

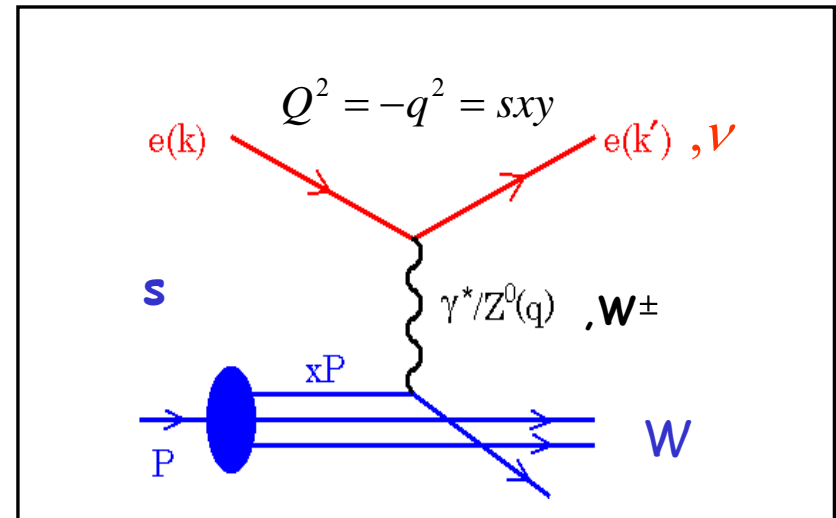


QCD at High Energies at HERA

Max Klein

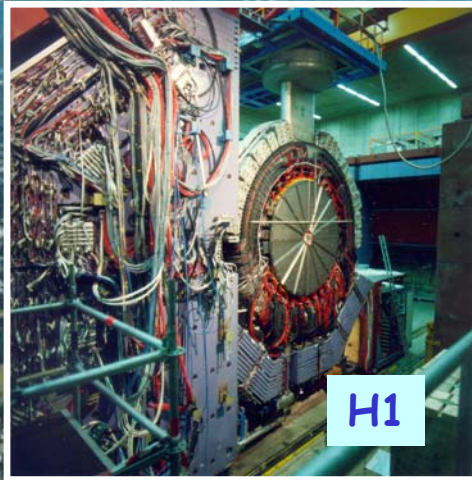
DESY Zeuthen
ICHEP 04 Beijing
21st of August, 2004

- The Quark Structure of the Proton
- The Strong Coupling Constant and xg
- Heavy Flavour Production
- Diffractive ep Scattering
- New Ideas and Developments
- First Results at HERA II and Outlook



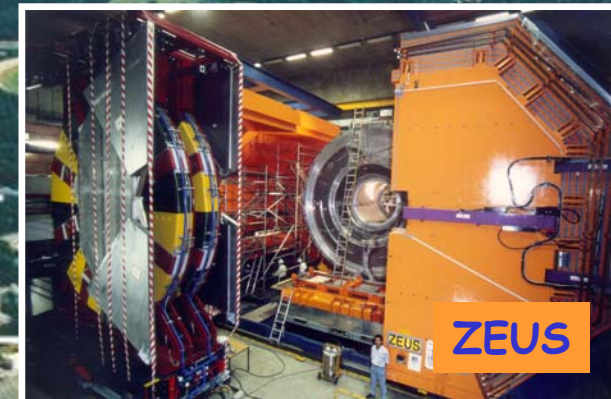
Deep Inelastic Scattering and Photoproduction ($Q^2 \sim 0$)

A summary of recent QCD results obtained by H1, ZEUS and HERMES



HERA

PETRA



$$E_e = 27.6\text{GeV}, E_p = 920\text{GeV}$$
$$\sqrt{s} = 2\sqrt{E_e E_p} = 319\text{GeV} \Leftrightarrow E_e^{ft} = 54.1\text{TeV}$$

polarisation: $P(e) = -0.5 \dots 0 \dots +0.5$

$$L_{spec} \approx 4 \dots 16 \cdot 10^{29} \text{ cm}^{-2} \text{ s}^{-1} \text{ mA}^{-2}$$
$$I_e = 20 \dots 50 \text{ mA}, I_p = 60 \dots 100 \text{ mA}$$

ep-collider expts H1, ZEUS @319GeV and polarised target expt HERMES @7GeV

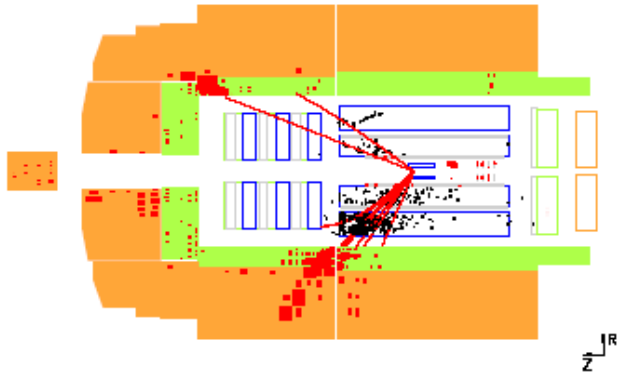
Twenty years ago ...



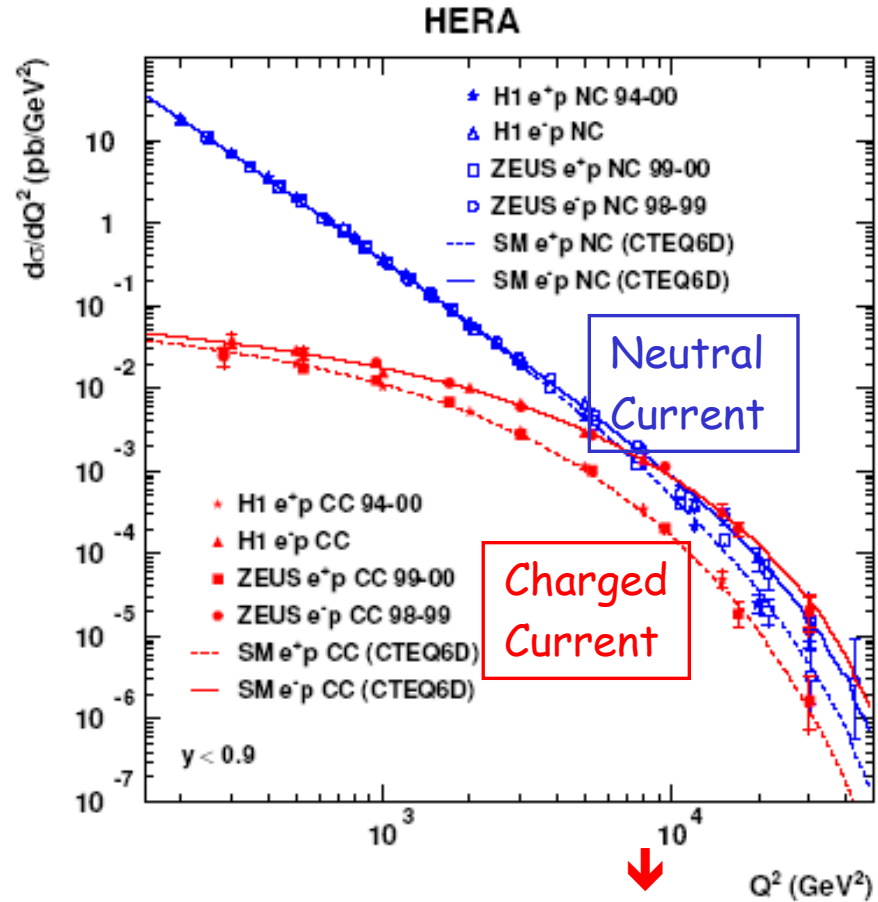
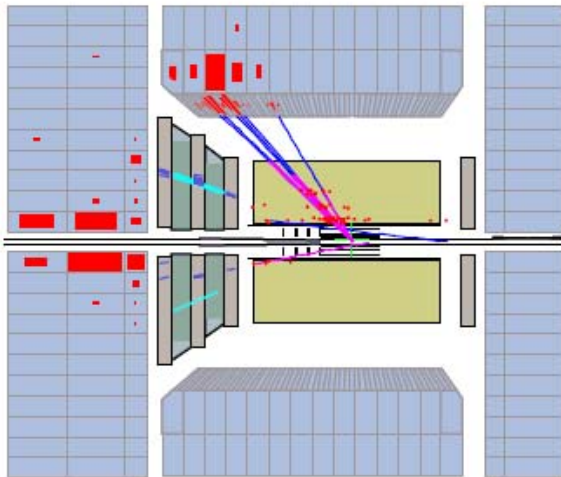
Volker Soergel and the Minister of Science of Germany, Heinz Riesenhuber, at DESY (Hamburg) announcing on 6th of April 1984 that HERA will be built.

1. The Quark Structure of the Proton

Neutral current $e^+ p \rightarrow e^+ X$



Charged current $e^+ p \rightarrow \bar{\nu} X$

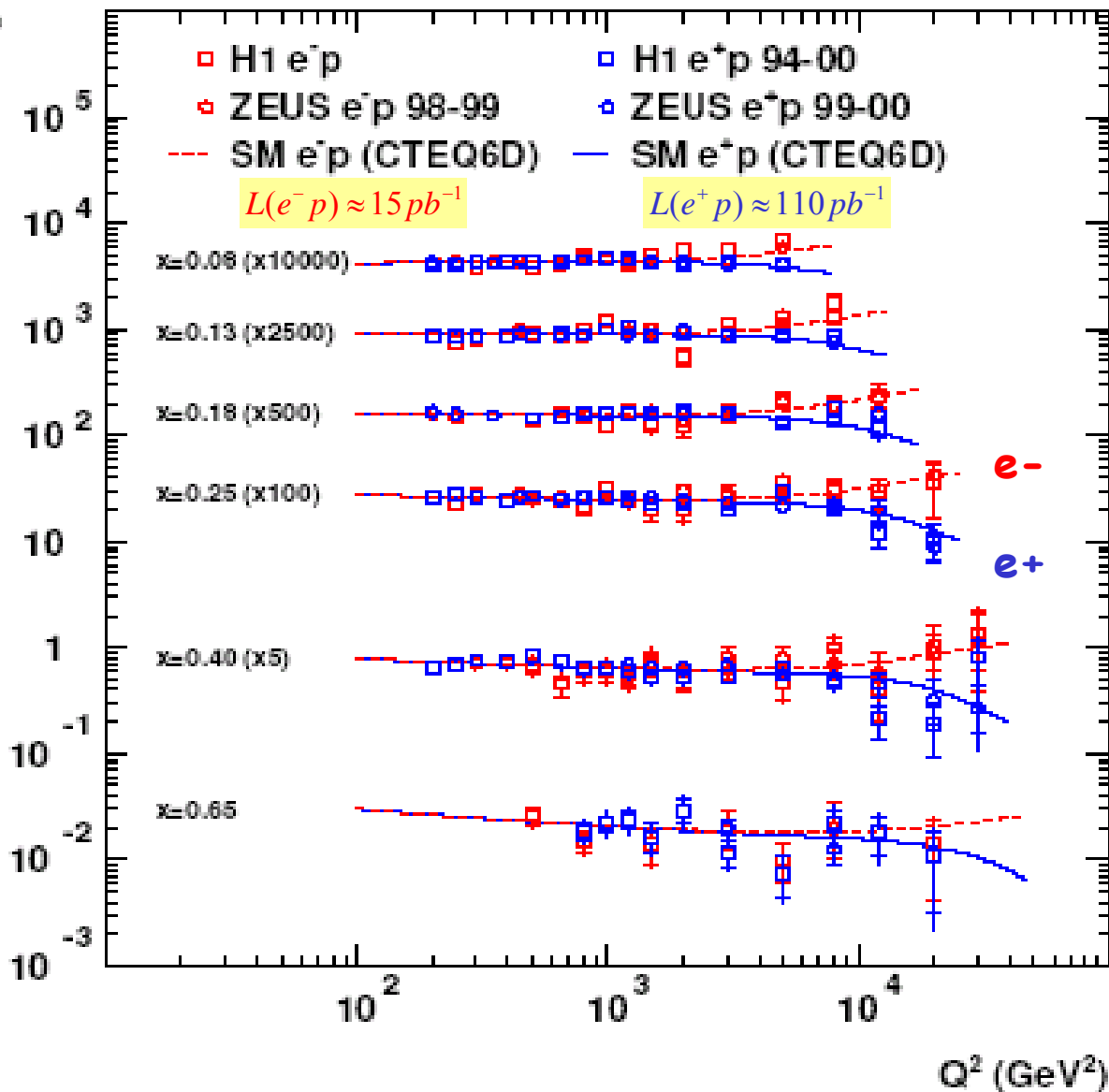


$$\sigma_{NC}^{\pm} \approx \sigma_{CC}^{\pm} \leftrightarrow Q^2 \approx M_Z^2 \approx 10^4 \text{ GeV}^2$$

quarks are pointlike down to proton radius/1000

ZEUS $r < 0.85$ H1 $r < 1.0 \cdot 10^{-18} \text{ m}$

Reduced neutral current scattering cross section at large x



$$\sigma_{NC}^{\pm}(x, Q^2) \sim F_2 \mp f(y) x F_3$$

$$F_2 = e_u^2 x(U + \bar{U}) + e_d^2 x(D + \bar{D})$$

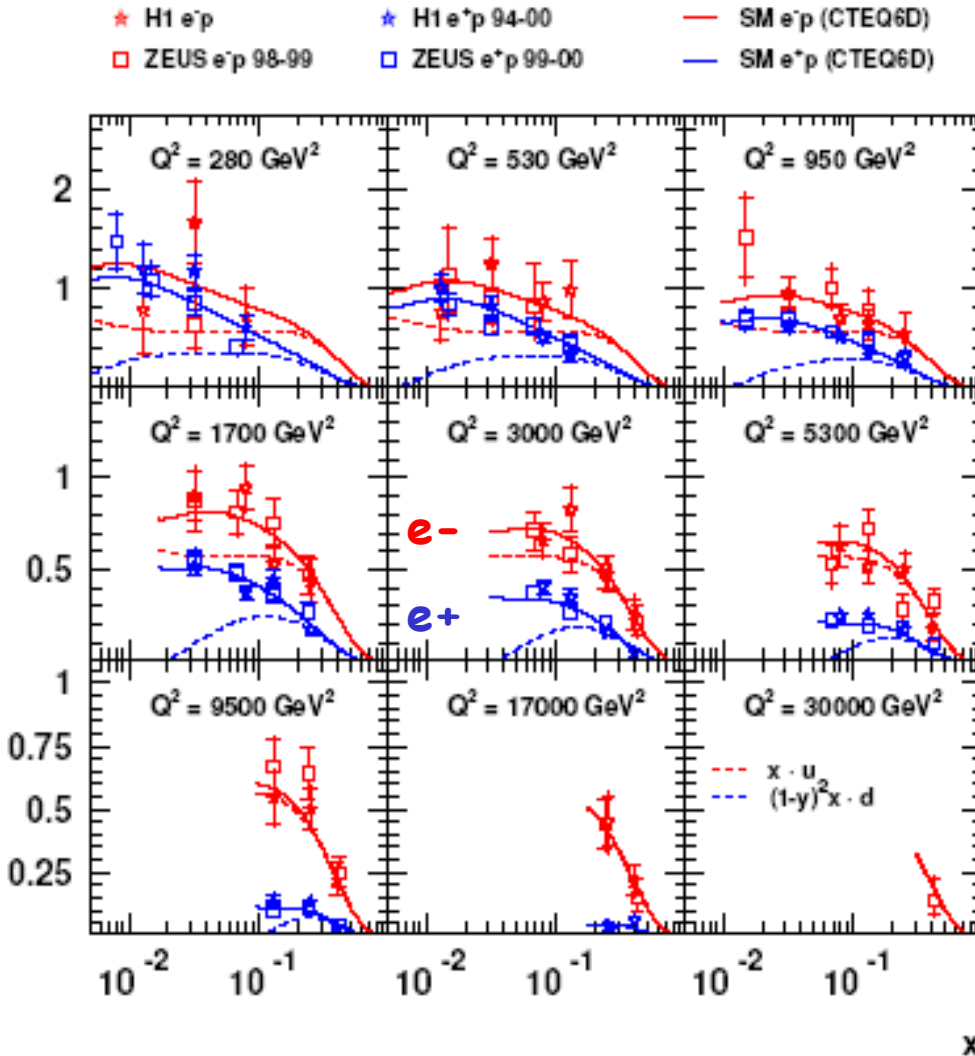
$$xF_3^{\gamma Z} = x(2u_v + d_v)/3$$

$$U = u + c + b$$

$$D = d + s$$

Z exchange enhances electron proton cross section and reduces positron proton cross section at large Q²

Reduced charged current scattering cross section

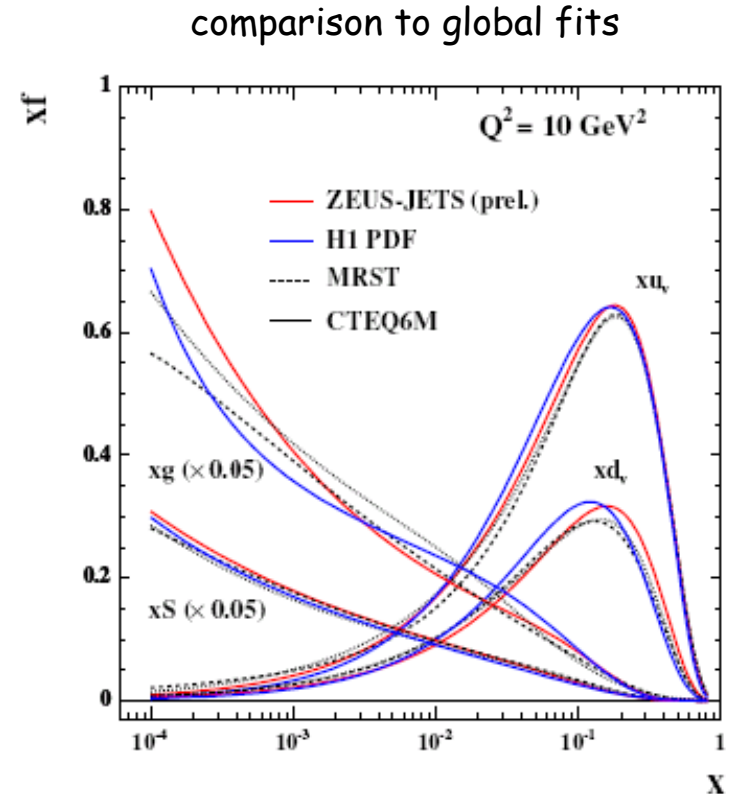
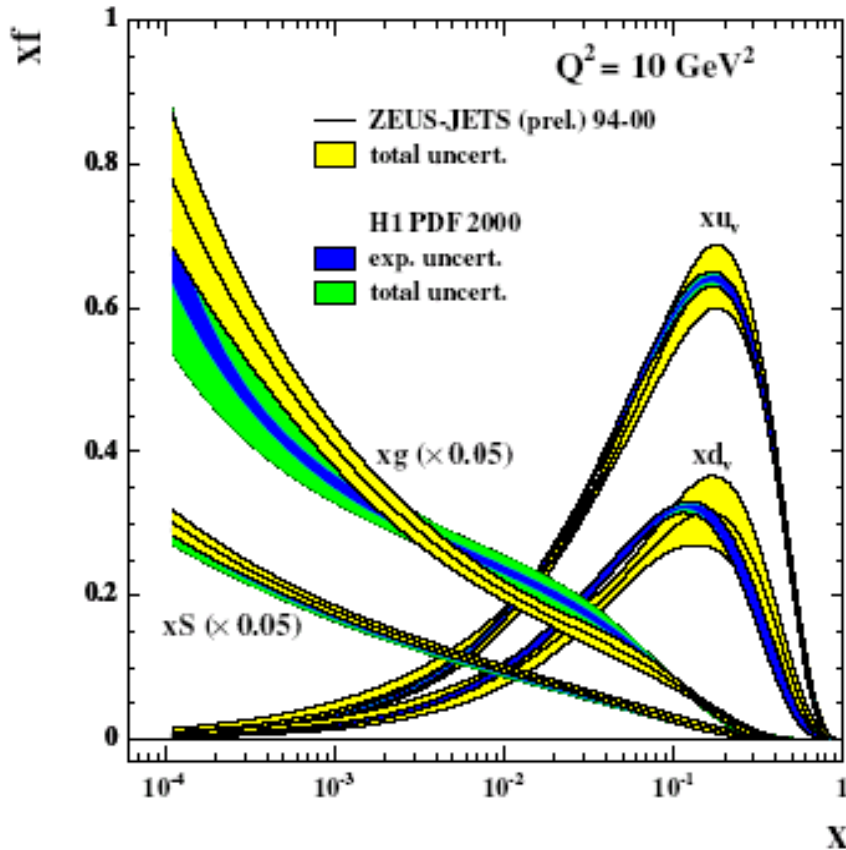


$$\sigma_{CC}^- \sim xU + (1-y)^2 x\bar{D} \rightarrow xu_\nu$$

$$\sigma_{CC}^+ \sim x\bar{U} + (1-y)^2 xD \rightarrow (1-y)^2 xd_\nu$$

HERA can disentangle parton distributions at large Q^2 and large $x > 0.01$ within single experiments, independently of nuclear corrections and free of higher twists

