

Physics at “Low” Q^2

Exploring Structures in Non-perturbative QCD at (Relatively) Hard Scales

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ICHEP 2002, Amsterdam, The Netherlands, July 24 - 31, 2002

- ① **Proton Structure**
spin-dependent parton distribution
functions of the proton
- ② **Diffraction**
“soft” processes at the highest
energies
- ③ **Generalized Parton Distributions**
mapping out the proton
wavefunction
- ④ **Hadron Formation**
spin and scale dependence of the
fragmentation process

Deep-Inelastic Scattering

$Q^2 = -\text{mass}^2$ of virtual photon $> 1 \text{ GeV}^2$

$W^2 = \text{mass}^2$ of $\gamma^* p$ system $> 4 \text{ GeV}^2$

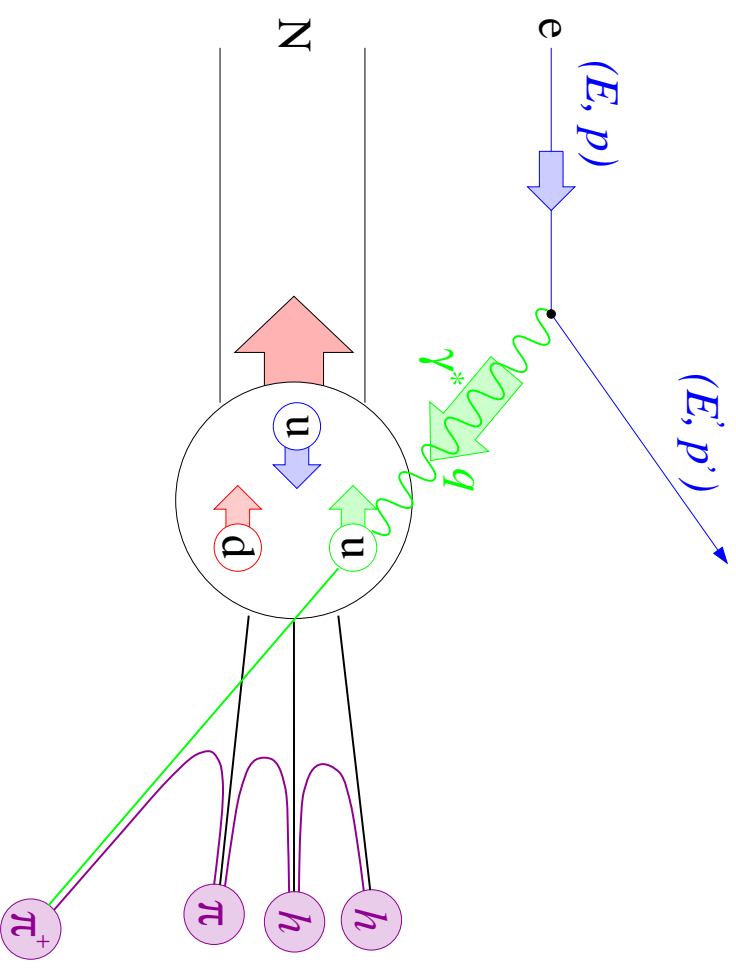
study partonic substructure of

- **proton target**

⇒ parton distribution functions $q(x, Q^2)$

- **hadron formation**

⇒ fragmentation functions $D(z, Q^2)$



beam

target

$\langle Q^2 \rangle$

current experiments

H1,ZEUS	27.6 GeV e^\pm	900 GeV p	$\gg 10 \text{ GeV}^2$
HERMES	27.6 GeV e^\pm , polarized	fixed p,d , polarized	2.5 GeV^2
CLAS	4 – 6 GeV e^- , polarized	fixed p,d , polarized	1.3 GeV^2

future experiments

COMPASS	100 – 200 GeV μ , polarized	fixed p,d , polarized	10 GeV^2
STAR, PHENIX	250 GeV p , polarized	250 GeV p , polarized	M_W^2

Flavor Structure of the Proton

● Constituent Quark Model

Pure valence description: proton = 2 u + d

● Perturbative Sea

Sea quark pairs from

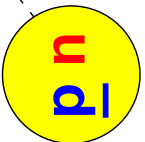
$g \rightarrow q\bar{q}$ should be flavor symmetric:

$$\bar{u} = \bar{d}$$

Non-perturbative models : alternate deg's of freedom

Meson Cloud Models

π^+ meson



"valence"

"sea"

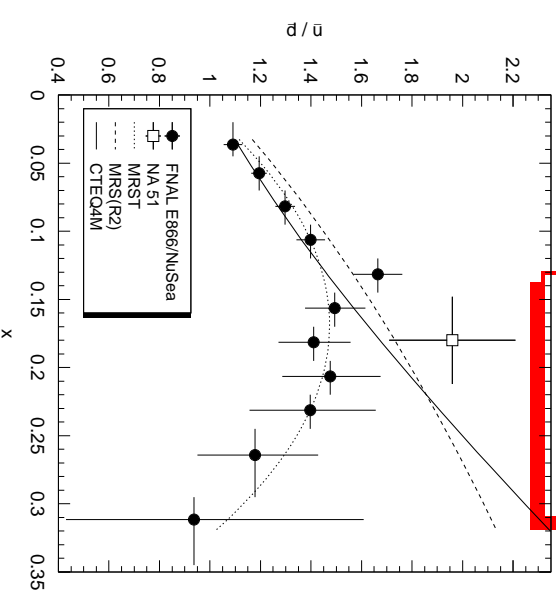
Quark sea from cloud of 0^-

mesons:

$$\bar{d} > \bar{u}$$

E866:

$$\bar{d}/\bar{u} > 1$$

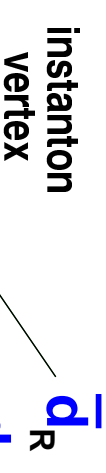


Chiral-Quark Soliton Model

- quark degrees of freedom in a pion mean-field
- nucleon = chiral soliton
- one parameter: dynamically-generated quark mass
- expand in $1/N_c$:

$$\bar{d} > \bar{u}$$

Instantons



'tHooft instanton vertex
 $\sim \bar{u}_R u_L \bar{d}_R d_L$

\Rightarrow

$$\bar{d} > \bar{u}$$

Spin Structure of the Proton

$$\frac{1}{2} = \frac{1}{2}\Delta\Sigma + \Delta G + L_q + L_g$$

“You think you understand something?”

Now add spin ...” – R. Jaffe

Parton Distribution Functions

unpolarized: $q(x) = q^\uparrow(x) + q^\downarrow(x)$

polarized: $\Delta q(x) = q^\uparrow(x) - q^\downarrow(x)$

Constituent Quark Model

$\Delta u = +4/3$, $\Delta d = -1/3 \rightarrow \Delta\Sigma = 1$

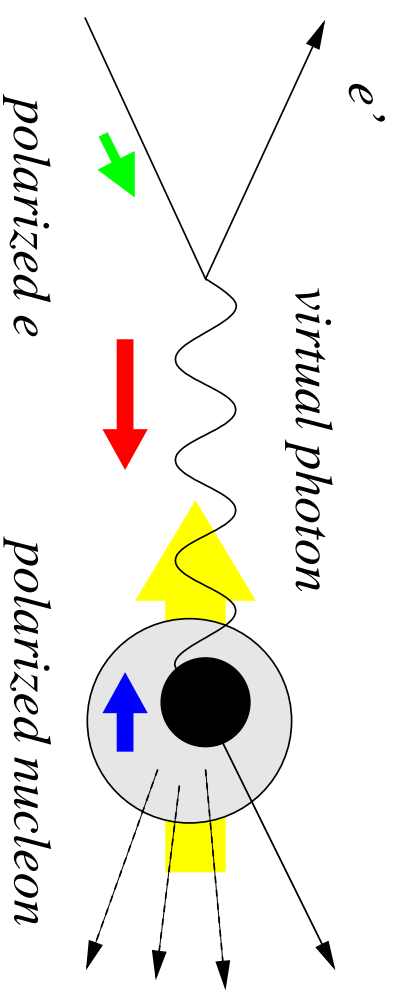
Relativistic Quark Model

orbital angular momentum is important

$$\Delta\Sigma \simeq 0.60 - 0.75$$

$$L_q = \frac{1}{2}(1 - \Delta\Sigma)$$

Polarized Deep-Inelastic Scattering



From NLO-QCD analysis of inclusive

DIS measurements ... SMC, PRD 58 (1998) 112002

- $\Delta\Sigma = 0.38$ (in AB scheme)

- $\Delta G = 1.0^{+1.9}_{-0.6}$ (in AB scheme)

→ barely constrained, positive value favored

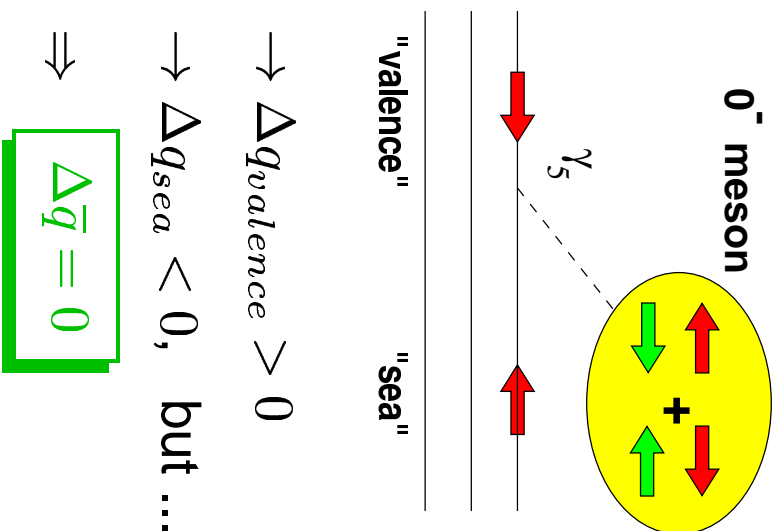
- $\Delta s = -0.02$ to -0.15 (model dependent)

→ slight negative sea-quark polarization?

Anti-quark Spin in the Proton

Meson Cloud Models

Li, Cheng, hep-ph/9709293



$$\rightarrow \Delta q_{valence} > 0$$

$$\rightarrow \Delta q_{sea} < 0, \text{ but ...}$$

$$\Rightarrow \Delta \bar{q} = 0$$

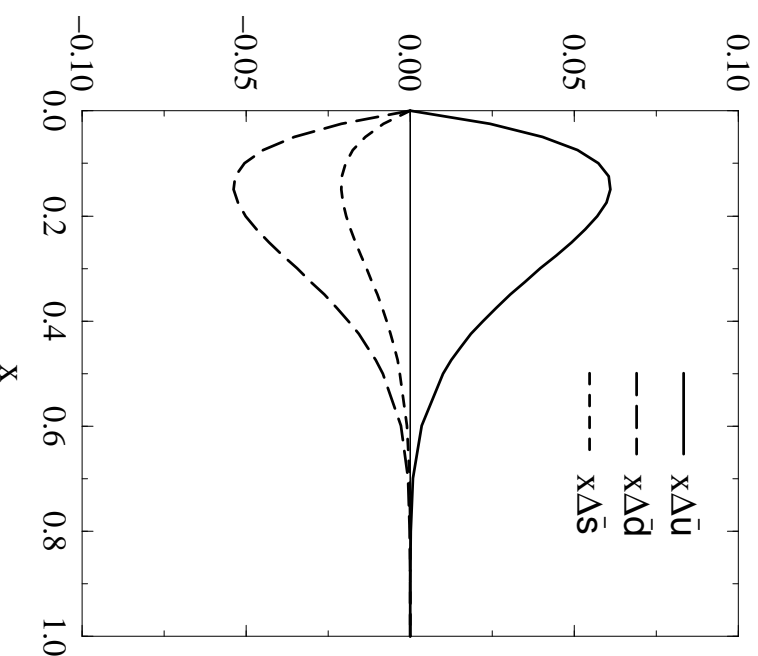
"Higher-order" cloud of vector mesons can generate a small polarization.

Chiral-Quark Soliton Model

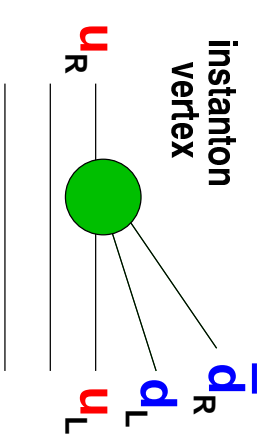
Goeke et al, hep-ph/0003324

Light sea quarks polarized:

$$\Delta \bar{u} \approx -\Delta \bar{d} > 0$$



Instanton Mechanism



'tHooft instanton vertex
 $\sim \bar{u}_R u_L \bar{d}_R d_L$ transfers
 helicity from valence u
 quarks to $d\bar{d}$ pairs

$$\Delta \bar{d} > 0, \Delta \bar{u} < 0 ?$$

No such calculation yet
 performed ...

