

# Heavy flavour production in high energy *ep* collisions

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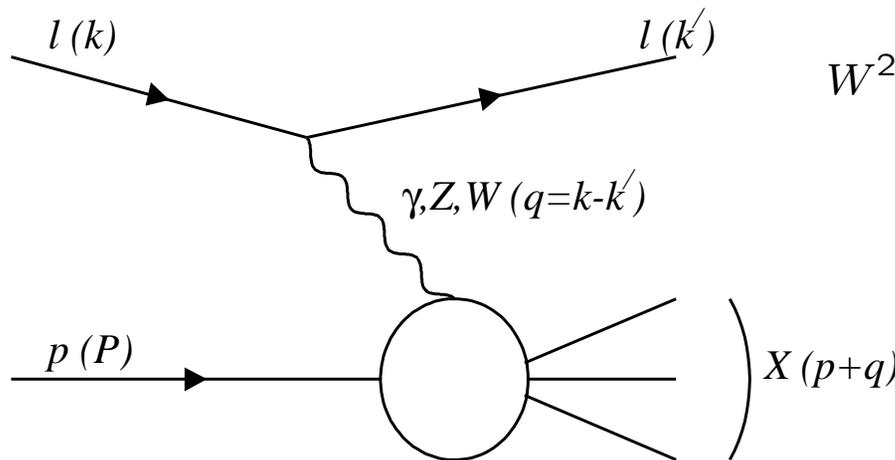


- Introduction
- Inelastic  $J/\psi$  production
- Charm fragmentation
- Charm with jets
- $F_2^{c\bar{c}}$  and  $F_2^{b\bar{b}}$
- Beauty dijets and dimuons
- Summary and Outlook



# Introduction

- H1 and ZEUS @ HERA collider: 920 (820) GeV  $p$  on 27.5 GeV  $e^\pm$
- Hard scale:  $m_Q \gg \Lambda_{QCD} \Rightarrow$  perturbative treatment
- Factorisation in perturbative QCD:  
 $\sigma =$  parton distributions  $\otimes$  hard scattering  $\otimes$  fragmentation/hadronisation
- Test pQCD, provide experimental input for parton distributions, fragmentation functions



$$s = (k + P)^2, \quad \sqrt{s} = 300 \text{ or } 318 \text{ GeV}$$

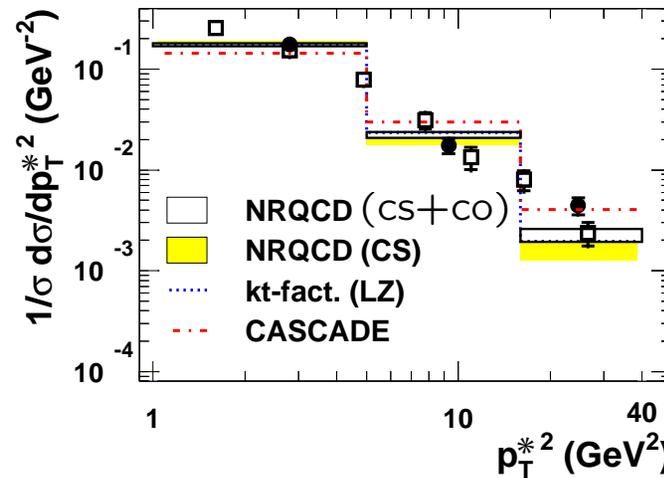
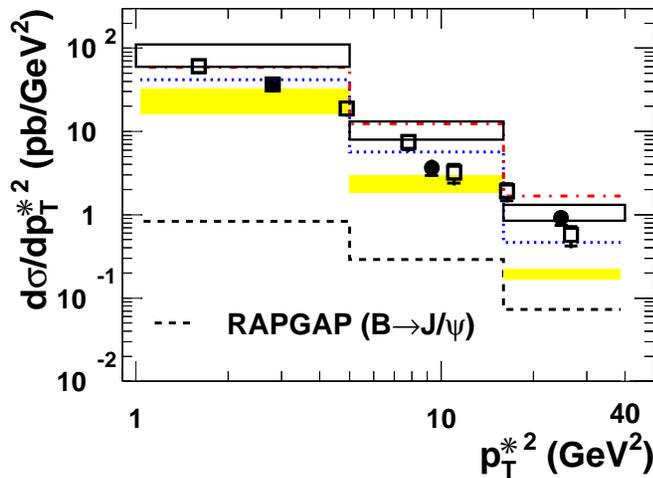
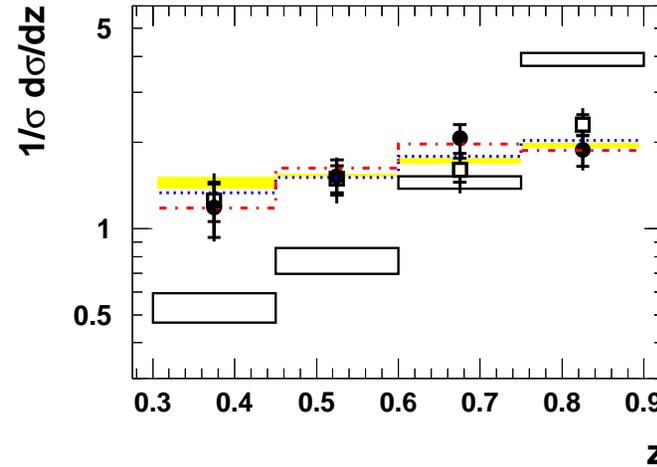
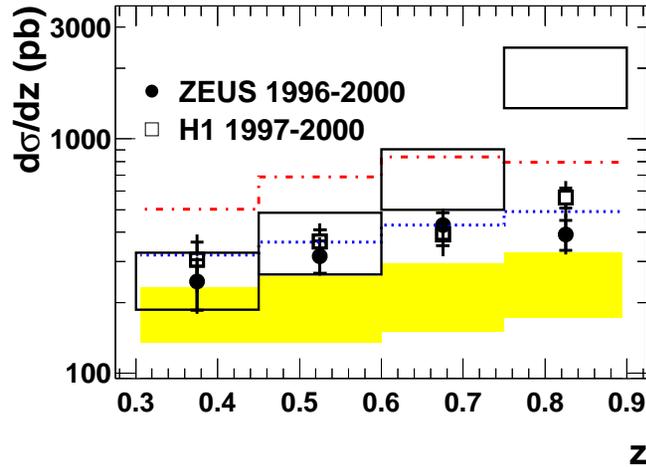
$$W^2 = (q + P)^2, \quad Q^2 = -q^2, \quad x_{Bj} \equiv x = \frac{Q^2}{2(P \cdot q)}$$

