

# QCD Analyses of HERA cross section data - Determination of the proton PDFs and $\alpha_s$ -



32<sup>nd</sup> International Conference  
on High Energy Physics

Beijing, China  
August 16<sup>th</sup>-22<sup>nd</sup> 2004

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On behalf of the H1 and ZEUS Collaborations  
Abstracts: 5-0263 and 5-0150

# Deep Inelastic Scattering at HERA

Inclusive lepton-proton cross sections:

$$\frac{d^2\sigma_{\text{NC}}(e^\pm p)}{dx dQ^2} \sim Y_+ F_2 - y^2 F_L \mp Y_- x F_3$$

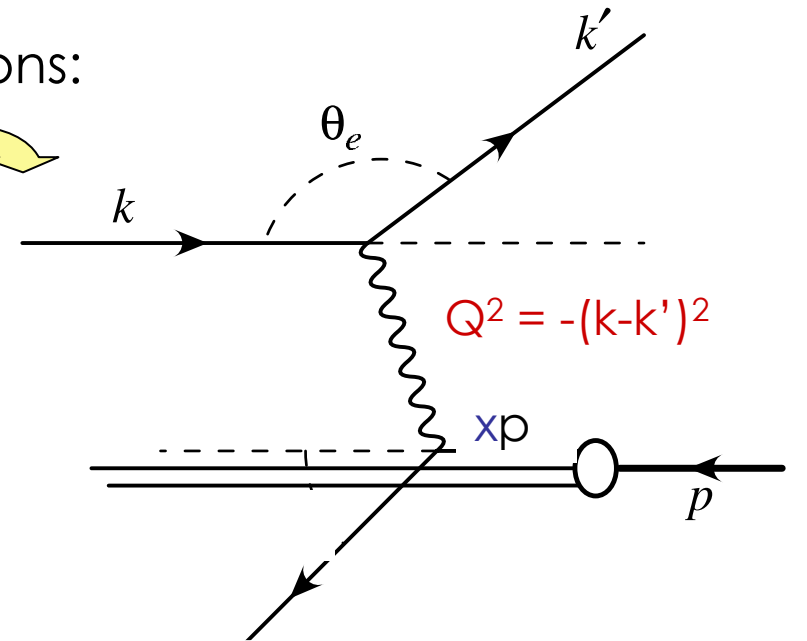
$$\frac{d^2\sigma_{\text{CC}}(e^\pm p)}{dx dQ^2} \sim Y_+ F_2^{\text{CC}} - y^2 F_L^{\text{CC}} \mp Y_- x F_3^{\text{CC}}$$

STRUCTURE FUNCTIONS:

$$F_2 \sim \sum x(q_i + \bar{q}_i) \quad \text{Dominates}$$

$$xF_3 \sim \sum x(q_i - \bar{q}_i) \quad \text{High } Q^2$$

$$F_L \sim \alpha_s \cdot xg(x, Q^2) \quad \text{High } y$$



$x$  = momentum fraction of proton carried by quark (HERA:  $10^{-6} \sim 1$ )

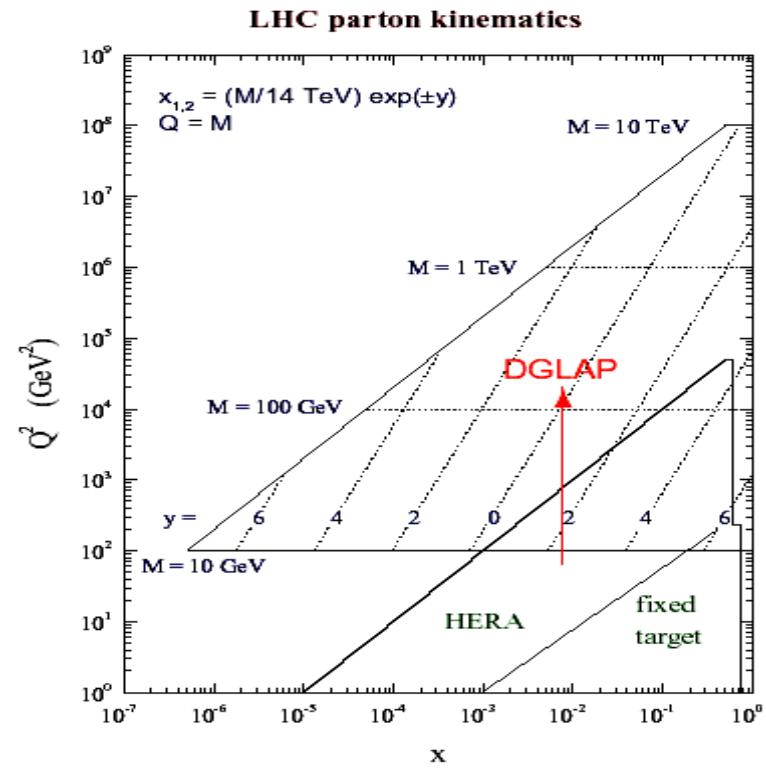
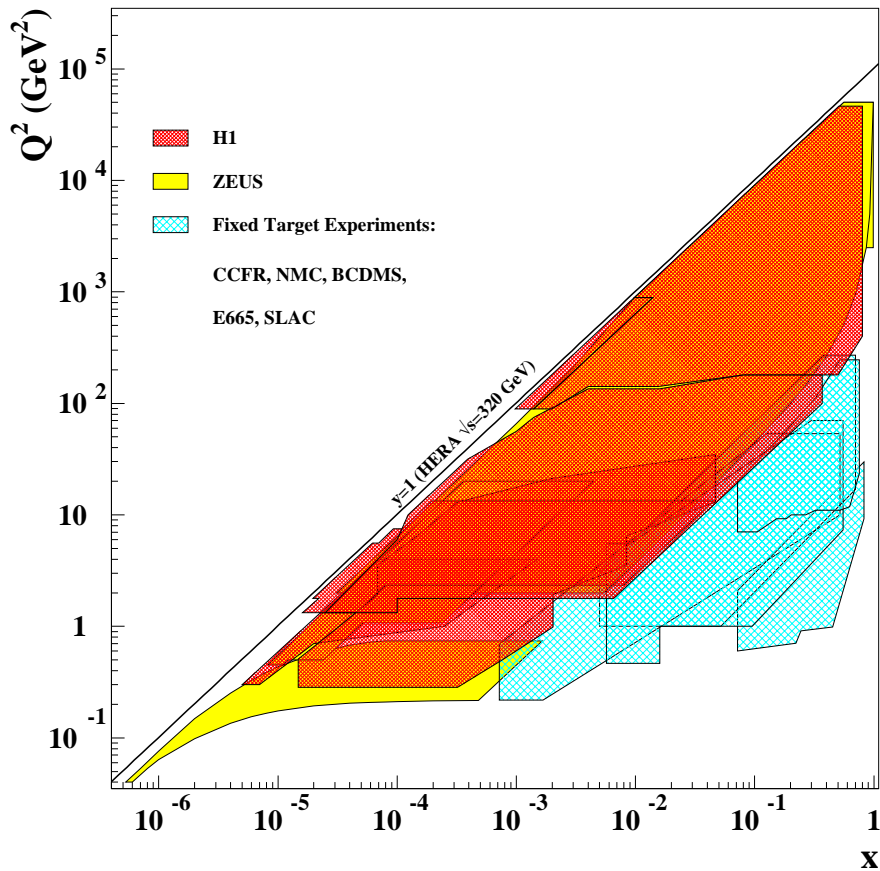
$Q^2$  = "resolving power" of probe

Factorisation: structure function = short range interaction  $\otimes$  PDFs

Now, after the HERA I phase (1994-2000) of data-taking, the full set of  $e^+$  and  $e^-$  inclusive Neutral Current (NC) and Charged Current (CC) cross sections are available for QCD analysis

# HERA Kinematic ( $x, Q^2$ ) Range

- HERA data covers a large region in ( $x, Q^2$ )  
 → Also in relevant  $x$ -region for LHC physics

