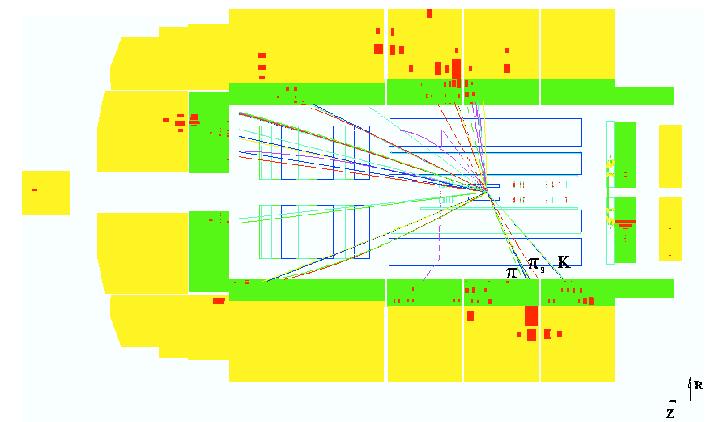
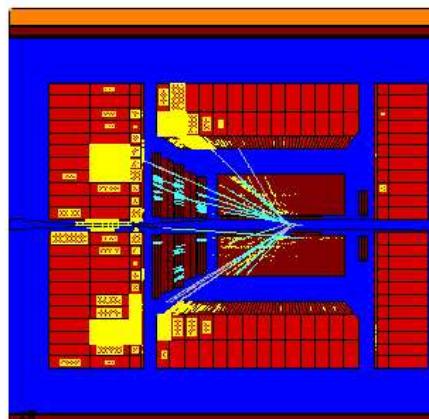




# Charm Fragmentation at HERA

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for H1 and ZEUS collaborations

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## Introduction - Hadronization of heavy quarks

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Exclusive production of a charmed hadron:

$$\sigma(p) = \int dz dp_{part} \sigma(p_{part}) D_H^{part}(z) \delta(p - z p_{part})$$

- $\sigma(p_{part})$  - perturbative part (calculable) part=q,  $\bar{q}$ , g
- $D_H^{part}(z)$  - fragmentation function (contains nonperturbative part)

Is  $D_H^{part}(z)$  universal?

- what are the probabilities for quark to hadronize into various hadrons?
- what part of the quark's momentum is carried by the heavy hadron?

O( $10^3$ ) charmed events available at HERA !



# Fragmentation fractions and fragmentation ratios

Into which hadrons does the charm quark hadronize?

- Fragmentation fractions (total cross sections used):

$$- f(c \rightarrow H) = \frac{\sigma(H)^{tot}}{\sigma(c)^{tot}}$$

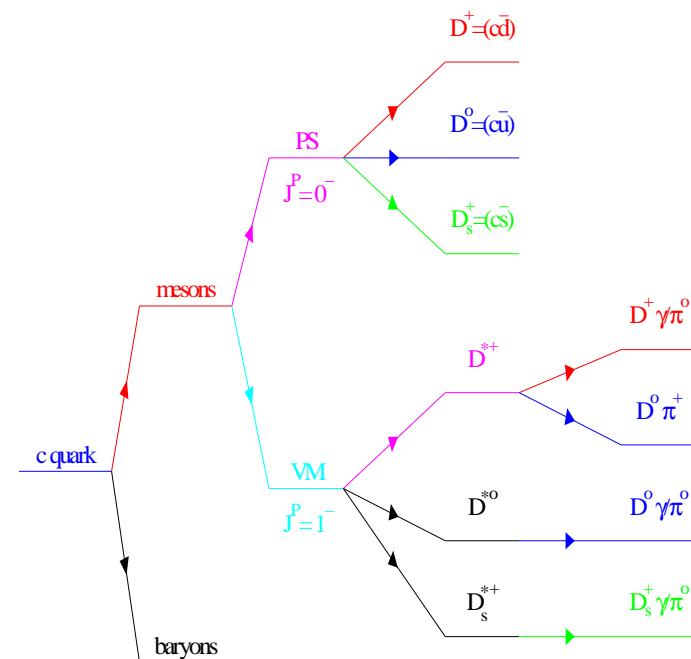
- Fragmentation ratios (direct cross sections used):

$$- R_{u/d} = \frac{\sigma(c\bar{u})_S^{dir} + \sigma(c\bar{u})_V^{dir}}{\sigma(c\bar{d})_S^{dir} + \sigma(c\bar{d})_V^{dir}}$$

$$- P_V^d = \frac{\sigma(c\bar{d})_V^{dir}}{\sigma(c\bar{d})_S^{dir} + \sigma(c\bar{d})_V^{dir}}$$

$$- P_V^{u+d} = \frac{\sigma(c\bar{d})_V^{dir} + \sigma(c\bar{u})_V^{dir}}{\sigma(c\bar{d})_S^{dir} + \sigma(c\bar{d})_V^{dir} + \sigma(c\bar{u})_S^{dir} + \sigma(c\bar{u})_V^{dir}}$$

$$- \gamma_S = 2 \frac{\sigma(c\bar{s})_S^{dir} + \sigma(c\bar{s})_V^{dir}}{\sigma(c\bar{u})_S^{dir} + \sigma(c\bar{u})_V^{dir} + \sigma(c\bar{d})_S^{dir} + \sigma(c\bar{d})_V^{dir}}$$

