

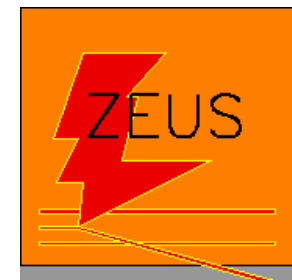
QCD at HERA

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- Measurement of F_2
- BFKL dynamics
- Event Shapes



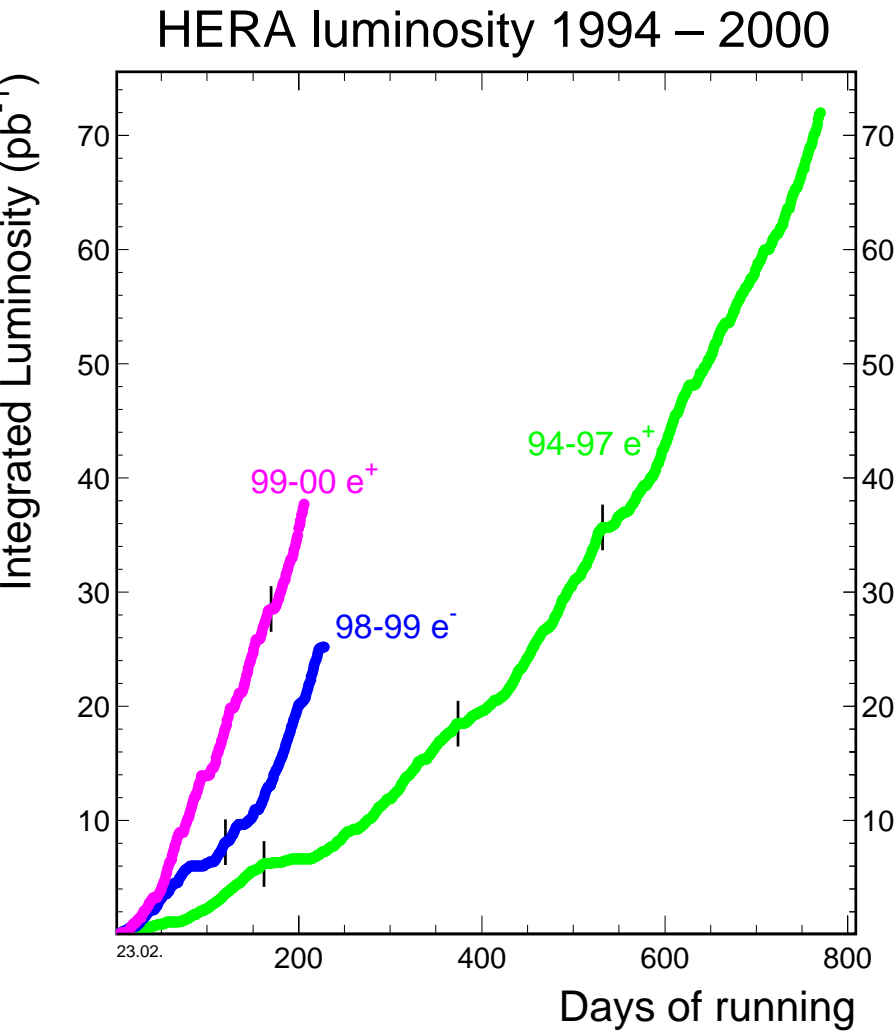
HERA Accelerator



e^+/e^- beam - 27.5 GeV

Proton beam - 820/920 GeV

Luminosity available for physics (ZEUS):



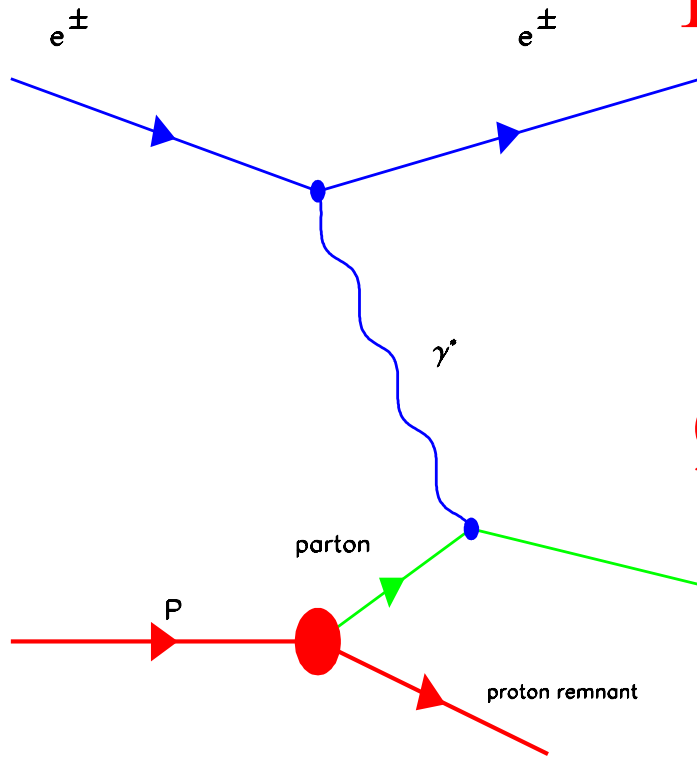
1994-97 $\sim 48 \text{ pb}^{-1}$ e^+p
 $\sqrt{s} = 300 \text{ GeV}$

1998-99 $\sim 17 \text{ pb}^{-1}$ e^-p
 $\sqrt{s} = 320 \text{ GeV}$

1999-2000 $\sim 25 \text{ pb}^{-1}$ e^+p
 $\sqrt{s} = 320 \text{ GeV}$

Total $\sim 90 \text{ pb}^{-1}$
+ running until
September 2000

Naïve Quark Parton Model (QPM)



Lorentz scalars: y, Q^2, x

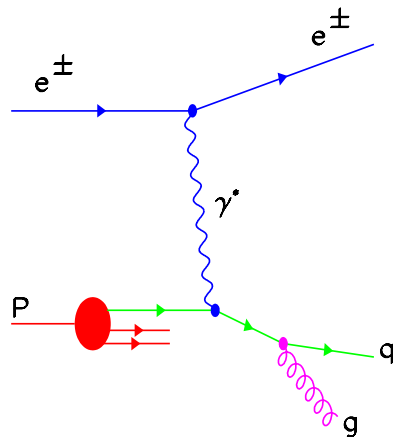
$$y = 1 - \frac{E'_e}{2E_e} (1 - \cos \vartheta)$$

$$Q^2 = 2E'_e E_e (1 + \cos \vartheta)$$

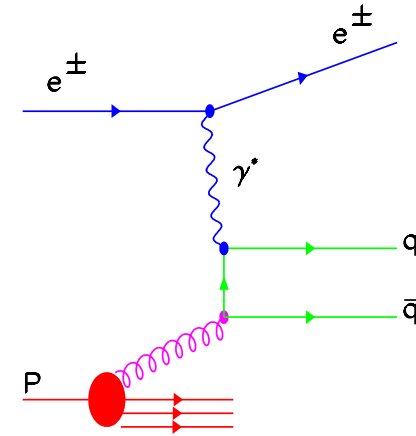
$$x = \frac{Q^2}{ys}$$

where \sqrt{s} is the eP centre of mass energy

QCD Improved QPM



(a)



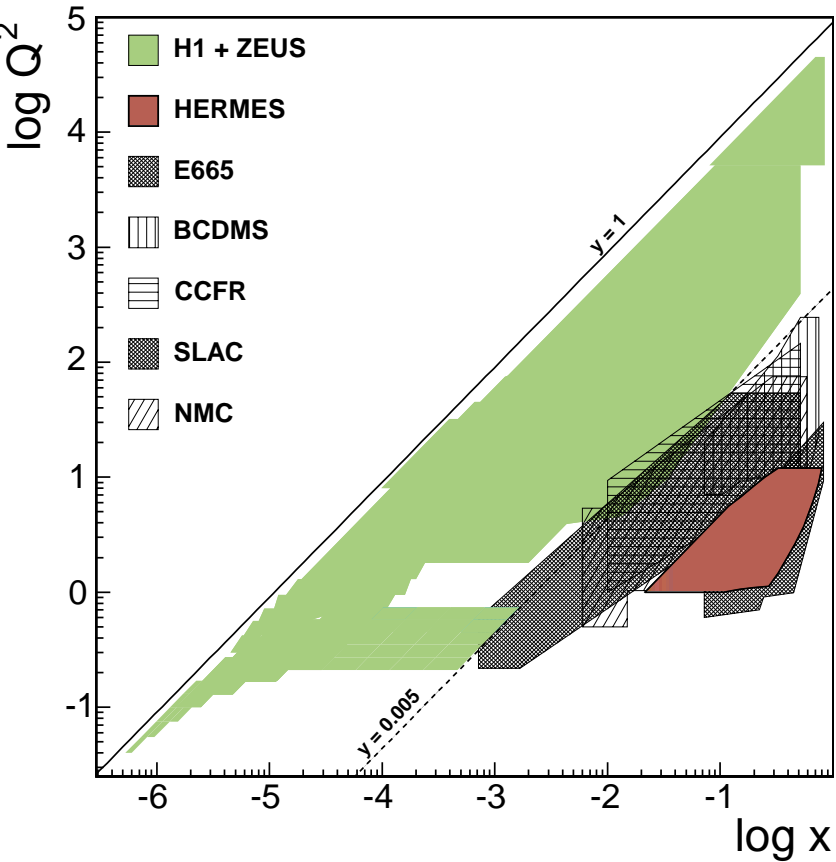
(b)