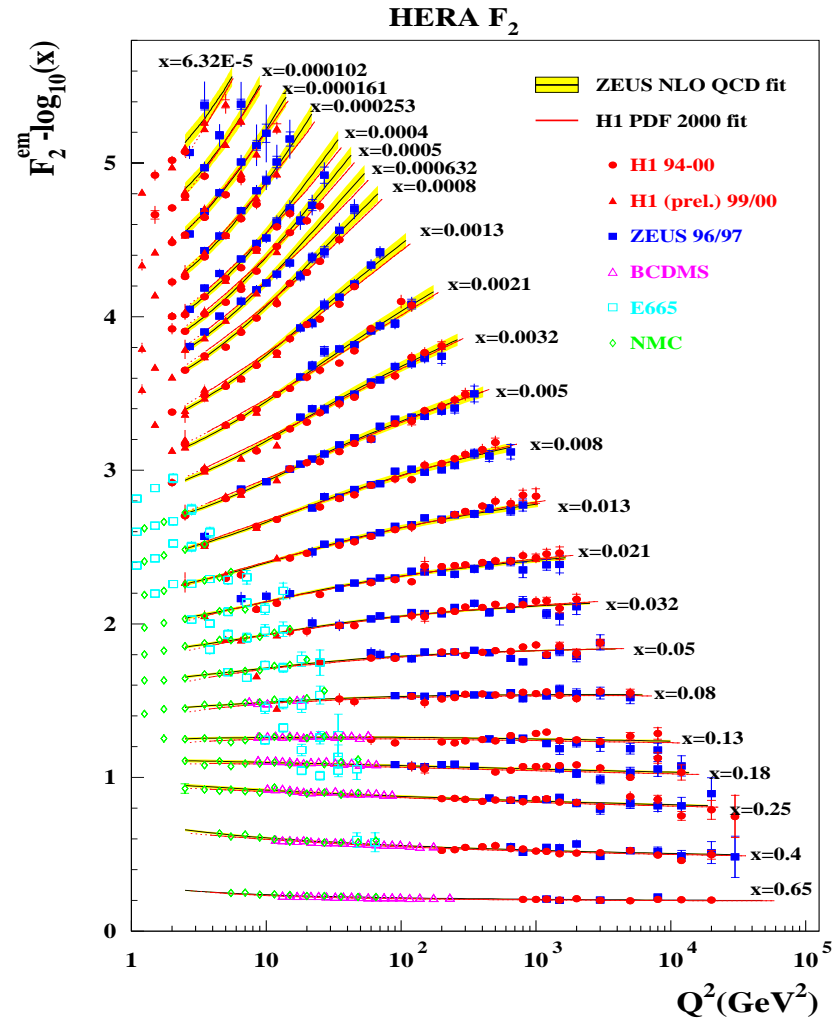
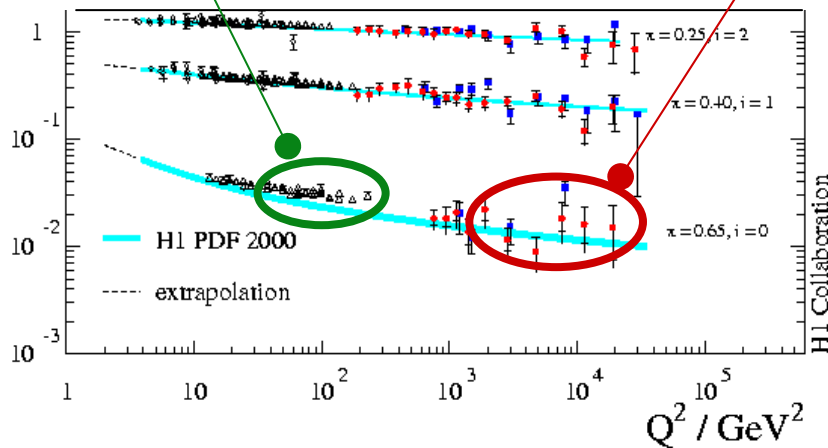


# Neutral Current Processes

- $F_2$  dominates cross section
  - direct information on quarks
  - Information on gluon and sea through QCD radiation (scaling violations) at low-x
  - HERA high-x data still less precise than fixed target

BCDMS:  $\delta F_2/F_2 \sim 7\%$

HERA:  $\delta F_2/F_2 \sim 30\%$



Typically 2-3% precision

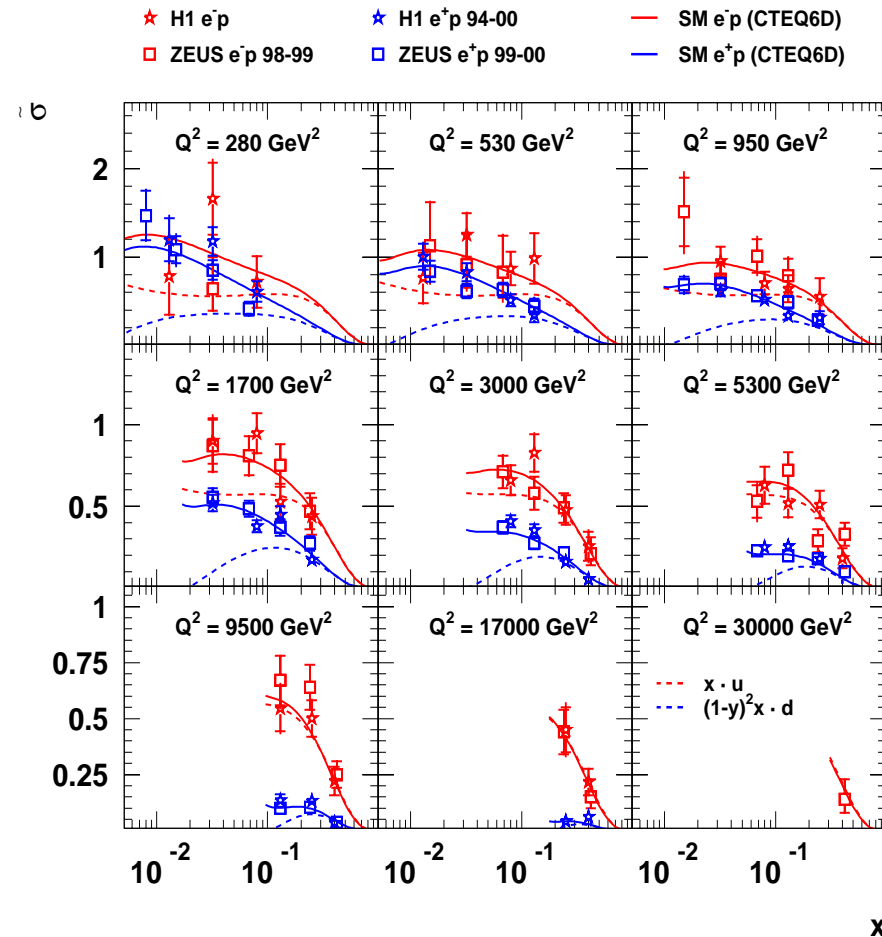
# Charged Current Processes

- Reduced cross sections:
 
$$\tilde{\sigma}_{CC}^+ = x [\bar{u} + \bar{c} + (1-y)^2(d+s)]$$

$$\tilde{\sigma}_{CC}^- = x [u + c + (1-y)^2(\bar{d} + \bar{s})]$$
- Both  $e^+$  and  $e^-$  needed for flavour separation in QCD fits

Typical systematic uncertainties are  $\sim 6\%$

HERA Charged Current



# Global versus HERA Only QCD Analyses

Where does the information come from in a global fit (colliding beam + fixed target DIS) compared to a HERA only fit ?