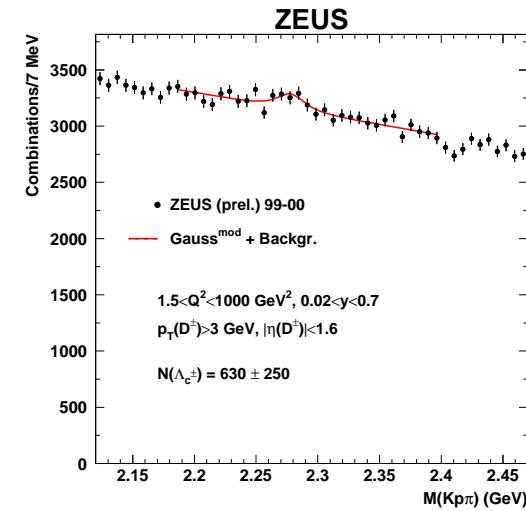
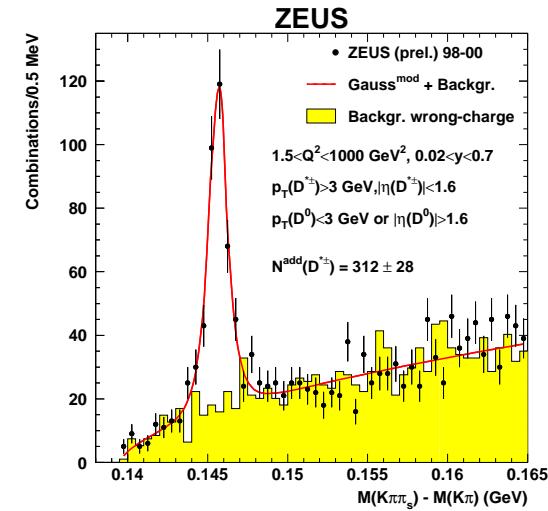


# FF and FR of $D^+$ , $D^0$ , $D_s^+$ , $D^*$ and $\Lambda_c$ - ZEUS

Tracks from Central Tracking Detector used:

- $D^0 \rightarrow K\pi$  with  $\pi_S$  from  $D^*$ :  $\sigma^{tag}(D^0)$
- $D^0 \rightarrow K\pi$  without  $\pi_S$  from  $D^*$ :  $\sigma^{untag}(D^0)$
- $D^{*\pm} \rightarrow D^0\pi_S \rightarrow K\pi\pi_s$  without vis.  $D^0$ :  $\sigma^{add}(D^{*\pm})$
- $D^\pm \rightarrow K\pi\pi$ :  $\sigma(D^\pm)$
- $D_S^\pm \rightarrow \phi\pi \rightarrow KK\pi$ :  $\sigma(D_S^\pm)$
- $\Lambda_c^\pm \rightarrow Kp\pi$ :  $\sigma(\Lambda_c^\pm)$

reflections subtracted, then signal + background shape fitted to invariant mass distribution



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## FF and FR: visible range and procedure - ZEUS

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### DIS

$$1.5 < Q^2 < 1000 \text{ GeV}^2$$

$$0.02 < y < 0.7$$

$$p_T(D, \Lambda) > 3 \text{ GeV}$$

$$|\eta(D, \Lambda)| < 1.6$$

$$L = 82 \text{ pb}^{-1}$$

### photoproduction

$$Q^2 < 1 \text{ GeV}^2$$

$$130 < W < 300 \text{ GeV}$$

$$p_T(D, \Lambda) > 3.8 \text{ GeV}$$

$$|\eta(D, \Lambda)| < 1.6$$

$$L = 79 \text{ pb}^{-1}$$

- Visible charm cross section used for determination of fragmentation fractions:

$$\sigma_c = \sigma(D^+) + \sigma^{untag}(D^0) + \sigma^{tag}(D^0) + \sigma^{add}(D^{*+})(1 + R_{u/d}) + \sigma(D_S^+) + \sigma(\Lambda_c^+) \times 1.14$$

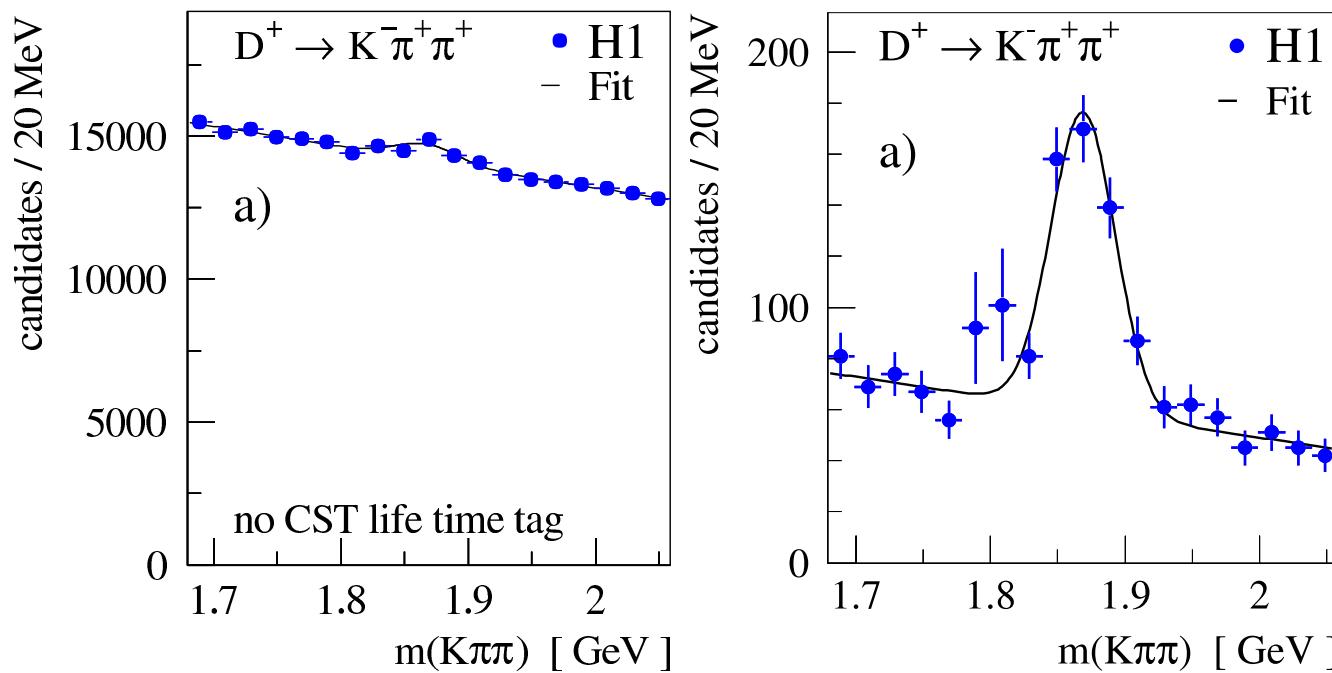
(use world average ratios for  $\Xi/\Lambda$  and  $\Omega/\Lambda$ , this gives factor 1.14)

- Fragmentation ratios calculated from visible cross sections of measured charmed hadrons!