$$y = (q \cdot P) / (k \cdot P)$$

photoproduction:  $Q^2 < 1 \text{ GeV}^2$

DIS:  $Q^2 \gtrsim 1 \text{ GeV}^2$

# Inelastic $J/\psi$ production in DIS



$$\mathcal{L} = 109 \text{ pb}^{-1}$$

$$2 < Q^2 < 100 \text{ GeV}^2$$

$$50 < W < 225 \text{ GeV}$$

$$0.3 < z < 0.9$$

$$p_T^{*2} > 1 \text{ GeV}^2$$

$$z = (p_\psi \cdot P)/(q \cdot P)$$

LO NRQCD: DGLAP gluon

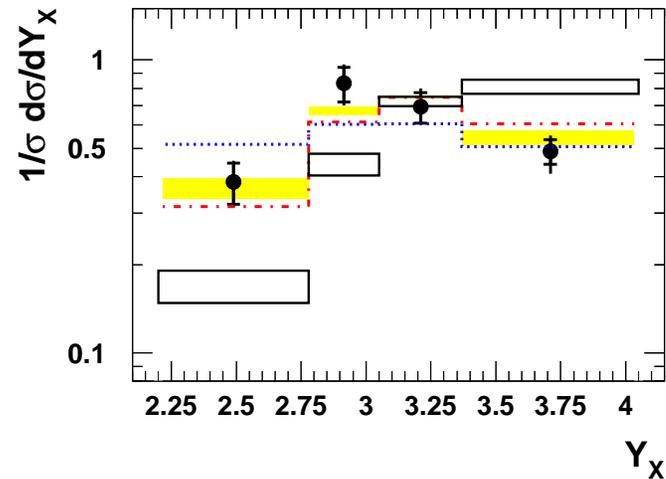
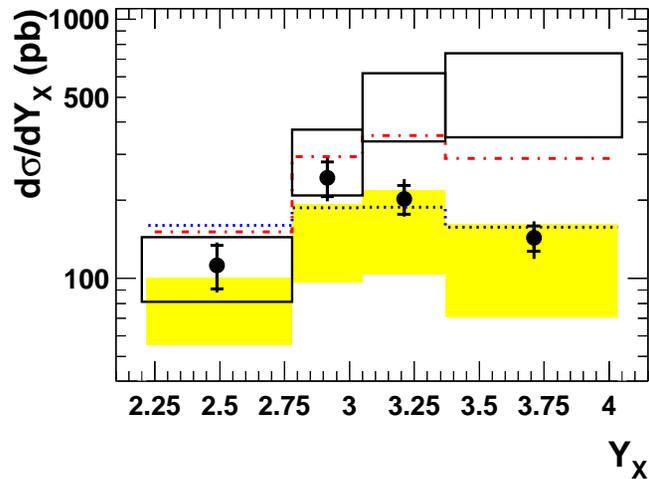
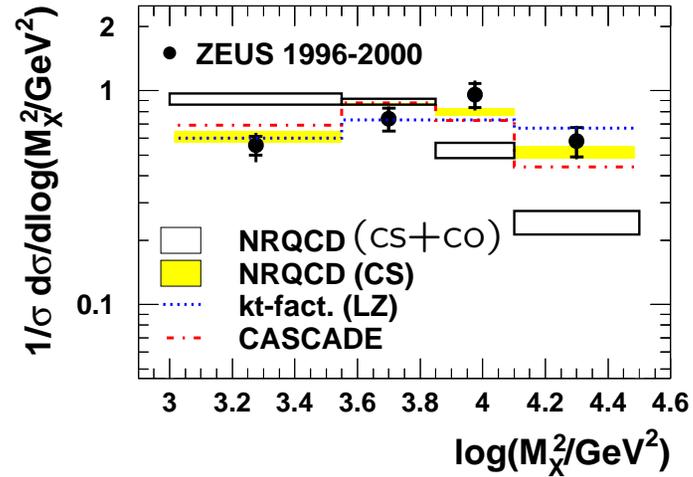
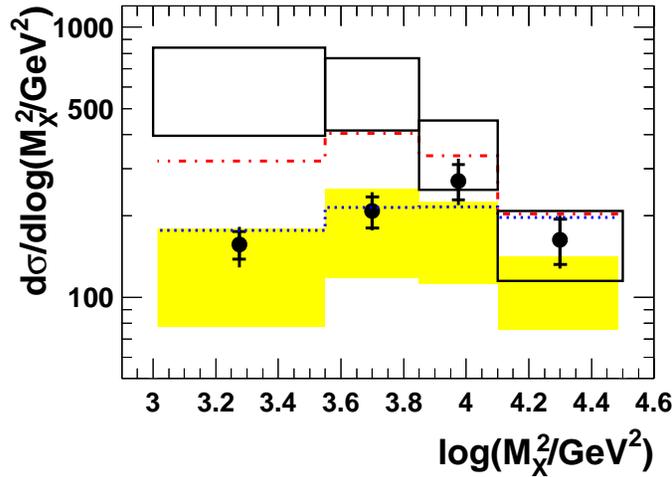
LO NRQCD: CS ⊕ CO (w/o soft gluon resum.)

kt-fact.: BFKL gluon

CASCADE: CCFM gluon

kt-fact.&CASCADE: CSM

# Inelastic $J/\psi$ production in DIS



$2 < Q^2 < 80 \text{ GeV}^2$   
 $50 < W < 250 \text{ GeV}$   
 $0.2 < z < 0.9$

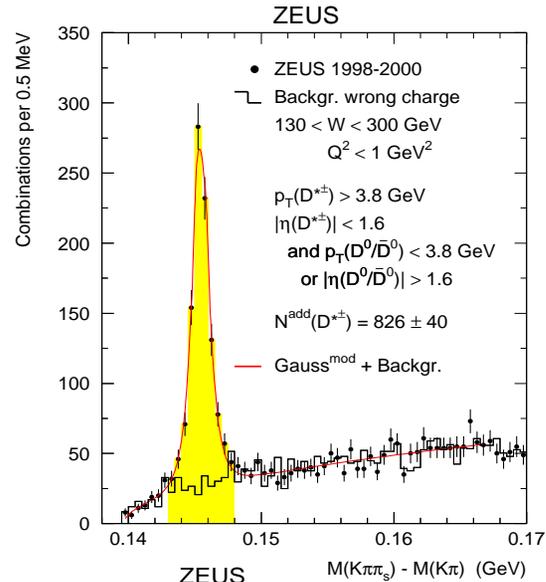
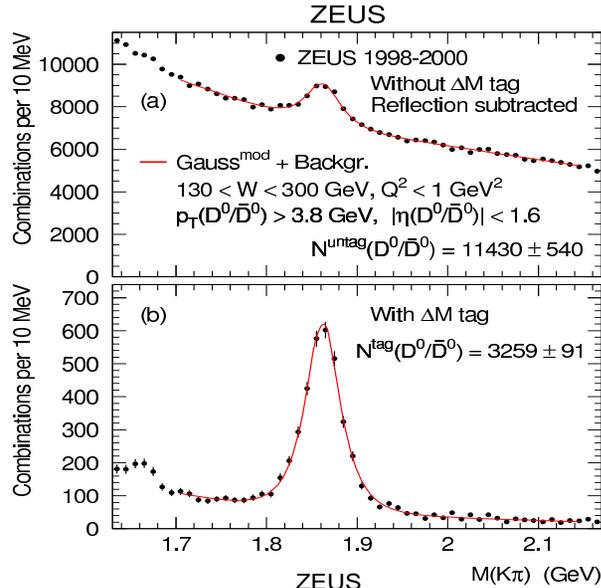
Measurement of hadronic final state

LO CS generally agree with data

LO CO contributions w/o resummation spoil agreement

kt-fact.: best description of data

# Fragmentation ratios/fractions



Charm resonances Zoo:

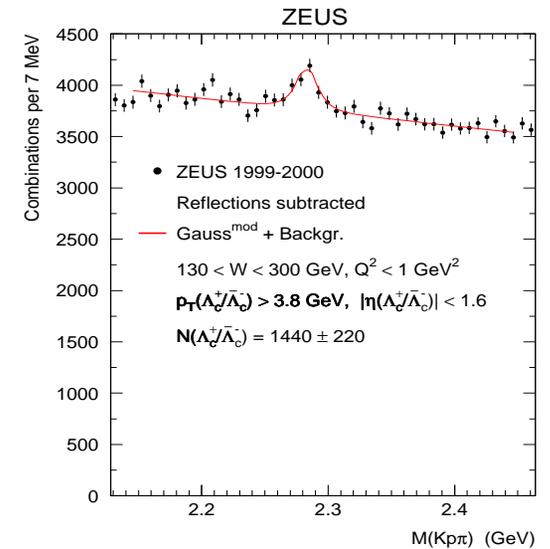
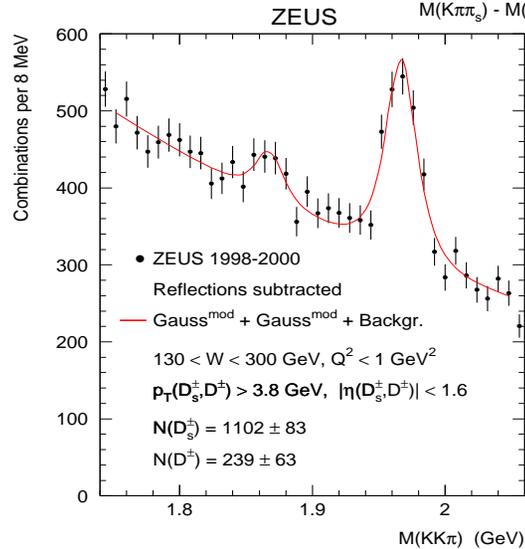
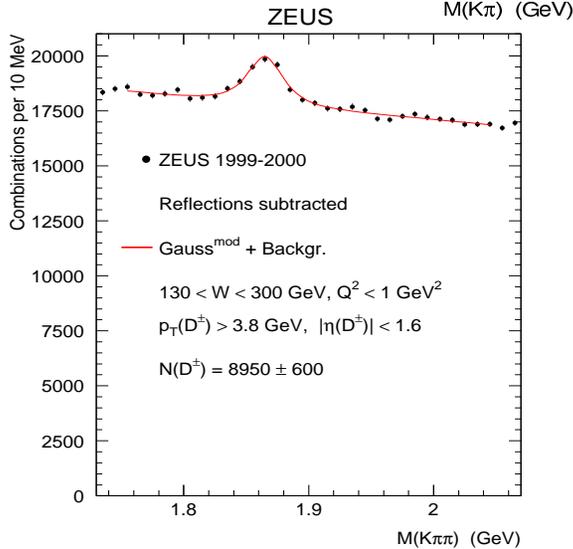
$$\mathcal{L} = 79 \text{ pb}^{-1}$$