	Global	HERA Only
Valence	Predominantly fixed target data ( $\nu$ -Fe and $\mu$ D/ $\mu$ p)	High $Q^2$ NC/CC $e^\pm$ cross sections
Sea	Low-x from NC DIS High-x from fixed target Flavour from fixed target	Low-x from NC DIS High-x ? Flavour ?
Gluon	Low-x from HERA $dF_2/d\ln Q^2$ High-x from momentum sum	Low-x from HERA $dF_2/d\ln Q^2$ High-x from momentum sum

## ANALYSES FROM HERA ONLY ...

- Systematics well understood
  - measurements from our own experiments !!!
- No complications from heavy target Fe or D corrections

# PDF Parameterisation

Conventional QCD predicts only the  $Q^2$  dependence of PDFs

- Parameterise PDFs in  $x$  at (low) starting scale  $Q_0^2$ :
  - $xf(x) = Ax^b(1-x)^c P(x)$  ←  $P(x)$  should be both flexible and stable
    - Low- $x$  behaviour
    - high- $x$  suppression
- Evolve PDFs with  $Q^2$  using NLO DGLAP equations
- Convolute PDFs with coefficient functions to give predictions for structure functions (and hence cross sections)
- Parameters  $A, b, c, \dots$  optimised in fit for each PDF

NOTE: Some params. constrained by momentum and no. sum rules

$$\sum \text{mom.} = 1 \quad \int u_v dx = 2 \quad \int d_v dx = 1$$

model assumptions: form of parameterisation, starting scale  $Q_0^2$ , treatment of heavy quarks, sea flavour composition, cuts on data etc.  
 → should be reflected in the PDF uncertainty

# H1 PDF 2000 QCD Analysis

H1 inclusive Neutral and Charged Current 94-00 cross sections  
(Eur. Phys. J. C30 (2003), 1; hep-ex/0304003)

- Data span:  $8 \cdot 10^{-5} < x < 0.65$ ,  $1.5 < Q^2 < 30000 \text{ GeV}^2$  (621 points)
  - Cuts on data included in fit,  $Q^2 > 3.5 \text{ GeV}^2$
- Parameterisation at  $Q_0^2 = 4 \text{ GeV}^2$ :
  - $xf(x) = A x^b (1-x)^c (1+ex+fx^2+gx^3+\dots)$
- Choose to parameterise:  $xU=x(u+c)$ ,  $xD = x(d+s)$ ,  $x\bar{U}$ ,  $x\bar{D}$ ,  $xg$ 
  - Valence not fitted directly
- Parameterise **each** PDF by searching for  $\chi^2$  saturation
- Perform fit in ZERO MASS scheme (appropriate for high  $Q^2$ )
- Use H1+BCDMS p and D data as a cross-check



# H1 PDF 2000 QCD Analysis

10 free parameters:

$$xU(x) = A_U x^{b_U} (1-x)^{c_U} (1 + e_U x + g_U x^3)$$

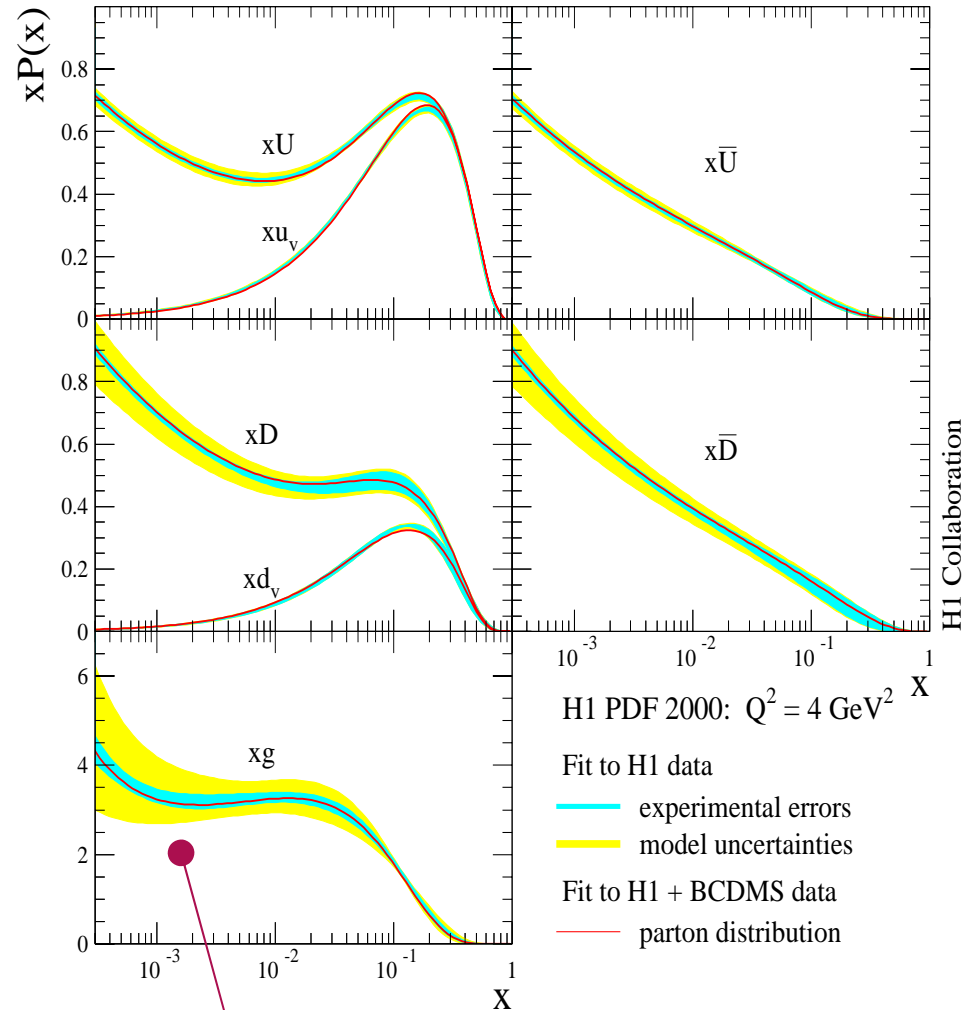
$$xD(x) = A_D x^{b_D} (1-x)^{c_D} (1 + e_D x)$$

$$x\bar{U}(x) = A_{\bar{U}} x^{b_{\bar{U}}} (1-x)^{c_{\bar{U}}}$$

$$x\bar{D}(x) = A_{\bar{D}} x^{b_{\bar{D}}} (1-x)^{c_{\bar{D}}}$$

$$xg(x) = A_g x^{b_g} (1-x)^{c_g} (1 + e_g x)$$

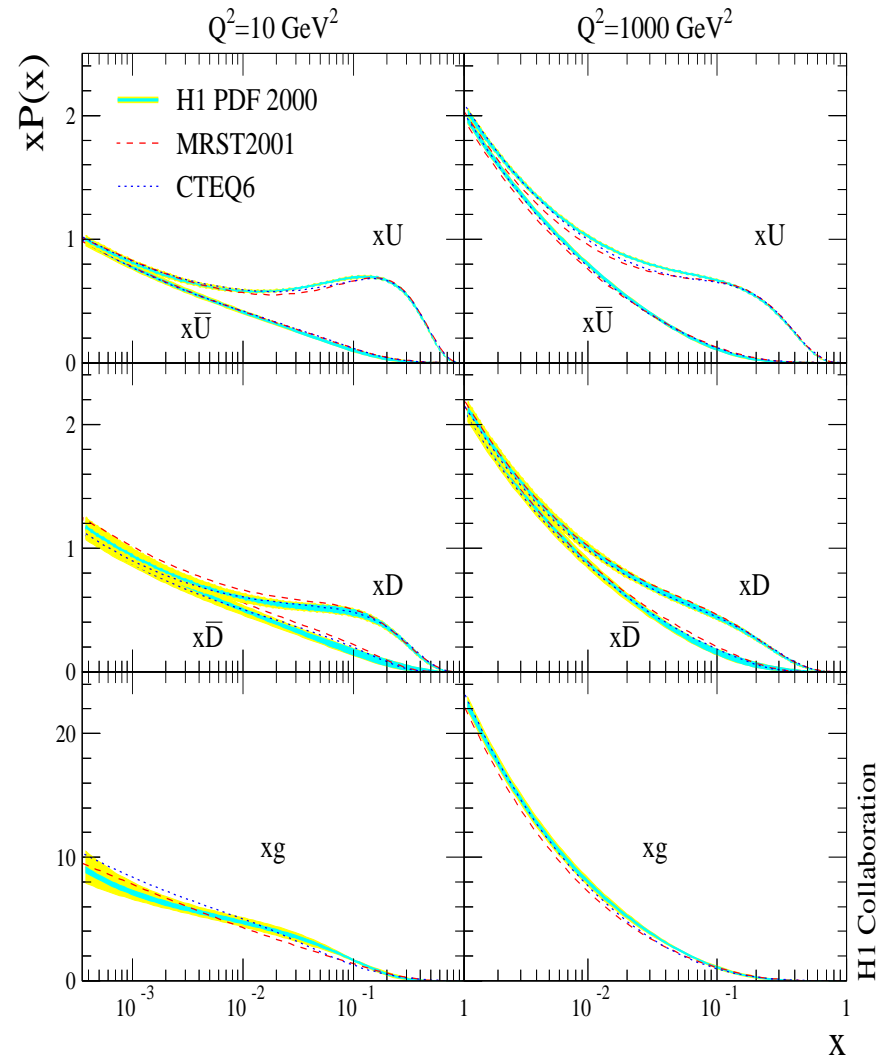
- Yellow band shows model uncert. with contributions from variation of:
  - input scale  $Q_0^2$
  - minimum  $Q^2$  cut
  - charm and strange fraction
  - quark masses
  - value of  $\alpha_s$
- Including precise BCDMS data yields consistent PDFs



largest contribution to model uncertainty on gluon arises from variation of  $\alpha_s$