## FF and FR of $D^+$ , $D^0$ , $D_s^+$ , $D^*$ - H1

- ▷ Charm tagging: reconstruction of secondary vertex with the central silicon tracker
- ▷ signal to background ratio can be improved significantly by a cut on the decay length significance ( $S_l = l/\sigma_l$ )



# D Meson Signals - H1

▷ Kinematic region:

$$2 < Q^2 < 100 \text{ GeV}^2$$

$$0.05 < y < 0.7$$

$$p_t(D) > 2.5 \text{ GeV}$$

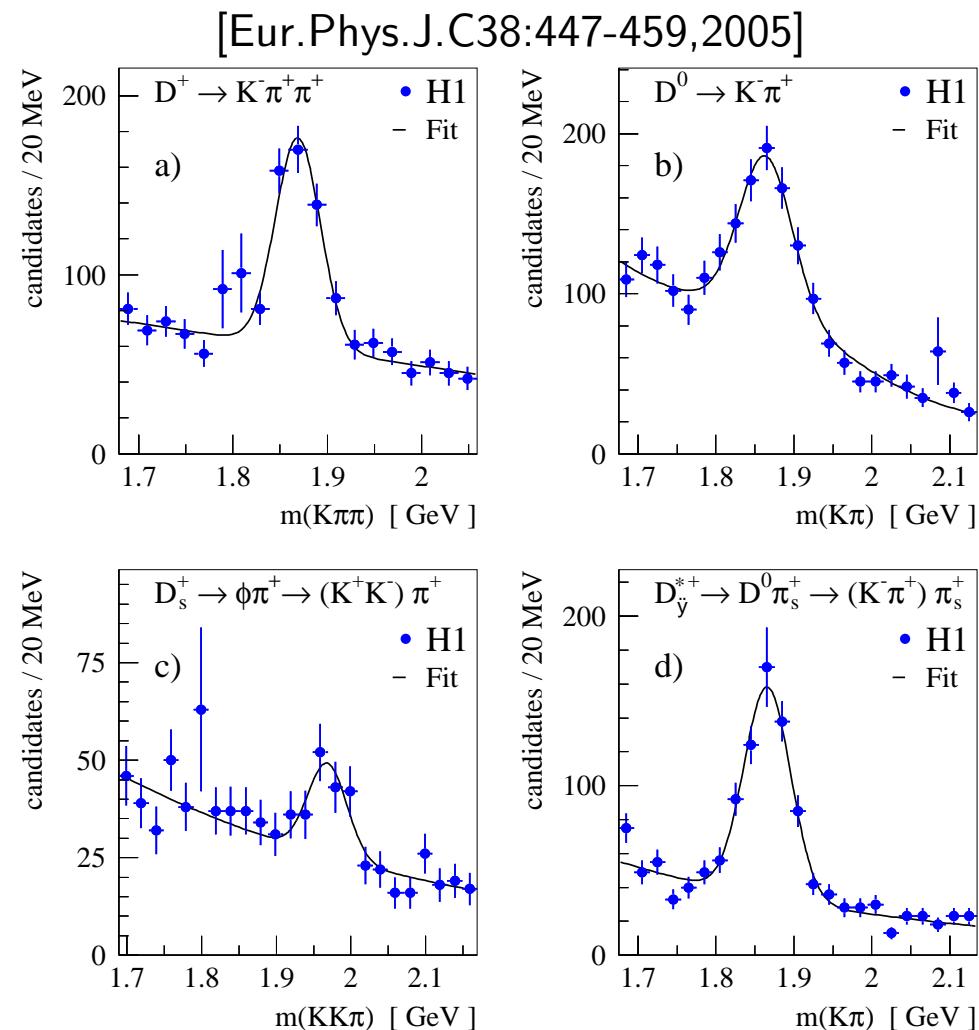
$$|\eta(D)| < 1.5$$

$$L = 47 \text{ pb}^{-1}$$

▷ Invariant mass spectra fitted:

Gaussian + background

▷ Visible cross-sections were determined



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## Determination of FF and FR - H1

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- Leading Order + Parton Shower MC used (AROMA 2.2):
  - to extrapolate to full phase space
  - to predict total cross section for charm production
- fragmentation fractions are calculated from extrapolated (predicted) cross sections
- fragmentation ratios are calculated from fragmentation fractions

Both experiments: assume  $\sigma(D^{*+}) = \sigma(D^{*0})$ ,  $\sigma(D^{*+}) = \sigma(D^{*-})$ ,  
 $\sigma(D^+) = \sigma(D^-)$



## Results: $R_{u/d}$ and $\gamma_S$

H1:

$$R_{u/d} = \frac{f(c \rightarrow D^0) - f(c \rightarrow D^{*+}) BR(D^{*+} \rightarrow D^0 \pi)}{f(c \rightarrow D^+) + f(c \rightarrow D^{*+}) BR(D^{*+} \rightarrow D^0 \pi)}$$

ZEUS:

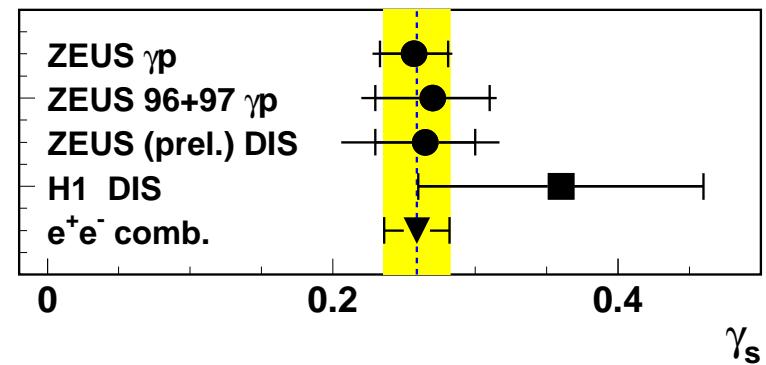
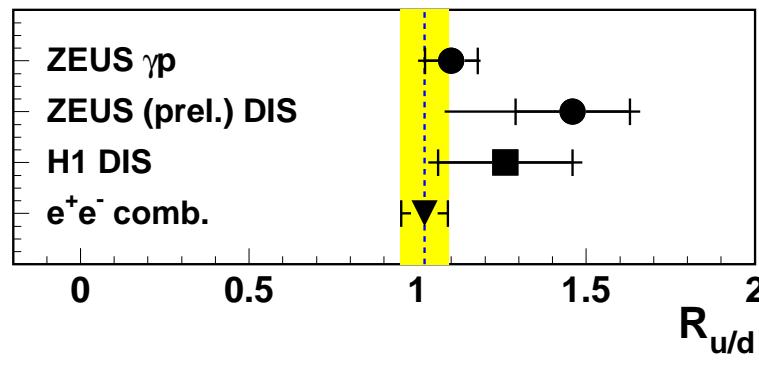
$$R_{u/d} = \frac{\sigma^{untag}(D^0)}{\sigma(D^\pm) + \sigma^{tag}(D^0)}$$

H1:

$$\gamma_{u/d} = \frac{2f(c \rightarrow D_S^+)}{f(c \rightarrow D^+) + f(c \rightarrow D^0)}$$

ZEUS:

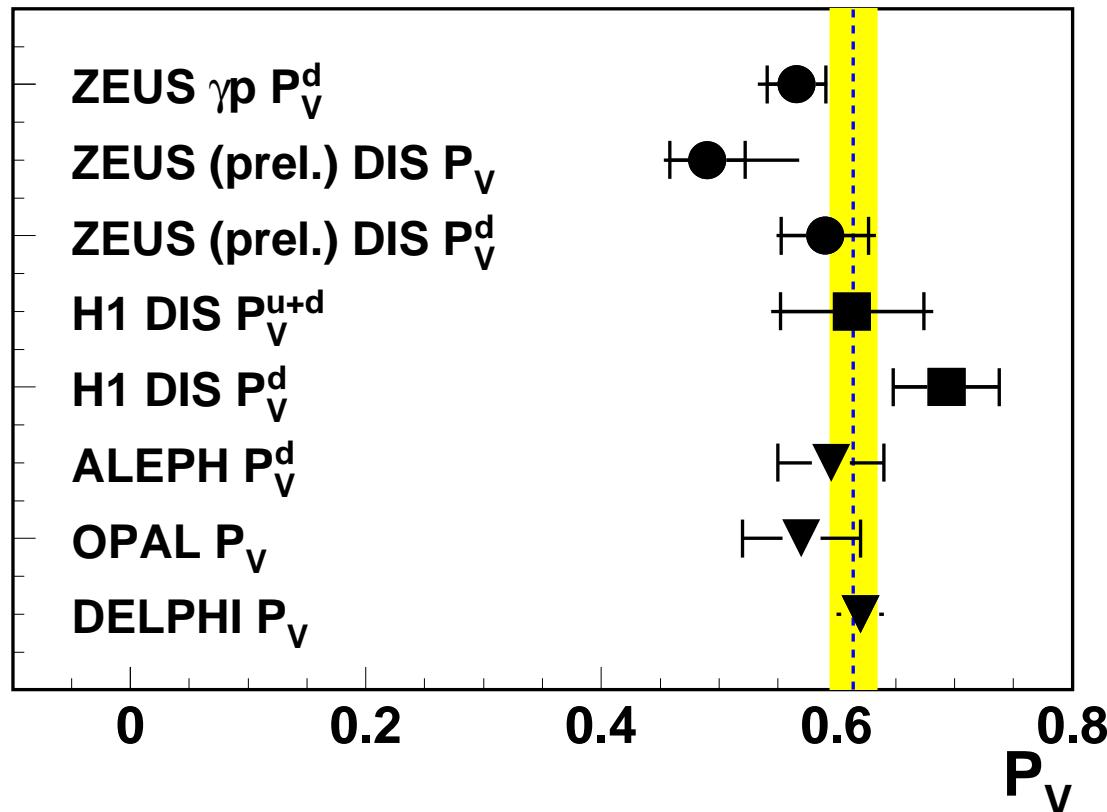
$$\gamma_{u/d} = \frac{2\sigma(D_S^\pm)}{\sigma(D^\pm) + \sigma^{tag}(D^0) + \sigma^{untag}(D^0) + 2\sigma^{add}(D^{*\pm})}$$



In agreement with each other, expectation and world average.



