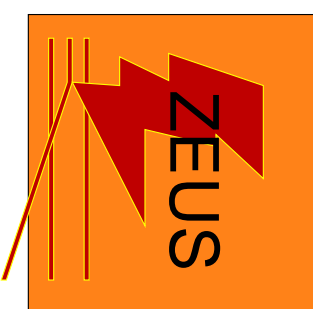




THE UNIVERSITY
of LIVERPOOL

International Symposium on MultiParticle Dynamics

MEASUREMENT AND QCD ANALYSIS OF INCLUSIVE DIFFRACTION AT HERA



Paul Laycock

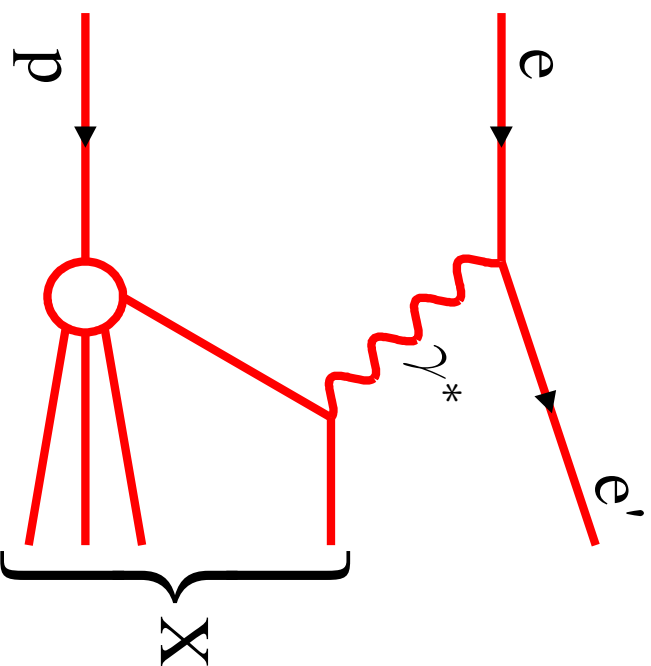
Overview:

- DIFFRACTION AT HERA
- MEASUREMENT TECHNIQUES
- LATEST MEASUREMENTS
- QCD ANALYSIS
- SUMMARY

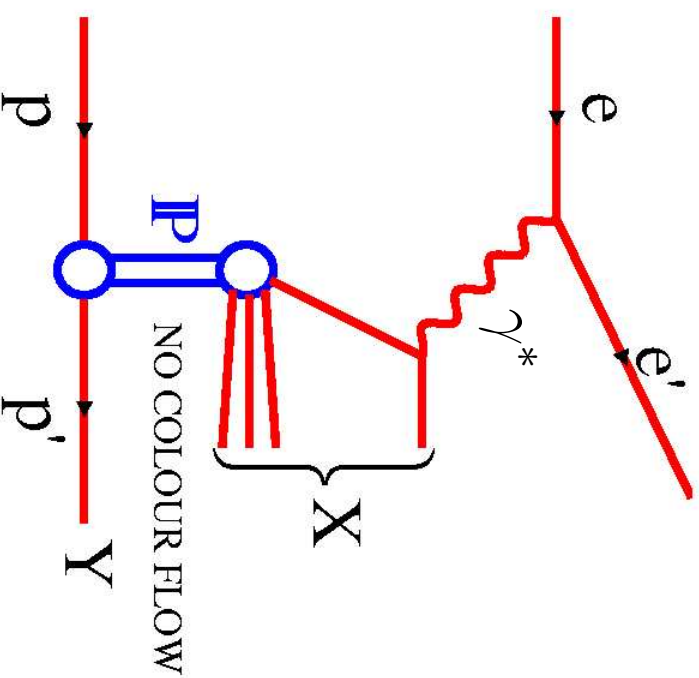
Inclusive Diffractive DIS at HERA

A large fraction of hadronic interactions at high energy are diffractive
Study the QCD Structure of these interactions using :

$$\gamma^* p \longrightarrow X p$$

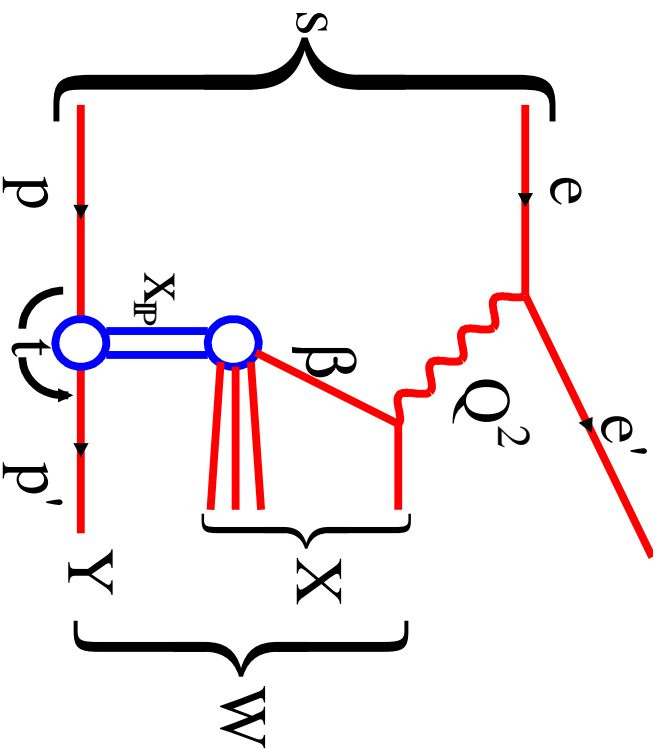


Probe the proton $\longrightarrow F_2^{P_{\text{proton}}}$



Probe Diffractive dynamics $\longrightarrow F_2^D$

Inclusive Diffractive DIS $\gamma^* p \longrightarrow X p$



$$Q^2 = -(e - e')^2 = -q^2$$

$$t = (p - p')^2$$

$$\beta = x_{quark/IP}$$

$$x_{IP} = x_{IP/proton}$$

$$x_{Bjorken} = \beta \cdot x_{IP}$$

Cross Section :

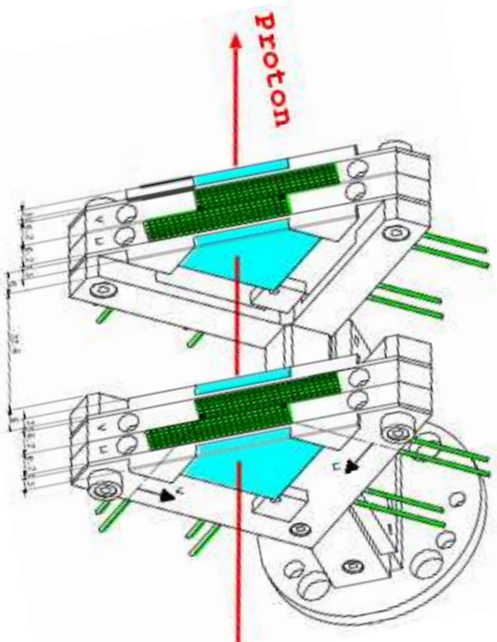
$$\frac{d\sigma^{ep \rightarrow eXY}}{d\beta dQ^2 dx_{IP} dt} = \frac{4\pi\alpha^2}{\beta Q^4} \left(1 - y + \frac{y^2}{2}\right) \sigma_r^{D(4)}$$

Reduced Cross Section :

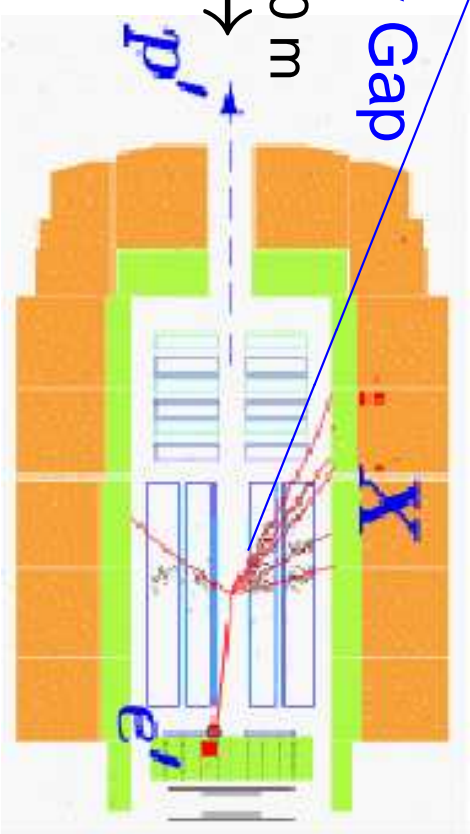
$$\sigma_r^{D(4)} = F_2^{D(4)} \frac{y^2}{1 + (1-y)^2} F_L^{D(4)} \quad (\sigma_r^{D(4)} = F_2^{D(4)} \text{ if } F_L^{D(4)} = 0)$$