Quark Polarization from Semi-Inclusive DIS

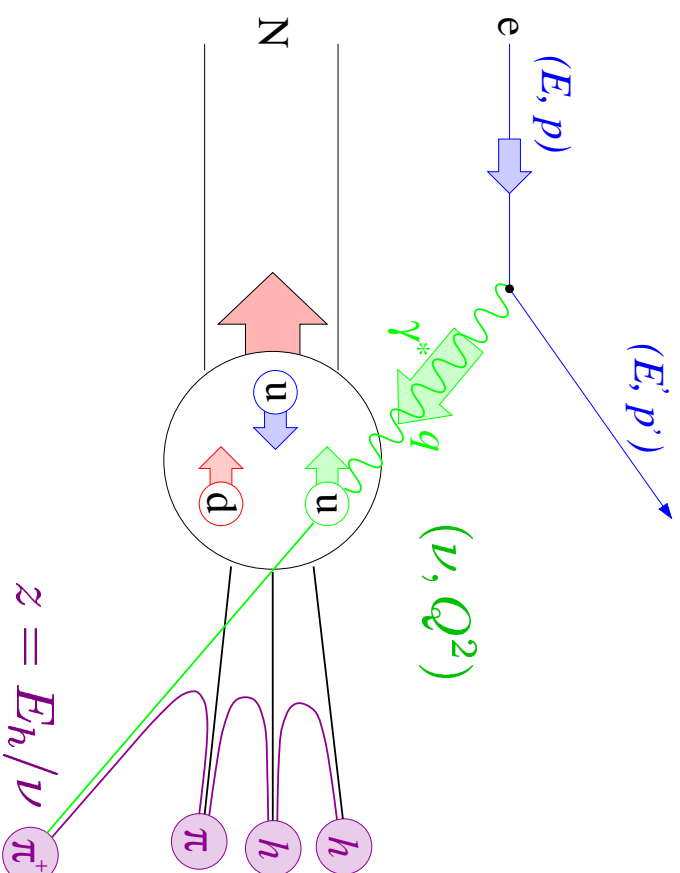
In semi-inclusive DIS a hadron h is detected in coincidence with the scattered lepton

Goal: Flavor Separation of quark and antiquark helicity distributions

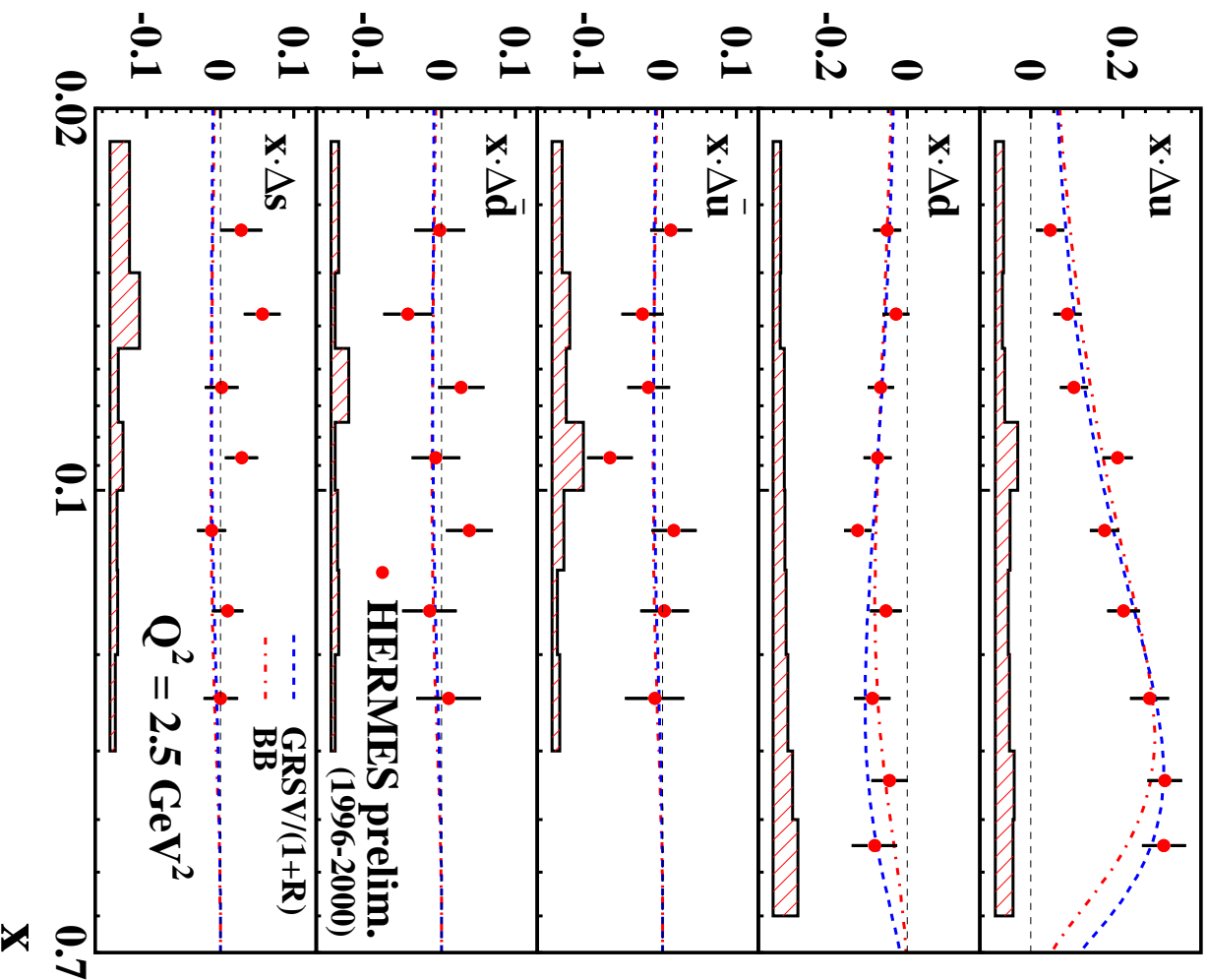
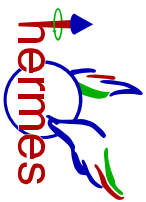
Technique: Flavour Tagging

The flavour content of the final state hadrons is related to the flavour of the struck quark through the agency of the **fragmentation functions** $D_q^h(z, Q^2)$. In LO QCD:

$$\frac{d\sigma_h^{\uparrow\downarrow}}{dz} - \frac{d\sigma_h^{\uparrow\uparrow}}{dz} = \sum_q e_q^2 \Delta q(x, Q^2) \cdot D_q^h(z, Q^2)$$



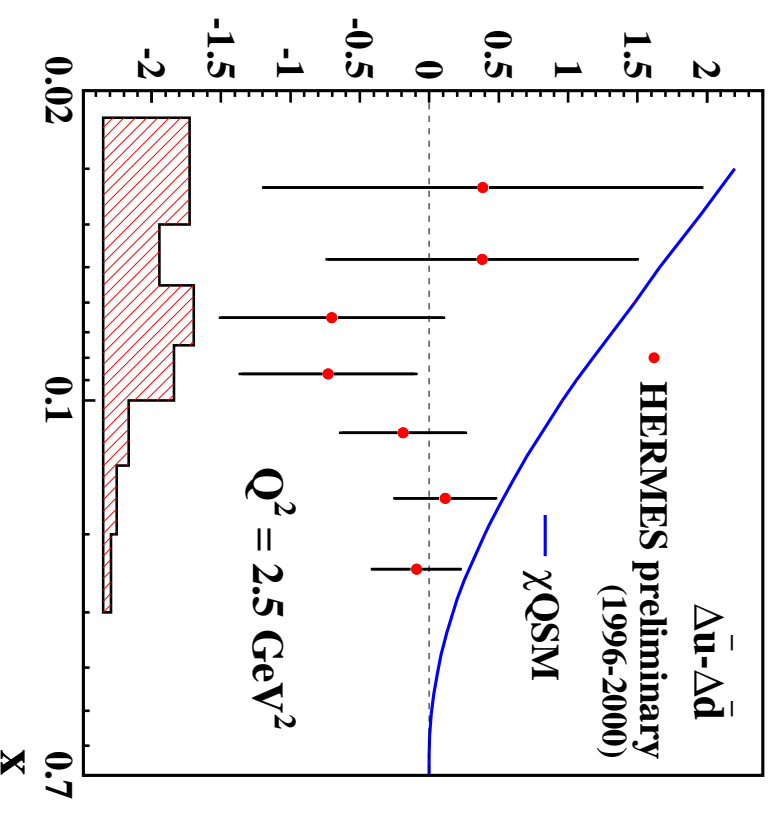
Latest Δq Results from HERMES



First 5-flavor fit to $\Delta q(x)$

($\Delta_s(x) = \Delta_{\bar{s}}(x)$ assumed)

- positive Δ_s favored
- $\Delta\bar{u} - \Delta\bar{d}$ consistent with 0



New Spin-Structure Function: Transversity $\delta q(x)$

Fundamental

vector charge $\langle PS | \bar{\psi} \gamma^\mu \psi | PS \rangle = \int_0^1 dx q(x) - \bar{q}(x) \rightarrow \#$ valence quarks

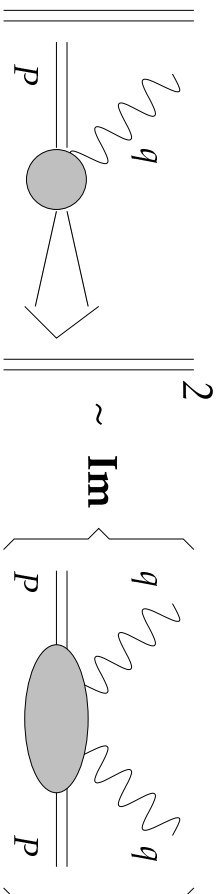
Matrix

axial charge $\langle PS | \bar{\psi} \gamma^\mu \gamma_5 \psi | PS \rangle = \int_0^1 dx \Delta q(x) + \Delta \bar{q}(x) \rightarrow$ quark polarization

Elements

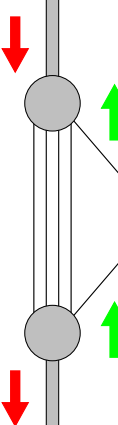
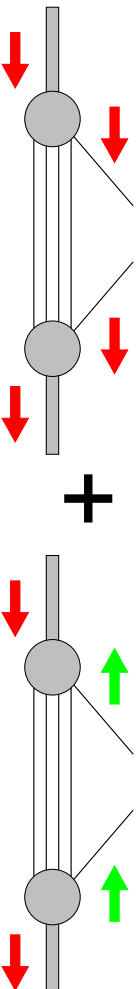
tensor charge $\langle PS | \bar{\psi} \sigma^{\mu\nu} \psi | PS \rangle = \int_0^1 dx \delta q(x) - \delta \bar{q}(x) \rightarrow ???$

Forward Helicity Amplitudes

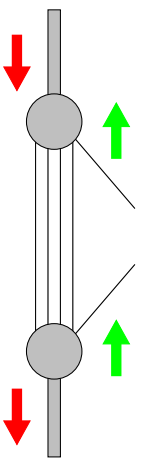
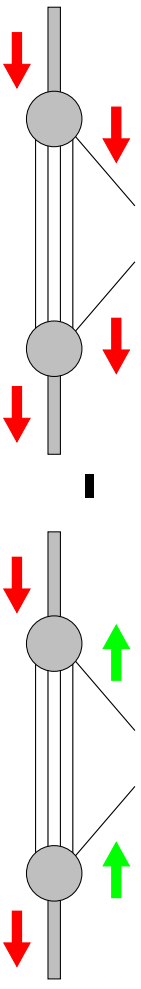


(optical theorem applied to DIS)

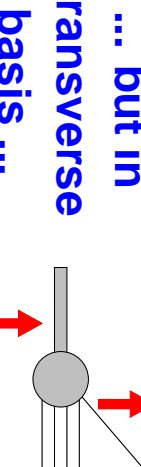
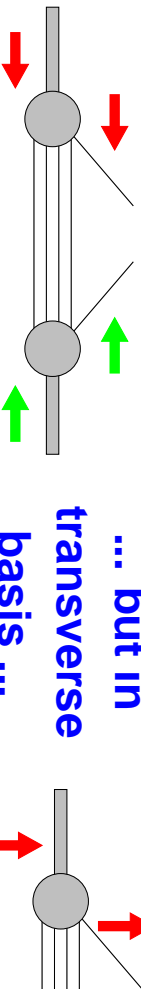
$q(x) \sim$



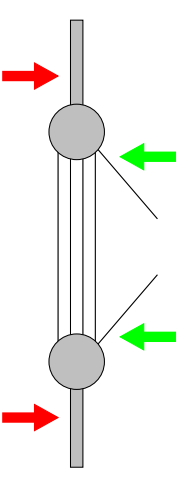
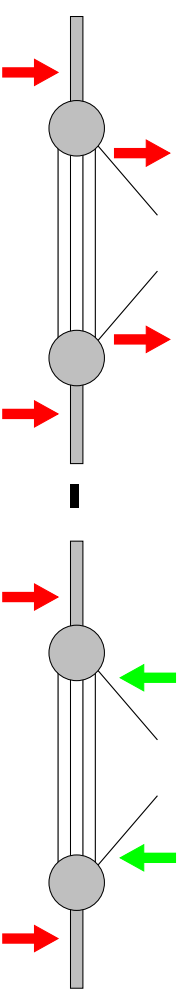
$\Delta q(x) \sim$



$\delta q(x) \sim$



... but in transverse basis ...