# H1 PDF 2000 QCD Analysis

- Fit provides tight constraint on  $xU$  and  $xD$ :
    - u-type quark precision:
      - 1% for  $x=0.001$
      - 7% for  $x=0.65$
    - d-type quark precision:
      - 2% for  $x=0.001$
      - 30% for  $x=0.65$
- Look forward to higher luminosity for high-x region  
→ HERA II data already here!
- Strong rise in gluon (and sea) as  $Q^2$  increases



# H1 Gluon and $\alpha_s$ Determination

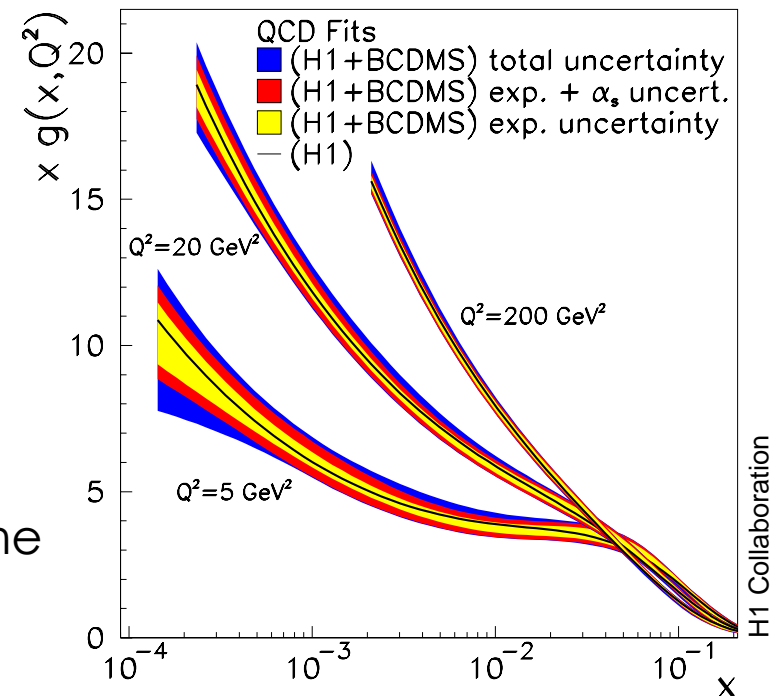
- Perform dedicated QCD analysis to determine gluon density and  $\alpha_s$
- Use precise H1 and BCDMS-p  $F_2$  data to constrain valence region
  - Proton targets only  $\Rightarrow$  no nuclear correction required
- Parameterisation:

$$- xf(x) = Ax^b(1-x)^c(1+d\sqrt{x}+ex+fx^2)$$

Model uncertainty evaluation only

- Choose to parameterise:
  - $xg$
  - $xV = 9/4u_v + 3/2d_v$
  - $xA = u + 1/4(u_v + 2d_v)$
 with  $F_2 = 1/3xV + 11/9xA$
- Use massive 3-flavour number scheme
- Extracted value of  $\alpha_s(M_Z)$ :

$$\alpha_s(M_Z) = 0.1150 \pm 0.0017(\text{exp.})^{+0.0009}_{-0.0007}(\text{model}) \quad \text{with scale uncertainty of } 0.005$$



# ZEUS Only QCD Analyses

## Inclusive Neutral and Charged Current 94-00 cross sections

(contributed paper to ICHEP04, abstract: 5-0263)

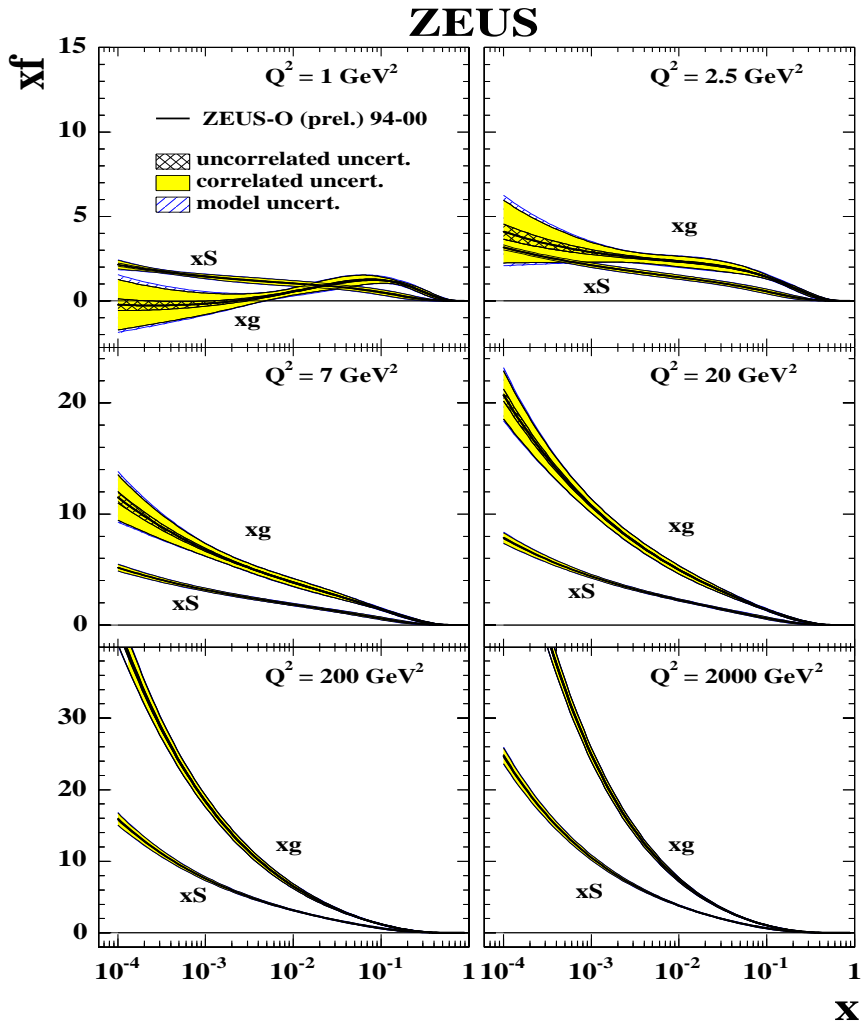
- Data span  $6.3 \cdot 10^{-5} < x < 0.65$ ,  $2.7 < Q^2 < 30000 \text{ GeV}^2$  (507 points)
  - Cuts on data included in fit,  $W^2 > 20 \text{ GeV}^2$  (removes higher twist)
- Parameterisation at  $Q^2_0 = 7 \text{ GeV}^2$ :
  - $xf(x) = A x^b (1-x)^c (1+ex)$  ← no  $\chi^2$  advantage in more terms
- Choose to parameterise  $xu_v$ ,  $xd_v$ ,  $xS$  (sea),  $xg$ ,  $x\Delta = x(\bar{d}-\bar{u})$ 
  - fix  $A_\Delta$  consistent with Gottfried sum rule (no sens. in HERA data)
- Perform fit in Roberts-Thorne Variable Flavour No. scheme

Compared to global fits, information lost on high-x sea and gluon:

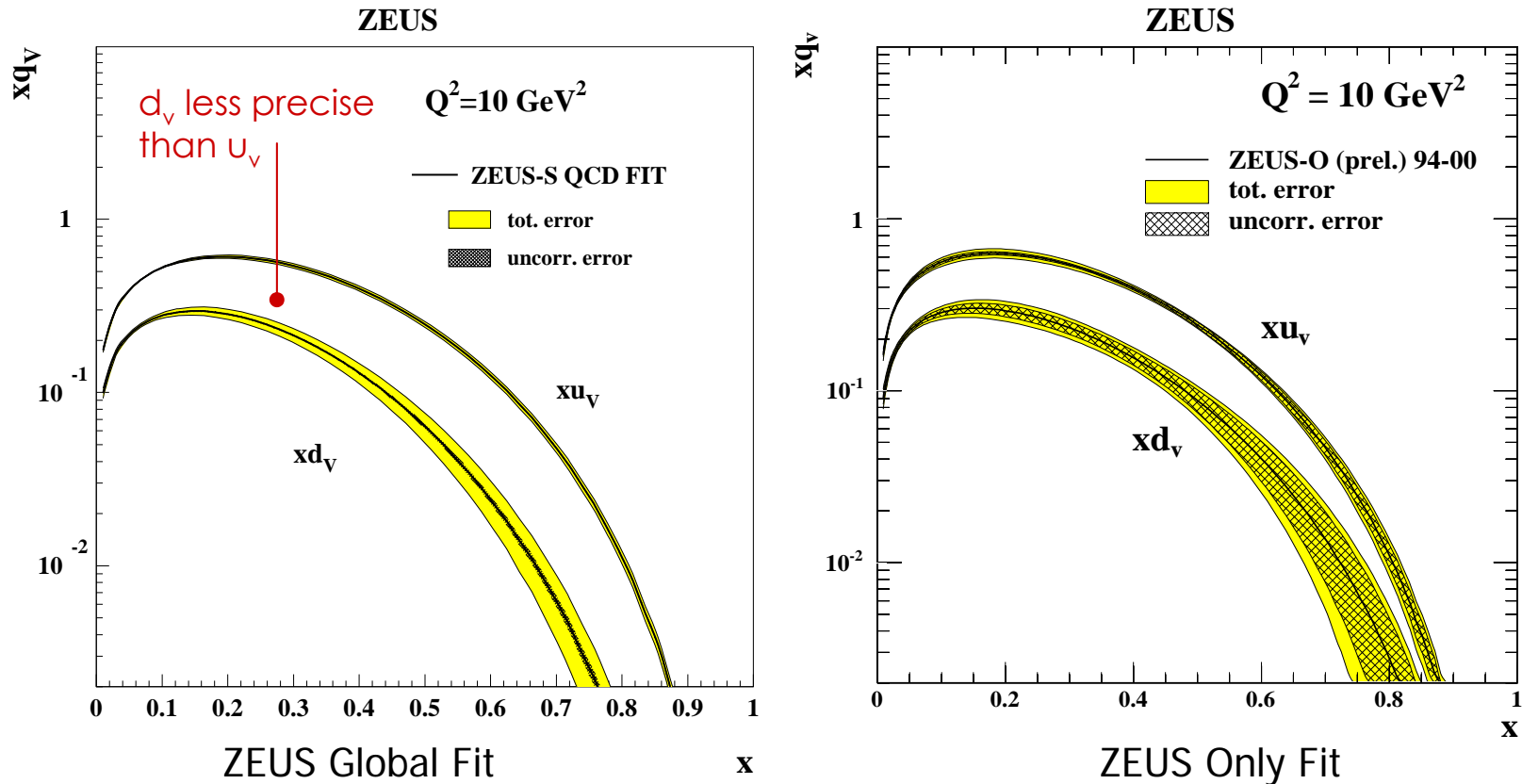
→ Use ZEUS global fit to constrain high-x sea and gluon ( $c_s$  &  $c_g$ )

# ZEUS Only QCD Fit: Sea and Gluon

- Blue hatched band represents model uncertainties with contributions from varying:
  - Starting scale  $Q_0^2$
  - Form of parameterisation
  - Values of  $c_g$  and  $c_s$
  
- Compatible with global fits (see later)
  - low-x information comes from HERA data anyway



# ZEUS Only QCD Fit: Valence



- Information in HERA Only fits from high  $Q^2$  NC/CC data
  - Comparable to global fit BUT now more **potential for improvement**
    - now use proton target data only (particularly important for  $d_v$ )
      - Uncertainties are statistics dominated → improvement from HERA II

# ZEUS JETS QCD Analysis

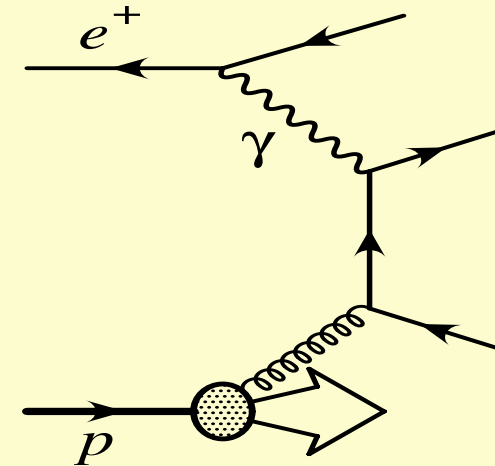
## Inclusive Neutral and Charged current cross sections + JET DATA

Jet cross sections directly sensitive to gluon through Boson-Gluon-Fusion process →

- sensitivity of included data:  $x \sim 0.01-0.1$

Two data-sets from 96-97 ( $\sim 40 \text{ pb}^{-1}$ ):

1. Inclusive jet DIS data ( $Q^2 > 125 \text{ GeV}^2$ )
2. Two-jet  $\gamma p$  ( $Q^2 \sim 0 \text{ GeV}^2$ ,  $E_T > 14, 11 \text{ GeV}$ )



DIS:  $Q^2 \gg 1 \text{ GeV}^2$

$\gamma p$ :  $Q^2 \sim 0 \text{ GeV}^2$

- Same assumptions/parameterisation as ZEUS Only EXCEPT:  
— now have direct information on gluon  $\Rightarrow$  retain all of  $b_g$ ,  $c_g$  and  $e_g$  free

# Addition of Jet Data: The Method

A full NLO calculation for jet cross sections, for each iteration of the  $\chi^2$  minimisation, would be prohibitively slow

1. Use NLO QCD program **initially**, to produce grid of weights in  $(x, \mu_F^2)$ , giving **perturbatively calculable part of cross section**
2. Convolute with PDFs to produce fast prediction for cross section:

$$\sigma = \sum_{a=g,q,\bar{q}} \int dx \alpha_s^n(\mu_R) c_{a,n}(x, \mu_F^2) f_a(x, \mu_F^2) \times (1 + \delta_{\text{had}})$$

$c_{a,n}$  = weight ;  $f_a$  = PDF of parton a ;  $(1 + \delta_{\text{had}})$  = hadronisation correction

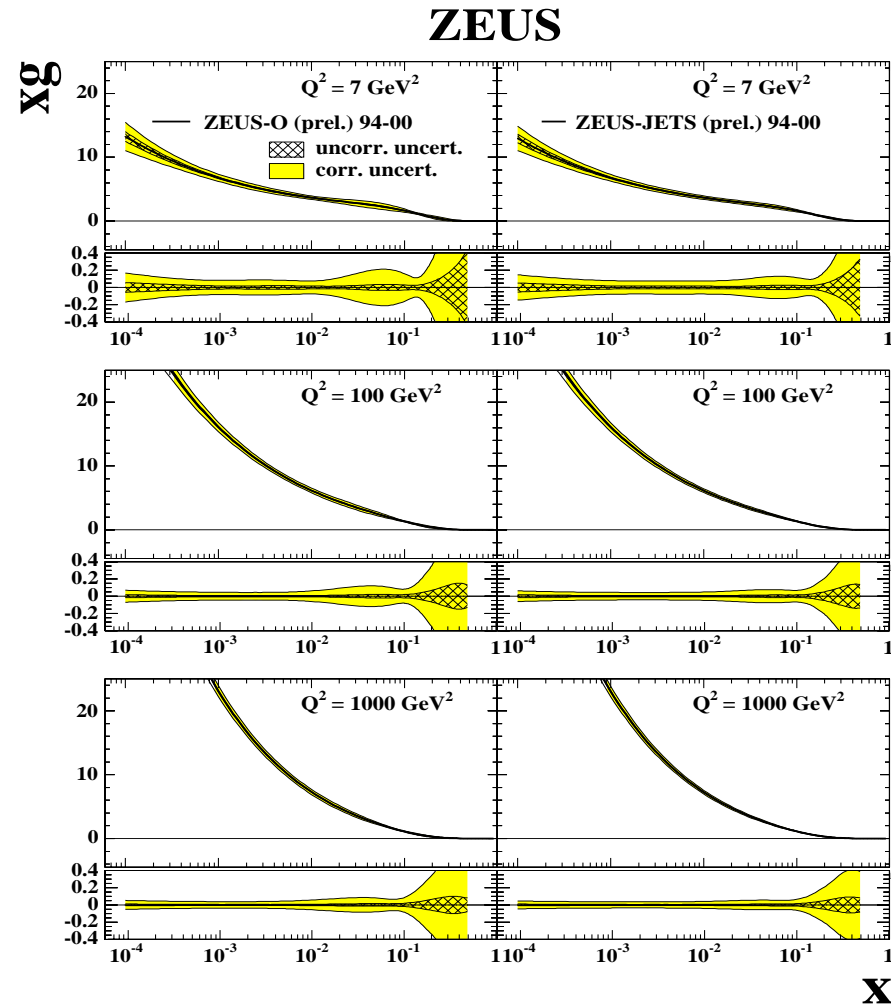
Grid cross sections reproduce real NLO predictions to better than 0.5%