Diffraction in HERA $ep \rightarrow e' X p'$

FPS Stations



Main Detector



Rapidity Gap

$z = 64$ and $z = 80$ m



Measure Leading Proton

Require Large Rapidity Gap

Free of p dissociation background

Kinematics measured from X system

Measure t and ϕ distributions

Integrate over t and M_Y

Lower statistics due to acceptance

Higher statistics

New Measurements from HERA

New Measurements from H1 : New Measurements from ZEUS :

Rapidity gap technique

$$1.5 < Q^2 < 12 \text{ GeV}^2$$

$$6.5 < Q^2 < 120 \text{ GeV}^2$$

FPS technique

$$2.5 < Q^2 < 20 \text{ GeV}^2$$

Rapidity gap technique

$$2.2 < Q^2 < 80 \text{ GeV}^2$$

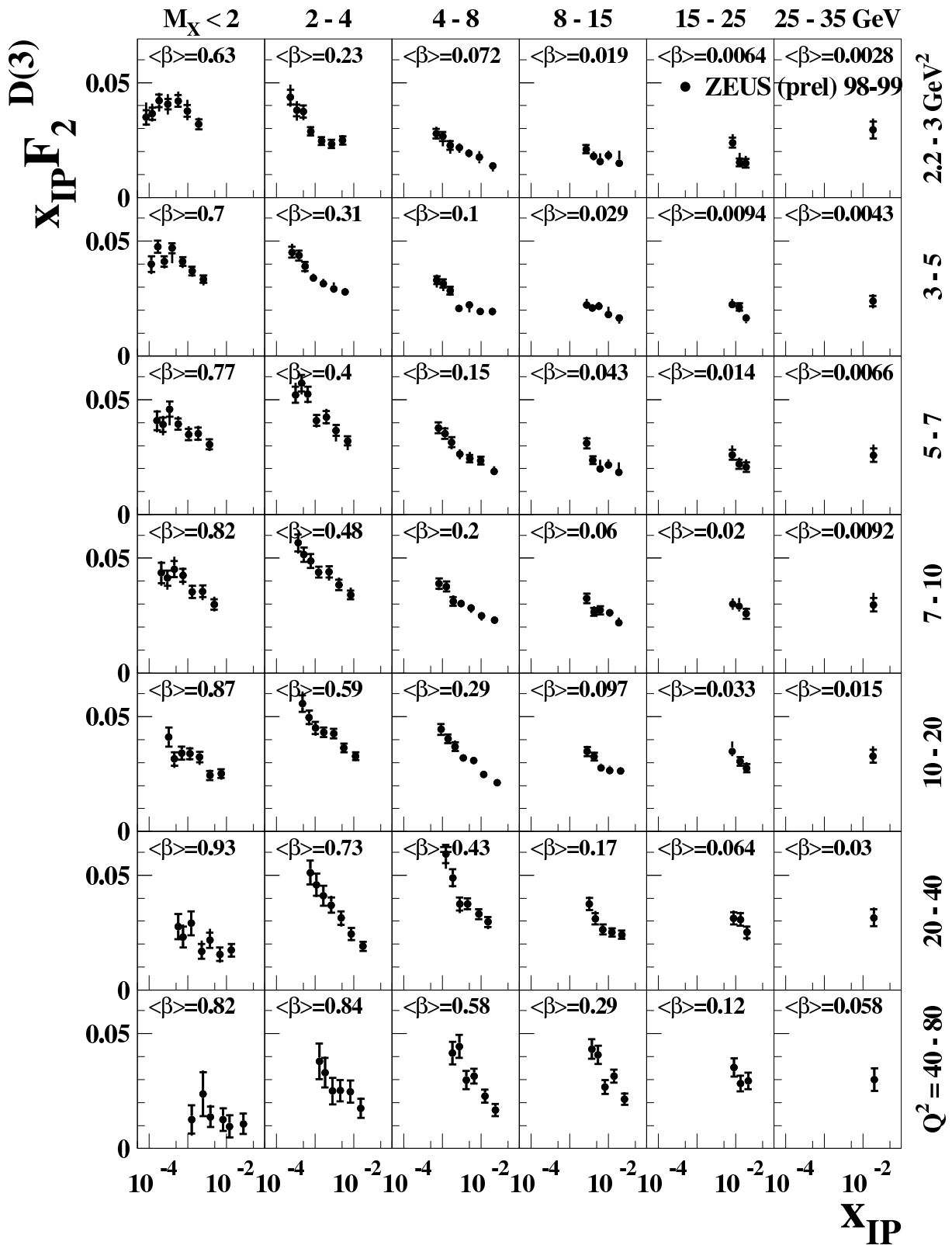
FPS technique

$$0.03 < Q^2 < 0.6 \text{ GeV}^2$$

Increase in statistics AND phase space

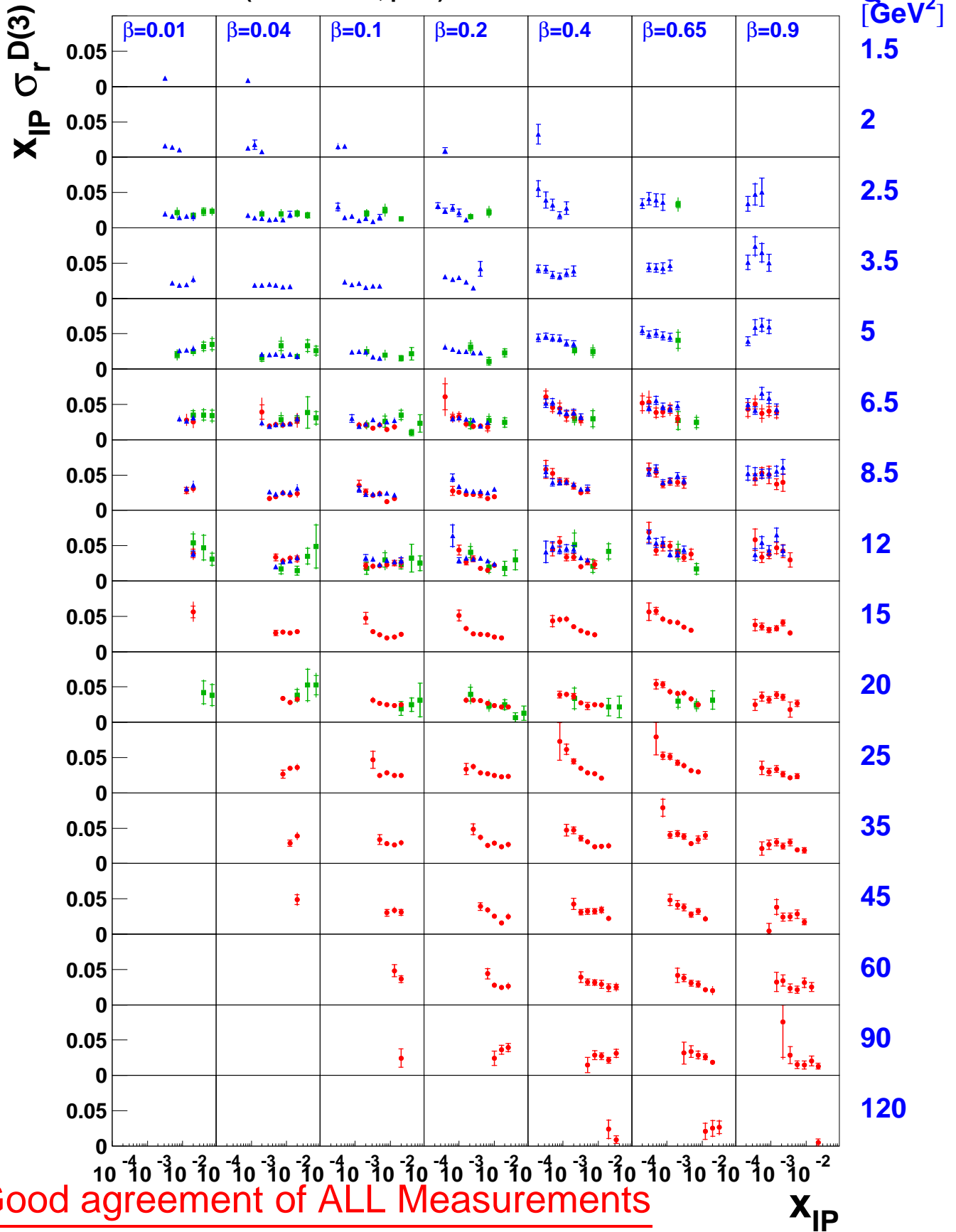
High precision measurements to test QCD

ZEUS



$\sigma_r^{D(3)}$ from H1

▲ H1 99 ($\sqrt{s}=320$ GeV, prel.)
 ■ H1 99-00 FPS ($\sqrt{s}=320$ GeV, prel.)
● H1 97 ($\sqrt{s}=300$ GeV, prel.)



