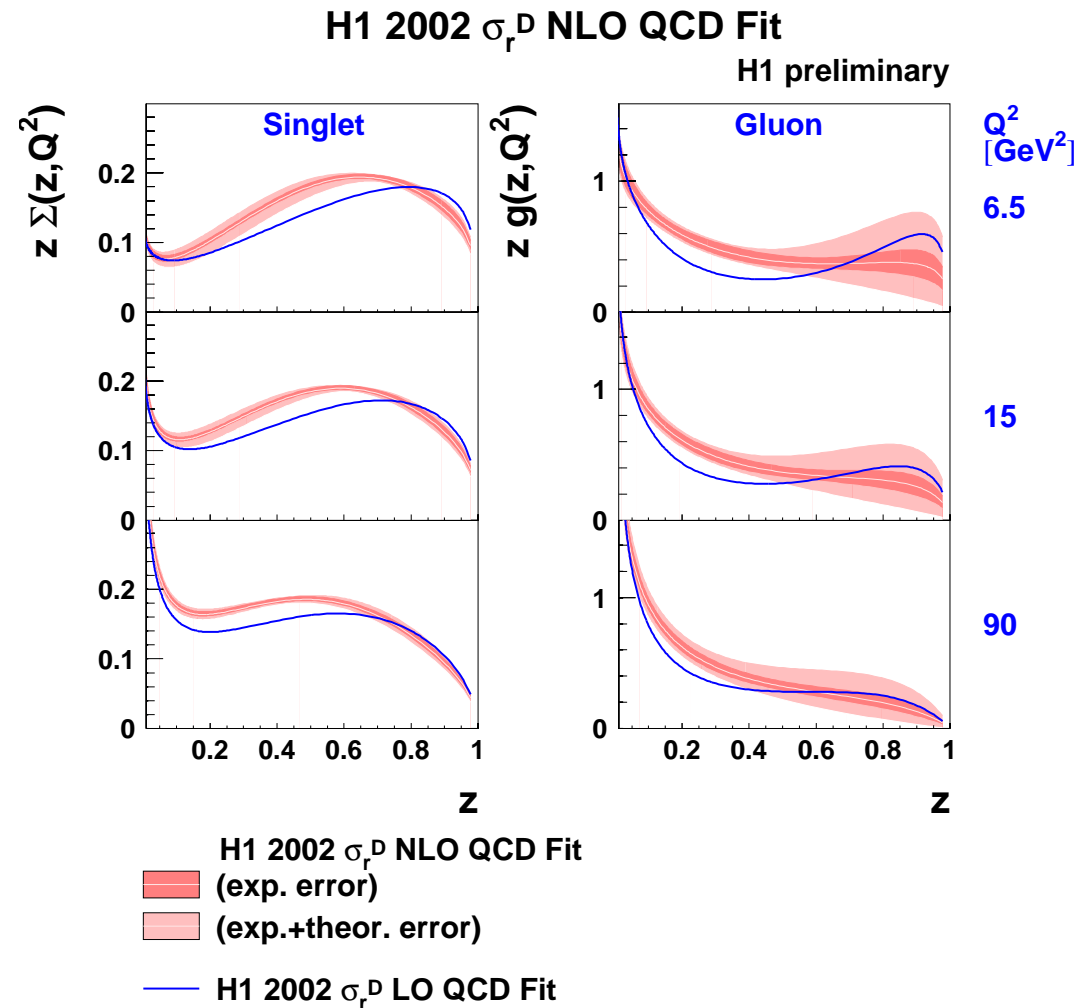
- parametrised at  $Q_0^2 = 3 \text{ GeV}^2$
- Fit data for  $Q^2 \geq 6.5 \text{ GeV}^2, M_X > 2 \text{ GeV}$

## PDF's of Diffractive exchange

- $z$  is the fract. mom. of the parton in  $\mathcal{P}$
- $\Sigma$  well constrained
- a lot of gluons ( $75 \pm 15 \%$  of mom.)

$$\chi^2/ndf = 308/306$$

$$\alpha_{\mathcal{P}}(0) = 1.173 \text{ (Regge fit)}$$



# NLO QCD fit: ZEUS Measurement

same Fit procedure applied

- results of the HERA-LHC Workshop

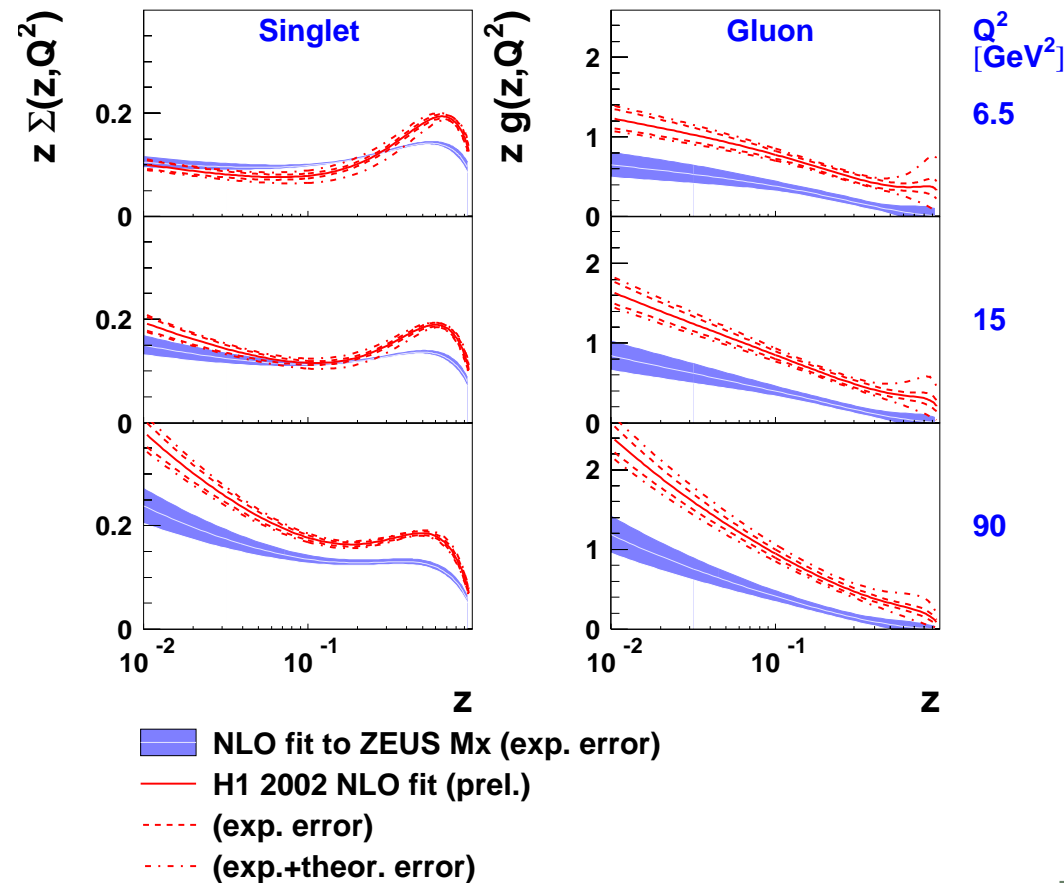
- Fit data for  $Q^2 \geq 4 \text{ GeV}^2$
- no meson component (including one doesnot improve the fit)
- $\alpha_P(0)$  fit in the same time

$$\chi^2/ndf = 90/131$$

$$\alpha_P(0) = 1.132 \pm 0.006$$

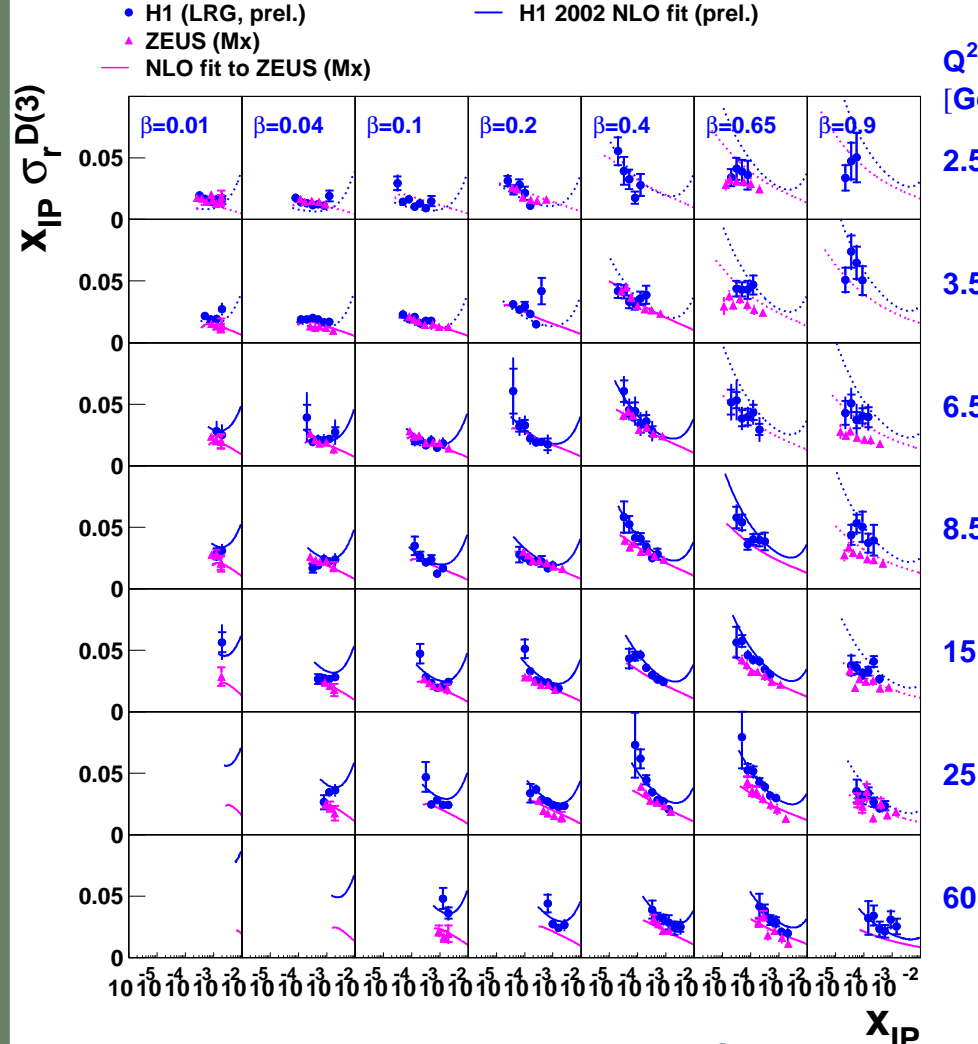
- Singlet similar at low  $Q^2$
- Gluon factor  $\sim 2$  smaller than H1's