Leading order $\mathcal{O}(\alpha_s)$ modifies QPM picture:

There are 2 contributions:

- QCD Compton - the quark radiates a gluon before or after being struck by the virtual photon
- Boson Gluon Fusion - the virtual photon & a gluon inside the proton produce a quark-antiquark pair

HERA kinematic range



HERA extends the kinematic reach of previous DIS expt:

- Q^2 in range 10^{-1} to 10^5 GeV^2
- x down to 10^{-6}

extension by two orders of magnitude in both x and Q^2

DIS NC X-section

$$\frac{d^2 \sigma^{e^\pm p}}{dx dQ^2} = \frac{2\pi\alpha^2}{xQ^4} (Y_+ F_2(x, Q^2) - y^2 F_L(x, Q^2) \mp Y_- x F_3(x, Q^2))$$

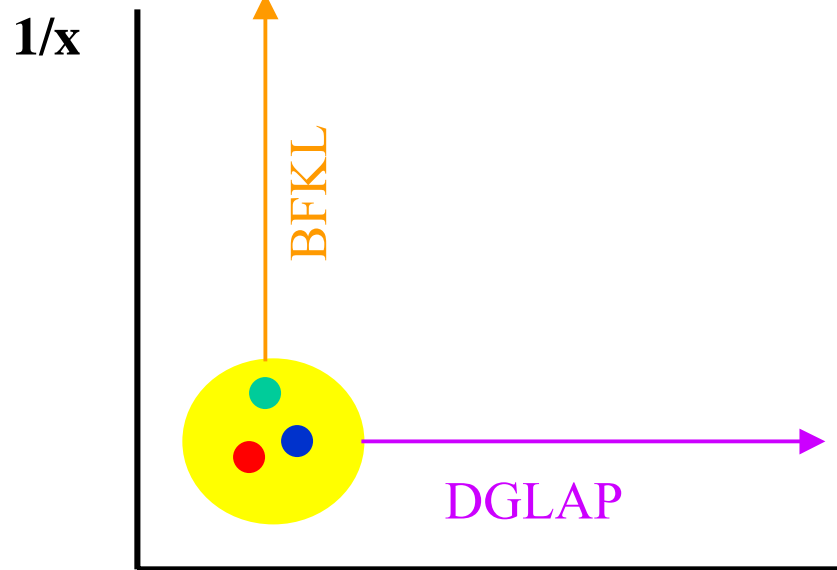
where $Y_\pm = 1 \pm (1-y)^2$

$$F_2 = e_i^2 (q(x) + \bar{q}(x)) \quad (\text{in QPM})$$

F_L – long. str. fnc; important only for $y > 0.6$

F_3 – arises from Z-exchange;

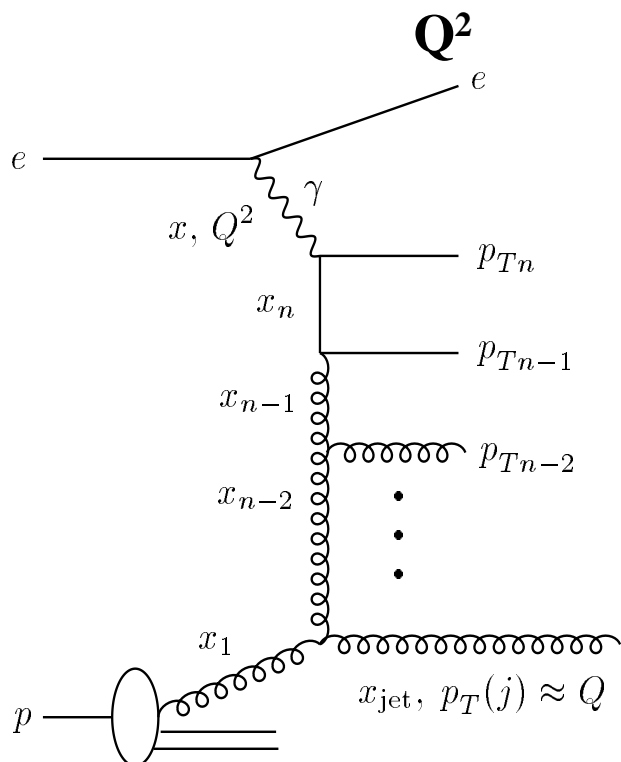
negligible for $Q^2 < 5000 \text{ GeV}^2$



DGLAP vs BFKL

Parton Evolution in DIS

| DGLAP | BFKL |
|--------------------------|----------------------------|
| Strong p_T ordering | No p_T ordering |
| Soft frac. mom. ordering | Strong frac. mom. ordering |



NLO QCD Fits

DGLAP predicts Q^2 evolution of $F_2(x, Q^2)$ for given parton densities
at $Q^2 = Q_0^2$

H1 fit

$$Q_0^2 = 1 \text{ GeV}^2$$

$$\text{gluon : } xg(x, Q_0^2) = A_g x^{B_g} (1-x)^{C_g}$$

$$\text{valence } u_v : xu_v(x, Q_0^2) = A_u x^{B_u} (1-x)^{C_u} (1 + D_u x^{E_u})$$

$$\text{valence } d_v : xd_v(x, Q_0^2) = A_d x^{B_d} (1-x)^{C_d} (1 + D_d x^{E_d})$$

$$\text{sea : } xS(x, Q_0^2) = A_s x^{B_s} (1-x)^{C_s}$$

$$\text{strange quarks: } \bar{s} = \bar{u}/2$$

assume $\bar{u} - \bar{d}$ param. from MRS

$$\alpha_s(M_Z) = 0.118$$

ZEUS fit

$$Q_0^2 = 7 \text{ GeV}^2$$

$$\text{gluon : } xg(x, Q_0^2) = A_g x^{\delta_g} (1-x)^{\eta_g} (1 + \gamma_g x)$$

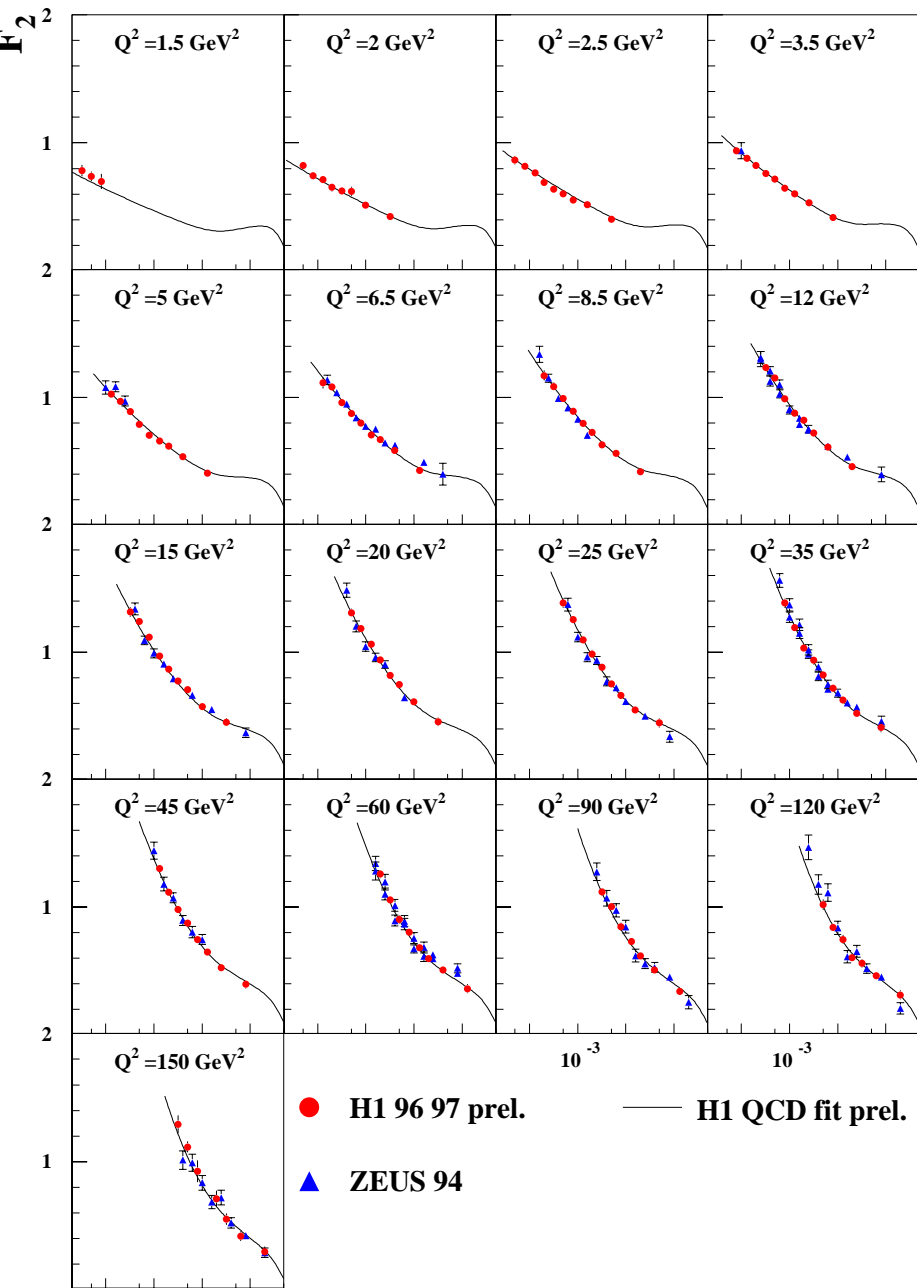
$$\text{sea : } xS(x, Q_0^2) = A_s x^{\delta_s} (1-x)^{\eta_s} (1 + \gamma_s x + \epsilon_s \sqrt{x})$$

$$u-d \text{ difference : } x\Delta_{ud}(x, Q_0^2) = A_{\Delta}^{\delta_{\Delta}} (1-x)^{\eta_{\Delta}}$$