Factorisation Properties of F_2^D

1. QCD Hard Scattering Factorisation for Diffractive DIS

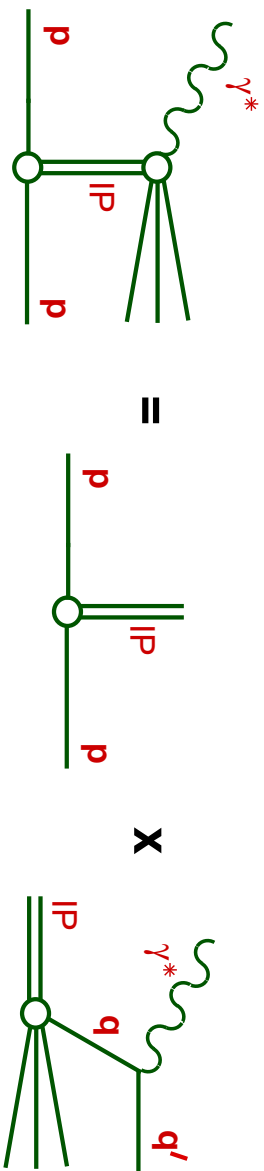
QCD Proof by Collins

$$\sigma(\gamma^* p \rightarrow X p) \sim p_{q/p}(x_{IP}, t, x, Q^2) \otimes \hat{\sigma}_{\gamma^* q}(x, Q^2)$$

At fixed x_{IP} , t , Diffractive Parton Densities evolve with x , Q^2 according to DGLAP

2. 'Regge' Factorisation

Additional assumption - Shape of Diffractive pdfs are independent of x_{IP} and t



$$\sigma(\gamma^* p \rightarrow X p) \sim f_{IP/p}(x_{IP}, t) \otimes p_{q/IP}(\beta, Q^2) \otimes \hat{\sigma}_{\gamma^* q}(\beta, Q^2)$$

Diffractive flux

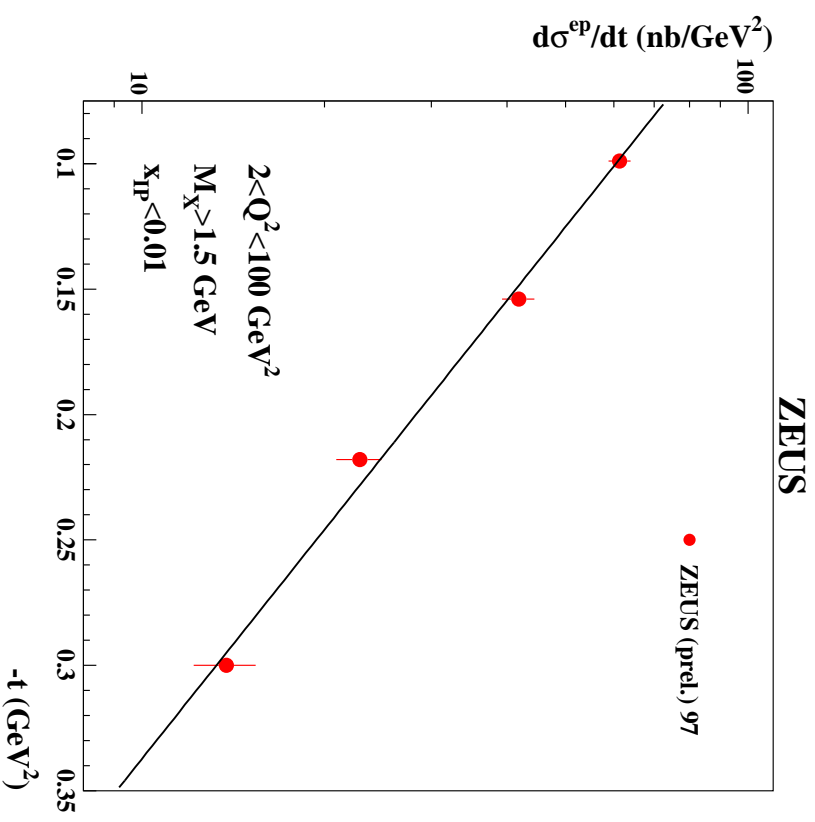
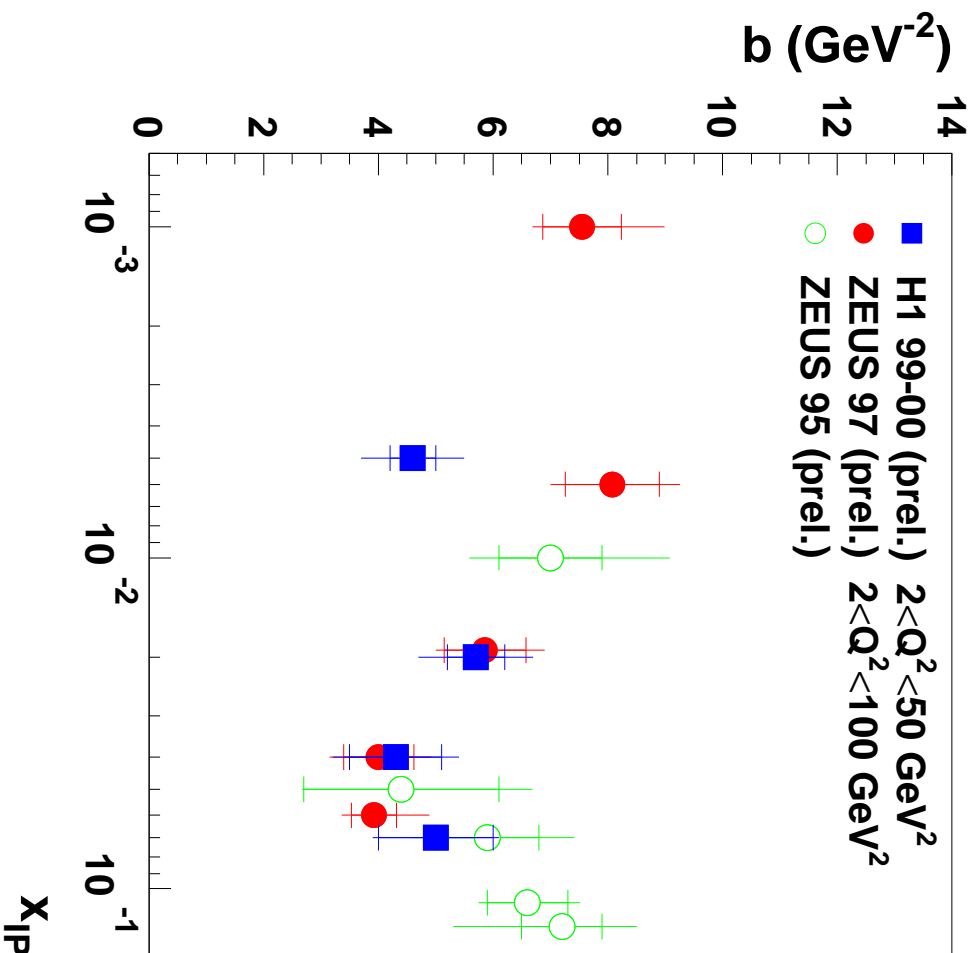
Pomeron pdfs

Hard Scatter

Flux parameterised as

$$f_{IP/p}(x_{IP}) = \int_{t_{cut}}^{t_{min}} \frac{e^{Bt}}{x_{IP}^{2\alpha(t)-1}} dt$$