Parton distributions unfolded with H1 data and with ZEUS data only



- H1 and ZEUS parton distributions are in agreement
- HERA experiment's fits agree with global fits
- Gluon at low x and Q^2 not well constrained
- Treatment of systematic, model and theoretical errors subject to conventions

QCD fits parameterise initial PDFs

H1 $U, \bar{U}, D, \bar{D}, xg \leftrightarrow V, A, xg - \alpha_s$

ZEUS $u_v, d_v, \bar{u} \pm \bar{d}, xg - \alpha_s$

Polarized quark distributions

HERMES: (semi-) inclusive polarised eN
using polarised gas target internal to HERA-e

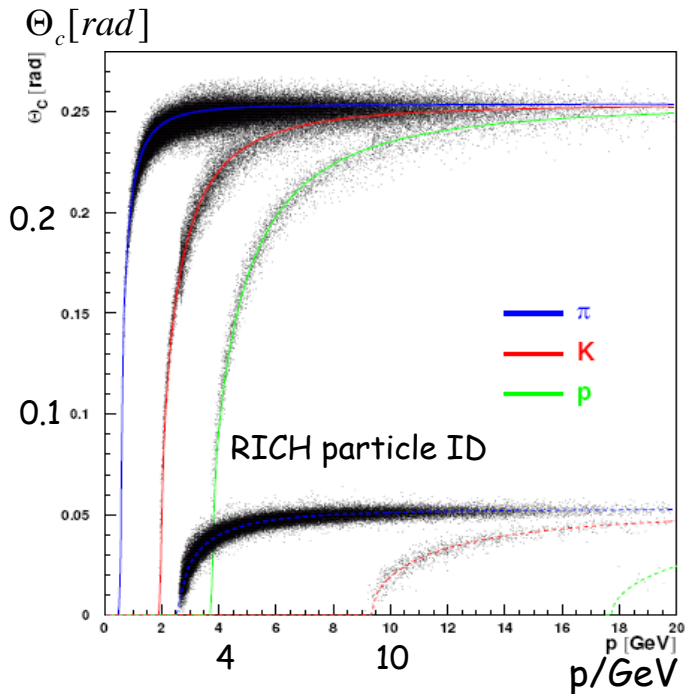
$$q = q^+ + q^-$$

$$F_1 = \frac{1}{2} \sum e_q^2 q$$

$$\Delta q = q^+ - q^-$$

$$g_1 = \frac{1}{2} \sum e_q^2 \Delta q$$

Measure double spin
asymmetries
to resolve valence and,
for the first time,
sea spin composition

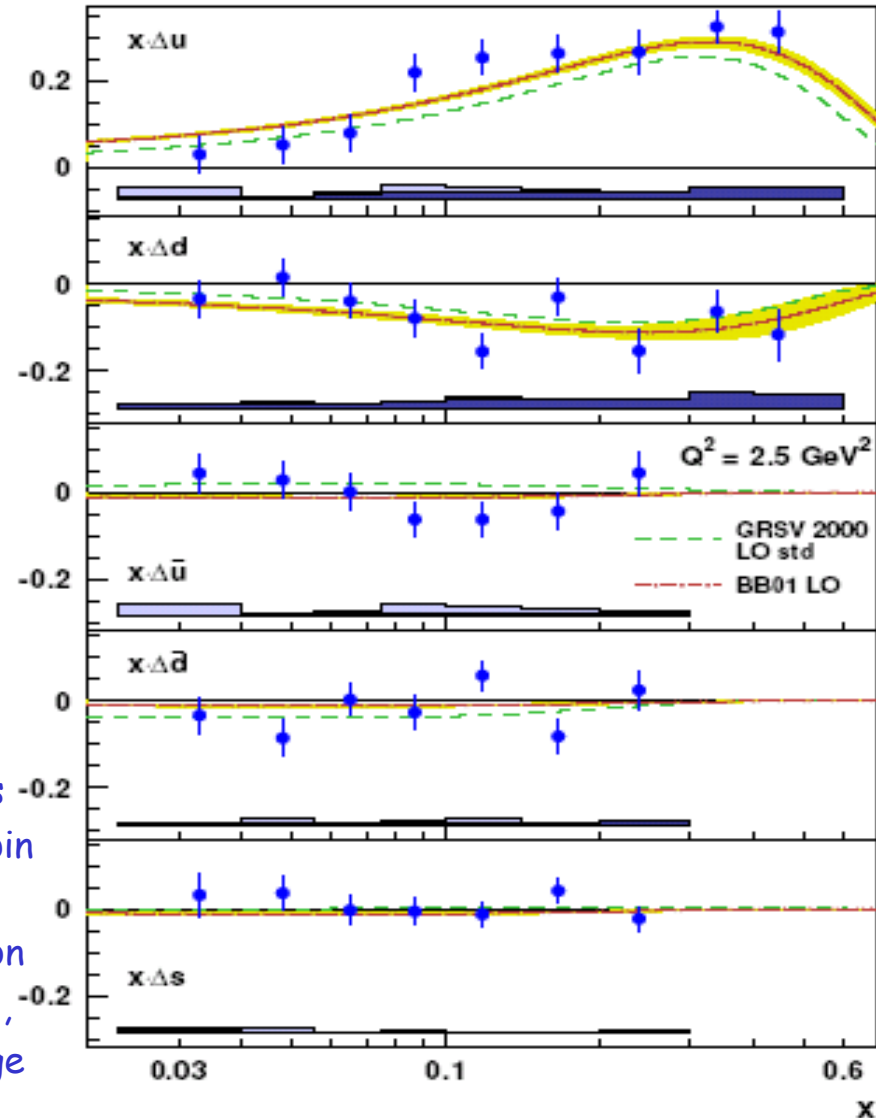


$ep, D \rightarrow ehX$
 $h = p, K^\pm, \pi^\pm$
 $ep, D \rightarrow eX$

Valence quarks
determine p spin

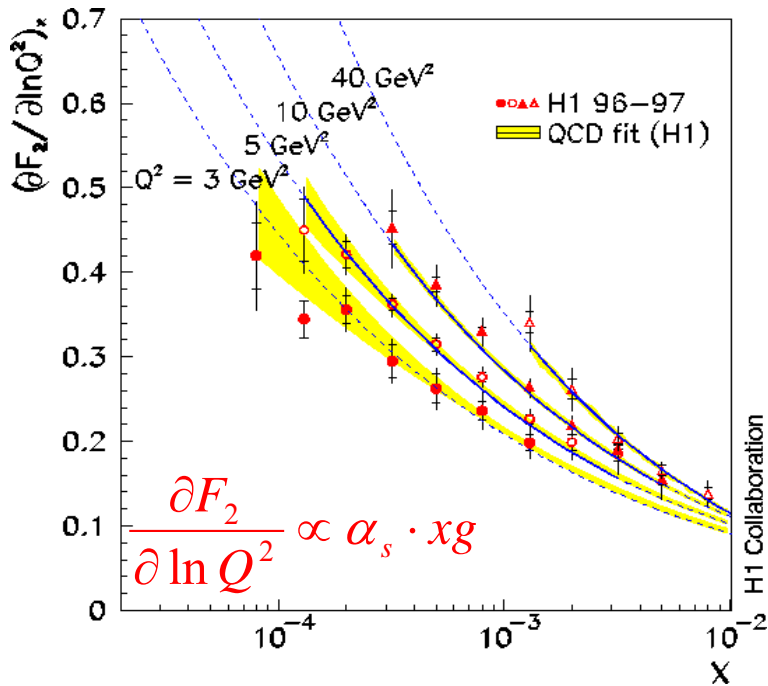
Sea polarisation
resolved: small,
also for strange

$$A_1^h \approx \frac{\int dz \sum e_q^2 \Delta q(x, Q^2) D_q^h(z, Q^2)}{\int dz \sum e_q^2 q(x, Q^2) D_q^h(z, Q^2)}, z = E_h / \nu$$

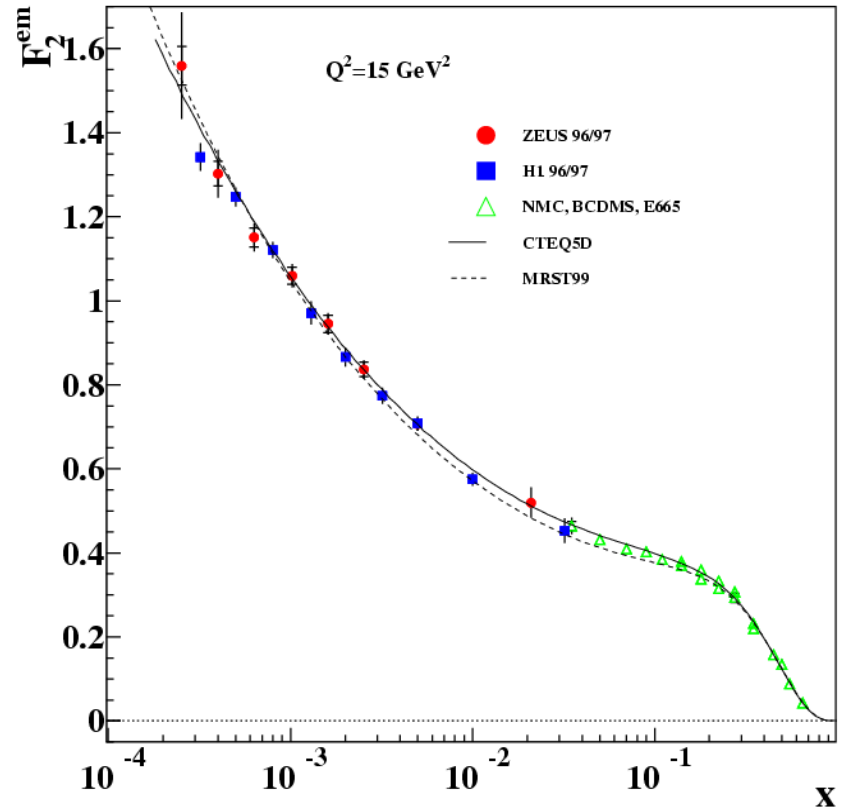


2. The Strong Coupling Constant and the Gluon Distribution

$$\frac{\partial F_2}{\partial \ln Q^2} \propto \alpha_s [\underbrace{P \otimes g}_{\text{low } x} + \underbrace{P \otimes F_2}_{\text{high } x}]$$



Derivative constrains gluon to ~20% at low x

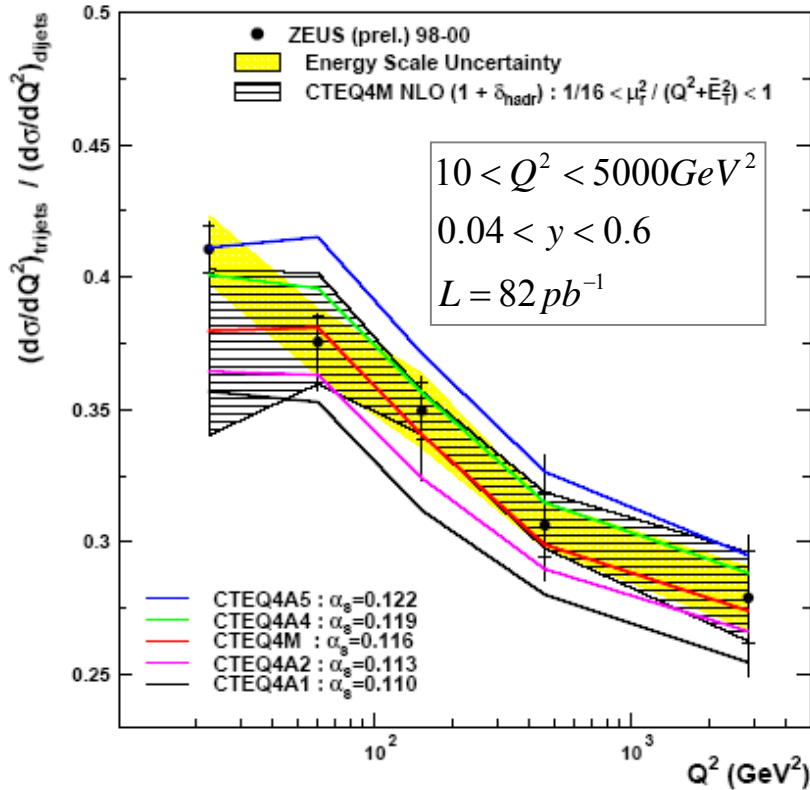


Precision on F_2 in bulk region 2-3%

$ep \rightarrow eX$: measure scattered e and X
 control systematics ($E_{e,h}$ scales to 0.3-2%)
 still potential for increased accuracy

Trijets in deep inelastic scattering new results

ZEUS

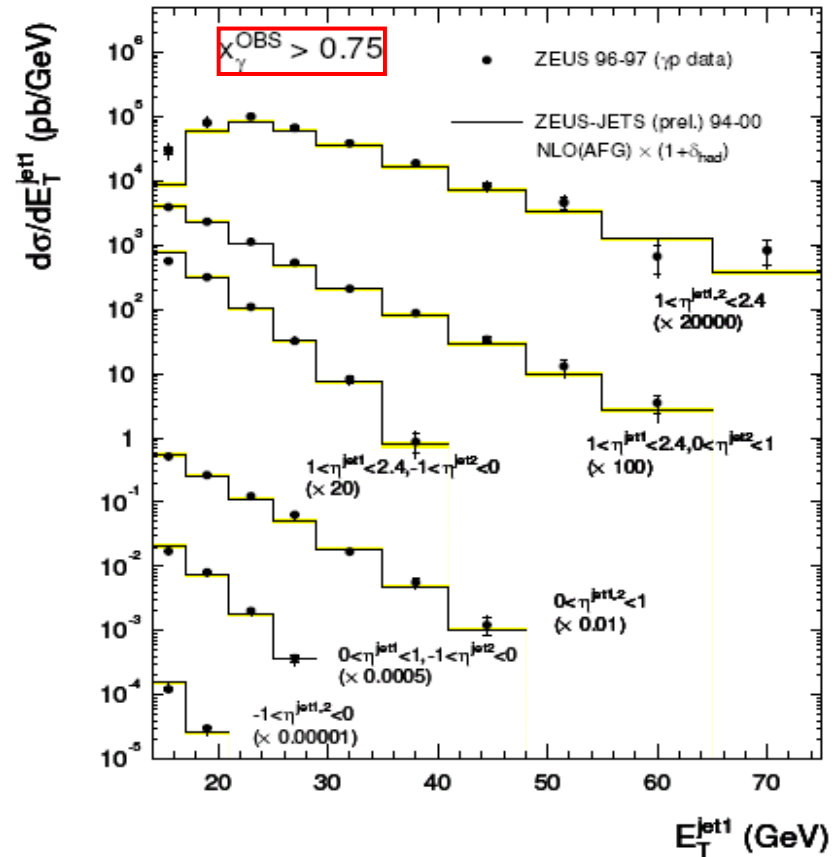


$$\alpha_s(M_z) = 0.1179 \pm 0.0013(stat.)^{+0.0028}_{-0.0046}(syst.)^{+0.0061}_{-0.0047}(th.)$$

Interesting results also on subjets [ZEUS]
and on event shapes [ZEUS+H1]

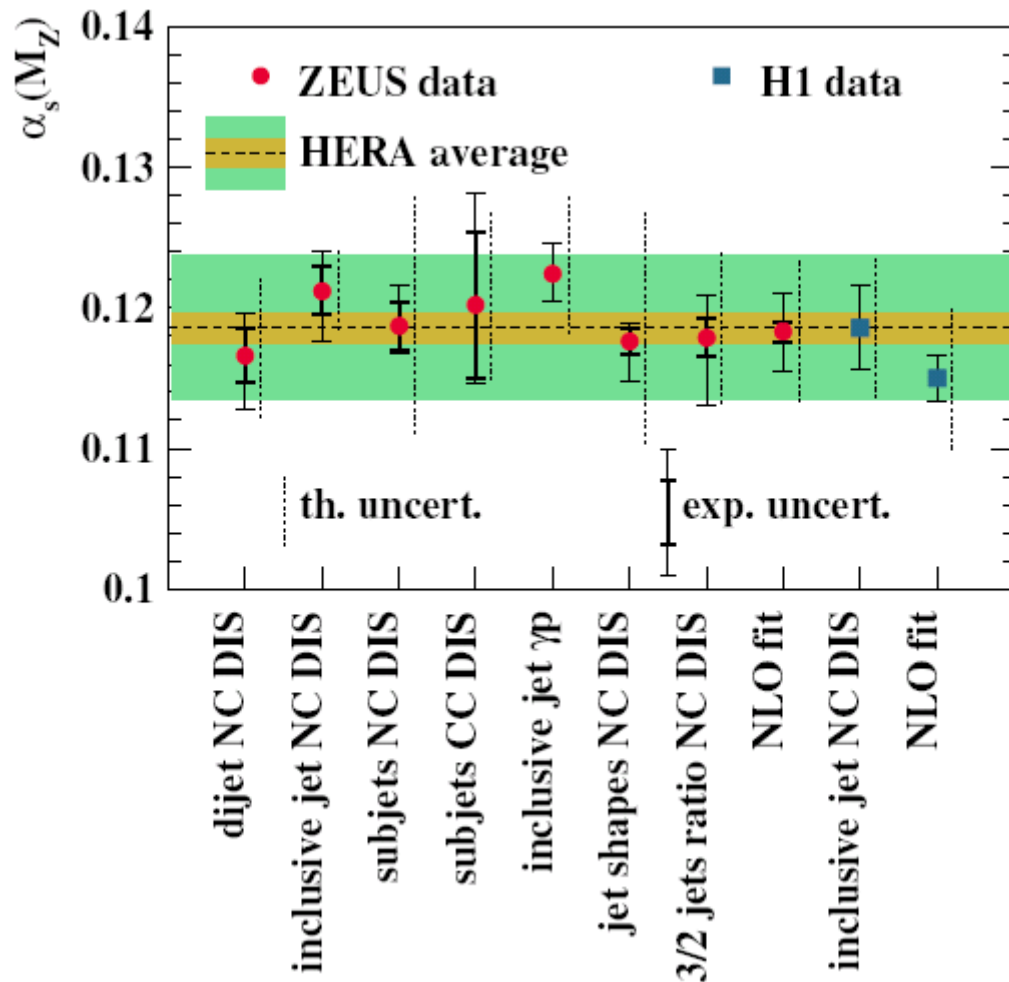
Dijets in direct photoproduction novel NLO fit