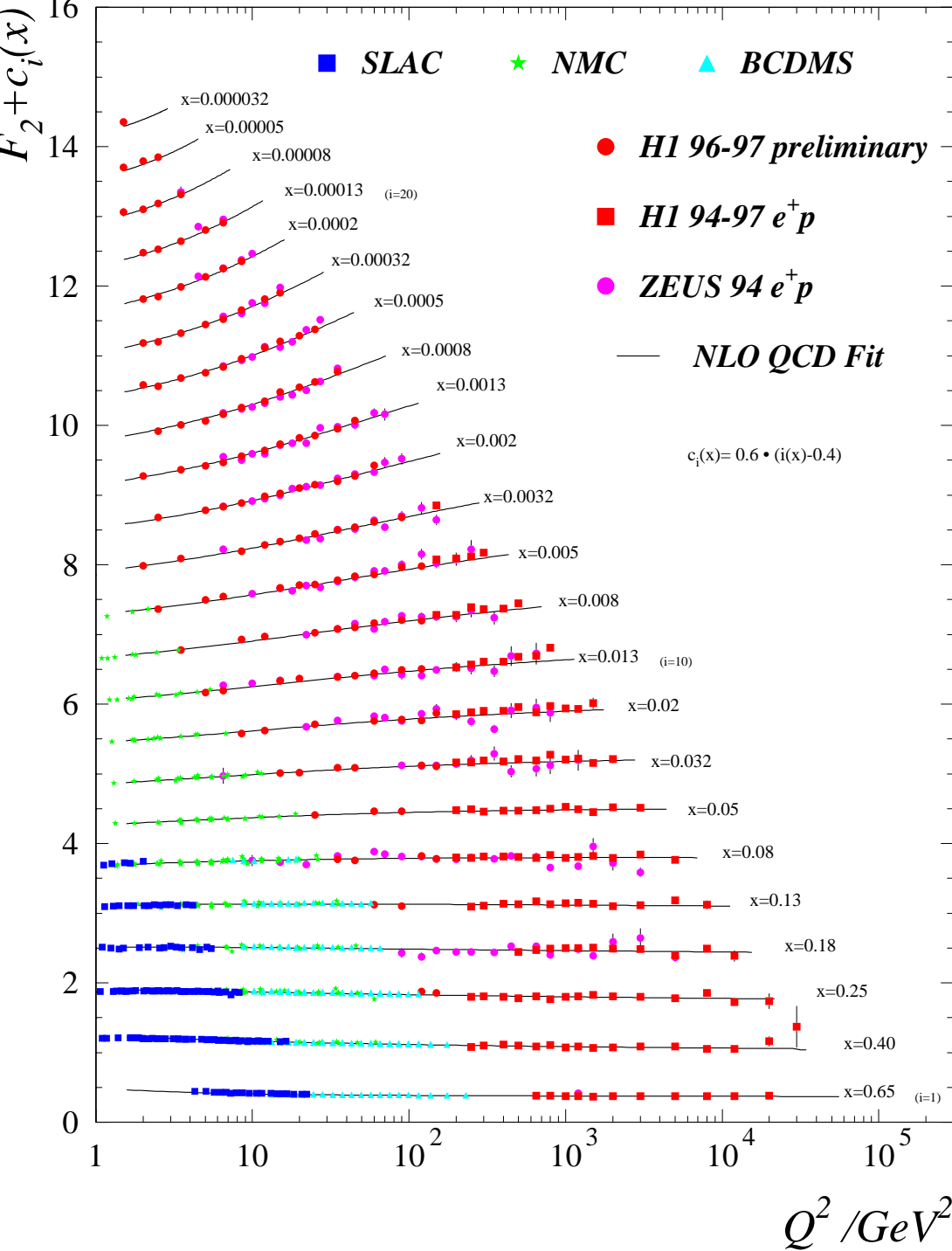
strange quark assumed 20% of sea
valence quarks from MRS(R2)

$$\alpha_s(M_Z) = 0.118$$

- fixed flavour scheme - 3 light flavours, heavy flavours in NLO via BGF
- momentum sum rule
- quark counting rules



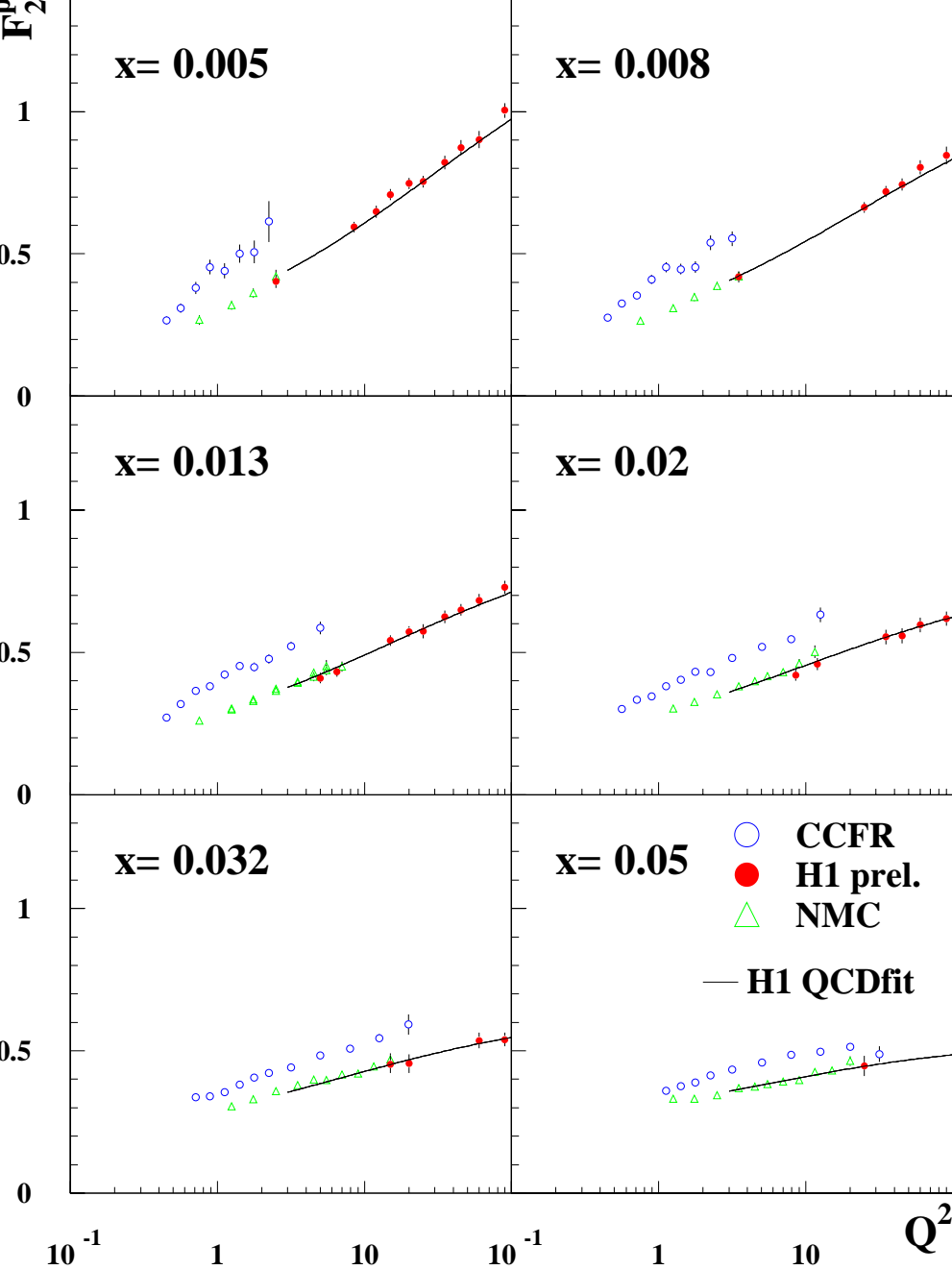
- strong rise of F_2 at low x
- good agreement between expts
- systematically dominated (2-3%) up to $Q^2 \approx 1000 \text{ GeV}^2$