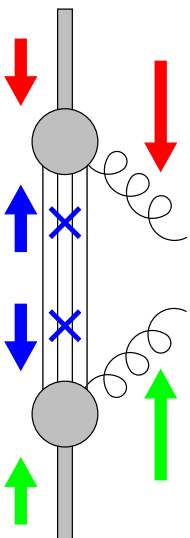


Properties of Transversity

- **In Non-Relativistic Case**, boosts and rotations commute:

$$\delta q(x) \approx \Delta q(x)$$

- **No Gluons**



- **Chiral Odd**

Angular momentum conservation: $\Lambda - \lambda = \Lambda' - \lambda'$

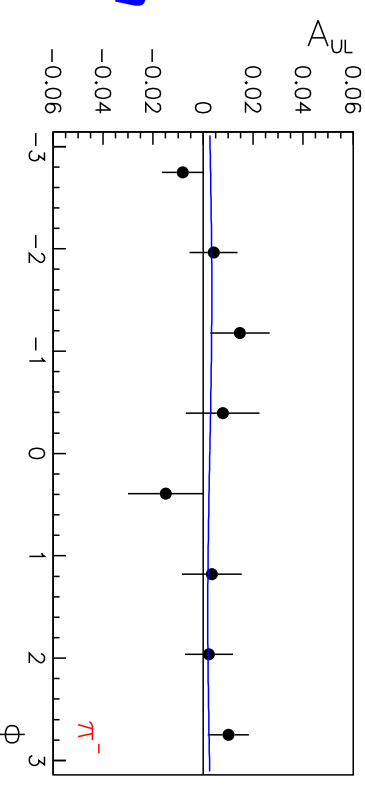
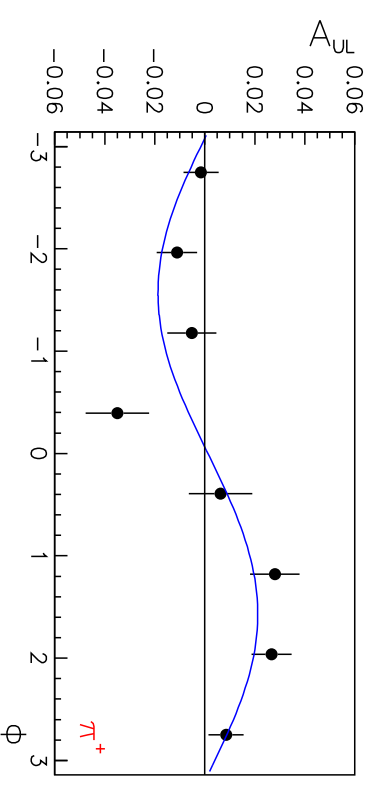
⇒ transversity has **no gluon** component

⇒ different Q^2 **evolution** than $\Delta q(x)$

⇒ only measurable in **semi-inclusive** DIS, via a chiral-odd fragmentation function.

First glimpse from spin-azimuthal asymmetry for

π production at HERMES 



Future: DIS with **transverse target polarization**

at HERMES Run 2, COMPASS, RHIC-spin

- **quark polarization $\Delta q(x)$:**

- first 5-flavor separation from HERMES
- $\Delta \bar{q}(x)$ consistent with zero, in contrast to χ QSM model predictions

- **transversity $\delta q(x)$:**

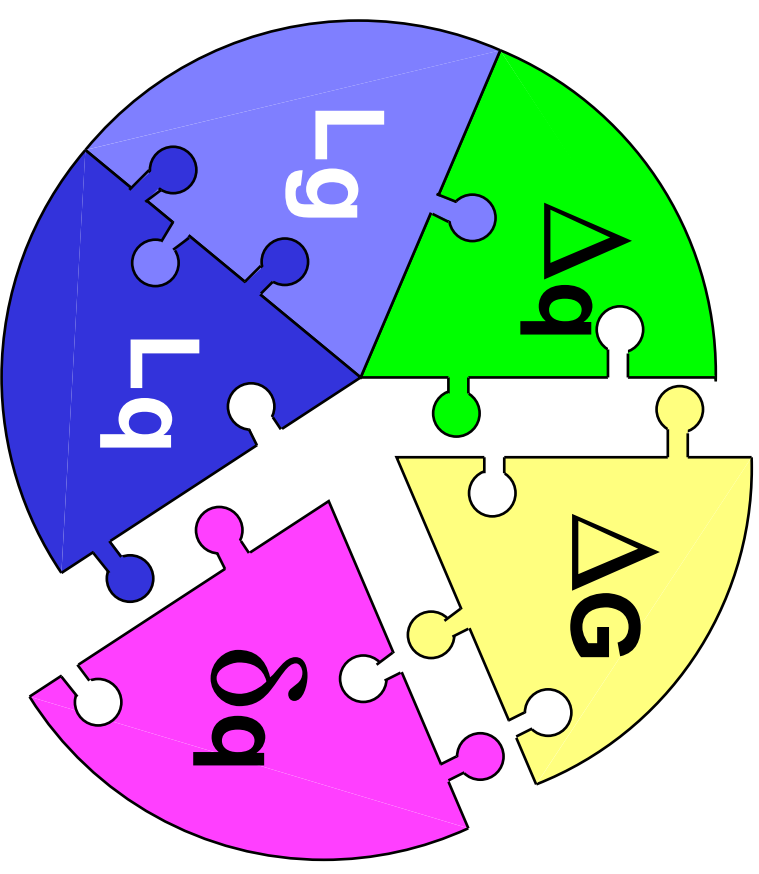
- a new window on quark spin
- azimuthal asymmetries from HERMES successfully modelled in terms of $\delta q(x)$

- **gluon polarization $\Delta G(x)$:**

- some indications that $\Delta G > 0$...
- RHIC-spin and COMPASS will provide some answers!

- **orbital angular momentum L :**

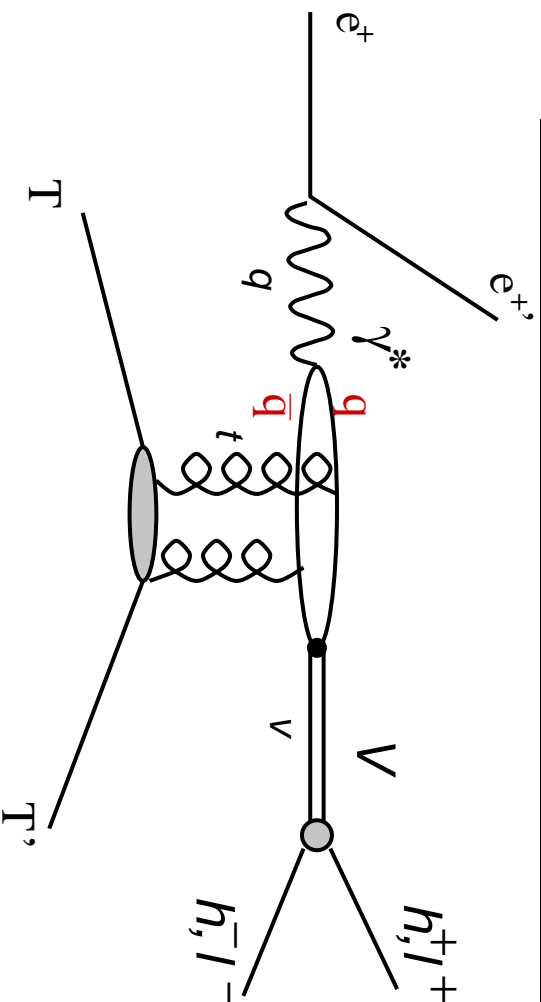
- how to measure? → GPD's ...



Diffractive Vector Meson Production

Even at highest energies $W = 10 - 300$ GeV, diffractive processes are alive and well

e.g. **Diffractive Vector Meson production**



3 scales

- $t =$ momentum transfer to target
 $\rightarrow \sigma \sim e^{-bt}$ @ low t
- $\rightarrow b$ reflects size of scattered p'cles
- $Q^2 =$ photon virtuality
- $m_{VM} =$ mass of vector meson

A new class of **factorization theorems** allows pQCD analysis of **exclusive processes at high scales**

pQCD picture: 2-gluon exchange

fast rise of xsec with W

$$\sigma_L \sim \frac{[x g(x)]^2}{Q^6}$$

and

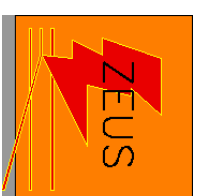
$$x \approx \frac{Q^2}{W^2}$$

$$g(x) \sim x^{-(1+\lambda)} \text{ with } \lambda \approx 0.2$$

$$\Rightarrow \sigma_L \sim W^{0.8}$$



Soft \rightarrow Hard Transitions

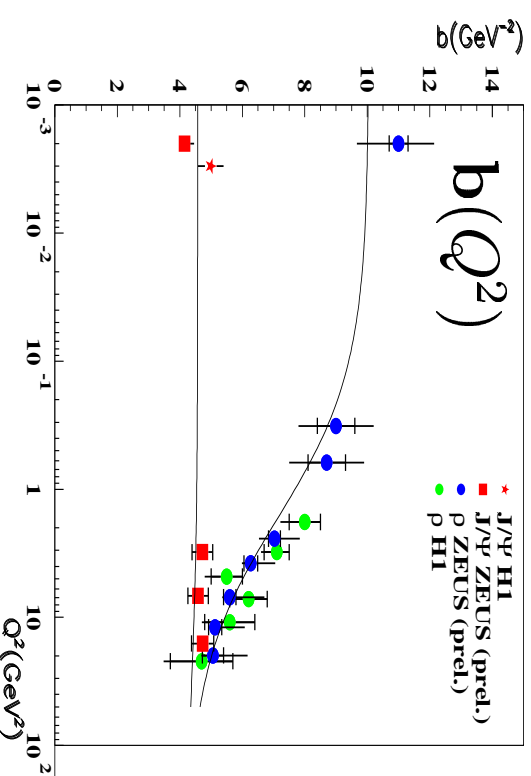
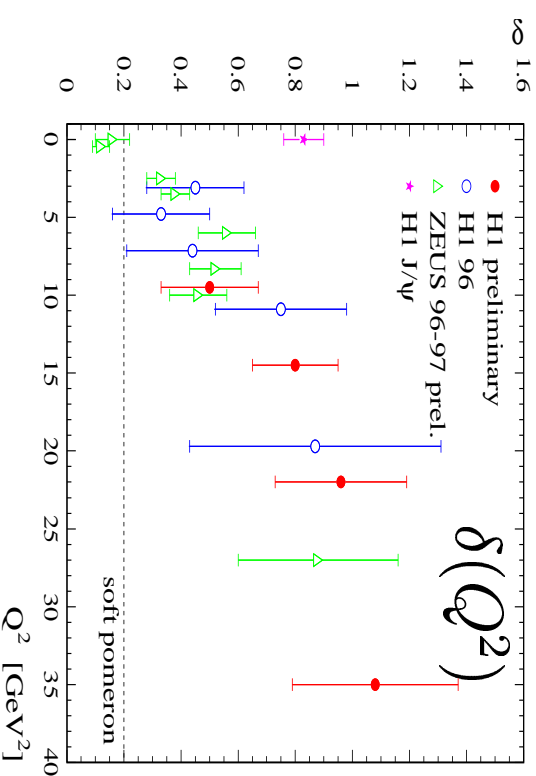
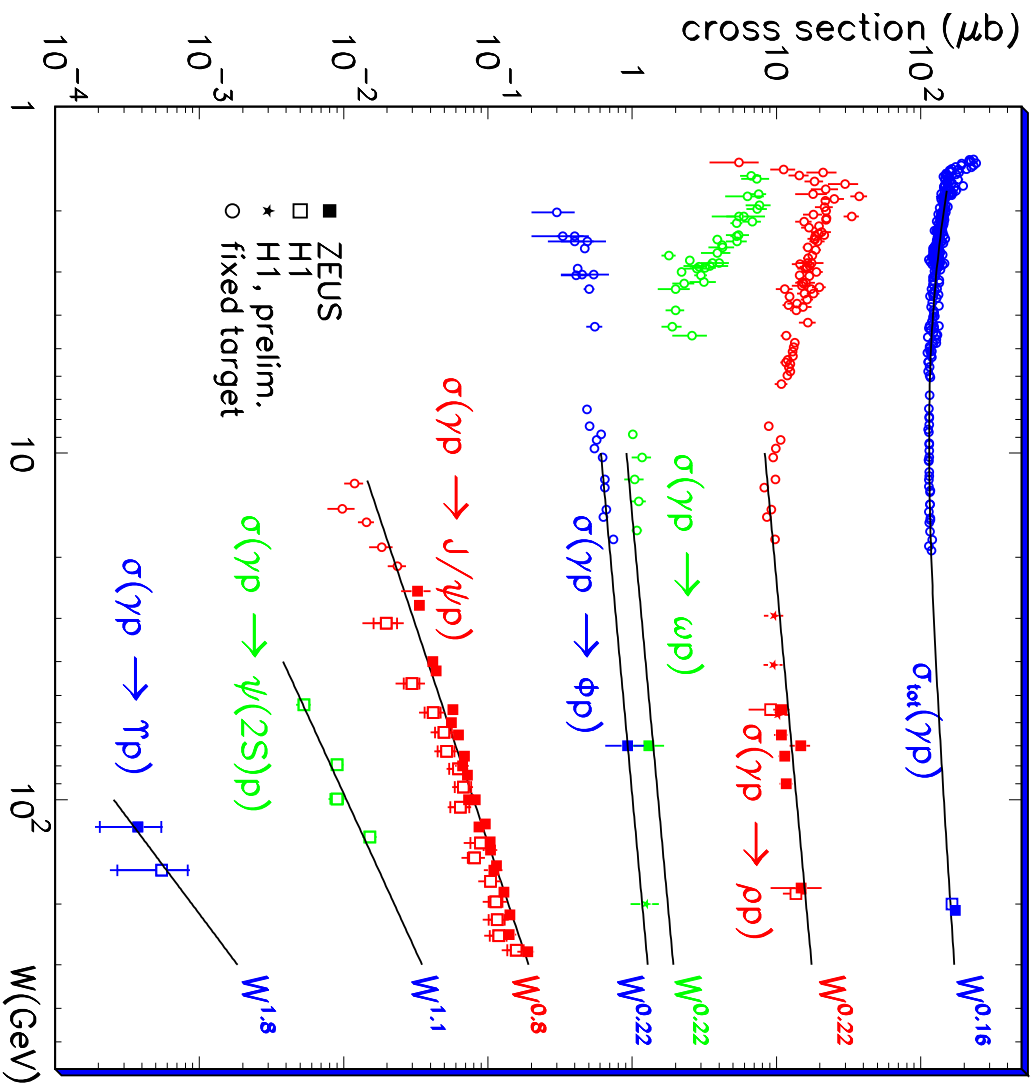