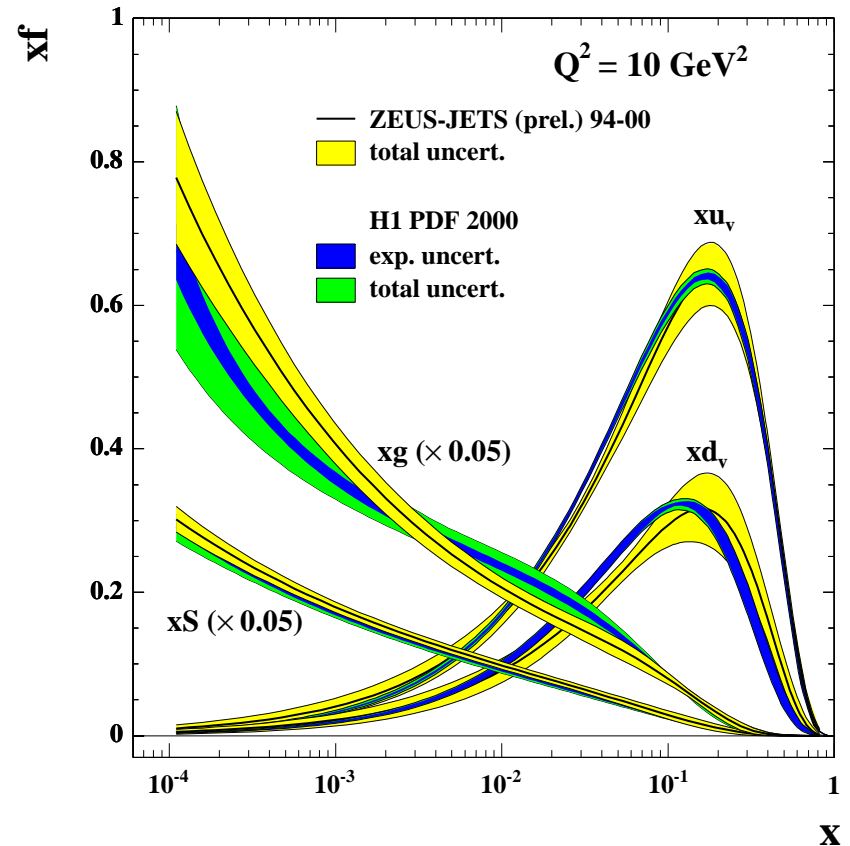
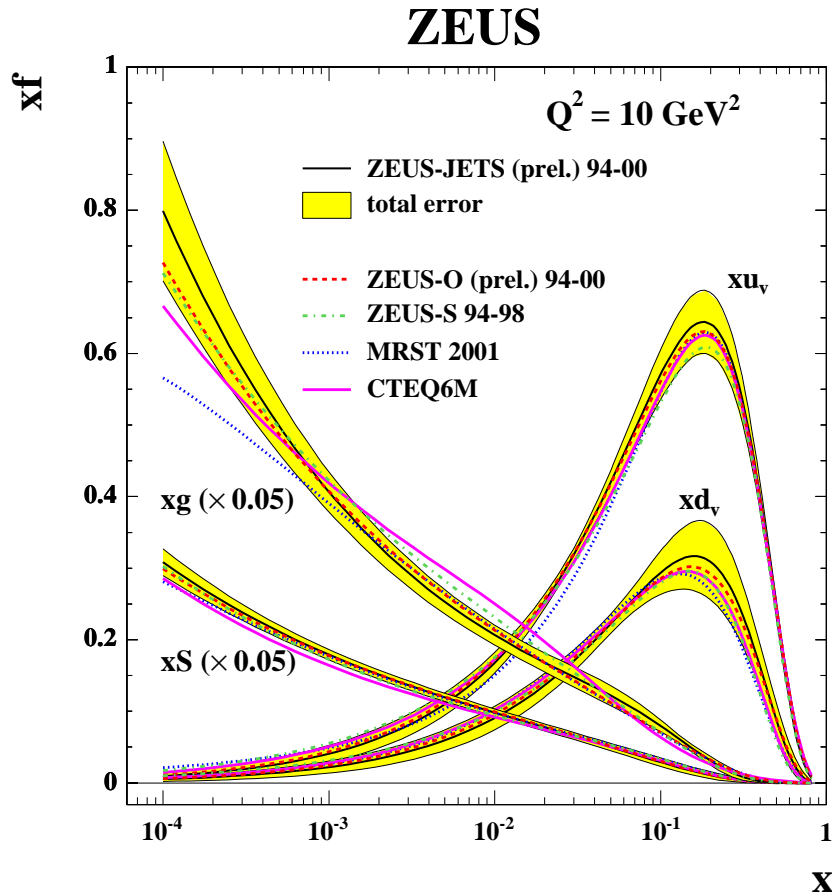
# Gluon Distribution

$$xg(x) = A_g x^{b_g} (1-x)^{c_g} (1 + e_g x)$$

- Compare to equivalent fit without jet data
  - Significant improvement in determination of gluon at mid-to-high-x
    - persists to high scales



# ZEUS-JETS versus Other PDFs

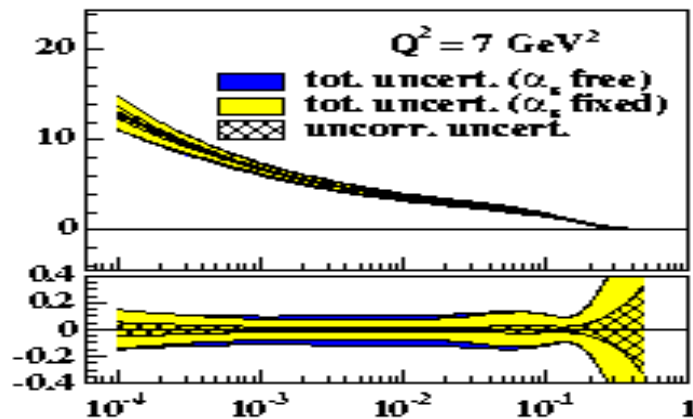


ZEUS-JETS:  $\chi^2/\text{ndf} = 0.83$

- Compatible with other fits given different data and fitting schemes

# Extraction of $\alpha_s$ from ZEUS-JETS Fit

- Extra information on gluon allows a competitive determination of  $\alpha_s$  using only HERA data  $\Rightarrow$  treat  $\alpha_s$  as free parameter in fit  
 $\rightarrow$  leads to larger uncertainty on gluon (represented by blue band)



## SOURCES OF UNCERTAINTY ON $\alpha_s$

- uncorr: Statistical and uncorrelated systematic uncertainties
- corr: Correlated systematics
- model: Model uncertainties

$$\alpha_s(M_Z) = 0.1183 \pm 0.0007(\text{uncorr.}) \pm 0.0027(\text{corr.}) \pm 0.0008(\text{model})$$