$$Q^2 < 1 \text{ GeV}^2$$

$$130 < W < 300 \text{ GeV}$$

$$p_T(D, \Lambda_c) > 3.8 \text{ GeV}$$

$$|\eta(D, \Lambda_c)| < 1.6$$



# Fragmentation ratios/fractions

	Neutral to charged $D$ -meson ratio $R_{u/d} = (cu)/(cd)$
ZEUS ( $\gamma p$ )	<span style="border: 1px solid black; padding: 2px;">1.100</span> $\pm 0.078$ (stat.) $^{+0.038}_{-0.061}$ (syst.) $^{+0.047}_{-0.049}$ (br.)
H1 (DIS)	$1.26 \pm 0.20$ (stat.) $\pm 0.11$ (syst.) $\pm 0.04$ (br. $\oplus$ theory)
combined $e^+e^-$ data	$1.020 \pm 0.069$ (stat. $\oplus$ syst.) $^{+0.045}_{-0.047}$ (br.)

$R_{u/d} \sim 1$ :  $u$  and  $d$  quarks produced equally in charm fragmentation (isospin invariance)

	Strangeness-suppression factor $\gamma_s = (2cs)/(cd + cu)$
ZEUS ( $\gamma p$ )	<span style="border: 1px solid black; padding: 2px;">0.257</span> $\pm 0.024$ (stat.) $^{+0.013}_{-0.016}$ (syst.) $^{+0.078}_{-0.049}$ (br.)
ZEUS 96-97	$0.27 \pm 0.04$ (stat.) $^{+0.02}_{-0.03}$ (syst.) $\pm 0.07$ (br.)
H1 (DIS)	$0.36 \pm 0.10$ (stat.) $\pm 0.01$ (syst.) $\pm 0.08$ (br. $\oplus$ theory)
combined $e^+e^-$ data	$0.259 \pm 0.023$ (stat. $\oplus$ syst.) $^{+0.087}_{-0.052}$ (br.)

$s$ -quark production suppressed by factor 3-4 in  $c$ -fragmentation

	Fraction of charged vector $D$ mesons $P_V^d = (V)/(V + PS)$
ZEUS ( $\gamma p$ )	<span style="border: 1px solid black; padding: 2px;">0.566</span> $\pm 0.025$ (stat.) $^{+0.007}_{-0.022}$ (syst.) $^{+0.022}_{-0.023}$ (br.)
H1 (DIS)	$0.693 \pm 0.045$ (stat.) $\pm 0.004$ (syst.) $\pm 0.009$ (br. $\oplus$ theory)
combined $e^+e^-$ data	$0.614 \pm 0.019$ (stat. $\oplus$ syst.) $^{+0.023}_{-0.025}$ (br.)

Value not consistent with naive spin counting (0.75)

# Charm fragmentation fractions

Fraction of  $c$  quarks hadronising as a hadron:

$$f(c \rightarrow D, \Lambda_c) = \frac{N(D, \Lambda_c)}{N(c)} = \frac{\sigma(D, \Lambda_c)}{\sum_{\text{all}} \sigma(D, 1.14 \cdot \Lambda_c)}$$

	ZEUS ( $\gamma p$ ) $p_T(D, \Lambda_c) > 3.8 \text{ GeV}$ $ \eta(D, \Lambda_c)  < 1.6$			Combined $e^+e^-$ data		H1 (DIS)
	stat.	syst.	br.	stat. $\oplus$ syst.	br.	total
$f(c \rightarrow D^+)$	$0.217 \pm 0.014$	$+0.013$ $-0.005$	$+0.014$ $-0.016$	$0.226 \pm 0.010$	$+0.016$ $-0.014$	$0.203 \pm 0.026$
$f(c \rightarrow D^0)$	$0.523 \pm 0.021$	$+0.018$ $-0.017$	$+0.022$ $-0.032$	$0.557 \pm 0.023$	$+0.014$ $-0.013$	$0.560 \pm 0.046$
$f(c \rightarrow D_s^+)$	$0.095 \pm 0.008$	$+0.005$ $-0.005$	$+0.026$ $-0.017$	$0.101 \pm 0.009$	$+0.034$ $-0.020$	$0.151 \pm 0.055$
$f(c \rightarrow \Lambda_c^+)$	$0.144 \pm 0.022$	$+0.013$ $-0.022$	$+0.037$ $-0.025$	$0.076 \pm 0.007$	$+0.027$ $-0.016$	
$f(c \rightarrow D^{*+})$	$0.200 \pm 0.009$	$+0.008$ $-0.006$	$+0.008$ $-0.012$	$0.238 \pm 0.007$	$+0.003$ $-0.003$	$0.263 \pm 0.032$

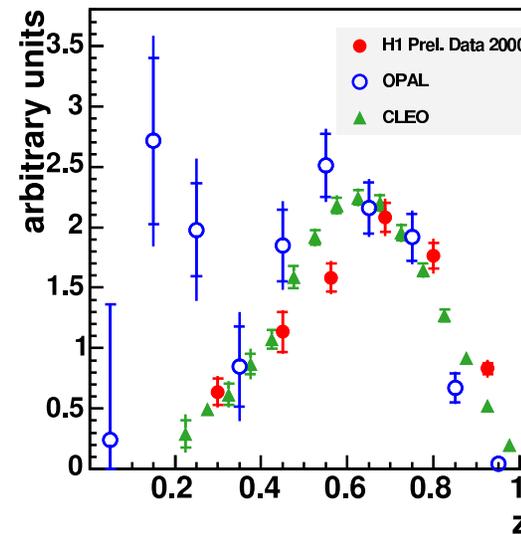
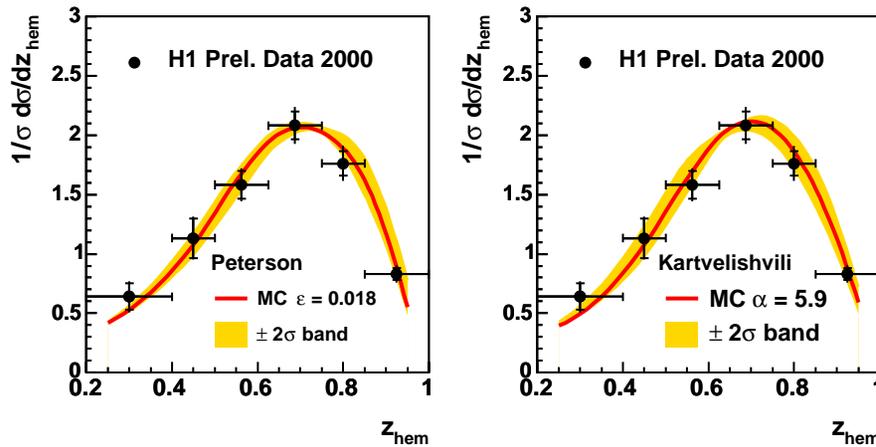
**charm fragmentation fractions are universal**