t-dependence of the Diffractive Cross section



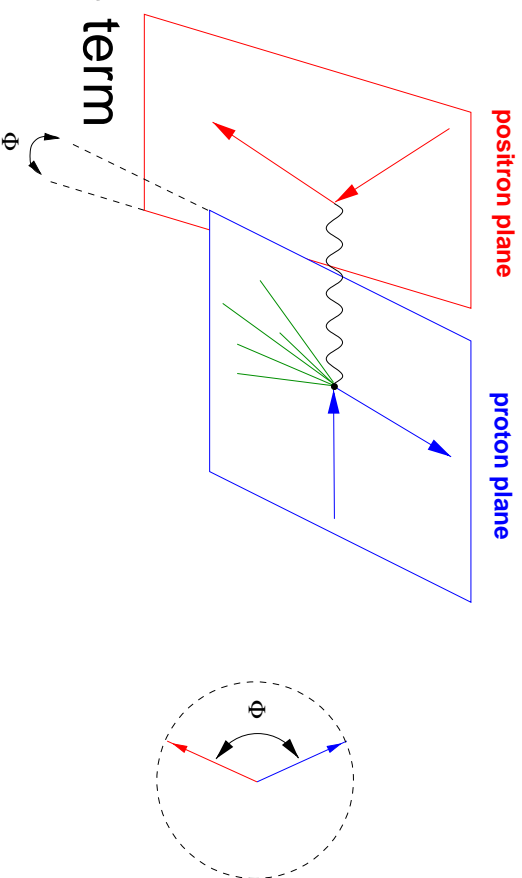
In Regge theory expect shrinkage

$$B = B_0 + 2\alpha' \ln \frac{1}{x_{IP}}$$

For $x_{IP} < 10^{-2}$ data inconclusive

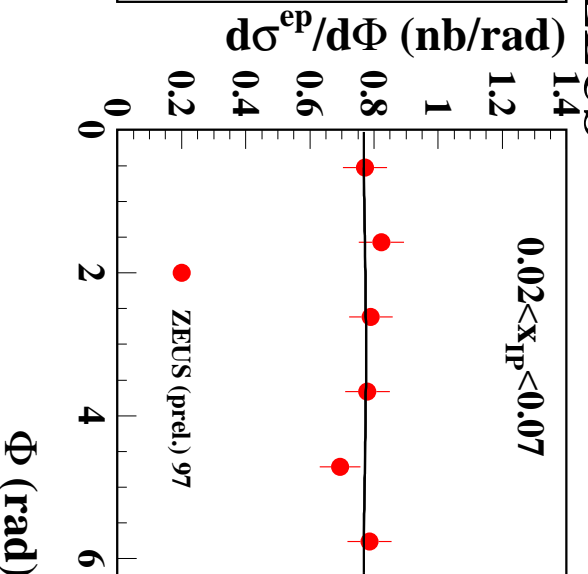
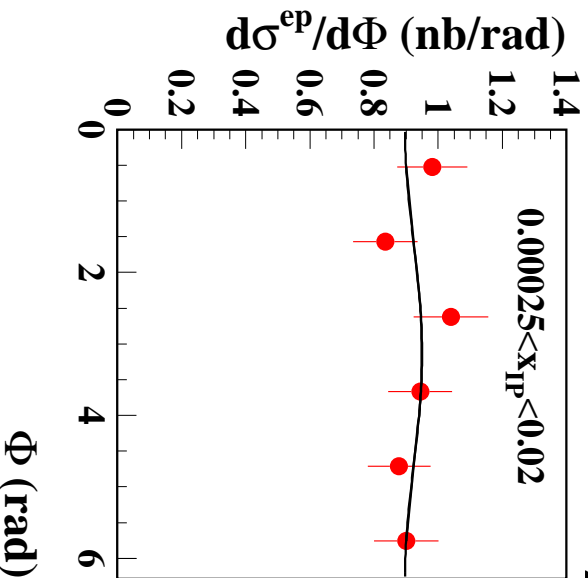
Φ -dependence of the Diffractive Cross section

Φ : Azimuthal angle between positron and proton scattering planes



$\frac{d\sigma_D}{d\Phi}$ sensitive to σ_L^D through interference term

ZEUS



Measurements :

$$\text{Fit } \frac{d\sigma}{d\Phi} \sim 1 + A_{LT} \cos \Phi$$

$$A_{LT} = -0.029 \pm 0.066^{+0.026}_{-0.047}$$

$$(0 \lesssim x_{IP} < 0.02; \beta \approx 0.32)$$

$$A_{LT} = -0.005 \pm 0.052^{+0.048}_{-0.047}$$

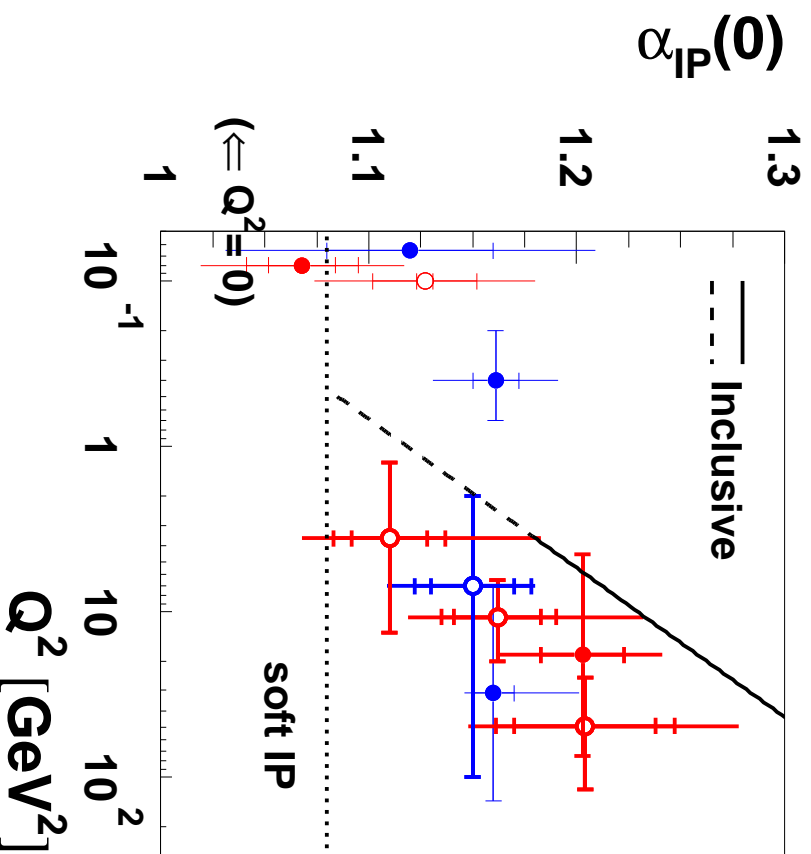
$$(0.02 < x_{IP} < 0.07; \beta \approx 0.1)$$

→ Interference term small in measured region

Effective $\alpha_{IP}(0)$

$$\text{Extraction : } F_2^{D(3)} = A(\beta, Q^2) \left(\frac{1}{x_{IP}} \right)^{2\alpha(t)-1}$$

- H1
- ZEUS
- H1 prelim
- ZEUS prelim



$\alpha_{IP}(0)$ grows with Q^2 ?

→ larger than soft pomeron at large Q^2

Growth of effective $\alpha_{IP}(0)$ slower for diffractive than for inclusive ?

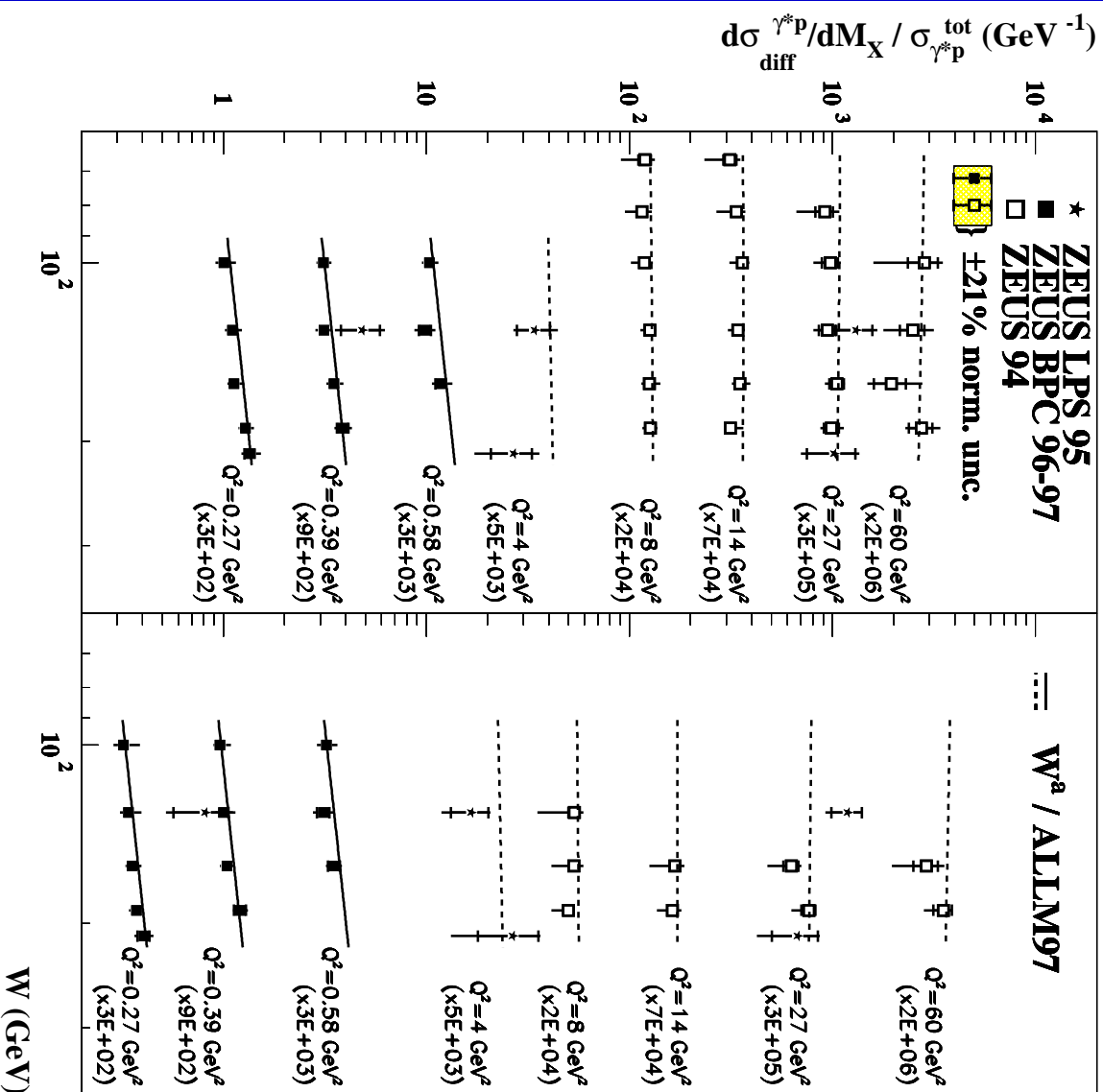
Dominant error from ignorance of $F_L^{D(3)}$