NLO QCD fits to H1 and ZEUS data

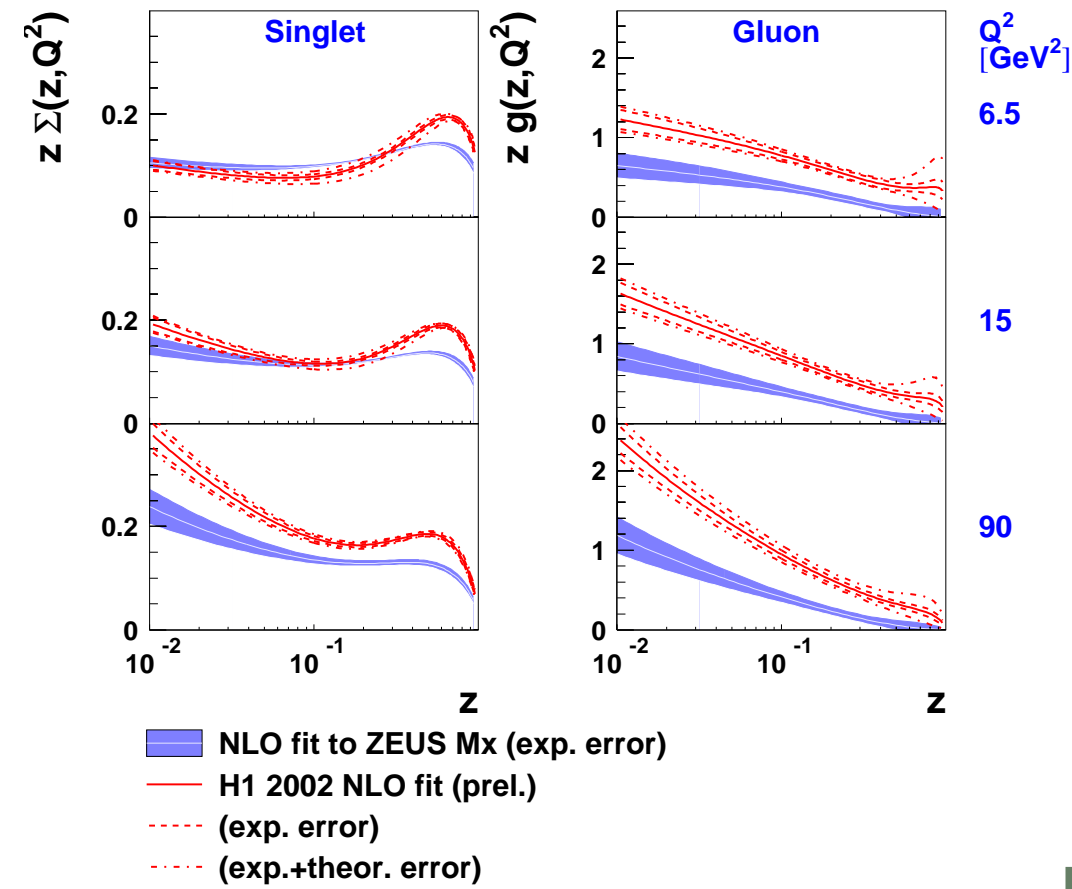


# NLO QCD fit: ZEUS Measurement

HERA Diffractive Structure Function



NLO QCD fits to H1 and ZEUS data



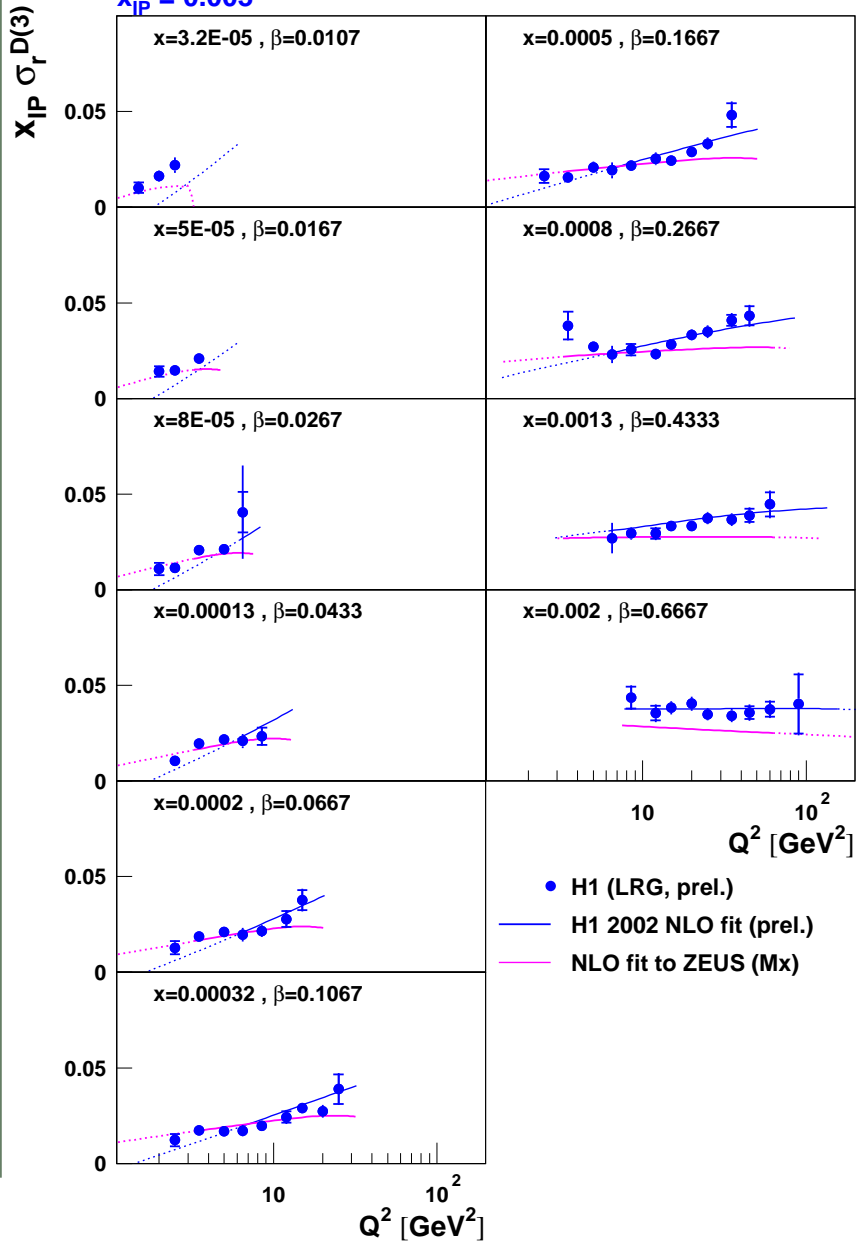
- in agreement at low  $Q^2$
- difference H1-ZEUS at larger  $Q^2$



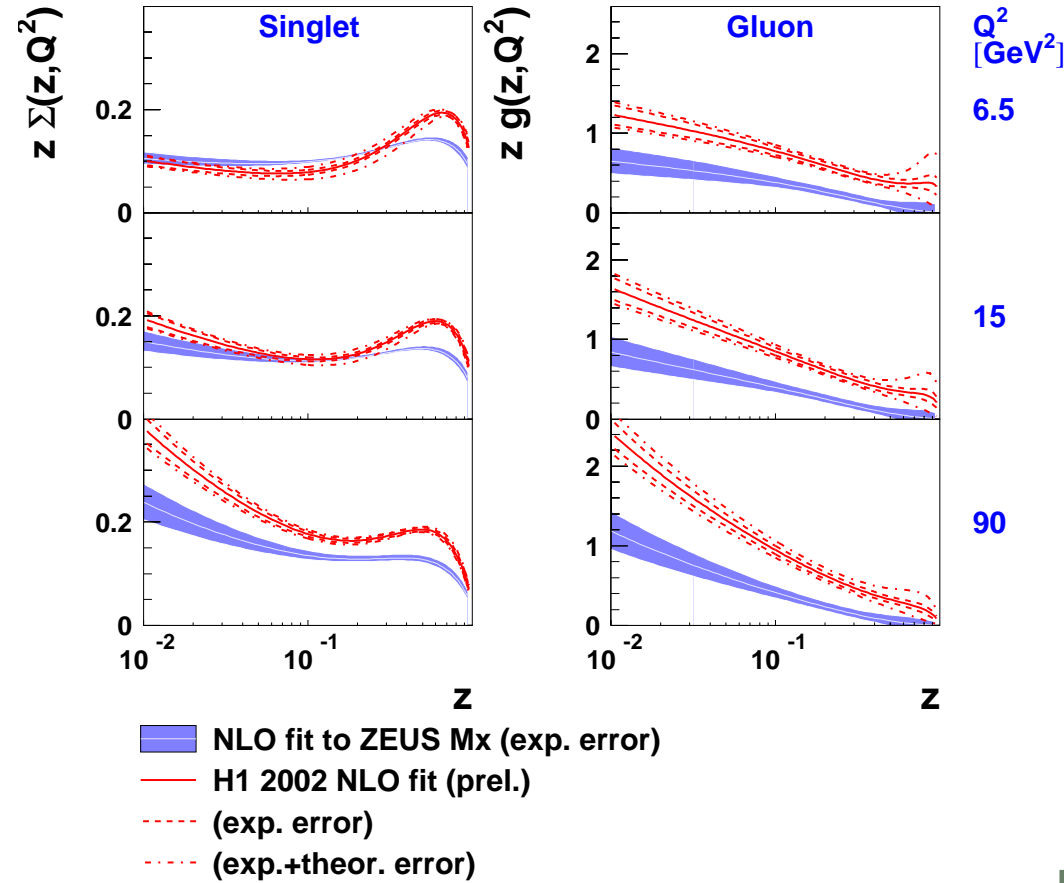
# NLO QCD fit: ZEUS Measurement

## HERA Diffractive Structure Function

$x_{IP} = 0.003$



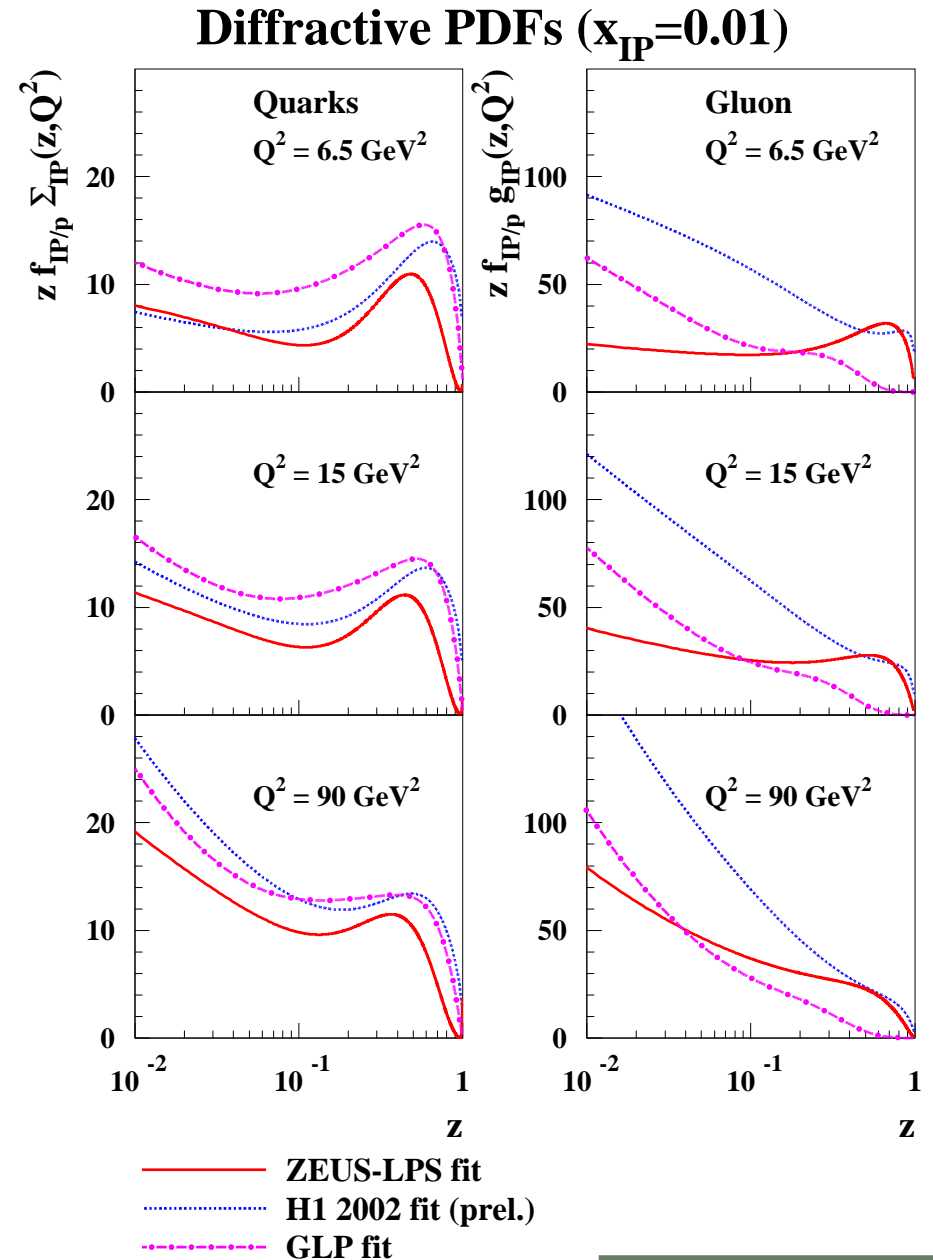
## NLO QCD fits to H1 and ZEUS data



# More NLO QCD fits

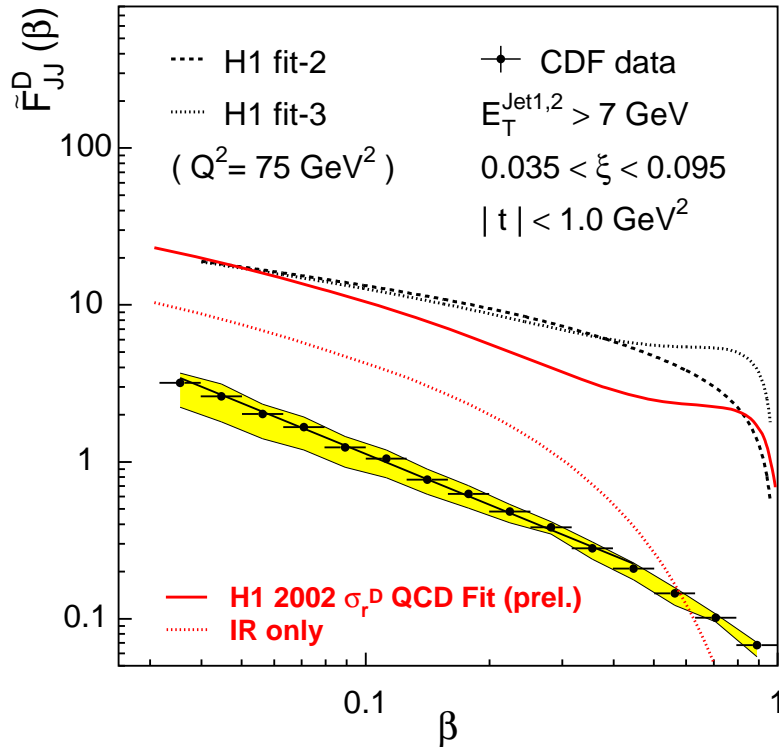
- ZEUS-LPS  
fitting LPS data and Diffractive charm
- GLP : Groys, Levy and Proskuryakov
- MRW: Martin, Ryskin, Watt  
(including inhomogeneous terms,  
direct sea contrib,...)  
→ see Graeme Watt talk.
- ...