Competitive precision everywhere

# Fragmentation function in DIS

- Study parametrisation of the fractional transfer of  $c$  quark energy/momentum ( $z$ ) to a  $D$ -meson
- $2 < Q^2 < 100 \text{ GeV}^2, 0.05 < y < 0.7, p_T(D^*) > 1.5 \text{ GeV}, |\eta(D^*)| < 1.5, D^* \rightarrow K\pi\pi_s$
- Hemisphere method:  $c$ -quark energy approximated by energy of a hemisphere of  $D$ -meson,  $z_{hem} = (E + p_L)_{D^*} / \sum_{hem} (E + p_L)$ ,  $\gamma p$ -frame, analogy to  $e^+e^-$

RAPGAP  $\otimes$  Peterson/Kartvelishvili:



**H1** hemisphere method  
 $\langle \sqrt{s} \rangle \approx 10 \text{ GeV}$   
 $z = \frac{(E+p_L)_{D^*}}{\sum_{hem} (E+p)}$

**OPAL**  $\sqrt{s} = 91.2 \text{ GeV}$   
 $z = 2E_{D^*} / \sqrt{s}$

**CLEO**  $\sqrt{s} \approx 10 \text{ GeV}$   
 $z = p_{D^*} / p_{max}$

Peterson:  $f(z) \sim z^{-1} \left[ 1 - \frac{1}{z} - \frac{\varepsilon}{1-z} \right]^{-2}$

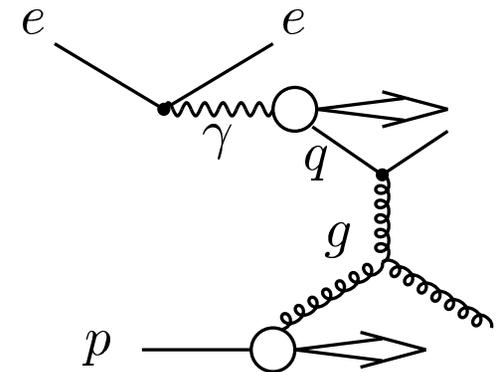
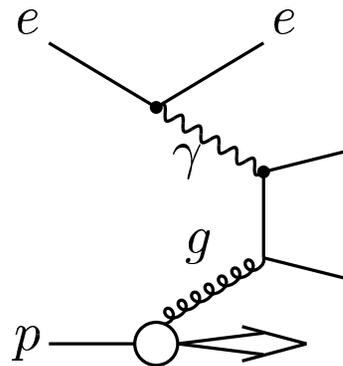
$$\varepsilon = \boxed{0.018^{+0.004}_{-0.004}}$$

Kartvelishvili:  $f(z) \sim z^\alpha (1-z)$

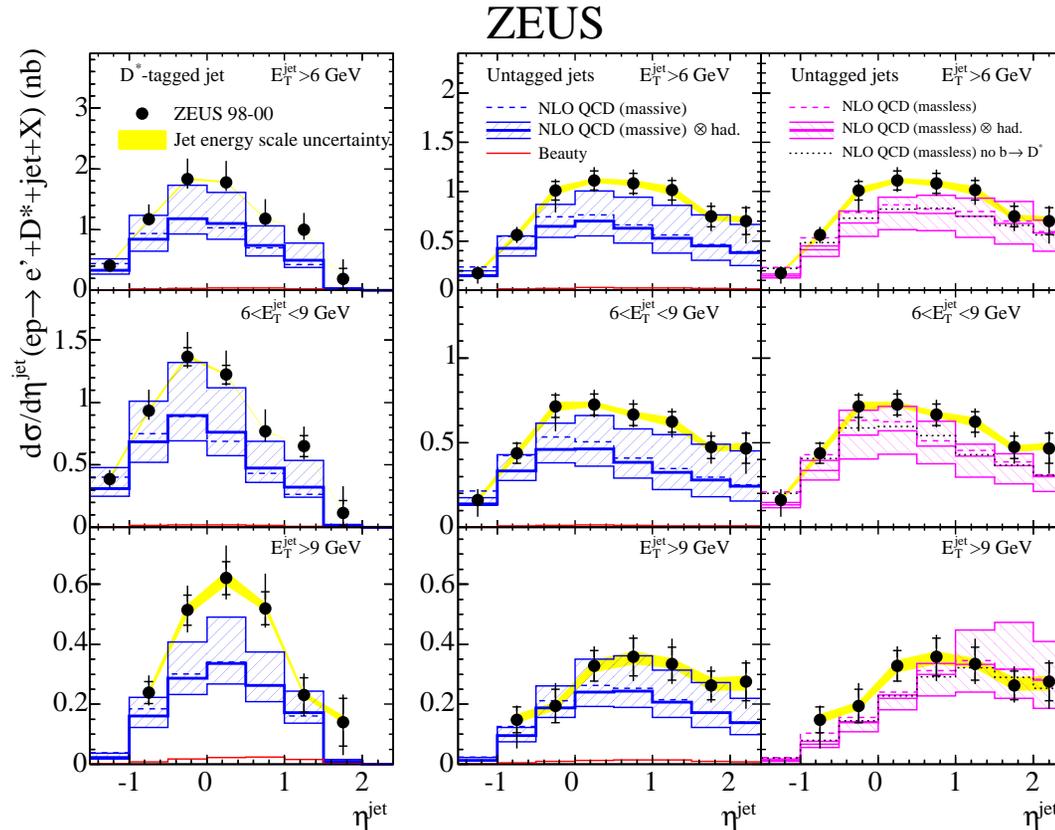
$$\alpha = \boxed{5.9^{+0.9}_{-0.6}}$$

# Heavy quarks with jets

- QCD NLO calculations (problem of many scales):
  - Massive scheme: no  $p_T/m_Q$  logs resummation, valid for  $0 \leq p_T \lesssim \text{few} \times m_Q$ , three active partons, no heavy quark excitation
  - Massless scheme:  $\alpha_s \ln(p_T^2/m_Q^2)$  terms resummed, valid for  $p_T \gtrsim \text{few} \times m_Q$ , breaks down for  $p_T \lesssim m_Q$ , up to five active partons, fragmentation into massive hadrons after hard scatter
  - Combined schemes



# Inclusive charm jets (photoproduction)



$$\mathcal{L} = 79 \text{ pb}^{-1}$$

$$Q^2 < 1 \text{ GeV}^2$$

$$130 < W < 280 \text{ GeV}$$

$$p_T^{D^*} > 3 \text{ GeV}, |\eta^{D^*}| < 1.5$$

$k_T$  clust. algorithm

$$E_T^{\text{jet}} > 6 \text{ GeV}$$

$$-1.5 < \eta^{\text{jet}} < 2.4$$

$D^*$ -tag :