Photoproduction ($Q^2 = 0$)

Diffractive ρ production

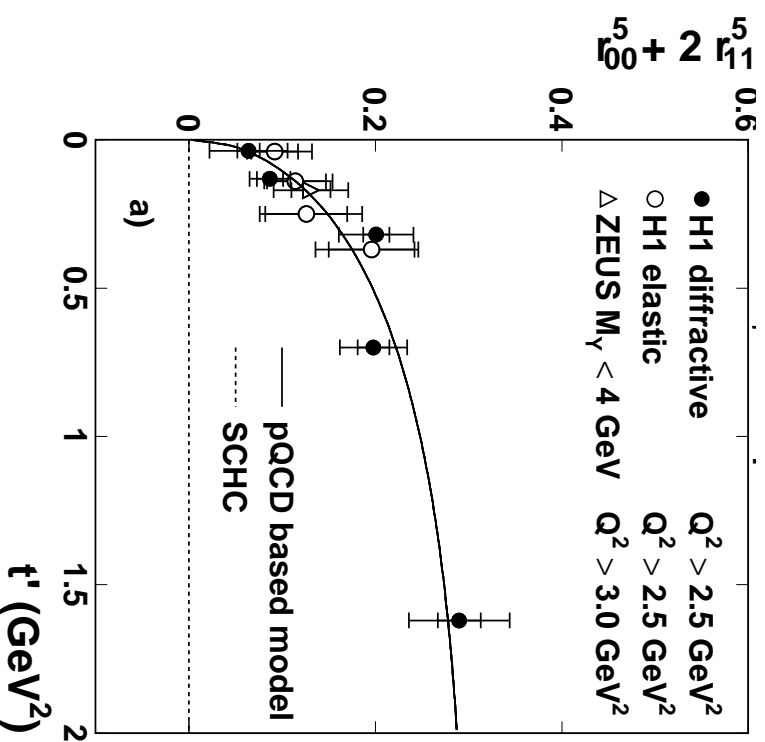
onset of hard behavior: charm mass (J/ψ)

onset of hard behavior: high Q^2



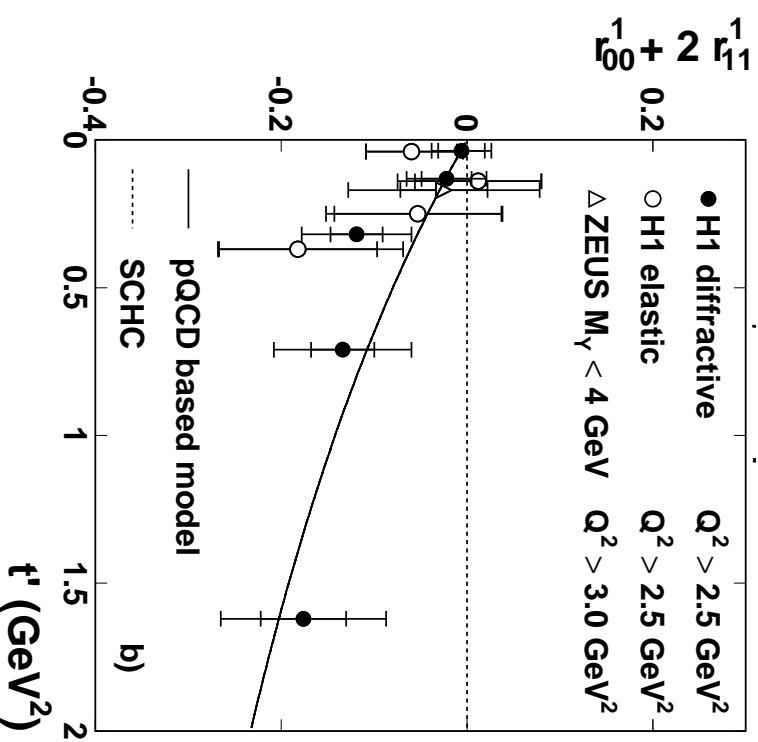
Angular distribution of $\rho \rightarrow \pi\pi$ decay gives info about **transition amplitudes** $T_{\lambda\rho\lambda\gamma}$
 at low t : **s-channel helicity conservation (SCHC)** \rightarrow only T_{00} and T_{11} non-zero

SDME combination # 1 $\sim T_{01}$



\rightarrow single-flip amplitude significant at high t

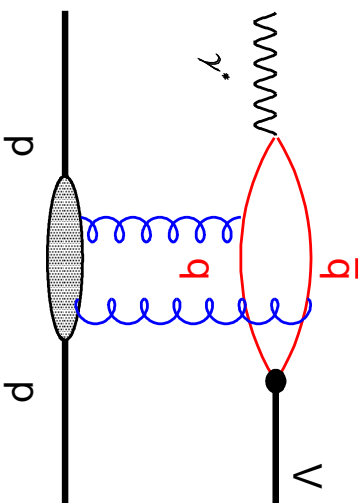
SDME combination # 2 $\sim T_{01}/T_{1-1}$



\rightarrow single-flip \gg double-flip amplitude

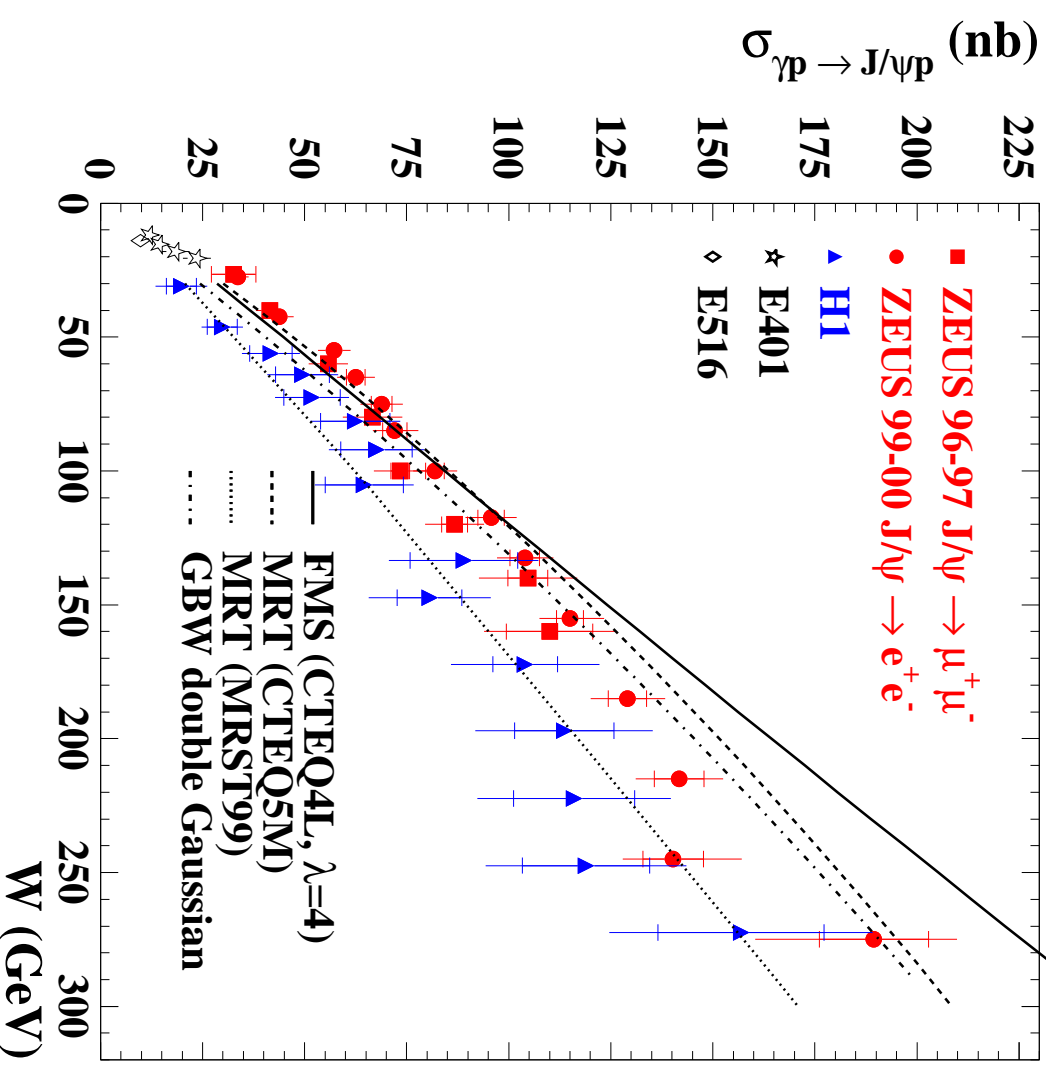
Measurements well described by pQCD model of 2-gluon exchange

Diffractive J/ψ production well described by pQCD 2-gluon exchange models



Should be possible to extract $g(x)$!

- $W = 250 \text{ GeV} \rightarrow x = 10^{-4}$
- data precise enough to distinguish between different PDF sets ➡
- ... but theoretical uncertainties make extraction impossible at present: higher-twist correc's and **skewing** ...



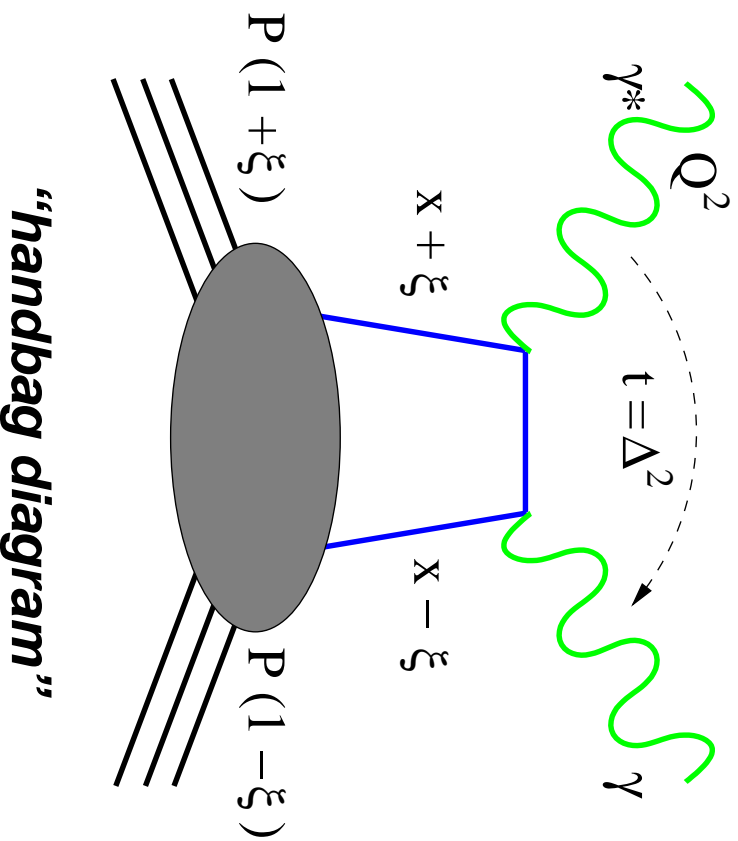
Generalized Parton Distributions

Analysis of hard exclusive processes leads to a new class of parton distributions.