→ quite unclear situation



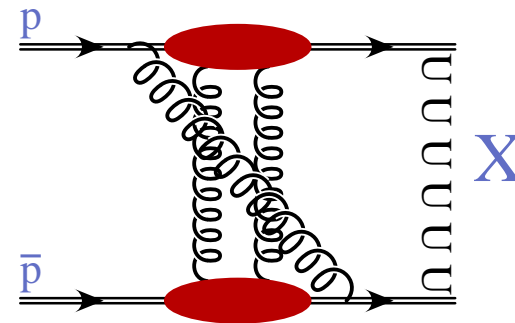
# Factorisation breaking at the Tevatron

CDF measurement of the diffractive dijet production (using ratio SD/ND):

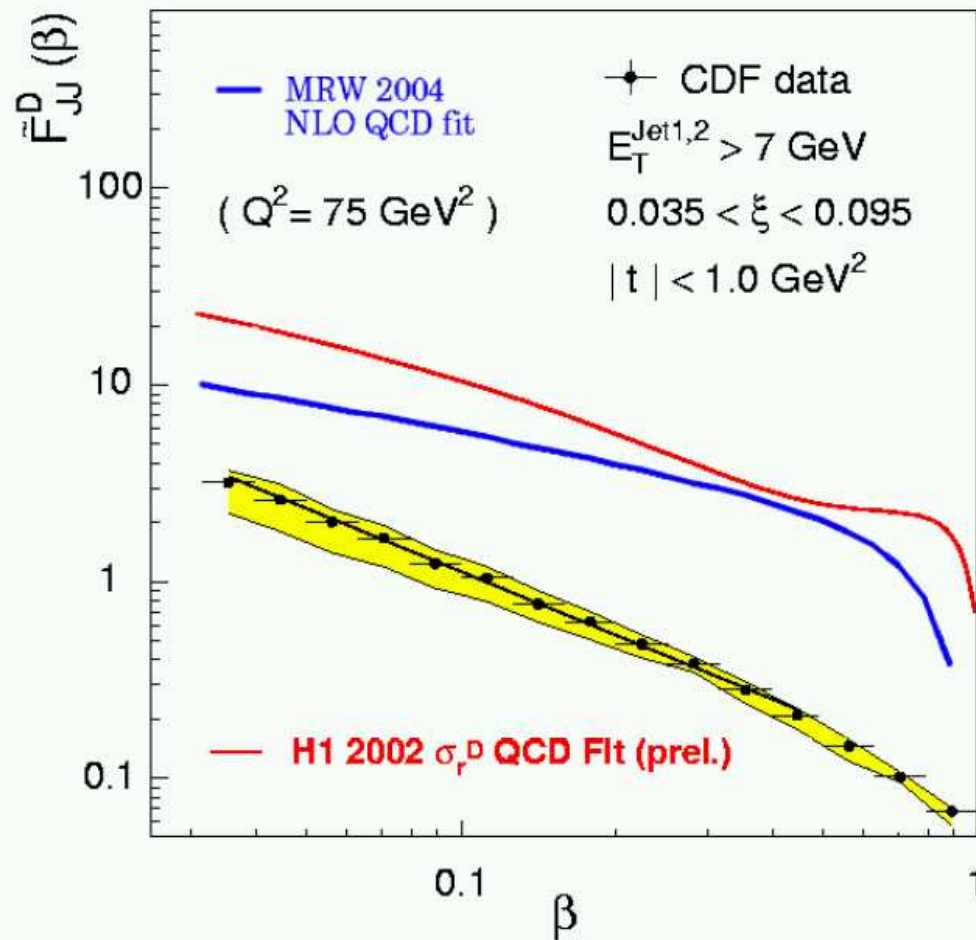


• The prediction based on diffractive PDF's extracted at HERA are one order of magnitude above the measured cross section!

- same to factorisation breaking in soft diffraction (Tevatron RUN I).
- also seen in  $W$  &  $Z$  production (sensitive to quark) and  $J/\Psi$  and  $b$ -mesons (sensitive to gluons)
- Factorization not expected to hold in  $pp$ . Violation of factorization understood usually in terms of (soft) rescattering corrections of the spectator partons  
But other approaches exist...

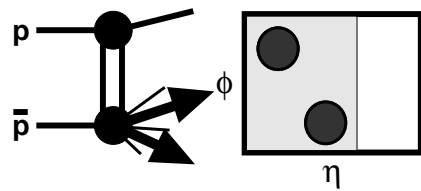


# Factorisation breaking at the Tevatron

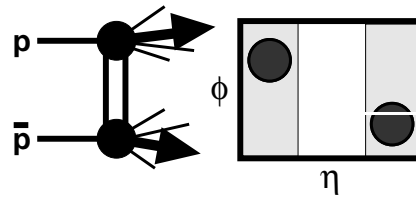


- does not change the conclusion with other DPDF

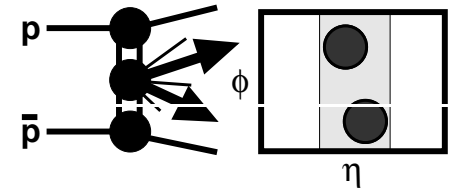
# Factorisation breaking at the Tevatron



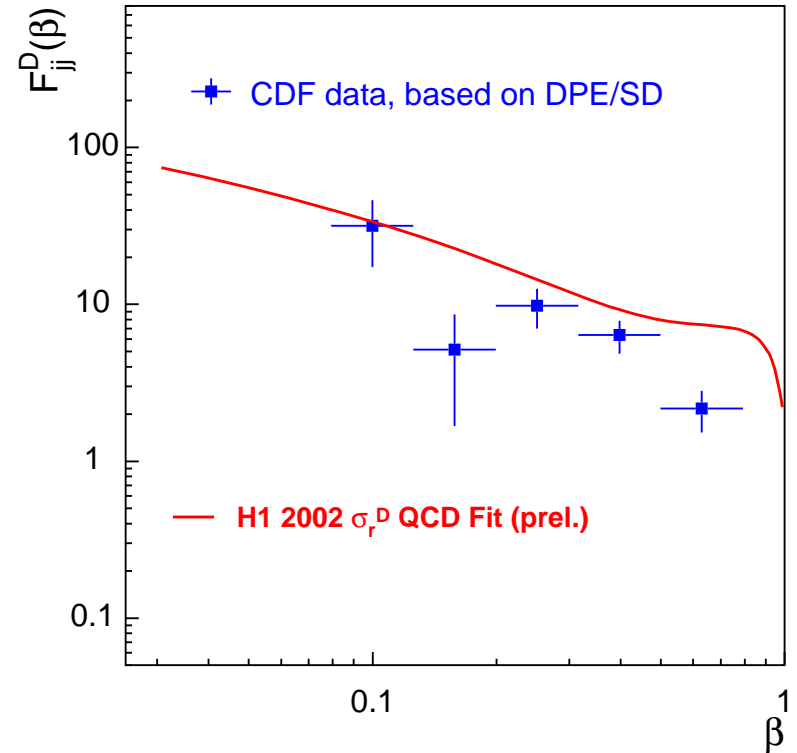
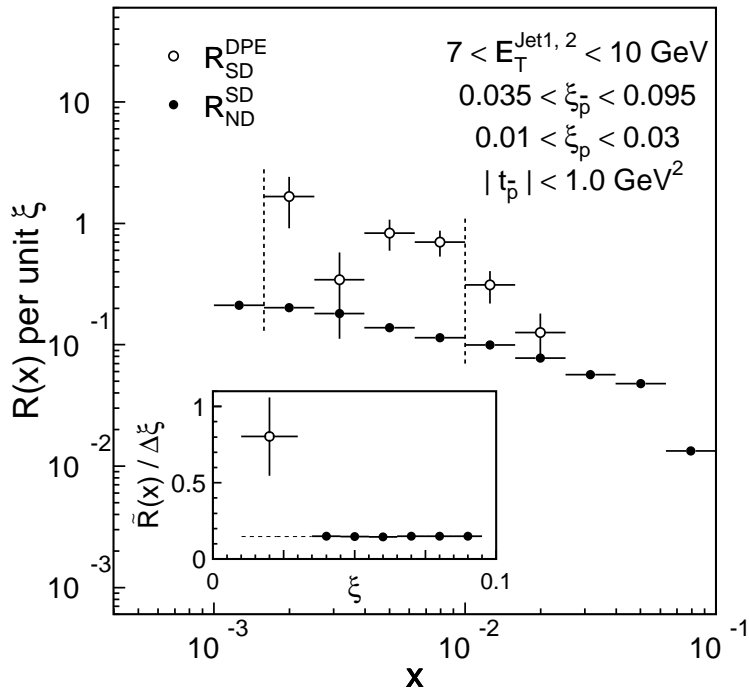
Single Diffraction