$$\Delta R = \sqrt{\Delta\eta^2 + \Delta\phi^2} < 0.6$$

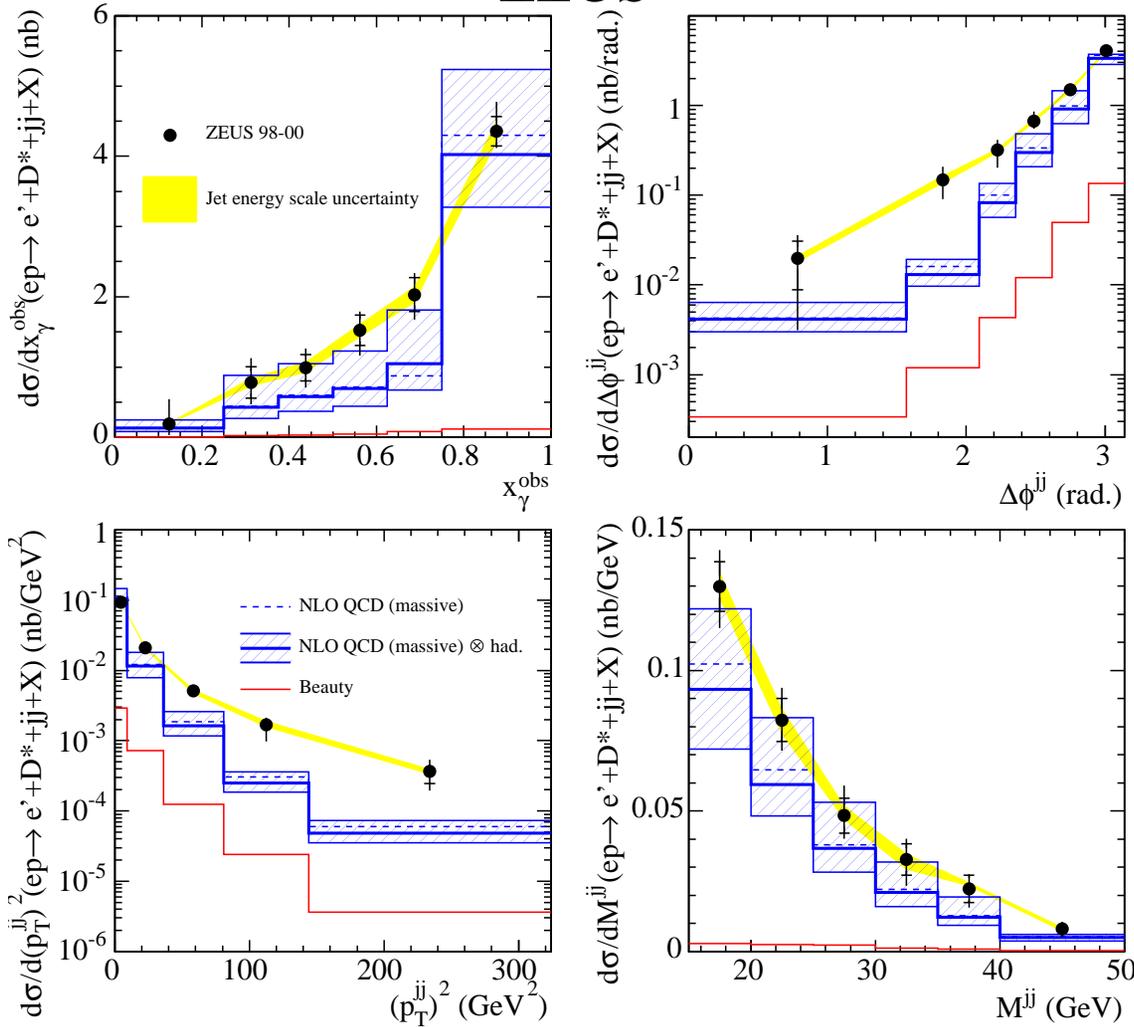
Massive: FMNR

Massless: Heinrich, Kniehl  
(only untagged jets)

- For all  $E_T$ : shapes described by NLO QCD, normalisation (almost) described by upper bound
- No excess in forward as for inclusive jets

# Charm jet correlations (photoproduction)

ZEUS



- Dijet sample:  $E_T^{\text{jet}} > 6 \rightarrow E_T^{\text{jet1}} > 7, E_T^{\text{jet2}} > 6$  GeV
- $x_\gamma^{\text{obs}} = \sum_{\text{jets}} E_T \exp^{-\eta} / (2yE_e)$ : fraction of photon energy in hard interaction
- $\Delta\phi^{jj} = \pi, (p_T^{jj})^2 = 0$  for lowest order  $2 \rightarrow 2$  process in collinear calculations  $\Rightarrow$  correlations sensitive to HO corrections
- Large deviations from NLO QCD at low  $\Delta\phi^{jj}$  and high  $(p_T^{jj})^2$  enhanced for resolved-enriched ( $x_\gamma^{\text{obs}} < 0.75$ ) sample; HERWIG describes data
- sensitivity to even higher order corrections

# Shape of jets in charm photoproduction

- Insight into hard scatter process using jet structure variables: jet shapes initiated by quarks and gluons are expected to be different

$$\psi(r) = p_T^{\text{jet}}(r) / p_T^{\text{jet}}(r = R(= 1)), r = \sqrt{(\Delta\eta^2 + \Delta\phi^2)}$$

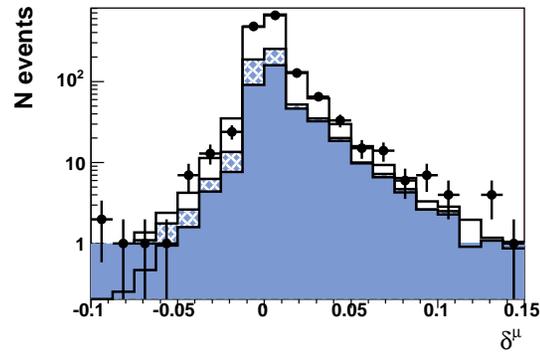
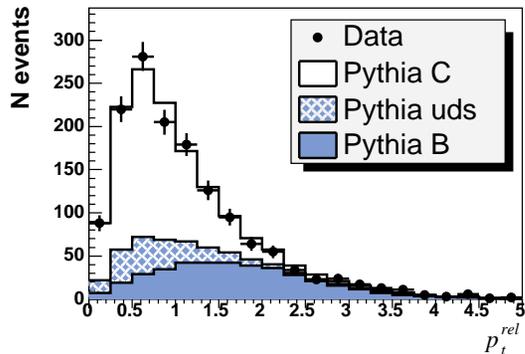
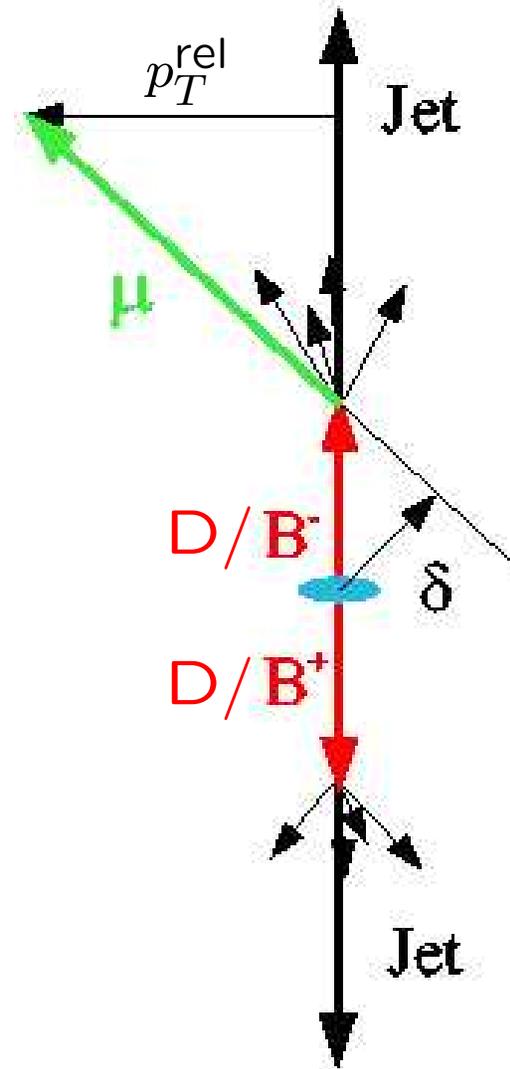
$$\langle \psi(r) \rangle = \frac{1}{N_{\text{jets}}} \sum_{\text{event sample}} \psi(r)$$

- Two photoproduction dijet samples (with and w/o charm-tag)
- Charm-tag using semi-leptonic decay muon with high  $p_T$

$\mathcal{L} = 48 \text{ pb}^{-1}$		
	Dijet+muon	Dijet
$Q^2$	$< 1 \text{ GeV}^2$	$< 0.01 \text{ GeV}^2$
$y$	0.2 ... 0.8	0.3 ... 0.65
inclusive kt cluster algorithm		
$p_T^{\text{jet}}$	$> 7$ and $> 6 \text{ GeV}$	
$ \eta^{\text{jet}} $	$< 1.7$	
$p_T^\mu$	$> 2.5 \text{ GeV}$	