— additional scale uncertainty: 0.005

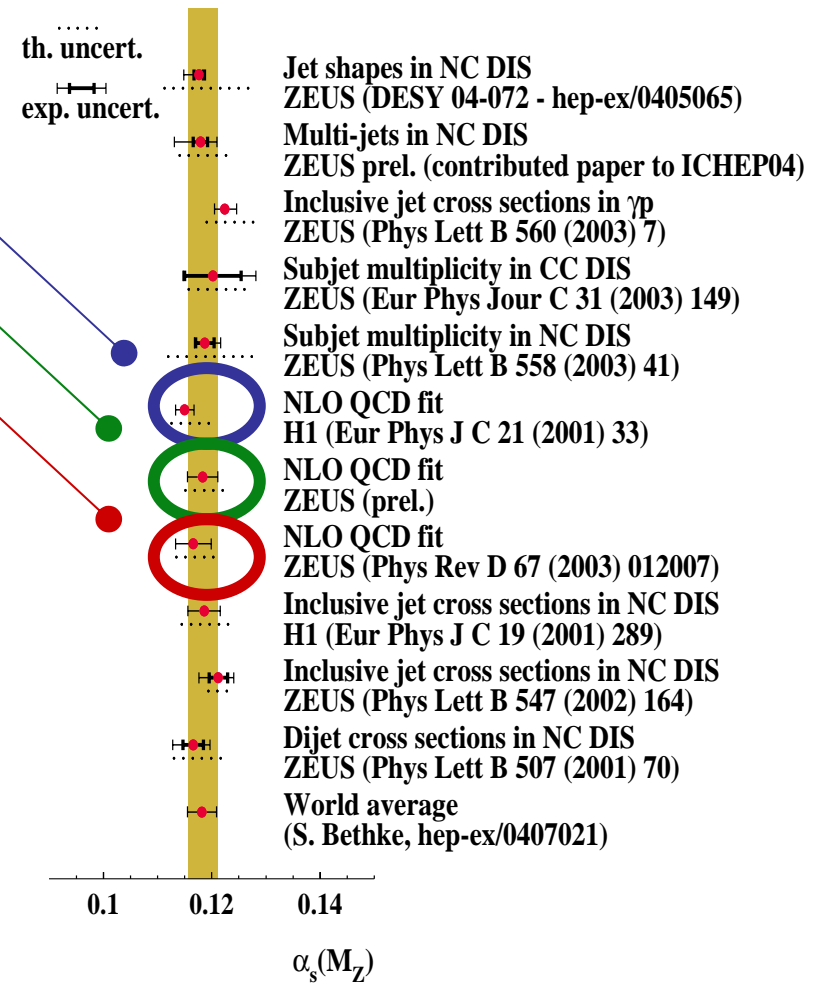
# Summary of HERA $\alpha_s$ Measurements

Three competitive extractions of  $\alpha_s(M_Z)$  from HERA QCD fits

- H1+BCDMS
- ZEUS-JETS
- ZEUS Global (not discussed here)

QCD Fit	$\alpha_s(M_Z)$
H1+BCDMS	$0.1150 \pm 0.0017(\text{exp.})^{+0.0009}_{-0.0007}(\text{model})$
ZEUS-JETS	$0.1183 \pm 0.0028(\text{exp.}) \pm 0.0008(\text{model})$
ZEUS Global	$0.1166 \pm 0.0049(\text{exp.}) \pm 0.0018(\text{model})$

- Limiting factor in the precision are the scale uncertainties ( $\sim 0.004-0.005$ )
  - NNLO analyses are expected to significantly reduce these

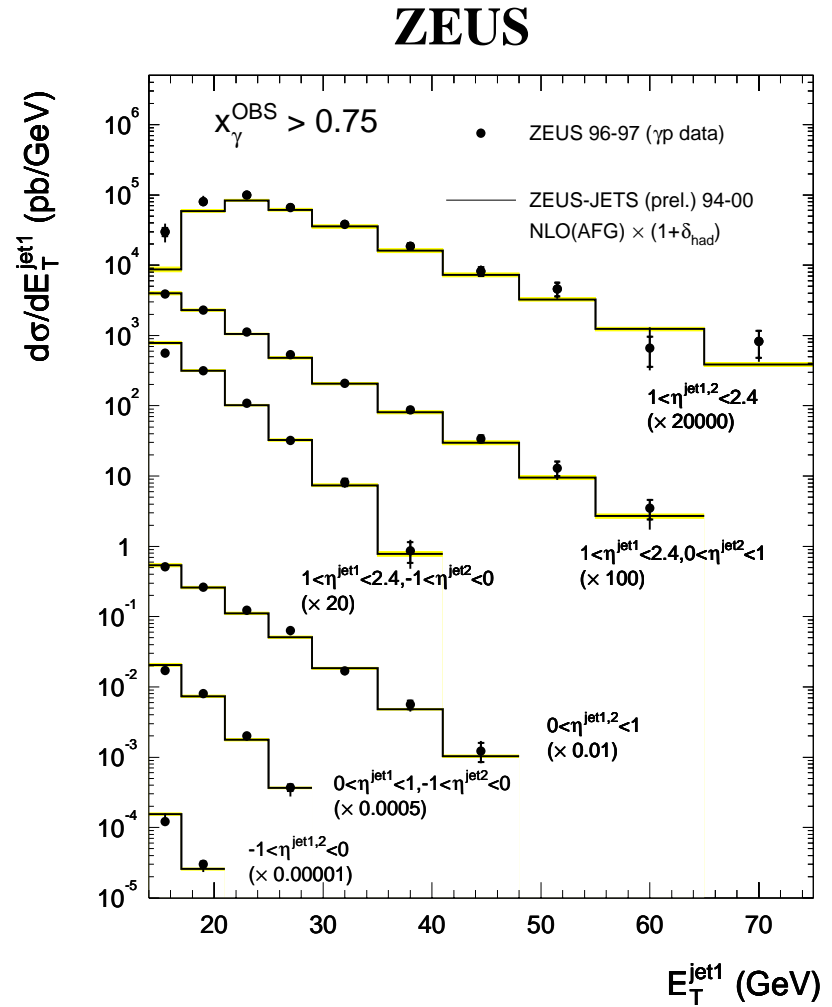
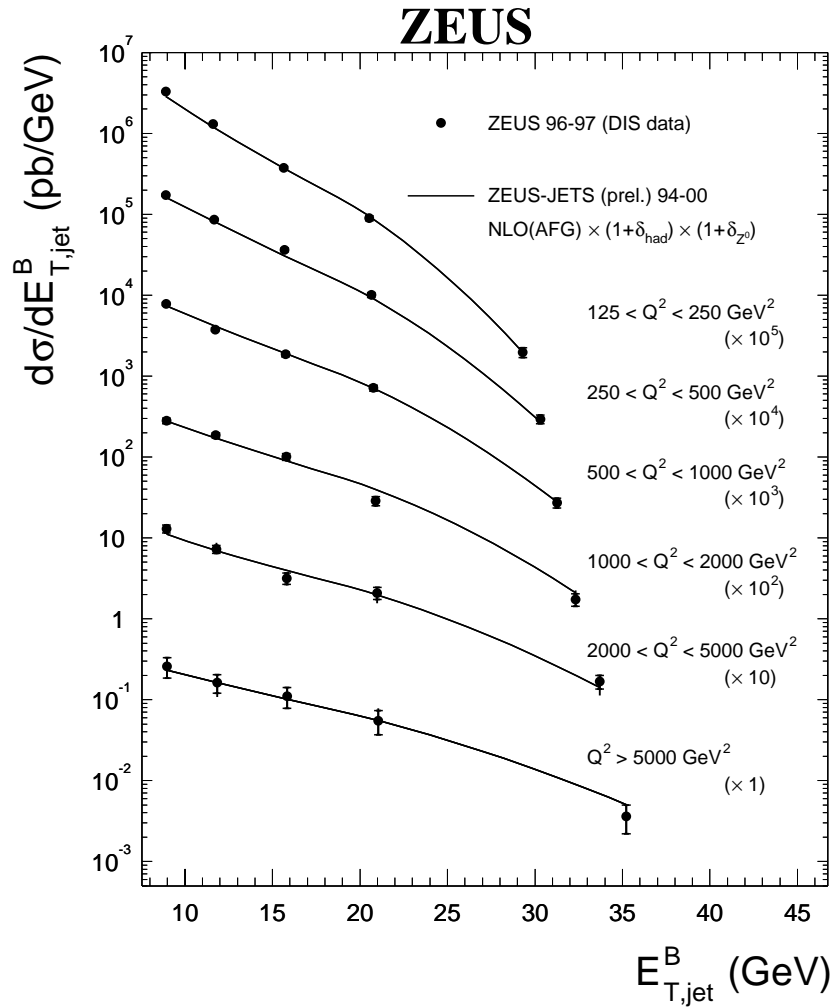