Double Diffraction



Double Pomeron Exchange



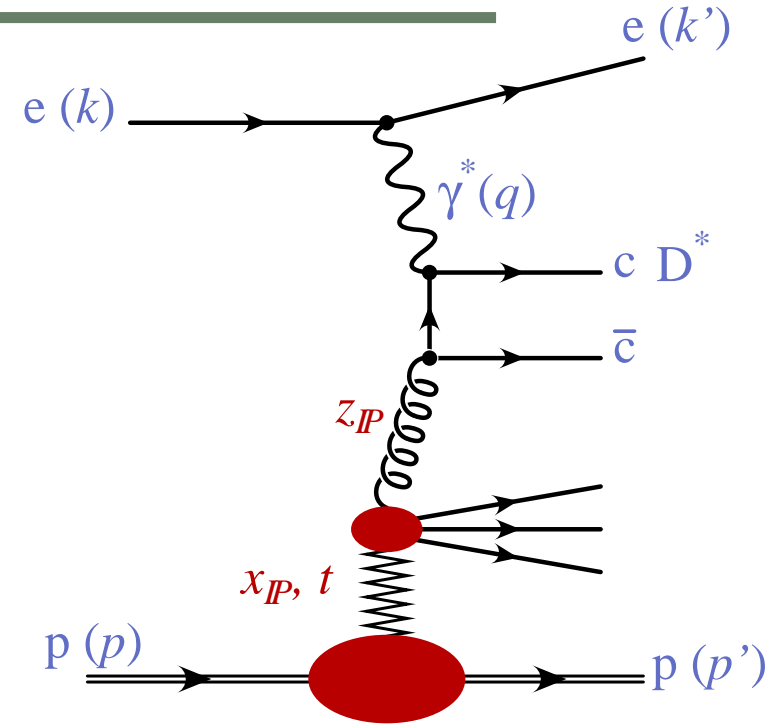
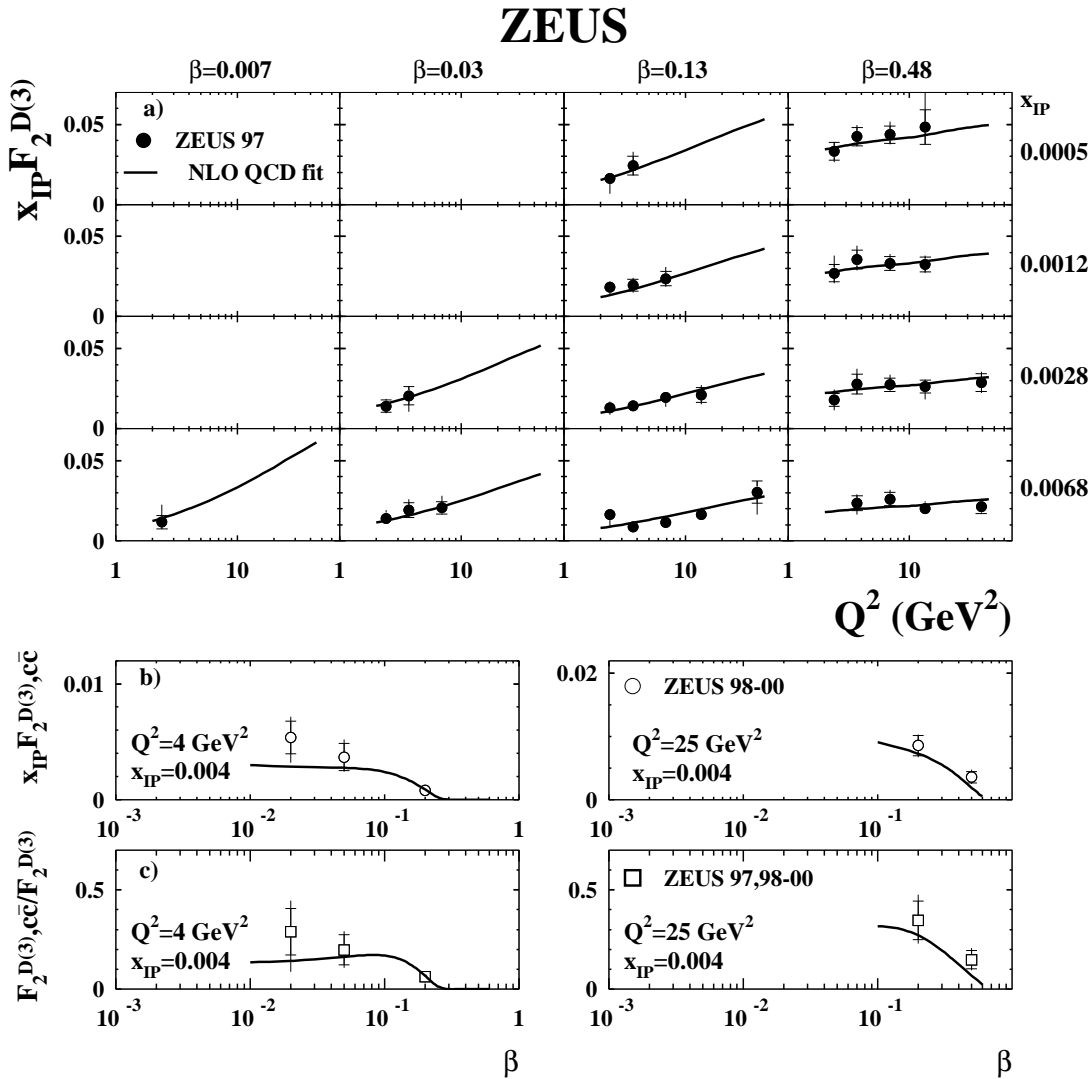
CDF measurement of  $R_{ND}^{SD}$  and  $R_{SD}^{DPE}$

$$R_{ND}^{SD} / R_{SD}^{DPE} = 0.19 \pm 0.07$$

Second gap formation unsuppressed

**DPE compatible with expectation  
from H1 PDFs**

# Test of QCD factorisation: Charm

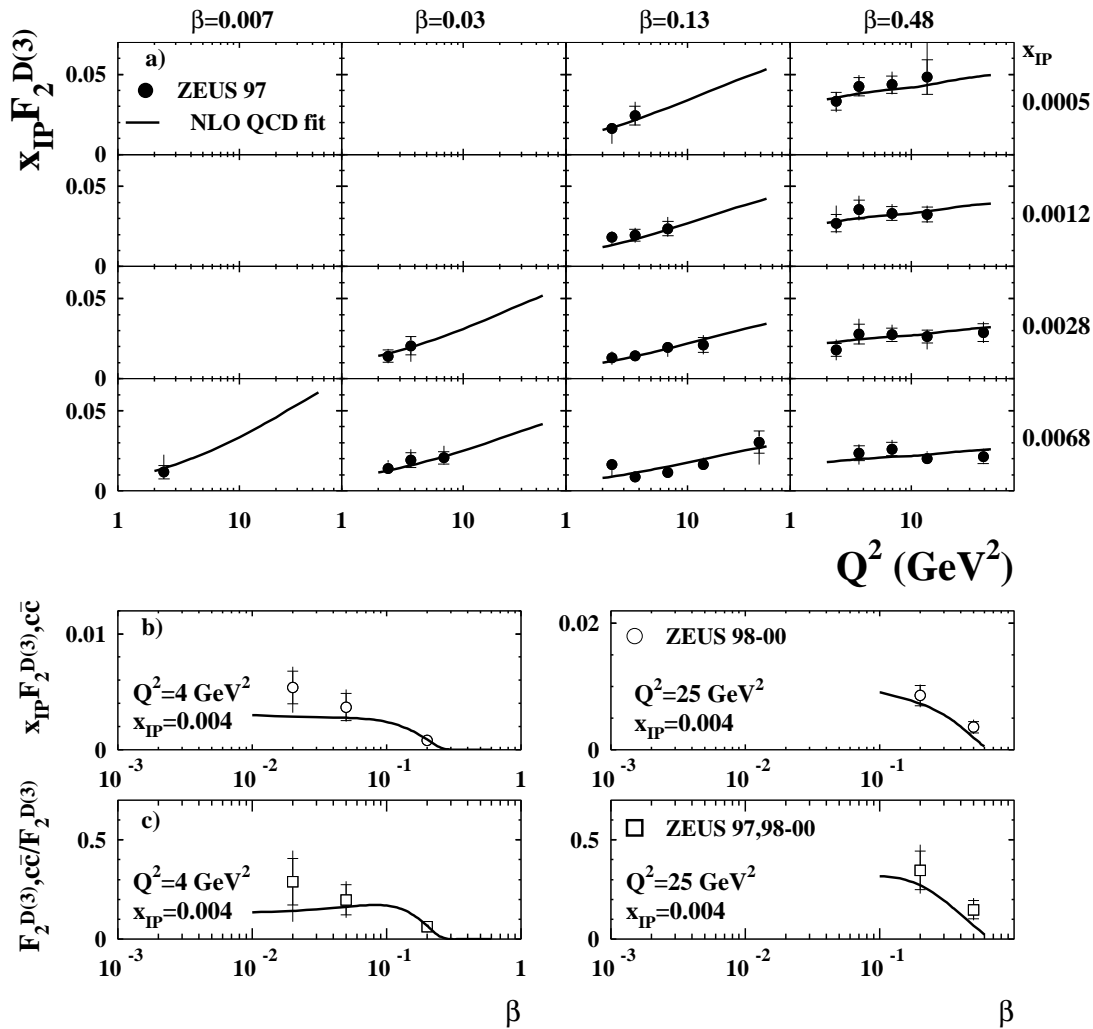


- Data using tagged leading proton
- NLO QCD fit
- ➔ charm prediction

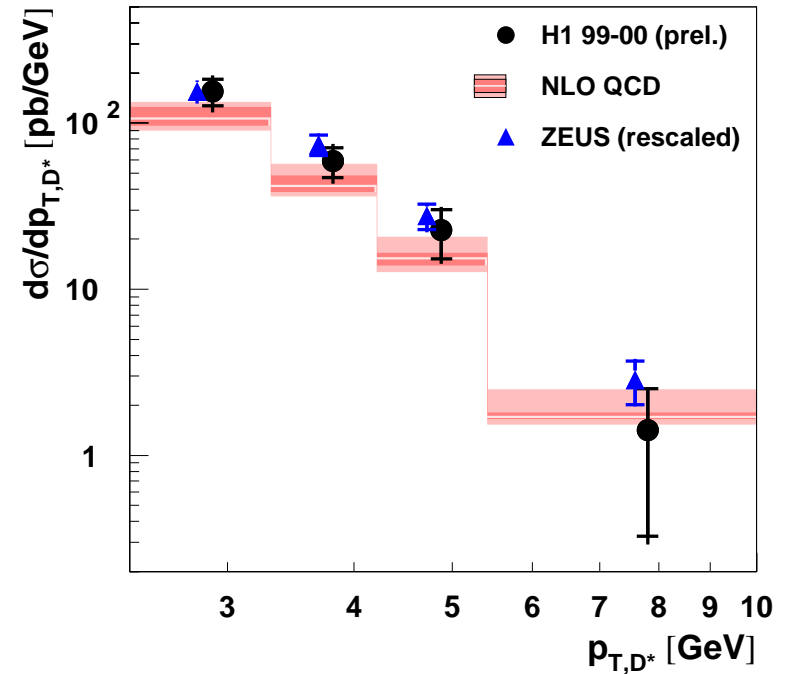
➔ QCD factorization works for Charm in Diffraction with  $Q^2 > 4 \text{ GeV}^2$

# Test of QCD factorisation: Charm

## ZEUS



## H1 Diffractive D\*



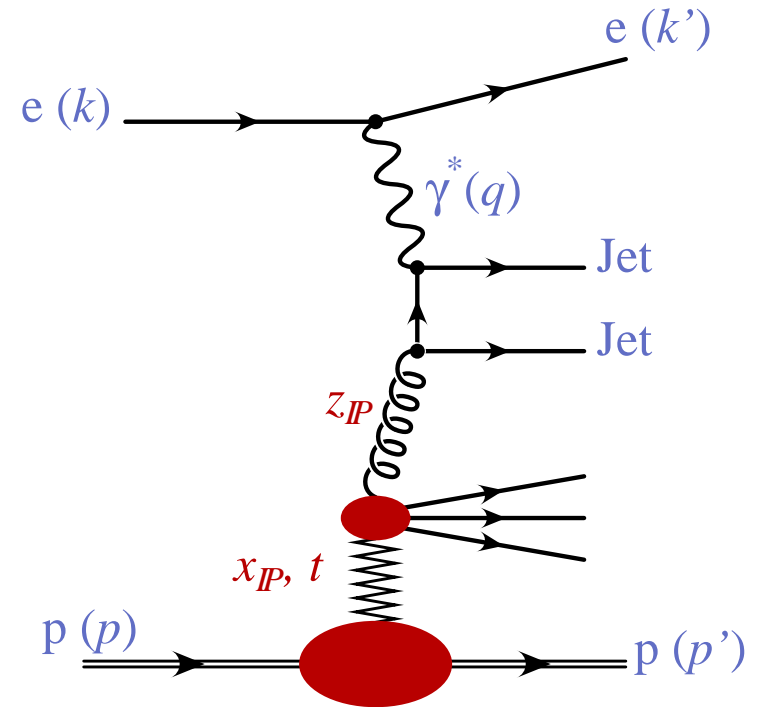
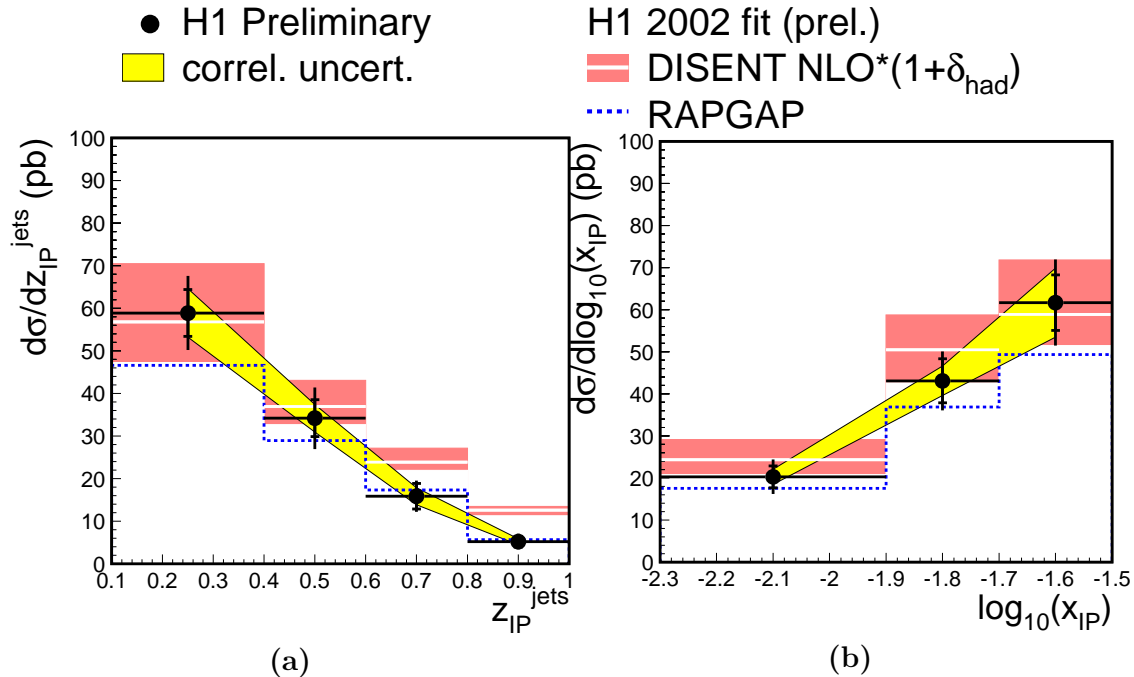
- Data using tagged leading proton
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- ➔ charm prediction