ZEUS



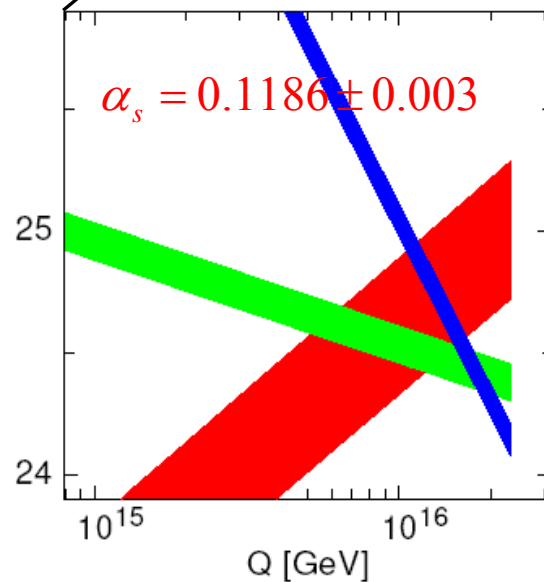
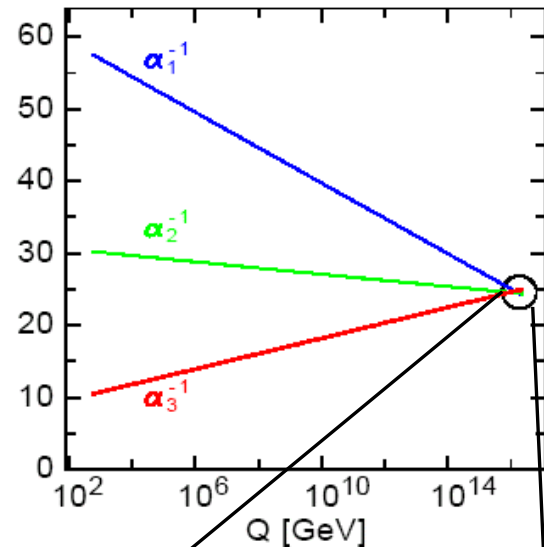
Data were essential to improve determination
of strong coupling constant in "ZEUS+jets" fit
using NLO calculation (Frixione, Ridolfi).
QCD fit includes also DIS jet data using
DISENT (Frixione, Seymour)

$$\text{HERA}(prel.) - \alpha_s(M_Z^2) = 0.1186 \pm 0.0011(\text{exp}) \pm 0.005(\text{thy})$$

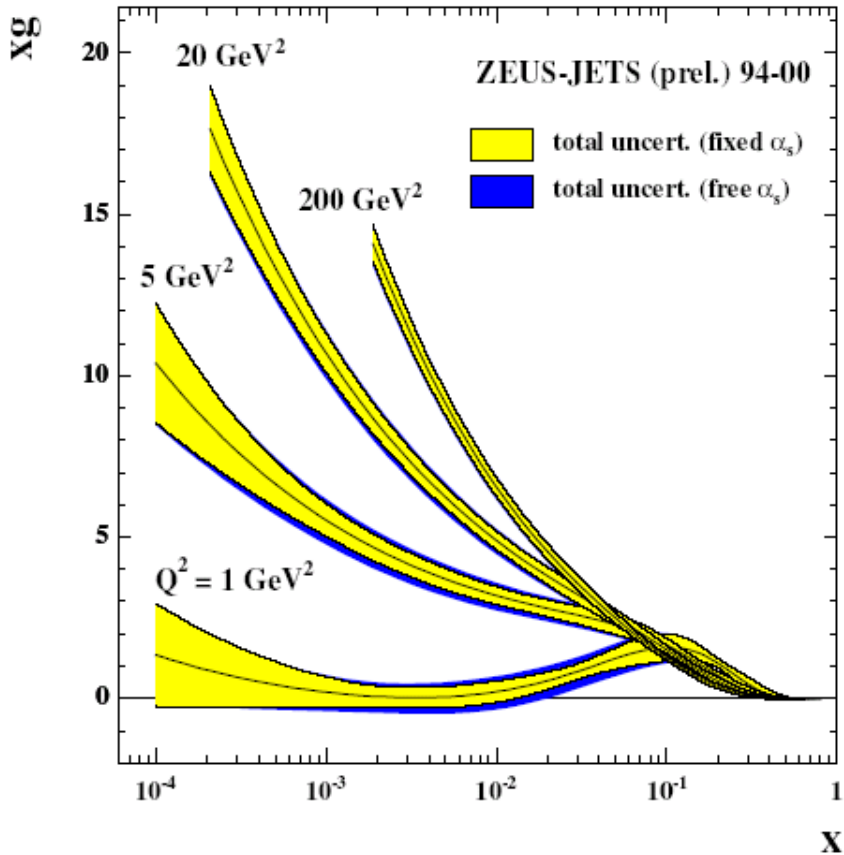


$$\alpha_s(M_Z^2) = 0.1209 \pm 0.0015(\text{exp}) \pm_{0.0049}^{0.0048}(\text{thy}) - \text{ZEUS}$$

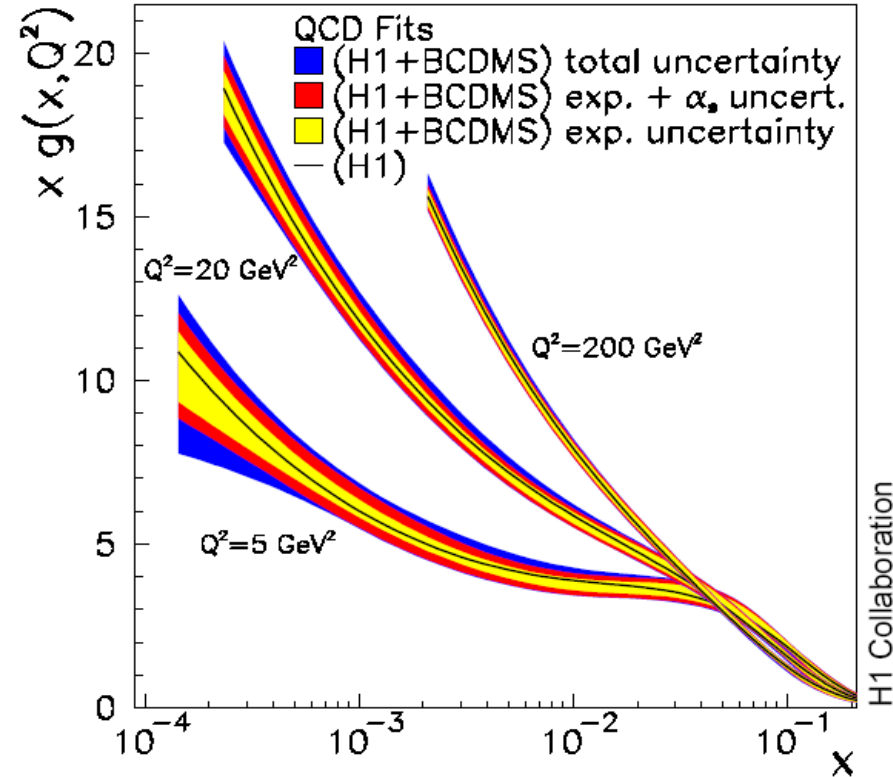
$$\alpha_s(M_Z^2) = 0.1160 \pm 0.0016(\text{exp}) \pm_{0.0046}^{0.0058}(\text{thy}) - \text{H1}$$



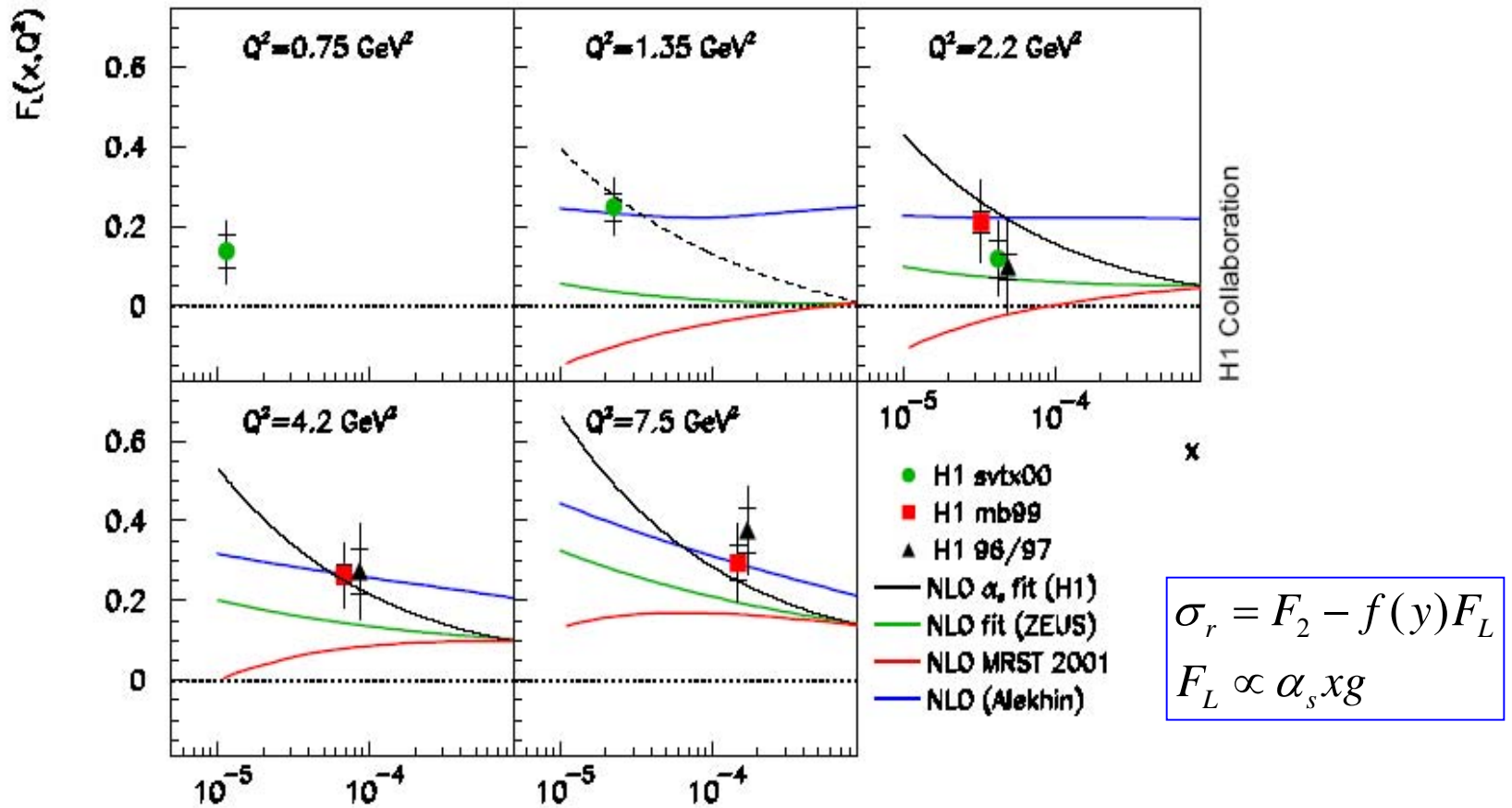
ZEUS inclusive NC+CC & jets



H1 inclusive NC+CC

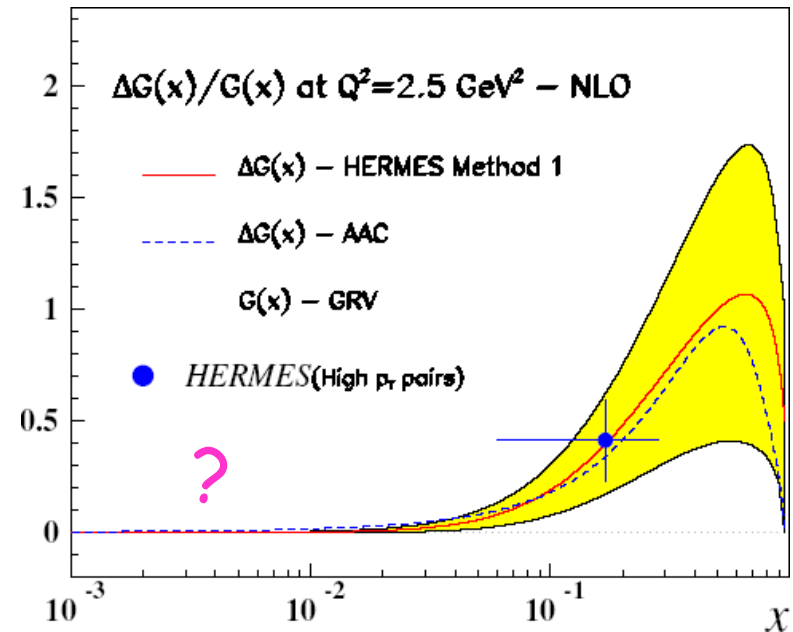
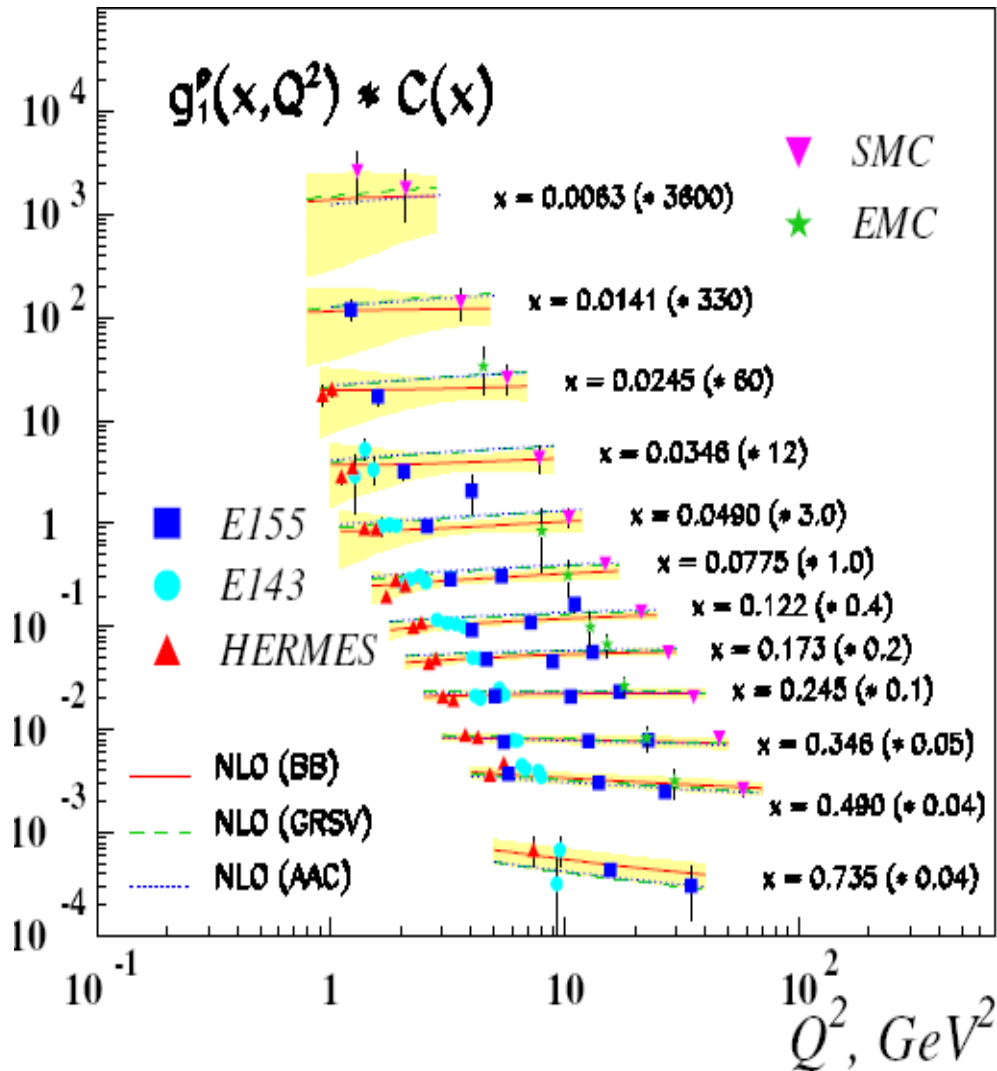


- Gluon distribution in the proton being pinned down: scaling violations, charm, jets, FL
- HERA QCD fits, due to the wide range and accuracy, resolve correlation of $xg \leftrightarrow \alpha_s$
- xg is NOT an observable. Charm treatment important (ZEUS: VFNS RT, H1: FFNS)
- In the region of low x and $Q^2 \sim 1 \text{ GeV}^2$ the gluon distribution becomes very small
 → transition from hadronic to partonic behaviour at about 0.3 fm



F_L data point to positive gluon distribution in the transition region

Polarised gluon distribution ΔG



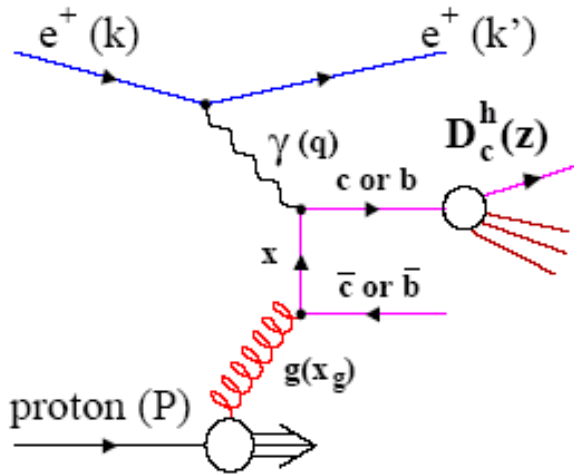
Some sign that $\Delta G > 0$
 \rightarrow more data needed ...COMPASS, RHIC...
 Future requires luminous collider
 polarised data also sensitive to α_s

BB : Blümlein, Böttcher,
 GRSV : Glück,Reya,Stratman,Vogelsang
 AAC : Asymmetry Analysis Collaboration
 GRV : Glück, Reya, Vogt

3. Heavy flavour physics at HERA

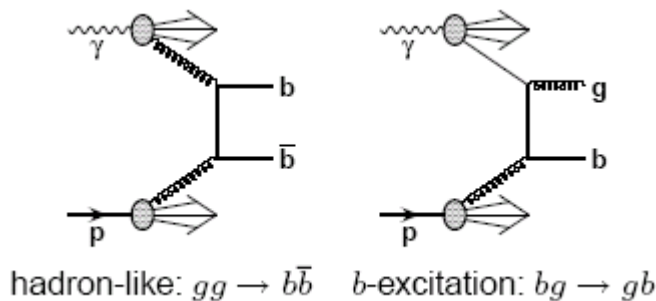
$$q(p) \otimes \sigma(\gamma g \rightarrow b\bar{b}) \otimes q(\gamma) \otimes D_c^h$$

Boson-Gluon fusion



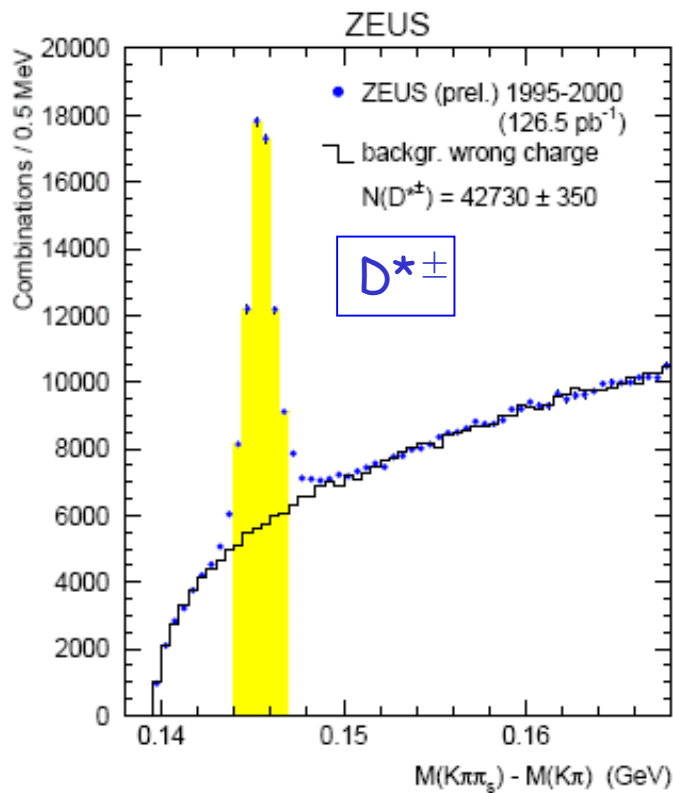
- evolved test of QCD at NLO [+jets, diffraction]
- yp: FMNR (Frixione, Mangano, Nason, Ridolfi)
- DIS: HVQDIS (Harris, Smith)