# Summary and Outlook

- Proton PDFs extracted by H1 and ZEUS using HERA data alone
  - Using only pure proton target data avoids uncertainties due to heavy target corrections (particularly important for d-valence)
  - Uncertainties arising from combining systematics from different experiments are reduced in HERA Only fits
- Jet data have been added in an independent ZEUS QCD fit
  - Significant improvement to constraint of gluon at mid-to-high-x
- Precise extractions of  $\alpha_s$  from HERA QCD analyses
- Look forward to HERA II data for:
  - more precise valence ( $xF_3$  from NC/ flavours from CC)
  - more precise high-x sea
  - LOW ENERGY RUNNING AT HERA II ? For higher-x and for gluon ( $F_L$ )

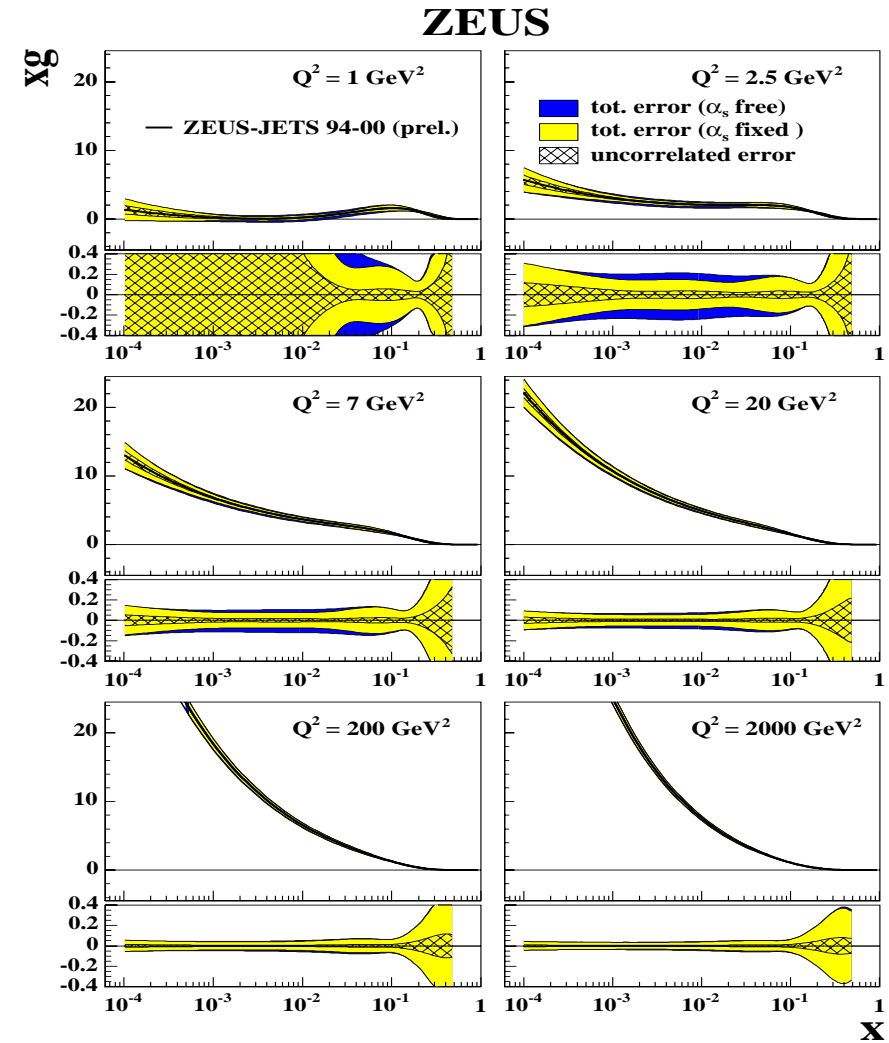
# Extras ...

# ZEUS-JETS: Comparison with Data



# Full ZEUS-JETS Fit Gluon Summary

C.Gwenlan, QCD Analyses at HERA, ICHEP04





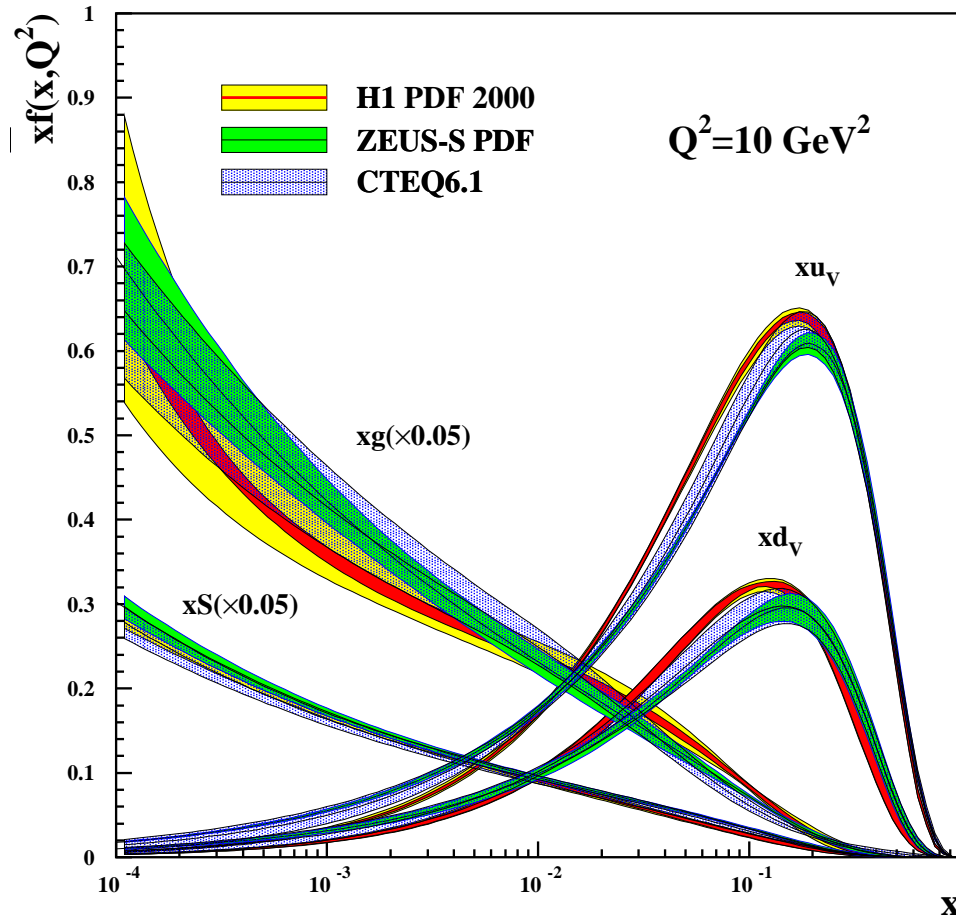
# PDF Determination in QCD Analyses

- Provided the structure of the electroweak interaction is well understood, perturbative QCD can be used to extract parton densities (or any other parameter entering the cross section e.g.  $\alpha_s$ ) using QCD evolution

Now, after the HERA I phase (1994-2000) of data-taking, the full set of  $e^+$  and  $e^-$  inclusive Neutral Current (NC) and Charged Current (CC) cross sections are available for QCD analysis

HERA II results already here → to be used in future QCD analyses

# H1 PDF 2000 versus Global Fits



H1PDF 2000:  $\chi^2/\text{ndf}=0.88$

- Reasonable agreement between fits given the different data and fitting schemes
- CTEQ generally lies between H1 PDF 2000 and the ZEUS global fit