➔ QCD factorization works for Charm in Diffraction with  $Q^2 > 4$  GeV<sup>2</sup>

# Test of QCD factorisation: Dijet

Use diff PDFs to predict Dijet production

## H1 Diffractive DIS Dijets

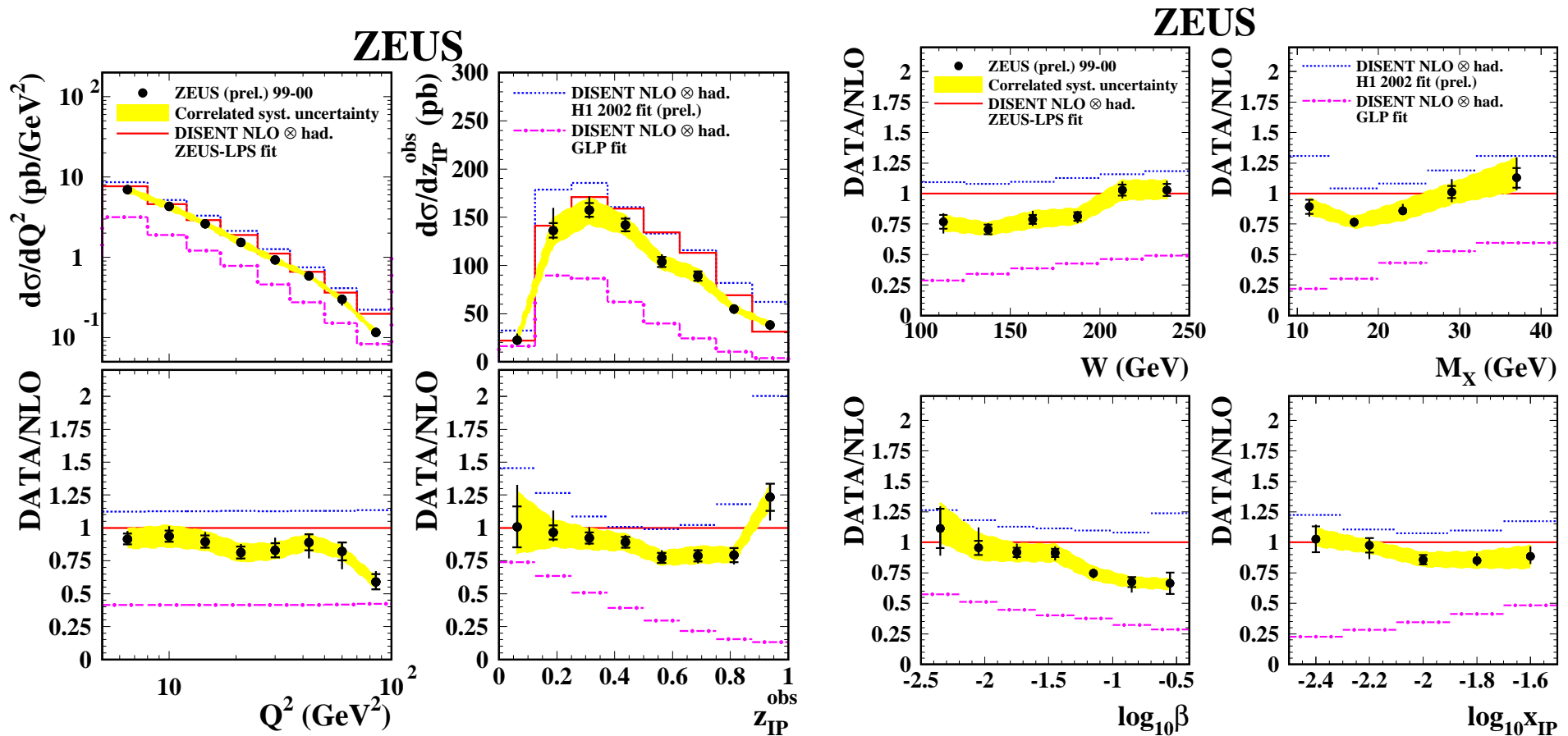


- $Q^2 > 4 \text{ GeV}^2$ ,  $P_T^{\text{jet}1(2)} > 5(4) \text{ GeV}$
- Normalisation and shape OK.

→ QCD factorization works within hard Diffraction (in DIS regime)



# Test of QCD factorisation: ZEUS Dijet

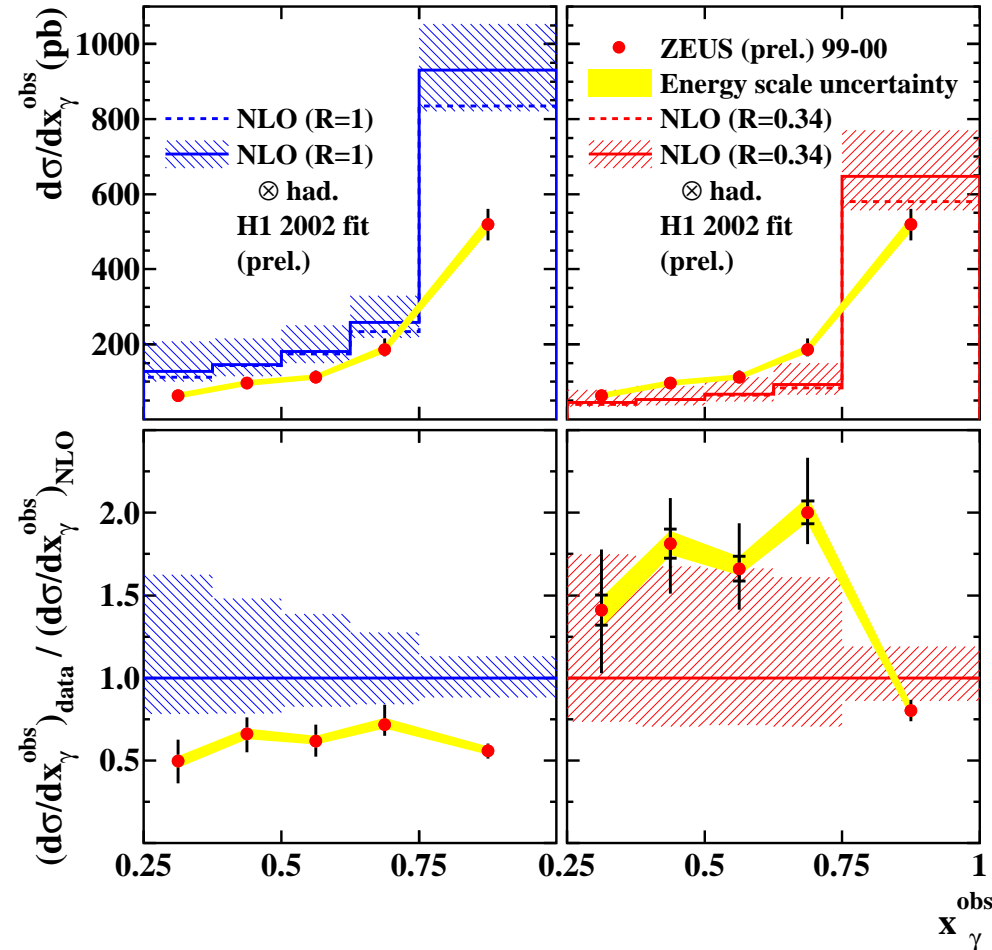


ZEUS concludes: "...differences between PDF predictions may be interpreted as an estimate of the uncertainty..."

"A better understanding of the PDFs and their uncertainties is required before a firm statement about the validity of the QCD factorisation"

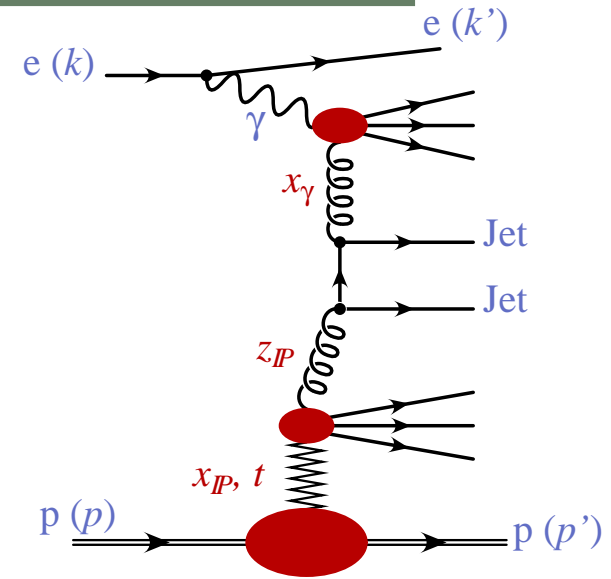
# HERA: Factorisation test: Dijet in Photoproduction

Real photon ( $Q^2 \simeq 0$ ) can develop a hadronic structure



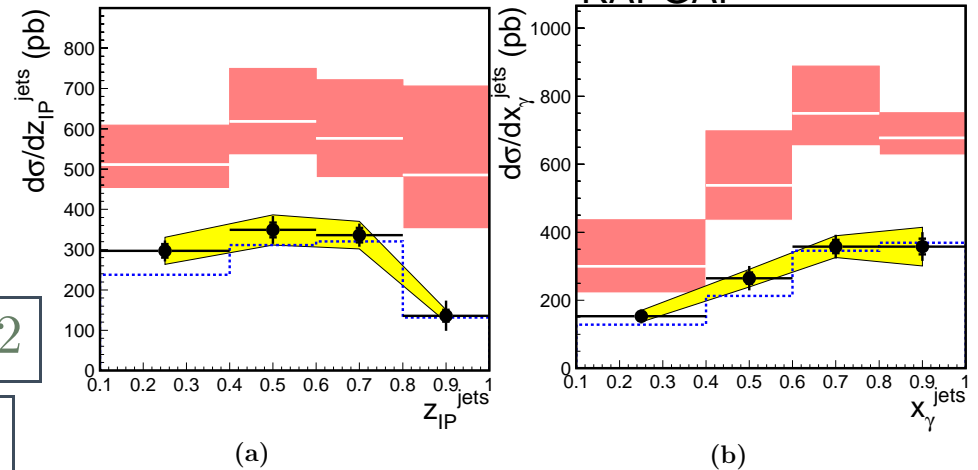
→ NLO prediction above by a factor  $\simeq 2$