NLO DGLAP fit gives good description of the HERA & fixed target data

Scaling violation well interpreted by QCD

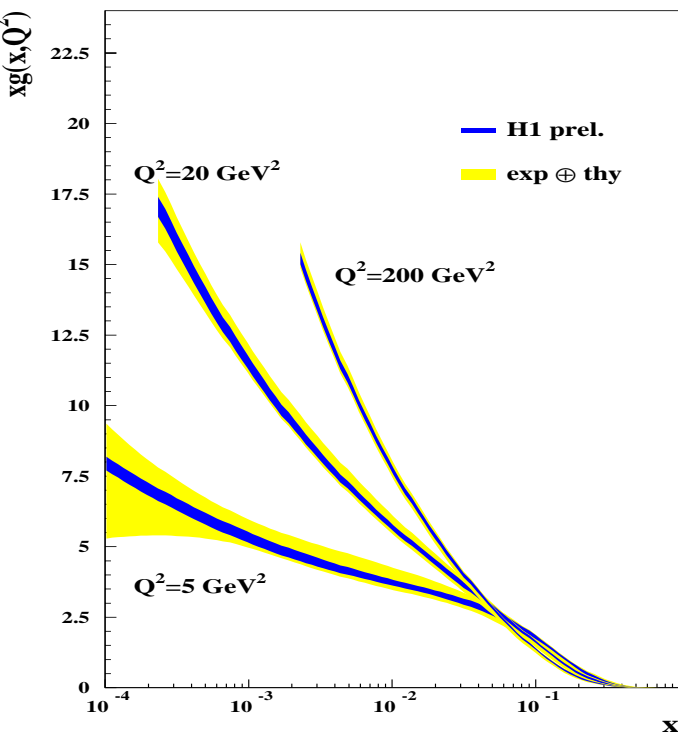
No indication of $(\log 1/x)^n$ corrections in HERA regime



Long standing controversy between μp (NMC, E665) and νN (CCFR) data

H1 data overlap and extrapolate well to μp data

CCFR data being re-analysed, with new treatment of charm and shadowing



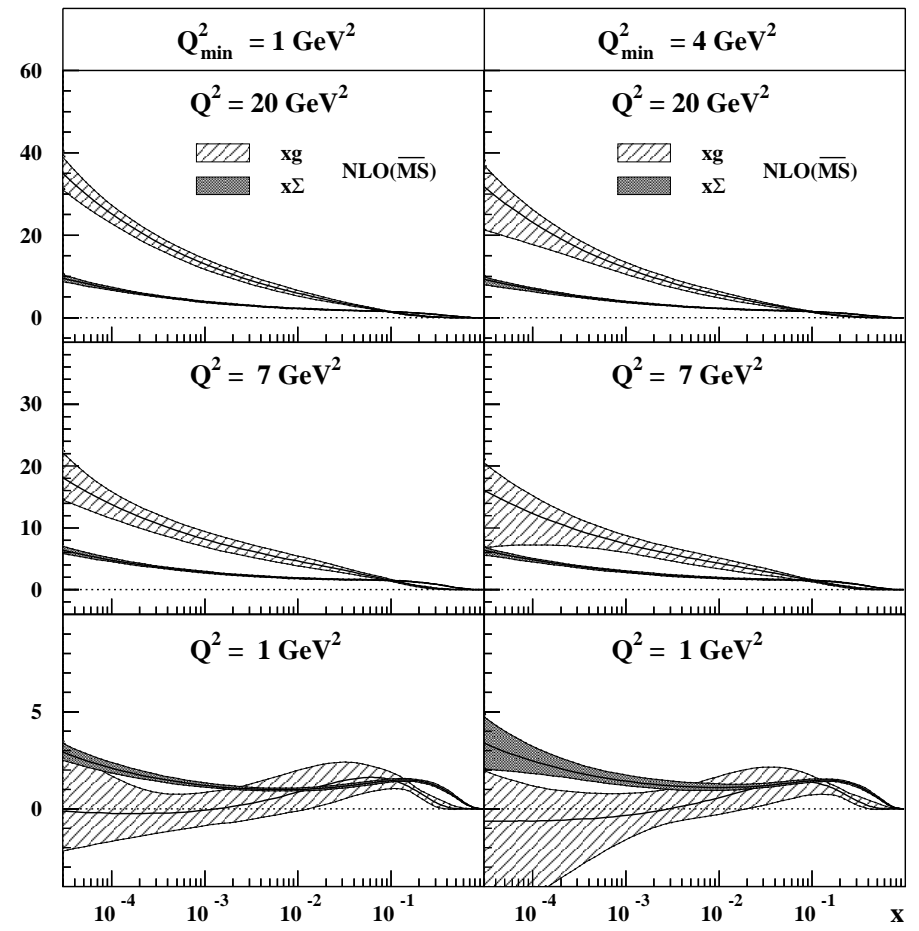
Strong rise in xg as $x \downarrow$

10% uncertainty at $Q^2=20$ GeV^2 & $x=5 \times 10^{-5}$

F_2 rise at low x not completely driven by the increase of gluon density from parton splitting

Gluon Determination

ZEUS 1995



Extraction of F_L

remember $Y_{\pm} = 1 \pm (1 - y)^2$

- subtraction method

$$\sigma_r = \left(\frac{xQ^4}{2\pi\alpha^2 Y_+} \right) \frac{d^2\sigma}{dx dQ^2} = F_2 - \frac{y^2}{Y_+} F_L$$

measure σ_r at high y - for $Q^2 \ll M_Z^2$

fitted F_2 at low y extrapolated to high y & subtract out F_L

- ★ derivative method

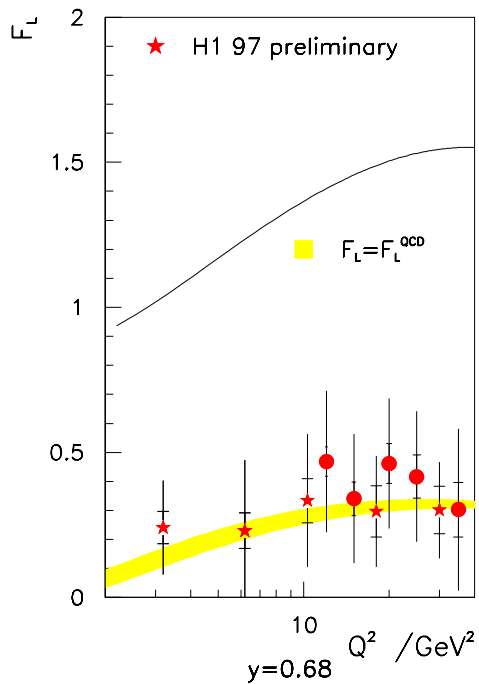
$$\frac{d\sigma_r}{d \log y} = -\frac{dF_2}{d \log y} - 2y^2 \frac{2-y}{Y_+^2} F_L + \frac{y^2}{Y_+} \frac{dF_L}{d \log y}$$

assume $\frac{dF_2}{d \log y} = A \log y + B$

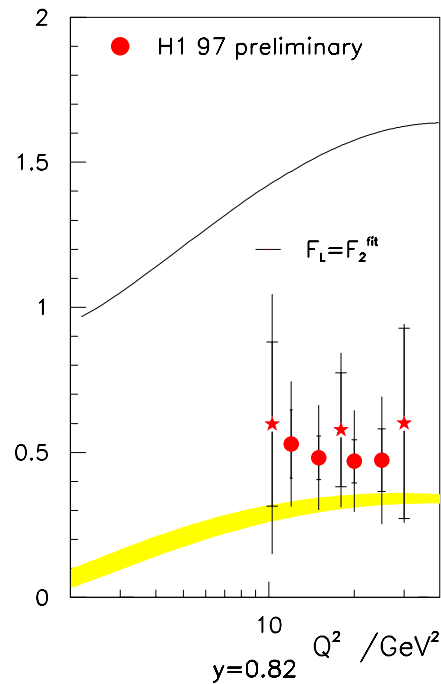
straight line fit to $d\sigma_r/d \log y$ Q^2 bins at $y < 0.2$