Energy dependence of the ratio *Diffractive / Inclusive*

ZEUS

$M_X = 5 \text{ GeV}$

$M_X = 11 \text{ GeV}$



$$R = \frac{\int (d\sigma_D^{\gamma p} / dM_X)}{\sigma_{total}^{\gamma p}}$$

$$\sim \frac{(W^2)^{2(\alpha_{IP}-1)}}{(W^2)^{(\alpha_{IP}-1)}} \sim W^p$$

Transition ($Q^2 \sim 1.0 \text{ GeV}^2$):

$\rho = 0.24 \pm 0.07$ (*stat.*)

Diffractive rising faster

than inclusive

→ **Regge behaviour**

DIS regime ($Q^2 > 4 \text{ GeV}^2$):

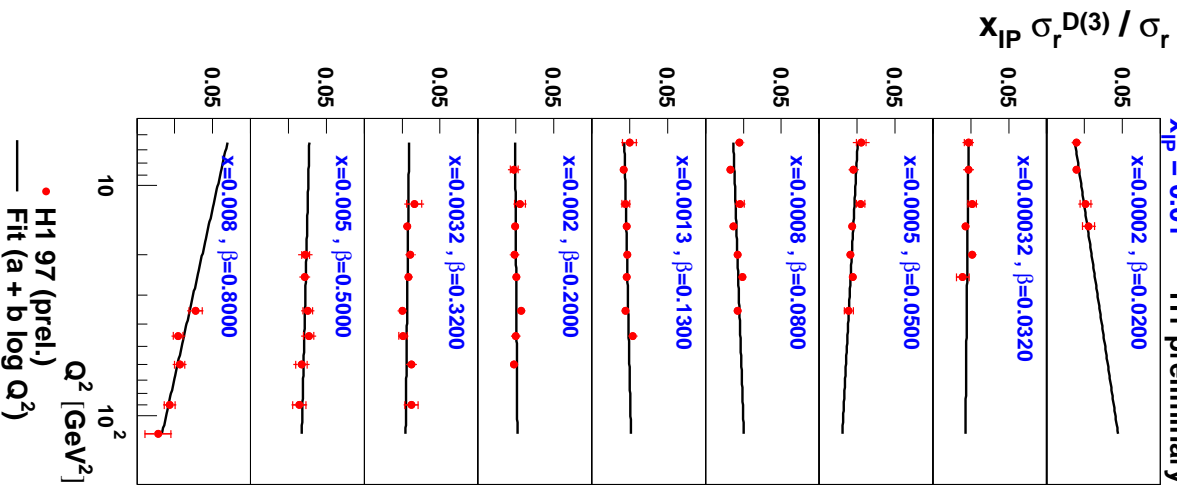
$\rho = 0.00 \pm 0.03$ (*stat.*)

Same energy dependence

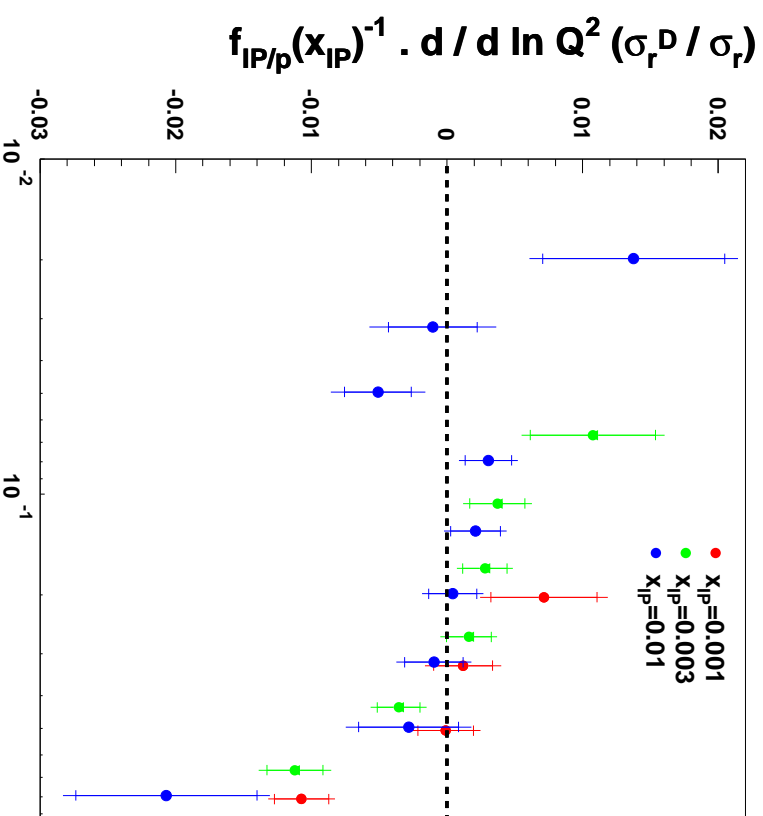
→ **Non-Regge behaviour**

Logarithmic Q^2 derivative of ratio $\frac{\sigma_r^{D(3)}}{\sigma_r}$

$x_{IP} = 0.01$ H1 preliminary



H1 Preliminary



Fit $R = A + B \ln Q^2$
Divided by $f_{IP/p}(x_{IP})$

→ **No x_{IP} dependence remains**

Look at ratio in the DIS regime

$\frac{\sigma_r^D}{\sigma_r} \sim$ **flat vs Q^2** Except at highest β

Similar Q^2 dynamics ?

Q^2 Dependence of $\sigma_r^{D(3)}$

H1 preliminary

- $x_{IP}=0.0003$
- $x_{IP}=0.001$
- $x_{IP}=0.003$
- $x_{IP}=0.01$

At fixed x_{IP} and β

Divide by $f_{IP}(x_{IP})$

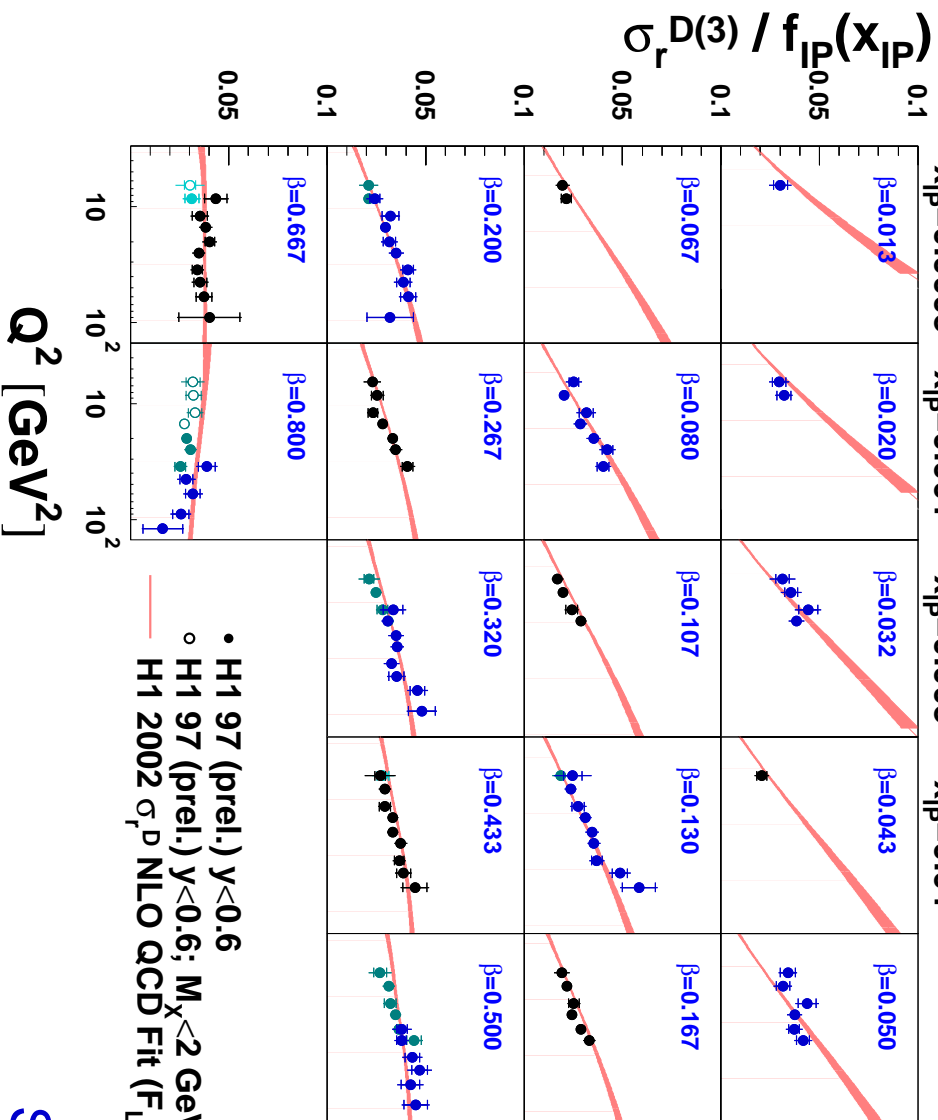
→ compare different x_{IP} bins

Large +ve Scaling violations
except at highest β

→ gluon dominated

Scaling violations similar at

all values of x_{IP}



- H1 97 (prel.) $y < 0.6$
- H1 97 (prel.) $y < 0.6; M_X < 2 \text{ GeV}$
- H1 2002 σ_r^D NLO QCD Fit ($F_L^D=0$)