Suppression of both resolved and direct

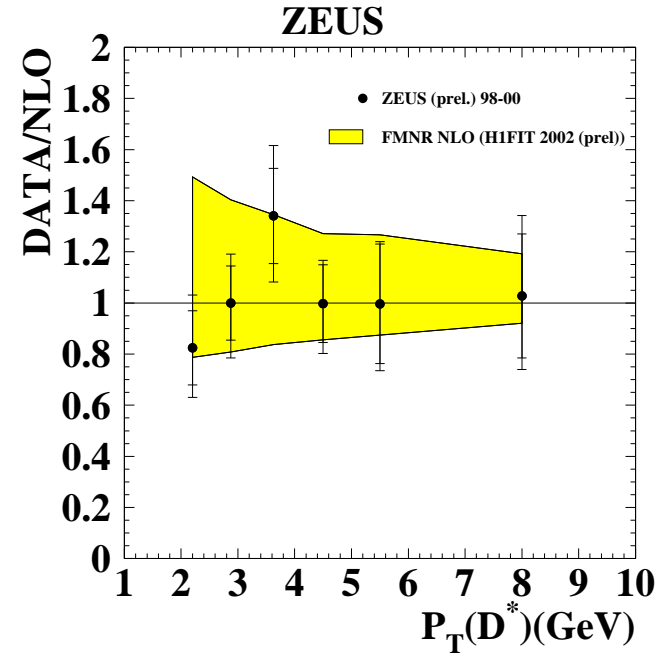
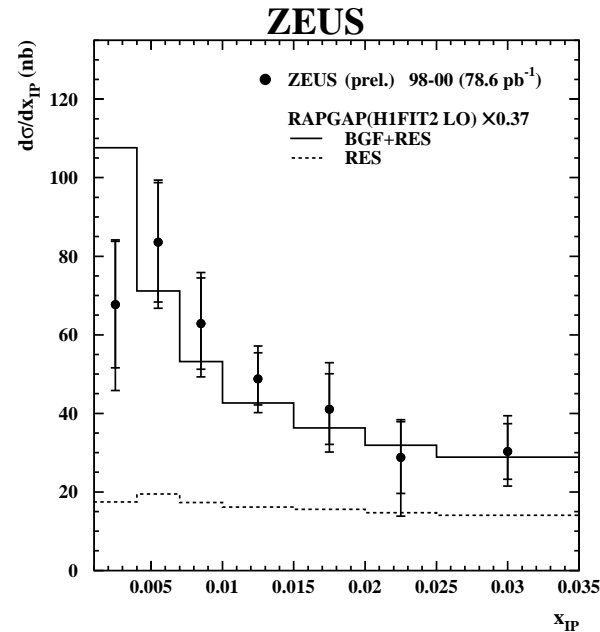
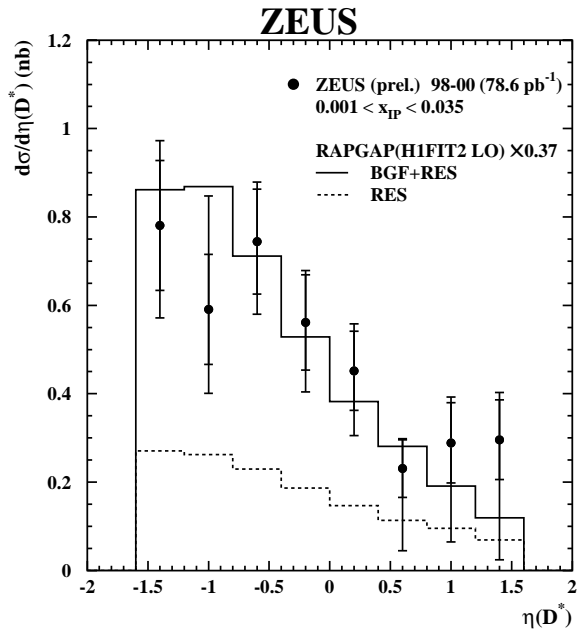


## H1 Diffractive $\gamma p$ Dijets

- H1 Preliminary
- correl. uncert.
- FR NLO\*(1+ $\delta_{had}$ )
- ⋯ RAPGAP



# Test of QCD factorisation: Charm in Photoprod.



- $Q^2 < 4 \text{ GeV}^2$ ,  $P_T^{D^*} > 1.9 \text{ GeV}$ ,  $\eta_{D^*} < 1.6$
- $130 < W < 300 \text{ GeV}$ ,  $0.001 < x_{IP} < 0.035$
- Shape OK but not normalisation.

→ factorisation broken as for Dijets

# Summary of QCD Factorization tests

Assuming H1Fit 2002 is correct

- HERA - Diffraction in DIS regime

- $D^*$  (H1 and ZEUS) validate
- Di-jets (H1 and ZEUS) validate

- Tevatron

- Di-jets in single Diff. (CDF) factor 10 lower than expected from HERA PDFs
- Double Pomeron exchange (CDF) factor 2-1 lower (OK?)
- same in soft and hard diffraction

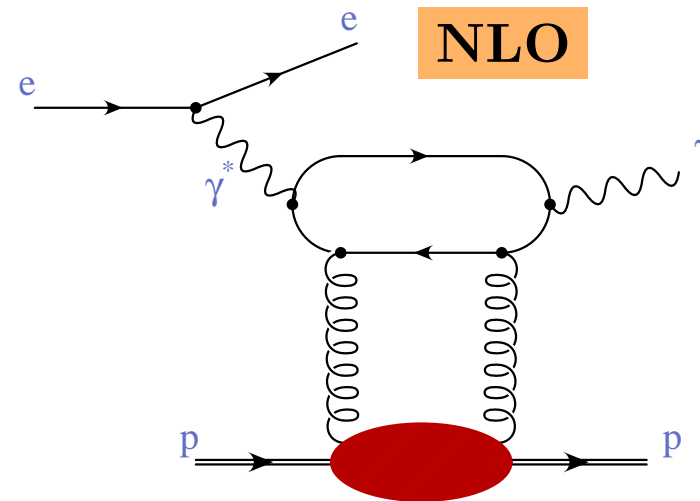
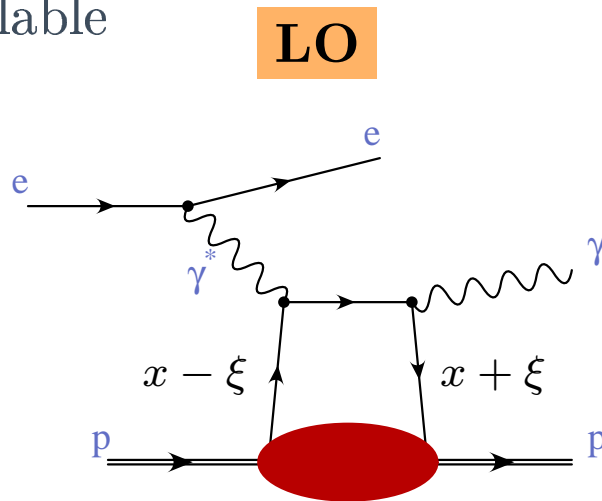
- HERA - Diffraction in photoproduction

- Di-jets (H1 and ZEUS) data below NLO QCD (factor 0.34).
- Di-jets: global suppression of both resolved and direct component
- $D^*$  (ZEUS) data below NLO QCD (factor 0.37).

**=> Better understanding of PDFs and their uncertainties  
needed for firm statements**

# DVCS - QCD predictions

- Fully calculable in QCD



- NLO leading twist (+ twist three) calc. by [A. Freund and M. McDermott](#) Eur.Phys.J. **C23** (2002) 651. Input: **GPDs**

DGLAP region:  $|x| > \xi$

$$\mathcal{H}^q(x, \xi, t; \mu^2) = q(x; \mu^2) e^{-b|t|} \quad \text{q singlet}$$

$$\mathcal{H}^g(x, \xi, t; \mu^2) = x g(x; \mu^2) e^{-b|t|} \quad \text{gluons}$$

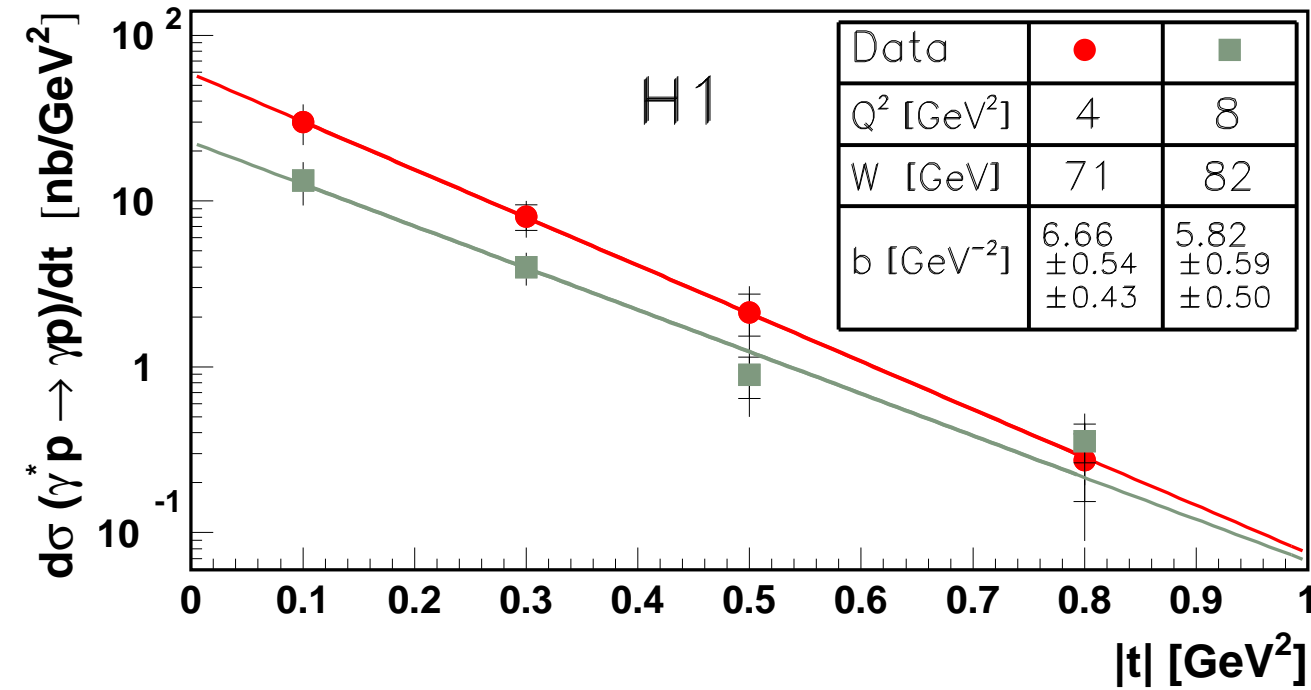
MRST2001 and CTEQ6

ERBL region:  $|x| < \xi$

simple analytic function

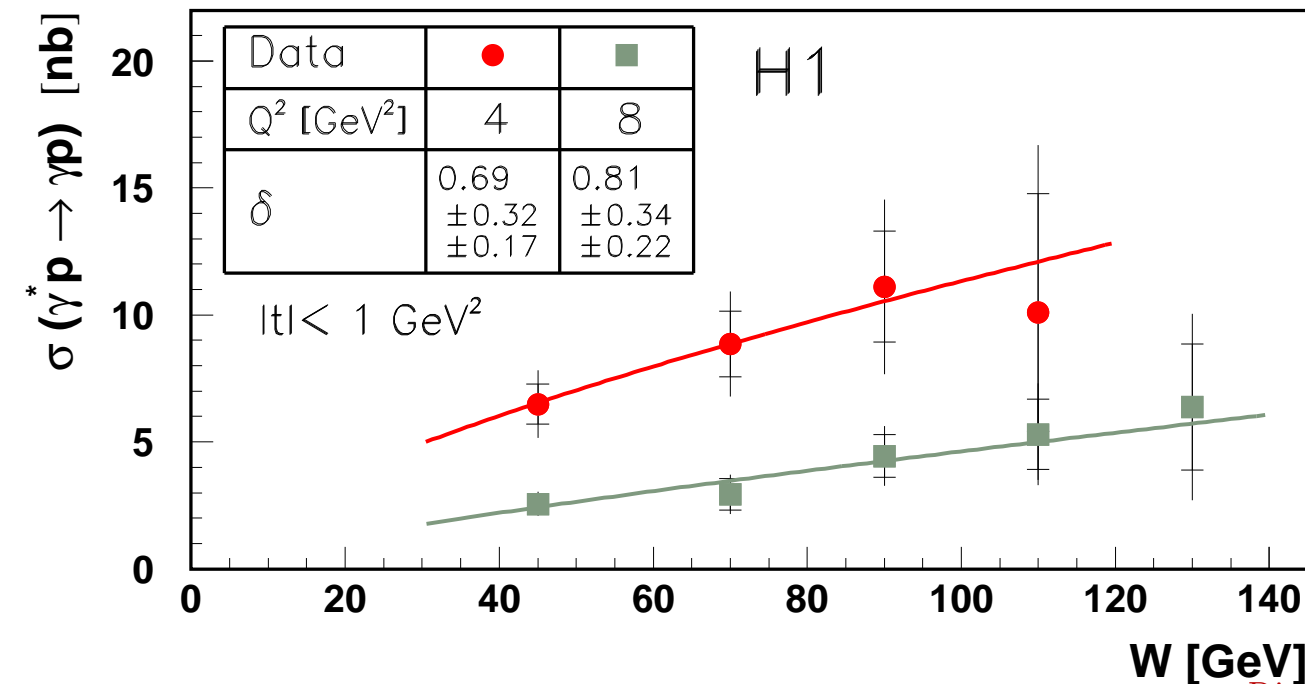
→  $Q^2$  and  $\xi$  generated dynamically

$b$  from the data



→ First measurement of the  $t$  slope

combined samples:  
 $b = 6.02 \pm 0.35 \pm 0.39 \text{ GeV}^{-2}$



→  $W$  dependence for two  $Q^2$  values

→ Fit  $W^\delta$ :