Extraction of F_L

★ derivative



● subtraction

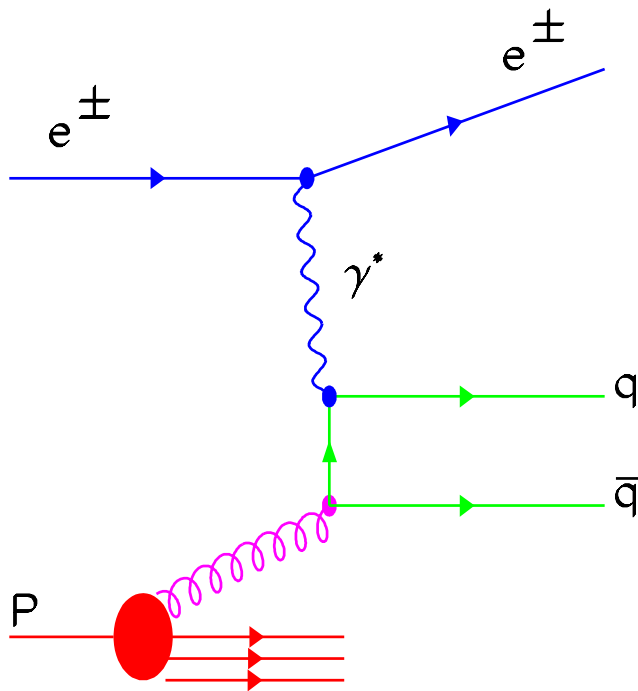


F_L compatible with QCD predictions

Both methods in agreement

Direct measurement needs different beam energies or ISR events

Investigation of gluon via charm production



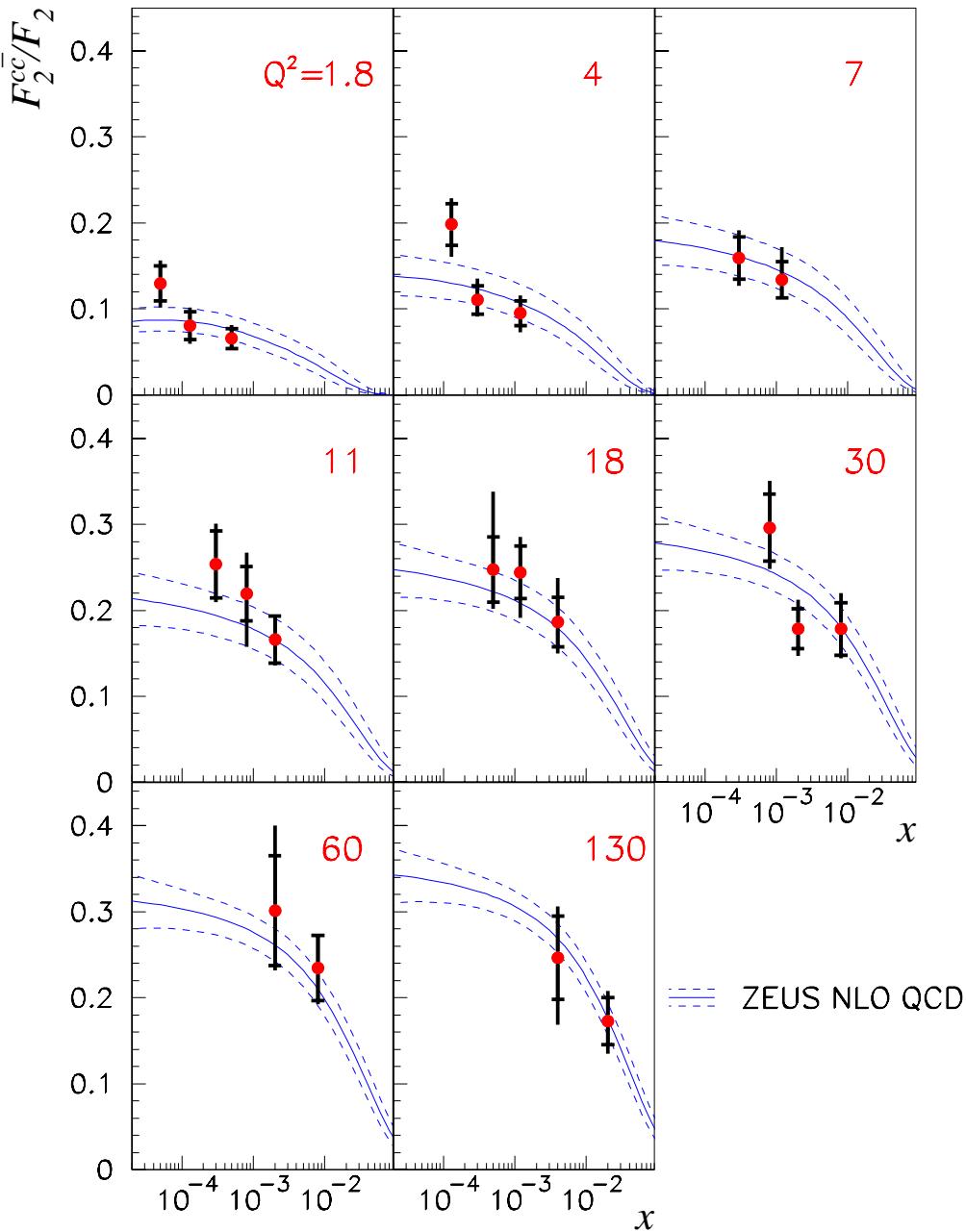
(b)

- Charm production dominated by BGF diagram

probe of the gluon density

- investigate via D^* production

ZEUS 1996-97



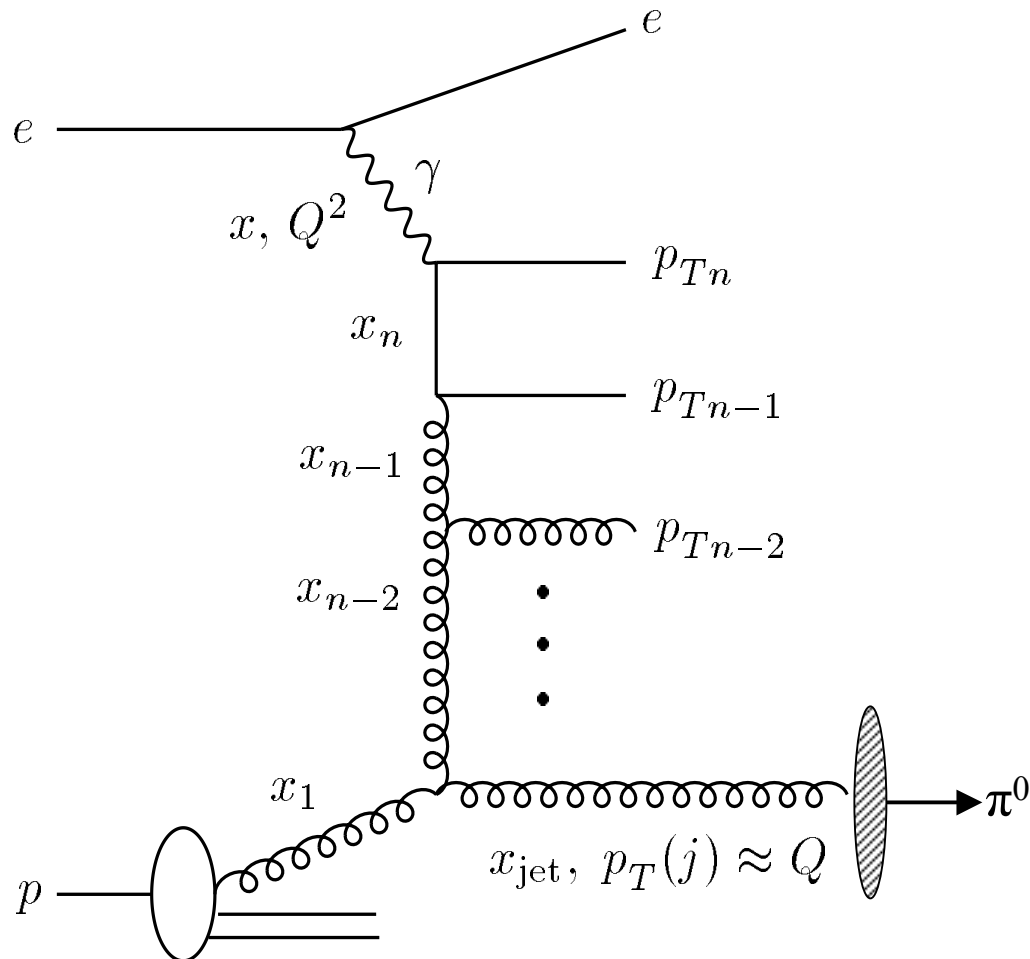
$F_c \approx 25\%$ at
low x & high Q^2

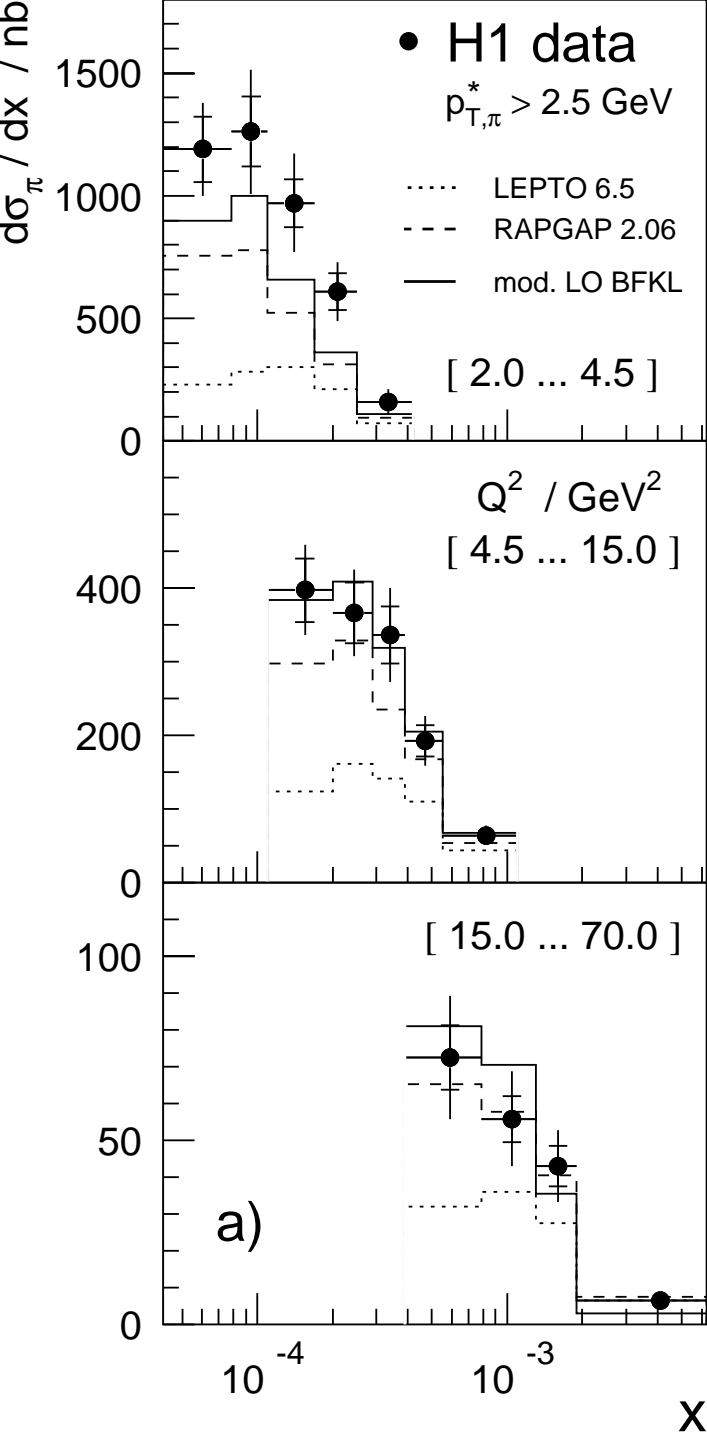
F_c at $Q^2=1.8 \text{ GeV}^2$ is
 $\approx 10\%$