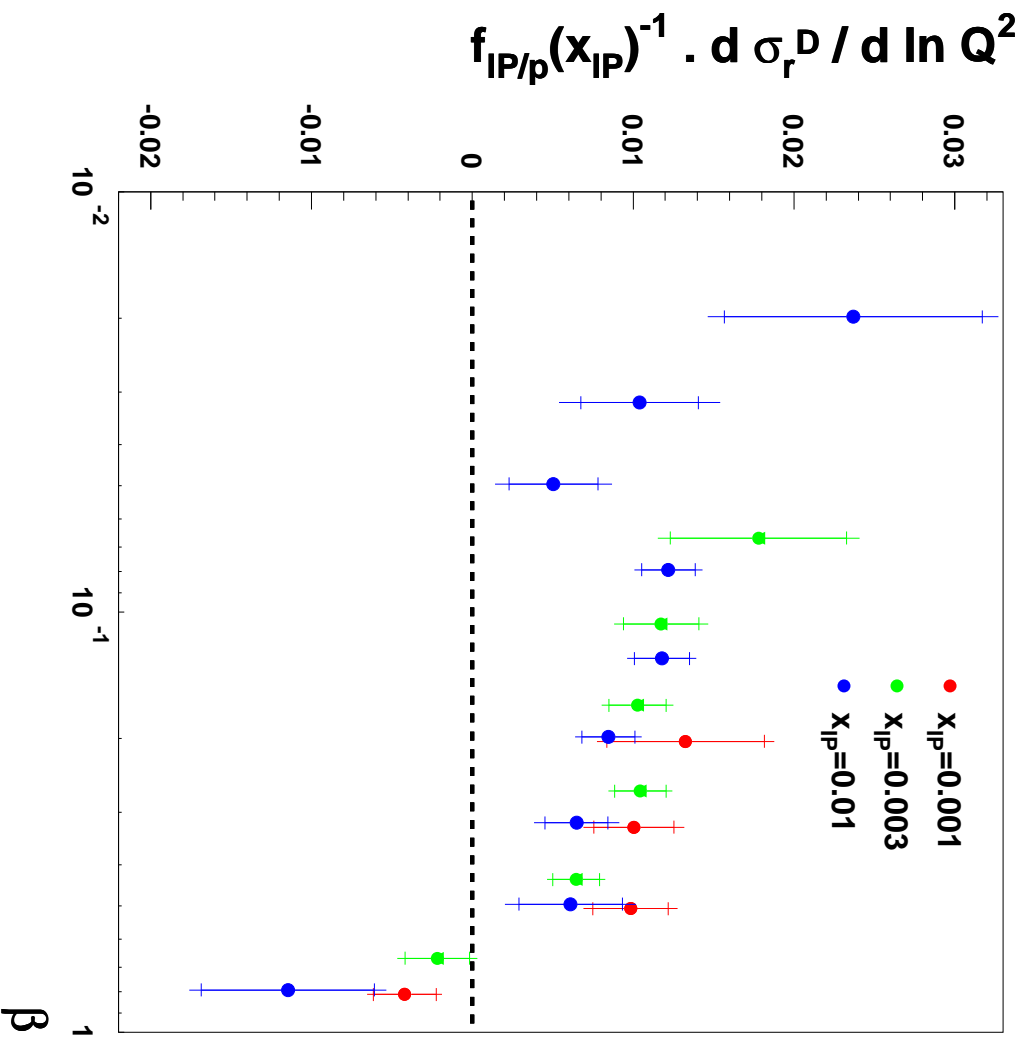
QCD fit describes the data

Details to follow

→ Support for Regge factorisation

Logarithmic Q^2 derivative of $\sigma_r^D(3)$

H1 Preliminary



Quantify Scaling violations ...