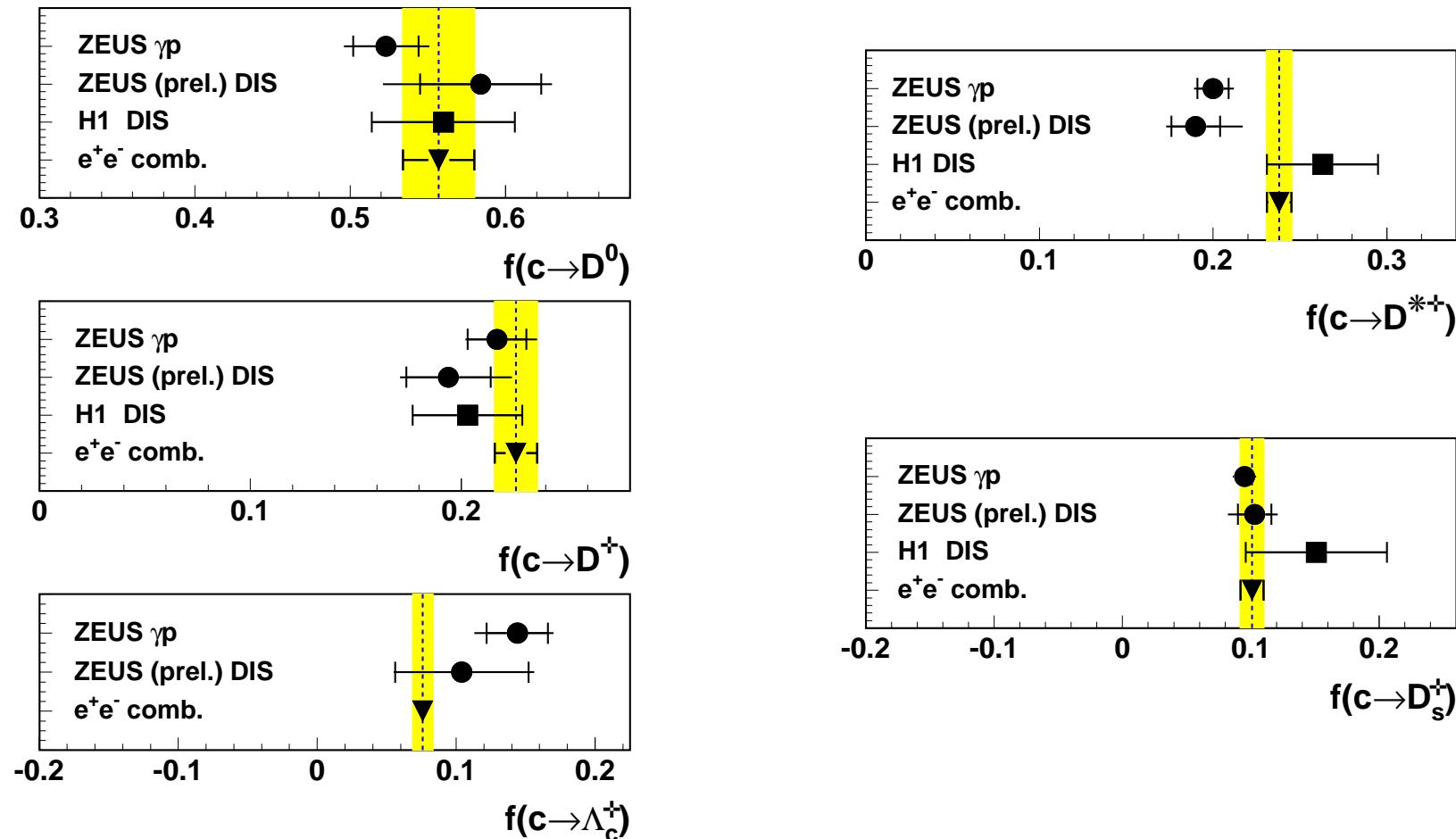
## Results: $P_V$ and $P_V^d$



- Naive spin counting does not work ( $P_V \neq 3/4$ )
- In agreement with world average



## Results: Fragmentation fractions



All fragmentation fractions are in agreement with world average and support assumption of universality



# Structure of Charm jets in DIS - H1

$$\sigma(p) = \int dz dp_{part} \sigma(p_{part}) D_H^{part}(z) \delta(p - z p_{part})$$

- ⇒ arbitrary division between  $\sigma(p_{part})$  and  $D_H^{part}(z)$
- ⇒ usually evolution down to  $m_c$  put in  $\sigma(p_{part})$ , understood?
- ⇒ structure of charm jets studied

- ! gluon emission off heavy quark influenced by  $m_c$
- ! QCD predicts for small  $\alpha$ :

$$\frac{d^2\sigma_{Q \rightarrow Q+g}}{d\alpha} \approx K \frac{\alpha^3}{(\alpha^2 + \alpha_0^2)^2},$$
$$\alpha_0 = M_Q/E_Q$$

- !  $\alpha < \alpha_0$  - dead cone

- Charm events tagged by  $D^*$  in the DIS region:

$$2 < Q^2 < 100 \text{ GeV}^2$$

$$0.05 < y_e < 0.7$$

$$p_t(D^*) > 1.5 \text{ GeV}$$

$$|\eta(D^*)| < 1.5$$

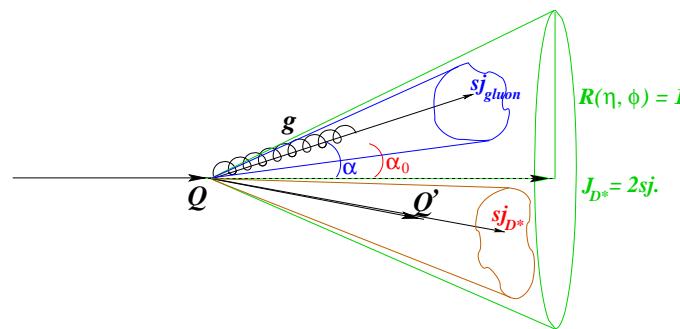
- Jets found using  $k_T$  in lab:

$$p_{t,jet} > 1.5 \text{ GeV}$$

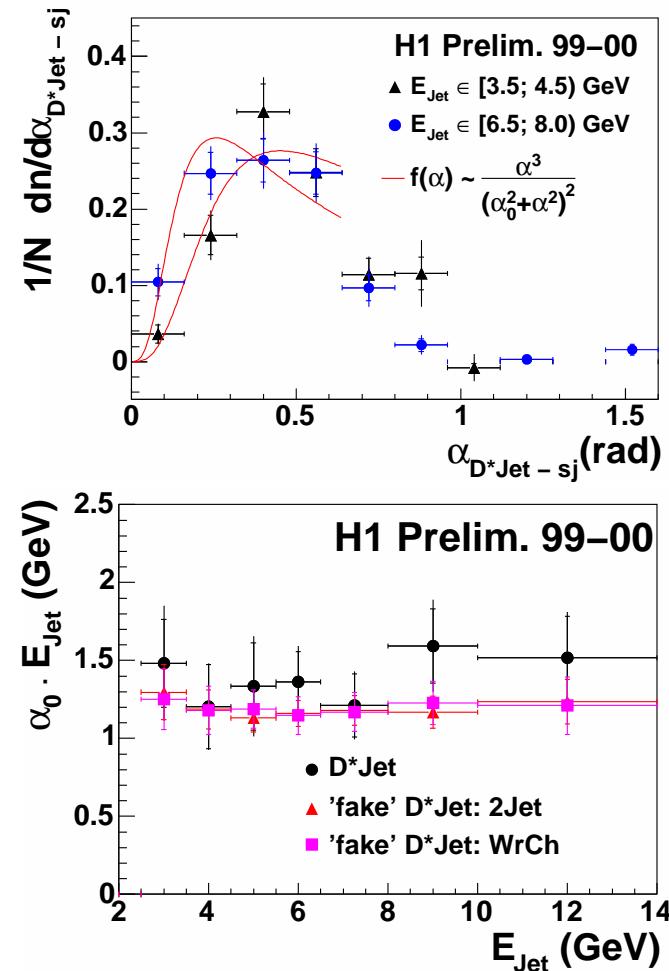
$$|\eta_{jet}| < 1.5$$



## Structure of Charm jets II.



- jet algorithm rerun till exactly two subjets are found
- study angle  $\alpha$  between the charm jet axis and non-charm subjet
  - ▷ distribution in agreement with pQCD formula, fit with  $\alpha_0$  as a free parameter
  - ▷ from pQCD formula expect  $\alpha_0 E_{jet}$  independent of jet energy



Data consistent with pQCD prediction, difference to light jets statistically not significant



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## Study of Fragmentation Function - H1

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Fragmentation function describes the energy transfer from quark to a given meson.

### *e<sup>+</sup>e<sup>-</sup> collisions*

- ▷ natural choice 
$$z = \frac{E_{D^*}}{\sqrt{s}/2} = \frac{E_{D^*}}{E_{\text{beam}}}$$
- ▷ assuming LO processes - direct measurement of non perturbative fragmentation function

### *ep collisions*

- ▷ choice of z observable not so obvious
- ▷ **differences:** IPS contribution,  
different kinematics



# The Experimental Methods

## Jet Method :

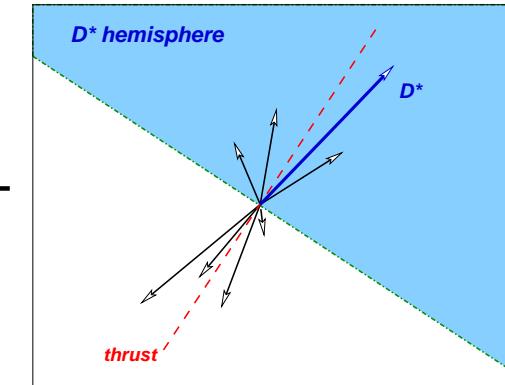
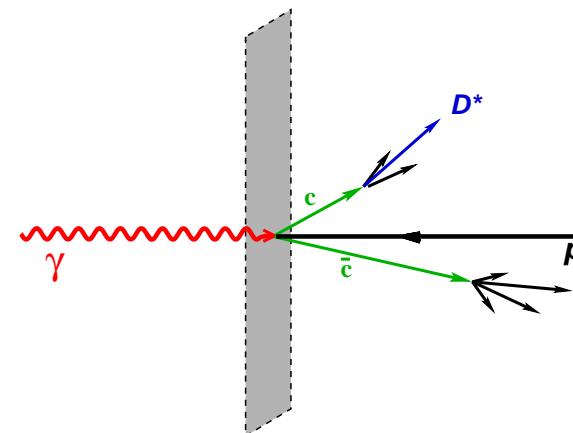
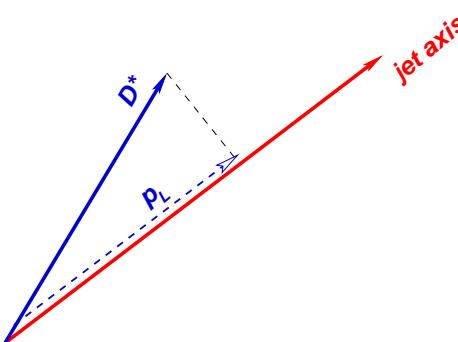
- ▷ the energy of  $c$ -quark is approximated by the energy of the reconstructed  $D^*$  jet

$$z_{\text{jet}} = \frac{(E+p_L)_{D^*}}{(E+p)_{\text{jet}}}$$

## Hemisphere Method :

- ▷ in  $\gamma p$ -frame the  $c\bar{c}$  pair is balanced in  $p_t$   
 $\implies$  possibility to divide event into two hemispheres

$$z_{\text{hem}} = \frac{(E+p_L)_{D^*}}{\sum_{\text{hem}}(E+p)}$$



# *D<sup>\*</sup>* Tagging

**Golden channel:**

$$D^{*\mp} \rightarrow D^0\pi_s^\mp \rightarrow K^\pm\pi^\mp\pi_s^\mp$$

Kinematic cuts:

$$2 < Q^2 < 100 \text{ GeV}^2$$

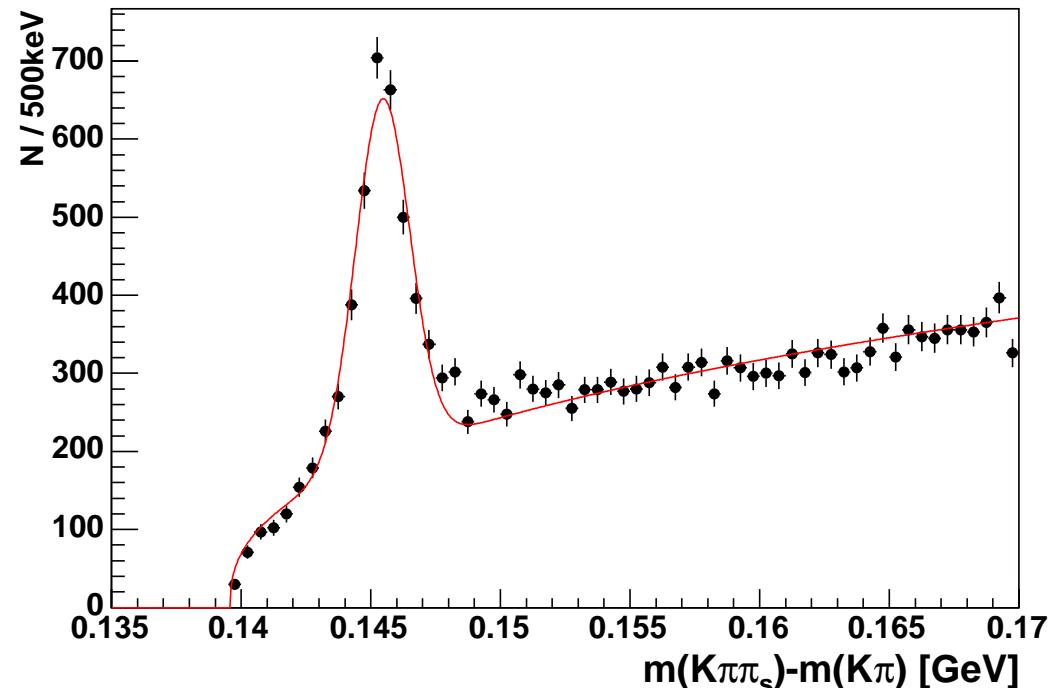
$$0.05 < y_e < 0.7$$

$$p_t(D^*) > 1.5 \text{ GeV}$$

$$|\eta(D^*)| < 1.5$$

Jet method:

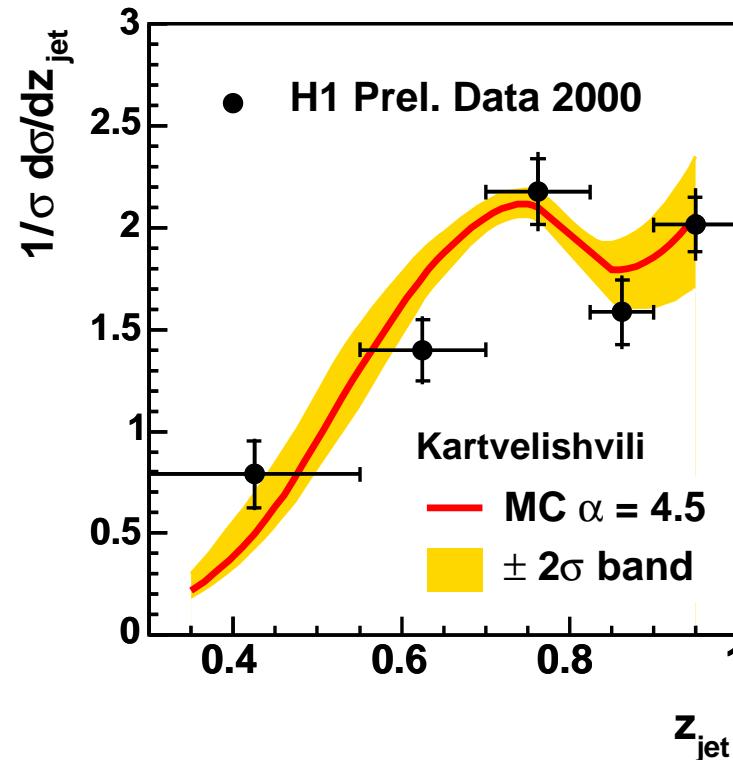
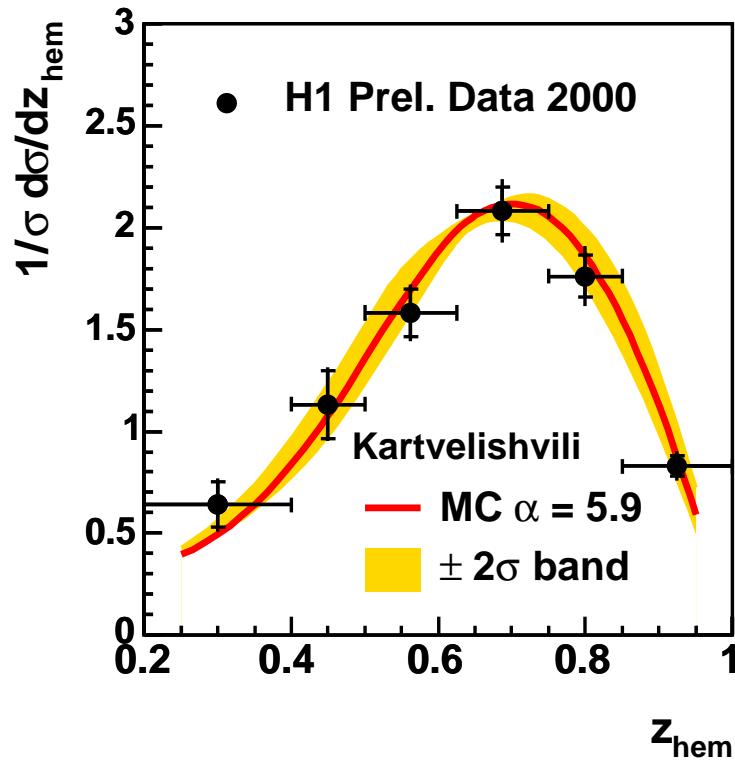
- ▷  $D^*$  treated as stable meson
- ▷ massive  $k_t$ -cluster jet algorithm applied in  $\gamma p$  frame



# Measured z-distributions with Kartvelishvili parametrization

*RAPGAP/PYTHIA+Kartvelishvili :*

$$f(z) \sim z^{\alpha} (1 - z)$$



Visible Range: (In addition to cuts on previous page.)