F_c rising quicker than F_2

DGLAP vs BFKL – forward π^0 production

BFKL predicts greater forward π^0 production than DGLAP expectation





MC models implementing DGLAP evolution fail to describe π^0 data

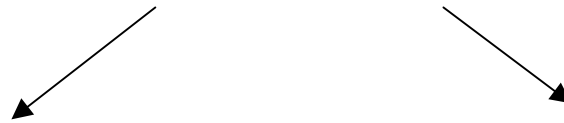
adding resolved component to incoming virtual photon improves description of data

BFKL formalism gives good description of data. Still question marks over absolute normalisation

Event Shape Variables

Any ‘infra-red’ safe event variable $\langle F \rangle$ can be written as

$$\langle F \rangle = \langle F \rangle^{\text{pert}} + \langle F \rangle^{\text{pow}}$$



Log change of the strong
coupling const $\propto 1/\log(Q)$

Power corrections or
hadronisation effects $\propto 1/Q$

$1/Q$ corrections not necessarily related to hadronisation

BUT

soft gluon phenomenon at small momentum scales

$$\langle F \rangle^{\text{pert}} = c_1(x, Q) \alpha_s(\mu_R) + \left[c_2(x, Q) + \frac{\beta_0}{2\pi} \log\left(\frac{\mu_R}{Q}\right) c_1(x, Q) \right] \alpha_s^2(\mu_R)$$

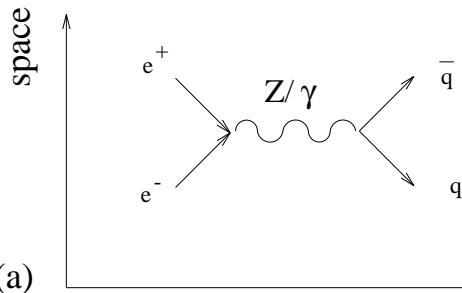
c_1 & c_2 are coefficients in the $\overline{\text{MS}}$ scheme

μ_R is the renormalisation scale taken to be Q

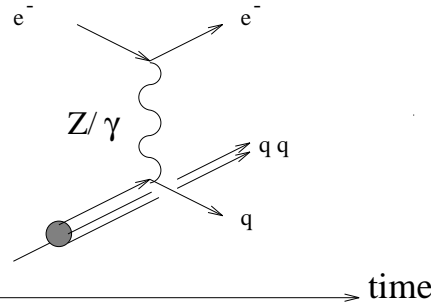
The Breit Frame

'Brickwall' frame

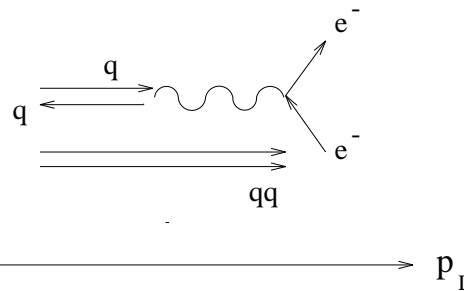
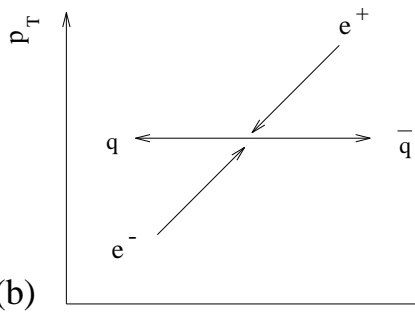
Electron-positron Annihilation



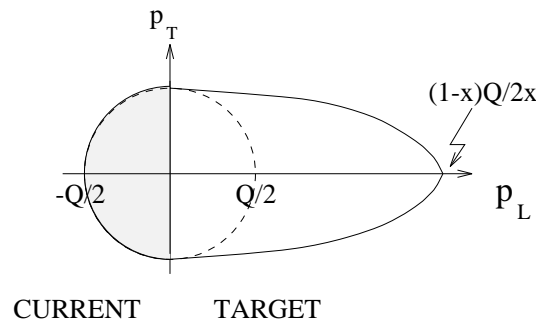
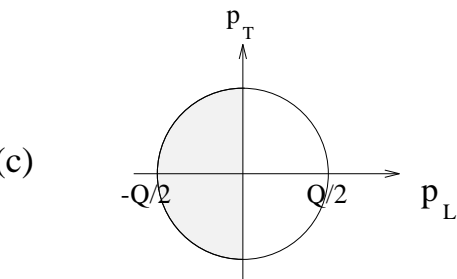
DIS in the Breit Frame



Phase space for e^+e^- annihilation evolves with $Q/2 = \sqrt{s}/2$



Current hemisphere of Breit frame evolves as $Q/2$



Current region $\equiv e^+e^-$ annihilation

Thrust T_C or T_m

$$\tau_C = 1 - T_C = 1 - \max_h \frac{|p_h \cdot n_T|}{|p_h|}; n_T \equiv \text{thrust axis}$$

Thrust T or T_Z

$$\tau = 1 - T = 1 - \frac{|p_h \cdot n|}{|p_h|}; n \equiv \text{hemisphere axis}$$

Jet mass ρ

$$\rho = \frac{M^2}{(2E_{\text{tot}})^2} = \frac{\left(\sum_h p_h \right)^2}{4 \left(\sum_h E_h \right)^2}$$

C parameter

$$C = 3(\lambda_1 \lambda_2 + \lambda_2 \lambda_3 + \lambda_3 \lambda_1)$$

where λ_i are the eigenvalues of the momentum tensor

$$\Theta_{jk} = \frac{\sum_h p_{j_h} p_{k_h}}{\sum_h |p_h|^2}$$

y_{fj} & y_{k_t} are transition values for $(2+1) \rightarrow (1+1)$ jets for the factorisable JADE algo. & the k_t algo. respectively