Heavy flavours in photoproduction

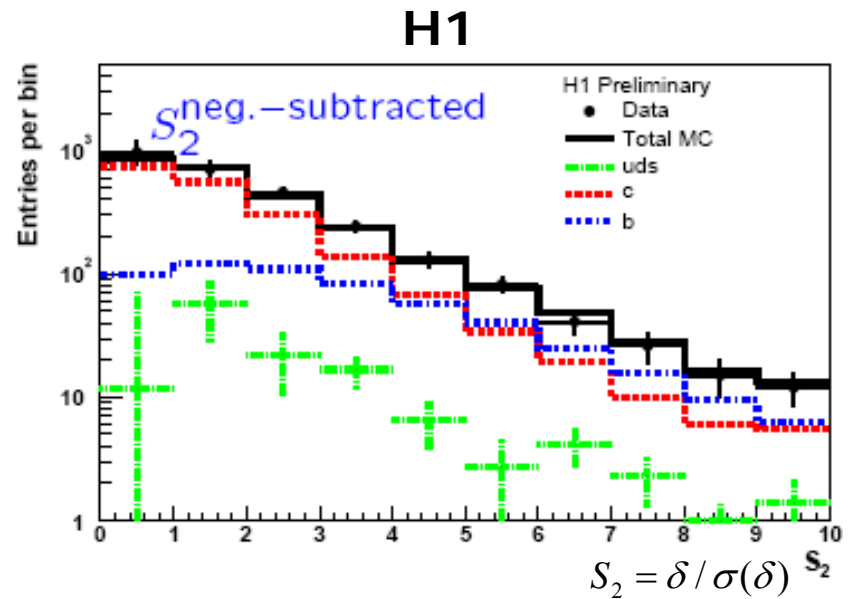


- Fraction of c,b to inclusive $F_2 \rightarrow F_2^c, F_2^b$
- Treatment of c,b in QCD evolution :
extrinsic or intrinsic, heavy or light?
- Parton radiation (DGLAP vs CCFM)
- Fragmentation functions - universal?
- Gluon in the proton
- Heavy quark and gluon content of the photon

Heavy flavour identification at HERA



Impact parameter tagging of beauty

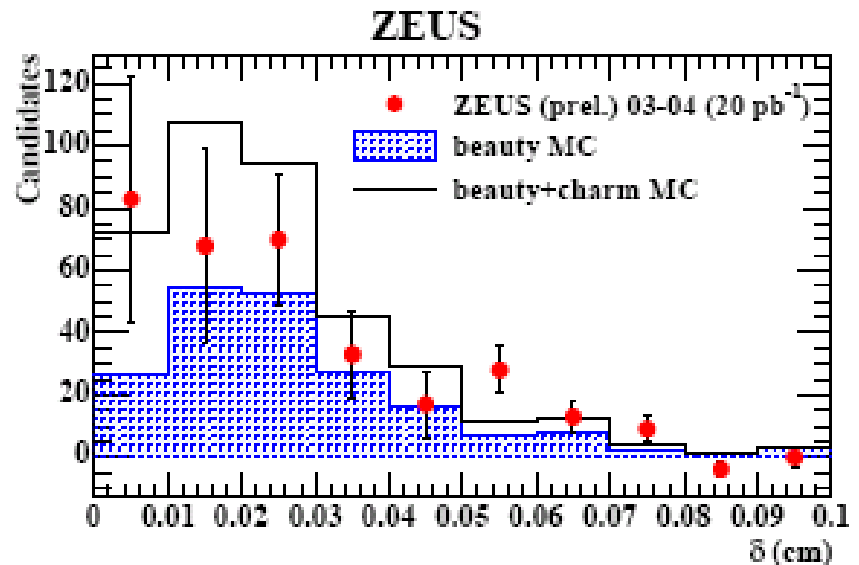


Classic techniques: D* and $p_t^{rel}(\mu)$

Si-vertex detectors :

H1: CST: charm and beauty → ICHEP

ZEUS: new MVD sees b's at HERA II



Charm fragmentation in ep scattering

$$D^+, D^0, D_s^+, D_s^{*+}, \Lambda_c$$

$$R_{u/d} = \frac{c\bar{u}}{c\bar{d}} = \frac{\sigma(D^{0,+0})}{\sigma(D^{\pm,+ \pm})} = \frac{\sigma^{untag}(D^0)}{\sigma(D^{\pm}) + \sigma^{tag}(D^0)}$$

The vacuum as seen by the charm quark contains an equal number of u and d quarks

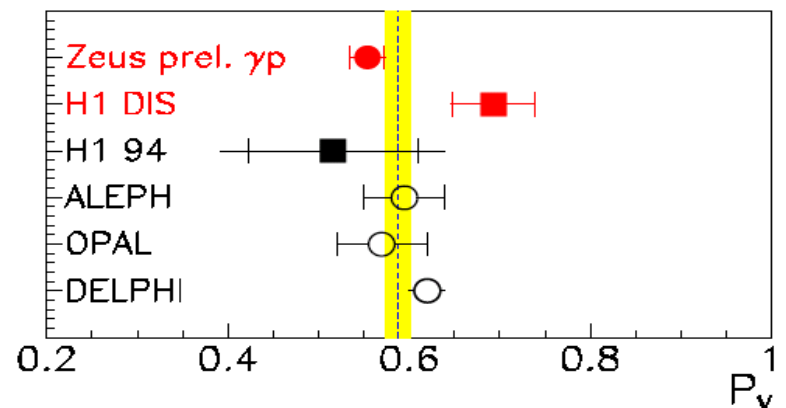
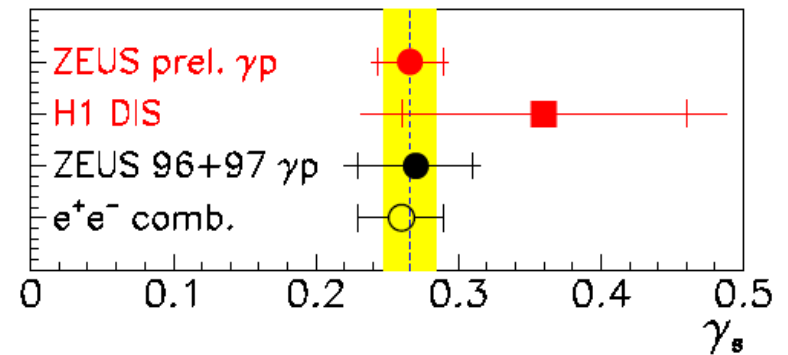
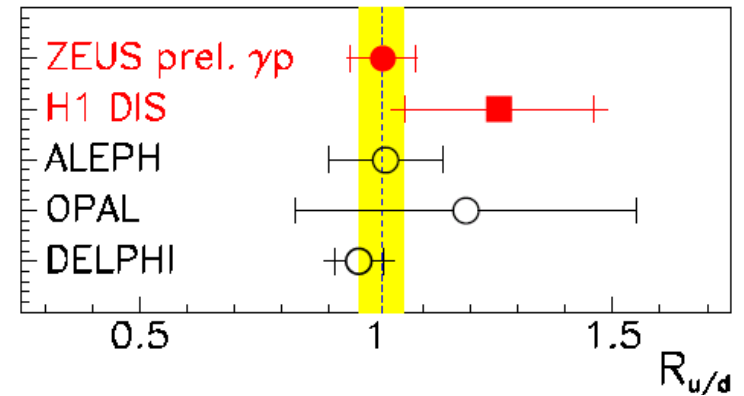
$$\gamma_s = \frac{2c\bar{s}}{c\bar{d} + c\bar{u}} = \frac{2\sigma(D_s^{\pm})}{\sigma^{dir}(D^{\pm}) + \sigma^{dir}(D^0) + 2\sigma(D^{* \pm})}$$

s quarks are suppressed by a factor of 4

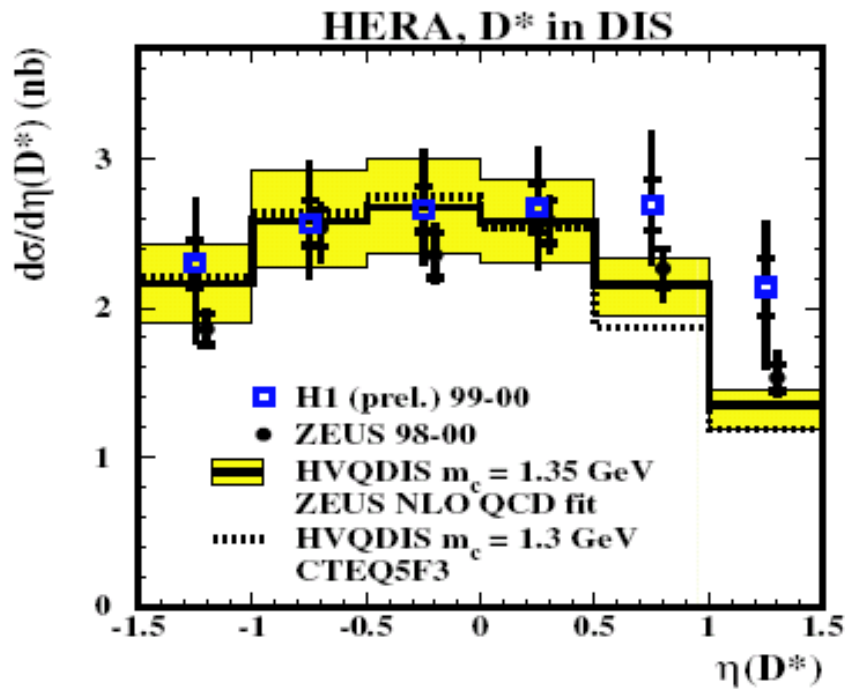
$$P_V = \frac{V}{V+P} = \frac{\sigma(D^*)}{\sigma(D^*) + \sigma^{dir}(D)} \neq 3/4$$

Naïve spin counting does not work for charm

Fragmentation fractions $f(c \rightarrow D)$, $f(c \rightarrow \Lambda_c)$ also determined. Agree/compete with $e+e \rightarrow$ universal behaviour of charm fragmentation

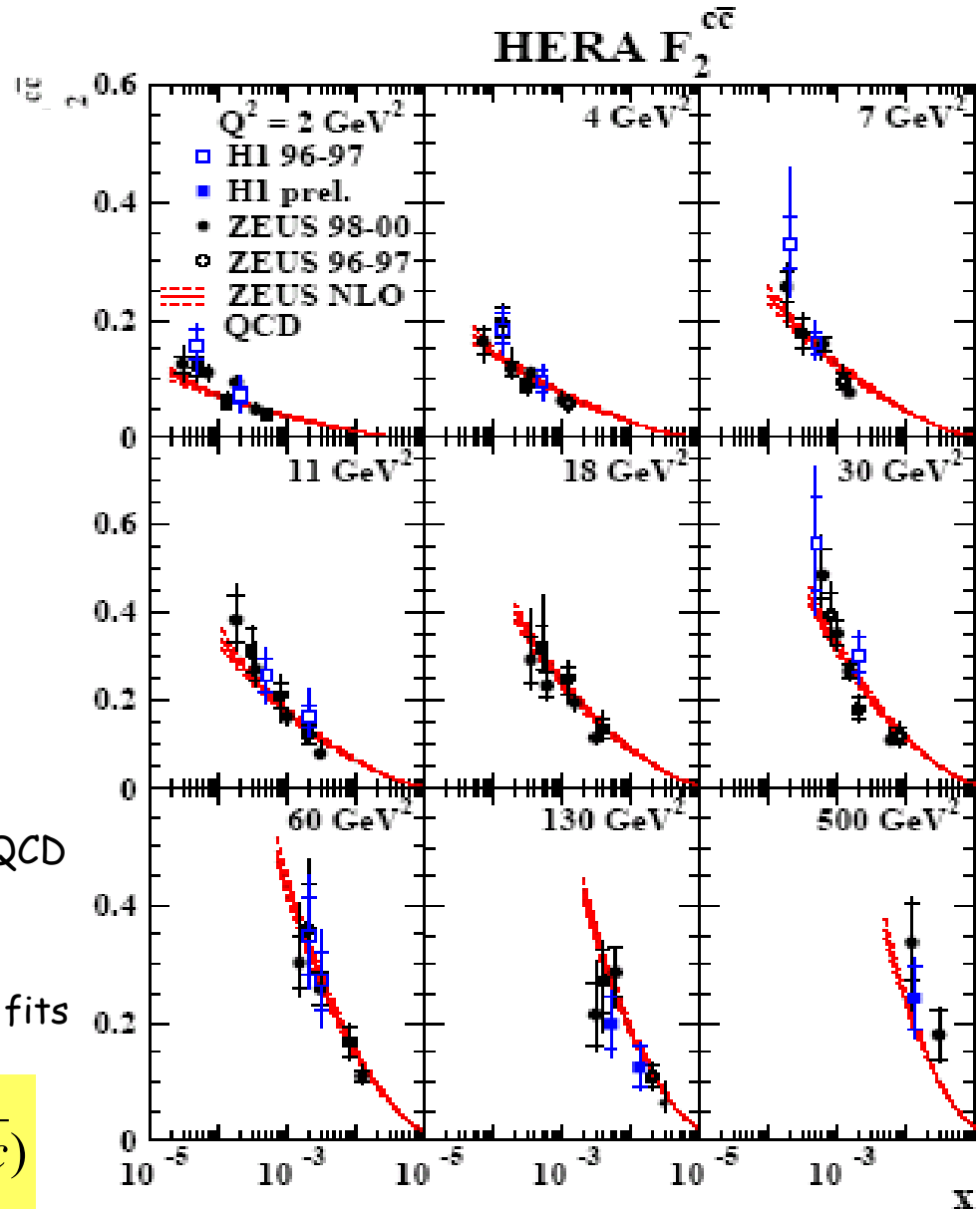


Inclusive charm production in deep inelastic scattering

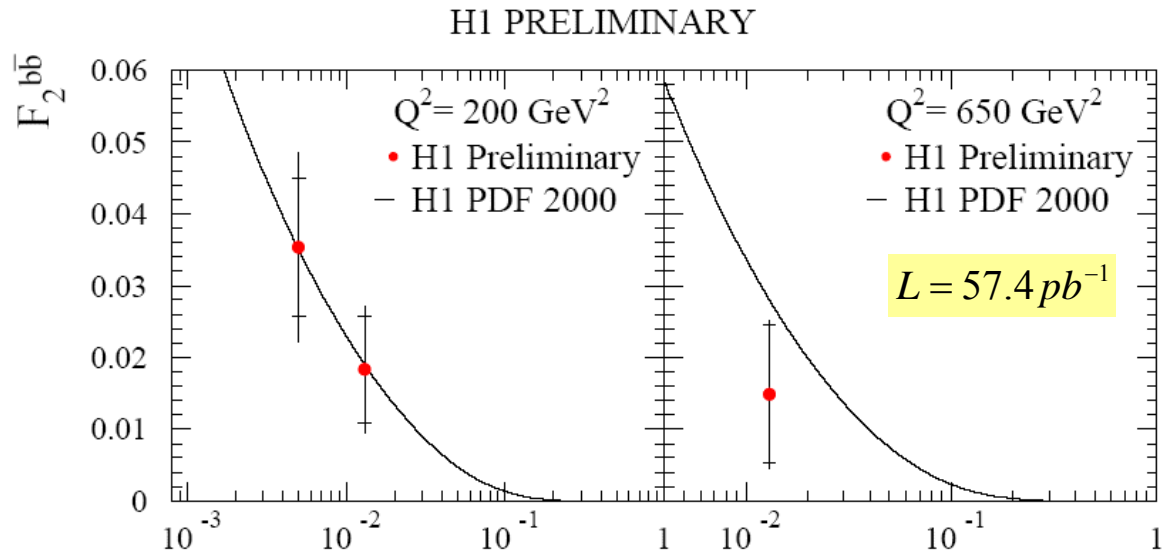


- Inclusive measurements consistent with NLO QCD
- Discrepancies when associated with jets.
- Large theoretical uncertainties (scale)
- Data accurate to 10-30% → can constrain PDF fits

$$\frac{d\sigma^{ep \rightarrow ec\bar{c}X}}{dx dQ^2} \propto \frac{F_2^{c\bar{c}}(x, Q^2)}{Q^4}, F_2^{c\bar{c}} = \frac{4}{9} x(c + \bar{c})$$

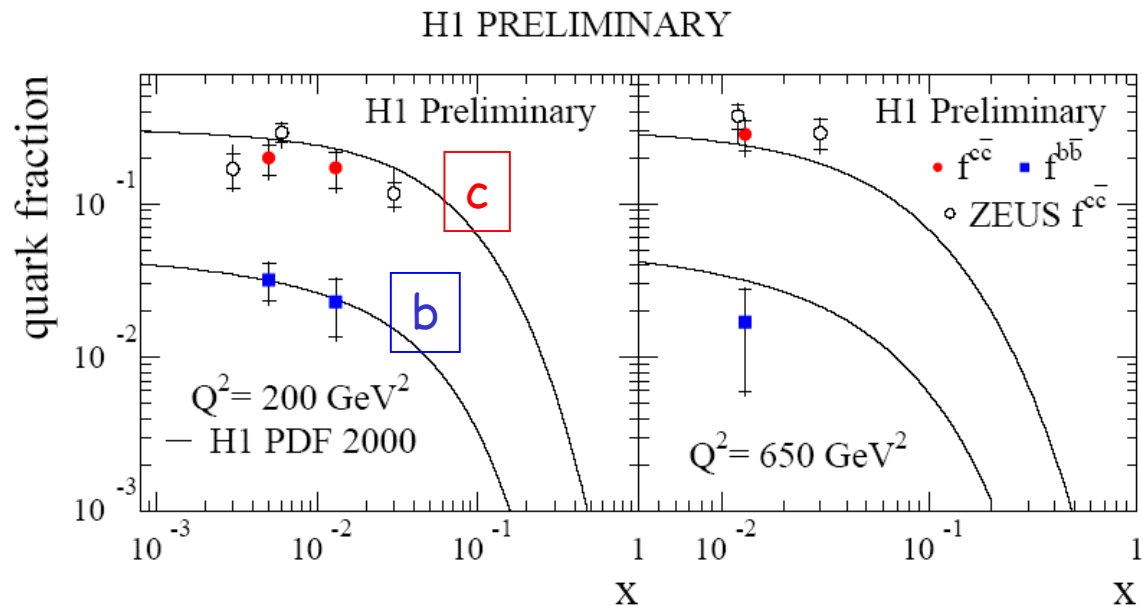


Inclusive beauty production in deep inelastic scattering



First measurement of bottom structure function, uses b lifetime tagging.