→ indication of a hard regime (comparable to  $J/\Psi$ )

## H1-ZEUS Comparison

→ Agreement

Fit in  $Q^2$ :  $(Q^2)^{-n}$

→  $n = 1.54 \pm 0.09 \pm 0.04$

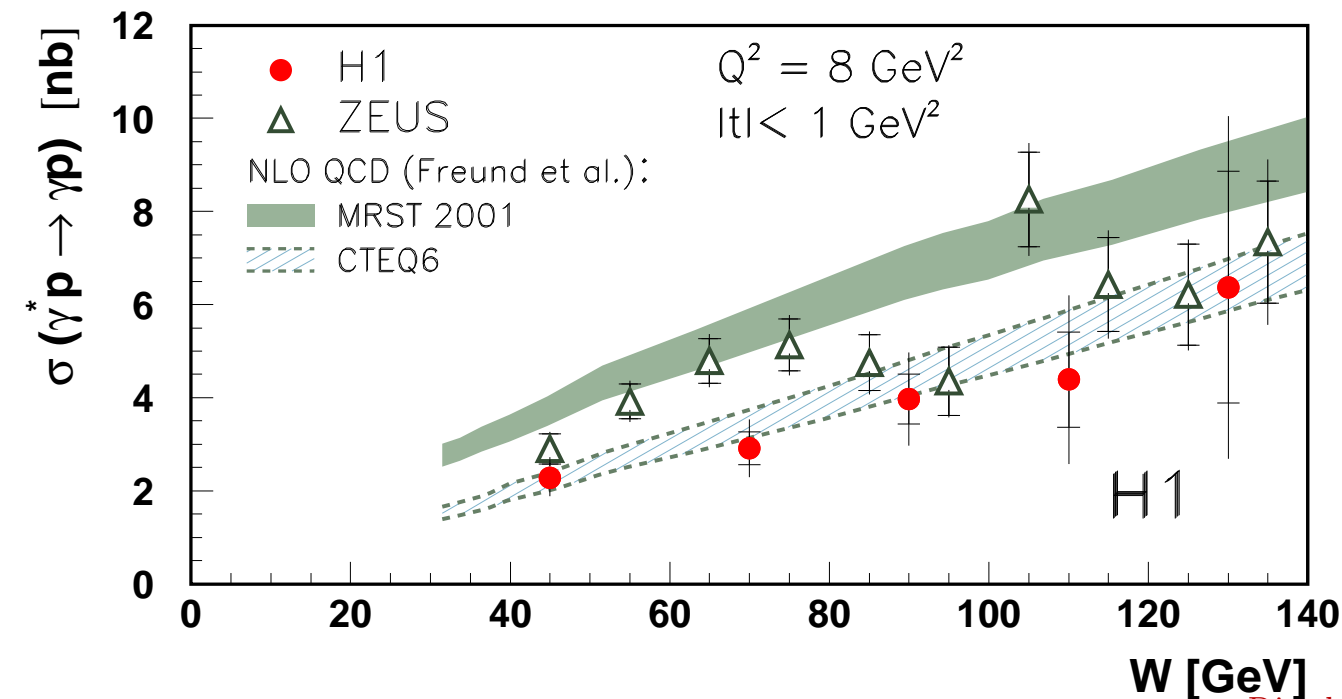
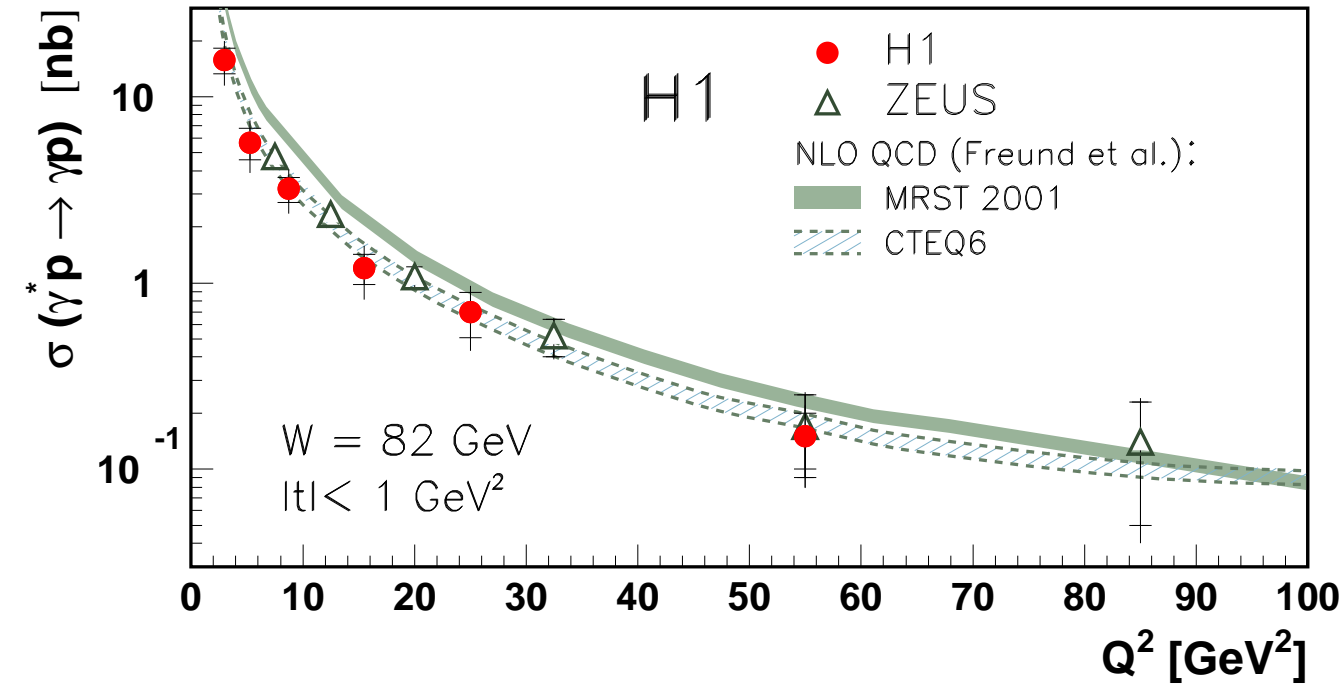
→  $n$  smaller than for VM  
( $n(\rho) = 2.60 \pm 0.04$ )

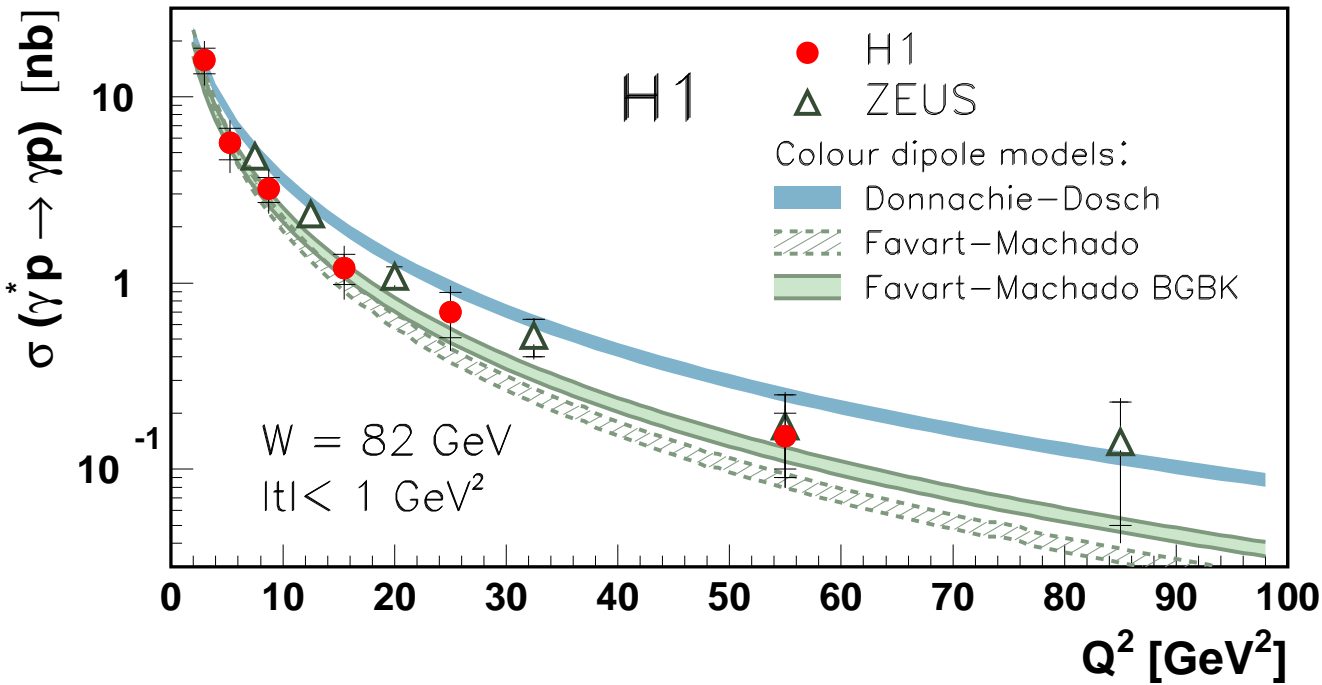
## Comparison to NLO QCD:

- Band width provided by  $b$  measurement.

→ Good description by QCD-NLO calculations

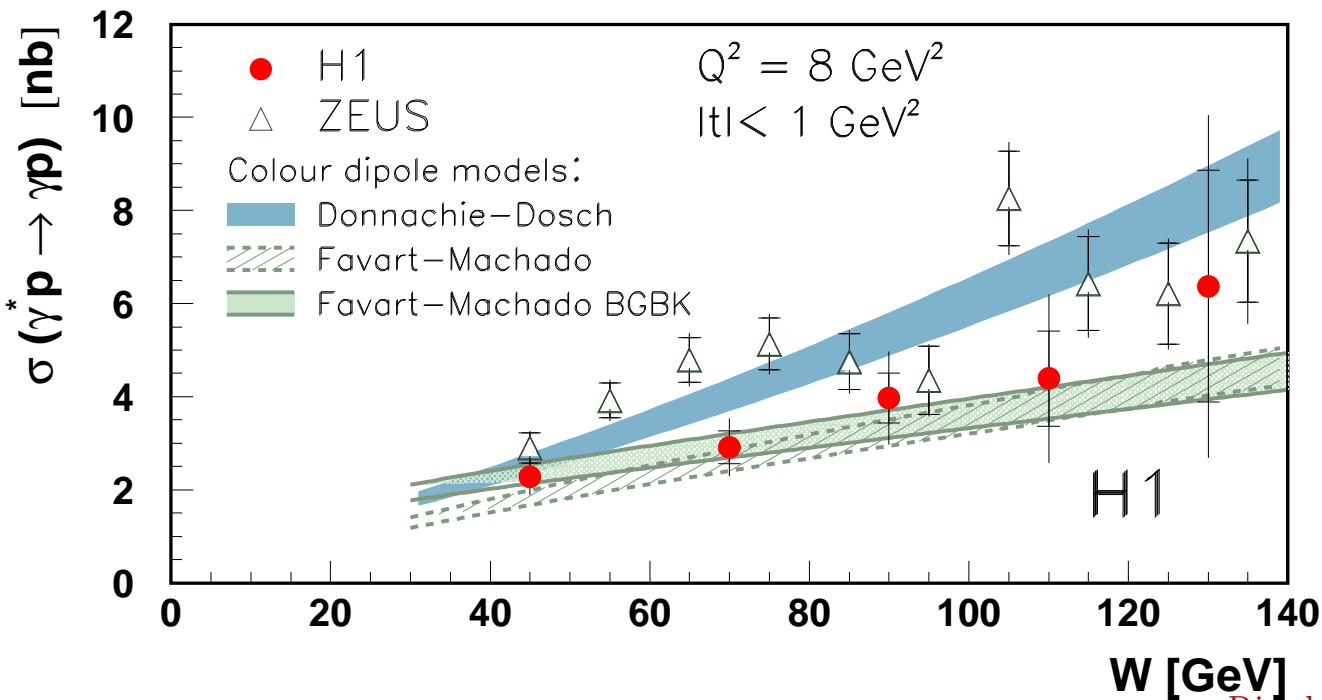
→ No need for intrinsic skewing





## Comparison to Dipole Models

- Good normalisation and shape description
- Improvement in FM when DGLAP evol. included