Jet Broadening B

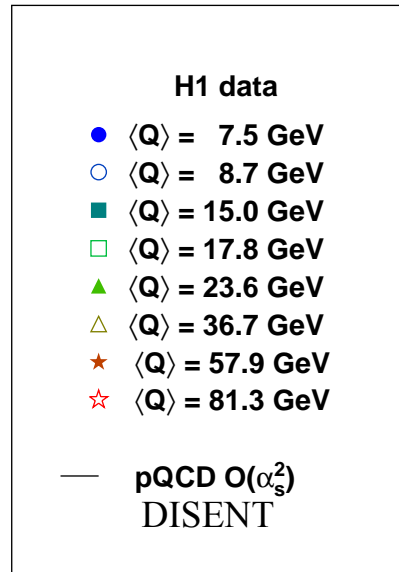
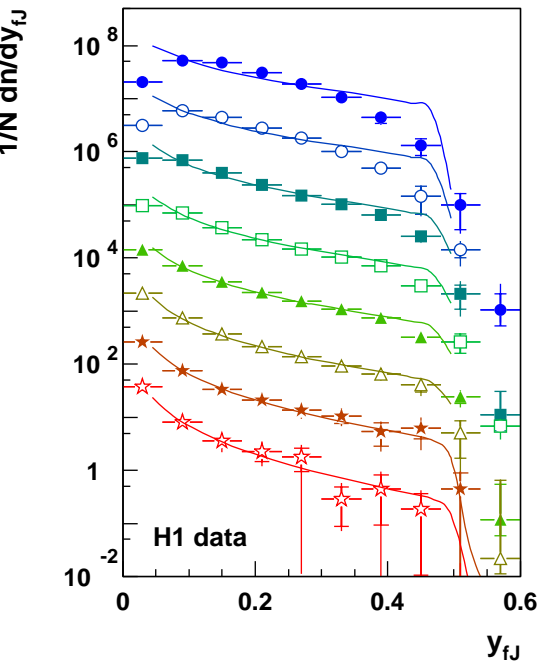
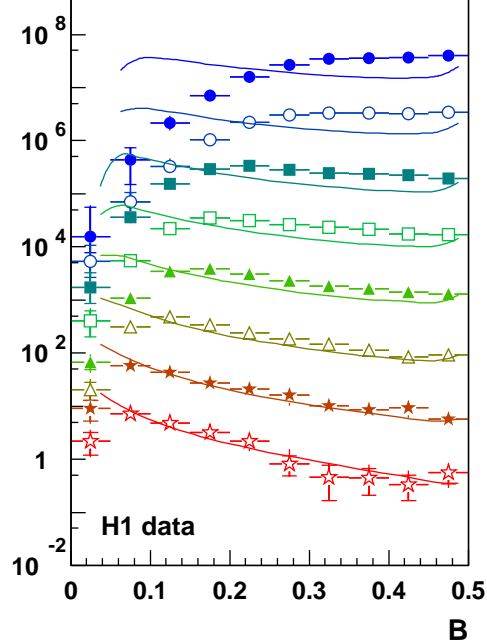
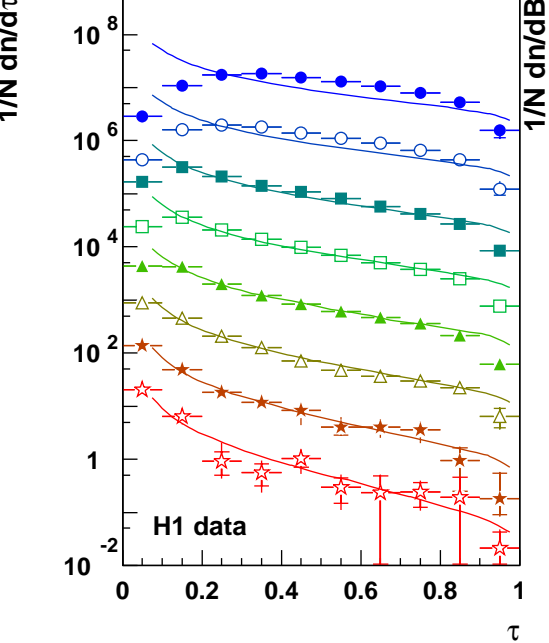
$$B = \frac{\sum_h |p_h \times n|}{2 \sum_h |p_h|} = \frac{\sum_h |p_{\perp h}|}{2 \sum_h |p_h|}$$

Data vs NLO

Events more pencil like as $Q^2 \uparrow$

Non-pert corrections decrease as $Q^2 \uparrow$

Non-pert correction for jet variables smaller over all Q^2



$$\langle F \rangle^{\text{pow}} = a_F \frac{32}{3\pi^2} \frac{M}{p} \left(\frac{\mu_I}{Q} \right)^p \left[\bar{\alpha}_{p-1}(\mu_I) - \alpha_s(Q) - \frac{\beta_0}{2\pi} \left(\ln \frac{Q}{\mu_I} + \frac{K}{\beta_0} + \frac{1}{p} \right) \alpha_s^2(Q) \right]$$

β_0, K are constant dependent on number of flavours

μ_I - 'infra-red' matching scale, $\mu_I = 2 \text{ GeV}$

a_F, p - calculable coeff dependent on observable F

$p = 1$ except for y_{k_i} where $p = 2$

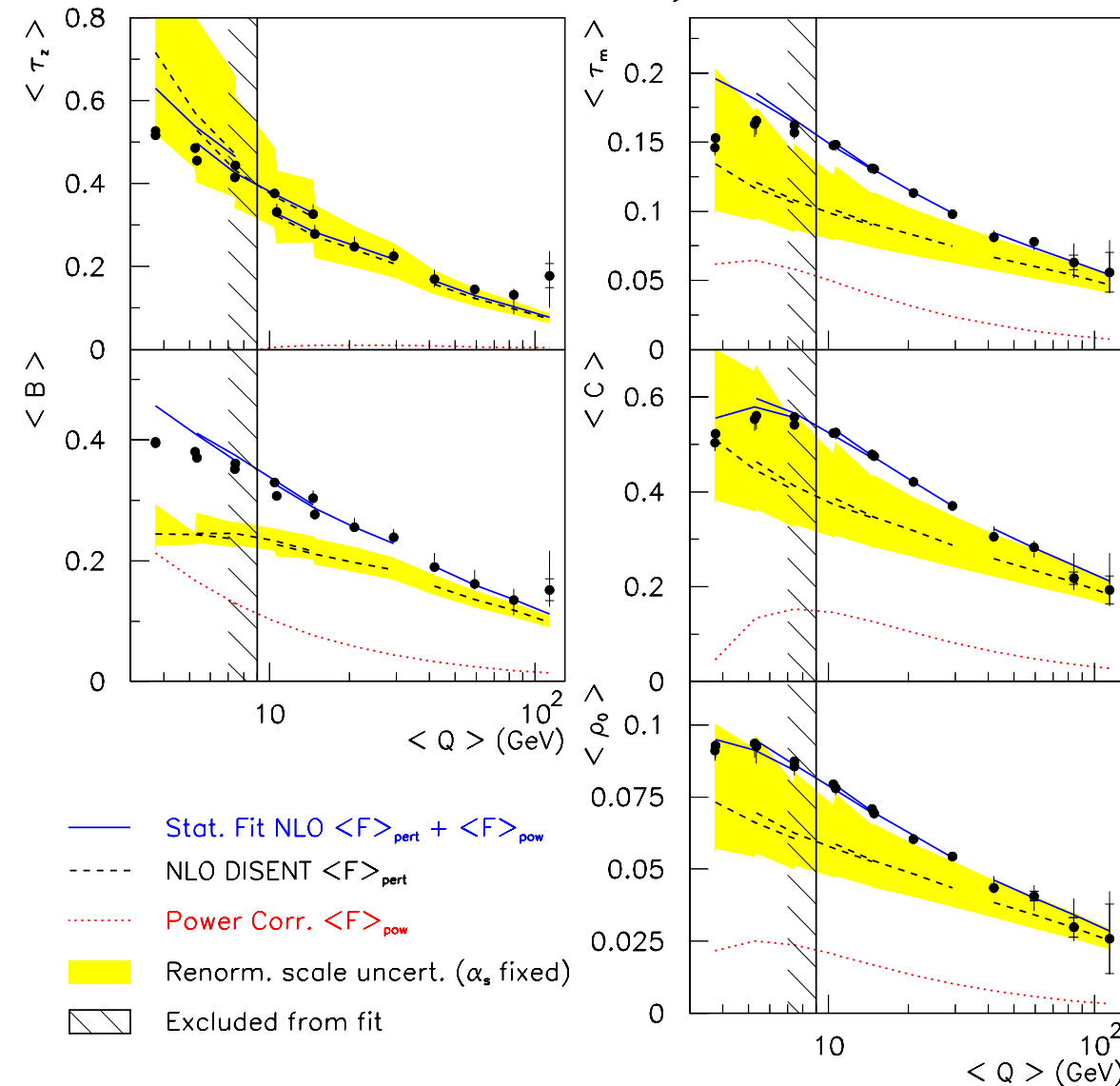
For $B, a_F = F(\alpha_{s-CMW}(Qe^{-3/4}), N_f)$

$M \approx 1.43$ - 2-loop correction (Milan factor)

$\bar{\alpha}_{p-1}$ - an universal, non-pert. effective strong coupling below μ_I