Charm F_2 data with D^* (ZEUS) and tagging (H1) agree. Reach now high Q^2

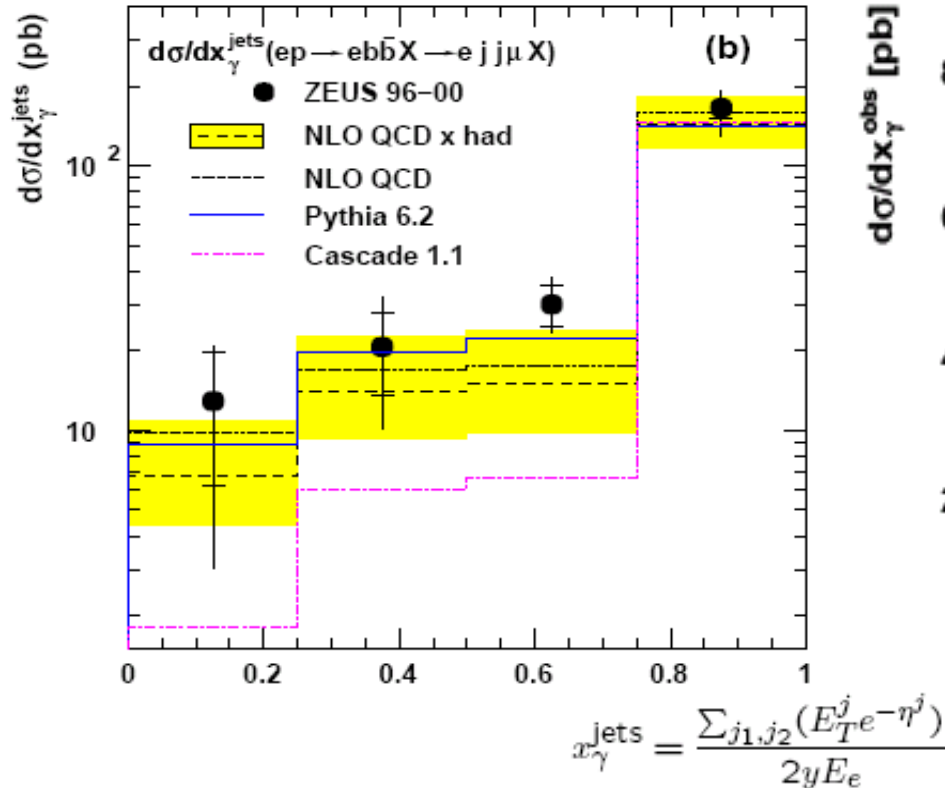


Charm is 20% of F_2 . Beauty only 2% below valence quark region

Beauty dijets in photoproduction

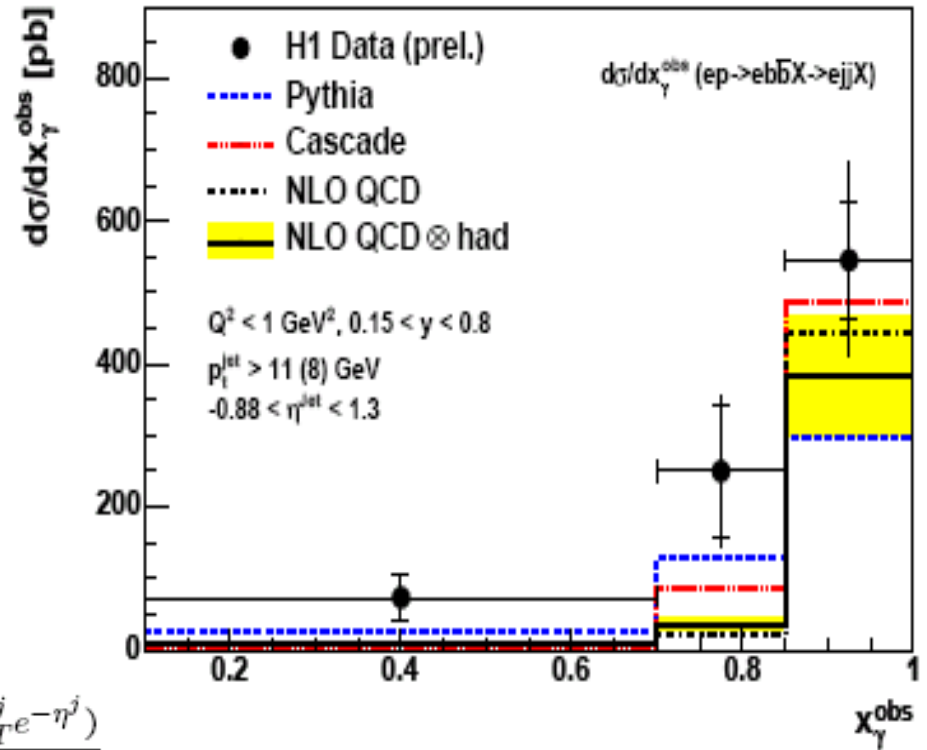
$$ep \rightarrow eb\bar{b}X \rightarrow ejj\mu X'$$

ZEUS



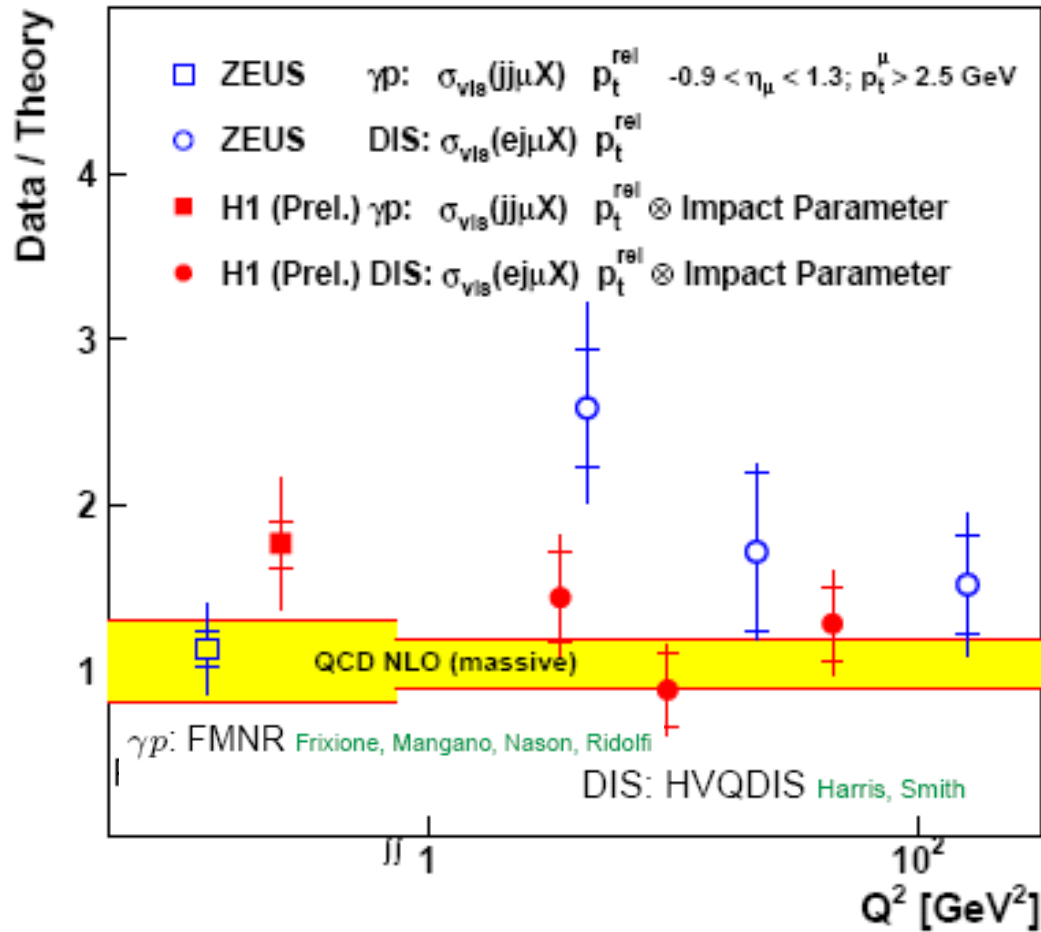
$$ep \rightarrow eb\bar{b}X \rightarrow ejjX'$$

H1



- Direct production dominant, similar to behaviour of charm
- Resolved component measured, perhaps larger than NLO QCD
- Can lead to determination of the beauty content of the photon (c.f. charm)

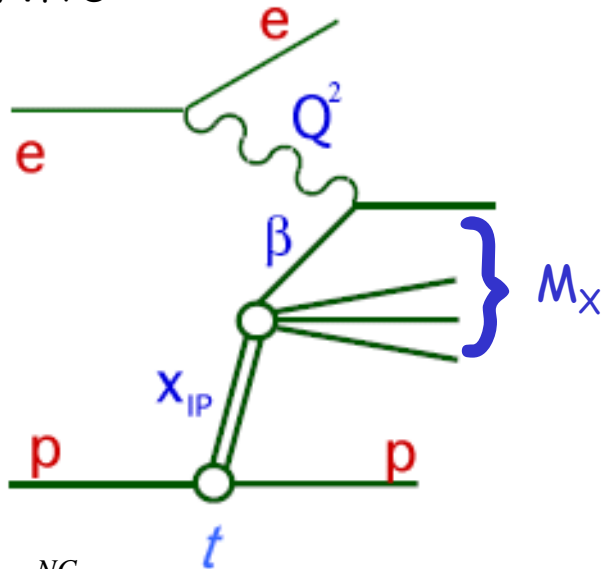
Summary of beauty data from HERA vs NLO QCD



Data of increased accuracy are above but not inconsistent with QCD

4. Hard Diffractive ep Scattering

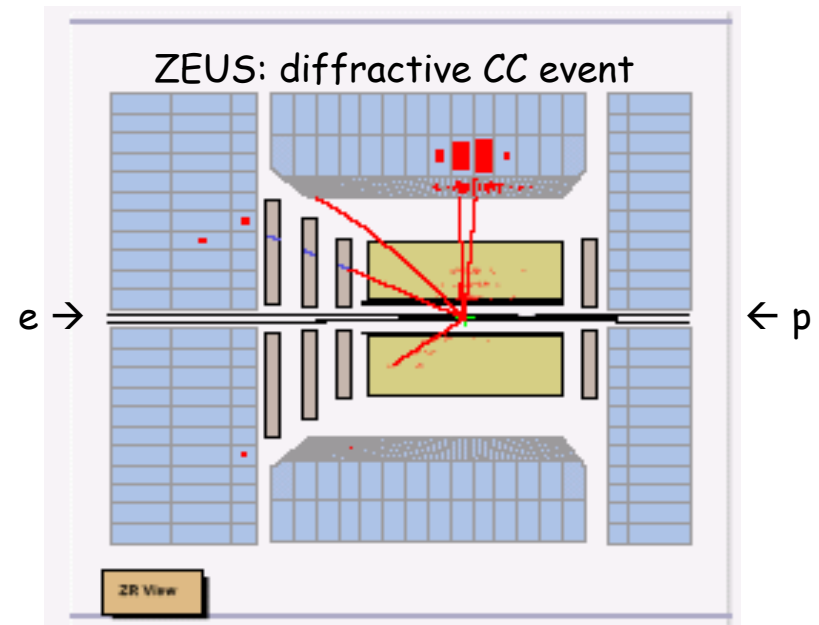
~10% of NC DIS events have gap between p and central tracks. Measure gap or detect p with LPS/VFPS



$$\frac{d\sigma_{diff}^{NC}}{dx_{IP} dt d\beta dQ^2} \propto \frac{1}{Q^4} F_2^{D(4)}(x_{IP}, t, \beta, Q^2)$$

Cross section factorises into coefficient functions and diffractive parton distributions

(Trentadue, Veneziano, Berera, Soper, Collins, ...)

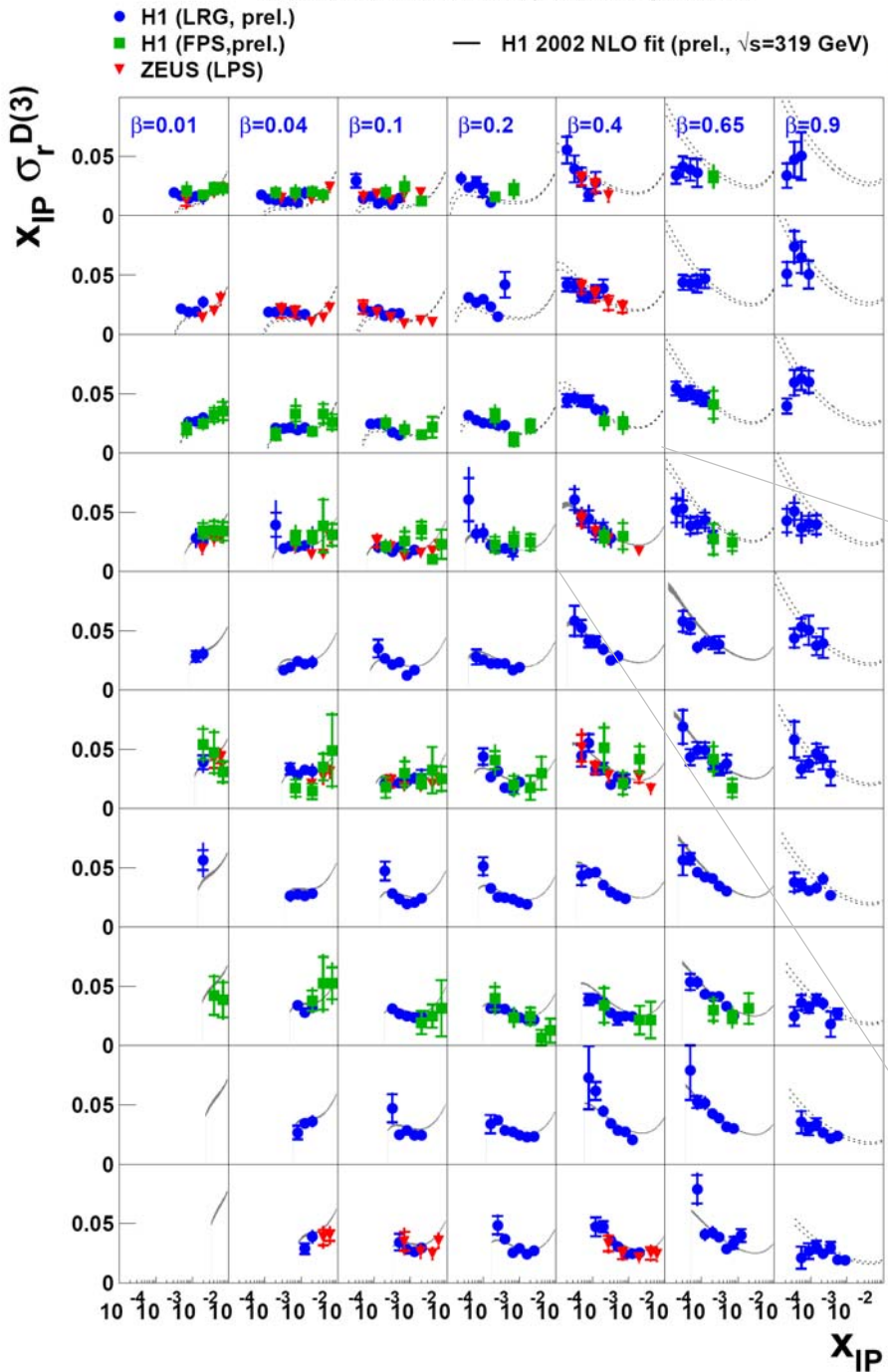


First observation by ZEUS and H1 of diffraction in charged current scattering at high Q^2 : 2-3%

- Why does the p sometimes remain intact?
- Understand nature of diffractive exchange
- Does diffraction affect p PDF's [Martin et al]
- Is diffractive exchange universal, ep - pp?
- 2 g exchange \rightarrow high gluon density - unitarity?
- Study an old phenomenon at hard scales!

HERA allows detailed, quantitative studies. Many new results presented to ICHEP04 (inclusive, resolved γ , CC, charm, jets..)

HERA Diffractive DIS Cross Section



• New diffractive DIS data tagged with:

• Large rapidity gap i.e. forward detector veto.

• Tagged proton using Forward p

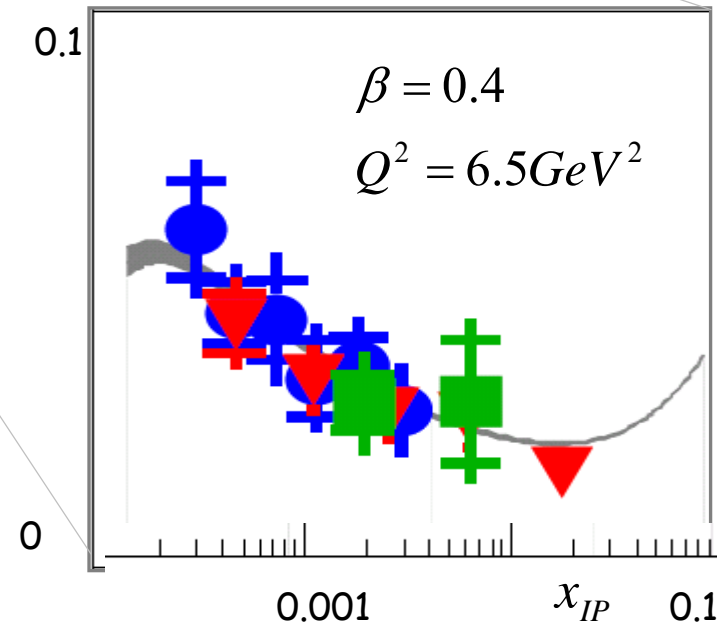
Spectrometer FPS (H1)

Leading p Spectrometer LPS (ZEUS)

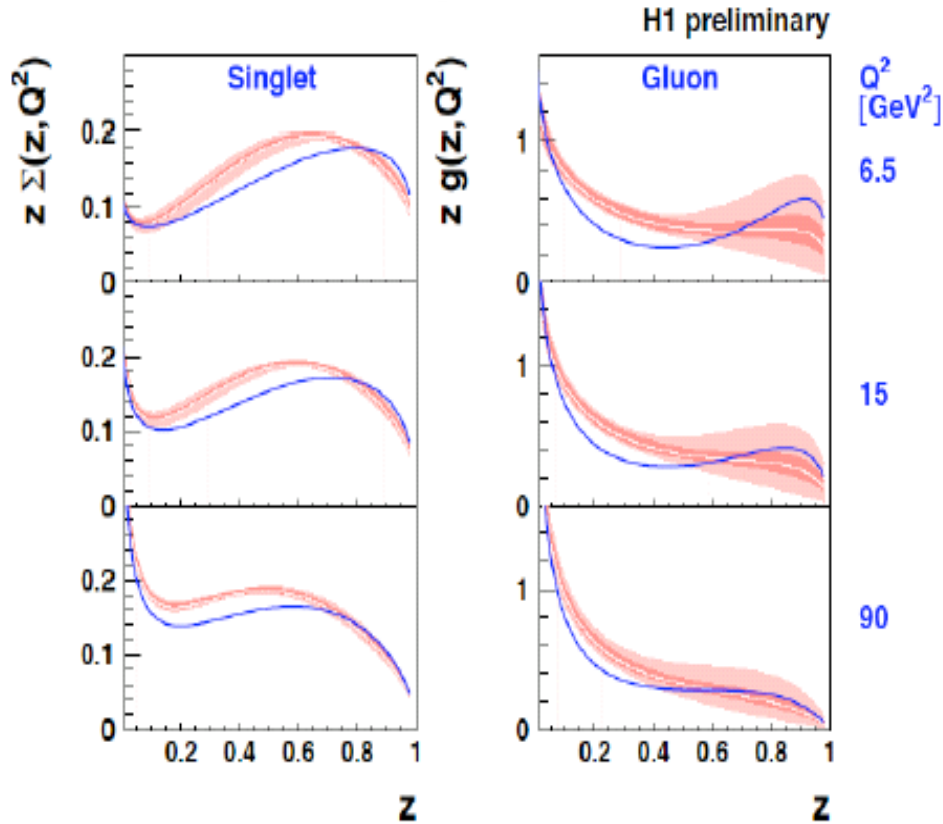
LPS (ZEUS)