At fixed x_{IP} and β

Fit $\sigma_r^D = A + B \ln Q^2$

$$\rightarrow B = \frac{d}{d \ln Q^2} (\sigma_r^D)$$

Large +ve Scaling violations

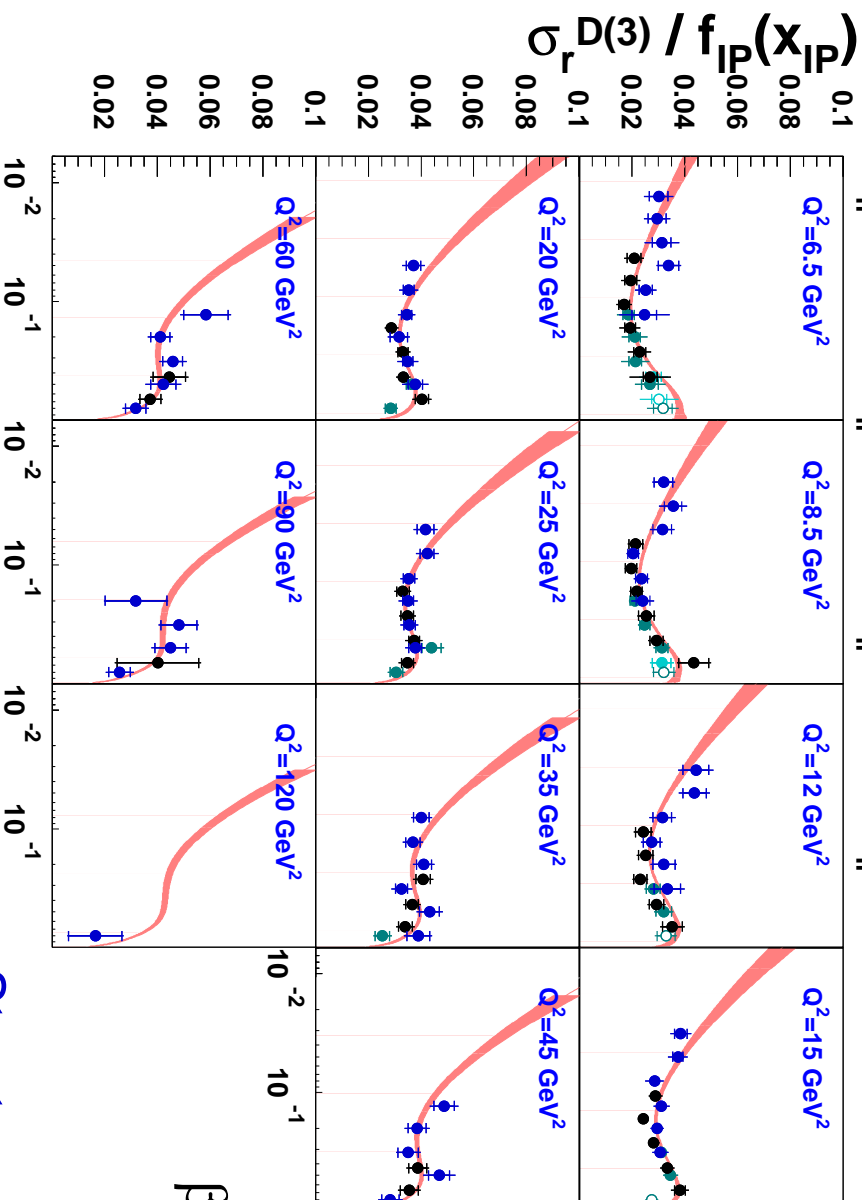
Flat at $\beta \simeq 0.6$

\rightarrow gluon dominated

β Dependence of $\sigma_r^{D(3)}$

H1 preliminary

- $x_{IP}=0.0003$ • $x_{IP}=0.001$ • $x_{IP}=0.003$ • $x_{IP}=0.01$



At fixed x_{IP} and Q^2

Divide by $f_{IP}(x_{IP})$ to

compare different x_{IP} bins

→ See full β structure

Structure similar at all values of x_{IP}

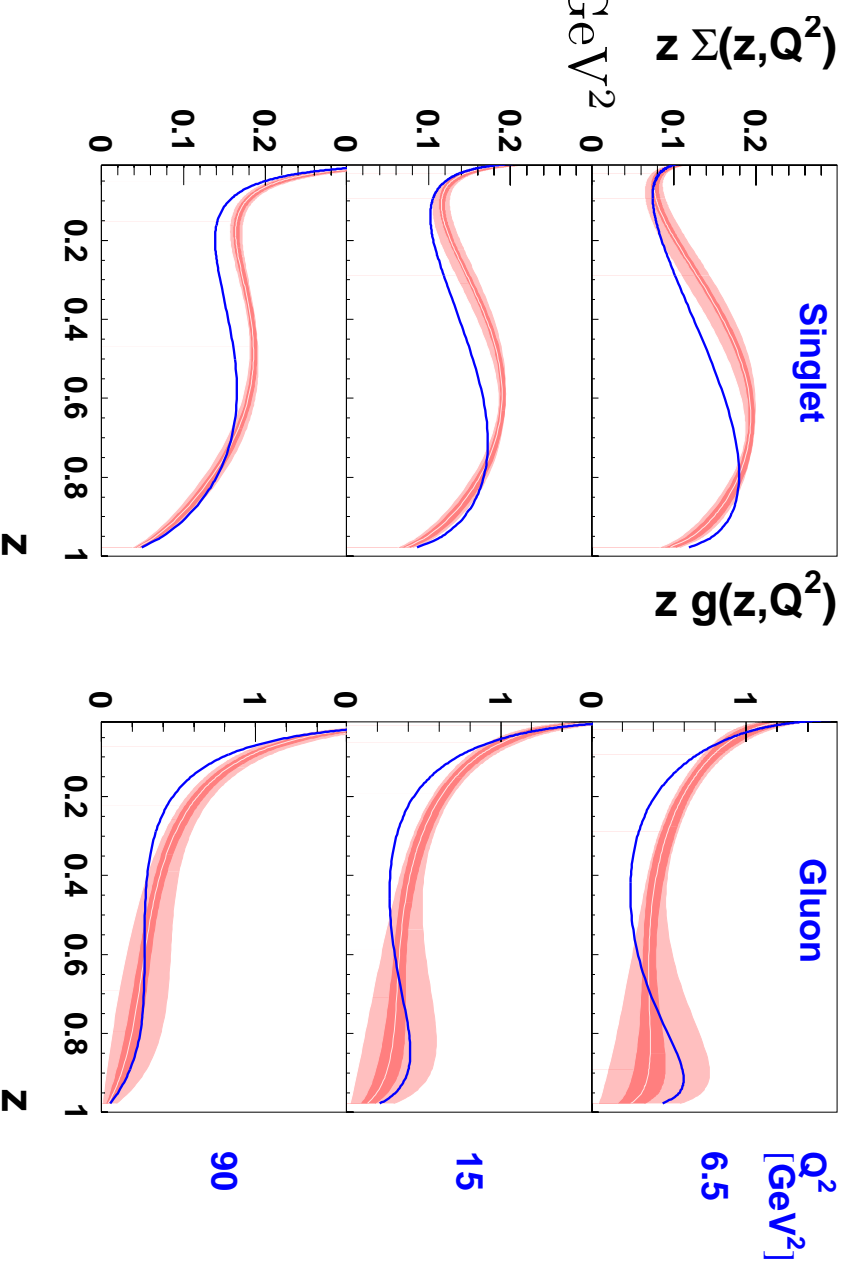
→ Support for Regge factorisation

- H1 97 (prel.) $y < 0.6$
- H1 97 (prel.) $y < 0.6$; $M_X < 2 \text{ GeV}$
- H1 2002 $\sigma_r^{D(3)}$ NLO QCD Fit ($F_{L^D}=0$)

New NLO DGLAP Fit \longrightarrow PDF's

H1 2002 $\sigma_{r,p}$ NLO QCD Fit

H1 preliminary



QCD Fit technique :

- Singlet Σ and gluon g components with $Q_0^2 = 3 \text{ GeV}^2$
- x_{IP} factorisation
- NLO DGLAP evolution
- Full propagation of errors

PDF's of diffraction :

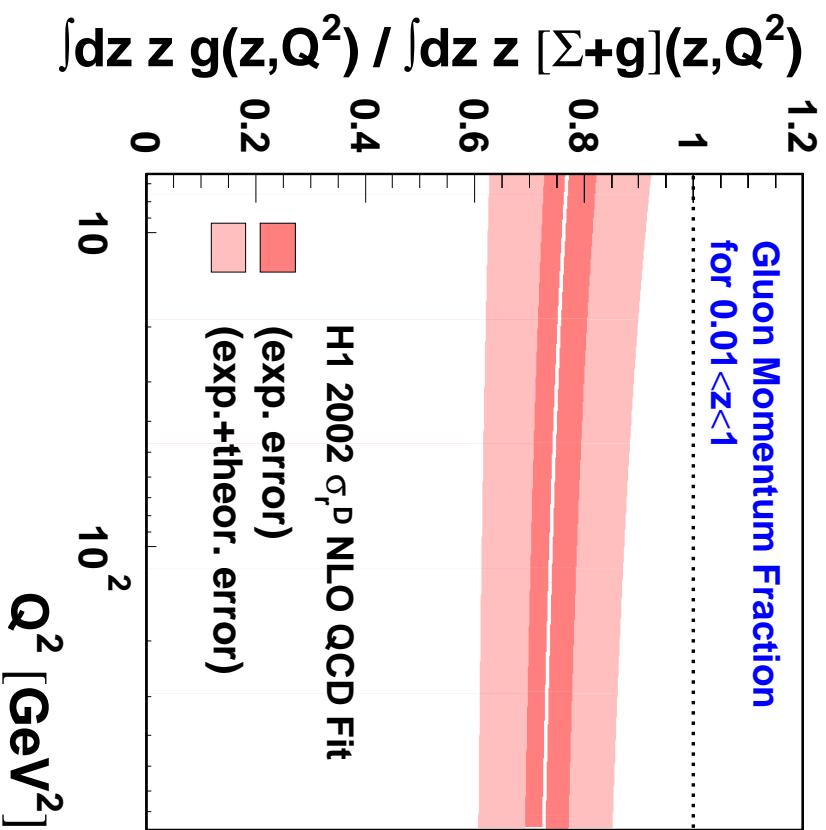
- Gluon dominated
- Extend to large z
- Σ well constrained
- Large uncertainty on g at high z



\longrightarrow Consistent with the HERA picture

The gluon momentum fraction and F_L

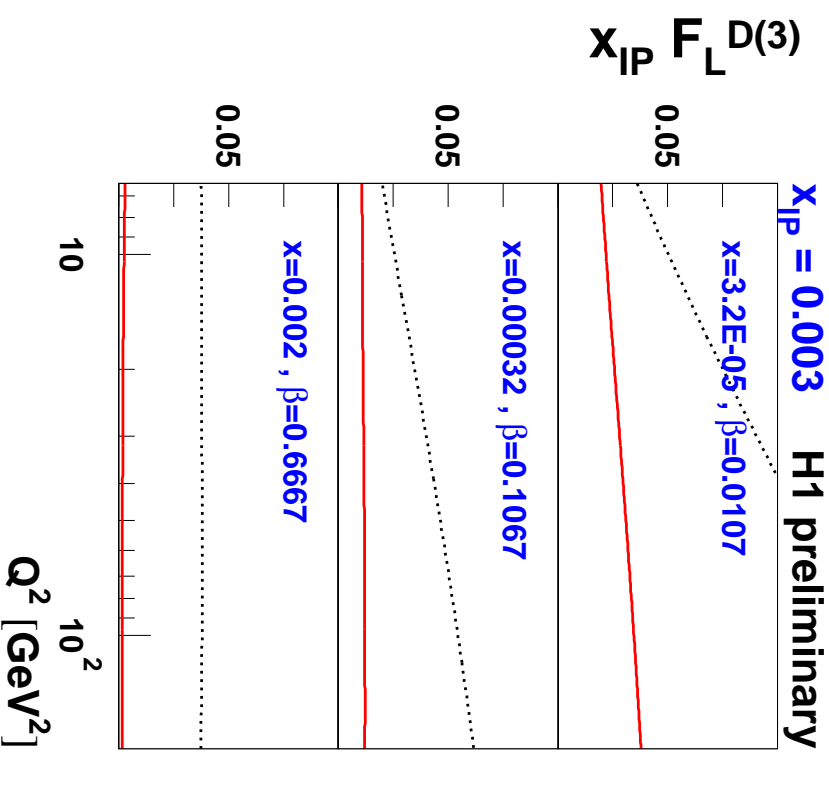
H1 preliminary



Momentum fraction of diffractive exchange carried by gluons

→ $75 \pm 15\%$

$$x_{IP} F_L^{D(3)}$$



F_L^D predicted at leading twist

— F_L^D (from NLO QCD Fit)
 F_2^D

→ F_L^D is large at low Q^2 and low β

Factorisation at HERA

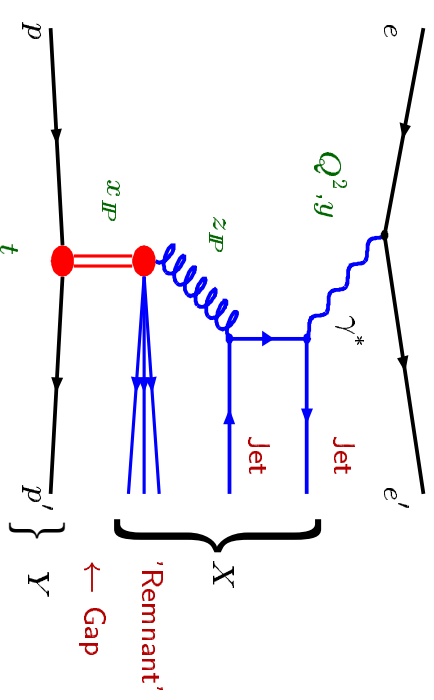
Use PDFs from LO fit to predict diffractive final state