Four new distributions:

Cleanest example: Deeply Virtual Compton scattering

DVCS



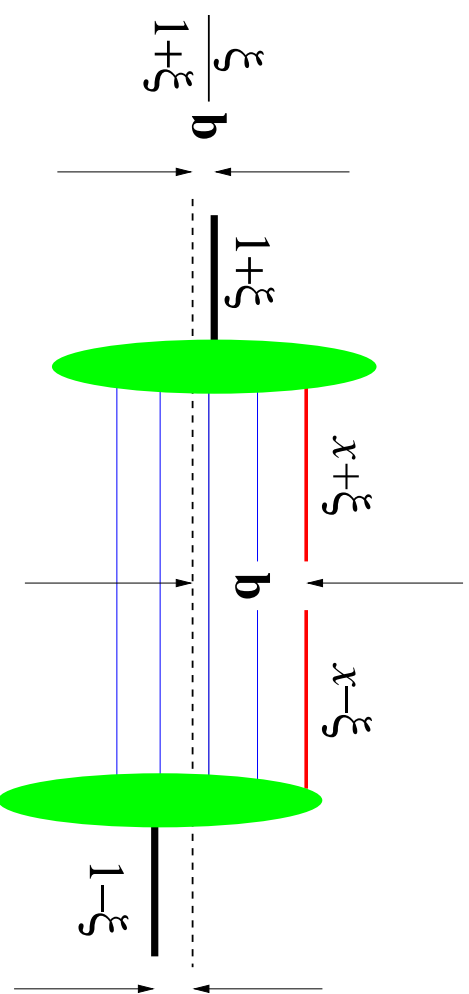
“handbag diagram”

helicity conserving $\rightarrow H(x, \xi, t), E(x, \xi, t)$
 helicity-flip $\rightarrow \tilde{H}(x, \xi, t), \tilde{E}(x, \xi, t)$

Bjorken x : average quark momentum fraction

“skewing parameter” ξ

\rightarrow mismatch between quark momenta
 \Rightarrow sensitive to partonic **correlations**

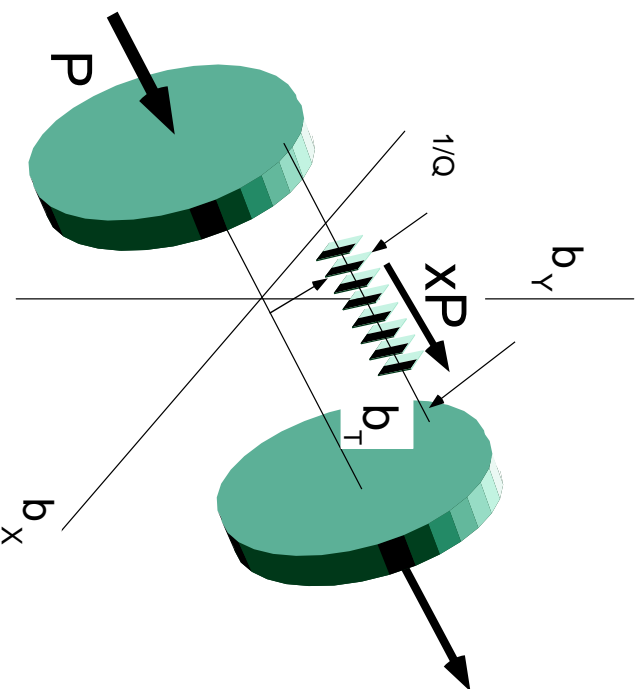


“Femto-photography” of the proton

Connection to Many Observables

Fourier transform of ***t*-dependence**

→ impact-parameter space



→ **spatial distribution** of partons !

***GPD*'s offer a complete description of the proton wavefunction**

● **DIS structure functions:** forward limit

$$q(x) = H^q(x, \xi = 0, t = 0)$$

$$\Delta q(x) = \tilde{H}^q(x, \xi = 0, t = 0)$$

● **Elastic form factors:** first moments

$$GM(t) = \int_{-1}^1 dx \sum_q [H^q(x, \xi, t) + E^q(x, \xi, t)]$$

$$GE(t) = \int_{-1}^1 dx \sum_q [H^q(x, \xi, t) + \frac{t}{4M^2} E^q(x, \xi, t)]$$

● **Angular momentum** $J^q = \frac{1}{2} \Delta \Sigma + L^q$!

$$J^q = \frac{1}{2} \int_{-1}^1 x dx [H^q(x, \xi, t = 0) + E^q(x, \xi, t = 0)]$$

Modelling the GPD's

- t -dependence from elastic form factors
- ξ - (skewedness) and x -dependence
 - interpolate between 2 regions:

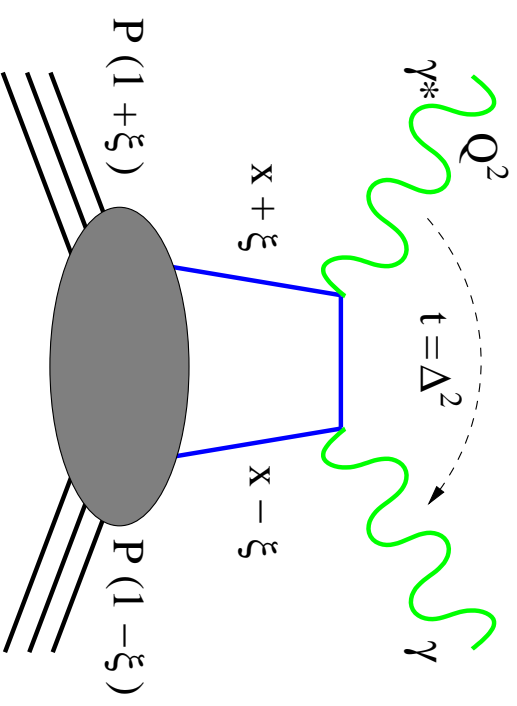
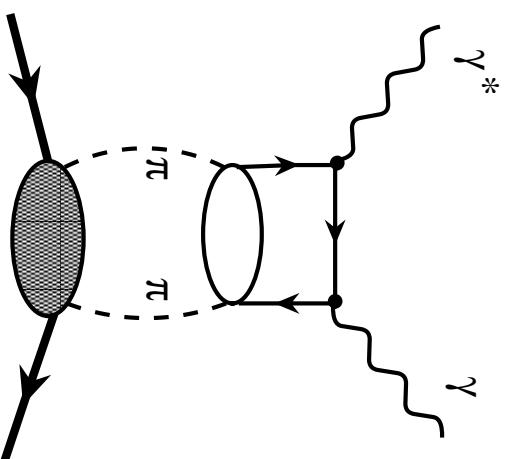
- $|x| > \xi$
 - x_1, x_2 both > 0 (quarks)
 - or both < 0 (antiquarks)

⇒ PDF's recovered in limit

$$\xi \rightarrow 0$$

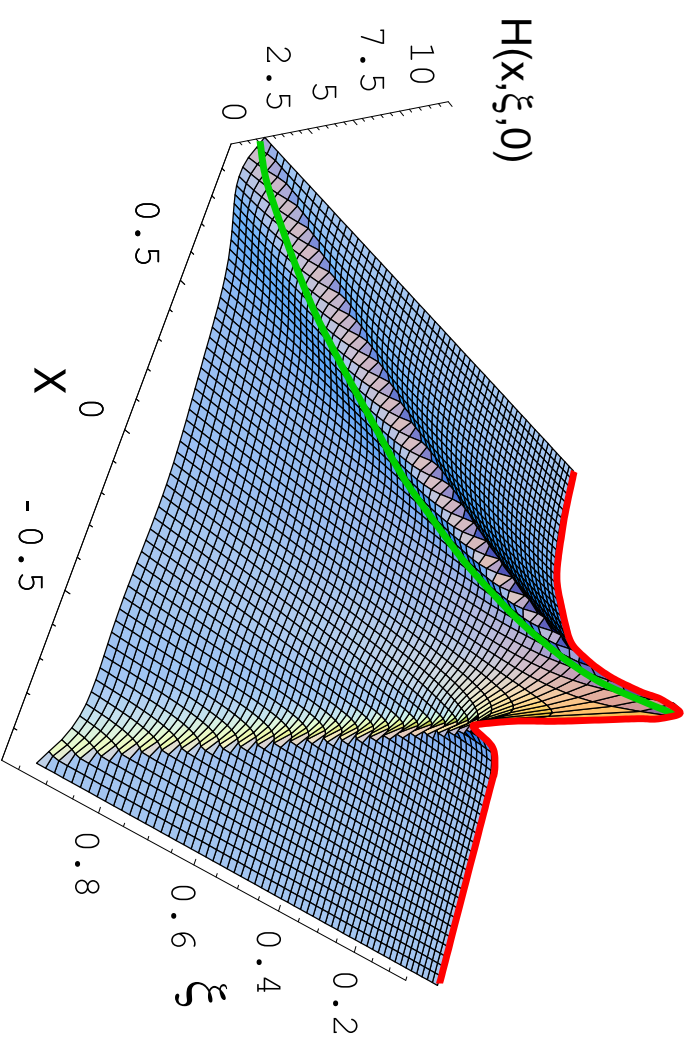
- $|x| < \xi$
 - see correlation between q and \bar{q}
 - ⇒ "meson-like" distributions as

$$\xi \rightarrow 1$$



Model of $H^d(x, \xi, t = 0)$ (forward limit)

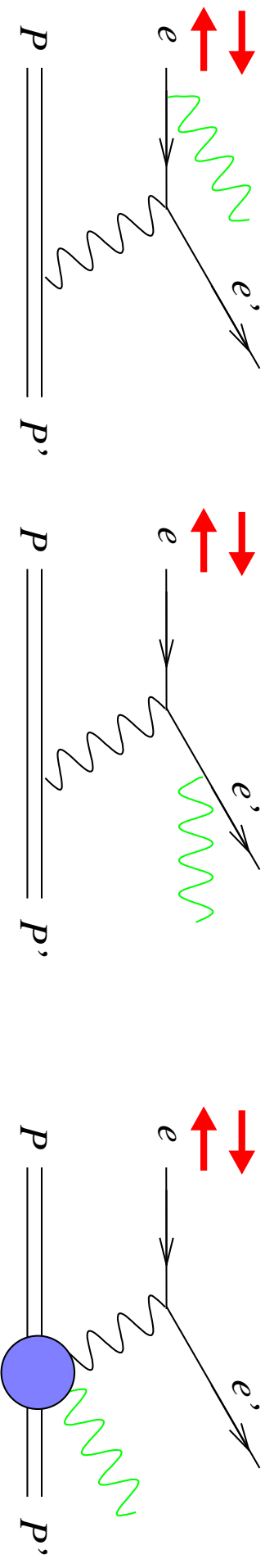
Vanderhaeghen, Guichon, Guidal, PRD 60 (99) 094017



DVCS: Beam-Spin Azimuthal Asymmetry

At intermediate energies, Bethe-Heitler cross-section \gg DVCS ...