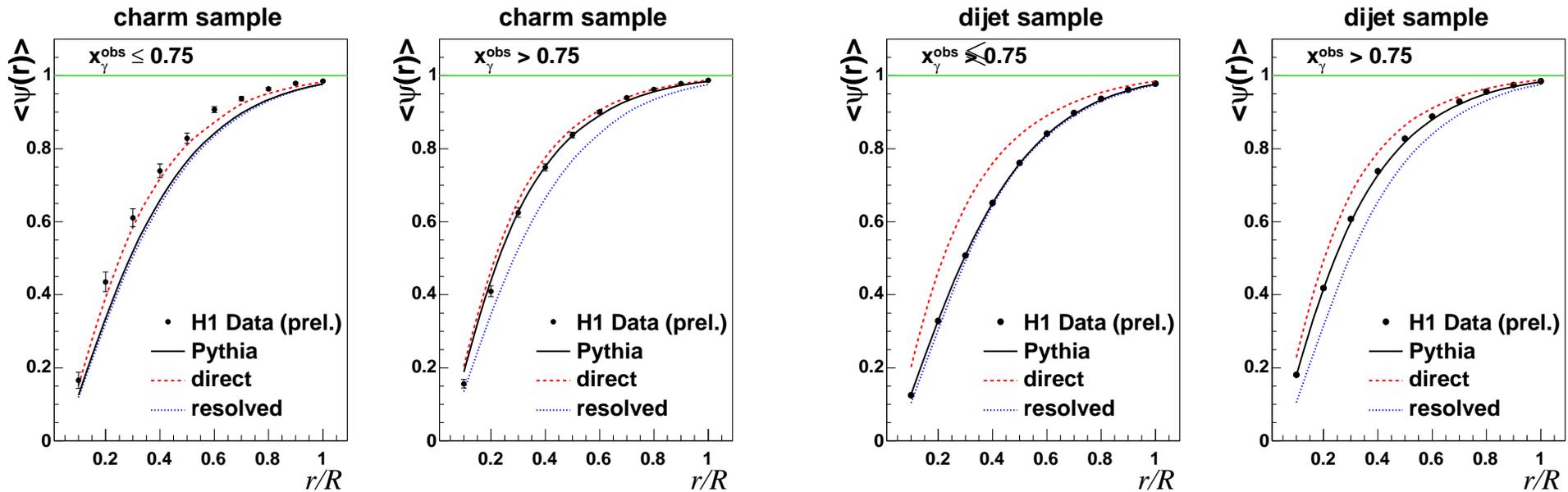
# Shape of jets in charm photoproduction

- Tagging technique: 2D fit of  $p_T^{\text{rel}}$  ( $p_T$  w.r.t. closest jet) and  $\delta$  (track impact parameter) to PYTHIA distributions for  $uds$ ,  $c$  and  $b$  as if we wanted beauty but invert  $p_T^{\text{rel}}$  cut
- $p_T^{\text{rel}} < 1 \text{ GeV} \Rightarrow$  charm enriched sample:  
 $f_c = 73 \pm 3\%$



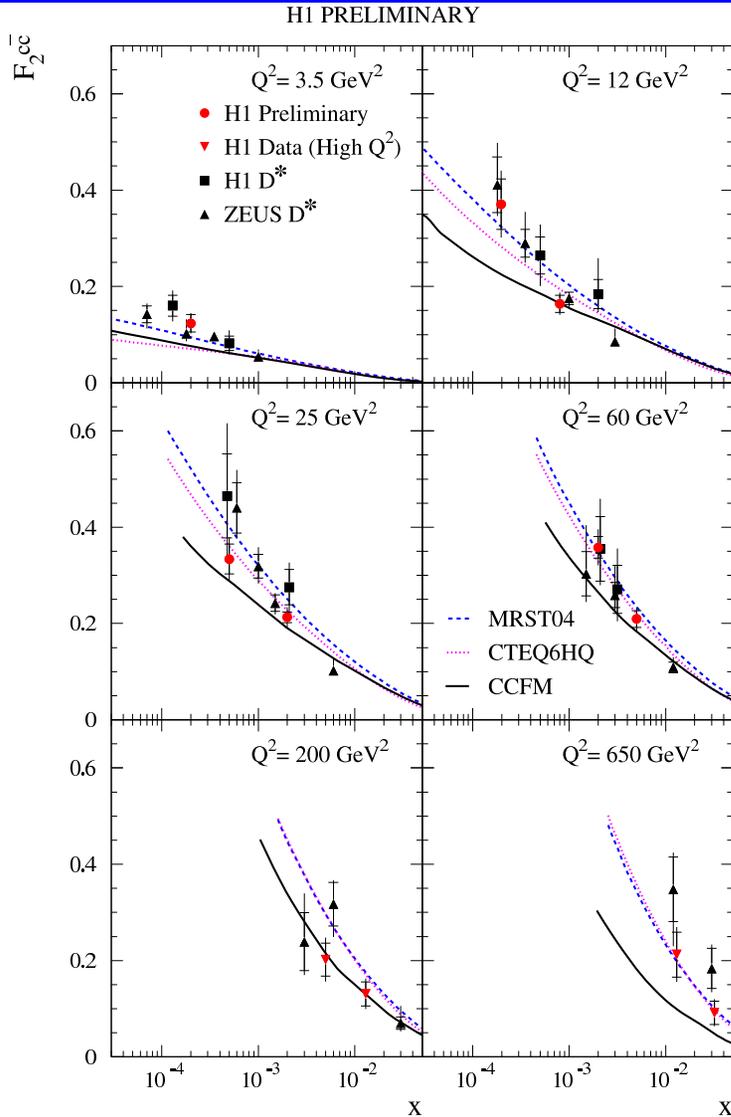
# Shape of jets in charm photoproduction

QCD05, MONTPELLIER, 4-9 JULY 2005

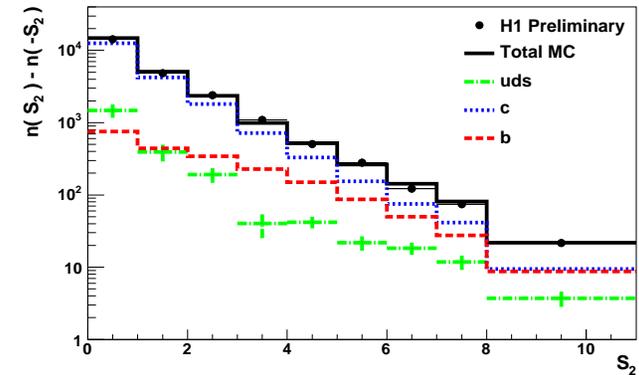


- Charm sample: jet w/o  $c$ -tag enters distributions
- PYTHIA direct: mainly quark jets, PYTHIA resolved: mainly gluon jets
- Recall: charm dijet angular dist. in photoproduction at low  $x_\gamma^{\text{obs}}$  well described by PYTHIA (charm excitation)
- DATA, dijet sample w/o  $c$ -tag: different shapes for low and high  $x_\gamma^{\text{obs}}$ , well described by PYTHIA for any  $x_\gamma^{\text{obs}}$
- DATA, dijet sample with  $c$ -tag: similar shapes for low and high  $x_\gamma^{\text{obs}}$ , not described by PYTHIA at low  $x_\gamma^{\text{obs}}$  (lack of gluon jets in DATA)