• Good agreement between all data

$$\chi_{IP} \sigma_r^{D(3)} = \chi_{IP} [F_2^{D(3)} - f(y) F_L^{D(3)}]$$



Diffractive parton distributions



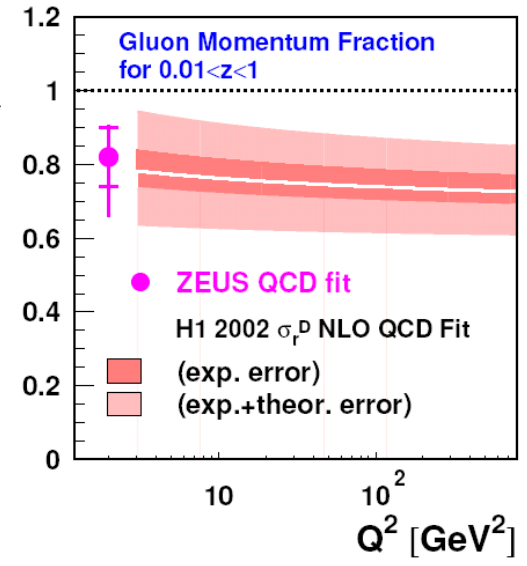
H1 2002 σ_r^D NLO QCD Fit
 (exp. error)
 (exp.+theor. error)

H1 2002 σ_r^D LO QCD Fit

$z = \beta$
 in QPM

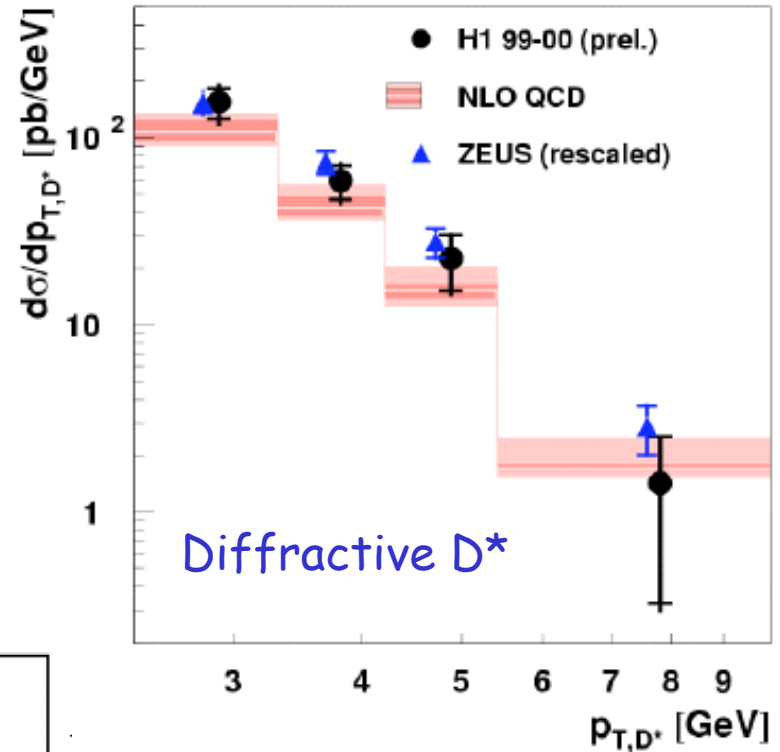
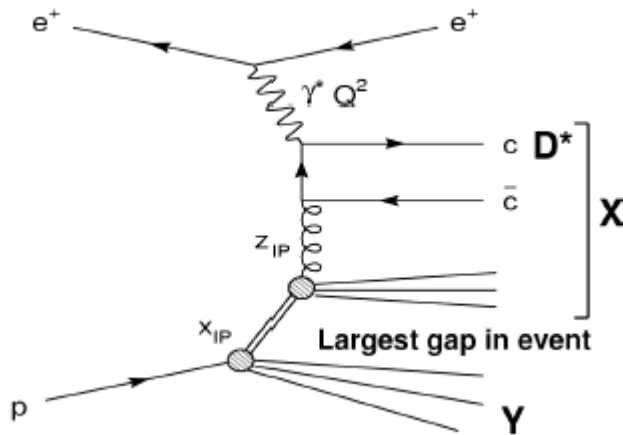
uses Regge flux ('resolved Pomeron model')

$$\frac{\int z g(z, Q^2) dz}{\int z [g + \Sigma] dz}$$



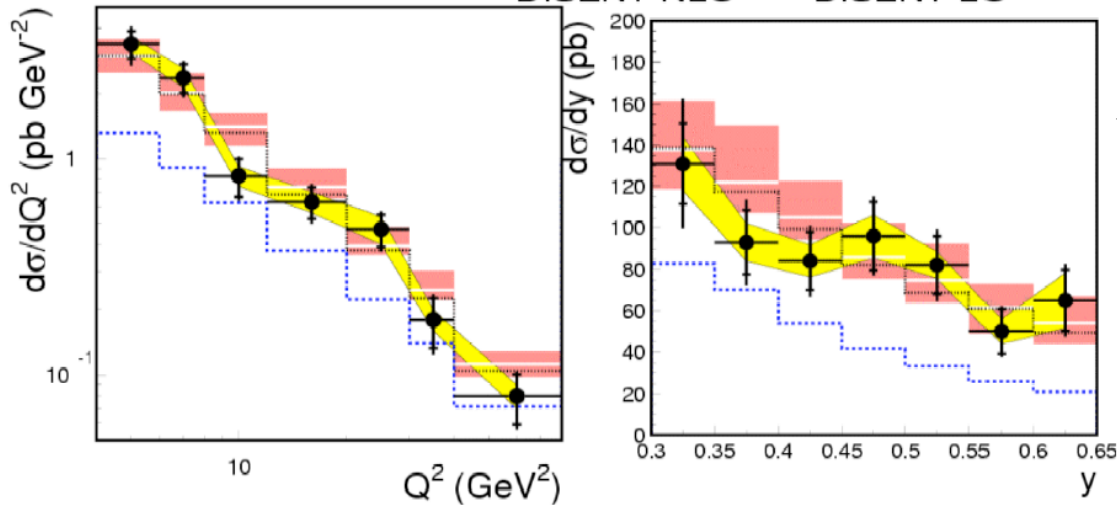
- Extract diffractive PDFs from NLO fit to inclusive diffractive structure functions
- Momentum distribution of quarks and gluons in the 'Pomeron': **gluons dominate at large $z > 0.01$ unlike the non diffractive xg .**
- QCD evolution (DGLAP) fits recent F_2^D data up to $Q^2=2000 \text{ GeV}^2$.
- If factorisation holds, these PDFs are universal and NLO QCD should describe diffractive final states and Tevatron data

Final states in diffractive deep inelastic scattering



H1 Diffractive DIS Dijets

- H1 Preliminary
- H1 2002 fit (prel.)
- correl. uncert.
- DISENT NLO*(1+delta_had)
- DISENT NLO
- DISENT LO



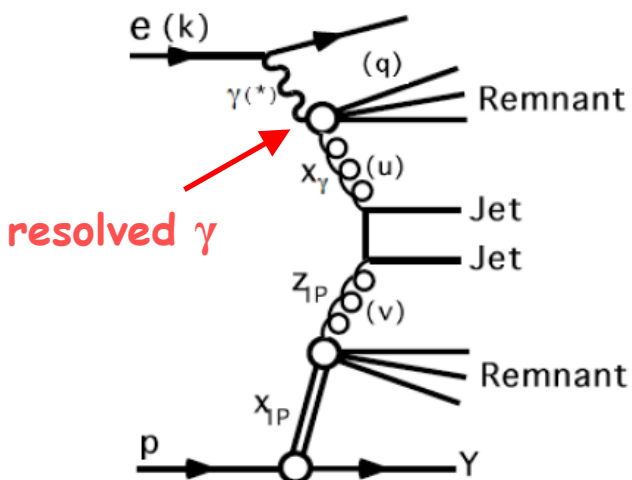
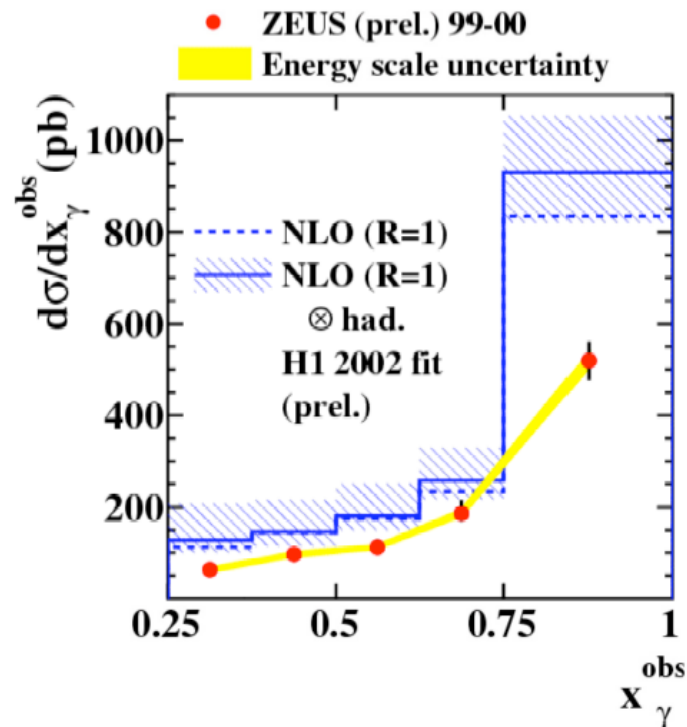
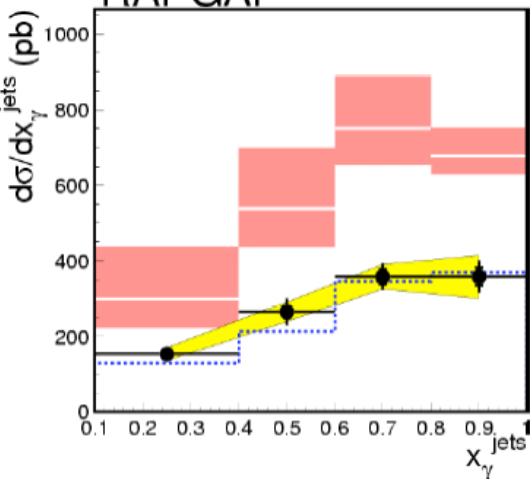
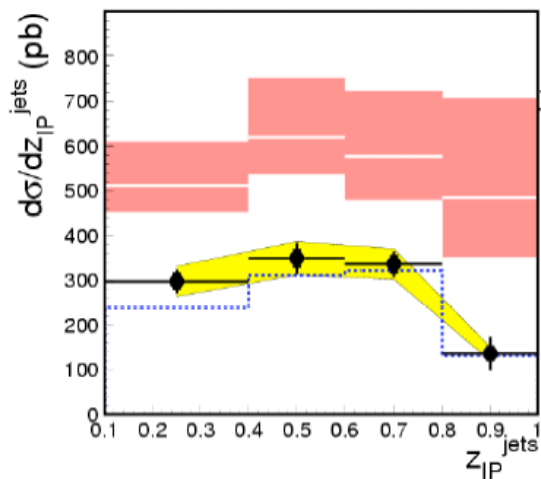
→ factorisation holds
in DIS - jets, D^*

Final states in diffractive photoproduction

ZEUS

H1 Diffractive γp Dijets

- H1 Preliminary
- correl. uncert.
- H1 2002 fit (prel.)
- FR NLO*($1+\delta_{had}$)
- ⋯ RAPGAP

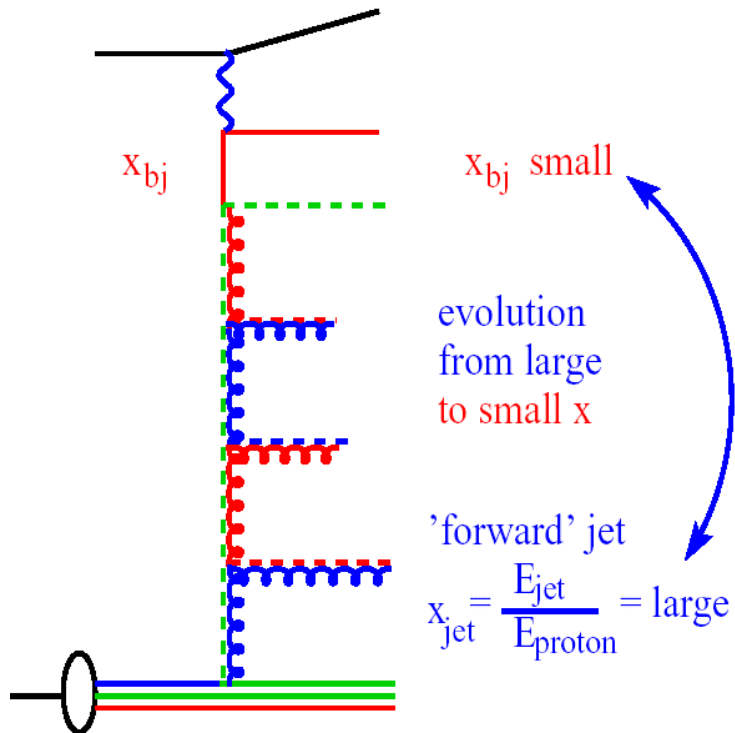


In photoproduction need factor of ~ 2 suppression of NLO theory to describe the data, both in the resolved region, which is similar to pp where a factor of ~ 10 is needed, and in the direct region which resembles DIS

Kaidalov et al.: predicted suppression of only the resolved part

5. New Ideas and Developments

Low x parton radiation: forward particle production (in p direction).



How are partons (gluons) emitted?

kt ordered

- DGLAP (Dokshitzer-Gribov-Lipatov-Altarelli-Parisi)
- DISENT/NLOJET

angular ordered

- CCFM (Ciafaloni-Catani-Fiorani-Marchesini)
- CASCADE

x ordered

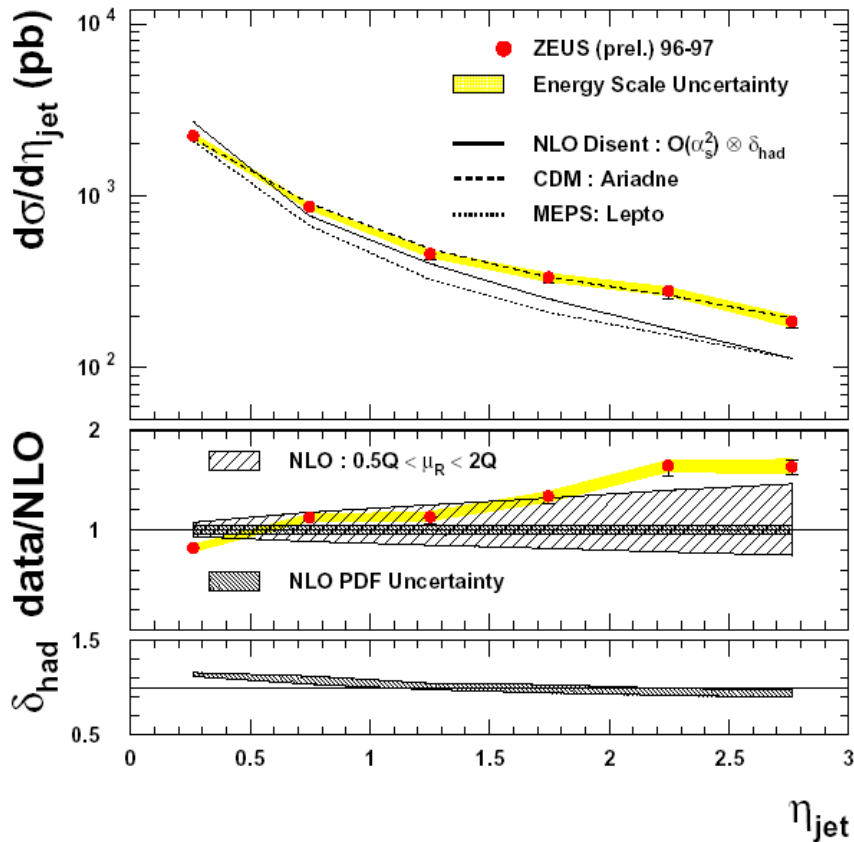
- BFKL (Balitsky-Fadin-Kuraev-Lipatov)
- ARIADNE (colour dipole. random in kt)

$x_{jet} = E_{jet}/E_{proton} \gg x_{bj}$ enhances BFKL effect

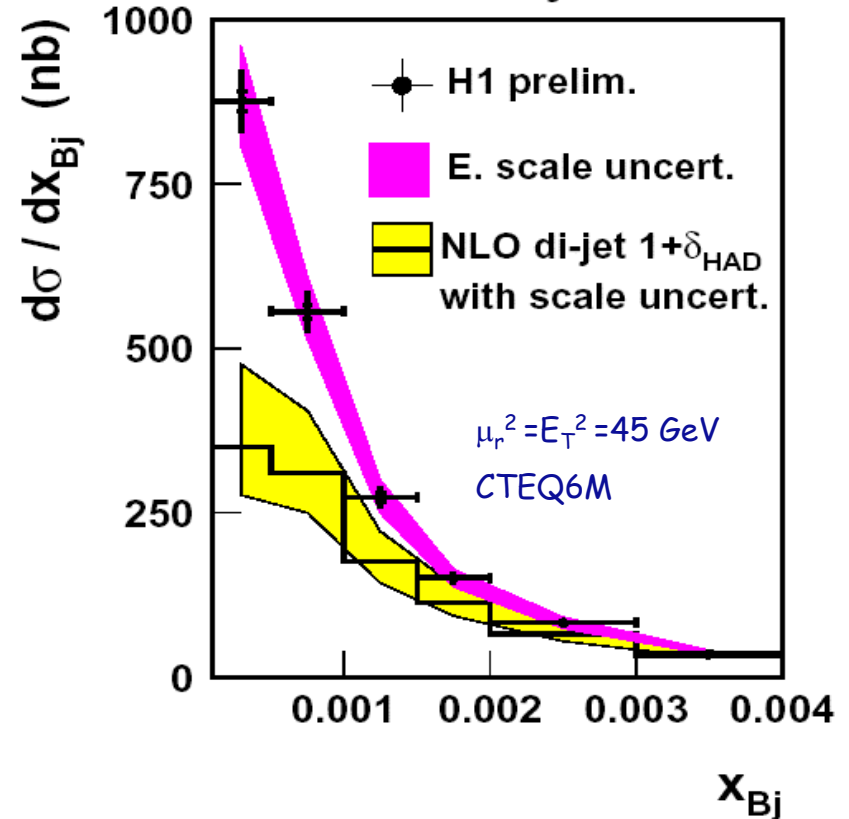
$E_{T,jet}^2 \sim Q^2$ suppress DGLAP evolution

Forward jet production in deep inelastic scattering

ZEUS



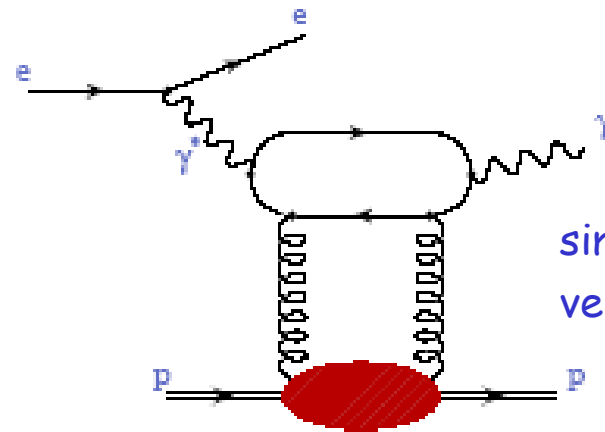
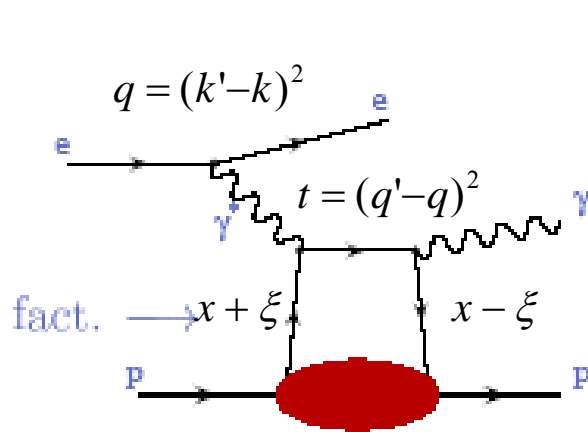
H1 forward jet data



- Standard NLO pQCD prescription poor at lowest x for jets in forward direction where scale uncertainty is largest (higher orders? different radiation mechanism? best described by Ariadne - CDM - "BFKL like")