→ explore interference, using polarized beams



Beam-Spin Asymmetry →

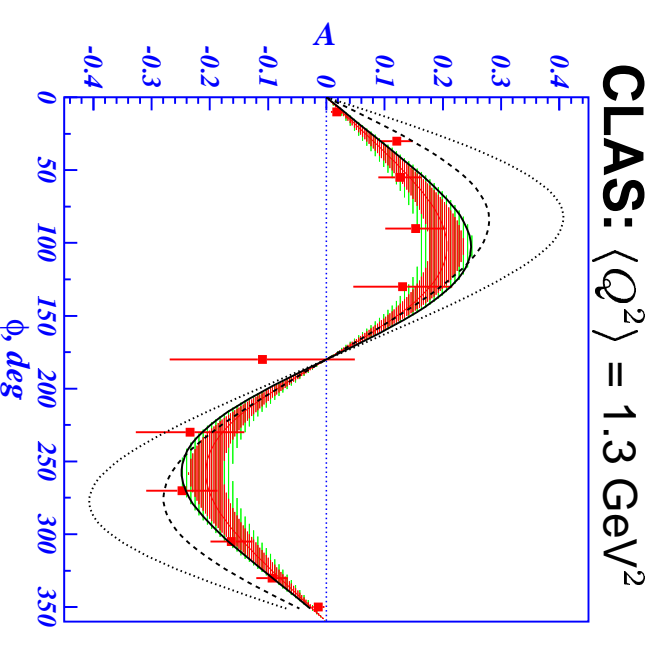
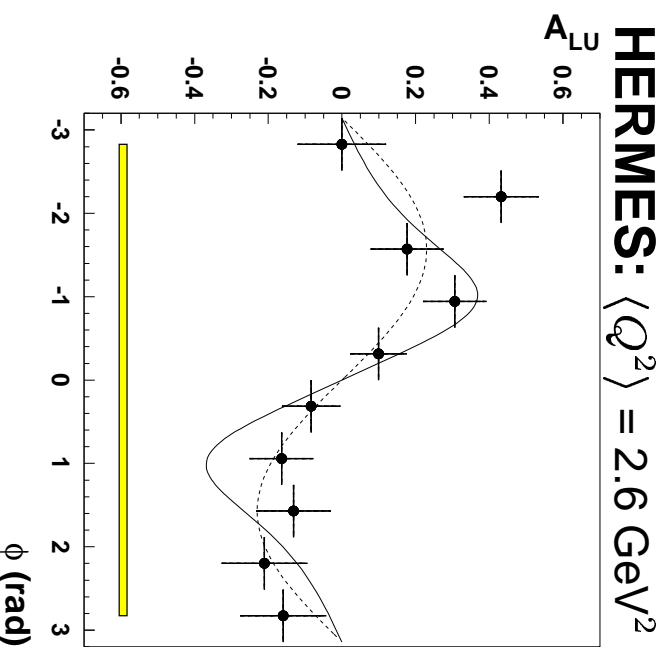
$$A_{LU}(\phi_\gamma) = \frac{\sigma_{\rightarrow\rightarrow} - \sigma_{\leftarrow\leftarrow}}{\sigma_{\rightarrow\rightarrow} + \sigma_{\leftarrow\leftarrow}}$$

$$\sim \text{Im}(\text{BH} \cdot \text{DVCS}^*) \sin \phi_\gamma$$

Beam-Charge Asymmetry

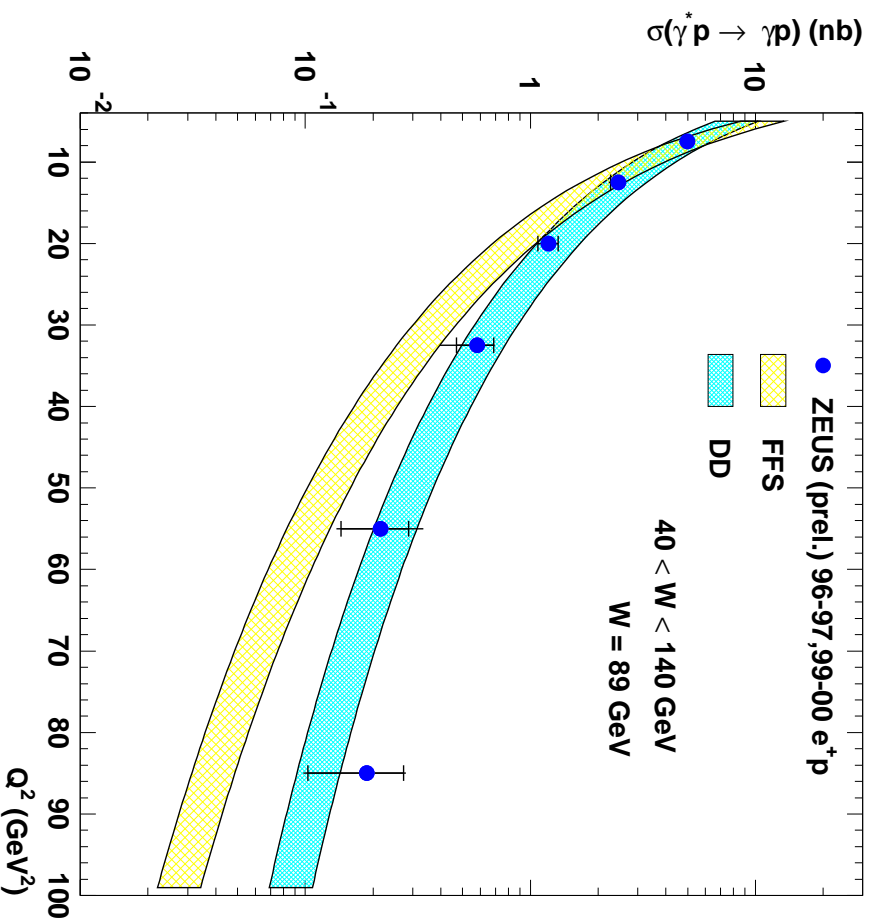
$$\sim \text{Re}(\text{BH} \cdot \text{DVCS}^*) \cos \phi_\gamma$$

also measured, at HERMES

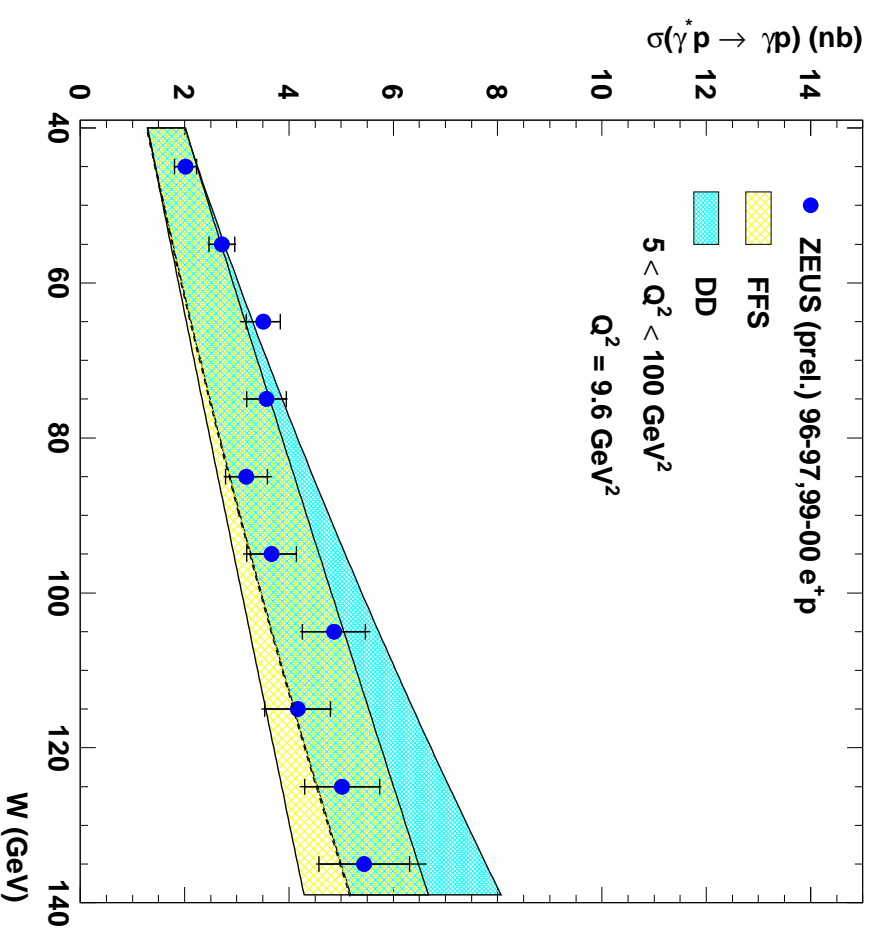


At high energies, DVCS $>$ BH \rightarrow measure cross-section ...
high-energy DVCS explores gluon GPD's ($x \sim 1/W^2$)

ZEUS



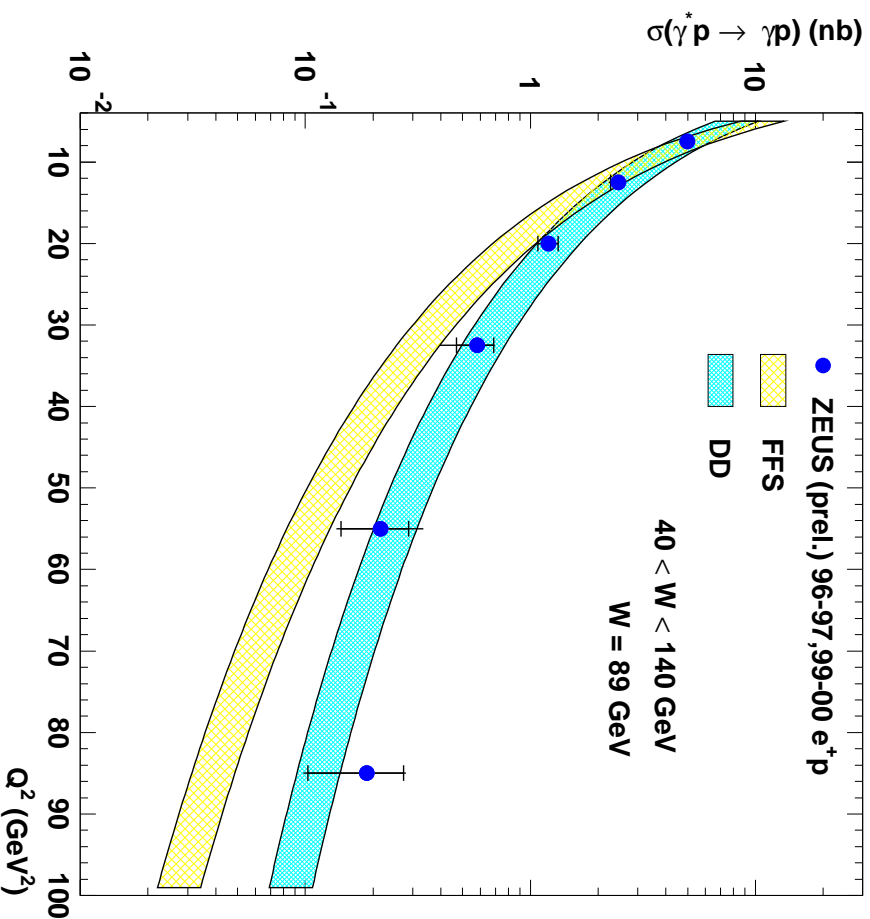
ZEUS



DVCS xsec agrees well with semi-classical dipole model (Donnachie & Dosch)

At high energies, DVCS $>$ BH \rightarrow measure cross-section ...
 \rightarrow **high-energy DVCS explores gluon GPD's** ($x \sim 1/W^2$)