# $F_2^{c\bar{c}}$

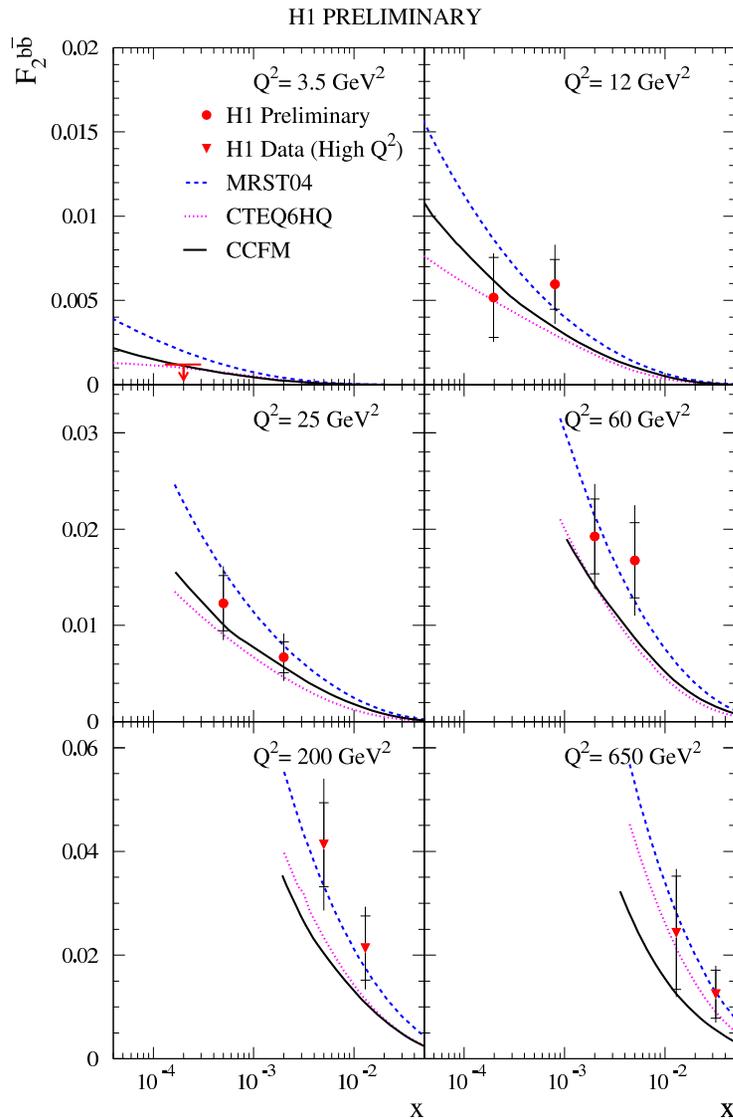


- Inclusive lifetime tagging: for heavy quarks tracks have high significance  $S = \delta/\sigma(\delta)$
- Significance distributions:  $S_i$  - significance of track with  $i$  th highest abs. significance
- Fractions of  $c$ ,  $b$  and  $uds$  from simultaneous fit to at least  $S_1$  and  $S_2$  (and total number of events); very high acceptances
- Extend measurement of  $F_2^{c\bar{c}}$  and  $F_2^{b\bar{b}}$  using  $S_1, S_2, S_3$  to low  $Q^2$
- $3.5 \leq Q^2 \leq 60 \text{ GeV}^2$ ,  $0.000197 \leq x \leq 0.005$
- New  $F_2^{c\bar{c}}$  data in agreement with other measurement techniques (ones with much higher extrapolation)



# $F_2^{b\bar{b}}$

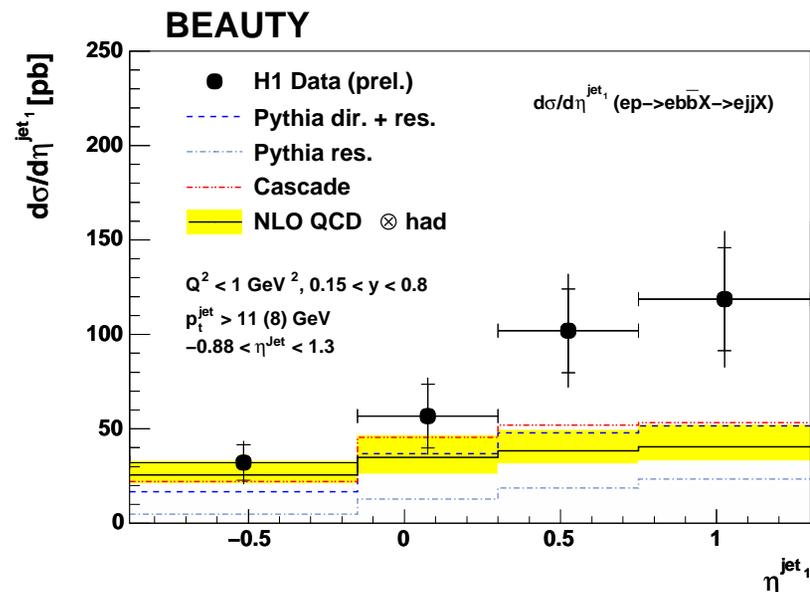
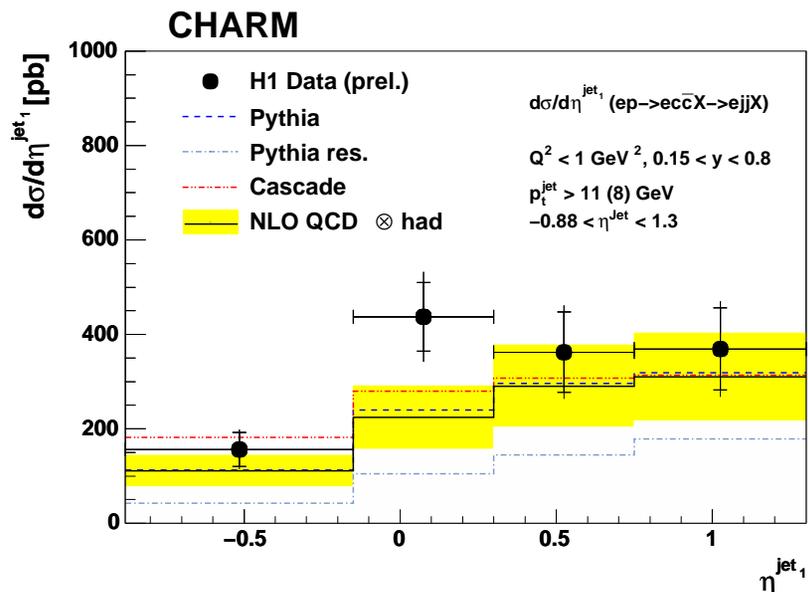
QCD05, MONTPELLIER, 4-9 JULY 2005



- Inclusive lifetime tagging: first measurement of  $F_2^{b\bar{b}}$
- No evidence for large excess of  $b$  compared with NLO “massive  $\otimes$  massless” (VFNS) QCD
- In this kinematic range charm and beauty cross sections are on average 22% and 0.8% of total  $ep$  cross section

# Beauty and charm with dijets (PHP)

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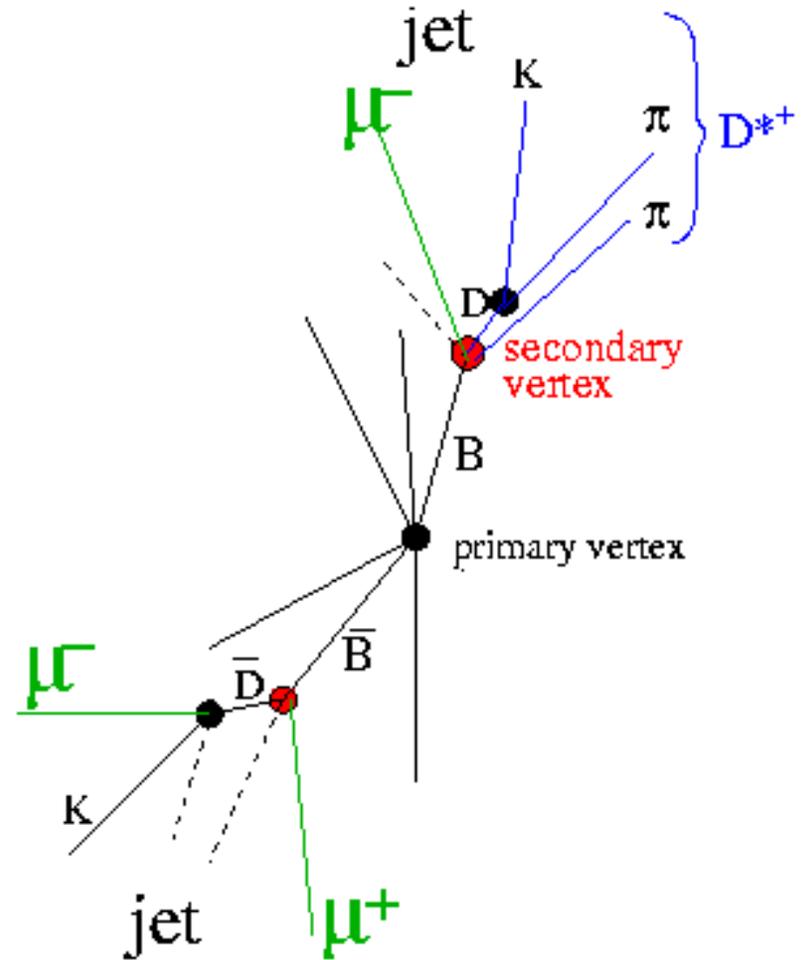
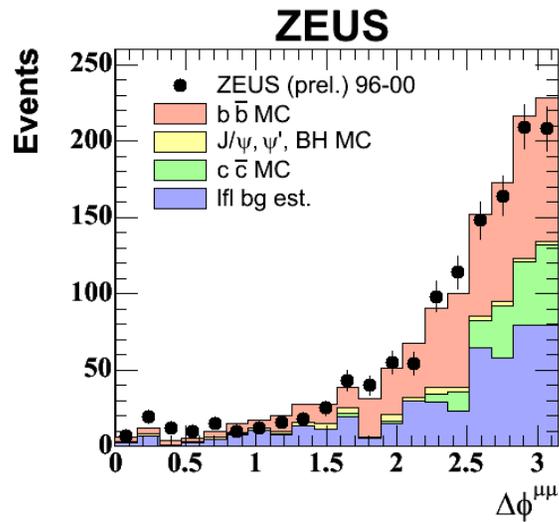