[interesting azimuthal (de)correlations. Also: kt dependent ("unintegrated") pdf's] ← no time

Deeply Virtual Compton Scattering - Generalised Parton Distributions



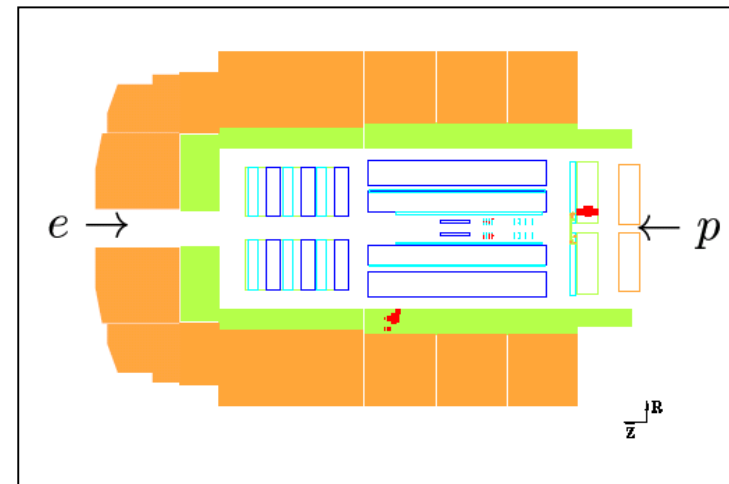
similar to diffractive
vector meson production

$$H(x, \xi, t, Q^2), E, \tilde{H}, \tilde{E}$$

$$H^q(x, 0, 0) = q(x)$$

$$\tilde{H}^q(x, 0, 0) = \Delta q$$

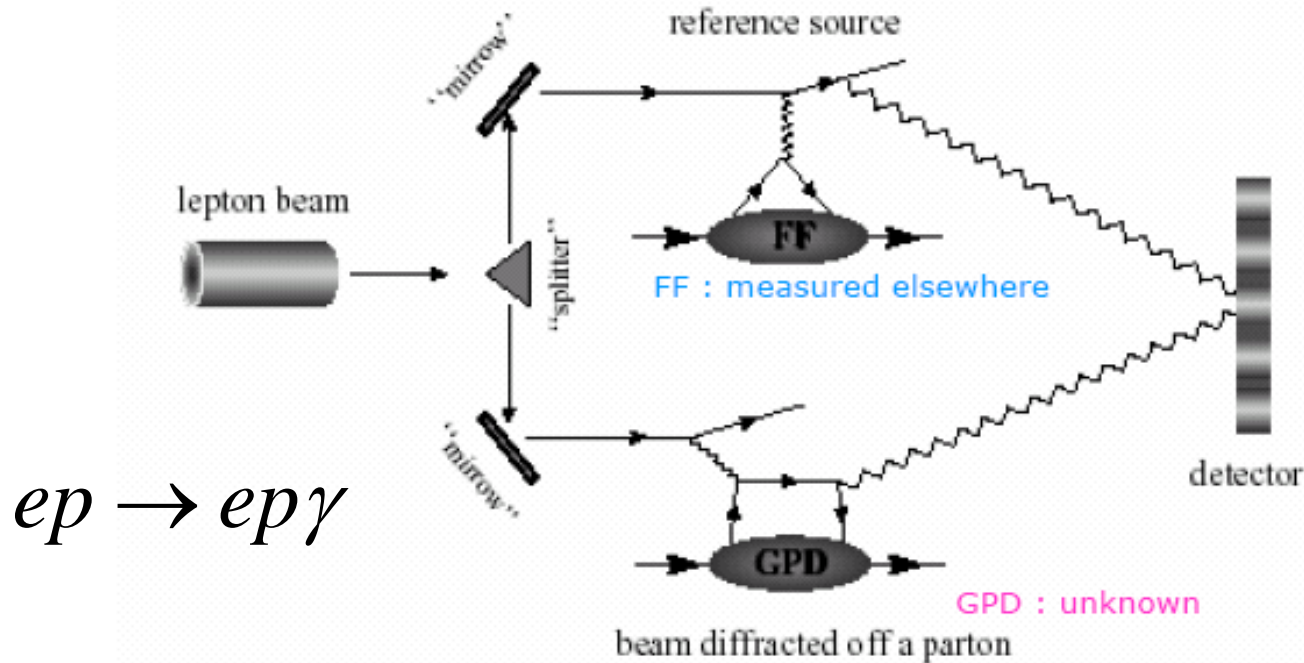
$$J_q = \frac{1}{2} \Delta \Sigma + L_q = \frac{1}{2} \sum_q \int_{-1}^1 x dx (H^q + E^q), t = 0$$



access to parton correlation functions and to angular momentum of partons

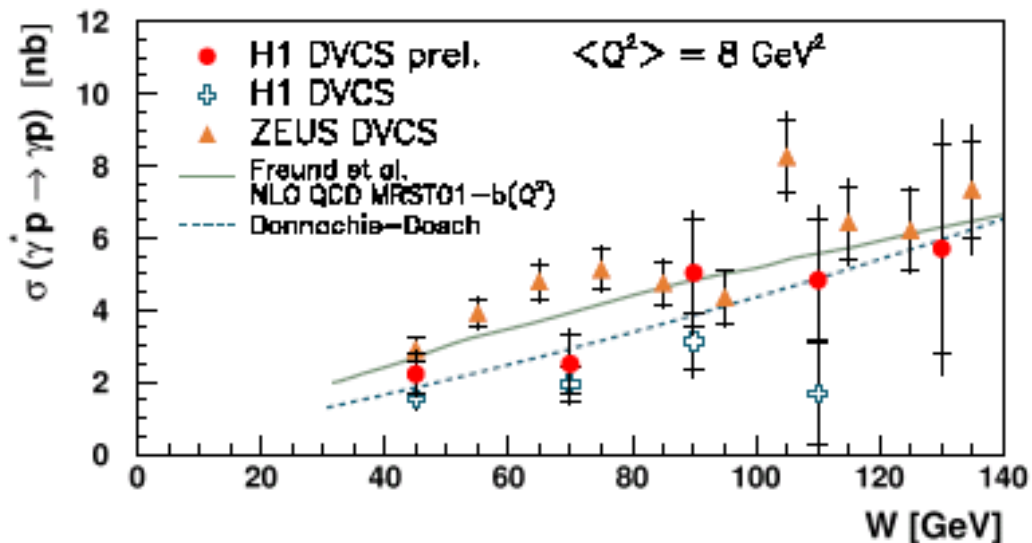
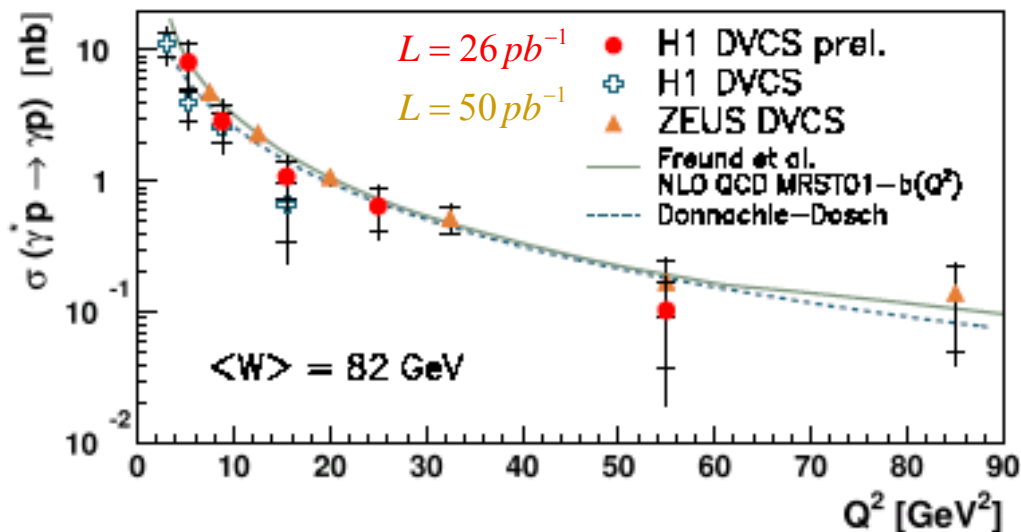
nucleon hologram with lepton production: interference of Bethe-Heitler (reference) and DVCS (sample) amplitudes

map transverse proton size by measuring t



$$\sigma \propto |A_{DVCS}|^2 + |A_{BH}|^2 + (A_{DVCS}^* A_{BH} + A_{DVCS} A_{BH}^*)$$

DVCS cross section measurements



Assume :

$$GPD \propto PDF \cdot e^{-b|t|}$$

Beginning of GPD phenomenology.
 Low x description also with colour dipole models.

Need to measure t dependence: more data needed:

Prospects for collider experiments
 H1 + ZEUS

- Beam charge and spin asymmetries
- Tag forward protons (H1 VFPS, FPS)
- Higher statistics at HERA II

W^δ FIT:

H1 PREL: 0.98 ± 0.44

ZEUS e^+ : $0.75 \pm 0.15^{+0.08}_{-0.06}$

Hard QCD process as δ large c.f.
 $\sigma(J/\Psi) \propto W^\delta$

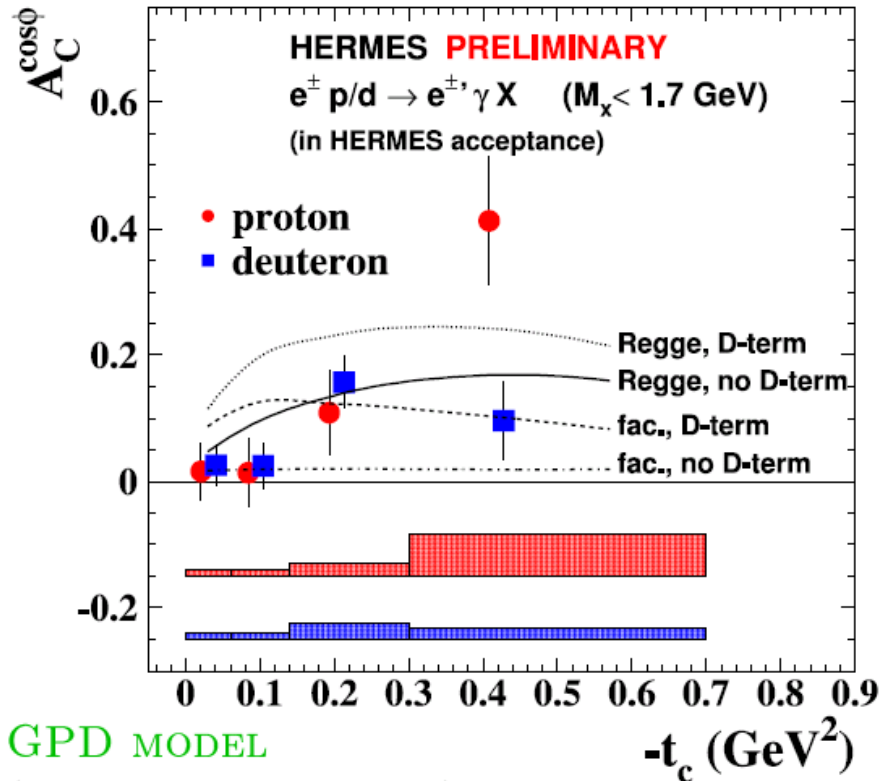
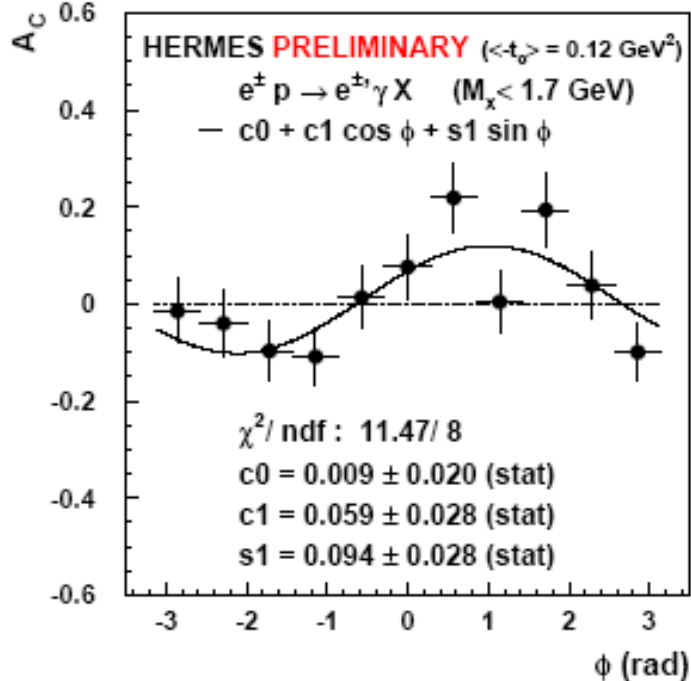
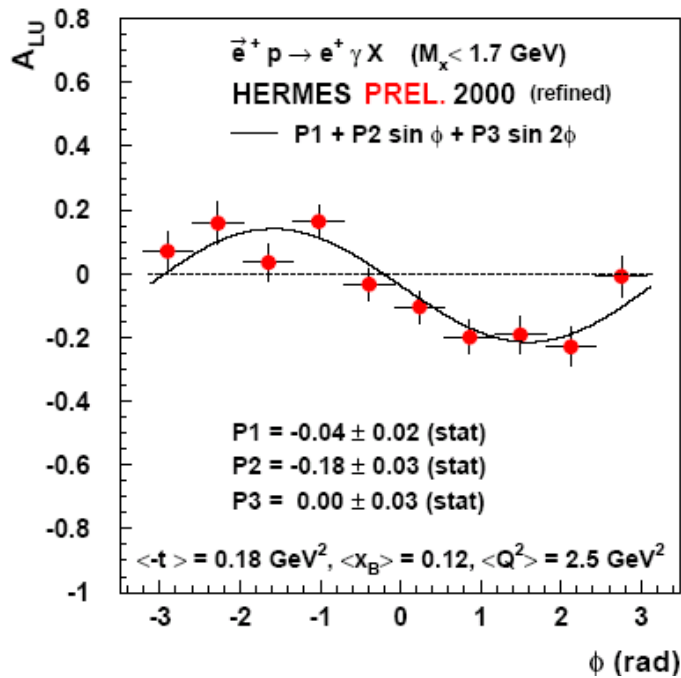
DVCS asymmetry measurements

Beam spin asymmetry

$$\propto \sin(\Phi) \text{Im}(A_{DVCS})$$

Beam charge asymmetry

$$\propto \cos(\Phi) \text{Re}(A_{DVCS})$$



GPD MODEL

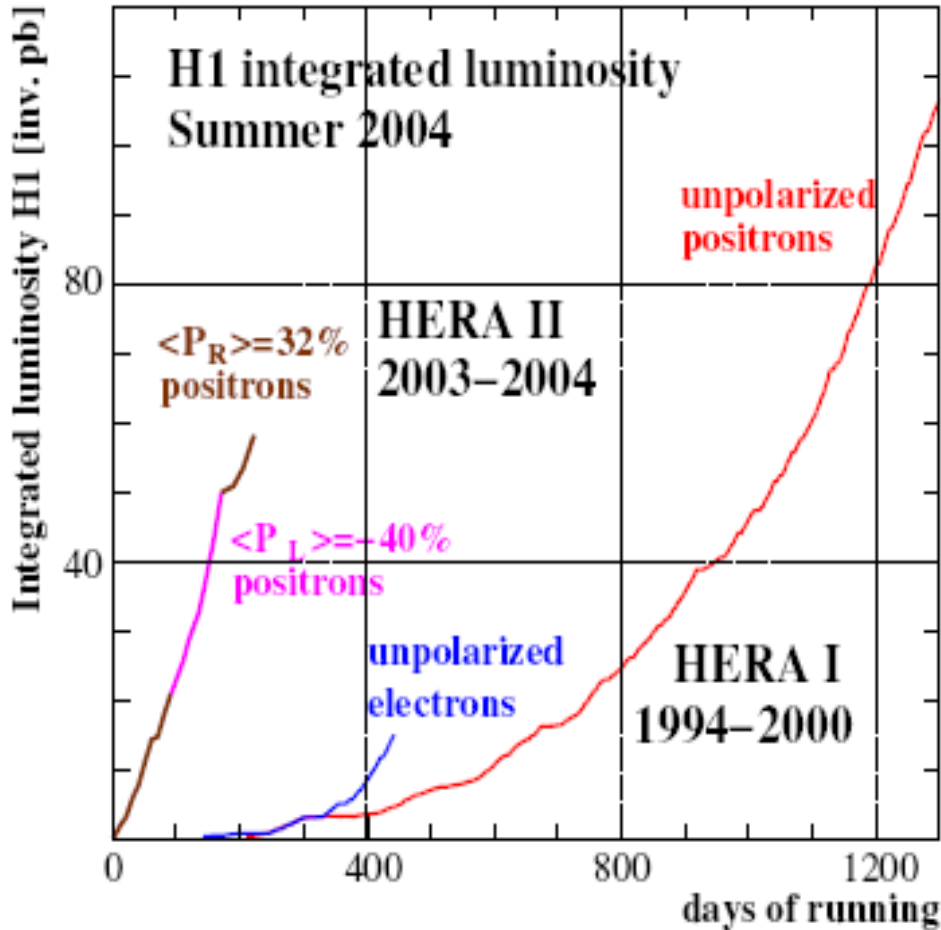
(VANDERHAEGHEN ET AL.)