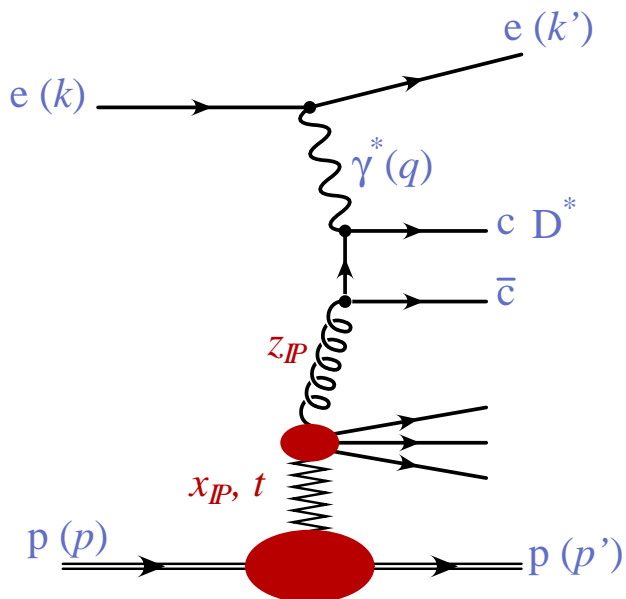


- Still improved if  $b(Q^2)$  used as observed for  $\rho$

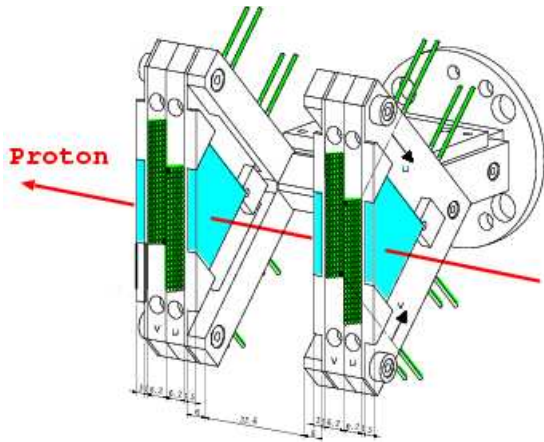


# Future

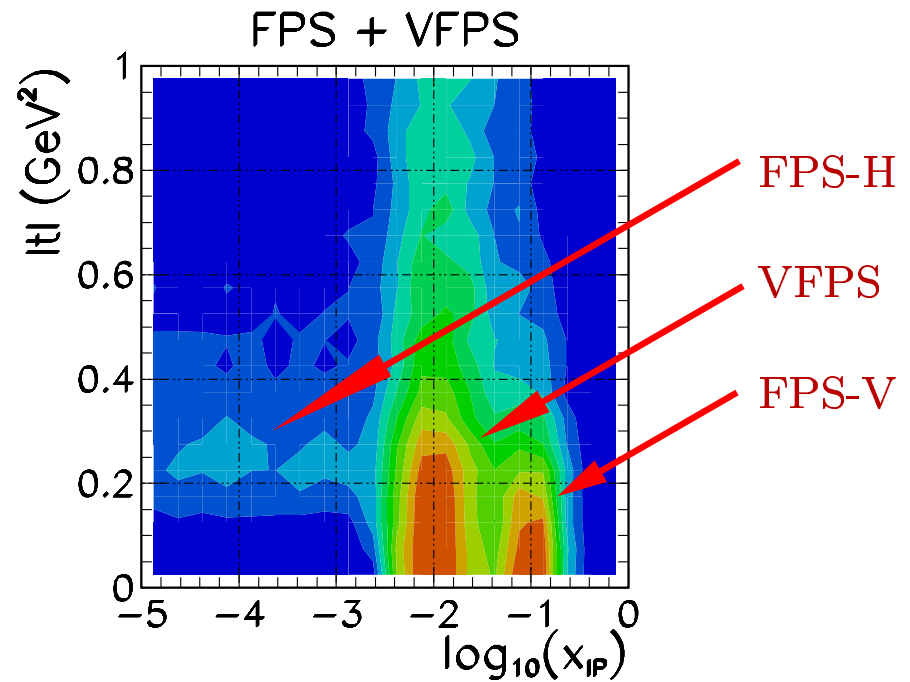
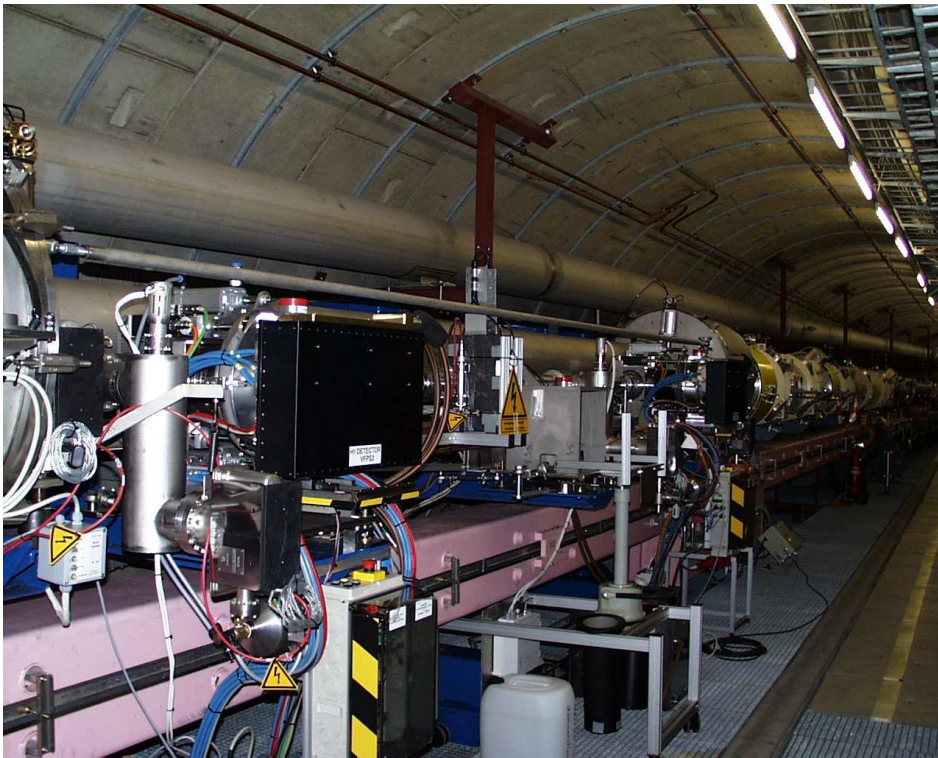
- HERA - more data - in part.  $D^*$ , VM, DVCS
- $F_2^{D^{(3)}}(\beta, Q^2, x_{\mathbb{P}})$ ,  $F_2^{D^{(4)}}(\beta, Q^2, x_{\mathbb{P}}, t)$
- measure the  $t$  slope of  $D^*$  and Di-jet in both electro and photoprod.
- ratio of incl. di-jet to diff di-jet in photoprod.
- same for  $D^*$



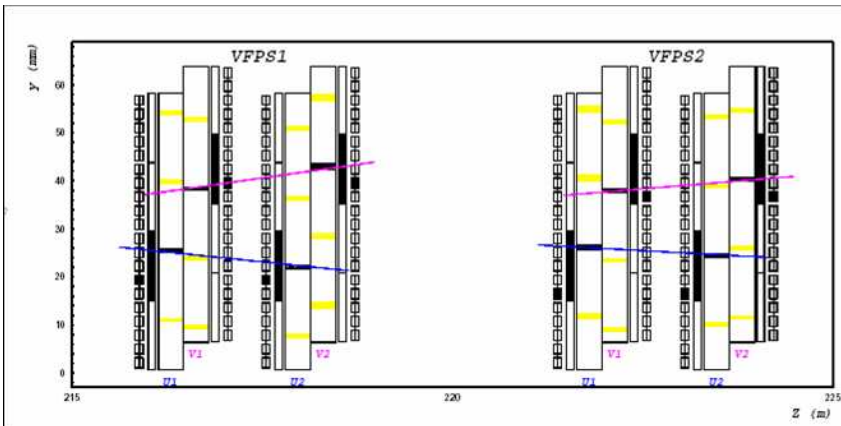
# H1 at HERA II



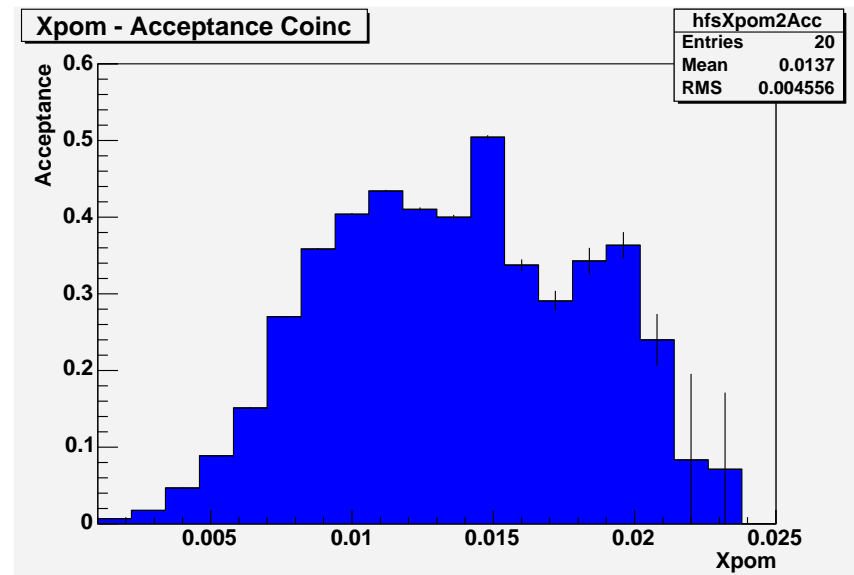
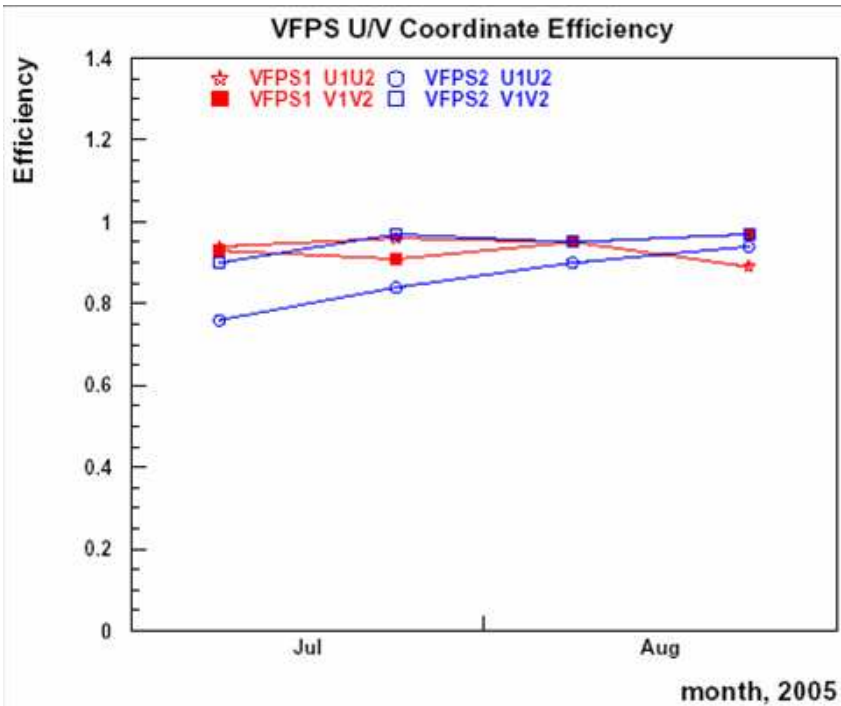
- Scintillating fiber detector
  - Free of proton dissociation bkgd
  - proton 4-momentum measurement  $\rightarrow t$
- $\rightarrow$  commissioning January 2004



# VFPS - First year of data



- High efficiency
- Acceptance in the expected  $x_P$  region
- High acceptance



# Conclusion

- Diffraction is a subtle QCD process.
- the partonic structure of the exchanged object in diffraction has been measured.
- it is dominated by gluons.
- Diffractive Structure functions can be factorised in DIS regime (large  $Q^2$ ) in  $\gamma^* - p$  interactions
- Factorisation broken  $pp^*$  (Tevatron)).
- Factorisation broken in photoproduction (dijets and  $D^*$ ).
- better measurement and understanding of DPDFs are needed
- **DVCS**: first  $t$  slope measurement
- very good agreement with NLO QCD (absolute) prediction
- Sensitivity to gluon density and parton correlations (GPDs).