- Inclusive lifetime tagging enables a purely inclusive measurement of charm and beauty dijets (w/o requirement of high  $p_T$  muon  $\Rightarrow$  reduced extrapolation)
- H1 99/00  $e^+$  data:  $Q^2 \sim 0 \text{ GeV}^2$ ,  $0.15 < y < 0.8$ ,  $p_T^{\text{jet}} > 11(8) \text{ GeV}$ ,  $-0.88 < \eta^{\text{jet}} < 1.3$
- Beauty: FMNR (QCD NLO, massive) below data for jets in forward region

# Beauty with di-muons

QCD05, MONTPELLIER, 4-9 JULY 2005

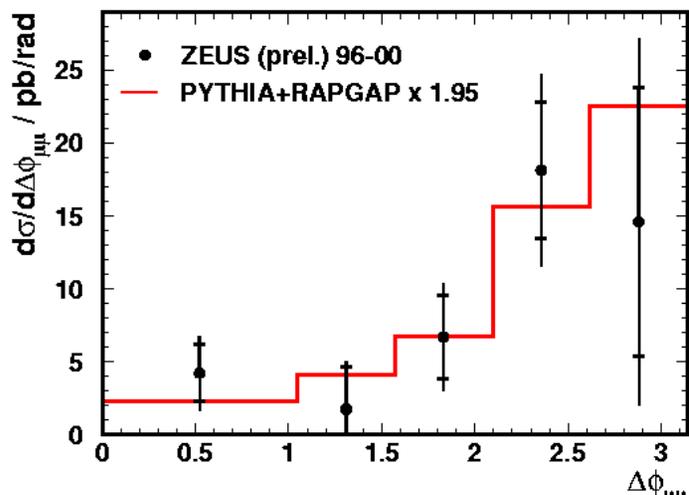
- New results on  $b\bar{b}$  production (data 1996-2000): sophisticated analysis of  $\mu\mu$  correlations



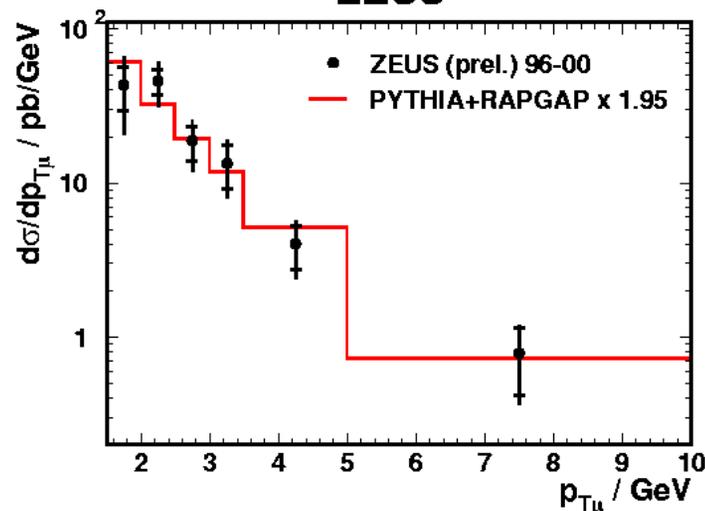
# Beauty with di-muons

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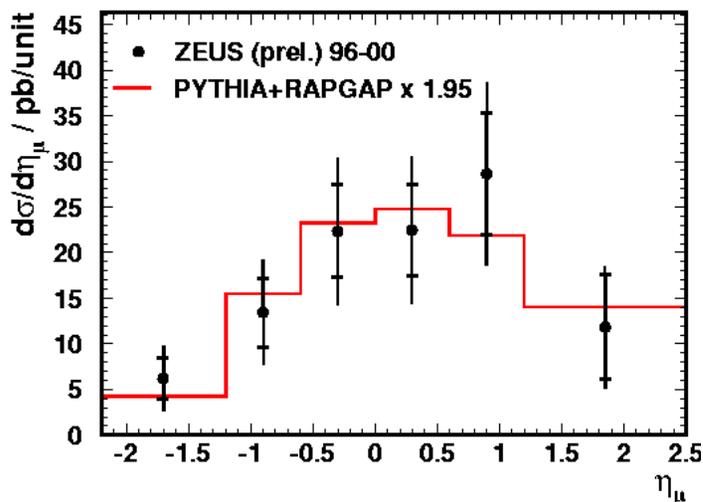
ZEUS



ZEUS



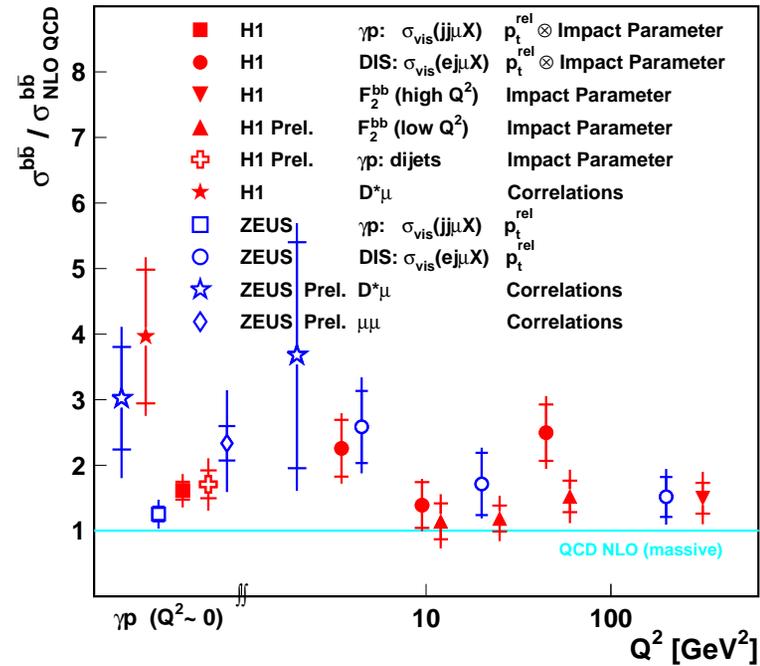
ZEUS



- Acceptance down to very low  $p_T^\mu$  ( $B$  mesons “at rest”)  $\Rightarrow$  low extrapolation
- Almost full rapidity coverage by  $\mu$ -detectors  $\Rightarrow$  large  $\eta^\mu$  range ( $-2 \dots +2.5$ )
- Direct measurement of  $b\bar{b}$  correlation  $\Delta\phi^{\mu\mu}$  (NLO predictions coming soon)
- $\sigma_{\text{tot}}(ep \rightarrow b\bar{b}X @ \sqrt{s} = 318) = 16.1 \pm 1.8(\text{stat.})_{-4.8}^{+5.3}(\text{syst.}) \text{ nb}$   
NLO QCD (FMNR(PHP)+HVQDIS(DIS)):  $6.8_{-1.7}^{+3.0} \text{ nb}$

# Summary

- More tests of different aspects of QCD in charm and beauty production processes
- NLO QCD calculations (where available) are in general agreement with data
- Good understanding of “standard” physics is vital for searches of new physics also at future colliders



# Outlook

- HERA I (1993–2000):  $\mathcal{L} \sim 193 \text{ pb}^{-1}$  delivered; HERA II (from 2002 on): increased rate, polarised beams, upgraded detectors,  $\mathcal{L} \sim 187 \text{ pb}^{-1}$  delivered
- New results are expected soon