Prospects for fixed target experiment HERMES

- Beam charge and spin asymmetries
- Tag recoiling proton
- Higher statistics at HERA II

6. First Results from HERA II

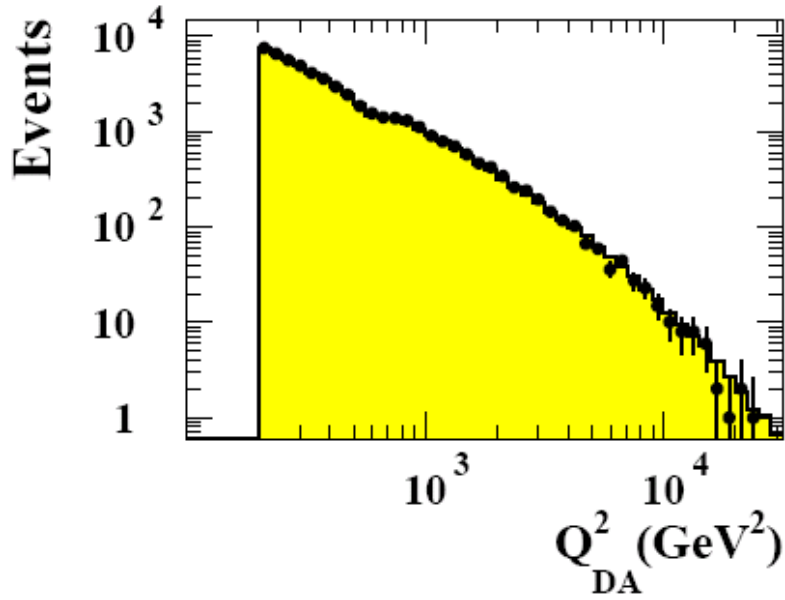
HERA II:



- detector and luminosity upgrade
- large, unexpected backgrounds
- identified and overcome in 2002/03
- efficient data taking since 10/03
- long run period scheduled till 2007
- polarised electron/positron p data with spin rotators at the 3 IR's
- first data presented to ICHEP04 [HERMES: first measurement of the transverse spin structure of p hep-ex/0408013 PRL submitted]

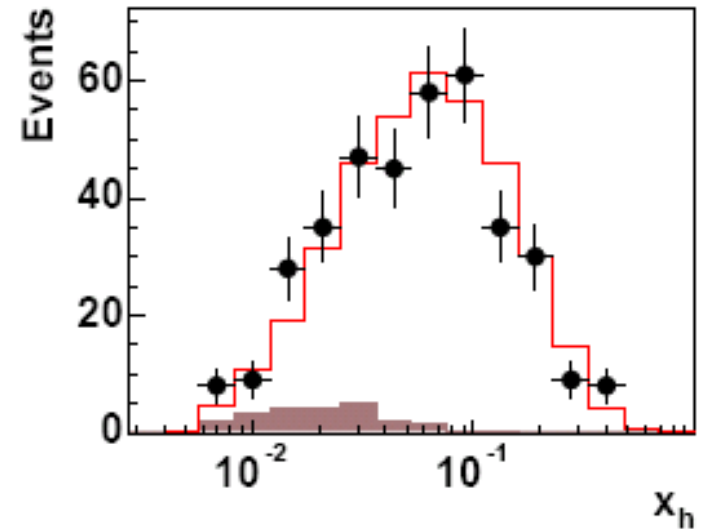
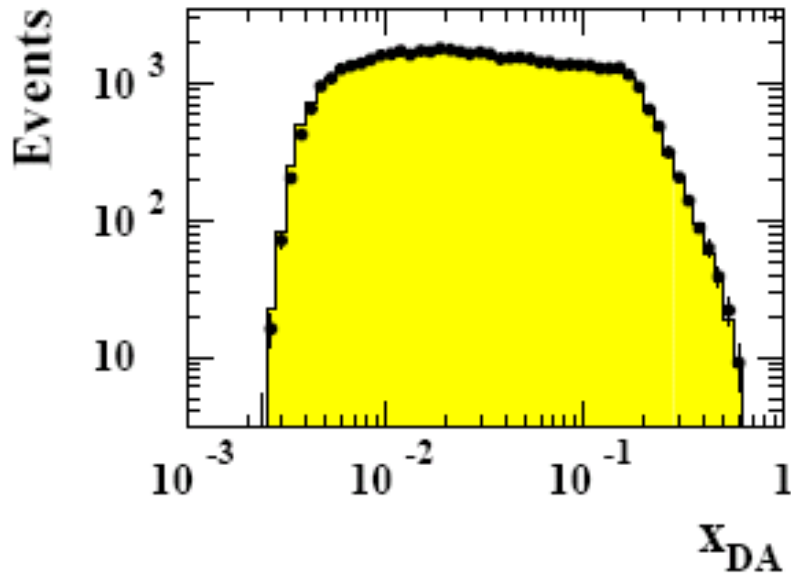
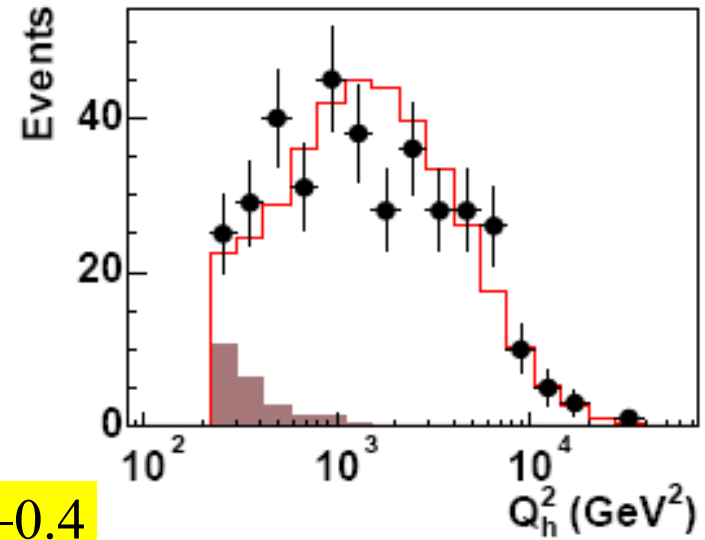
cross section measurements with HERA II data from 2004

$e^+ p \rightarrow e^+ X$ ZEUS

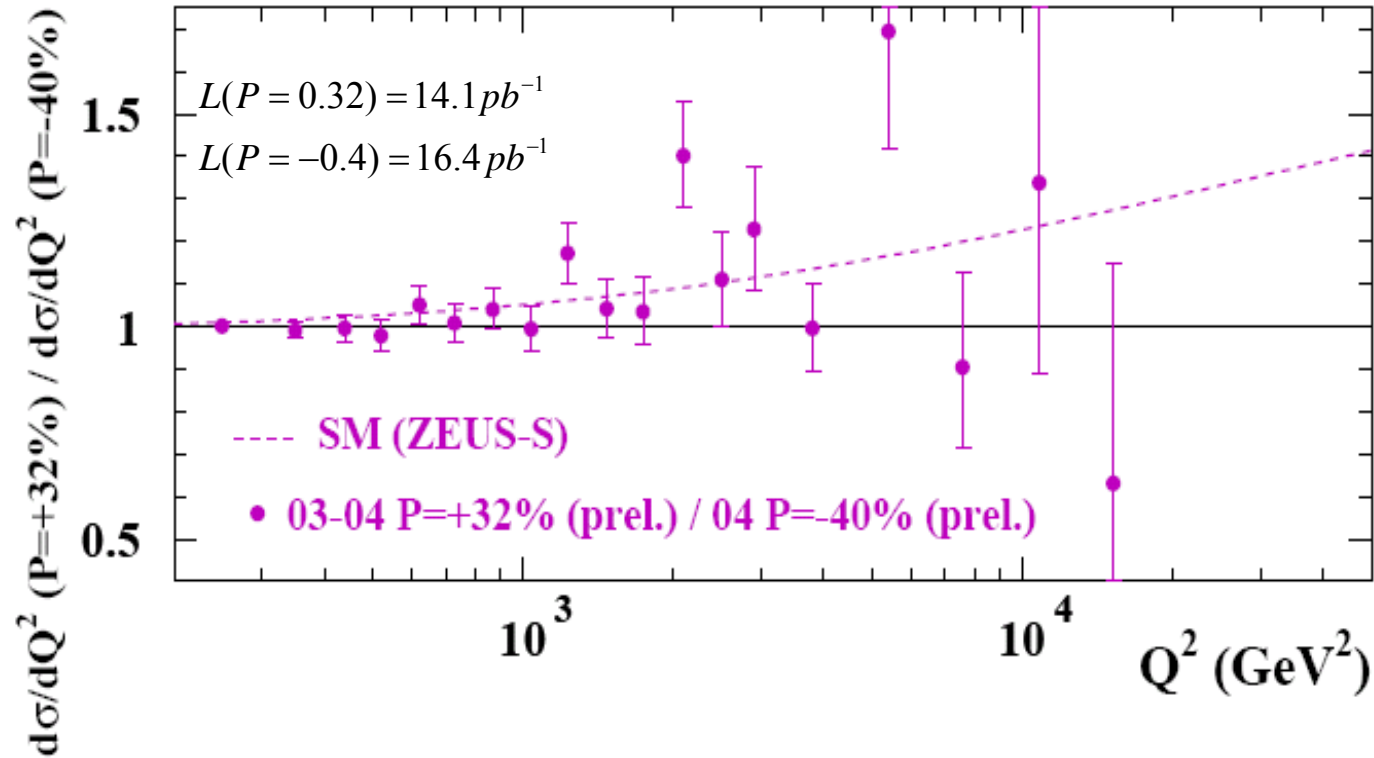


$P_e = -0.4$

$e^+ p \rightarrow \bar{\nu} X$ H1



ZEUS



$$\sigma_r^{NC, e^+}(P) \cong F_2 - P a_e \kappa F_2^{\gamma Z}$$

$$F_2 = x \sum e_q^2 (q + \bar{q}) \leftrightarrow F_2^{\gamma Z} = x \sum e_q v_q (q + \bar{q})$$

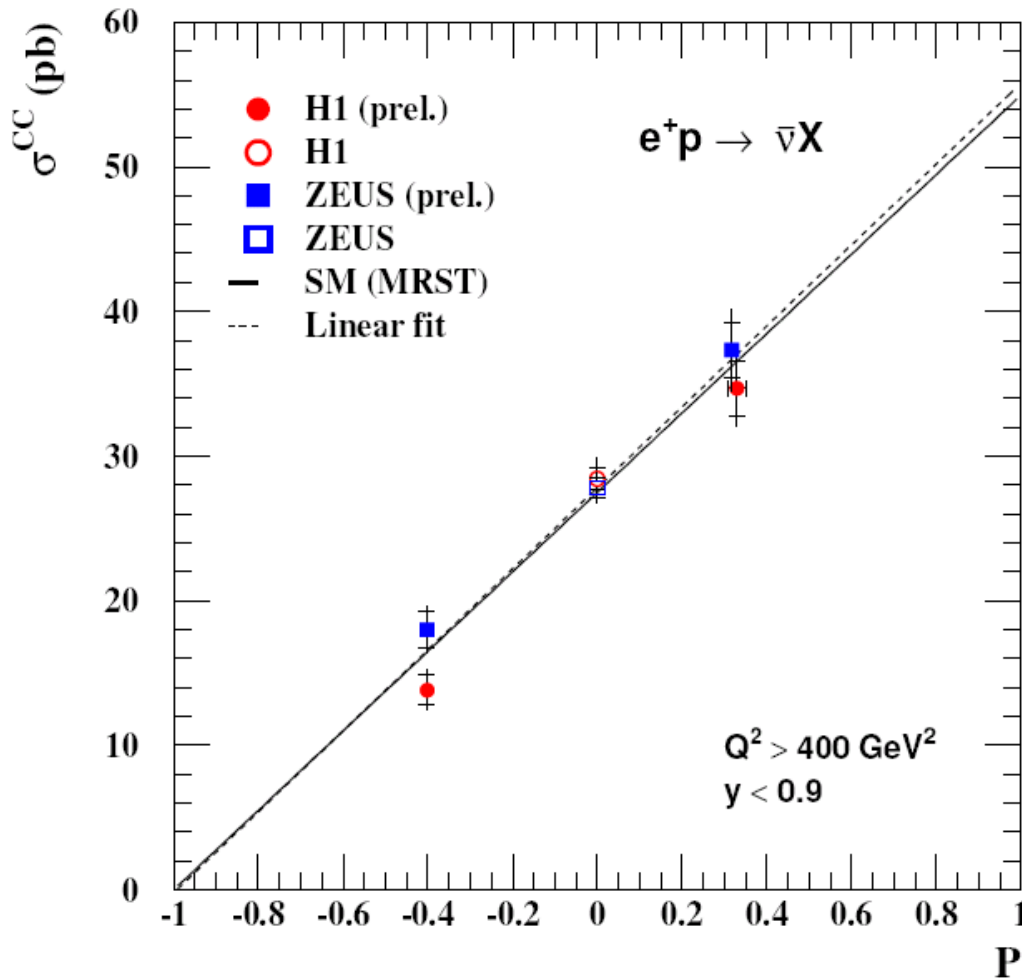
$$\frac{\sigma_r(P_1)}{\sigma_r(P_2)} \cong 1 - [P_1 - P_2] \cdot \kappa a_e \frac{F_2^{\gamma Z}}{F_2} \sim 1 + 0.3 \cdot 10^{-4} Q^2 / \text{GeV}^2$$

parity violation $\sim a_e v_q$

at very high Q^2

needs still larger lumi.

HERA II



In the SM LH coupling $\bar{\nu}$ is excluded unless RH currents exist

Expect zero cross section at $P=-1$ and linear dependence on P

HERA II: can now prescribe positron beam helicity also in ep collider mode

Polarisation dependence firmly established for the first time.

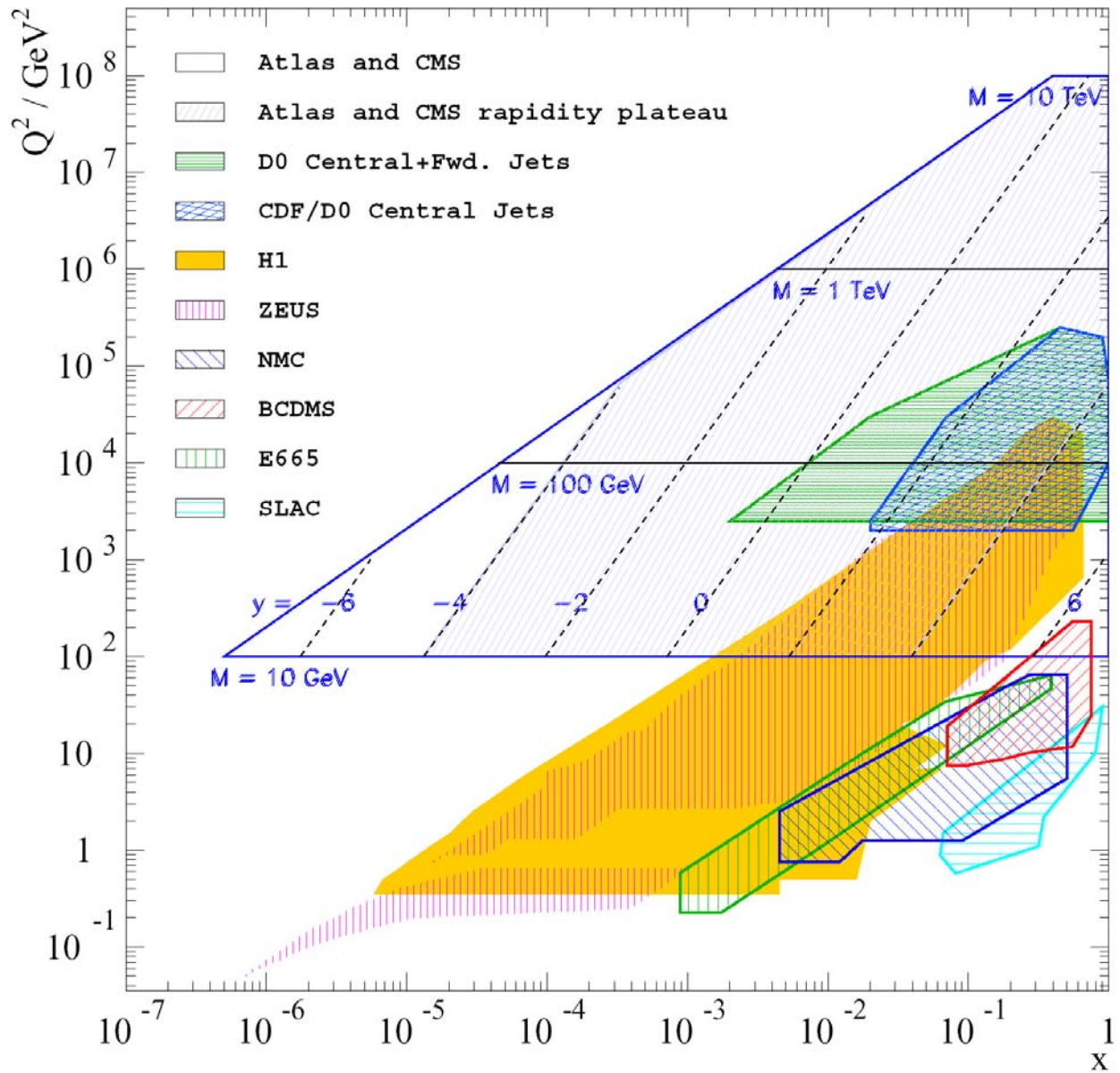
(remember CHARM $\bar{\nu}Fe \rightarrow \mu^+(P)X$)

M.Jonker et al, PL 86(1979)229)

$$\sigma_{e^+p \rightarrow \bar{\nu}X}(P_{e^+} = -1) = 0.2 \pm 1.8(\text{stat}) \pm 1.6(\text{sys}) \text{ pb}$$

$$\chi^2_{dof} = 5.4/4$$

- combined H1 and ZEUS
- result consistent with 0



HERA is an important part of HEP and is doing well again

Outlook

HERA & LHC

$u_v, d_v, \bar{u}, \bar{d}, c, b$

parton luminosities
→ highest precision

α_s
unification

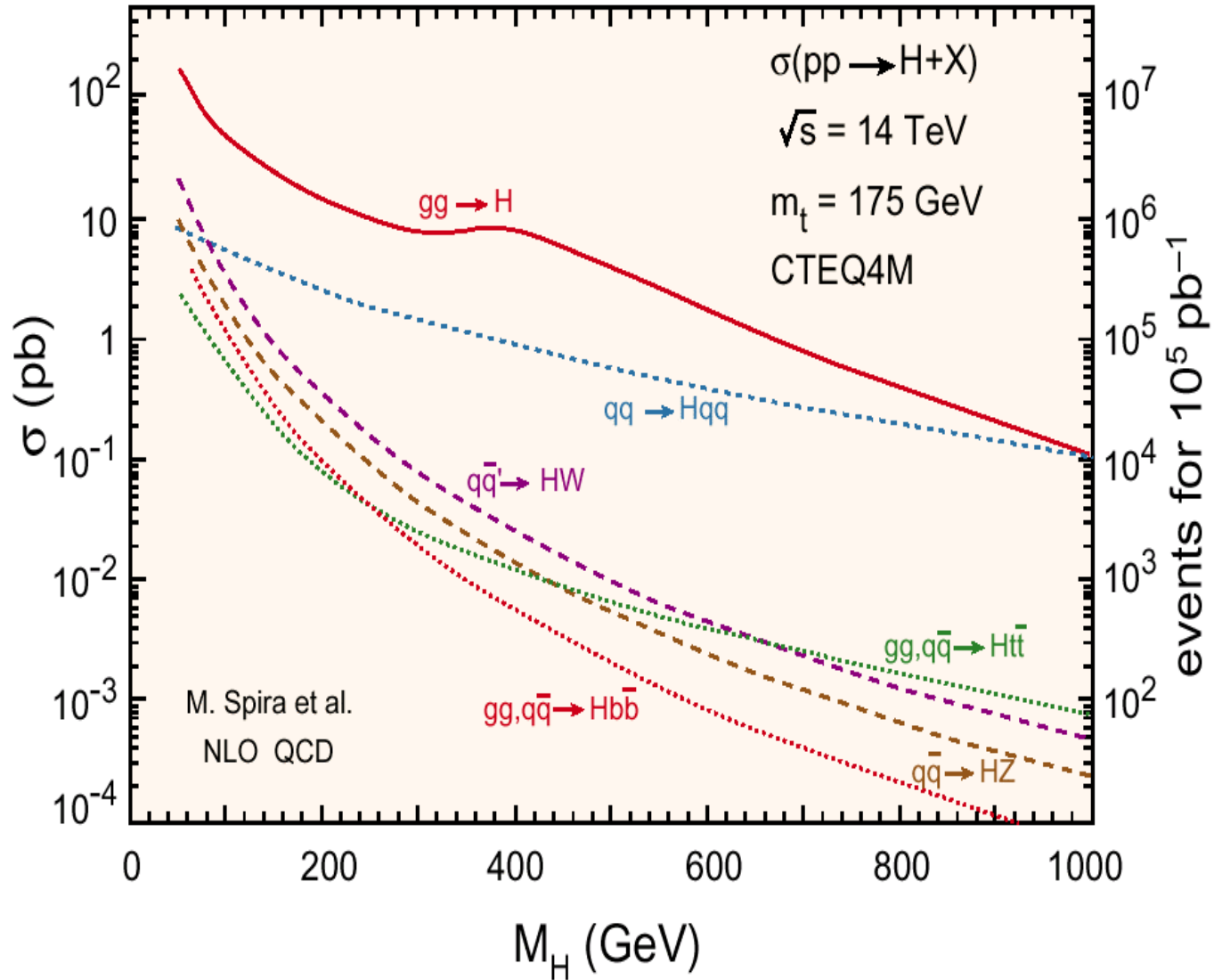
gluon
Higgs and any QCD

Low x parton radiation

DGLAP - CCFM - BFKL?
QGP, astrophysics

diffraction

saturation of xg
Higgs



Gluon and quark distributions essential to measure the Higgs cross section

- HERA experiments submitted ~100 papers to Beijing.
- With thanks to all the HERA activists.
- Thanks to the organisers and speakers.
- Special thanks to

Elke-Caroline Aschenauer,
Mandy Cooper-Sarkar,
Karin Daum,
Didar Dobur,
Claudia Glasman,
Claire Gwenlin,
Delia Hasch,
Ewelina Lobodzinska,
Uta Stößlein

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