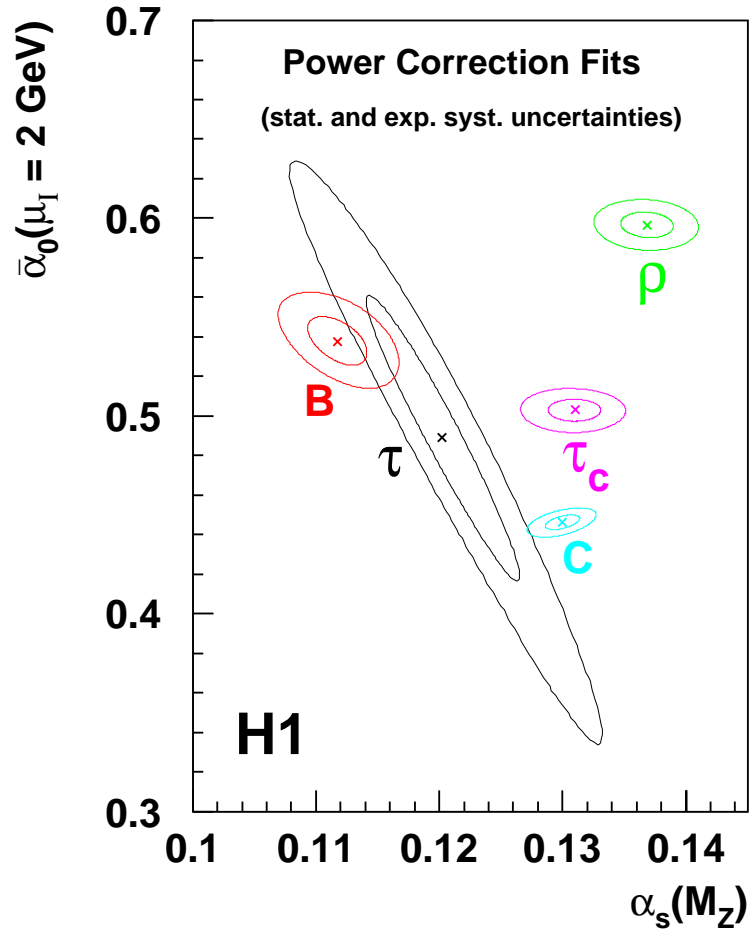
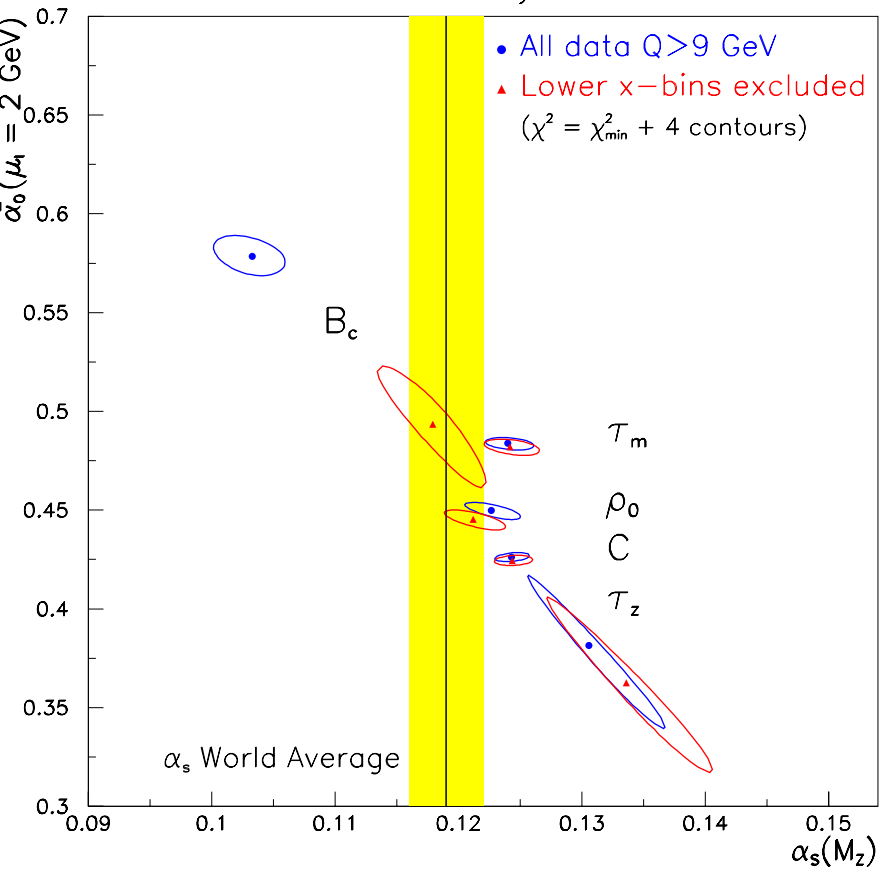
Power correction
fit

ZEUS Preliminary 1995–97



Reasonable fit to data

Closer examination shows NLO calculation (for B in particular) has wrong x dependence

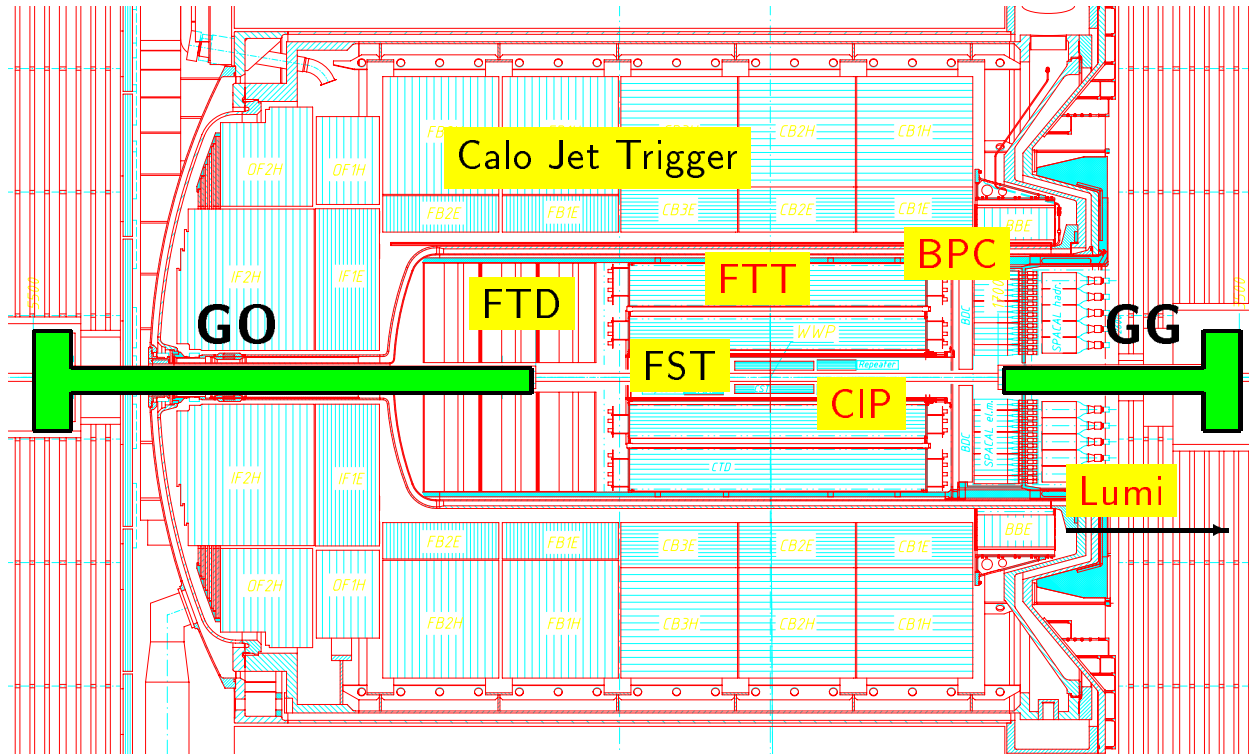


x-dependence on result, particular for B and T

H1 & ZEUS consistent (exception ρ , where different defⁿ used)

y_{fJ} power correction coeff a_F not compatible with data

Upgrade of HERA & Detectors



- Magnets around beamline (including inside detector vol)

- β functions reduced by factors of 4-5

- increased currents

- factor of 5 increase in luminosity

e-polarisation

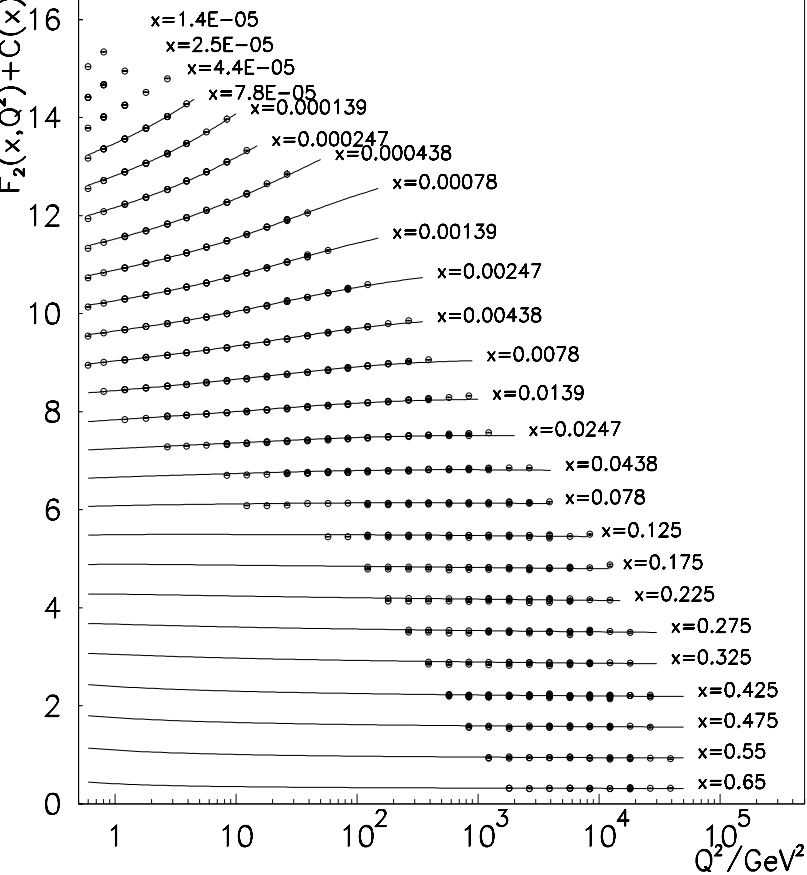
forward tracking upgrade

new lumi detectors

microvertex detector(ZEUS)

improved tracking trigger (H1)

⋮



simulation of F_2 with 1 fb^{-1} at HERA

measurements of F_c and F_b to 5% & 10% respectively

stringent tests of QCD evolution

important expt. input to future hadron colliders !

precision on $\alpha_s(M_Z)$ 0.001

gluon density extraction to 1%

Summary

- structure func precision a few %
- DGLAP evolution OK down to $Q^2 \approx 1 \text{ GeV}^2$
- F_c up to 25% of F_2
- an indirect measurement of F_L
- event shapes in reasonable agreement with NLO & power corrections. Still outstanding questions
- HERA high luminosity running deliver 1 fb^{-1} per expt. during 2001 \rightarrow 2006