Comparison using RAPGAP

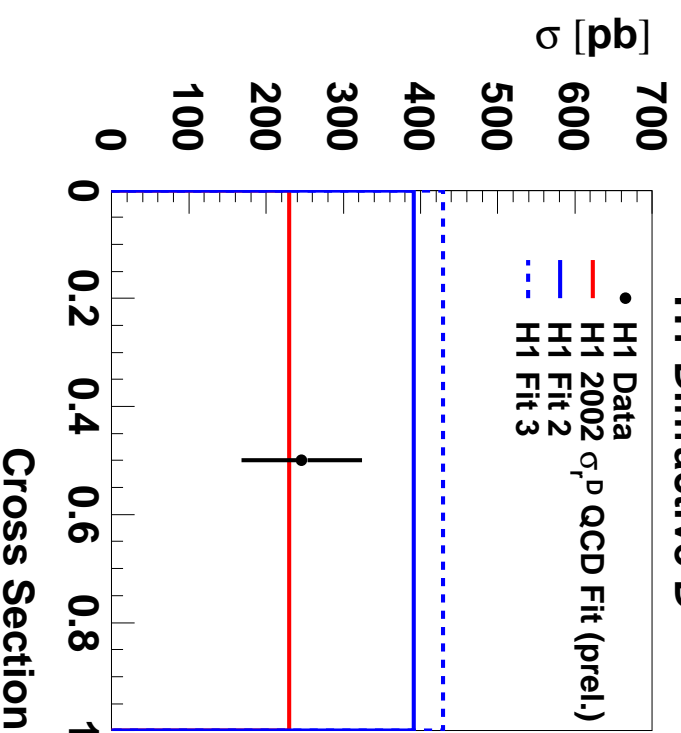
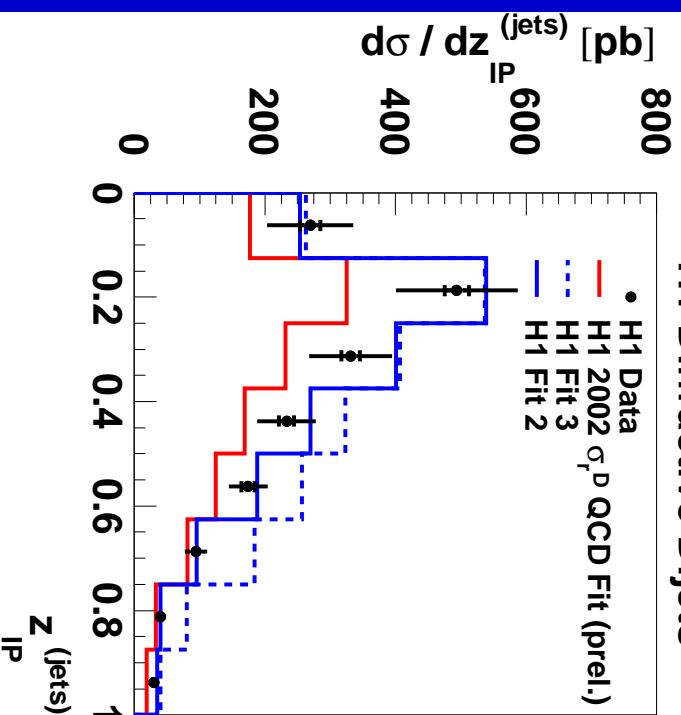
$$\mu^2 = Q^2 + p_T^2 + m^2$$

Differential distributions well described

Uncertainty on PDFs not shown

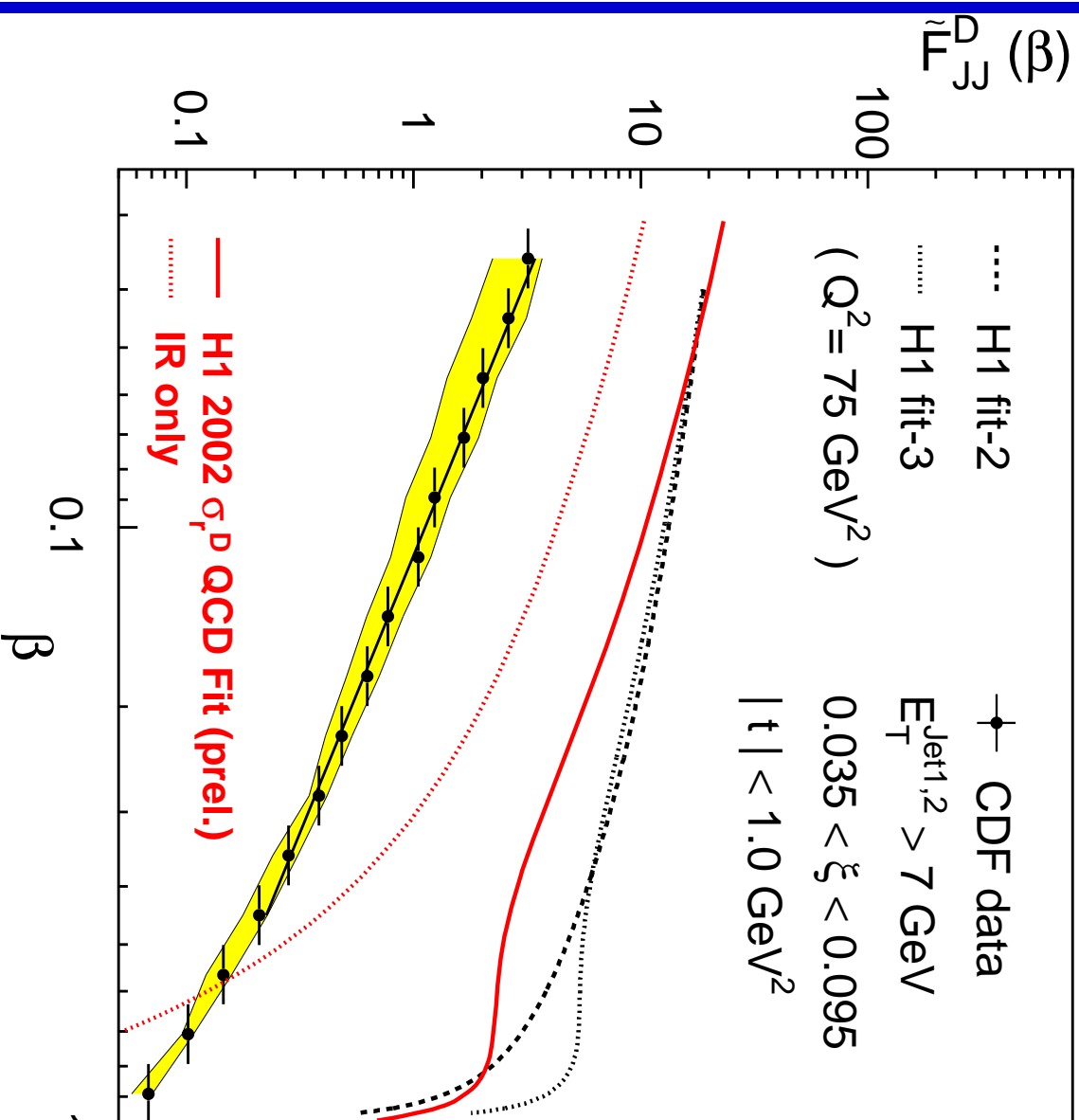


H1 Diffractive D*



→ Consistent with factorisation

Factorisation between HERA and the Tevatron



Use PDF's from HERA to
predict diffractive final state

At HERA :

Consistent with factorisation

At the Tevatron :

→ **Factorisation broken**

Gap survival probability ?

Summary

The Data describing the QCD Structure of Diffractive Interactions has reached high precision. QCD Hard Scattering Factorisation for Diffractive DIS is at the same level of theoretical prescription as for inclusive DIS

→ Precision measurements testing precision theory

- New measurements from H1 and Zeus
- $\alpha_{IP}(0)$ larger in DIS than in photoproduction and soft pomeron
- Transition region between photoproduction and DIS studied
- Suggestion of similar (x, Q^2) dynamics to inclusive DIS at medium β
- NLO QCD fit yields PDF's dominated by gluon
- Large gluon density persists out to very high β
- Factorisation works at HERA
- Factorisation breakdown at the Tevatron confirmed