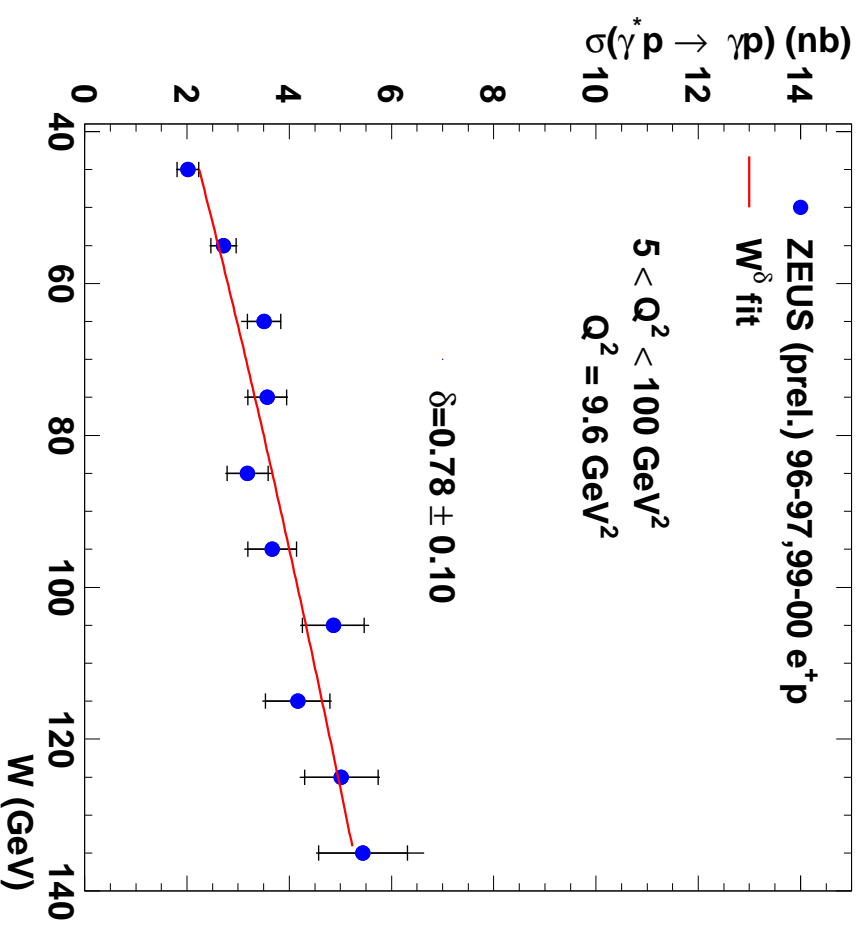
ZEUS



W -dependence matches

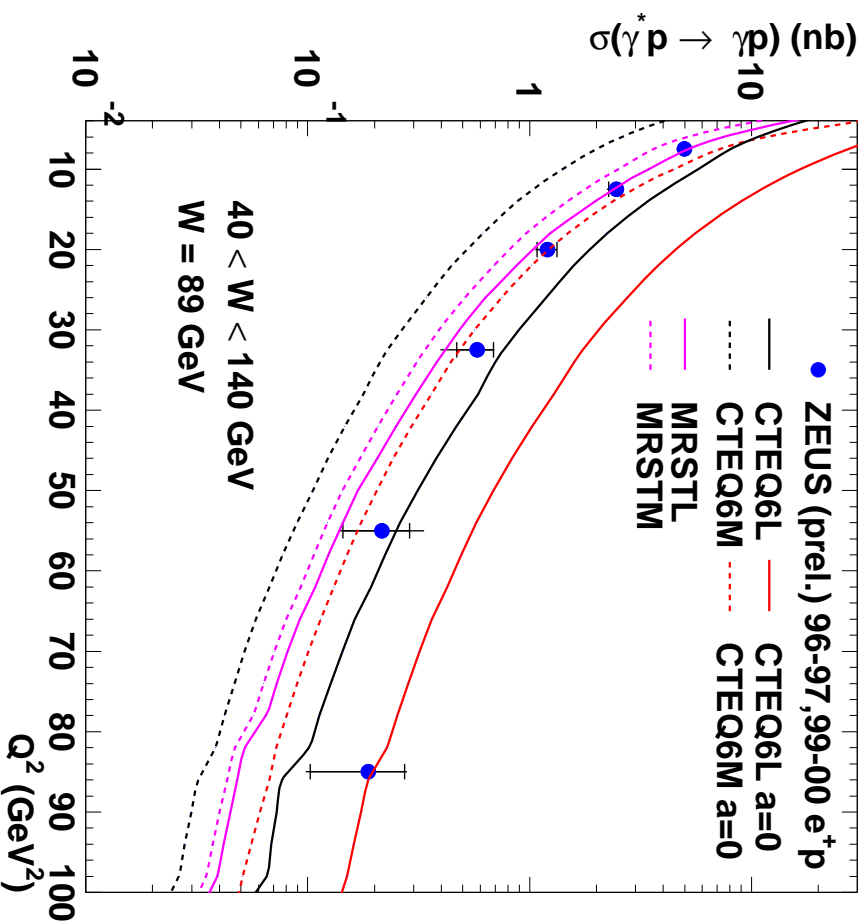
$$W^{0.8}$$

ZEUS

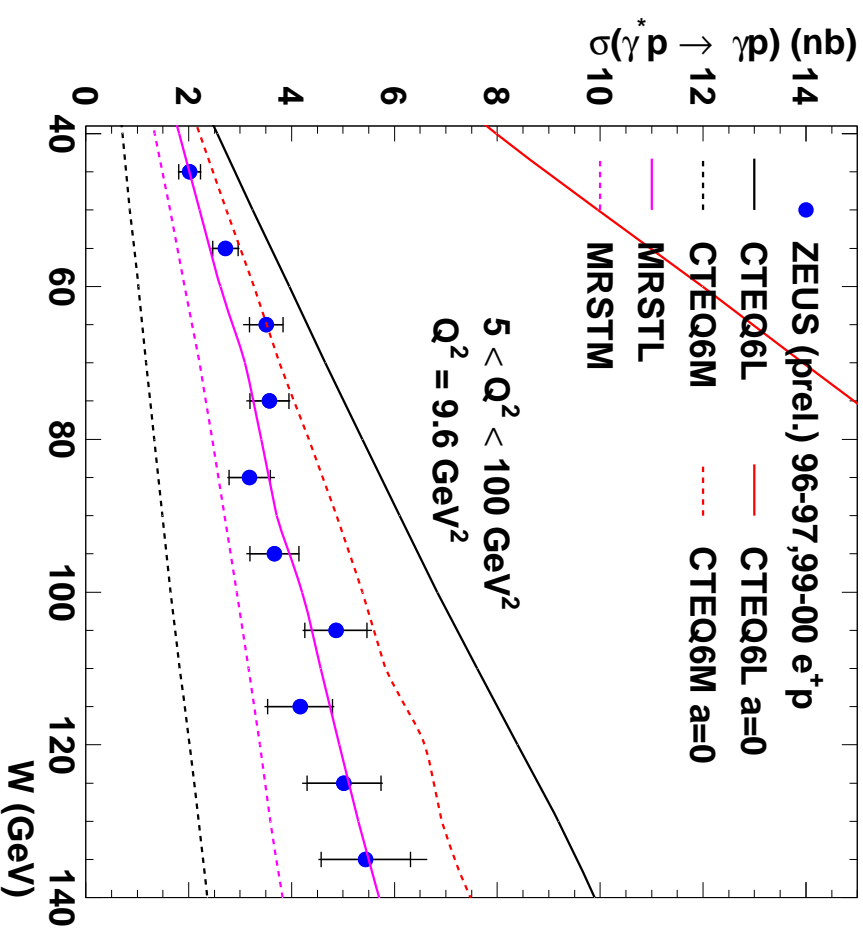


behaviour of hard meson production

ZEUS



ZEUS



➡ Precise new data have potential to constrain GPD's

- Calculations by Freund & McDermott, based on LO (solid) and NLO (dashed) PDF's
- explore correlation parameter a : $\sim x$ -range over which quarks are correlated

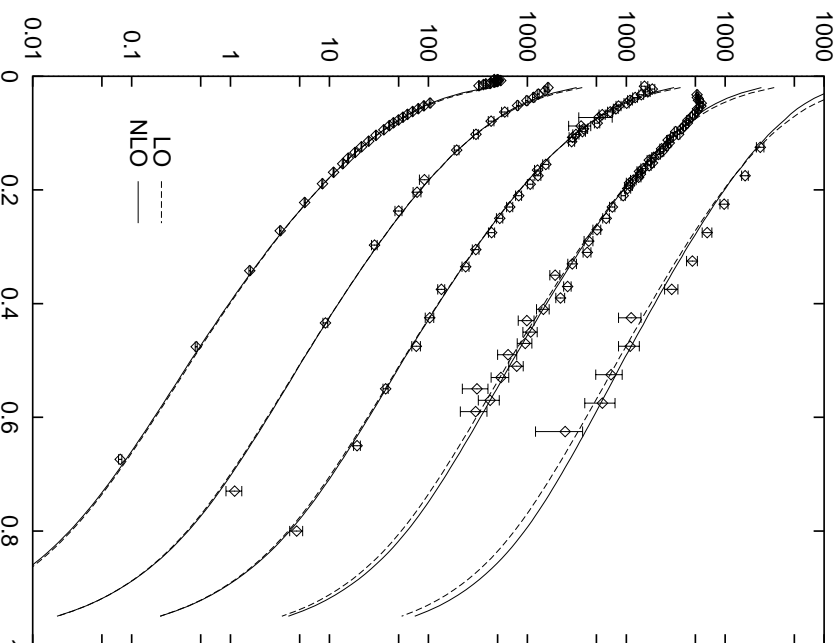
Hadronization: The Long-Range Dynamics of Confinement

What do we know?

The Lund String Model

Phenomenological description in terms of colour-string breaking and parton clustering.

Evolution of the fragmentation functions



A Tool for hadron structure studies

(e.g. flavour-tagging)

$$D_1 = D_u^{\pi^+} = D_d^{\pi^-} = \dots$$

$$D_2 = D_d^{\pi^+} = D_u^{\pi^-} = \dots$$

What are we not so sure about?

- **Spin transfer:**

Is the spin of the struck quark communicated to the hadronic final state?

- **Single-spin asymmetries:**

How important is intrinsic transverse momentum?

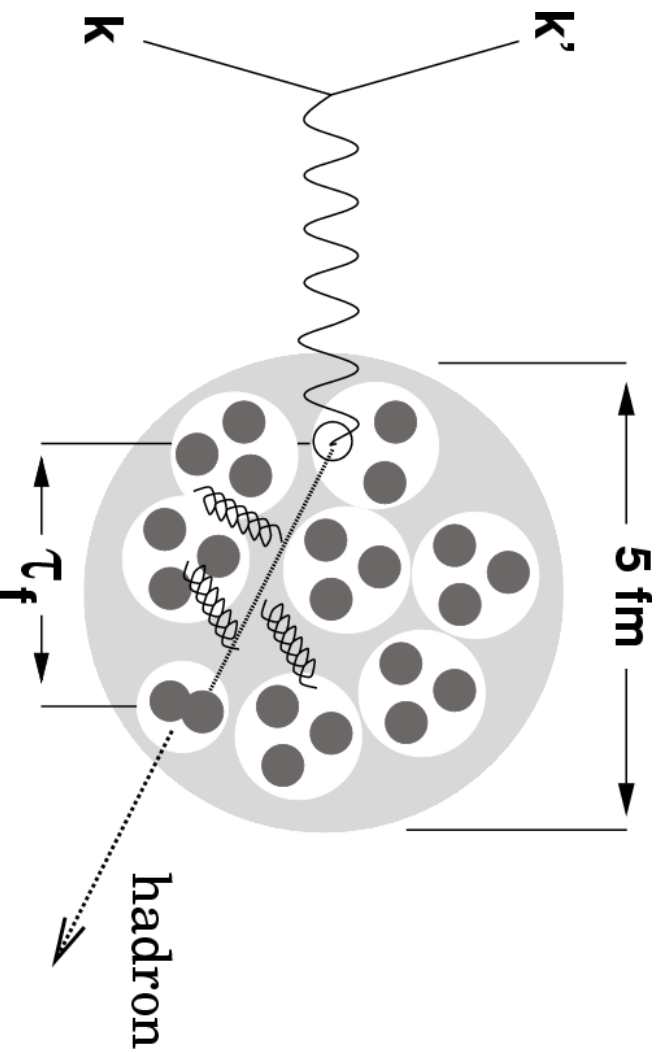
⇒ phase coherence?
⇒ access to new structure functions

- **Space-time structure:**

How long does it take to form a hadron?

The Space-Time Structure of Fragmentation

By embedding the fragmentation process within a nucleus, one can use the **nuclear radius** as a yardstick against which to measure the **time scale of hadron formation**.



Single Time Scale Model

Postulate: hadron formation time is a constant (τ_h), apply Lorentz boost

$$\tau_f = \tau_h \frac{E_h}{m_h} = \tau_h \frac{z\nu}{m_h}$$

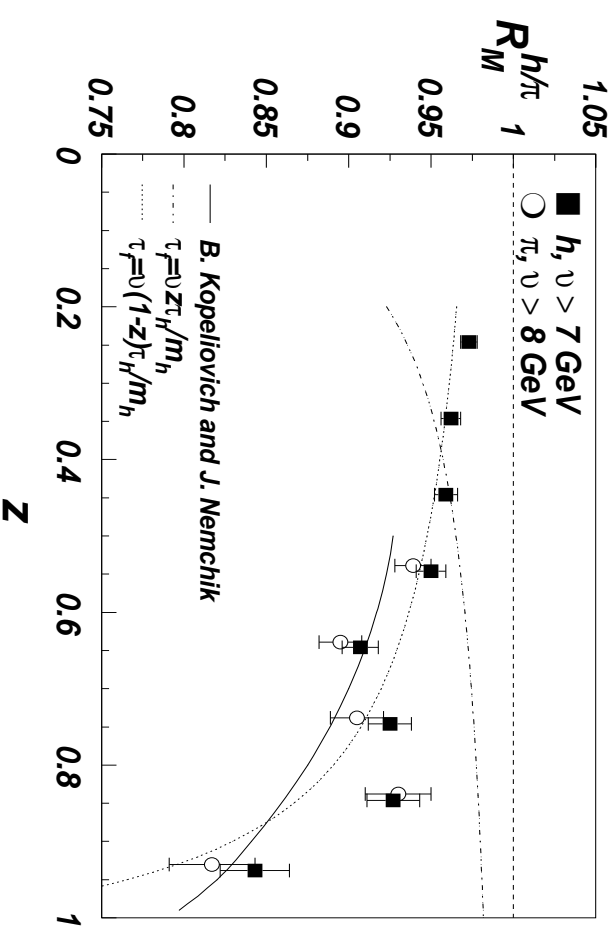
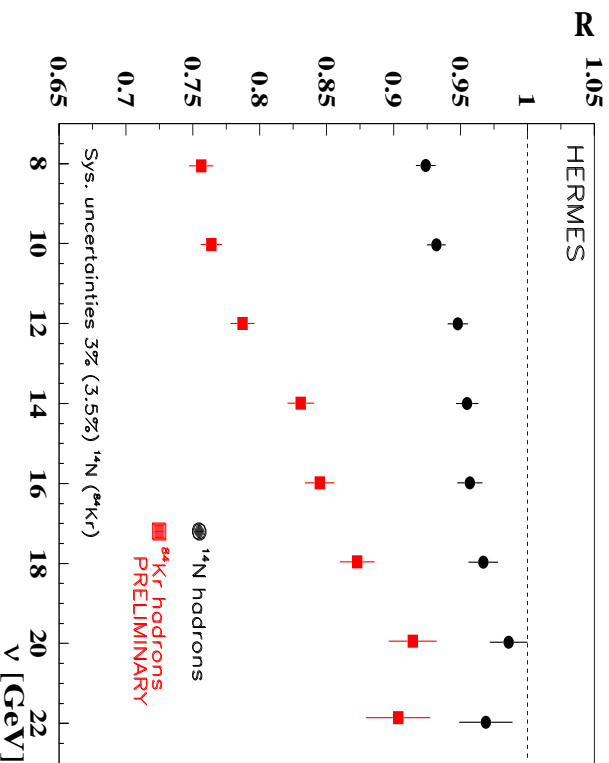
→ τ_f depends on ν , and z , m_h