$$\eta_{\text{part}, \gamma p} > 0.$$

$$z > 0.2$$

$$p_t(D^*\text{jet}) > 3 \text{ GeV}$$

$$z > 0.3$$

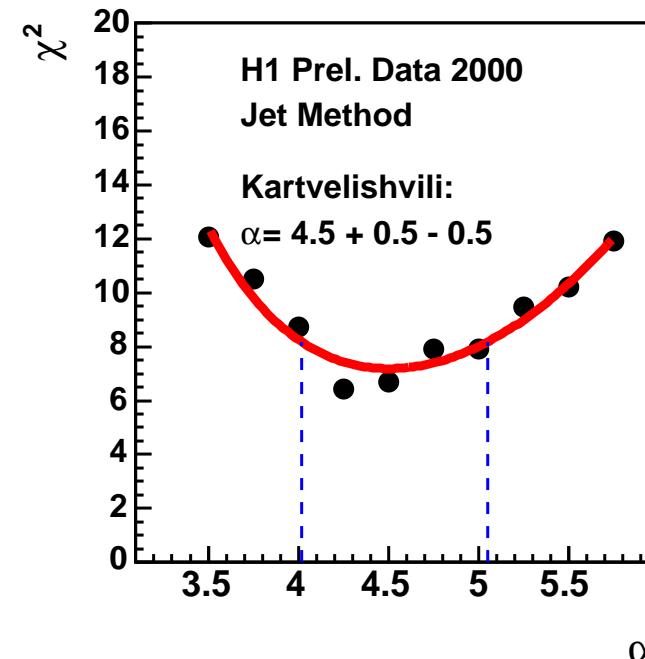


# Extraction of Fragmentation Parameter

- ▷ Fragmentation parameters extracted for RAPGAP 3.1 MC (direct +resolved)/ PYTHIA 6.2 with excited D-states (ALEPH tune)
- ▷ for fragmentation used:
  - a.) Peterson parametrization
  - b.) Kartvelishvili parametrization

## Extraction procedure:

- ▷ MC-files generated for various frag. parameters: best parameter value obtained (correlated systematic errors taken into account) using  $\chi^2$



## Summary of the Fragmentation Function Results

- ▷ Kartvelishvili and Peterson parametrizations provide equally good descriptions of the data
- ▷ hemisphere method appears to give harder fragmentation function than the jet method

H1 Prel. Data 2000 + RAPGAP/PYTHIA

parametrization		Hemisphere method	Jet method
Peterson	$\varepsilon$	$0.018^{+0.004}_{-0.004}$	$0.030^{+0.006}_{-0.005}$
Kartvelishvili	$\alpha$	$5.9^{+0.7}_{-0.6}$	$4.5^{+0.5}_{-0.5}$

- ▷ difference ( $< 3\sigma$ ) between hemisphere and jet method result may indicate imperfect MC description of hadronic final state in charm events

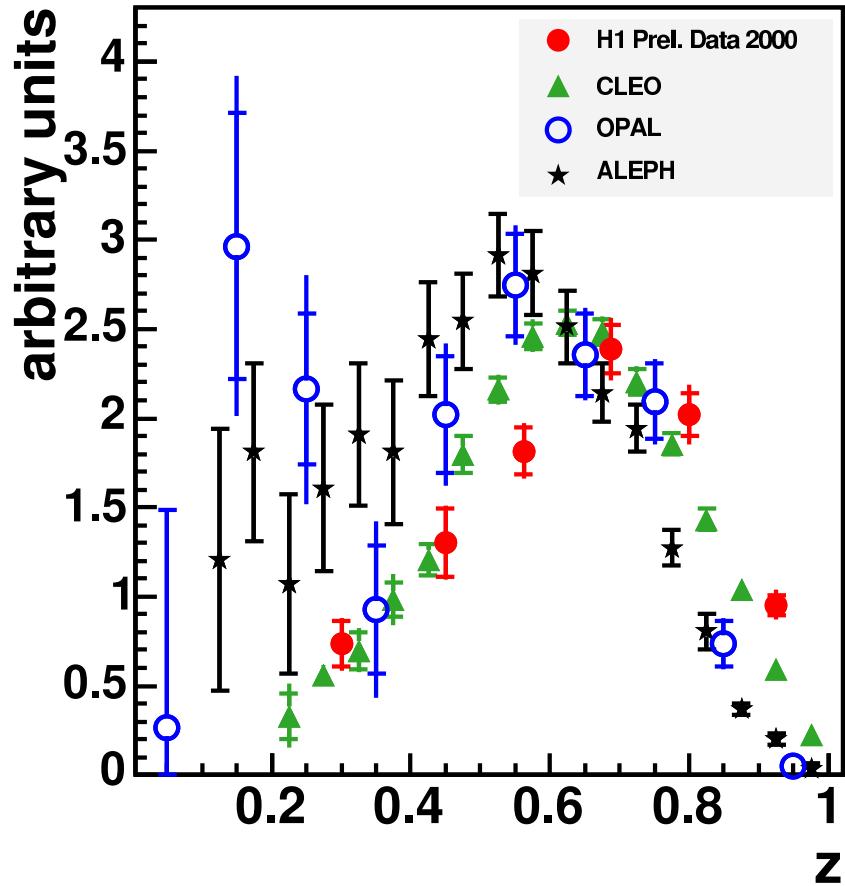


$$0.014 < \varepsilon < 0.036$$

$$4.0 < \alpha < 6.8$$



## Comparison with $e^+e^-$ Experiments



**H1** hemisphere method

$$\langle\sqrt{s}\rangle \approx 10 \text{ GeV},$$
$$z = \frac{(E+p_L)_{D^*}}{\sum_{\text{hem}}(E+p)}$$

**CLEO**  $\sqrt{s} \approx 10 \text{ GeV}$ ,

$$z = p_{D^*}/p_{\max}$$

**OPAL**  $\sqrt{s} = 91.2 \text{ GeV}$ ,

$$z = 2E_{D^*}/\sqrt{s}$$

**ALEPH**  $\sqrt{s} = 91.2 \text{ GeV}$ ,

$$z = 2E_{D^*}/\sqrt{s}$$

- although different observable definitions, spectra similar in shape



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## Conclusions

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- Inclusive cross sections of  $D^+$ ,  $D^0$ ,  $D_s^+$ ,  $D^*$  and  $\Lambda_c$  were measured in DIS and photoproduction
- Extracted fragmentation ratios and fractions support assumption of universality
- Structure of charm jets in DIS is well described by pQCD and LO+PS MC
- Fragmentation function of charm to  $D^*$  was measured in DIS, allows comparison with  $e^+e^-$