Once hadron is formed, will be suppressed by final state interactions with nuclear medium
 ⇒ study hadron multiplicity ratio

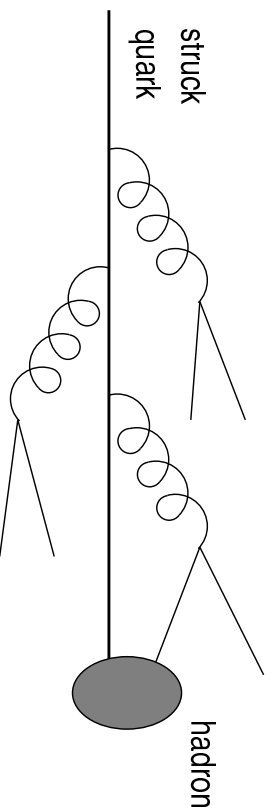
$$R_A^h(z, \nu) = \left(\frac{1}{N_e} \frac{d^2 N_h}{dz d\nu} \right)_A / \left(\frac{1}{N_e} \frac{d^2 N_h}{dz d\nu} \right)_D$$

Hadron Attenuation at HERMES

ν dependence shows the expected Lorentz behavior ... However z dependence does not



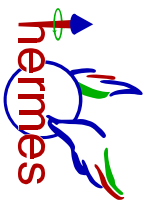
Gluon Bremsstrahlung Model



At high z :

- few gluons radiated
- short formation time $\tau_f = \nu(1-z)\tau_h/m_h$
- larger attenuation by nuclear rescattering

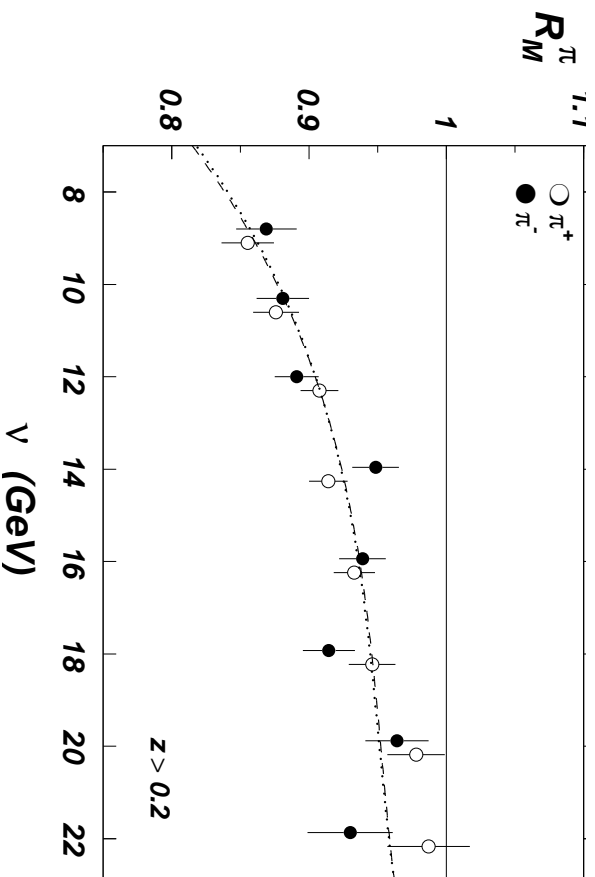
Hadron Formation Time



Good fits obtained with the gluon bremsstrahlung parametrization

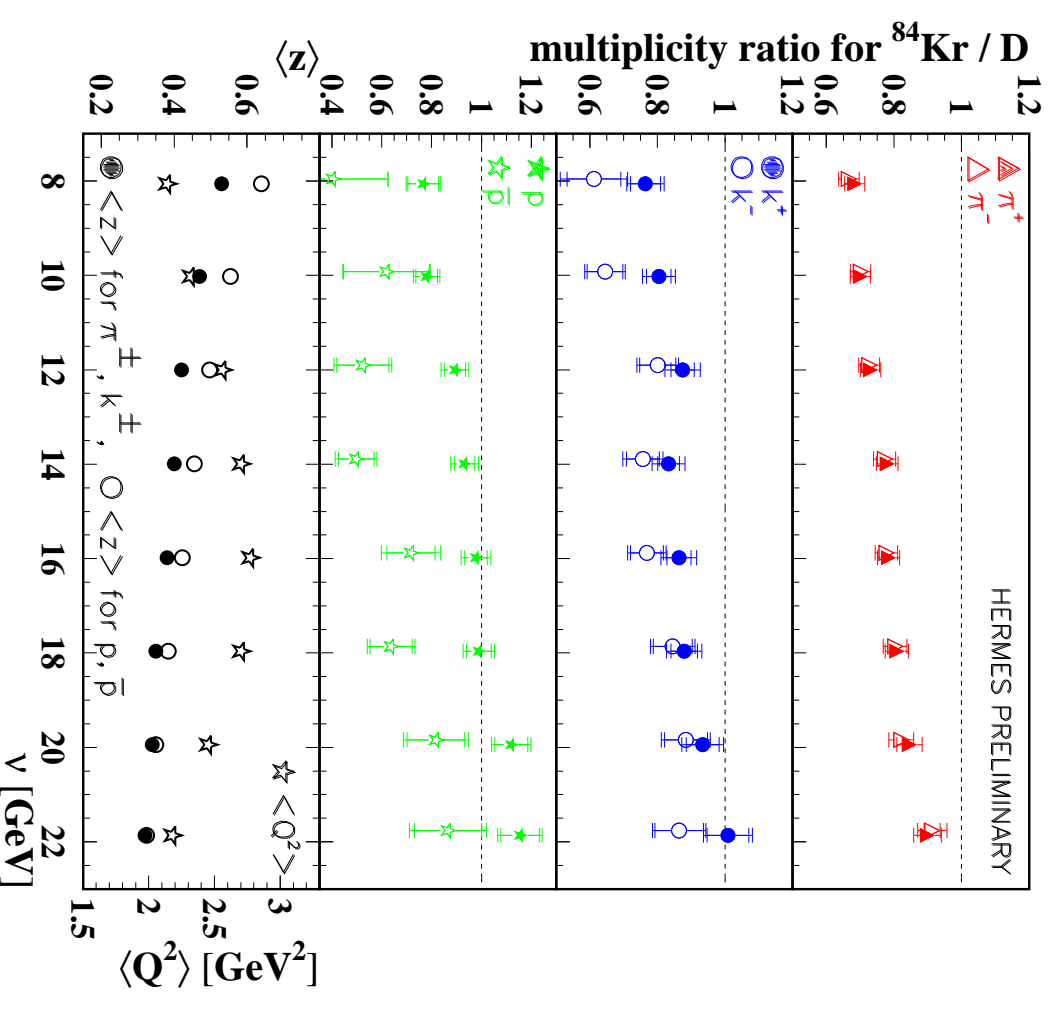
New RICH detector allows separate measurements for π , K , p

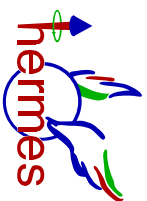
$$\tau_f = c_h \cdot \nu(1 - z)$$



For pions :

$$c_{\pi^\pm} = 1.4 \pm 0.2 \text{ fm/GeV} \cdot c$$





Parton Energy Loss

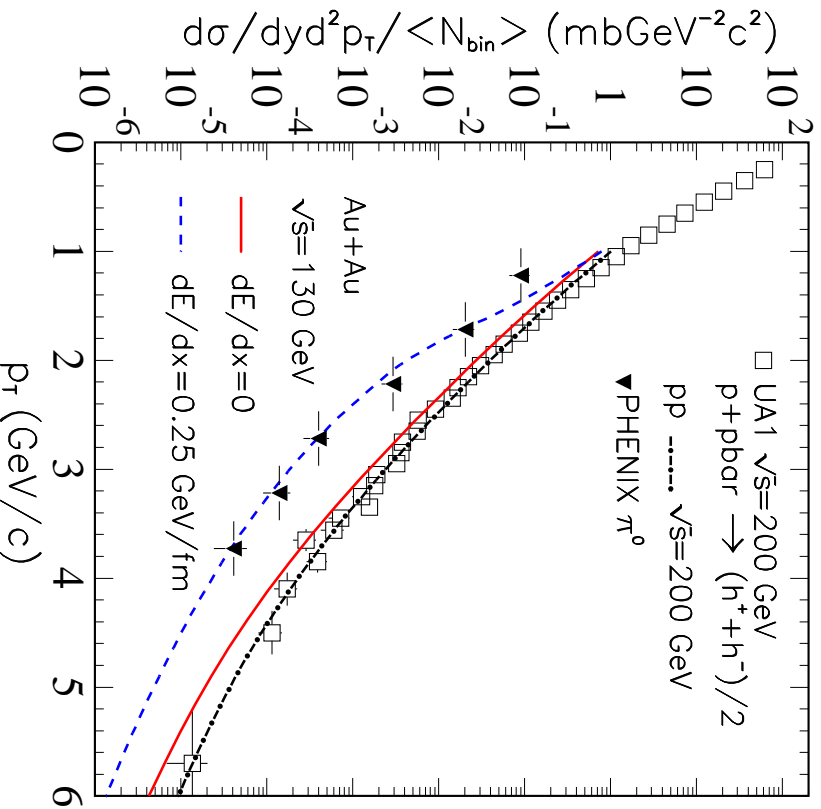
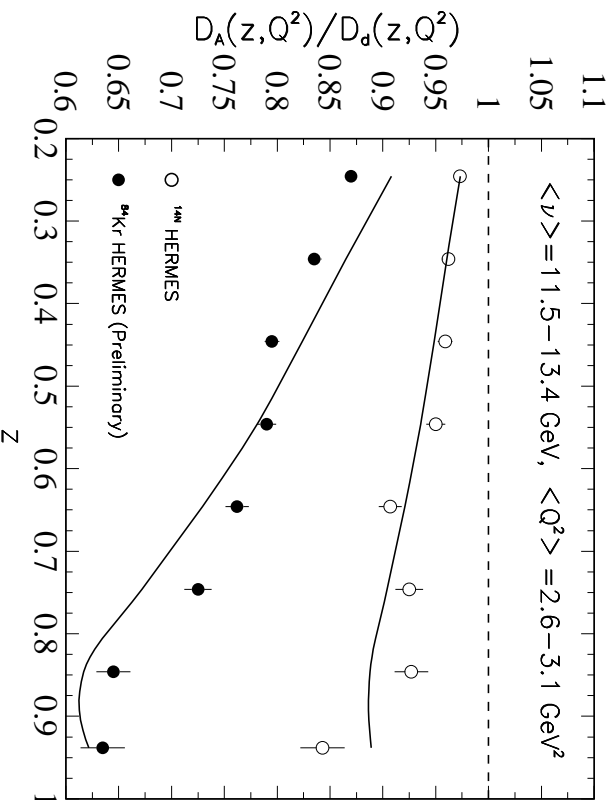


calculated from HERMES π^\pm data:

X.N. Wang, hep-ph/0111404

$$\overline{D}_f^h(z) \approx \frac{1}{1 - \Delta E/E} D\left(\frac{z}{1 - \Delta E/E}\right)$$

$$\Rightarrow dE/dx \approx 0.3 \text{ GeV/fm}$$



and from π^0 yield in Au + Au

collisions at PHENIX: $dE/dx \approx 0.25 \text{ GeV/fm}$

but after correction for expanding system:

$$\Rightarrow dE/dx \approx 12 \text{ GeV/fm}$$

Suggests that gluon density in Au + Au at RHIC is $40 \times$ that inside cold nuclear matter

Conclusions and Outlook

*Recent theoretical and experimental progress has given us the tools to explore **non-perturbative QCD** phenomena at a **new level of detail***

- Deep Inelastic Scattering

→ explore spin-dependence of distribution and fragmentation functions

- Hard Exclusive Processes

→ scattering subprocess at hard scales understood in terms of pQCD ...

→ explore GPD's = map of the proton wavefunction

Can we achieve the same level of understanding here as with F_2 ?

The Next Round of Experiments

- New Experiments: COMPASS and RHIC-spin commissioned in 2001

⇒ precise data on quark and gluon polarization soon forthcoming!

- HERMES Run 2 with transverse target : focus on transversity

- H1 and ZEUS with spin rotators :

polarized beam → DVCS interference effects at the highest scales